From: William Vanderwaal [wvanderwaal@rd108.org]
Sent: 12/2/2019 10:22:30 AM
To: Rob Kunde [rkunde@wrmwsd.com]; Frederiksen, Lee E. [Lee.Frederiksen@hdrinc.com]; Alicia Forsythe [aforsythe@sitesproject.org]
Subject: RE: Sites Value Planning Ad Hoc Group - Dec 2 1:30 pm call

Rob,
I'll try to call in at 1:30 but may be in the process of boarding a flight, so don't hold up for me. It's my understanding that Stony Creek is adjudicated so I'm not sure what water is available from it; I know the TCCA has diverted in the past, I believe 2012 was the most recent occasion, so it seems like the cost to diver would be lower, it's just a matter of what amount could actually be diverted.
Otherwise, I think it's a good list of criteria to start the discussion with the group.

Cheers
Bill V

William R Vanderwaal
RD-108/DWD
530.812.6276

From: Rob Kunde [mailto:rkunde@wrmwsd.com]
Sent: Sunday, December 01, 2019 8:12 PM
To: Frederiksen, Lee E. <Lee.Frederiksen@hdrinc.com>; Alicia Forsythe <aforsythe@sitesproject.org>; William Vanderwaal <wvanderwaal@rd108.org>
Subject: Fw: Sites Value Planning Ad Hoc Group - Dec 2 1:30 pm call

All:

Below find Jeff Herrin's thoughts for developing Value Planning screening criteria for discussion on our Monday call. I will invite Bill Vanderwaal to join.

Robert J. Kunde, P.E.
Retired Annuitant
Wheeler Ridge-Maricopa Water Storage District
12109 Highway 166, Bakersfield, CA 93313
cell: 661-345-3719 email: rkunde@wrmwsd.com

From: Rob Kunde
Sent: Sunday, December 1, 2019 8:01 PM
To: Herrin, Jeff
Subject: Re: Sites Value Planning Ad Hoc Group - Availability for Next Meeting Dec 16 or 17

Jeff:

This is really helpful. Thank you.

Robert J. Kunde, P.E.
Retired Annuitant
Wheeler Ridge-Maricopa Water Storage District
12109 Highway 166, Bakersfield, CA 93313
cell: 661-345-3719 email: rkunde@wrmwsd.com

From: Herrin, Jeff <jeff.herrin@aecom.com>
Sent: Monday, November 25, 2019 10:33 AM
To: Rob Kunde
Subject: RE: Sites Value Planning Ad Hoc Group - Availability for Next Meeting Dec 16 or 17

Rob,

Here are some initial thoughts on screening that might be helpful for planning the meeting.

As Mike Dietl pointed out in the workshop on 15 November, we should have a documented alternative selection process. This is important for the NEPA/CEQA process and not just a Reclamation requirement.

Project Objectives: Note that you can reverse engineer your objectives to make sure the kind of project you want looks as attractive as possible. The project objectives for the Federal feasibility report and the WSIP application are as follows:

1. Operational Flexibility (Reclamation only) – I'm not sure you want to include this one.
2. Anadromous Fish (Reclamation and WSIP, but only recognized by Reclamation to date) – I think we want this one. It appears we need 100 TAF/yr to 200 TAF/yr conserved in Shasta for this objective to be realized (otherwise we may not be able to measure a temperature benefit).
3. Yolo Bypass Flows for Delta Smelt (Reclamation and WSIP, but State paid) – I think this one is in and should be straightforward.
4. Refuge Water Supply (Reclamation and WSIP, construction is State paid) – I think we want this one and it should be straightforward.
5. M&I, Urban, and Agricultural Water Supply (Reclamation and WSIP) – This one is in and should be straightforward
6. Flood Damage Reduction (Reclamation and WSIP) – In and straightforward
7. Recreation (Reclamation and WSIP) – In and straightforward
8. Hydropower (Reclamation and WSIP) – So far we are including generation on release. This improves OM&R costs and can provide a benefit to the grid. Not sure if we want to continue to include it.

One benefit we previously looked at was releases for water quality. We could reconsider, but it is difficult to guarantee this benefit if we try to provide it.

Possible Criteria:

1. Does the alternative meet all project objectives? This is a pass/fail critieria. This may lead us to drop Objective 2 above if we don't think our selected alternative meets it.
2. Amount of water provided – average/year. May also consider dry/critical/year.
3. Cost
4. No harm to CVP, SWP, or existing water rights
5. Benefit to cost ratio – Likely required by both Reclamation and the CWC for WSIP to demonstrate economic feasibility. We need to be above 1.0. This is challenging. We are cutting diversions by 50%, but cutting costs by closer to 40%. I suspect we are in the ballpark of 0.9. This means we need to find ways to push the monetized benefits as high as possible and/or further reduce the costs. It is surprisingly possible to have a reasonable cost in terms of $/AF and a benefit cost ratio greater than 1.0, but that project won't fly the CWC or Reclamation.
6. Time to construct
7. Local preference/acceptability
8. Other

Preferred Alternative: The preferred alternative should score highest using the criteria. The criteria can be weighted for scoring purposes. The preferred alternative should identify which diversion facilities are required and, in general, how

the alternative is operated. Other details can be deferred for later (how is the dam constructed, what is the exact plumbing for emergency drawdown releases, etc.).

Stony Creek Diversion: Mike Azevedo provided information on flood releases from Black Butte Reservoir. Disregarding water rights and permitting, it looks like we could divert up to about 180 TAF/yr at a cost of about $105/AF. This is best case. Permitting constraints including water rights would likely reduce the diversion amount and increase the cost; however, from an engineering perspective this is very promising in terms of affordability.

Jeff Herrin
Water Resources Planner, Water Business Unit, Sacramento, CA
D +1-916-679-2084 IPT 264-679-2084
M +1-916-432-0956
Jeff.Herrin@aecom.com

AECOM
2020 L Street
Suite 400
Sacramento, CA 95811, United States
T +1-916-414-5800
aecom.com

Built to deliver a better world

LinkedIn Twitter Facebook Instagram

From: Rob Kunde <rkunde@wrmwsd.com>
Sent: Friday, November 22, 2019 11:41 AM
To: 'William Vanderwaal' <WVanderwaal@rd108.org>; DRuiz@westsidewd.com; tbettner@gcid.net; Randall Neudeck <rneudeck@mwdh2o.com>; CCwd2@frontiernet.net; gevans@countyofcolusa.org; mjazevedo@countyofcolusa.org; Jamie <jamie@tnpfarms.com>; Jim Watson <jwatson@sitesproject.org>; Rob Thomson <rthomson@sitesproject.org>; Alicia Forsythe <aforsythe@sitesproject.org>; Tull, Robert/SAC <Robert.Tull@jacobs.com>; Herrin, Jeff <jeff.herrin@aecom.com>; john.spranza@hdrinc.com; jsutton@tccanal.com; bencarter@succeed.net; svalles@mwdh2o.com
Subject: Sites Value Planning Ad Hoc Group - Availability for Next Meeting Dec 16 or 17

Ladies and Gentlemen of the Sites Value Planning Ad Hoc Group:

At the Nov 21 RPC meeting, it was decided to start narrowing the Value Planning Alternatives. I asked staff to develop some screening criteria and set up a meeting the week of Dec 2 (forgetting that was ACWA Conference Week). Bill Vanderwaal and I subsequently decided to target one of the following dates for an in person meeting:
Mon, Dec 16, 1:00 pm at RD108
or Tues, Dec 17, 1:00 pm at RD108

Please advise regarding your availability.

Randall and I request Jamie bring lunch again.

This meeting would include selected Sites staff and contractors to discuss the Alternatives, how to mix and match components (roads, dam type, release facilities, reservoir size, etc), consideration of other components not yet presented to the RPC, and the screening criteria and applicability to selected Alternatives. The goal is to narrow the alternatives and make progress toward a Project Description prior to the December 19 Reservoir Project Committee meeting.

Robert J. Kunde, P.E.
Retired Annuitant
Wheeler Ridge-Maricopa Water Storage District
12109 Highway 166, Bakersfield, CA 93313
cell: 661-345-3719 email: rkunde@wrmwsd.com

From: JP Robinette [JRobinette@BrwnCald.com]
Sent: 12/2/2019 11:51:48 AM
To: Alicia Forsythe [aforsythe@sitesproject.org]
CC: Brian Grubbs [grubbs@montaguederose.com]
Subject: Re: Sites Meeting with NRDC, et al. - Follow-up

Thanks Ali! I have added this to my improvements / issues log.

Sent from my iPhone

On Nov 25, 2019, at 11:50 AM, Alicia Forsythe <aforsythe@sitesproject.org> wrote:

See below for an editorial correction in the tool.

Ali

Alicia Forsythe | Environmental Planning and Permitting Manager | Sites Reservoir Project | 916.880.0676 | aforsythe@sitesproject.org | www.SitesProject.org

CONFIDENTIALITY NOTICE: This communication with its contents may contain confidential and/or legally privileged information. It is solely for the use of the intended recipient(s). Unauthorized interception, review, use or disclosure is prohibited and may violate applicable laws including the Electronic Communications Privacy Act. If you are not the intended recipient, please contact the sender and destroy all copies of the communication.

From: Greg Reis <greg@bayecotarium.org>
Sent: Monday, November 25, 2019 11:40 AM
To: Alicia Forsythe <aforsythe@sitesproject.org>
Subject: RE: Sites Meeting with NRDC, et al. - Follow-up

Thanks! One question: what does WIFIA stand for?
Also, I noticed a typo: cell E4 should say TAF, not AF

Greg Reis
Staff Hydrologist, The Bay Institute
Mobile: 415-342-6390

<image001.jpg>

From: Alicia Forsythe [mailto:aforsythe@sitesproject.org]
Sent: Monday, November 25, 2019 11:35 AM
To: Greg Reis <greg@bayecotarium.org>
Subject: RE: Sites Meeting with NRDC, et al. - Follow-up

Sure thing! The tool is attached. Let me know if you have any questions using it or any questions on the slides.

Ali

Alicia Forsythe | Environmental Planning and Permitting Manager | Sites Reservoir Project | 916.880.0676 | aforsythe@sitesproject.org | www.SitesProject.org

CONFIDENTIALITY NOTICE: This communication with its contents may contain confidential and/or legally privileged information. It is solely for the use of the intended recipient(s). Unauthorized interception, review, use or disclosure is prohibited and may violate applicable laws including the Electronic Communications Privacy Act. If you are not the intended recipient, please contact the sender and destroy all copies of the communication.

From: Greg Reis <greg@bayecotarium.org>
Sent: Friday, November 22, 2019 12:00 PM
To: Alicia Forsythe <aforsythe@sitesproject.org>
Subject: RE: Sites Meeting with NRDC, et al. - Follow-up

Hi Ali,
I called in a little late to Friday's meeting—and it was a little hard to follow some things without seeing this. Thanks for sending the presentation, that answers some questions I had from the call. Please also send the Excel tool that was offered on the call and covered in the slides.
Thanks,
Greg

Greg Reis
Staff Hydrologist, The Bay Institute
Mobile: 415-342-6390

<image001.jpg>

From: Alicia Forsythe [mailto:aforsythe@sitesproject.org]
Sent: Tuesday, November 19, 2019 1:19 PM
To: Obegi, Doug <dobegi@nrdc.org>; jon@baykeeper.org; Barry Nelson (barry@westernwaterstrategies.com) <barry@westernwaterstrategies.com>; Greg Reis <greg@bayecotarium.org>; Chris Shutes <blancapaloma@msn.com>; Kim Delfino <KDelfino@defenders.org>; Zwillinger, Rachel (Mail Contact) <rzwillinger@defenders.org>
Cc: Monique Briard (Monique.Briard@icf.com) <Monique.Briard@icf.com>; Jim Watson <jwatson@sitesproject.org>; Jim Lecky (jim.Lecky@icf.com) <jim.Lecky@icf.com>; Tull, Robert/SAC <Robert.Tull@jacobs.com>; Kevin Spesert <kspesert@sitesproject.org>; John Spranza (john.spranza@hdrinc.com) <john.spranza@hdrinc.com>; Grimaldo, Lenny <Lenny.Grimaldo@icf.com>
Subject: RE: Sites Meeting with NRDC, et al. - Follow-up

Hi all – I thought I would circle back with you all for a quick update.

We held a joint public workshop of the Reservoir Committee and Authority Board late last week. We talked through where we are with operational criteria, the value planning effort and the affordability analysis. I've attached the workshop presentation. It will be posted to the Authority website shortly.

As you'll see in the attached presentation, Sites has continued our discussions with CDFW on operating criteria (diversion criteria), albeit at a little slower pace more recently. We have also recently had a joint meeting with NMFS, USFWS, and CDFW to begin dialogue on operational criteria as a group. Not much progress has been made on the running the NMFS winter-run life-cycle model for the Project – we continue to work thru the contracting process and refine the operating criteria so we're not ready to run the model yet.

We have also continued to work on refinements to the Project to right-size it for the current participants. We are considering a number of right-sizing actions, such as reducing the size of the reservoir, no Delevan intake, no Delevan outfall, and other options. The Authority embarked on an initial Value Planning effort to look at a number of possible

cost reduction measures. The initial options out of the this effort and the potential resulting cost savings are identified in the attached presentation. Also identified is the potential cost of water for different reservoir costs and deliveries at Holthouse (deliveries to participants, including the CWC / WSIP water).

With so much in flux right now, we have taken a break from following up on the action items from our August meeting. We will continue to hold these out there and intend to follow up on them once we have a better sense of how the Authority Board would like to move forward with the project.

If you have any questions on Sites or the attached presentation, please don't hesitate to reach out to me.

Hope everyone is well and you all have a great Thanksgiving next week (I can't believe we're already there)!

Ali

Alicia Forsythe | Environmental Planning and Permitting Manager | Sites Reservoir Project | 916.880.0676 | aforsythe@sitesproject.org | www.SitesProject.org

CONFIDENTIALITY NOTICE: This communication with its contents may contain confidential and/or legally privileged information. It is solely for the use of the intended recipient(s). Unauthorized interception, review, use or disclosure is prohibited and may violate applicable laws including the Electronic Communications Privacy Act. If you are not the intended recipient, please contact the sender and destroy all copies of the communication.

From: Alicia Forsythe
Sent: Monday, October 14, 2019 7:47 AM
To: Obegi, Doug <dobegi@nrdc.org>; jon@baykeeper.org; Barry Nelson (barry@westernwaterstrategies.com) <barry@westernwaterstrategies.com>; greg@bayecotarium.org; Chris Shutes <blancapaloma@msn.com>; Kim Delfino <KDelfino@defenders.org>; Zwillinger, Rachel (Mail Contact) <rzwillinger@defenders.org>
Cc: Monique Briard (Monique.Briard@icf.com) <Monique.Briard@icf.com>; Jim Watson <jwatson@sitesproject.org>; Jim Lecky (jim.Lecky@icf.com) <jim.Lecky@icf.com>; Tull, Robert/SAC <Robert.Tull@jacobs.com>; Kevin Spesert <kspesert@sitesproject.org>; John Spranza (john.spranza@hdrinc.com) <john.spranza@hdrinc.com>; Grimaldo, Lenny <Lenny.Grimaldo@icf.com>
Subject: RE: Sites Meeting with NRDC, et al. - Follow-up

Thank you Doug and Chris for the refinements to the Action Items. Below is an updated list – text of items 3 and 4 have been updated and items 5 and 6 were added.

I've also attached a PDF of the presentation. Note that the PDF file changes templates and page numbers part way thru. We actually used 2 presentations that day and I combined them both into one PDF file. The actual PPT files are too large to send via email.

And thanks Doug for the four papers!

Revised Action Items:
1. Schedule a terrestrial focused meeting to focus on (among other topics): (1) terrestrial species and habitat impacts; (2) impacts to refuge and refuge easements; (3) impacts to giant garter snake; and (4) mitigation strategy for terrestrial species.
2. Look at possibility of releasing the Daily Model. (Note, I talked with the team on this late last week. We are scheduling an internal Sites discussion on the possibility of releasing the model along with the timing and what documentation should accompany a release. I should have more info on this in about 2 weeks.)
3. Can Sites add the Yolo Bypass to the floodplain modeling effort? (Note, we are already planning on adding the Sutter Bypass to the floodplain modeling effort, but had not planned on including Yolo. So this action item is focused on Yolo as we had not originally planned on including the Yolo Bypass in the floodplain modeling effort. Sutter will be included.)

4. Continue discussion on effects to salmonid survival throughout the Sacramento River and Delta. – Doug sent over papers on 10/9.
5. Email copy of PPT presentation from meeting. – Completed with this email.
6. Provide deliveries and current demands in the Glenn-Colusa and Tehama-Colusa canals downstream of a prospective Sites Reservoir -- what volume of water annually could Sites serve to current water users on the Glenn-Colusa and Tehama-Colusa canals?

We are working on the action items and I'll provide an update on status next week.

Ali

Alicia Forsythe | Environmental Planning and Permitting Manager | Sites Reservoir Project | 916.880.0676 | aforsythe@sitesproject.org | www.SitesProject.org

CONFIDENTIALITY NOTICE: This communication with its contents may contain confidential and/or legally privileged information. It is solely for the use of the intended recipient(s). Unauthorized interception, review, use or disclosure is prohibited and may violate applicable laws including the Electronic Communications Privacy Act. If you are not the intended recipient, please contact the sender and destroy all copies of the communication.

From: Obegi, Doug <dobegi@nrdc.org>
Sent: Wednesday, October 9, 2019 5:25 PM
To: Alicia Forsythe <aforsythe@sitesproject.org>; jon@baykeeper.org; Barry Nelson (barry@westernwaterstrategies.com) <barry@westernwaterstrategies.com>; greg@bayecotarium.org; Chris Shutes <blancapaloma@msn.com>; Kim Delfino <KDelfino@defenders.org>; Zwillinger, Rachel (Mail Contact) <rzwillinger@defenders.org>
Cc: Monique Briard (Monique.Briard@icf.com) <Monique.Briard@icf.com>; Jim Watson <jwatson@sitesproject.org>; Jim Lecky (jim.Lecky@icf.com) <jim.Lecky@icf.com>; Tull, Robert/SAC <Robert.Tull@jacobs.com>; Kevin Spesert <kspesert@sitesproject.org>; John Spranza (john.spranza@hdrinc.com) <john.spranza@hdrinc.com>; Grimaldo, Lenny <Lenny.Grimaldo@icf.com>
Subject: RE: Sites Meeting with NRDC, et al. - Follow-up

Hi Ali,

Thank you for following up on our prior meeting, and I agree that it makes sense to hold off on scheduling another meeting at this point.

Attached are 3 papers from 2018 and 2019 regarding Sacramento River flows and salmon survival, including the Friedman et al 2019 paper I mentioned in the meeting, as well as the Zeug et al 2014 paper showing a very strong correlation between Stanislaus River flows and juvenile salmon survival.

In terms of the action items from the meeting, I thought that item #4 was not limited to impacts downstream of Freeport (see Perry et al 2018), but instead was regarding impacts in the Sacramento River below the intakes as well as further downstream. I believe item #3 was broader floodplain inundation modeling, not limited to Yolo Bypass (?). In addition, would you please email us a copy of the PPT presentation that was shared at the meeting with us? That was on my list of action items from the meeting.

Thanks,
-d

From: Alicia Forsythe <aforsythe@sitesproject.org>
Sent: Wednesday, October 9, 2019 4:16 PM
To: jon@baykeeper.org; Barry Nelson (barry@westernwaterstrategies.com) <barry@westernwaterstrategies.com>;

greg@bayecotarium.org; Obegi, Doug <dobegi@nrdc.org>; Chris Shutes <blancapaloma@msn.com>; Kim Delfino <KDelfino@defenders.org>; Zwillinger, Rachel (Mail Contact) <rzwillinger@defenders.org>
Cc: Monique Briard (Monique.Briard@icf.com) <Monique.Briard@icf.com>; Jim Watson <jwatson@sitesproject.org>; Jim Lecky (jim.Lecky@icf.com) <jim.Lecky@icf.com>; Tull, Robert/SAC <Robert.Tull@jacobs.com>; Kevin Spesert <kspesert@sitesproject.org>; John Spranza (john.spranza@hdrinc.com) <john.spranza@hdrinc.com>; Grimaldo, Lenny <Lenny.Grimaldo@icf.com>
Subject: Sites Meeting with NRDC, et al. - Follow-up

All –

I sincerely apologize for the long delay in circling back to you all after our meeting. I know how important it is to follow up on our commitments and time just got away from me on this. My apologies.

I wanted to say thank you very much for taking the time to chat with us. Below are the action items that I recorded. Please let me know if I missed any or have mischaracterized any.

I also wanted to share that we continue with our discussions with CDFW on operating criteria (diversion criteria). We have also had an initial re-engagement meeting with NMFS and plan to meet with them and CDFW together in the coming weeks. NMFS is agreeable to us entering into a contract with them to run their winter-run life-cycle model for the Project. We are starting to work on the contracting effort and are hopeful that this wraps up about the time we have revised operational criteria for NMFS to run – likely late this calendar year.

We have also continued to work on refinements to the Project to right-size it for the current participants. We continue to consider a number of right-sizing actions, such as reducing the size of the reservoir along with no Delevan intake. Once the Authority identifies a preferred project, we will assess the changes and how best to move forward with the CEQA / NEPA compliance effort.

There is a lot in flux right now, so I'd like to hold off on meeting again. As we narrow down on a preferred project and new operations criteria, I would like to circle back and get your input on these. I am always happy to chat if you have questions or suggestions in the meantime.

Action Items:
1. Schedule a terrestrial focused meeting to focus on (among other topics): (1) terrestrial species and habitat impacts; (2) impacts to refuge and refuge easements; (3) impacts to giant garter snake; and (4) mitigation strategy for terrestrial species.
2. Look at possibility of releasing the Daily Model. (Note, I talked with the team on this late last week. We are scheduling an internal Sites discussion on the possibility of releasing the model along with the timing and what documentation should accompany a release. I should have more info on this in about 2 weeks.)
3. Can Sites add the Yolo Bypass to the floodplain modeling effort?
4. Continue discussion on effects to salmonid survival downstream of Freeport. Check Freedman (spelling?) 2019 paper and a recent paper from the Stanislaus River (Doug, I didn't catch the title of this one. Can you send it over or send the title?)

We will follow up on these action items in the coming weeks.

Please don't hesitate to contact me if you have any questions, thoughts or concerns on the Sites Project.

Ali

Alicia Forsythe | Environmental Planning and Permitting Manager | Sites Reservoir Project | 916.880.0676 | aforsythe@sitesproject.org | www.SitesProject.org

CONFIDENTIALITY NOTICE: This communication with its contents may contain confidential and/or legally privileged information. It is solely for the use of the intended recipient(s). Unauthorized interception, review, use or disclosure is prohibited and may violate applicable laws including the Electronic Communications Privacy Act. If you are not the intended recipient, please contact the sender and destroy all copies of the communication.

From: Frederiksen, Lee E. [Lee.Frederiksen@hdrinc.com]
Sent: 12/2/2019 1:34:24 PM
To: rkunde (rkunde@wrmwsd.com) [rkunde@wrmwsd.com]; Alicia Forsythe [aforsythe@sitesproject.org]; Jim Watson [jwatson@sitesproject.org]; Herrin, Jeff [jeff.herrin@aecom.com]
CC: William Vanderwaal [wvanderwaal@rd108.org]

Subject: Initial Planning for Value Planning Meeting December 16 or 17
Attachments: Sites Value Plannng Decision Support Framework_102314.xlsx; Version 2 of Sites Value Plannng Decision Support Framework_102314.xlsx
Location: 866 583 7984 code 439 128 74

Start: 12/2/2019 1:30:00 PM
End: 12/2/2019 2:00:00 PM
Show Time As: Tentative

Recurrence: (none)

Agenda:

1. Confirm Steps Necessary to Develop Project Description

a. Confirm project objectives and constraints

b. Establish decision support framework (appraisal level and feasibility level, example attached for discussion purposes)

c. Complete formulation and appraisal level evaluation of alternatives through value planning effort

d. Complete appraisal level screening of alternatives and select up to three for further study using appraisal level decision support framework

e. Complete feasibility level evaluation of selected alternatives (engineering, operations, environmental, permitting)

f. Complete feasibility level screening of alternatives to identify selected project

g. Prepare project description

2. Confirm Process for Collaborating and Documenting Decisions/Approvals for the Above Steps

3. Confirm Purpose, Attendees, Format, and Location of December 16th or 17th Meeting

4. Other

5.

From: Herrin, Jeff [jeff.herrin@aecom.com]
Sent: 12/2/2019 2:02:21 PM
To: Frederiksen, Lee E. [Lee.Frederiksen@hdrinc.com]
CC: Jim Watson [jwatson@sitesproject.org]; Rob Kunde [rkunde@wrmwsd.com]; Alicia Forsythe [aforsythe@sitesproject.org]; William Vanderwaal [wvanderwaal@rd108.org]
Subject: RE: Initial Planning for Value Planning Meeting December 16 or 17

I got an invite at 1:38 for a meeting that started at 1:30. Looks like it's over now. Let me know if you need me to follow up on anything.

Jeff Herrin
Water Resources Planner, Water Business Unit, Sacramento, CA
D +1-916-679-2084 IPT 264-679-2084
M +1-916-432-0956
Jeff.Herrin@aecom.com

AECOM
2020 L Street
Suite 400
Sacramento, CA 95811, United States
T +1-916-414-5800
aecom.com

Built to deliver a better world

LinkedIn Twitter Facebook Instagram

-----Original Appointment-----
From: Frederiksen, Lee E. <Lee.Frederiksen@hdrinc.com>
Sent: Monday, December 02, 2019 1:38 PM
To: Frederiksen, Lee E.; rkunde (rkunde@wrmwsd.com); Alicia Forsythe; Jim Watson (jwatson@sitesproject.org); Herrin, Jeff
Cc: William Vanderwaal
Subject: Initial Planning for Value Planning Meeting December 16 or 17
When: Monday, December 02, 2019 1:30 PM-2:00 PM (UTC-08:00) Pacific Time (US & Canada).
Where: 866 583 7984 code 439 128 74

Agenda:

1. Confirm Steps Necessary to Develop Project Description

a. Confirm project objectives and constraints

b. Establish decision support framework (appraisal level and feasibility level, example attached for discussion purposes)

c. Complete formulation and appraisal level evaluation of alternatives through value planning effort

d. Complete appraisal level screening of alternatives and select up to three for further study using appraisal level decision support framework

e. Complete feasibility level evaluation of selected alternatives (engineering, operations, environmental, permitting)

f. Complete feasibility level screening of alternatives to identify selected project

g. Prepare project description

2. Confirm Process for Collaborating and Documenting Decisions/Approvals for the Above Steps

3. Confirm Purpose, Attendees, Format, and Location of December 16th or 17th Meeting

4. Other

5.

PPIC WATER POLICY CENTER

Policy Recommendations

DECEMBER 2019

A Path Forward for California's Freshwater Ecosystems

California's freshwater ecosystems are changing in response to water and land use, pollution, introduction of non-native species, and a changing climate. Native biodiversity is declining as a result, with numerous species now protected by state and federal Endangered Species Acts (ESAs) and many more likely to need protection in the future. These changes threaten biodiversity and the many benefits that healthy ecosystems provide, including clean and reliable water supplies, hydropower, recreation, and flood risk reduction.

For the past 40 years, state and federal ESAs have played a prominent role in managing the state's freshwater ecosystems. While this approach has prevented extinctions, it also places an emphasis on reducing harm to listed species, rather than improving overall ecosystem condition necessary to recover their populations. And the ESAs are not forward-looking enough to respond to change and reduce future species listings. To protect native species and the many benefits that Californians derive from freshwater ecosystems, the state needs a new approach. Ecosystem-based management emphasizes the simultaneous management of water, land, and species to improve ecosystem condition for native biodiversity and human uses. It shifts the management emphasis to the social, economic, and environmental benefits that come from healthy ecosystems, rather than narrowly focusing on mitigating harm to protected species. It is consistent with the ESAs and other state and federal laws.

Reform 1: Promote inclusive planning and governance.

Ecosystem-based management relies on collaborative planning and governance processes. These should:

- **Identify the desired ecosystem condition.** Based on extensive stakeholder and expert engagement, the desired ecosystem condition should be described along with the multiple social, economic, and environmental benefits. This narrative is the vision for the effort and the basis for setting priorities.
- **Establish metrics.** Metrics and performance measures will clarify management objectives and help secure permits for actions.
- **Provide strong scientific support.** This is essential to guide plan implementation, test hypotheses, monitor performance, and resolve technical or scientific disputes.
- **Set up transparent governance.** Because ecosystem-based management requires extensive collaboration across agencies, new governance structures will be needed to clarify responsibilities and align actions. Where possible, these structures should include stakeholder and water user groups.
- **Ensure reliable funding.** Adequate funding must be identified for all phases of implementation, including investments, ongoing maintenance, science and monitoring, and administration.

Reform 2: Employ multiple ecosystem management tools.

Ecosystem-based management requires a broad, coordinated set of actions that go beyond traditional project- or agency-specific approaches. Key actions include:

- **Establish ecosystem water budgets.** Ecosystem-based management is helped by having a predictable amount of water that can be managed flexibly. Ecosystem water budgets—similar to water rights for the environment—allow managers to allocate, trade, and store water to improve ecosystem condition. These budgets create certainty for water users and environmental managers alike, and can enhance cooperation.

Draft_0026944

- **Employ functional flows.** Ecosystem water budgets can be used to reintroduce seasonal flow variability—such as winter pulses, spring snowmelt, and summer low flows—to support important physical, chemical, and biological processes. To make the most efficient use of these "functional flows," it will often be necessary to modify channels and their surrounding landscapes to reconnect flows to marshes, floodplains, and wetlands.
- **Manage flow and quality together.** Water quality characteristics—including temperature, salinity, nutrients, and other contaminants—change with variation in flow. There are many ways to improve water quality, including operating reservoirs to preserve cold water; improving treatment of wastewater; reducing runoff of salts, pesticides, fertilizers, and other contaminants; and re-establishing wetlands and riparian forests to receive and reduce contaminant runoff.
- **Manage native and non-native species.** California's freshwater ecosystems support numerous non-native plants and animals that are "invasive"—those that have altered conditions to the detriment of ecosystems and human uses. Management of invasive species in conjunction with other actions will be integral to achieving the desired ecosystem condition. Native species will also require indefinite and sustained management interventions, including catch regulations, hatcheries, species relocations, and assisted migrations to avoid extinctions and recover populations.
- **Manage at the appropriate scale.** Actions taken upstream—such as species management, land use change, water diversions, storage, or water quality management—affect ecosystems downstream. For this reason, the watershed is often the optimal scale for planning and implementation. This allows for managing parts of the ecosystem for different objectives.

Reform 3: Encourage sustainable watershed management plans.

We recommend that California adopt the principles and practices of freshwater ecosystem-based management through development of sustainable watershed management plans. Such plans build on numerous efforts already underway in the state. The State Water Board, with its water quality and water right authorities, would take the lead in setting the criteria for and approving these plans. Every effort should be made to:

- **Align agency actions.** There are numerous planning and permitting agencies involved in water and species management, often with conflicting mandates. The plans should be used to align agency objectives in order to coordinate and streamline permitting and review.
- **Promote comprehensive agreements.** The plans should, where possible, be supported by binding comprehensive agreements between regulatory agencies, water users, and stakeholder communities.
- **Set timelines and backstops.** The board should set timelines for development and implementation of plans. It also should establish regulatory backstops if comprehensive agreements cannot be achieved.
- **Update water quality control plans.** If a plan meets the criteria set forth by the board, it should be adopted as the water quality control plan for a given watershed. Plans can be periodically updated to adapt to changing conditions and new information.
- **Incentivize or mandate plans.** The legislature should consider whether to incentivize or require the development of watershed plans. For the latter, the Sustainable Groundwater Management Act—with its self-organized groundwater sustainability agencies and required groundwater sustainability plans for priority basins—may serve as a model.

Excerpted from *A Path Forward for California's Freshwater Ecosystems* by Jeffrey Mount, Brian Gray, et al. PPIC, 2019.
Supported with funding from the S. D. Bechtel, Jr. Foundation and the funders of the PPIC Calfrost Ecosystem Fellowship.

Draft_0026945

From: Dalton, Steven C [sdalton@usbr.gov]
Sent: 11/22/2019 4:08:20 PM
To: Jim Watson [jwatson@sitesproject.org]; Kevin Spesert [kspesert@sitesproject.org]; Simpson, Bryan K [bksimpson@usbr.gov]; Lassell, Susan [Susan.Lassell@icf.com]; Mongano, Gregory S [GMongano@usbr.gov]; Gillette, David R [DGillette@usbr.gov]; Dietl, Michael L [mdietl@usbr.gov]; Duke, Wylie (Chris) C [WDuke@usbr.gov]; Heydinger, Erin [Erin.Heydinger@hdrinc.com]; Howe, John [John.Howe@icf.com]; Herrin, Jeff [jeff.herrin@aecom.com]; Springer, Scott W [SSpringer@usbr.gov]; Tannourji, Danielle [Danielle.Tannourji@icf.com]; Arsenijevic, Jelica [Jelica.Arsenijevic@hdrinc.com]; Boling, Robert M. [Robert.Boling@hdrinc.com]; Vieira, Madalyn [Madalyn.Vieira@hdrinc.com]; Colby, Kevin D [KColby@usbr.gov]; Conner McDonald [c.mcdonald@benderrosenthal.com]; jalexander@fugro.com; Alicia Forsythe [aforsythe@sitesproject.org]; Frederiksen, Lee E. [Lee.Frederiksen@hdrinc.com]; Briard, Monique [Monique.Briard@icf.com]; Christopher [Christopher.Krivanec@hdrinc.com]; dobill@colusa-nsn.gov; Holmes, Bryan W [bholmes@usbr.gov]; Wagner, Brian C [BWagner@usbr.gov]; Sutley, Garrett H [ghsutley@usbr.gov]; Rittgers, Justin B [jrittgers@usbr.gov]; Kantz, Bryce [Bryce.Kantz@icf.com]; pmorris777@gmail.com; Cordova, Daniel A [dcordova@usbr.gov]; Rinehart, Robert V [rrinehart@usbr.gov]; Chatfield, Tyler L [tchatfield@usbr.gov]; Davies, Russell D [rdavies@usbr.gov]; rdock@colusa-nsn.gov; Oscar Serrano [oserrano@colusa-nsn.gov]
Subject: Sites - Geotech Drill Shift 4 summary
Attachments: BO Measure 7.pdf; Sites - Drill Shift 4 - Nov 13-20, 2019.pdf

Hi all,

We completed drill shift 4 on Wednesday. As with the previous summary, the intent of this email is to summarize what we completed on the shift and what we plan on completing for the next shift. This email is for logistics and coordination, so I won't summarize geotechnical findings. As always, the projected schedule will be updated and included with each subsequent shift summary. I will continue to upload draft geotechnical data (stick logs, SPT blow counts, sample testing results, etc.) to HDR's OneDrive. I placed a tracking sheet in the OneDrive to show what and when data is uploaded. Please contact Jelica if you need access to the OneDrive. A reminder that uploaded data has not been peer reviewed, so it is considered DRAFT and is subject to change.

ICF.....With the rain season upon us, there are special requirements listed in the BO that we need to adhere to. Dan Cordova (Reclamation's lead biologist for this project) specifically mentioned Conservation Measure 7 (California Red-Legged Frog). I have several questions/items that relate to the requirements. Please see the attached PDF. Dan requested ICF's lead biologist call him to discuss, and Jelica is working on scheduling a call. Dan's only availability before the next drill shift will be the afternoon of Dec. 2 or 6. Dan and USFWS are tentatively planning a site visit at Fletcher 1 on Dec. 11/12. Heads up to all that in accordance with Measure 7, all work will be shut down if a "rain event" occurs. This will impact schedule and cost. I attached the Measure 7 from the BO.

Field monitors.....I will be the on site geologist for the beginning of the next drill shift. I'll meet you at 7:15 on Dec. 8 (Sunday) at the white gate off of Maxwell Road at the start of the gravel road that leads to the access road for TCID's canal and Funks Dam. I've included maps and street view photos of the meet up location. I am not able to leave that gate open, so I'm hoping all will arrive on time.

Please forward this to anyone I inadvertently missed. Thanks and let me know if you have questions.

Steve Dalton, P.G.
Geologist

Bureau of Reclamation

Interior Region 10 - California-Great Basin
Geology Branch, MP-230
2800 Cottage Way
Sacramento, CA 95825

office: (916) 978-5234
cell: (916) 431-8314

BUREAU OF
RECLAMATION

all suitable habitat potentially supporting vernal pool branchiopods or drainage features feeding or draining these areas. The buffers will be identified with flagging or high-visibility fencing as well as signs identifying it as off limits and protected habitat.

- Geophysical activities will not take place in suitable vernal pool branchiopod habitat. All geophysical lines will avoid going through pools that represent potential suitable habitat for these species.
- The qualified biologist will ensure that the contractor complies with these avoidance buffers.
- To avoid creating wind turbulence in close proximity to vernal pool branchiopod habitat, helicopter pilots will fly at an altitude high enough to avoid disturbing surface soils in branchiopod habitat during flights between staging areas and bore locations. A map of vernal pool branchiopod habitat will be provided to the pilot. The qualified biologist will identify the locations for avoidance during the pre-mobilization surveys and ensure that the air crew is informed of necessary flight paths and elevation restrictions.

Conservation Measure 7: California Red-Legged Frog

If work needs to be conducted within suitable California red-legged frog upland habitat or dispersal habitat (areas within 1 mile of aquatic breeding habitat during the rainy season, generally October 15 to March 31) the following measures will be implemented under the guidance of a qualified biologist at the following work areas: Saddle Dam Owens 1, Saddle Dam Owens 2, Saddle Dam LaGrande, Glenn Quarry 1, I/O Tower, Upstream Portal, SPGP1, SPGP2, Fletcher 3, Fletcher 4, Fletcher 5, TRRP 1, TRRP 2, TRRP 3, TRRP 4, TRRP 5, Geophysics 1, SPGP Geophysics, and Fletcher Geophysics.

- A qualified biologist will be present during all geotechnical and geophysical investigation activities in California red-legged frog upland habitat and dispersal habitat (if work occurs during rainy season, generally October 15 to March 31 when frogs are dispersing) to implement avoidance and minimize measures for the California red-legged frog. The biologist will survey work areas for frogs and for rodent burrows in potential upland habitat before equipment is moved in and work begins. Areas with higher potential for California red-legged frog, such as areas with a high density of burrows, will be flagged for avoidance. The biologist will work with the geotechnical crew and geologists to align work such that the minimum number of burrows is affected.
- The qualified biologist will inspect all equipment left in a work area overnight to ensure that no frogs are present before work begins. Any California red-legged frogs found within a work area will be avoided and allowed to disperse on their own accord.
- The qualified biologist will ensure that the work area along the geophysical lines remains clear of frogs and other wildlife during the ERI. The biological monitor will immediately notify the operator to shut down the ERI equipment if a frog, or other special-status wildlife species, is seen moving into the work area. Testing will resume once the frog has moved out of the work area on its own.
- No work will occur in the aforementioned work areas during or 24 hours following a rain event. Following a rain event, no work shall proceed until a qualified biologist has inspected the work areas and verified that there are no California red-legged frogs present. A rain event is to be considered precipitation of at least one-quarter inch within a 24-hour period.

- Activities within suitable upland/dispersal habitat will occur during daylight hours (from 30 minutes before sunrise to 30 minutes after sunset). Except when necessary for driver or pedestrian safety, artificial lighting at a worksite will be prohibited during the hours of darkness when working in suitable California red-legged frog upland/dispersal habitat.
- To avoid and minimize affecting California red-legged frogs, helicopter pilots will fly at an altitude high enough to minimize the effects from noise. A map of aquatic habitat will be provided to the pilot to coordinate a flight path which avoids aquatic habitat. The qualified biologist will ensure that the pilot adheres to this measure.
- If work in suitable California-red legged frog dispersal habitat occurs during the rainy season, generally October 15 to March 31, and lasts for more than 1 day, exclusion fencing will be installed around the work area. Fencing will remain within the Action Area at any location and allow enough room for the movement of equipment and personnel. The fencing will be installed to a depth of 6 inches and be at least 36 inches above grade. The contractor will avoid placing fencing on top of ground squirrel burrows. A qualified biologist will inspect the fencing daily for the presence of California-red legged frogs.

Conservation Measure 8: Western Yellow-Billed Cuckoo

Project activities will be sited outside of western yellow-billed cuckoo potential migratory habitat (riparian) and suitable breeding habitat [patches of riparian habitat at least 100 meters wide and 15 hectares or larger (Dettling *et al.* 2015)]. To avoid and minimize potential adverse effects to western yellow-billed cuckoo, the following measures will be implemented for those locations within 1,200 feet of potential breeding habitat, which would include Fish 1through Fish 4, Diversion MW, CPT-20, Diversion 45 Slug/Bail Test, and Diversion MID.

- In-water borings Fish 1 – Fish 4 will be conducted between September 1 and October 15 to avoid the western yellow-billed cuckoo nesting season.
- The following measures will be implemented.
    - Locate, store, and maintain equipment as far as possible from suitable western yellow-billed cuckoo habitat.
    - Minimize noise.
    - Screen all lights and direct them down toward work activities away from cuckoo habitat. A Qualified biologist will ensure that lights are properly directed at all times.
    - Operate portable lights at the lowest allowable wattage and height.

Concurrence

The Service concurs with Reclamation's determination that the proposed project may affect, but is not likely to adversely affect, the Keck's checkermallow, conservancy fairy shrimp, vernal pool tadpole shrimp, vernal pool fairy shrimp, valley elderberry longhorn beetle (VELB), California red-legged frog (CRLF), California tiger salamander (CTS), and western yellow-billed cuckoo. Based on Reclamation's commitment to adhere to the avoidance and minimization measures, the temporary nature of the proposed project, and the low likelihood of the species' presence within the proposed work sites, it is the Service's opinion that the proposed project will have a discountable effect on these species.

Sites Reservoir
Geotechnical Drill Shift 4 Summary - *logistics…non-technical*
Nov. 13 to 20, 2019

Biology, Archaeology, and Tribal Sensitivity Training

- None

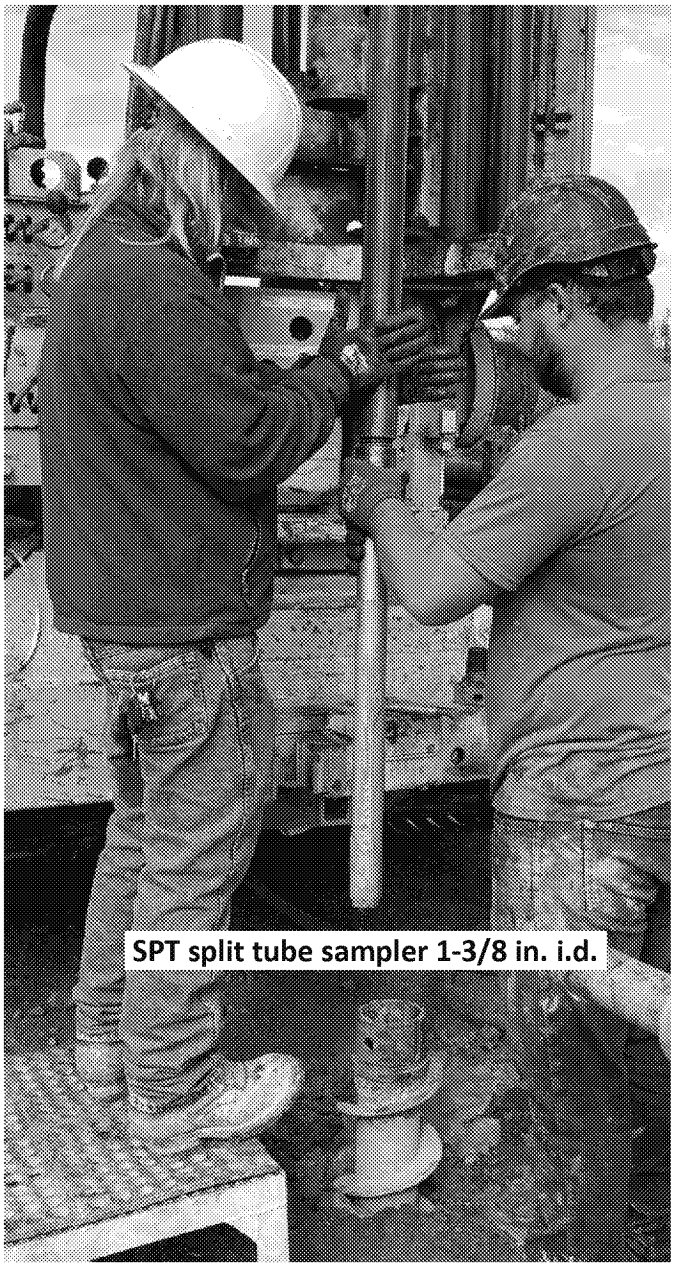
Terminal Regulating Reservoir (TRR) – east shoulder of McDermott Road, on Colusa County property

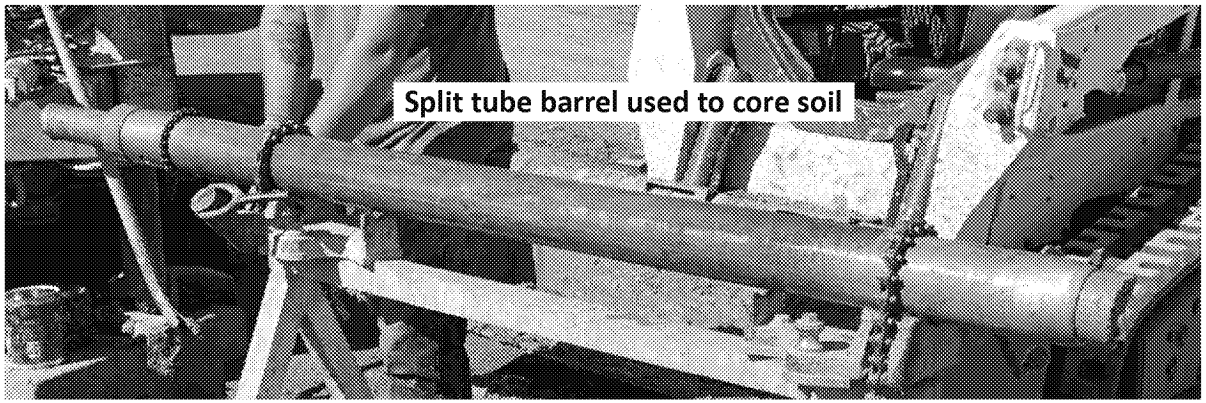
- Resumed drilling of SPT hole "Delevan Pipeline 1" (Reclamation designation DH-19-DP1-A) at a depth of about 61 feet. Completed hole to the target depth of 100 ft. Soil samples were submitted to Reclamation's Willows, CA lab for physical properties testing (Nov. 13 to 15)
- Advanced a companion hole (DH-19-DP1-B) about 5 feet south of the SPT hole. Collected six intact (undisturbed) samples at select intervals to a depth of about 49 ft. Samples were shipped to Reclamation's Denver, CA lab for physical properties testing. Samples from intact sample hole TRR PGP (DH-19-TRRPGP-B) were also shipped. (Nov. 16 to 19)

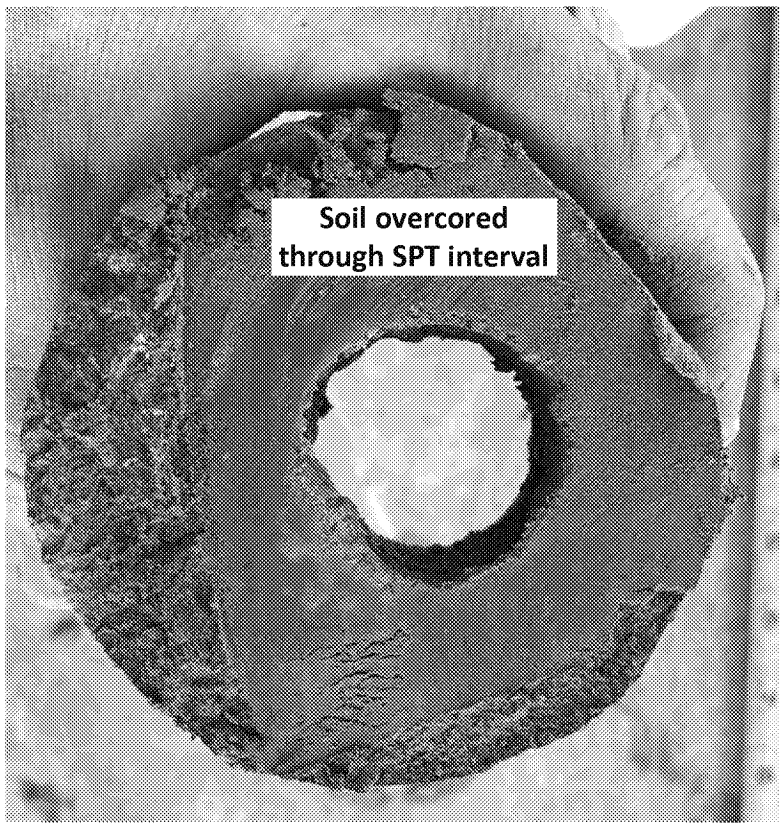
Funks Dam Staging Area

- Moved drill rig, support vehicles, and equipment to the staging area located on the south (right) abutment of the dam (Nov. 20)

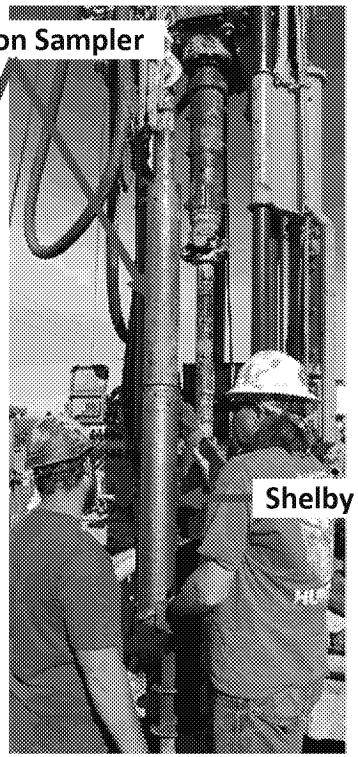
View looking north at drill hole Delevan Pipeline 1 (Reclamation designation DH-19-DP1-A). Reclamation collected soil core and performed Standard Penetration Tests (SPT) to the target depth of 100 feet. A companion drill hole (DH-19-DP1-B) was advanced about 5 feet south, in which intact (undisturbed) samples were collected at select intervals to a depth of 49 feet. Photo: Steve Dalton, 11/14/2019

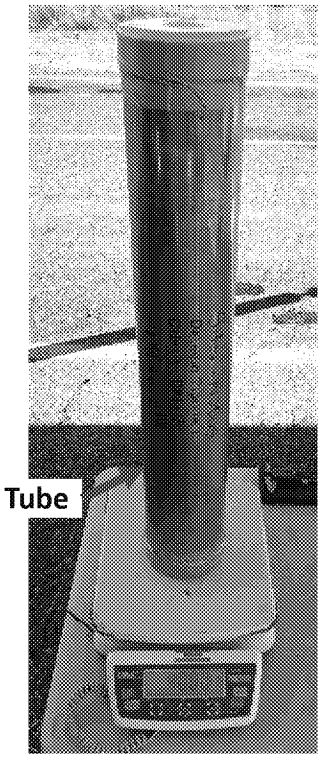


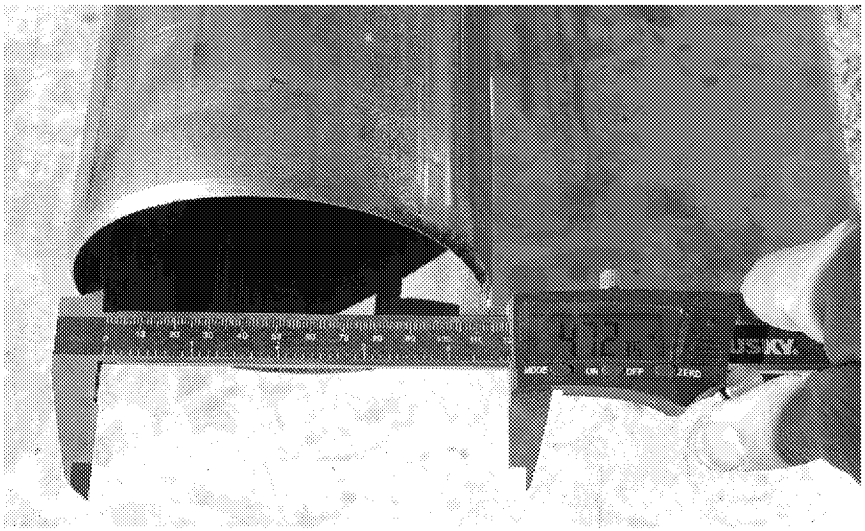


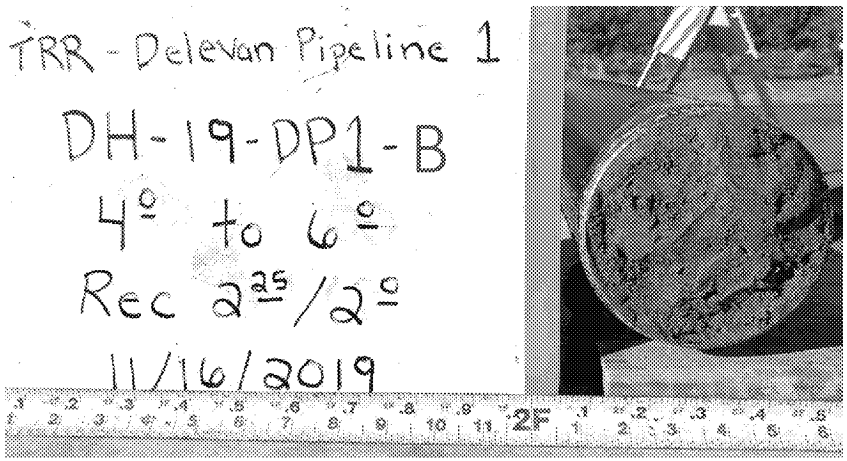


Continuous Standard Penetration Tests (SPT) were performed to a depth of 100 feet in DH-19-DP1-A. Continuous SPT consisted of advancing the SPT sampler 1.5 feet using an automatic hammer (140 lb. & 30 in. drop), then overcoring the SPT interval and 1 foot below SPT interval to the top of next SPT interval. This process was repeated to the bottom of the drill hole. Photos: Steve Dalton, 10/19/2019









Intact (undisturbed) samples were collected in Shelby tubes at select intervals in DH-19-DP1-B. Shelby tubes were advanced by a direct push method above groundwater, and with a GUS piston sampler below groundwater. Samples and associated equipment (caps, plugs, duct tape) were weighed and measured to allow for calculating wet soil densities. Moisture samples were collected from the ends of each sample to allow for calculating dry densities. Samples were packaged and shipped to Reclamation's lab in Denver, CO for physical properties testing.
Photos: Steve Dalton, 11/16-19/2019

Next Drill Shift (Shift 5)
Dec. 8 to 18

Biology, Archaeology, and Tribal Sensitivity Training

- New drill crew member (Taylor Graves). (Dec. 8)

Fletcher 1 – gravel road on west side of Funks Reservoir, on Reclamation property

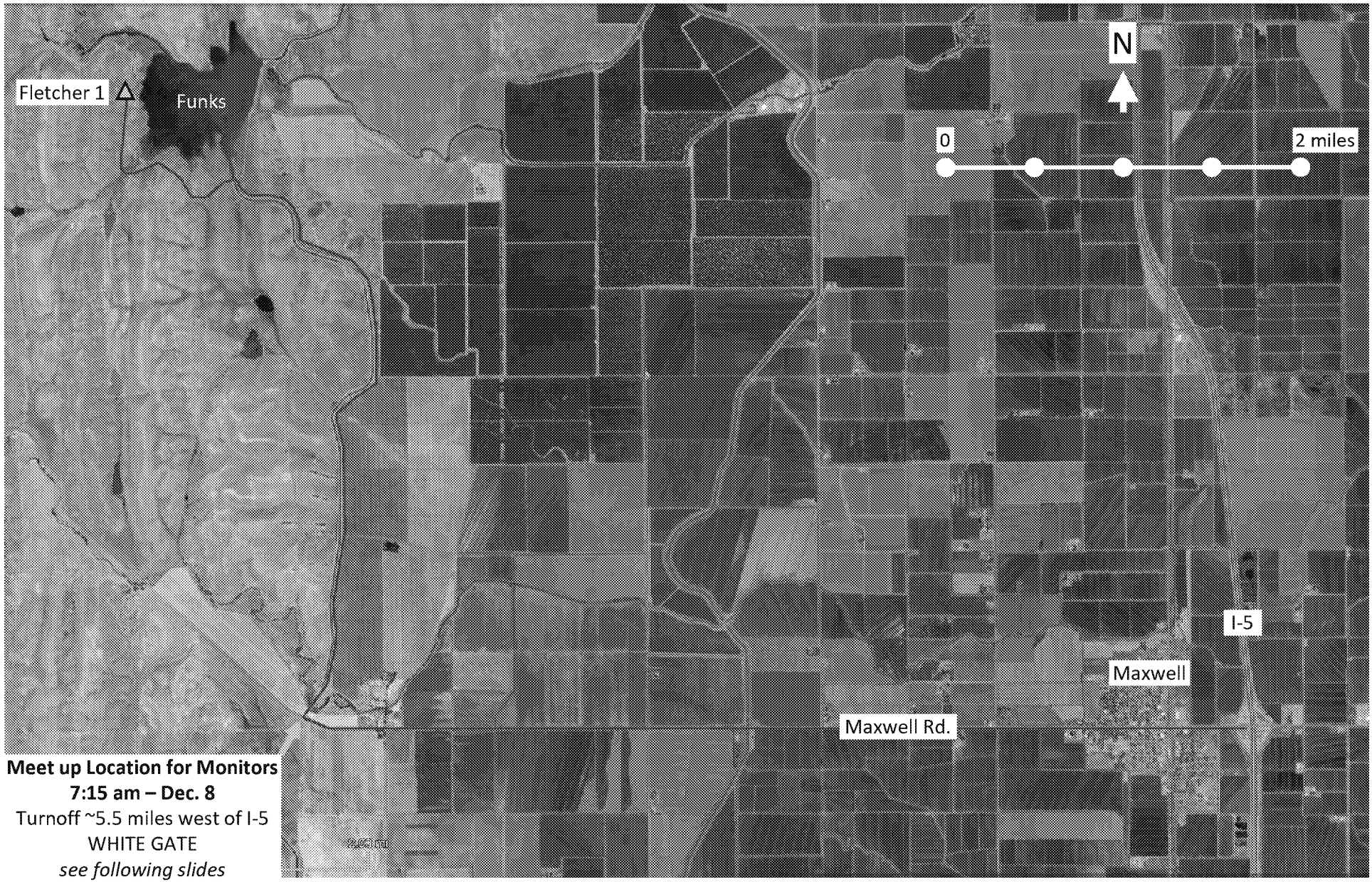
- Dec. 8: In accordance with Conservation Measure 7 (California Red-Legged Frog) of the USFWS Biological Opinion (BO), an exclusion fence must be installed around the work area and any items that are left overnight in the immediate vicinity of the work area (drill rig, support vehicles, and equipment). Who is responsible to install this fence? It is my understanding that the fence does not have to be buried 6-inches if located on the road. The BO does not indicate this. Need a clear understanding of fence requirements.
  - Due to the need to bury the fence 6-inches.... Assume cultural and tribal monitors are needed.
  - A biologist must inspect the fencing daily for the presence of frogs.
  - **All work will cease once ¼-inch of rain occurs within a 24 hour period. Work cannot resume until a minimum of 24 hours following a rain event, and after a biologist verifies frogs are not present in the work area. Rain is almost certainly going to occur during one or more of the remaining drill shifts. Compliance with this requirement will result in delays to the proposed schedule, and will increase cost (i.e.. standby time, travel, etc).** A plan needs to be developed: who is responsible for monitoring rain totals, what source will be used to quantify rain totals, who notifies the field crew that work must cease, etc.
- Dec. 9 & 10: Drill and perform SPT in soil (estimated to be up to 25 feet deep).
  - Cultural and tribal monitors are needed while drilling in soil.
  - Measure 7 indicates a biologist will be present during all geotechnical activities in California Red-Legged Frog habitat during the rainy season. Is full time biological monitoring required?
- Dec. 11 to 15: Core 130 feet of bedrock with HQ3 (triple tube system), perform packer testing (stage down), install casing advancer (after coring to target depth), flush hole with water (required for downhole geophysics/optical televiewer). Archaeology and tribal monitoring not anticipated while drilling in bedrock.

Fletcher 2 – gravel road on west side of Funks Reservoir, on Reclamation property

- Dec. 16 & 17: Drill and perform SPT in soil (estimated to be up to 25 feet deep).
  - Cultural and tribal monitors are needed while drilling in soil.
  - Measure 7 indicates a biologist will be present during all geotechnical activities in California Red-Legged Frog habitat during the rainy season. Is full time biological monitoring required?

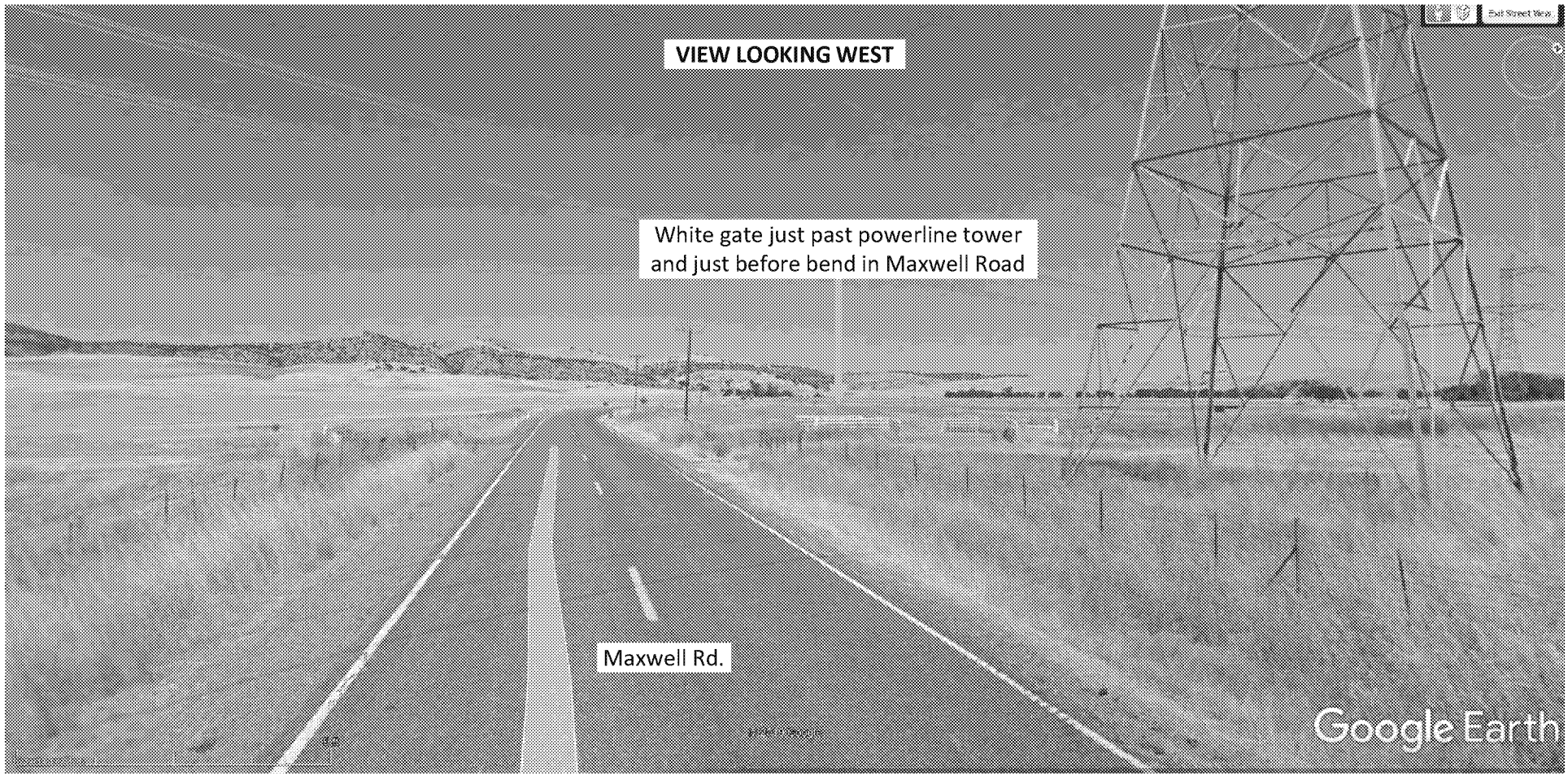
SITES
"Focused" FER
W.I.P. / Tentative Drilling Schedule
11/22/2019

	Delevan Refuge north access road	**MID** Diversion 45	**GCID** Terminal Reservoir	**Colusa County Public Works** Terminal Reservoir / Pipeline	**Fletcher Dam** downstream of proposed dam axis
Drill Shift 1 **Oct. 2-9, 2019**	Oct. 2-6: CPT-1 to CPT-18 Oct. 3-5: Pipeline Excelsior Slug Test Hole Oct. 5-7: Pipeline MID Slug Test Hole	Oct. 7: CPT-20 Oct. 7-9: Diversion 45 Slug Test Hole	--	--	--
Oct. 7-8, 2019	Surface Geophysics	--	--	--	--
Oct. 9, 2019	--	--	--	--	Surface Geophysics (Reclamation property)
			* 1 drill rig/crew for Drill Shifts 2 through 8		
Drill Shift 2 **Oct. 17-23, 2019**	--	--	Oct. 17-23: TRR PGP (SPT hole)	--	--
Drill Shift 3 **Oct. 30-Nov 6, 2019**	--	--	--	Oct. 30 to Nov. 2: TRR PGP (undisturbed sample hole) Nov. 3-6: Delevan Pipeline 1 (SPT hole) *partially complete*	--
Drill Shift 4 **Nov 13-20, 2019**	--	--	--	--	Nov. 13-15: Delevan Pipeline 1 (SPT hole) *finish drilling* Nov. 16-19: Delevan Pipeline 1 (undisturbed sample hole) Nov. 20: Mobilize drill rig and equipment to Funks Dam
Thanksgiving Break **Nov. 25-29, 2019**					
Drill Crew Training **Dec. 2-6, 2019**					
Drill Shift 5 **Dec. 8-18, 2019**	--	--	--	--	Dec. 8-15: Fletcher 1: Auger (SPT), rock core (HQ3/packer tests), casing advancer, flush hole Dec. 16-17: Fletcher 2: Auger (SPT) Dec. 18: Fletcher 1: Move rig back onto hole
Holiday Break **Dec. 23-Jan. 3, 2019**					
Drill Crew Training **Jan. 6-17, 2019**					
Drill Shift 6 **Jan. 22-29, 2020**	--	--	--	--	Jan. 22-23: Fletcher 1: Downhole geophysics Jan. 24-25: Backfill/grout Jan. 26-29: Fletcher 2: Rock core (HQ3/packer tests) Perform surface geophysics (siesmic refraction) short segment on north side of creek???
Drill Shift 7 **Feb. 5-12, 2020**	--	--	--	--	Feb. 5-6: Fletcher 2: Rock core (HQ3/packer tests), casing advancer, flush hole Feb. 7-8: Fletcher 2: Downhole geophysics Feb 9-10: Backfill/grout Feb 11-12: Fletcher 1a (intact soil sample hole) Set up on hole, switch rig over for auger drilling.
Drill Shift 8 **Feb. 19-26, 2020**	--	--	--	--	Feb. 19-20: Fletcher 1a: Intact sampling and backfill hole Feb. 21-22: Fletcher 2a: Intact sampling and backfill hole Feb 23-26: Ship intact samples and demob from Funks staging area. Deliver core to location designated by Sites (Oroville?).

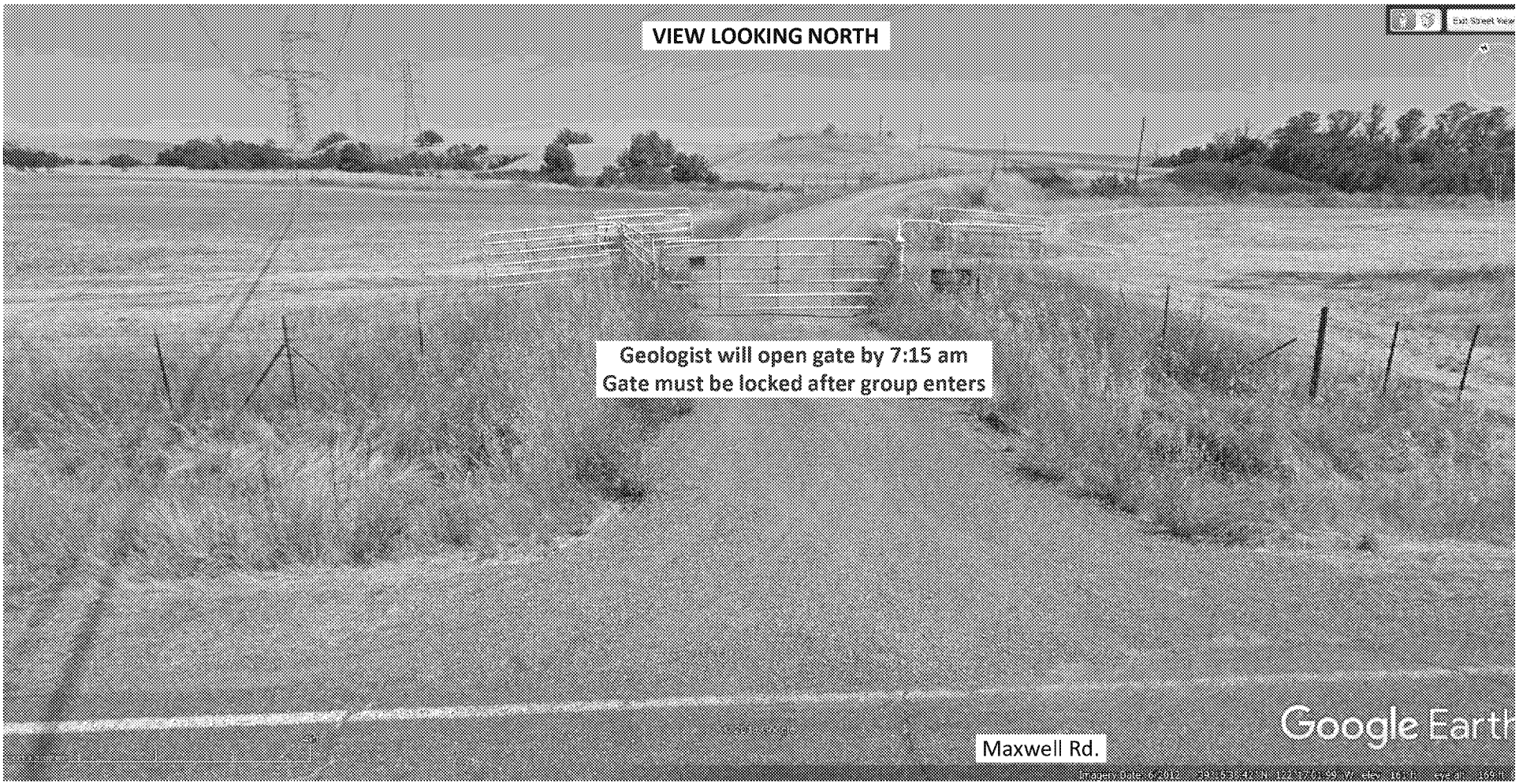


Meet up Location for Monitors
7:15 am – Dec. 8
Turnoff ~5.5 miles west of I-5
WHITE GATE
see following slides

Meet up Location for Monitors
7:15 am – Dec. 8
Turnoff ~5.5 miles west of I-5



Meet up Location for Monitors
7:15 am – Dec. 8
Turnoff ~5.5 miles west of I-5



Fletcher 1 Drill Location
Looking South

From: Jim Watson [jwatson@sitesproject.org]
Sent: 12/5/2019 7:55:44 AM
To: Alicia Forsythe [aforsythe@sitesproject.org]
CC: Kevin Spesert [kspesert@sitesproject.org]; Sara M. Katz [SKatz@katzandassociates.com]
Subject: Re: December 5th panel

I'll limit my input to Q2, but given time constraint, don't hold for my input. Thoughts as follows:

Since our Prop 1 application is based on ecosystem water budget (for a number of reasons that are not limited to ecosystem) we strongly support PPIC concept as a new tool in the toolbox that we expect has tremendous potential for the environment (in our highly altered system).

Since we are still writing the rules for Sites, there are additional opportunities that include the potential for exchanges between investors. With State managing their storage account/investment, this could lead to mutually agreeable exchanges between water supply & environment. This could create additional flexibility to put scarce water to highest and best use.

Hope these are helpful.

Safe travels & hit a grand slam at the conference!

From J. Watson's mobile device

On Dec 5, 2019, at 7:42 AM, Alicia Forsythe <aforsythe@sitesproject.org> wrote:

I just noticed this email below as I'm working to prep for today's meeting. I am headed back to Sacramento this morning and will be drafting talking points on the flight and once I arrive. I'll send those over for your quick review before the noon meeting.

If you have any thoughts on messaging, please feel free to share. ;-)

Ali

Alicia Forsythe | Environmental Planning and Permitting Manager | Sites Reservoir Project | 916.880.0676 | aforsythe@sitesproject.org | www.SitesProject.org

---

From: Letitia Grenier <letitia@sfei.org>
Sent: Monday, December 2, 2019 1:49:05 PM
To: Jeffrey Mount <mount.jeffrey@gmail.com>
Cc: Heather Dyer <heatherd@sbvmwd.com>; Alicia Forsythe <aforsythe@sitesproject.org>; Lester Snow <lester.snow@gmail.com>; Carlos Torres <ctorres@ppic.org>; Ellen Hanak <hanak@ppic.org>; Lori Pottinger <pottinger@ppic.org>
Subject: Re: December 5th panel

Hi All,

Hope you had a good holiday weekend. I'm looking forward to our panel on Thursday!

Read below for a sketched out plan of questions for the panel. Please feel free to edit what I've written, so I can see how I might tweak these to better get at your insights. Also, let me know if you'd like to coordinate by phone.

As Jeff wrote, I will plan on me asking two rounds of questions before opening the discussion up to the audience.

Q1: General gist = how does your experience inform ecosystem-based management approaches? Specific questions for individuals, in order:

Ali

- How does the San Joaquin River restoration inform your view of EBM? How would EBM have changed management or improved outcomes?

Lester

- How does taking down dams fit in with EBM? Are there net gains across all water end users from restoring natural flows?

Heather

- Can you give examples of better synergies or reduced impacts from trade-offs among water use by using a quasi-EBM approach in the Upper Santa Ana Watershed HCP?

Q2: General gist = What is the one thing you would recommend to move toward implementing EBM? Specific questions for individuals, in order:

Heather

- How could others implement EBM in the urban setting? How would you improve on the HCP approach?

Ali

- How will the Sites Reservoir balance multiple objectives? Are ecosystem water budgets a good currency for EBM?

Lester

- Given your knowledge of state, federal and local entities involved in water management and regulation, can you recommend an institutional structure / approach to collaboration that could make EBM work in watersheds, as proposed in the *A Path Forward for California's Freshwater Ecosystems*?

Thanks,
Letitia

J. Letitia Grenier, Ph.D.

Resilient Landscapes Program Director

San Francisco Estuary Institute | Aquatic Science Center

4911 Central Ave., Richmond, CA 94804

510.746.7342

On Tue, Nov 26, 2019 at 1:07 PM Letitia Grenier <letitia@sfei.org> wrote:

Hi All,

Thanks for being on this panel -- what a fantastic group with such diverse experience! I am really looking forward to hearing your thoughts and seeing what questions we get from the audience. I will be thinking a bit more about order and specific questions and get back to you soon.

In the meantime, I'm hoping we can schedule a call to coordinate a bit. I have a very open schedule next Monday and Tuesday. Please let me know your availability for those two days, and we'll take it from there (we can do separate calls if need be).

Best,
Letitia

J. Letitia Grenier, Ph.D.
Resilient Landscapes Program Director
San Francisco Estuary Institute | Aquatic Science Center
4911 Central Ave., Richmond, CA 94804
510.746.7342

On Mon, Nov 25, 2019 at 3:02 PM Jeffrey Mount <mount.jeffrey@gmail.com> wrote:

Letitia, Heather, Ali, Lester,

Thanks to the four of you, once again, for being willing to participate in our roll out of our report *A Path Forward for California's Freshwater Ecosystems.* This email is to get you all connected and to let you know how things will unfold. Letitia will follow up with each of you.

Attached is a rough copy of the agenda for the day. It is being formatted to look nicer. As you can see we are asking folks to show up at 11:45 for registration and to get lunch. You can come a bit earlier if you like to make sure you get some food.

On Monday, December 2nd you will get an embargoed copy of the report along with a two page summary of conclusions. We are asking that you not distribute it until the 5th.

I will start our program precisely at noon with a welcome and PowerPoint presentation that will summarize the main points of the paper. This will include a short statement about:
--what is not working about ecosystem management with an emphasis on single species and individual projects,
--a recommendation to switch to ecosystem-based management,
--a summary of what management frameworks and actions could look like,
--ending with a proposal to create sustainable watershed management plans that are supported by comprehensive agreements (otherwise known as voluntary agreements) and are incorporated into regional water quality control plans.

At 12:20 I will hand off the program to Letitia who will moderate your panel.

I have attached the bios for all of us. The agenda and bios will be available for people attending the meeting, along with a two page summary of the report.

Letitia will moderate the panel. We are not doing prepared presentations with PowerPoints. This is more of a conversation. I am hoping that each of you will offer your perspective on the prospects and problems of ecosystem-based management. Specifically (and Letitia will decide the order):

Ali, you have broad experience in trying to make this work, both in your time with the Bureau running the San Joaquin River Restoration Program and now heading up the Sites Reservoir Project. I am hopeful you will be able to tell us how you are managing the multiple objectives of that project. You may well be our expert on ecosystem water budgets given the public funding for part of Sites.

Heather, you and Ali both have experience with federal agencies and local agencies. Your work on the Upper Santa Ana Watershed HCP is particularly relevant, since you are attempting to align many disparate actions through the watershed under a single HCP. Your insights on the benefits and pitfalls of this will be great. Especially for urban watershed efforts.

Lester, as I said to Letitia over the phone, you have had every job anyone can have in water in the West. I see two areas of insight. Based on your past federal and state experience, do we have the institutional capacity to do something like this? Are we organized to do this or are there just too many institutional barriers/conflicting mandates? And for sure, we want to hear about your experience with trying to do something good for the ecosystem--taking down four dams--and how we might make it easier to do.

Those are my original thoughts, but Letitia has the final say on the program. You all have a total of 35 minutes for your panel, which is just enough time for each of you to give two five minute answers, plus five minutes for Letitia. We want to leave 15 minutes for Q&A.

Thanks again everyone!

Jeff

--

Jeffrey Mount
Senior Fellow, Public Policy Institute of California
Professor Emeritus, Department of Earth and Planetary Sciences, UC Davis
mount.jeffrey@gmail.com

From: Frederiksen, Lee E. [Lee.Frederiksen@hdrinc.com]
Sent: 12/5/2019 10:38:30 AM
To: Spranza, John [John.Spranza@hdrinc.com]; Herrin, Jeff [jeff.herrin@aecom.com]; Alicia Forsythe [aforsythe@sitesproject.org]; Rob Kunde [rkunde@wrmwsd.com]
CC: 'William Vanderwaal' [WVanderwaal@rd108.org]
Subject: Re: Sites Value Planning Ad Hoc Group - Dec 2 1:30 pm call

I agree with the comments.

I left power on release as an objective. I think it would still require FERC. I have heard some say no power yet we reflect it in the affordability. This way the group can delete it if they want.

I recommend setting the objectives and constraints for the project. The screening criteria can be developed for use in reducing the value planning alternatives down to those that should be studied further. The screening criteria can be modified to reflect more detailed model results.

Initial screening criteria will be a combination of qualitative and quantitative metrics depending on available information.

Sent via the Samsung Galaxy S®6 active, an AT&T 4G LTE smartphone

-------- Original message --------
From: "Spranza, John" <John.Spranza@hdrinc.com>
Date: 12/5/19 8:44 AM (GMT-08:00)
To: "Herrin, Jeff" <jeff.herrin@aecom.com>, Alicia Forsythe <aforsythe@sitesproject.org>, "Frederiksen, Lee E." <Lee.Frederiksen@hdrinc.com>, Rob Kunde <rkunde@wrmwsd.com>
Cc: 'William Vanderwaal' <WVanderwaal@rd108.org>
Subject: RE: Sites Value Planning Ad Hoc Group - Dec 2 1:30 pm call

As discussed in the recent workshops and Board/Res Com meetings, we do not currently have the ability to provide modeling of the ecosystem benefits (temperature and flow stability) on Sacramento River above Red Bluff beyond the sensitivity analysis level as Jacobs needs both more detail on project features and operations and to finish their update of the the CalSim code to include WaterFix/Fremont Weir criteria.

Jacobs is also still also working on a 2D water quality model for the reservoir that would provide key information on the water quality of the releases back to the River. With the potential modifications in the size of the reservoir and addition of alternative value planning options (i.e., the potential inclusion of a Delevan canal or releases through the Drain), the return water quality component is gaining in importance in the permitting/planning analysis. Sites Staff and the Integration team have been pushing Jacobs to finish this model and we have a meeting planned with them tomorrow to check on the status of all modeling.

It is also important to note that without the temperature and flow stabilization benefits above Red Bluff it would be difficult, at a minimum, to identify a net ecosystem benefit for the project. This would put us in a more difficult

permitting process/negotiation and would be an option that was not included in any recent discussions with the state/federal permitting agencies and would change the entire conversation.

John Spranza

D 916.679.8858 M 818.640.2487

From: Herrin, Jeff [mailto:jeff.herrin@aecom.com]
Sent: Wednesday, December 4, 2019 3:45 PM
To: Alicia Forsythe <aforsythe@sitesproject.org>; Frederiksen, Lee E. <Lee.Frederiksen@hdrinc.com>; Rob Kunde <rkunde@wrmwsd.com>
Cc: 'William Vanderwaal' <WVanderwaal@rd108.org>; Spranza, John <John.Spranza@hdrinc.com>
Subject: RE: Sites Value Planning Ad Hoc Group - Dec 2 1:30 pm call

I don't think the alternatives from the recent value planning provide significant hydropower benefits (even though we still generate on release). The power generated is no longer dispatchable.

We should also discuss whether everyone is still in agreement on improvements in water quality and ecosystem conditions in the Sacramento River. I'm not sure if the improvements specific to the Sacramento River will be measurable with some of the alternatives that have recently been considered. The refuges benefiting the most are in the San Joaquin River watershed, not the Sacramento River watershed. I think we can also show measurable improvements in the Delta. I haven't seen data from the recent runs to support permitting discussions, but I don't know if we have temperature results to validate improvements under scenarios with the combination of lower diversion rates and no carryover storage (a big double whammy). Although Sites can be operated to conserve water in Shasta, I don't know how certain it is that enough water can still be conserved to result in measurable temperature changes downstream. If we can't measure it, should it still be an objective?

Jeff

From: Alicia Forsythe <aforsythe@sitesproject.org>
Sent: Wednesday, December 04, 2019 3:12 PM
To: Frederiksen, Lee E. <Lee.Frederiksen@hdrinc.com>; Rob Kunde <rkunde@wrmwsd.com>; Herrin, Jeff <jeff.herrin@aecom.com>
Cc: 'William Vanderwaal' <WVanderwaal@rd108.org>; Spranza, John <John.Spranza@hdrinc.com>
Subject: RE: Sites Value Planning Ad Hoc Group - Dec 2 1:30 pm call

Hi all – I went back to double check the objectives in the Draft EIR/S to make sure that there wasn't anything "more" in the Draft document than was included in the Feasibility Study (to make sure we weren't missing anything). Below are the objectives from the Draft EIR/S. I see these as all being consistent with the feasibility study objectives and all of the facility options would generally meet these. I don't see these as adding anything new that we would want to consider in screening facility options. However, CEQA and NEPA both generally require that alternatives work to reduce the environmental effects of a project. So I do think a general or specific screening criteria on environmental effects would be good for the process.

The only objective from the Draft EIR/S that we might have to think about and frankly consider whether the objective is still relevant is the one on hydropower. We may decide to take this out as an objective as we work to right-size the project. A road we can cross when we resume work on the EIR/S.

Ali

The primary objectives, and purpose and need for the Project are to provide surface water storage north of the Delta in order to:

- Enhance water management flexibility in the Sacramento Valley.
- Increase reliability of California water supplies.
- Provide storage and operational benefits for programs to enhance water supply reliability, both locally and State-wide, benefit Delta water quality, and improve ecosystems by providing:

– Net improvements in ecosystem conditions in the Sacramento River system and Delta

– Net improvements in water quality conditions in the Sacramento River system and Delta

– Net improvements in State-wide water supply reliability for agricultural and urban uses to help meet water demands during drought periods and emergencies, or to address shortages due to regulatory and environmental restrictions

– Net improvements in water supply reliability for fish protection, habitat management (including refuges), and other environmental water needs

The secondary objectives for the Project are to:

- Allow for flexible hydropower generation to support the integration of renewable energy sources
- Develop additional recreation opportunities
- Provide incremental flood damage reduction opportunities

Alicia Forsythe | Environmental Planning and Permitting Manager | Sites Reservoir Project | 916.880.0676 | aforsythe@sitesproject.org | www.SitesProject.org

CONFIDENTIALITY NOTICE: This communication with its contents may contain confidential and/or legally privileged information. It is solely for the use of the intended recipient(s). Unauthorized interception, review, use or disclosure is prohibited and may violate applicable laws including the Electronic Communications Privacy Act. If you are not the intended recipient, please contact the sender and destroy all copies of the communication.

From: Frederiksen, Lee E. <Lee.Frederiksen@hdrinc.com>
Sent: Wednesday, December 4, 2019 1:18 PM
To: Rob Kunde <rkunde@wrmwsd.com>; Herrin, Jeff <jeff.herrin@aecom.com>
Cc: 'William Vanderwaal' <WVanderwaal@rd108.org>; Alicia Forsythe <aforsythe@sitesproject.org>; Spranza, John <John.Spranza@hdrinc.com>
Subject: RE: Sites Value Planning Ad Hoc Group - Dec 2 1:30 pm call

Hi Rob and Jeff,

In addition to Jeff's comments below, I thought the objectives section of the feasibility study may help frame our discussion tomorrow.

Thanks.
Lee

Lee Frederiksen, PE
D 916.817.4883 M 916.213.0569

hdrinc.com/follow-us

From: Rob Kunde [mailto:rkunde@wrmwsd.com]
Sent: Sunday, December 1, 2019 8:12 PM
To: Frederiksen, Lee E. <Lee.Frederiksen@hdrinc.com>; Alicia Forsythe <aforsythe@sitesproject.org>; 'William Vanderwaal' <WVanderwaal@rd108.org>
Subject: Fw: Sites Value Planning Ad Hoc Group - Dec 2 1:30 pm call

All:

Below find Jeff Herrin's thoughts for developing Value Planning screening criteria for discussion on our Monday call. I will invite Bill Vanderwaal to join.

Robert J. Kunde, P.E.
Retired Annuitant
Wheeler Ridge-Maricopa Water Storage District
12109 Highway 166, Bakersfield, CA 93313
cell: 661-345-3719 email: rkunde@wrmwsd.com

From: Rob Kunde
Sent: Sunday, December 1, 2019 8:01 PM
To: Herrin, Jeff
Subject: Re: Sites Value Planning Ad Hoc Group - Availability for Next Meeting Dec 16 or 17

Jeff:

This is really helpful. Thank you.

Robert J. Kunde, P.E.
Retired Annuitant
Wheeler Ridge-Maricopa Water Storage District
12109 Highway 166, Bakersfield, CA 93313
cell: 661-345-3719 email: rkunde@wrmwsd.com

From: Herrin, Jeff <jeff.herrin@aecom.com>
Sent: Monday, November 25, 2019 10:33 AM
To: Rob Kunde
Subject: RE: Sites Value Planning Ad Hoc Group - Availability for Next Meeting Dec 16 or 17

Rob,

Here are some initial thoughts on screening that might be helpful for planning the meeting.

As Mike Dietl pointed out in the workshop on 15 November, we should have a documented alternative selection process. This is important for the NEPA/CEQA process and not just a Reclamation requirement.

Project Objectives: Note that you can reverse engineer your objectives to make sure the kind of project you want looks as attractive as possible. The project objectives for the Federal feasibility report and the WSIP application are as follows:

1. Operational Flexibility (Reclamation only) – I'm not sure you want to include this one.
2. Anadromous Fish (Reclamation and WSIP, but only recognized by Reclamation to date) – I think we want this one. It appears we need 100 TAF/yr to 200 TAF/yr conserved in Shasta for this objective to be realized (otherwise we may not be able to measure a temperature benefit).
3. Yolo Bypass Flows for Delta Smelt (Reclamation and WSIP, but State paid) – I think this one is in and should be straightforward.
4. Refuge Water Supply (Reclamation and WSIP, construction is State paid) – I think we want this one and it should be straightforward.
5. M&I, Urban, and Agricultural Water Supply (Reclamation and WSIP) – This one is in and should be straightforward
6. Flood Damage Reduction (Reclamation and WSIP) – In and straightforward
7. Recreation (Reclamation and WSIP) – In and straightforward
8. Hydropower (Reclamation and WSIP) – So far we are including generation on release. This improves OM&R costs and can provide a benefit to the grid. Not sure if we want to continue to include it.

One benefit we previously looked at was releases for water quality. We could reconsider, but it is difficult to guarantee this benefit if we try to provide it.

Possible Criteria:

1. Does the alternative meet all project objectives? This is a pass/fail critieria. This may lead us to drop Objective 2 above if we don't think our selected alternative meets it.
2. Amount of water provided – average/year. May also consider dry/critical/year.
3. Cost
4. No harm to CVP, SWP, or existing water rights
5. Benefit to cost ratio – Likely required by both Reclamation and the CWC for WSIP to demonstrate economic feasibility. We need to be above 1.0. This is challenging. We are cutting diversions by 50%, but cutting costs by closer to 40%. I suspect we are in the ballpark of 0.9. This means we need to find ways to push the monetized benefits as high as possible and/or further reduce the costs. It is surprisingly possible to have a reasonable cost in terms of $/AF and a benefit cost ratio greater than 1.0, but that project won't fly the CWC or Reclamation.
6. Time to construct
7. Local preference/acceptability
8. Other

Preferred Alternative: The preferred alternative should score highest using the criteria. The criteria can be weighted for scoring purposes. The preferred alternative should identify which diversion facilities are required and, in general, how the alternative is operated. Other details can be deferred for later (how is the dam constructed, what is the exact plumbing for emergency drawdown releases, etc.).

Stony Creek Diversion: Mike Azevedo provided information on flood releases from Black Butte Reservoir. Disregarding water rights and permitting, it looks like we could divert up to about 180 TAF/yr at a cost of about $105/AF. This is best case. Permitting constraints including water rights would likely reduce the diversion amount and increase the cost; however, from an engineering perspective this is very promising in terms of affordability.

Jeff Herrin
Water Resources Planner, Water Business Unit, Sacramento, CA
D +1-916-679-2084 IPT 264-679-2084
M +1-916-432-0956
Jeff.Herrin@aecom.com

AECOM
2020 L Street

Suite 400
Sacramento, CA 95811, United States
T +1-916-414-5800
aecom.com

Built to deliver a better world

LinkedIn Twitter Facebook Instagram

From: Rob Kunde <rkunde@wrmwsd.com>
Sent: Friday, November 22, 2019 11:41 AM
To: 'William Vanderwaal' <WVanderwaal@rd108.org>; DRuiz@westsidewd.com; tbettner@gcid.net; Randall Neudeck <rneudeck@mwdh2o.com>; CCwd2@frontiernet.net; gevans@countyofcolusa.org; mjazevedo@countyofcolusa.org; Jamie <jamie@tnpfarms.com>; Jim Watson <jwatson@sitesproject.org>; Rob Thomson <rthomson@sitesproject.org>; Alicia Forsythe <aforsythe@sitesproject.org>; Tull, Robert/SAC <Robert.Tull@jacobs.com>; Herrin, Jeff <jeff.herrin@aecom.com>; john.spranza@hdrinc.com; jsutton@tccanal.com; bencarter@succeed.net; svalles@mwdh2o.com
Subject: Sites Value Planning Ad Hoc Group - Availability for Next Meeting Dec 16 or 17

Ladies and Gentlemen of the Sites Value Planning Ad Hoc Group:

At the Nov 21 RPC meeting, it was decided to start narrowing the Value Planning Alternatives. I asked staff to develop some screening criteria and set up a meeting the week of Dec 2 (forgetting that was ACWA Conference Week). Bill Vanderwaal and I subsequently decided to target one of the following dates for an in person meeting:

Mon, Dec 16, 1:00 pm at RD108

or Tues, Dec 17, 1:00 pm at RD108

Please advise regarding your availability.

Randall and I request Jamie bring lunch again.

This meeting would include selected Sites staff and contractors to discuss the Alternatives, how to mix and match components (roads, dam type, release facilities, reservoir size, etc), consideration of other components not yet presented to the RPC, and the screening criteria and applicability to selected Alternatives. The goal is to narrow the alternatives and make progress toward a Project Description prior to the December 19 Reservoir Project Committee meeting.

Robert J. Kunde, P.E.

Retired Annuitant

Wheeler Ridge-Maricopa Water Storage District

12109 Highway 166, Bakersfield, CA 93313

cell: 661-345-3719 email: rkunde@wrmwsd.com

SITES RESERVOIR MESSAGING

Updated: October 1, 2019

Project Timeline

- The **decisions** that must be made to advance the Sites Reservoir project **are complex and** have significant short-term and long-term implications to the project's participants. These decisions **require careful consideration and a thorough review of the project's cost and affordability** by the project's participants.
- **Productive conversations** with State and Federal permitting agencies **are underway** and are providing more clarity and informing decisions about the project facilities and operations **which directly relate to the project's benefits, costs, and affordability.** These discussions are key to providing our investors, permitting agencies and non-governmental organizations key assurances needed to advance the project.
- It is prudent to implement a measured approach to formalize this information. **These decisions are far too important to rush the decision-making process** to meet the project's current schedule. As such, **an extension of about 90 days is now planned to provide our participants additional time to make important decisions** about the project.

Project Scale/Description "Right Sizing"

- The Sites Project Authority is evaluating construction phasing that would allow for a scalable project to begin to provide meaningful drought resilience benefits sooner. While building Sites Reservoir to its full capacity will maximize the benefits, deferring some capacity enables us to better respond to changes in cropping patterns, urban demands, and the needs of the environment.
- **It remains important that we build a project now that makes sense for all our participants** – local, state, and federal. This means **rightsizing** and **optimizing the project for current conditions**, while maintaining flexibility to expand and adapt the project to address future conditions. The Authority plans to build a reservoir that provides drought resiliency for today and still ensure we have adaptability and flexibility to meet future needs.
- Foundational to the Authority is to allow each participant greater control over the operational benefits they want to achieve and to have their proportionate share of the costs be allocated based on the benefits each receives.

What Makes Sites Reservoir Different?

- Provides drought resilience by releasing more water in drier times
- Adaptable to climate change
- Cooperative Operations with state and federal water projects
- Sacramento Valley Led
- Beneficiary Pays
- Each participant has greater control to decide what benefits they want to achieve
- Up to half of annual water supplies dedicated for environmental flows
- Natural Resource Agencies manage environmental water
- Off-Stream Storage;
- Helps with groundwater recharge
- Supports needed flood management
- Additional recreational opportunities
- Widely supported by key stakeholders
- Bi-Partisan political support
- Creates middle class jobs
- Leveraging current state and federal funds

Sites Reservoir is consistent with the Governor's Water Resiliency Portfolio and supports the Executive Order N-10-19 issued April 29, 2019

Sites | SITES RESERVOIR

Water for the Environment

California is facing multiple challenges in how it manages its water infrastructure and supply, including concerns regarding rising temperatures, declining snowpack, longer dry seasons, and more volatile precipitation. This presents challenges to the state's ability to meet its goal of creating a win-win scenario, including a more reliable water supply and protecting and enhancing the environment. To be successful, California's policy makers must advance a portfolio of actions that includes new water storage.

Sites Reservoir Project is a water storage enhancement to the California water system that will provide multiple environmental benefits to improve aquatic habitat conditions and withstand dry year conditions. This reservoir will be operated to accommodate climate change and improve environmental and water supply system resilience.

KEY ENVIRONMENTAL BENEFITS

- Up to 40 percent of the project's water would be dedicated for and managed by state and federal agencies to address environmental needs.
- Nearly 40,000 acre feet of water would be available for managed refuges and wetlands north and south of the Delta, providing a more sustainable water supply to benefit migratory birds and other species.
- With the anticipated reduction in snow-melt, cold water stored in the existing reservoirs will be reduced, making it more difficult to manage the temperature of water released for salmon and other species downstream. The operation of Sites Reservoir will allow Shasta, Oroville and Folsom to hold more cold water later into the summer months for the benefit of fisheries.
- Project operations will also improve water quality and reliability in the Delta by releasing nutrient-rich water to improve conditions for Delta smelt, among other species.

Sites Reservoir will be operated to provide significantly more water during drier periods, complementing other drought-management tools in addressing California's water management challenges into the 21st century and beyond.

About Sites Reservoir

Located 10 miles west of the town of Maxwell in rural Colusa and Glenn Counties, Sites Reservoir would create additional off-stream storage for drier periods.

How It Works

The reservoir will capture and store storm-related runoff and flood flows in the Sacramento River after all other water rights and regulatory requirements are met.

As Sites Reservoir moves through the final permitting process, the Sites Project Authority is working with the NGO community, state, and federal agencies to ensure that the environmental water from Sites is managed appropriately. For more information, please visit www.sitesproject.org.

Draft_0026971

SITES RESERVOIR

WATER FOR DRIER PERIODS
How it will operate

An upgrade to California's water storage infrastructure is long overdue to restore flexibility and adapt to future uncertainties and changing priorities. The reality of a changing climate – flashier rain storms, less snowpack, and longer, more intense stretches of drought, make it harder for the existing system to capture water for use in drier years. Simply put, the state's existing water infrastructure is challenged to meet our human and environmental needs. A portfolio of solutions must be developed to improve water supply resiliency, including new surface water storage. Sites Reservoir, which is strategically located to support existing infrastructure, will provide significantly more water during drier periods. The reservoir will complement other drought-management tools in addressing California's water management challenges into the 21st century and beyond.

A FLEXIBLE STORAGE SOLUTION

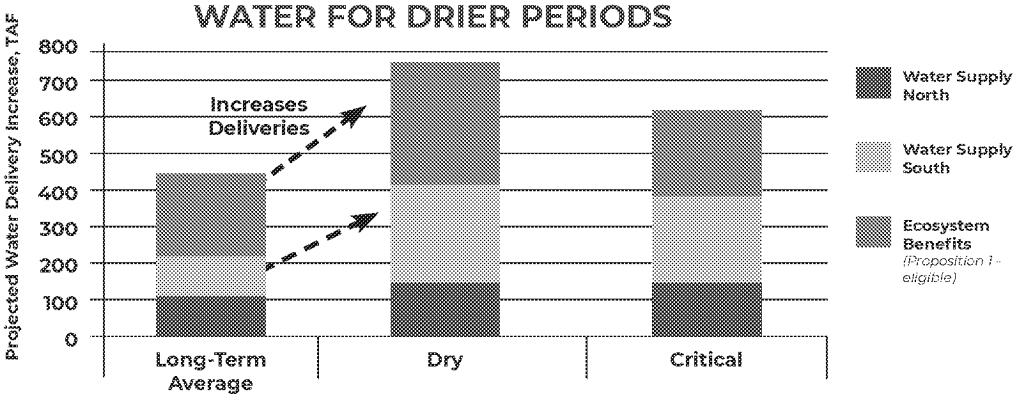
Sites Reservoir does not rely on snow-melt but captures winter runoff from uncontrolled streams below the existing reservoirs in the Sacramento Valley. Because of this, it will inherently adapt to future climate conditions and will be operated to improve water supply resilience to the predicted changes in weather. Much of the rainfall from extreme events – especially those that occur back-to-back when the ground is saturated – runs off before it can be captured for maximum environmental, urban and agricultural benefit. Sites Reservoir will increase the resiliency of water supplies because it will not rely on spring snowmelt for filling, but instead will capture storm-related runoff and a portion of storm-related flood water.

By operating in conjunction with other California reservoirs, Sites Reservoir substantially increases water supply flexibility, reliability and resiliency in drier years. Deliveries from Shasta, Oroville and Folsom – the northern backbone for State Water Project (SWP) and Central Valley Project (CVP) – are vulnerable to cutbacks during dry years. **Sites Reservoir is the only proposed storage facility in the State of California that will help with statewide operational effectiveness of the SWP and CVP.**

Sites Reservoir would increase Northern California's water storage capacity by up to 15 percent by helping to meet increased needs for water when it is needed most during drier periods.

FACT:

Sites Reservoir was first proposed decades ago by DWR as a necessary addition to the SWP to maximize operational capacity.



LOCALLY MANAGED

The Sites Project Authority – a joint powers authority comprised of 11 Sacramento Valley entities – will govern, manage and operate the project. The Authority is currently advancing the project's environmental review and permitting, along with preliminary design and operations planning.

For more information, please visit www.sitesproject.org.

According to a recent report by the California Public Policy Institute, "The best option for increasing supply is capturing and storing additional water from big storms."

Draft_0026972

SITES RESERVOIR

WATER FOR DRIER PERIODS
How it will operate

An upgrade to California's water storage infrastructure is long overdue to restore flexibility and adapt to future uncertainties and changing priorities. The reality of a changing climate – flashier rain storms, less snowpack, and longer, more intense stretches of drought, make it harder for the existing system to capture water for use in drier years. Simply put, the state's existing water infrastructure is challenged to meet our human and environmental needs. A portfolio of solutions must be developed to improve water supply resiliency, including new surface water storage. Sites Reservoir, which is strategically located to support existing infrastructure, will provide significantly more water during drier periods. The reservoir will complement other drought-management tools in addressing California's water management challenges into the 21st century and beyond.

A FLEXIBLE STORAGE SOLUTION

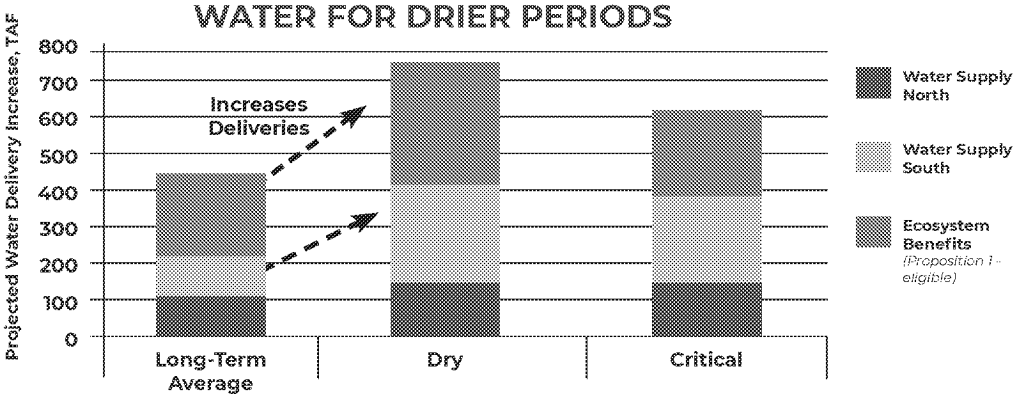
Sites Reservoir does not rely on snow-melt but captures winter runoff from uncontrolled streams below the existing reservoirs in the Sacramento Valley. Because of this, it will inherently adapt to future climate conditions and will be operated to improve water supply resilience to the predicted changes in weather. Much of the rainfall from extreme events – especially those that occur back-to-back when the ground is saturated – runs off before it can be captured for maximum environmental, urban and agricultural benefit. Sites Reservoir will increase the resiliency of water supplies because it will not rely on spring snowmelt for filling, but instead will capture storm-related runoff and a portion of storm-related flood water.

By operating in conjunction with other California reservoirs, Sites Reservoir substantially increases water supply flexibility, reliability and resiliency in drier years. Deliveries from Shasta, Oroville and Folsom – the northern backbone for State Water Project (SWP) and Central Valley Project (CVP) – are vulnerable to cutbacks during dry years. **Sites Reservoir is the only proposed storage facility in the State of California that will help with statewide operational effectiveness of the SWP and CVP.**

Sites Reservoir would increase Northern California's water storage capacity by 15 percent by helping to meet increased needs for water when it is needed most during drier periods.

FACT:

Sites Reservoir was first proposed decades ago by DWR as a necessary addition to the SWP to maximize operational capacity.



LOCALLY MANAGED

The Sites Project Authority – a joint powers authority comprised of 11 Sacramento Valley entities – will govern, manage and operate the $5.2 billion project. The Authority is currently advancing the project's environmental review and permitting, along with preliminary design and operations planning.

For more information, please visit www.sitesproject.org.

According to a recent report by the California Public Policy Institute, "The best option for increasing supply is capturing and storing additional water from big storms."

Draft_0026973

Topic: **Sites Reservoir Project, Phase 2**

Subject: **Monthly Status Report** Report Period: **2019 November**

Monthly Status Summary:

The development of the Sites Project continues to make progress in the critical areas associated with the reprioritized Phase 2 (2019) budget and work plan, including the following activities:

- Continued detailed discussions with the California Department of Fish and Wildlife (CDFW) on pre-application permit consultation.
- Continued supporting Reclamation on completion of their Feasibility Report, including the coordination of biological and cultural monitoring along with land access for the NODOS Feasibility Geotechnical Investigations. Reclamation also requested that the Authority review the current version of the Feasibility Report; comments were made specific to EIR/EIS status and environmental feasibility and provided to Reclamation on October 25, 2019. Language was also provided to reflect the Authority's current efforts to 'right-size' the project.

The following highlights the status of activities conducted during the month:

Coordination with Reclamation:

- Continued coordination of Project activities funded by Reclamation and those funded by the Authority.
- Continued coordination and support for feasibility geotechnical investigation.

Permitting and Environmental Planning:

- Continued pre-construction permit discussions with CDFW on both construction and operational effects of the project to state-listed and state fully-protected species.
- Began implementing the environmental commitments including biological, cultural and tribal field monitoring activities for geotechnical testing and sampling work that will advance the design and cost estimate in Reclamation Feasibility Report. Drilling activities initiated on October 2, 2019 and are being funded and undertaken by Reclamation.
- Continued development of analysis tools for daily operations, bypass criteria, floodplain inundation and other operational effects.
- Initiated joint meetings with CDFW, National Marine Fisheries Service and U.S. Fish and Wildlife Service (USFWS) on the aquatic construction and operational effects of the project and joint meetings with CDFW and USFWS on the terrestrial construction and operational effects of the project.

Engineering:

- Continued to advance the near-term geotechnical exploration program to support the federal Feasibility Report's cost estimate. Conducted utility locating during NODOS Investigation.
- Supported the value planning effort by providing review comments.

Draft_0026974

- Continued to advance the development of a draft Principles and Requirements to guide the development of feasibility studies by making revisions reflecting review comments from work groups.
- Continue to advance the operations and repayment analysis, to review key methodologies and assumptions with work groups, and to document the process and key assumptions.
- Initiated development of a framework for the operations and repayment workshop held on November 15, 2019.

Real Estate, Stakeholder Engagement & Public Outreach:

Continued ongoing coordination efforts with landowners, local community members, state and federal elected officials, government agencies and coalitions of regional and statewide organizations including the following activities:

- Responded to landowner requests for project information, facilitated coordination activities with local government agencies and organizations and planned for future landowner, stakeholders and general public outreach activities and events.
- Coordinated Temporary Right of Entry (TROE) activities in support of the 2019 focused Geotechnical field studies conducted in October 2019.
- Conducted project site tours/presentations with project participants including Metropolitan Water District and facilitated a project site tour for an LA Times editorial reporter.

Program Management & Administration:

- Submitted Quarterly Report Number 5 to the California Water Commission (CWC). Invoice Number 1 was approved by the CWC and sent for payment.
- Developed standard operating procedures related to CWC Invoice Coding and Progress Report.
- Developed Phase 2 (2019) budget and work plan. Presented information at October Res Comm meeting and attended associated work group meetings.

Draft_0026975

From: Seemann, Hank [HSeemann@co.humboldt.ca.us]
Sent: 12/9/2019 12:30:57 PM
To: Tom Stokely [tgstoked@gmail.com]; Alicia Forsythe [aforsythe@sitesproject.org]
CC: craig tucker [craig@suitsandsigns.com]; Regina Chichizola [klamathtrinityriver@gmail.com]; Vivian Helliwell [vhelliwell@mcn.org]
Subject: Sites slides
Attachments: Humboldt BOS - Sites 12-10-2019 draft.pptx

Tom and Ali: Draft slides are attached for the Sites Reservoir item at tomorrow's Humboldt County Board of Supervisors meeting. The item is scheduled to start at 9:15 am. I will use these slides to introduce the item and provide a brief orientation on geography, the project, proximity to the Trinity River, and the previous correspondence. Then I will identify the two proposed letters and suggest that the two of you be invited to be the first two public speakers. The limit for public speakers is 3 minutes and I recommend planning to stay within that limit. It will be up to the chair of the Board whether to allow additional time, and that will depend on the number of people who want to speak on this item and the content of the rest of the agenda.

Regina, Vivian, and Craig: I thought it would be beneficial to get the comments started by letting Tom and Ali represent two sides of the issue, and then I assume each of you will want to share comments as part of the open general comment period following their comments. There will be a sign-up sheet at a table near the door into the Board chambers.

If you have any logistics issues before the meeting feel free to call or text at 707-601-5776.

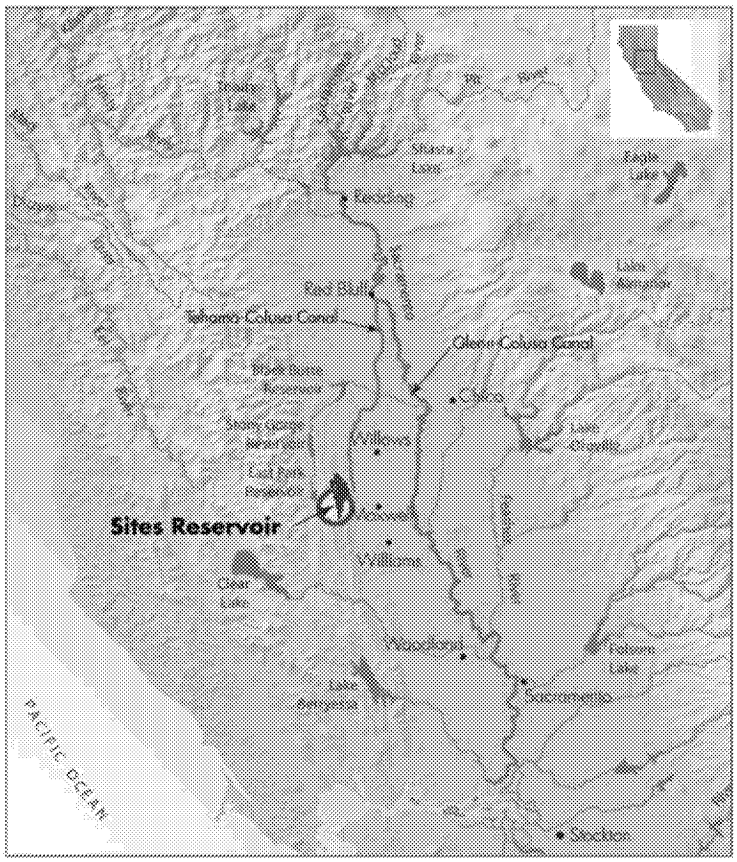
Regards,
Hank

Hank Seemann
Deputy Director - Environmental Services
Humboldt County Public Works Department
1106 Second Street
Eureka, CA 95501
707-268-2680

Sites Reservoir Project:

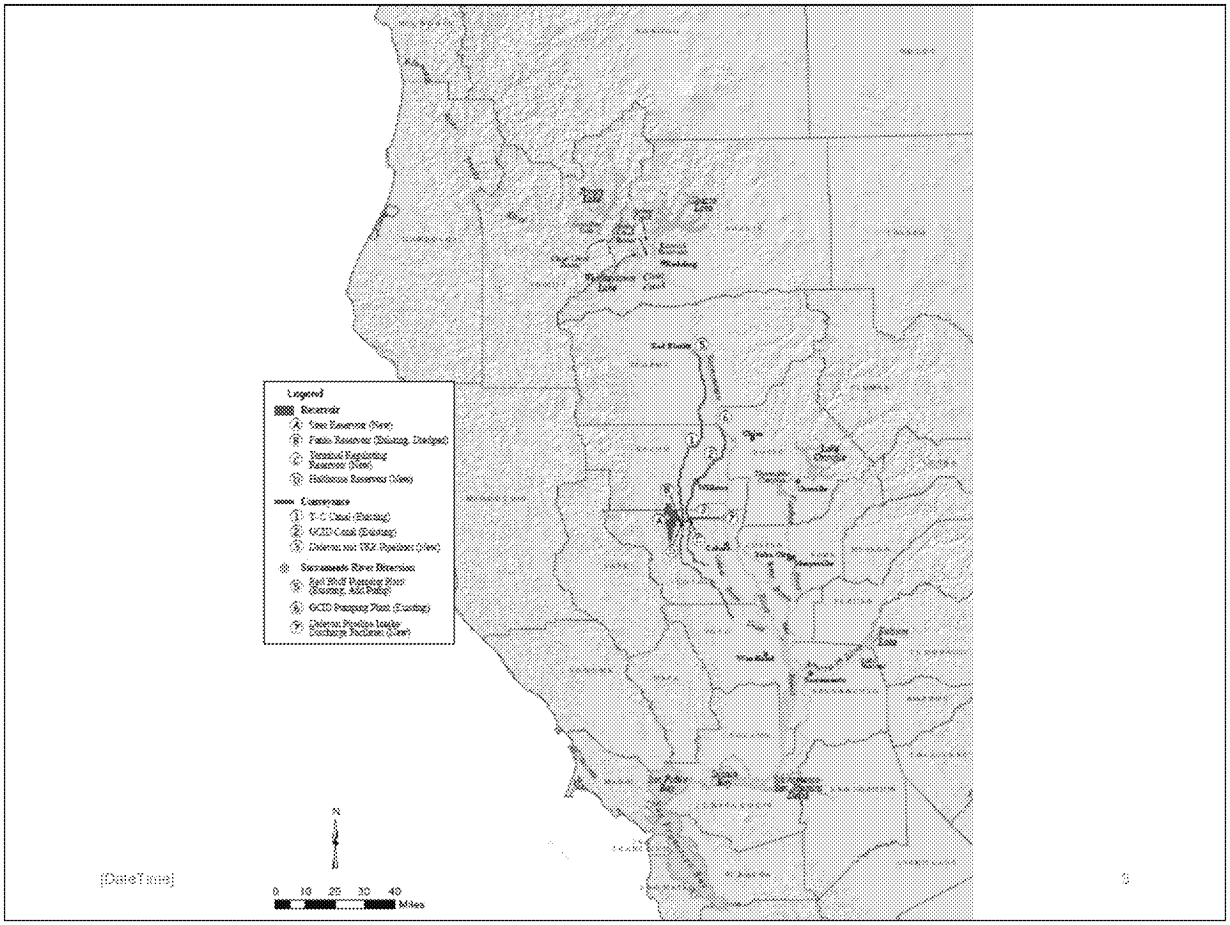
Letters regarding potential impacts to the Trinity River

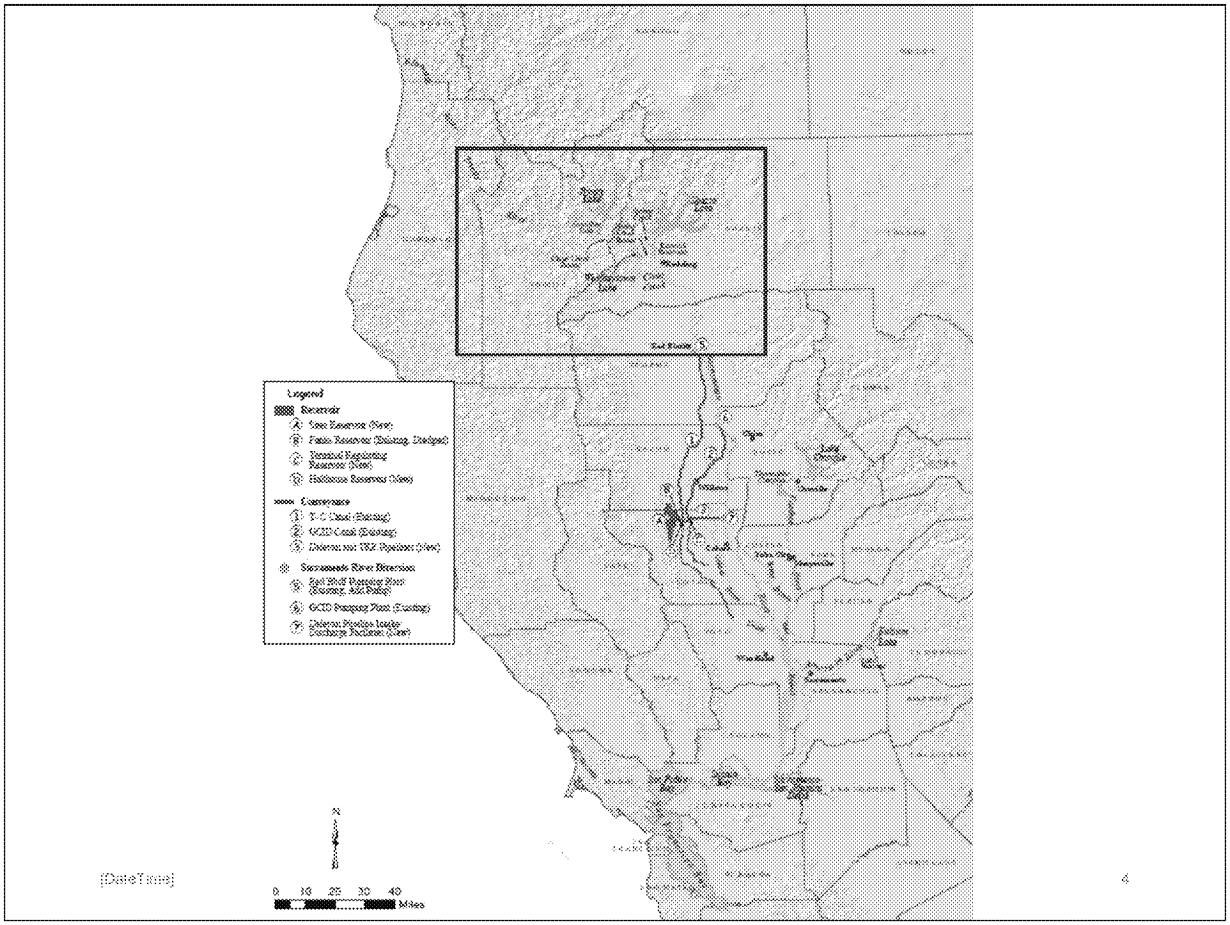
December 10, 2019

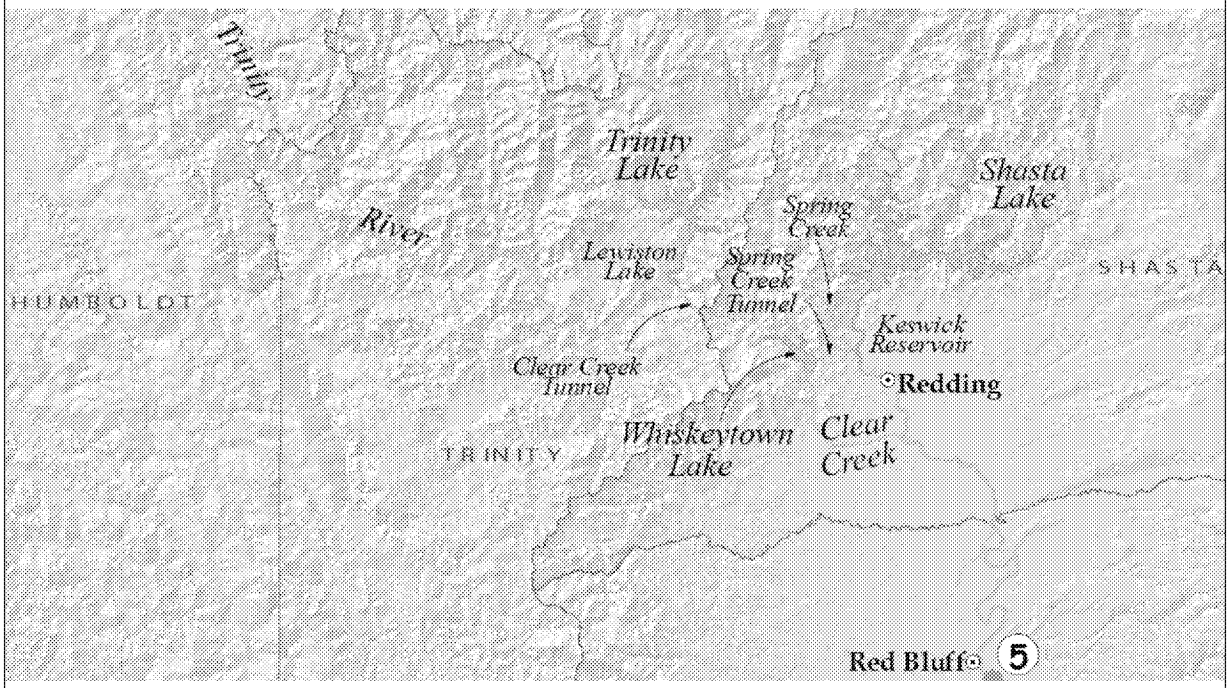

Sites Reservoir
Shasta Lake
Redding
Red Bluff
Tehama Colusa Canal
Glenn Colusa Canal
Orland
Willows
Colusa
Williams
Woodland
Sacramento
Folsom Lake
Lake Berryessa
Clear Lake
Lake Oroville
Eagle Lake
Stockton
PACIFIC OCEAN

[DateTime]

2

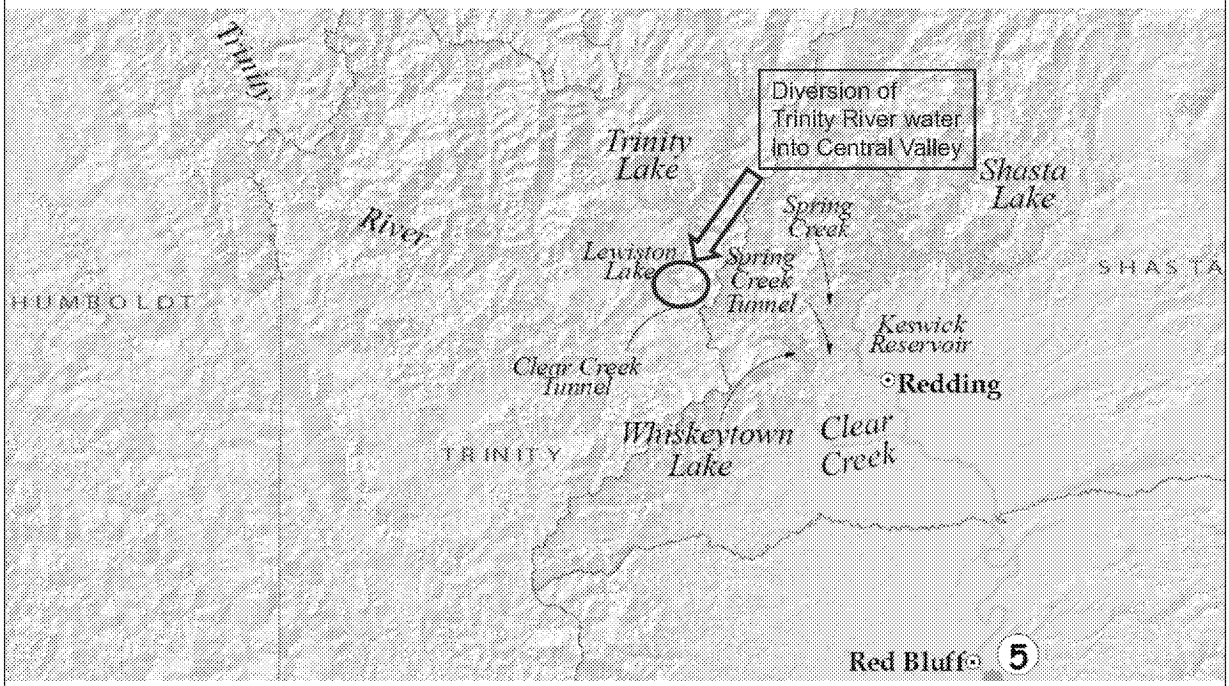

Legend
Reservoir
A: Sites Reservoir (New)
B: Funks Reservoir (Existing, Enlarged)
C: Terminal Regulating Reservoir (New)
D: Holthouse Reservoir (New)
Conveyance
1: T-C Canal (Existing)
2: GCID Canal (Existing)
3: Delevan-to-CBP Pipeline (New)
Sacramento River Diversion
4: Red Bluff Pumping Plant (Existing, Add Pumps)
5: GCID Pumping Plant (Existing)
6: Delevan Pipeline Intake Discharge Facilities (New)
N
0 10 20 30 40
Miles
[DateTime]


Legend
Reservoir
A. Sites Reservoir (New)
B. Funks Reservoir (Existing, Enlarged)
C. Terminal Regulating Reservoir (New)
D. Holthouse Reservoir (New)
Conveyance
1. T-C Canal (Existing)
2. GCID Canal (Existing)
3. Delevan-to-CBP Pipeline (New)
Sacramento River Diversion
4. Red Bluff Pumping Plant (Screened, Add Pumps)
5. GCID Pumping Plant (Existing)
6. Delevan Pipeline-to-the Discharge Facilities (New)
N
0 10 20 30 40
Miles
[DateTime]
4


Trinity
River
Trinity
Lake
Lewiston
Lake
Spring
Creek
Spring
Creek
Tunnel
Shasta
Lake
SHASTA
HUMBOLDT
Keswick
Reservoir
Clear Creek
Tunnel
Redding
Whiskeytown
Lake
TRINITY
Clear
Creek
Red Bluff
5

[DateTime]

9



[DateTime]

Draft_0026982

Sites Reservoir Project

- August 2017 - Draft Environmental Impact Report/Environmental Impact Statement (EIR/EIS)
- January 9, 2018 - Humboldt County Board of Supervisors issued conditional support letter
- January 15, 2018 - Response letter from Sites Project Authority: commitment to no additional demands or diversions from the Trinity River
- January 21, 2019 - Kamman Hydrology memo: identified potential impacts to Trinity River water temperatures in modeling for EIR/EIS, and failure to account for Humboldt County's contract water and Bureau's Lower Klamath River Plan
- September 2019 - Request by Save our Salmon and Pacific Coast Federation of Fishermen's Association (PCFFA) for Humboldt County to re-consider position on Sites Reservoir

Sites Reservoir Project

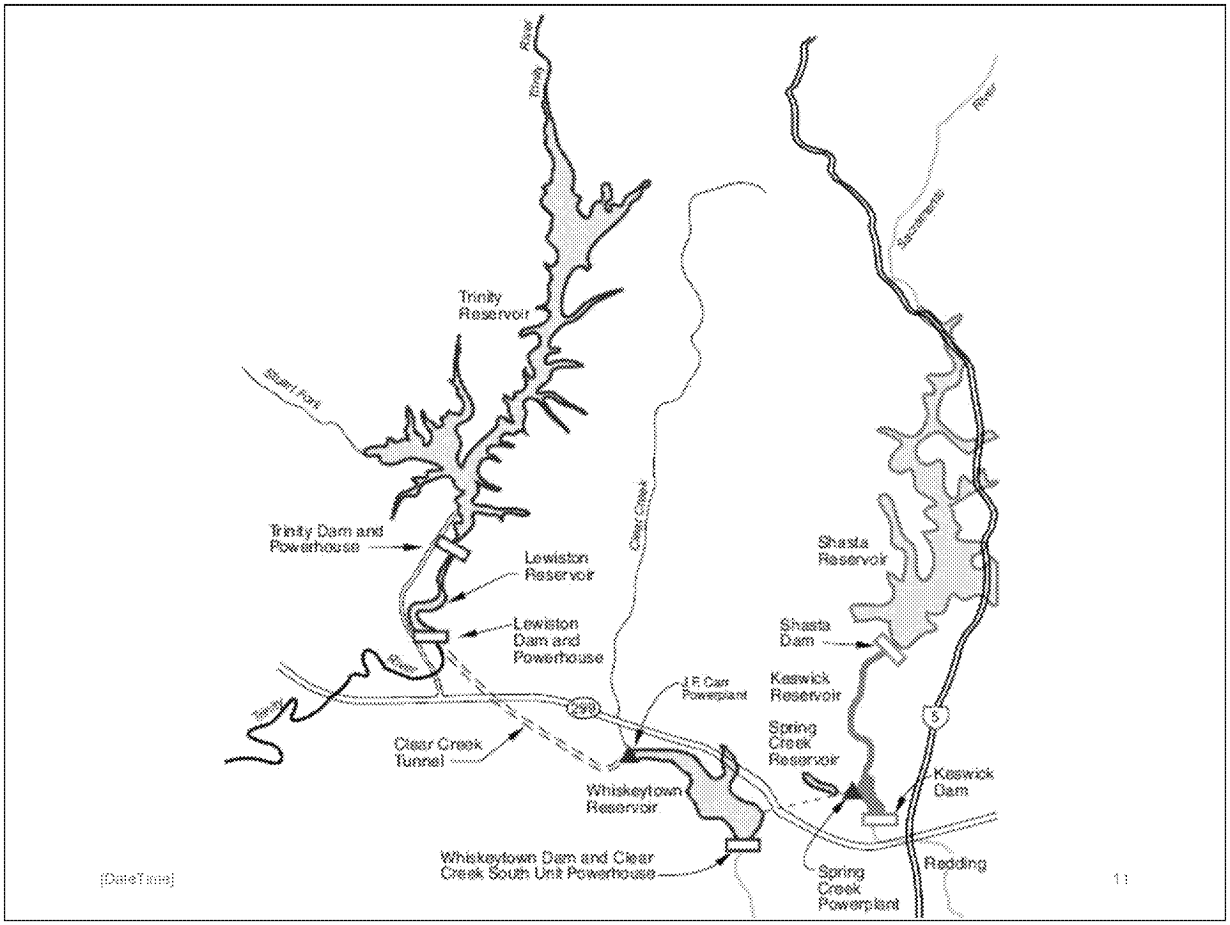
- December 10, 2019 - Two letters for the Board's consideration:
  - Letter No. 1 to Sites Project Authority requesting commitment in water rights application (or Memorandum of Understanding) for no additional diversions of Trinity River for filling Sites Reservoir
  - Letter No. 2 to Sites Project Authority and Bureau of Reclamation requesting revision and recirculation of Draft EIR/EIS

Staff Recommendation to Board:

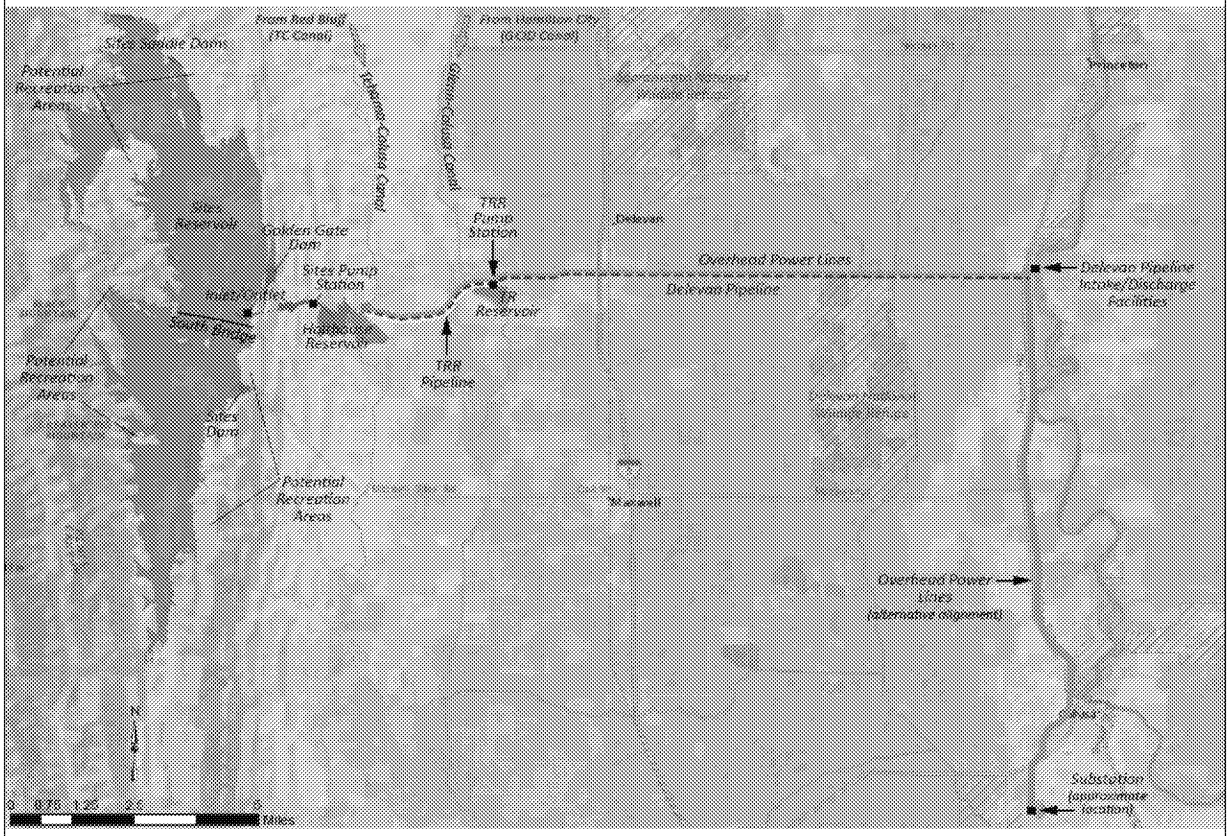
- Receive comments from Tom Stokely (Save our Salmon and PCFFA)
- Receive comments from Alicia Forsythe (Sites Project Authority)
- Receive additional comments from the public
- Decide whether to send the attached letters

Extra Slides

Draft_0026986



Draft_0026987


From Red Bluff
(TC Canal)
From Hamilton City
(GCID Canal)
Sites Saddle Dams
Potential
Recreation
Areas
Tehama-Colusa Canal
Glenn-Colusa Canal
Princeton
Sites
Reservoir
Golden Gate
Dam
TRR
Pump
Station
Delevan
Overhead Power Lines
Delevan Pipeline
Delevan Pipeline
Intake/Discharge
Facilities
Sites Pump
Station
Inlet/Outlet
TR
Reservoir
South Bridge
Holthouse
Reservoir
TRR
Pipeline
Potential
Recreation
Areas
Sites
Dam
Potential
Recreation
Areas
Maxwell
Overhead Power
Lines
(alternative alignment)
Substation
(approximate
location)
N
0 0.75 1.25 2.5 5
Miles

Draft_0026989

From: Tull, Robert/SAC [Robert.Tull@jacobs.com]
Sent: 12/9/2019 5:24:22 PM
To: Alicia Forsythe [aforsythe@sitesproject.org]
Subject: Trinity Responses
Attachments: Draft Response to Kamman Hydrology and Engineering Inc 3_20_19.docx; DRAFT_Humbolt County Talking Points_Staff Reviewed_RT2.docx

Ali,

Attached are my initial draft responses to the Kamman comments and the draft official talking points with my comments.

We have dealt with Greg Kamman before and it is always the same nonsense.

Bottom line is we try to minimize any change in Trinity Division operations, but there are a few years that show anomalous changes that we couldn't deal with in the time we had available to complete the modeling to meet the WSIP deadline.

The table below from my comments shows the minimal changes in Clear Creek Diversions to the Sac river (less than 1% on the average).
Wet year increase is primarily due to higher Trinity storage levels relative to Shasta.
We actually reduce diversions to the Sac river in dry and critical years when Trinity storage is the most critical.

Rob

Clear Creek Tunnel Diversion Oct-Sep Average			
1922-2003 (TAF)			
	NAA	ALT D	ALT D - NAA
Long-term Average	542.8	546.4	3.6
Wet Years	582.2	604.0	21.8
Above Normal Years	540.3	534.2	-6.2
Below Normal Years	466.6	471.7	5.1
Dry Years	569.3	566.3	-3.0
Critical Years	509.3	491.0	-18.3

NOTICE - This communication may contain confidential and privileged information that is for the sole use of the intended recipient. Any viewing, copying or distribution of, or reliance on this message by unintended recipients is strictly prohibited. If you have received this message in error, please notify us immediately by replying to the message and deleting it from your computer.

From: Frederiksen, Lee E. [Lee.Frederiksen@hdrinc.com]
Sent: 12/10/2019 5:42:24 PM
To: jeff. herrin [jeff.herrin@aecom.com]; Alicia Forsythe [aforsythe@sitesproject.org]; Spranza, John [John.Spranza@hdrinc.com]; Heydinger, Erin [Erin.Heydinger@hdrinc.com]; Kevin Spesert [kspesert@sitesproject.org]

Subject: Value Planning
Attachments: Revised for Value Planning PlanningObjectives.docx
Location: 866 583 7984 code 439 128 74

Start: 12/11/2019 7:30:00 AM
End: 12/11/2019 8:30:00 AM
Show Time As: Tentative

Recurrence: (none)

I have attached a very rough write-up to facilitate our discussion.

Sites Reservoir
Coordination Meeting
Meeting Agenda

Date: **12 December 2019**
Time: **9:00 AM to 11:00 AM (PST)**
Location: **2485 Natomas Park Drive (Jacobs Office)**

Call in: (866) 203-7023

PC: 2150376387

- Introductions
- Program updates (Reclamation and Authority)
- Update on the data viewer
- Daily model update
- Discussion of operational flexibility – latest status from Reclamation?
- Update on CDFW analysis
- New action items

Outstanding Action Items

No.	Action Item	Lead	Status/Comments
1	Obtain bathymetry for Delevan intake from DWR	Frederiksen	LIDAR and hydraulic model received, need to arrange to pick up data
2	Viewer: Provide comments to Tim Hill.	All	
3	Develop Water Rights schedule	Spranza	
4	Need brief summary on Sites with Shasta raise – prior work	Leaf	Narrative on history is still needed.
5	Develop a description of the model for Operational Flexibility that documents the assumptions	Leaf	

No.	Action Item	Lead	Status/Comments
6	Develop summary of 2019 BiOps effects on Sites operations	Leaf	
6	Evaluation of near-field and far-field effects on fish	Lecky, Briard, and Fish Team	Ongoing
7	Need a schedule for LT_Gen modeling. Also please review the previous scope of work to confirm it is still appropriate and provide to Derya for Reclamation review.	Tull, Leaf	
8	Need to account for habitat in the "tax table" for diversions. Will require HEC-RAS and GIS tools to map.	Tull, Leaf	Project description and operation criteria need to be defined

SITES VALUE PLANNING SESSION

DECEMBER 16TH

Sites

Purpose of Session

- Confirm Key Near Term Activities
- Confirm Project Objectives and Constraints
- Establish Decision Support Criteria, Metrics and Weighting
- Review Potential Measures/Facilities
- Formulate Additional Alternatives

Confirmation of Near Term Key Activities

1. Confirm planning objectives and constraints
2. Confirm acceptable project management measures/facilities
3. Establish appraisal level screening criteria
4. Formulate and complete an appraisal level evaluation of alternatives
5. Screen and identify tentatively recommended plan(s)
6. Prepare draft and final value planning technical memorandum/report (March, 2020)

Draft_0026996

Confirmation of Project Objectives

Alternatives will be formulated to achieve the following primary objectives:

1. Improve water supply, water supply reliability, and water quality
2. Provide Incremental Level 4 water supply for refuges
3. Improve the survival of anadromous fish
4. Enhance the Delta ecosystem

Alternatives will include opportunities to achieve the following secondary objectives:

1. Provide hydropower generation on release
2. Provide opportunities for recreation
3. Provide flood-damage reduction

Confirmation of Project Constraints

Pump Back Storage: The Project facilities are not to include provisions for pump back storage hydropower generation.

Current Participants: The Project facilities are to be limited to those that directly benefit the current participants.

Federal Participation: The Bureau of Reclamation role will be limited to that of a cooperating partner, no financial involvement.

Others???

Draft_0026998

Establish Decision Support Criteria, Metrics and Weighting

MAIN CRITERIA	SUB-CRITERIA	METRICS	WEIGHTING (percent)
IMPROVE WATER SUPPLY and RELIABILITY		Contribution towards participant and refuge water supply objectives based on the sum of the weighted products of the sub-criteria metrics.	20
	Deliveries for north of Delta participants	The degree to which the system meets current participant prescriptions at Hoffmouse (current subscription is _____AF) 0 = Does not meet subscription 3 = Meets or exceeds subscription	50
	Deliveries for south of Delta participants	The degree to which the system meets current participant prescriptions at Hoffmouse (current subscription is _____AF) 0 = Does not meet design capacity 3 = Meets or exceeds subscriptions	50

Establish Decision Support Criteria, Metrics and Weighting (continued)

MAIN CRITERIA	SUB-CRITERIA	METRICS	WEIGHTING (percent)
IMPROVE ECOSYSTEM		Contribution towards anadromous and Delta Smelt objectives based on the sum of the weighted products of the sub-criteria metrics.	28
	Ability to provide consistent delivery of level 4 water for the refuges	The degree to which operations can be integrated 0 = low degree 3 = moderate degree	33.3
	Ability to cooperatively operate with CVP/SWP systems to improve anadromous fish survival	The degree to which operations can be integrated 0 = low degree 3 = moderate degree	33.3
	Ability to provide source of water to transport biomass in support of Delta Smelt	The degree to which operations can be integrated 0 = low degree 3 = moderate degree	33.3

Draft_0027000

Establish Decision Support Criteria, Metrics and Weighting (continued)

MAIN CRITERIA	SUB-CRITERIA	METRICS	WEIGHTING (percent)
PERMITABILITY		The degree to which the alternative is permittable by federal, state, and local agencies based on the sum of the weighted products of the sub-criteria metrics	10
	Permit difficulty and timing	The degree of difficulty and time required 0 = high degree 3 = low degree	70
	Likely need for recirculation	The likely need for recirculation 0 = high 3 = low	30

Draft_0027001

Establish Decision Support Criteria, Metrics and Weighting (continued)

MAIN CRITERIA	SUB-CRITERIA	METRICS	WEIGHTING (percent)
LOCAL ACCEPTABILITY		The degree to which the alternative is acceptable to local entities	18
	Real Estate	The degree to which the alternative negatively impacts real estate : 0 = High 3 = Low	50
	Compatibility	The degree to which the alternative is compatible with operations and land use 0 = High 3 = Low	50

Establish Decision Support Criteria, Metrics, and Weighting (continued)

COSTS		Cost effectiveness of alternative based on the sum of the weighted products of the sub-criteria metrics.	40
	Construction Costs	Estimated construction	75
	Operation and Maintenance Costs	Estimated annual O&M costs	15
	Risk and Uncertainty	The degree to which there is risk and uncertainty associated with the alternative 0 = high risk 3 = Low	10

Draft_0027003

Other Decision Support Criteria, Metrics, and Weighting

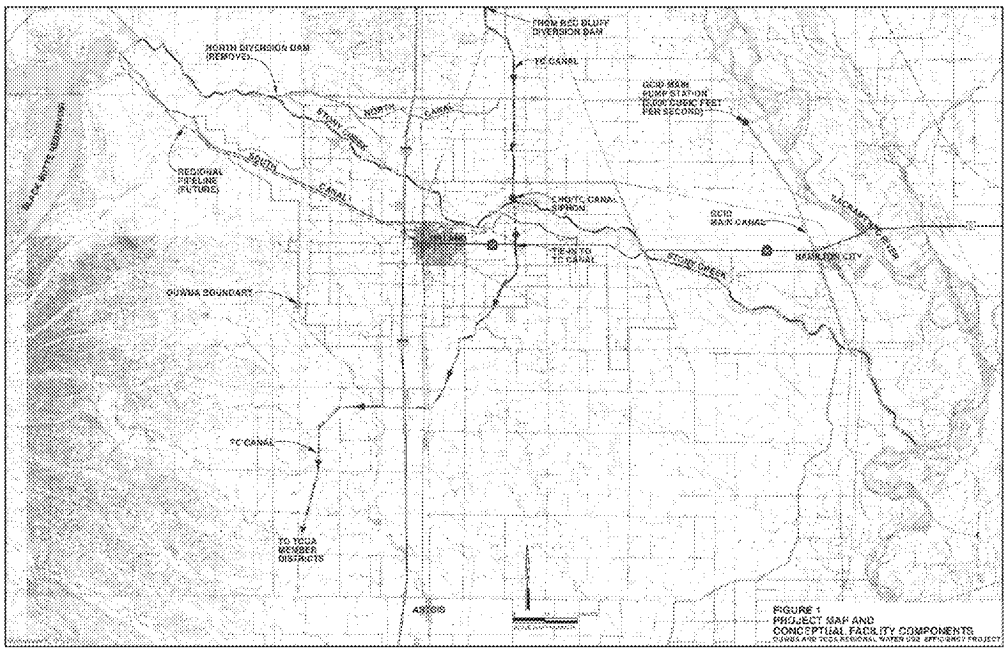
11

Review Potential Measures/Facilities

	Component Cost	Alternative D	Alternative A	Alternative 2	Alternative 5	Alternative 6a	Alternative 6b	Alternative 6c	Alternative 6d	Alternative 6e	Alternative 6f
Total (2024) w/o financing cost		$5,554,296,825	$5,889,945,100	$5,949,376,800	$6,005,393,625	$7,838,456,300	$6,060,855,900	$5,647,808,625	$5,979,655,325	$5,418,855,900	$5,534,155,825
% river reduction		20%	22%	23%	25%	27%	29%	20%	25%	22%	20%
Total (2024)		$4,667,648,000	$5,079,668,000	$5,092,848,000	$5,081,548,000	$5,934,479,000	$5,873,344,000	$5,062,599,000	$5,050,598,000	$5,150,668,000	$5,315,644,000
RIVERINE (OPEN WATER)											
Deweese Dam Raise (20 ft)	$686,000,000	$686,000,000	$686,000,000	$686,000,000	$686,000,000	$686,000,000	$686,000,000	$686,000,000	$686,000,000	$686,000,000	$686,000,000
Maple Spain Bridge	$61,000,000	$61,000,000									
Bear Glen Bridges	$425,000,000		$129,000,000		$429,000,000	$129,000,000	$129,000,000	$129,000,000	$129,000,000	$129,000,000	$129,000,000
Bishop Road Long Roads	$14,000,000										
Bishop Road Upland Roads	$450,000,000			$450,000,000							
South Road Property Access	$36,000,000		$36,000,000		$36,000,000	$36,000,000	$36,000,000	$36,000,000	$36,000,000	$36,000,000	$36,000,000
Construct New Dams (1.0 RAP) - Zoned Embankment	$81,000,000	$810,000,000									
Construct New Dams (1.0 RAP) - Zoned Embankment	$311,000,000		$81,000,000	$231,000,000	$311,000,000			$81,000,000	$81,000,000		
Construct New Dams (1.0 RAP) - Earthfill	$360,000,000					$360,000,000				$360,000,000	
Construct New Dams (1.0 RAP) - Hardfill	$696,000,000						$696,000,000				
Construct New Dams (1.0 RAP) - Zoned Embankment	$400,000,000										
Construct New Dams (1.0 RAP) - Earthfill	$620,000,000										$620,000,000
Construct Saddle Dams (1.0 RAP)	$270,000,000	$270,000,000									
Construct Saddle Dams (1.0 RAP)	$459,000,000		$153,000,000	$153,000,000	$459,000,000	$153,000,000	$153,000,000	$153,000,000	$153,000,000	$153,000,000	
Construct Saddle Dams (1.0 RAP)	$84,000,000										$84,000,000
Construct Floodplain/Backup (Flatwood Hollows)	$450,000,000	$450,000,000									
Flume Reservoir Conditional Dredging	$28,000,000		$28,000,000	$28,000,000		$28,000,000	$28,000,000	$28,000,000	$28,000,000		
Construct TRP Relocations	$218,000,000	$36,000,000	$36,000,000	$36,000,000	$36,000,000	$36,000,000	$36,000,000	$36,000,000	$36,000,000	$36,000,000	$36,000,000
North Fork Regulating Reservoir	$36,000,000				$36,000,000					$36,000,000	$36,000,000
Humes Creek Release Structures (at S Saddle Dams)	$84,000,000		$84,000,000	$84,000,000	$84,000,000	$84,000,000	$84,000,000	$84,000,000	$84,000,000	$84,000,000	$84,000,000
RUNOFF AND GENERATED FLOWS											
Construct FC Structure and Single SC Diversion Tunnel	$61,000,000	$610,000,000									
Construct FC Structure and Twin SC Diversion Tunnels	$280,000,000		$280,000,000	$280,000,000	$280,000,000	$280,000,000	$0	$280,000,000	$280,000,000	$280,000,000	$280,000,000
Slow Pumping/Excavating Pool (0.500 cfs) - Jon Dakeuc	$61,000,000	$600,000,000									
Slow Pumping/Excavating Pool (0.500 cfs) - Joe Dakeuc	$684,000,000		$684,000,000	$684,000,000		$684,000,000	$684,000,000	$684,000,000	$684,000,000		
Tri-State Pumping Plant (3,100 cfs)	$385,000,000				$385,000,000					$385,000,000	$385,000,000
TRP Pumping-Generating Plant - 1600 cfs	$1,190,000,000	$190,000,000	$1,000,000,000	$1,000,000,000		$1,000,000,000	$1,000,000,000	$1,000,000,000	$1,000,000,000		
Headwater-level Offtake Pumping Plant - 1000 cfs	$385,000,000				$385,000,000					$385,000,000	$385,000,000
CBD Pumping Plant to Deewee Release (750 cfs)	$54,000,000		$54,000,000	$54,000,000		$54,000,000	$54,000,000				
Headwaters River Pumping-Generating Plant (2000 cfs)	$660,000,000	$660,000,000									
Deerwaters River Release Structure - 1650 cfs	$16,000,000										
Deerwaters River Release Structure - 350 cfs	$6,000,000		$6,000,000	$6,000,000	$6,000,000	$6,000,000	$6,000,000				
Deerwaters River Fish Screen Structure	$55,000,000	$55,000,000									
Headwaters Pump Access	$6,000,000	$6,000,000	$6,000,000	$6,000,000	$6,000,000	$6,000,000	$6,000,000	$6,000,000	$6,000,000	$6,000,000	$6,000,000
CBD Pumping Plant for Tail Diversion (750 cfs)	$54,000,000								$54,000,000		$54,000,000
CBDB (BELOW DAMSITE)											
Construct Channel to Headwaters	$60,000,000	$60,000,000									
Relocate Channel with Hunters Creek Discharge	$61,000,000		$61,000,000	$61,000,000	$61,000,000	$61,000,000	$61,000,000	$61,000,000	$61,000,000	$61,000,000	$61,000,000
Deweese Release Pipeline - Two Pipeline	$686,000,000	$686,000,000									
Deweese Release Pipeline - One Pipeline	$686,000,000										
Diversion Canal to CBD (750 cfs)	$450,000,000		$450,000,000	$450,000,000	$450,000,000	$450,000,000	$450,000,000				
CBD Siphon and Pipeline at River (750 cfs)	$61,000,000		$216,000,000	$216,000,000	$216,000,000	$216,000,000	$216,000,000				
TRP Pipeline to Base Reservoir (1,100 cfs)	$61,000,000				$410,000,000					$410,000,000	$410,000,000
Construct TRP Pipeline - Four Pipelines (with Afterbay)	$360,000,000	$360,000,000									
Construct TRP Pipeline - Three Pipelines	$270,000,000		$270,000,000	$270,000,000		$270,000,000	$270,000,000				
Construct TRP Pipeline - Two Pipelines	$181,000,000		$360,000,000	$360,000,000	$360,000,000			$216,000,000	$216,000,000	$216,000,000	$216,000,000
Tri-State Extension to CBD	$7,000,000							$7,000,000	$7,000,000	$7,000,000	$7,000,000
Siphon, Tunnel, and Pipelines to CBD (3 Pipe)	$270,000,000				$810,000,000					$810,000,000	$810,000,000

Draft_0027005

STONY CREEK DIVERSION



Draft_0027006

Other Alternative Facility Configurations

Draft_0027007

Value Planning Alternatives – Comparison

Features	Value Planning Alternatives								
	1	2	3	4a	4b	5a	5b	6a	6b
Cost ($billions)	$4.0B	$4.0B	$3.9B	$3.8B	$3.9B	$3.5B	$3.9B	$3.4B	$3.6B
Savings from 1.8 MAF Alternative D	$1.2B	$1.2B	$1.3B	$1.4B	$1.3B	$1.7B	$1.3B	$1.8B	$1.6B
1.5 MAF Reservoir	•	•	•	•	•	•	•	•	
1.3 MAF Reservoir									•
Funks/Sites PGP	•	•		•	•	•	•		
Replace Sites PGP with Two Smaller Plants			•					•	•
Delevan Canal/Pipeline Release	•	•	•	•	•				
Dunnigan Canal to CBD Release						•		•	
Dunnigan to River Release							•		•
Multi-Span Bridge	•		•	•	•	•	•	•	•
South Road to Lodoga		•							
South Road to Residents	•		•	•	•	•	•	•	•
Rockfill Embankment Dam	•	•	•			•	•		
Earthfill Dam				•				•	•
Hardfill Dam					•				

CBD = Colusa Basin Drain
MAF = million acre feet
PGP = Pumping/Generating Plant
TCRR = Tehama-Colusa Regulating Reservoir
TRR = Terminal Regulating Reservoir

Alternative 1 – Reduce Reservoir to 1.5 MAF, change bridge to multi-span bridge, and substitute a release only canal for the single Delevan pipeline from Colusa Basin Drain to TRR near TCC - $4.0B. The other features are generally consistent with Alternative D - $5.2B

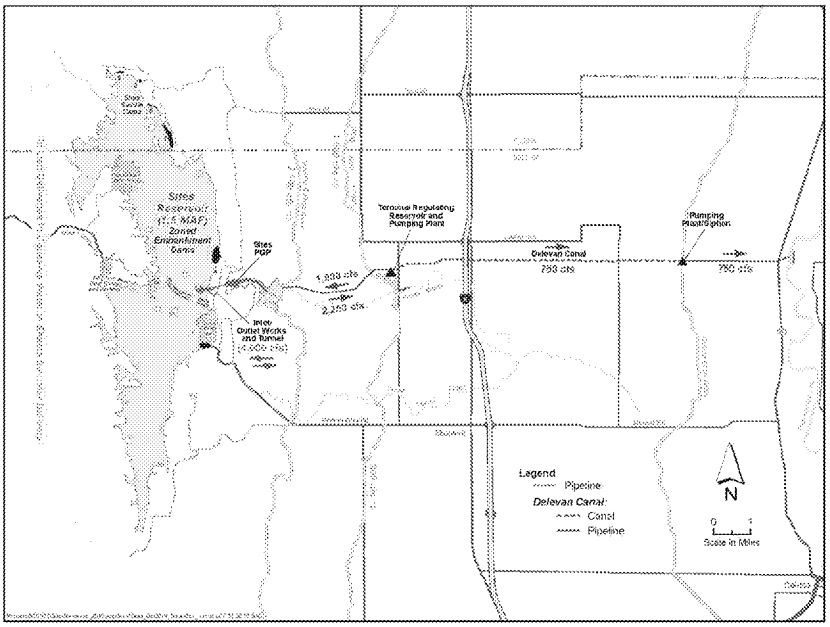


Figure 1

Alternative 2 – Similar to Alternative 1 but uses the southern road alignment to Lodoga in place of the bridge - $4.0B

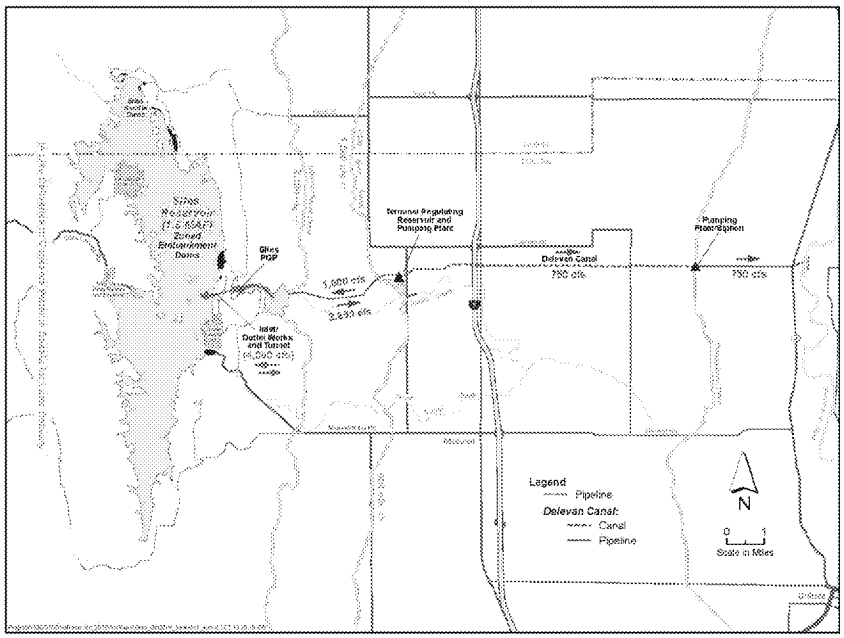


Figure 2

Draft_0027010

Alternative 3 – Similar to Alternative 1, but replaces Sites PGP with the Tehama-Colusa Regulating Reservoir and PP and modified TRR - $3.9B

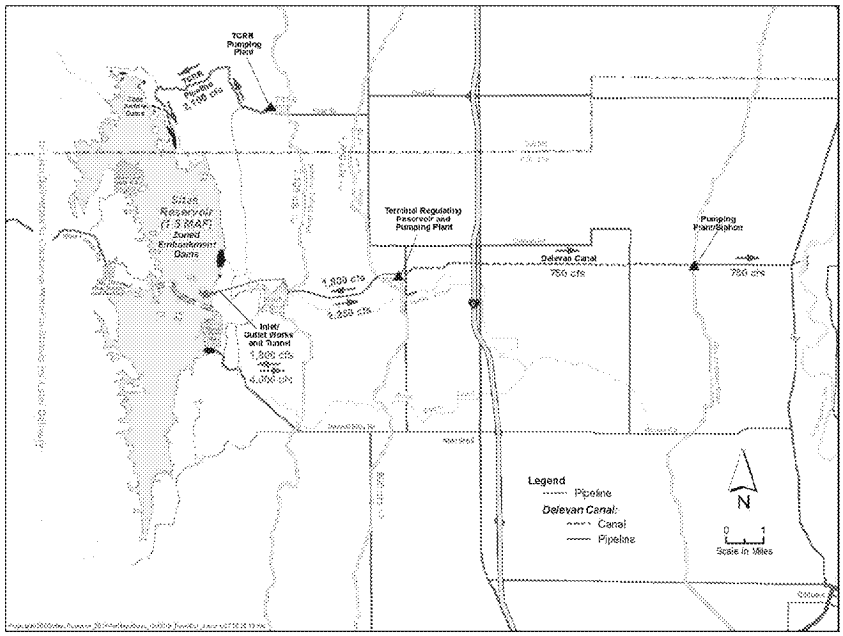


Figure 3

Alternative 4a – Same as Alternative 1 but replaces the zoned rockfill embankment dam with an earthfill dam – $3.8B

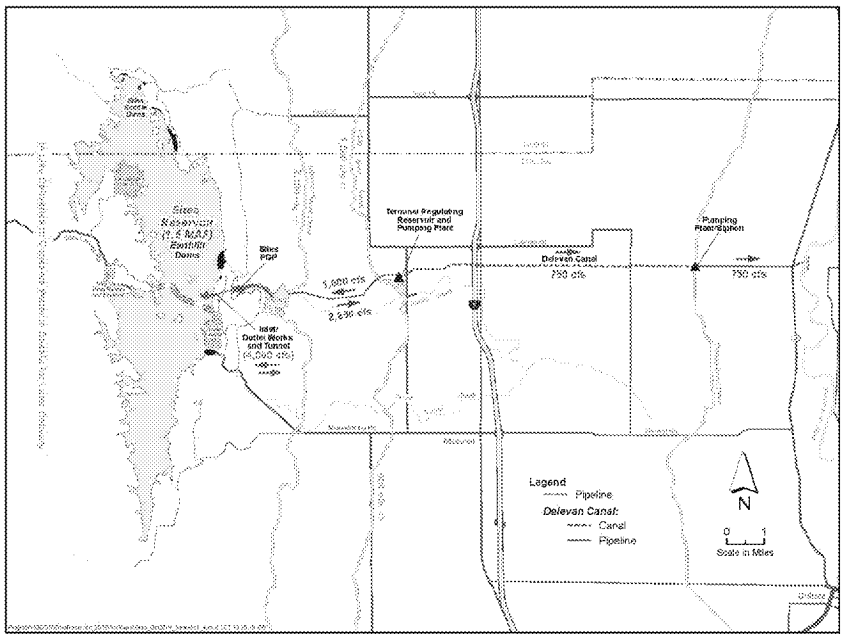


Figure 4a

Alternative 4b – Same as Alternative 1 but replaces the zoned rockfill embankment dam with a hardfill dam – $3.9B

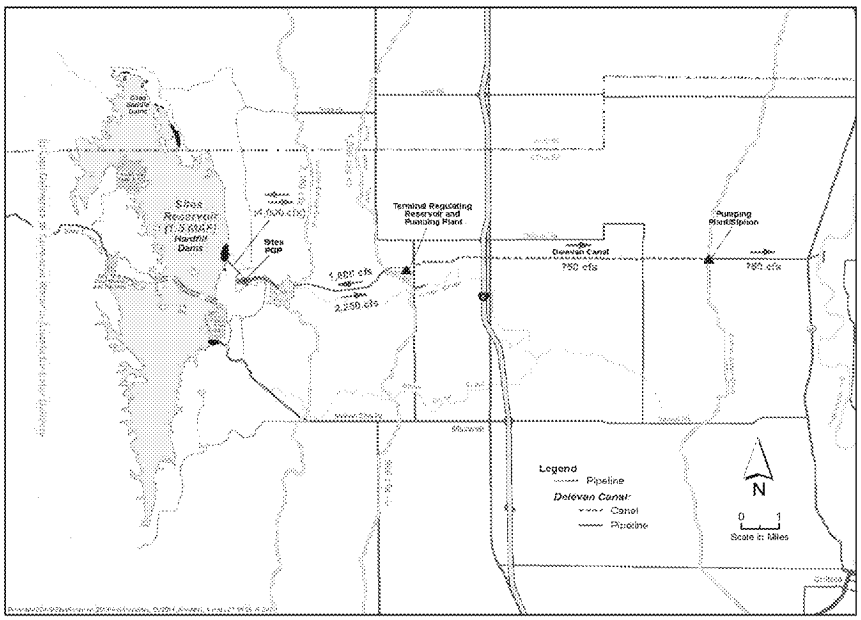


Figure 4b

Alternative 5a – Similar to Alt 1, but replaces Delevan Canal/ Pipeline with pipeline/canal release from the Dunnigan Canal to the Colusa Basin Drain, thence through the lower portion of the Colusa Basis Drain to the Sacramento River - $3.5B

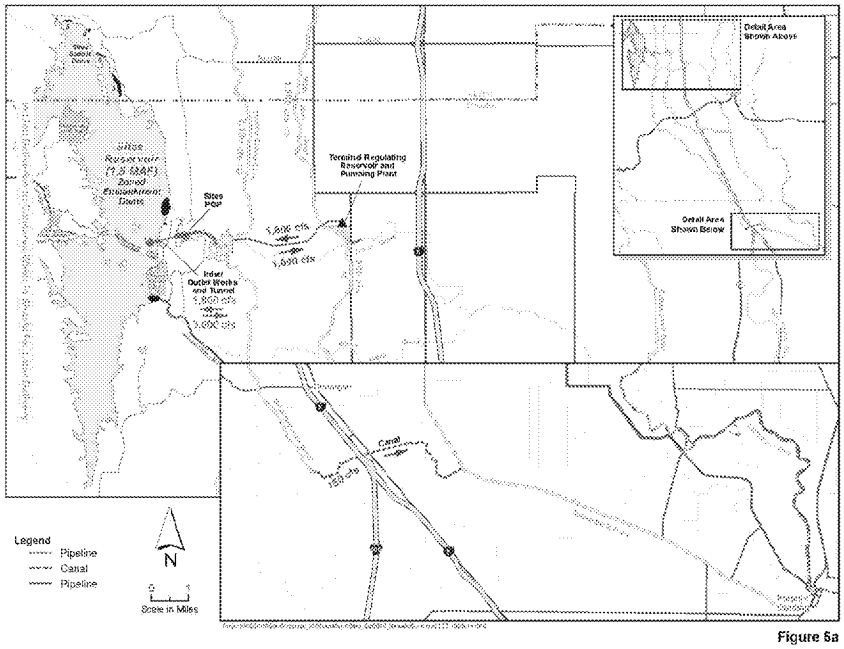


Figure 5a

Draft_0027014

Alternative 5b - Similar to Alternative 5a but releases water from Dunnigan Canal to the Sacramento River via a canal, siphon and pumping plant - $3.9B

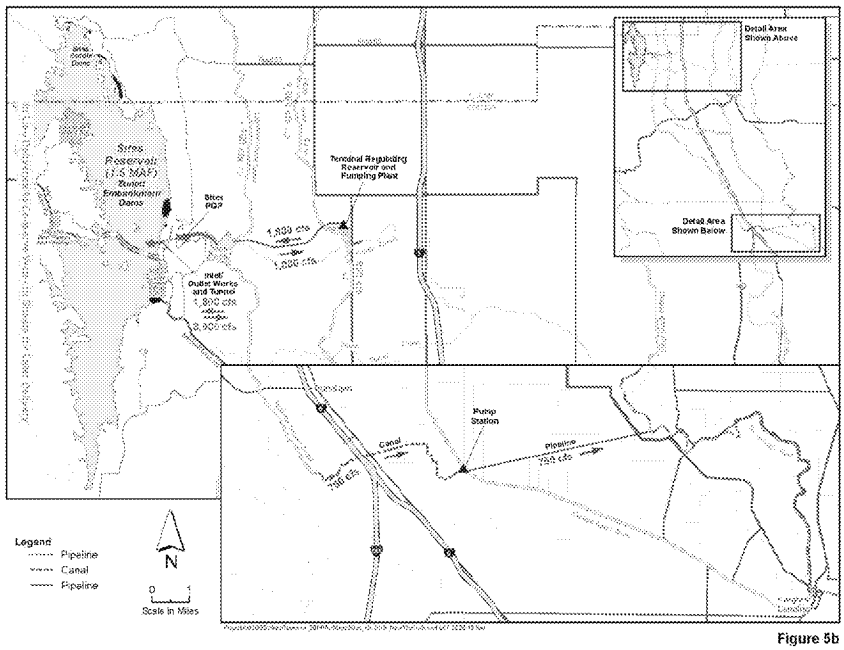


Figure 5b

Alternative 6a – Lowest cost combination of Alt 3, 4 and 5a – replace Sites PGP with 2 smaller facilities, Dunnigan release to the CBD, and an earthfill dam - $3.4B

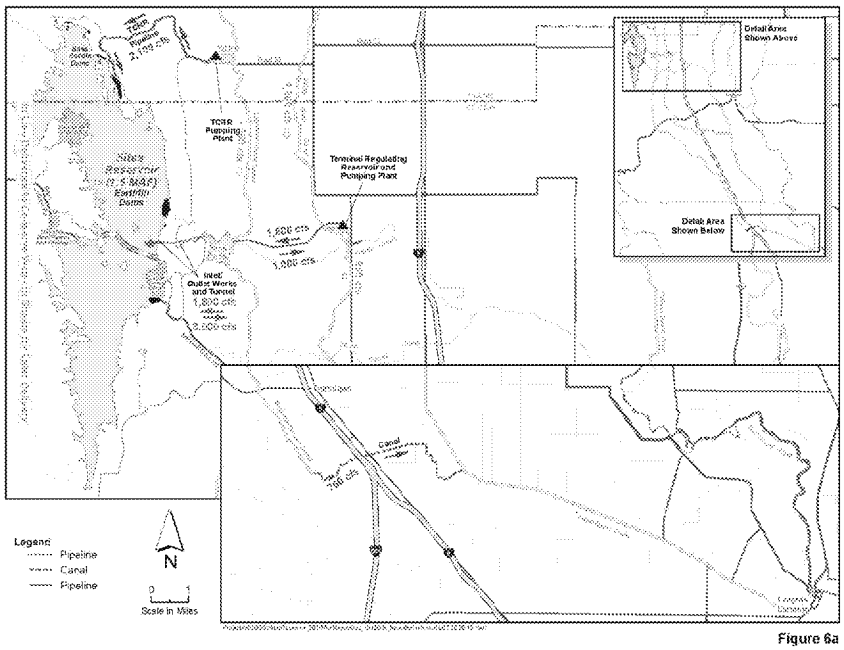


Figure 6a

Alternative 6b – Similar to Alternative 6a, but reduces Reservoir to 1.3MAF and releases water from the Dunnigan Canal to the CBD - $3.6B

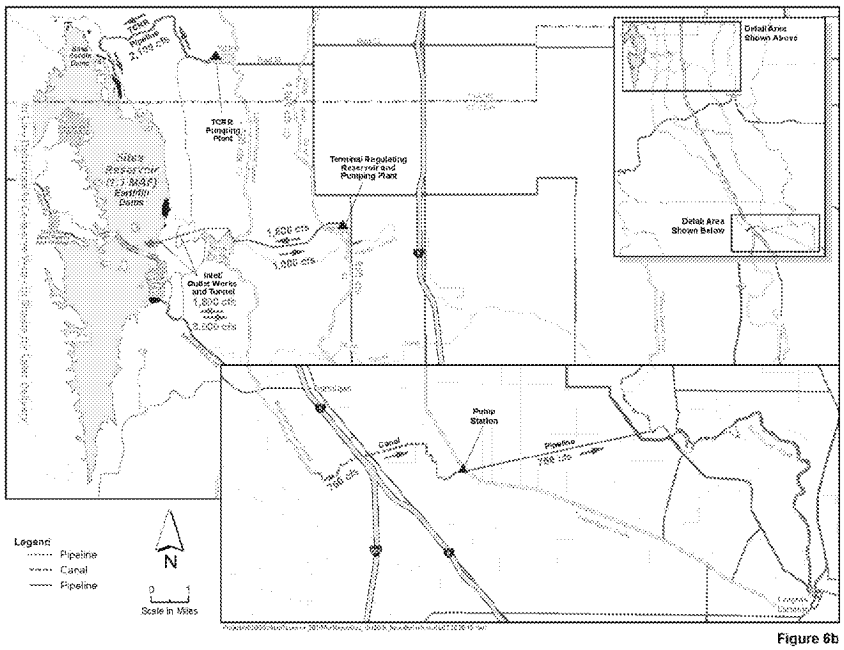


Figure 6b

Draft_0027017

Estimated Project Costs

Alternative	Estimated Costs ($2018) (financing cost not included)	Cost Reduction from Alternative D
Alternative D	$5,235 million	0%
Alternative 1	$3,970 million	24%
Alternative 2	$3,988 million	24%
Alternative 3	$3,868 million	26%
Alternative 4a	$3,828 million	27%
Alternative 4b	$3,861 million	26%
Alternative 5a	$3,548 million	32%
Alternative 5b	$3,876 million	26%
Alternative 6a	$3,417 million	35%
Alternative 6b	$3,584 million	32%

- Alternative Project Costs Range from $3.4 to $4.0B versus $5.2B for Alternative D
- Alternative D includes $218M of Risk (4.2%) from the February 2018 Risk Analysis. Value Planning estimates do not include risk adjustment or analysis.

Draft_0027018

SITES VALUE PLANNING SESSION

DECEMBER 16TH

Sites

Purpose of Session

- Confirm Key Near Term Activities
- Confirm Project Objectives and Participants
- Discuss and Establish Decision Support Criteria, Metrics and Weighting
- Review Potential Measures/Facilities
- Formulate Additional Alternatives

Draft_0027020

Confirmation of Near Term Key Activities

1. Confirm planning objectives and constraints
2. Confirm acceptable project management measures/facilities
3. Establish appraisal level screening criteria
4. Formulate and complete an appraisal level evaluation of alternatives
5. Screen and identify preferred project alternatives and descriptions
6. Prepare draft and final value planning technical memorandum/report (March, 2020)

Draft_0027021

Confirmation of Project Objectives

Alternatives will be formulated to achieve the following primary objectives:

1. Improve water supply, water supply reliability, and water quality
2. Provide Incremental Level 4 water supply for refuges
3. Improve the survival of anadromous fish
4. Enhance the Delta ecosystem

Alternatives will include opportunities to achieve the following secondary objectives:

1. Provide opportunities for recreation
2. Provide flood-damage reduction
3. Provide hydropower generation on release where feasible, (no pump back storage)

Confirmation of Project Participants

Current Participants: The Project facilities are to be limited to those that directly benefit the current participants (WISIP and local entity participants)

Federal Participation: The Bureau of Reclamation role will be limited to that of a cooperating partner, no financial involvement.

DWR: The DWR role will be limited to that of a cooperating partner, no financial involvement?

USDA: Rural development benefits?

Draft_0027023

Discuss and Establish Decision Support Criteria, Metrics and Weighting

MAIN CRITERIA	SUB-CRITERIA	METRICS	WEIGHTING (percent)
IMPROVE WATER SUPPLY and RELIABILITY		Contribution towards participant and refuge water supply objectives based on the sum of the weighted products of the sub-criteria metrics.	20
	Deliveries for north of Delta participants	The degree to which the system meets current participant prescriptions at Hollhouse (current subscription is _____AF) 0 = Does not meet subscription 3 = Meets or exceeds subscription	50
	Deliveries for south of Delta participants	The degree to which the system meets current participant prescriptions at Hollhouse (current subscription is _____AF) 0 = Does not meet design capacity 3 = Meets or exceeds subscriptions	50

Draft_0027024

Discuss and Establish Decision Support Criteria, Metrics and Weighting (continued)

MAIN CRITERIA	SUB-CRITERIA	METRICS	WEIGHTING (percent)
IMPROVE ECOSYSTEM		Contribution towards anadromous and Delta Smelt objectives based on the sum of the weighted products of the sub-criteria metrics.	20
	Ability to provide consistent delivery of level 4 water for the refuges	The degree to which operations can be integrated 0 = low degree 3 = moderate degree	33.3
	Ability to cooperatively operate with CVP/SWP systems to improve anadromous fish survival	The degree to which operations can be integrated 0 = low degree 3 = moderate degree	33.3
	Ability to provide source of water to transport biomass in support of Delta Smelt	The degree to which operations can be integrated 0 = low degree 3 = moderate degree	33.3

Establish Decision Support Criteria, Metrics and Weighting (continued)

MAIN CRITERIA	SUB-CRITERIA	METRICS	WEIGHTING (percent)
PERMITABILITY		The degree to which the alternative is permittable by federal, state, and local agencies based on the sum of the weighted products of the sub-criteria metrics.	10
	Permit difficulty and timing	The degree of difficulty and time required 0 = high degree 3 = low degree	70
	Likely need for recirculation of the EIR	The likely need for recirculation 0 = high 3 = low	30

Draft_0027026

Discuss and Establish Decision Support Criteria, Metrics and Weighting (continued)

MAIN CRITERIA	SUB-CRITERIA	METRICS	WEIGHTING (percent)
LOCAL ACCEPTABILITY		The degree to which the alternative is acceptable to local entities	10
	Real Estate	The degree to which the alternative negatively impacts real estate : 0 = High 3 = Low	50
	Compatibility	The degree to which the alternative is compatible with operations and land use 0 = High 3 = Low	50

Discuss and Establish Decision Support Criteria, Metrics, and Weighting (continued)

MAIN CRITERIA	SUB-CRITERIA	METRICS	WEIGHTING (percent)
COSTS		Cost effectiveness of alternative based on the sum of the weighted products of the sub-criteria metrics	40
	Construction Costs	Estimated construction	75
	Operation and Maintenance Costs	Estimated annual O&M costs	15
	Risk and Uncertainty	The degree to which there is risk and uncertainty associated with the alternative 0 = high risk 3 = Low	10

Other Decision Support Criteria, Metrics, and Weighting

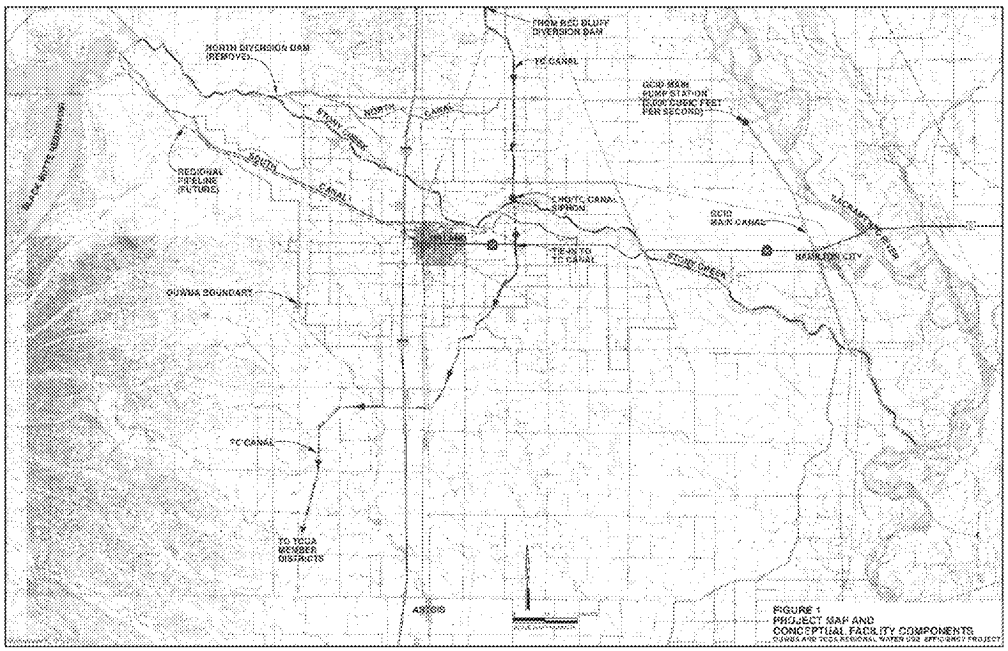
11

Review Potential Measures/Facilities

	Component Cost	Alternative 0	Alternative 1	Alternative 2	Alternative 3	Alternative 4a	Alternative 4b	Alternative 5a	Alternative 5b	Alternative 6a	Alternative 6b
Total (2024) w/o financing cost		$5,254,296,825	$5,838,995,392	$5,949,376,800	$6,005,393,625	$7,838,436,392	$8,060,859,992	$5,647,808,825	$5,870,668,825	$5,418,995,892	$5,530,196,825
% cost reduction		0%	11%	13%	14%	27%	29%	8%	12%	3%	5%
Total (2031)		$9,667,849,000	$10,573,668,353	$10,692,849,000	$10,751,549,835	$13,344,879,000	$13,573,549,000	$10,062,599,000	$10,286,808,353	$9,750,849,000	$9,865,449,000
RESERVOIRS/DIVERSIONS											
Oakdale Dam Raise and Fish	$688,000,000	$288,000,000	$291,000,000	$288,000,000	$288,000,000	$291,000,000	$288,000,000	$291,000,000	$291,000,000	$291,000,000	$291,000,000
Shaffer Spain Bridge	$61,000,000	$213,000,000									
Goat Gain Bridges	$425,000,000		$129,000,000		$428,000,000	$129,000,000	$129,000,000	$129,000,000	$129,000,000	$129,000,000	$129,000,000
Lobdell Road Long Roads	$14,000,000										
Lobdell Road Upland Roads	$450,000,000			$450,000,000							
South Road Property Access	$30,000,000		$30,000,000		$30,000,000	$30,000,000	$30,000,000	$30,000,000	$30,000,000	$30,000,000	$30,000,000
Construct New Dams (12.5kAF) - Zoned Embankment	$811,000,000	$810,000,000									
Construct New Dams (12.5kAF) - Zoned Embankment	$811,000,000		$811,000,000	$811,000,000	$811,000,000			$811,000,000	$811,000,000		
Construct New Dams (12.5kAF) - Earthfill	$556,000,000					$556,000,000				$556,000,000	
Construct New Dams (12.5kAF) - Hardfill	$696,000,000						$696,000,000				
Construct New Dams (12.5kAF) - Zoned Embankment	$400,000,000										
Construct New Dams (12.5kAF) - Earthfill	$620,000,000										$620,000,000
Construct Saddle Dams (1.0 TAF)	$271,000,000	$270,000,000									
Construct Saddle Dams (1.0 TAF)	$183,000,000		$183,000,000	$183,000,000	$183,000,000	$183,000,000	$183,000,000	$183,000,000	$183,000,000	$183,000,000	
Construct Saddle Dams (1.0 TAF)	$64,000,000										$64,000,000
Construct Flowage/Access (Flowage/Fish Passage)	$490,000,000	$190,000,000									
Fluke Reservoir Conditional Dredging	$20,000,000		$20,000,000	$20,000,000		$20,000,000	$20,000,000	$20,000,000	$20,000,000		
Construct TRP Relocations	$218,000,000	$35,000,000	$35,000,000	$35,000,000	$35,000,000	$35,000,000	$35,000,000	$35,000,000	$35,000,000	$35,000,000	$35,000,000
North Yolo Regulating Reservoir	$30,000,000				$30,000,000					$30,000,000	$30,000,000
Humes Creek Release Structures (at S Sandle Dams)	$54,000,000		$54,000,000	$54,000,000	$54,000,000	$54,000,000	$54,000,000	$54,000,000	$54,000,000	$54,000,000	$54,000,000
RIVERWAY AND GENERATED FLOWS											
Construct 40-Structure and Single 50 Diversion Tunnel	$41,000,000	$210,000,000									
Construct 40 Structure and Two 45' Diameter Tunnels	$280,000,000		$280,000,000	$280,000,000	$280,000,000	$280,000,000	$0	$280,000,000	$280,000,000	$280,000,000	$280,000,000
Sites Pumping/Generating Road (6,000 cfs) - Job Delaver	$61,000,000	$60,000,000									
Sites Pumping/Generating Road (6,000 cfs) - Job Delaver	$684,000,000		$684,000,000	$684,000,000		$684,000,000	$684,000,000	$684,000,000	$684,000,000		
TC Tunnel Pumping Plant (3,000 cfs)	$385,000,000				$385,000,000					$385,000,000	$385,000,000
TRP Pumping-Generating Plant - 1800 cfs	$1,180,000,000	$150,000,000	$1,180,000,000	$1,180,000,000		$1,180,000,000	$1,180,000,000	$1,180,000,000	$1,180,000,000		
Headquarters-near Old Rangden Plant - 1000 cfs	$385,000,000				$385,000,000					$385,000,000	$385,000,000
CBD Pumping Plant for Diversion Release (750 cfs)	$54,000,000		$54,000,000	$54,000,000		$54,000,000	$54,000,000				
Sacramento River Pumping-Generating Plant (6000 cfs)	$696,000,000	$260,000,000									
Sacramento River Release Structure - 4500 cfs	$16,000,000										
Sacramento River Release Structure - 750 cfs	$5,000,000		$5,000,000	$5,000,000	$5,000,000	$5,000,000	$5,000,000				
Sacramento River Fish Screen Structure	$55,000,000	$20,000,000									
Red Bluff Pump Addition	$8,349,000	$2,000,000	$8,000,000	$8,849,000	$8,000,000	$8,000,000	$8,849,000	$8,000,000	$8,000,000	$8,000,000	$8,000,000
CBD Pumping Plant for Fall Diversion (750 cfs)	$54,000,000								$54,000,000		$54,000,000
CANAL AND CONVEYANCE											
Construct Channel to Hamilton	$10,000,000	$10,000,000									
Relocate Channel with Hamilton Creek Discharge	$31,000,000		$31,000,000	$31,000,000	$31,000,000	$31,000,000	$31,000,000	$31,000,000	$31,000,000	$31,000,000	$31,000,000
Construct Delevan Pipeline - Two Pipeline	$696,000,000	$260,000,000									
Construct Delevan Pipeline - One Pipeline	$385,000,000										
Deletion Canal to CBD (750 cfs)	$450,000,000		$450,000,000	$450,000,000	$450,000,000	$450,000,000	$450,000,000				
CBD Intake and Pipeline to River (750 cfs)	$61,000,000		$216,000,000	$216,000,000	$216,000,000	$216,000,000	$216,000,000				
TCNP Pipeline to Sites Reservoir (1,500 cfs)	$41,000,000				$41,000,000					$110,000,000	$110,000,000
Construct TRP Pipeline - Four Pipelines (with Afterbay)	$850,000,000	$385,000,000									
Construct TRP Pipeline - Three Pipelines	$280,000,000		$280,000,000	$280,000,000		$280,000,000	$280,000,000				
Construct TRP Pipeline - Two Pipelines	$21,000,000				$21,000,000			$216,000,000	$216,000,000	$216,000,000	$216,000,000
TC Canal Extension to CBD	$7,000,000							$73,000,000	$73,000,000	$73,000,000	$73,000,000
Siphon, Tunnel, and Pipelines to CBD (3 Pipe)	$220,000,000								$220,000,000		$220,000,000

Draft_0027030

STONY CREEK DIVERSION



Draft_0027031

Stony Creek Diversion – Black Butte Flood Control Releases (January 2010 – March 2019)

YEAR	Total Release (acre feet)	
2010	179,833.39	note: available data begins 1-1-2010
2011	162,745.79	
2012	33,147.77	
2013	192,700.17	
2014	9,520.66	
2015	118,433.06	
2016	182,100.50	
2017	687,986.78	
2018	20,737.19	
2019	567,772.56	

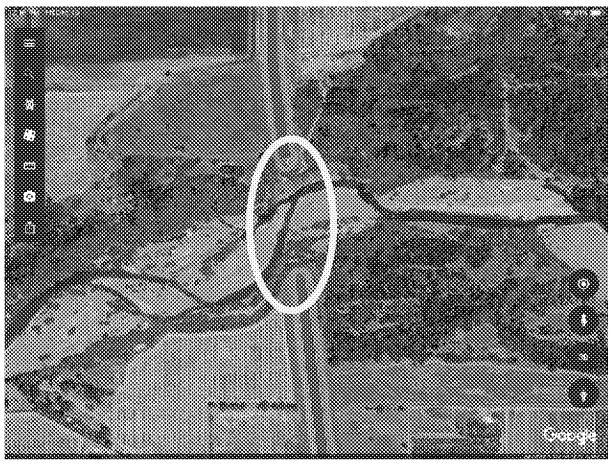
source: CDEC historical release data for Station ID= BLB (Black Butte Reservoir)

Average 215 TAF/yr

Sites

T-C Canal Siphon at Stony Creek

- Diversion on Laly property where Stony Creek crosses T-C Canal
- New diversion near existing constant head orifice
- Diversion capacity 900 cfs – approximately $89M (additional analysis needed to right size)
- What is the permittable diversion (biological opinion)?



Draft_0027033

Other Alternative Facility Configurations

Draft_0027034

Value Planning Alternatives – Comparison

Features	Value Planning Alternatives								
	1	2	3	4a	4b	5a	5b	6a	6b
Cost ($billions)	$4.0B	$4.0B	$3.9B	$3.8B	$3.9B	$3.5B	$3.9B	$3.4B	$3.6B
Savings from 1.8 MAF Alternative D	$1.2B	$1.2B	$1.3B	$1.4B	$1.3B	$1.7B	$1.3B	$1.8B	$1.6B
1.5 MAF Reservoir	•	•	•	•	•	•	•	•	
1.3 MAF Reservoir									•
Funks/Sites PGP	•	•		•	•	•	•		
Replace Sites PGP with Two Smaller Plants			•					•	•
Delevan Canal/Pipeline Release	•	•	•	•	•				
Dunnigan Canal to CBD Release						•		•	
Dunnigan to River Release							•		•
Multi-Span Bridge	•		•	•	•	•	•	•	•
South Road to Lodoga		•							
South Road to Residents	•		•	•	•	•	•	•	•
Rockfill Embankment Dam	•	•	•			•	•		
Earthfill Dam				•				•	•
Hardfill Dam					•				

CBD = Colusa Basin Drain
MAF = million acre feet
PGP = Pumping/Generating Plant
TCRR = Tehama-Colusa Regulating Reservoir
TRR = Terminal Regulating Reservoir

Alternative 1 – Reduce Reservoir to 1.5 MAF, change bridge to multi-span bridge, and substitute a release only canal for the single Delevan pipeline from Colusa Basin Drain to TRR near TCC - $4.0B. The other features are generally consistent with Alternative D - $5.2B

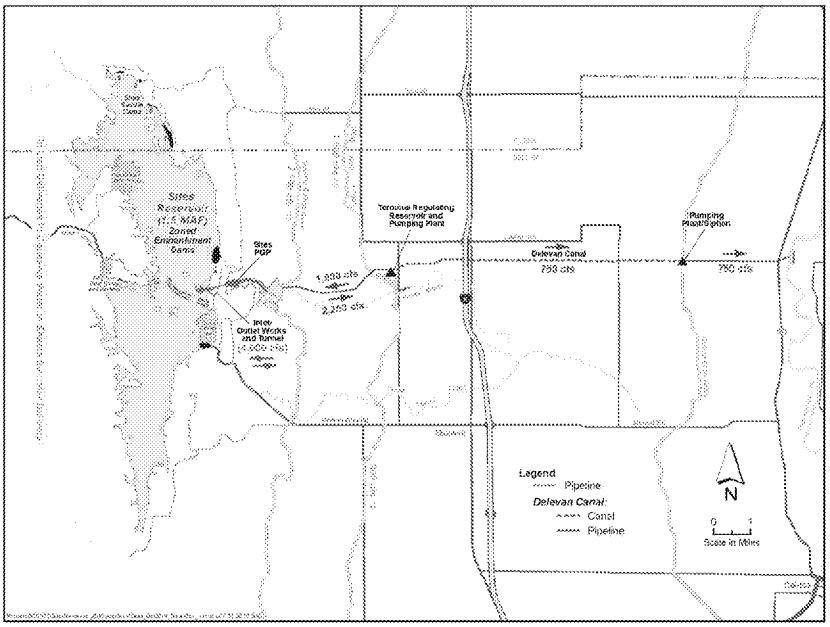


Figure 1

Draft_0027036

Alternative 2 – Similar to Alternative 1 but uses the southern road alignment to Lodoga in place of the bridge - $4.0B

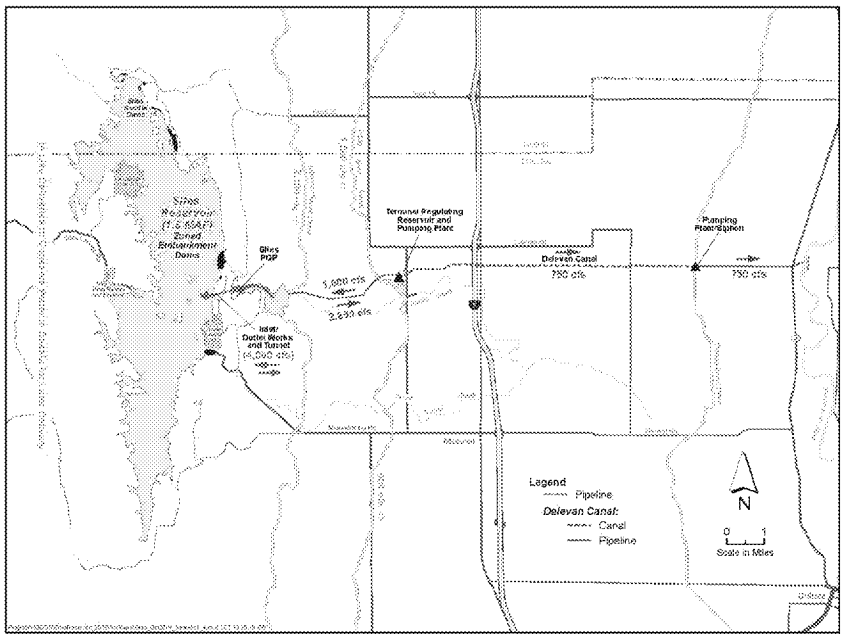


Figure 2

Draft_0027037

Alternative 3 – Similar to Alternative 1, but replaces Sites PGP with the Tehama-Colusa Regulating Reservoir and PP and modified TRR - $3.9B

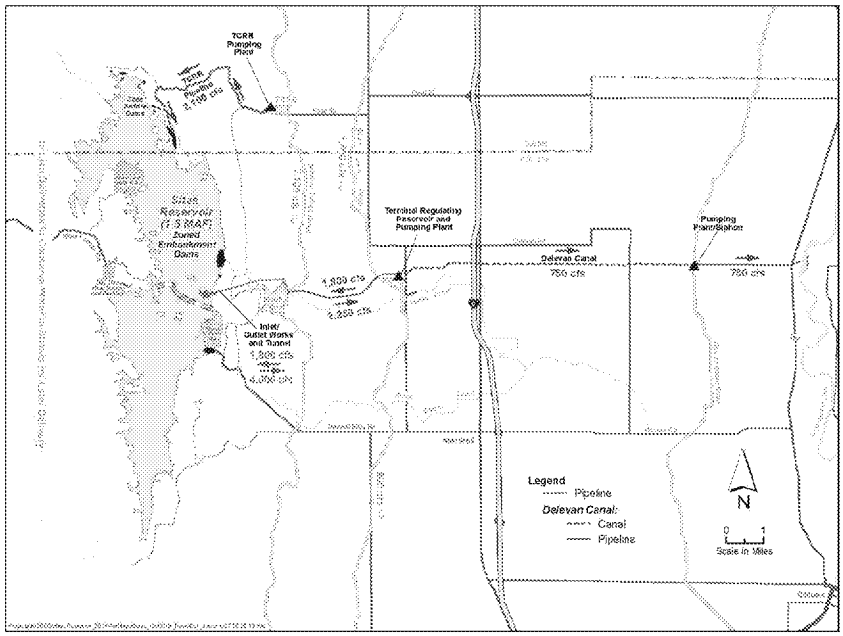


Figure 3

Draft_0027038

Alternative 4a – Same as Alternative 1 but replaces the zoned rockfill embankment dam with an earthfill dam – $3.8B

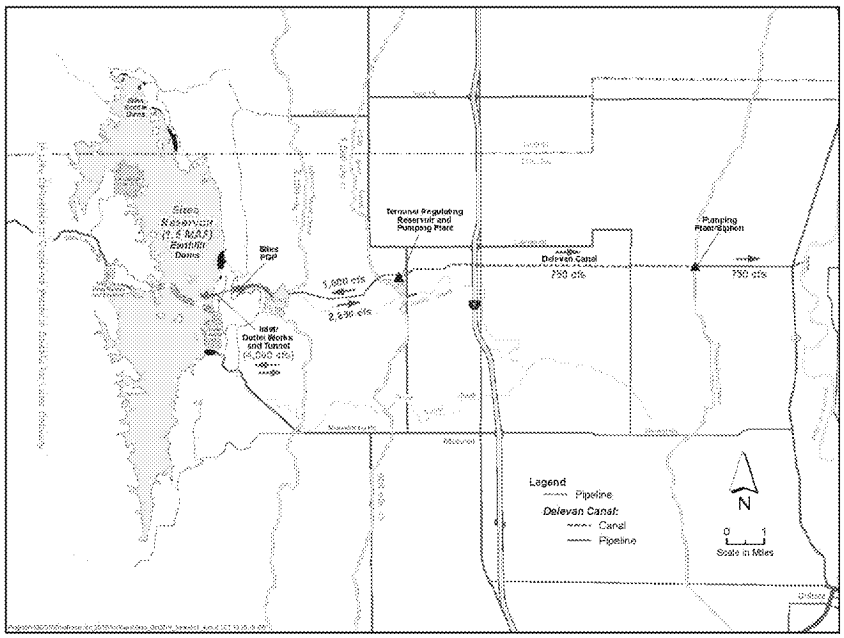


Figure 4a

Draft_0027039

Alternative 4b – Same as Alternative 1 but replaces the zoned rockfill embankment dam with a hardfill dam – $3.9B

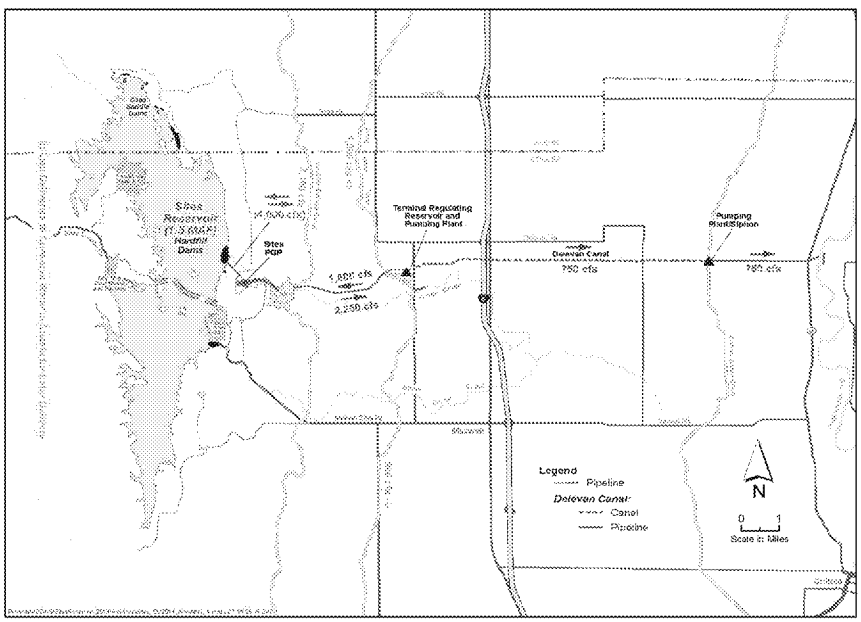


Figure 4b

Draft_0027040

Alternative 5a – Similar to Alt 1, but replaces Delevan Canal/ Pipeline with pipeline/canal release from the Dunnigan Canal to the Colusa Basin Drain, thence through the lower portion of the Colusa Basis Drain to the Sacramento River - $3.5B

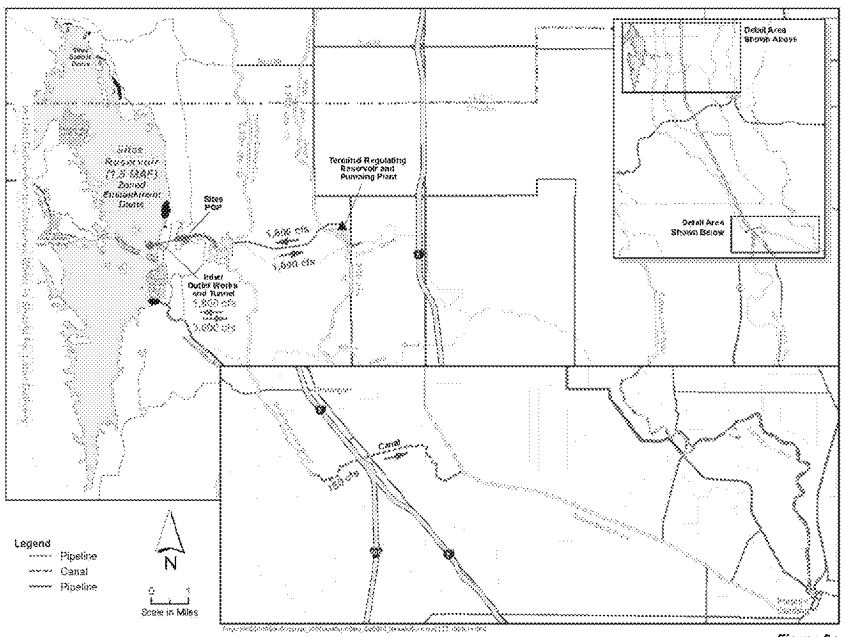


Figure 5a

Draft_0027041

Alternative 5b - Similar to Alternative 5a but releases water from Dunnigan Canal to the Sacramento River via a canal, siphon and pumping plant - $3.9B

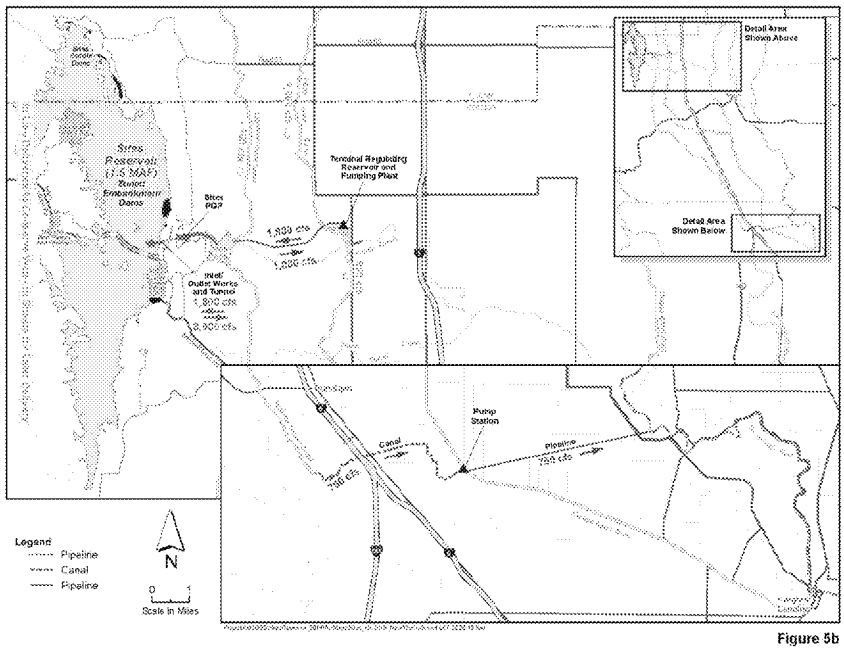


Figure 5b

Draft_0027042

Alternative 6a – Lowest cost combination of Alt 3, 4 and 5a – replace Sites PGP with 2 smaller facilities, Dunnigan release to the CBD, and an earthfill dam - $3.4B

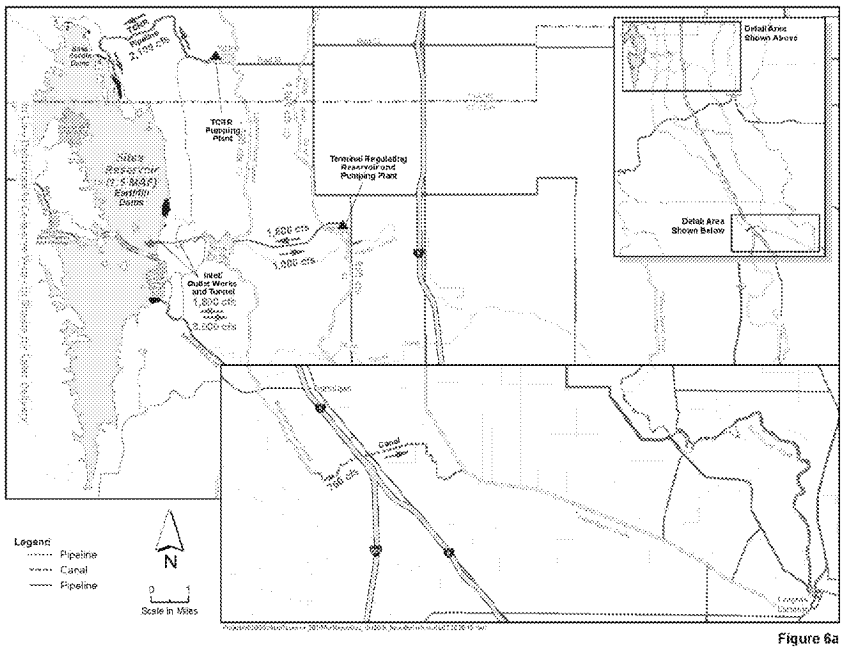


Figure 6a

Draft_0027043

Alternative 6b – Similar to Alternative 6a, but reduces Reservoir to 1.3MAF and releases water from the Dunnigan Canal to the CBD - $3.6B

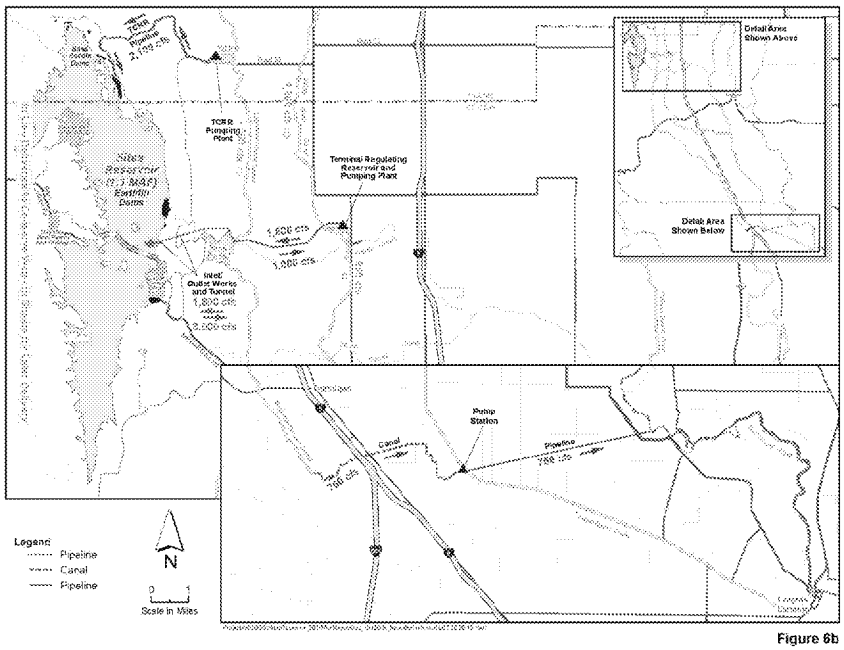


Figure 6b

Draft_0027044

Estimated Project Costs

Alternative	Estimated Costs ($2018) (financing cost not included)	Cost Reduction from Alternative D
Alternative D	$5,235 million	0%
Alternative 1	$3,970 million	24%
Alternative 2	$3,988 million	24%
Alternative 3	$3,868 million	26%
Alternative 4a	$3,828 million	27%
Alternative 4b	$3,861 million	26%
Alternative 5a	$3,548 million	32%
Alternative 5b	$3,876 million	26%
Alternative 6a	$3,417 million	35%
Alternative 6b	$3,584 million	32%

- Alternative Project Costs Range from $3.4 to $4.0B versus $5.2B for Alternative D
- Alternative D includes $218M of Risk (4.2%) from the February 2018 Risk Analysis. Value Planning estimates do not include risk adjustment or analysis.

Draft_0027045

From: Spranza, John [John.Spranza@hdrinc.com]
Sent: 12/13/2019 2:51:18 PM
To: Alicia Forsythe [aforsythe@sitesproject.org]
CC: Lecky, Jim [Jim.Lecky@icf.com]; Chris Fitzer [CFitzer@esassoc.com]; Arsenijevic, Jelica [Jelica.Arsenijevic@hdrinc.com]; Monique Briard (monique.briard@icf.com) [monique.briard@icf.com]
Subject: RE: Sites - CDFW Effort, Modeling Catch-up
Attachments: Sites_2020-21 Aquatic Permitting Approach Memo_12132019.docx

Importance: High

Hi Ali,
Jim, Chris and I met as the "small group" this morning, please see the attached memo discussing the outcomes. We are around to discuss when you have time.

John Spranza

D 916.679.8858 M 818.640.2487

From: Alicia Forsythe [mailto:aforsythe@sitesproject.org]
Sent: Monday, December 9, 2019 7:45 AM
To: Thayer, Reed/SAC <Reed.Thayer@jacobs.com>; Whittington, Chad/SAC <Chad.Whittington@jacobs.com>; Jim Lecky (jim.Lecky@icf.com) <jim.Lecky@icf.com>; CFitzer@esassoc.com; Rob Thomson <rthomson@sitesproject.org>; Tull, Robert/SAC <Robert.Tull@jacobs.com>; Leaf, Rob/SAC <Rob.Leaf@jacobs.com>; Spranza, John <John.Spranza@hdrinc.com>; Thad Bettner (tbettner@gcid.net) <tbettner@gcid.net>; Robert J. Kunde (rkunde@wrmwsd.com) <rkunde@wrmwsd.com>; Jim Watson <jwatson@sitesproject.org>
Subject: RE: Sites - CDFW Effort, Modeling Catch-up

Hi all – Thanks for the good discussion last week and for all the hard work by the Jacobs team. I had the following two action items out of our meeting:

1. Jacobs to draft an approach for Calsim model updates for review and discussion by this group. Generally break this out into three time periods – now to end of March; April to end of June; July 2020 to end of June 2021.

2. John to lead small group to develop a suggested approach for where we go from here with biological analysis. Prepare a write up on the suggested approach for discussion by this group. Approach should combine both the modeling team and the ICF team ideas. If can, also generally break this out into three time periods – now to end of March; April to end of June; July 2020 to end of June 2021.

It would be great if both approaches were distributed to this group prior to December 20. Let's get back together in early January to review both approaches and better firm up a path forward. Below are a few dates / times for this. Please let me know what works for you.

Thursday, January 2 – 9 to 11 AM
Thursday, January 2 – 1 to 3 PM
Friday, January 3 – 9 to 11 AM
Friday, January 3 – 1 to 3 PM
Monday, January 6 – 1 to 3 PM
Tuesday, January 7 – 9 to 11 AM
Tuesday, January 7 – 10 to noon
Tuesday, January 7 – 1 to 3 PM

Thanks all!

Ali

Alicia Forsythe | Environmental Planning and Permitting Manager | Sites Reservoir Project | 916.880.0676 | aforsythe@sitesproject.org | www.SitesProject.org

CONFIDENTIALITY NOTICE: This communication with its contents may contain confidential and/or legally privileged information. It is solely for the use of the intended recipient(s). Unauthorized interception, review, use or disclosure is prohibited and may violate applicable laws including the Electronic Communications Privacy Act. If you are not the intended recipient, please contact the sender and destroy all copies of the communication.

From: Thayer, Reed/SAC <Reed.Thayer@jacobs.com>
Sent: Friday, December 6, 2019 1:44 PM
To: Alicia Forsythe <aforsythe@sitesproject.org>; Whittington, Chad/SAC <Chad.Whittington@jacobs.com>; Jim Lecky (jim.Lecky@icf.com) <jim.Lecky@icf.com>; CFitzer@esassoc.com; Rob Thomson <rthomson@sitesproject.org>; Tull, Robert/SAC <Robert.Tull@jacobs.com>; Leaf, Rob/SAC <Rob.Leaf@jacobs.com>; John Spranza (john.spranza@hdrinc.com) <john.spranza@hdrinc.com>; Thad Bettner (tbettner@gcid.net) <tbettner@gcid.net>; Robert J. Kunde (rkunde@wrmwsd.com) <rkunde@wrmwsd.com>; Jim Watson <jwatson@sitesproject.org>
Subject: RE: Sites - CDFW Effort, Modeling Catch-up

Contents and attachments are part of the deliberative process, which is deemed to be exempt from Public Records Act requests and is subject to the confidentiality agreement between recipient and the Sites Project Authority. Further distribution to other organizations is not permissible.

PDF of table is attached

From: Thayer, Reed/SAC
Sent: Friday, December 06, 2019 1:05 PM
To: Alicia Forsythe <aforsythe@sitesproject.org>; Whittington, Chad/SAC <Chad.Whittington@jacobs.com>; Jim Lecky (jim.Lecky@icf.com) <jim.Lecky@icf.com>; CFitzer@esassoc.com; Rob Thomson <rthomson@sitesproject.org>; Tull, Robert/SAC <Robert.Tull@jacobs.com>; Leaf, Rob/SAC <Rob.Leaf@jacobs.com>; John Spranza (john.spranza@hdrinc.com) <john.spranza@hdrinc.com>; Thad Bettner (tbettner@gcid.net) <tbettner@gcid.net>; Robert J. Kunde (rkunde@wrmwsd.com) <rkunde@wrmwsd.com>
Subject: RE: Sites - CDFW Effort, Modeling Catch-up

Contents and attachments are part of the deliberative process, which is deemed to be exempt from Public Records Act requests and is subject to the confidentiality agreement between recipient and the Sites Project Authority. Further distribution to other organizations is not permissible.

PDF of Power Point is attached

-----Original Appointment-----
From: Alicia Forsythe <aforsythe@sitesproject.org>
Sent: Monday, December 02, 2019 2:49 PM
To: Alicia Forsythe; Whittington, Chad/SAC; Thayer, Reed/SAC; Jim Lecky (jim.Lecky@icf.com); CFitzer@esassoc.com; Rob Thomson; Tull, Robert/SAC; Leaf, Rob/SAC; John Spranza (john.spranza@hdrinc.com); Thad Bettner (tbettner@gcid.net)
Cc: Robert J. Kunde (rkunde@wrmwsd.com)
Subject: Sites - CDFW Effort, Modeling Catch-up
When: Friday, December 06, 2019 1:00 PM-3:00 PM (UTC-08:00) Pacific Time (US & Canada).
Where: Jacobs Office; 866-583-7984;; 1977661#

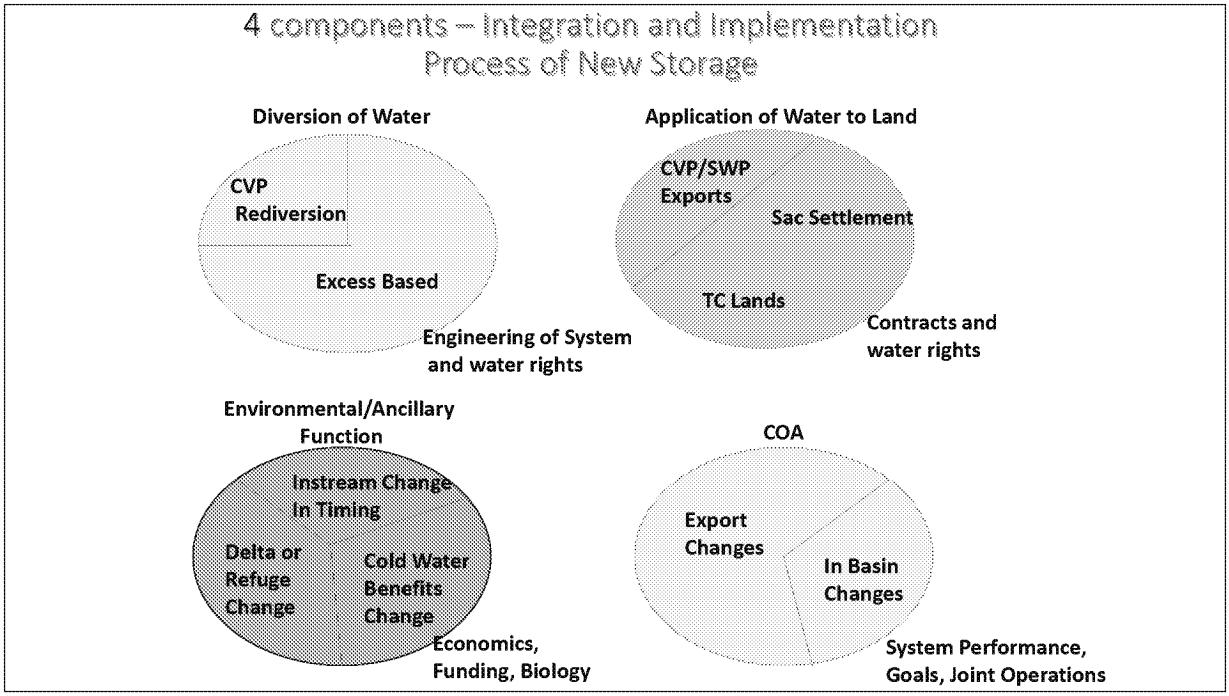
-----Original Appointment-----
From: Alicia Forsythe <aforsythe@sitesproject.org>
Sent: Monday, December 02, 2019 11:14 AM
To: Alicia Forsythe; Jim Lecky (jim.Lecky@icf.com); CFitzer@esassoc.com; Rob Thomson; Tull, Robert/SAC; Leaf, Rob/SAC; John Spranza (john.spranza@hdrinc.com); Thad Bettner (tbettner@gcid.net)
Cc: Robert J. Kunde (rkunde@wrmwsd.com)
Subject: [EXTERNAL] Sites - CDFW Effort, Modeling Catch-up
When: Friday, December 06, 2019 1:00 PM-3:00 PM (UTC-08:00) Pacific Time (US & Canada).
Where: Jacobs Office; 866-583-7984;; 1977661#

Added location and call in number

Sorry for the delay in getting this scheduled. Below is a draft agenda. I'll work on getting us a location and call in information.

Draft Agenda:
1. Results of Options A and B, if available
2. Additional Changes to Calsim model to move beyond screening level
a. Reclamation as partner only (no cost share)
b. Water Fix refinements
c. Fremont Weir
d. Others?
3. Additional Modeling to Refine Scenarios (temperature, DSM2, etc.)
4. Schedule
5. Other Items

NOTICE - This communication may contain confidential and privileged information that is for the sole use of the intended recipient. Any viewing, copying or distribution of, or reliance on this message by unintended recipients is strictly prohibited. If you have received this message in error, please notify us immediately by replying to the message and deleting it from your computer.


4 components – Integration and Implementation Process of New Storage
Diversion of Water
CVP Rediversion
Excess Based
Engineering of System and water rights
Application of Water to Land
CVP/SWP Exports
Sac Settlement
TC Lands
Contracts and water rights
Environmental/Ancillary Function
Instream Change In Timing
Delta or Refuge Change
Cold Water Benefits Change
Economics, Funding, Biology
COA
Export Changes
In Basin Changes
System Performance, Goals, Joint Operations

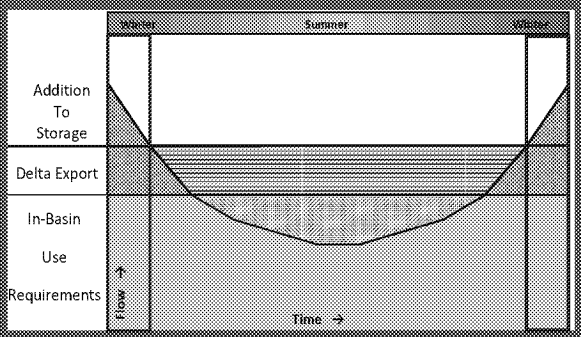
COA Sharing Concepts

Suspended COA accounting

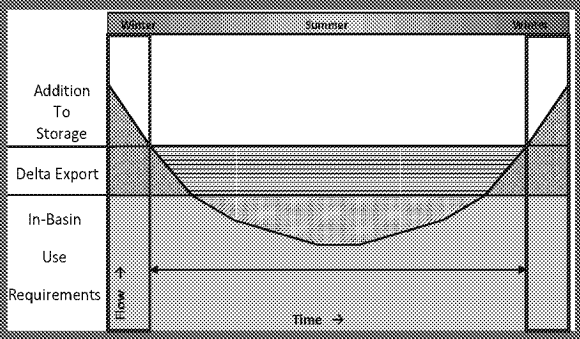
Excess condition

Water available to:

- **Meet all In-Basin Uses**
- **Export all available Delta supply**
  - **Subject to Export constraints**
- **Divert to reservoir storage (existing/new)**



COA In Basin Use Seasonality



- With Sites Reservoir, the issues are integrated under 'In Basin Use' definitions
- In Basin Uses will change with the existence of Sites
- The water rights of the CVP/SWP/Sites are used on CVP/SWP lands and purposes simultaneously

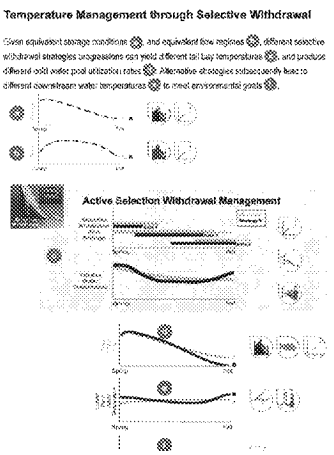
Operational Flexibility with New Storage Facilities

- Operational flexibility equates to delivery capability with ancillary benefits, which are performance goals
- Operational flexibility fundamentals (which are COA)
  - Ancillary benefits
  - Determining performance goals
  - Administrative actions – water rights/contract for water to CVP/SWP lands
  - Redirected funding to facilities
  - Need for a BON

COA fundamentals

- Evaluate delivery capability
- Identify who pays – in FR, economic benefits are ancillary to get to Federal funding
- Economic valuations of projects are the shifts in yield between surface water, groundwater and conservation (which keeps annual variability down)
- Feasibility determination should include draft amendatory contract administration and terms
- BON/Basis of Negotiation and COA functionalities – how the new storage is integrated with the CVP/SWP
- No assurances of benefits, only potential performance of system for delivery capability for project purposes.
- New COA 2018 – adding new facilities to enhance existing facilities and enhance reliability.

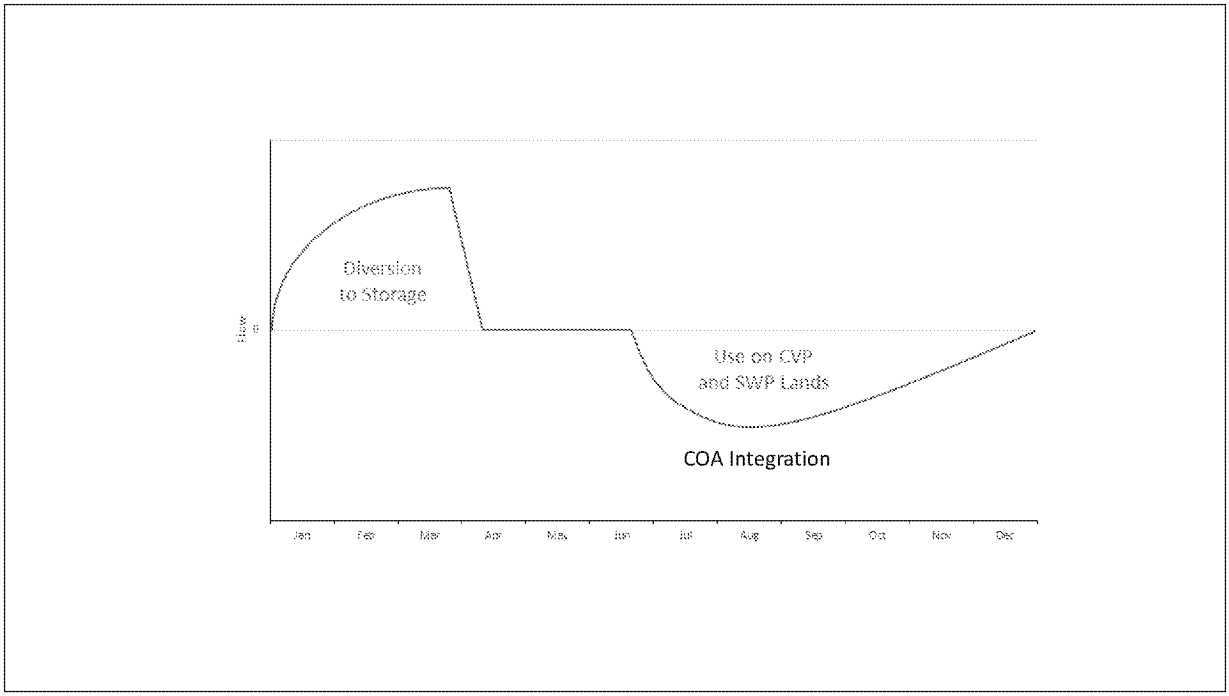
Shasta-Sites Exchange



- With the Sites-Shasta Exchange proposal, the intent is to improve the Shasta cold water pool and temperature benefit
- Reclamation is seeking to understand how the exchange could functionally work, both through technical analysis and illustrations.
- This graphic can be used as an example to illustrate leveraging selective withdrawal capabilities at Shasta with the ability to manage river temperatures for environmental objective.

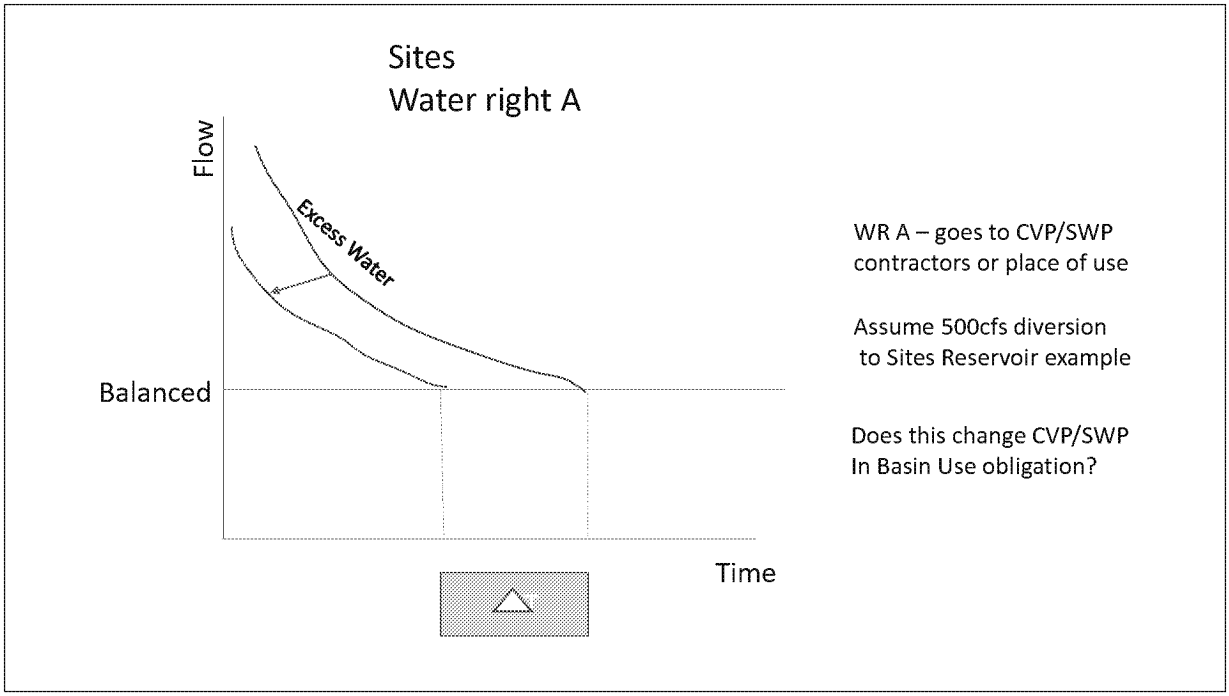
Reclamation's Role

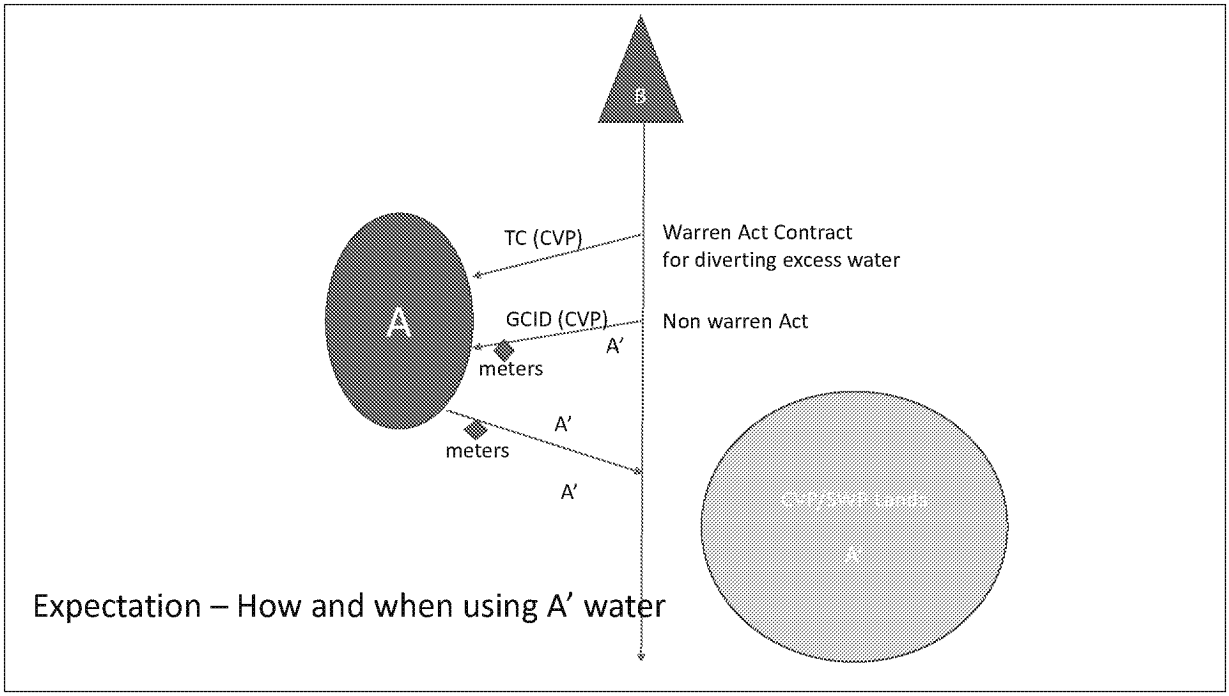
- Reclamation's Basis of Negotiation will address policy direction on water right permit conditions, contractual relationships, and coordination of operation in order to have a basis to develop draft operation principles and plans.
- Reclamation's role is cooperative and administrative from a water rights and contractual basis. We are sharing the water resource of California to apply to CVP/SWP lands and purposes.
- For new storage project water right permits, the following questions must be answered:
  - What is the season of diversion?
  - What is the place of use?
  - What is/are the season/purpose of use?


Diversion
to Storage
Use on CVP
and SWP Lands
COA Integration
Jan
Feb
Mar
Apr
May
Jun
Jul
Aug
Sep
Oct
Nov
Dec

The following items are intended to assist in developing an integrated water supply management framework for integration within CA's water system:

- Implementation strategy framework to help lay out how Reclamation is partnering with the project proponent into the future in order to make this a viable project
- Basis of Negotiation to begin framing how Reclamation intends to begin the real process of initiating administrative commitments from a water rights and contractual basis
- What water rights are being used to store and divert water?
- What land uses are being considered for the application of water associated with the water right(s)?
- What contractual mechanisms are needed in order to implement the project?


Sites
Water right A
Flow
Excess Water
Balanced
Time
WR A – goes to CVP/SWP contractors or place of use
Assume 500cfs diversion to Sites Reservoir example
Does this change CVP/SWP In Basin Use obligation?


B
TC (CVP)
Warren Act Contract
for diverting excess water
A
GCID (CVP)
Non warren Act
A'
meters
A'
meters
A'
A
Expectation – How and when using A' water

Master Tiering from the Feasibility Report

What are we aspiring for?

- Water Rights terms
- Contract terms
- COA formulation of consideration
  - Policy
  - Analysis

Elements

- Programmatic analysis on approach
  - Supporting information for the application of water right

What are the steps for implementation and integration?

- Tier 1 – Governance
  - What are the materials needed for project?
  - Integrated conceptual correlations
  - In front of SWRCB for water right decision on the 3 elements
  - What are the pieces-construction footprint, draft water right, draft contracts, draft COA
- Tier 2 – Funding/Construction
  - Contingent on Tier 1
  - Finalize implementation path
  - Finalize environmental documents
  - Fish Agency coordination

Components of an Integrated Water Supply Management Framework

These are the specific terms and conditions that all parties would need to be in agreement with in order to develop a new COA for the functioning of Sites Reservoir, (or any new storage projects) whose goals and objectives integrate with the CVP/SWP. The terms and conditions include but are not limited to the following:

- Description of the project and its facilities
- Description of who owns and operates the facilities
- Description of what water is used to fill the facility and the water rights permits

(Continued)

- Description of how operations are regulated under what Biological regulations and water right terms
- Goals of all parties involved – water quality, water supply, environmental, coordination of operations, etc
- Terms of the Agreement including seasonal consultations with the CVP/SWP
- Any Covenants to minimize conflicts in the operation of facilities in coordination with CVP/SWP operations
- Considerations for Assessment and Monitoring on the coordination of operations of new facilities with CVP/SWP and affects to CVP water deliveries and carryover storage

Priority of Delivery of SWP Water

- 1. Entitlement (project water)
- 2. Article 21
- 3. 14(b) water due to SWP outages
- 4. Project water stored under 12€ and 56 provision
- 5. Non-Project water (article 55) to fulfill annual entitlements
- 6. Article 21
- 7. Non-Project in excess of entitlement
- 8. JPOD
- 9. Specific CVP water
- 10. CVP and EWA
- 11. Article 56 – storage in San Luis for captured carryover

We will need an agreement with DWR on the use of Banks PP.
We will have to negotiate the use and priority of Sites water

To: Marcia Kivett [MKivett@sitesproject.org]; RWelsh@usbr.gov; MDietl@usbr.gov; MMosley@usbr.gov; DBader@usbr.gov; DVanRijn@usbr.gov; Nwolder@usbr.gov; RDavis@usbr.gov; kkennedy@usbr.gov; dsumer@usbr.gov; Board Clerk [boardclerk@sitesproject.org]; dgonsalves@cityofsacramento.org; boardclerk@countyofcolusa.com; Donald.bransford@gmail.com; gevans@countyofcolusa.org; fritz.durst@gmail.com; 1cjm@frontiernet.net; lmcdaniel@countyofglenn.net; jsutton@tccanal.com; jdparker@frontiernet.net; glallen@surewest.net; Jsharris@cityofsacramento.org; logan@canalfarms.com; MJAzevedo@countyofcolusa.org; sean@dohertyfarms.com; dkorchards@frontiernet.net; jviegas@countyofglenn.net; blakevann@vannbros.com; Zachary@CanalFarms.com; jalpine@hotmail.com; asanger@cityofsacramento.org; SchmitzK@SacCounty.net; PetersonMi@SacCounty.net; jamie@tnpfarms.com; greg@greatrice.com; ted@westerncanal.com; Jim Watson, General Manager [jwatson@sitesproject.org]; Kevin Spesert (kspesert@sitesproject.org) [kspesert@sitesproject.org]; Joe Trapasso [jtrapasso@sitesproject.org]; Rob Thomson, Asst. GM [rthomson@sitesproject.org]; Ali Forsythe [aforsythe@sitesproject.org]; Lee.Frederiksen@hdrinc.com; Yolanda Tirado [ytirado@sitesproject.org]; skuney@youngwooldridge.com; Jeff Kivett [JKivett@BrwnCald.com]; JP Robinette [JRobinette@BrwnCald.com]; charris@brwncald.com; Boling, Robert M. [Robert.Boling@hdrinc.com]; Cathy.Westcot@hdrinc.com; Erin.Heydinger@hdrinc.com; John Spranza (john.spranza@hdrinc.com) [john.spranza@hdrinc.com]; laurie.warner.herson@phenixenv.com; connermcdonald@gmail.com; Sara M. Katz [SKatz@KatzandAssociates.com]

CC: Heather Dyer (heatherd@sbvmwd.com) [heatherd@sbvmwd.com]; SBigley@roseville.ca.us; Tjoseph@roseville.ca.us; JTMarks@roseville.ca.us; Stephanie Kauss [SKauss@cityofsacramento.org]; Kayla Mendonca [kmendonca@gcid.net]; Thad Bettner [tbettner@gcid.net]

Subject: Sites Authority Board Meeting

Attachments: 06-02 Value Planning Presentation.pdf; 06-03 Work Plan Presentation.pdf; 06-04 Extending to Current Participation Agreement Presentation.pdf; Authority Board Meeting Teleconferencing Information - Public.docx; Authority Board_Agenda Packet.pdf

Start: 3/25/2020 1:30:00 PM
End: 3/25/2020 4:00:00 PM
Show Time As: Busy

Recurrence: (none)

Required Attendees: RWelsh@usbr.gov; MDietl@usbr.gov; MMosley@usbr.gov; DBader@usbr.gov; DVanRijn@usbr.gov; Nwolder@usbr.gov; RDavis@usbr.gov; kkennedy@usbr.gov; dsumer@usbr.gov; Board Clerk; dgonsalves@cityofsacramento.org; boardclerk@countyofcolusa.com; Donald.bransford@gmail.com; gevans@countyofcolusa.org; fritz.durst@gmail.com; 1cjm@frontiernet.net; lmcdaniel@countyofglenn.net; jsutton@tccanal.com; jdparker@frontiernet.net; glallen@surewest.net; Jsharris@cityofsacramento.org; logan@canalfarms.com; MJAzevedo@countyofcolusa.org; sean@dohertyfarms.com; dkorchards@frontiernet.net; jviegas@countyofglenn.net; blakevann@vannbros.com; Zachary@CanalFarms.com; jalpine@hotmail.com; asanger@cityofsacramento.org; SchmitzK@SacCounty.net; PetersonMi@SacCounty.net; jamie@tnpfarms.com; greg@greatrice.com; ted@westerncanal.com; Jim Watson, General Manager; Kevin Spesert (kspesert@sitesproject.org); Joe Trapasso; Rob Thomson, Asst. GM; Ali Forsythe; Lee.Frederiksen@hdrinc.com; Yolanda Tirado; skuney@youngwooldridge.com; Jeff Kivett; JP Robinette; charris@brwncald.com; Boling, Robert M.; Cathy.Westcot@hdrinc.com; Erin.Heydinger@hdrinc.com; John Spranza (john.spranza@hdrinc.com); laurie.warner.herson@phenixenv.com

Optional Attendees: Heather Dyer (heatherd@sbvmwd.com); SBigley@roseville.ca.us; Tjoseph@roseville.ca.us; JTMarks@roseville.ca.us; Stephanie Kauss

Dear Authority Board Members, Alternates and Public Participants,

Board members, please refer to my email for closed session teleconference information.

Please see the attached:

- Teleconferencing Information.
- Complete Agenda Packet.

- Agenda Item 6-2, Value Planning Presentation.
- Agenda Item 6-3, Updated Work Plan Presentation.
- Agenda Item 6-4, Extending to Current Participation Agreement Presentation.

If you would like to log in early to the WebEx location, it will be up and running at 12:30 to work out any issues.

Public Teleconference Line – 1-844-531-9388; code 739 386 447
Public WebEx (Screen Share): https://hdrinc.webex.com/hdrinc/j.php?MTID=m00692b0f3ec0c61996a745ae99cf29f3

When we reach Agenda Item 10, those members attending the closed session will hang up the public line and dial the Closed Session phone number provided to you in an email. This phone number is for members and select staff only and does not include screen sharing. We will then go back to the public line after Closed Session for a report-out.

Marcia Kivett
Sites Project Admin
Phone: 561.843.9740
Email: mkivett@sitesproject.org
Web: www.SitesProject.org
P.O. Box 517
122 Old Hwy 99W
Maxwell, CA 95955

OVERVIEW OF VALUE PLANNING PROCESS

MARCH 25, 2020

Sites

Draft_0027066

Value Planning Work Group

Participants:
Kunde, Vanderwaal, Evans, Azevedo, Bettner, Sutton, Traynham, Carter, Murphy, Ruiz, Neudeck

Key staff/consultants:
Frederiksen, Spesert, Forsythe, Herrin, Tull, Rude, Barnes, Spranza, Arsenijevic, Warner Herson, Heydinger, Grubbs

Draft_0027067

Ad Hoc Value Planning Work Group

- Representatives of the Reservoir Committee and Authority Board formed the Ad Hoc Value Planning Work Group in October 2019.
- Over several meetings, the Work Group directed the efforts of the Authority staff and consultant team to formulate and evaluate alternatives that would yield a more affordable project.
- On March 2, 2020, the Value Planning Work Group, through a sequential process of evaluating initial and refined alternatives, has identified a recommended project and two options that would provide the ability to complete the Project as circumstances evolve.

Draft_0027068

Value Planning Appraisal Report

- Purpose of report is to present information that the participants will find useful
- The draft Value Planning Appraisal Report will be submitted to the Reservoir Committee and Authority Board in March, 2020 for review and comment
- A workshop will be held on March 30, 2020 to provide opportunity for detailed questions on the process and analysis
- The final Value Planning Appraisal Report will be submitted to the Reservoir Committee and Authority Board in April, 2020 for approval

Draft_0027069

Project Objectives

Primary Objectives	Secondary Objectives
Improve Water Supply and Water Supply Reliability	Provide Opportunities for Recreation
Provide Incremental Level 4 Water Supply for Refuges	Provide Opportunities for Flood Damage Reduction
Improve the Survival of Anadromous Fish	
Enhance the Delta Ecosystem	

Draft_0027070

Key Components and Approach for Reducing Costs

Diversion Facilities for Filling – use the existing T-C and GCID and diversions rather than constructing new facilities.

Conveyance for Releases – use the existing T-C Canal to deliver water to the southern terminus of the canal. Releases could then be conveyed from the southern end of the T-C Canal to either the Colusa Basin Drain (CBD) or the Sacramento River.

Storage – smaller reservoir sizes, focusing on reservoir sizes of 1.5, 1.3, and 1.0 million acre-feet (MAF) to reduces the number and size of the dams and saddle dams along with related gates, towers, tunnels, and pumping facilities needed to fill Sites Reservoir.

Roads and Bridges – use shorter bridges with the use of constructed fill.

Elimination of Unsupported Components – Pump back hydropower has no apparent investors at this time.

Draft_0027071

Sites Reservoir Releases under Varying Storage and Release Capacities

Storage Capacity (MAF)	Long-term Average		
	1,500 cfs Release Capacity (TAF)	1,000 cfs Release Capacity (TAF)	750 cfs Release Capacity (TAF)
1.5	253	243	236
1.3	243	234	230
1.0	207	195	191

Meets participant demand (193+40=233)

Does not meet participant demand

Draft_0027072

Annual Repayment Costs per Acre-Foot of Release

	VP1			VP2			VP3		VP4		VP5	VP6	VP7
Release Capacity (cfs)	750			750			1,500		1,000		1,000	1,000	1,000
Reservoir Size (MAF)	1.0	1.3	1.5	1.0	1.3	1.5	1.3	1.5	1.3	1.5	1.3	1.3	1.5
Project Cost (2019 $, billions)	3.2	3.4	3.6	2.7	2.9	3.1	3.4	3.6	2.9	3.1	2.8	2.9	3.0
Annualized acre-feet/year Release (TAF)	191	230	236	191	230	236	243	253	234	243	234	234	243
PWA Annual Costs During Repayment Without WIFIA[a] Loan (2020 $, $/acre-feet)	862	776	804	730	667	692	737	754	660	678	631	659	648
PWA Annual Costs During Repayment With WIFIA Loan (2020 $, $/acre-feet)	798	724	754	664	613	641	688	707	608	628	577	607	598

Draft_0027073

Value Planning Group Recommended Project and Options

	VP5	VP6	VP7
	Option 1	Option 2	Recommended
Reservoir Size	1.3 MAF	1.3 MAF	1.5 MAF
Release Capacity	1,000 cfs	1,000 cfs	1,000 cfs
Estimated Cost (2019 dollars)	$2,779,000,000 to $2,814,000,000	$2,910,000,000 to $2,945,000,000	$2,961,000,000 to $2,996,000,000
Estimated Cost per Acre-Foot with WIFIA[a] (2020)	$577	$607	$598
Estimated Deliveries (Long-Term Average in TAF)	234	234	243
Key Options vs. VP7	-Smaller reservoir	-Smaller reservoir -Release pipeline to Sacramento River	

Note: Inclusion of WIFIA loan reduces costs by ~$50/AF

Draft_0027074

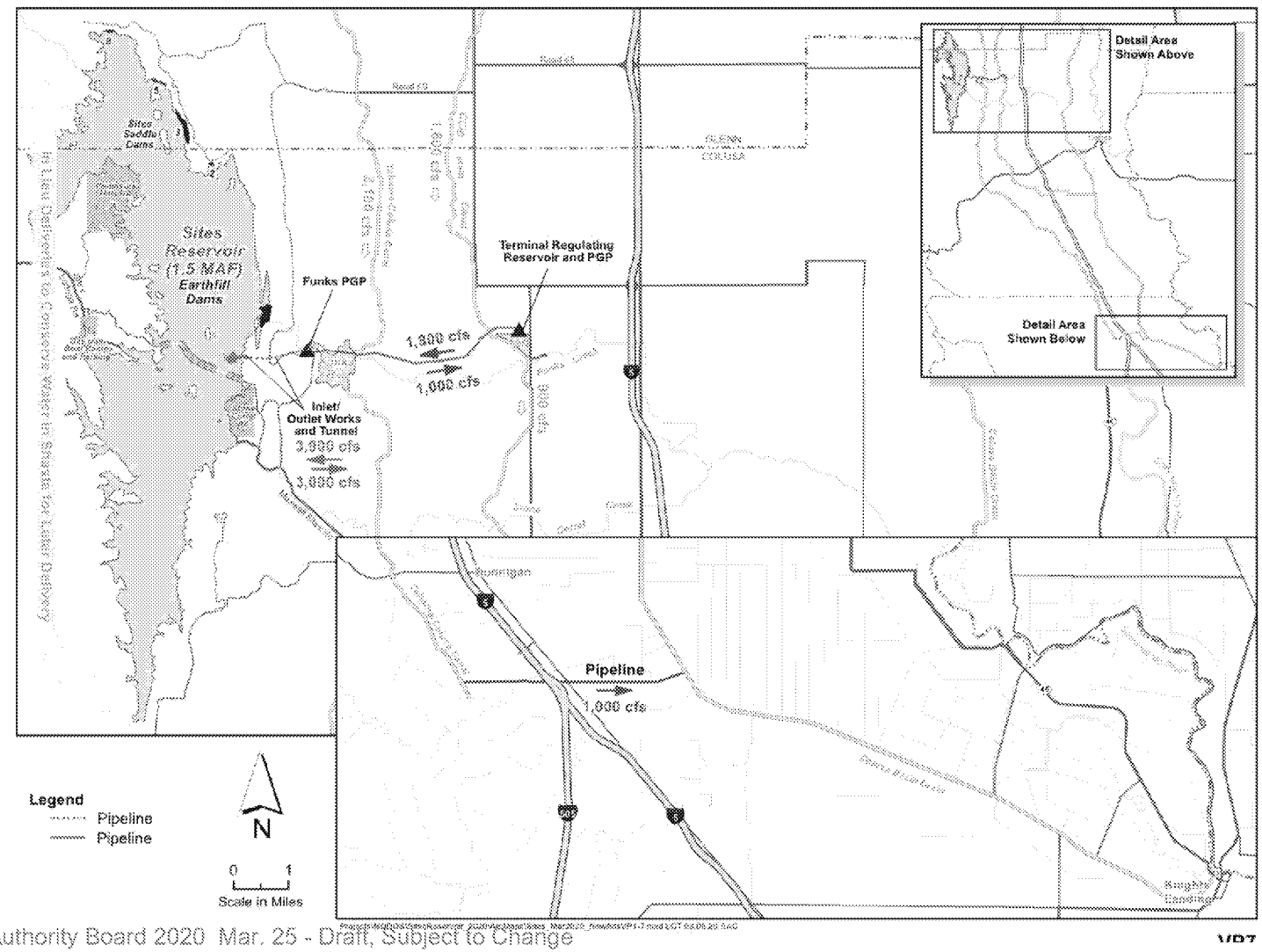
Value Planning Recommended Project and Options

The Value Planning Workgroup recommends the Project proceed as Alternative VP7. Although Alternative VP5 had the lowest overall cost and lower cost per acre-foot, the Value Planning Workgroup recommends VP7 based on higher deliveries at a comparable cost and improved operational flexibility with a 1.5 MAF reservoir.

The Value Planning Workgroup also recommends the subsequent analyses of the Project include options for a 1.3 MAF reservoir (per VP5) and a Dunnigan to Sacramento River 1000 cfs release pipeline (per VP6) in order to provide flexibility to respond to any future condition changes that might result in such facilities becoming preferable.

Draft_0027075

Recommended Value Planning Alternative (VP7)



Draft_0027076

END

QUESTIONS?

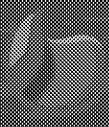
Sites

Draft_0027077

AUTHORITY BOARD MEETING

MARCH 25, 2020

Agenda Item 6.3: Work Plan



Sites

Draft_0027078

Presentation Outline

Work Plan for Sept 2020 thru Dec 2021 (Amendment 2)

- Process and schedule to execute Amendment 2 Agreement
- Goals and objectives
- Status of Work Plan schedule
- Status of Work Plan budget
- Preliminary scope by subject and deferred work

Process and Schedule to Execute Amendment 2 Agreement

Now - April

- Input on Home Board needs
- Direction on work plan
- Workshop (March 30)
- **Approve (April 17):**
  1. **Value Planning**
  2. **Work Plan**

May - July

- Home Boards' consideration

  Staff support as requested

Responses to participation level due July 9

July

- **Approve Participation and first invoice**

  Res. Comm (July 16)

  Board (July 22)

Distribute 1st invoice (payment due Sept 1)

August

- **Approve consultant services contract amendments and initial task orders**

  Res. Comm (Aug 21)

  Board (Aug 26)

DISCUSSION:

QUESTIONS ON PROCESS

Sites

Draft_0027081

Proposed Goals to Complete by End of 2021

a. Operational plan and certainty

b. Permit certainty

- Admin draft water rights application prepared
- Biological Assessment submitted
- CDFW Incidental Take Permit application submitted
- Section 106 Programmatic Agreement signed
- Other key permits and/or agreements in progress

c. Environmental analysis and documentation (EIR/EIS) largely completed

Proposed Goals to Complete by End of 2021

d. Affordability & engineering design (work in progress)
   - Incorporate DSOD requirements
   - Incorporate CVFPB requirements
   - Address other construction-related permits and/or agreements

e. Stakeholder partnerships (and draft agreements)

Proposed Goals to Complete by End of 2021

f. Prop 1 *eligibility* milestone (Commission < 01/01/2022)

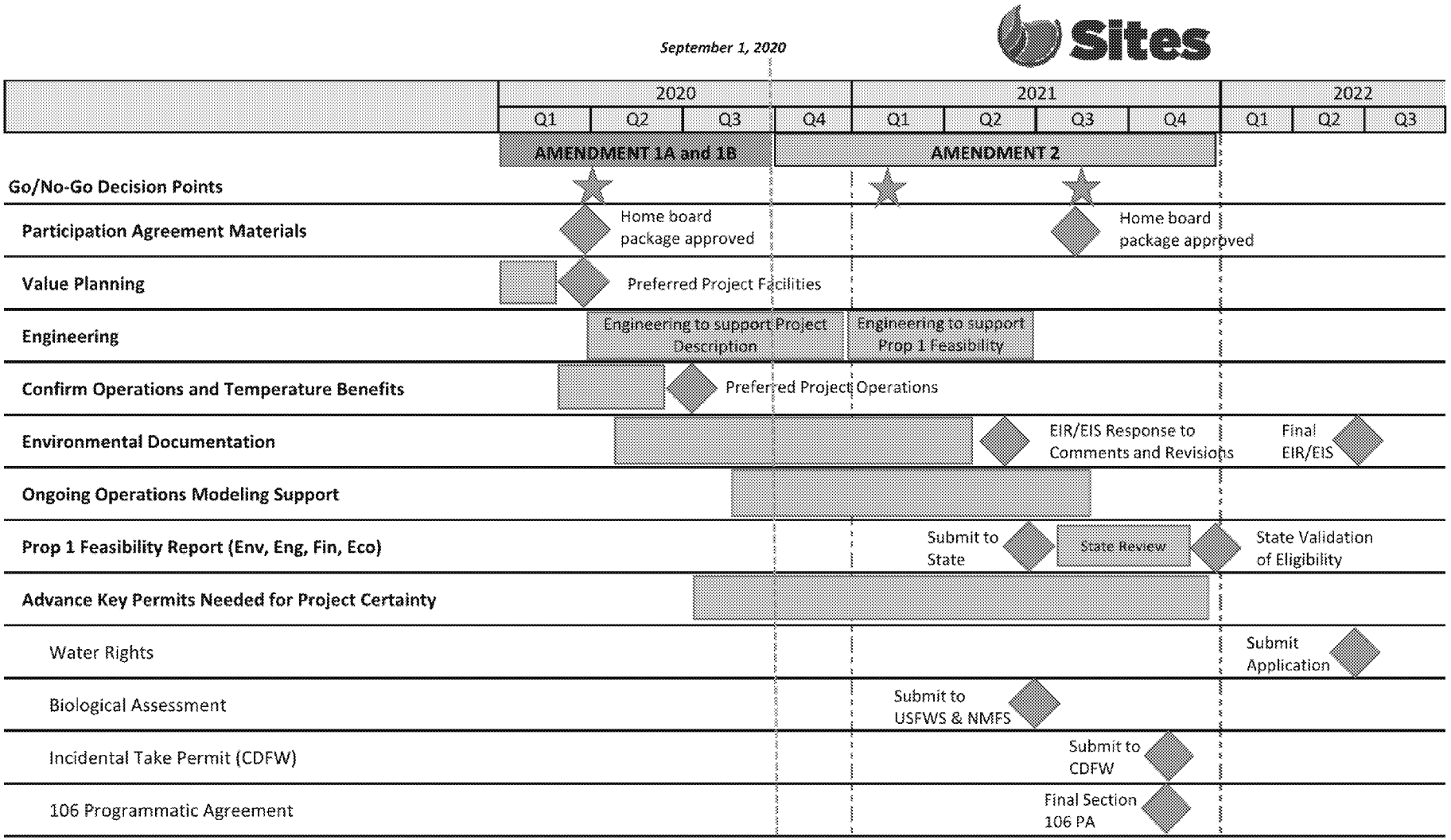
- Draft EIR available for public review
- Feasibility study completed
- Commission determines:
  - ✓ Draft EIR complete
  - ✓ Project is feasible (technical, economic, financial, and environmental)
  - ✓ At least 75% commitment for non-Prop 1 benefits (local agencies plus federal)

Proposed Goals to Complete by End of 2021

g. Manage go/no-go decisions

h. Prepare successor agreements

Schedule Targets (No Change)



NOTE: This graphic includes schedule drivers only and does not include all activities/deliverables.
This work plan is based on current participation commitments.

Authority Board 2020 March 25 - Draft, Subject to Change

Draft_0027086

DISCUSSION:

LIVE DETAILED SCHEDULE REVIEW

Sites

Draft_0027087

Revenue Assumptions

- Carryover Funds: $750,000
- Local: $19,700,000
  - Reservoir Committee Cash Calls* ($100/AF): $19,200,000
  - Authority Board Seats: $500,000
- Federal (WIIN Act): $4,000,000
- State (Prop 1): $7,300,000
- Total Revenue: $31,750,000

**Assumes no change in subscription*

For every $1.00 of local investment, ~$1.50 is used to advance the project

Preliminary Cash Call Invoice Schedule

Objective:

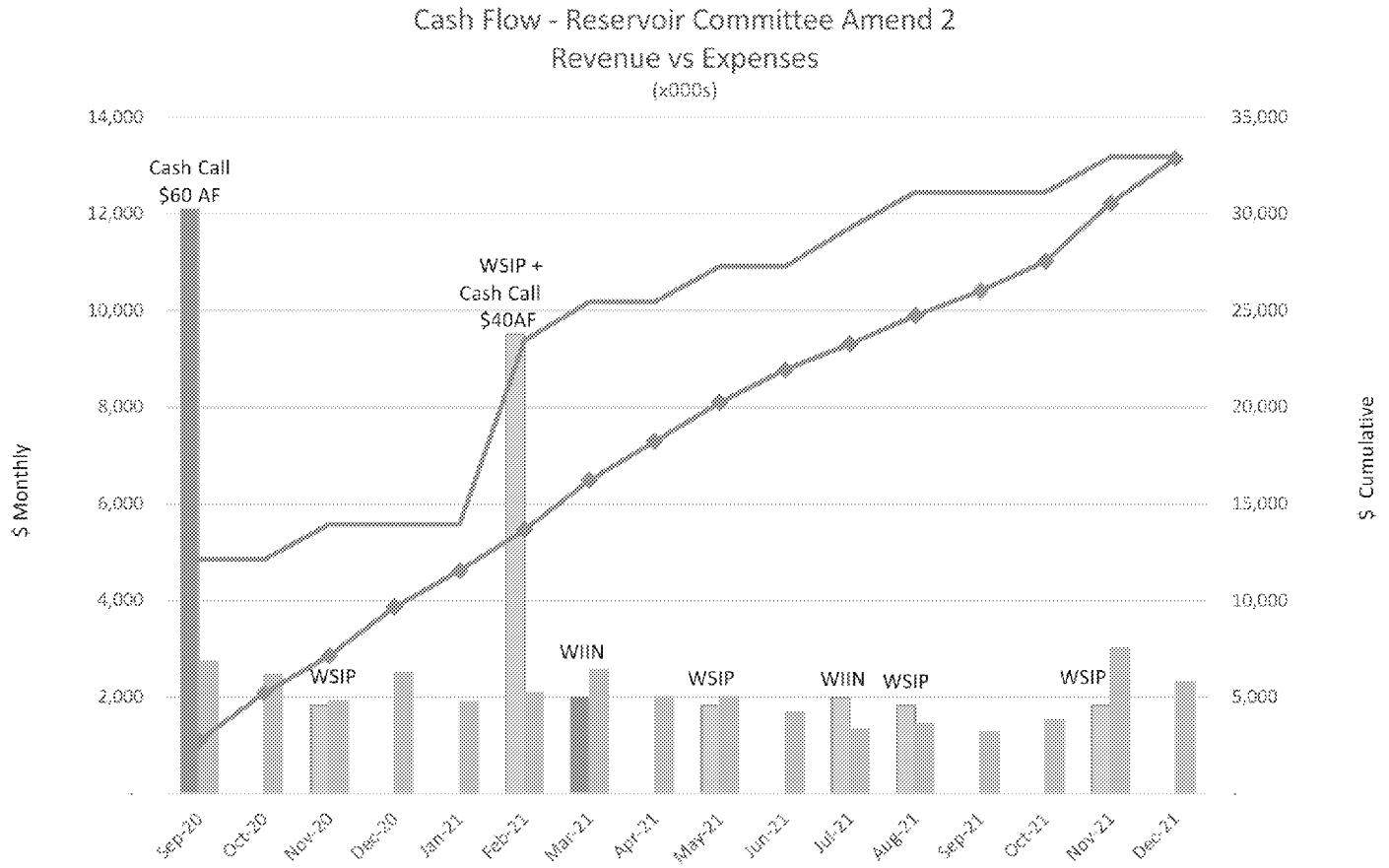
- Maintain cash positive position and avoid delays
- Leverage Prop 1 and WIIN Act funds

Participant Invoice Schedule:

$60/AF, Due September 1, 2020.

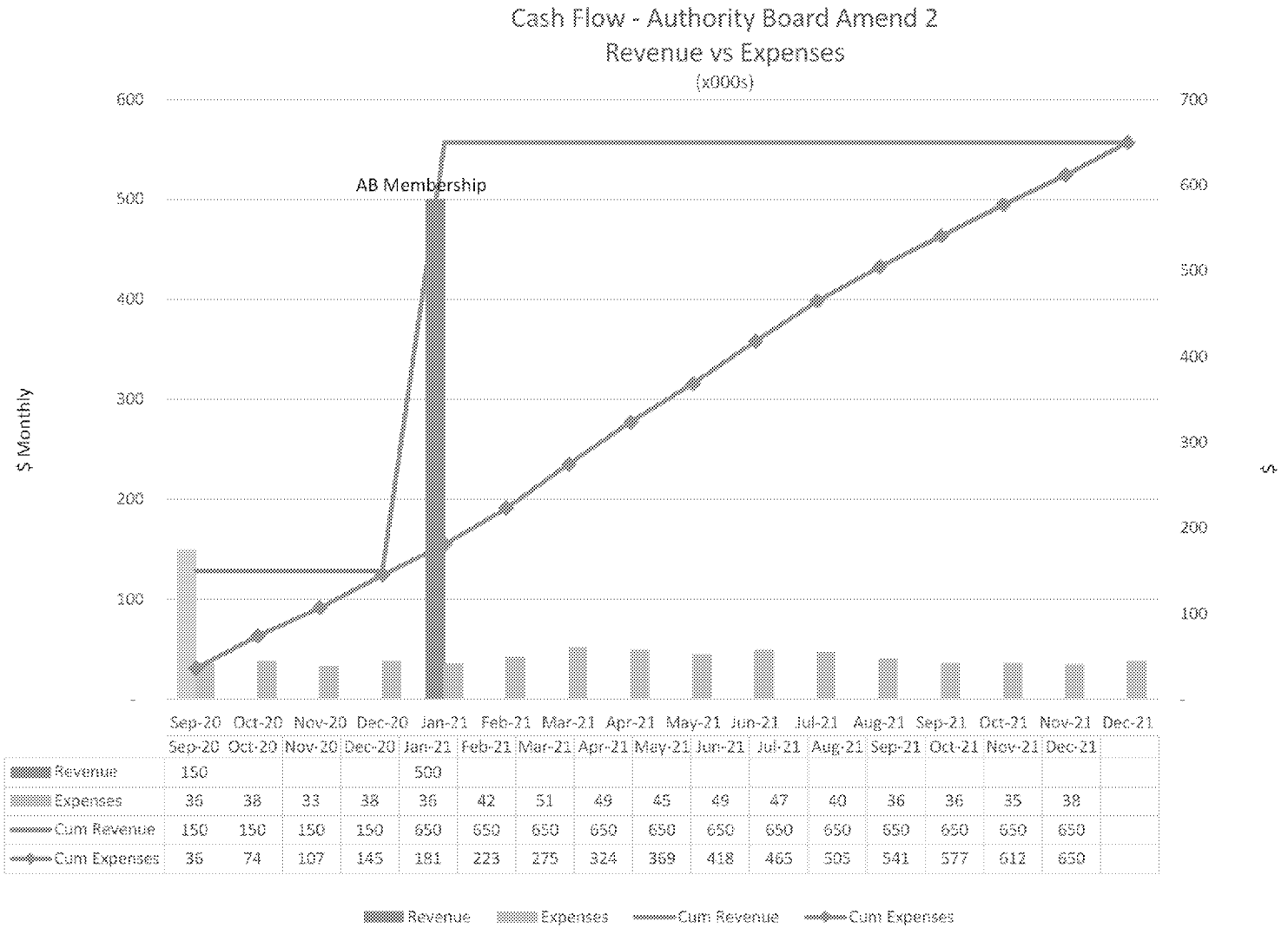
$40/AF, Due February 1, 2021.

Preliminary Cash Flow – Reservoir Committee



	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21
Revenue	12,120		1,834			9,514	2,000		1,834		2,000	1,834			1,834	
Expenses	2,743	2,468	1,926	2,522	1,900	2,092	2,579	2,011	2,012	1,698	1,342	1,460	1,274	1,542	3,013	2,303
Cum Revenue	12,120	12,120	13,954	13,954	13,954	23,468	25,468	25,468	27,301	27,301	29,301	31,135	31,135	31,135	32,969	32,969
Cum Expenses	2,743	5,211	7,136	9,658	11,559	13,651	16,230	18,241	20,254	21,952	23,293	24,753	26,027	27,569	30,582	32,885

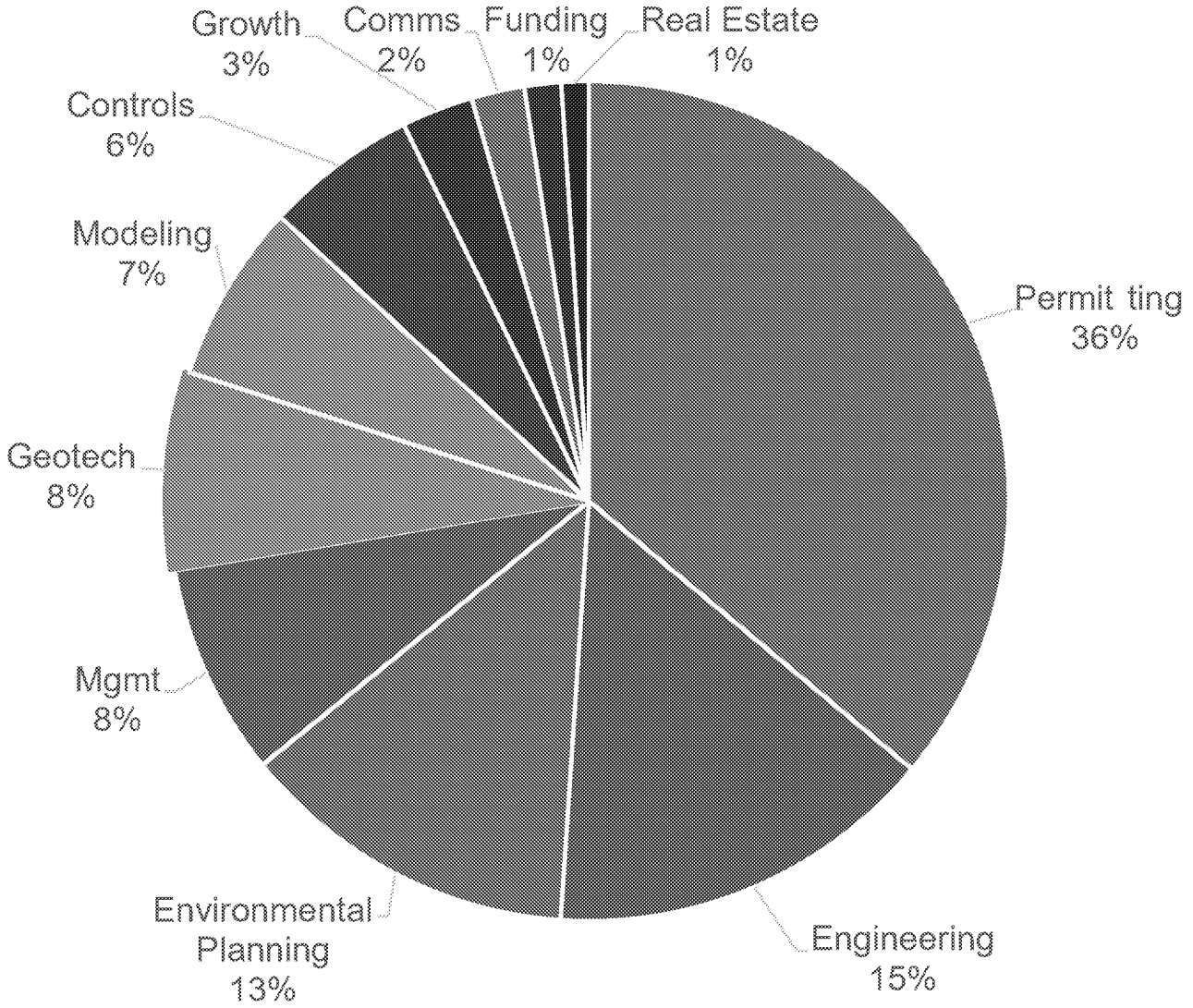
Preliminary Cash Flow – Authority Board



	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21
Revenue	150				500											
Expenses	36	38	33	38	36	42	51	49	45	49	47	40	36	36	35	38
Cum Revenue	150	150	150	150	650	650	650	650	650	650	650	650	650	650	650	650
Cum Expenses	36	74	107	145	181	223	275	324	369	418	465	505	541	577	612	650

Revenue Expenses Cum Revenue Cum Expenses

Preliminary Key Consultant Cost Allocation by Subject (Reservoir Committee Funded)



DISCUSSION:
CASH CALL TIMING AND BUDGET ALLOCATION

Sites

Post-Value Planning and Project Description Development (Post August 2020)

1. Continue CEQA/NEPA analysis
2. Advance key permits
3. Complete Prop 1 (WSIP) Feasibility Report
4. Coordinate with Reclamation

Key Technical Teams:

- Reservoir Operations
- Environmental Planning
- Permitting
- Engineering
- Real Estate
- Financial
- Legal counsels (General, Bond, CEQA)
- Communications and Engagement

Reservoir Operations

Operations Lead:

a. Operations Plan

Operations Support:

a. Environmental analysis and documentation
b. Permitting, including water rights
c. Engineering (hydraulics)
d. Various agreements

Discussion – what's missing or needs to change?

Environmental Planning

Environmental Planning Lead:

a. Revisit draft EIR/EIS

b. Final EIR/EIS

Environmental Planning Support:

a. Prop 1 Feasibility Report (environmental and mitigation costs)

b. Additional geotechnical data collection

c. Public benefit agreements (Prop 1)

Discussion – what's missing or needs to change?

Permitting

Permitting Lead:

a. Federal ESA compliance
b. Incidental Take Permit application
c. Section 106 Programmatic Agreement
d. Water Rights application advanced
e. State and Federal Clean Water Act permits

Permitting Support:

a. Prop 1 Feasibility Report (mitigation costs)
b. Additional geotechnical data collection
c. Public benefit agreements (Prop 1)

Discussion – what's missing or needs to change?

Engineering

Engineering Lead:

a) Construction cost estimate and schedule
b) Updated risk assessment
c) Geotechnical data collection

Engineering Support:

a) Prop 1 Feasibility Report (technical & economic)
b) Environmental analysis & documentation
c) Critical permits
d) Water rights application

Discussion – what's missing or needs to change?

Management, Controls, Funding, and Growth

Program Management and Controls:

a) Successor agreements (growth)
b) Grants and funding agreement management
c) Support to Authority Board & Reservoir Committee
d) Financial and cost management
e) Document, data and schedule management
f) Contract procurement, management and compliance
g) Risk and quality management
h) Risk assessment
i) Health and Safety
j) Board reporting and annual reports

Discussion – what's missing or needs to change?

Deferred Work (Beyond Dec 31, 2021)

a) Any additional repayment of Phase 1 contributed credit to participants

b) Purchasing of real estate

c) Early procurement of equipment

d) Development of alternative delivery bridging documents

e) Development of a detailed Program Management Plan (PMP) and associated standards

f) Development of policies and procedures beyond those necessary to receive previously identified grants or funding agreements

g) Implementation of Project Management Information System (PMIS) or an enterprise-wide solution for business and asset management (e.g. ERP)

Discussion: Scope and Deferred Work

Now - April

- Input on Home Board needs
- Direction on work plan
- Workshop (March 30)
- **Approve** (April 17):
  1. **Value Planning**
  2. **Work Plan**

May - July

- Home Boards' consideration

  Staff support as requested

Responses to participation level due July 9

July

- **Approve Participation and first invoice**

  Res. Comm (July 16)

  Board (July 22)

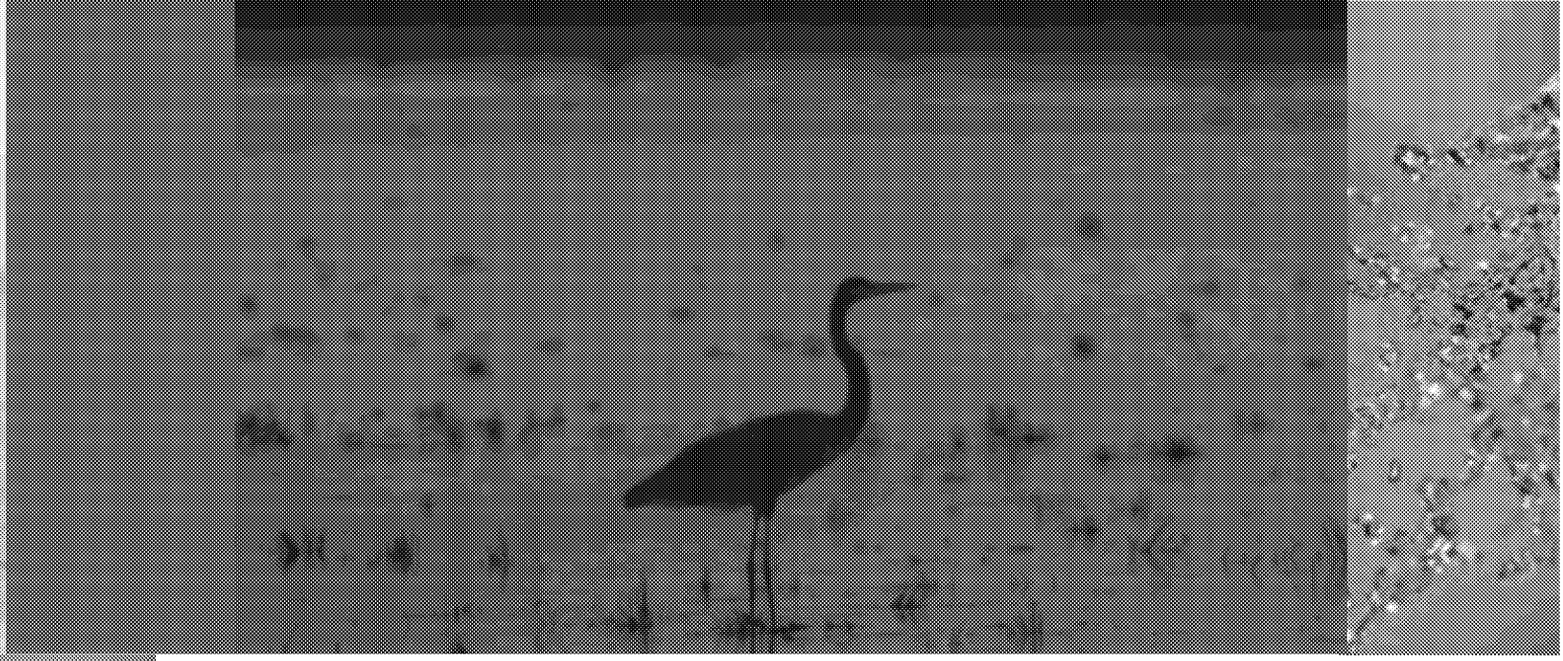
Distribute 1st invoice (payment due Sept 1)

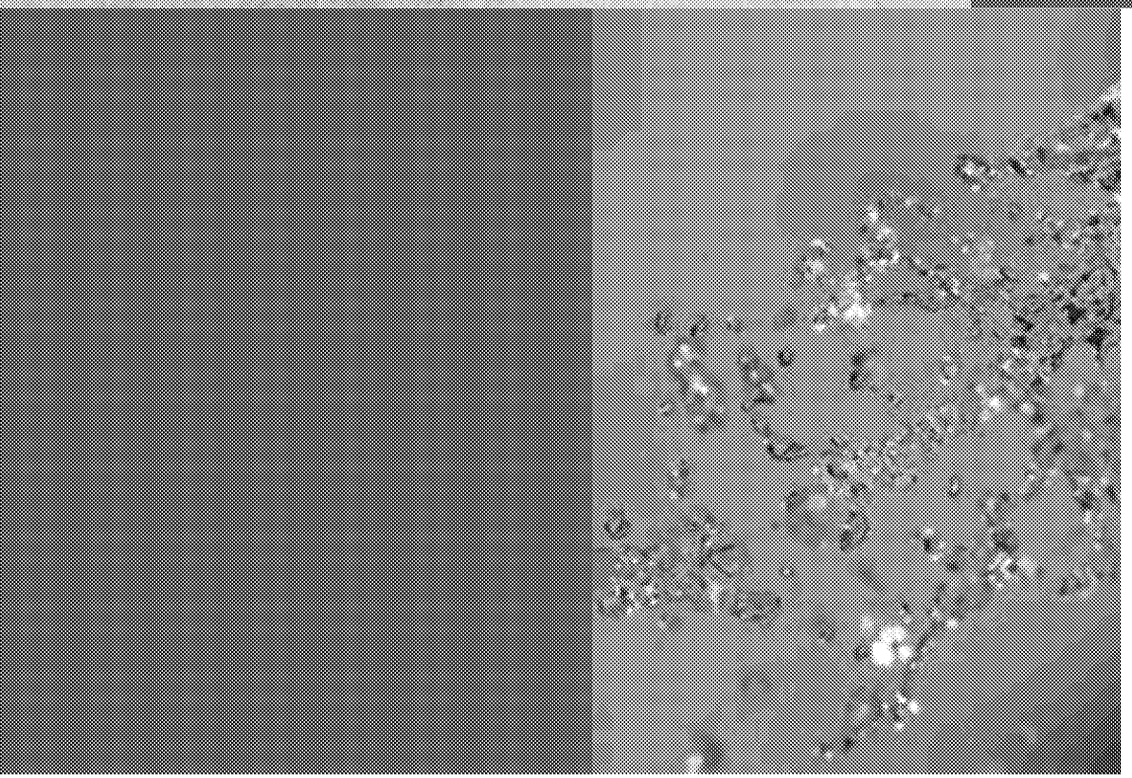
August

- **Approve Consultant services contract amendments and initial task orders**

  Res. Comm (Aug 21)

  Board (Aug 26)





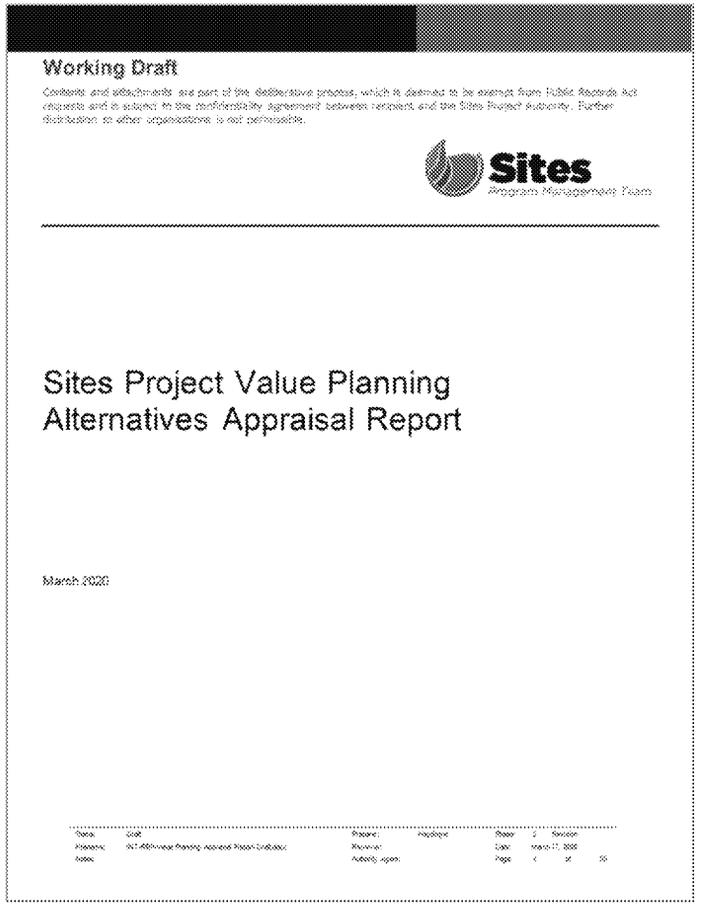
March Authority Board 6.4: Extending to Current Participation Agreement

March 2020

Sites
Program Management Team

Proposed Home Board Package

- Amended Phase 2 participation agreements with updated work plan
- Value Planning Report
- Draft Storage Policy
- 2019 Annual Report
- 4-Page Prospectus
- Power Point template for presentations to home boards


Working Draft

Contents and attachments are part of the deliberative process, which is deemed to be exempt from Public Records Act requests and is subject to the confidentiality agreement between recipient and the Sites Project Authority. Further distribution to other organizations is not permissible.

Sites
Program Management Team

Sites Project Value Planning
Alternatives Appraisal Report

March 2020

Are there additional things you need for your home board?

Sites
Program Management Team

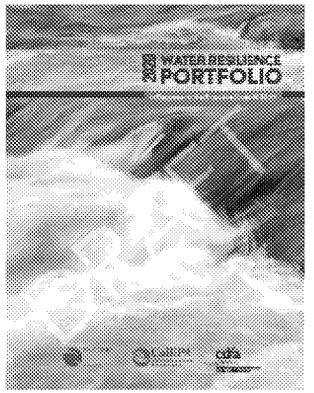
© 2019 HDR, all rights reserved

Sites Reservoir Project Executive Prospectus

March 2020

HIGHLIGHTS OF WHAT HAS BEEN ACCOMPLISHED TO DATE

- Named in the Governor's Water Resiliency Plan
- Reduced the construction cost by over $2B through the value planning process
- Leveraged your investment dollars against other federal and state dollars. For every dollar you invested we stretched it into $1.50
- Honed in on permitting criteria
- Adopted storage policy that you can use to meet individual investor needs



Additional Investments	
BOR WIIN Act	$6M
USDA Low Interest Rate Loan	$439M
Prop 1	$816M

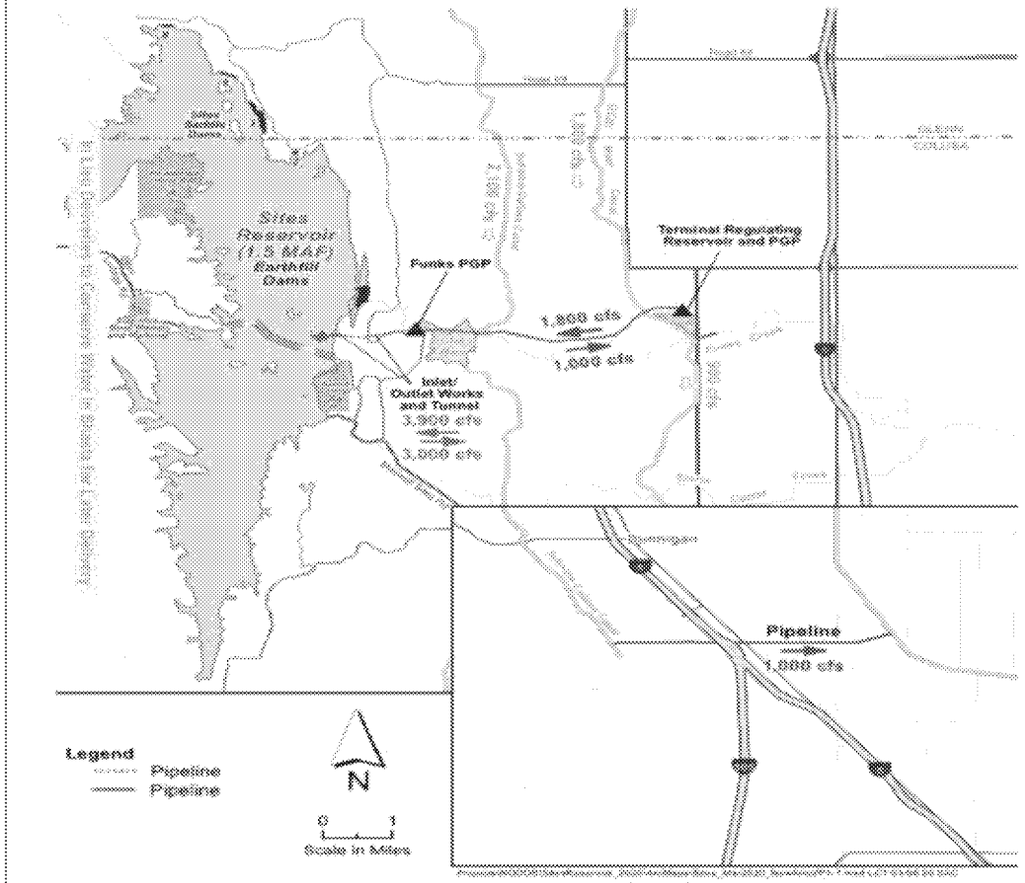
CURRENT PARTICIPATION

Member	Reservoir Participation (AFY)
Public Water Agencies	
North of Delta	52,142
South of Delta	140,750
Subtotal Public Water Agencies	192,892
State of CA (WSIP)	~40,000
Total Requirement	~230,000

Sites

Draft_0027104

VALUE PLANNING



Leveraged federal dollars to do geotech explorations in 2019

Draft_0027105

ENVIRONMENTAL PLANNING & PERMITTING

- Plan to Recirculate EIS

Criteria	DIVERSION CRITERIA CONSIDERED Scenario A		Scenario B	
Reservoir Size	1.5 MAF		1.5 MAF	
GCC Maintenance Window	2 weeks (Jan/Feb)		2 weeks (Jan/Feb)	
Wilkins Slough Bypass Flow	8,000 cfs		8,000 cfs April/May; all other times, 5,000 cfs	
Fremont Weir Notch	Prioritize the Fremont Weir Notch, Yolo Bypass preferred alternative, flow over weir within 1%		Prioritize the Fremont Weir Notch, Yolo Bypass preferred alternative, flow over weir within 5%	
Flows into the Sutter Bypass System	No restriction due to flow over Moulton, Colusa, and Tisdale Weirs		No restriction due to flow over Moulton, Colusa, and Tisdale Weirs	
Freeport Bypass Flow	Modeled WaterFix Criteria (applied on a daily basis) Pulse & Post-Pulse Protection (applied on a moving 7-day average) Pulse = Oct-Mar (35,000 cfs offramp) Post-Pulse (3 levels) = Oct-Jun Level 1 starts Oct 1st		Modeled WaterFix Criteria (applied on a daily basis) Post-Pulse Protection (applied on a moving 7-day average) Post-Pulse (3 levels) = Jan-Mar Level 2 starts Jan 1st Level 1 is initiated by the pulse trigger	
Net Delta Outflow Index (NDOI) Prior to Project Diversions	44,500 cfs between March 1st and May 31st		44,500 cfs between March 1st and May 31st	
Potential Range of Diversions to Fill Sites (Average)	Lower (Estimate) 189 TAF	Upper (Modeled) 209 TAF	Lower (Estimate) 242 TAF	Upper (Modeled) 272 TAF

OPERATIONS

Year Type	1,000 cfs Release Capacity (TAF)
Wet	116
Above Normal	286
Below Normal	273
Dry	382
Critically Dry	237
Long Term Average	**243**

Sites

Draft_0027106

AFFORDABILITY

- Continue to advance beneficiary pays concept

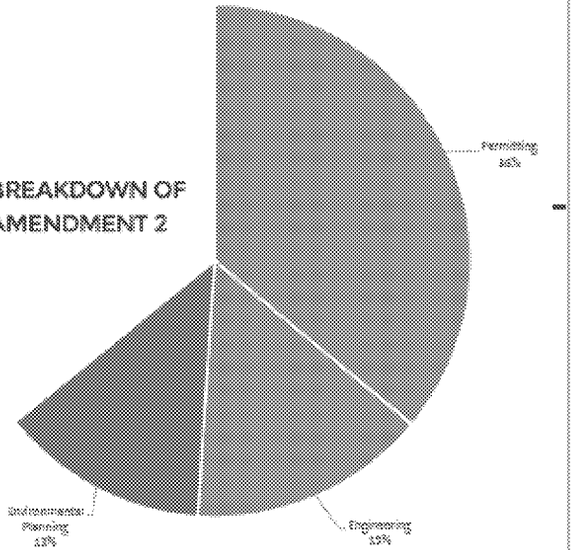
Annual Repayment Costs per Acre Foot of Release	
Reservoir Size (MAF)	1.5
Project Cost (2019$, billions)	$3.0
Annualized AF/year Release (TAF)	243
PWA Annual Costs During Repayment Without WIFIA Loan (2020$, $/AF)	$648
PWA Annual Costs During Repayment With WIFIA Loan (2020$, $/AF)	$598

NEXT STEPS

- Workplan Highlights include
  - Operations/SWP/CVP Exchange Refinements
  - Advancing Permitting Certainty
  - Recirculation of Draft EIS
  - Feasibility Study
  - State and Federal Grant Administration
  - Continue to strengthen community and landowner relationships
  - Develop plan to advance the project past 2021

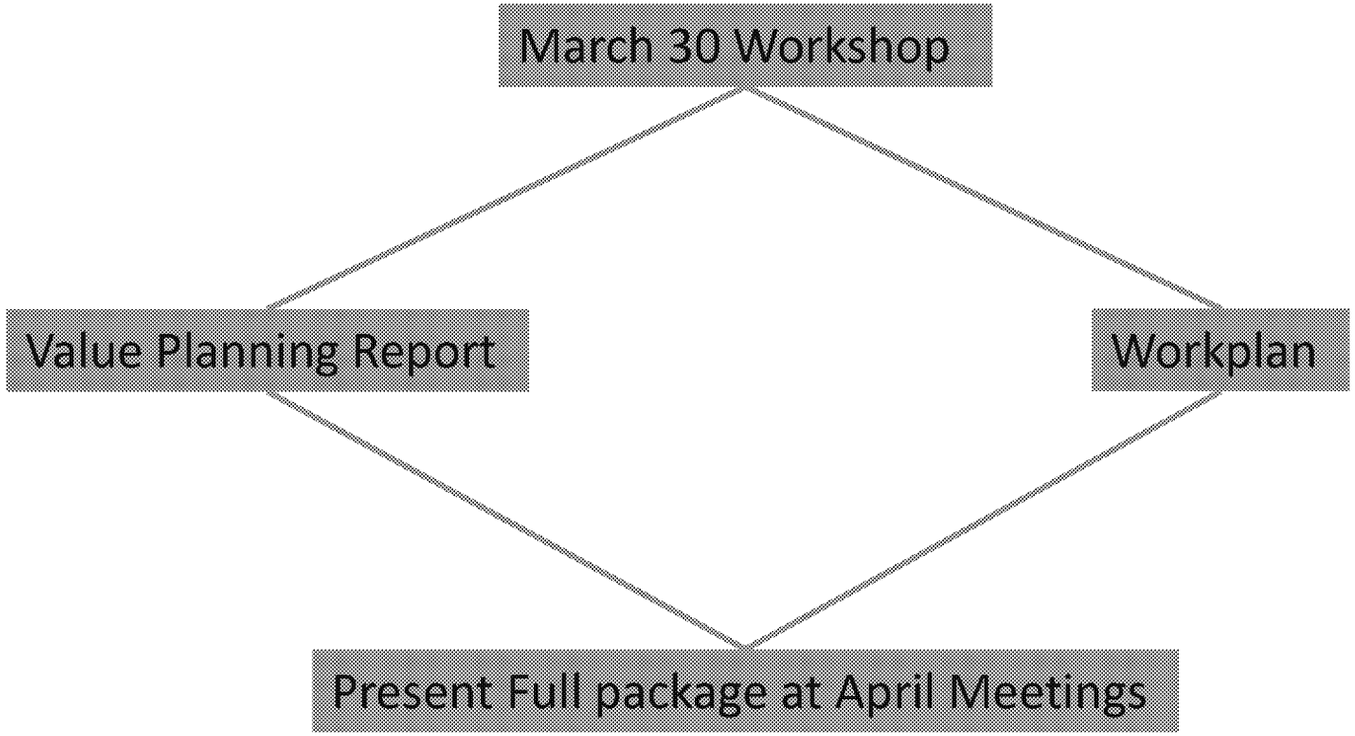
Workplan translates into $100/acre -ft cash call from Sept. 1, 2020 through December 31, 2021

BREAKDOWN OF AMENDMENT 2



Draft_0027107

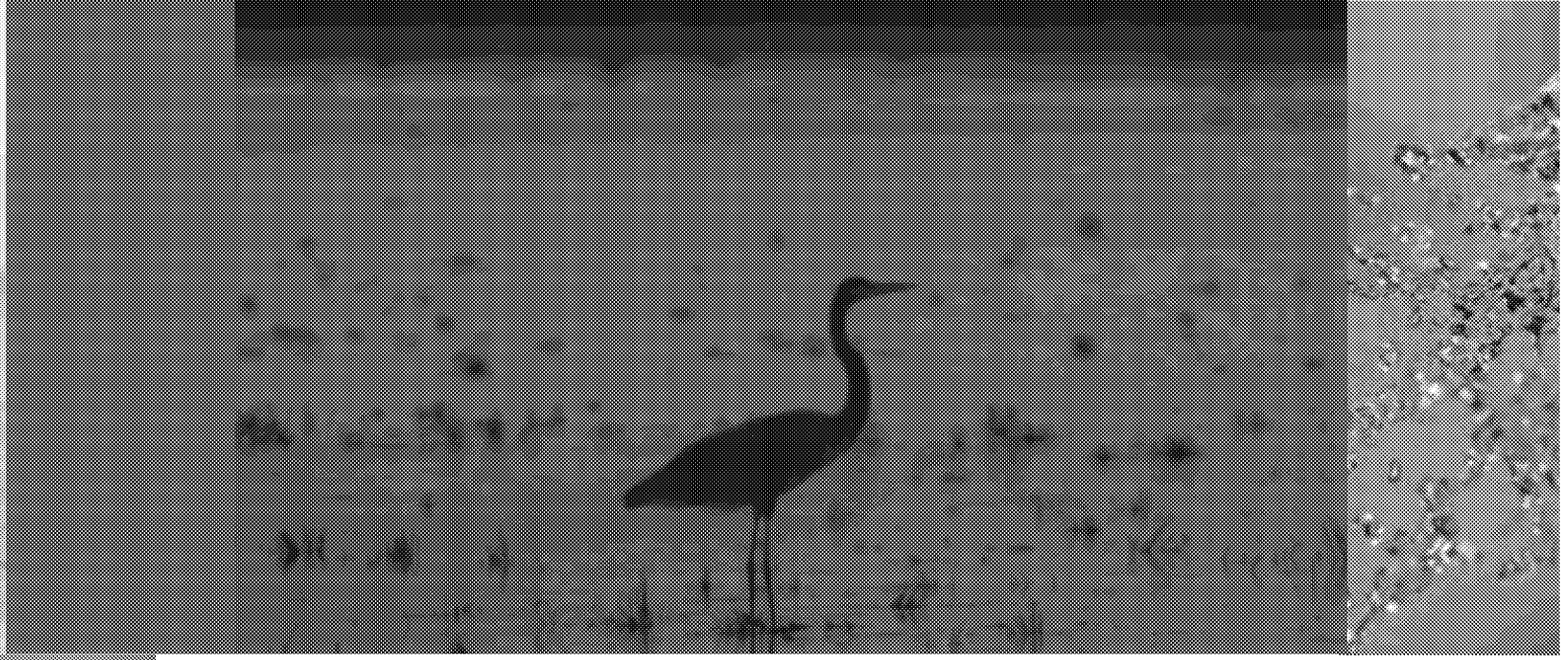
Next Steps

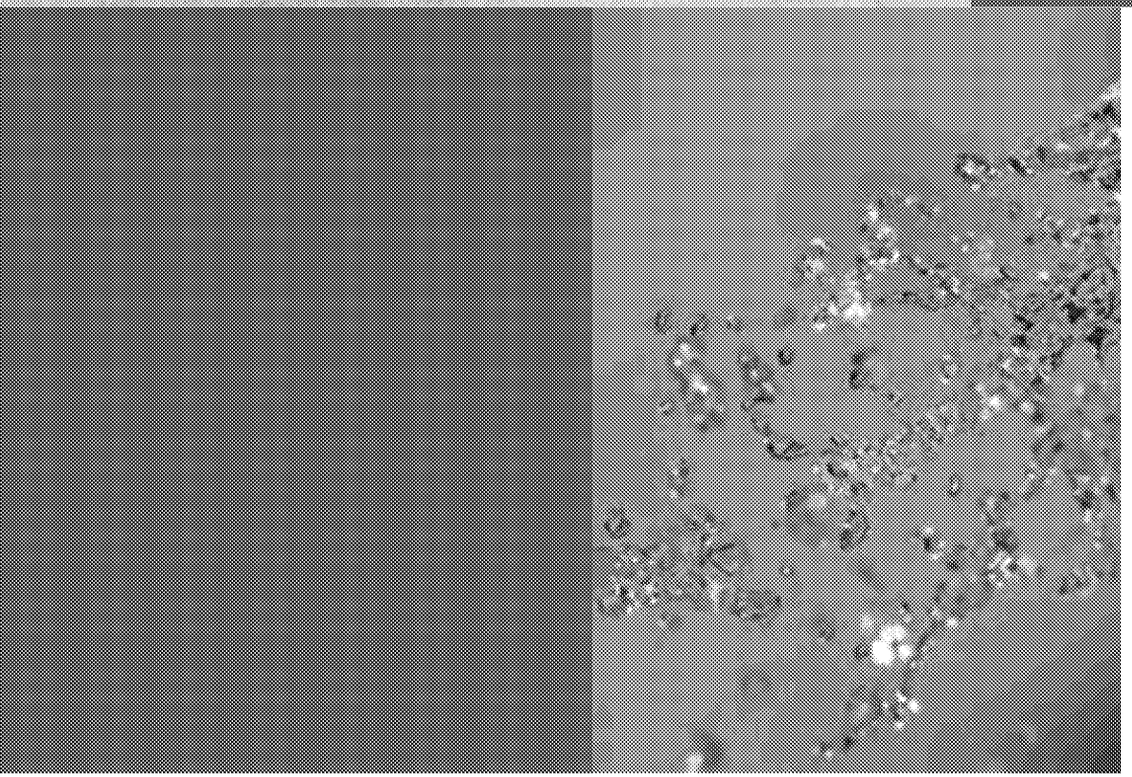


Proposed Ad Hoc Work Group to Finalize Prospectus & Power Point

Sites
Program Management Team

© 2019 HDR, all rights reserved





Break for Closed Session

March 2020

Sites
Program Management Team

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JIM WATSON, GENERAL MANAGER
530.410.8250

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, U.S BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members ***(NON-VOTING)***

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

Notice: Pursuant to Executive Order N-25-20, issued by Governor Newsom on March 12, 2020, and guidance by the California Department of Public Health dated March 11, 2020, this meeting will be conducted by teleconference. The public may attend the meeting and offer public comments by phone, using the call-in number provided below, or in person, at the address above. Members of the Board will participate by teleconference from other locations.

March 25, 2020 ***1:30 p.m.***
Sites Project Authority
Agenda

Teleconference: **1-844-531-9388** Code: **739 386 447** **WEBEX LINK**

Welcome to a meeting of the Sites Joint Powers Authority. If you are scheduled to address the Board, please state your full name for the record. Regularly numbered items may be considered at any time during the meeting. All items are listed in accordance with the Ralph M. Brown Act. We invite all members of the public to attend.

CALL TO ORDER:

- Pledge of Allegiance.
- Introductions.
- Approve the March 25, 2020 Sites Project Authority Agenda.
- Approve the February 26, 2020 Sites Project Authority Minutes.
- Announcement of Closed Session.
- Period of Public Comment.

1. **CHAIRPERSONS' REPORT:** Durst, Sutton

   This time is set aside to allow the Chair &/or Vice Chair an opportunity to disclose/discuss items related to the Sites Project; including any meetings with external stakeholders to advance the Sites Project.

1.1 Conduct Authority Board Election for the position of Secretary for calendar year 2020.

2. BOARD MEMBER REPORTS: (No action will be taken) **All**

This time is set aside to give the Directors an opportunity to disclose/ discuss items related to the Sites Project; including any meetings with external stakeholders to advance the Sites Project.

3. CONSENT AGENDA: Durst

None.

4. MANAGER'S REPORT: Watson

4.1 Discussion and possible direction to staff regarding the Sites Project's monthly status report. **(Attachment 4-1A)**

4.2 Discussion and possible direction to staff regarding Proposition 1, WSIP activities and WIIN Act Funding.

5. 2019 FINANCE & BUDGET AD HOC COMMITTEE: Traynham

5.1 Accept the Treasurer's Report. **(Attachment 5-1A)**

5.2 Consider approval of the monthly Payment of Claims. **(Attachment 5-2A & B)**

6. Phase 2 (2019) RESERVOIR COMMITTEE: Bettner, Davis, Watson

6.1 Report on the March 19, 2020 Phase 2 (2019) Reservoir Committee meeting. **(Attachments 6-1A & B)**

6.2 Discussion and possible direction to staff regarding value planning efforts and the next steps to develop an updated project description.

6.3 Discussion and possible direction to staff regarding the development of the updated work plan for the period of performance starting September 1, 2020 and ending December 31, 2021 in accordance with a proposed Amendment 2 to the current Participation Agreement. **(Attachments 6-3A, B &C)**

6.4 Discussion and possible direction to staff regarding the proposed amendment to the current participation agreement and supporting documents.

7. 2019 POLICY & GOVERNANCE AD HOC COMMITTEE: Durst

None.

8. 2019 LEGISLATIVE & OUTREACH AD HOC COMMITTEE: Sutton

Discussion and possible direction to staff regarding federal and state govern mental affairs/legislative, stakeholder engagement and communications activity.

9. 2019 LAND MANAGEMENT AD HOC COMMITTEE: Evans

Discussion and possible direction to staff regarding real estate, land management, site facility activities and early geotechnical explorations.

RECESS:

10. Closed Session:

10.1 Public Employee Appointment (Gov. Code, § 54957)
Title: Executive Director

11. Report from Closed Session: Kuney

ADJOURN

Next Authority Board Meeting:

Wednesday, April 22, 2020 1:30 PM – 4:00 PM
Sites Project Office
122 Old Highway 99W, Maxwell, CA 95955

PERIOD OF PUBLIC COMMENT: Any person may speak about any subject of concern, provided it is within the jurisdiction of the Directors and is not already on today's agenda. The total amount of time allotted for receiving such public communication shall be limited to a total of 15 minutes per issue and each individual or group will be limited to no more than 5 minutes each within the 15 minutes allocated per issue. ***Note:*** *No action shall be taken on comments made under this comment period.*

ADA COMPLIANCE: Upon request, agendas will be made available in alternative formats to accommodate persons with disabilities. In addition, any person with a disability who requires a modification or accommodation to participate or attend this meeting may request necessary accommodation. Please make your request to the Board Clerk, specifying your disability, the format in which you would like to receive this Agenda and any other accommodation required no later than 24 hours prior to the start of the meeting.

All supporting documentation is available for public inspection and review in the Sites Project Authority office located at 122 Old Highway 99 West Maxwell, CA 95955 during regular business hours 8:30 a.m. to 5:00 p.m., Monday through Friday.

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JIM WATSON, GENERAL MANAGER
530.410.8250

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
GRAY ALLEN, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, U.S BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members *(NON-VOTING)*
GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

February 26, 2020 ***1:30 p.m.***
Sites Project Authority
MINUTES

The Sites Project Authority Board of Directors met in Regular Session on February 26, 2020, at the hour of 1:30 p.m. **Directors Present:** Fritz Durst, Chair, Jeff Sutton, Vice-Chair, Gary Evans, Logan Dennis, Doug Parker, Leigh McDaniel, Joe Marsh, and Rob Cooke. **Alternate Directors Present:** Michael Azevedo, Brett Ewart, Natalie Wolder, Anne Sanger. (Other Alternate Directors that may be listed as present below, did not participate in the decision-making, process). **Associate Members Present:** Greg Johnson.

Staff Present: Jim, Watson, General Manager
Scott Kuney, General Counsel
Jamie Traynham, Treasurer & TC-4 District Alternate
Kevin Spesert, Joe Trapasso, Ali Forsythe, Sites Project Authority
Yolanda Tirado, Clerk/Secretary

Others Present: JP Robinette, Marcia Kivett, Brown and Caldwell
Bill Vanderwaal, RD 108/DWD
Gary Darling, Darling H20
Kerry Schmitz, Sacramento County Water Agency
Ken Sinclair, Parsons
Robert Boling, Laura Warner Herson-Phenix, Sites Integration
Thad Bettner, GCID
Treve Campos, Williams
Christy Scofield, Arbuckle
Ed Horton, PCWA
Juleah Cordi, Assemblyman Gallagher's Office

Pledge of Allegiance.

INTRODUCTION:

Chairman Durst asked those present to introduce themselves.

AGENDA APPROVAL:

Chairman Durst called for approval of the February 26, 2020 Sites Project Authority Agenda. He stated Agenda Items under No. 6 Phase 2 (2019) Reservoir Committee would be considered after 5.3, an amendment to a contract with Fechter & Company, Certified Public Accountants.

Action: It was moved by Director Dennis, seconded by Director Sutton to approve the February 26, 2020 Sites Project Authority Agenda, as amended. Motion carried: All those Directors present voted yes.

MINUTES APPROVAL:

Action: It was moved by Director Evans, seconded by Director Parker to approve the January 22, 2020 Sites Project Authority Minutes, as presented. Motion carried: All those Directors present voted yes.

PERIOD OF PUBLIC COMMENT:

Chairman Durst called for a period of public comment. Hearing none, he closed the period of public comment.

SPECIAL PRESENTATION:

Informational Presentation regarding on-going or future activities and projects in the Sacramento River System and the Sacramento-San Joaquin Delta.

Mr. Bettner provided an update regarding the status of the Voluntary Agreements. He included ongoing activities and projects in the Sacramento River system and the Sacramento-San Joaquin Delta that may affect the Sites Project, either positively or negatively.

1:50 p.m. Director McDaniel is now present and seated.

Discussion followed, with no action taken at this time.

1. **CHAIRPERSONS' REPORT:**

This time is set aside to allow the Chair and/or Vice Chair an opportunity to disclose/discuss items related to the Sites Project; including any meetings with external stakeholders to advance the Sites Project.

Chairman Durst provided an update on the following:

- Board representation thanked Board Members leaving and welcomed new Board Members aboard.
- February 11, 2020 presented to the Woodside Atherton Garden Club regarding Sites and the topic of "fix vs fight."

Mr. Darling provided an update on the following:

- Executive Director's Recruitment.
- Facilitator for strategic planning.

Brief discussion followed with no action taken.

1.1 Conduct Authority Board Elections for calendar year 2020.

Chairman Durst stated the matter before the Board was the election of the Chair, Vice-Chair and the appointment of the Secretary/Treasurer.

Chairman Durst opened the nominations for the election of the Chair position. Director Sutton nominated Director Durst as Chairman for calendar year 2020.

Chairman Durst called for further nominations. Hearing none, he closed the nominations for the position of Chairman.

Action: It was moved by Director Evans, seconded by Director Dennis to elect Director Durst as the Chair of the Sites Project Authority for calendar year 2020. Motion carried: All those Directors present voted yes.

Chairman Durst called for nominations for the position of Vice-chairman for calendar year 2020. Director Evans nominated Director Sutton as Vice-chairman for calendar year 2020.

Chairman Durst called for further nominations. Hearing none, he closed the nominations for the position of Vice-chairman.

Action: It was moved by Director Dennis, seconded by Director McDaniel to elect Director Sutton as the Vice-chairman of the Sites Project Authority for calendar year 2020. Motion carried: All those Directors present voted yes.

Chairman Durst called for the appointment of the Secretary position for calendar year 2020. Director Sutton nominated Yolanda Tirado for the Secretary position for calendar year 2020.

Chairman Durst called for further nominations. Hearing none, he closed the nomination for the Secretary position.

Action: It was moved by Director Evans, seconded by Director Sutton to appoint Yolanda Tirado as the Secretary of the Sites Project Authority for calendar year 2020. Motion carried: All those Directors present voted yes.

Chairman Durst called for the appointment of the Treasurer position for calendar year 2020. Director Dennis nominated Associate Member Traynham for the Treasurer position for calendar year 2020.

Chairman Durst called for further nominations. Hearing none, he closed the nominations for the Treasurer position.

Action: It was moved by Director Sutton, seconded by Director Dennis to appoint Jamie Traynham as the Treasurer of the Sites Project Authority for calendar year 2020. Motion carried: All those Director present voted yes.

2. BOARD MEMBER REPORTS: (No action will be taken)

This time is set aside to give the Directors an opportunity to disclose/ discuss items related to the Sites Project; including any meetings with external stakeholders to advance the Sites Project.

Director Evans stated a conference call was held on February 25, 2020 regarding the Value Planning. He expressed concern regarding the consultant's mind set and the need to clarify their (consultants) role within the Sites Project. Chairman Durst suggested he, Director Evans and Mr. Watson meet to discuss this matter further.

3. CONSENT AGENDA:

None.

4. MANAGER'S REPORT:

4.1 Discussion and possible direction to staff regarding the Sites Project's monthly status report.

Mr. Watson provided a brief update on the Governor's Draft Resiliency Portfolio and stated comments closed on February 7, 2020 and the Authority sent a letter in support of same.

Mr. Spesert stated various letters of support from various entities were also submitted.

Mr. Watson also reminded the Board Members of the April 2, 2020 deadline for filing their Form 700s.

Mr. Watson provided an overview of project activities performed in the months of January and February 2020 as follows:

- Continued support of Value Engineering and Affordability Analysis.
- Continued support of Reclamation on completion of their Feasibility Report, including the coordination of biological and cultural monitoring along with the geotechnical investigations.
- Received the third CWC early funding payment in the amount of $2.1 million.
- January 27, 2020, received a request from Proberta Water District regarding an update on the reimbursement and payment process and stated a response is being prepared.

4.2 Discussion and possible direction to staff regarding Proposition 1, WSIP activities and WIIN Act Funding.

Mr. Watson provided an update regarding the following:

Proposition 1-Early Funding:

- Issued a Quarterly Progress Report (available on the Sites website).
- California Water Commission met on February 19, 2020 and discussed/considered the following:
    - New roles of the Water Commission.

- Water Resilience Portfolio.
- Delta Conveyance (new name for water fix).
- Tribal Engagement in Delta Conveyance.
- Tabled the Annual Review of the State Water Project.

USDA:

- Submitted end of year Status Report for work performed in 2019.

Federal Appropriations:

- Continued work with the California Great Basin Region
- Continued work on getting a funding agreement in place

Ms. Wolder provided a brief update on the proposed schedule for the Feasibility Report. Brief discussion followed with no action taken.

5. 2019 FINANCE & BUDGET AD HOC COMMITTEE:

5.1 Accept the Treasurer's Report. **(Attachment 5-1 A)**

Action: It was moved by Director Dennis, seconded by Director Sutton to accept the Treasurer's Report, as presented. Motion carried: All those Directors present voted yes.

5.2 Consider approval of the monthly Payment of Claims. **(Attachment 5-2A & B)**

Action: It was moved by Director Sutton, seconded by Director Evans to approve the monthly Payment of Claims, as presented. Motion carried: All those Directors present voted yes.

5.3 Consider approval to award a contract amendment to Fechter & Company, Certified Public Accountants, Sacramento (Fechter), for Professional Financial Auditing Services for fiscal year 2019 in an amount not to exceed $11,846. **(Attachment 5-3 A)**

Action: It was moved by Director Ewart, seconded by Director Dennis to approve and award a contract amendment to Fechter & Company, Certified Public Accountants, Sacramento (Fechter), for Professional Financial Auditing Services for fiscal year 2019 in an amount not to exceed $11,846. Motion carried: All those Directors present voted yes.

Chairman Durst stated Agenda Items under Agenda Item 6, Phase 2 (2019) Reservoir Committee would be taken out of sequence and heard at this time.

6. Phase 2 (2019) RESERVOIR COMMITTEE:

6.1 Report on the February 21, 2020 Phase 2 (2019) Reservoir Committee meeting. **(Attachment 6-1A)**

Mr. Bettner provided a brief update regarding the Work Plan through the end of 2021, i.e., next cash call, CEQA compliance and Home Board Member packets.

Mr. Watson provided a review of actions approved and matters discussed at the February 21, 2020 Phase 2 (2019) Reservoir Committee Meeting.

6.2 Consider approval to re-start efforts on the Environmental Impact Report (EIR) for the Sites Reservoir Project (Project) and consider the most appropriate approach for completing the EIR pursuant to the California Environmental Quality Act (CEQA).

Ms. Forsythe stated the Ad Hoc Environmental and Permitting Work Group met on January 29, 2020 to discuss how to approach restarting efforts on the EIR for the Sites Reservoir, the most appropriate approach for completing the EIR pursuant to CEQA and options to finalize the EIR/EIS as a joint document with the Bureau of Reclamation and provided an overview of same.

Mr. Bruner provided an overview of CEQA standards for recirculation of the EIR/EIS i.e., whether to do a partial or full recirculation and spoke to some examples from Case Law.

Director McDaniel expressed concern with moving forward with recirculation of the EIR without first knowing what the results of the Value Planning efforts. Lengthy discussion followed regarding the Value Planning footprints, risks, operations and full or partial recirculation of the EIR.

Action: It was moved by Director Sutton, seconded by Director Ewart to approve re-starting efforts on the EIR for the Sites Reservoir Project and assessing the most appropriate approach for completing the EIR pursuant to the CEQA. Motion carried: All those Directors present voted yes.

6.3 Informational update regarding value Planning efforts to develop an updated project description.

Mr. Vanderwaal provided a brief update on the Value Planning efforts to develop a preferred project and stated a Draft document would be presented for Board consideration at the March meeting.

6.4 Discussion and possible direction to staff regarding the development of a work plan and overall schedule of work to be completed through December 31, 2021 and under the current Participation Agreement as Amendment 2.

Mr. Watson provided an overview of the development of the work plan for the period of performance starting September 1, 2020 through December 31, 2021 and spoke to the following:

- Information to be included in the Home Board packet.
- Certainty related to the reservoir's operations and degree of operation integration with the State Water Project and Central Valley Project to produce benefits for both water supply and reliability purposes and water dedicated to environmental purposes.
- Improve certainty related to the project's affordability by advancing the level of engineering and implementing risk management to

improve the accuracy of the cost estimate and to purse low interest financing and potential grants.

- Continue to cultivate and strengthen partnerships with local landowners and key stakeholders that represent environmental, business labor and other interest.
- Meet the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive construction funding.
- Identify continued participation, Go/No-Go decision points with staggered cash calls.
- Strawman Senarios (A, B &C).

Lengthy discussion followed on all the above-mentioned issues. Following discussion, Mr. Watson stated the Reservoir Committee's recommendation to the Authority Board was to advance Scenario A and return to the Board at their April meeting for further consideration.

Chairman Durst stated Agenda Items Nos. 5.4 through 5.5 would be considered at this time.

5.4 Discussion and possible direction to staff regarding the forward-looking work plan associated with extending the time of the current Participation Agreement from January 1 through August 31, 2020 as Amendment 1B Work Plan.

Mr. Watson provided a brief update regarding extending the time of the current Participation Agreement from January 1 through August 31, 2020 as Amendment 1B Work Plan. He also stated the Reservoir Committee Members recommended approval of same. Brief discussion followed.

Chairman Durst called for approval of Agenda Items Nos. 5.4.1 through 5.4.8, various contract amendments. Brief discussion followed.

Action: It was moved by Director Evans, seconded by Director Sutton to approve Agenda Item Nos. 5.4.1 through 5.4.8 as follows:

5.4.1 An amendment to HDR Engineers, Inc.'s (HDR) (Project Integration) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.2 An amendment to Brown and Caldwell's (B&C) (Project Controls) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.3 An amendment to Katz and Associates, Inc.'s (Katz) (Communications) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.4 An amendment to ICF Jones and Stokes, Inc.'s (ICF) (Environmental Planning and Analysis) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.5 An amendment to ICF Jones and Stokes, Inc.'s (ICF) (Permitting and Agreements) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.6 An amendment to CH2M Hill Engineers, Inc.'s (CH2M) (Operations Simulation Modeling) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.7 An amendment to Fugro USA Land, Inc.'s (Fugro) (Geology and Geotechnical) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.8 An amendment to increase the budget to the AECOM contract and authorize the General Manager to sign.

Motion carried: All those Directors present voted yes.

5.5 Discussion and possible direction to staff regarding recent changes to the Service Area G – Real Estate project team and future real estate service area work activities.

Mr. Watson provided a brief update regarding the reorganization of Bender Rosenthal Inc., (BRI) which resulted in a reduction in staff and the layoff of the existing lead Right-of-Way Agent, who served as the BRI's project manager in support of the Sites Reservoir Project's real estate program for the last couple of years. Brief discussion followed.

Action: It was moved by Director Marsh, seconded by Director Dennis to delegate authority to the Coordination Committee on how to proceed with the Service Area G – Real Estate contract. Motion carried: All those Directors present voted yes.

7. 2019 POLICY & GOVERNANCE AD HOC COMMITTEE:

None.

8. 2019 LEGISLATIVE & OUTREACH AD HOC COMMITTEE:

Discussion and possible direction to staff regarding federal and state govern mental affairs/legislative, stakeholder engagement and communications activity.

Director Sutton provided a brief update as follows:

- Meeting with Senator Nielson.
- Scheduling a Legislative Day in late March 2020.
- Senator Garner/Feinstein Bill.

9. 2019 LAND MANAGEMENT AD HOC COMMITTEE:

Discussion and possible direction to staff regarding real estate, land management, site facility activities and early geotechnical explorations.

Mr. Spesert provided a brief update regarding the BRI situation, Mr. Connor's contribution to the Project, specifically his working relationship with the landowners and stated Sites staff is looking at ways for Mr. Connor to continue with the Project.

Chairman Durst adjourned the meeting at 4:30 p.m. to reconvene on March 25, 2020 at the hour of 1:30 p.m.

_____ _____

Fritz Durst, Chairman Yolanda Tirado, Clerk/Secretary

Sites

Topic: **Authority Board Agenda Item 4-1 2020 March 25**

0Subject: **Monthly Status Report**

Requested Action:

No action requested. Discussion and possible direction to staff regarding the Sites Project's monthly status report.

Detailed Description/Background:

General Manager and staff to provide an update on project activities performed in February 2020 for; Program Management & Administration, Engagement & Outreach, Proposition 1, Environmental, Feasibility Study and Engineering & Technical Support.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jim Watson

Attachments:

Attachment A: February 2020 Status Report.

Topic: **Sites Reservoir Project, Phase 2**

Subject: **Monthly Status Report** Report Period: **2020 February**

Monthly Status Summary:

The development of the Sites Project continues to make progress in the critical areas associated with the reprioritized Phase 2 (2019) budget and work plan, including the following activities:

- Continuing to advance the Value Planning and Affordability Analyses.
- Continuing to support Reclamation on completion of their Feasibility Report, including the coordination of biological and cultural monitoring along with land access for the NODOS Feasibility Geotechnical Investigations.
- Continuing efforts to develop a work plan through December 2021.

The following highlights the status of activities conducted during the month:

Engineering:

- Continuing to advance the value planning effort that is evaluating options to improve the projects' affordability based on permitting criteria and the current level of participation commitments. Held value planning workshop to identify a potential alternative project. Received input on summary technical memorandum and draft value planning report for review by the Reservoir Committee and Authority Board in March.

  NOTE: Development of the Value Planning report includes input from the environmental and permitting teams into identification of possible opportunities and challenges with initial concepts.
- Began work on draft detailed schedule for engineering from value planning through feasibility in coordination with the environmental planning, permitting, and the reservoir operations team.
- Developed engineering task order materials including scope, budget, and schedule for service areas HC (Conveyance) and HR (Reservoir).

Coordination with Reclamation:

- Continued coordination and support for feasibility-level geotechnical investigations. Reclamation is funding and undertaking additional geotechnical investigations while environmental compliance and monitoring activities are being funded by the Authority. Field activities continued at Fletcher 1 and 2. Drilling at Fletcher 1 was completed in February and downhole geophysics was completed in early March. Drilling at Fletcher 2 was completed in early March along with downhole geophysics. Both holes were backfilled, and the sites cleaned up. One drill shift remains to demobilize all equipment and ship all core materials.
- Continued to conduct landowner coordination activities in support of the geotechnical field investigations.

Environmental Planning and Permitting:

- Continued implementing the environmental commitments including biological, cultural and tribal field monitoring activities for NODOS geotechnical investigations.
- Began work on draft detailed schedule for operations, planning and permitting components.

Operations:

- Continued refinement of analysis tools for daily operations, bypass criteria, floodplain inundation, Shasta within year exchanges and other operational effects.

Stakeholder Engagement, Public Outreach & Real Estate:

Continued ongoing coordination efforts with landowners, local community members, state and federal elected officials, government agencies and coalitions of regional and statewide organizations including the following activities:

- Responded to landowner requests for project information, facilitated coordination activities with local government agencies and organizations and planned for future landowner, stakeholders and general public outreach activities and events.
- Facilitated a project site tour for Reclamation technical staff.
- Conducted a project update briefing with state Senator Jim Nielsen.

Program Management & Administration:

- Executed key consultants task orders in support of the Amendment 1B Work Plan (i.e. for work being performed between January 1 through August 31, 2020.
- Developing Amendment 2 work plan materials to support work to be performed between September 1, 2020 and December 31, 2021. Received input from the Reservoir Committee and Authority Board related to the goals, schedule, and potential cash call scenarios.
- Issued revised payment of claims to utilize Prop 1 (WSIP) funds received to advance the project. Issued payments to consultants from State checking account.

Draft_0027126

Sites

Topic: **Authority Board** **Agenda Item 4-2** **2020 March 25**

Subject: **Status of Funding Activities - State's WSIP and Federal (WIIN Act and USDA)**

Requested Action:

No action requested. Discussion and possible direction to staff regarding activities related to compliance with Water Commission's administration of the Water Storage Investment program (WSIP) and access to federal funding.

Detailed Description/Background:

The following topics will be discussed:

1. WSIP: Early Funding Agreement (EFA): Status of invoices.
2. USDA Conditional Funding:
3. Federal Appropriations: Status
4. Federal Feasibility Report:

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jim Watson/Joe Trapasso

Attachments:

None.

Sites

Topic: **Authority Board Agenda Item 5-1 2020 March 25**

Subject: **Treasurer's Report**

Requested Action:

Review and consider accepting the Sites Project Authority Treasurer's Report as presented in Attachment 5-1A.

Detailed Description/Background:

Attachment 5-1A incorporates financial information through February 29, 2020.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: February 2020 Treasurer's Report.

Draft_0027130

SITES JOINT POWERS AUTHORITY
TREASURER'S REPORT
February 29, 2020

Savings Account-River City Bank				
Beginning Balance	02/01/2020		$ 2,212,074.25	
Transfer from checking to max balance			$ 799,661.59	
Interest Earned			$ 3,876.62	
River City Bank ICS Savings Account Balance	**02/29/2020**			$ 3,015,612.46
General Operating Checking Account-River City Bank				
Beginning Balance	02/01/2020		$ 1,835,423.19	
RECEIVED:				
Membership Revenue		$ 394,862.77		
Interest Earned		$ 1,792.05		
TOTAL RECEIVED			$ 396,654.82	
DISBURSED:				
Bank Fees		$ (164.36)		
Accounting Fees		$ (4,614.00)		
Administrative Support		$ (3,880.80)		
Computer Service		$ (898.39)		
General Manager		$ (39,841.00)		
Office Expenses		$ (647.26)		
Professional Fees-Legislative/Regulatory		$ (8,000.00)		
Professional Fees-Communication		$ (16,977.06)		
Professional Fees-Organizatioal Assessment		$ (8,993.05)		
Professional Fees-Fed Gov't Affairs Support		$ (15,000.00)		
Professional Fees-Legal Services		$ (8,142.99)		
TOTAL DISBURSED			$ (107,158.91)	
Transfer to Savings to max balance			$ (799,661.59)	
RCB General Checking Account Balance	**02/29/2020**			$ 1,325,257.51
State (WSIP) Fund Checking Account-River City Bank				
Beginning Balance	02/01/2020		$ 6,123,037.29	
DISBURSED:				
Bus/Comm Manager		$ (22,977.99)		
Program Op Manager		$ (28,953.74)		
Professional Fees-Engineering		$ (24,381.18)		
Professional Fees-Real Estate		$ (2,774.66)		
Professional Fees-Project Controls		$ (74,932.13)		
Professional Fees-Operations/SIM Modeling		$ (58,739.76)		
Professional Fees-EPP Manager		$ (31,049.44)		
Professional Fees-Geotech Eng		$ (4,478.96)		
Professional Fees-Project Integration		$ (137,996.08)		
Professional Fees-Cost Development		$ (710.60)		
Professional Fees-Municipal Advisor		$ (10,525.00)		
Professional Fees-Env/Biological Serv & Permit		$ (21,763.95)		
TOTAL DISBURSED			$ (419,283.49)	
RCB State Fund Checking Account Balance	**02/29/2020**			$ 5,703,753.80
TOTAL CASH ON HAND	**February 29, 2020**			$ 10,044,623.77

Sites Project Joint Powers Authority
Transactions by Account
As of February 29, 2020

Type	Date	Num	Name	Memo	Debit	Credit	Balance
River City ICS Savings							2,212,074.25
General Journal	02/07/2020	2-1C		adjust ICS balance to max	857,086.17		3,069,160.42
General Journal	02/28/2020	2-2C		adjust ICS balance to max		57,424.58	3,011,735.84
General Journal	02/29/2020	2-3C		rec int fme	3,876.62		3,015,612.46
Total River City ICS Savings					860,962.79	57,424.58	3,015,612.46
River City Operating Account							1,835,423.19
Deposit	02/07/2020			Tehama Colusa Canal Auth	55,000.00		1,890,423.19
Deposit	02/07/2020			Western Canal WD	5,000.00		1,895,423.19
General Journal	02/07/2020	2-1C		adjust ICS balance to max		857,086.17	1,038,337.02
Deposit	02/10/2020			County of Colusa	55,000.00		1,093,337.02
Bill Pmt -Check	02/12/2020	2100	Adept Solutions Inc			898.39	1,092,438.63
Bill Pmt -Check	02/12/2020	2101	Darling H2O Consulting, Inc.			8,993.05	1,083,445.58
Bill Pmt -Check	02/12/2020	2102	Dunn Consulting			8,000.00	1,075,445.58
Bill Pmt -Check	02/12/2020	2103	J.C. Watson, Inc			39,841.00	1,035,604.58
Bill Pmt -Check	02/12/2020	2104	Katz and Associates, Inc			16,977.06	1,018,627.52
Bill Pmt -Check	02/12/2020	2105	KCoe Isom, LLP			4,614.00	1,014,013.52
Bill Pmt -Check	02/12/2020	2106	M.R. Cleaning Services			200.00	1,013,813.52
Bill Pmt -Check	02/12/2020	2107	Maximun Pest Control			65.00	1,013,748.52
Bill Pmt -Check	02/12/2020	2108	Mt Shasta Spring Water			49.60	1,013,698.92
Bill Pmt -Check	02/12/2020	2109	Recology Butte Colusa Counties			35.95	1,013,662.97
Bill Pmt -Check	02/12/2020	2110	Rush Personnel Services, Inc	00000L4H		3,880.80	1,009,782.17
Bill Pmt -Check	02/12/2020	2111	The Ferguson Group			15,000.00	994,782.17
Bill Pmt -Check	02/12/2020	2112	US Bank			296.71	994,485.46
Bill Pmt -Check	02/12/2020	2113	Young Wooldridge LLP	20091 EAC		8,142.99	986,342.47
Deposit	02/21/2020			City of Sac	27,500.00		1,013,842.47
Deposit	02/21/2020			GCID	55,000.00		1,068,842.47
Deposit	02/21/2020			Davis WD	4,862.77		1,073,705.24
Deposit	02/21/2020			Sac Co WD	27,500.00		1,101,205.24
Deposit	02/28/2020			Rec District 108	55,000.00		1,156,205.24
Deposit	02/28/2020			Colusa Co WD	55,000.00		1,211,205.24
Deposit	02/28/2020			Placer Co WA	27,500.00		1,238,705.24
Deposit	02/28/2020			City of Roseville	27,500.00		1,266,205.24
General Journal	02/28/2020	2-2C		adjust ICS balance to max	57,424.58		1,323,629.82
General Journal	02/29/2020	2-4C		Bank service fee		164.36	1,323,465.46
General Journal	02/29/2020	2-5C		rec int fme	1,792.05		1,325,257.51
Total River City Operating Account					454,079.40	964,245.08	1,325,257.51
River City State Fund Checking							6,123,037.29
Bill Pmt -Check	02/12/2020	1001	AECOM Technical Services, Inc.			24,381.18	6,098,656.11
Bill Pmt -Check	02/12/2020	1002	Bender Rosenthal Inc			2,774.66	6,095,881.45
Bill Pmt -Check	02/12/2020	1003	Brown and Caldwell			74,932.13	6,020,949.32
Bill Pmt -Check	02/12/2020	1004	CH2M Hill Engineers, Inc			58,739.76	5,962,209.56
Bill Pmt -Check	02/12/2020	1005	Forsythe Group LLC			31,049.44	5,931,160.12
Bill Pmt -Check	02/12/2020	1006	Fugro USA Land, Inc			4,478.96	5,926,681.16
Bill Pmt -Check	02/12/2020	1007	HDR Engineering Inc			137,996.08	5,788,685.08
Bill Pmt -Check	02/12/2020	1008	ICF Jones & Stokes Inc			21,763.95	5,766,921.13
Bill Pmt -Check	02/12/2020	1009	Larsen Wurzel & Associates, Inc			710.60	5,766,210.53
Bill Pmt -Check	02/12/2020	1010	Montague DeRose and Associat...			10,525.00	5,755,685.53
Bill Pmt -Check	02/12/2020	1011	Spesert Consulting			22,977.99	5,732,707.54
Bill Pmt -Check	02/12/2020	1012	Trapasso Consulting Services			28,953.74	5,703,753.80
Total River City State Fund Checking					0.00	419,283.49	5,703,753.80
US Bank Checking							0.00
Total US Bank Checking							0.00
TOTAL					**1,315,042.19**	**1,440,953.15**	**10,044,623.77**

Draft_0027132

Sites Project Joint Powers Authority
Balance Sheet
As of February 29, 2020

	Feb 29, 20	
ASSETS		
Current Assets		
Checking/Savings		
River City ICS Savings		3,015,612.46
River City Operating Account		1,325,257.51
River City State Fund Checking		5,703,753.80
Total Checking/Savings		10,044,623.77
Accounts Receivable		
Accounts Rec Members		
Membership - Authority	123,000.00	
Membership - Reservoir	11,329.72	
Total Accounts Rec Members		134,329.72
Total Accounts Receivable		134,329.72
Total Current Assets		10,178,953.49
TOTAL ASSETS		**10,178,953.49**
LIABILITIES & EQUITY		
Liabilities		
Current Liabilities		
Accounts Payable		
Accounts Payable		641,112.52
Total Accounts Payable		641,112.52
Total Current Liabilities		641,112.52
Long Term Liabilities		
Participant Reimbursement Polic		6,064,652.67
Total Long Term Liabilities		6,064,652.67
Total Liabilities		6,705,765.19
Equity		
Net Assets		1,929,216.58
Retained Earnings		2,194,599.73
Net Income		-650,628.01
Total Equity		3,473,188.30
TOTAL LIABILITIES & EQUITY		**10,178,953.49**

Sites Project Joint Powers Authority
A/R Aging Summary
As of February 29, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
Antelope Valley - East Kern Water Agency	0.00	0.00	0.00	0.00	16,317.92	16,317.92
City of American Canyon	0.00	0.00	0.00	0.00	-4,988.20	-4,988.20
County of Glenn	0.00	55,000.00	0.00	0.00	0.00	55,000.00
Westside Water District	0.00	55,000.00	0.00	0.00	0.00	55,000.00
Yolo County Flood Control	0.00	0.00	0.00	0.00	13,000.00	13,000.00
TOTAL	**0.00**	**110,000.00**	**0.00**	**0.00**	**24,329.72**	**134,329.72**

Sites Project Joint Powers Authority
A/P Aging Summary
As of February 29, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
Adept Solutions Inc	932.08	0.00	0.00	0.00	0.00	932.08
AECOM Technical Services, Inc.	38,838.46	0.00	0.00	0.00	0.00	38,838.46
Brown and Caldwell	0.00	106,709.01	0.00	0.00	0.00	106,709.01
CH2M Hill Engineers, Inc	60,522.55	0.00	0.00	0.00	0.00	60,522.55
Colusa Indian Community Council	1,017.82	2,867.73	0.00	0.00	0.00	3,885.55
Darling H2O Consulting, Inc.	7,673.14	0.00	0.00	0.00	0.00	7,673.14
Dunn Consulting	8,000.00	0.00	0.00	0.00	0.00	8,000.00
Forsythe Group LLC	30,660.62	0.00	0.00	0.00	0.00	30,660.62
Fugro USA Land, Inc	8,744.05	0.00	0.00	0.00	0.00	8,744.05
HDR Engineering Inc	172,196.08	0.00	0.00	0.00	0.00	172,196.08
ICF Jones & Stokes Inc	0.00	41,235.90	0.00	0.00	0.00	41,235.90
J.C. Watson, Inc	39,865.70	0.00	0.00	0.00	0.00	39,865.70
Katz and Associates, Inc	0.00	19,652.49	0.00	0.00	0.00	19,652.49
M.R. Cleaning Services	200.00	0.00	0.00	0.00	0.00	200.00
Maximun Pest Control	65.00	0.00	0.00	0.00	0.00	65.00
MBK Engineers Inc	0.00	1,101.00	0.00	0.00	0.00	1,101.00
Montague DeRose and Associates, LLC	0.00	1,925.00	0.00	0.00	0.00	1,925.00
Mt Shasta Spring Water	47.65	0.00	0.00	0.00	0.00	47.65
Perkins Coie LLP	18,450.90	0.00	0.00	0.00	0.00	18,450.90
Recology Butte Colusa Counties	35.95	0.00	0.00	0.00	0.00	35.95
Rush Personnel Services, Inc	4,983.30	0.00	0.00	0.00	0.00	4,983.30
Spesert Consulting	21,589.46	0.00	0.00	0.00	0.00	21,589.46
The Ferguson Group	0.00	15,000.00	0.00	0.00	0.00	15,000.00
Trapasso Consulting Services	29,107.10	0.00	0.00	0.00	0.00	29,107.10
US Bank	1,548.26	0.00	0.00	0.00	0.00	1,548.26
Young Wooldridge LLP	8,143.27	0.00	0.00	0.00	0.00	8,143.27
TOTAL	**452,621.39**	**188,491.13**	**0.00**	**0.00**	**0.00**	**641,112.52**

Sites Project Joint Powers Authority
Profit & Loss
February 2020

	Feb 20	Jan - Feb 20
Ordinary Income/Expense		
Income		
Membership Admin/Authority	0.00	505,000.00
Total Income	0.00	505,000.00
Gross Profit	0.00	505,000.00
Expense		
Accounting Expense	0.00	4,614.00
Admin Support Gen Manager	4,983.30	8,864.10
Bank Service Fees	164.36	353.81
General Manager		
Services	39,865.70	79,706.70
Total General Manager	39,865.70	79,706.70
Insurance - Property	0.00	250.00
Insurance Liability	0.00	1,604.25
Office Expenses		
Cleaning	200.00	400.00
Misc Office & Operating Exp	1,548.26	1,844.97
Pest Control & Maintenance	65.00	130.00
Trash Service	35.95	71.90
Water Expense	47.65	97.25
Total Office Expenses	1,896.86	2,544.12
Professional Fees		
Bus/Comm Manager	21,589.46	44,567.45
Communication	19,652.49	36,629.55
Cost Development Model	0.00	710.60
Cultural Study	1,017.82	3,885.55
Engineering & Technical Service	38,838.46	63,219.64
EPP Manager	30,660.62	61,710.06
Federal Government Affairs Supp	15,000.00	30,000.00
General Legal Counsel	8,143.27	16,286.26
Geotechnical Engineering	8,744.05	13,223.01
Legislative/Reg/Strategic	8,000.00	16,000.00
Municipal Advisor	1,925.00	12,450.00
Operations/Simulation Modeling	60,522.55	119,262.31
Organizational Assessment	7,673.14	16,666.19
Permitting & Agreements	41,235.90	62,999.85
Program Ops Manager	29,107.10	58,060.84
Project Controls	106,709.01	181,641.14
Project Integration	172,196.08	310,192.16
Real Estate	0.00	761.67
Reservoir Operations	1,101.00	1,101.00
Special Legal	18,450.90	18,450.90
Total Professional Fees	590,566.85	1,067,818.18
Website, Data, Computer Support	932.08	2,502.67
Total Expense	638,409.15	1,168,257.83
Net Ordinary Income	-638,409.15	-663,257.83
Other Income/Expense		
Other Income		
Interest Income		
Interest Income-River City	5,668.67	12,629.82
Total Interest Income	5,668.67	12,629.82
Total Other Income	5,668.67	12,629.82
Net Other Income	5,668.67	12,629.82

Sites Project Joint Powers Authority
Profit & Loss
February 2020

	Feb 20	Jan - Feb 20
Net Income	-632,740.48	-650,628.01

FOR MANAGEMENT PURPOSES ONLY

Sites Project Joint Powers Authority
Transaction Detail By Account
February 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Admin Support Gen Manager								
Bill	02/11/2020	135105	Rush Personnel Services, ...	2/20	Authority	211.68		-211.68
Bill	02/11/2020	135105	Rush Personnel Services, ...	2/20	Reservoir	846.72		-1,058.40
Bill	02/11/2020	135106	Rush Personnel Services, ...	2/20	Authority	255.78		-1,314.18
Bill	02/11/2020	135106	Rush Personnel Services, ...	2/20	Reservoir	1,023.12		-2,337.30
Bill	02/25/2020	135423	Rush Personnel Services, ...	2/20	Authority	246.96		-2,584.26
Bill	02/25/2020	135423	Rush Personnel Services, ...	2/20	Reservoir	987.84		-3,572.10
Bill	02/25/2020	135424	Rush Personnel Services, ...	2/20	Authority	282.24		-3,854.34
Bill	02/25/2020	135424	Rush Personnel Services, ...	2/20	Reservoir	1,128.96		-4,983.30
Total Admin Support Gen Manager						4,983.30	0.00	-4,983.30
Bank Service Fees								
General J...	02/29/2020	2-4C		Bank service fee	Authority	31.23		-31.23
General J...	02/29/2020	2-4C		Bank service fee	Reservoir	133.13		-164.36
Total Bank Service Fees						164.36	0.00	-164.36
General Manager								
Services								
Bill	02/29/2020	SPA-055	J.C. Watson, Inc	2/20	Authority	5,874.23		-5,874.23
Bill	02/29/2020	SPA-055	J.C. Watson, Inc	2/20	Reservoir	33,991.47		-39,865.70
Total Services						39,865.70	0.00	-39,865.70
Total General Manager						39,865.70	0.00	-39,865.70
Office Expenses								
Cleaning								
Bill	02/29/2020	20	M.R. Cleaning Services	2/20	Authority	200.00		-200.00
Total Cleaning						200.00	0.00	-200.00
Misc Office & Operating Exp								
Bill	02/29/2020	Online 3/2	US Bank	2/20	Authority	249.62		-249.62
Bill	02/29/2020	Online 3/2	US Bank	2/20	Reservoir	1,298.64		-1,548.26
Total Misc Office & Operating Exp						1,548.26	0.00	-1,548.26
Pest Control & Maintenance								
Bill	02/14/2020	50451	Maximun Pest Control	2/20	Authority	65.00		-65.00
Total Pest Control & Maintenance						65.00	0.00	-65.00
Trash Service								
Bill	02/29/2020	37055688	Recology Butte Colusa Co...	2/20	Authority	35.95		-35.95
Total Trash Service						35.95	0.00	-35.95
Water Expense								
Bill	02/20/2020	471753	Mt Shasta Spring Water	2/20	Authority	47.65		-47.65
Total Water Expense						47.65	0.00	-47.65
Total Office Expenses						1,896.86	0.00	-1,896.86
Professional Fees								
Bus/Comm Manager								
Bill	02/29/2020	3-20	Spesert Consulting	2/20	Reservoir	21,589.46		-21,589.46
Total Bus/Comm Manager						21,589.46	0.00	-21,589.46
Communication								
Bill	02/13/2020	413590	Katz and Associates, Inc	1/20 (C)	Authority	11,791.50		-11,791.50
Bill	02/13/2020	413590	Katz and Associates, Inc	1/20 (C)	Reservoir	7,860.99		-19,652.49
Total Communication						19,652.49	0.00	-19,652.49
Cultural Study								
Bill	02/29/2020	02-29-20-01	Colusa Indian Community ...	2/20	Reservoir	1,017.82		-1,017.82
Total Cultural Study						1,017.82	0.00	-1,017.82
Engineering & Technical Service								
Bill	02/28/2020	2000330234	AECOM Technical Service...	2/20	Reservoir	38,838.46		-38,838.46
Total Engineering & Technical Service						38,838.46	0.00	-38,838.46
EPP Manager								
Bill	02/27/2020	SPA-202002	Forsythe Group LLC	2/20	Reservoir	30,660.62		-30,660.62
Total EPP Manager						30,660.62	0.00	-30,660.62
Federal Government Affairs Supp								
Bill	02/01/2020	0220162	The Ferguson Group	2/20	Authority	7,500.00		-7,500.00
Bill	02/01/2020	0220162	The Ferguson Group	2/20	Reservoir	7,500.00		-15,000.00
Total Federal Government Affairs Supp						15,000.00	0.00	-15,000.00
General Legal Counsel								
Bill	02/29/2020	63615	Young Wooldridge LLP	2/20	Authority	5,606.27		-5,606.27
Bill	02/29/2020	63615	Young Wooldridge LLP	2/20	Reservoir	2,537.00		-8,143.27
Total General Legal Counsel						8,143.27	0.00	-8,143.27
Geotechnical Engineering								

Sites Project Joint Powers Authority
Transaction Detail By Account
February 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Bill	02/29/2020	04.7219003...	Fugro USA Land, Inc	2/20 (I)	Reservoir	8,744.05		-8,744.05
Total Geotechnical Engineering						8,744.05	0.00	-8,744.05
Legislative/Reg/Strategic								
Bill	02/29/2020	Letter	Dunn Consulting	2/20	Authority	4,000.00		-4,000.00
Bill	02/29/2020	Letter	Dunn Consulting	2/20	Reservoir	4,000.00		-8,000.00
Total Legislative/Reg/Strategic						8,000.00	0.00	-8,000.00
Municipal Advisor								
Bill	02/16/2020	4802SITES	Montague DeRose and As...	1/20	Reservoir	1,925.00		-1,925.00
Total Municipal Advisor						1,925.00	0.00	-1,925.00
Operations/Simulation Modeling								
Bill	02/19/2020	D3205400-010	CH2M Hill Engineers, Inc	1/20(D)	Reservoir	60,522.55		-60,522.55
Total Operations/Simulation Modeling						60,522.55	0.00	-60,522.55
Organizational Assessment								
Bill	02/29/2020	117	Darling H2O Consulting, Inc.	2/20	Authority	7,673.14		-7,673.14
Total Organizational Assessment						7,673.14	0.00	-7,673.14
Permitting & Agreements								
Bill	02/14/2020	0144836	ICF Jones & Stokes Inc	1/20 (Permitting) (F)	Reservoir	41,235.90		-41,235.90
Total Permitting & Agreements						41,235.90	0.00	-41,235.90
Program Ops Manager								
Bill	02/29/2020	SPA-17-29	Trapasso Consulting Servi...	2/20	Reservoir	29,107.10		-29,107.10
Total Program Ops Manager						29,107.10	0.00	-29,107.10
Project Controls								
Bill	02/12/2020	17363756	Brown and Caldwell	1/20 (B)	Reservoir	106,709.01		-106,709.01
Total Project Controls						106,709.01	0.00	-106,709.01
Project Integration								
Bill	02/22/2020	1200250916	HDR Engineering Inc	2/20 (A)	Reservoir	172,196.08		-172,196.08
Total Project Integration						172,196.08	0.00	-172,196.08
Reservoir Operations								
Bill	02/13/2020	20-01-4941.0	MBK Engineers Inc	1/20	Reservoir	1,101.00		-1,101.00
Total Reservoir Operations						1,101.00	0.00	-1,101.00
Special Legal								
Bill	02/25/2020	6146039	Perkins Coie LLP	1/20	Reservoir	18,450.90		-18,450.90
Total Special Legal						18,450.90	0.00	-18,450.90
Total Professional Fees						590,566.85	0.00	-590,566.85
Website, Data, Computer Support								
Bill	02/29/2020	MSP-138726	Adept Solutions Inc	3/20	Authority	134.44		-134.44
Bill	02/29/2020	MSP-138726	Adept Solutions Inc	3/20	Reservoir	537.76		-672.20
Bill	02/29/2020	138721	Adept Solutions Inc	1/20	Authority	51.98		-724.18
Bill	02/29/2020	138721	Adept Solutions Inc	1/20	Reservoir	207.90		-932.08
Total Website, Data, Computer Support						932.08	0.00	-932.08
Interest Income								
Interest Income-River City								
General J...	02/29/2020	2-3C		rec int fme	Reservoir		3,876.62	3,876.62
General J...	02/29/2020	2-5C		rec int fme	Authority		340.49	4,217.11
General J...	02/29/2020	2-5C		rec int fme	Reservoir		1,451.56	5,668.67
Total Interest Income-River City						0.00	5,668.67	5,668.67
Total Interest Income						0.00	5,668.67	5,668.67
TOTAL						**638,409.15**	**5,668.67**	**-632,740.48**

Sites Project Joint Powers Authority
Balance Sheet by Class
As of February 29, 2020

	Authority	Reservoir	TOTAL
ASSETS			
Current Assets			
Checking/Savings			
River City ICS Savings	0.00	3,015,612.46	3,015,612.46
River City Operating Account	815,579.50	509,678.01	1,325,257.51
River City State Fund Checking	0.00	5,703,753.80	5,703,753.80
Total Checking/Savings	815,579.50	9,229,044.27	10,044,623.77
Accounts Receivable			
Accounts Rec Members			
Membership - Authority	123,000.00	0.00	123,000.00
Membership - Reservoir	0.00	11,329.72	11,329.72
Total Accounts Rec Members	123,000.00	11,329.72	134,329.72
Total Accounts Receivable	123,000.00	11,329.72	134,329.72
Total Current Assets	938,579.50	9,240,373.99	10,178,953.49
TOTAL ASSETS	**938,579.50**	**9,240,373.99**	**10,178,953.49**
LIABILITIES & EQUITY			
Liabilities			
Current Liabilities			
Accounts Payable			
Accounts Payable	44,226.44	596,886.08	641,112.52
Total Accounts Payable	44,226.44	596,886.08	641,112.52
Total Current Liabilities	44,226.44	596,886.08	641,112.52
Long Term Liabilities			
Participant Reimbursement Polic	0.00	6,064,652.67	6,064,652.67
Total Long Term Liabilities	0.00	6,064,652.67	6,064,652.67
Total Liabilities	44,226.44	6,661,538.75	6,705,765.19
Equity			
Net Assets	114,674.93	1,814,541.65	1,929,216.58
Retained Earnings	356,196.54	1,838,403.19	2,194,599.73
Net Income	423,481.59	-1,074,109.60	-650,628.01
Total Equity	894,353.06	2,578,835.24	3,473,188.30
TOTAL LIABILITIES & EQUITY	**938,579.50**	**9,240,373.99**	**10,178,953.49**

Sites Project Joint Powers Authority
Profit & Loss by Class
February 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Expense			
Admin Support Gen Manager	996.66	3,986.64	4,983.30
Bank Service Fees	31.23	133.13	164.36
General Manager			
Services	5,874.23	33,991.47	39,865.70
Total General Manager	5,874.23	33,991.47	39,865.70
Office Expenses			
Cleaning	200.00	0.00	200.00
Misc Office & Operating Exp	249.62	1,298.64	1,548.26
Pest Control & Maintenance	65.00	0.00	65.00
Trash Service	35.95	0.00	35.95
Water Expense	47.65	0.00	47.65
Total Office Expenses	598.22	1,298.64	1,896.86
Professional Fees			
Bus/Comm Manager	0.00	21,589.46	21,589.46
Communication	11,791.50	7,860.99	19,652.49
Cultural Study	0.00	1,017.82	1,017.82
Engineering & Technical Service	0.00	38,838.46	38,838.46
EPP Manager	0.00	30,660.62	30,660.62
Federal Government Affairs Supp	7,500.00	7,500.00	15,000.00
General Legal Counsel	5,606.27	2,537.00	8,143.27
Geotechnical Engineering	0.00	8,744.05	8,744.05
Legislative/Reg/Strategic	4,000.00	4,000.00	8,000.00
Municipal Advisor	0.00	1,925.00	1,925.00
Operations/Simulation Modeling	0.00	60,522.55	60,522.55
Organizational Assessment	7,673.14	0.00	7,673.14
Permitting & Agreements	0.00	41,235.90	41,235.90
Program Ops Manager	0.00	29,107.10	29,107.10
Project Controls	0.00	106,709.01	106,709.01
Project Integration	0.00	172,196.08	172,196.08
Reservoir Operations	0.00	1,101.00	1,101.00
Special Legal	0.00	18,450.90	18,450.90
Total Professional Fees	36,570.91	553,995.94	590,566.85
Website, Data, Computer Support	186.42	745.66	932.08
Total Expense	44,257.67	594,151.48	638,409.15
Net Ordinary Income	-44,257.67	-594,151.48	-638,409.15
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	340.49	5,328.18	5,668.67
Total Interest Income	340.49	5,328.18	5,668.67
Total Other Income	340.49	5,328.18	5,668.67
Net Other Income	340.49	5,328.18	5,668.67
Net Income	**-43,917.18**	**-588,823.30**	**-632,740.48**

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through February 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Income			
Membership Admin/Authority	505,000.00	0.00	505,000.00
Total Income	505,000.00	0.00	505,000.00
Gross Profit	505,000.00	0.00	505,000.00
Expense			
Accounting Expense	922.80	3,691.20	4,614.00
Admin Support Gen Manager	1,772.82	7,091.28	8,864.10
Bank Service Fees	71.09	282.72	353.81
General Manager			
Services	11,740.41	67,966.29	79,706.70
Total General Manager	11,740.41	67,966.29	79,706.70
Insurance - Property	200.00	50.00	250.00
Insurance Liability	0.00	1,604.25	1,604.25
Office Expenses			
Cleaning	400.00	0.00	400.00
Misc Office & Operating Exp	427.93	1,417.04	1,844.97
Pest Control & Maintenance	130.00	0.00	130.00
Trash Service	71.90	0.00	71.90
Water Expense	97.25	0.00	97.25
Total Office Expenses	1,127.08	1,417.04	2,544.12
Professional Fees			
Bus/Comm Manager	0.00	44,567.45	44,567.45
Communication	16,035.76	20,593.79	36,629.55
Cost Development Model	0.00	710.60	710.60
Cultural Study	0.00	3,885.55	3,885.55
Engineering & Technical Service	0.00	63,219.64	63,219.64
EPP Manager	0.00	61,710.06	61,710.06
Federal Government Affairs Supp	15,000.00	15,000.00	30,000.00
General Legal Counsel	10,717.76	5,568.50	16,286.26
Geotechnical Engineering	0.00	13,223.01	13,223.01
Legislative/Reg/Strategic	8,000.00	8,000.00	16,000.00
Municipal Advisor	0.00	12,450.00	12,450.00
Operations/Simulation Modeling	0.00	119,262.31	119,262.31
Organizational Assessment	16,666.19	0.00	16,666.19
Permitting & Agreements	0.00	62,999.85	62,999.85
Program Ops Manager	0.00	58,060.84	58,060.84
Project Controls	0.00	181,641.14	181,641.14
Project Integration	0.00	310,192.16	310,192.16
Real Estate	0.00	761.67	761.67
Reservoir Operations	0.00	1,101.00	1,101.00
Special Legal	0.00	18,450.90	18,450.90
Total Professional Fees	66,419.71	1,001,398.47	1,067,818.18
Website, Data, Computer Support	500.54	2,002.13	2,502.67
Total Expense	82,754.45	1,085,503.38	1,168,257.83
Net Ordinary Income	422,245.55	-1,085,503.38	-663,257.83
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	1,236.04	11,393.78	12,629.82
Total Interest Income	1,236.04	11,393.78	12,629.82
Total Other Income	1,236.04	11,393.78	12,629.82
Net Other Income	1,236.04	11,393.78	12,629.82

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through February 2020

	Authority	Reservoir	TOTAL
Net Income	423,481.59	-1,074,109.60	-650,628.01

FOR MANAGEMENT PURPOSES ONLY

Sites

Topic: **Authority Board Agenda Item 5-2 2020 March 25**

Subject: **Payment of Claims**

Requested Action:

Consider approval of the Payment of Claims as presented in Attachment 5-2A with supporting details provided in Attachment 5-2B.

Detailed Description/Background:

Attachment A presents the warrants to be drawn against the invoices received by the Sites Project Authority through March 2, 2020.

Attachment B summarizes details of the invoices received through March 2, 2020 and how the incurred costs are allocated between the Authority and Reservoir Committee.

Prior Action:

None.

Fiscal Impact/Funding Source:

Total Payment of Claims is $641,112.52 with $44,226.44 of costs being assigned to the Authority and $596,886.08 assigned to the Reservoir Committee.

For the Reservoir Committee assigned amount, $534,965.68 will be paid through the WSIP account and $106,146.84 through the JPA/Sites account as shown in Attachment B.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: March 2020 Report on warrants to be drawn for Payment of Claims.

Attachment B: March 2020 Monthly Invoice Summary table.

WARRANTS DRAWN AGAINST
Sites Project Authority-WSIP Fund
March 25, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
1013	03/12/2020	AECOM Inc.	Engineering	38,838.46
1014	03/12/2020	Brown and Caldwell	Project Controls	106,709.01
1015	03/12/2020	CH2M	Operations/SIM Modeling	60,522.55
1016	03/12/2020	Colusa Indian Community Council	Tribal Council	3,885.55
1017	03/12/2020	Forsythe Group LLC	EPP Manager	30,660.62
1018	03/12/2020	Fugro USA Land, Inc.	Geotechnical Engineering Services	8,744.05
1019	03/12/2020	HDR Engineering Inc.	Project Integration	172,196.08
1020	03/12/2020	ICF Jones & Stokes Inc.	Env/Biological Services & Permitting	41,235.90
1021	03/12/2020	MBK Engineers Inc.	Reservoir Operations	1,101.00
1022	03/12/2020	Montegue DeRose and Associates, Inc.	Municipal Advisor	1,925.00
1023	03/12/2020	Perkins Coie LLP	Special Legal	18,450.90
1024	03/12/2020	Spesert Consulting	Bus/Comm Manager	21,589.46
1025	03/12/2020	Trapasso Consulting Services	Program Operations Manager	29,107.10

THE FOREGOING CLAIM, NUMBERED 1013-1025 ARE APPLIED TO THE WSIP FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **534,965.68**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

WARRANTS DRAWN AGAINST
Sites Project Authority- General Fund
March 25, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
2114	03/12/2020	Adept Solutions	IT & Related Services	932.08
2115	03/12/2020	Darling H2O Consulting, Inc.	Organizational Assessment	7,673.14
2116	03/12/2020	Dunn Consulting	Legislative/Regulatory/Strategic Support	8,000.00
2117	03/12/2020	JC Watson Inc.	GM Services	39,865.70
2118	03/12/2020	Katz and Associates Inc.	Communications	19,652.49
2119	03/12/2020	MR Cleaning Services	Office Cleaning	200.00
2120	03/12/2020	Maximum Pest Control	Pest Control	65.00
2121	03/12/2020	Mt Shasta Spring Water	Office Water	47.65
2122	03/12/2020	Recology Butte Colusa Counties	Office Trash Pickup	35.95
2123	03/12/2020	Rush Personnel Services Inc.	Administrative Services	4,983.30
2124	03/12/2020	The Ferguson Group	Fed Govt Affairs Support	15,000.00
2125	03/12/2020	U.S. Bank	Misc. Expenses	1,548.26
2126	03/12/2020	Young Wooldridge LLP	Legal Counsel	8,143.27

THE FOREGOING CLAIM, NUMBERED 2114-2126 ARE APPLIED TO THE GENERAL FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **106,146.84**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

Sites

March 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for March 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their March monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Adept Solutions	MSP-138726			$672.20	$134.44	$537.76	KMS
IT Related Services/Computer Equipment		*3/1/20*	03/20				
Adept Solutions	138721			$259.88	$51.98	$207.90	KMS
IT Related Services/Computer Equipment		*3/1/20*	1/20				
AECOM Technical Services, Inc.	2000330234			$38,838.46		$38,838.46	LEF
Engineering/Tech		*3/2/20*	2/20				
Bender Rosenthal, Inc. (G)	No Invoice						KMS
Real Estate							
Brown and Caldwell (B)	17363756			$106,709.01		$106,709.01	JAT
Project Controls		*2/12/20*	1/20				
Capital Project Strategies, LLC	No Invoice						JCW
Alternative Project Delivery Advisor							
CH2M Hill Engineers (Operations) (D)	D3205400-010			$60,522.55		$60,522.55	AEF
Operations / Simulation Modeling		*2/19/20*	1/20				
Colusa Indian Community Council	01-31-20-01			$2,867.73		$2,867.73	SPE
Tribal Council		*1/31/20*	1/20				
Colusa Indian Community Council	02-29-20-01			$1,017.82		$1,017.82	SPE
Tribal Council		*2/29/20*	2/20				
Darling H2O Consulting, Inc.	117			$7,673.14	$7,673.14		FD
Organizational Assessment		*3/1/20*	2/20				
Dunn Consulting	Letter			$8,000.00	$4,000.00	$4,000.00	KMS
Legislative/Regulatory/Strategic Support		*3/3/20*	2/20				
Forsythe Group, LLC	SPA-202002			$30,660.62		$30,660.62	JCW
EPP Manager		*2/27/20*	2/20				
Fugro (I)	04.72190035-11			$8,744.05		$8,744.05	LEF
Geotechnical Engineering Services		*3/3/20*	2/20				
Gerald (Jerry) Johns	No Invoice						AEF
Project Operations							
HDR (A)	1200250916			$172,196.08		$172,196.08	All Agents
Project Integration		*2/22/20*	2/20				

Sites

March 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for March 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their March monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
ICF Jones & Stokes, Inc. (Environ.) (E)	No Invoice						AEF
Env/Biological Services							
ICF Jones & Stokes, Inc. (Permitting) (F)	0144836			$41,235.90		$41,235.90	AEF
Permitting and Agreements		*2/14/20*	1/20				
J.C. Watson, Inc.	SPA-055			$39,865.70	$5,874.23	$33,991.47	JRT/GA
General Manager Services		*3/1/20*	2/20				
Katz & Associates (C)	413590			$19,652.49	$11,791.50	$7,860.99	KMS
Communications		*2/13/20*	1/20				
K-Coe Isom, LLP	No Invoice						JAT
Accounting							
Larsen Wurzel & Associates, Inc.	No Invoice						JAT
Cost Development							
M.R. Cleaning Service	20			$200.00	$200.00		KMS
Office Cleaning		*2/29/20*	2/20				
Maximum Pest Control	50451			$65.00	$65.00		KMS
Pest Spraying		*2/14/20*	2/20				
MBK Engineers	20-01-4941.0			$1,101.00		$1,101.00	AEF
Reservoir Operations		*2/13/20*	1/20				
Montague DeRose & Associates, LLC	4802SITES			$1,925.00		$1,925.00	JCW
Municipal Advisor		*2/16/20*	1/20				
MT Shasta Water	471753			$47.65	$47.65		KMS
Office Water		*2/20/20*	2/20				
Perkins Coie, LLP	6146039			$18,450.90		$18,450.90	AEF
Special Legal		*2/25/20*	1/20				
Recology Butte Colusa Counties	37055688			$35.95	$35.95		KMS
Office Trash Pickup		*2/29/20*	2/20				
Rush Personnel	IVC000000135105			$1,058.40	$211.68	$846.72	KMS
Yolanda Tirado Services		*2/11/20*	2/20				
Rush Personnel	IVC000000135106			$1,278.90	$255.78	$1,023.12	KMS
Yolanda Tirado Services		*2/11/20*	2/20				

Sites

March 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for March 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their March monthly meetings.

Consultant/Vendor	Invoice # Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Rush Personnel	IVC000000135423		$1,234.80	$246.96	$987.84	KMS
Yolanda Tirado Services	*2/25/20*	2/20				
Rush Personnel	IVC000000135424		$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services	*2/25/20*	2/20				
Spesert Consulting	3-20		$21,589.46		$21,589.46	JCW
Bus/Communications Manager	*3/4/20*	2/20				
Stradling, Yocca, Carlson & Rauth	No Invoice					JCW
Bond Counsel						
The Ferguson Group	0220162		$15,000.00	$7,500.00	$7,500.00	JAT
Federal Government Affairs Support	*2/1/20*	2/20				
Trapasso Consulting Services	SPA 17-29		$29,107.10		$29,107.10	JCW
Program Operations Manager	*3/3/20*	2/20				
U.S. Bank - Credit Card	Online 3/2		$1,548.26	$249.62	$1,298.64	KMS
Misc. Expenses	*3/2/20*	2/20				
Wiseman Consulting Group	No Invoice					KMS
ROW/Land Management						
Young Wooldridge, Law Offices, LLP	63615		$8,143.27	$5,606.27	$2,537.00	JCW
Legal Counsel	*2/29/20*	2/20				

		$ Total	$ Authority Board	$ Reservoir Committee
Monthly Summary	Cash Accounts	641,112.52	44,226.44	596,886.08
	WSIP	534,965.68		534,965.68
	JPA/Sites	106,146.84	44,226.44	61,920.40

Notes: *

Sites

Topic: **Authority Board Agenda Item 6-1** **2020 March 25**

Subject: **Report on Sites Project's Phase 2 Reservoir Committee Meeting – March 19, 2020**

Requested Action:

No action requested. Informational item.

Detailed Description/Background:

Reservoir Committee officers and/or Staff provide a report on the March 19, 2020 Sites Project Reservoir Committee meeting.

The Reservoir Committee's meeting package can be viewed at:

https://sitesproject.org/meetings/march-19-2020/

Prior Authority Board Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jim Watson

Attachments:

Attachment A – Sites Project Reservoir Committee Meeting Agenda – March 19, 2020.

Attachment B - Workgroup Signup Sheet.

Status:	Final	Preparer:	Trapasso	Phase: 2	Version: A
Purpose:	Staff Report	QA/QC:	Watson	Date:	2020 March 25
Caveat:	Informational	Authority Agent:	Watson	Ref/File #:	10.700
Notes:				Page: 1	of 1

Meeting:	**Phase 2 Reservoir Project Agreement**	**2020 March 19**
Subject:	**Reservoir Committee Meeting**	**1:00 PM – 4:00 PM**
Location:	Maxwell Project Office 122 Old Highway 99W, Maxwell, CA 95955	Call in: **1-844-531-9388** Code: **738 675 471**

WEBEX LINK

Chair: Thad Bettner (Glenn-Colusa Irrigation District)

Vice Chair: Jeff Davis (San Gorgonio Pass Water Agency)

Treasurer: Jamie Traynham (Davis Water District)

AGENDA

Notice: Pursuant to Executive Order N-25-20, issued by Governor Newsom on March 12, 2020, and guidance by the California Department of Public Health dated March 11, 2020, this meeting will be conducted by teleconference. The public may attend the meeting and offer public comments by phone, using the call-in number above, or in person, at the address above. Members of the Committee will participate by teleconference from other locations.

ROLL CALL & CALL TO ORDER: 10 min

- Introductions.
- Pledge of Allegiance.
- Approval of March 19, 2020 Agenda.
- Consider approving the February 21, 2020 Phase 2 Reservoir Committee Meeting Minutes.
- Announcement of Closed Session.
- Period for Public Comment. Max: 10 min

1. Member's Reports:

1.1 Chairpersons' Report: 15 min Bettner, Davis

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

- Workgroup assignments (Attachment 01-1A)

1.2 Reservoir Committee Participant Reports: 5 min Representatives

This time is set aside to allow Representatives or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

2. Consent Agenda: 0 min Watson

None.

3. Manager's Report: 15 min Watson

3.1 Discussion and possible direction to staff regarding the Sites Project's monthly status report. **(Attachment 3-1A)**

3.2 Discussion and possible direction to staff regarding Proposition 1, WSIP activities and WIIN Act Funding.

4. **2019 Ad Hoc Budget & Finance Workgroup**: 30 min Traynham

4.1 Consider accepting the Sites Project Authority Treasurer's Report. **(Attachment 4-1 A)**

4.2 Consider approval of the Reservoir Committee's portion of the monthly Payment of Claims. **(Attachments 4-2 A & B)**

5. **2019 Ad Hoc Environmental & Permitting Workgroup**: 10 min Bettner

Discussion and possible direction to staff regarding the ongoing activities of the Environmental and Permitting Workgroup.

6. **2019 Ad Hoc Reservoir Ops & Engineering Workgroup**: 20 min Kunde/Azevedo

6.1 Discussion and possible direction to staff regarding value planning efforts and the next steps to develop an updated project description.

7. **Updated Work Plan**:

7.1 Discussion and possible direction to staff regarding the development of the work plan for the period of performance starting September 1, 2020 and ending December 31, 2021 in accordance with a proposed Amendment 2 to the current Participation Agreement.

8. **Extending to Current Participation Agreement**:

8.1 Discussion and possible direction to staff regarding the proposed amendment to the current participation agreement and supporting documents.

RECESS:

9. **Closed Session**:

9.1 Public Employee Appointment (Gov. Code, § 54957)
Title: Executive Director

10. **Report from Closed Session**: 5 min Kuney

11. **Recap**: Watson

11.1 Agenda topics for next meeting?

- Presentation: Statewide water management actions: Department of Water Resources' perspective on Delta Conveyance.

11.2 Upcoming Reservoir Committee meeting:

Friday, April 17, 2020 9:00 AM
Maxwell Project Office
122 Old Highway 99W, Maxwell, CA 95955

ADJOURN:

PERIOD OF PUBLIC COMMENT: Any person may speak about any subject of concern, provided it is within the jurisdiction of the Reservoir Committee and is not already on today's agenda. The total amount of time allotted for receiving such public communication shall be limited to a total of 10 minutes per issue and each individual or group will be limited to no more than 3 minutes each within the 10 minutes allocated per issue. **Note:** No action shall be taken on comments made under this comment period.

ADA COMPLIANCE: Upon request, agendas will be made available in alternative formats to accommodate persons with disabilities. In addition, any person with a disability who requires a modification or accommodation to participate or attend this meeting may request necessary accommodation. Please make your request to the Board Clerk, specifying your disability, the format in which you would like to receive this Agenda and any other accommodation required no later than 24 hours prior to the start of the meeting.

Topic: **Sites Project - Reservoir Committee** **2020 March 16**

Workgroup Assignments for 2020

	Budget & Finance	Res. Ops & Engineering	Environmental & Permitting	Coordination
1	Jamie Traynham Chair	Mike Azevedo Vice Chair	Mike Azevedo	Thad Bettner Res Comm Chair
2	Thad Bettner	Thad Bettner	Thad Bettner Chair	Jeff Davis Res Comm Vice Chair
3	Rob Kunde	Rob Kunde Chair	Rob Kunde	Jamie Traynham Budget & Finance Chair
4	Eric Leitterman	Eric Leitterman	Eric Leitterman	Robert Cheng Budget & Finance Vice Chair
5	Robert Cheng Vice chair	Robert Cheng	Robert Cheng	Rob Kunde Res Ops & Eng. Chair
6	Bill Vanderwaal	Bill Vanderwaal	Bill Vanderwaal	Mike Azevedo Res Ops & Eng. Vice Chair
7	Dan Ruiz	Dan Ruiz	Jeff Davis	Thad Bettner Env & Permit Chair
8	Shelly Murphy	Bob Tincher	Heather Dyer Vice chair	Heather Dyer Env & Permit Vice Chair
9		Dirk Marks		
10		Rick Kaufman		
11		Amparo Flores		
12		Jeff Sutton (TC4)		
Staff	Joe Trapasso	Jim Watson	Ali Forsythe	Executive Director

NOTE: Workgroup meetings that have more than 10 representatives or designated alternates requires public noticing

Sites

Topic: **Authority Board** **Agenda Item 6-2** **2020 March 25**

Subject: **Value Planning**

Requested Action:

Discussion and possible direction to staff regarding value planning efforts and the next steps to develop an updated project description.

Detailed Description/Background:

The Ad Hoc Value Planning Workgroup was formed in late 2019 and continued to meet through early 2020. The Workgroup directed the efforts of Authority staff and the consultant team to formulate and evaluate a broader range of facilities that appear to be more affordable. On March 2, 2020, the Value Planning Work Group, through a sequential process that evaluated initial and refined facility alternatives, has identified a recommended set of facilities and range of alternatives that would provide the ability to adjust the Project as circumstances may dictate.

With the identification of a recommended project, the Value Planning Workgroup purpose is completed, and no further Workgroup meetings are planned.

A Sites workshop is scheduled for Monday, March 30, 2020. The workshop will provide an opportunity for participants to ask questions and provide feedback regarding the value planning study. The report will be finalized reflecting input from participants and a final report will be submitted to the Reservoir Committee and the Authority Board for approval in April.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Lee Frederiksen

Attachments:

None.

Sites

Topic: **Authority Board Agenda Item 6-3** **2020 March 25**

Subject: **September 1, 2020 through December 2021 Updated Work Plan (Amendment 2)**

Requested Action:

Discussion and possible direction to staff regarding the development of the updated work plan for the period of performance starting September 1, 2020 and ending December 31, 2021 in accordance with a proposed Amendment 2 to the current Participation Agreement.

Background:

To continue to advance the Sites Project beyond August 31, 2020, an amended participation agreement (Amendment 2) is needed that includes an additional funding commitment (aka cash call). These funds can then be leveraged using a combination of the Prop 1 (WSIP) early funding, which is capped at $40.8 million, and a portion of the $6 million WIIN Act appropriation that occurred in December. Based on estimates of local, state, and federal funding available, a work plan needs to be prepared and be based on the current level of local and state conditional investments and the current level of federal interest. The proposed goals of this work plan are:

a. To improve certainty related to the reservoir's operations and degree of operational integration with the State Water Project and Central Valley Project to produce benefits for both water supply and reliability purposes and water dedicated to environmental purposes;

b. To improve certainty related to the project's permitability through more-focused early agencies' consultations to then prepare applications for key federal and state permits and the state's water rights;

c. To complete the environmental analysis and documentation as required under CEQA and NEPA;

d. To improve certainty related to the project's affordability by advancing the level of engineering and implementing risk management to improve the accuracy of the cost estimates. In addition, pursue low interest financing and potential grants;

e. To continue to cultivate and strengthen partnerships with local landowners, communities, and key stakeholders that represent environmental, business, labor, and other interests;

f. To meet the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive the $775 million in construction funding;

g. To identify continued participation Go/No-Go decision points with staggered cash calls; and

h. To develop a successor participation agreement, work plan, and funding or finance plan to advance the Sites Project beyond December 31, 2021.

Proposed Schedule:

☑ January 17: Obtain input to overall goals, priorities, and milestones.

☑ February 21: Obtain input to *strawman* work plan (task list, initial milestones, and range of proposed cash calls) and proposed information package to be provided to each home board.

☐ March 19: Obtain input to an initial work plan (revised task list, more-defined CPM schedule, and cash call timing) and proposed information package.

☐ March 30: At a workshop, obtain input to the schedule, the proposed allocation of costs, and the proposed cash call timing for the purpose of developing a final draft of work plan for approval at the April meetings.

☐ April 17: Obtain final input and approval of the work plan to create Exhibit B to the amended participation agreement.

NOTE: Authority Board's consideration to approve is scheduled for April 22.

☐ May 1: Distribute document package for Home Boards' consideration.

☐ July 9: Response from Home Board for inclusion into agenda package for July 16 Reservoir Committee meeting to:

1. Consider approving the participation responses.
2. Authorize preparation of the first invoice due in 30 days (i.e. September 1, 2020). Plan is to distribute invoices to home boards on or before July 31.
3. If needed, authorize the rebalancing process.

NOTE: Authority Board's consideration to approve is scheduled for July 22.

☐ August 21: Reservoir Committee approve consultant services contract amendments and initial set of task orders to issue near-term Notices to Proceed on or before September 1.

☐ September 1: Formal start of amendment 2 to the current participation agreement.

Updated Work Plan:

Process: Based on input from the Reservoir Committee, staff continued to develop a project schedule through 2021 (Attachment A), with particular focus given to the critical path. Based on the activities scheduled for September 1, 2020 through December 31, 2021, preliminary cost estimates were developed, and the schedule was cost loaded. The cash flow based on this exercise, including cash calls from the Reservoir Committee, is included in Attachment B. Based on this scenario, the cash call invoices totaling $100/AF would occur as follows:

- $60/AF, Due September 1, 2020.
- $40/AF, Due February 1, 2021.

Preliminary cost estimates by subject area are shown in Attachment C, reflecting highest priority spending on project permitting (36%), engineering in support of the project description and feasibility (15%), and the environmental planning documents (13%).

Based on the revenue scenario and focusing on the work plan goals as defined, the following activities are proposed to be **deferred beyond December 31, 2021**:

- Any additional repayment of Phase 1 contributed credit to participants.
- Payment of permit application fees.
- Purchasing of real estate.
- Early procurement of equipment.
- Development of alternative delivery bridging documents, which includes alternative delivery legal support.
- Development of a detailed Program Management Plan (PMP) and associated standards.
- Development of policies and procedures beyond those necessary to receive previously identified grants or funding agreements.
- Implementation of a Project Management Information System (PMIS) or an enterprise-wide solution for business and asset management (e.g. ERP).

Prior Action:

February 21, 2020: Staff provided information on three possible revenue scenarios and a task list for Amendment 2 (September 1, 2020 and ending December 31, 2021). Staff received direction to continue to develop the $100/AF revenue scenario assuming a reduction in participation up to 10%.

January 17, 2020: Staff provided information regarding the proposed process for developing a work plan. This work plan proposes to focus on improving certainty related to the operations, permitting, and affordability and meet the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive funding.

Fiscal Impact/Funding Source:

Not yet determined

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Project Schedule Through Dec 31, 2021 (Amendment 2)

Attachment B: Amendment 2 Cash Flow Curves, Reservoir Committee and Authority Board

Attachment C: Amendment 2 Work Plan Spend by Subject Area

Sites Reservoir Project

2020 March 25 Authority Board, Agenda Item 6-3, Attachment A

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
SITES PROJECT		548	02-Jan-20	25-Feb-22
MILESTONES		327	31-Aug-20	15-Dec-21
MS-001	Local Funding (Go/No-go #1)	0		31-Aug-20
MS-002	Local Funding (Go/No-go #2)	0		08-Jan-21
MS-003	Local Funding (Go/No-go #3)	0		15-Dec-21
LOCAL FUNDING		509	02-Jan-20	31-Dec-21
Execute Participation Agreement 1B		95	23-Jan-20	04-Jun-20
PA-010	Amend Task Orders	26	23-Jan-20	27-Feb-20
PA-020	Final Participation Agreement Amendment 1B	15	18-Mar-20	08-Apr-20
PA-030	Engineering Task Orders (HC, HR)	30	23-Jan-20	04-Mar-20
PA-040	Amendment 1B Exhibit B	5	01-Apr-20	08-Apr-20
PA-050	Executed 1B	0		04-Jun-20
Execute Participation Agreement 2		114	18-Mar-20	26-Aug-20
PA-120	Draft Participation Agreement Amend 2 for Home Board Review	15	18-Mar-20	08-Apr-20
PA-130	Home Board Review - Determine Participation Level	44	17-Apr-20	18-Jun-20
PA-140	Rebalance Participation	8	19-Jun-20	30-Jun-20
PA-150	Final Participation Agreement Amend 2 with Exhibits	0		30-Jun-20
PA-160	Execute Amendment 2	0		26-Aug-20
Execute Successor Participation Agreement 2		106	02-Aug-21	31-Dec-21
PA-210	Execute Successor Agreement	106	02-Aug-21*	31-Dec-21
Work Plan Amend 1B		40	02-Jan-20	26-Feb-20
WP-005	Incorporate Comments from Dec Res Comm Mtg	9	02-Jan-20*	14-Jan-20
WP-015	Prepare Final Work Plan	14	15-Jan-20	03-Feb-20
WP-020	Budget & Finance Work Group Review	5	04-Feb-20	10-Feb-20
WP-025	Work Plan 1B Approved	0		26-Feb-20
Work Plan Amend 2		153	28-Jan-20	01-Sep-20
Develop Schedule		29	28-Jan-20	06-Mar-20
WP-040	Develop Draft Schedule thru Dec 31, 2021	13	28-Jan-20	13-Feb-20
WP-060	Develop Final Schedule through Dec 2021	10	24-Feb-20	06-Mar-20
Work Plan Scope Document		50	28-Jan-20	06-Apr-20
WP-050	Draft Work Plan Task List	13	28-Jan-20	13-Feb-20
WP-070	Final Work Plan Scope Document	31	24-Feb-20	06-Apr-20
Work Plan Budget		50	28-Jan-20	06-Apr-20
WP-035	Budget Scenarios and Assumptions	2	11-Feb-20	12-Feb-20
WP-055	Draft Work Plan Budget	13	28-Jan-20	13-Feb-20
WP-090	Final Work Plan Budget	31	24-Feb-20	06-Apr-20
Amendment 2		103	07-Apr-20	01-Sep-20
WP-095	Final Amendment 2 Work Plan	5	07-Apr-20	13-Apr-20
WP-110	Develop Task Orders (Sep 2020 to Dec 2021)	51	14-Apr-20	24-Jun-20
WP-120	Work Plan 2 Approved	0		24-Jun-20
WP-130	Start of Amend 2	0	01-Sep-20	
VALUE PLANNING		73	02-Jan-20	13-Apr-20
Value Planning Analysis		44	02-Jan-20	03-Mar-20
VP-001	Refine Value Planning Options	3	02-Jan-20	06-Jan-20
VP-002	Screen Value Planning Options	20	07-Jan-20	03-Feb-20
VP-006	Qualitative Permit Screening	37	02-Jan-20	21-Feb-20

WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
VP-007	Qualitative Env Screening	37	02-Jan-20	21-Feb-20
VP-008	Qualitative Ops Screening	37	02-Jan-20	21-Feb-20
VP-013	Prepare Costs For Value Planning Alternatives	15	04-Feb-20	24-Feb-20
VP-014	Affordability Analysis	4	25-Feb-20	28-Feb-20
VP-015	Screen Alternatives	2	02-Mar-20	03-Mar-20
VP-020	Recommend Preferred Option	0		03-Mar-20
Value Planning Report		42	14-Feb-20	13-Apr-20
VP-025	Value Planning Draft Report	21	14-Feb-20	13-Mar-20
VP-070	Select and Confirm Preferred Project	21	16-Mar-20	13-Apr-20
VP-090	Value Planning Final Report	17	20-Mar-20	13-Apr-20
Preferred Project		0	13-Apr-20	13-Apr-20
VP-095	Approve Preferred Project	0		13-Apr-20
PROJECT DESCRIPTION		143	11-Feb-20	31-Aug-20
Determine Needs		56	11-Feb-20	28-Apr-20
PDE-10	Environmental Planning Needs	56	11-Feb-20	28-Apr-20
PDE-20	Permitting Needs	56	11-Feb-20	28-Apr-20
PDE-25	Engineering Needs	46	11-Feb-20	14-Apr-20
PDE-30	Operations Needs	10	11-Feb-20	24-Feb-20
PDE-35	Water Rights Needs (Reg Items/Study)	46	11-Feb-20	14-Apr-20
Components		60	01-Apr-20	24-Jun-20
PDE-40	Identify Alternatives for EIR/EIS	30	01-Apr-20	12-May-20
PDE-42	Determine Preliminary Project Construction Sequencing	30	13-May-20	24-Jun-20
PDE-45	Identify Project-Level vs Program Components (Planning)	47	14-Apr-20	18-Jun-20
PDE-47	Identify Project-Level vs Program Components (Permitting)	47	14-Apr-20	18-Jun-20
Develop Project Description		85	29-Apr-20	27-Aug-20
PDE-50	Develop Project Description Construction	85	29-Apr-20	27-Aug-20
PDE-55	Project Description Operations Criteria Complete (see Ops Tasks)	0		12-Jun-20
Project Description Complete		0	31-Aug-20	31-Aug-20
PDE-60	Project Description	0		31-Aug-20
OPERATIONS		486	02-Jan-20	29-Nov-21
Value Planning Operations		71	02-Jan-20	10-Apr-20
OP-005	Value Planning Model	37	02-Jan-20	21-Feb-20
OP-007	Stony Creek Evaluation	37	02-Jan-20	21-Feb-20
OP-010	Shasta Exchanges Post-Processing and Draft TM	37	02-Jan-20	21-Feb-20
OP-015	Value Planning TM/Document	20	03-Feb-20	28-Feb-20
OP-020	Storage to Release Ratios Table	15	10-Feb-20	28-Feb-20
OP-070	Reclamation Feasibility Complete (Milestone) - Critical Staffing Need	0		10-Apr-20*
Refine Operational Parameters		89	10-Feb-20	12-Jun-20
OP-110	Confirm Notched Fremont Weir Approach	44	10-Feb-20	09-Apr-20
OP-120	Model Reclamation with No Storage Investment	44	10-Apr-20	11-Jun-20
OP-130	Confirm Shasta Exchange Approach	44	10-Feb-20	09-Apr-20
OP-140	Confirm Sutter Bypass Approach	44	10-Feb-20	09-Apr-20
OP-150	Confirm Voluntary Agreement Weir Notching Approach	44	10-Feb-20	09-Apr-20
OP-160	Confirm Delta Water Quality Approach	44	10-Feb-20	09-Apr-20
OP-170	Confirm Sacramento River Mortality Approach	44	10-Feb-20	09-Apr-20
OP-180	Determine Baseline	30	10-Feb-20	20-Mar-20

WORKING DRAFT

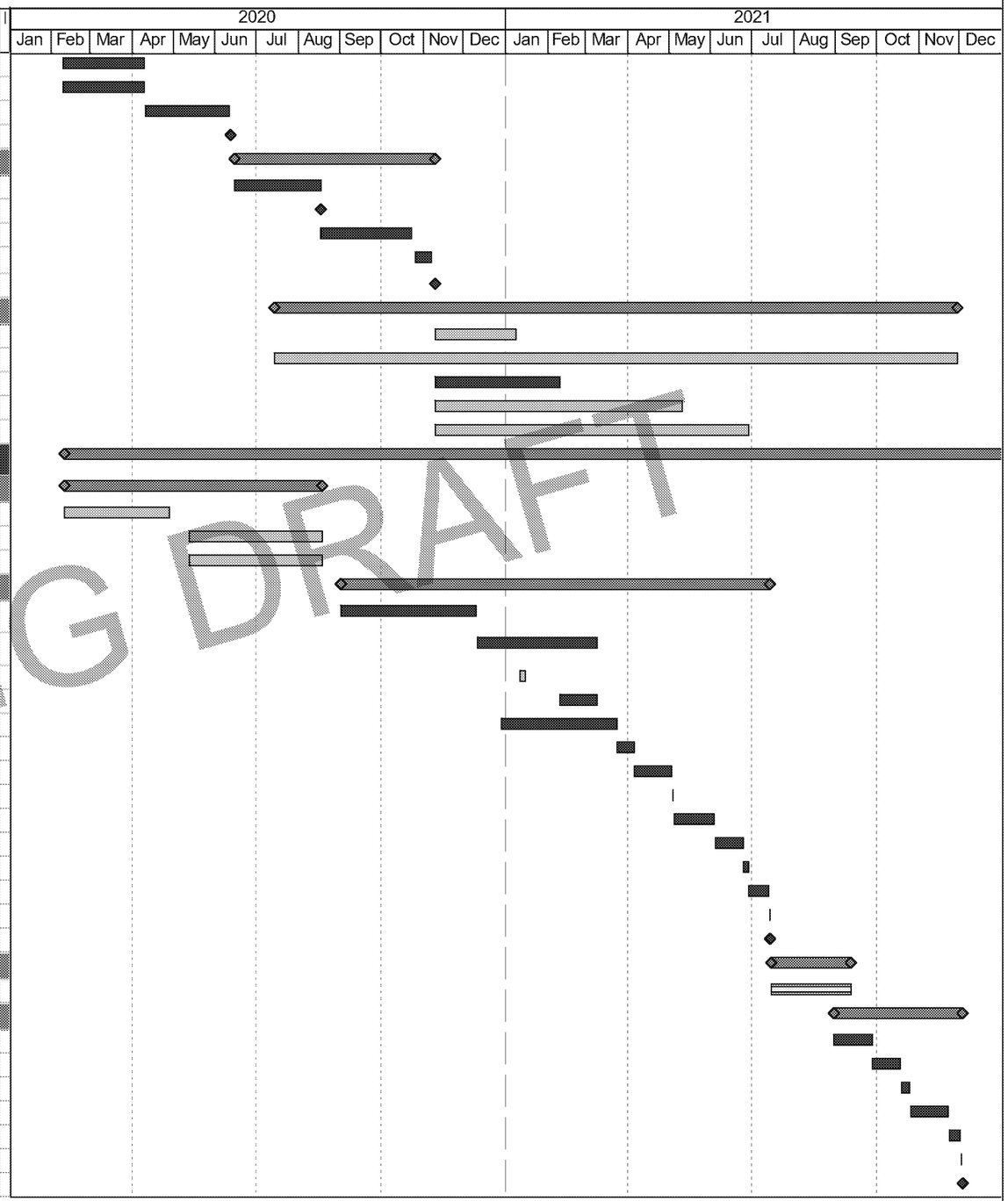
Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
OP-190	Confirm CVP/SWP Integration Approach	44	10-Feb-20	09-Apr-20
OP-200	Confirm Participation Demands Updated	44	10-Feb-20	09-Apr-20
OP-210	Confirm Model Refinements	44	10-Apr-20	11-Jun-20
OP-220	Finalize Operational Criteria	0		12-Jun-20
Full Operations Analysis		104	15-Jun-20	09-Nov-20
OP-410	Initial Modeling Evaluation	45	15-Jun-20	17-Aug-20
OP-420	Preliminary Results Available for Strategy Discussions	0		17-Aug-20
OP-430	Define and Finalize	48	18-Aug-20	23-Oct-20
OP-440	Power and Economics	10	26-Oct-20	06-Nov-20
OP-450	Final Operations Analysis's Output	0		09-Nov-20
Coordination		350	14-Jul-20	29-Nov-21
OP-320	Documentation for EIR/EIS and BA/ITP	40	10-Nov-20	08-Jan-21
OP-330	Ongoing Support to EPP, Engineering, & Financial	350	14-Jul-20	29-Nov-21
OP-340	Cumulative and Climate Change	62	10-Nov-20	09-Feb-21
OP-350	Bridging Simulation	126	10-Nov-20	10-May-21
OP-360	Ops Support for Local Feasibility - Water Commission	160	10-Nov-20	28-Jun-21
EIR/EIS		520	11-Feb-20	25-Feb-22
Work Plan & Outline		134	11-Feb-20	18-Aug-20
EIR-001	Prepare EIR/EIS Work Plan	56	11-Feb-20	28-Apr-20
EIR-010	Prepare Annotated Outline	68	13-May-20	18-Aug-20
EIR-015	Introduction Chapter/Recirculation Story	68	13-May-20	18-Aug-20
Draft EIR/EIS		220	01-Sep-20	14-Jul-21
EIR-019	Prepare Revised Draft EIR/EIS Analysis (Construction)	70	01-Sep-20	10-Dec-20
EIR-020	Prepare Revised Draft EIR/EIS Analysis (Operations)	61	11-Dec-20	09-Mar-21
EIR-021	Status Update to Board	5	11-Jan-21	15-Jan-21
EIR-025	Prepare Cumulative and Climate Change Sections	20	10-Feb-21	09-Mar-21
EIR-026	Prepare Complete Admin Draft EIR/EIS	60	29-Dec-20	23-Mar-21
EIR-030	Authority/Reclamation/Integration Review of Admin Draft EIR/EIS	9	24-Mar-21	05-Apr-21
EIR-040	Revise Draft EIR/EIS Based on Comments	20	06-Apr-21	03-May-21
EIR-045	Live Edit Meeting	1	04-May-21	04-May-21
EIR-050	Work Group & Legal Review of Revised Draft EIR/EIS	22	05-May-21	03-Jun-21
EIR-060	Resolve Work Group Comments	15	04-Jun-21	24-Jun-21
EIR-065	Live Edit Meeting	2	25-Jun-21	28-Jun-21
EIR-067	Final Editing, Formatting and Doc Production	10	29-Jun-21	13-Jul-21
EIR-070	Authority Approval of Release Revised Draft EIR/EIS	1	14-Jul-21	14-Jul-21
EIR-080	Release Revised Draft EIR/EIS and Issue Public Notices	0		14-Jul-21
Public Review		60	15-Jul-21	12-Sep-21
EIR-090	Public Review Period	60	15-Jul-21	12-Sep-21
Summary Report		67	30-Aug-21	03-Dec-21
EIR-095	Categorize and Sort Comments by Topic	20	30-Aug-21	27-Sep-21
EIR-100	Approach to Response to Comments	15	28-Sep-21	18-Oct-21
EIR-105	Authority/Reclamation/Legal Review	5	19-Oct-21	25-Oct-21
EIR-110	Prepare Summary Report	20	26-Oct-21	22-Nov-21
EIR-115	Work Group & Legal Review of Summary Report	5	23-Nov-21	01-Dec-21
EIR-117	Live Edit Meeting of Summary Report	1	02-Dec-21	02-Dec-21
EIR-120	Draft EIR Summary Report Submittal to CWC	0		03-Dec-21



WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
Response to Comments		90	19-Oct-21	25-Feb-22
EIR-130	Begin Preparation of Final EIR/EIS Including Response to Comments	90	19-Oct-21	25-Feb-22
PERMITTING		439	14-Apr-20	06-Jan-22
Biological Assessment		306	14-Apr-20	28-Jun-21
BA-001	Initial Desktop Analysis	60	01-Oct-20	28-Dec-20
BA-010	Mitigation Planning	202	14-Apr-20	29-Jan-21
BA-015	Adaptive Management Plan	202	14-Apr-20	29-Jan-21
BA-019	Confirm Federal Scope of Action (ESA)	38	14-Apr-20	05-Jun-20
BA-020	Permitting Construction Project Description	16	08-Jun-20	29-Jun-20
BA-022	Permitting Operations Project Description	20	20-Oct-20	16-Nov-20
BA-024	Prepare Construction Analysis	97	01-Oct-20	18-Feb-21
BA-025	Prepare Operations Analysis	60	17-Nov-20	12-Feb-21
BA-030	Finalize Admin Draft BA	20	19-Feb-21	18-Mar-21
BA-032	Submit Final Admin Draft BA	0		18-Mar-21
BA-035	Independent Review Draft BA	30	19-Mar-21	17-Apr-21
BA-055	Reclamation, Legal, and Work Group Review	30	19-Mar-21	17-Apr-21
BA-057	Revise Admin Draft BA	30	19-Apr-21	28-May-21
BA-060	Submit Revised Admin Draft BA to Redamation	0		28-May-21
BA-075	Final Reclamation and Solicitor Office Review	30	29-May-21	27-Jun-21
BA-100	Reclamation Submit BA to USFWS & NMFS	0		28-Jun-21
BO Incidental Take Authorization		135	28-Jun-21	09-Nov-21
BA-1110	BO Incidental Take Authorization	135	28-Jun-21	09-Nov-21
ITP - CESA (Se 2081) Operations		231	12-Jan-21	07-Dec-21
CES-190	Prepare Operations Analysis	30	12-Jan-21	22-Feb-21
CES-200	Additional Mitigation Planning	30	23-Feb-21	05-Apr-21
CES-210	Prepare Draft ITP Application	60	01-Jun-21	24-Aug-21
CES-220	Legal Review of Draft ITP App	30	25-Aug-21	23-Sep-21
CES-230	Work Group Review of Draft ITP App	30	25-Aug-21	23-Sep-21
CES-240	Revise Draft ITP Application	20	23-Sep-21	21-Oct-21
CES-250	Authority Board Approval of ITP App	30	21-Oct-21	06-Dec-21
CES-260	Submit ITP Application to CDFW	0		07-Dec-21
ITP - CESA (Se 2081) Construction		390	01-Oct-20	07-Dec-21
CES-015	Initial Desktop Analysis	30	01-Oct-20	11-Nov-20
CES-020	Prepare Terrestrial Analysis	30	12-Nov-20	28-Dec-20
CES-025	Mitigation Planning	30	29-Dec-20	09-Feb-21
CES-030	Prepare Draft ITP Application	60	01-Jun-21	24-Aug-21
CES-040	Legal Review of Draft ITP App	30	25-Aug-21	23-Sep-21
CES-060	Work Group Review of Draft ITP App	30	25-Aug-21	23-Sep-21
CES-070	Revise Draft ITP Application	20	23-Sep-21	21-Oct-21
CES-080	Authority Board Approval of ITP App	30	21-Oct-21	06-Dec-21
CES-090	Submit ITP Application to CDFW	0		07-Dec-21
Section 106		317	01-Sep-20	02-Dec-21
106-000	Confirmation of Section 106 Federal Lead Agency	0		08-Sep-20
106-001	Prepare SHPO Initiation Package	20	01-Sep-20	29-Sep-20
106-002	Authority/Reclamation/Integration Reviews	10	30-Sep-20	13-Oct-20
106-003	Management/Work Group Reviews	10	14-Oct-20	27-Oct-20
106-004	Revisions	10	28-Oct-20	10-Nov-20

WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
106-005	Reclamation Submits to SHPO	0		17-Nov-20
106-006	SHPO Review and Comments	30	18-Nov-20	17-Dec-20
106-021	Prepare Consultation Information Package	15	17-Dec-20	11-Jan-21
106-022	Authority/Reclamation/Integration Reviews	10	11-Jan-21	25-Jan-21
106-023	Management/Work Group Reviews	10	25-Jan-21	08-Feb-21
106-024	Revisions	10	08-Feb-21	22-Feb-21
106-025	Reclamation Distributes to SHPO and Invited Consulting Parties	5	22-Feb-21	01-Mar-21
106-026	Parties Accept Invitation for Consulting Party Status	30	02-Mar-21	31-Mar-21
106-032	Arrange Meeting Logistics and Prepare Materials	20	22-Feb-21	22-Mar-21
106-033	Conduct Meetings	10	01-Mar-21	15-Mar-21
106-042	Authority/Reclamation/Integration Reviews	10	30-Mar-21	13-Apr-21
106-043	Management/Work Group Reviews	10	13-Apr-21	27-Apr-21
106-044	Revisions	10	27-Apr-21	11-May-21
106-052	Circulate Draft PA to SHPO and Consulting Parties	5	27-Apr-21	04-May-21
106-053	Deadline for Comments on Draft PA	30	05-May-21	03-Jun-21
106-061	Prepare Draft Final PA	20	03-Jun-21	01-Jul-21
106-062	Authority/Reclamation/Integration Reviews	10	01-Jul-21	16-Jul-21
106-063	Management/Work Group Reviews	10	16-Jul-21	30-Jul-21
106-064	Revisions	10	30-Jul-21	13-Aug-21
106-072	Circulate Draft Final PA to SHPO and Consulting Parties	5	13-Aug-21	20-Aug-21
106-073	Deadline for Comments on Draft Final PA	30	21-Aug-21	19-Sep-21
106-081	Prepare Final PA	15	20-Sep-21	08-Oct-21
106-082	Authority/Reclamation/Integration Reviews	10	11-Oct-21	22-Oct-21
106-083	Management/Work Group Reviews	10	25-Oct-21	05-Nov-21
106-084	Revisions	10	08-Nov-21	19-Nov-21
106-091	Consult with SHPO and Consulting Parties on Final PA	5	22-Nov-21	30-Nov-21
106-092	Circulate Final PA to SHPO and Consulting Parties for Signatures	5	22-Nov-21	30-Nov-21
106-093	Deadline for Signatures on Final PA	0		02-Dec-21
Water Rights		341	01-Sep-20	06-Jan-22
WR-005	Water Availability Analysis & Planning/Coordination with SWRCB	210	01-Sep-20	29-Jun-21
WR-025	Prepare Water Right Application	121	15-Jul-21	06-Jan-22
Section 404		419	14-Apr-20	07-Dec-21
404-001	Agreement with Reclamation for LEDPA Analysis	15	14-Apr-20	04-May-20
404-003	USACE LEDPA & NEPA, 404/408 and WD Approach	88	05-May-20	08-Sep-20
404-010	Desktop Wetland Delineation Analysis (includes Waters of the State)	150	01-Oct-20	04-May-21
404-020	Submit Delineation to USACE	0		05-May-21
404-025	Pre-Application Meeting	1	01-Jun-21	02-Jun-21
404-030	Preliminary Wetland Delineation Acceptance	90	06-May-21	03-Aug-21
404-035	Prepare Compensatory Mitigation Plan	161	23-Feb-21	08-Oct-21
404-050	Prepare Draft 404 Application	42	03-Aug-21	01-Oct-21
404-060	Authority/Integration/Work Group Review of Draft 404 Application	20	11-Oct-21	05-Nov-21
404-070	Prepare Final 404 Application	20	08-Nov-21	07-Dec-21
404-120	Submit 404 Application	0		07-Dec-21
Section 408		341	01-Sep-20	06-Jan-22
408-005	Coordinate with CVFPB and USACE	20	01-Sep-20	29-Sep-20
408-010	Assume Start of 50% Design of 408 Jurisdictional Features	0		01-Jul-21
408-130	Prepare Draft Encroachment Permit/408 Request w/Engineering Team	129	05-Jul-21	06-Jan-22
Section 401 Water Quality		311	09-Sep-20	30-Nov-21

WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
401-120	Coordinate with RWQCB and SWRCB	84	09-Sep-20	08-Jan-21
401-130	Prepare Draft 401 Application	42	03-Aug-21	01-Oct-21
401-140	Authority/Integration/Work Group Review of Draft 401	20	01-Oct-21	29-Oct-21
401-150	Prepare Final 401 Application	20	29-Oct-21	30-Nov-21
401-160	Submit 401 Application	0		30-Nov-21
FEASIBILITY-LEVEL GEOTECH		337	01-Sep-20	30-Dec-21
GSR-010	Geotechnical Permitting & Planning, Right of Access	61	01-Sep-20	25-Nov-20
GSR-020	Conduct Geotechnical Field Investigation	85	30-Nov-20	30-Mar-21
GSR-030	Data Evaluation and Prepare Geotechnical Data Reports	107	29-Jan-21	29-Jun-21
GSR-040	Data Gap Assessment and Preliminary Planning for Design Level Inv for I	149	28-May-21	30-Dec-21
ENGINEERING		449	24-Mar-20	30-Dec-21
Support of Project Description		449	24-Mar-20	30-Dec-21
ENG-110	Engineering Consultants Brought On Board (complete prior to Sept 1)	0		24-Mar-20
ENG-120	Coordination of CADD and GIS Standards	5	25-Mar-20	31-Mar-20
ENG-130	Coordination to Identify Alternatives for EIR/EIS & Related Studies	5	25-Mar-20	31-Mar-20
ENG-140	Coordination to Finalize Storage & Conveyance Capacities	5	25-Mar-20	31-Mar-20
ENG-145	Coordination with Reclamantion on Approach	5	25-Mar-20	31-Mar-20
ENG-150	Gather Data from Prior Draft EIR/EIS	10	25-Mar-20	07-Apr-20
ENG-160	Prepare Project Base Map	20	01-Apr-20	28-Apr-20
ENG-170	Prepare Basis of Feasibility Design	22	01-Apr-20	30-Apr-20
ENG-180	Conduct Field Reviews (as needed)	5	01-Apr-20	07-Apr-20
ENG-190	Prepare Preliminary Feasibility Level Design	55	01-May-20	20-Jul-20
ENG-193	Develop Hydaulic Model	30	01-May-20	12-Jun-20
ENG-195	Prepare Final Feasibility Level Design	30	21-Jul-20	31-Aug-20
ENG-200	Develop CADD Drawings of Key Features	85	01-May-20	31-Aug-20
ENG-210	Provide Information on Key Project Features & Facilities	21	01-Sep-20	30-Sep-20
ENG-220	Convert Drawings to GIS Geodatabase File Format to Support Environm	21	01-Sep-20	30-Sep-20
ENG-230	Support to Environmental and Permitting Team	316	01-Oct-20	30-Dec-21
Cost Estimate, Feasibility, Constructability		295	01-Oct-20	30-Nov-21
ENG-240	Identify Project Objectives	11	01-Oct-20	15-Oct-20
ENG-250	Feasibility Project Description	0		15-Oct-20
ENG-260	Obtain Operations Simulation Results of Preferred Option	0		16-Nov-20
ENG-270	Develop Costs Associated with Mitigation Measures	15	16-Oct-20	05-Nov-20
ENG-280	Develop Class 4 Cost Estimate (State Feasibility)	25	17-Nov-20	23-Dec-20
ENG-290	Risk and Uncertainty Assessment	20	06-Nov-20	07-Dec-20
ENG-300	Coordinate with Operations to Confirm Project Benefits	45	17-Nov-20	22-Jan-21
ENG-310	Project Benefits Consist with the Ops Plan	40	08-Dec-20	03-Feb-21
ENG-320	Cost Allocation	20	04-Feb-21	03-Mar-21
ENG-330	Technical Feasibility	20	04-Feb-21	03-Mar-21
ENG-340	Obtain the Finding of Env Feasibility with Mitigation	5	04-May-21	10-May-21
ENG-370	Constructability	20	04-Mar-21	31-Mar-21
ENG-400	Develop DSOD Engagement Plan	96	15-Jul-21	30-Nov-21
ENG-404	Coordinate on a Geotechnical Investigation Plan	96	15-Jul-21	30-Nov-21
ENG-406	Coordinate to Develop Geotechnical Permitting Plan	96	15-Jul-21	30-Nov-21
ENG-410	Develop Program Design/Construction/Permit Implementation Plan	96	15-Jul-21	30-Nov-21
ENG-420	Advance Preliminary Hydraulics Model	40	20-May-21	16-Jul-21
ENG-440	Final Hydraulics Model	23	19-Jul-21	18-Aug-21

WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
Economics and Financial		20	04-Mar-21	31-Mar-21
Feasibility Report		20	04-Mar-21	31-Mar-21
ENG-350	Economic Feasibility	5	04-Mar-21	10-Mar-21
ENG-360	Financial Feasibility	20	04-Mar-21	31-Mar-21
Authority Feasibility Report		70	01-Apr-21	09-Jul-21
Feasibility Report		70	01-Apr-21	09-Jul-21
ENG-380	Prepare Draft Feasibility Report	30	01-Apr-21	12-May-21
ENG-382	Executive Summary (Storyboard)	5	01-Apr-21	07-Apr-21
ENG-384	Executive Summary Final	5	06-May-21	12-May-21
ENG-385	Work Group Review	5	13-May-21	19-May-21
ENG-390	Prepare Final Feasibility Report	30	20-May-21	01-Jul-21
ENG-392	Reservoir Committee and Board Approval	5	05-Jul-21	09-Jul-21
PROP 1		289	23-Oct-20	15-Dec-21
CWC Feasibility Review		180	31-Mar-21	15-Dec-21
CWC-391	Release for CWC Review Pkg 1: Engineering	0		09-Jul-21
CWC-393	Release for CWC Review Pkg 2: Economic and Finance	0		31-Mar-21
CWC-396	Release for CWC Review Pkg 3: Environmental with Res Ops	0		03-Dec-21
CWC-397	CWC Review Pkg 1: Engineering	30	12-Jul-21	20-Aug-21
CWC-407	CWC Review Pkg 2: Economic and Finance	30	01-Apr-21	12-May-21
CWC-417	CWC Review Pkg 3: Environmental with Res Ops	8	06-Dec-21	15-Dec-21
CWC Determination		289	23-Oct-20	15-Dec-21
CWC-420	Provide CWC with Revised Public Benefits	0		23-Oct-20
CWC-450	CWC Public Review Draft EIR/EIS	30	15-Jul-21	25-Aug-21
CWC-500	State Determination of Feasibility	0		15-Dec-21*
PROJECT OPERATIONS AND FINANCES		509	02-Jan-20	31-Dec-21
REAL ESTATE		509	02-Jan-20	31-Dec-21
Real Estate Landowner Coordination		509	02-Jan-20	31-Dec-21
RE-200	Real Estate Landowner Coordination	171	02-Jan-20	31-Aug-20
RE-210	Real Estate Landowner Coordination	338	01-Sep-20	31-Dec-21
COMMUNICATIONS & GOVERNMENT AFFAIRS		509	02-Jan-20	31-Dec-21
CG-000	Communication & Government Affairs	171	02-Jan-20	31-Aug-20
CG-10	Communication & Government Affairs	338	01-Sep-20	31-Dec-21
Organizational Assessment		255	02-Jan-20	31-Dec-20
ORA-000	Organizational Assessment Direction	171	02-Jan-20	31-Aug-20
ORA-010	Organizational Assessment	84	01-Sep-20	31-Dec-20
Executive Director		338	01-Sep-20	31-Dec-21
EXD-010	Executive Director	338	01-Sep-20	31-Dec-21
Contract Amendments		486	04-Feb-20	31-Dec-21
CON-010	Contract Management	338	01-Sep-20	31-Dec-21
CON-022	Revise Service Area Task Orders for Approval	20	04-Feb-20	02-Mar-20
Invoicing & Reporting		499	16-Jan-20	31-Dec-21
INV-032	Prepare 4Q2019 CWC invoice	17	16-Jan-20*	07-Feb-20
INV-033	Prepare Q12020 CWC invoice	18	16-Jan-20*	10-Feb-20
REP-010	Quarterly Reporting	338	01-Sep-20	31-Dec-21
REP-020	Annual Reporting	338	01-Sep-20	31-Dec-21
Financial Assistance Agreement		55	14-Jan-20	30-Mar-20

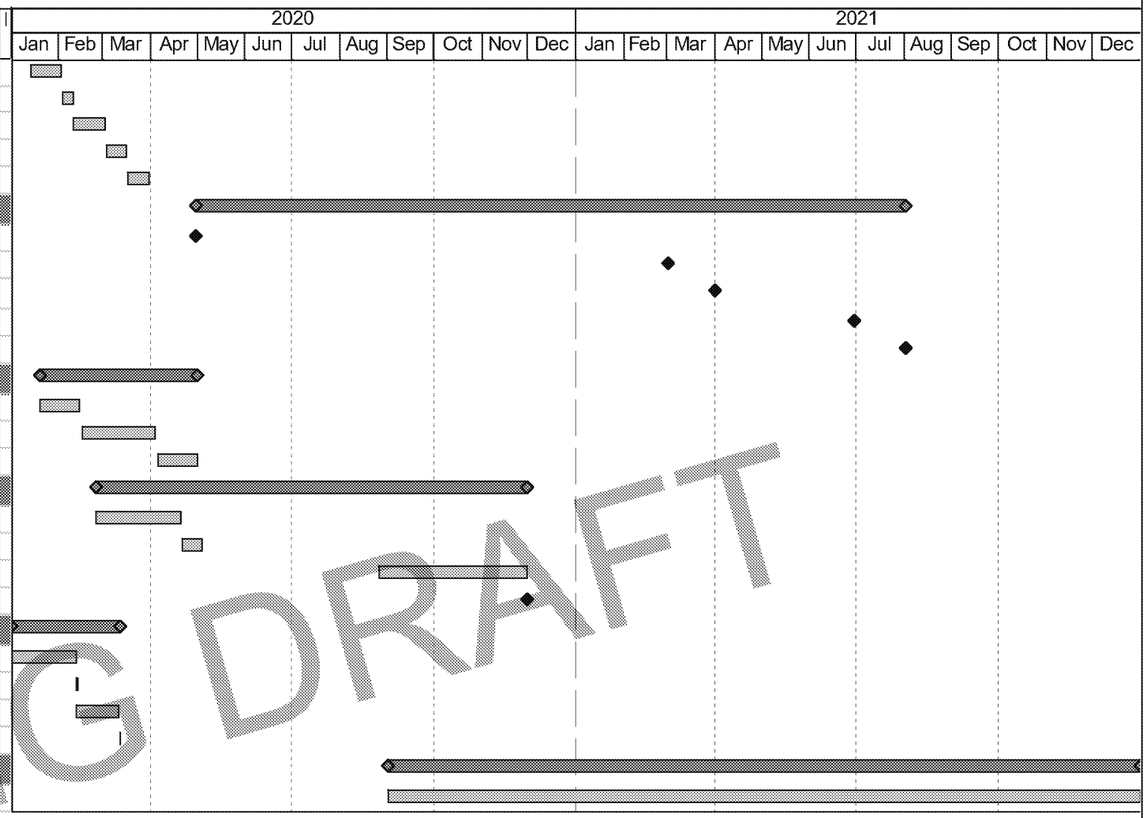
WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Sites Reservoir Project

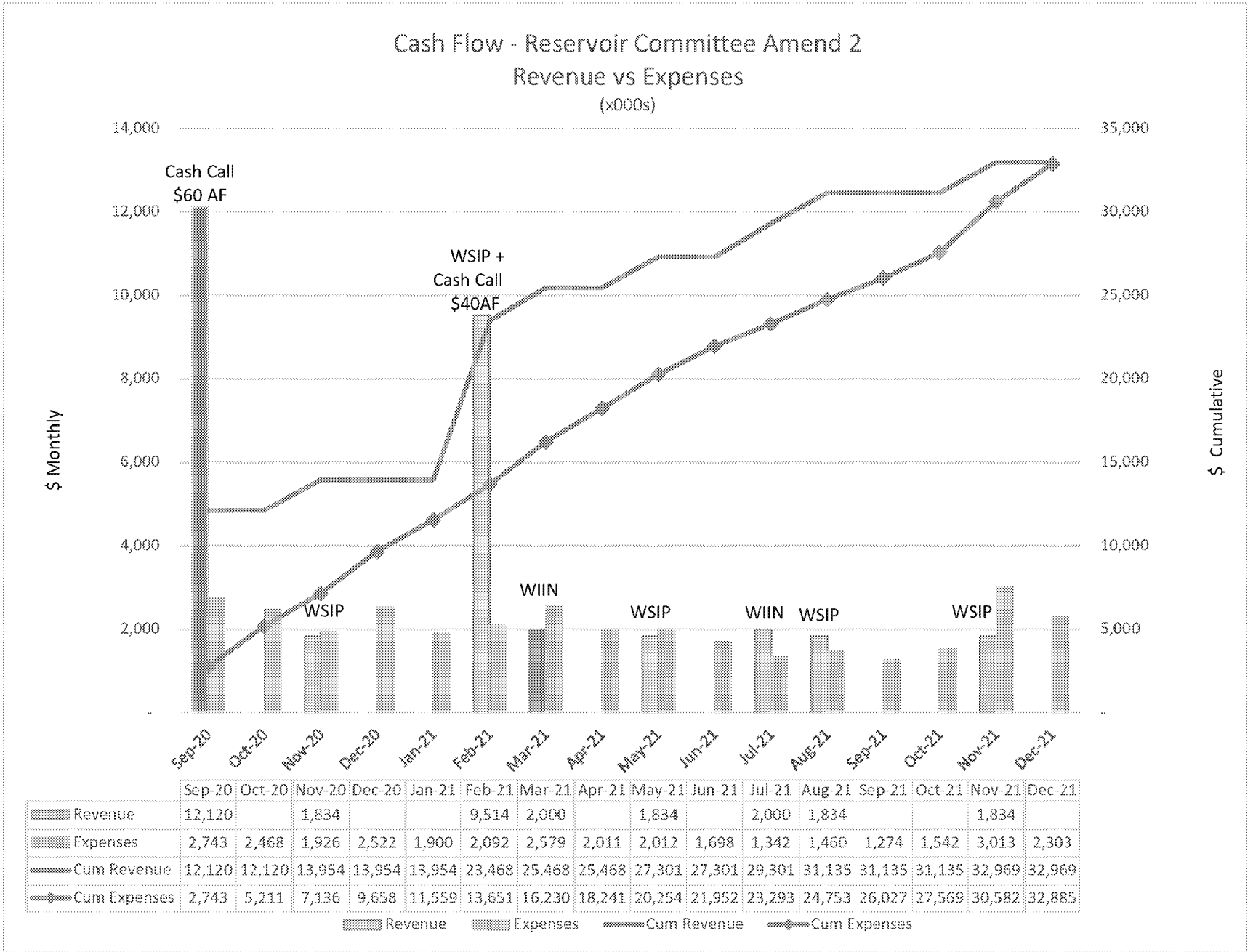
Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
FAA-005	Prepare Draft FA Scope and Form 424	15	14-Jan-20	03-Feb-20
FAA-010	Review Scope with USBR	5	04-Feb-20	10-Feb-20
FAA-015	Prepare Budget Estimates, Narrative and Form 424A/B, SFLLL	15	11-Feb-20	02-Mar-20
FAA-020	Review with USBR	10	03-Mar-20	16-Mar-20
FAA-025	Finalize Draft FA	10	17-Mar-20	30-Mar-20
Plan of Finance		319	30-Apr-20	02-Aug-21
POF-005	Plan of Finance Update 1 (Update Affordability)	0	30-Apr-20*	
POF-010	Plan of Finance (Fin Feas)	0	01-Mar-21*	
POF-015	Plan of Finance (WIFIA Loan)	0	01-Apr-21*	
POF-020	WIFIA/LOI	0	30-Jun-21*	
POF-025	Plan of Finance (Home Board Pkg)	0		02-Aug-21*
WIFIA Loan Application		75	20-Jan-20	01-May-20
WL-005	Prepare Initial Loan Application	20	20-Jan-20*	14-Feb-20
WL-010	Review Loan Application	35	17-Feb-20	03-Apr-20
WL-015	Prepare Loan Application for Facilities	20	06-Apr-20	01-May-20
Risk Assessment		195	25-Feb-20	30-Nov-20
RA-005	Risk Analysis of Recommend Option Meeting	40	25-Feb-20	20-Apr-20
RA-010	Prepare Cost Risk Update	10	21-Apr-20	04-May-20
RA-020	Prepare RA	66	26-Aug-20	30-Nov-20*
RA-030	Submit Admin Final RA	0		30-Nov-20*
Cost of Year Deliveries		51	02-Jan-20	12-Mar-20
CYD-005	Prepare Progress Report	30	02-Jan-20	12-Feb-20
CYD-010	In-progress Briefing	1	13-Feb-20	13-Feb-20
CYD-015	Prepare Final Report	20	13-Feb-20	11-Mar-20
CYD-020	In-progress Briefing	1	12-Mar-20	12-Mar-20
Strategic Planner		338	01-Sep-20	31-Dec-21
STP-010	Strategic Planner	338	01-Sep-20	31-Dec-21

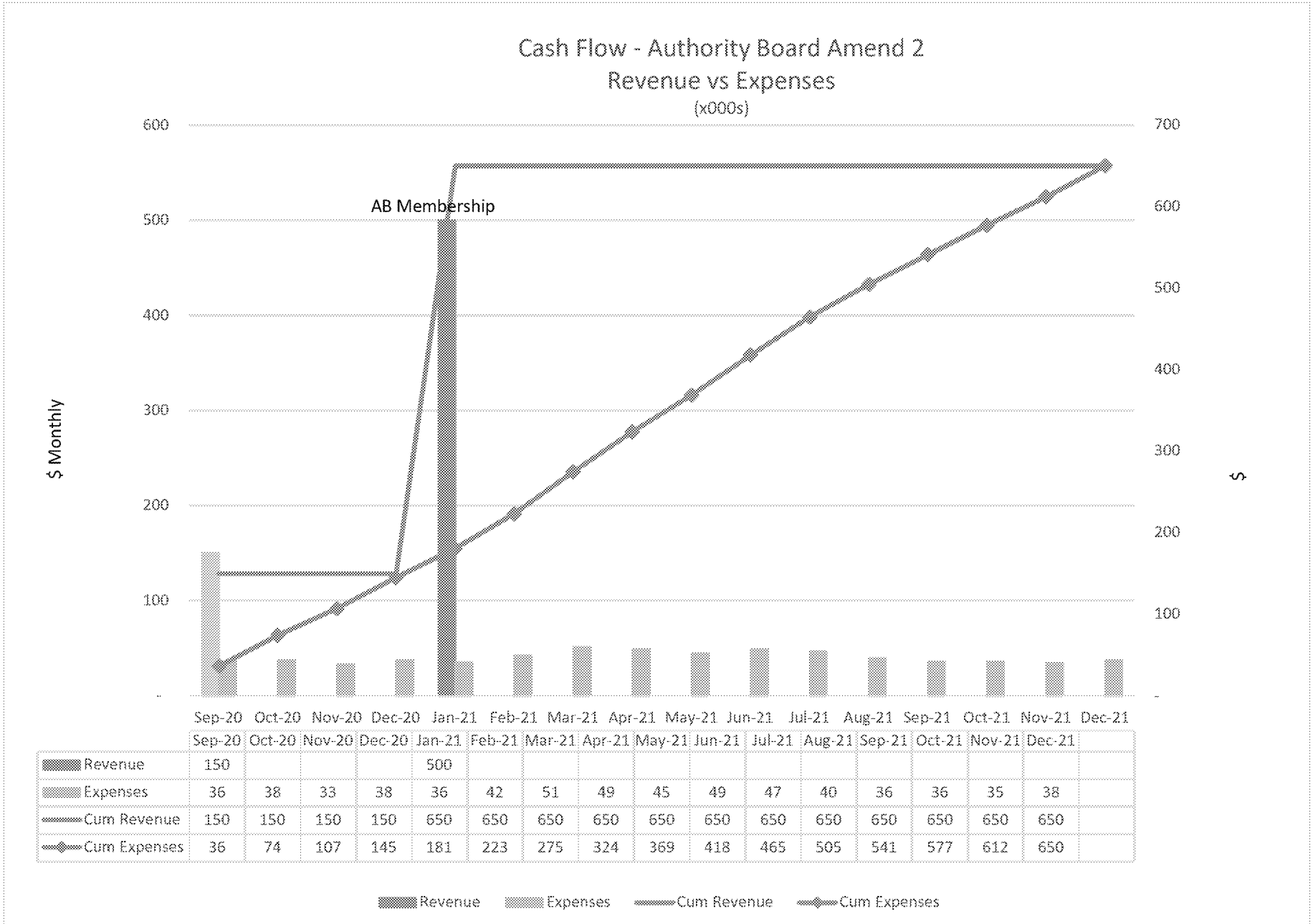


WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary



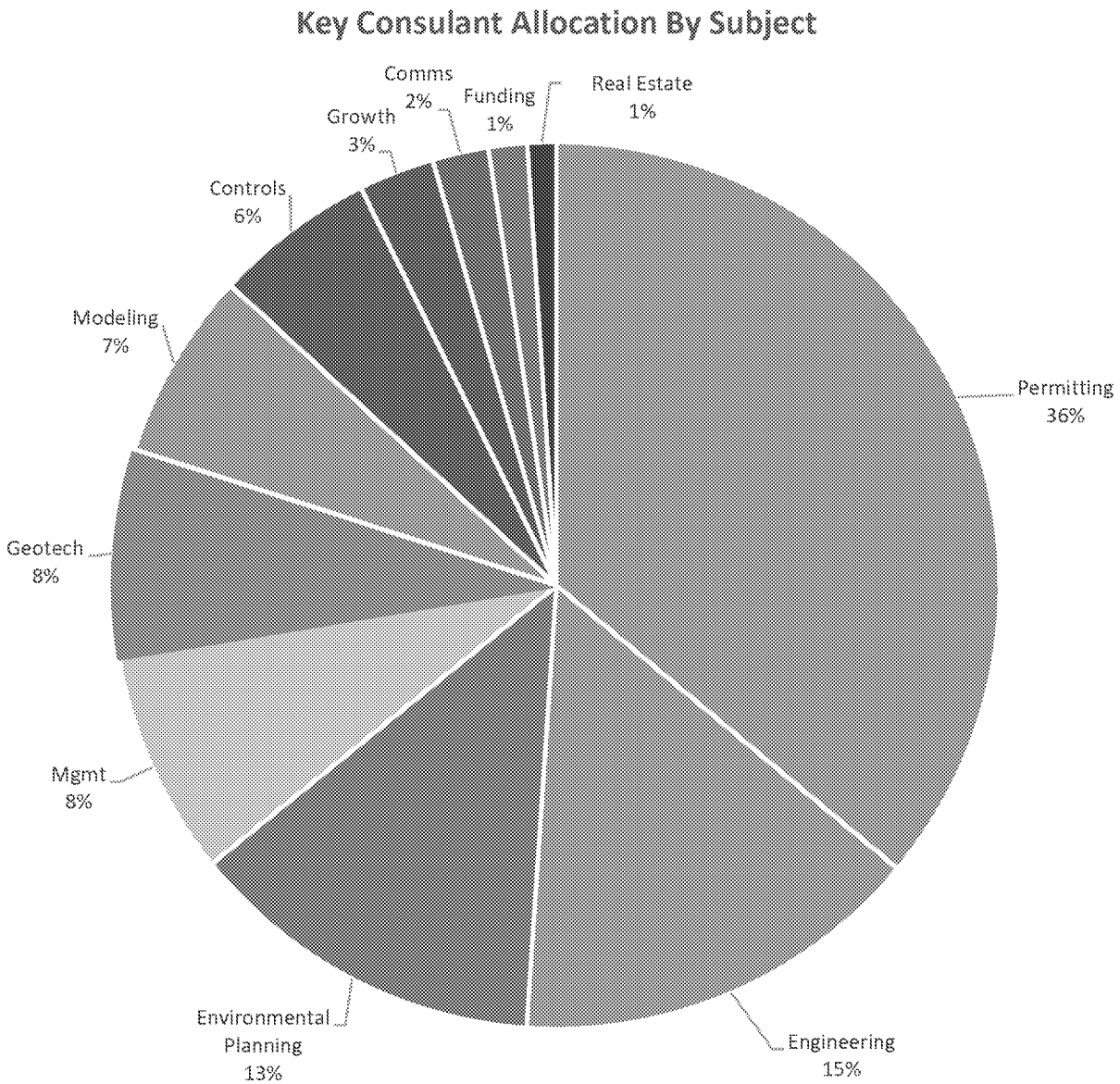
	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21
Revenue	12,120		1,834			9,514	2,000		1,834		2,000	1,834			1,834	
Expenses	2,743	2,468	1,926	2,522	1,900	2,092	2,579	2,011	2,012	1,698	1,342	1,460	1,274	1,542	3,013	2,303
Cum Revenue	12,120	12,120	13,954	13,954	13,954	23,468	25,468	25,468	27,301	27,301	29,301	31,135	31,135	31,135	32,969	32,969
Cum Expenses	2,743	5,211	7,136	9,658	11,559	13,651	16,230	18,241	20,254	21,952	23,293	24,753	26,027	27,569	30,582	32,885



	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21
Revenue	150				500											
Expenses	36	38	33	38	36	42	51	49	45	49	47	40	36	36	35	38
Cum Revenue	150	150	150	150	650	650	650	650	650	650	650	650	650	650	650	650
Cum Expenses	36	74	107	145	181	223	275	324	369	418	465	505	541	577	612	650

Summary Tasks | Resource Name | Funding Type

Budgeted Cost



Sites

Topic: **Authority Board Agenda Item 6-4** **2020 March 25**

Subject: **Extending to Current Participation Agreement**

Requested Action:

Discussion and possible direction to staff regarding the proposed amendment to the current participation agreement and supporting documents.

Detailed Description/Background:

In an effort to support the individual Reservoir Committee members to continue to garner support from their home boards for advancing the Amendment 2 work plan and associated cash call, staff is developing a package of documents that you can use to inform your home boards. This home board package is proposed to include the following:

- Amended Phase 2 Participation Agreement with update Exhibit B (summarized work plan).
- Value Planning Report that summarizes the efforts and proposed changes to "right size" the project.
- Draft Storage Policy that summarizes how each participant can utilize their share of the reservoirs' storage.
- 2019 Annual Report.
- A four-page prospectus key accomplishments and summarizing other on-going activities as well as identifying activities that will be focused upon under an additional cash call scenario.
- A template PowerPoint presentation that can be modified to fit the needs of your respective home boards.

Proposed Schedule:

March 19, 2020 – Provide working draft handout of the prospectus for comment.

March 19, 2020 – Receive direction from the committee if additional items are needed for to take to their home boards.

March 30, 2020 – Hold workshop to refine prospectus and power point presentation.

April 17, 2020 – Obtain final input and approval of the document package.

NOTE: Authority Board's consideration is scheduled for April 22.

May 1, 2020 – Distribute document package to representatives for use with their respective Board.

Draft_0027174

July 9, 2020 – Response from Home Board for inclusion into agenda package for July 16 Reservoir Committee meeting to:

1. Consider approving the participation responses.
2. Authorize preparation of the first invoice due in 30 days (i.e. September 1, 2020). Plan is to distribute invoices to home boards on or before July 31.
3. If needed, authorize the rebalancing process.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

None. A power point presentation will be presented at the meeting.

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JERRY BROWN, EXECUTIVE DIRECTOR
925.260.7417

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members *(NON-VOTING)*

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

Notice: Pursuant to Executive Orders N-25-20 & N-33-20, issued by Governor Newsom on March 12, 2020, and guidance by the California Department of Public Health dated March 11, 2020, this meeting will be conducted by teleconference. The public may attend the meeting and offer public comments by phone, using the call-in number provided below, or in person, at the address above. Members of the Committee will participate by teleconference from other locations.

May 27, 2020 ***1:30 p.m.***
Sites Project Authority
Agenda

Teleconference: **1-408-418-9388** Code: **967 741 493** WebEx Link

Welcome to a meeting of the Sites Joint Powers Authority. If you are scheduled to address the Board, please state your full name for the record. Regularly numbered items may be considered at any time during the meeting. All items are listed in accordance with the Ralph M. Brown Act. We invite all members of the public to attend.

CALL TO ORDER:

- Pledge of Allegiance.
- Introductions.
- Approve the May 27, 2020 Sites Project Authority Agenda.
- Period of Public Comment.

1. **Consent Agenda:** Approximate start time 1:40 pm

The following items have been reviewed by the Executive Director. To his knowledge, there is no opposition to the action. The items can be acted on in one consolidated motion as recommended or may be removed from the Consent Calendar and separately considered at the request of any person.

1.1 Consider approval of the April 22, 2020 Sites Project Authority Meeting Minutes.

1.2 Consider acceptance of the Sites Project Authority Treasurer's Report. **(Attachment 1.2 A)**

1.3 Consider approval of the monthly Payment of Claims. **(Attachment 1.3A,B,C)**

1.4 Consider approval of a consulting contract with The Catalyst Group for facilitation services in an amount not to exceed $50,000 and approve a time extension through December 31, 2020 for the existing contract with Darling H2O.

1.5 Consider approval of Resolution No. 2020-01, providing for an application to the USBR for a Financial Assistance Agreement for WIIN Act funds. **(Attachment 1.5A)**

2. Action Items: Approximate start time 1:45 pm

2.1 Consider acceptance of the attached Exhibit B (2020 and 2021 budget) to the Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the results of the "soft call". Consider approving one of the following actions:

A. Approve moving to the "hard call" and the use of the attached participation table to create a draft Exhibit A to be included with Amendment 2.

B. Direct staff to begin outreach to potential participants based on the priority system defined in participation agreements and the credit reimbursement policy to explore interest in Sites capacity, if available. **(Attachment 2.1 A & B)**

2.2 Consider approval of the draft Second Amendment to 2019 Reservoir Project Agreement. **(Attachments 2.2 A &B)**

2.3 Consider acceptance of the 2020-2021 Sites Project Federal Government Affairs Priorities. **(Attachment 2.3A)**

2.4 Consider approval of Resolution 2020-02 commending Mr. Jim Watson for his 5 years of dedicated service to the Sites Reservoir Project. **(Attachment 2.4 A)**

3. Discussion and Information Items: Approximate start time 2:45 pm

3.1 Review and Comment on Recirculated EIR and key permits milestone schedule and key decision points. **(Attachment 3.1A)**

3.2 Review and Comment on Outreach Efforts to Communicate the Rightsized Project.

3.3 Review and Comment on Efforts to Secure Proposition 1 Water Storage Investment Program implementation modifications in light of the continuing

effects of the COVID-19 pandemic on local government and water agency finances. **(Attachment 3.3 A &B)**

3.4 Review and Comment on the Reservoir Committee Workgroup and Authority Board Committee Structure and Assignments. **(Attachment 3.4 A & B)**

4. Reports: Approximate start time 3:30 pm

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

4.1.2 Committee Chairpersons' Report:

This time is set aside to allow the Committee Chairpersons' an opportunity to disclose/discuss items related to the Sites Project.

4.1.3 Authority Board Participant Reports:

This time is set aside to allow Directors or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

4.2 Executive Director's Report:

- Sites Project's monthly status report. **(Attachment 4-2 A)**
- Reservoir Committee update.

5. Future Meetings and Schedules: Approximate start time 4:20 pm

5.1 Suggested Future Agenda Items.

5.2 Upcoming meetings:

RESERVOIR COMMITTEE (NOTE DATE CHANGED FROM JUNE 19 TO JUNE 18)

THURSDAY, JUNE 18, 2020 1:00 PM
CALL NUMBER AND LOCATION TBD

AUTHORITY BOARD

WEDNESDAY, JUNE 24, 2020 1:30 PM
CALL NUMBER AND LOCATION TBD

ADJOURN

PERIOD OF PUBLIC COMMENT: Any person may speak about any subject of concern, provided it is within the jurisdiction of the Directors and is not already on today's agenda. The total amount of time allotted for receiving such public communication shall be limited to a total of 15 minutes per issue and each

individual or group will be limited to no more than 5 minutes each within the 15 minutes allocated per issue. ***Note:*** *No action shall be taken on comments made under this comment period.*

ADA COMPLIANCE: Upon request, agendas will be made available in alternative formats to accommodate persons with disabilities. In addition, any person with a disability who requires a modification or accommodation to participate or attend this meeting may request necessary accommodation. Please make your request to the Board Clerk, specifying your disability, the format in which you would like to receive this Agenda and any other accommodation required no later than 24 hours prior to the start of the meeting.

All supporting documentation is available for public inspection and review in the Sites Project Authority office located at 122 Old Highway 99 West Maxwell, CA 95955 during regular business hours 8:30 a.m. to 5:00 p.m., Monday through Friday.

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JERRY BROWN, EXECUTIVE DIRECTOR
925.260.7417

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members *(NON-VOTING)*

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

April 22, 2020 ***1:30 p.m.***
Sites Project Authority
Minutes

The Sites Project Authority Board of Directors met in Regular Session on April 22, 2020 at the hour of 1:30 p.m. **Directors Present:** Fritz Durst, Chair, Jeff Sutton, Vice-Chair, Gary Evans, Logan Dennis, Doug Parker, Bruce Houdesheldt, Jeff Harris, Leigh McDaniel, Don Bader and Rob Cooke. **Directors Absent:** Joe Marsh. *(Other Alternate Directors that may be listed as present below, did not participate in the decision-making process).*

Staff present:	Jerry Brown, Executive Director Jim Watson, General Manager Scott Kuney, General Counsel Jamie Traynham, Treasurer Kevin Spesert, Joe Trapasso, Ali Forsythe, Lee Frederiksen, Yolanda Tirado, Sites Project Authority
Associate Members present:	Jamie Traynham, TC-4 Ted Trimble, Western Canal Water District
Alternate Directors present:	Brett Ewart, City of Sacramento/Sacramento County Water
Others present:	Natalie Wolder, Bureau of Reclamation JP Robinette, Marcia Kivett, Brown and Caldwell Ed Horton, PCWA Erin Heydinger, Robert Boling, HDR Connor McDonald, CDM West Thad Bettner, GCID Laurie Warner Herson, Phenix Sara Katz, Katz and Associates

Mr. Brown provided a brief update on the process to be used for this meeting (Remotely held). He also spoke to the restructuring of the Agenda.

Pledge of Allegiance.

INTRODUCTION:

Chairman Durst asked those present to introduce themselves.

Chairman Durst made time to consider approval of the April 22, 2020 Sites Project Authority Agenda.

Mr. Brown requested Agenda Item 2.1c be removed from the April 22, 2020 Agenda. He also briefly spoke to the structure of the Agenda.

Mr. Kuney stated there was a typo on the Government Code Section of Agenda Item 5.1 Closed Session and noted 54857 (b)(1) should be 54957(b)(1)).

AGENDA APPROVAL:

Action: It was moved by Director Evans, seconded by Director Harris to approve the April 22, 2020 Sites Project Authority Agenda, as amended. Motion carried: All Directors present voted yes.

ANNOUNCEMENT OF CLOSED SESSION:

Mr. Kuney announced the Authority Board would be considering Closed Session as follows:

Independent Contractor/Public Employee/Discipline/Dismissal/Release (Gov. Code, § 54954.5(e) and 54957(b)(1)).

PERIOD OF PUBLIC COMMENT:

Chairman Durst called for a period of public comment. Hearing none, he closed the period of public comment.

1. **Consent Agenda:** It was moved by Jeff Harris, seconded by Jeff Sutton to approve Consent Agenda Item Numbers 1.1 through 1.5 as follows:

1.1 Approval of the March 25, 2020 Sites Project Authority Meeting Minutes.

1.2 Acceptance of the Sites Project Authority Treasurer's Report.

1.3 Approval of the monthly Payment of Claims.

1.4 Approval of a consulting agreement with CH2M Hill Engineers, Inc. (CH2M) for Service Area HC – Engineering Conveyance and approve an initial task order and budget in the not to exceed amount of $597,023.00 for services through August 31, 2020.

1.5 Approval of a consulting agreement with AECOM for Service Area HR – Engineering Reservoir and approve an initial task order and budget for services in the not to exceed amount of $599,381.00 for services through August 31, 2020. Motion carried: All Directors present voted yes.

2. Action Items:

2.1 Consider acceptance of the following items that reflect the direction to be taken in advancing the Project through the next stage of development:

a. Consider approval of the final report titled "Sites Project Value Planning Alternatives Appraisal Report, dated April 13, 2020" and the recommendations presented within and a recommendation to the Sites Project Authority to approve the final report titled "Sites Project Value Planning alternatives Appraisal Report, April 13, 2020" and the recommendations presented within. **(Attachment 2-1.a A)**

b. Consider approval of the work plan with a period of performance of September 1, 2020 to December 31, 2021 for the following uses: Planning cash call timing for participating agencies, Producing a draft Exhibit A, "Amendment 2 Work Plan", to the Second Amendment to 2019 Reservoir Project Agreement and Developing consultant task orders for the next stage of project development. **(Attachment 2-1.b A)**

c. Consider approval of the draft Second Amendment to the 2019 Reservoir Project Agreement. **(Attachment 2-1.c A)**

d. Consider direction for staff to revise and recirculate a Draft Environmental Impact Report (EIR) to analyze the environmental effects of the options identified in the Final Sites Project Value Planning Alternatives Appraisal Report dated April 13, 2020 (Report), including VP7. **(Attachment 2-1.d A)**

2.1.a Consider approval of the final report titled "Sites Project Value Planning Alternatives Appraisal Report, dated April 13, 2020" and the recommendations presented within and a recommendation to the Sites Project Authority to approve the final report titled "Sites Project Value Planning Alternatives Appraisal Report, April 13, 2020" and the recommendations presented within. **(Attachment 2-1.a A)**

Mr. Brown provided an update regarding the Sites Project Value Planning Alternatives Appraisal Report, dated April 13, 2020, which, incorporated all prior comments by the Authority Board and Reservoir Committee members from the March 30, 2020 Workshop. He stated this report is the final resolve to the project as it relates to all the different alternatives and analyses completed. Discussion followed regarding conveyance, reservoir size and decrease/increase of subscription/participation, repayment costs and hydropower capacity.

Action: It was moved by Sutton, seconded by Harris to approve the final report titled "Sites Project Value Planning Alternatives Appraisal Report, dated April 13, 2020" and the recommendations presented within and a recommendation to the Sites Project Authority to approve the final report titled "Sites Project Value Planning Alternatives Appraisal Report, April 13, 2020" and the

recommendations presented within. Motion carried: All Directors present voted yes.

Chairman Durst expressed his appreciation to Mr. Watson, AECOM and staff on their hard work to get to this point.

2.1.b Consider approval of the work plan with a period of performance of September 1, 2020 to December 31, 2021 for the following uses: Planning cash call timing for participating agencies, Producing a draft Exhibit A, "Amendment 2 Work Plan", to the Second Amendment to 2019 Reservoir Project Agreement and Developing consultant task orders for the next stage of project development. **(Attachment 2-1.b A)**

Mr. Robinette provided an overview of the Work Plan (Amendment 2) from September 1, 2020 through December 31, 2021 and spoke to the following:

- Work Plan Goals and Schedule Targets.
- Project Schedule Targets.
- Deliverables.
- Rebalancing process.
- Revenue Budget which includes Cash calls, annual seat dues, State and Federal Funding.
- Expense Budget.
- Work Plan Cash Flow ($60/AF, due September 1, 2020 and $40/AF, due February 1, 2021).
- Activities needed to complete the Planning Phase.

Brief discussion followed as to whether the repayment/reimbursement credit was included in the financial plan. Mr. Brown stated it was not included in the financial plan.

Action: It was moved by Houdesheldt, seconded by Sutton to approve the work plan with a period of performance of September 1, 2020 to December 31, 2021 for the following uses: Planning cash call timing for participating agencies, Producing a draft Exhibit A, "Amendment 2 Work Plan", to the Second Amendment to 2019 Reservoir Project Agreement and Developing consultant task orders for the next stage of project development.

Motion carried: All Directors present voted yes.

2.1.c Consider approval of the draft Second Amendment to the 2019 Reservoir Project Agreement. **(Attachment 2-1.c A)**

Pursuant to Mr. Brown's request, Chairman Durst removed the approval of the draft Second Amendment to the 2019 Reservoir Project Agreement from the Agenda.

2.1.d Consider direction for staff to revise and recirculate a Draft Environmental Impact Report (EIR) to analyze the environmental effects of the options

identified in the Final Sites Project Value Planning Alternatives Appraisal Report dated April 13, 2020 (Report), including VP7. **(Attachment 2-1.d A)**

Action: It was moved by Harris, seconded by Evans to direct staff to revise and recirculate a Draft EIR to analyze the environmental effects of the options identified in the Final Sites Project Value Planning Alternatives Appraisal Report dated April 13, 2020 (Report), including VP7.

Motion carried: All Directors present voted yes.

2.2 Consider approval of the Sites Project message platform which has been incorporated into informational materials describing the results of the value planning effort and the proposed work plan and will be used for communicating the Project to all audiences. **(Attachments 2-2 A, B, C & D)**

Mr. Brown and Mr. Spesert provided an update regarding the Sites Project message platform and it's 5 key message themes and proof statements that will serve as the foundation of all future messaging for the project and in informational materials.

Mr. Spesert spoke to the 5 Key messages as follows:

- Key Message 1: Sites Reservoir is a 21st Century Multi-Benefit Solution to California's Water Reliability Challenges.
- Key Message 2: Our Strength is in our diverse statewide Participation.
- Key Message 3: Sites Reservoir is a "Right Sized" Project that will meet our water supply needs for today and in the future.
- Key Message 4: Sites Reservoir provides environmental, water supply, flood protection and recreation benefits for the State of California for generations to come.
- Key Message 5: We are on-track to deliver this vital project for the people of California.

Mr. Spesert also spoke to a rollout plan within the next few months, e.g. press release, E-blast, Landowner newsletter, meeting with Yolo County Supervisor Dwayne Chamberlin and various other media activities.

Brief discussion followed.

Action: It was moved by Harris, seconded by Dennis to approve the Sites Project message platform which has been incorporated into informational materials describing the results of the value planning effort and the proposed work plan and will be used for communicating the Project to all audiences.

Motion carried: All Directors present voted yes.

2.3 Consider acceptance of the following actions by the Authority Board relative to the approved Organization Assessment (OA) Report as follows:

a. Consider acceptance of the plan and schedule for addressing the report findings and recommendations. **(Attachment 2-3 A)**

b. Consider concurrence with the scope, schedule and budget for strategic planning facilitation services. **(Attachment 2-3 B)**

c. Consider approval to release a Request for Proposals for strategic planning facilitation services.

Mr. Brown provided a brief update on the approved OA Report which included findings and recommendations aimed at improving the overall effectiveness of the organization. Brief discussion followed regarding the RFP. He stated the Selection Committee would be conducting interviews and asked whether anyone would be interested in serving on the Selection Committee. Brief discussion followed as to whether the RFPs would be available to others of the public who would be interested in submitting and RFP.

Ms. Dyer volunteered to serve on the Selection Committee for strategic planning facilitation services.

Action: It was moved by Houdesheldt, seconded by Harris to accept the following actions by the Authority Board relative to the approved Organization Assessment (OA) Report as follows:

a. Acceptance of the plan and schedule for addressing the report findings and recommendations.

b. Concurrence with the scope, schedule and budget for strategic planning facilitation services.

c. Approval to release a Request for Proposals for strategic planning facilitation services.

Motion carried: All Directors present voted yes.

3. Discussion and Information Items:

3.1 Review and comment on the letter received from participating member Wheeler Ridge-Maricopa Water Storage District (Wheeler Ridge) requesting a broad water right place of use commitment from the Project. **(Attachment 3-1 A & B)**

Mr. Brown provided a brief update regarding a letter received from participating member Wheeler Ridge-Maricopa Water Storage District in which they were requesting a broad water right place of use commitment from the Project. He stated Wheeler-Ridge is satisfied with their response to this matter and no action is required at this time.

3.2 Review and comment on status of the Service Area G – Real Estate contract.

Mr. Spesert provided an update on the Service Area G – Real Estate contract. He stated this matter was delegated to the Joint Authority/Reservoir Committee Coordination Committee on how to proceed, which resulted in

the decision to allow the existing Real Estate contract to expire June 30, 2020. He further stated Real Estate services through 2021 will be limited to securing temporary right of entry (TROE) permits in support of engineering and environmental field activities and to support landowner engagement activities. Authority agents would be taking care of any real estate activities.

Brief discussion followed with no action taken.

4. Reports:

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

Policy and Governance:

Chairman Durst stated due to the COVID-19 situation different modes of communication with State and Federal members have come about (remotely held meetings). He provided a brief update on the following:

- Discussions/meetings with Congressman LaMalfa and Congressman Garamendi.
- Working on scheduling meetings with staff from Senator Feinstein, Senator Nielsen and Assemblyman Gallagher's offices.
- Expressed appreciation to Sara Katz, Katz & Associates for messaging assistance.
- Need to reach out to NGOs.
- Coordination Committee twice per month meetings.

Legislative & Outreach Ad hoc Committee:

Vice-chairman Sutton concurred with Chairman Durst regarding the need to reach out to the NGOs. He spoke to exploring funding opportunities that arising from the stimulus and the Messaging Platform.

Mr. Spesert stated in addition to the meeting with staff from Senator Feinstein's office, a meeting with staff from Congressman Huffman's office is also scheduled. He stated further a meeting with the Legislative and Outreach Committee to discuss refining and prioritizing federal funding strategy will be scheduled within the next week or two.

4.1.2 Committee Chairpersons' Report:

This time is set aside to allow the Committee Chairpersons' an opportunity to disclose/discuss items related to the Sites Project.

Land Management Ad hoc Committee:

Director Evans stated a meeting has been scheduled for April 23, 2020 with Yolo County Supervisor Chamberlain regarding the pipeline. He stated further contact with individual landowners regarding the pipelines has also been initiated.

Budget and Finance Ad hoc Committee:

Ms. Traynham stated the 2019 Annual Audit is almost completed and a Report on same will be presented at the May 27, 2020 Authority meeting.

4.1.3 Authority Board Participant Reports:

This time is set aside to allow Directors or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

None.

4.2 Executive Director's Report:

Director Brown provided a brief overview of the following:

- Sites Project's monthly status report. **(Attachment 4-2 A)**
- Sites Project's monthly Proposition 1, WSIP activities and WIIN Act Funding. **(Attachment 4-2 B)**
- Reservoir Committee update.

RECESS:

Chairman Durst declared a recess at 3:20 p.m. and convened into Closed Session at 3:25 p.m. to consider the following matter:

5. Closed Session:

5.1 Independent Contractor/Public Employee/Discipline/Dismissal/Release (Govt. Code §§ 54954.5(e) and 54957(b)(1)).

Chairman Durst adjourned Closed Session at 3:40 p.m. and reconvened into Open Session.

6. Report from Closed Session:

Mr. Kuney stated as to Closed Session regarding the Independent Contract/Public Employee/Discipline/Dismissal/Release (Gov. Code Section 54954(e) and 54957(b)(1)), he announced the Authority Board voted to approve an agreement between the Authority and JC Watson, Inc with regard to the Sites Project.

7. Future Meetings and Schedules:

7.1 Mr. Brown stated this is on for any Board member who might want to add an item to the May 27, 2020 Agenda.

7.2 Upcoming meetings:

RESERVOIR COMMITTEE

THURSDAY, MAY 21, 2020 1:00 PM
CALL NUMBER AND LOCATION TBD

AUTHORITY BOARD

WEDNESDAY, MAY 27, 2020 1:30 PM
CALL NUMBER AND LOCATION TBD

Chairman Durst adjourned the meeting at 3:45 p.m.

_____ _____
Fritz Durst, Chairman Yolanda Tirado, Board Clerk

Sites

Topic: **Authority Board Agenda Item 1.2** **2020 May 27**

Subject: **Treasurer's Report**

Requested Action:

Consider acceptance of the Sites Project Authority Treasurer's Report as presented in Attachment 1.2A.

Detailed Description/Background:

Attachment 1.2A incorporates financial information through April 30, 2020.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: May 2020 Treasurer's Report.

Draft_0027190

SITES JOINT POWERS AUTHORITY
TREASURER'S REPORT
April 30, 2020

Savings Account-River City Bank				
Beginning Balance	04/01/2020		$ 3,019,583.31	
Transfer to General Checking to max benefit			$ (444,529.69)	
Interest Earned			$ 2,879.50	
River City Bank ICS Savings Account Balance	**04/30/2020**			$ 2,577,933.12
General Operating Checking Account-River City Bank				
Beginning Balance	04/01/2020		$ 1,220,682.01	
RECEIVED:				
Interest Earned		$ 1,S42.10		
Membership Revenue		$ SS,000.00		
Reservoir Revenue		$ 16,317.92		
TOTAL RECEIVED			$ 72,860.02	
DISBURSED:				
Bank Fees		$ (1S7.88)		
Administrative Support		$ (6,813.4S)		
Computer Service		$ (911.7S)		
General Manager		$ (40,026.70)		
Accounting Fees		$ (4,890.00)		
Office Expenses		$ (74S.84)		
Professional Fees-Legislative/Regulatory		$ (8,000.00)		
Professional Fees-Communication		$ (12,716.11)		
Professional Fees-Organizatioal Assessment		$ (11,727.84)		
Professional Fees-Fed Gov't Affairs Support		$ (1S,000.00)		
Professional Fees-Legal Services		$ (14,928.S0)		
TOTAL DI5BURSED			$ (115,918.07)	
Transfer from Savings to max benefit			$ 444,529.69	
RCB General Checking Account Balance	**04/30/2020**			$ 1,622,153.65
State (WSIP) Fund Checking Account-River City Bank				
Beginning Balance	04/01/2020		$ 5,168,788.12	
DISBURSED:				
Bus/Comm Manager		$ (21,490.64)		
Program Op Manager		$ (28,449.40)		
Professional Fees-Engineering		$ (27,322.61)		
Professional Fees-Special Legal		$ (11,9S2.00)		
Professional Fees-Project Controls		$ (236,713.61)		
Professional Fees-Operations/SIM Modeling		$ (99,S2S.41)		
Professional Fees-EPP Manager		$ (30,349.03)		
Professional Fees-Geotech Eng		$ (11,187.16)		
Professional Fees-Project Integration		$ (196,668.86)		
Professional Fees-Reservoir Operations		$ (11,200.00)		
Professional Fees-Municipal Advisor		$ (S,3S0.00)		
Professional Fees-Env/Biological Serv & Permit		$ (84,S72.48)		
TOTAL DISBURSED			$ (764,781.20)	
RCB State Fund Checking Account Balance	**04/30/2020**			$ 4,404,006.92
TOTAL CA5H ON HAND	**April 30, 2020**			$ 8,604,093.69

Sites Project Joint Powers Authority
Transactions by Account
As of April 30, 2020

Type	Date	Num	Name	Memo	Debit	Credit	Balance
River City ICS Savings							3,019,583.31
General Journal	04/03/2020	4-1C		adjust ICS balance to max		196,867.28	2,822,716.03
General Journal	04/23/2020	4-2C		transfer to max ICS		247,662.41	2,575,053.62
General Journal	04/30/2020	4-4C		rec int fme	2,879.50		2,577,933.12
Total River City ICS Savings					2,879.50	444,529.69	2,577,933.12
River City Operating Account							1,220,682.01
General Journal	04/03/2020	4-1C		adjust ICS balance to max	196,867.28		1,417,549.29
Deposit	04/11/2020			Westside WD	55,000.00		1,472,549.29
Bill Pmt -Check	04/14/2020	2127	Adept Solutions Inc			911.75	1,471,637.54
Bill Pmt -Check	04/14/2020	212B	Darling H2O Consulting, Inc.			11,727.84	1,459,909.70
Bill Pmt -Check	04/14/2020	2129	Dunn Consulting			8,000.00	1,451,909.70
Bill Pmt -Check	04/14/2020	2130	J.C. Watson, Inc			40,026.70	1,411,883.00
Bill Pmt -Check	04/14/2020	2131	Katz and Associates, Inc			12,716.11	1,399,166.89
Bill Pmt -Check	04/14/2020	2132	KCoe Isom, LLP			4,890.00	1,394,276.89
Bill Pmt -Check	04/14/2020	2133	M.R. Cleaning Services			100.00	1,394,176.89
Bill Pmt -Check	04/14/2020	2134	Maximun Pest Control			65.00	1,394,111.89
Bill Pmt -Check	04/14/2020	2135	Mt Shasta Spring Water			51.65	1,394,060.24
Bill Pmt -Check	04/14/2020	2136	Recology Butte Colusa Counties			35.95	1,394,024.29
Bill Pmt -Check	04/14/2020	2137	Rush Personnel Services, Inc			6,813.45	1,387,210.84
Bill Pmt -Check	04/14/2020	213B	The Ferguson Group			15,000.00	1,372,210.84
Bill Pmt -Check	04/14/2020	2139	US Bank			493.24	1,371,717.60
Bill Pmt -Check	04/14/2020	2140	Young Wooldridge LLP			14,928.50	1,356,789.10
General Journal	04/23/2020	4-2C		transfer to max ICS	247,662.41		1,604,451.51
General Journal	04/28/2020	4-3C		Bank service fee		157.88	1,604,293.63
Deposit	04/30/2020			Antelope/E Kern WA	16,317.92		1,620,611.55
General Journal	04/30/2020	4-5C		rec int fme	1,542.10		1,622,153.65
Total River City Operating Account					517,389.71	115,918.07	1,622,153.65
River City State Fund Checking							5,168,788.12
Bill Pmt -Check	04/14/2020	1026	AECOM Technical Services, Inc.			27,322.61	5,141,465.51
Bill Pmt -Check	04/14/2020	1027	Brown and Caldwell			236,713.61	4,904,751.90
Bill Pmt -Check	04/14/2020	1028	CH2M Hill Engineers, Inc			99,525.41	4,805,226.49
Bill Pmt -Check	04/14/2020	1029	Forsythe Group LLC			30,349.03	4,774,877.46
Bill Pmt -Check	04/14/2020	1030	Fugro USA Land, Inc			11,187.16	4,763,690.30
Bill Pmt -Check	04/14/2020	1031	HDR Engineering Inc			196,668.86	4,567,021.44
Bill Pmt -Check	04/14/2020	1032	ICF Jones & Stokes Inc			84,572.48	4,482,448.96
Bill Pmt -Check	04/14/2020	1033	MBK Engineers Inc			11,200.00	4,471,248.96
Bill Pmt -Check	04/14/2020	1034	Montague DeRose and Associat...			5,350.00	4,465,898.96
Bill Pmt -Check	04/14/2020	1035	Perkins Coie LLP			11,952.00	4,453,946.96
Bill Pmt -Check	04/14/2020	1036	Spesert Consulting			21,490.64	4,432,456.32
Bill Pmt -Check	04/14/2020	1037	Trapasso Consulting Services			28,449.40	4,404,006.92
Total River City State Fund Checking					0.00	764,781.20	4,404,006.92
US Bank Checking							0.00
Total US Bank Checking							0.00
TOTAL					**520,269.21**	**1,325,228.96**	**8,604,093.69**

Draft_0027192

Sites Project Joint Powers Authority
Balance Sheet
As of April 30, 2020

	Apr 30, 20	
ASSETS		
Current Assets		
Checking/Savings		
River City ICS Savings		2,577,933.12
River City Operating Account		1,622,153.65
River City State Fund Checking		4,404,006.92
Total Checking/Savings		8,604,093.69
Accounts Receivable		
Accounts Rec Members		
Membership - Authority	68,000.00	
Membership - Reservoir	-4,988.20	
Total Accounts Rec Members		63,011.80
Grants Receivable		
California Water Commission	1,957,712.12	
Total Grants Receivable		1,957,712.12
Total Accounts Receivable		2,020,723.92
Total Current Assets		10,624,817.61
TOTAL ASSETS		**10,624,817.61**
LIABILITIES & EQUITY		
Liabilities		
Current Liabilities		
Accounts Payable		
Accounts Payable		714,999.16
Total Accounts Payable		714,999.16
Total Current Liabilities		714,999.16
Long Term Liabilities		
Participant Reimbursement Polic		6,064,652.67
Total Long Term Liabilities		6,064,652.67
Total Liabilities		6,779,651.83
Equity		
Net Assets		1,929,216.58
Retained Earnings		2,011,661.73
Net Income		-95,712.53
Total Equity		3,845,165.78
TOTAL LIABILITIES & EQUITY		**10,624,817.61**

FOR MANAGEMENT PURPOSES ONLY

Sites Project Joint Powers Authority
A/R Aging Summary
As of April 30, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
CA Department of Water Resources	0.00	0.00	1,957,712.12	0.00	0.00	1,957,712.12
City of American Canyon	0.00	0.00	0.00	0.00	-4,988.20	-4,988.20
County of Glenn	0.00	0.00	0.00	55,000.00	0.00	55,000.00
Yolo County Flood Control	0.00	0.00	0.00	0.00	13,000.00	13,000.00
TOTAL	**0.00**	**0.00**	**1,957,712.12**	**55,000.00**	**8,011.80**	**2,020,723.92**

Sites Project Joint Powers Authority
A/P Aging Summary
As of April 30, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
Adept Solutions Inc	932.11	986.85	0.00	0.00	0.00	1,918.96
AECOM Technical Services, Inc.	15,863.36	0.00	0.00	0.00	0.00	15,863.36
Brown and Caldwell	116,632.37	0.00	0.00	0.00	0.00	116,632.37
CH2M Hill Engineers, Inc	0.00	93,369.39	0.00	0.00	0.00	93,369.39
Darling H2O Consulting, Inc.	1,299.38	0.00	0.00	0.00	0.00	1,299.38
Dunn Consulting	8,000.00	0.00	0.00	0.00	0.00	8,000.00
Fechter & Company CPA	0.00	10,760.40	0.00	0.00	0.00	10,760.40
Forsythe Group LLC	30,000.00	0.00	0.00	0.00	0.00	30,000.00
Fugro USA Land, Inc	12,562.77	0.00	0.00	0.00	0.00	12,562.77
HDR Engineering Inc	168,613.06	0.00	0.00	0.00	0.00	168,613.06
ICF Jones & Stokes Inc	0.00	68,898.24	0.00	0.00	0.00	68,898.24
J.C. Watson, Inc	38,230.00	0.00	0.00	0.00	0.00	38,230.00
Katz and Associates, Inc	0.00	17,737.49	0.00	0.00	0.00	17,737.49
KCoe Isom, LLP	2,695.00	0.00	0.00	0.00	0.00	2,695.00
Larsen Wurzel & Associates, Inc	458.00	0.00	0.00	0.00	0.00	458.00
Maximun Pest Control	65.00	0.00	0.00	0.00	0.00	65.00
MBK Engineers Inc	0.00	4,716.75	0.00	0.00	0.00	4,716.75
Montague DeRose and Associates, LLC	12,725.00	0.00	0.00	0.00	0.00	12,725.00
Mt Shasta Spring Water	19.65	0.00	0.00	0.00	0.00	19.65
Recology Butte Colusa Counties	35.95	0.00	0.00	0.00	0.00	35.95
Rush Personnel Services, Inc	5,644.80	0.00	0.00	0.00	0.00	5,644.80
Spesert Consulting	21,343.76	0.00	0.00	0.00	0.00	21,343.76
The Ferguson Group	0.00	15,000.00	0.00	0.00	0.00	15,000.00
Trapasso Consulting Services	28,000.00	0.00	0.00	0.00	0.00	28,000.00
US Bank	302.11	0.00	0.00	0.00	0.00	302.11
Waterology Consulting	33,750.00	0.00	0.00	0.00	0.00	33,750.00
Young Wooldridge LLP	6,357.72	0.00	0.00	0.00	0.00	6,357.72
TOTAL	**503,530.04**	**211,469.12**	**0.00**	**0.00**	**0.00**	**714,999.16**

Sites Project Joint Powers Authority
Profit & Loss
April 2020

	Apr 20	Jan - Apr 20
Ordinary Income/Expense		
Income		
Membership Admin/Authority	0.00	505,000.00
Prop 1 Funding	0.00	1,957,712.12
Total Income	0.00	2,462,712.12
Gross Profit	0.00	2,462,712.12
Expense		
Accounting Expense	2,695.00	12,199.00
Admin Support Gen Manager	5,644.80	21,322.35
Audit Fees	10,760.40	10,760.40
Bank Service Fees	157.88	674.31
General Manager		
Services	38,230.00	157,963.40
Total General Manager	38,230.00	157,963.40
Insurance - Property	0.00	250.00
Insurance Liability	0.00	1,604.25
Office Expenses		
Cleaning	0.00	500.00
Misc Office & Operating Exp	302.11	2,640.32
Pest Control & Maintenance	65.00	260.00
Trash Service	35.95	143.80
Water Expense	19.65	168.55
Total Office Expenses	422.71	3,712.67
Professional Fees		
Bus/Comm Manager	21,343.76	87,401.85
Communication	17,737.49	50,106.15
Cost Development Model	458.00	1,168.60
Cultural Study	0.00	3,885.55
Engineering & Technical Service	15,863.36	106,405.61
Env/Biological Services	36,310.66	77,003.42
EPP Manager	30,000.00	122,059.09
Executive Director	33,750.00	33,750.00
Federal Government Affairs Supp	15,000.00	60,000.00
General Legal Counsel	6,357.72	37,572.48
Geotechnical Engineering	12,562.77	36,972.94
Legislative/Reg/Strategic	8,000.00	32,000.00
Municipal Advisor	12,725.00	20,000.00
Operations/Simulation Modeling	93,369.39	253,417.11
Organizational Assessment	1,299.38	29,693.41
Permitting & Agreements	32,587.58	117,703.15
Program Ops Manager	28,000.00	114,510.24
Project Controls	116,632.37	460,055.12
Project Integration	168,613.06	675,474.08
Real Estate	0.00	761.67
Reservoir Operations	4,716.75	17,017.75
Special Legal	0.00	30,402.90
Total Professional Fees	655,327.29	2,367,361.12
Website, Data, Computer Support	1,918.96	5,333.38
Total Expense	715,157.04	2,581,180.88
Net Ordinary Income	-715,157.04	-118,468.76
Other Income/Expense		
Other Income		
Interest Income		
Interest Income-River City	4,421.60	22,756.23
Total Interest Income	4,421.60	22,756.23

Sites Project Joint Powers Authority
Profit & Loss
April 2020

	Apr 20	Jan - Apr 20
Total Other Income	4,421.60	22,756.23
Net Other Income	4,421.60	22,756.23
Net Income	-710,735.44	-95,712.53

Sites Project Joint Powers Authority
Transaction Detail By Account
April 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Accounting Expense								
Bill	04/30/2020	KC084322	KCoe Isom, LLP	4/20	Authority	539.00		-539.00
Bill	04/30/2020	KC084322	KCoe Isom, LLP	4/20	Reservoir	2,156.00		-2,695.00
Total Accounting Expense						2,695.00	0.00	-2,695.00
Admin Support Gen Manager								
Bill	04/21/2020	136553	Rush Personnel Services, ...	4/20	Authority	282.24		-282.24
Bill	04/21/2020	136553	Rush Personnel Services, ...	4/20	Reservoir	1,128.96		-1,411.20
Bill	04/21/2020	136554	Rush Personnel Services, ...	4/20	Authority	282.24		-1,693.44
Bill	04/21/2020	136554	Rush Personnel Services, ...	4/20	Reservoir	1,128.96		-2,822.40
Bill	04/30/2020	136720	Rush Personnel Services, ...	4/20	Authority	282.24		-3,104.64
Bill	04/30/2020	136720	Rush Personnel Services, ...	4/20	Reservoir	1,128.96		-4,233.60
Bill	04/30/2020	136721	Rush Personnel Services, ...	5/20	Authority	282.24		-4,515.84
Bill	04/30/2020	136721	Rush Personnel Services, ...	5/20	Reservoir	1,128.96		-5,644.80
Total Admin Support Gen Manager						5,644.80	0.00	-5,644.80
Audit Fees								
Bill	04/15/2020	Letter	Fechter & Company CPA	4/20	Reservoir	10,760.40		-10,760.40
Total Audit Fees						10,760.40	0.00	-10,760.40
Bank Service Fees								
General J...	04/28/2020	4-3C		8ank service fee	Authority	75.78		-75.78
General J...	04/28/2020	4-3C		Bank service fee	Reservoir	82.10		-157.88
Total Bank Service Fees						157.88	0.00	-157.88
General Manager								
Services								
Bill	04/30/2020	SPA-057	J.C. Watson, Inc	4/20	Authority	3,823.00		-3,823.00
Bill	04/30/2020	SPA-057	J.C. Watson, Inc	4/20	Reservoir	34,407.00		-38,230.00
Total Services						38,230.00	0.00	-38,230.00
Total General Manager						38,230.00	0.00	-38,230.00
Office Expenses								
Misc Office & Operating Exp								
Bill	04/30/2020	Online 5/1	US Bank	4/20	Authority	226.58		-226.58
Bill	04/30/2020	Online 5/1	US Bank	4/20	Reservoir	75.53		-302.11
Total Misc Office & Operating Exp						302.11	0.00	-302.11
Pest Control & Maintenance								
Bill	04/23/2020	50797	Maximun Pest Control	4/20	Authority	65.00		-65.00
Total Pest Control & Maintenance						65.00	0.00	-65.00
Trash Service								
Bill	04/30/2020	37208147	Recology Butte Colusa Co...	4/20	Authority	35.95		-35.95
Total Trash Service						35.95	0.00	-35.95
Water Expense								
Bill	04/01/2020	486476	Mt Shasta Spring Water	4/20	Authority	10.00		-10.00
Bill	04/02/2020	483104	Mt Shasta Spring Water	4/20	Authority	9.65		-19.65
Total Water Expense						19.65	0.00	-19.65
Total Office Expenses						422.71	0.00	-422.71
Professional Fees								
Bus/Comm Manager								
Bill	04/30/2020	05-20	Spesert Consulting	4/20	Reservoir	21,343.76		-21,343.76
Total Bus/Comm Manager						21,343.76	0.00	-21,343.76
Communication								
Bill	04/14/2020	413694	Katz and Associates, Inc	3/20 (C)	Authority	10,642.50		-10,642.50
Bill	04/14/2020	413694	Katz and Associates, Inc	3/20 (C)	Reservoir	7,094.99		-17,737.49
Total Communication						17,737.49	0.00	-17,737.49
Cost Development Model								
Bill	04/15/2020	1609000-0320	Larsen Wurzel & Associat...	3/20	Reservoir	458.00		-458.00
Total Cost Development Model						458.00	0.00	-458.00
Engineering & Technical Service								
Bill	04/30/2020	2000353965	AECOM Technical Service...	4/20	Reservoir	15,863.36		-15,863.36
Total Engineering & Technical Service						15,863.36	0.00	-15,863.36
Env/Biological Services								
Bill	04/14/2020	0146105	ICF Jones & Stokes Inc	3/20 (E)	Reservoir	36,310.66		-36,310.66
Total Env/Biological Services						36,310.66	0.00	-36,310.66
EPP Manager								
Bill	04/30/2020	SPA-202004	Forsythe Group LLC	4/20	Reservoir	30,000.00		-30,000.00
Total EPP Manager						30,000.00	0.00	-30,000.00
Executive Director								

Sites Project Joint Powers Authority
Transaction Detail By Account
April 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Bill	04/30/2020	1	Waterology Consulting	4/20	Reservoir	33,750.00		-33,750.00
Total Executive Director						33,750.00	0.00	-33,750.00
Federal Government Affairs Supp								
Bill	04/01/2020	0420149	The Ferguson Group	4/20	Authority	7,500.00		-7,500.00
Bill	04/01/2020	0420149	The Ferguson Group	4/20	Reservoir	7,500.00		-15,000.00
Total Federal Government Affairs Supp						15,000.00	0.00	-15,000.00
General Legal Counsel								
Bill	04/30/2020	65164	Young Wooldridge LLP	4/20	Authority	2,838.11		-2,838.11
Bill	04/30/2020	65164	Young Wooldridge LLP	4/20	Reservoir	3,519.61		-6,357.72
Total General Legal Counsel						6,357.72	0.00	-6,357.72
Geotechnical Engineering								
Bill	04/30/2020	04.7219003...	Fugro USA Land, Inc	4/20 (I)	Reservoir	12,562.77		-12,562.77
Total Geotechnical Engineering						12,562.77	0.00	-12,562.77
Legislative/Reg/Strategic								
Bill	04/30/2020	Letter	Dunn Consulting	4/20	Authority	4,000.00		-4,000.00
Bill	04/30/2020	Letter	Dunn Consulting	4/20	Reservoir	4,000.00		-8,000.00
Total Legislative/Reg/Strategic						8,000.00	0.00	-8,000.00
Municipal Advisor								
Bill	04/24/2020	4851SITES	Montague DeRose and As...	3/20	Reservoir	12,725.00		-12,725.00
Total Municipal Advisor						12,725.00	0.00	-12,725.00
Operations/Simulation Modeling								
Bill	04/14/2020	D3205400-012	CH2M Hill Engineers, Inc	3/20 (D)	Reservoir	93,369.39		-93,369.39
Total Operations/Simulation Modeling						93,369.39	0.00	-93,369.39
Organizational Assessment								
Bill	04/30/2020	122	Darling H2O Consulting, Inc.	4/20	Authority	1,299.38		-1,299.38
Total Organizational Assessment						1,299.38	0.00	-1,299.38
Permitting & Agreements								
Bill	04/14/2020	0146101	ICF Jones & Stokes Inc	3/20 (F)	Reservoir	32,587.58		-32,587.58
Total Permitting & Agreements						32,587.58	0.00	-32,587.58
Program Ops Manager								
Bill	04/30/2020	SPA 17-31	Trapasso Consulting Servi...	4/20	Reservoir	28,000.00		-28,000.00
Total Program Ops Manager						28,000.00	0.00	-28,000.00
Project Controls								
Bill	04/30/2020	17371089	Brown and Caldwell	4/20 (B)	Reservoir	116,632.37		-116,632.37
Total Project Controls						116,632.37	0.00	-116,632.37
Project Integration								
Bill	04/30/2020	1200264393	HDR Engineering Inc	4/20 (A)	Reservoir	168,613.06		-168,613.06
Total Project Integration						168,613.06	0.00	-168,613.06
Reservoir Operations								
Bill	04/17/2020	20-03-4941.1	MBK Engineers Inc	3/20	Reservoir	4,716.75		-4,716.75
Total Reservoir Operations						4,716.75	0.00	-4,716.75
Total Professional Fees						655,327.29	0.00	-655,327.29
Website, Data, Computer Support								
Bill	04/15/2020	139076	Adept Solutions Inc	3/20	Authority	197.37		-197.37
Bill	04/15/2020	139076	Adept Solutions Inc	3/20	Reservoir	789.48		-986.85
Bill	04/30/2020	MSP-139049	Adept Solutions Inc	5/20	Authority	136.40		-1,123.25
Bill	04/30/2020	MSP-139049	Adept Solutions Inc	5/20	Reservoir	545.61		-1,668.86
Bill	04/30/2020	139187	Adept Solutions Inc	3/20	Authority	50.02		-1,718.88
Bill	04/30/2020	139187	Adept Solutions Inc	3/20	Reservoir	200.08		-1,918.96
Total Website, Data, Computer Support						1,918.96	0.00	-1,918.96
Interest Income								
Interest Income-River City								
General J...	04/30/2020	4-4C		rec int fme	Reservoir		2,879.50	2,879.50
General J...	04/30/2020	4-5C		rec int fme	Authority		740.21	3,819.71
General J...	04/30/2020	4-5C		rec int fme	Reservoir		801.89	4,421.60
Total Interest Income-River City						0.00	4,421.60	4,421.60
Total Interest Income						0.00	4,421.60	4,421.60
TOTAL						**715,157.04**	**4,421.60**	**-710,735.44**

Sites Project Joint Powers Authority
Balance Sheet by Class
As of April 30, 2020

	Authority	Reservoir	TOTAL
ASSETS			
Current Assets			
Checking/Savings			
River City ICS Savings	0.00	2,577,933.12	2,577,933.12
River City Operating Account	780,589.71	841,563.94	1,622,153.65
River City State Fund Checking	0.00	4,404,006.92	4,404,006.92
Total Checking/Savings	780,589.71	7,823,503.98	8,604,093.69
Accounts Receivable			
Accounts Rec Members			
Membership - Authority	68,000.00	0.00	68,000.00
Membership - Reservoir	0.00	-4,988.20	-4,988.20
Total Accounts Rec Members	68,000.00	-4,988.20	63,011.80
Grants Receivable			
California Water Commission	0.00	1,957,712.12	1,957,712.12
Total Grants Receivable	0.00	1,957,712.12	1,957,712.12
Total Accounts Receivable	68,000.00	1,952,723.92	2,020,723.92
Total Current Assets	848,589.71	9,776,227.90	10,624,817.61
TOTAL ASSETS	**848,589.71**	**9,776,227.90**	**10,624,817.61**
LIABILITIES & EQUITY			
Liabilities			
Current Liabilities			
Accounts Payable			
Accounts Payable	32,501.92	682,497.24	714,999.16
Total Accounts Payable	32,501.92	682,497.24	714,999.16
Total Current Liabilities	32,501.92	682,497.24	714,999.16
Long Term Liabilities			
Participant Reimbursement Polic	0.00	6,064,652.67	6,064,652.67
Total Long Term Liabilities	0.00	6,064,652.67	6,064,652.67
Total Liabilities	32,501.92	6,747,149.91	6,779,651.83
Equity			
Net Assets	114,674.93	1,814,541.65	1,929,216.58
Retained Earnings	351,952.54	1,659,709.19	2,011,661.73
Net Income	349,460.32	-445,172.85	-95,712.53
Total Equity	816,087.79	3,029,077.99	3,845,165.78
TOTAL LIABILITIES & EQUITY	**848,589.71**	**9,776,227.90**	**10,624,817.61**

Sites Project Joint Powers Authority
Profit & Loss by Class
April 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Expense			
Accounting Expense	539.00	2,156.00	2,695.00
Admin Support Gen Manager	1,128.96	4,515.84	5,644.80
Audit Fees	0.00	10,760.40	10,760.40
Bank Service Fees	75.78	82.10	157.88
General Manager Services	3,823.00	34,407.00	38,230.00
Total General Manager	3,823.00	34,407.00	38,230.00
Office Expenses			
Misc Office & Operating Exp	226.58	75.53	302.11
Pest Control & Maintenance	65.00	0.00	65.00
Trash Service	35.95	0.00	35.95
Water Expense	19.65	0.00	19.65
Total Office Expenses	347.18	75.53	422.71
Professional Fees			
Bus/Comm Manager	0.00	21,343.76	21,343.76
Communication	10,642.50	7,094.99	17,737.49
Cost Development Model	0.00	458.00	458.00
Engineering & Technical Service	0.00	15,863.36	15,863.36
Env/Biological Services	0.00	36,310.66	36,310.66
EPP Manager	0.00	30,000.00	30,000.00
Executive Director	0.00	33,750.00	33,750.00
Federal Government Affairs Supp	7,500.00	7,500.00	15,000.00
General Legal Counsel	2,838.11	3,519.61	6,357.72
Geotechnical Engineering	0.00	12,562.77	12,562.77
Legislative/Reg/Strategic	4,000.00	4,000.00	8,000.00
Municipal Advisor	0.00	12,725.00	12,725.00
Operations/Simulation Modeling	0.00	93,369.39	93,369.39
Organizational Assessment	1,299.38	0.00	1,299.38
Permitting & Agreements	0.00	32,587.58	32,587.58
Program Ops Manager	0.00	28,000.00	28,000.00
Project Controls	0.00	116,632.37	116,632.37
Project Integration	0.00	168,613.06	168,613.06
Reservoir Operations	0.00	4,716.75	4,716.75
Total Professional Fees	26,279.99	629,047.30	655,327.29
Website, Data, Computer Support	383.79	1,535.17	1,918.96
Total Expense	32,577.70	682,579.34	715,157.04
Net Ordinary Income	-32,577.70	-682,579.34	-715,157.04
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	740.21	3,681.39	4,421.60
Total Interest Income	740.21	3,681.39	4,421.60
Total Other Income	740.21	3,681.39	4,421.60
Net Other Income	740.21	3,681.39	4,421.60
Net Income	**-31,837.49**	**-678,897.95**	**-710,735.44**

FOR MANAGEMENT PURPOSES ONLY

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through April 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Income			
Membership Admin/Authority	505,000.00	0.00	505,000.00
Prop 1 Funding	0.00	1,957,712.12	1,957,712.12
Total Income	505,000.00	1,957,712.12	2,462,712.12
Gross Profit	505,000.00	1,957,712.12	2,462,712.12
Expense			
Accounting Expense	2,439.80	9,759.20	12,199.00
Admin Support Gen Manager	4,264.47	17,057.88	21,322.35
Audit Fees	0.00	10,760.40	10,760.40
Bank Service Fees	249.32	424.99	674.31
General Manager			
Services	22,382.36	135,581.04	157,963.40
Total General Manager	22,382.36	135,581.04	157,963.40
Insurance - Property	200.00	50.00	250.00
Insurance Liability	0.00	1,604.25	1,604.25
Office Expenses			
Cleaning	500.00	0.00	500.00
Misc Office & Operating Exp	664.51	1,975.81	2,640.32
Pest Control & Maintenance	260.00	0.00	260.00
Trash Service	143.80	0.00	143.80
Water Expense	168.55	0.00	168.55
Total Office Expenses	1,736.86	1,975.81	3,712.67
Professional Fees			
Bus/Comm Manager	0.00	87,401.85	87,401.85
Communication	30,063.92	20,042.23	50,106.15
Cost Development Model	0.00	1,168.60	1,168.60
Cultural Study	0.00	3,885.55	3,885.55
Engineering & Technical Service	0.00	106,405.61	106,405.61
Env/Biological Services	0.00	77,003.42	77,003.42
EPP Manager	0.00	122,059.09	122,059.09
Executive Director	0.00	33,750.00	33,750.00
Federal Government Affairs Supp	30,000.00	30,000.00	60,000.00
General Legal Counsel	20,511.50	17,060.98	37,572.48
Geotechnical Engineering	0.00	36,972.94	36,972.94
Legislative/Reg/Strategic	16,000.00	16,000.00	32,000.00
Municipal Advisor	0.00	20,000.00	20,000.00
Operations/Simulation Modeling	0.00	253,417.11	253,417.11
Organizational Assessment	29,693.41	0.00	29,693.41
Permitting & Agreements	0.00	117,703.15	117,703.15
Program Ops Manager	0.00	114,510.24	114,510.24
Project Controls	0.00	460,055.12	460,055.12
Project Integration	0.00	675,474.08	675,474.08
Real Estate	0.00	761.67	761.67
Reservoir Operations	0.00	17,017.75	17,017.75
Special Legal	0.00	30,402.90	30,402.90
Total Professional Fees	126,268.83	2,241,092.29	2,367,361.12
Website, Data, Computer Support	1,066.68	4,266.70	5,333.38
Total Expense	158,608.32	2,422,572.56	2,581,180.88
Net Ordinary Income	346,391.68	-464,860.44	-118,468.76
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	3,068.64	19,687.59	22,756.23
Total Interest Income	3,068.64	19,687.59	22,756.23

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through April 2020

	Authority	Reservoir	TOTAL
Total Other Income	3,068.64	19,687.59	22,756.23
Net Other Income	3,068.64	19,687.59	22,756.23
Net Income	**349,460.32**	**-445,172.85**	**-95,712.53**

Sites

Topic: **Authority Board Agenda Item 1.3** **2020 May 27**

Subject: **Payment of Claims**

Requested Action:

Consider approval of the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B.

Detailed Description/Background:

Attachment A presents the warrants to be drawn against the invoices received by the Sites Project Authority through May 4, 2020.

Attachment B summarizes details of the invoices received through May 4, 2020 and how the incurred costs are allocated between the Authority and Reservoir Committee.

Prior Action:

None.

Fiscal Impact/Funding Source:

Total Payment of Claims is $714,999.16 with $32,501.92 of costs being assigned to the Authority and $682,497.24 assigned to the Reservoir Committee.

For the Reservoir Committee assigned amount, $617,693.10 will be paid through the WSIP account and $64,804.14 through the JPA/Sites account as shown in Attachment B.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: May 2020 Report on warrants to be drawn for Payment of Claims

Attachment B: May 2020 Monthly Consultant and Vendor Invoice Table

Attachment C: May 2020 Key Consultant Budget Status Report

Draft_0027204

WARRANTS DRAWN AGAINST
Sites Project Authority- General Fund
May 27, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
2141	05/13/2020	Adept Solutions	IT & Related Services	1,918.96
2142	05/13/2020	Darling H2O Consulting, Inc.	Organizational Assessment	1,299.38
2143	05/13/2020	Dunn Consulting	Legislative/Regulatory/Strategic Support	8,000.00
2144	05/13/2020	JC Watson Inc.	GM Services	38,230.00
2145	05/13/2020	Katz and Associates Inc.	Communications	17,737.49
2146	05/13/2020	K-Coe-Isom, LLP	Accounting	2,695.00
2147	05/13/2020	Maximum Pest Control	Pest Control	65.00
2148	05/13/2020	Mt Shasta Spring Water	Office Water	19.65
2149	05/13/2020	Recology Butte Colusa Counties	Office Trash Pickup	35.95
2150	05/13/2020	Rush Personnel Services Inc.	Administrative Services	5,644.80
2151	05/13/2020	The Ferguson Group	Fed Govt Affairs Support	15,000.00
2152	05/13/2020	U.S. Bank	Misc. Expenses	302.11
2153	05/13/2020	Young Wooldridge LLP	Legal Counsel	6,357.72

THE FOREGOING CLAIM, NUMBERED 2141-2153 ARE APPLIED TO THE GENERAL FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **97,306.06**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

WARRANTS DRAWN AGAINST
Sites Project Authority-WSIP Fund
May 27, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
1038	05/13/2020	AECOM Inc.	Engineering	15,863.36
1039	05/13/2020	Brown and Caldwell	Project Controls	116,632.37
1040	05/13/2020	CH2M	Operations/SIM Modeling	93,369.39
1041	05/13/2020	Fechter & Company CPA	Accounting	10,760.40
1042	05/13/2020	Forsythe Group LLC	EPP Manager	30,000.00
1043	05/13/2020	Fugro USA Land, Inc.	Geotechnical Engineering Services	12,562.77
1044	05/13/2020	HDR Engineering Inc.	Project Integration	168,613.06
1045	05/13/2020	ICF Jones & Stokes Inc.	Env/Biological Services & Permitting	68,898.24
1046	05/13/2020	Larsen Wurzel & Associates, Inc.	Cost Development	458.00
1047	05/13/2020	MBK Engineers Inc.	Reservoir Operations	4,716.75
1048	05/13/2020	Montegue DeRose and Associates, Inc.	Municipal Advisor	12,725.00
1049	05/13/2020	Spesert Consulting	Bus/Comm Manager	21,343.76
1050	05/13/2020	Trapasso Consulting Services	Program Operations Manager	28,000.00
1051	05/13/2020	Waterology Consulting	Executive Director Services	33,750.00

THE FOREGOING CLAIM, NUMBERED 1038-1051 ARE APPLIED TO THE WSIP FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **617,693.10**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

Sites

May 10, 2020

Topic: Program Operations - Finance

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Sites Applications						
Adept Solutions *IT Related Services/Computer Equipment*	139076 *4/15/20*	03/20	$986.85	$197.37	$789.48	KMS
Adept Solutions *IT Related Services/Computer Equipment*	MSP-139049 *5/1/20*	5/20	$682.01	$136.40	$545.61	KMS
Adept Solutions *IT Related Services/Computer Equipment*	139187 *5/1/20*	3/20	$250.10	$50.02	$200.08	KMS
AECOM (Reservoir) (HR) *Design*	No Invoice					
AECOM Technical Services, Inc. *Engineering/Tech*	2000353965 *5/4/20*	4/20	$15,863.36		$15,863.36	LEF
Bender Rosenthal, Inc. (G) *Real Estate*	No Invoice					KMS
Brown and Caldwell (B) *Project Controls*	17371089 *5/4/20*	4/20	$116,632.37		$116,632.37	JAT
CH2M Hill Engineers (Operations) (D) *Operations / Simulation Modeling*	D3205400-012 *4/14/20*	3/20	$93,369.39		$93,369.39	AEF
CH2M/Jacobs (Conveyance) (HC) *Engineering Services*	No Invoice					
Colusa Indian Community Council *Tribal Council*	No Invoice					SPE
Darling H2O Consulting, Inc. *Organizational Assessment*	122 *5/1/20*	4/20	$1,299.38	$1,299.38		FD
Dunn Consulting *Legislative/Regulatory/Strategic Support*	Letter *5/5/20*	4/20	$8,000.00	$4,000.00	$4,000.00	KMS
Fechter and Company, CPAs *Accounting Services*	Letter *4/15/20*	4/20	$10,760.40		$10,760.40	JAT
Forsythe Group, LLC *EPP Manager*	SPA-202004 *5/4/20*	4/20	$30,000.00		$30,000.00	JB
Fugro (I) *Geotechnical Engineering Services*	04.72190035-13 *5/1/20*	4/20	$12,562.77		$12,562.77	LEF

Draft_0027207

Sites

May 10, 2020

Topic: Program Operations - Finance

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Gerald (Jerry) Johns	No Invoice						AEF
Project Operations							
HDR (A)	1200264393			$168,613.06		$168,613.06	JAT
Project Integration		*5/1/20*	4/20				
ICF Jones & Stokes, Inc. (Environ.) (E)	0146105			$36,310.66		$36,310.66	AEF
Env/Biological Services		*4/14/20*	3/20				
ICF Jones & Stokes, Inc. (Permitting) (F)	0146101			$32,587.58		$32,587.58	AEF
Permitting and Agreements		*4/14/20*	3/20				
J.C. Watson, Inc.	SPA-057			$38,230.00	$3,823.00	$34,407.00	JB
General Manager Services		*5/1/20*	4/20				
Katz & Associates (C)	413694			$17,737.49	$10,642.50	$7,094.99	KMS
Communications		*4/14/20*	3/20				
K-Coe Isom, LLP	KC084322			$2,695.00	$539.00	$2,156.00	JAT
Accounting		*4/30/20*	4/20				
Larsen Wurzel & Associates, Inc.	1609000-0320			$458.00		$458.00	JAT
Cost Development		*4/15/20*	3/20				
M.R. Cleaning Service	No Invoice						KMS
Office Cleaning							
Maximum Pest Control	50797			$65.00	$65.00		KMS
Pest Spraying		*4/23/20*	4/20				
MBK Engineers	20-03-4941.0			$4,716.75		$4,716.75	AEF
Reservoir Operations		*4/17/20*	3/20				
Montague DeRose & Associates, LLC	4851SITES			$12,725.00		$12,725.00	JAT
Municipal Advisor		*4/24/20*	3/20				
MT Shasta Water	486476			$10.00	$10.00		KMS
Office Water		*4/1/20*	4/20				
MT Shasta Water	483104			$9.65	$9.65		KMS
Office Water		*4/2/20*	4/20				
Perkins Coie, LLP	No Invoice						AEF
Special Legal							

Draft_0027208

Sites

May 10, 2020

Topic: Program Operations - Finance

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Recology Butte Colusa Counties	37208147		$35.95	$35.95		KMS
Office Trash Pickup	*4/30/20*	4/20				
Rush Personnel	IVC000000136553		$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services	*4/21/20*	4/20				
Rush Personnel	IVC000000136554		$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services	*4/21/20*	4/20				
Rush Personnel	IVC000000136720		$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services	*5/4/20*	4/20				
Rush Personnel	IVC000000136721		$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services	*5/4/20*	5/20				
Spesert Consulting	05-20		$21,343.76		$21,343.76	JB
Bus/Communications Manager	*5/4/20*	4/20				
Stradling, Yocca, Carlson & Rauth	No Invoice					JAT
Bond Counsel						
The Ferguson Group	0420149		$15,000.00	$7,500.00	$7,500.00	KMS
Federal Government Affairs Support	*4/1/20*	4/20				
Trapasso Consulting Services	SPA 17-31		$28,000.00		$28,000.00	JB
Program Operations Manager	*5/3/20*	4/20				
U.S. Bank - Credit Card	Online 5/1		$302.11	$226.58	$75.53	KMS
Misc. Expenses	*5/1/20*	4/20				
Waterology Consulting	1		$33,750.00		$33,750.00	JT/FD/JS
Executive Director Services	*5/1/20*	4/20				
William Avery & Associates	No Invoice					JAT
Personnel Recruiter						
Wiseman Consulting Group	No Invoice					KMS
ROW/Land Management						
Young Wooldridge, Law Offices, LLP	65164		$6,357.72	$2,838.11	$3,519.61	JAT
Legal Counsel	*4/30/20*	4/20				

Draft_0027209

Sites

May 10, 2020

Topic: Program Operations - Finance

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports. The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice # Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
			Total	$ Authority Board	$ Reservoir Committee	
Cost Accounts			[illegible]	[illegible]	[illegible]	
May 2020 Monthly Totals		JPA/Sites	97,306.06	32,501.92	64,804.14	
		WSIP	617,693.10		617,693.10	
Cumulative Summary		WSIP To Date	2,336,723.47	(3) Fund Checks Received		
		Balance	3,786,358.82	6,123,082.29		

Notes: *

Draft_0027210

Sites

May 10, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Board Approval Items							
Adept Solutions	139076			$986.85	$197.37	$789.48	KMS
IT Related Services/Computer Equipment		*4/15/20*	03/20				
Adept Solutions	MSP-139049			$682.01	$136.40	$545.61	KMS
IT Related Services/Computer Equipment		*5/1/20*	5/20				
Adept Solutions	139187			$250.10	$50.02	$200.08	KMS
IT Related Services/Computer Equipment		*5/1/20*	3/20				
AECOM (Reservoir) (HR)	No Invoice						
Design							
AECOM Technical Services, Inc.	2000353965			$15,863.36		$15,863.36	LEF
Engineering/Tech		*5/4/20*	4/20				
Bender Rosenthal, Inc. (G)	No Invoice						KMS
Real Estate							
Brown and Caldwell (B)	17371089			$116,632.37		$116,632.37	JAT
Project Controls		*5/4/20*	4/20				
CH2M Hill Engineers (Operations) (D)	D3205400-012			$93,369.39		$93,369.39	AEF
Operations / Simulation Modeling		*4/14/20*	3/20				
CH2M/Jacobs (Conveyance) (HC)	No Invoice						
Engineering Services							
Colusa Indian Community Council	No Invoice						SPE
Tribal Council							
Darling H2O Consulting, Inc.	122			$1,299.38	$1,299.38		FD
Organizational Assessment		*5/1/20*	4/20				
Dunn Consulting	Letter			$8,000.00	$4,000.00	$4,000.00	KMS
Legislative/Regulatory/Strategic Support		*5/5/20*	4/20				
Fechter and Company, CPAs	Letter			$10,760.40		$10,760.40	JAT
Accounting Services		*4/15/20*	4/20				
Forsythe Group, LLC	SPA-202004			$30,000.00		$30,000.00	JB
EPP Manager		*5/4/20*	4/20				
Fugro (I)	04.72190035-13			$12,562.77		$12,562.77	LEF
Geotechnical Engineering Services		*5/1/20*	4/20				

Sites

May 10, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Gerald (Jerry) Johns	No Invoice					AEF
Project Operations						
HDR (A)	1200264393		$168,613.06		$168,613.06	JAT
Project Integration	*5/1/20*	4/20				
ICF Jones & Stokes, Inc. (Environ.) (E)	0146105		$36,310.66		$36,310.66	AEF
Env/Biological Services	*4/14/20*	3/20				
ICF Jones & Stokes, Inc. (Permitting) (F)	0146101		$32,587.58		$32,587.58	AEF
Permitting and Agreements	*4/14/20*	3/20				
J.C. Watson, Inc.	SPA-057		$38,230.00	$3,823.00	$34,407.00	JB
General Manager Services	*5/1/20*	4/20				
Katz & Associates (C)	413694		$17,737.49	$10,642.50	$7,094.99	KMS
Communications	*4/14/20*	3/20				
K-Coe Isom, LLP	KC084322		$2,695.00	$539.00	$2,156.00	JAT
Accounting	*4/30/20*	4/20				
Larsen Wurzel & Associates, Inc.	1609000-0320		$458.00		$458.00	JAT
Cost Development	*4/15/20*	3/20				
M.R. Cleaning Service	No Invoice					KMS
Office Cleaning						
Maximum Pest Control	50797		$65.00	$65.00		KMS
Pest Spraying	*4/23/20*	4/20				
MBK Engineers	20-03-4941.0		$4,716.75		$4,716.75	AEF
Reservoir Operations	*4/17/20*	3/20				
Montague DeRose & Associates, LLC	4851SITES		$12,725.00		$12,725.00	JAT
Municipal Advisor	*4/24/20*	3/20				
MT Shasta Water	486476		$10.00	$10.00		KMS
Office Water	*4/1/20*	4/20				
MT Shasta Water	483104		$9.65	$9.65		KMS
Office Water	*4/2/20*	4/20				
Perkins Coie, LLP	No Invoice					AEF
Special Legal						

Sites

May 10, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Recology Butte Colusa Counties	37208147			$35.95	$35.95		KMS
Office Trash Pickup		*4/30/20*	4/20				
Rush Personnel	IVC000000136553			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*4/21/20*	4/20				
Rush Personnel	IVC000000136554			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*4/21/20*	4/20				
Rush Personnel	IVC000000136720			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*5/4/20*	4/20				
Rush Personnel	IVC000000136721			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*5/4/20*	5/20				
Spesert Consulting	05-20			$21,343.76		$21,343.76	JB
Bus/Communications Manager		*5/4/20*	4/20				
Stradling, Yocca, Carlson & Rauth	No Invoice						JAT
Bond Counsel							
The Ferguson Group	0420149			$15,000.00	$7,500.00	$7,500.00	KMS
Federal Government Affairs Support		*4/1/20*	4/20				
Trapasso Consulting Services	SPA 17-31			$28,000.00		$28,000.00	JB
Program Operations Manager		*5/3/20*	4/20				
U.S. Bank - Credit Card	Online 5/1			$302.11	$226.58	$75.53	KMS
Misc. Expenses		*5/1/20*	4/20				
Waterology Consulting	1			$33,750.00		$33,750.00	JT/FD/JS
Executive Director Services		*5/1/20*	4/20				
William Avery & Associates	No Invoice						JAT
Personnel Recruiter							
Wiseman Consulting Group	No Invoice						KMS
ROW/Land Management							
Young Wooldridge, Law Offices, LLP	65164			$6,357.72	$2,838.11	$3,519.61	JAT
Legal Counsel		*4/30/20*	4/20				

Sites

May 10, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice # Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by

		Total	$ Authority Board	$ Reservoir Committee
	Cash Accounts	714,999.16	32,501.92	682,497.24
May 2020 Monthly Totals	JPA/Sites	97,306.06	32,501.92	64,804.14
	WSIP	617,693.10		617,693.10
Cumulative Summary	WSIP To Date	2,336,723.47	(3) Fund Checks Received	
	Balance	3,786,358.82	6,123,082.29	

Notes: *

Draft_0027214

Sites

MAY KEY CONSULTANT BUDGET REPORT

FOR AUTHORITY BOARD AND RESERVOIR COMMITTEE,
FINANCE AND ECONOMICS AD HOC COMMITTEE

Area / Consultant		Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
a) HDR			Costs and Task % as of 04/20 Services	**1,499,864**	**1,499,864**	**675,474**	**824,390**	**45%**		
	2	A01	Communications Int.		-	-	-	-	100%	
	2	A02	Ops Modeling Int.		181,007	14,038	166,969	8%	30%	
	2	A03	Env. Planning Int.		149,357	96,118	53,239	64%	45%	
	2	A04	Permitting Int.		243,884	138,496	105,388	57%	45%	
	2	A05	Real Estate Int.		139,718	12,392	127,326	9%	25%	
	2	A06	Engineering Int.		299,476	150,326	149,150	50%	45%	
	2	A07	Geotech Int.		-	-	-	-	100%	
	2	A08	Controls Int.		224,387	117,420	106,967	52%	45%	
	2	A09	General Int.		98,267	108,358	(10,091)	110%	45%	
	2	A10	Authority's Engineer		-	-	-	-	100%	
	2	A11	Health, Safety & Loss Prevention		-	-	-	-	100%	
	2	A12	Quality Management		-	-	-	-	100%	
	2	A13	Risk Management		49,890	7,249	42,641	15%	10%	
	2	A14	IT		15,831	6,357	9,474	40%	45%	
	2	A15	GIS		-	765	(765)	-	100%	
	2	A16	Document Management		3,734	2,604	1,130	70%	45%	
	2	A17	Staff Support		14,004	1,929	12,075	14%	20%	
	2	A18	Satellite Project Office		-	-	-	-	100%	
	2	A19	Land Conservation Approach		-	-	-	-	100%	
	2	A98	HDR Project Management		40,309	14,224	26,085	35%	45%	
	2	A99	Expenses		40,000	5,198	34,802	13%	45%	
b) BC			Costs and Task % as of 04/20 Services	**899,156**	**899,156**	**460,055**	**439,101**	**51%**		
	2	B01	Project Controls		113,645	49,703	63,942	44%	45%	
	2	B02	Contract Administration and Compliance		492,414	231,523	260,891	47%	45%	
	2	B03	Work Planning and Scheduling		100,341	88,452	11,889	88%	50%	
	2	B04	Project Administrative Support		117,516	64,018	53,498	54%	50%	
	2	B05	PMP Sections		-	-	-	-	-	
	2	B98	Project Management		73,240	24,660	48,580	34%	40%	
	2	B99	Expenses		2,000	1,700	300	85%	90%	

Draft_0027216

Area / Consultant		Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
c) K&A			Costs and Task % as of 03/20 Services	**199,930**	**199,930**	**50,106**	**149,824**	**25%**		
	2	C01	Local/Landowner Outreach		14,083	56	14,027	0%	-	
	2	C02	Statewide Outreach		22,000	620	21,380	3%	-	
	2	C03	NGO Outreach		12,166	1,085	11,081	9%	-	
	2	C04	Strategic Communications		19,666	4,039	15,627	21%	35%	
	2	C05	Message Development/Training		11,831	1,410	10,421	12%	20%	
	2	C06	Informational Materials Distribution		38,500	11,069	27,431	29%	20%	
	2	C07	Website Modifications and Management		18,600	4,014	14,586	22%	35%	
	2	C08	Video & Photography		-	-	-	-	-	
	2	C09	Authority/Reservoir Committee Public Affairs Support		14,500	4,495	10,005	31%	35%	
	2	C10	Media Relations		13,084	5,598	7,487	43%	30%	
	2	C11	Social Media		5,000	1,174	3,826	23%	20%	
	2	C98	Project Management		30,500	14,090	16,410	46%	37%	
	2	C99	Expenses		-	2,457	(2,457)	-	-	
d) CH2-d			Costs and Task % as of 03/20 Services	**993,000**	**993,000**	**253,417**	**739,583**	**26%**		
	2	D01	Permitting and Resource Agency Technical Support		100,000	59,710	40,290	60%	88%	
	2	D02	Environmental Document Support		356,853	30,510	326,343	9%	7%	
	2	D03	Total Operations Technical Support		300,000	85,682	214,318	29%	50%	
	2	D04	Value Planning		88,000	52,117	35,883	59%	80%	
	2	D98	Project Management		31,147	24,940	6,207	80%	40%	
	2	D99	Subs and ODCS		117,000	458	116,542	0%	25%	
e) ICF-e			Costs and Task % as of 03/20 Services	**428,000**	**428,000**	**77,003**	**350,997**	**18%**		
	2	E01	Draft EIS/EIR Review and Strategic Consultation		-	-	-	-	-	
	2	E02	Prepare Admin Final Responses to Comments		-	-	-	-	-	
	2	E03	Prepare Administrative Final EIR/EIS		15,000	14,967	33	100%	-	
	2	E04	Begin Preparation of Administrative Record for Fin		-	-	-	-	-	
	2	E05	Engagement		-	-	-	-	-	
	2	E06	Geotechnical Environmental Document		-	-	-	-	-	
	2	E07	Develop Environmental Document Work Plan		110,000	29,883	80,117	27%	35%	
	2	E08	Support Development of EIR/EIS Project Description		180,000	9,921	170,079	6%	3%	
	2	E09	Prepare Annotated Outline		88,000	-	88,000	-	-	

Area / Consultant		Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
	2	E98	Project Management		31,500	22,232	9,268	71%	15%	
	2	E99	Expenses		3,500	-	3,500	-	12%	
f) ICF-f			Costs and Task % as of 03/20 Services	**708,300**	**708,300**	**117,703**	**590,597**	**17%**		
	2	F01	Finalize Joint Biological Assessment		-	-	-	-	-	
	2	F02	Finalize Geotechnical Permits and Fieldwork		297,120	35,070	262,050	12%	25%	
	2	F03	Prepare Section 106 Documents		-	-	-	-	-	
	2	F04	Begin Preparation of Permits and Agreements		26,000	25,976	24	100%	-	
	2	F05	Prepare for 2020 Field Work Studies		-	-	-	-	-	
	2	F06	Strategize on Mitigation and Adaptive Management P		-	-	-	-	-	
	2	F07	Early Coordination and Development of Key Permits		333,740	27,076	306,664	8%	15%	
	2	F98	Project Management		35,000	23,479	11,521	67%	10%	
	2	F99	Expenses		16,440	6,102	10,338	37%	15%	
g) BRI			Costs and Task % as of 01/20 Services	**762**	**762**	**762**	**0**	**100%**		
	2	G01	Develop Draft Right-of-Way Manual Sections		-	-	-	-	-	
	2	G02	Right-of-Way Planning Efforts		-	-	-	-	-	
	2	G03	Right-of-Entry / Early-Access Program		517	517	0	100%	-	
	2	G04	Property Management Program		-	-	-	-	-	
	2	G05	Public Outreach and Community Engagement		-	-	-	-	-	
	2	G98	Project Management / QC		245	245	0	100%	-	
	2	G99	Expenses		-	-	-	-	-	
i) FUG			Costs and Task % as of 04/20 Services	**85,995**	**85,995**	**36,973**	**49,022**	**43%**		
	2	I01	Data Review and Develop 2019 Feasibility Study Work Plan		-	-	-	-	-	
	2	I02	- Obsolete -		-	-	-	-	-	
	2	I03	2019 Site Investigation		-	-	-	-	-	
	2	I04	Future Site Investigation Work Plan for Design		-	-	-	-	-	
	2	I05	Assessment of Proposed Field Data Collection Points		50,786	14,474	36,312	28%	29%	
	2	I98	Project Management		35,209	22,499	12,710	64%	65%	

Sites

Topic: **Authority Board Agenda Item 1.4** **2020 May 27**

Subject: **Award Strategic Planning Facilitation Service Contract**

Requested Action:

Consider approval of a consulting contract with The Catalyst Group for facilitation services in an amount not to exceed $50,000 and approve a time extension through December 31, 2020 for the existing contract with Darling H2O.

Detailed Description/Background:

The Reservoir Committee and Authority Board issued a Request For Proposal on April 23, 2020. Three responses were received from the shortlisted firms. A selection panel made up of Authority Staff, Reservoir Committee members and Authority Board members reviewed the proposals and invited all three for an interview. After conducting the interviews, the selection panel unanimously chose The Catalyst Group because of their strong experience working with organizations and addressing challenges similar to the Sites project, and their unique approach to the work.

The scope of work primarily involves designing a strategic planning program around the findings of the November 2019 draft Organizational Assessment that the facilitator thinks are most important to the success to the Project and include, but not limited to mission/vision, governance, subcommittees, outside relationships, communication and trust.

This planning session needs to be an in-person meeting, so timing is dependent on the shelter in place orders being lifted.

The selection committee consisted of the Executive Director, Authority Program Manager, both Chairs of the Reservoir Committee and Authority Board, and two Budget and Finance Workgroup members.

A contract has been prepared for execution which has been approved by legal and is consistent with the scope of work and budget approved by the Authority in the Amendment 1B Work Plan.

Following strategic planning there likely will be implementation activities to incorporate outcomes in business process changes. Staff will require assistance for this work and proposes to use a combination of The Catalyst Group and Darling H2O consulting services. The Darling H2O contract currently expires June 30, 2020 and requires the Authority to approve a contract extension through December 31, 2020.

Fiscal Impact:

Sufficient funds exist in the Phase 1B work plan budget to pay for the facilitation services. The contract will be structured to allow up to $25,000 for the strategic plan development and up to $25,000 for additional services for a total not to exceed amount of $50,000. The Darling H2O contract has $21,597 remaining in their approved contract budget that will not be exceeded.

Prior Action:

April 23, 2020: Approved following actions by the Authority Board relative to the approved Organization Assessment (OA) Report as follows: a) accept the plan and schedule for addressing the report findings and recommendations, b)concur with the scope, schedule and budget for strategic planning facilitation services, and c) approve release of a Request for Proposals for strategic planning facilitation services.

December 20, 2019: Approved Darling H20 to expand the scope of work to support the recruitment of a facilitator for Strategic Planning.

November 21, 2019: Darling H20 Consulting, Inc. presented the draft Organizational Assessment.

August 26, 2019: Approved a budget reallocation for the organizational assessment and execution of a sole-source professional services agreement with Darling H2O to perform an organizational assessment.

July 22, 2019: Discussed working on an organizational assessment plan to evaluate the structure of the Sites Project's program management team, Reservoir Committee, and the Authority.

Fiscal Impact/Funding Source:

Sufficient funds are available for this activity in the current work plan.

Staff Contact:

Jerry Brown

Attachments:

None.

Sites

Topic: **Authority Board Agenda Item 1.5** **2020 May 21**

Subject: **Reclamation Financial Assistance Agreement**

Requested Action:

Consider approval of Resolution No. 2020-01, providing for an application to the USBR for a Financial Assistance Agreement (FAA)for WIIN Act funds.

Detailed Description/Background:

The 2019 federal budget appropriated $6M in WIIN act funds toward the Sites Reservoir Project. The proposed Resolution is needed to initiate development of the FAA. A separate action will be necessary to authorize executing the FAA.

Staff has been working closely with Reclamation staff to prepare the FAA. Reclamation's participation will be a 50/50 percent cost share with $4 million of funding dedicated to Sites expenses and the other $2M toward Reclamation expenses on the Sites project. Staff is working with Reclamation to determine if $1million of the funding dedicated to Sites expenses will either come in cash or contributed work performed by Reclamation, either way there will be no impact on the Amendment 2 Work Plan. This funding will allow the project to continue development of the environmental documents and permits. The scope does not commit the Authority to any specific type of reservoir project. Should the project not get built, these funds are not required to be paid back to the federal government.

Prior Action:

None.

Fiscal Impact/Funding Source:

The approved phase 2 work plan includes $4M of WIIN Act funding to advance project environmental planning and engineering work. The funds are expected to be received in early 2021.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Resolution 2020-01

BEFORE THE BOARD OF DIRECTORS OF THE SITES PROJECT AUTHORITY

RESOLUTION NO. 2020-01

In the Matter of:

Authorizing Execution of Documents for the Financial Assistance Agreement with the Bureau of Reclamation utilizing the WIIN Act Funding.

WHEREAS, the United States Bureau of Reclamation (Reclamation) is considering participation in the Sites Reservoir Project under Water Infrastructure Improvements for the Nation (WIIN) Act, Public Law No: 114-322; and

WHEREAS, Section Sec. 4007 of the WIIN Act authorizes the United States Department of Interior, among other things, to participate in state-led water storage projects in an amount up to 50% of the total project cost; and

WHEREAS, Reclamation's participation is consistent with Section 14 of the Reclamation Project Act of 1939; and

WHEREAS, it is provided in the Further Consolidated Appropriations Act, 2020 H. R. 1865—132, enacted into law December 20, 2020: "That in accordance with section 4007 of Public Law 114–322, and as recommended by the Secretary in a letter dated February 13, 2019, funding provided for such purpose in fiscal years 2017 and 2018 shall be made available to...the North-of-the Delta Off stream Storage (Sites Reservoir Project)"; and

WHEREAS, the Project Description for Sites Reservoir Project listed in the Secretary letter dated February 13, 2019 states, "This project includes an additional 1.8 million AF of off-stream surface storage to restore flexibility and adaptability to Central Valley Project (CVP) and State Water Project (SWP) operations. Funds will be used to address any comments on the final Feasibility Report, advance the EIS/EIR, and various related activities, including execution of a cost-sharing agreement with the Sites Project Authority."; and

WHEREAS, the Sites Project Authority ("Authority") is a joint powers authority formed by and existing among various irrigation districts and other local water districts and local governments within the Sacramento River hydrologic region pursuant to a Joint Exercise of Powers Agreement as last amended Feb 13, 2017, and through a Reservoir Committee established by the Authority has partners that are not located within said hydrologic region, participating with development of the Sites Project; and

WHEREAS, the Bureau of Reclamation is intending to award the Authority up to $4,000,000 for the Financial Application Agreement.

NOW, THEREFORE BE IT RESOLVED by this Board of Directors as follows:

1. The foregoing recitals are true and correct.
2. The Executive Director, or their designee, is authorized and directed to prepare the necessary data, conduct investigations, file such applications, execute a funding agreement and any amendments thereto, and sign invoices with the Bureau of Reclamation related to the Financial Assistance Agreement from the WIIN Act funding and its implementing regulations.
3. The Authority's officers and Executive Director are authorized to do all things necessary and proper to carry out and implement the above reference matters with the Bureau of Reclamation.

PASSED, APPROVED AND ADOPTED by the Board of Directors of the Sites Project Authority this 27th day of May 2020, by the following vote:

AYES:

NOES:

ABSTAIN:

ABSENT:

I, Fritz Durst, Chair Authority Board of the Site Project Authority, do hereby certify that the resolution set forth above is true and accurate copy of the resolution adopted by the Board of Directors of the Sites Project Authority at a duly called meeting of the Board on May 27, 2020 and that said resolution has not been rescinded, amended or modified and is in full force and effect as of the date hereof.

In Witness Whereof, I have executed this certificate this 27th day of May 2020.

_____

Fritz Durst, Chair Authority Board, Sites Project Authority

Sites

Topic: **Authority Board Agenda Item 2.1** **2020 May 27**

Subject: **Amendment 2 Cash Call**

Requested Action:

Consider acceptance of the attached Exhibit B (2020 and 2021 budget) to the Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the results of the "soft call". Consider approving one of the following actions:

A. Approve moving to the "hard call" and the use of the attached participation table to create a draft Exhibit A to be included with Amendment 2.

B. Direct staff to begin outreach to potential participants based on the priority system defined in participation agreements and the credit reimbursement policy to explore interest in Sites capacity, if available.

Detailed Description/Background:

Acting on feedback received at the April Reservoir Committee meeting, staff has developed a two-step cash call process with the following objectives:

1. Ensure continued full subscription in the rightsized project.
2. Provide more time prior to the first funding request in light of the COVID-19 natural disaster and its long-term financial impacts.
3. Identify other revenue sources and possibly reduce costs to further reduce the cash call.

Soft Call Preliminary Participation Results:

Soft call survey responses were due on May 15th and the results are summarized below:

- 19 out of 21 agencies have responded, representing 191,942 AF out of 192,892 AF current participation (99.5%).
- On net it appears we can still achieve full subscription, but there is some reduction in participation levels and geographic balance will need to be addressed.
- Existing participants identified interest in 5,000 AF of additional capacity, if available.
- Prior participants expressed interest in 10,000 AF of capacity, if available.

Attachment A shows the preliminary participation results.

Potential Participant Outreach and Priority:

Soft call results indicate subscription will likely be reduced below the current level of 192,892 acre feet. Staff can begin outreach to potential participants and report back at the June meeting. The following priority will be given to participants based on the credit reimbursement policy:

1. The goal is total Sac Valley participation of ~25%. Priority is given to current, prior and new Sac Valley participants in that order.
2. Existing Reservoir Committee participating members (with sub-priorities by seniority)
3. Prior Reservoir Committee participating members (with sub-priorities by exit date)
4. Nonparticipants. Priority for new participants outside of Sac Valley.

New participants must be approved for admission according to Section 10 of the Participation Agreement, which includes a 75% weighted approval at the Reservoir Committee and 75% of Authority Board director approval as well as other requirements.

Per the credit reimbursement policy, new, returning, and increasing participants would be responsible for paying their share of the project costs to date. Departing or reducing participants would be frozen at the priority they left under. For returning participants, the new amount owed will be reduced by their contributed credit balance. The below table shows the prior and future participation commitments. Any prior commitments owed would be due along with the first invoice for Amendment 2 on Nov 1, 2020. Payment for prior commitments would be distributed according to the credit reimbursement policy.

Agreement / Work Plan	Cost / AF Participation	Period End
Phase 1	$48.50/AF	Mar 31, 2019
Phase 2 (2019)	$60/AF	Dec 31, 2019
Phase 2 (2019) Amendment 1B	$0/AF	Aug 31, 2020
Prior Commitments Total*	**$108.50/AF**	
Phase 2 (2019) Amendment 2	$100/AF	Dec 31, 2021
Installment 1, Nov 1, 2020	$60/AF	
Installment 2, Apr 1, 2021**	$40/AF	
Phase 2 Future Commitments	**$100/AF**	
Total Buy-in	**$208.50/AF**	

* Prior commitment payments would be utilized per the credit reimbursement policy consistent with prior actions.
** Second installment is not-to-exceed $40 and will be evaluated in early 2021.

Reduced Participation Scenario:

If after the outreach step participation remains reduced, the Amendment 2 work plan would need to be revised to reflect a reduction in revenue or there would need to be an increase in the $100/AF with reduced subscription. Exhibit A and

Exhibit B to Amendment 2 would be updated to reflect final approved participation levels and the budget, respectively. These next steps would be discussed during future Reservoir Committee and Authority Board meetings.

Cash Call Timing:

The proposed cash call schedule is:

- $60/AF due Nov 1, 2020
- Up to $40/AF due Apr 1, 2021

The possibility of individual agencies opting to pay the full $100/AF on November 1, 2020 has been discussed in the coordination work group. Participants would essentially be pre-paying the second cash call of up to $40/AF (due April 1, 2021). Staff has explored possible financial and non-financial incentive scenarios.

The financial incentive considered was related to interest earned on prepaid funds. Assuming 50% of participants prepaid the full $100/AF and the money was held for six months before other participants paid in, the total interest earned is estimated to be less than $50,000. Based on this analysis, developing policies and systems for tracking interest at a participant level represent increases in administrative costs in excess of the benefit. Financial incentives are not planned based on this analysis.

The non-financial incentive for pre-payment relates to maintaining project schedule while accommodating delayed payment from agencies who have constraints with the timing of the funding request. This is not quantifiable but represents good will and commitment to the project.

Should an agency desire to prepay the full $100/AF on November 1st, the Authority has the ability to track accounts receivable and maintain an accurate participant ledger.

Schedule:

Responses from the soft call indicate that the earliest planned board date is May and the latest planned board date is October. This range of schedules can be accommodated. The plan is to issue invoices October 1 at $60/AF, due for payment on November 1.

Prior Action:

May 5, 2020: At a Reservoir Committee workshop, staff provided information on changes to the cash call process and schedule in light of potential economic impacts of the COVID-19 natural disaster on participating member agencies. A two-step cash call process including a "Soft Call" and a "Hard Call" will be used to better plan for changes in participation. The due date of the first cash call of $60/AF will be moved two months out to November 1, 2020. The due date of the second cash call will be moved two months out to April 1, 2021 and will be up to $40/AF. The Amendment 2 work will still begin on September 1, 2020.

April 17, 2020: The Reservoir Committee approved the Amendment 2 Work Plan for the purposes of planning cash calls for participants totaling $100/AF, producing a draft budget exhibit to the participation agreement, and developing consultant task orders.

March 30, 2020: At a joint workshop, staff provided information that included a process overview of task order development; an updated project schedule; cash flows for Reservoir Committee and Authority Board; and preliminary task budgets including assigned resources.

March 19, 2020: Staff provided information on the goals, schedule, cash flow, and preliminary budget allocation by subject assuming a cash call of $100/AF. Staff provided a preliminary cash call schedule of $60/AF due September 1, 2020 and $40 due February 1, 2021.

February 21, 2020: Staff provided information on three possible revenue scenarios and a task list for Amendment 2 (September 1, 2020 and ending December 31, 2021). Staff received direction to continue to develop the $100/AF revenue scenario.

January 17, 2020: Staff provided information regarding the proposed process for developing a work plan. This work plan proposes to focus on improving certainty related to project operations, permitting, and affordability, and to meeting the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive funding.

Fiscal Impact/Funding Source:

The total cash call remains unchanged from the approved Amendment 2 work plan in the total amount of $100/AF. With the Amendment 1B carryover funds it may be possible to reduce the total cash call. However, Staff proposes to keep the first call at $60/AF and reassess the second call currently projected at $40/AF midway through the Amendment 2 work. At that time, any adjustments to the second call can be considered based on the then current projections. Participating members can consider the second call to be an "up to" amount since the Amendment 1B carryover represents previously unanticipated funds for the Amendment 2 work plan.

Staff Contact:

Jerry Brown

Attachments:

Attachment A – Preliminary Amendment 2 Participation Table

Attachment B – Exhibit B Reservoir Committee 2020 and 2021 Work Plan

Preliminary Amendment 2 Participation Table

Reservoir Committee

Based on preliminary soft call survey results as of 12pm Wednesday, 5/20/2020

Working Draft, Subject to Change

Participant	Phase 2 (2019) Participation Level (Ref, AF)	Participation Level (AF) Staff Recommendation	Variance (AF)	Participation Increase Interest (AF)
Carter Mutual Water Company	300	300	0	
City of American Canyon	4,000	4,000	0	
Coachella Valley Water District	10,000	10,000	0	
Colusa County	10,000	10,000	0	
Colusa County WD	11,975	11,000	-975	Possibly
Davis Water District	2,000	2,000	0	
Dunnigan WD	2,717	2,717	0	
Desert WA	6,500	6,500	0	
Glenn Colusa Irrigation District	5,000	5,000	0	
LaGrande water district	1,000	1,000	0	
Metropolitan Water District of Southern California	50,000	50,000	0	
RD-108	4,000	4,000	0	
San Bernardino Valley Municipal Water District	21,400	21,400	0	
San Gorgonio Pass Water Agency	14,000	14,000	0	
Santa Clara Valley WD	16,000	16,000	0	
Santa Clarita Valley Water Agency	5,000	5,000	0	5,000
Westside W.D.**	15,000	2,000	-13,000	
Zone 7 Water Agency	10,000	10,000	0	
Wheeler Ridge-Maricopa WSD	3,050	3,050	0	
Antelope Valley East Kern WA	500	Pending	-500	
Cortina WD	450	Pending	-450	
Pacific Resources MWC*	0	Pending	0	10,000
Total	**192,892**	**177,967**	**-14,925**	**15,000**

**Phase 1 participant, no survey response received. Interest expressed by a responding Phase 2 participant.*

***Indicated possibility for higher participation, lower projected participation level shown*

Blue text indicates no survey response received

Exhibit B
Reservoir Committee
2020 and 2021 Work Plan

May 21, 2020 Reservoir Committee Working Draft

Reservoir Committee Annual Budget for FY 2020 and FY 2021 ($000)

	Subject Area	2020	2021	Total
Revenue	Beginning Balance	$6,847	$0	$6,847
	Participation Revenue	$11,520	$7,680	$19,200
	Federal Revenue	$0	$4,000	$4,000
	State Revenue	$5,134	$5,502	$10,636
Revenue Total		$23,501	$17,182	$40,683
Expenses	Permitting	($2,558)	($5,011)	($7,569)
	Early Mitigation	($243)	($2,257)	($2,500)
	Environmental Planning	($3,511)	($2,376)	($5,887)
	Operations Modeling	($3,486)	($536)	($4,022)
	Engineering	($4,360)	($2,180)	($6,540)
	Geotechnical	($1,142)	($2,003)	($3,145)
	Real Estate	($145)	($272)	($417)
	Communications	($489)	($579)	($1,068)
	Project Controls	($1,333)	($1,528)	($2,861)
	Funding	($777)	($590)	($1,367)
	Growth	($819)	($910)	($1,729)
	Management	($461)	($1,219)	($1,681)
	Support	($248)	($388)	($636)
Expenses Total		($19,573)	($19,849)	($39,422)
Grand Total		**$3,928**	**($2,666)**	**$1,261**

Annual expense budgets are based on the projected spend rate for the Amendment 1B and Amendment 2 work plans combinded (Pg 2 and 3).

Amendment 1B Budget by Month ($000s)

Reservoir Committee Work Plan Summary

Subject Area		Jan 20	Feb 20	Mar 20	Apr 20	May 20	Jun 20	Jul 20	Aug 20	Total
Revenue										
	Beginning Balance*	$6,847								$6,847
	Participation Revenue									$0
	Federal Revenue									$0
	State Revenue	$3,300								$3,300
Revenue Sum		$10,147								$10,147
Expenses										
	Environmental Planning	($269)	($245)	($269)	($269)	($245)	($257)	$0	$0	($1,555)
	Operations Modeling	($519)	($472)	($355)	($220)	($116)	($85)	($55)	($53)	($1,876)
	Engineering	$0	($151)	($237)	($239)	($247)	($272)	($248)	($205)	($1,600)
	Geotechnical	$0	$0	($27)	($118)	($107)	($118)	($118)	($113)	($601)
	Real Estate	($7)	($6)	($7)	($7)	($6)	($7)	($7)	($7)	($55)
	Communications	($38)	($35)	($38)	($38)	($35)	($38)	($38)	($36)	($297)
	Project Controls	($255)	($77)	($85)	($85)	($77)	($85)	($85)	($81)	($828)
	Funding	($74)	($109)	($120)	($121)	($104)	($61)	($37)	($35)	($661)
	Growth	($93)	($84)	($93)	($93)	($84)	($93)	($93)	($88)	($719)
	Management	$0	$0	$0	($1)	($23)	($25)	($9)	$0	($58)
	Support	($15)	($14)	($15)	($15)	($14)	($15)	($15)	($15)	($120)
Expenses Sum		($1,271)	($1,194)	($1,247)	($1,206)	($1,059)	($1,056)	($705)	($633)	($8,370)

**Adjusted from value published in work plan based on 2019 close-out*

Draft_0027233

Amendment 2 Budget by Month ($000s)

Reservoir Committee Work Plan Summary

Subject Area	Sep 20	Oct 20	Nov 20	Dec 20	Jan 21	Feb 21	Mar 21	Apr 21	May 21	Jun 21	Jul 21	Aug 21	Oct 21	Sep 21	Nov 21	Dec 21	Total
Revenue																	
Participation Revenue			$11,520					$7,680									$19,200
Federal Revenue							$2,000				$2,000						$4,000
State Revenue			$1,834			$1,834						$1,834			$1,834		$7,336
Revenue Total			$13,354			$1,834	$2,000	$7,680			$2,000	$1,834			$1,834		$30,536
Expenses																	
Permitting	($253)	($577)	($1,023)	($705)	($525)	($525)	($584)	($471)	($419)	($448)	($295)	($309)	($295)	($295)	($613)	($232)	($7,569)
Early Mitigation	$0	$0	($113)	($131)	($119)	($119)	($136)	($131)	($119)	($235)	($231)	($242)	($231)	($231)	($220)	($242)	($2,500)
Environmental Planning	($488)	($512)	($442)	($513)	($474)	($474)	($218)	($71)	($65)	($71)	($61)	($62)	($216)	($42)	($343)	($279)	($4,332)
Operations Modeling	($621)	($680)	($232)	($78)	($71)	($71)	($81)	($72)	($43)	($48)	($46)	($48)	($11)	($46)	$0	$0	($2,146)
Engineering	($1,134)	($768)	($398)	($461)	($108)	($185)	($355)	($292)	($221)	($190)	($161)	($186)	($155)	($155)	($147)	($25)	($4,941)
Geotechnical	($52)	($54)	($61)	($374)	($346)	($461)	($513)	($134)	($124)	($172)	($42)	($44)	($42)	($42)	($40)	($42)	($2,544)
Real Estate	($23)	($24)	($20)	($24)	($21)	($21)	($25)	($24)	($21)	($24)	($23)	($24)	($23)	($23)	($21)	($24)	($362)
Communications	($48)	($50)	($43)	($50)	($46)	($46)	($52)	($50)	($46)	($50)	($48)	($50)	($48)	($48)	($46)	($50)	($771)
Project Controls	($126)	($132)	($114)	($132)	($120)	($120)	($138)	($132)	($120)	($132)	($126)	($132)	($126)	($126)	($120)	($132)	($2,033)
Funding	($29)	($30)	($26)	($30)	($64)	($66)	($76)	($73)	($66)	($71)	($29)	($30)	($29)	($29)	($28)	($30)	($706)
Growth	($25)	($26)	($23)	($26)	$0	$0	($38)	($36)	($33)	($36)	($35)	($154)	($146)	($146)	($139)	($148)	($1,010)
Management	($101)	($106)	($91)	($106)	($96)	($96)	($110)	($106)	($96)	($106)	($101)	($106)	($101)	($101)	($96)	($106)	($1,623)
Support	($32)	($34)	($29)	($34)	($31)	($31)	($35)	($34)	($31)	($34)	($32)	($34)	($32)	($32)	($31)	($34)	($516)
Expenses Total	($2,931)	($2,994)	($2,616)	($2,663)	($2,020)	($2,214)	($2,362)	($1,624)	($1,404)	($1,616)	($1,230)	($1,422)	($1,454)	($1,314)	($1,844)	($1,945)	($31,052)

Sites

Topic: **Authority Board Agenda Item 2.2** **2020 May 27**

Subject: **Second Amendment to 2019 Reservoir Project Agreement**

Requested Action:

Consider approval of the Second Amendment to 2019 Reservoir Project Agreement (Second Amendment).

Detailed Description/Background:

Staff worked with Authority bond counsel (Stradling Yocca Carlson & Rauth) and the Budget and Finance ad hoc Committee to prepare the proposed amendment. This amendment addresses multiple items including; 1) allowing for potential participation changes, 2) extending the agreement through December 2021 which is the end of the Amendment 2 Work Plan and 3) incorporating the Amendment 2 Work Plan revenues and expenses.

In prior staff reports a home board package was referenced to include the materials necessary for executing the Amendment 2 Work Plan. After further evaluation, Staff will be providing the home board package in a format as follows:

- A letter will be provided to each participant member representative requesting action by the agency. (Attachment A) The deadline to receive all the executed amendment forms is September 10, 2020.
- Accompanying the letter will be the Second Amendment for action by the authorized agency designee. (Attachment B)
- A web-based portal has been created and stores all of the information materials for download as needed by each agency. Staff is available to assist with these materials.

Prior Action:

April 17, 2020: Requested staff to address additional items in the draft Second Amendment to 2019 Reservoir Project Agreement including potential participation level changes.

November 21, 2019: Provided input to staff to aid in development of a work plan through June 30, 2020.

October 18, 2019: Approved the First Amendment to 2019 Reservoir Project Agreement.

September 20, 2019: Approved a no-cost extension of time to complete activities defined in the Agreement's work plan (aka Exhibit B). Their approval extended

Draft_0027236

the Agreement's period of performance December 31, 2019 through March 31, 2020.

Fiscal Impact/Funding Source:

Execution of the second amendment creates the contractual commitment by each agency to fund the Amendment 2 work plan through December 2021. It is likely that the Second Amendment will not be completely executed before the current amended agreement expiration of June 30, 2020. While not desirable, this situation was necessary to allow the flexibility and time that agencies needed for their cash call decision making. There is no impact on the project since the Phase 1B funds have already been received.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Draft Cover Letter
Attachment B: Second Amendment to 2019 Reservoir Project Agreement

Sites

May 21, 2020

Dear Reservoir Committee Member,

In April 2020, actions were taken by the Project and Authority governing bodies to adopt the April 13, 2020, Sites Project Value Planning Alternatives Appraisal Report and the Amendment 2 Work Plan which together constitute the scope, schedule and budget for the next stage of project development through December 2021. In taking these actions, funding requirements were set which established the timing and amount due from each participating member that wishes to continue its participation in the Project during the Amendment 2 Work Plan period.

As a participating member in the original Phase 2 Project Agreement dated April 1, 2019 and the First Amendment dated January 1, 2020, the Authority hereby requests your determination of interest in continued participation by returning the attached Second Amendment completed and signed by your authorized agency designee, **no later than September 10, 2020.**

Please note that the Second Amendment requires the following information in addition to the authorized signature on the amendment:

- Indicate the amount of capacity shares in acre feet that you are securing through this phase of the project which, in accordance with the amended Section 6, will represent the capacity share amount to which your agency has first rights of refusal in any future phase of work. These capacity share amounts indicated by each participating member will be used for the final Exhibit A of the Second Amendment.
- Indicate your commitment to provide up to the total funding required for the capacity shares you have designated. The unit cost is the total for the original agreement already paid ($60/AF) and the new commitment for the Amendment2 Work Plan (not to exceed $100/AF).

We have prepared a set of documents for you to use in your agency deliberations of this request. Documents are available for you to download at this link: https://brwncald-my.sharepoint.com/:f:/p/irobinette/Epm9jMLcDwNOhlMc2840b6EBFm-iqGMQPzc-HU2pCxovcQ?e=Z6687k. Don't hesitate to contact Kevin Spesert, Sites Project Authority, External Affairs Manager, at kspesert@sitesproject.org if you need assistance with any of these materials.

The project team is excited to embark on this ambitious Amendment 2 Work Plan. It is critical that you receive timely information for your next decision prior to December 2021 where we will be seeking commitment of the total project local cost share which is one of the conditions for receiving the $816M State WSIP funds.

Please don't hesitate to contact me if there are any questions or you need my support regarding this request.

Jerry Brown
Executive Director, Sites Project Authority

Sincerely,

_____
Jerry Brown, Executive Director
Sites Project Authority

SECOND AMENDMENT TO 2019 RESERVOIR PROJECT AGREEMENT

BY AND AMONG

SITES PROJECT AUTHORITY

and

THE PROJECT AGREEMENT MEMBERS LISTED HEREIN

Dated as of July 1, 2020

THIS SECOND AMENDMENT TO 2019 RESERVOIR PROJECT AGREEMENT (this "Second Amendment"), dated as of July 1, 2020, by and among SITES PROJECT AUTHORITY, a joint powers authority duly organized and existing under the laws of the State of California (the "Authority"), and the project agreement members listed in the Agreement referenced below (the "Project Agreement Members") and amends that certain 2019 Reservoir Project Agreement dated as of April 1, 2019 (the "Original Agreement"), as previously amended by the First Amendment to 2019 Reservoir Project Agreement dated as of January 1, 2020 (the "First Amendment" and, together with the Original Agreement, the "Agreement"), each by and among the Authority and the Project Agreement Members;

WITNESSETH:

WHEREAS, Authority and the Project Agreement Members have determined to approve an Amendment 2 Work Plan and to extend the term of the Agreement to December 31, 2021; and

WHEREAS, under Section 11 of the Agreement, the Agreement may be amended by a writing executed by the Authority and at least 75% of the total weighted vote of the then current Committee members as provided in Subsection 3(g); and

WHEREAS, all acts, conditions and things required by law to exist, to have happened and to have been performed precedent to and in connection with the execution and the entering into of this Second Amendment do exist, have happened and have been performed in regular and due time, form and manner as required by law, and the parties hereto are now duly authorized to execute and enter into this Second Amendment;

NOW, THEREFORE, THIS SECOND AMENDMENT WITNESSETH, the Authority and the Project Agreement Members agree, as follows:

ARTICLE I

DEFINITIONS

Section 1.01. **Definitions.** All capitalized terms not otherwise defined herein shall have the meaning set forth in the Agreement.

ARTICLE II

AMENDMENTS TO AGREEMENT

Section 2.01. **Project Agreement Members**.

(a) Effective September 1, 2020, the Project Agreement Members attached as Exhibit A to the Agreement shall be succeeded in their entirety by the Project Agreement Members attached hereto as Exhibit A.

Section 2.02. **Work Plan**.

(a) Effective September 1, 2020, the 2019 Work Plan attached as Exhibit B to the Agreement shall be supplemented by the Work Plan attached hereto as Exhibit B (the "Amendment 2 Work Plan").

Section 2.03. **Funding**.

The Agreement is hereby amended to remove Section 4(a) in its entirety and replace it with the following:

"(a) Budget. The Committee shall, in cooperation with the Authority's Board, provide and approve both a Fiscal Year operating budget and reestablish a Phase 2 budget target, annually or more frequently as needed. The Project Agreement Members shall contribute their respective pro-rata share of the budgeted sums reflected in the 2019 Work Plan (prior to November 1, 2020) and the Amendment 2 Work Plan (on and after November 1, 2020) in accordance with Section 5 of this Project Agreement; provided, however, that in no event shall the amount paid by a Project Agreement Member exceed $160 per acre-foot (with $60 of such amount being attributable to the 2019 Work Plan and $100 of such amount being attributable to the Amendment 2 Work Plan) without the approval of such Project Agreement Member. The contribution with respect to the pro-rata budgeted sums reflected in the Amendment 2 Work Plan shall be payable by each Project Agreement Member in two installments. The first installment shall be in an amount equal to $60 per acre-foot and shall be payable by no later than November 1, 2020. The second installment shall be in an amount up to $40 per acre-foot and shall be payable by no later than April 1, 2021. The exact amount per acre-foot of the second installment shall be established by the Committee, in cooperation with the Authority's Board, and notice of such amount shall be provided by the Authority to each Project Agreement Member."

Section 2.04. **Future Development of the Sites Reservoir Project**.

The Agreement is hereby amended to remove Section 6(b) in its entirety and replace it with the following:

"(b) Without limiting the foregoing, any Project Agreement Member that elects to continue participating in the development, financing, and construction of the Sites Reservoir Project to the time when the Authority offers contracts for a water supply or other services, will be afforded a first right, equal to that Project Agreement Member's Participation Percentage, to contract for a share of any water supply that is developed, and for storage capacity that may be available from, the Sites Reservoir Project. In any successor phase agreements, Project Agreement Members who are parties to this Project Agreement that submitted a proposal to participate before February 28, 2019, shall be granted rights to contract for a share, in an amount equal to that Project Agreement Member's Participation Percentage as of the effective date of such successor phase agreement, of any water supply that is developed, and for storage capacity that may be available from the Sites Reservoir Project prior to the rights of those becoming parties to this Project Agreement after that date.

If a participating Project Agreement Member as of February 28, 2019 identifies a lesser amount in the Second Amendment than its Original Agreement requested amount, that participating Project Agreement Member's first rights of refusal in the future are to be based on the Second Amendment amounts and not the February 28, 2019 amounts.

Provided, however, that if a Project Agreement Member withdraws from the Project Agreement pursuant to Section 9 of this Agreement but later requests to be reinstated, then to the extent there is unsubscribed participation in the Project as determined by the Committee, the

Committee may vote to readmit said withdrawn Member with a reinstated first right of refusal provided said withdrawing Member provides funding to the Project commensurate with the funding requirements met by all current Project Agreement Members in the current phase of the Project as well as any prior phase, as adjusted for any credits, payments and/or reimbursements made under the Authority's credit reimbursement policy (the "Credit Reimbursement Policy").

Further provided, that if a Project Agreement Member desires to increase its participation after execution of the Second Amendment, then to the extent there is unsubscribed participation in the Project as determined by the Committee, the Committee may vote to approve said increase, or portion thereof, with a first right of refusal attendant thereto, provided said increasing Project Agreement Member provides funding to the Project commensurate with the funding requirements met by all current Project Agreement Members in the current phase of the Project as well as any prior phase, as adjusted for any credits, payments and/or reimbursements made under the Credit Reimbursement Policy.

The Authority and the Project Agreement Members will cooperate on the drafting of provisions in the water supply contract that will allow a Project Agreement Member or other eligible entity that commits to purchase a Sites Reservoir Project water supply to transfer water that the entity may not need from time to time on terms and conditions acceptable to the Project Agreement Member."

Section 2.05. **Term**. The Agreement is hereby amended to remove Section 8(b) in its entirety and replace it with the following:

"(b) The term of this Project Agreement shall continue until December 31, 2021. In the event that this Second Amendment is not approved by Project Agreement Members with the requisite percentage of the total weighted vote as set forth in the Agreement by June 30, 2020, the Agreement shall be revived immediately upon approval by such requisite percentage, without any additional approval of the Project Agreement Members, and this Second Amendment shall become effective."

Section 2.06. **Executive Director**. All references to the "General Manager" in the Agreement shall be changed to "Executive Director."

ARTICLE III

PROJECT AGREEMENT MEMBER PARTICIPATION

Section 3.01. **Project Agreement Participation.** Each Project Agreement Member shall specify its participation in the Sites Reservoir Project by indicating its elected water participation amount in the Sites Reservoir Project and the associated cost in the space provided therefor on the signature page to this Second Amendment. Based upon the respective participation elections of the Project Agreement Members, the Authority shall update Exhibit A pursuant to Section 5 of the Agreement.

ARTICLE IV

MISCELLANEOUS

Section 4.01. **Effectiveness of Agreement**. Except as expressly amended by this Second Amendment, the Agreement is hereby ratified and confirmed and shall continue in full force and effect in accordance with the terms and provisions thereof. The amendments set forth in this Second Amendment shall be incorporated as part of the Agreement upon their effectiveness in accordance with Section 11 of the Agreement.

Section 4.02. **Execution in Several Counterparts**. This Second Amendment may be executed in any number of counterparts and each of such counterparts shall for all purposes be deemed to be an original; and all such counterparts, or as many of them as the Authority and the Project Agreement Members shall preserve undestroyed, shall together constitute but one and the same instrument.

Section 4.03. **Authorization, Ratification and Confirmation of Certain Actions**. The Authority and the Project Agreement Members each hereby authorize, ratify and confirm the extension of the term of the Agreement, as previously extended pursuant to the First Amendment, to June 30, 2020, and the expenditure of funds collected under the Agreement with respect to the 2019 Work Plan on and prior to June 30, 2020.

Section 4.04. **Laws Governing Second Amendment**. The effect and meaning of this Second Amendment and the rights of all parties hereunder shall be governed by, and construed according to, the laws of the State.

IN WITNESS WHEREOF, the Authority and Project Agreement Members hereto, pursuant to resolutions duly and regularly adopted by their respective governing bodies, have caused their names to be affixed by their proper and respective officers on the date shown below:

Dated: _____

SITES PROJECT AUTHORITY

By: _____
Name:
Title:

[PROJECT AGREEMENT MEMBER]

Dated: _____

_____

(Authority & Project Agreement Member)

By: _____
Name:
Title:

PARTICIPATION AMOUNT

[PROJECT AGREEMENT MEMBER] hereby elects to participate in the Sites Reservoir Project in the amount and at the cost identified below.

Participation (Second Amendment Annualized Acre-Foot):

Second Amendment Cost: Not to Exceed $100 per Acre-Foot

EXHIBIT A

PROJECT AGREEMENT MEMBERS

Participant	Participation (Second Amendment Annualized Acre-Foot) Preliminary	Percent
American Canyon, City of		
Antelope Valley-East Kern Water Agency		
Carter Mutual Water Company #		
Coachella Valley Water District		
Colusa County		
Colusa County Water District		
Cortina Water District		
Davis Water District		
Desert Water Agency		
Dunnigan Water District		
Glenn-Colusa Irrigation District		
LaGrande Water District		
Metropolitan Water District of S. CA		
Pacific Resources Mutual Water Company #		
Reclamation District 108		
San Bernardino Valley Municipal Water District		
San Gorgonio Pass Water Agency		
Santa Clara Valley Water District		
Santa Clarita Valley Water Agency		
Westside Water District		
Wheeler Ridge-Maricopa Water Storage District		
Zone 7 Water Agency		
Potential new participants		
Total:		

Participation Percentages exclude State of California and United States Bureau of Reclamation share of the Project.

# Denotes a non-public agency. Refer to California Corporations Code Section 14300 et. seq. with additional requirements provided in both the Public Utilities Code and Water Code.

Draft_0027246

EXHIBIT B

AMENDMENT 2 WORK PLAN

Draft_0027247

Sites

Topic: **Authority Board Agenda Item 2.3** **2020 May 27**

Subject: **Federal Legislative Priorities**

Requested Action:

Consider acceptance of the 2020-2021 Sites Project Federal Government Affairs Priorities.

Detailed Description/Background:

In response to the recommendation outlined in the Organizational Assessment to prepare an analysis and staff recommendation on federal funding pursuits, staff has been working with the Authority Ad Hoc Legislative & Outreach Committee and our Government Affairs Team to identify our near-term Federal government affairs/legislative priorities.

The focus of this effort was to ensure that our Federal Government Affairs/Legislative activities aligns with key project milestones and our project schedule and specifically focusing on near-term federal operational participation and federal funding. These priorities will be the Committee and Team focus through December 2021. Any adjustments would be brought back to the RC and AB before being incorporated and updates will be provided on significant progress.

Prior Action:

None.

Fiscal Impact/Funding Source:

Sufficient funds exist to support activities associated with these priorities within the Amendment 2 work plan.

Staff Contact:

Jerry Brown/Kevin Spesert

Attachments:

Attachment A: Federal Government Affairs Priorities Memo

TO: Ad Hoc Legislative & Outreach Committee

DATE: 13 May 2020

SUBJECT: 2020 Federal Government Affairs Priorities

Staff has been working to identify near-term (2020) Federal government affairs/legislative priorities in an effort to focus our activities to better align with key project milestones and our existing project schedule.

The following represents the staff's proposed Federal government affairs/legislative priorities.

OPERATIONS PARTICIPATION

- Final completion of Reclamation's Feasibility Report and DOI Secretary determination of feasibility.
  - Complete by **December 31, 2020** which is needed to be eligible for receiving WIIN Act funding and to support the state feasibility report as required by Proposition 1.
  - Reconcile the alternatives included in the feasibility report with the Authority's VP7 alternative.
  - Finalize level of federal participation/investment in the project.
- Pursue development of a CVP Coordinated Operations Plan.
  - Term sheet whereby the parties agree on the key provisions of an agreement by **July 2021.**
- Coordination with Reclamation on the development of the Authority's Water Rights Application.
  - Develop and submit Authority Water Rights Application by **June 2022**.
- Continuing partnership with Reclamation as the NEPA lead agency in developing the Environmental Impact Statement (EIS).
  - Completion of the Recirculated Draft EIS no later than **July 2021** in coordination with the Sites Authority EIR. Completion of the Record of Decision by **May 2022**.

FEDERAL FUNDING

WIFIA/RIFIA

- Begin groundwork for submittal of a WIFIA Loan application.
  - The Value Planning Report anticipated a $1.1 billion WIFIA loan. Application is planned for submittal in **June 2021.**
- Continue to track the development of the RIFIA program and pursue RIFIA funding if available.

WIIN Act

- Secure funding received from the WIIN Act in 2019. Needed to complete the Phase 2 work plan.
  - **$6 million secured** from the 2019/2020 Budget deal signed by the President in **Dec 2019.**
  - Authority is developing a Financial Assistance Agreement to access these funds– assume the Authority will receive **$3 million.**
- Pursue and secure FY2021 WIIN Act funding (current budget cycle) and begin groundwork for a FY2022 WIIN Act funding request.

DRAFT

- Coordination with Reclamation to identify funding needs in FY2021 and FY2022 to advance the project including "post-feasibility" funding.
- Begin groundwork for WIIN Act construction funding request (Pending Reclamation investment decision).

Federal Stimulus & Other funding

- Support development and secure funding from potential COVID19 related infrastructure stimulus package for priority items that align with early project work (e.g. roads, communications, etc.).
  - Anticipate infrastructure stimulus in Fall of 2020.
- Track and pursue opportunities for appropriations/grants/loans as appropriate
  - Roads/Bridges, Rural Broadband, flood control, infrastructure planning, operations &maintenance, etc.

Other items that do not require legislative action but are administrative in nature are listed below. We will need to keep our legislators informed on progress of these items so that they can step in when/if needed to assist in keeping them on the timeline required to support the project schedule.

Permitting - Supportive consultation with federal agencies in securing; USFWS Biological Opinion, NMFS Biological Opinion, National Historic Preservation Act Section 106 Programmatic Agreement.

Public Outreach - Engage with Reclamation Public Affairs staff for the rollout of the Feasibility Report. Work to ensure that messaging is consistent and any differences between the Authority's VP7 alternation and the alternatives included in the feasibility report are addressed. Coordinate with USBR Public Affairs on any public outreach activities associated with a recirculated EIS.

DRAFT

Sites

Topic: **Authority Board Agenda Item 2.4** **2020 May 27**

Subject: **Commendation for Jim Watson, General Manager**

Requested Action:

Consider approval of Resolution No. 2020-02 commending Mr. Jim Watson for his 5 years of dedicated service to the Sites Reservoir Project.

Detailed Description/Background:

With almost 5 years of exemplary service to the Sites Project Authority, Mr. Jim Watson will be leaving his position as General Manager, effective May 23, 2020.

Mr. Watson has made significant contributions to the Sites Project, including:

- Management of multi-million-dollar annual budgets to enable progress on the Project, and establishment of various procedures to manage the finances and operations of the Project.
- Assembly of a highly qualified team of Authority Agents and consultants to enable progress on the Project to a high standard of performance.
- Development of the 2017 Draft Environmental Impact Report for the Project;
- Coordination and cooperation in the development by the U.S. Bureau of Reclamation of its 2017 Draft Federal Feasibility Report.
- Initiation of focused geotechnical field investigations.
- Qualification of the Project for $816 million of funding from the State of California's Water Storage Investment Program (Proposition 1) via the California Water Commission, and execution of an Early Funding Agreement with the Commission.
- Establishment of eligibility for and receipt of about $10 million in funding from the federal WIIN Act via the Bureau of Reclamation.
- Completion of numerous studies necessary for furtherance of Project financial viability, physical construction, future operations, permitting, and environmental benefits.
- Inclusion of the Project in California's Water Resilience Portfolio.
- Establishment of the Sites Project Authority office in Maxwell, California.
- Secure a diverse and statewide group of project investors including the State of California in the amount of $816M of WSIP Prop 1 funding along with 21 participating member agencies.

It is appropriate to acknowledge Mr. Watson for his leadership at the local, regional, and state level, and his years of dedicated service to the Sites Project Authority and the participating agencies in the project.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Resolution 2020-02

RESOLUTION NO. 2020-02

A Joint RESOLUTION OF THE SITES PROJECT AUTHORITY Board of Directors and Reservoir Committee honoring JIM C. WATSON for his leadership and dedication during his years of service on the project

WHEREAS, Mr. Watson has dedicated almost five years of service to the Sites Project Authority and its participating member agencies while serving as the Authority's first General Manager; and

WHEREAS, Mr. Watson has been a collaborative General Manager with a strong focus on creative problem solving, technical details, innovative project concept development; and

WHEREAS, Mr. Watson cultivated a strong and dedicated "project comes first" culture in the organization with a commitment to individual professional growth and recognition; and

WHEREAS, Mr. Watson's leadership enabled the Sites Project Authority to competitively receive the largest Prop 1 WSIP award of $816 million toward statewide benefits in the project and execution of an Early Funding Agreement with the Commission; and

WHEREAS, Mr. Watson, through a spirit of partnership with the Bureau of Reclamation, helped to develop the Draft Federal Feasibility Report finding that the project has significant federal interest and is worthy of federal investment; and

WHEREAS, Mr. Watson's business acumen and experience, emphasis on timely planning and decision-making, practical approach to problem-solving, and assertiveness regarding the Project's interests in public forums have also benefitted the Project's development;

WHEREAS, Mr. Watson has branded the Sites Project as a vital component of California's water infrastructure with a diverse and statewide support and participation; and

WHEREAS, Mr. Watson successfully discharged his responsibilities with honesty, integrity, and fairness, and with a laser-focused practical approach for the overall benefit of the Project and its Members, all to improve future water supplies for water suppliers, residents and the environment of the State of California;

WHEREAS, the improvement of water supplies to farms, cities and the environment within the Sacramento Valley and the State of California is noble and praiseworthy work, and Mr. Watson has cared deeply about this work and has enabled it with loyalty, dedication, and excellence to the benefit of the Project and its beneficiaries;

WHEREAS, Mr. Watson's dedication to the Project has been beyond reproach;

NOW, THEREFORE BE IT RESOLVED that the Authority Board and Reservoir Committee of the Sites Reservoir Project expresses its gratitude and appreciation to Jim Watson for his dedicated service to the Sites Reservoir Project and its participating member agencies, and envisions continued success for him in his future endeavors.

****

The foregoing resolution was duly and regularly adopted at a meeting of the Reservoir Committee held on the 21th of May 2020 and was duly and regularly adopted at a meeting of the Authority Board held on May 27th of May 2020 both making up the governing body of Sites Reservoir Project.

AUTHORITY BOARD	**RESERVIOR COMMITTEE**
_____	_____
Fritz Durst – Sites Project Authority, Chair	Thad Bettner –Reservoir Committee , Chair

ATTESTED

_____

Yolanda Tirado – Sites Project Authority, Board Clerk

Sites

Topic: **Authority Board Agenda Item 3.1** **2020 May 27**

Subject: **Environmental Planning and Permitting Update**

Requested Action:

Review and comment on Recirculated EIR and key permits milestone schedule and key decision points.

Detailed Description/Background:

Since approval of the Phase 1B Work Plan and the Sites Value Planning Report, staff has been moving forward with executing the environmental planning and permitting components for the "rightsized" project. The attached milestone schedule describes the roadmap for completing the Recirculated EIR and obtaining key permits. Current activities and key upcoming decision points (shown in bold) are as follows:

- California Environmental Quality Act / National Environmental Policy Act (CEQA / NEPA) – The project team is currently developing an approach for completing the Recirculated Environmental Impact Report (EIR). This approach will outline key components of the work ahead, including assessing the extent of revisions to each section of the 2017 document. The project team is also working to **formulate a recommended approach to alternatives and baseline conditions that we anticipate reviewing with the Reservoir Committee and Board in June**. The team is proceeding to coordinate a joint EIR/EIS. No final decision has been made yet by Reclamation, but it is assumed that the EIS will be supplemented and recirculated with the EIR.
- Federal Endangered Species Act and California Endangered Species Act (Fish and Game Code Section 2081) – Similar to above, the project team is currently developing the approach for completing the Biological Assessment and Section 2081 Permit applications in coordination with the resource agencies and Reclamation. The environmental and operations teams continue to work closely on modeling components and refinement of operational criteria for project diversions from the Sacramento River. The **operating regimes for diversions and releases and a review of the storage policy will be reviewed with the Reservoir Committee and Board in July.**
- National Historic Preservation Act, Section 106 – This effort will be initiated in September.
- California Water Right Application (California Water Code) – The project team is currently working to **formulate a recommend approach to identify key components of the water right application for discussion with the Reservoir Committee and Board in September**. These key components

Draft_0027256

include Place of Use, Points of Diversion, Points of Rediversion, and Purpose of Use – all of which will form the basis of the application.

- Clean Water Act Section 404 and 401 – This effort will be initiated shortly.
- River and Harbors Act Section 408 – This effort will be initiated in late July as more design and engineering information becomes available.

All of the actions and activities identified above remain on the overall schedule (some priorities have shifted around, but the overall schedule remains the same). All actions and activities are also within budget. The attached figure provides an overview of the key environmental planning and permitting efforts underway along with the schedule for key milestones and deliverables.

Prior Action:

None.

Fiscal Impact/Funding Source:

Costs to undertake the current efforts were included in the Amendment 2 Work Plan which was approved by the Sites Project Authority at its April 22, 2020 Board meeting.

Staff Contact:

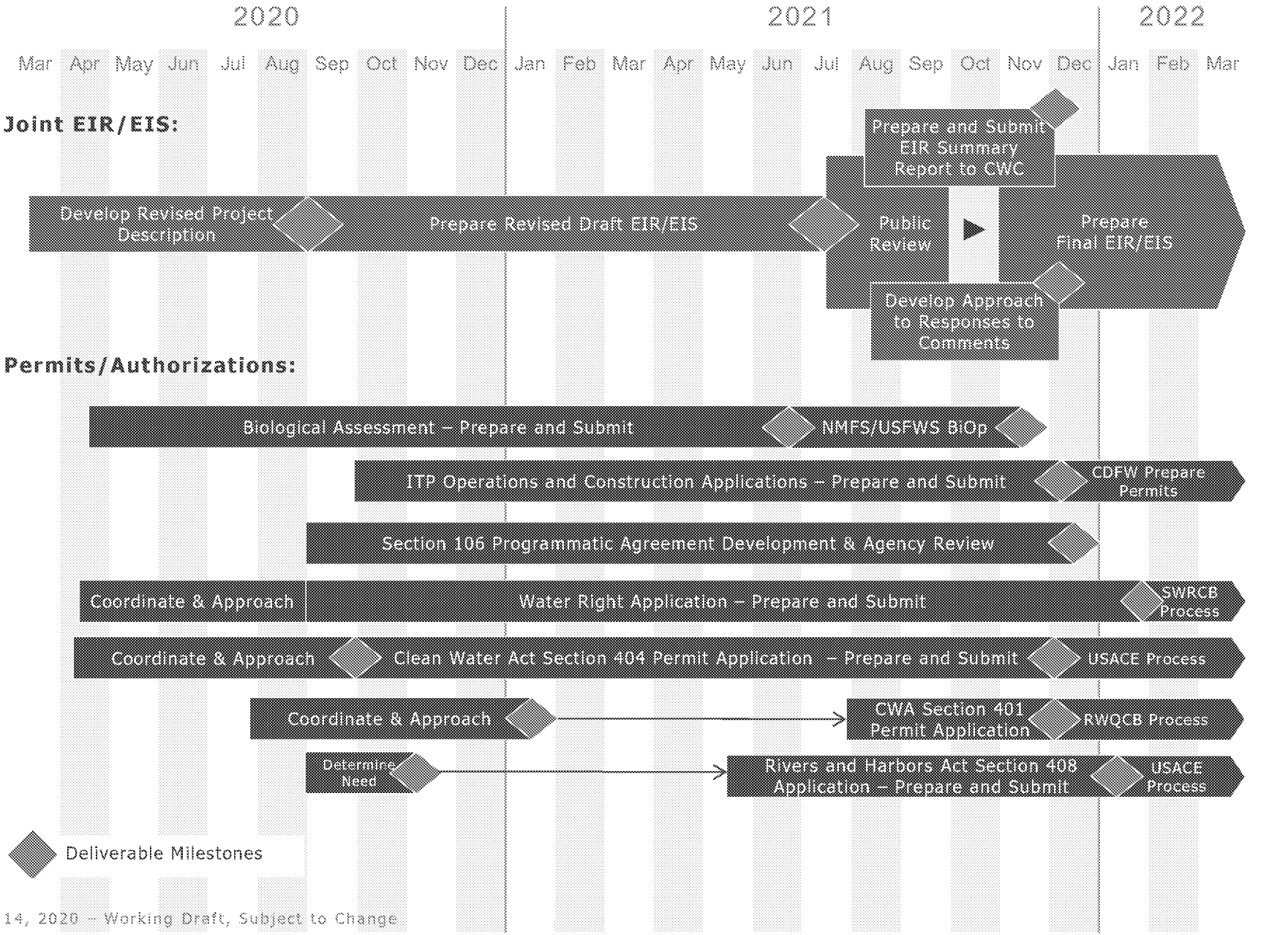
Ali Forsythe

Attachments:

Environmental Planning and Permitting Schedule – The schedule needs to be attached to this staff report.

Environmental Planning and Permitting Key Milestones

Sites



May 14, 2020 – Working Draft, Subject to Change

Sites

Topic: **Authority Board Agenda Item 3.2** **2020 May 27**

Subject: **Communications and Outreach Update**

Requested Action:

Review and Comment on Communications and Outreach Efforts to Communicate Rightsized Project.

Detailed Description/Background:

Since the approval of the Sites Value Planning Report last month and the introduction of the proposed "right-sized" project, staff and our Communications and Government Affairs teams have been actively engaging in an extensive communications and outreach effort.

These efforts include the following activities.

- Distribution of a press release highlighting the "right-sized" project to our statewide media list, distribution of an e-blast newsletter, distribution of Editorials to regional media outlets, and distribution of content on the project's social media platforms.
- Federal & State elected official/staff briefings on the project's value planning process, future funding needs and agency coordination needs.
- Project update briefings with NGOs and public interest organizations to introduce the project's rightsizing and solicit NGO feedback on the environmental review process and the path forward.
- Landowner coordination activities and briefings with local elected officials introducing the "right-sized" project.

Over the next several weeks, staff will continue to engage elected officials, landowners, project stakeholders and to develop and distribute additional media content in support of the rollout of the new proposed project. Considering the circumstances with COVID, a webinar is being produced that will be made available on the website by the end of June that reviews all aspects of the "right sized" project.

Additionally, staff is working on updating and distributing a revised version of the Message Platform based on comments received from the Reservoir Committee and Authority Board in addition to the feedback that we have received from during our outreach efforts to date.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown/Kevin Spesert

Attachments:

None.

Sites

Topic: **Authority Board Agenda Item 3.3** **2020 May 27**

Subject: **Secure Proposition 1 Water Storage Investment Program**

Requested Action:

Review and Comment on Efforts to Secure Proposition 1 Water Storage Investment Program implementation modifications in light of the continuing effects of the COVID-19 pandemic on local government and water agency finances.

Detailed Description/Background:

The Phase 2 work plan was unanimously approved last month by the Reservoir Committee and the Authority Board showing unwavering commitment to completing this Project in an expeditious manner. However, the uncertainty and longer-term financial impacts of the COVID-19 pandemic may negatively impact the participating members ability to provide some or all the necessary financial support to advance the project in the near term. A key upcoming statutory deadline to receive the Proposition 1 funding is to achieve three specific milestones (see attached) including demonstrating at least 75% local cost share commitment by January 1, 2022. While it is hoped that COVID's effects will have subsided by then, there are funding requirements between now and then that may be impacted.

As a funding partner in the Sites project, the State is in a unique position to provide emergency assistance that could defray local cashflow during the effects of the pandemic and keep the project on schedule. A group of 6 of the 8 storage projects that were awarded Proposition 1 WSIP funds, including Sites, requested that the California Water Commission direct staff to provide administrative and regulatory modifications within the next 60 days that could mitigate the impacts of COVID-19. The letter included suggested actions that could be evaluated on a project by project basis due to the variance in risk factors. Any of the suggestions could benefit the Sites Project.

The CWC will meet on May 20, 2020 and is anticipated to consider the request. Staff will provide a report at the meeting on the Commission's actions.

Fiscal Impact/Funding Source:

It is expected any actions by the Commission on our request would result in cashflow adjustments, not new outside funding to the Project. However, advanced cashflow by the State could keep the project on schedule if local funding becomes unavailable because of more serious economic conditions, which are a reasonable possibility in some cases. To date, State early funding has been provided to the project in the amount of $6.1 million and $897,000 is being held in retainage by the State.

History:

In November 2016 the California Code of Regulations was modified by the California Water Commission to incorporate the conditions under which projects awarded funding under the Proposition 1 Water Storage Investment Program would be considered and receive funds. None of the conditions took into consideration the current COVID-19 natural disaster being experienced across the country.

In July 2018 eight storage projects were awarded funds under the WSIP, including the Sites Reservoir Project that received a maximum conditional eligibility determination (MCED) of $816,377,686 and was provided early funding in the amount of $40,818,884 which was the maximum allowed 5% of the MCED.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Proposition 1 Language

Attachment B: Proposition 1 Six Agency Letter sent to the CWC on May 11, 2020

Sites

Requirements That Must Be Met for Eligibility of Final Funding

79755. (a) Except as provided in subdivision (c), no funds allocated pursuant to this chapter may be allocated for a project before December 15, 2016, and until the commission approves the project based on the commission's determination that all of the following have occurred:

(1) The commission has adopted the regulations specified in Section 79754 and specifically quantified and made public the cost of the public benefits associated with the project.

(2) The project applicant has entered into a contract with each party that will derive benefits, other than public benefits, as defined in Section 79753, from the project that ensures the party will pay its share of the total costs of the project. The benefits available to a party shall be consistent with that party's share of total project costs.

(3) The project applicant has entered into a contract with each public agency identified in Section 79754 that administers the public benefits, after that agency makes a finding that the public benefits of the project for which that agency is responsible meet all the requirements of this chapter, to ensure that the public contribution of funds pursuant to this chapter achieves the public benefits identified for the project.

(4) The commission has held a public hearing for the purposes of providing an opportunity for the public to review and comment on the information required to be prepared pursuant to this subdivision.

(5) All of the following additional conditions are met:

(A) Feasibility studies have been completed.

(B) The commission has found and determined that the project is feasible, is consistent with all applicable laws and regulations and will advance the long-term objectives of restoring ecological health and improving water management for beneficial uses of the Delta.

(C) All environmental documentation associated with the project has been completed, and all other federal, state, and local approvals, certifications, and agreements required to be completed have been obtained.

(b) The commission shall submit to the Legislature its findings for each of the criteria identified in subdivision (a) for a project funded pursuant to this chapter.

(c) Notwithstanding subdivision (a), funds may be made available under this chapter for the completion of environmental documentation and permitting of a project.

Requirements That Must Be Met Before January 1, 2022

79757. (a) A project is not eligible for funding under this chapter unless, by January 1, 2022, all of the following conditions are met:

(1) All feasibility studies are complete and draft environmental documentation is available for public review.

(2) The commission makes a finding that the project is feasible and will advance the long-term objectives of restoring ecological health and improving water management for beneficial uses of the Delta.

(3) The director receives commitments for not less than 75 percent of the nonpublic benefit cost share of the project.

(b) If compliance with subdivision (a) is delayed by litigation or failure to promulgate regulations, the date in subdivision (a) shall be extended by the commission for a time period that is equal to the time period of the delay, and funding under this chapter that has been dedicated to the project shall be encumbered until the time at which the litigation is completed or the regulations have been promulgated.

May 11, 2020

Armando Quintero, Chairman
California Water Commission
PO Box 942836
Sacramento, CA 94236

Dear Chairman Quintero,

We represent six of the eight projects that were conditionally awarded funds for water storage projects under the Proposition 1 Water Storage Investment Program (WSIP). We are writing to request that you consider making emergency and temporary modifications on a project by project basis in your implementation of the WSIP funding due to the COVID natural disaster. In particular, we are concerned about the longer-term economic and jobs related impacts this continuing disaster will have on our ability to implement these critical water storage projects that will be co-funded through the WSIP.

Across our State, residents are struggling to meet their financial obligations including paying their water bills. Business closures have resulted in lost revenue to water and wastewater agencies. These are some of the direct impacts of the disaster today. More concerning and uncertain are the longer-term impacts which are sure to negatively affect public agency finances. All of us are in strong financial positions today, but none of us could have ever expected or planned for what we are facing now and in our future because of the COVID pandemic. We are all foreseeing financial pressures on our local investments in capital projects from COVID, including potentially delaying these WSIP storage projects.

We are not wavering in our commitment to implement these critical projects of statewide significance. However, we are concerned that the uncertain financial times ahead could cause delays to these projects that you have previously determined create an important statewide benefit. Delays hurt all investors, especially the State, because the State's investment dollars are not inflation protected. We do not want to see any delays, which is why we are bringing this issue and potential solutions to your attention while there is time for measured steps that help to avoid more serious outcomes.

The State, as a project investor, is in the unique position to provide emergency assistance while the local investors sort out the unforeseen consequences of COVID. The State has the financial wherewithal and legal latitude within Proposition 1 to look beyond the current situation and ensure our storage projects move forward on the timeline needed for the State's long-term water security and for job creation.

We request the California Water Commission direct staff to evaluate administrative and regulatory modifications which are appropriate to mitigate COVID impacts and submit

corresponding recommendations to the Commission for its consideration within 60 days. To assist in this effort, we offer the following considerations as potential areas for modification depending on individual project circumstances:

- Modification of Early funding conditions – Currently, $78.6 million of the $135 million has been encumbered to applicants that requested early funding. New or additional funds could be released. The 5% limit on early funding could be increased, (per Water Code Section 79704, up to 10% of allocated funds may be expended for planning and monitoring). Also, the Commission could consider allowing the cost share of prior costs to extend back to November 4, 2014, instead of the current August 14, 2017 date. Scope revisions on existing early funding agreements could be implemented on all projects. Projects that did not request early funding could be given the opportunity to receive such funding under revised program conditions established by the Commission. The program cap of $135 million toward early funding could be increased.
- Increased release frequency or waiver of retention on early funding - Since funds are provided on a reimbursement basis, this would better match actual expenditures rather than local dollars filling the many months gap between paying service providers and receiving the state funds.
- Consider using flexibility within the early funding agreements - For example, instead of maintaining 50/50 cost-share on a monthly basis, a longer time horizon would allow local dollars to be defrayed in the near term. A 70/30 cost share could be temporarily allowed for 18-24 months and the remaining time could be taken to achieve the required overall 50/50 share split.
- Incorporate COVID related impacts into pre-established deadlines - such impacts were not expected when dates were determined. Day for day extensions are typical contract remedies for Force-Majeure conditions. The Governor has significant powers under the emergency declaration which should be further explored. As an alternative to deadline changes, consider interpreting the requirements for feasibility and local cost-share commitments in a way that accommodates COVID impacts.

Thank you for your consideration of this unfortunate request. Our shared interest is in getting these projects built and we remain committed to achieving this goal, despite the COVID natural disaster.

Sincerely,

Jerry Brown, Executive Director
Sites Project Authority

Mark Beuhler,
Willow Springs Water Bank

Aaron Fukuda, General Manager
Temperance Flat Reservoir Authority

Shivaji Deshmukh, General Manager
Inland Empire Utilities Agency

Paul Cook, General Manager
Irvine Ranch Water District

Eric Averett, General Manager
Rosedale-Rio Bravo Water Storage District

Norma Camacho, Chief Executive Officer
Valley Water

Cc: Carol Baker, California Water Commission
Teresa Alvarado, California Water Commission
Andrew Ball, California Water Commission
Daniel Curtin, California Water Commission
Matthew Swanson, California Water Commission
Joe Yun, Executive Officer, California Water Commission
Amy Young, WSIP Project Manager, California Water Commission

Sites

Topic: **Authority Board Agenda Item 3.4** **2020 May 27**

Subject: **Reservoir Committee Workgroup and Authority Board Committee Structure**

Requested Action:

Review and Comment on the Reservoir Committee Workgroup and Authority Board Committee Structure and Assignments.

Detailed Description/Background:

According to Article 9 of the Authority's September 2017, seconded amended and restated bylaws of the Sites Project Authority it is within the duties of the Chair to establish standing committees of the Board as necessary to ensure successful completion of the Authority's mission. In light of the recent approval of April 2020 Value Planning Report and Phase 2 work plan, it is appropriate to reconsider the existing Authority Board Committee structure. After consulting the Chair, the following change is being made:

- The Budget and Finance Committee of the Board is merged effective immediately with the Budget and Finance Workgroup of the Reservoir Committee. Any Board members wishing to participate in budget and finance matters are welcome to attend these meetings as long as a quorum of the Board is not reached. The Chair of the Reservoir Committee and the Chair of the Budget and Finance Workgroup has concurred with this change.

No other changes are proposed at this time for the Authority Board Committee structure. The attached list describes the active committees of the Board and the current roster. No changes are proposed to charter documents of these committees.

The Reservoir Committee adopted updates to its workgroup structure at the March 19, 2020 meeting and the list of active workgroups and the current rosters are attached for information.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Current Reservoir Workgroup Assignments

Attachment B: Current Authority Board Committee Assignments

Reservoir Committee Workgroup Assignments for 2020

	Budget & Finance	Res. Ops & Engineering	Environmental & Permitting	Coordination
1	Jamie Traynham **Chair**, Davis WD	Mike Azevedo Vice Chair, Colusa	Thad Bettner **Chair**, GCID	Thad Bettner Res Comm **Chair**
2	Thad Bettner GCID	Thad Bettner GCID	Mike Azevedo Colusa Co	Jeff Davis Res Comm Vice Chair
3	Rob Kunde WRMWSD	Rob Kunde **Chair**, WRMWSD	Rob Kunde WRMWSD	Jamie Traynham Budget & Finance Chair
4	Katrina Jessop SCVWD	Eric Leitterman SCVWD	Eric Leitterman SCVWD	Robert Cheng Budget & Finance Vice Chair
5	Robert Cheng Vice Chair, CVWD	Robert Cheng CVWD	Robert Cheng CVWD	Rob Kunde Res Ops & Eng. Chair
6	Bill Vanderwaal Dunnigan	Bill Vanderwaal Dunnigan	Bill Vanderwaal Dunnigan	Mike Azevedo Res Ops & Eng. Vice Chair
7	Dan Ruiz Westside WD	Dan Ruiz Westside WD	Jeff Davis SGPWA	Thad Bettner Env & Permit Chair
8	Shelly Murphy Colusa Co WD	Bob Tincher SBVMWD	Heather Dyer Vice Chair, SBVMWD	Heather Dyer Env & Permit Vice Chair
9		Dirk Marks **(Alt)** SCVWA		
10		Rick Kaufman **(Alt)** American Canyon		
11		Amparo Flores Zone 7		
12		Jeff Sutton **(Alt)** TC-4 Districts		
13		Randall Neudeck MWDSC		
		Rob Cooke (by invitation) DWR (non-voting)		
		TBD (by invitation) USBR (non-voting)		
Staff	Joe Trapasso	Jerry Brown	Ali Forsythe	Jerry Brown

Sites

Authority Board Committee Assignments for 2020

	Coordination	Legislative & Outreach	Land Management
1	Fritz Durst, **Chair** RD 108	Jeff Sutton, **Chair** Tehama-Colusa CA	Gary Evans, **Chair** Colusa County
2	Jeff Sutton Tehama-Colusa CA	Fritz Durst RD 108	Jeff Sutton Tehama-Colusa CA
3	Logan Dennis Glenn-Colusa IR	Logan Dennis Glenn-Colusa IR	Logan Dennis Glenn-Colusa IR
4	Gary Evans Colusa County	Gary Evans Colusa County	Leigh McDaniel Glenn County
	Jamie Traynham Associate Member, TC4		
Staff	Jerry Brown	Kevin Spesert	Kevin Spesert

Topic: **Sites Reservoir Project, Phase 2**

Subject: **Monthly Status Report** Report Period: **2020 April**

Monthly Status Summary:

The development of the Sites Project continues to make progress in the critical areas associated with the value planning, work plan, and message platform including the following activities:

WSIP Funding:

- Submitted CWC Quarterly Report 7 for the work period January 1 through March 31, 2020.

WIIN Act Funding:

- Submitted draft statement of work for Financial Assistance Agreement to receive WIIN Act Funds from Reclamation. The project was awarded $6 million in WIIN Act Funds, some of which Reclamation will maintain to advance the project with their staff.

USDA Funding:

- No update

Engineering:

- Finalized the Value Planning Alternatives Appraisal Report identifying a recommended project and two options that would provide flexibility to adjust key features to respond to future conditions.
- Onboarded two new engineering teams: Contract HC – Conveyance Systems (CH2M) and Contract HR – Reservoirs (AECOM).
- Reviewed existing data, including geotechnical exploration information, to begin to determine next steps for Feasibility Study and support of Project Description.

Coordination with Reclamation:

- Met with Reclamation environmental staff to address the outcome of the Value Planning process and Authority's decision to recirculate the Draft EIR. Also discussed the NEPA path forward including the need to define the federal action and assumption of a continued joint EIR/EIS process.
- Continued coordination on Reclamation's work to complete the Final Federal Feasibility Report. Awaiting report chapters for review by Staff.

Environmental Planning and Permitting:

- Completed support to the Value Planning efforts.
- Continued efforts on the EIR/EIS Work Plan and approach for key permits, including efforts to formulate a range of alternatives for the EIR/EIS based on the Draft Value Planning Report and identify the appropriate baseline for on-

going and future analyses.

- Continued development of the project description for use in the recirculated EIR and state and federal ESA permits.
- Began efforts to determine key considerations and approach for the project's water right application.

Operations:

- Continued refinement of analysis tools for daily operations, bypass criteria, floodplain inundation, Shasta within year exchanges and other operational effects.
- Continued discussions on model baseline to support the project description development.
- Continued evaluation of potential for Shasta Exchanges based on updated information contained in ROC on LTO.
- Drafted Work Plan for development of priority operations criteria and parameters.

Stakeholder Engagement, Public Outreach & Real Estate:

- Engaged in outreach efforts with landowners, local community members, state and federal elected officials, government agencies and coalitions of regional and statewide organizations to introduce the Value Planning Report and the new "rightsized" project.
- Responded to landowner requests for project information, facilitated coordination activities with local government agencies and organizations and planned for future landowner, stakeholders and general public outreach activities and events.

Program Management & Administration:

- Developed and presented the Amendment 2 Work Plan covering work between Sep 1, 2020 and Dec 31, 2021. The work plan was approved by the Reservoir Committee and Authority Board.
- Developed a two-step cash call process in light of COVID-19 concerns. Rolled out a soft call survey to Reservoir Committee members to determine planned participation levels by agency.
- Developed the Second Amendment to 2019 Reservoir Project Agreement.

Draft_0027275

Federal Legislative/Government Affairs Priorities

Sites Authority Board
May 27, 2020

Sites

Federal Legislative/Government Affairs Priorities

Staff has been working with the Authority Ad Hoc Legislative & Outreach Committee to identify near-term (2020) Federal legislative and government affairs priorities

- Ensure that legislative/government affairs activities better align with key project milestones, overall project schedule and available resources
- Responsive to the recommendations outlined in the Organizational Assessment to prepare an analysis and staff recommendation on federal funding pursuits

Effort focused on identifying near-team priorities associated with Federal Operational Participation and Federal Funding

Any adjustment to the priorities would be brought back to the Reservoir Committee and Authority Board before being incorporated and updates will be project on significant progress

A similar effort is planned to identify our State Legislative/Government Affairs priorities

Sites

Federal Legislative/Government Affairs Priorities

Federal Operational Participation Priorities

- Final completion of Reclamation's Feasibility Report and Secretarial determination of feasibility by December 31, 2020
  - Reconcile the alternative included in the Feasibility Report with the Authority's VP7 alternative
  - Finalize level of federal participation/investment in the project
- Pursue development of a CVP Coordination Operations Plan – with term sheet where parties agree on the key provisions by July 2021
- Coordination with Reclamation on the development of the Authority's Water Rights Application – submittal of the Authority water rights application by June 2022
- Continuing partnership with Reclamation as the NEPA lead agency in developing the Environmental Impact Statement (EIS)
  - Completion of recirculated Draft EIS no later that July 2021 in coordination with the Authority's EIR
  - Completion of the Record of Decision by May 2022

Sites

Federal Legislative/Government Affairs Priorities

Federal Funding

- WIFA
  - Begin groundwork for the submittal of a $1.1 billion WIFIA loan application by June 2021
  - Continue to track the development of the RIFIA program and the Army Corp of Engineers WIFIA program and pursue funding if available

- WIIN ACT
  - Secure the $6 million appropriated to the project in the 2019 budget deal and finalize the Federal Financial Assistance Agreement to access the funds
  - Pursue and secure FY2021 WIIN Act funding (current budget cycle) and begin groundwork for a FY2022 WIIN Act Request for preconstruction activities
  - Begin groundwork for a WINN Act construction funding request – pending Reclamation's investment decision

- Federal Stimulus & Other Funding
  - Support development and secure funding from potential COVID19 related infrastructure stimulus package that align with early project work
  - Track and pursue opportunities for appropriations/grants for related project facilities – roads/bridges, flood control, rural broadband, infrastructure planning, etc.

Sites

Federal Legislative/Government Affairs Priorities

Other Administrative Priorities

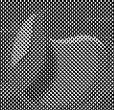
- Permitting
  - Support consultation with federal agencies in securing federal permits – UFFWS Biological Opinion, NMFS Biological Opinion, National Historic Preservation Act Section 106 Programmatic Agreement

- Public Outreach & Communications
  - Support Reclamation in the public affairs staff with the roll-out of the Feasibility Report
  - Coordinate and support Reclamation on any public outreach/involvement activities associated with a recirculated EIS
  - Coordinate and support Reclamation with outreach and engagement with tribes and other stakeholder groups

- Real Estate
  - Coordination with appropriate federal agencies to secure access to federal lands for the purposes of conducting environmental, engineering, and geotechnical field studies identified in the work plan

Sites

Questions



Sites

Communications & Government Affairs Update

Authority Board
May 27, 2020

Sites

Communications & Government Affairs Update

With the introduction of the new "right-sized" project alternative, staff and the Communications and Government Affairs team have been actively engaging in an extensive communications and outreach effort focused on;

- Introducing the project's new organization and direction
- Introducing the new "right-sized" project and its statewide benefits
- Discussing the proposed revision on the project's environmental review

Communications and outreach efforts included;

- Briefings with Federal & State elected officials
- Briefings with NGO's and public interest organizations
- Landowner coordination activities and briefings
- Media and development of project materials

Sites

Communications & Government Affairs Update

Briefings with Federal & State elected officials

- Provided briefings for federal electeds (Feinstein, Garamendi, LaMalfa, Huffman) with additional briefings for other electeds being planned
- Provided briefings for state electeds (Nielsen, Sen. Dahle, Gallagher, Assembly member Dahle) with additional briefings for other electeds being planned
- Conducted a "virtual" Capitol Hill Legislative Day and provided briefings for key Senate and House Committee staff. A second round of "virtual" meetings are being planned

Briefings with NGO's and public interest organizations

- NGOs briefed as part of this effort included; NRDC, Nature Conservancy, California Waterfowl, Ducks Unlimited,, Environmental Defense Fund, Friends of the River, California Sports Fishing Alliance, Planning & Conservation League, Defenders of Wildlife, Grasslands Water District, Audubon, Point Blue
- Meetings are being scheduled for early June with additional NGO's

Sites

Communications & Government Affairs Update

Landowner/local community coordination activities and briefings

- Began outreach to landowners and the local community to introduce the new project - including the development of a Landowner Newsletter to be published in late May/early June
- Began outreach to landowners along the proposed Dunnigan Pipeline/CBD and provided a briefing to the Yolo County Supervisor who represents the area to discuss potential land use issues

Media and project materials updates

- Distribution of a press release highlighting the right-sized project, distribution of an e-blast newsletter, preparation of newspaper Op-eds for publication, and distribution of content on the project's social media platforms.
- Distribution of the 2019 Annual Report and Executive Prospectus
- Updating of project informational materials including the general project fact sheet, FAQ , and website information
- Development of new materials as needed - including a VP7 fact sheet

Sites

Communications & Government Affairs Update

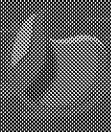
Update/Revisions to the Message Platform

- RC and AB input from the April meetings –expanding out the messaging on the project's environmental benefits and climate change resiliency
- Common themes coming out of NGO meetings
  - Environmental Water management – how do we accomplish flexible operations?
  - Dealing with Uncertainty of Delta operations
  - Need for greater specificity and understanding of proposed operations
  - Concerns with diversion criteria and temperature effects of releases
- Questions about WSIP funding being commensurate with "rightsized" benefits

The Message Platform is being revised to reflect input received and will be considered by the RC and AB at the June meetings.

Sites

Questions



Sites

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JERRY BROWN, EXECUTIVE DIRECTOR
925.260.7417

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members *(NON-VOTING)*

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

Notice: Pursuant to Executive Orders N-25-20 and N-33-20, issued by Governor Newsom on March 12, 2020, and guidance by the California Department of Public Health dated March 11, 2020, this meeting will be conducted by teleconference. The public may attend the meeting and offer public comments by phone, using the call-in number above. Members of the Committee will participate by teleconference from other locations. In addition, the public and members of the Committee may, at their discretion, attend the meeting at the Sites Project Authority's Maxwell Office located at 122 Old Highway 99 West, Maxwell, CA 95955. However, the room will be arranged to achieve physical distancing standards, and capacity at this site is therefore limited and will not be sufficient to accommodate more than a few members of the public.

June 24, 2020 ***1:30 p.m.***
Sites Project Authority
Agenda

Teleconference: **1-408-418-9388** Code: **146 657 3639** WebEx Link

Welcome to a meeting of the Sites Joint Powers Authority. If you are scheduled to address the Board, please state your full name for the record. Regularly numbered items may be considered at any time during the meeting. All items are listed in accordance with the Ralph M. Brown Act. We invite all members of the public to attend.

ROLL CALL & CALL TO ORDER:

- Introductions.
- Pledge of Allegiance.
- Approve the June 24, 2020 Sites Project Authority Agenda.
- Period of Public Comment.

1. Consent Agenda: Approximate start time 1:40 pm

The following items have been reviewed by the Executive Director. To his knowledge, there is no opposition to the action. The items can be acted on in one consolidated motion as recommended or may be removed from the Consent Calendar and separately considered at the request of any person.

1.1 Consider approval of the May 21, 2020 Phase 2 Reservoir Committee Meeting Minutes.

1.2 Consider acceptance of the Sites Project Authority Treasurer's Report as presented in Attachment 1.2A. **(Attachment A)**

1.3 Consider approval of the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B. **(Attachments A, B & C)**

2. Action Items: Approximate start time 1:45 pm

2.1 Consider acceptance of the 2020-2021 Sites Project State Agency Legislative Activity Priorities. **(Attachment A)**

2.2 Consider approval of the Authority's negotiation approach for permits and operating agreements. **(Attachment A)**

3. Discussion and Information Items: Approximate start time 2:15 pm

3.1 Receive status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation. **(Attachment A, B & C)**

3.2 Receive status update on draft 2020 Final Federal Feasibility Report.

3.3 Review and Comment on the objectives and alternatives for the Revised Environmental Impact Report/Environmental Impact Statement to focus efforts in developing a more complete project description on schedule. **(Attachment A & B)**

3.4 Review and comment on the approach for discussions with the California Department of Water Resources on a Sites Coordinated Operations Agreement. **(Attachment A)**

3.5 Receive status update on the Colusa Basin Drain evaluation and Dunnigan Pipeline alignment review.

3.6 Receive status update on the approach for Regulatory Agency Technical Working Group. **(Attachment A)**

4. Reports: Approximate start time 3:15 pm

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

4.1.2 Workgroup Chairpersons' Report:

This time is set aside to allow the Workgroup Chairpersons' an opportunity to disclose/discuss items related to the Sites Project.

4.1.3 Reservoir Committee Participant Reports:

This time is set aside to allow Representatives or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

4.2 Executive Director's Reports:

- Monthly status report. **(Attachment A)**
- Message Platform Update.

5. Future Meetings and Schedules: Approximate start time 3:15 pm

5.1 Suggested Future Agenda Items.

5.2 Upcoming meetings:

RESERVOIR COMMITTEE

THURSDAY, JULY 16, 2020 1:00 PM
Sites Project Office, 122 Old Highway 99W Maxwell, CA 95955
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

AUTHORITY BOARD

WEDNESDAY, JULY 22, 2020 1:30PM
Sites Project Office, 122 Old Highway 99W Maxwell, CA 95955
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

ADJOURN

PERIOD OF PUBLIC COMMENT: Any person may speak about any subject of concern, provided it is within the jurisdiction of the Directors and is not already on today's agenda. The total amount of time allotted for receiving such public communication shall be limited to a total of 15 minutes per issue and each individual or group will be limited to no more than 5 minutes each within the 15 minutes allocated per issue. ***Note:*** *No action shall be taken on comments made under this comment period.*

ADA COMPLIANCE: Upon request, agendas will be made available in alternative formats to accommodate persons with disabilities. In addition, any person with a disability who requires a modification or accommodation to participate or attend this meeting may request necessary accommodation. Please make your request to the Board Clerk, specifying your disability, the format in which you would like to receive this Agenda and any other accommodation required no later than 24 hours prior to the start of the meeting.

All supporting documentation is available for public inspection and review in the Sites Project Authority office located at 122 Old Highway 99 West Maxwell, CA 95955 during regular business hours 8:30 a.m. to 5:00 p.m., Monday through Friday.

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JERRY BROWN, EXECUTIVE DIRECTOR
925.260.7417

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members ***(NON-VOTING)***

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

May 27, 2020 ***1:30 p.m.***
Sites Project Authority
Minutes

CALL TO ORDER:

Chairman Durst called the meeting of the Sites Project Authority Board of Directors to order at the hour of 1:30 p.m., followed by the Pledge of Allegiance.

INTRODUCTIONS:

Chairman Durst asked those present to introduce themselves. (See Authority Board Meeting Attendance, **Attachment A**).

AGENDA APPROVAL:

It was moved by Director Sutton, seconded by Director Dennis to approve the May 27, 2020 Sites Project Authority Agenda. Motion carried: All Directors present voted yes.

PERIOD OF PUBLIC COMMENT:

Chairman Durst called for public comment. Hearing none, he closed the period of public comment.

CONSENT AGENDA: It was moved by Director Harris, seconded by Director Houdesheldt to approve Consent Agenda Item Numbers 1.1 through 1.5 as follows:

1.1 The April 22, 2020 Sites Project Authority Meeting Minutes, as submitted.

1.2 Accept the Sites Project Authority Treasurer's Report, as submitted. **(Attachment 1.2 A)**

1.3 Approve the monthly Payment of Claims, as submitted. **(Attachment 1.3 A, B, C)**

1.4 Approve a consulting contract with The Catalyst Group for facilitation services in an amount not to exceed $50,000 and approve a time extension through December 31, 2020 for the existing contract with Darling H2O and authorize the Chair to sign.

1.5 Adopt Resolution No. 2020-01, providing for an application to the USBR for a Financial Assistance Agreement for WIIN Act funds and authorize the Chair to sign. **(Attachment 1.5A)**

Motion carried as follows:

AYES: Directors Durst, Sutton, Evans, Dennis, Houdesheldt and Harris.

NOES: None.

ABSENT: Directors McDaniel, Parker and Marsh.

2. Action Items:

2.1 Chairman Durst made time to consider the following:

Consider acceptance of the attached Exhibit B (2020 and 2021 budget) to the Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the results of the "soft call". Consider approving one of the following actions:

A. Approve moving to the "hard call" and the use of the attached participation table to create a draft Exhibit A to be included with Amendment 2.

B. Direct staff to begin outreach to potential participants based on the priority system defined in participation agreements and the credit reimbursement policy to explore interest in Sites capacity, if available. **(Attachment 2.1 A & B)**

Mr. Brown provided a brief update on the two options available to the Authority Board. He stated the Reservoir Committee voted to proceed with Option B.

Mr. Robinette provided an update of the "Two-Step Cash Call Process" and spoke to the following:

- Soft Call Results as of May 20, 2020:
  - 19 out of 21 agencies responded, representing 191,942 AF of 192,892 AF current participation (99.5%).
  - Appears full subscription can still be achieved, but there is some reduction in participation levels and geographic balance would need to be addressed.
- Existing participants identified interest in 5,000 AF of additional capacity, if available.

- Prior participants expressed interest in 10,000 AF of capacity, if available.
- Latest planned home-board date is in October, with majority in June or July.

- New Participant Outreach Priority:
  1. Goal is total Sacramento Valley participation of 25%. Priority would be given to current, prior and new Sacramento Valley participants in that order.
  2. Existing Reservoir Committee participating members (with sub-priorities by seniority).
  3. Prior Reservoir Committee participating members (with sub-priorities by exit date).
  4. Nonparticipants. Priority for new participants is to Sacramento Valley and others.
- Prior and Future Commitments.
- Geographic Balance:
  - Current Participation Levels (Sac Valley 27%, Non-Sac Valley 73%).
  - Amendment 2 Projected participation - Participation Staff Recommended + Additional Interest (Sac Valley 20%, Non-Sac Valley 80%).
- Schedule:
  - September 10, 2020: Signed Amendment 2 due.
  - November 1, 2020: Invoice 1, $60/AF due.
  - April 1,2021: Invoice 2, up to $40/AF due.
  - Financial incentives for pre-payment of the full $100/AF on Nov 1 are not planned based on administration cost.
  - Non-financial pre-payment incentives related to maintaining project schedule while accommodating delay payment from other agencies.

Mr. Robinette provided a brief update on the credit reimbursement policy and process of getting back into the project by new, returning and increasing participants. Brief discussion followed. Following discussion, Mr. Robinette requested direction from the Committee Members as to the following 2 options:

a. Approve moving to the "hard call" and the use of the attached participation table to create a draft Exhibit A to be included with Amendment 2.
b. Direct staff to begin outreach to potential participants based on the priority system defined in participation agreements and the credit reimbursement policy to explore interest in Sites capacity, if available. **(Attachment 2.1 A & B)**

Action: It was moved by Director Sutton, seconded by Director Evans to approve Option B, directing staff to begin outreach to potential participants based on the priority system defined in participation agreements and the credit reimbursement policy to explore interest in Sites capacity, if available. Motion carried: All Directors present voted yes.

2.2 Consider approval of the draft Second Amendment to 2019 Reservoir Project Agreement. **(Attachments 2.2 A &B)**

Mr. Brown stated the amendment to the contract is being brought back to the Committee to include the ability to adjust the participation levels and re-balancing.

Mr. Trapasso provided a brief overview of the Second Amendment to the 2019 Reservoir Project Agreement, stating it was reviewed by the Budget and Finance Workgroup and Authority Bond Counsel, Stradling Yocca Carlson & Rauth. Brief discussion followed.

Action: It was moved by Director Dennis, seconded by Director Evans to approve the Second Amendment to the Reservoir Project Agreement By and Among Sites Project Authority and The Project Agreement Members Listed Herein and authorize the Chairman to sign. Motion carried: All Directors present voted yes.

2.3 Consider acceptance of the 2020-2021 Sites Project Federal Government Affairs Priorities. **(Attachment 2.3A)**

Mr. Spesert stated staff has been working with the Authority Ad Hoc Legislative and Outreach Committee and Government Affairs Team to identify near-term Federal government affairs/legislative priorities. He stated the following represents the staff's proposed Federal government affairs/legislative priorities:

Operations Participation:

- Final completion of Reclamation's Feasibility Report and DOI Secretary determination of feasibility.
- Pursue development of a CVP Coordination Operations Plan.
- Coordination with Reclamation on the development of the Authority's Water Rights Application.
- Continuing partnership with Reclamation as the NEPA lead agency in developing the EIS.

Federal Funding:

- WIFIA/RIFIA.
- WIIN Act.
- Federal Stimulus and other funding.

Brief discussion followed.

Action: It was moved by Director Harris, seconded by Director Sutton to accept the 2020-2021 Sites Project Federal Government Affairs Priorities, as submitted. Motion carried: All Directors present voted yes.

2.4 Consider approval of Resolution 2020-02 commending Mr. Jim Watson for his 5 years of dedicated service to the Sites Reservoir Project. **(Attachment 2.4 A).**

Chairman Durst expressed his appreciation to Mr. Watson for his dedicated service to the Sites Reservoir Project and read the proposed Resolution.

Action: It was moved by Director Houdesheldt, seconded by Director Sutton to adopt Resolution 2020-02 commending Mr. Jim Watson for his 5 years of dedicated service to the Sites Reservoir Project. Motion carried: All Directors present voted yes.

3. Discussion and Information Items:

3.1 Review and Comment on Recirculated EIR and key permits milestone schedule and key decision points. **(Attachment 3.1A)**

Ms. Forsythe provided an overview of the Recirculated Environmental Impact Report (EIR) and upcoming activities and key decision points as follows:

- California Environmental Quality Act/National Environmental Policy Act (CEQA/NEPA) - Project team is currently developing an approach for completing the Recirculated EIR as well as working to formulate a recommended approach to alternatives and baseline conditions that are anticipated will be reviewed with the Reservoir Committee and Authority Board in June. Team is proceeding to coordinate a joint EIR/EIS, with the assumption that the EIS will be supplement and recirculated with the EIR, though Reclamation has not made a final decision.
- Federal Endangered Species Act and California Endangered Species Act (Fish and Game Code Section 2081) - Project team is working to develop an approach for completing the Biological Assessment and Section 2081 Permit applications in coordination with the resource agencies and Reclamation. Environmental and Operations teams continue to work on modeling components and refinement of operation criteria for project diversion from the Sacramento River, with operating regimes for diversion and releases and a review of the storage policy to be brought to the Reservoir Committee and Board in September.
- National Historic Preservation Act, Section 106 (to be initiated in September).
- California Water Right Application (California Water Code) - Working to formulate a recommended approach to identify key components of the water right application for discussion with the Reservoir Committee and Authority Board in September.
    - Key components include Place of Use, Points of Diversion, Points of Re-diversion and Purpose of Use-all of which will form the basis of the application.

- Clean Water Act Section 404 and 401 - Will be initiated in July.
- Environmental Planning and Permitting Key Milestones through 2022.

Brief discussion followed with no action taken.

3.2 Review and Comment on Outreach Efforts to Communicate the Rightsized Project.

Mr. Spesert provided an overview of the outreach efforts to communicate the "rightsized project". He stated with the introduction of the new "right-sized" project alternative, staff and Communications and Government Affairs team have been actively engaged in extensive communications and outreach efforts focused on the following activities:

- Introducing the project's new organization and direction.
- Introducing the new "right-sized" project and its statewide benefits.
- Discussion on the proposed revision on the project's environmental review.
- Communications and outreach efforts included:
    - Briefings with Federal & State elected officials.
    - Briefings with NGO's and public interest organizations.
    - Landowner/local community coordination activities and briefings.
    - Media and development of project materials.
    - Update/Revisions to the Message Platform.

He further stated staff would continue to engage elected officials, landowners, project stakeholders and develop and distribute additional media content in support of the rollout of the new proposed project in the coming weeks. Additionally, staff is working on the following:

- A revised version of the Message Platform based on comments received from the Reservoir Committee and Authority Board. Brief discussion followed with no action taken.
- A Webinar in response to a request by members to get project information that highlights the "right-sized" project to individual participants and landowner investors.

Brief discussion followed with no action taken.

3.3 Review and Comment on Efforts to Secure Proposition 1 Water Storage Investment Program implementation modifications in light of the continuing effects of the COVID-19 pandemic on local government and water agency finances. **(Attachment 3.3 A &B)**

Executive Director Brown provided a brief update regarding a joint letter signed along with 5 other Storage Projects to the California Water Commission regarding a request that the CWC consider making an emergency and temporary modifications, on a project by project basis, in their implementation of the Proposition 1 Water Storage Investment Program (WSIP)

funding due to the COVID situation. He stated the matter was on the CWC's May 20, 2020 meeting agenda. He further stated the CWC's Executive Director reported receiving the letter and indicated staff would be considering the request and returning to the Commissioners with a recommendation.

Brief discussion followed with no action taken.

3.4 Review and Comment on the Reservoir Committee Workgroup and Authority Board Committee Structure and Assignments. **(Attachment 3.4 A & B)**

Chairman Durst provided a brief overview of the Reservoir Committee Workgroup and Authority Board Committee Structure and Assignments. He stated there are vacancies in all workgroups, with the exception of the Reservoir Operations & Engineering, for those interested in volunteering.

Discussion followed with no action taken.

4. Reports:

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

Chairman Durst provided a brief update on various matters/items related to the Sites Project.

4.1.2 Committee Chairpersons' Report:

This time is set aside to allow the Committee Chairpersons' an opportunity to disclose/discuss items related to the Sites Project.

Legislative and Outreach:

Director Sutton provided an update legislative and outreach activities, i.e., "Virtual" Capitol Hill Legislative Day, briefings for key Senate and House Committee staff and 2.0 of Sites Project.

Land Management:

Director Evans provided a brief update regarding a meeting with Yolo County Supervisor Chamberlain regarding the proposed Dunnigan Pipeline/CBD to discuss potential land use issues and stated the Colusa County Board of Supervisors voted 5-0 to re-opening the County of Colusa.

Budget and Finance:

Ms. Traynham stated have received $1.95 million from last invoice submitted for WSIP funding.

4.1.3 Authority Board Participant Reports:

This time is set aside to allow Directors or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

Director Harris briefly spoke to the newspaper Op-eds regarding the benefits of the Sites Reservoir Project and the distribution of a press release highlighting the right-sized project.

4.2 Executive Director's Report:

Executive Director Brown provided an overview of the following:

- Sites Project's monthly status report. **(Attachment 4-2 A)**
- Reservoir Committee update.
- 2020 Organizational Chart.
- Place of Use request made by Wheeler Ridge: followed up with a memo to Wheeler Ridge identifying the plan forward is development of a water rights strategy which will come to Board later this summer.
- Coalition letter to Appropriations Hearing Subcommittee of the State Legislature (Governor's May Revise) in support of the SWRCB Water Resilience Portfolio BCP-$4.5 million for Water Storage Investment Program Water Rights Permitting Staff.
- Sacramento Office will not be re-opening prior to July 2020.
- Contract and performance plan for Jerry Brown, Executive Director.
- Expressed appreciation to Mr. Kunde for preparation of Mr. Jim Watson's commendation.

5. Future Meetings and Schedules:

5.1 Suggested Future Agenda Items.

None.

5.2 Upcoming meetings:

RESERVOIR COMMITTEE (NOTE DATE CHANGED FROM JUNE 19 TO JUNE 18)

THURSDAY, JUNE 18, 2020 1:00 PM
CALL NUMBER AND LOCATION TBD

AUTHORITY BOARD

WEDNESDAY, JUNE 24, 2020 1:30 PM
CALL NUMBER AND LOCATION TBD

Chairman Durst adjourned the meeting at 3:15 p.m.

_____ _____
Fritz Durst, Chairman Yolanda Tirado, Board Clerk

Topic: **Sites Reservoir Project, Phase 1** **2020 May 27**

Subject: **Authority Board Meeting Attendance** **1:30 – 4:00 PM**

Current Voting Authority Board Participants:

Participant	✓ Representative	✓ Alternate	Others
Colusa County	☒ Gary Evans	☒ Mike Azevedo	
Colusa County Water District	☐ Joe Marsh	☐ Doug Griffen	
Glenn County	☐ Leigh McDaniel	☐ John Viegas	
Glenn-Colusa Irrigation District	☒ Logan Dennis	☐ Don Bransford	Thad Bettner
Reclamation District 108	☒ Fritz Durst	☐ Sean Doherty	Bill Vanderwaal
City of Roseville / Placer County Water Agency	☒ Bruce Houdesheldt	☐ Joshua Alpine	
Tehama-Colusa Canal Authority	☒ Jeff Sutton	☐ Halbert Charter	
City of Sacramento / Sacramento County Water Agency	☒ Jeff Harris	☒ Brett Ewart ☐ Ann Sanger	
Westside Water District	☐ Doug Parker	☐ Zach Dennis	

Associate Members (2 non-voting):

Participant	✓ Representative	✓ Alternate	Others
TC-4	☒ Jamie Traynham	☐ Tom Charter ☐ Jason Holley	
Western Canal Water District	☐ Dwayne Chisam ☒ Ted Trimble	☐ Zach Dennis ☐ Dan Ruiz	

Non-Voting Committee Participants (2):

Participant	✓ Representative/Other	✓ Alternate/Other	
Dept of Water Resources	☒ Rob Cooke	☐ David Sandino	
	☐ Ajay Goyal	☐ Jim Wieking	☐ Dave Arrate
Bureau of Reclamation	☐ Richard Welsh	☒ Don Bader	☐ Chris Duke
	☒ Ryan Davis ☒ Natalie Wolder	☐ Derya Sumer ☐ Mike Dietl ☐ David Van Rijn	☐ Mike Mosley ☐ Shana Kaplan

Authority, Non-Signatory (6):

Participant	✓ Representative	✓ Alternate
PCWA	☒ Ed Horton	☐ Ben Barker Darin Reintjes
Roseville	☐ Sean Bigley	☐ Trevor Joseph ☒ Jason Marks
Sacramento County WA	☐ Kerry Schmitz	☐ Michael Peterson ☐ William Busath

Staff:

✓	Name	Representing
☒	Brown, Jerry	Sites Project Authority
☒	Forsythe, Ali	Sites Project Authority
☒	Spesert, Kevin	Sites Project Authority
☒	Trapasso, Joe	Sites Project Authority
☒	Tirado, Yolanda	Sites Project Authority
☒	Kivett, Marcia	Sites Project Authority

Consultants:

✓	Name	Representing
☒	Alexander, Jeriann	Fugro
☐	Boling, Robert	HDR
☐	Briard, Monique	ICF
☐	Floyd, Kim	Floyd
☐	Herrin, Jeff	AECOM
☒	Heydinger, Erin	HDR
☒	Katz, Sara	Katz & Associates
☒	Kuney, Scott	Young Wooldridge
☒	Robinette, JP	Brown & Caldwell
☐	Spranza, John	HDR
☐	Tull, Rob	Ch2m
☐	Warner Herson, Laurie	Phenix

Other Attendees: *(Check box to have email address added to the distribution list)*

Name	Representing	Contact (Phone & E-mail)
Laura Nicolson	Office of Senator Jim Nielsen	
Henry Luu	HDR, Sites Integration	
Juleah Cordi	Office of Assemblyman Gallagher	

Topic: **Authority Board Agenda Item 1.2** **2020 June 24**

Subject: **Treasurer's Report**

Requested Action:

Consider acceptance of the Sites Project Authority Treasurer's Report as presented in Attachment 1.2A.

Detailed Description/Background:

Attachment 1.2A incorporates financial information through May 30, 2020.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: June's 2020 Treasurer's Report.

SITES JOINT POWERS AUTHORITY
TREASURER'S REPORT
May 31, 2020

Savings Account-River City Bank				
Beginning Balance	05/01/2020		$ **2,577,933.12**	
Transfer from General Checking to max benefit			$ **376,104.38**	
Interest Earned			$ **2,599.15**	
River City Bank ICS Savings Account Balance	**05/31/2020**			$ **2,956,636.65**
General Operating Checking Account-River City Bank				
Beginning Balance	05/01/2020		$ **1,622,153.65**	
RECEIVED:				
Interest Earned		$ 1,382.69		
TOTAL RECEIVED			$ **1,382.69**	
DISBURSED:				
Bank Fees		$ (159.88)		
Administrative Support		$ (5,644.80)		
Computer Service		$ (1,918.96)		
General Manager		$ (38,230.00)		
Accounting Fees		$ (2,695.00)		
Office Expenses		$ (422.71)		
Professional Fees-Legislative/Regulatory		$ (8,000.00)		
Professional Fees-Communication		$ (17,737.49)		
Professional Fees-Organizatioal Assessment		$ (1,299.38)		
Professional Fees-Fed Gov't Affairs Support		$ (15,000.00)		
Professional Fees-Legal Services		$ (6,357.72)		
TOTAL DISBURSED			$ **(97,465.94)**	
Transfer to Savings to max benefit			$ **(376,104.38)**	
RCB General Checking Account Balance	**05/31/2020**			$ **1,149,966.02**
State (WSIP) Fund Checking Account-River City Bank				
Beginning Balance	05/01/2020		$ **4,404,006.92**	
RECEIVED:				
CA Dept of Water			$ **1,957,712.12**	
DISBURSED:				
Bank Wire Fees		$ (15.00)		
Annual Audit Fees		$ (10,760.40)		
Bus/Comm Manager		$ (21,343.76)		
Program Op Manager		$ (28,000.00)		
Professional Fees-Engineering		$ (15,863.36)		
Professional Fees-Cost Development		$ (458.00)		
Professional Fees-Executive Director Services		$ (33,750.00)		
Professional Fees-Project Controls		$ (116,632.37)		
Professional Fees-Operations/SIM Modeling		$ (93,369.39)		
Professional Fees-EPP Manager		$ (30,000.00)		
Professional Fees-Geotech Eng		$ (12,562.77)		
Professional Fees-Project Integration		$ (168,613.06)		
Professional Fees-Reservoir Operations		$ (4,716.75)		
Professional Fees-Municipal Advisor		$ (12,725.00)		
Professional Fees-Env/Biological Serv & Permit		$ (68,898.24)		
TOTAL DISBURSED			$ **(617,708.10)**	
RCB State Fund Checking Account Balance	**05/31/2020**			$ **5,744,010.94**
TOTAL CASH ON HAND	**May 31, 2020**			$ **9,850,613.61**

Sites Project Joint Powers Authority
Transactions by Account
As of May 31, 2020

Type	Date	Num	Name	Memo	Debit	Credit	Balance
River City ICS Savings							2,577,933.12
General Journal	05/18/2020	5-2C		transfer to max ICS	376,104.38		2,954,037.50
General Journal	05/31/2020	5-5C		rec int fme	2,599.15		2,956,636.65
Total River City ICS Savings					378,703.53	0.00	2,956,636.65
River City Operating Account							1,622,153.65
Bill Pmt -Check	05/13/2020	2141	Adept Solutions Inc			1,918.96	1,620,234.69
Bill Pmt -Check	05/13/2020	2142	Darling H2O Consulting, Inc.			1,299.38	1,618,935.31
Bill Pmt -Check	05/13/2020	2143	Dunn Consulting			8,000.00	1,610,935.31
Bill Pmt -Check	05/13/2020	2144	J.C. Watson, Inc			38,230.00	1,572,705.31
Bill Pmt -Check	05/13/2020	2145	Katz and Associates, Inc			17,737.49	1,554,967.82
Bill Pmt -Check	05/13/2020	2146	KCoe Isom, LLP			2,695.00	1,552,272.82
Bill Pmt -Check	05/13/2020	2147	Maximun Pest Control			65.00	1,552,207.82
Bill Pmt -Check	05/13/2020	2148	Mt Shasta Spring Water			19.65	1,552,188.17
Bill Pmt -Check	05/13/2020	2149	Recology Butte Colusa Counties			35.95	1,552,152.22
Bill Pmt -Check	05/13/2020	2150	Rush Personnel Services, Inc			5,644.80	1,546,507.42
Bill Pmt -Check	05/13/2020	2151	The Ferguson Group			15,000.00	1,531,507.42
Bill Pmt -Check	05/13/2020	2152	US Bank			302.11	1,531,205.31
Bill Pmt -Check	05/13/2020	2153	Young Wooldridge LLP			6,357.72	1,524,847.59
General Journal	05/18/2020	5-2C		transfer to max ICS		376,104.38	1,148,743.21
General Journal	05/31/2020	5-3C		rec int fme	1,382.69		1,150,125.90
General Journal	05/31/2020	5-4C		Bank service fee		159.88	1,149,966.02
Total River City Operating Account					1,382.69	473,570.32	1,149,966.02
River City State Fund Checking							4,404,006.92
Bill Pmt -Check	05/13/2020	1038	AECOM Technical Services, Inc.			15,863.36	4,388,143.56
Bill Pmt -Check	05/13/2020	1039	Brown and Caldwell			116,632.37	4,271,511.19
Bill Pmt -Check	05/13/2020	1040	CH2M Hill Engineers, Inc			93,369.39	4,178,141.80
Bill Pmt -Check	05/13/2020	1041	Fechter & Company CPA			10,760.40	4,167,381.40
Bill Pmt -Check	05/13/2020	1042	Forsythe Group LLC			30,000.00	4,137,381.40
Bill Pmt -Check	05/13/2020	1043	Fugro USA Land, Inc			12,562.77	4,124,818.63
Bill Pmt -Check	05/13/2020	1044	HDR Engineering Inc			168,613.06	3,956,205.57
Bill Pmt -Check	05/13/2020	1045	ICF Jones & Stokes Inc			68,898.24	3,887,307.33
Bill Pmt -Check	05/13/2020	1046	Larsen Wurzel & Associates, Inc			458.00	3,886,849.33
Bill Pmt -Check	05/13/2020	1047	MBK Engineers Inc			4,716.75	3,882,132.58
Bill Pmt -Check	05/13/2020	1048	Montague DeRose and Associat...			12,725.00	3,869,407.58
Bill Pmt -Check	05/13/2020	1049	Spesert Consulting			21,343.76	3,848,063.82
Bill Pmt -Check	05/13/2020	1050	Trapasso Consulting Services			28,000.00	3,820,063.82
Bill Pmt -Check	05/13/2020	1051	Waterology Consulting			33,750.00	3,786,313.82
Deposit	05/14/2020			CA Dept of Water	1,957,712.12		5,744,025.94
General Journal	05/14/2020	5-1C		wire fee for incoming of CA D...		15.00	5,744,010.94
Total River City State Fund Checking					1,957,712.12	617,708.10	5,744,010.94
US Bank Checking							0.00
Total US Bank Checking							0.00
TOTAL					**2,337,798.34**	**1,091,278.42**	**9,850,613.61**

Draft_0027305

Sites Project Joint Powers Authority
Balance Sheet
As of May 31, 2020

	May 31, 20
ASSETS	
Current Assets	
Checking/Savings	
River City ICS Savings	2,956,636.65
River City Operating Account	1,149,966.02
River City State Fund Checking	5,744,010.94
Total Checking/Savings	9,850,613.61
Accounts Receivable	
Accounts Rec Members	
Membership - Authority	68,000.00
Membership - Reservoir	-4,988.20
Total Accounts Rec Members	63,011.80
Total Accounts Receivable	63,011.80
Total Current Assets	9,913,625.41
TOTAL ASSETS	**9,913,625.41**
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Accounts Payable	
Accounts Payable	722,564.07
Total Accounts Payable	722,564.07
Total Current Liabilities	722,564.07
Long Term Liabilities	
Participant Reimbursement Polic	6,064,652.67
Total Long Term Liabilities	6,064,652.67
Total Liabilities	6,787,216.74
Equity	
Net Assets	1,929,216.58
Retained Earnings	3,969,373.85
Net Income	-2,772,181.76
Total Equity	3,126,408.67
TOTAL LIABILITIES & EQUITY	**9,913,625.41**

Sites Project Joint Powers Authority
A/R Aging Summary
As of May 31, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
City of American Canyon	0.00	0.00	0.00	0.00	-4,988.20	-4,988.20
County of Glenn	0.00	0.00	0.00	0.00	55,000.00	55,000.00
Yolo County Flood Control	0.00	0.00	0.00	0.00	13,000.00	13,000.00
TOTAL	**0.00**	**0.00**	**0.00**	**0.00**	**63,011.80**	**63,011.80**

Sites Project Joint Powers Authority
A/P Aging Summary
As of May 31, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
Adept Solutions Inc	1,045.35	305.27	0.00	0.00	0.00	1,350.62
AECOM Technical Services, Inc.	8,112.77	0.00	0.00	0.00	0.00	8,112.77
Brown and Caldwell	116,764.80	0.00	0.00	0.00	0.00	116,764.80
CH2M Hill Engineers, Inc	0.00	97,617.53	0.00	0.00	0.00	97,617.53
Dunn Consulting	8,000.00	0.00	0.00	0.00	0.00	8,000.00
Forsythe Group LLC	30,000.00	0.00	0.00	0.00	0.00	30,000.00
Fugro USA Land, Inc	11,875.58	0.00	0.00	0.00	0.00	11,875.58
HDR Engineering Inc	168,516.59	0.00	0.00	0.00	0.00	168,516.59
ICF Jones & Stokes Inc	0.00	96,395.12	0.00	0.00	0.00	96,395.12
J.C. Watson, Inc	28,551.26	0.00	0.00	0.00	0.00	28,551.26
Katz and Associates, Inc	0.00	35,424.99	0.00	0.00	0.00	35,424.99
KCoe Isom, LLP	1,765.00	0.00	0.00	0.00	0.00	1,765.00
Larsen Wurzel & Associates, Inc	1,374.00	0.00	0.00	0.00	0.00	1,374.00
M.R. Cleaning Services	400.00	0.00	0.00	0.00	0.00	400.00
Maximun Pest Control	65.00	0.00	0.00	0.00	0.00	65.00
MBK Engineers Inc	0.00	3,185.00	0.00	0.00	0.00	3,185.00
Mt Shasta Spring Water	30.15	0.00	0.00	0.00	0.00	30.15
Perkins Coie LLP	971.10	0.00	0.00	0.00	0.00	971.10
Recology Butte Colusa Counties	35.95	0.00	0.00	0.00	0.00	35.95
Rush Personnel Services, Inc	4,189.50	0.00	0.00	0.00	0.00	4,189.50
Spesert Consulting	21,320.00	0.00	0.00	0.00	0.00	21,320.00
Stradling Yocca Carlson & Rauth	0.00	3,970.00	0.00	0.00	0.00	3,970.00
The Ferguson Group	0.00	15,000.00	0.00	0.00	0.00	15,000.00
Trapasso Consulting Services	28,000.00	0.00	0.00	0.00	0.00	28,000.00
US Bank	191.97	0.00	0.00	0.00	0.00	191.97
Waterology Consulting	33,750.00	0.00	0.00	0.00	0.00	33,750.00
Young Wooldridge LLP	5,707.14	0.00	0.00	0.00	0.00	5,707.14
TOTAL	**470,666.16**	**251,897.91**	**0.00**	**0.00**	**0.00**	**722,564.07**

Sites Project Joint Powers Authority
Profit & Loss
May 2020

	May 20	Jan - May 20
Ordinary Income/Expense		
Income		
Membership Admin/Authority	0.00	505,000.00
Prop 1 Funding	0.00	0.00
Total Income	0.00	505,000.00
Gross Profit	0.00	505,000.00
Expense		
Accounting Expense	1,765.00	13,964.00
Admin Support Gen Manager	4,189.50	25,511.85
Audit Fees	0.00	10,760.40
Bank Service Fees	174.88	849.19
General Manager	28,551.26	186,514.66
Insurance - Property	0.00	250.00
Insurance Liability	0.00	1,604.25
Office Expenses		
Cleaning	400.00	900.00
Misc Office & Operating Exp	191.97	2,832.29
Pest Control & Maintenance	65.00	325.00
Trash Service	35.95	179.75
Water Expense	30.15	198.70
Total Office Expenses	723.07	4,435.74
Professional Fees		
Bond Counsel	3,970.00	3,970.00
Bus/Comm Manager	21,320.00	108,721.85
Communication	35,424.99	85,531.14
Cost Development Model	1,374.00	2,542.60
Cultural Study	0.00	3,885.55
Engineering & Technical Service	8,112.77	114,518.38
Env/Biological Services	65,867.86	142,871.28
EPP Manager	30,000.00	152,059.09
Executive Director	33,750.00	67,500.00
Federal Government Affairs Supp	15,000.00	75,000.00
General Legal Counsel	5,707.14	43,279.62
Geotechnical Engineering	11,875.58	48,848.52
Legislative/Reg/Strategic	8,000.00	40,000.00
Municipal Advisor	0.00	20,000.00
Operations/Simulation Modeling	97,617.53	351,034.64
Organizational Assessment	0.00	29,693.41
Permitting & Agreements	30,527.26	148,230.41
Program Ops Manager	28,000.00	142,510.24
Project Controls	116,764.80	576,819.92
Project Integration	168,516.59	843,990.67
Real Estate	0.00	761.67
Reservoir Operations	3,185.00	20,202.75
Special Legal	971.10	31,374.00
Total Professional Fees	685,984.62	3,053,345.74
Website, Data, Computer Support	1,350.62	6,684.00
Total Expense	722,738.95	3,303,919.83
Net Ordinary Income	-722,738.95	-2,798,919.83
Other Income/Expense		
Other Income		
Interest Income		
Interest Income-River City	3,981.84	26,738.07
Total Interest Income	3,981.84	26,738.07
Total Other Income	3,981.84	26,738.07

Sites Project Joint Powers Authority
Profit & Loss
May 2020

	May 20	Jan - May 20
Net Other Income	3,981.84	26,738.07
Net Income	**-718,757.11**	**-2,772,181.76**

Sites Project Joint Powers Authority
Transaction Detail By Account
May 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Accounting Expense								
Bill	05/31/2020	KC087169	KCoe Isom, LLP	5/20	Authority	353.00		-353.00
Bill	05/31/2020	KC087169	KCoe Isom, LLP	5/20	Reservoir	1,412.00		-1,765.00
Total Accounting Expense						1,765.00	0.00	-1,765.00
Admin Support Gen Manager								
Bill	05/18/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Authority	141.12		-141.12
Bill	05/18/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Reservoir	564.48		-705.60
Bill	05/18/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Authority	189.63		-895.23
Bill	05/18/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Reservoir	758.52		-1,653.75
Bill	05/31/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Authority	282.24		-1,935.99
Bill	05/31/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Reservoir	1,128.96		-3,064.95
Bill	05/31/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Authority	224.91		-3,289.86
Bill	05/31/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Reservoir	899.64		-4,189.50
Total Admin Support Gen Manager						4,189.50	0.00	-4,189.50
Bank Service Fees								
General J...	05/14/2020	5-1C		wire fee for incoming of CA D...	Reservoir	15.00		-15.00
General J...	05/31/2020	5-4C		Bank service fee	Authority	103.92		-118.92
General J...	05/31/2020	5-4C		Bank service fee	Reservoir	55.96		-174.88
Total Bank Service Fees						174.88	0.00	-174.88
General Manager								
Bill	05/28/2020	SPA-058	J.C. Watson, Inc	5/20	Authority	4,369.69		-4,369.69
Bill	05/28/2020	SPA-058	J.C. Watson, Inc	5/20	Reservoir	24,181.57		-28,551.26
Total General Manager						28,551.26	0.00	-28,551.26
Office Expenses								
Cleaning								
Bill	05/10/2020	33	M.R. Cleaning Services	4/20	Authority	200.00		-200.00
Bill	05/28/2020	37	M.R. Cleaning Services	5/20	Authority	200.00		-400.00
Total Cleaning						400.00	0.00	-400.00
Misc Office & Operating Exp								
Bill	05/31/2020	Online 6/3	US Bank		Authority	191.97		-191.97
Total Misc Office & Operating Exp						191.97	0.00	-191.97
Pest Control & Maintenance								
Bill	05/28/2020	51008	Maximun Pest Control	5/20	Authority	65.00		-65.00
Total Pest Control & Maintenance						65.00	0.00	-65.00
Trash Service								
Bill	05/31/2020	37394368	Recology Butte Colusa Co...	5/20	Authority	35.95		-35.95
Total Trash Service						35.95	0.00	-35.95
Water Expense								
Bill	05/14/2020	495621	Mt Shasta Spring Water	5/20	Authority	30.15		-30.15
Total Water Expense						30.15	0.00	-30.15
Total Office Expenses						723.07	0.00	-723.07
Professional Fees								
Bond Counsel								
Bill	05/13/2020	364298-0001	Stradling Yocca Carlson &...	4/20	Reservoir	3,970.00		-3,970.00
Total Bond Counsel						3,970.00	0.00	-3,970.00
Bus/Comm Manager								
Bill	05/31/2020	06-20	Spesert Consulting	5/20	Reservoir	21,320.00		-21,320.00
Total Bus/Comm Manager						21,320.00	0.00	-21,320.00
Communication								
Bill	05/14/2020	413745	Katz and Associates, Inc	4/20 (C)	Authority	21,254.99		-21,254.99
Bill	05/14/2020	413745	Katz and Associates, Inc	4/20 (C)	Reservoir	14,170.00		-35,424.99
Total Communication						35,424.99	0.00	-35,424.99
Cost Development Model								
Bill	05/08/2020	1609000-0420	Larsen Wurzel & Associat...	4/20	Reservoir	1,374.00		-1,374.00
Total Cost Development Model						1,374.00	0.00	-1,374.00
Engineering & Technical Service								
Bill	05/31/2020	2000365230	AECOM Technical Service...	5/20	Reservoir	8,112.77		-8,112.77
Total Engineering & Technical Service						8,112.77	0.00	-8,112.77
Env/Biological Services								
Bill	05/14/2020	0147006	ICF Jones & Stokes Inc	4/20	Reservoir	65,867.86		-65,867.86
Total Env/Biological Services						65,867.86	0.00	-65,867.86
EPP Manager								
Bill	05/31/2020	SPA-202005	Forsythe Group LLC	5/20	Reservoir	30,000.00		-30,000.00

Sites Project Joint Powers Authority
Transaction Detail By Account
May 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Total EPP Manager						30,000.00	0.00	-30,000.00
Executive Director								
Bill	05/31/2020	2	Waterology Consulting	5/20	Reservoir	33,750.00		-33,750.00
Total Executive Director						33,750.00	0.00	-33,750.00
Federal Government Affairs Supp								
Bill	05/01/2020	0520148	The Ferguson Group	5/20	Authority	7,500.00		-7,500.00
Bill	05/01/2020	0520148	The Ferguson Group	5/20	Reservoir	7,500.00		-15,000.00
Total Federal Government Affairs Supp						15,000.00	0.00	-15,000.00
General Legal Counsel								
Bill	05/31/2020	65924	Young Wooldridge LLP	5/20	Authority	1,268.50		-1,268.50
Bill	05/31/2020	65924	Young Wooldridge LLP	5/20	Reservoir	4,438.64		-5,707.14
Total General Legal Counsel						5,707.14	0.00	-5,707.14
Geotechnical Engineering								
Bill	05/28/2020	04.7219003...	Fugro USA Land, Inc	5/20 (I)	Reservoir	11,875.58		-11,875.58
Total Geotechnical Engineering						11,875.58	0.00	-11,875.58
Legislative/Reg/Strategic								
Bill	05/31/2020	Letter	Dunn Consulting	5/20	Authority	4,000.00		-4,000.00
Bill	05/31/2020	Letter	Dunn Consulting	5/20	Reservoir	4,000.00		-8,000.00
Total Legislative/Reg/Strategic						8,000.00	0.00	-8,000.00
Operations/Simulation Modeling								
Bill	05/15/2020	D3205400-013	CH2M Hill Engineers, Inc	4/20 (D)	Reservoir	97,617.53		-97,617.53
Total Operations/Simulation Modeling						97,617.53	0.00	-97,617.53
Permitting & Agreements								
Bill	05/14/2020	0147005	ICF Jones & Stokes Inc	4/20 (F)	Reservoir	30,527.26		-30,527.26
Total Permitting & Agreements						30,527.26	0.00	-30,527.26
Program Ops Manager								
Bill	05/31/2020	SPA 17-32	Trapasso Consulting Servi...	5/20	Reservoir	28,000.00		-28,000.00
Total Program Ops Manager						28,000.00	0.00	-28,000.00
Project Controls								
Bill	05/29/2020	17373609	Brown and Caldwell	5/20 (B)	Reservoir	116,764.80		-116,764.80
Total Project Controls						116,764.80	0.00	-116,764.80
Project Integration								
Bill	05/31/2020	1200270922	HDR Engineering Inc	5/20 (A)	Reservoir	168,516.59		-168,516.59
Total Project Integration						168,516.59	0.00	-168,516.59
Reservoir Operations								
Bill	05/15/2020	20-04-4941.0	MBK Engineers Inc	4/20	Reservoir	3,185.00		-3,185.00
Total Reservoir Operations						3,185.00	0.00	-3,185.00
Special Legal								
Bill	05/28/2020	6195435	Perkins Coie LLP	4/20	Reservoir	971.10		-971.10
Total Special Legal						971.10	0.00	-971.10
Total Professional Fees						685,984.62	0.00	-685,984.62
Website, Data, Computer Support								
Bill	05/15/2020	139505	Adept Solutions Inc	4/20	Authority	61.05		-61.05
Bill	05/15/2020	139505	Adept Solutions Inc	4/20	Reservoir	244.22		-305.27
Bill	05/31/2020	MSP-139499	Adept Solutions Inc	06/20	Authority	209.07		-514.34
Bill	05/31/2020	MSP-139499	Adept Solutions Inc	06/20	Reservoir	836.28		-1,350.62
Total Website, Data, Computer Support						1,350.62	0.00	-1,350.62
Interest Income								
Interest Income-River City								
General J...	05/15/2020	5-3C		rec int fme	Authority		898.75	898.75
General J...	05/31/2020	5-3C		rec int fme	Reservoir		483.94	1,382.69
General J...	05/31/2020	5-5C		rec int fme	Reservoir		2,599.15	3,981.84
Total Interest Income-River City						0.00	3,981.84	3,981.84
Total Interest Income						0.00	3,981.84	3,981.84
TOTAL						**722,738.95**	**3,981.84**	**-718,757.11**

Sites Project Joint Powers Authority
Balance Sheet by Class
As of May 31, 2020

	Authority	Reservoir	TOTAL
ASSETS			
Current Assets			
Checking/Savings			
River City ICS Savings	0.00	2,956,636.65	2,956,636.65
River City Operating Account	748,882.62	401,083.40	1,149,966.02
River City State Fund Checking	0.00	5,744,010.94	5,744,010.94
Total Checking/Savings	748,882.62	9,101,730.99	9,850,613.61
Accounts Receivable			
Accounts Rec Members			
Membership - Authority	68,000.00	0.00	68,000.00
Membership - Reservoir	0.00	-4,988.20	-4,988.20
Total Accounts Rec Members	68,000.00	-4,988.20	63,011.80
Total Accounts Receivable	68,000.00	-4,988.20	63,011.80
Total Current Assets	816,882.62	9,096,742.79	9,913,625.41
TOTAL ASSETS	**816,882.62**	**9,096,742.79**	**9,913,625.41**
LIABILITIES & EQUITY			
Liabilities			
Current Liabilities			
Accounts Payable			
Accounts Payable	40,577.27	681,986.80	722,564.07
Total Accounts Payable	40,577.27	681,986.80	722,564.07
Total Current Liabilities	40,577.27	681,986.80	722,564.07
Long Term Liabilities			
Participant Reimbursement Polic	0.00	6,064,652.67	6,064,652.67
Total Long Term Liabilities	0.00	6,064,652.67	6,064,652.67
Total Liabilities	40,577.27	6,746,639.47	6,787,216.74
Equity			
Net Assets	114,674.93	1,814,541.65	1,929,216.58
Retained Earnings	351,952.54	3,617,421.31	3,969,373.85
Net Income	309,677.88	-3,081,859.64	-2,772,181.76
Total Equity	776,305.35	2,350,103.32	3,126,408.67
TOTAL LIABILITIES & EQUITY	**816,882.62**	**9,096,742.79**	**9,913,625.41**

Sites Project Joint Powers Authority
Profit & Loss by Class
May 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Expense			
Accounting Expense	353.00	1,412.00	1,765.00
Admin Support Gen Manager	837.90	3,351.60	4,189.50
Bank Service Fees	103.92	70.96	174.88
General Manager	4,369.69	24,181.57	28,551.26
Office Expenses			
Cleaning	400.00	0.00	400.00
Misc Office & Operating Exp	191.97	0.00	191.97
Pest Control & Maintenance	65.00	0.00	65.00
Trash Service	35.95	0.00	35.95
Water Expense	30.15	0.00	30.15
Total Office Expenses	723.07	0.00	723.07
Professional Fees			
Bond Counsel	0.00	3,970.00	3,970.00
Bus/Comm Manager	0.00	21,320.00	21,320.00
Communication	21,254.99	14,170.00	35,424.99
Cost Development Model	0.00	1,374.00	1,374.00
Engineering & Technical Service	0.00	8,112.77	8,112.77
Env/Biological Services	0.00	65,867.86	65,867.86
EPP Manager	0.00	30,000.00	30,000.00
Executive Director	0.00	33,750.00	33,750.00
Federal Government Affairs Supp	7,500.00	7,500.00	15,000.00
General Legal Counsel	1,268.50	4,438.64	5,707.14
Geotechnical Engineering	0.00	11,875.58	11,875.58
Legislative/Reg/Strategic	4,000.00	4,000.00	8,000.00
Operations/Simulation Modeling	0.00	97,617.53	97,617.53
Permitting & Agreements	0.00	30,527.26	30,527.26
Program Ops Manager	0.00	28,000.00	28,000.00
Project Controls	0.00	116,764.80	116,764.80
Project Integration	0.00	168,516.59	168,516.59
Reservoir Operations	0.00	3,185.00	3,185.00
Special Legal	0.00	971.10	971.10
Total Professional Fees	34,023.49	651,961.13	685,984.62
Website, Data, Computer Support	270.12	1,080.50	1,350.62
Total Expense	40,681.19	682,057.76	722,738.95
Net Ordinary Income	-40,681.19	-682,057.76	-722,738.95
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	898.75	3,083.09	3,981.84
Total Interest Income	898.75	3,083.09	3,981.84
Total Other Income	898.75	3,083.09	3,981.84
Net Other Income	898.75	3,083.09	3,981.84
Net Income	**-39,782.44**	**-678,974.67**	**-718,757.11**

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through May 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Income			
Membership Admin/Authority	505,000.00	0.00	505,000.00
Prop 1 Funding	0.00	0.00	0.00
Total Income	505,000.00	0.00	505,000.00
Gross Profit	505,000.00	0.00	505,000.00
Expense			
Accounting Expense	2,792.80	11,171.20	13,964.00
Admin Support Gen Manager	5,102.37	20,409.48	25,511.85
Audit Fees	0.00	10,760.40	10,760.40
Bank Service Fees	353.24	495.95	849.19
General Manager	26,752.05	159,762.61	186,514.66
Insurance - Property	200.00	50.00	250.00
Insurance Liability	0.00	1,604.25	1,604.25
Office Expenses			
Cleaning	900.00	0.00	900.00
Misc Office & Operating Exp	856.48	1,975.81	2,832.29
Pest Control & Maintenance	325.00	0.00	325.00
Trash Service	179.75	0.00	179.75
Water Expense	198.70	0.00	198.70
Total Office Expenses	2,459.93	1,975.81	4,435.74
Professional Fees			
Bond Counsel	0.00	3,970.00	3,970.00
Bus/Comm Manager	0.00	108,721.85	108,721.85
Communication	51,318.91	34,212.23	85,531.14
Cost Development Model	0.00	2,542.60	2,542.60
Cultural Study	0.00	3,885.55	3,885.55
Engineering & Technical Service	0.00	114,518.38	114,518.38
Env/Biological Services	0.00	142,871.28	142,871.28
EPP Manager	0.00	152,059.09	152,059.09
Executive Director	0.00	67,500.00	67,500.00
Federal Government Affairs Supp	37,500.00	37,500.00	75,000.00
General Legal Counsel	21,780.00	21,499.62	43,279.62
Geotechnical Engineering	0.00	48,848.52	48,848.52
Legislative/Reg/Strategic	20,000.00	20,000.00	40,000.00
Municipal Advisor	0.00	20,000.00	20,000.00
Operations/Simulation Modeling	0.00	351,034.64	351,034.64
Organizational Assessment	29,693.41	0.00	29,693.41
Permitting & Agreements	0.00	148,230.41	148,230.41
Program Ops Manager	0.00	142,510.24	142,510.24
Project Controls	0.00	576,819.92	576,819.92
Project Integration	0.00	843,990.67	843,990.67
Real Estate	0.00	761.67	761.67
Reservoir Operations	0.00	20,202.75	20,202.75
Special Legal	0.00	31,374.00	31,374.00
Total Professional Fees	160,292.32	2,893,053.42	3,053,345.74
Website, Data, Computer Support	1,336.80	5,347.20	6,684.00
Total Expense	199,289.51	3,104,630.32	3,303,919.83
Net Ordinary Income	305,710.49	-3,104,630.32	-2,798,919.83
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	3,967.39	22,770.68	26,738.07
Total Interest Income	3,967.39	22,770.68	26,738.07
Total Other Income	3,967.39	22,770.68	26,738.07

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through May 2020

	Authority	Reservoir	TOTAL
Net Other Income	3,967.39	22,770.68	26,738.07
Net Income	**309,677.88**	**-3,081,859.64**	**-2,772,181.76**

Sites

Topic: **Authority Board Agenda Item 1.3** **2020 June 24**

Subject: **Payment of Claims**

Requested Action:

Consider approval of the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B.

Detailed Description/Background:

Attachment A presents the warrants to be drawn against the invoices received by the Sites Project Authority through June 1, 2020.

Attachment B summarizes details of the invoices received through June 1, 2020 and how the incurred costs are allocated between the Authority and Reservoir Committee.

Prior Action:

None.

Fiscal Impact/Funding Source:

Total Payment of Claims is $722,564.07 with $40,577.27 of costs being assigned to the Authority and $681,986.80 assigned to the Reservoir Committee.

For the Reservoir Committee assigned amount, $621,852.49 will be paid through the WSIP account and $60,134.31 through the JPA/Sites account as shown in Attachment B.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: June 2020 Report on warrants to be drawn for Payment of Claims.

Attachment B: June 2020 Monthly Key Consultant and Vendor Invoice table.

Attachment C: June 2020 Key Consultant Budget Status Report.

WARRANTS DRAWN AGAINST
Sites Project Authority- General Fund
June 24, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
2154	06/09/2020	Adept Solutions	IT & Related Services	1,350.62
2155	06/09/2020	Dunn Consulting	Legislative/Regulatory/Strategic Support	8,000.00
2156	06/09/2020	J.C. Watson Inc.	GM Services	28,551.26
2157	06/09/2020	Katz and Associates Inc.	Communications	35,424.99
2158	06/09/2020	K·Coe·Isom, LLP	Accounting	1,765.00
2159	06/09/2020	M.R. Cleaning Services	Office Cleaning	400.00
2160	06/09/2020	Maximum Pest Control	Pest Control	65.00
2161	06/09/2020	Mt Shasta Spring Water	Office Water	30.15
2162	06/09/2020	Recology Butte Colusa Counties	Office Trash Pickup	35.95
2163	06/09/2020	Rush Personnel Services Inc.	Administrative Services	4,189.50
2164	06/09/2020	The Ferguson Group	Fed Govt Affairs Support	15,000.00
2165	06/09/2020	U.S. Bank	Misc. Expenses	191.97
2166	06/09/2020	Young Wooldridge LLP	Legal Counsel	5,707.14

THE FOREGOING CLAIM, NUMBERED 2154-2166 ARE APPLIED TO THE GENERAL FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **100,711.58**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

WARRANTS DRAWN AGAINST
Sites Project Authority-WSIP Fund
June 24, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
1052	06/09/2020	AECOM Inc.	Engineering	8,112.77
1053	06/09/2020	Brown and Caldwell	Project Controls	116,764.80
1054	06/09/2020	CH2M	Operations/SIM Modeling	97,617.53
1055	06/09/2020	Forsythe Group LLC	EPP Manager	30,000.00
1056	06/09/2020	Fugro USA Land, Inc.	Geotechnical Engineering Services	11,875.58
1057	06/09/2020	HDR Engineering Inc.	Project Integration	168,516.59
1058	06/09/2020	ICF Jones & Stokes Inc.	Env/Biological Services & Permitting	96,396.12
1059	06/09/2020	Larsen Wurzel & Associates, Inc.	Cost Development	1,374.00
1060	06/09/2020	MBK Engineers Inc.	Reservoir Operations	3,185.00
1061	06/09/2020	Perkins Cole, LLP	Special Legal	971.10
1062	06/09/2020	Spesert Consulting	Bus/Comm Manager	21,320.00
1063	06/09/2020	Stradling Yocca Carlson & Rauth	Bond Counsel	3,970.00
1064	06/09/2020	Trapasso Consulting Services	Program Operations Manager	28,000.00
1065	06/09/2020	Waterology Consulting	Executive Director Services	33,760.00

THE FOREGOING CLAIM, NUMBERED 1052-1065 ARE APPLIED TO THE WSIP FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount 621,652.49

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

Sites

June 8, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for June 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their June monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Board Approval Items						
Adept Solutions *IT Related Services/Computer Equipment*	MSP-139499 *6/1/20*	06/20	$1,045.35	$209.07	$836.28	KMS
Adept Solutions *IT Related Services/Computer Equipment*	139505 *5/15/20*	4/20	$305.27	$61.05	$244.22	KMS
AECOM (Reservoir) (HR) *Engineering Services*	No Invoice					HL
AECOM Technical Services, Inc. *Engineering/Tech*	2000365230 *6/1/20*	5/20	$8,112.77		$8,112.77	HL
Bender Rosenthal, Inc. (G) *Real Estate*	No Invoice					KMS
Brown and Caldwell (B) *Project Controls*	17373609 *5/29/20*	5/20	$116,764.80		$116,764.80	JAT
CH2M Hill Engineers (Operations) (D) *Operations / Simulation Modeling*	D3205400-013 *5/15/20*	4/20	$97,617.53		$97,617.53	AEF
CH2M/Jacobs (Conveyance) (HC) *Engineering Services*	No Invoice					HL
Colusa Indian Community Council *Tribal Council*	No Invoice					SPE
Darling H2O Consulting, Inc. *Organizational Assessment*	No Invoice					FD
Dunn Consulting *Legislative/Regulatory/Strategic Support*	Letter *6/2/20*	5/20	$8,000.00	$4,000.00	$4,000.00	KMS
Fechter and Company, CPAs *Accounting Services*	No Invoice					JAT
Forsythe Group, LLC *EPP Manager*	SPA-202005 *6/2/20*	5/20	$30,000.00		$30,000.00	JB
Fugro (I) *Geotechnical Engineering Services*	04.72190035-14 *5/28/20*	5/20	$11,875.58		$11,875.58	HL
Gerald (Jerry) Johns *Project Operations*	No Invoice					AEF

Sites

June 8, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for June 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their June monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
HDR (A)	1200270922			$168,516.59		$168,516.59	JAT
Project Integration		*6/1/20*	5/20				
ICF Jones & Stokes, Inc. (Environ.) (E)	0147006			$65,867.86		$65,867.86	AEF
Env/Biological Services		*5/14/20*	4/20				
ICF Jones & Stokes, Inc. (Permitting) (F)	0147005			$30,527.26		$30,527.26	AEF
Permitting and Agreements		*5/14/20*	4/20				
J.C. Watson, Inc.	SPA-058			$28,551.26	$4,369.69	$24,181.57	JB
General Manager Services		*5/28/20*	5/20				
Katz & Associates (C)	413745			$35,424.99	$21,254.99	$14,170.00	KMS
Communications		*5/14/20*	4/20				
K-Coe Isom, LLP	KC087169			$1,765.00	$353.00	$1,412.00	JAT
Accounting		*5/31/20*	5/20				
Larsen Wurzel & Associates, Inc.	1609000-0420			$1,374.00		$1,374.00	JAT
Cost Development		*5/8/20*	4/20				
M.R. Cleaning Service	33			$200.00	$200.00		KMS
Office Cleaning		*5/10/20*	4/20				
M.R. Cleaning Service	37			$200.00	$200.00		KMS
Office Cleaning		*5/28/20*	5/20				
Maximum Pest Control	51008			$65.00	$65.00		KMS
Pest Spraying		*5/28/20*	5/20				
MBK Engineers	20-04-4941.0			$3,185.00		$3,185.00	AEF
Reservoir Operations		*5/15/20*	4/20				
Montague DeRose & Associates, LLC	No Invoice						JAT
Municipal Advisor							
MT Shasta Water	495621			$30.15	$30.15		KMS
Office Water		*5/14/20*	5/20				
Perkins Coie, LLP	6195435			$971.10		$971.10	AEF
Special Legal		*5/28/20*	4/20				
Recology Butte Colusa Counties	37394368			$35.95	$35.95		KMS
Office Trash Pickup		*5/31/20*	5/20				

Sites

June 8, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for June 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their June monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Rush Personnel	IVC000000136950			$705.60	$141.12	$564.48	KMS
Yolanda Tirado Services		*5/18/20*	5/20				
Rush Personnel	IVC000000136951			$948.15	$189.63	$758.52	KMS
Yolanda Tirado Services		*5/18/20*	5/20				
Rush Personnel	IVC000000137208			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*6/1/20*	5/20				
Rush Personnel	IVC000000137209			$1,124.55	$224.91	$899.64	KMS
Yolanda Tirado Services		*6/1/20*	5/20				
Spesert Consulting	06-20			$21,320.00		$21,320.00	JB
Bus/Communications Manager		*6/2/20*	5/20				
Stradling, Yocca, Carlson & Rauth	364298-0001			$3,970.00		$3,970.00	JAT
Bond Counsel		*5/13/20*	4/20				
The Ferguson Group	0520148			$15,000.00	$7,500.00	$7,500.00	KMS
Federal Government Affairs Support		*5/1/20*	5/20				
Trapasso Consulting Services	SPA 17-32			$28,000.00		$28,000.00	JB
Program Operations Manager		*6/1/20*	5/20				
U.S. Bank - Credit Card	Online 6/3			$191.97	$191.97		KMS
Misc. Expenses		*6/3/20*	5/20				
Waterology Consulting	2			$33,750.00		$33,750.00	JT/ FD /JS
Executive Director Services		*6/1/20*	5/20				
William Avery & Associates	No Invoice						JAT
Personnel Recruiter							
Wiseman Consulting Group	No Invoice						KMS
ROW/Land Management							
Young Wooldridge, Law Offices, LLP	65924			$5,707.14	$1,268.50	$4,438.64	JAT
Legal Counsel		*5/31/20*	5/20				

Sites

June 8, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for June 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their June monthly meetings.

Consultant/Vendor	Invoice # Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by

		Total	$ Authority Board	$ Reservoir Committee
	Cash Accounts	722,564.07	40,577.27	681,986.80
June 2020 Monthly Totals	JPA/Sites	100,711.58	40,577.27	60,134.31
	WSIP	621,852.49		621,852.49
Cumulative Summary	WSIP To Date	3,580,428.45	(4) Fund Checks Received	
	Balance	4,500,365.96	8,080,794.41	

Notes: *

Draft_0027323

Sites

JUNE KEY CONSULTANT BUDGET REPORT

FOR AUTHORITY BOARD AND RESERVOIR COMMITTEE,
FINANCE AND ECONOMICS AD HOC COMMITTEE

Area / Consultant	Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
a) HDR		Costs and Task % as of 05/20 Services	**1,499,864**	**1,499,864**	**843,991**	**655,873**	**56%**		
	A01	Communications Int.		-	-	-	-	-	
	A02	Ops Modeling Int.		181,007	28,076	152,932	16%	40%	
	A03	Env. Planning Int.		149,357	123,553	25,804	83%	56%	
	A04	Permitting Int.		243,884	173,782	70,102	71%	56%	
	A05	Real Estate Int.		139,718	37,931	101,787	27%	35%	
	A06	Engineering Int.		299,476	175,703	123,773	59%	56%	
	A07	Geotech Int.		-	-	-	-	100%	
	A08	Controls Int.		224,387	141,151	83,236	63%	56%	
	A09	General Int.		98,267	113,340	(15,073)	115%	60%	
	A10	Authority's Engineer		-	-	-	-	100%	
	A11	Health, Safety & Loss Prevention		-	-	-	-	100%	
	A12	Quality Management		-	-	-	-	100%	
	A13	Risk Management		49,890	10,156	39,734	20%	20%	
	A14	IT		15,831	9,070	6,761	57%	56%	
	A15	GIS		-	765	(765)	-	100%	
	A16	Document Management		3,734	3,467	267	93%	56%	
	A17	Staff Support		14,004	1,929	12,075	14%	56%	
	A18	Satellite Project Office		-	-	-	-	100%	
	A19	Land Conservation Approach		-	-	-	-	100%	
	A98	HDR Project Management		40,309	17,755	22,554	44%	56%	
	A99	Expenses		40,000	7,311	32,689	18%	56%	
b) BC		Costs and Task % as of 05/20 Services	**899,156**	**899,156**	**576,820**	**322,336**	**64%**		
	B01	Project Controls		113,645	55,363	58,282	49%	45%	
	B02	Contract Administration and Compliance		492,414	305,321	187,093	62%	65%	
	B03	Work Planning and Scheduling		100,341	98,466	1,875	98%	100%	
	B04	Project Administrative Support		117,516	82,765	34,751	70%	65%	
	B05	PMP Sections		-	-	-	-	-	
	B98	Project Management		73,240	32,720	40,520	45%	50%	
	B99	Expenses		2,000	2,185	(185)	109%	100%	

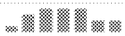
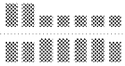
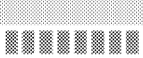
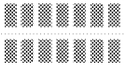
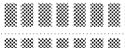
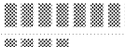
Draft_0027324

Sites

JUNE KEY CONSULTANT BUDGET REPORT

FOR AUTHORITY BOARD AND RESERVOIR COMMITTEE,
FINANCE AND ECONOMICS AD HOC COMMITTEE

6/8/2020

Area / Consultant	Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
c) K&A		Costs and Task % as of 04/20 Services	**199,930**	**199,930**	**85,531**	**114,399**	**43%**		
	C01	Local/Landowner Outreach		14,083	1,443	12,641	10%	15%	
	C02	Statewide Outreach		22,000	3,756	18,244	17%	15%	
	C03	NGO Outreach		12,166	1,085	11,081	9%	-	
	C04	Strategic Communications		19,666	6,724	12,942	34%	35%	
	C05	Message Development/Training		11,831	3,778	8,054	32%	40%	
	C06	Informational Materials Distribution		38,500	26,152	12,348	68%	30%	
	C07	Website Modifications and Management		18,600	5,153	13,448	28%	40%	
	C08	Video & Photography		-	-	-	-	-	
	C09	Authority/Reservoir Committee Public Affairs Support		14,500	7,595	6,905	52%	40%	
	C10	Media Relations		13,084	8,448	4,637	65%	35%	
	C11	Social Media		5,000	1,246	3,754	25%	25%	
	C98	Project Management		30,500	17,695	12,805	58%	40%	
	C99	Expenses		-	2,457	(2,457)	-	-	
d) CH2-d		Costs and Task % as of 04/20 Services	**993,000**	**993,000**	**351,035**	**641,965**	**35%**		
	D01	Permitting and Resource Agency Technical Support		100,000	62,027	37,973	62%	90%	
	D02	Environmental Document Support		356,853	70,268	286,585	20%	20%	
	D03	Total Operations Technical Support		300,000	106,184	193,816	35%	65%	
	D04	Value Planning		88,000	71,575	16,425	81%	95%	
	D98	Project Management		31,147	28,591	2,556	92%	50%	
	D99	Expenses & Subconsultants		117,000	12,390	104,610	11%	45%	
e) ICF-e		Costs and Task % as of 04/20 Services	**428,000**	**428,000**	**142,871**	**285,129**	**33%**		
	E01	Draft EIS/EIR Review and Strategic Consultation		-	-	-	-	-	
	E02	Prepare Admin Final Responses to Comments		-	-	-	-	-	
	E03	Prepare Administrative Final EIR/EIS		15,000	14,967	33	100%	100%	
	E04	Begin Preparation of Administrative Record for Fin		-	-	-	-	-	
	E05	Engagement		-	-	-	-	-	
	E06	Geotechnical Environmental Document		-	-	-	-	-	
	E07	Develop Environmental Document Work Plan		110,000	75,325	34,675	68%	55%	
	E08	Support Development of EIR/EIS Project Description		180,000	24,714	155,286	14%	15%	
	E09	Prepare Annotated Outline		88,000	-	88,000	-	-	
	E98	Project Management		31,500	27,865	3,635	88%	30%	
	E99	Expenses		3,500	-	3,500	-	-	
f) ICF-f		Costs and Task % as of 04/20 Services	**708,300**	**708,300**	**148,230**	**560,070**	**21%**		
	F01	Finalize Joint Biological Assessment		-	-	-	-	-	
	F02	Finalize Geotechnical Permits and Fieldwork		297,120	37,554	259,566	13%	50%	

Draft_0027325

Area / Consultant	Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
	F03	Prepare Section 106 Documents		-	-	-	-	-	
	F04	Begin Preparation of Permits and Agreements		26,000	25,976	24	100%	100%	
	F05	Prepare for 2020 Field Work Studies		-	-	-	-	-	
	F06	Strategize on Mitigation and Adaptive Management P		-	-	-	-	-	
	F07	Early Coordination and Development of Key Permits		333,740	48,638	285,102	15%	20%	
	F98	Project Management		35,000	29,668	5,332	85%	30%	
	F99	Expenses		16,440	6,395	10,045	39%	15%	
g) BRI		Costs and Task % as of 01/20 Services	**762**	**762**	**762**	**0**	**100%**		
	G01	Develop Draft Right-of-Way Manual Sections		-	-	-	-	-	
	G02	Right-of-Way Planning Efforts		-	-	-	-	-	
	G03	Right-of-Entry / Early-Access Program		517	517	0	100%	-	
	G04	Property Management Program		-	-	-	-	-	
	G05	Public Outreach and Community Engagement		-	-	-	-	-	
	G98	Project Management / QC		245	245	0	100%	-	
	G99	Expenses		-	-	-	-	-	
hc) CH2-hc			**597,023**	**597,023**	**-**	**597,023**			
	HC01	Project Coordination		15,378	-	15,378	-	-	
	HC02	Engineering Support for Proj. Description of Preferred Proj. from Value Planning		370,475	-	370,475	-	-	
	HC98	Project Management		55,469	-	55,469	-	-	
	HC99	Expenses & Subconsultants		155,700	-	155,700	-	-	
hr) AEC-hr			**599,379**	**599,379**	**-**	**599,379**			
	HR01	Project Coordination		7,339	-	7,339	-	-	
	HR02	Engineering Support for Project Description of Preferred Project		567,000	-	567,000	-	-	
	HR98	Project Management		25,040	-	25,040	-	-	
i) FUG		Costs and Task % as of 05/20 Services	**85,995**	**85,995**	**48,849**	**37,146**	**57%**		
	I01	Data Review and Develop 2019 Feasibility Study Work Plan		-	-	-	-	-	
	I02	- Obsolete -		-	-	-	-	-	
	I03	2019 Site Investigation		-	-	-	-	-	
	I04	Future Site Investigation Work Plan for Design		-	-	-	-	-	
	I05	Assessment of Proposed Field Data Collection Points		50,786	22,428	28,358	44%	44%	
	I98	Project Management		35,209	26,421	8,788	75%	75%	

Draft_0027326

Topic: **Authority Board Agenda Item 2.1** **2020 June 24**

Subject: **State Legislative Activities**

Requested Action:

Consider acceptance of 2020-2021 Sites Project State Agency Legislative Activity Priorities.

Detailed Description/Background:

In response to the recommendation outlined in the Organizational Assessment to prepare an analysis and staff recommendation on federal funding pursuits, staff developed a Federal Legislative/Government Affairs Priorities memorandum that was approved by the Reservoir Committee and Authority Board at their respective May 2020 meetings.

In addition to the Federal Legislative/Government Affairs Priorities memorandum, staff has worked with the Authority Ad Hoc Legislative & Outreach Committee to develop a list of State level legislative and government priorities to focus our activities to better align with key project milestones. The State activities will be implemented in coordinated manner with our Federal government affairs/legislative priorities. These State priorities will be the Committee and Team focus through December 2021. Any adjustments would be brought back to the Reservoir Committee and Authority Board before being incorporated and updates will be provided on significant progress.

Prior Action:

None.

Fiscal Impact/Funding Source:

Sufficient funds exist to support activities associated with these priorities within the Amendment 2 work plan.

Staff Contact:

Jerry Brown/Kevin Spesert

Attachments:

Attachment A: State Legislative/Government Affairs Priorities Memo.

TO: Ad Hoc Legislative & Outreach Committee

DATE: 10 June 2020

SUBJECT: State Government Affairs Priorities

Staff has been working to identify near-term (thru December 31, 2021) State government affairs/legislative priorities to focus our activities to better align with key project milestones and are implemented in coordinated manner with our Federal government affairs/legislative priorities.

The following represents the staff's proposed State government affairs/legislative priorities.

STATE FUNDING

Proposition 1/CWC

- Continue to advance activities to meet the statutory requirements of WSIP to secure the $816 million awarded to the project.
    - Must have completed feasibility studies, a draft version of the recirculated environmental documents released for public review, CWC finding that the project is feasible and advances long-term Delta goals, and commitments for at least 75 percent of the non-WSIP funding **by January 1, 2022**.
- Continue to secure WSIP Early Funding that was awarded to the project.
    - Approximately **$32 million** of the WSIP Early Funding awarded to the project is still available ($40.8 million awarded by CWC).
- Advance discussions with CWC to address requests outlined in the WSIP Project Coalition Letter sent on May 11, 2020 to address impacts of COVID19 on the WSIP projects.
    - Modification of Early funding conditions, Increased release frequency or waiver of retention on early funding, increase flexibility within the early funding agreements, Incorporate COVID related impacts into pre-established deadlines.
- Advance discussions with CWC to reconcile WSIP public benefits regarding the VP7 recommended alternative.

California Infrastructure Bank

- Identify and begin groundwork to potentially apply for loan funding for the project from the California Infrastructure and Economic Development Bank (IBank) for appropriate/eligible project components.
    - Infrastructure State Revolving Fund Loans (ISRF), IBank Bond Financing, Infrastructure State Revolving Fund Bonds (ISRB).

Other State Appropriations/Bonds/Grants

- Support development and secure funding from potential state bond measures for infrastructure development, drought resiliency, water resources, and flood control.

- Possible bond to support the priorities identified in the Governor's Water Resilience Portfolio has been discussed but may be delayed due to COVID19 impacts to the state's economy.

- Track and pursue opportunities for state appropriations/grants as appropriate for transportation, economic/workforce development, flood control, infrastructure planning, operations & maintenance, etc.

STATE AGENCY COORDINATION

- Pursue development of a DWR/SWP Coordinated Operations Plan.
  - Including term sheet whereby the parties agree on the key provisions of an agreement by **July 2021.**
- Completion of the recirculated Draft Environmental Impact Report (EIR) by **July 2021.**
  - Coordinated with USBR's development of the Recirculated Draft Environmental Impact Statement (EIS) which is planned for completion no later than **July 2021.**
- Develop strategy to advance the Authority's water rights application by **September 2020** with a planned submittal of the application by **June 2022 (aligned with Final EIR/EIS).**
- Secure permits and approvals of CDFW for ITP & LSA.
  - Enter a three-year agreement to fund CDFW staff to support of the project's permitting by **December 2020**.
  - Execute Contract with CDFW for WSIP benefits.
- Secure permits and approvals from Division of Safety of Dams (DSOD) to initiate preliminary design by January 2022.
- Complete approvals and permits to achieve the Final Funding Conditions for Prop 1 by **June 2023** for management of WSIP public benefit water produced by the project.
  - All environmental documental competed, all other federal, state, and local approvals, certifications and agreements required to be completed have been obtained.

Other items that do not require legislative/government affairs action but are administrative in nature are listed below. We will need to keep our legislators informed on progress of these items so that they can step in when/if needed to assist in keeping them on the timeline required to support the project schedule.

Permitting - Ongoing consultation with state agencies to secure required state permits to advance the project.

Public Outreach - Coordinate with appropriate state agencies on any public outreach activities associated with a recirculated EIR. Reestablish AB 52 engagement with tribes and re-engage in consultation.

Sites

Topic: **Authority Board Agenda Item 2.2** **2020 June 24**

Subject: **Negotiation Approach - Permits and Operating Agreements**

Requested Action:

Consider approval of the Authority's negotiation approach for permits and operating agreements.

Detailed Description/Background:

The work plan being executed over the next 18 months includes negotiation of permit and operating agreement terms with state and federal agencies. The organizational assessment identified the need for the Authority to establish an understanding of its process for managing these important discussions to ensure continued policy level oversight and outcomes the Reservoir Committee and Authority Board support. The attached negotiation approach, if approved, would be followed in the negotiation of all permits and operating agreements for the Authority.

The Reservoir Committee and Authority Board would maintain policy level oversight of the negotiations under the proposed approach. However, a single lead negotiator would be empowered to manage the negotiating and would provide progress updates. The policy direction of the Reservoir Committee and Authority Board defines the parameters or principles that set "what" the lead negotiator must accomplish in the negotiations. The lead negotiator is empowered to determine "how" the policy direction is achieved in permits and agreements. When the lead negotiator is satisfied the outcomes of negotiation have achieved the policy direction, a proposed agreement would be brought for Reservoir Committee and Authority Board approval.

Should Reservoir Committee and Authority Board members wish to be involved in the negotiations, they can be under the proposed approach. The proposed approach allows for a negotiating team supporting the lead negotiator that can be made up of Reservoir Committee and/or Authority Board members as advisors on policy concerns. But the involvement of Reservoir Committee and/or Authority Board members does not override the authority of the lead negotiator who remains accountable to the entire Reservoir Committee and Authority Board.

The proposed approach can be adjusted for special circumstances. For example, operating agreements involving the State or Federal projects may require coordination with Reservoir Committee and/or Authority Board members that contract with these entities while also serving the interests of all participating members. Any coordination activities would be discussed with all Reservoir Committee and Authority Board members and they could direct a slightly different arrangement in these cases should they feel it is necessary.

Prior Action:

The proposed approach has been reviewed by the Coordinating Committees of the Reservoir Committee and Authority Board, the Engineering and Operations Workgroup and the Environmental Planning and Permitting Workgroup. Input received has been incorporated into the proposed approach.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown/Ali Forsythe

Attachments:

Attachment A: Draft, Proposed Approach to Permit and Agreement Negotiations Environmental Planning, Permitting and Operations

Approach to Permit and Agreement Negotiations
Environmental Planning, Permitting and Operations

This document provides the approach to be used by the Executive Director for permit and agreement negotiations for those permits and agreements that are needed for the Sites Project and that fall within the environmental planning, permitting, and operations services areas.

- Lead Negotiator – The Executive Director will serve as the lead negotiator on permits and agreements for the environmental planning, permitting, and operations services areas, unless another person is identified as the lead by the Executive Director. The Lead Negotiator is responsible to achieve negotiated terms in accordance with the principles/parameters of the Reservoir Committee and Authority Board.

- Work Group – The Lead Negotiator will consult with the appropriate Reservoir Committee Work Group on technical analysis, alternatives evaluation, and advising Work Group members on constraints, conflicts, and limitations. Communications with the Lead Negotiator are to be kept strictly confidential and disclosed only to the appropriate Work Group members that are part of the negotiation team, the Lead Negotiator, counsel, the Reservoir Committee, and the Authority Board.

- Negotiation Team – The appropriate Reservoir Committee Work Group chair may assign a small subset of Reservoir Committee or Authority Board members (2-4) to participate in negotiations with the Lead Negotiator.

    - Guidelines on participation:
        - The Work Group chair selects the Negotiation Team to achieve balanced representation of interests (e.g. NOD/SOD, Urban, Ag, CVP/SWC) and considers other attributes of members.
        - The Lead Negotiator is the Authority's decision maker in the negotiations and must be present at all discussions with the agency.
        - The Negotiation Team is to strictly provide policy counsel to the Lead Negotiator and has no authority to negotiate on behalf of Sites.
        - The Negotiation Team is there to represent the best interest of the Sites Project and all of the Sites members (Reservoir Committee and Authority Board); not for personal or specific agency or regional interest, recognizing that their personal or specific agency interest helps and informs key components of the discussions.

CONFIDENTIAL PRIVILEGED COMMUNICATION
PRELIMINARY DRAFT DOCUMENT FOR INTERNAL USE ONLY

- An executive level relationship should be fostered between Reservoir Committee and Authority Board members and agency political appointees as this can assist in but is not a substitute for the negotiations approach.
- Communications among the Negotiation Team and with the Lead Negotiator are to be kept strictly confidential and disclosed only to the Negotiation Team, the Lead Negotiator, counsel, the Reservoir Committee, and the Authority Board.

- Reservoir Committee – The Lead Negotiator, working with the Negotiation Team, coordination with counsel, will recommend key negotiation principles/parameters for the Reservoir Committee. The Reservoir Committee will review, modify and recommend approval of the key negotiation principles/parameters to the Authority Board.

- Authority Board – The Authority Board will review, modify and approve the key negotiation principles/parameters that the Lead Negotiator will use to negotiate agreements in principle with the respective agency. If conflicts exist between the Reservoir Committee and Authority Board, the Lead Negotiator will be responsible to resolve those conflicts and, in coordination with counsel, resubmit the key principles/parameters to the Reservoir Committee and Authority Board for review, modification, and approval.

- Final Agreement – When the Lead Negotiator has determined that the key negotiation principles/parameters have been met, the Lead Negotiator, in coordination with counsel, will prepare the final agreement and present to the Reservoir Committee how the Final Agreement complies with the key negotiation principles/parameters. The Reservoir Committee will consider and deliberate accepting that the Final Agreement complies with the key negotiation principles/parameters. The Reservoir Committee will consider recommending approval / signature of the Final Agreement to the Authority Board. The Authority Board will consider approval / signature of the Final Agreement after recommendation by the Reservoir Committee.

- There will be no disclosure of confidential information by any Reservoir Committee or Authority Board participant outside of closed session. All Authority staff, consultants, and members of the Negotiating Team shall maintain the confidentiality of this information within the Authority.

CONFIDENTIAL PRIVILEGED COMMUNICATION
PRELIMINARY DRAFT DOCUMENT FOR INTERNAL USE ONLY

For clarification:

- Parameter is a dollar amount.
- Principles of negotiation are terms and conditions of agreement.

This negotiation approach would apply to:

- All permits and approvals listed in Table C1-2 in the April 2020 Value Planning Report
- Coordinated Operations Plans with the Department of Water Resources and Bureau of Reclamation
- Use of Facilities Agreements with Tehama-Colusa Canal Authority, Glenn-Colusa Irrigation District and operating entities in the Colusa Basin Drain
- Contract with the California Department of Fish and Wildlife for Prop 1 WSIP Environmental Benefits
- Final Funding Agreement for Prop 1 WSIP with the California Water Commission

CONFIDENTIAL PRIVILEGED COMMUNICATION
PRELIMINARY DRAFT DOCUMENT FOR INTERNAL USE ONLY

Sites

Topic: **Authority Board Agenda Item 3.1** **2020 June 24**

Subject: **Preliminary Participation Levels**

Requested Action:

Receive status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation.

Preliminary Participation Levels:

Soft call results have been updated and are included in Attachment A. In summary:

- All participants have responded and provided staff-recommended participation levels. Based on these results, full subscription can be achieved.
- Based on projected, reduced participation from Sacramento Valley, approximately 13,000 AF may be available. The Reservoir Committee and Authority Board in May 2020 requested that outreach be conducted to try to achieve the 25% goal for Sac Valley participation.
- Outside of the Sacramento Valley, current participants identified interest in 5,000 AF and prior participants expressed interest in 10,000 AF of additional capacity, if available.

Outreach and Rebalancing:

Staff has initiated outreach to existing and prior participants in the Sacramento Valley to determine interest in additional capacity. Outreach to potential new Sac Valley participants would occur later. This is consistent with the approved priority system:

1. The goal is total Sac Valley participation of ~25%. Priority is given to current, prior and new Sac Valley participants in that order.
2. Existing Reservoir Committee participating members (with sub-priorities by seniority)
3. Prior Reservoir Committee participating members (with sub-priorities by exit date)
4. Nonparticipants. Priority for new participants outside of Sac Valley.

The process for a participant securing a position in the participation queue involves the following steps:

- Participants submit a letter of intent for new or increased participation prior to September 10, 2020 to secure a position in the queue. The letter should acknowledge the potential financial commitment. Attachment B shows the remaining contributed credit that participants can apply to prior participant payments.
- Staff prioritizes requests based on the approved rebalancing priority system.
- At the September meetings, the Reservoir Committee and Authority Board direct staff to offer capacity to participants in the queue.

To date, the responses have been mixed; some are considering it and others are not interested. More time is needed for consideration and decision. As a reminder, new and returning participants must be approved for admission according to Section 10 of the Participation Agreement, which includes a 75% weighted approval at the Reservoir Committee and 75% of Authority Board director approval as well as other requirements.

Schedule:

The following items will be considered at the Reservoir Committee and Authority Board at their monthly meetings:

- July: Outreach update.
- August: Outreach update.
- September: Final participation levels for current members (executed agreements), approval of participation queue, vote to onboard new participants.
- October: Final participation levels for new members (executed agreements), approval of the final Exhibit A, invoices sent for payment.

Cash Call Timing:

The proposed cash call schedule is:

- $60/AF due Nov 1, 2020.
- Up to $40/AF due Apr 1, 2021.

Recall that payment plan alternatives were discussed in the May meetings. Staff was asked to consider an alternative payment plan for Colusa County based on their unique situation. The alternate payment plan proposed by the County was analyzed by the Project team and was determined to meet the spirit and intent of Amendment 2. The Project team determined that it is prudent and reasonable to accept the alternate payment plan and made a finding of equivalency for the reasons outlined in the attached letter which was sent on June 5, 2020 (Attachment C).

Prior Action:

May 21, 2020: The Reservoir Committee accepted Exhibit B (2020 and 2021 budget) to the Second Amendment to 2019 Reservoir Project Agreement and directed staff to begin outreach to potential participants based on the proposed priority system to explore interest in Sites capacity, if available.

May 5, 2020: At a Reservoir Committee workshop, staff provided information on changes to the cash call process and schedule in light of potential economic

impacts of the COVID-19 natural disaster on participating member agencies. A two-step cash call process including a "Soft Call" and a "Hard Call" will be used to better plan for changes in participation. The due date of the first cash call of $60/AF will be moved two months out to November 1, 2020. The due date of the second cash call will be moved two months out to April 1, 2021 and will be up to $40/AF. The Amendment 2 work will still begin on September 1, 2020.

April 17, 2020: The Reservoir Committee approved the Amendment 2 Work Plan for the purposes of planning cash calls for participants totaling $100/AF, producing a draft budget exhibit to the participation agreement, and developing consultant task orders.

March 30, 2020: At a joint workshop, staff provided information that included a process overview of task order development; an updated project schedule; cash flows for Reservoir Committee and Authority Board; and preliminary task budgets including assigned resources.

March 19, 2020: Staff provided information on the goals, schedule, cash flow, and preliminary budget allocation by subject assuming a cash call of $100/AF. Staff provided a preliminary cash call schedule of $60/AF due September 1, 2020 and $40 due February 1, 2021.

February 21, 2020: Staff provided information on three possible revenue scenarios and a task list for Amendment 2 (September 1, 2020 and ending December 31, 2021). Staff received direction to continue to develop the $100/AF revenue scenario.

January 17, 2020: Staff provided information regarding the proposed process for developing a work plan. This work plan proposes to focus on improving certainty related to project operations, permitting, and affordability, and to meeting the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive funding.

Fiscal Impact/Funding Source:

The total cash call remains unchanged from the approved Amendment 2 work plan in the total amount of $100/AF. With the Amendment 1B carryover funds it may be possible to reduce the total cash call. However, Staff proposes to keep the first call at $60/AF and reassess the second call currently projected at $40/AF midway through the Amendment 2 work. At that time, any adjustments to the second call can be considered based on the then current projections. Participating members can consider the second call to be an "up to" amount since the Amendment 1B carryover represents previously unanticipated funds for the Amendment 2 work plan.

Staff Contact:

Jerry Brown

Attachments:

Attachment A – Preliminary Amendment 2 Participation Table.

Attachment B – Participant Contributed Credit Balance.

Attachment C – Colusa County Payment Proposal Letter.

Preliminary Amendment 2 Participation Table
Reservoir Committee

Based on preliminary soft call survey results as of 3pm Wednesday, 6/3/2020

Working Draft, Subject to Change

Participant	Phase 2 (2019) Participation Level (Ref, AF)	Participation Level (AF) Staff Recommendation	Variance (AF)	Participation Increase Interest (AF)
Antelope Valley East Kern WA	500	500	0	
Carter Mutual Water Company	300	300	0	
City of American Canyon	4,000	4,000	0	
Coachella Valley Water District	10,000	10,000	0	
Colusa County	10,000	10,000	0	
Colusa County WD**	11,975	11,000	-975	Possibly
Cortina WD	450	450	0	
Davis Water District	2,000	2,000	0	
Dunnigan WD	2,717	2,717	0	
Desert WA	6,500	6,500	0	
Glenn Colusa Irrigation District	5,000	5,000	0	
LaGrande water district	1,000	1,000	0	
Metropolitan Water District of Southern California	50,000	50,000	0	
RD-108	4,000	4,000	0	
San Bernardino Valley Municipal Water District	21,400	21,400	0	
San Gorgonio Pass Water Agency	14,000	14,000	0	
Santa Clara Valley WD	16,000	16,000	0	
Santa Clarita Valley Water Agency	5,000	5,000	0	5,000
Westside W.D.**	15,000	2,000	-13,000	
Zone 7 Water Agency	10,000	10,000	0	
Wheeler Ridge-Maricopa WSD	3,050	3,050	0	
Pacific Resources MWC*	0	0	0	10,000
Total	**192,392**	**178,417**	**-13,975**	**15,000**

**Phase 1 participant, no survey response received. Interest expressed by a responding Phase 2 participant.*

***Indicated possibility for higher participation, lower projected participation level shown*

All Participant Summary Phase 1 Conversion and Voluntary Changes Allocations							
Participant	Total Paid	Conversion (Class 2 to Class 1)	Voluntary Changes	Partial Refund (Voluntary Changes)	Remaining Voluntary Change Credit (long term liability)	Refund Unspent Phase 1 Funds	8/31/19 Balance of Long term Liability
American Canyon, City of	$ 145,500.00	$ 48,500.00					$ -
Antelope Valley-East Kern Water Agency	$ 83,104.75		$ (58,854.75)	$ (10,832.99)	$ (48,021.76)	$ (2,849.09)	$ (45,172.67)
California Water Service	$ 848,750.00		$ (848,750.00)	$ (156,223.59)	$ (692,526.41)	$ (29,097.84)	$ (663,428.57)
Carter MWC	$ 24,250.00	$ 7,275.00	$ (16,975.00)	$ (3,124.47)	$ (13,850.53)	$ (831.37)	$ (13,019.16)
Coachella Valley Water District	$ 1,101,095.50	$ -	$ (616,095.50)	$ (113,400.48)	$ (502,695.02)	$ (37,749.05)	$ (464,945.97)
Colusa County	$ 485,000.00			$ -	$ -		$ -
Colusa County Water District	$ 1,557,383.50		$ (980,476.00)	$ (180,469.49)	$ (800,006.51)	$ (53,392.05)	$ (746,614.46)
Dept of Water Resources				$ -	$ -		$ -
Desert Water Agency	$ 270,072.25	$ 45,177.75		$ -	$ -		$ -
Garden Highway MWC	$ 97,000.00		$ (97,000.00)	$ (17,854.12)	$ (79,145.88)	$ (3,325.47)	$ (75,820.41)
Glenn-Colusa Irrigation District	$ 970,000.00		$ (727,500.00)	$ (133,905.94)	$ (593,594.06)	$ (33,254.68)	$ (560,339.38)
Metropolitan Water District of S. CA	$ 1,212,500.00	$ 1,212,500.00		$ -	$ -		$ -
Orland-Artois Water District	$ 970,000.00		$ (970,000.00)	$ (178,541.25)	$ (791,458.75)	$ (33,254.68)	$ (758,204.07)
Pacific Resources MWC	$ 485,000.00		$ (485,000.00)	$ (89,270.62)	$ (395,729.38)	$ (16,627.34)	$ (379,102.04)
Reclamation District 108	$ 970,000.00		$ (776,000.00)	$ (142,833.00)	$ (633,167.00)	$ (33,254.68)	$ (599,912.32)
San Bernardino Valley Municipal Water District	$ 1,246,522.75		$ (208,622.75)	$ (38,399.76)	$ (170,222.99)	$ (42,734.75)	$ (127,488.24)
San Gorgonio Pass Water Agency	$ 581,709.00	$ 97,291.00		$ -	$ -		$ -
Santa Clara Valley Water District	$ 997,232.75		$ (221,232.75)	$ (40,720.80)	$ (180,511.95)	$ (34,188.30)	$ (146,323.65)
Santa Clarita Valley Water Agency	$ 207,749.75	$ 34,750.25		$ -	$ -		$ -
TC6: 4M Water District	$ 24,250.00		$ (24,250.00)	$ (4,463.53)	$ (19,786.47)	$ (831.37)	$ (18,955.10)
TC6: Cortina Water District	$ 14,550.00		$ -	$ -	$ -		$ -
TC6: Davis Water District	$ 97,000.00		$ -	$ -	$ -		$ -
TC6: Dunnigan Water District	$ 242,500.00		$ (110,725.50)	$ (20,380.48)	$ (90,345.02)	$ (8,313.67)	$ (82,031.35)
TC6: LaGrande Water District	$ 48,500.00		$ -	$ -	$ -		$ -
TC6: Proberta Water District	$ 145,500.00		$ (145,500.00)	$ (26,781.19)	$ (118,718.81)	$ (4,988.20)	$ (113,730.61)
Tehama-Colusa Canal Assoc				$ -	$ -		$ -
US Bureau of Reclamation				$ -	$ -		$ -
Western Canal Water District	$ 169,750.00		$ (169,750.00)	$ (31,244.72)	$ (138,505.28)	$ (5,819.57)	$ (132,685.71)
Westside Water District	$ 1,212,500.00		$ (485,000.00)	$ (89,270.62)	$ (395,729.38)	$ (41,568.35)	$ (354,161.03)
Wheeler Ridge-Maricopa Water Storage District	$ 831,023.25		$ (683,098.25)	$ (125,733.21)	$ (557,365.04)	$ (28,490.11)	$ (528,874.93)
Yolo County Flood Control and Water Conservation District				$ -	$ -		$ -
Zone 7 Water Agency	$ 831,023.25		$ (346,023.25)	$ (63,690.13)	$ (282,333.12)	$ (28,490.11)	$ (253,843.01)
Totals	$ 15,869,466.75	$ 1,445,494.00	$ (7,970,853.75)	$ (1,467,140.40)	$ (6,503,713.35)	$ (439,060.68)	$ (6,064,652.67)

**note conversion and refund totals changed due to calculation error on Coachella's invoice, a revised invoice was sent*

Sites

Michael J. Azevedo
Director of Public Works, Colusa County
738 Market Street
Colusa, CA 95932

June 5, 2020

Dear Mr. Azevedo,

This is in response to our recent conversations regarding the ongoing and ever-changing conditions of the COVID-19 economic impacts on County finances. The implications of these unanticipated and significant circumstances are presenting challenges unique to the County[1]. You have proposed an alternate payment plan for the County's continued participation in the Amendment No. 2 work plan that you wish to present to the Colusa County Supervisors when they consider the Second Amendment to 2019 Reservoir Project Agreement (Amendment) at their June 16, 2020 meeting.

The alternate payment plan proposed by the County (see attached) has been analyzed by the Project team and has been determined to meet the spirit and intent of the Amendment. The Project team finds that it is prudent and reasonable to accept the alternate payment plan and make a finding of equivalency for the following reasons:

- The same total dollars are to be paid and there is no lesser commitment as compared to any of the other participating members.
- The timing of the alternate payments is such that on a cash flow basis there is no redirected impacts on other participating members.
- The administrative burden of the alternate plan is offset by the proposed additional year of rent relief for the Authority's use of the Maxwell office building which is owned by the County.

A comparison of the standard payment plan and the proposed alternate payment plan is shown on the attached document.

Please do not hesitate to contact me if you have any questions.

Jerry Brown
Executive Director, Sites Project Authority

cc: Reservoir Committee
Authority Board

Attachment

1. Colusa County is the only participating member that generates 100% of its revenues to pay for participation in the project from taxes that do not involve water services.

Comparison of Payment Plans for Colusa County Sites Reservoir Participation
Amendment No. 2 of the Project Agreement

Payment[1]	Approx. Payment Date	Amendment No. 2 Payment Plan	Approx. Payment Date	Colusa County Proposed Alternate and Equal Payment Plan
early			June 30, 2020	$150,000
1	Nov 1, 2020	$600,000	Nov 1, 2020	$500,000
2	April 1, 2021	up to $400,000	July 1, 2021	up to $350,000[2]

1) All payments assume continued County participation of 10,000 acre-feet
2) depends on the Authority's determination of required funding. Prior amounts contributed in excess of amendment no. 2 will be credited in determining the County's 3rd payment

Note: The County's proposal includes continuing to waive rent collection (estimate to be $1,500/month) on the Maxwell project office through July 2021

Sites

Topic: **Authority Board Agenda Item 3.2** **2020 June 24**

Subject: **Final Federal Feasibility**

Requested Action:

Receive status update on draft 2020 Final Federal Feasibility Report.

Detailed Description/Background

The approved 2020-2021 Sites Federal Government Affairs Priorities includes completion of the Final Federal Feasibility Report (2020 FFFR) to qualify for federal funding and serve as a basis for the State's feasibility analysis required for WSIP funding. The draft 2020 FFFR has been drafted and is routing through the ~6-month review process.

The draft 2020 FFFR determines the Sites project to be feasible and there is federal interest for investment. Potential benefits include CVP operational flexibility for north (including the American River watershed) and south of delta, new supplies for incremental level 4 refuges, and improvements for migrating fish in north of delta rivers and streams. Assuming this determination remains, Sites would be qualified to receive a portion of WIIN Act construction funds which under beneficiary pays would require operating and storage capacity obligations that would be covered under future agreements. Conservatively, the VP7 plan of finance does not include WIIN funds but could accommodate federal participation should an agreement be reached.

There are fairly significant differences between the draft 2020 FFFR and VP7 (the Authority's preferred alternative). The 1.5MAF reservoir size in VP7 is not specifically analyzed and the Delevan Pipeline is not eliminated. The range of reservoir sizes encompasses the 1.5MAF in VP7 and the report indicates that other conveyance mechanisms would be feasible. It was not possible to address these discrepancies and still meet WIIN Act deadlines. The Authority is preparing proactive communications on these issues. The draft 2020 FFFR commits to a post report analysis in spring 2021 to coincide with the Authority's engineering advancement of VP7. Feasibility and federal interest are not expected to change materially.

The draft 2020 FFFR is supportive of meeting the State's WSIP feasibility requirements. The project is found to feasible in all areas of analysis; economic, financial, technical and environmental. The State's requirements align with these same areas, although the State determination will be looking to confirm its investment in the project which is different than potential federal investment. A benefit cost ratio of 1.1 is determined using the rigorous federal standards. A BCR must exceed 1.0 to qualify for federal investment and the many factors are considered among the storage projects competing for WIIN Act funds.

The draft 2020 FFFR puts Sites on track to meet WIIN deadlines and qualify for federal funding. Two key WIIN criteria are a determination of feasibility by December 2020, and initiation of construction by 2022, although what it means to be in construction has not yet been specified. The draft 2020 FFFR is going through policy review currently and will be sent to Washington DC in July where it will undergo numerous reviews including by OMB and finally reaching the Secretary of Interior who must submit the final determination to Congress. Authority Staff is on point for keeping our delegation informed and will work cooperatively and expeditiously to address questions or concerns that arise through the review process. The Authority delegation will keep abreast of the report as well. Any significant updates will be brought back to the Reservoir Committee and Authority Board.

Prior Reservoir Committee Action:

April 2020 – The organizational assessment response matrix identified two items being addressed by this report – 1) Create a strategy to have Reclamation to the table to describe what the local investment will do for their contracts. 2) Establish a strategy to get state and federal agencies to be storage investors.

Fiscal Impact/Funding Source:

Federal funds have paid 100% of the cost of the draft 2020 FFFR. A portion of the 2019 $6M Appropriation to the Sites project will pay the remaining costs plus the cost of the post report analysis. The federal expenditures count as non-State match toward WSIP early funding.

Staff Contact:

Jerry Brown

Attachments:

None.

Sites

Topic: **Authority Board Agenda Item 3.3** **2020 June 24**

Subject: **Proposed Objectives and Alternatives for the Revised EIR/EIS**

Requested Action:

Review and comment on the objectives and alternatives for the Revised Environmental Impact Report / Environmental Impact Statement (EIR/EIS) to focus efforts in developing a more complete project description on schedule.

Detailed Description/Background:

Staff has begun work on preparation of the revised and recirculated Draft EIR (Revised EIR). As part of this effort, the project objectives and action alternatives are being revised based on the Value Planning Report for the Revised EIR/EIS as described in more detail below and attached.

It is important that the Reservoir Committee and Authority Board review and comment on these recommended revisions at this early stage so staff and the consultant team can focus our efforts on development of more complete descriptions of these alternatives for the Revised EIR/EIS, permitting effort, and feasibility design currently underway. Staff anticipates returning to the Reservoir Committee and Authority Board in September with the Revised EIR/EIS project description, including any changes to the objectives based on initial analysis, a more complete description of the action alternatives, along with a recommendation for a Preferred Project. Staff will be seeking Reservoir Committee and Authority Board approval of this Revised EIR/EIS project description and the recommended Preferred Project in September.

Section 15124(b) of the California Environmental Quality Act (CEQA) Guidelines requires that a project description contain a clear statement of the project objectives including the underlying purpose of the project. Revisions to the Project objectives are needed from those contained in the 2017 Draft EIR/EIS to: (1) better reflect the goals of the Reservoir Committee and Authority Board as reflected in the Value Planning Report along with Sites Project messaging and informational materials adopted by the Authority Board in April 2020; and (2) add additional clarity and specificity on what the Project is focused on achieving to improve understanding of the foundational components that lead to formulation of Project alternatives. The revised objectives are being reviewed by the Ad Hoc Environmental Planning and Permitting Work Group at their June 18 meeting and the outcome of this review, including any changes will be reported at the Reservoir Committee meeting.

The CEQA Guidelines require that an EIR analyze a reasonable range of alternatives to the project which would feasibly attain most of the basic objectives of the project but would avoid or substantially lessen any of the significant effects of the project. Staff is recommending revisions to the Project

Draft_0027344

action alternatives from those contained in the 2017 Draft EIR/EIS to better align with the Value Planning Report and the alternatives contained therein. Two action alternatives are being recommended that combine components of VP5, VP6, and VP7 from the Value Planning Report to simplify the EIR and streamline its preparation and review by the public. The recommended revised action alternatives are being reviewed by the Ad Hoc Environmental Planning and Permitting Work Group at their June 18 meeting and the outcome of this review, including any changes will be reported at the Reservoir Committee meeting.

The recommended revisions to the project objectives and the action alternatives are within the scope allowed by CEQA to complete a Revised EIR and do not trigger any new process. Staff is working with Legal Counsel on the recommended revisions and Legal Counsel will continue to be involved in the development of the project description and Revised EIR.

Staff is coordinating the Revised EIR with similar changes Reclamation is or will be making to the EIS document.

Prior Action:

April 2020 Board Meeting - The Authority Board approved the Sites Project Value Planning Alternatives Appraisal Report (Value Planning Report) and the recommendations contained in that Report. The Value Planning Report included substantial changes in the recommended Project, VP7, as compared to Alternative D in the 2017 Draft EIR/EIS. At the same meeting, the Authority Board directed staff to begin preparation of a Revised EIR to analyze the environmental effects of the options identified in the Value Planning Report.

Fiscal Impact/Funding Source:

Development of the recommended project objectives and action alternatives is within the Amendment 1B work plan currently and sufficient funds are available to complete the project description. Completing the Revised EIR is part of the Amendment 2 work plan

Staff Contact:

Ali Forsythe

Attachments:

Attachment A - Recommended Revised EIR Objectives.
Attachment B - Recommended Revised EIR/EIS Alternatives.

Sites Reservoir Project
Preliminary Revised CEQA Objectives
June 12, 2020

- Improve environmental, agricultural, and municipal water supply reliability in a cost-effective manner for Project Participants;
- Improve cold water pool management in Shasta Reservoir through coordination and exchanges with Reclamation to benefit anadromous fish;
- Enhance the Delta ecosystem by providing water to convey food resources from the floodplain to the Delta thereby improving the food chain and quality of the Delta's estuarine habitat for the benefit of pelagic fishes[1] in the north Delta (e.g. Cache Slough);
- Provide improvements in state-wide water supply reliability to enhance opportunities for fish protection, habitat management, and other environmental needs; and
- Provide local and regional amenities, including developing recreational facilities, reducing local flood damage, and maintaining community connectivity through roadway modifications.

[1] Pelagic fish are species that spend most of their life swimming in the water column, having little contact or dependency with the bottom.

Sites Reservoir Project
Preliminary Revised Draft EIR/EIS Alternatives
June 12, 2020

Facilities/Operations	Action Alternative 1 (Derived from VP7)	Action Alternative 2 (Includes Parts of VP5 and VP6)
Diversion/Reservoir Infrastructure Details		
Reservoir Size	1.5 MAF	1.3 MAF
Dams [Scaled to the size of the reservoir]	Two main dams (Golden Gate and Sites) and 8 or 9 saddle dams (to be determined in design)	Two main dams and 7 saddle dams
Spillway	One spillway, design and location to be determined	Similar to Alternative 1*
Funks Reservoir and Funks Pumping Generating Plant	Pumping from the existing Funks Reservoir to Sites Reservoir through new Funks Pumping and Generating Plant and new Funks pipeline; operation will be modified and Funks Reservoir may be dredged. Footprint as described in Value Planning Report.	Similar to Alternative 1
Terminal Regulating Reservoir (TRR); TRR Pumping Generating Plant; TRR Pipeline	Pumping from the GCID Main Canal to Sites Reservoir requires construction of the TRR facilities; footprint as described in Value Planning Report	Same as Alternative 1
Holthouse Reservoir/Fletcher	Eliminated facilities from 2017 Draft EIR/EIS and pumpback generation	Same as Alternative 1
Hydropower	Power generation incidental upon release	Same as Alternative 1
Diversion(s)	Diversion from Sacramento River into exiting Tehama-Colusa Canal at Red Bluff and the existing GCID Main Canal at Hamilton City Adding 2 pumps in existing bays at the plant at Red Bluff	Same as Alternative 1
Emergency Release Flow [Function of the size of the reservoir]	1. Releases into Funks and Stone Corral creeks; and 2. Emergency outflow works pipeline and structure to release north to Hunters Creek Watershed	Similar to Alternative 1*

Sites Reservoir Project
Preliminary Revised Draft EIR/EIS Alternatives
June 12, 2020

Facilities/Operations	Action Alternative 1 (Derived from VP7)	Action Alternative 2 (Includes Parts of VP5 and VP6)
Flood Control	Flood damage reduction benefit for local watersheds from reservoir storage	Same as Alternative 1
Reservoir Management	Reservoir Management Plan (To be developed)	Same as Alternative 1
Electrical Facilities	Transmission Lines, Substations, Switchyards; Interconnection with WAPA or PG&E	Same as Alternative 1
Operations		
One Operational Criteria	Option based on Value Planning Report Table 3.1 Scenario B but anticipated to be modified by future modeling efforts	Same as Alternative 1
Reclamation Involvement	Two Options: 1. Funding Partner 2. Operational Exchanges a. Within Year Exchanges b. Real-time Exchanges	Same as Alternative 1
State Water Project (SWP) Involvement	Operational Exchanges with Oroville and storage in SWP facilities South-of-Delta	Same as Alternative 1
Bypass Releases		
Bypass Releases into Funks Creek and Stone Corral Creek	Develop specific bypass criteria to protect downstream water right holders and ecological function	Same as Alternative 1
Conveyance Release		
Dunnigan Release	Release 1,000 cfs into new pipeline to Colusa Basin Drain	Release into new pipeline to Sacramento River, partial release into the Colusa Basin Drain to fulfill the Proposition 1 needs

Sites Reservoir Project
Preliminary Revised Draft EIR/EIS Alternatives
June 12, 2020

Facilities/Operations	Action Alternative 1 (Derived from VP7)	Action Alternative 2 (Includes Parts of VP5 and VP6)
Recreation		
Facilities Consistent with WSIP Application	Phased implementation consistent with WSIP application. Two primary areas with infrastructure: 1. Peninsula Hills Area 2. Stone Corral Creek One day-use boat ramp w/parking located on the west side of the reservoir and south of the bridge	Same as Alternative 1
Transportation/Circulation		
Provide Route to West Side of Reservoir	Bridge and road to residents at the south end of reservoir (does not go to Lodoga)	Road to south residents continues to Lodoga; no bridge
Other Maintenance and Access Roads	Sites Reservoir Road Modifications Plan – In development	Similar to Alternative 1*

* May have minor differences due to reservoir size

Sites

Topic: **Authority Board Agenda Item 3.4** **2020 June 24**

Subject: **Approach for Discussions with the California Department of Water Resources**

Requested Action:

Review and comment on the approach for discussions with the California Department of Water Resources (DWR) on a Sites Coordinated Operations Agreement (Sites COA).

Detailed Description/Background:

Staff is reinitiating technical discussions with DWR regarding a Sites COA. The Sites COA is expected to address the following key items:

1. Coordination of Sites water deliveries with DWR's operation of the State Water Project.
2. Sites water accounting in the context of the Coordinated Operations Agreement for the Central Valley Project (CVP) and State Water Project (SWP).
3. Conveyance and operational losses.
4. Exchanges and transfers from Sites-participants to non-Sites SWP contractors.
5. Water rights and point of delivery considerations.

Key items may be modified, and additional items may be added as discussions progress.

Discussions have been technical in nature to date, focusing on technical analyses that would benefit both Sites and DWR in better understanding the key items above. Eventually, the discussions will involve negotiations of agreement terms.

Staff and DWR team members have developed the attached schedule of key activities. Principles of agreement on the key items identified above are scheduled for completion in June 2021. Completion of a full agreement would progress after the principles of agreement, but execution may need to wait until the Project California Environmental Quality Act process is completed in spring 2022. The schedule identifies key points for check-ins with DWR's Director and Sites leadership to ensure that activities are progressing, and issues are being addressed in a timely manner.

Staff has been and will continue coordinating our efforts on a COA with the Sites participants that are also SWP contractors. There are several SWP contract matters that will need to be resolved between this group and DWR concurrent

Draft_0027350

with the development of the Sites COA. WE do not anticipate any conflicts, but It is important that the RC members be kept apprised of these side by side and ongoing discussions.

Prior Action:

None.

Fiscal Impact/Funding Source:

The technical work and negotiations efforts are included in the Amendment 1B and 2 work plans.

Staff Contact:

Ali Forsythe

Attachments:

Attachment A - Sites / DWR Term Sheet Development Schedule.

Sites Reservoir Project Schedule

Milestone	Scheduled Date
For EIR Analysis • Establish modeled Release and Diversion Criteria • Define Project Baseline and Alternatives	June 2020
Perform Operations Analysis	Jun 2020-Nov 2020
Re-analyze Public Benefits	Nov 2020- Apr 2021
Complete USBR Final Federal Feasibility Report	Dec 2020
Complete Negotiation of Key Terms for Coordinated Operations with CVP and SWP	June 2021
Submit State Prop 1 Feasibility Report	July2021
Release Recirculated Draft EIR for 60-day public review	July 2021
Complete Plan of Finance and Allocation of Benefits and Costs	Aug 2021
Receive confirmation of local agency participation for Prop 1	Oct 2021
CWC determination of Prop 1 Construction Funds Eligibility	Dec 2021
Submit Water Rights Application to SWRCB	Jan 2022
Issue Final EIR	Mar 2022

DWR/Sites Term Sheet Development Schedule

(Jointly involve Ted Craddock and DWR Legal (David Sandino) as we go, no surprises)

Milestone	Date
Meet with Sites/SWP ktrs after Reservoir Cmte to outline approach	June 18, 2020
Meet to Review Operations Options with DWR/ Sites team/Sites SWP ktrs - Project water (DWR has to own, operate, maintain an asset, can be a service contract) - Operational exchange (similar to arrangement with CVP) - Article 55 (lowest pumping priority)	July 15, 2020 (date tdb)
DWR Director Check-In	**Aug 2020**
Conduct Operations Analysis to prove: - Can water be delivered - No harm - SWP flexibility - Sites can be on Statement of charges (tbd – who performs modeling)	Aug-Nov 2020 (4 months)
DWR Director Check-in	**Nov 2020**
Determine final coordinated operations model results	Nov 2020
Establish initial term sheet reflecting final model results and initiate negotiation of commercial terms	Dec 2020
DWR Director Check-in	**Mar 2021**
Final COA term sheet complete	June 2021

version date: May 15, 2020

Sites

Topic: **Authority Board Agenda Item 3.5** **2020 June 24**

Subject: **Colusa Basin Drain & Dunnigan Pipeline Alignment**

Requested Action:

Receive status update on the Colusa Basin Drain (CBD) evaluation and Dunnigan Pipeline (DP) alignment review.

Detailed Description/Background:

The use of the CBD and DP as the main conveyance for releases to the Sacramento River is a new configuration of the project as a result of the Value Planning. Good progress has been made on further evaluating the feasibility of these project elements.

The Dunnigan Pipeline alignment analysis is progressing well. No fatal flaws have been identified to date. The consultant team is currently refining the alignment to reduce impacts to properties and landowner operations, while minimizing environmental impacts to Bird Creek. Initial outreach and coordination have occurred with landowners and the land use authorities. So far, there have been significant issues identified from these groups.

An important hydraulic study of the CBD has been initiated. This analysis will confirm whether releases into the CBD are viable. The team is optimistic that conveyance concerns and potential impacts to adjacent properties can be avoided or mitigated. We will be able to determine go/no-go on releases to the CBD by August 2020. If use of the CBD is found to be infeasible, an alternative extending the Dunnigan Pipeline alignment to the river still exists. This extension will have additional environmental and real estate impacts but is considered a feasible alternative.

Prior Action:

None.

Fiscal Impact/Funding Source:

The feasibility analysis is being done within the Amendment 1B work plan. Adjustments to the work plan were necessary to accomplish the hydraulic modeling which is estimated to cost approximately $39,000.

Staff Contact:

Henry Luu

Attachments:

None.

Draft_0027353

Sites

Topic: **Authority Board Agenda Item 3.6** **2020 June 24**

Subject: **Regulatory Agency Technical Working Group**

Requested Action:

Receive status update on the approach for Regulatory Agency Technical Working Group.

Detailed Description/Background:

Staff have reinitiated efforts on the development and submittal of key permits for the Sites Project as reflected in the Amendment 1B Work Plan. As part of this effort, the team has developed an approach to facilitate regular communication and coordination with state, federal and local agencies with jurisdiction over all or portions of the Project. The attached, *Regulatory Agency Technical Working Groups Approach* document, details this effort. This approach is also in response to Action 2.4 in the November 2019 Organizational Assessment. The activities of the regulatory coordination will be reported to the Environmental Planning and Permitting Workgroup.

Prior Action:

None.

Fiscal Impact/Funding Source:

Conducting regulatory coordination is within the scope of work for the Amendment 1B and 2 work plans.

Staff Contact:

Ali Forsythe

Attachments:

Attachment A - Regulatory Agency Technical Working Groups Approach.

Regulatory Agency Technical Working Groups Approach

The following two technical working groups will be established to facilitate regular communication and coordination with state, federal and local agencies during the permitting efforts for the Sites Reservoir Project. These groups are expected to be in place thru Amendment 2 efforts (e.g., the end of 2021) and likely into Phase 3 efforts (post 2021). Additional technical working groups may be added as permitting activities progress depending on need. It is important to note that these groups are not intended to replace or supersede focused discussions and meetings with specific agencies, but rather facilitate a common understanding and basis of Project-wide and Fisheries/Operations knowledge and dialogue. These would be staff and consultant run meetings. Reservoir Committee and Authority Board members could attend to observe if desired. Results of the meetings will be reported out to the appropriate Reservoir Committee and Authority Board workgroups.

Group 1: Interagency General Update and Coordination Meetings

- **Purpose**: Quarterly meetings to efficiently update and coordinate with all and state, federal and local regulatory and/or partnering agencies. Items to be include in the meeting agenda would include general updates on project status, design efforts, status of permit development, status of working thru any key considerations that may affect all permits and upcoming items. Attendees would also be asked to update on permit processing status (if a permit application has been submitted and permit development is in process), update on any changes in their organizations, law or regulation that may affect Sites and identify any information needs or concerns they may have. Specific items, information requests or concerns would be identified, and a process developed for resolution with the relevant agencies and the Sites team.

- **Potential Attendees**: This meeting would be open to any agency that had an interest. Potential attendees would be broad and could include the following:
  - United States Fish and Wildlife Service (USFWS)
  - Bureau of Reclamation (Reclamation)
  - Bureau of Indian Affairs (BIA)
  - National Marine Fisheries Service (NMFS)
  - Natural Resources Conservation Service (NRCS)
  - United States Army Corps of Engineers (USACE)
  - United States Environmental Protection Agency (USEPA)
  - Western Area Power Administration (WAPA)
  - California Department of Fish and Wildlife (CDFW)
  - California Department of Transportation (Caltrans)

- California Department of Water Resources (DWR)
- California Office of Historic Preservation - State Historic Preservation Officer (SHPO)
- California State Water Resources Control Board
- Central Valley Regional Water Quality Control Board
- Central Valley Flood Protection Board
- County of Colusa
- County of Glenn
- County of Yolo

Group 2: Fishery and Operations Technical Meetings

- **Purpose**: Focused, as needed, fishery and operations meetings to review and discuss the modeling approach, analysis approach, operational criteria and the resulting effects to species of the Project. These meetings are intended to stimulate collaboration and early input into the fisheries and operational components and analysis and are anticipated to include technical, detailed discussion of topics.

- **Potential Attendees**: Potential attendees could include the following:
  - United States Fish and Wildlife Service (USFWS)
  - Bureau of Reclamation (Reclamation)
  - National Marine Fisheries Service (NMFS)
  - California Department of Fish and Wildlife (CDFW)
  - Additional agencies, depending on topics and authority

Topic: **Sites Reservoir Project, Phase 2**

Subject: **Monthly Status Report** Report Period: **2020 May**

Monthly Status Summary:

The development of the Sites Project continues to make progress in the critical areas including the following activities:

WSIP Funding:

- A six-agency letter was submitted to the CWC requesting consideration of modifications to the Prop 1 WSIP implementation in light of COVID economic impacts. Commission Staff will reach out to each project to explore options for addressing cash flow concerns.

WIIN Act Funding:

- See "Coordination with Reclamation" section below.

USDA Funding:

- No update.

Engineering:

- Initiated feasibility analysis and design of project alternatives.
- Coordinated data needs to support preparation of the Project Description.

Coordination with Reclamation:

- Continued to work closely with Reclamation to provide the information needed to enter into a Financial Assistance Agreement for WIIN Act funding. Discussed the potential scope for $1 million of in-kind work.
- Received and reviewed Reclamation's final draft Feasibility Report. Provided comments related to integrating the Feasibility Study with the Value Planning Report and recommended Project.
- Continued to work with Reclamation planning and environmental teams on the National Environmental Policy Act approach, confirming the preparation of a Revised Environmental Impact Statement (EIS).

Environmental Planning and Permitting:

- Continued development of the project description for use in the Revised Environmental Impact Report (EIR) and the Biological Assessment.
- Continued to refine the EIR/EIS Work Plan submitted by ICF to identify technical work (environmental, operations and engineering) that will be needed to support the analysis performed for the Revised Draft EIR/EIS under Amendment 2.
- Updating CEQA project objectives to support alternatives selected for Revised

Draft EIR/EIS analysis.

- Produced memorandum on Place of Use for the Project's water right application.
- Developed approach to permit and agreement negotiations for Environmental Planning, Permitting and Operations.
- Developed approach for Regulatory Agency Technical Working Group.
- Established data exchange, water quality and geotechnical small technical working groups to facilitate development of those project components for use in the Revised EIR/EIS, Biological Assessment and Sites Feasibility Report.
- Continued technical discussions and planning activities for state and federal ESA and Clean Water Act permitting.
- Conducted meeting with CDFW Department Director regarding the value planning and "rightsized" project. There will be follow-up with CDFW staff next month to determine a coordinated schedule for the permitting work.

Operations:

- Developed negotiations approach for working on operational agreements with the Department of Water Resources and the Bureau of Reclamation.
- Reviewed options for the modeling baseline and developed a technical memorandum outlining pros and cons of various baselines as well a recommendation for review with the Ad Hoc Operations and Engineering Workgroup.
- Progressed the development of operations criteria that will be built into the models for use in the Revised EIR/EIS and Biological Assessment. Criteria in development include the modeling of Shasta exchanges, diversion criteria, water demand, and coordination with the State Water Project.

Stakeholder Engagement, Public Outreach & Real Estate:

- Conducted a "virtual" Capitol Hill Legislative Day to brief federal elected officials, legislative staff, and committee staff on the new "rightsized" project and the project's near-term activities.
- Continued engagement in outreach efforts with landowners, local community members, state and federal elected officials, non-governmental organizations and coalitions of regional and statewide organizations to introduce the Value Planning Report and the new "rightsized" project.
- Responded to landowner requests for project information, facilitated coordination activities with local government agencies and organizations and planned for future landowner, stakeholders and general public outreach activities and events.

Program Management & Administration:

- Developed Amendment 2 Task Order templates and provided guidance to service area providers.
- Developed a two-step cash call process and presented priority system at Reservoir Committee and Authority Board Meetings.

Draft_0027358

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JERRY BROWN, EXECUTIVE DIRECTOR
925.260.7417

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members *(NON-VOTING)*

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

Notice: Pursuant to Executive Orders N-25-20 and N-33-20, issued by Governor Newsom on March 12, 2020, and guidance by the California Department of Public Health dated March 11, 2020, this meeting will be conducted by teleconference. The public may attend the meeting and offer public comments by phone, using the call-in number above. Members of the Committee will participate by teleconference from other locations. In addition, the public and members of the Committee may, at their discretion, attend the meeting at the Sites Project Authority's Maxwell Office located at 122 Old Highway 99 West, Maxwell, CA 95955. However, it is requested that everyone wear facial coverings and the room will be arranged to achieve physical distancing standards, and capacity at this site is therefore limited and will not be sufficient to accommodate more than a few members of the public.

July 22, 2020 ***1:30 p.m.***
Sites Project Authority
Agenda

Teleconference: **1-408-418-9388** Code: **146 087 0032** WebEx Link

Welcome to a meeting of the Sites Joint Powers Authority. If you are scheduled to address the Board, please state your full name for the record. Regularly numbered items may be considered at any time during the meeting. All items are listed in accordance with the Ralph M. Brown Act. We invite all members of the public to attend.

ROLL CALL & CALL TO ORDER:

- Introductions.
- Pledge of Allegiance.
- Approval of July 22, 2020 Agenda.
- Announcement of Closed Session.
- Period for Public Comment.

1. Consent Agenda: Approximate start time 1:40 pm

The following items have been reviewed by the Executive Director. To his knowledge, there is no opposition to the action. The items can be acted on in one consolidated motion as recommended or may be removed from the Consent Calendar and separately considered at the request of any person.

1.1 Consider approval of the June 24, 2020 Phase 2 Authority Board Meeting Minutes.

1.2 Consider acceptance of the Sites Project Authority Treasurer's Report as presented in Attachment 1.2A. **(Attachment A)**

1.3 Consider approval of the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B. **(Attachments A, B & C)**

1.4 Consider approval of Resolution No. 2020-03, providing all of the duties, authorities and responsibilities to the Authority's Executive Director that were previously delegated to the Authority's General Manager. **(Attachment A)**

1.5 Consider approval of the revised changes to the Authority Consulting Services Agreement. **(Attachment A)**

1.6 Consider approval of the Coordination, Legislative Outreach, Land Management and Joint Budget and Finance Workgroups Charter Document updates. **(Attachment A & B)**

1.7 Consider approval of an amendment to Brown and Caldwell's (Project Controls) contract and task order scope of work and budget. **(Attachment A)**

2. Action Items: Approximate start time 1:45 pm

2.1 Consider acceptance the Financial Auditor's Report. **(Attachments A & B)**

2.2 Consider approval of release of a Request for Qualifications to initiate the procurement of legal services to support the acquisition of the water rights permits and approvals needed to support the project. **(Attachment A)**

3. Discussion and Information Items: Approximate start time 2:15 pm

3.1 Review and comment on the conditionally approved August 2019 Water Storage Policy and consideration of updates to align with Value Planning. Recognize the storage policy serves as the foundational document to 2021 key milestones of creating a Plan of Finance and Operations Plan and refer consideration of updates to the ad hoc Engineering and Operations Workgroup. **(Attachment A)**

3.2 Review and comment on the approach being taken for the modeling baseline for the EIR/EIS analysis.

3.3 Review and comment on the Credit Reimbursement Policy Revisions. **(Attachments A & B)**

3.4 Receive status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation. **(Attachment A)**

3.5 Review and comment on the July 2020 Quarterly Update of the plan and schedule for addressing the Organizational Assessment findings and recommendations. **(Attachment A)**

3.6 Review and comment on the Strategic Planning Session Draft Agenda. **(Attachment A)**

4. Reports: Approximate start time 3:00 pm

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

4.1.2 Committee Chairpersons' Report:

This time is set aside to allow the Committee Chairpersons' an opportunity to disclose/discuss items related to the Sites Project.

4.1.3 Authority Board Participant Reports:

This time is set aside to allow Directors or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

4.2 Executive Director's Report:

- Sites Project's monthly status report. **(Attachment 4-2 A)**
- Reservoir Committee update.

5. Closed Session

5.1 Executive Director's Three-Month Performance Review (Govt. Code§ 54954.5(e)).

6. Future Meetings and Schedules: Approximate start time 3:40 pm

6.1 Suggested Future Agenda Items.

6.2 Upcoming meetings:

STRATEGIC PLANNING SESSION

AUGUST 13, 2020
TBD
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

RESERVOIR COMMITTEE

FRIDAY, AUGUST 21, 2020 9:00 AM
Sites Project Office, 122 Old Highway 99W Maxwell, CA 95955
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

AUTHORITY BOARD

WEDNESDAY, AUGUST 26, 2020 1:30PM
Sites Project Office
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

ADJOURN

PERIOD OF PUBLIC COMMENT: Any person may speak about any subject of concern, provided it is within the jurisdiction of the Directors and is not already on today's agenda. The total amount of time allotted for receiving such public communication shall be limited to a total of 15 minutes per issue and each individual or group will be limited to no more than 5 minutes each within the 15 minutes allocated per issue. ***Note:*** *No action shall be taken on comments made under this comment period.*

ADA COMPLIANCE: Upon request, agendas will be made available in alternative formats to accommodate persons with disabilities. In addition, any person with a disability who requires a modification or accommodation to participate or attend this meeting may request necessary accommodation. Please make your request to the Board Clerk, specifying your disability, the format in which you would like to receive this Agenda and any other accommodation required no later than 24 hours prior to the start of the meeting.

All supporting documentation is available for public inspection and review in the Sites Project Authority office located at 122 Old Highway 99 West Maxwell, CA 95955 during regular business hours 8:30 a.m. to 5:00 p.m., Monday through Friday.

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JERRY BROWN, EXECUTIVE DIRECTOR
925.260.7417

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members ***(NON-VOTING)***

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

June 24, 2020 ***1:30 p.m.***
Sites Project Authority
Minutes

CALL TO ORDER & ROLL CALL:

Chairman Durst called the Sites Project Authority Board of Directors meeting to order at the hour of 1:30 p.m., followed by the Pledge of Allegiance.

INTRODUCTIONS:

Chairman Durst asked those present to introduce themselves. (**Attachment A**)

AGENDA APPROVAL:

It was moved by Director Dennis, seconded by Director Parker to approve the June 24, 2020 Sites Project Authority Agenda. Motion carried: All Directors present voted yes.

PERIOD OF PUBLIC COMMENT:

Chairman Durst called for public comment. Hearing none, he closed the period of public comment.

1. **CONSENT AGENDA:** It was moved by Director Harris, seconded by Director Dennis to approve Consent Agenda Item Numbers 1.1 through 1.3 as follows:

1.1 Approval of the May 21, 2020 Phase 2 Reservoir Committee Meeting Minutes.

1.2 Acceptance of the Sites Project Authority Treasurer's Report as presented in Attachment 1.2A.

1.3 Approval of the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B.

Motion carried: All Directors present voted yes.

2. Action Items:

2.1 Consider acceptance of the 2020-2021 Sites Project State Agency Legislative Activity Priorities.

Mr. Spesert provided an overview of the 2020-2021 Sites Project State Agency Legislative Activities Priorities, stating these priorities would be the Committee's and Team's focus through December 2021. He added any adjustments/refinements would be presented to the Reservoir Committee and Authority Board prior to being incorporated, with updates as needed. Brief discussion followed.

Action: It moved by Director Evans, seconded by Director Harris to accept the 2020-2021 Sites Project State Agency Legislative Activity Priorities. Motion carried: All Directors present voted yes.

2.2 Consider approval of the Authority's negotiation approach for permits and operating agreements.

Ms. Forsythe provided an overview of the Authority's negotiation approach for permits and operating agreements with state and federal agencies.

Chairman Durst stated the Executive Director would be given the authority as the lead in these negotiations, with two teams working under him, the Workgroup which would deal with technical issues and the Negotiating Team who would evolve some of the principles. Brief discussion followed.

Action: It was moved by Director Sutton, seconded by Director Dennis to approve the Authority's negotiation approach for permits and operating agreements. Motion carried: All Directors present voted yes.

3. Discussion and Information Items:

3.1 Receive status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation. **(Attachment A, B & C)**

Mr. Robinette provided an update regarding the following:

- Preliminary Participation Levels.
- Outreach and Rebalancing.
- Schedule.
- Cash Call Timing.
- Process for a participant securing a position in the participation queue and steps involved.

Mr. Robinette also spoke to a request from Colusa County regarding an alternate payment plan based on their unique situation. He stated the alternate payment plan proposed by the County was analyzed by the Project team and the Team determined that it was prudent and reasonable to accept the alternate payment plan and made a finding of equivalency for the following reasons:

- The same total dollars are to be paid and there is no lesser commitment as compared to any of the other participating members.
- The timing of the alternate payments is such that on a cash flow basis there is no redirected impacts on other participating members.
- The administrative burden of the alternate plan is offset by the proposed additional year of rent relief for the Authority's use of the Maxwell office building which is owned by the County.

Discussion followed with no action taken.

3.2 Receive status update on draft 2020 Final Federal Feasibility Report.

Mr. Brown provided an update on the draft 2020 Final Federal Feasibility (FFFR) Report, stating the approved 2020-21 Sites Federal Government Affairs Priorities includes completion of the FFFR to qualify for federal funding and serves as a basis for the State's feasibility analysis required for WSIP funding. Mr. Brown spoke to the following:

- Potential benefits for north and south of delta, new supplies for incremental level 4 refuges and improvement for migrating fish in north of delta rivers and streams.
- WIIN Act construction funds.
- Differences between the draft 2020 FFFR and VP7 (the Authority's preferred alternative).
- "Right sized" Project.
- WIIN Act deadlines.
- Benefit cost ratio.
- Key WIIN Act criteria (determination of feasibility by December 2020 and initiation of construction by 2022.
- Feasibility Report Review Process.

Mr. Brown stated the draft 2020 FFR is currently going through policy review and will be sent to Washington DC in July where it will undergo numerous reviews by OMB and the Secretary of Interior who will then submit the final determination to Congress. Discussion followed with no action taken.

3.3 Review and Comment on the objectives and alternatives for the Revised Environmental Impact Report/Environmental Impact Statement to focus efforts in developing a more complete project description on schedule. **(Attachment A & B)**

Ms. Forsythe provided an overview on the objectives and alternatives for the Revised Environmental Impact Report/Environmental Impact Statement. She spoke to the following:

Overview:

- Work on the preparation of the Revised EIR/EIS, updates to the Biological Assessment and design efforts.
- Key first step is the development of a Revised Project Description:
  - Serves as the foundation of the Revised EIR/EIS.
  - Preferred Project is foundation of the Biological Assessment and Sites Feasibility Report.
- Soliciting early input to reduce rework and maintain schedule.

Building Block to September Decision:

- Environmental Planning and Permitting Key Milestones:
  - September, RC and AB to review and approve.
    - More complete project description.
    - Recommendation on Preferred Project.
- Necessity of staying on schedule.

Objectives – Background:

- CEQA Guidelines – require that a project description contain a clear statement of the project objectives, including the underlying purpose of the Project.
- Staff proposing revisions to objectives to:
  - Better reflect goals of Reservoir Committee and Authority Board as described in the Value Planning Report and messaging documents.
  - Add clarity and specificity to improve understanding of the foundation of the Project.
- Improve environmental, agricultural and municipal water supply reliability in a cost-effective manner for Project Participants.
- Improve cold water pool management in Shasta Reservoir through coordination and exchanges with Reclamation to benefit anadromous fish.
- Enhance the Delta ecosystem by providing water to convey food resources from the floodplain to the Delta thereby improving the food chain and quality of the Delta's estuarine habitat for the benefit of pelagic fishes in the north Delta (e.g. Cache Slough.)

- Provide improvements in state-wide water supply reliability to enhance opportunities for fish protection, habitat management and other environmental needs.
- Provide local and regional amenities, including development of recreational facilities, reduction of local flood damage and maintaining community connectivity through roadway modifications.

Alternatives – Background:

- CEQA Guidelines require that an EIR analyze a reasonable range of alternatives that would:
  - Satisfy and attain most of the basic objectives of the project.
  - Avoid or substantially lessen any of the significant effects of the project.
- Staff proposing revisions to alternatives to better align with Value Planning Report.
- Alternatives – Key Components and Differences of the Facilities/Operations, Action Alternative 1 (Derived from VP7 and Action Alternative 2 (Includes Parts of VP5 and VP6).
- Next Steps:
  - Continue development and refinement of more complete project description, including:
    - Objectives.
    - Action Alternatives.
    - No Action Alternatives.
    - Recommended Preferred Project.
- Return to Reservoir Committee and Authority Board in September with:
  - More complete project description.
  - Recommend Preferred Project for the purpose of the CEQA analysis.
- Coordinate with Reclamation and Sites legal counsel for input.

Discussion followed regarding Alternative 1 and Alternative (Conveyance Release/Dunnigan Release and Route to West side of Reservoir) with no action taken.

3.4 Review and comment on the approach for discussions with the California Department of Water Resources on a Sites Coordinated Operations Agreement. **(Attachment A)**

Mr. Brown stated Staff is reinitiating technical discussion with DWR on a Sites Coordinated Operations Agreement (COA). He stated Sites COA would address the following key items:

1. Coordination of Sites water deliveries with DWR's operation of the State Water Project.
2. Sites water accounting in the context of the COA) for CVP and SWP.
3. Conveyance and operational losses.
4. Exchanges and transfers from Sites-participants to non-Sites SWP contractors.
5. Water rights and point of delivery considerations.

Brief discussion followed with no action taken.

3.5 Receive status update on the Colusa Basin Drain (CBD) evaluation and Dunnigan Pipeline alignment review.

Mr. Luu provided an update on the CBD evaluation and the Dunnigan Pipeline alignment. He stated the Dunnigan Pipeline alignment analysis is progressing well, with no fatal flaws having been identified to date. He stated further the consultant team is refining the alignment to reduce impacts to properties and landowner operations as well as minimizing environmental impacts to Bird Creek. He further stated a hydraulic study of the CBD has been initiated and the analysis would confirm whether releases into the CBD are viable. He said a determination of a go/no-go on releases to the CBD would be made by August 2020. and should the use of the CBD not be feasible an alternative extending the Dunnigan Pipeline alignment to the river would still exist.

Brief discussion followed with no action taken.

3.6 Receive status update on the approach for Regulatory Agency Technical Working Group. **(Attachment A)**

Ms. Forsythe stated staff have reinitiated efforts on the development and submittal of key permits for the Sites Project as reflected in the Amendment 1B Work Plan. She stated two technical working groups would be established to facilitate regular communication and coordination with state, federal and local agencies with jurisdiction over all or portions of the Project.

She stated Group 1: Interagency General Update would meet on a quarterly basis to update and coordinate with all state, federal and local regulatory and/or partnering agencies; Group 2: Fishery and Operations Technical Meetings would focus, as needed on fishery and operations meetings to review and discuss the modeling approach, analysis approach, operational criteria and the resulting effects to species of the Project. She also spoke to Potential Attendees of each of the Technical Working Groups.

Mr. Spesert suggested including local agencies such as the Fire Department, Sheriff's Department, School Districts, etc. Brief discussion followed with no action taken.

4. Reports:

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

Chairman Durst provided a brief update on various matters related to the Sites Project. He also stated Chairman Bettner, Executive Director Brown and he meet on a regular monthly basis.

4.1.2 Workgroup Chairpersons' Report:

Land Management

Director Evans stated a kickoff meeting was held with AECOM and Glenn-Colusa regarding road issues.

Budget & Finance

Ms. Traynham provided and update on the following:

- Audit Report (completed and signed).
- Revised Credit Reimbursement Policy.

Legislative & Outreach

Director Sutton provided a brief update regarding Legislative and Outreach matters.

4.1.3 Reservoir Committee Participant Reports:

None.

4.2 Executive Director's Reports:

- Monthly status report. **(Attachment A)**
- Updated Message Platform.
- Update on concerns raised by M & I Agencies re: 2020 Urban Water Management Plan and added requirement of making findings of reducing reliance on Delta supplies.
- Work conduct of Authority Agents and Consultants re: needs for and responsibilities of conduct within the workplace.
- Federal and State Budgets:
    - WIIN Act Appropriations for fiscal year 2021 announced.
    - Bond funding made it through as related to Sites Project.
- Strategic Planning Session update planned for end of July 2020.

- Communications:
    - Revised Message Platform has been added to the Home Board Package.
    - Town Hall meetings to be held on July 14 and July 15, 2020.
    - Landowner Newsletter re: right sized project has gone out.

Ms. Katz provided an update on outreach to the community and entities interested in the Town Hall meetings.

5. Future Meetings and Schedules:

5.1 Suggested Future Agenda Items.

Mr. Brown provided a brief update of matters to be heard on future Agendas. Brief discussion followed with no action taken.

5.2 Upcoming meetings:

RESERVOIR COMMITTEE

THURSDAY, JULY 16, 2020 1:00 PM
Sites Project Office, 122 Old Highway 99W Maxwell, CA 95955
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

AUTHORITY BOARD

WEDNESDAY, JULY 22, 2020 1:30PM
Sites Project Office, 122 Old Highway 99W Maxwell, CA 95955
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

Chairman Durst adjourned the meeting at 3:35 p.m.

_____ _____
Fritz Durst, Chairman Yolanda Tirado, Board Clerk

Topic: **Sites Reservoir Project, Phase 1** **2020 June 24**

Subject: **Authority Board Meeting Attendance** **1:30 – 4:00 PM**

Current Voting Authority Board Participants:

Participant	✓ Representative	✓ Alternate	Others
Colusa County	☒ Gary Evans	☒ Mike Azevedo	
Colusa County Water District	☐ Joe Marsh	☐ Doug Griffen	
Glenn County	☒ Leigh McDaniel	☐ John Viegas	
Glenn-Colusa Irrigation District	☒ Logan Dennis	☐ Don Bransford	
Reclamation District 108	☒ Fritz Durst	☐ Sean Doherty	
City of Roseville / Placer County Water Agency	☒ Bruce Houdesheldt	☐ Joshua Alpine	
Tehama-Colusa Canal Authority	☒ Jeff Sutton	☐ Halbert Charter	
City of Sacramento / Sacramento County Water Agency	☒ Jeff Harris	☐ Brett Ewart ☐ Ann Sanger	
Westside Water District	☒ Doug Parker	☐ Zach Dennis	

Associate Members (2 non-voting):

Participant	✓ Representative	✓ Alternate	Others
TC-4	☒ Jamie Traynham	☐ Tom Charter ☐ Jason Holley	
Western Canal Water District	☐ Greg Johnson ☒ Ted Trimble	☐ Zach Dennis ☐ Dan Ruiz	

Non-Voting Committee Participants (2):

Participant	✓ Representative/Other	✓ Alternate/Other	
Dept of Water Resources	☐ Rob Cooke	☐ David Sandino	
	☐ Ajay Goyal	☐ Jim Wieking	☐ Dave Arrate
Bureau of Reclamation	☐ Richard Welsh	☒ Don Bader	☐ Chris Duke
	☒ Ryan Davis ☒ Natalie Wolder	☐ Derya Sumer ☐ Mike Dietl ☐ David Van Rijn	☐ Mike Mosley ☐ Shana Kaplan

Authority, Non-Signatory (6):

Participant	✓ Representative	✓ Alternate	
PCWA	☒ Ed Horton	☐ Ben Barker Darin Reintjes	
Roseville	☐ Sean Bigley	☐ Trevor Joseph ☐ Jason Marks	
Sacramento County WA	☒ Kerry Schmitz	☐ Michael Peterson ☐ William Busath	☒ Michael Grinstead ☒ Dave Underwood

Staff:

✓ Name	Representing
☒ Brown, Jerry	Sites Project Authority
☒ Forsythe, Ali	Sites Project Authority
☒ Spesert, Kevin	Sites Project Authority

✓ Name	Representing
☒ Trapasso, Joe	Sites Project Authority
☒ Tirado, Yolanda	Sites Project Authority

Consultants:

✓ Name	Representing
☐ Alexander, Jeriann	Fugro
☐ Boling, Robert	HDR
☐ Briard, Monique	ICF
☐ Floyd, Kim	Floyd
☐ Herrin, Jeff	AECOM
☒ Heydinger, Erin	HDR
☒ Katz, Sara	Katz & Associates

✓ Name	Representing
☒ Kuney, Scott	Young Wooldridge
☒ Luu, Henry	HDR
☒ Robinette, JP	Brown & Caldwell
☐ Spranza, John	HDR
☐ Tull, Rob	Ch2m
☒ Warner Herson, Laurie	Phenix

Other Attendees: *(Check box to have email address added to the distribution list)*

Name	Representing	Contact (Phone & E-mail)
Juleah Cordi	Office of Assemblymember Gallagher	
Conner McDonald	CMD West	
Cathy Westcot	HDR	
Grace Lui		

Sites

Topic: **Authority Board Agenda Item 1.2** **2020 July 22**

Subject: **Treasurer's Report**

Requested Action:

Consider acceptance of the Sites Project Authority Treasurer's Report as presented in Attachment 1-2A.

Detailed Description/Background:

Attachment 1-2A incorporates financial information through June 30, 2020.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: June 2020 Treasurer's Report.

SITES JOINT POWERS AUTHORITY
TREASURER'S REPORT
June 30, 2020

Savings Account-River City Bank				
Beginning Balance	06/01/2020		**$ 2,956,636.65**	
Transfer to General Checking to max benefit			**$ (218,086.79)**	
Interest Earned			**$ 2,232.05**	
River City Bank ICS Savings Account Balance	**06/30/2020**			**$ 2,740,781.91**
General Operating Checking Account-River City Bank				
Beginning Balance	06/01/2020		**$ 1,149,966.02**	
RECEIVED:				
Refund from Sac Public Library-Meeting Expense		$ 450.00		
Interest Earned		$ 945.78		
TOTAL RECEIVED			**$ 1,395.78**	
DISBURSED:				
Bank Fees		$ (157.77)		
Administrative Support		$ (4,189.50)		
Computer Service		$ (1,350.62)		
General Manager		$ (28,551.26)		
Accounting Fees		$ (1,765.00)		
Office Expenses		$ (723.07)		
Professional Fees-Legislative/Regulatory		$ (8,000.00)		
Professional Fees-Communication		$ (35,424.99)		
Professional Fees-Organizatioal Assessment				
Professional Fees-Fed Gov't Affairs Support		$ (15,000.00)		
Professional Fees-Legal Services		$ (5,707.14)		
TOTAL DISBURSED			**$ (100,869.35)**	
Transfer from Savings to max benefit			**$ 218,086.79**	
RCB General Checking Account Balance	**06/30/2020**			**$ 1,268,579.24**
State (WSIP) Fund Checking Account-River City Bank				
Beginning Balance	06/01/2020		**$ 5,744,010.94**	
DISBURSED:				
Bank Wire Fees				
Annual Audit Fees				
Bus/Comm Manager		$ (21,320.00)		
Program Op Manager		$ (28,000.00)		
Professional Fees-Engineering		$ (8,112.77)		
Professional Fees-Cost Development		$ (1,374.00)		
Professional Fees-Bond Counsel		$ (3,970.00)		
Professional Fees-Executive Director Services		$ (33,750.00)		
Professional Fees-Project Controls		$ (116,764.80)		
Professional Fees-Operations/SIM Modeling		$ (97,617.53)		
Professional Fees-EPP Manager		$ (30,000.00)		
Professional Fees-Geotech Eng		$ (11,875.58)		
Professional Fees-Project Integration		$ (168,516.59)		
Professional Fees-Reservoir Operations		$ (3,185.00)		
Professional Fees-Special Legal		$ (971.10)		
Professional Fees-Env/Biological Serv & Permit		$ (96,395.12)		
TOTAL DISBURSED			**$ (621,852.49)**	
RCB State Fund Checking Account Balance	**06/30/2020**			**$ 5,122,158.45**
TOTAL CASH ON HAND	**June 30, 2020**			**$ 9,131,519.60**

Sites Project Joint Powers Authority
Transactions by Account
As of June 30, 2020

Type	Date	Num	Name	Memo	Debit	Credit	Balance
River City ICS Savings							2,956,636.65
General Journal	06/24/2020	6-1C		transfer to max ICS		218,086.79	2,738,549.86
General Journal	06/30/2020	6-3C		rec int fme	2,232.05		2,740,781.91
Total River City ICS Savings					2,232.05	218,086.79	2,740,781.91
River City Operating Account							1,149,966.02
Bill Pmt -Check	06/09/2020	2154	Adept Solutions Inc			1,350.62	1,148,615.40
Bill Pmt -Check	06/09/2020	2155	Dunn Consulting			8,000.00	1,140,615.40
Bill Pmt -Check	06/09/2020	2156	J.C. Watson, Inc			28,551.26	1,112,064.14
Bill Pmt -Check	06/09/2020	2157	Katz and Associates, Inc			35,424.99	1,076,639.15
Bill Pmt -Check	06/09/2020	2158	KCoe Isom, LLP			1,765.00	1,074,874.15
Bill Pmt -Check	06/09/2020	2159	M.R. Cleaning Services			400.00	1,074,474.15
Bill Pmt -Check	06/09/2020	2160	Maximun Pest Control			65.00	1,074,409.15
Bill Pmt -Check	06/09/2020	2161	Mt Shasta Spring Water			30.15	1,074,379.00
Bill Pmt -Check	06/09/2020	2162	Recology Butte Colusa Counties			35.95	1,074,343.05
Bill Pmt -Check	06/09/2020	2163	Rush Personnel Services, Inc			4,189.50	1,070,153.55
Bill Pmt -Check	06/09/2020	2164	The Ferguson Group			15,000.00	1,055,153.55
Bill Pmt -Check	06/09/2020	2165	US Bank			191.97	1,054,961.58
Bill Pmt -Check	06/09/2020	2166	Young Wooldridge LLP			5,707.14	1,049,254.44
Deposit	06/24/2020			Sac Public Library	450.00		1,049,704.44
General Journal	06/24/2020	6-1C		transfer to max ICS	218,086.79		1,267,791.23
General Journal	06/30/2020	6-2C		Bank service fee		157.77	1,267,633.46
General Journal	06/30/2020	6-4C		rec int fme	945.78		1,268,579.24
Total River City Operating Account					219,482.57	100,869.35	1,268,579.24
River City State Fund Checking							5,744,010.94
Bill Pmt -Check	06/09/2020	1052	AECOM Technical Services, Inc.			8,112.77	5,735,898.17
Bill Pmt -Check	06/09/2020	1053	Brown and Caldwell			116,764.80	5,619,133.37
Bill Pmt -Check	06/09/2020	1054	CH2M Hill Engineers, Inc			97,617.53	5,521,515.84
Bill Pmt -Check	06/09/2020	1055	Forsythe Group LLC			30,000.00	5,491,515.84
Bill Pmt -Check	06/09/2020	1056	Fugro USA Land, Inc			11,875.58	5,479,640.26
Bill Pmt -Check	06/09/2020	1057	HDR Engineering Inc			168,516.59	5,311,123.67
Bill Pmt -Check	06/09/2020	1058	ICF Jones & Stokes Inc			96,395.12	5,214,728.55
Bill Pmt -Check	06/09/2020	1059	Larsen Wurzel & Associates, Inc			1,374.00	5,213,354.55
Bill Pmt -Check	06/09/2020	1060	MBK Engineers Inc			3,185.00	5,210,169.55
Bill Pmt -Check	06/09/2020	1061	Perkins Coie LLP			971.10	5,209,198.45
Bill Pmt -Check	06/09/2020	1062	Spesert Consulting			21,320.00	5,187,878.45
Bill Pmt -Check	06/09/2020	1063	Stradling Yocca Carlson & Rauth			3,970.00	5,183,908.45
Bill Pmt -Check	06/09/2020	1064	Trapasso Consulting Services			28,000.00	5,155,908.45
Bill Pmt -Check	06/09/2020	1065	Waterology Consulting			33,750.00	5,122,158.45
Total River City State Fund Checking					0.00	621,852.49	5,122,158.45
US Bank Checking							0.00
Total US Bank Checking							0.00
TOTAL					**221,714.62**	**940,808.63**	**9,131,519.60**

Draft_0027375

Sites Project Joint Powers Authority
Balance Sheet
As of June 30, 2020

	Jun 30, 20	
ASSETS		
Current Assets		
Checking/Savings		
River City ICS Savings		2,740,781.91
River City Operating Account		1,268,579.24
River City State Fund Checking		5,122,158.45
Total Checking/Savings		9,131,519.60
Accounts Receivable		
Accounts Rec Members		
Membership - Authority	68,000.00	
Membership - Reservoir	145,011.80	
Total Accounts Rec Members		213,011.80
Total Accounts Receivable		213,011.80
Total Current Assets		9,344,531.40
TOTAL ASSETS		**9,344,531.40**
LIABILITIES & EQUITY		
Liabilities		
Current Liabilities		
Accounts Payable		
Accounts Payable		1,106,991.94
Total Accounts Payable		1,106,991.94
Total Current Liabilities		1,106,991.94
Long Term Liabilities		
Participant Reimbursement Polic		6,064,652.67
Total Long Term Liabilities		6,064,652.67
Total Liabilities		7,171,644.61
Equity		
Net Assets		1,929,216.58
Retained Earnings		3,969,373.85
Net Income		-3,725,703.64
Total Equity		2,172,886.79
TOTAL LIABILITIES & EQUITY		**9,344,531.40**

Draft_0027376

Sites Project Joint Powers Authority
A/R Aging Summary
As of June 30, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
City of American Canyon	0.00	0.00	0.00	0.00	-4,988.20	-4,988.20
County of Colusa	150,000.00	0.00	0.00	0.00	0.00	150,000.00
County of Glenn	0.00	0.00	0.00	0.00	55,000.00	55,000.00
Yolo County Flood Control	0.00	0.00	0.00	0.00	13,000.00	13,000.00
TOTAL	**150,000.00**	**0.00**	**0.00**	**0.00**	**63,011.80**	**213,011.80**

Sites Project Joint Powers Authority
A/P Aging Summary
As of June 30, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
Adept Solutions Inc	1,011.86	0.00	0.00	0.00	0.00	1,011.86
AECOM Technical Services, Inc.	158,393.74	78,837.14	0.00	0.00	0.00	237,230.88
Brown and Caldwell	98,335.19	0.00	0.00	0.00	0.00	98,335.19
CH2M Hill Engineers, Inc	0.00	206,131.53	0.00	0.00	0.00	206,131.53
Darling H2O Consulting, Inc.	236.25	0.00	0.00	0.00	0.00	236.25
Dunn Consulting	8,000.00	0.00	0.00	0.00	0.00	8,000.00
Fechter & Company CPA	1,275.60	0.00	0.00	0.00	0.00	1,275.60
Forsythe Group LLC	30,251.85	0.00	0.00	0.00	0.00	30,251.85
Fugro USA Land, Inc	13,209.93	0.00	0.00	0.00	0.00	13,209.93
HDR Engineering Inc	202,638.30	0.00	0.00	0.00	0.00	202,638.30
ICF Jones & Stokes Inc	0.00	78,982.06	0.00	0.00	0.00	78,982.06
Katz and Associates, Inc	31,549.15	20,979.99	0.00	0.00	0.00	52,529.14
KCoe Isom, LLP	1,997.00	0.00	0.00	0.00	0.00	1,997.00
Larsen Wurzel & Associates, Inc	13,006.25	0.00	0.00	0.00	0.00	13,006.25
Maximun Pest Control	65.00	0.00	0.00	0.00	0.00	65.00
MBK Engineers Inc	0.00	7,785.75	0.00	0.00	0.00	7,785.75
Montague DeRose and Associates, LLC	0.00	4,568.75	0.00	0.00	0.00	4,568.75
Mt Shasta Spring Water	102.40	0.00	0.00	0.00	0.00	102.40
Perkins Coie LLP	597.60	0.00	0.00	0.00	0.00	597.60
Recology Butte Colusa Counties	35.95	0.00	0.00	0.00	0.00	35.95
Rush Personnel Services, Inc	5,115.60	0.00	0.00	0.00	0.00	5,115.60
Spesert Consulting	21,320.00	0.00	0.00	0.00	0.00	21,320.00
Stradling Yocca Carlson & Rauth	0.00	3,450.00	0.00	0.00	0.00	3,450.00
The Catalyst Group	11,100.88	0.00	0.00	0.00	0.00	11,100.88
The Ferguson Group	0.00	15,000.00	0.00	0.00	0.00	15,000.00
Trapasso Consulting Services	28,000.00	0.00	0.00	0.00	0.00	28,000.00
US Bank	251.13	0.00	0.00	0.00	0.00	251.13
US Postal Service	120.00	0.00	0.00	0.00	0.00	120.00
Waterology Consulting	33,750.00	0.00	0.00	0.00	0.00	33,750.00
William Avery & Associates	0.00	0.00	13,271.36	0.00	11,623.68	24,895.04
Young Wooldridge LLP	5,998.00	0.00	0.00	0.00	0.00	5,998.00
TOTAL	**666,361.68**	**415,735.22**	**13,271.36**	**0.00**	**11,623.68**	**1,106,991.94**

Sites Project Joint Powers Authority
Profit & Loss
June 2020

	Jun 20	Jan - Jun 20
Ordinary Income/Expense		
Income		
Membership Admin/Authority	0.00	505,000.00
Membership Water	150,000.00	150,000.00
Prop 1 Funding	0.00	0.00
Total Income	150,000.00	655,000.00
Gross Profit	150,000.00	655,000.00
Expense		
Accounting Expense	1,997.00	15,961.00
Admin Support Gen Manager	5,115.60	30,627.45
Audit Fees	1,275.60	12,036.00
Bank Service Fees	157.77	1,006.96
General Manager	0.00	186,514.66
Insurance - Property	0.00	250.00
Insurance Liability	0.00	1,604.25
Office Expenses		
Cleaning	0.00	900.00
Misc Office & Operating Exp	-78.87	2,753.42
Pest Control & Maintenance	65.00	390.00
Trash Service	35.95	215.70
Water Expense	102.40	301.10
Total Office Expenses	124.48	4,560.22
Professional Fees		
Bond Counsel	3,450.00	7,420.00
Bus/Comm Manager	21,320.00	130,041.85
Communication	52,529.14	138,060.28
Cost Development Model	13,006.25	15,548.85
Cultural Study	0.00	3,885.55
Engineering & Technical Service	314,554.77	429,073.15
Env/Biological Services	43,590.12	186,461.40
EPP Manager	30,251.85	182,310.94
Executive Director	33,750.00	101,250.00
Federal Government Affairs Supp	15,000.00	90,000.00
General Legal Counsel	5,998.00	49,277.62
Geotechnical Engineering	13,209.93	62,058.45
Legislative/Reg/Strategic	8,000.00	48,000.00
Municipal Advisor	4,568.75	24,568.75
Operations/Simulation Modeling	128,807.64	479,842.28
Organizational Assessment	236.25	29,929.66
Permitting & Agreements	35,391.94	183,622.35
Personel Recruiter	0.00	24,895.04
Program Ops Manager	28,000.00	170,510.24
Project Controls	98,335.19	675,155.11
Project Integration	202,638.30	1,046,628.97
Real Estate	0.00	761.67
Reservoir Operations	7,785.75	27,988.50
Special Legal	597.60	31,971.60
Strategic Planning	11,100.88	11,100.88
Total Professional Fees	1,072,122.36	4,150,363.14
Website, Data, Computer Support	1,011.86	7,695.86
Total Expense	1,081,804.67	4,410,619.54
Net Ordinary Income	-931,804.67	-3,755,619.54
Other Income/Expense		
Other Income		
Interest Income		
Interest Income-River City	3,177.83	29,915.90

Sites Project Joint Powers Authority
Profit & Loss
June 2020

	Jun 20	Jan - Jun 20
Total Interest Income	3,177.83	29,915.90
Total Other Income	3,177.83	29,915.90
Net Other Income	3,177.83	29,915.90
Net Income	-928,626.84	-3,725,703.64

Sites Project Joint Powers Authority
Transaction Detail By Account
June 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Membership Water								
Invoice	06/19/2020	SPA-2020-14	County of Colusa	Sites Reservoir Participation ...	Reservoir		150,000.00	150,000.00
Total Membership Water						0.00	150,000.00	150,000.00
Accounting Expense								
Bill	06/30/2020	KC090345	KCoe Isom, LLP	6/20	Authority	399.40		-399.40
Bill	06/30/2020	KC090345	KCoe Isom, LLP	6/20	Reservoir	1,597.60		-1,997.00
Total Accounting Expense						1,997.00	0.00	-1,997.00
Admin Support Gen Manager								
Bill	06/15/2020	0137562	Rush Personnel Services, ...	6/20	Authority	246.96		-246.96
Bill	06/15/2020	0137562	Rush Personnel Services, ...	6/20	Reservoir	987.84		-1,234.80
Bill	06/15/2020	0137563	Rush Personnel Services, ..	6/20	Authority	282.24		-1,517.04
Bill	06/15/2020	0137563	Rush Personnel Services, ..	6/20	Reservoir	1,128.96		-2,646.00
Bill	06/29/2020	0137791	Rush Personnel Services, ...	6/20	Authority	211.68		-2,857.68
Bill	06/29/2020	0137791	Rush Personnel Services, ...	6/20	Reservoir	846.72		-3,704.40
Bill	06/29/2020	0137792	Rush Personnel Services, ...	6/20	Authority	282.24		-3,986.64
Bill	06/29/2020	0137792	Rush Personnel Services, ...	6/20	Reservoir	1,128.96		-5,115.60
Total Admin Support Gen Manager						5,115.60	0.00	-5,115.60
Audit Fees								
Bill	06/22/2020	Letter	Fechter & Company CPA	6/20	Reservoir	1,275.60		-1,275.60
Total Audit Fees						1,275.60	0.00	-1,275.60
Bank Service Fees								
General J...	06/30/2020	6-2C		Bank service fee	Authority	88.35		-88.35
General J...	06/30/2020	6-2C		Bank service fee	Reservoir	69.42		-157.77
Total Bank Service Fees						157.77	0.00	-157.77
Office Expenses								
Misc Office & Operating Exp								
Deposit	06/24/2020			refund	Reservoir		450.00	450.00
Bill	06/30/2020	Onlin 7/1	US Bank	6/20	Authority	251.13		198.87
Bill	06/30/2020	Letter	US Postal Service	7/20 Box rent	Authority	120.00		78.87
Total Misc Office & Operating Exp						371.13	450.00	78.87
Pest Control & Maintenance								
Bill	06/26/2020	51183	Maximun Pest Control	6/20	Authority	65.00		-65.00
Total Pest Control & Maintenance						65.00	0.00	-65.00
Trash Service								
Bill	06/30/2020	37438579	Recology Butte Colusa Co...	6/20	Authority	35.95		-35.95
Total Trash Service						35.95	0.00	-35.95
Water Expense								
Bill	06/05/2020	401027	Mt Shasta Spring Water	6/20	Authority	30.15		-30.15
Bill	06/26/2020	407049	Mt Shasta Spring Water	6/20	Authority	72.25		-102.40
Total Water Expense						102.40	0.00	-102.40
Total Office Expenses						574.48	450.00	-124.48
Professional Fees								
Bond Counsel								
Bill	06/18/2020	365299-0001	Stradling Yocca Carlson &..	5/20	Reservoir	3,450.00		-3,450.00
Total Bond Counsel						3,450.00	0.00	-3,450.00
Bus/Comm Manager								
Bill	06/30/2020	07-20	Spesert Consulting	6/20	Reservoir	21,320.00		-21,320.00
Total Bus/Comm Manager						21,320.00	0.00	-21,320.00
Communication								
Bill	06/15/2020	413789	Katz and Associates, Inc	5/20 (C)	Authority	11,538.00		-11,538.00
Bill	06/15/2020	413789	Katz and Associates, Inc	5/20 (C)	Reservoir	9,441.99		-20,979.99
Bill	06/30/2020	413822	Katz and Associates, Inc	6/20 (C)	Authority	17,349.28		-38,329.27
Bill	06/30/2020	413822	Katz and Associates, Inc	6/20 (C)	Reservoir	14,199.87		-52,529.14
Total Communication						52,529.14	0.00	-52,529.14
Cost Development Model								
Bill	06/15/2020	1609000-0520	Larsen Wurzel & Associat...	5/20	Reservoir	3,623.50		-3,623.50
Bill	06/30/2020	1609000-0620	Larsen Wurzel & Associat...	6/20	Reservoir	9,382.75		-13,006.25
Total Cost Development Model						13,006.25	0.00	-13,006.25
Engineering & Technical Service								
Bill	06/10/2020	200369389	AECOM Technical Service...	5/20 (Reservoir) (HR)	Reservoir	78,837.14		-78,837.14
Bill	06/17/2020	D3380600-001	CH2M Hill Engineers, Inc	5/20 (Conveyance) (HC)	Reservoir	77,323.89		-156,161.03
Bill	06/30/2020	200378520	AECOM Technical Service...	6/20 (Reservoir) (HR)	Reservoir	157,711.74		-313,872.77
Bill	06/30/2020	200377940	AECOM Technical Service...	6/20	Reservoir	682.00		-314,554.77
Total Engineering & Technical Service						314,554.77	0.00	-314,554.77
Env/Biological Services								
Bill	06/15/2020	0147510	ICF Jones & Stokes Inc	5/20 (Environ.) (E)	Reservoir	43,590.12		-43,590.12

Sites Project Joint Powers Authority
Transaction Detail By Account
June 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Total Env/Biological Services						43,590.12	0.00	-43,590.12
EPP Manager								
Bill	06/25/2020	SPA-202006	Forsythe Group LLC	6/20	Reservoir	30,251.85		-30,251.85
Total EPP Manager						30,251.85	0.00	-30,251.85
Executive Director								
Bill	06/30/2020	3	Waterology Consulting	6/20	Reservoir	33,750.00		-33,750.00
Total Executive Director						33,750.00	0.00	-33,750.00
Federal Government Affairs Supp								
Bill	06/01/2020	0620149	The Ferguson Group	6/20	Authority	7,500.00		-7,500.00
Bill	06/01/2020	0620149	The Ferguson Group	6/20	Reservoir	7,500.00		-15,000.00
Total Federal Government Affairs Supp						15,000.00	0.00	-15,000.00
General Legal Counsel								
Bill	06/30/2020	66804	Young Wooldridge LLP	6/20	Authority	3,353.25		-3,353.25
Bill	06/30/2020	66804	Young Wooldridge LLP	6/20	Reservoir	2,644.75		-5,998.00
Total General Legal Counsel						5,998.00	0.00	-5,998.00
Geotechnical Engineering								
Bill	06/30/2020	04.7219003...	Fugro USA Land, Inc	6/20 (I)	Reservoir	13,209.93		-13,209.93
Total Geotechnical Engineering						13,209.93	0.00	-13,209.93
Legislative/Reg/Strategic								
Bill	06/30/2020	Letter	Dunn Consulting	6/20	Authority	4,000.00		-4,000.00
Bill	06/30/2020	Letter	Dunn Consulting	6/20	Reservoir	4,000.00		-8,000.00
Total Legislative/Reg/Strategic						8,000.00	0.00	-8,000.00
Municipal Advisor								
Bill	06/04/2020	4888SITES	Montague DeRose and As...	4/20	Reservoir	4,568.75		-4,568.75
Total Municipal Advisor						4,568.75	0.00	-4,568.75
Operations/Simulation Modeling								
Bill	06/15/2020	D3205400-014	CH2M Hill Engineers, Inc	5/20 (Operations) (D)	Reservoir	128,807.64		-128,807.64
Total Operations/Simulation Modeling						128,807.64	0.00	-128,807.64
Organizational Assessment								
Bill	06/30/2020	127	Darling H2O Consulting, Inc.	6/20	Authority	236.25		-236.25
Total Organizational Assessment						236.25	0.00	-236.25
Permitting & Agreements								
Bill	06/15/2020	0147511	ICF Jones & Stokes Inc	5/20 (Permitting) (F)	Reservoir	35,391.94		-35,391.94
Total Permitting & Agreements						35,391.94	0.00	-35,391.94
Program Ops Manager								
Bill	06/30/2020	SPA 17-33	Trapasso Consulting Servi...	6/20	Reservoir	28,000.00		-28,000.00
Total Program Ops Manager						28,000.00	0.00	-28,000.00
Project Controls								
Bill	06/30/2020	17376336	Brown and Caldwell	6/20 (B)	Reservoir	98,335.19		-98,335.19
Total Project Controls						98,335.19	0.00	-98,335.19
Project Integration								
Bill	06/30/2020	1200277979	HDR Engineering Inc	6/20 (A)	Reservoir	202,638.30		-202,638.30
Total Project Integration						202,638.30	0.00	-202,638.30
Reservoir Operations								
Bill	06/19/2020	20-05-4941.0	MBK Engineers Inc	5/20	Reservoir	7,785.75		-7,785.75
Total Reservoir Operations						7,785.75	0.00	-7,785.75
Special Legal								
Bill	06/23/2020	6208406	Perkins Coie LLP	5/20	Reservoir	597.60		-597.60
Total Special Legal						597.60	0.00	-597.60
Strategic Planning								
Bill	06/30/2020	492	The Catalyst Group	6/20	Reservoir	11,100.88		-11,100.88
Total Strategic Planning						11,100.88	0.00	-11,100.88
Total Professional Fees						1,072,122.36	0.00	-1,072,122.36
Website, Data, Computer Support								
Bill	06/30/2020	MSP-139657	Adept Solutions Inc	7/20	Authority	152.04		-152.04
Bill	06/30/2020	MSP-139657	Adept Solutions Inc	7/20	Reservoir	608.16		-760.20
Bill	06/30/2020	139699	Adept Solutions Inc	5/20	Authority	50.33		-810.53
Bill	06/30/2020	139699	Adept Solutions Inc	5/20	Reservoir	201.33		-1,011.86
Total Website, Data, Computer Support						1,011.86	0.00	-1,011.86
Interest Income								
Interest Income-River City								

Sites Project Joint Powers Authority
Transaction Detail By Account
June 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
General J...	06/30/2020	6-3C		rec int fme	Reservoir		2,232.05	2,232.05
General J...	06/30/2020	6-4C		rec int fme	Authority		529.64	2,761.69
General J...	06/30/2020	6-4C		rec int fme	Reservoir		416.14	3,177.83
Total Interest Income-River City						0.00	3,177.83	3,177.83
Total Interest Income						0.00	3,177.83	3,177.83
TOTAL						**1,082,254.67**	**153,627.83**	**-928,626.84**

Sites Project Joint Powers Authority
Balance Sheet by Class
As of June 30, 2020

	Authority	Reservoir	TOTAL
ASSETS			
Current Assets			
Checking/Savings			
River City ICS Savings	0.00	2,740,781.91	2,740,781.91
River City Operating Account	708,746.64	559,832.60	1,268,579.24
River City State Fund Checking	0.00	5,122,158.45	5,122,158.45
Total Checking/Savings	708,746.64	8,422,772.96	9,131,519.60
Accounts Receivable			
Accounts Rec Members			
Membership - Authority	68,000.00	0.00	68,000.00
Membership - Reservoir	0.00	145,011.80	145,011.80
Total Accounts Rec Members	68,000.00	145,011.80	213,011.80
Total Accounts Receivable	68,000.00	145,011.80	213,011.80
Total Current Assets	776,746.64	8,567,784.76	9,344,531.40
TOTAL ASSETS	**776,746.64**	**8,567,784.76**	**9,344,531.40**
LIABILITIES & EQUITY			
Liabilities			
Current Liabilities			
Accounts Payable			
Accounts Payable	71,071.19	1,035,920.75	1,106,991.94
Total Accounts Payable	71,071.19	1,035,920.75	1,106,991.94
Total Current Liabilities	71,071.19	1,035,920.75	1,106,991.94
Long Term Liabilities			
Participant Reimbursement Polic	0.00	6,064,652.67	6,064,652.67
Total Long Term Liabilities	0.00	6,064,652.67	6,064,652.67
Total Liabilities	71,071.19	7,100,573.42	7,171,644.61
Equity			
Net Assets	114,674.93	1,814,541.65	1,929,216.58
Retained Earnings	351,952.54	3,617,421.31	3,969,373.85
Net Income	239,047.98	-3,964,751.62	-3,725,703.64
Total Equity	705,675.45	1,467,211.34	2,172,886.79
TOTAL LIABILITIES & EQUITY	**776,746.64**	**8,567,784.76**	**9,344,531.40**

FOR MANAGEMENT PURPOSES ONLY

Sites Project Joint Powers Authority
Profit & Loss by Class
June 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Income			
Membership Water	0.00	150,000.00	150,000.00
Total Income	0.00	150,000.00	150,000.00
Gross Profit	0.00	150,000.00	150,000.00
Expense			
Accounting Expense	399.40	1,597.60	1,997.00
Admin Support Gen Manager	1,023.12	4,092.48	5,115.60
Audit Fees	0.00	1,275.60	1,275.60
Bank Service Fees	88.35	69.42	157.77
Office Expenses			
Misc Office & Operating Exp	371.13	-450.00	-78.87
Pest Control & Maintenance	65.00	0.00	65.00
Trash Service	35.95	0.00	35.95
Water Expense	102.40	0.00	102.40
Total Office Expenses	574.48	-450.00	124.48
Professional Fees			
Bond Counsel	0.00	3,450.00	3,450.00
Bus/Comm Manager	0.00	21,320.00	21,320.00
Communication	28,887.28	23,641.86	52,529.14
Cost Development Model	0.00	13,006.25	13,006.25
Engineering & Technical Service	0.00	314,554.77	314,554.77
Env/Biological Services	0.00	43,590.12	43,590.12
EPP Manager	0.00	30,251.85	30,251.85
Executive Director	0.00	33,750.00	33,750.00
Federal Government Affairs Supp	7,500.00	7,500.00	15,000.00
General Legal Counsel	3,353.25	2,644.75	5,998.00
Geotechnical Engineering	0.00	13,209.93	13,209.93
Legislative/Reg/Strategic	4,000.00	4,000.00	8,000.00
Municipal Advisor	0.00	4,568.75	4,568.75
Operations/Simulation Modeling	0.00	128,807.64	128,807.64
Organizational Assessment	236.25	0.00	236.25
Permitting & Agreements	0.00	35,391.94	35,391.94
Program Ops Manager	0.00	28,000.00	28,000.00
Project Controls	0.00	98,335.19	98,335.19
Project Integration	0.00	202,638.30	202,638.30
Reservoir Operations	0.00	7,785.75	7,785.75
Special Legal	0.00	597.60	597.60
Strategic Planning	0.00	11,100.88	11,100.88
Total Professional Fees	43,976.78	1,028,145.58	1,072,122.36
Website, Data, Computer Support	202.37	809.49	1,011.86
Total Expense	46,264.50	1,035,540.17	1,081,804.67
Net Ordinary Income	-46,264.50	-885,540.17	-931,804.67
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	529.64	2,648.19	3,177.83
Total Interest Income	529.64	2,648.19	3,177.83
Total Other Income	529.64	2,648.19	3,177.83
Net Other Income	529.64	2,648.19	3,177.83
Net Income	**-45,734.86**	**-882,891.98**	**-928,626.84**

FOR MANAGEMENT PURPOSES ONLY

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through June 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Income			
Membership Admin/Authority	505,000.00	0.00	505,000.00
Membership Water	0.00	150,000.00	150,000.00
Prop 1 Funding	0.00	0.00	0.00
Total Income	505,000.00	150,000.00	655,000.00
Gross Profit	505,000.00	150,000.00	655,000.00
Expense			
Accounting Expense	3,192.20	12,768.80	15,961.00
Admin Support Gen Manager	6,125.49	24,501.96	30,627.45
Audit Fees	0.00	12,036.00	12,036.00
Bank Service Fees	441.59	565.37	1,006.96
General Manager	26,752.05	159,762.61	186,514.66
Insurance - Property	200.00	50.00	250.00
Insurance Liability	0.00	1,604.25	1,604.25
Office Expenses			
Cleaning	900.00	0.00	900.00
Misc Office & Operating Exp	1,227.61	1,525.81	2,753.42
Pest Control & Maintenance	390.00	0.00	390.00
Trash Service	215.70	0.00	215.70
Water Expense	301.10	0.00	301.10
Total Office Expenses	3,034.41	1,525.81	4,560.22
Professional Fees			
Bond Counsel	0.00	7,420.00	7,420.00
Bus/Comm Manager	0.00	130,041.85	130,041.85
Communication	80,206.19	57,854.09	138,060.28
Cost Development Model	0.00	15,548.85	15,548.85
Cultural Study	0.00	3,885.55	3,885.55
Engineering & Technical Service	0.00	429,073.15	429,073.15
Env/Biological Services	0.00	186,461.40	186,461.40
EPP Manager	0.00	182,310.94	182,310.94
Executive Director	0.00	101,250.00	101,250.00
Federal Government Affairs Supp	45,000.00	45,000.00	90,000.00
General Legal Counsel	25,133.25	24,144.37	49,277.62
Geotechnical Engineering	0.00	62,058.45	62,058.45
Legislative/Reg/Strategic	24,000.00	24,000.00	48,000.00
Municipal Advisor	0.00	24,568.75	24,568.75
Operations/Simulation Modeling	0.00	479,842.28	479,842.28
Organizational Assessment	29,929.66	0.00	29,929.66
Permitting & Agreements	0.00	183,622.35	183,622.35
Personel Recruiter	24,895.04	0.00	24,895.04
Program Ops Manager	0.00	170,510.24	170,510.24
Project Controls	0.00	675,155.11	675,155.11
Project Integration	0.00	1,046,628.97	1,046,628.97
Real Estate	0.00	761.67	761.67
Reservoir Operations	0.00	27,988.50	27,988.50
Special Legal	0.00	31,971.60	31,971.60
Strategic Planning	0.00	11,100.88	11,100.88
Total Professional Fees	229,164.14	3,921,199.00	4,150,363.14
Website, Data, Computer Support	1,539.17	6,156.69	7,695.86
Total Expense	270,449.05	4,140,170.49	4,410,619.54
Net Ordinary Income	234,550.95	-3,990,170.49	-3,755,619.54
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	4,497.03	25,418.87	29,915.90

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through June 2020

	Authority	Reservoir	TOTAL
Total Interest Income	4,497.03	25,418.87	29,915.90
Total Other Income	4,497.03	25,418.87	29,915.90
Net Other Income	4,497.03	25,418.87	29,915.90
Net Income	**239,047.98**	**-3,964,751.62**	**-3,725,703.64**

Sites

Topic: **Authority Board Agenda Item 1.3** **2020 July 22**

Subject: **Payment of Claims**

Requested Action:

Approve the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B.

Detailed Description/Background:

Attachment A presents the warrants to be drawn against the invoices received by the Sites Project Authority through July 6, 2020.

Attachment B summarizes details of the invoices received through July 6, 2020 and how the incurred costs are allocated between the Authority and Reservoir Committee.

Attachment C presents a budget status report of key consultants' task orders and tasks through the period of performance of the invoices presented in Attachment B.

Prior Action:

None.

Fiscal Impact/Funding Source:

Total Payment of Claims is $1,106,991.94 with $71,071.19 of costs being assigned to the Authority and $1,035,920.75 assigned to the Reservoir Committee.

For the Reservoir Committee assigned amount, $991,634.57 will be paid through the WSIP account and $44,286,18 through the JPA/Sites account as shown in Attachment B.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: July 2020 Report on warrants to be drawn for Payment of Claims.

Attachment B: July 2020 Monthly Consultant and Vendor Invoice table.

Attachment C: July 2020 Key Consultant Budget Status Report.

WARRANTS DRAWN AGAINST
Sites Project Authority- General Fund
July 22, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
2167	07/09/2020	Adept Solutions	IT & Related Services	1,011.86
2168	07/09/2020	Darling H2O	Organizational Assessment	236.25
2169	07/09/2020	Dunn Consulting	Legislative/Regulatory/Strategic Support	8,000.00
2170	07/09/2020	Katz and Associates Inc.	Communications	52,529.14
2171	07/09/2020	K·Coe-Isom, LLP	Accounting	1,997.00
2172	07/09/2020	Maximum Pest Control	Pest Control	65.00
2173	07/09/2020	Mt Shasta Spring Water	Office Water	102.40
2174	07/09/2020	Recology Butte Colusa Counties	Office Trash Pickup	35.95
2175	07/09/2020	Rush Personnel Services Inc.	Administrative Services	5,115.60
2176	07/09/2020	The Ferguson Group	Fed Govt Affairs Support	15,000.00
2177	07/09/2020	U.S. Bank	Misc. Expenses	251.13
2178	07/09/2020	US Postal Service	Box Fee	120.00
2179	07/09/2020	William Avery & Associates	Personnel Recruiter	24,895.04
2180	07/09/2020	Young Wooldridge LLP	Legal Counsel	5,998.00

THE FOREGOING CLAIM, NUMBERED 2167-2180 ARE APPLIED TO THE GENERAL FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **115,357.37**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

WARRANTS DRAWN AGAINST
Sites Project Authority-WSIP Fund
July 22, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
1066	07/09/2020	AECOM Inc.	Engineering	237,230.88
1067	07/09/2020	Brown and Caldwell	Project Controls	98,335.19
1068	07/09/2020	CH2M	Operations/SIM Modeling	206,131.53
1069	07/09/2020	Fechter & Company CPA	Accounting	1,275.60
1070	07/09/2020	Forsythe Group LLC	EPP Manager	30,251.85
1071	07/09/2020	Fugro USA Land, Inc.	Geotechnical Engineering Services	13,209.93
1072	07/09/2020	HDR Engineering Inc.	Project Integration	202,638.30
1073	07/09/2020	ICF Jones & Stokes Inc.	Env/Biological Services & Permitting	78,982.06
1074	07/09/2020	Larsen Wurzel & Associates, Inc.	Cost Development	13,006.25
1075	07/09/2020	MBK Engineers Inc.	Reservoir Operations	7,785.75
1076	07/09/2020	Montague DeRose and Associates, LLC	Municipal Advisor	4,568.75
1077	07/09/2020	Perkins Coie, LLP	Special Legal	597.60
1078	07/09/2020	Spesert Consulting	Bus/Comm Manager	21,320.00
1079	07/09/2020	Stradling Yocca Carlson & Rauth	Bond Counsel	3,450.00
1080	07/09/2020	The Catalyst Group	Strategic Planning Services	11,100.88
1081	07/09/2020	Trapasso Consulting Services	Program Operations Manager	28,000.00
1082	07/09/2020	Waterology Consulting	Executive Director Services	33,750.00

THE FOREGOING CLAIM, NUMBERED 1066-1082 ARE APPLIED TO THE WSIP FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **991,634.57**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

Sites

July 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for July 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their July monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Board Approval Items							
Adept Solutions *IT Related Services/Computer Equipment*	MSP-139657	*7/1/20*	07/20	$760.20	$152.04	$608.16	KMS
Adept Solutions *IT Related Services/Computer Equipment*	139699	*7/1/20*	5/20	$251.66	$50.33	$201.33	KMS
AECOM (Reservoir) (HR) *Engineering Services*	2000369389	*6/10/20*	5/20	$78,837.14		$78,837.14	HL
AECOM (Reservoir) (HR) *Engineering Services*	2000378520	*7/7/20*	6/20	$157,711.74		$157,711.74	HL
AECOM Technical Services, Inc. *Engineering/Tech*	2000377940	*7/6/20*	6/20	$682.00		$682.00	HL
Brown and Caldwell (B) *Project Controls*	17376336	*7/2/20*	6/20	$98,335.19		$98,335.19	JAT
CH2M Hill Engineers (Operations) (D) *Operations / Simulation Modeling*	D3205400-014	*6/15/20*	5/20	$128,807.64		$128,807.64	AEF
CH2M/Jacobs (Conveyance) (HC) *Engineering Services*	D3380600-001	*6/17/20*	5/20	$77,323.89		$77,323.89	HL
Colusa Indian Community Council *Tribal Council*	No Invoice						SPE
Darling H2O Consulting, Inc. *Organizational Assessment*	127	*6/30/20*	6/20	$236.25	$236.25		FD
Dunn Consulting *Legislative/Regulatory/Strategic Support*	Letter	*7/2/20*	6/20	$8,000.00	$4,000.00	$4,000.00	KMS
Fechter and Company, CPAs *Accounting Services*	Letter	*6/22/20*	6/20	$1,275.60		$1,275.60	JAT
Forsythe Group, LLC *EPP Manager*	SPA-202006	*6/25/20*	6/20	$30,251.85		$30,251.85	JB
Fugro (I) *Geotechnical Engineering Services*	04.72190035-15	*7/6/20*	6/20	$13,209.93		$13,209.93	HL
Gerald (Jerry) Johns *Project Operations*	No Invoice						AEF

Sites

July 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for July 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their July monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
HDR (A)	1200277979			$202,638.30		$202,638.30	JAT
Project Integration		*7/6/20*	6/20				
ICF Jones & Stokes, Inc. (Environ.) (E)	0147510			$43,590.12		$43,590.12	AEF
Env/Biological Services		*6/15/20*	5/20				
ICF Jones & Stokes, Inc. (Permitting) (F)	0147511			$35,391.94		$35,391.94	AEF
Permitting and Agreements		*6/15/20*	5/20				
Katz & Associates (C)	413789			$20,979.99	$11,538.00	$9,441.99	KMS
Communications		*6/15/20*	5/20				
Katz & Associates (C)	413822			$31,549.15	$17,349.28	$14,199.87	KMS
Communications		*7/6/20*	6/20				
K-Coe Isom, LLP	KC090345			$1,997.00	$399.40	$1,597.60	JAT
Accounting		*6/30/20*	6/20				
Larsen Wurzel & Associates, Inc.	1609000-0520			$3,623.50		$3,623.50	JAT
Cost Development		*6/15/20*	5/20				
Larsen Wurzel & Associates, Inc.	1609000-0620			$9,382.75		$9,382.75	JAT
Cost Development		*7/2/20*	6/20				
M.R. Cleaning Service	No Invoice						KMS
Office Cleaning							
Maximum Pest Control	51183			$65.00	$65.00		KMS
Pest Spraying		*6/26/20*	6/20				
MBK Engineers	20-05-4941.0			$7,785.75		$7,785.75	AEF
Reservoir Operations		*6/19/20*	5/20				
Montague DeRose & Associates, LLC	4888SITES			$4,568.75		$4,568.75	JAT
Municipal Advisor		*6/4/20*	4/20				
MT Shasta Water	401027			$30.15	$30.15		KMS
Office Water		*6/5/20*	6/20				
MT Shasta Water	407049			$72.25	$72.25		KMS
Office Water		*6/26/20*	6/20				
Perkins Coie, LLP	6208406			$597.60		$597.60	AEF
Special Legal		*6/23/20*	5/20				
Recology Butte Colusa Counties	37438579			$35.95	$35.95		KMS
Office Trash Pickup		*6/30/20*	6/20				

Sites

July 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for July 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their July monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Rush Personnel	IVC000000137562			$1,234.80	$246.96	$987.84	KMS
Yolanda Tirado Services		*6/15/20*	6/20				
Rush Personnel	IVC000000137563			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*6/15/20*	6/20				
Rush Personnel	IVC000000137791			$1,058.40	$211.68	$846.72	KMS
Yolanda Tirado Services		*6/29/20*	6/20				
Rush Personnel	IVC000000137792			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*6/29/20*	6/20				
Spesert Consulting	07-20			$21,320.00		$21,320.00	JB
Bus/Communications Manager		*7/3/20*	6/20				
Stradling, Yocca, Carlson & Rauth	365299-0001			$3,450.00		$3,450.00	JAT
Bond Counsel		*6/18/20*	5/20				
The Catalyst Group	492			$11,100.88		$11,100.88	JB
Strategic Planning Services		*7/2/20*	6/20				
The Ferguson Group	0620149			$15,000.00	$7,500.00	$7,500.00	KMS
Federal Government Affairs Support		*6/1/20*	6/20				
Trapasso Consulting Services	SPA 17-33			$28,000.00		$28,000.00	JB
Program Operations Manager		*7/5/20*	6/20				
U.S. Bank - Credit Card	Online 7/1			$251.13	$251.13		KMS
Misc. Expenses		*7/1/20*	6/20				
U.S. Postal Service	Letter			$120.00	$120.00		KMS
P.O. Box		*7/1/20*	7/20				
Waterology Consulting	3			$33,750.00		$33,750.00	JT/ FD /JS
Executive Director Services		*7/1/20*	6/20				
William Avery & Associates	2939			$8,400.00	$8,400.00		JAT
Personnel Recruiter		*1/21/20*	1/20				
William Avery & Associates	2940			$3,223.68	$3,223.68		JAT
Personnel Recruiter		*1/21/20*	1/20				
William Avery & Associates	3013			$5,500.00	$5,500.00		JAT
Personnel Recruiter		*5/8/20*	5/20				
William Avery & Associates	3014			$5,500.00	$5,500.00		JAT
Personnel Recruiter		*5/8/20*	5/20				

Sites

July 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for July 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their July monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
William Avery & Associates	3015		$2,271.36	$2,271.36		JAT
Personnel Recruiter	*5/8/20*	5/20				
Wiseman Consulting Group	No Invoice					KMS
ROW/Land Management						
Young Wooldridge, Law Offices, LLP	66804		$5,998.00	$3,353.25	$2,644.75	JAT
Legal Counsel	*6/30/20*	6/20				

		Total	$ Authority Board	$ Reservoir Committee
		Total	**$ Authority Board**	**$ Reservoir Committee**
	Cash Accounts	1,106,991.94	71,071.19	1,035,920.75
July 2020 Monthly Totals	**JPA/Sites**	115,357.37	71,071.19	44,286.18
	WSIP	991,634.57		991,634.57
Cumulative Summary	**WSIP To Date**	3,950,210.53	**(4) Fund Checks Received**	
	Balance	4,130,583.88	8,080,794.41	

Notes: *

Draft_0027394

Sites

JULY KEY CONSULTANT BUDGET REPORT

FOR AUTHORITY BOARD AND RESERVOIR COMMITTEE,
FINANCE AND ECONOMICS AD HOC COMMITTEE

Area / Consultant	Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
a) HDR		Costs and Task % as of 06/20 Services	**1,499,864**	**1,499,864**	**1,046,629**	**453,235**	**70%**		
	A01	Communications Int.		-	-	-	-	100%	
	A02	Ops Modeling Int.		181,007	43,269	137,738	24%	70%	
	A03	Env. Planning Int.		149,357	157,369	(8,012)	105%	75%	
	A04	Permitting Int.		243,884	217,610	26,274	89%	75%	
	A05	Real Estate Int.		139,718	69,768	69,950	50%	60%	
	A06	Engineering Int.		299,476	198,972	100,504	66%	75%	
	A07	Geotech Int.		-	-	-	-	100%	
	A08	Controls Int.		224,387	170,737	53,650	76%	75%	
	A09	General Int.		98,267	119,639	(21,372)	122%	75%	
	A10	Authority's Engineer		-	-	-	-	100%	
	A11	Health, Safety & Loss Prevention		-	-	-	-	100%	
	A12	Quality Management		-	-	-	-	100%	
	A13	Risk Management		49,890	18,901	30,989	38%	50%	
	A14	IT		15,831	12,033	3,798	76%	75%	
	A15	GIS		-	765	(765)	-	100%	
	A16	Document Management		3,734	3,654	80	98%	75%	
	A17	Staff Support		14,004	2,402	11,602	17%	75%	
	A18	Satellite Project Office		-	-	-	-	100%	
	A19	Land Conservation Approach		-	-	-	-	100%	
	A98	HDR Project Management		40,309	23,242	17,067	58%	75%	
	A99	Expenses		40,000	8,267	31,733	21%	75%	
b) BC		Costs and Task % as of 06/20 Services	**899,156**	**899,156**	**675,155**	**224,001**	**75%**		
	B01	Project Controls		113,645	60,036	53,609	53%	80%	
	B02	Contract Administration and Compliance		492,414	365,670	126,744	74%	75%	
	B03	Work Planning and Scheduling		100,341	99,410	931	99%	90%	
	B04	Project Administrative Support		117,516	105,160	12,356	89%	90%	
	B05	PMP Sections		-	-	-	-	-	
	B98	Project Management		73,240	42,370	30,870	58%	60%	
	B99	Expenses		2,000	2,510	(510)	125%	100%	

Draft_0027395

Area / Consultant	Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
c) K&A		Costs and Task % as of 06/20 Services	**199,930**	**199,930**	**138,060**	**61,870**	**69%**		
	C01	Local/Landowner Outreach		14,083	4,499	9,584	32%	50%	
	C02	Statewide Outreach		22,000	17,286	4,714	79%	70%	
	C03	NGO Outreach		12,166	1,085	11,081	9%	-	
	C04	Strategic Communications		19,666	12,844	6,822	65%	50%	
	C05	Message Development/Training		11,831	3,778	8,054	32%	60%	
	C06	Informational Materials Distribution		38,500	34,496	4,004	90%	60%	
	C07	Website Modifications and Management		18,600	7,393	11,208	40%	65%	
	C08	Video & Photography		-	73	(73)	-	-	
	C09	Authority/Reservoir Committee Public Affairs Support		14,500	15,828	(1,328)	109%	50%	
	C10	Media Relations		13,084	10,995	2,089	84%	65%	
	C11	Social Media		5,000	1,500	3,500	30%	35%	
	C98	Project Management		30,500	25,828	4,673	85%	65%	
	C99	Expenses		-	2,457	(2,457)	-	-	
d) CH2-d		Costs and Task % as of 05/20 Services	**993,000**	**993,000**	**479,843**	**513,157**	**48%**		
	D01	Permitting and Resource Agency Technical Support		100,000	71,586	28,414	72%	90%	
	D02	Environmental Document Support		356,853	140,676	216,177	39%	35%	
	D03	Total Operations Technical Support		300,000	125,048	174,952	42%	65%	
	D04	Value Planning		88,000	79,921	8,079	91%	100%	
	D98	Project Management		31,147	32,091	(944)	103%	60%	
	D99	Expenses & Subconsultants		117,000	30,520	86,480	26%	45%	
e) ICF-e		Costs and Task % as of 05/20 Services	**428,000**	**428,000**	**186,461**	**241,539**	**44%**		
	E01	Draft EIS/EIR Review and Strategic Consultation		-	-	-	-	-	
	E02	Prepare Admin Final Responses to Comments		-	-	-	-	-	
	E03	Prepare Administrative Final EIR/EIS		15,000	14,967	33	100%	100%	
	E04	Begin Preparation of Administrative Record for Fin		-	-	-	-	-	
	E05	Engagement		-	-	-	-	-	
	E06	Geotechnical Environmental Document		-	-	-	-	-	
	E07	Develop Environmental Document Work Plan		110,000	85,759	24,241	78%	75%	
	E08	Support Development of EIR/EIS Project Description		180,000	57,124	122,876	32%	30%	
	E09	Prepare Annotated Outline		88,000	-	88,000	-	-	
	E98	Project Management		31,500	28,611	2,889	91%	75%	
	E99	Expenses		3,500	-	3,500	-	-	

Draft_0027396

Sites

JULY KEY CONSULTANT BUDGET REPORT

FOR AUTHORITY BOARD AND RESERVOIR COMMITTEE,
FINANCE AND ECONOMICS AD HOC COMMITTEE

7/9/2020

Area / Consultant	Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
f) ICF-f		Costs and Task % as of 05/20 Services	**708,300**	**708,300**	**183,622**	**524,678**	**26%**		
	F01	Finalize Joint Biological Assessment		-	-	-	-	-	
	F02	Finalize Geotechnical Permits and Fieldwork		297,120	37,628	259,492	13%	50%	
	F03	Prepare Section 106 Documents		-	-	-	-	-	
	F04	Begin Preparation of Permits and Agreements		26,000	25,976	24	100%	100%	
	F05	Prepare for 2020 Field Work Studies		-	-	-	-	-	
	F06	Strategize on Mitigation and Adaptive Management P		-	-	-	-	-	
	F07	Early Coordination and Development of Key Permits		333,740	82,890	250,850	25%	30%	
	F98	Project Management		35,000	30,734	4,266	88%	75%	
	F99	Expenses		16,440	6,395	10,045	39%	15%	
hc) CH2-hc		Costs and Task % as of 05/20 Services	**597,023**	**597,023**	**77,324**	**519,699**	**13%**		
	HC01	Project Coordination		15,378	4,211	11,167	27%	25%	
	HC02	Engineering Support for Proj. Description of Preferred Proj. from Value Planning		370,475	44,050	326,426	12%	15%	
	HC98	Project Management		55,469	21,204	34,265	38%	25%	
	HC99	Expenses & Subconsultants		155,700	7,859	147,841	5%	15%	
hr) AEC-hr		Costs and Task % as of 06/20 Services	**599,379**	**599,379**	**236,549**	**362,830**	**39%**		
	HR01	Project Coordination		7,339	1,487	5,852	20%	20%	
	HR02	Engineering Support for Project Description of Preferred Project		567,000	212,462	354,538	37%	48%	
	HR98	Project Management		25,040	22,600	2,440	90%	95%	
i) FUG		Costs and Task % as of 06/20 Services	**85,995**	**85,995**	**62,058**	**23,937**	**72%**		
	I01	Data Review and Develop 2019 Feasibility Study Work Plan		-	-	-	-	-	
	I02	- Obsolete -		-	-	-	-	-	
	I03	2019 Site Investigation		-	-	-	-	-	
	I04	Future Site Investigation Work Plan for Design		-	-	-	-	-	
	I05	Assessment of Proposed Field Data Collection Points		50,786	31,736	19,050	62%	62%	
	I98	Project Management		35,209	30,322	4,887	86%	86%	
	I99	Expenses		-	-	-	-	-	

Notes: *

Draft_0027397

Sites

Topic: **Authority Board Agenda Item 1.4** **2020 July 22**

Subject: **Reassignment of Staff**

Requested Action:

Consider approval of Resolution No. 2020-03, providing all of the duties, authorities and responsibilities to the Authority's Executive Director that were previously delegated to the Authority's General Manager.

Detailed Description/Background:

As a result of the organizational assessment, the duties and responsibilities of the General Manager were reassigned to an interim Executive Director in December 2019 and a new Executive Director was selected and a contract for these services was executed in March 2020. There is no longer a General Manger role on the project. The proposed attached resolution memorializes the change from General Manager to Executive Director to affect all agreements, contracts, and any other documents that the Authority has previously executed identifying the General Manager position.

Prior Action:

None

Fiscal Impact/Funding Source:

There is no fiscal impact associated with this change.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Resolution No. 2020-03

BEFORE THE BOARD OF DIRECTORS OF THE SITES PROJECT AUTHORITY

RESOLUTION NO. 2020-03

In the Matter of:

Authorizing the Executive Director as having all of the duties, responsibilities and authorities previously directed upon the General Manager.

WHEREAS, the Authority has previously assigned certain duties, responsibilities and authorities to the General Manager in the oversight of the Project and has identified such in its agreements, contracts, permits, and other business documentation related to the Project; and

WHEREAS, in December 2019 the Authority created the "interim" Executive Director position as the replacement for the General Manager position; and

WHEREAS, in March 2020 the Authority entered into a contract for services with the Authority's Executive Director (the "Contract"), which provided for the Executive Director's fulfillment of duties, responsibilities, and authorities that were previously fulfilled by the former General Manager, and thereby replaced the General Manager position on a permanent basis with the position of Executive Director.

NOW, THEREFORE BE IT RESOLVED by this Board of Directors as follows:

1. The Executive Director position replaces the previous General Manager position within the Project's organization and, pursuant to the Contract, has been delegated all of the duties, responsibilities, and authorities previously assigned to the former General Manager.
2. All references to the term "General Manager" in any agreements, contracts, permits or other business documents shall be understood to mean "Executive Director", if and as applicable, and all such agreements, contracts, permits or other business documents shall, as appropriate, be revised to replace the term "General Manager" with the term "Executive Director". Such amendments shall be considered

ministerial and not subject to further agreement or negotiation between effected parties, and is fully within the rights of the Authority to unilaterally authorize.

3. The Authority's officers and Executive Director are authorized to do all things necessary and proper to carry out and implement the above reference matters.

PASSED, APPROVED AND ADOPTED by the Board of Directors of the Sites Project Authority this 22th day of July 2020, by the following vote:

AYES:

NOES:

ABSTAIN:

ABSENT:

I, Fritz Durst, Chair Authority Board of the Site Project Authority, do hereby certify that the resolution set forth above is true and accurate copy of the resolution adopted by the Board of Directors of the Sites Project Authority at a duly called meeting of the Board on July 22, 2020 and that said resolution has not been rescinded, amended or modified and is in full force and effect as of the date hereof.

In Witness Whereof, I have executed this certificate this 22th day of July 2020.

_____

Fritz Durst, Chair Authority Board, Sites Project Authority

Sites

Topic: **Authority Board Agenda Item 1.5** **2020 July 22**

Subject: **Consulting Service Agreements Terms and Conditions**

Requested Action:

Consider approval of the revised changes to the Authority Consulting Services Agreement.

Detailed Description/Background:

The Sites Project Authority's staff, in coordination with General Counsel, previously developed the Sites Standard Consulting Services Agreement which has been used as the standard contract for consultant services since July 2018.

Recently a need was determined to expand compliance with all applicable laws, specifically incorporating any agreement and agreement amendments between the Authority and a state or federal agency funding agreement into the agreements of the Authority's consultants. Attachment A covers the standard conditions in the consulting agreements. Similar provisions will be added to agreements with other service providers where state and/or federal funding is involved.

Prior Action:

At the July 20, 2018 Reservoir Committee meeting, the Sites Consulting Services Agreement was approved.

Fiscal Impact/Funding Source:

The additional standard conditions are not expected to increase costs for consulting services since the consultants working on the Sites project also perform work for State and Federal agency's which means they already have workplace programs in place to meet these requirements.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Proposed Language Revisions.

Sites

ADDITIONAL LANGUAGE TO AUTHORITY CONSULTING SERVICES AGREEMENT
July 2020

Minor language additions to the Authority's Standard Consulting Services Agreement are in red which has been approved by Authority legal counsel.

1. Services g. Use of Employees, Subconsultants and Subcontractors. Consultant shall furnish sufficient qualified personnel necessary to perform the Services and shall provide and employ all required equipment, materials and supplies necessary to perform the Services in accordance with the completion schedule for the Services. Any subconsultant or subcontractor that will provide services shall be listed in the Task Order with a corresponding Hourly Rate Schedule. Consultant shall not commission the services of any new or additional subconsultant or subcontractor without the approval of the Authority, which approval the Authority may withhold or condition in its reasonable discretion to ensure adherence to the terms and conditions of this Agreement, and which approval shall, if granted, be memorialized in the form of a Change Order. Consultant shall determine the method, details, and means of performing the Services and may at its own cost, employ such employees, subconsultants and subcontractors as it deems necessary to perform the Services. Authority may not control, direct or supervise Consultant's employees, subconsultants or subcontractors in the performance of the Services, and Authority shall not be liable for any expenses or costs relating to Consultant's employees, subconsultants or subcontractors unless Authority has agreed in writing, prior to the time such expenses or costs are incurred, to reimburse Consultant for such expenses. Consultant shall ensure that any contract entered into with any subconsultant or subcontractor is expressly subject to all applicable terms and conditions of this Agreement, which applicable terms and conditions include, without limitation, those governing insurance, applicable laws, indemnification, ownership of work product, confidentiality and conflicts of interest.

8. Compliance with Laws. The Services shall conform to the requirements of all governmental agencies having jurisdiction over the Services. Consultant shall also comply with all federal, state and local laws, ordinances, rules, regulations and orders applicable to the performance of its obligations under this Agreement, including all licensing requirements and occupational, health, safety, employment and environmental laws. Such applicable laws, ordinances, rules, regulations and orders shall include, without limitation, those that are specifically incorporated into any agreement between Authority and a state or federal agency pertaining to the Services, which agency agreement and any amendments thereto the Authority will provide to Consultant following their execution. Consultant shall bear all costs, expenses and liabilities related to any changes in the Services to conform to such laws, ordinances, rules, regulations and orders.

Sites

Topic: **Authority Board Agenda Item 1.6** **2020 July 22**

Subject: **Committee Charter Documents**

Requested Action:

Consider approval of the Coordination, Legislative Outreach, Land Management and Joint Budget and Finance Committees Charter Document updates.

Detailed Description/Background:

The Reservoir Committee and the Authority Board each recently went through a process to re-evaluate and establish their respective workgroup and committee structures for the upcoming 18 months of project work. Each committee has met and considered its charter and is recommending Authority Board approval of updated documents.

Along with the individual committee charters, there is an overarching charting document that the Authority Board previously established to generally inform the purpose, roles and responsibilities and participation guidelines for committees (Attachment B). No changes are being proposed to this document at this time.

The Authority Board has gone through a similar process relative to the charter documents of its committees and will be asked to approve their updates at its July 2020 meeting. According to Section 9 of the November 2016 Authority Bylaws, the charter documents must be approved by the Authority Board.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Individual Charter Documents.
Attachment B: Charter Document Attachment A.

Committee Chartering Document

Status: **Ad Hoc**

- Chair: Fritz Durst (RD-108)
- Members: Officers of the Authority Board and Chairs from each chartered committee, the Treasurer, and any other members of the Authority Board designated by the Chairperson (or their respective designated representative)[1]

  Vice Chair: Jeff Sutton (TCCA)

  Treasurer: Jamie Traynham (TC-4 through Davis WD)

  Land Management: Gary Evans (Colusa County, Chair)

  Legislative & Outreach: Jeff Sutton (TCCA, Chair)

- Staff Support:
  1. The Executive Director and/or his/her designated representative.
  2. Legal counsel on an as needed basis.
  3. Specialty advisors or experts on an as need basis.
- Formation: May 21, 2020 (Elections)
- Expires: Annually, commensurate with elections, which occur in February or March.

Related Documents:

- Attachment A: Committee and Work Group Chartering Process, General Requirements.

Purpose: To facilitate the Authority Board's ability to carry out the functions described in the Joint Exercise of Powers Agreement, as may be amended, in an advisory role and to not make any binding decisions on behalf of the Authority's Board of Directors.

Meeting Frequency: When either the Chair or Vice-Chair deems necessary.

Committee's Roles and Responsibilities:

[1] The total number of participating agencies on this Committee shall not exceed the Authority Board's quorum threshold.

- Acting in an administerial role, serves as the clearinghouse to assign and/or prioritize matters of interest to the most-appropriate committee; who will then prepare a recommendation to the Authority's Board of Directors for consideration and possible action.

  Should either the likelihood for potential duplication of effort between committees exist or the need to ensure integration between committees exists, assign one committee to be the overall lead.

- To ensure each committee is performing their duties efficiently, effectively, timely reporting to the Authority's Board of Directors, and in accordance with the current version of the Joint Exercise of Powers Agreement. In addition, to prevent the duplication of effort between multiple committees.

  NOTES: Agreement by the Chair and Vice-chair is needed to appoint or replace a Committee Chair.

- To ensure matters of interest to the Authority that are related to work delegated to the Authority Board are being addressed and reported in a timely manner. This may include requesting specific items be included in the Authority Board's meeting agenda packages.

- To ensure matters of interest to the Authority Board that either are being addressed by the Authority or require action from the Authority's Board are being addressed and reported in a timely manner. This may include requesting specific items be included in the Authority's meeting agenda packages.

Topic: **Sites Reservoir Project** **2020 July 22**

Subject: **Authority Ad Hoc Land Management Committee**

Authority Committee Chartering Document

Status: **Ad Hoc**

- Leader: Gary Evans (Colusa County)
- Members (3): Lee McDaniel (Glenn County)
  Jeff Sutton (TCCA)
  Logan Dennis (GCID)
- Staff Support:
  1. The External Affairs Manager
  2. Legal counsel on an as needed basis.
  3. Other specialty advisors or experts on an as needed basis.
- Formation: March 22, 2019
- Expires: TBD

Related Documents:

- Attachment A: Committee Chartering Process, General Requirements

Purpose: To advise the Authority on Real Estate, Land Management and Site Facilities issues, including land use changes caused by proposed project facilities for incorporation into both the EIR/S and Reclamation's congressionally mandated Feasibility Report.

Meeting Frequency: When either the Leader determines or an Authority Board Member requests that a potential issue exists to warrant convening the ad hoc committee to develop a recommended resolution or response for the Authority Board to then consider and act upon.

Committee Roles and Responsibilities:

- The primary focus of this Committee will be:
  - Issues and activities relating to the Sites Project Authority's Real Estate program including temporary-rights-of-entry (TROE), property acquisition and landowner engagement activities.

Draft_0027406

- Issues and activities relating to the Site Project Authority's Land Management program including policies for the management of Authority acquired property, management of easements (project and mitigation), and other land management activities.

- Issues and activities relating to the planning and development of the project's proposed recreation facilities and other public lands.

- Issues and activities relating to coordination with local agencies regarding project planning activities, facilities siting issues and changes in local land use.

Topic: **Sites Reservoir Project** **2020 July 22**

Subject: **Authority Ad Hoc Legislative & Outreach Committee**

Authority Committee Chartering Document

Status: **Ad Hoc**

- Leader: Jeff Sutton (TCCA)
- Members (3): Fritz Durst (RD 108)
  Garry Evans (Colusa County)
  Logan Dennis (GCID)
- *RC:* *Reservoir Committee Chair (Thad Bettner – GCID)*
  Reservoir Committee Vice Chair (Jeff Davis – SGPWD)
- Staff Support:
  1. External Affairs Manager – Lead Staff
  2. Legal counsel on an as needed basis.
  3. Other specialty advisors or experts on an as needed basis.
- Formation: March 22, 2019
- Expires: TBD

Related Documents:

- Attachment A: Committee Chartering Process, General Requirements

Purpose: To advise the Authority on Federal, State and Local legislative/government affairs issues and to advise on landowner coordination, stakeholder engagement, public outreach, and media activities.

Meeting Frequency: When either the Leader determines or an Authority Board Member requests that a potential issue exists to warrant convening the ad hoc committee to develop a recommended resolution or response for the Authority Board to then consider and act upon.

Committee Roles and Responsibilities:

- The primary focus of this Committee will be:

Draft_0027408

- Issues and activities relating to the Sites Project Authority's Federal and State Government Affairs/Legislative program including strategy, advocacy, and engagement with Federal and State elected officials, legislative/committee staff, and regulatory agency leadership.

- Issues and activities related to the Sites Project Authority's landowner and local governmental agency coordination effort.

- Issues and activities related to the Sites Project Authority's Communications Program including stakeholder engagement, public outreach, and media relations.

Committee Chartering Document

Status: **Ad Hoc**

- Chair: Jamie Traynham (Davis WD)
- Vice Chair: Robert Cheng (CVWD)
- Members (6): Thad Bettner (GCID)
  Katrina Jessop (SCVWD)
  Rob Kunde (WR-M WSD)
  Shelly Murphy (Colusa County WD)
  Dan Ruiz (Westside WD)
  Bill Vanderwaal (RD-108)
- Staff Lead: Joe Trapasso
- Staff Support: Legal counsel on an as needed basis
  Other specialty advisors or experts on an as needed basis
- Formation: May 27, 2020
- Expires: End of the Phase 2 (2019) Reservoir Project Agreement

Related Documents:

- Attachment A: Work Group Chartering Process, General Requirements

Purpose: To advise the Reservoir Committee and Authority Board on:

a. Status of expenditures,

b. Progress related to implementation of Work Plans,

c. Contract and task order documents,

d. Agreements such as the 2019 Reservoir Participation Agreement

e. Credit Reimbursement Policy,

f. Proposed finance plan, and

g. Project's overall economics for inclusion in the Feasibility Report and WSIP-required state feasibility assessment.

Meeting Frequency: When either the Chair determines, or an Authority Chairperson requests that a potential issue exists to warrant convening the Committee to develop a recommended resolution or response for the Authority to then consider and act upon.

Committee's Roles and Responsibilities:

- The primary focus areas of this work group are:

  a. Serve as the Authority's budget committee to manage the planned, actual, and forecast costs for Phase 2;

  b. Maintaining both the Cost Development Model and Credit reimbursement Policy;

  c. Assessment of the Project's overall economic feasibility;

  d. Recommending a financing strategy for each subsequent project phase;

  e. Recommending how requests to participate should proceed; including the rebalancing processes that will occur prior to the end of August 2020 and December 31, 2021.

  f. Review monthly payment of claims for adequacy to recommend approval to the Reservoir Committee and Authority Board.

  g. Review of Reclamation's Feasibility Study for both economic and financial feasibility.

  NOTE: The review for technical feasibility has been assigned to the Water Facilities Work Group.

Topic: **Sites Reservoir Project, Phase 2 (2020)** **2020 July 22**

Subject: **Authority Board's Committees**

Committee Chartering Process, General Requirements
(Attachment A to each Committee's Chartering Document)

Introduction: Advancing the Sites Reservoir Project (Project) requires the integration of both multiple disciplines and the respective values each participant expects to obtain through this and potentially subsequent phases. Given the size and complexity of this Project, the work has been organized into major categories of work (e.g. cost centers) that serve as the basis for executing specific Project Agreements, such as the Reservoir Committee and Authority Board for the Project's Phase 2 (2019)[1].

In anticipation of the need to expeditiously and cost-effectively resolve issues, the Authority Board has been further organized into committee by key topic areas. Each committee will be advisory to the Authority Board and be responsible for developing recommendations for the Authority Board's consideration regarding the specific matter assigned to them by an over-arching Coordination Committee. The Coordination Committee's purpose is to (a) perform ministerial functions that are intended to ensure integration and facilitate timely decision-making by the Authority Board and (b) both maintenance of and recommend interpretation of the Authority Board's governance documents.

Chartering Document's Purpose:

- To define the primary roles and responsibilities applicable to each committee to address specific matters and to avoid the potential for duplication of effort among multiple committees;

- To establish a timeline for completion of the specific assignment with the expectation its role be revisited and, as appropriate, the chartering document either be amended or a new committee be created.

Committee Roles and Responsibilities: Each committee's primary roles and responsibilities include the following, which are in addition to specific assignment identified in their respective chartering document:

- To keep the Authority Board's Leadership Team appraised of the committee's progress and the escalation of issues in a timely manner.

[1] Since Phase 1, the Authority and Reservoir Committee have been deemed to each be a legislative body as referred to in the Brown Act. Should there be a need for an Authority Committee to meet with a Reservoir Committee's Workgroup, so long as both are advisory, the resulting meeting does not have to be noticed.

Draft_0027412

- To incorporate the Authority Board's direction to their respective committee; to then provide recommendations back to the Authority Board for their consideration and eventual disposition (e.g. adoption of a document or recommended policy).

- To review staff and consultant developed work products and/or deliverables for:

  a. Acceptability with the applicable standard of practice related primarily to technical and quality considerations;

  b. Identification of potential scope changes that may affect – both positively and negatively – the approved annual budget, target work plan, and/or schedule;

  c. Identification of potentially significant changes in risk and/or the reallocation of risk;

  d. Identification of potential policy issues; and,

  e. Ensuring a consolidated set of comments is maintained and final acceptance of how subsequent versions have addressed prior comments.

- Where issues require an integrated response from multiple committees, the Authority Board's leadership team may assign a lead who will then coordinate with each of the required committees to develop an integrated response for the Authority Board's consideration.
- Each committee's activities are ad hoc in nature in that they are limited in scope to that which is provided for in the accompanying "Committee Chartering Document" and presently anticipated to be completed within a phase (or year), generally through review of portions of drafts of feasibility studies, the EIR/EIS and the WSIP application and related documents.

Participation: Organizations[2] who are signatory to the Authority Board and deemed to be in good standing by the Coordination Committee, have been approved to provide in-kind services to the Authority Board, may designate personnel to represent their interests and serve in an active capacity to any Committee. Authority Board Committees may also include as technical advisors who are not signatory to the Authority Board, but whose specific knowledge and expertise is deemed essential to advise on specific issues. This can include Authority Members, Associate Members, and non-voting cost-share partners or Ex Officio Members.

---

[2] Refer to Project Agreement Committee's chartering document, which defines membership types applicable to allow participation in the respective Project Agreement Committee.

Sites

Topic: **Authority Board Agenda Item 1.7** **2020 July 22**

Subject: **Contract Amendment for Professional Services (Brown and Caldwell)**

Requested Action:

Consider approval of an amendment to Brown and Caldwell's (Project Controls) contract and task order scope of work and budget in the amount of $31,881 for a new total contract authority of $1,942,399.

Detailed Description/Background:

The Project Controls contract supports the Project Operations Manager providing primarily staff augmentation to cover financial and administrative activities. Brown and Caldwell was competitively selected for these services in March 2019 and an Amendment 1B task order was issued in February 2020 to carry these services through August 2020. Through the past six months, additional work was incurred in these areas developing work plans, leading the rebalancing and outreach efforts, and supporting early funding agreement changes with the CWC. Also, the Executive Director has been delegating more of the administrative activities to the B&C support staff to free up his time for higher value activities. Staff tried to stretch the contract authority through to August 2020 but has come up short and a modest increase is necessary to continue to provide these important functions in August.

Prior Action:

February 26, 2020: Approved Project Controls task order amendment of $899,156 for the period of January 1, 2020 through August 31, 2020.

January 17, 2020: Approved Amendment 1B Work Plan for the period of January 1, 2020 through August 31, 2020 as part of a no cost time extension to the then current Participation Agreement. The Amendment included summary-level descriptions of the deliverables with estimated Reservoir Committee budgets. Each deliverable budget was further defined in the document by the level of effort required to complete each deliverable by consultant for use in developing amended consultant task orders intended to be submitted for approval to the Reservoir Committee and Authority Board in February 2020.

December 19, 2019: Approved a recommendation to the Sites Project Authority to approve an amendment to Brown and Caldwell's (B&C) (Project Controls) contract period of performance by extending their task order from December 31, 2019 through June 30, 2020 with no change in their cost.

Draft_0027414

March 22, 2019: Approved a recommendation to the Sites Project Authority to approve the Brown and Caldwell Phase 2 (2019) task order and budget for project controls services from April 1, 2019 through December 31, 2019.

January 18, 2019: Delegated responsibility to the Ad Hoc Finance and Economics Work Group to review and consider accepting the terms and conditions and recommending to the Sites Project Authority to approve the agreement negotiated by staff.

November 16, 2018: Approved a recommendation to the Sites Project Authority to accept the evaluation panel's selection of B&C for Service Area B – Project Controls.

August 16, 2018: Approved a recommendation to the Sites Project Authority to the release of the Project Development Support Services RFQ-18-04.

Fiscal Impact/Funding Source:

The BC contract ceiling is proposed to increase by $31,881 with this amendment to complete the additional scope of work. This additional budget would increase the contract budget ceiling to a not to exceed amount of $1,942,399.

This change will be accommodated within the Amendment 1B Work Plan total budget. $31,881 will be reallocated from the General Manager (GM) budget (Reservoir Committee share). The Reservoir Committee share of the GM budget in the Amendment 1B Work Plan is $258,400. Actual spend to date for the GM is $159,763 and no further invoices are anticipated, leaving $98,637 remaining. This reallocation does not impact the Amendment 1B carryover funds previously discussed as part of the cash call process.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Task Order Amendment.

Sites Project Authority

Project Controls

Task Order Amendment

Consultant: Brown and Caldwell

Task Order No. 2.4

Task Order No. 2.4 amends the current Brown and Caldwell Consulting Agreement and task order work activities with the Authority for Project Controls Services for the period of performance through August 31, 2020. This task order amendment expands the scope of work and increases the budget as presented below.

Scope of Work

Brown and Caldwell has been providing additional administrative support to the Authority's new Executive Director and has supported changes to the Early Funding Agreement with the California Water Commission (CWC) to provide increased project revenue. Additional administrative support is required in support of planned town hall meetings and strategic planning sessions. Brown and Caldwell has developed Participation Agreement work plans for the Reservoir Committee, and has supported recent rebalancing efforts. Finally, additional changes are required to the Amendment 2 Work Plan based on increased CWC revenue, cash call timing due to COVID-19, project rebalancing, and negotiated task orders.

Budget

The Brown and Caldwell contract ceiling is increased by $31,881 with this amendment to complete the additional scope of work. This additional budget increases the contract budget ceiling to a not to exceed amount of $1,942,399.

Period of Performance

The period of performance of the task order does not change with this amendment and remains as August 31, 2020.

Brown and Caldwell	**Sites Project Authority**
By: _____	By: _____
Printed Name: _____	Printed Name: _____
Date: _____	Date: _____

Sites

Topic: **Authority Board Agenda Item 2.1** **2020 July 22**

Subject: **2019 Financial Audit Report**

Requested Action:

Consider acceptance of the Financial Auditor's Report.

Detailed Description/Background:

Fechter and Company, CPA, was awarded a contract to conduct an audit of the Authority's financial information for the fiscal years 2016, 2017 and 2018. The audit for the fiscal years 2016 and 2017 were initiated in April 2018 and completed in early July. The fiscal year 2018 audit report was initiated in April 2019 and completed in July 2019.

The Authority approved Fechter and Company to conduct the fiscal year 2019 audit. It was started April 2020 and concluded June 2020.

The report for fiscal year 2019 concludes: "In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Authority as of December 31, 2018, and the results of its operations and cash flows for the years then ended in conformity with accounting principles generally accepted in the United States of America."

It should be noted current liabilities increased from $2,146,939 as of December 31, 2018 to $6,793,111 as of December 31, 2019, due primarily to an increase in the Authority's Participant Reimbursement Policy liability.

Prior Reservoir Committee Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A – 2019 Financial Audit Report.

Attachment B – 2019 Management Report.

Draft_0027417

SITES PROJECT AUTHORITY

ANNUAL FINANCIAL REPORT
With
Independent Auditor's Report Thereon

DECEMBER 31, 2019

SITES PROJECT AUTHORITY

Annual Financial Report
December 31, 2019

Table of Contents

	Page
Introductory Section	
Organization of Authority and List of Board of Directors	1
Financial Section	
Independent Auditor's Report	2-3
Management's Discussion and Analysis	4-7
Basic Financial Statements	
Statement of Net Position	8
Statement of Activities	9
Balance Sheet – Governmental Funds	10
Statements of Revenues, Expenditures, and Changes in Fund Balances	11
Notes to Basic Financial Statements	12-17
Required Supplementary Information	
Statement of Revenues, Expenditures, and Changes in Fund Balances Budget to Actual – General Fund	18
Statement of Revenues, Expenditures, and Changes in Fund Balances Budget to Actual – Reservoir Fund	19
Notes to Required Supplementary Information	20
Other Reports	
Independent Auditor's Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with Government Auditing Standards	21-22

SITES PROJECT AUTHORITY

Organization of Authority and List of Board of Directors
December 31, 2019

Sites Project Authority (the Authority) was established on August 26, 2010, when seven regional entities, including several local water agencies and Counties, executed the Joint Exercise of Power Agreement. The primary purpose of the Authority, as stated in the agreement, is to pursue the development and construction of the Sites Reservoir Project, which has long been viewed as an ideal location for additional off stream storage to provide direct and real benefits to instream flows, the Delta ecosystem, and water supply. In keeping their commitment to move the project forward on behalf of the region, the Authority has spent the last nine years working towards this goal by engaging the public, various stakeholders, state and federal agencies and landowners, initiating the required environmental planning process, and conducting feasibility studies, among other efforts.

GOVERNING BOARD

Name	Office	Member Represented
Fritz Durst	Chair	Reclamation District 108
Gray Allen	Vice Chair	Placer County Water Agency
Gary Evans	Member	County of Colusa
Joe Marsh	Member	Colusa County Water District
Leigh McDaniel	Member	County of Glenn
Logan Dennis	Member	Glenn-Colusa Irrigation District
Jeff Harris	Member	City of Sacramento
Jeff Sutton	Member	Tehama-Colusa Canal Authority
Doug Parker	Member	Westside Water District
Jamie Traynham	Treasurer	TC 4 Districts
Greg Johnson	Member - Non-Voting	Western Canal Water District
Don Bader	Cost-Share Partner - Non-Voting	U.S. Bureau of Reclamation
Rob Cooke	Ex-Officio - Non-Voting	CA Department of Water Resources

Draft_0027420

FECHTER & COMPANY
Certified Public Accountants

Craig R. Fechter, CPA, MST

INDEPENDENT AUDITOR'S REPORT

Board of Directors
Sites Project Authority
Maxwell, California

Report on the Financial Statements

We have audited the accompanying financial statements of the governmental activities and each major fund of the Sites Project Authority (the Authority), as of and for the year ended December 31, 2019, and the related notes to the financial statements, which collectively comprise the Authority's basic financial statements as listed in the table of contents.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, and the State Controller's Minimum Audit Requirements for California Special Districts. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

3445 American River Drive Suite A | Sacramento, CA 95864 | ph 916-333-5360 | fax 916-333-5370
www.fechtercpa.com
Member of the American Institute of Certified Public Accountants Tax Section
and California Society of CPAs

Draft_0027421

Board of Directors
Sites Project Authority
Maxwell, California

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Authority as of December 31, 2019, and the results of its operations and cash flows for the years then ended in conformity with accounting principles generally accepted in the United States of America.

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis information, and the budgetary comparison schedules, as listed in the table of contents, be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated June 15, 2020, on our consideration of the Authority's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Authority's internal control over financial reporting and compliance.

Fechter & Company
Certified Public Accountants

Sacramento, California
June 15, 2020

Draft_0027422

SITES PROJECT AUTHORITY

Management's Discussion and Analysis
December 31, 2019

The following Management's Discussion and Analysis (MD&A) of activities and financial performance of the Sites Project Authority (the Authority) provides an introduction to the financial statements of the Authority for the year ended December 31, 2019, and a comparison to the prior audited financial statements for the year ended December 31, 2018. We encourage readers to consider the information presented here in conjunction with the transmittal letter in the Introductory Section and with the basic financial statements and related notes, which follow this section.

Financial Highlights

- The Authority's assets exceed liabilities by $5,898,590 as of December 31, 2019.

- The Authority's revenues exceeded expenditures by $4,436,226 for the year ending December 31, 2019. The Authority's largest expense was for professional services. Professional services were 67% of total expenses for the year ending December 31, 2019.

Required Financial Statements

This annual report consists of a series of financial statements. The *Statement of Net Position* and the *Statement of Activities* provide information about the activities and performance of the Authority using accounting methods similar to those used by private sector companies.

The *Statement of Net Position* includes all of the Authority's investments in resources (assets) and the obligations to creditors (liabilities). It also provides the basis for computing a rate of return, evaluating the capital structure of the Authority, and assessing the liquidity and financial flexibility of the Authority. All of the current period's revenue and expenses are accounted for in the *Statement of Activities*. This statement measures the success of the Authority's operations over the past year and can be used to determine if the Authority has successfully recovered all of its costs through its revenues. This statement can also be used to evaluate profitability and credit worthiness.

More detailed information about the Authority's most significant funds – not the Authority as a whole, is provided in the fund financial statements. Funds are accounting devices the Authority uses to keep track of specific sources of funding and spending on particular programs. The *Balance Sheet* for governmental funds presents financial information by fund types showing money left at year-end available for spending. The *Statement of Revenues, Expenditures, and Changes in Fund Balances* for all governmental fund types focuses on how money flows into and out of the various funds.

Financial Analysis of the Authority

One of the most important questions asked about the Authority finances is, "Is the Authority better off or worse off as a result of this year's activities?" The *Statement of Net Position* and the *Statement of Activities* report information about the Authority in a way that helps answer this question. These statements include all assets and liabilities using the accrual basis of accounting, which is similar to the accounting used by most private sector companies. All of the current year's revenues and expenses are taken into account regardless of when the cash is received or paid.

These two statements report the Authority's net assets and changes in them. You can think of the Authority's net assets – the difference between assets and liabilities – as one way to measure the Authority's financial health or financial position. Over time, increases or decreases in the Authority's

Draft_0027423

net assets are one indicator of whether its financial health is improving or deteriorating. However, one will need to consider other non-financial factors such as changes in economic conditions, population growth, zoning, and new or changed government legislation, such as changes in Federal and State regulations.

Notes to the Basic Financial Statements

The notes provide additional information that is essential to a full understanding of the data provided in the basic financial statements.

Statement of Net Position

	2019	2018	Change
Assets			
Cash and investments	$ 7,342,108	$ 3,567,974	$ 3,774,134
Other current assets	5,349,593	41,329	5,308,264
Total Assets	12,691,701	3,609,303	9,082,398
Liabilities			
Current liabilities	6,793,111	2,146,939	4,646,172
Total Liabilities	6,793,111	2,146,939	4,646,172
Net Position			
Unrestricted	5,898,590	1,462,364	4,436,226
Total Net Position	$ 5,898,590	$ 1,462,364	$ 4,436,226

As discussed earlier, net position may serve over time as a useful indicator of a government's financial position. In the case of the Authority, assets exceeded liabilities by $5,898,590 and $1,462,364 as of December 31, 2019 and 2018, respectively.

The largest portion, 58%, of the Authority's assets was cash in bank as of December 31, 2019.

Current liabilities increased from $2,146,939 as of December 31, 2018, to $6,793,111 as of December 31, 2019, due primarily to an increase in the Authority's Participant Reimbursement Policy liability.

At the end of fiscal years 2019 and 2018, the Authority showed a positive balance in its unrestricted net position of $5,898,590 and $1,462,364, respectively.

Draft_0027424

Statement of Activities

	2019	2018	Change
General Revenues			
Membership Admin/Authority	$ 506,294	$ 691,795	$ (185,501)
Membership - Water	11,456,740	-	11,456,740
Prop 1 funding	8,080,794	-	8,080,794
Interest revenue	121,535	1,183	120,352
Total Revenues	20,165,363	692,978	19,472,385
Operating Expenses			
Authority/Admin	494,930	427,934	66,996
Reservoir	15,234,207	5,951,648	9,282,559
Total Expenditures	15,729,137	6,379,582	9,349,555
Change in Net Position	$ 4,436,226	$ (5,686,604)	$ 10,122,830

The S*tatement of Activities* shows how the Authority's net position changed during the periods. In the case of the Authority, net position increased by $4,436,226 for the year ending December 31, 2019, and decreased by $5,686,604 for the year ending December 31, 2018, respectively.

A closer examination of the sources of changes in net position reveals that:

Total revenue, which consisted of member dues and assessments, increased by $19,472,385 from the year ending December 31, 2018, to the year ended December 31, 2019, due primarily to the billing of Phase 2 of the Project ($11.6 million) and Prop 1 funding ($8.1 million). Total expenses also increased by $9,349,555 from the year ending December 31, 2018, to the year ended December 31, 2019, to match the Authority's scope of work in 2019.

Authority/Admin expenses comprised 3.15% and 6.71% of total expenses for the years ending December 31, 2019 and 2018, respectively. Reservoir expenses comprised 96.85% and 93.29% of total expenses for the years ending December 31, 2019 and 2018, respectively.

General Fund Budgetary Highlights

The Authority's total budget for the General Fund for the year ended December 31, 2019, showed excess revenues over expenditures of $258 compared to the actual amount of $24,264. The difference was primarily due to the determination of critical tasks that were required to be postponed.

	Actual	Budget	Variance
Revenues	$ 519,194	$ 515,000	$ 4,194
Operating Expenses	494,930	514,742	(19,812)
Net Increase	$ 24,264	$ 258	$ 24,006

Draft_0027425

Conditions Affecting Current Financial Position

The Authority continues to plan and expand project activities to build the large water infrastructure project. Expanding activities results in a continuation of increases to the annual financial budgets.

The California Water Commission's Early Funding Agreement (EFA) with the Authority was approved mid-2019 for the maximum amount payable by the State to not exceed $40,818,884 for activities reasonably related to the completion of environmental documentation and permitting. In 2019, the Authority processed and received a State payment of $2,769,021 towards the not to exceed amount for eligible activities. In 2020, the Authority will continue to prepare and submit EFA invoices for payment.

The current phase of the project is anticipated to be completed by August 31, 2020, and is fully funded. The Authority is planning to finalize the next phase of the project in mid-2020 to initiate work on September 1, 2020 through December 31, 2021. The current plan is to fund the next phase of the project through a Participants' cash call and additional received EFA dollars.

Future Plans for the Sites Reservoir Project

As an ongoing part of the EFA funding process, the Authority will continue to prepare and submit invoices for payment on a quarterly basis.

The Authority will continue to expand its governance and member participation.

The Authority will be developing a detailed work plan and financing plan for the remainder of Phase 2 through December 31, 2021.

The Authority will secure additional necessary professional services contracts such as engineering contracts to support the implementation of the Phase 2 Work Plan and beyond. Critical Phase 2 activities that need to be performed by the professional services includes further work to secure necessary environmental permits and agreements, additional operations simulation modeling, and detailed engineering work.

Requests for Information

This financial report is designed to provide the Authority's funding sources, customers, stakeholders, and other interested parties with an overview of the Authority's financial operations and financial condition. Should the reader have questions regarding the information included in this report or wish to request additional financial information, please contact the Authority's Treasurer at P.O. Box 517, Maxwell, California 95955 or view the website of the Authority at www.sitesjpa.org.

Draft_0027426

SITES PROJECT AUTHORITY
STATEMENT OF NET POSITION
DECEMBER 31, 2019

ASSETS		
Cash and investments	$	7,342,108
Due from other governments		35,293
Grants receivable		5,311,774
Prepaid expenses		2,526
TOTAL ASSETS		12,691,701
LIABILITIES		
Accounts payable		728,458
Participant reimbursement policy		6,064,653
TOTAL LIABILITIES		6,793,111
NET POSITION		
Unrestricted		5,898,590
NET POSITION	$	5,898,590

The accompanying notes are an integral part of these financial statements.

Draft_0027427

SITES PROJECT AUTHORITY
STATEMENT OF ACTIVITIES
FOR THE YEAR ENDED DECEMBER 31, 2019

GOVERNMENTAL ACTIVITIES:

EXPENSES:

Authority/Admin	$ 494,930
Reservoir	15,234,207
Total expenses	$ 15,729,137

GENERAL REVENUES:

Membership Admin/Authority	$ 506,294
Membership Water	11,456,740
Prop 1 funding	8,080,794
Interest revenue	121,535
Total general revenues	20,165,363
Change in net position	4,436,226
Net position, beginning of fiscal year	1,462,364
Net position at end of fiscal year	$ 5,898,590

The accompanying notes are an integral part of these financial statements.

Draft_0027428

SITES PROJECT AUTHORITY
BALANCE SHEET - GOVERNMENTAL FUNDS
DECEMBER 31, 2019

	General Fund - Authority	Special Revenue - Reservoir	Total
ASSETS			
Cash and investments	$ 504,957	$ 6,837,151	$ 7,342,108
Due from other governments	12,863	22,430	35,293
Grants receivable	-	5,311,774	5,311,774
Prepaid expenses	334	2,192	2,526
TOTAL ASSETS	$ 518,154	$ 12,173,547	$ 12,691,701
LIABILITIES			
Accounts payable	$ 51,526	$ 676,932	$ 728,458
Participant reimbursement policy	-	6,064,653	6,064,653
Total liabilities	51,526	6,741,585	6,793,111
FUND BALANCES			
Fund balance:			
Assigned to development of the reservoir	-	5,431,962	5,431,962
Unassigned	466,628	-	466,628
Total fund balance	466,628	5,431,962	5,898,590
TOTAL LIABILITIES AND FUND BALANCES	$ 518,154	$ 12,173,547	$ 12,691,701

The accompanying notes are an integral part of these financial statements.

Draft_0027429

SITES PROJECT AUTHORITY
STATEMENT OF REVENUES, EXPENDITURES, AND CHANGES IN FUND BALANCES
GOVERNMENTAL FUNDS
FOR THE YEAR ENDED DECEMBER 31, 2019

	General Fund - Authority	Special Revenue - Reservoir	Total
REVENUES			
Membership Admin/Authority	$ 506,294	$ -	$ 506,294
Membership Water	-	11,456,740	11,456,740
Prop 1 funding	-	8,080,794	8,080,794
Interest revenue	12,900	108,635	121,535
Total revenues	519,194	19,646,169	20,165,363
EXPENDITURES			
Current:			
Accounting services	8,527	34,111	42,638
Audit fees	-	11,892	11,892
Administrative support	13,437	53,749	67,186
General manager	50,532	385,369	435,901
Insurance	200	585	785
Office expenses	8,197	4,509	12,706
Rent expense	-	2,500	2,500
Dues and Subscriptions	15,799	6,981	22,780
Professional fees	395,821	10,064,129	10,459,950
Reimbursements	-	4,660,713	4,660,713
Website, computer support	2,182	8,729	10,911
Miscellaneous	235	940	1,175
Total expenditures	494,930	15,234,207	15,729,137
Net changes in fund balances	24,264	4,411,962	4,436,226
Fund balances, beginning of year	442,364	1,020,000	1,462,364
Fund balances, end of year	$ 466,628	$ 5,431,962	$ 5,898,590

The accompanying notes are an integral part of these financial statements.

Draft_0027430

NOTE 1: SIGNIFICANT ACCOUNTING POLICIES

Reporting Entity: Sites Project Authority (the Authority) was established as an independent special agency in August of 2010 under the Joint Exercise of Powers Act, California Government Code, Section 6500.

As of December 31, 2019, 11 public entities, filling 9 Board seats with 2 government agencies serving ex-officio positions and 2 Associate Members, were approved members of the Authority as follows:

Reclamation District 108	Westside Water District
Placer County Water Agency/ City of Roseville	Colusa County Water District
County of Colusa	Sacramento County Water Agency/ City of Sacramento
County of Glenn	California Department of Water Resources
Glenn-Colusa Irrigation District	TC 4 Districts (Associate Member)
Tehama-Colusa Canal Authority	Western Canal Water District (Associate Member)
U. S. Bureau of Reclamation	

The Authority is a public entity district and therefore, falls under the guidelines of a special district governmental entity. The Authority is governed by a board of directors that consists of one representative of each member.

The Authority was developed with the purpose to effectively study, promote, develop, design, finance, acquire, construct, manage, and operate Sites Reservoir and the related facilities. The purpose of pursuing and developing the Sites Reservoir are to: (a) increase surface water storage and enhance water management flexibility in the Sacramento Valley, (b) provide flood control benefits, (c) improve conditions for fish and wildlife in the Sacramento Valley and (d) improve the operation of the State's water system.

Financial Statement Presentation: The *Statement of Net Position* and *Statement of Activities* display information about the primary government (the Authority). These statements include the financial activities of the overall government. These statements report the governmental activities of the Authority, which are normally supported by inter-governmental revenues. The Authority had no business-type activities as of and for the year ended December 31, 2019.

The *Statement of Activities* presents a comparison between direct expenses and program revenues for each function of the Authority's governmental activities. Direct expenses are those that are specifically associated with a program or function and; therefore, are clearly identifiable to a particular function. Program revenues include operating grants. Revenues that are not classified as program revenues are presented instead as general revenues.

NOTE 1: SIGNIFICANT ACCOUNTING POLICIES - CONTINUED

Fund financial statements of the Authority are organized into one fund which is considered to be a separate accounting entity. The fund is accounted for by providing a separate set of self-balancing accounts that constitute its assets, liabilities, fund equity, revenues, and expenditures. The Authority reports two funds, the General Fund, which accounts for all revenues and expenditures necessary to carry out the administrative activities of the Authority, and the Reservoir Fund, a special revenue fund used to account for specific work activities for the development of the Sites Reservoir Project and is funded by contributions from the Project Agreement Members.

Basis of Accounting and Measurement Focus: Basis of accounting refers to the point at which revenues or expenditures/expenses are recognized in the accounts and reported in the financial statements. It relates to the timing of the measurements made regardless of the measurement focus applied.

The government-wide financial statements are reported using the economic resources measurement focus and the accrual basis of accounting. Revenues are recorded when earned and expenses are recorded at the time liabilities are incurred, regardless of when the related cash flows take place. Non-exchange transactions, in which the Authority gives (or receives) value without directly receiving (or giving) equal value in exchange, include grants, entitlements, and donations.

Revenues from grants, entitlements, and donations are recognized in the fiscal year in which all eligibility requirements have been satisfied.

Governmental funds are reported using the current financial resources measurement focus and the modified accrual basis of accounting. Under this method, revenues are recognized when measurable and available. Charges for services, operating grants, and use of money and property are accrued when their receipt occurs within sixty days after the end of the accounting period so as to be both measurable and available. Expenditures are generally recorded when a liability is incurred, as under accrual accounting.

Cash: The Authority's cash includes demand deposits. The Authority had no investments during the year ending December 31, 2019.

The governing board has not formally adopted any deposit and investment policies that limits the Authority's allowable deposits or investments and addresses the specific type of risk to which the Authority is exposed.

Due from Other Governments: Amounts due from other governments represent amounts due from member agencies that has been earned but not received by the end of the period.

As of December 31, 2019, the Authority has determined that all amounts are collectable and therefore, no doubtful account allowance was deemed necessary as of December 31, 2019.

Draft_0027432

NOTE 1: SIGNIFICANT ACCOUNTING POLICIES - CONTINUED

Capital Assets: The Authority defines capital assets as property, plant, equipment, and infrastructure assets with an initial individual cost of $5,000 and a useful life of three years or more. As of December 31, 2019, the Authority had no capital assets.

Unearned Revenue: This represents amounts received from members that will be applied to future billings.

Revenue: The Authority's revenue is comprised of the following:

General Fund – Authority Revenue

Authority members pay an annual membership fee which is established by the Authority. The membership fee for 2019 was $55,000 per member.

Special Revenue – Reservoir Fund

Revenue is recognized according to the Project's board-approved operating budget for each phase of the Project. The Project Agreement Members are billed in accordance with their pro-rata share of the budgeted costs for activities undertaken pursuant to the Project Agreement.

To withdraw from this Project Agreement, a Project Agreement Member shall give the Authority and other Project Agreement Members written notice of such withdrawal not less than 30 days prior to the withdrawal date. As of the withdrawal date, all rights of participation in this Project Agreement shall cease for the withdrawing Project Agreement Member. The financial obligation as prescribed in the Bylaws' Section 5.10 in effect on the withdrawal date, shall consist of the withdrawing Member's share of the following costs: (a) payment of its share of all non-contract costs incurred prior to the date of the written notice of withdrawal, and (b) those contract costs associated with funds approved.

Government-Wide Net Position:
When both restricted and unrestricted resources are available for use, it is the Authority's policy to use restricted resources first, then unrestricted resources as they are needed.

Government-wide net position is reported in three components - net investment in capital assets, restricted, and unrestricted. These classifications are defined as follows:

Net investment in capital assets – This component consists of capital assets, including restricted capital assets, net of accumulated depreciation and reduced by the outstanding balances of any bonds, mortgages, notes or other borrowings that are attributable to the acquisition, construction, or improvement of those assets.

Restricted – This component consists of constraints placed on net position through external constraints imposed by creditors (such as through debt covenants), grantors, contributors, or regulations of other governments or constraints imposed by law through constitutional provisions or enabling legislation.

Draft_0027433

NOTE 1: SIGNIFICANT ACCOUNTING POLICIES - CONTINUED

Unrestricted – This component consists of all other net position that does not meet the definition of "restricted" or "net investment in capital assets", as defined above.

Governmental Fund Balance: In the governmental fund financial statements, fund balances are classified as follows:

Nonspendable – Amounts that cannot be spent either because they are in a nonspendable form or because they are legally or contractually required to be maintained intact.

Restricted – Amounts that can be spent only for specific purposes because of state or federal laws, or externally imposed conditions by grantors or creditors.

Committed – Amounts that can be used only for specific purposes determined by formal action of the Authority.

Assigned – Amounts that are designated by the Authority to be used for specific purposes, but are neither restricted nor committed. The board of directors has the authority to assign amounts to be used for specific purposes.

Unassigned – All amounts not included in other spendable classifications.

Estimates: The preparation of financial statements, in conformity with accounting principles generally accepted in the United States of America, requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Actual results may differ from those estimates.

NOTE 2: CASH AND INVESTMENTS

Demand Deposits: The carrying amount and the bank balance of the Authority's cash as of December 31, 2019, were $7,342,108 and $7,853,942, respectively. The Federal Deposit Insurance Corporation (FDIC) insures deposits up to $250,000. As of December 31, 2019, $7,603,942 was in excess of the insurance coverage.

Custodial credit risk is the risk that in the event of a bank failure, the Authority's deposits may not be returned to it. The California Government Code and the Authority's investment policy do not contain legal or policy requirements limiting exposure to custodial risk for deposits or investments. The California Government Code requires that a bank secures deposits made by state and local governmental units by pledging securities in an undivided collateral pool held by a depository regulated under state law (unless so waived by the governmental unit). The market value of the pledged securities in the collateral pool must equal at least 110% of the total amount deposited by public agencies. California law also allows financial institutions to secure the Authority's deposits by pledging first trust deed mortgage notes having a value of 150% of the secured public deposits.

Draft_0027434

NOTE 3: PROFESSIONAL FEES

Professional fees for the year ended December 31, 2019, consisted of the following:

	General Fund - Authority	Special Revenue - Reservoir	Total
Env/Biological Services	$ -	$ 1,265,952	$ 1,265,952
Environmental/Project Operation	-	326,300	326,300
Bond Counsel	3,835	4,747	8,582
Bus/Comm Manager	-	236,373	236,373
EPP Manager	-	215,834	215,834
Federal Government Affairs Supp	25,000	25,000	50,000
General Legal Counsel	65,517	74,956	140,473
Geotechnical Engineering	-	193,371	193,371
Legislative/Reg/Strategic	55,000	33,000	88,000
Program Ops Manager	-	349,666	349,666
Project Controls	-	1,331,250	1,331,250
Project Delivery Advisor	2,970	1,035	4,005
Project Integration	-	2,378,561	2,378,561
Communication	191,653	194,107	385,760
Cost Development Model	-	12,753	12,753
Cultural Study	-	19,024	19,024
Engineering & Technical Service	-	244,092	244,092
Env Planning/Permits Manager	-	391,160	391,160
Public Outreach & Engagement	13,184	8,272	21,456
Real Estate	-	207,176	207,176
Reservoir Operations	-	25,574	25,574
ROW/Land Management	-	16,932	16,932
Special Legal	-	61,934	61,934
Municipal Advisor	-	176,709	176,709
Operations/Simulation Modeling	-	927,470	927,470
Organizational Assessment	38,662	-	38,662
Permitting & Agreements	-	1,269,631	1,269,631
Project Operations	-	73,250	73,250
	$ 395,821	$ 10,064,129	$ 10,459,950

Draft_0027435

NOTE 4: RISK MANAGEMENT

The Authority is exposed to various risks and general liabilities. The Authority participates in a public entity risk pool as a member of Association of California Water Agencies Joint Powers Insurance Authority (ACWA-JPIA). Each ACWA-JPIA member agency shares surpluses and deficits proportional to their participation. The Authority pays retrospectively rated annual premiums to ACWA-JPIA for its insurance coverage and has met all obligations since participation began.

NOTE 5: PARTICIPANTS REIMBURSEMENT POLICY

According to the Authority's participants reimbursement policy, the participants who withdrew or decreased their participation in the project are entitled to a credit equal to the contribution they made up to the date of withdrawal or reduction. That credit was applied toward future contribution requirements or booked as a liability on the Authority's books to be paid when it is determined that such reimbursements will not have an adverse impact on the financial viability or overall implementation of the Project. The amount due to participants under the reimbursement policy at December 31, 2019, was $6,064,653.

NOTE 6: COMMITMENTS AND CONTINGENCIES

Amounts received or receivable from grantor agencies are subject to audit and adjustment by grantor agencies. Any disallowed claims, including amounts already collected, may constitute a liability. The amount of expenditures, if any, which may be disallowed by the grantor, cannot be determined at this time although the Authority expects such amounts, if any, to be immaterial.

The Authority has outstanding contracts related to EIR/EIS and feasibility study. The remaining commitments on these contracts as of December 31, 2019, were minimal as the contracts can be canceled with a thirty-day notice.

NOTE 7: SUBSEQUENT EVENTS

Management has evaluated subsequent events through June 15, 2020, the date which the financial statements were available to be issued. Based upon this evaluation, except for the following, it was determined that no other subsequent events occurred that require recognition or additional disclosure in the financial statements.

In January 2020, the virus SARS -CoC-2 was transmitted to the United States from overseas sources. This virus, responsible for the Coronavirus disease COVID-19, has proven to be extremely virulent with transmission rates as yet unknown. The economic impact in the State of California as yet has not been determined and therefore any potential impact on the Authority is not yet known.

Draft_0027436

REQUIRED SUPPLEMENTARY INFORMATION

SITES PROJECT AUTHORITY
GENERAL FUND
STATEMENT OF REVENUES, EXPENDITURES, AND CHANGES IN FUND BALANCES - BUDGET AND ACTUAL
FOR THE YEAR ENDED DECEMBER 31, 2019

	Budgeted Amounts			Variance with Final Budget Positive
	Original	Final	Actual	(Negative)
REVENUES				
Membership Admin/Authority	$ 515,000	$ 515,000	$ 506,294	$ (8,706)
Interest revenue	-	-	12,900	12,900
Total revenues	515,000	515,000	519,194	4,194
EXPENDITURES				
Current:				
Accounting services	6,300	6,300	8,527	(2,227)
Audit fees	-	-	-	-
Administrative support	24,000	24,000	13,437	10,563
General manager	71,640	71,640	50,532	21,108
Insurance	3,250	3,250	200	3,050
Office expenses	23,330	23,330	8,197	15,133
Dues and Subscriptions	5,000	5,000	15,799	(10,799)
Professional fees	315,222	315,222	395,821	(80,599)
Reimbursements	-	-	-	-
Website, computer support	6,000	6,000	2,182	3,818
Miscellaneous	60,000	60,000	235	59,765
Total expenditures	514,742	514,742	494,930	19,812
Excess of revenues over expenditures	$ 258	$ 258	$ 24,264	$ 24,006

See notes to required supplementary information.

Draft_0027438

SITES PROJECT AUTHORITY
RESERVOIR FUND
STATEMENT OF REVENUES, EXPENDITURES, AND CHANGES IN FUND BALANCES - BUDGET AND ACTUAL
FOR THE YEAR ENDED DECEMBER 31, 2019

	Budgeted Amounts			Variance with Final Budget Positive
	Original	Final	Actual	(Negative)
REVENUES				
Membership Water	$ 16,111,534	$ 13,032,023	$ 11,456,740	$ (1,575,283)
Prop 1 funding	18,854,260	1,880,000	8,080,794	6,200,794
Interest revenue	-	-	108,635	108,635
Total revenues	34,965,794	14,912,023	19,646,169	4,734,146
EXPENDITURES				
Current:				
Accounting services	35,692	46,800	34,111	12,689
Audit fees	-	-	11,892	(11,892)
Administrative support	24,000	43,200	53,749	(10,549)
General manager	304,470	283,500	385,369	(101,869)
Insurance	-	-	585	(585)
Office expenses	8,198	3,240	4,509	(1,269)
Rent expense	124,000	-	2,500	(2,500)
Dues and Subscriptions	4,000	-	6,981	(6,981)
Professional fees	32,368,676	13,020,319	10,064,129	2,956,190
Reimbursements	2,067,094	1,472,023	4,660,713	(3,188,690)
Website, computer support	10,182	5,760	8,729	(2,969)
Miscellaneous	-	-	940	(940)
Total expenditures	34,946,312	14,874,842	15,234,207	(359,365)
Excess of revenues under expenditures	$ 19,482	$ 37,181	$ 4,411,962	$ 4,374,781

See notes to required supplementary information.

Draft_0027439

Note 1 - Budgetary Basis of Accounting

Budgets are prepared on the cash and expenditures or encumbrances basis. Revenues are budgeted in the year receipt is expected; expenditures are budgeted in the year that the applicable warrant requisitions are expected to be issued. The budget and actual financial statements are reported on the above basis, with no material differences between them.

Annual budget requests are submitted by the Authority's staff to the board of directors for preliminary review and approval. After public hearing, a final budget is approved by the board of directors, with a resolution adopting said budget. Copies of the approved budget are sent to all required agencies.

Draft_0027440

OTHER REPORTS

INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

To the Board of Directors
Sites Project Authority
Maxwell, California

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in Government Auditing Standards issued by the Comptroller General of the United States, the financial statements of the governmental activities and the General Fund of Sites Project Authority, as of and for the year ended December 31, 2019, and the related notes to the financial statements, which collectively comprise the Authority's basic financial statements, and have issued our report thereon dated June 15, 2020.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the Authority's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Authority's internal control. Accordingly, we do not express an opinion on the effectiveness of the Authority's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

3445 American River Drive Suite A | Sacramento, CA 95864 | ph 916-333-5360 | fax 916-333-5370
www.fechtercpa.com
Member of the American Institute of Certified Public Accountants Tax Section
and California Society of CPAs

Draft_0027442

To the Board of Directors
Sites Project Authority

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Authority's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under Government Auditing Standards.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with Government Auditing Standards in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Fechter & Company
Certified Public Accountants

Sacramento, California
June 15, 2020

Draft_0027443

SITES PROJECT AUTHORITY

MANAGEMENT REPORT

FOR THE YEAR ENDED

DECEMBER 31, 2019

SITES PROJECT AUTHORITY

Management Report
For the Year Ended December 31, 2019

Table of Contents

Page

Introduction.....1

Required communication.....2-4

FECHTER & COMPANY
Certified Public Accountants

Craig R. Fechter, CPA, MST

Board of Directors of the
Sites Project Authority
Maxwell, California

In planning and performing our audit of the financial statements of the Sites Project Authority for the year ended December 31, 2019, we considered the Authority's internal control structure to determine our auditing procedures for the purpose of expressing an opinion on the financial statements and not to provide assurance on the internal control structure.

Our consideration of the internal control would not necessarily disclose all matters in the internal control that might be material weaknesses under standards established by the American Institute of Certified Public Accountants. A material weakness is a condition in which the design or operation of one or more of the internal control components does not reduce, to a relatively low level, the risk that errors or irregularities in amounts that would be material in relation to the basic financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions.

We will review the status of these comments during our next audit engagement. We will be pleased to discuss them in further detail at your convenience, to perform any additional study of these matters, or to assist you in implementing the recommendations. We thank the Authority's staff for its cooperation on this audit.

Fechter & Company,
Certified Public Accountants

June 15, 2020
Sacramento, California

3445 American River Drive Suite A | Sacramento, CA 95864 | ph 916-333-5360 | fax 916-333-5370
www.fechtercpa.com
Member of the American Institute of Certified Public Accountants Tax Section
and California Society of CPAs

Draft_0027446

SITES PROJECT AUTHORITY
Required Communications
For the Year Ended December 31, 2019

The Auditor's Responsibility under U.S. Generally Accepted Auditing Standards

As stated in our engagement letter dated February 3, 2020, our responsibility, as described by professional standards, is to plan and perform our audit to obtain reasonable, but not absolute assurance that the financial statements are free of material misstatement and are fairly presented in accordance with U.S. generally accepted accounting principles. Because an audit is designed to provide reasonable, but not absolute assurance and because we did not perform a detailed examination of all transactions, there is a risk that material misstatements may exist and not be detected by us.

In planning and performing our audit, we considered the Authority's internal control over financial reporting in order to determine our auditing procedures for the purpose of expressing our opinion on the financial statements and not to provide assurance on the internal control over financial reporting.

As part of obtaining reasonable assurance about whether the Authority financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grants, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit.

Significant Accounting Policies

Management is responsible for the selection and use of appropriate accounting policies. In accordance with the terms of our engagement letter, we will advise management about the appropriateness of accounting policies and their application. The significant accounting policies used by the Authority are described in Note 1 to the financial statements. We noted no transactions entered into by the Authority during the year that were both significant and unusual, and of which, under professional standards, we are required to inform you, or transactions for which there is a lack of authoritative guidance or consensus.

Accounting Estimates

Accounting estimates are an integral part of the financial statements prepared by management and are based on management's knowledge and experience about past and current events and assumptions about future events. Certain accounting estimates are particularly sensitive because of their significance to the financial statements and because of the possibility that future events affecting them may differ significantly from those expected. Our audit did not disclose any sensitive estimates affecting the financial statements.

Draft_0027447

Audit Adjustments

For purposes of this letter, professional standards define an audit adjustment as a proposed correction of the financial statements that, in our judgment, may not have been detected except through our auditing procedures. An audit adjustment may or may not indicate matters that could have a significant effect on the Authority's financial reporting process (that is, cause future financial statements to be materially misstated). The following audit adjustments, in our judgment, indicate matters that could have a significant effect on the Authority's financial reporting process:

Audit adjustments for the year ended December 31, 2019:

- To record additional payables of $182,938 relating to 5 invoices that pertained to the year ended December 31, 2019.
- To record a grant receivable of $1,957,712 for Prop 1 Funding received on May 14, 2020, which pertained to the year ended December 31, 2019.

Disagreements with Management

For purposes of this letter, professional standards define a disagreement with management as a matter, whether or not resolved to our satisfaction, concerning a financial accounting, reporting, or auditing matter that could be significant to the financial statements or the auditor's report. We are pleased to report that no such disagreements arose during the course of our audit.

Management Representations

We have requested certain representations from management that are included in the management representation letter dated June 15, 2020.

Consultations with Other Independent Auditors

In some cases, management may decide to consult with other accountants about auditing and accounting matters, similar to obtaining a "second opinion" on certain situations. If a consultation involves application of an accounting principle to the governmental unit's financial statements or a determination of the type of auditor's opinion that may be expressed on those statements, our professional standards require the consulting accountant to check with us to determine that the consultant has all the relevant facts. To our knowledge, there were no such consultations with other accountants.

Issues Discussed Prior to Retention of Independent Auditors

We generally discuss a variety of matters, including the application of accounting principles and auditing standards, with management each year prior to retention as the Authority's auditors. However, these discussions occurred in the normal course of our professional relationship and our responses were not a condition to our retention.

Draft_0027448

SITES PROJECT AUTHORITY
Required Communications
For the Year Ended December 31, 2019

Difficulties Encountered in Performing the Audit

We encountered no significant difficulties in dealing with management in performing our audit.

Other Matters

During our audit, we became aware of the following deficiencies in internal control, other than significant deficiencies or material weaknesses, and other matters that are opportunities for strengthening internal controls and operating efficiency:

1. Recording Expense Accruals: In order to present a more accurate picture of the Authority's operations, it is important to record revenues and expenditures in the period in which they were incurred, not necessarily when they were paid. During our audit of the Authority, we found 5 instances in which an expenditure had not been recorded in the proper period. We recommend that the Authority perform a more thorough review of transactions subsequent to year-end to accurately reflect revenues and expenditures in the correct period.

2. Written Financial Policies and Procedures: Financial policies can provide a solid foundation for sound fiscal practices by a local agency. They should be in writing and adopted by the governing body. As such, they provide:

    a. A means through which the governing body can communicate its policies and goals to staff, the public, and others;
    b. Direction to staff and standards against which current practices can be measured and changes in practices can be evaluated; and
    c. A way to optimize credit for borrowing. Ratings agencies who assess local agencies' credit for borrowing review their written financial policies. Well-documented policies can mean higher rating grades and lower borrowing costs.

    Board members and staff need to understand why they are doing the things they are doing and be committed to doing them correctly and completely. Written policies and procedures enhance this understanding. Specific policies will vary depending upon the nature and scope of a district's operations. Nevertheless, having a range of policies (from big picture to practical and operational) helps an agency chart a wise course financially and avoid operational missteps.

    During the audit, we became aware that the Authority does not have written policies and procedures. We recommend that the Authority prepare written financial policies and procedures and make these available to all parties that initiate, authorize, and record transactions for the Authority.

Restriction on Use

This information is intended solely for the use of the Board of Directors and management of the Sites Project Authority and is not intended to be, and should not be, used by anyone other than these specified parties.

Draft_0027449

Sites

Topic: **Authority Board Agenda Item 2.2** **2020 July 22**

Subject: **Water Rights Attorney Procurement**

Requested Action:

Consider approval of release of a Request for Qualifications to initiate the procurement of legal services to support the acquisition of the water rights permits and approvals needed to support the project.

Detailed Description/Background:

As part of the Amendment 2 work plan, a team of technicians, engineers and an attorney are needed to secure the necessary permits and approvals related to the water rights for the project. Previously, the Authority competitively procured the services of the technicians and engineers but has not yet secured an attorney of the water rights team.

Staff recommends issuing a Request for Qualifications (RFQ) to competitively procure a water rights attorney at this time. A short list of specialized water rights attorneys (see attached) known to have the qualifications to complete the assignment has been created and these firms will be invited to provide a statement of qualifications. Having a short list does not preclude other statements of qualifications. The RFQ will be publicly advertised on the website. The objective will be to select the best qualified firm for the assignment and an individual that can work effectively with the existing team. Also, since the number of qualified attorneys practicing in this area within California is limited, consideration of potential conflicts will be a key factor for selection. A summary of the scope, schedule, budget and the short list of firms is attached.

The selection panel will consist of the Executive Director, the EPP Manager, and the Chairs of the Reservoir Committee and Authority Board. Other Reservoir Committee members interested in participating can do so. We would like to keep the panel manageable at 6-8 participants.

Prior Action:

None.

Fiscal Impact/Funding Source:

The Amendment 2 work plan includes approximately $200,000 for legal services associated water rights acquisition during this initial phase of planning and preparation of an administrative draft water rights application by the end of 2021. Subject to acceptable performance, the services would be continued through acquisition of all water rights permits and approvals expected to occur by 2024.

Staff Contact:

Jerry Brown/Ali Forsythe

Attachments:

Attachment A: Summary of the scope, schedule, budget and short list of firms.

Water Right Legal Services
For the Sites Project authority

Scope: To assist the Authority in securing all necessary water rights for the Sites Reservoir Project. This initial stage of work involves working with the water right team to complete the water right strategy, ensuring water right matters are properly analyzed in the revised and recirculated Draft EIR/EIS, and lead the preparation of a water right application to the State Water Resources Control Board to be submitted in early 2022. Depending upon performance, future stages of work may involve serving as legal representative for the Authority to the State Water Resources Control Board in their proceedings to issue a water right permit and approvals for the Project which is expected no later than Spring 2024.

The current primary water right team members consist of the following firms: MBK Engineers, ICF International, CH2MHill/Jacobs Engineering and Authority Agents.

Schedule:

Activity	Date (2020)
Release of RFQ	July 22
Submission of Written RFQ Questions	July 28 Noon
Response to Written Questions Emailed to Respondents	July 31
Proposals Due to Authority	August 20 Noon
Authority Review of Qualifications/Firms Selected for Interview	August 23
Notification of Interviews, if Appropriate	August 28
Interviews, at Authority's Discretion	September 3-4
Reservoir Committee Reviews and Recommends Approval to the Authority Board	September 17
Authority Board Reviews and Approves Contract Award	September 23
Execution of Contract Completed	September 30

Budget: There is $200,000 for water right legal services in the current Amendment 2 Work Plan which is intended to cover the initial stage of work. However, the proposer is encouraged to identify any other work products and budget needs they feel would be advantageous to the Project in regard to acquisition of water

rights. Future stages of work will include sufficient funds and the provider will assist in developing the scope and budget.

The selection panel will involve the Executive Director, and 4-6 members of the Reservoir Committee and Authority Board Coordinating Committees. The selection criteria will generally involve the following elements:

- Results of the proposers comprehensive conflict check must reasonably demonstrate the attorney and the firm are sufficiently cleared of material conflicts with any Reservoir Committee and Authority Board participating member agencies, or conflicts with any other parties that may be interested in the Sites Reservoir Project water right process.
- Level of demonstrated experience (attorney and firm) providing similar legal services and successfully completing a water right proceeding of a nature and magnitude similar to that of the Sites Reservoir Project.
- Capability to work effectively with the water right team and deliver an end product meeting the requirements of the Authority.

Invited Firms: The following list of firms will be invited to propose for the water right legal services:

Bartkiewicz, Kronick & Shanahan
1011 22nd Street, Sacramento, CA 95816

Best, Best & Krieger
500 Capitol Mall, Sacramento, CA 95814

Downey Brand LLP
621 Capital Mall 18th Floor, Sacramento, CA 95814

Ellison Schneider Harris & Donlan LLP
2600 Capital Ave, Suite 400, Sacramento, CA 95816

Kronick Moskovitz Tiedemann & Girard
400 Capital Mall, 27th Floor, Sacramento, CA 95814

Somach Simmons & Dunn
500 Capital Mall, Suite 1000, Sacramento, CA 95814

Sites

Topic: **Authority Board Agenda Item 3.1** **2020 July 22**

Subject: **Review Approved Water Storage Policy**

Requested Action:

Review and comment on the conditionally approved August 2019 Water Storage Policy and consideration of updates to align with Value Planning. Recognize the storage policy serves as the foundational document to 2021 key milestones of creating a Plan of Finance and Operations Plan and refer consideration of updates to the ad hoc Engineering and Operations Workgroup.

Detailed Description/Background:

In August 2019, the Reservoir Committee conditionally approved the attached Water Storage Policy (Policy) that broadly describes allocation of Project benefits and costs including how the Reservoir Committee and each Sites participant would be able to manage water diversions to, storage in, and releases from Sites Reservoir. The Policy highlights the fundamental concept that Sites participants are purchasing storage space in a reservoir that can be used with discretion, subject to permitting and operating constraints. The Policy also identifies that the Sites participants are seeking to secure a source of supply that would be equitably allocated to participants. It broadly outlines how storage and releases are scheduled, the sharing and leasing of storage space, and the allocation of operations and maintenance costs.

The establishment of the Policy in 2019 was an important step in providing greater certainty for Sites participants related to reservoir storage, but the Policy has not been updated since the Value Planning effort. Generally, the Value Planning highlighted individual participant constraints and needs which the Reservoir Committee may wish to consider in the Policy. State and Federal involvement is another consideration. Also, there are technical changes that have occurred requiring changes in the Policy. For example, the Policy identifies the reservoir size as 1.81 million acre-feet and includes the possibility of power generation and pump-back storage.

This is the right time to consider Storage Policy updates since the Policy is a foundational building block to accomplishing key 2021 milestones. The Storage Policy will inform the benefit/cost mechanics and detailed technical operating aspects to be included in the Plan of Finance and Operations Plan respectively. Both of these documents, along with updates to the Storage Policy, will be developed during the Amendment 2 Work Plan. Staff recommends the Reservoir Committee refer the Storage Policy updating process to the ad hoc Operations and Engineering Workgroup for consideration of updates.

Prior Reservoir Committee Action:

August 26, 2019: A draft was provided at the Reservoir Project Committee meeting and was adopted at that time, pending minor modifications included in Attachment A and pending home board legal review.

August 15, 2019: Draft Storage Policy presented and discussed at the Joint Workshop.

July 19 & 22, 2019: Draft Storage Policy was provided to for review to the Reservoir Committee and Authority Board meetings to allow all Sites Participants to review, discuss and comment.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Ali Forsythe

Attachments:

Attachment A - "Storage Policy by the Sites Project Authority".

The Storage Policy was adopted by the Reservoir Project Committee on August 22, 2019 and by the Sites Project Authority on August 26, 2019. The attached Policy reflects the revisions 'as amended' referenced in the Reservoir Project Committee Minutes of August 22, 2019, to wit:

"Consider approval of a recommendation the Reservoir Committee approve the Water Storage policy and then recommend the Sites Project Authority consider adoption of the Water Storage Policy in conjunction with the development of future participation agreements. (Attachment 7-1A)

Action: It was moved by Ruiz, seconded by Davis to adopt the Water Storage Policy as amended and pending home board legal review. Further, recommend the Sites Project Authority also consider adoption of the Water Storage Policy in conjunction with the development of future participation agreement and further legal review of the Policy by Counsel. The motion carried unanimously."

Please also note the findings described in the August 22, 2019 memorandum to the Reservoir Project Committee "Topic: Reservoir Committee Agenda Item 7-1, Subject: Operations Workgroup - Draft Water Storage Policy", to wit:

"It is noted such approval of this policy does not result in the implementation of any Project operation or action resulting in a significant effect on the environment nor does it preclude future changes to the Water Storage Policy which are likely to be necessary as the Project proceeds."

Storage policy

BY
THE SITES PROJECT AUTHORITY

(1) This Storage Policy was adopted by the Sites Authority on 26th day of August 2019, to assist in the development and operation of the Sites Reservoir Project (Project) by the Sites Project Authority and the Sites Storage Partners listed in Attachment 1.

INTRODUCTION

(2) The Sites Project Authority (Authority) will apply for and expects to hold the water rights and be responsible for the operation of the Sites Reservoir Project with the Reservoir Committee being responsible for the planning, permitting and real-time operations implementation. There are numerous financial participants in the Sites Reservoir Project. These participants will receive water supply benefits, water supply related environmental benefits and other benefits from Sites Reservoir operation. These participants include the (1) State of California, (2) United States Bureau of Reclamation, (3) Northern California agencies and organizations, and (4) water agencies both west and south of the Sacramento-San Joaquin Delta. The participants in the Sites Reservoir Project who receive (a) water supply related benefits or (b) water supply related environmental benefits are considered Sites Storage Partners. The Sites Storage Partners will enter into agreements with the Authority for the funding of the Project and the benefits derived from the Project and may specify each Sites Storage Partner's storage space in Sites Reservoir. To the extent allowed by natural conditions, permit requirements and physical capabilities of the Project, each of these Sites Storage Partners will have control over the use of their storage allocation space based on the conditions set forth in this policy. The water supply related benefits derived from these storage allocation spaces will depend in large part on how the each Sites Storage Partner manages the water stored and released from their allocated storage space. The Reservoir Committee is responsible for accounting for all the water placed into each Sites Storage Partner's allocated storage space, water released from that storage space and any losses on a daily basis.

DEFINITIONS

(3) Sites Participants – Government Agencies, Water Organizations and others who financially contribute to the planning and construction of the Sites Reservoir Project.

(4) Sites Storage Partners – The Sites Participants who have funded and receive water supply or water supply related environmental benefits from the Sites Reservoir Project (see Attachment 1 for the list of Sites Storage Partners).

(5) Active Storage – That portion of Sites Reservoir above dead pool that can be exercised to create water supply related benefits. The total maximum storage in Sites Reservoir is expected to be 1.81 MAF. Firm dead pool storage is expected to be about 60 TAF. However, operationally another 60 TAF will likely be needed to prevent water quality issues in the storage releases. Therefore, the operational dead pool is considered to be 120 TAF. For this Policy the Active Storage for Sites Reservoir is considered to be about 1.69 MAF. These values are approximate and subject to change in the future.

(6) Water Year - The California Water Year is from October 1 through September 30.

(7) Operation and Maintenance and Replacement- (O&M) or (O&M&R). Those costs associated with the operations of the Sites Reservoir Project. These can be broken down into:

(a) Fixed operations and maintenance and replacement costs that are more stable year-to-year and that are not significantly influenced by varying diversions or releases of water, and

(b) variable operations and maintenance costs that reflect annual operations and maintenance costs that vary based on actual operations each year.

ROLES

(8) Sites Project Authority (Authority) - The Authority will apply for and expects to hold the water rights for the Sites Reservoir Project and be responsible for its operation.

(9) Reservoir Committee - The Reservoir Committee is a standing committee of the Authority and oversees the planning, permitting, and day-to-day operations and accounting of Sites Reservoir storage, releases and losses and related activities including coordination with each of the Sites Storage Partners. This will be done in a way that is open and transparent to all the Sites Storage Partners.

(10) State and Federal Agencies:

(a) The State of California - As described in Proposition 1 (2014) and associated regulations, the Sites Authority expects that the State of California will work though the California Water Commission and the Resources Agency to manage, at their request, the water held in the Sites storage allocation space obtained by the Water Commission for environmental purposes. In addition, the State of California will cooperate with the Reservoir Committee's operation of Sites Reservoir and that of the State Water Project (and if appropriate the Central Valley Project) to maximize the water supply and water supply related benefits of Sites Reservoir.

(b) The United States – To be provided.

STORAGE OF WATER

(11) Water Storage by the Appropriation of Water: The Authority will be responsible for compliance with water right provisions and other conditions that control the appropriation of water into storage in Sites Reservoir. The Authority through the Reservoir Committee will take all actions necessary to maximize the appropriation of water into storage consistent with regulatory requirements, physical constraints and hydrologic conditions. Water appropriated will be placed into Sites Reservoir and then allocated into each Sites Storage Partners contractual storage space proportional to their acquired storage space amount.[1]

Based on the water accounting system approved by the Reservoir Committee, if a Sites Storage Partner's storage volume is not available (whether due to being completely filled, filled up to the requested amount by a Storage Partner, or any other reason), the available water will be allocated to the remaining Sites Storage Partners who still have available storage allocation space and the water will be used to fill the other Sites Storage Partner's storage allocation spaces (based on their storage space allocation) until all Sites Storage Partners storage allocation spaces are filled up to each Partner's requested amount of fill within that Partner's space. Each Sites Storage Partner will be responsible for the Operation and Maintenance (O&M) costs associated with the

---

[1] *For example, if only 340 TAF of water is able to be appropriated into Sites Reservoir in any one year, this represents 20% of the total allocated storage of Sites Reservoir (340/1.69 MAF) = 20%). In that year each Sites Storage Partner will receive an amount of water equal to 20% of their allocated storage space amount.*

use of their storage allocation space as set forth below. However, a Sites Storage Partner may opt out of having water appropriated into their storage space and avoid the variable O&M costs if they so inform the Reservoir Committee at the beginning of the Water Year or as otherwise established by the Reservoir Committee. The appropriation of water into storage will take priority over the release of stored water. If needed, a dispute resolution process will be developed.

(12) Water Storage from Other Sources: There are opportunities for the Sites Storage Partners to request that the Reservoir Committee place water from sources other than that by appropriation by the Project into their storage allocation space. These other sources could include among other things: the re-diversion of previously stored water, water transfers, exchanges between Sites Storage Partners, exchanges with other entities, etc. The Reservoir Committee will take all reasonable steps to help facilitate these requests. The Sites Storage Partners will be responsible for the costs of obtaining/storing these other sources of water including any costs associated with water right changes, environmental compliance, and Reservoir Committee costs associated with reviews. Also, the Sites Storage Partner is responsible for all O&M costs of placing this water into their storage allocation space as set forth below. Placing water into storage from other sources shall not impact the ability of the Reservoir Committee to appropriate water or release water from Sites Reservoir.

(13) Storage/Release Conflict Resolution: If there is a conflict between placing water into storage in Sites Reservoir from other sources of water and the release of water from Sites Reservoir at the same time, an "in lieu storage" option may be used by the parties involved provided the water rights issues are addressed on a case-by-case basis.

ACCOUNTING FOR LOSSES

(14) Allocation of Losses: Losses of water held in Sites Reservoir storage including evaporation and seepage will be accounted for on a daily basis. These losses will be allocated to each Sites Storage Partner based proportionally on the amount of their water in storage that day.

SHARING OR LEASING OF STORAGE SPACE

(15) Sharing or Leasing of Storage Allocation Space: The Sites Storage Partners are allowed to share or lease their storage allocation space with other Sites Storage Partners or other entities. This sharing or leasing could span multiple years and will be subject to several conditions set forth below.

- Any sharing or leasing of storage allocation space shall be coordinated with the Reservoir Committee so that proper water accounting can be maintained.
- If the Sites Storage Partner's allocated storage space fills, then any shared or leased water held in that storage space that day will stay in the shared or leased space unless the Reservoir Committee receives written instructions otherwise from the Sites Storage Partner.
- The responsibility for collecting payment for the use of a Sites Storage Partners shared storage space will be the responsibility of the Sites Storage Partner and not that of the Reservoir Committee.
- The Sites Storage Partner maintains the responsibility to the Reservoir Committee for all the O&M costs of placing water of any source into its storage allocation space. However, the Sites Storage Partner will likely collect costs of placing water into any shared storage space from the party making use of that shared space.

RELEASES OF WATER FROM STORAGE

(16) Water Released From Storage: The Sites Storage Partners have total discretion on the amount of water held in their storage allocation space that they wish to be scheduled for release in any calendar year for their use. These requested stored water releases and their schedule for release shall be coordinated with the Reservoir Committee so the Reservoir Committee can coordinate this request with the other Sites Storage Partners, State Water Project, Central Valley Project and any regulations related to the release of water from Sites Reservoir.

The Reservoir Committee will work with the Department of Water Resources and the U. S. Bureau of Reclamation to manage these releases with those of the State Water Project and Central Valley Project to maximize the benefits to the above parties when possible.

It is anticipated water will be released from storage for environmental/public benefits (e.g. on behalf of the State of California per their WSIP funding investment; e.g. on behalf of the United States per WIIN Act funding investment). The Authority's intent is that the non-consumptive use of water for environmental/ public benefits will remain under the control of the Sites Authority and its Storage Partners for further allocation to another in-basin or out-of-basin uses.

(17) Scheduling Stored Water Releases: No later than February 20th of each water year, the Reservoir Committee will make a water storage forecast for each Sites Storage Partner for that water year based on each Sites Storage Partner physical storage to date and several examples of projected hydrologic conditions ranging from critically dry to far above normal. These forecasts will be updated each month by the 20th of every month until April 20th. Each Sites Storage Partner will provide the Reservoir Committee the monthly schedule and amounts of the water they wish to be released that calendar year based upon each of these forecasts 15 days after the receipt of these forecasts. These schedules will be updated monthly as needed until June and changes after that will be accommodated to the extent it does not conflict with those of other Sites Storage Partners. Any water held in a shared/leased allocation space will be the responsibility of the Sites Storage Partner to communicate the requested release of that water to the Reservoir Committee unless other arrangements are provided to the Reservoir Committee in writing.

The Reservoir Committee will work with each Sites Storage Partner, the State Water Project, Central Valley Project and regulatory agencies and make all reasonable efforts to satisfy the water release schedules requested by each Sites Storage Partner. If there is a Sites Reservoir release constraint affecting the ability of meeting the requested water release schedules from Sites Reservoir, the Reservoir Committee will first work with those conflicted Sites Storage Partners to see if accommodations can be made. If the conflict cannot be resolved, the priority for the releases will be in proportion to the Storage Allocation space as divided among the conflicted Sites Storage Partners.

OPERATION, MAINTENANCE AND REPLACEMENT

(18) Operation and Maintenance Cost Allocations: Operation and Maintenance (O&M) costs will be divided into fixed O&M&R and variable O&M. Fixed O&M&R will cover those O&M&R costs that are generally stable from year to year like monitoring costs, routine maintenance, Reservoir Committee staff costs, repair funds, replacement of equipment funds etc. These costs will be calculated by the Reservoir Committee and paid by the Sites Storage Partners for the coming Water Year in advance. They will be allocated to all the Sites Storage Partners in the same manner as the capital costs.

Annual variable O&M costs include pumping costs to appropriate water into storage, pumping water from other sources into storage, other costs related to the placement of water into storage

and the costs, if any, of releasing water from storage. These costs will be assessed to each Sites Storage Partner based on the amount of water placed into their storage allocation that year. An estimate of these costs will be made by the Reservoir Committee. These costs will be assessed to each Sites Storage Partner and paid for the coming Water Year in advance. However, a Sites Storage Partner may opt out of having water appropriated into their storage space and avoid the variable O&M costs if they so inform the Reservoir Committee at the beginning of the Water Year. At the end of each Water Year any revenue received in excess of costs will be returned to the Sites Storage Partners or applied as a credit to the next year's variable O&M costs. However, the Reservoir Committee will retain a reasonable reserve fund to cover any unforeseen variable O&M costs that may come up in any year.

(19) Power Revenue. It is recognized that the Sites Reservoir Project could generate power revenues. The use of these revenues is not part of this Storage Policy at this time.

FUTURE STORAGE POLICY CHANGES

(20) Future Storage Policy Changes - This policy is intended, at this time, for use in the Sites Participation Agreements that will be developed in the near future. This policy will likely evolve and change as the Sites Reservoir Project develops. This policy may be modified in the future by the Authority with approval by the Reservoir Committee. Under the current Bylaws, such Reservoir Committee approval requires a 75% affirmative vote.

DATE

August 26, 2019_____

ATTACHMENT 1

SITES STORAGE PARTNERS

ENVIRONMENTAL

WSIP - California Water Commission

WIIN- United States Bureau of Reclamation

WATER USERS

America Canyon, City of
Antelope Valley-East Kern WA
Carter Mutual Water Company
Coachella Valley Water District
Colusa County
Colusa County Water District
Desert Water Agency
Glenn-Colusa Irrigation District
MWD of So. California
Reclamation District 108
San Bernardino Valley MWD
San Gorgonio Pass WA
Santa Clara Valley WD
Santa Clarita Valley WA
TC-4 Cortina Water District
TC-4 Davis Water District
TC-4 Dunnigan Water District
TC-4 LaGrange Water District
Westside Water District
Wheeler Ridge-Maricopa WSD
Zone 7 Water Agency

Sites

Topic: **Authority Board Agenda Item 3.2** **2020 July 22**

Subject: **Key Operational Analysis Factors - Baseline**

Requested Action:

Review and comment on the approach being taken for the modeling baseline for the EIR/EIS analysis.

Detailed Description/Background:

OVERVIEW

As work progresses on the analysis for the Revised Draft EIR/EIS, the operations team is developing modeling parameters and criteria required for assessing the alternatives in the Revised EIR/EIS. One of the foundational decisions related to the operations modeling for the EIR/EIS analysis is the description of the modeling baseline. An adequate description of the baseline is critical to the CEQA/NEPA evaluation and to describe the of project's benefits.

Issuance of the U.S. Fish and Wildlife Service and National Marine Fisheries Service Biological Opinions for the Reinitiation of Consultation on the Coordinated Operations of the Central Valley Project (CVP) and State Water Project (ROC on LTO BiOps) and the issuance of the Incidental Take Permit for Long-Term Operations of the State Water Project (SWP) in the Sacramento-San Joaquin Delta (SWP ITP) has modified baseline conditions for the CVP-SWP system from the previous BiOp and ITP. The ROC on LTO BiOps and the SWP ITP are also subject to pending litigation by a number of parties. There is disagreement regarding the implementation of the ROC on LTO BiOps and the SWP ITP between water users, environmental stakeholders, and the federal and state water management and permitting agencies. This disagreement will likely persist throughout the Sites Project planning and permitting process. As the CEQA Lead Agency, we need to balance all of the external and internal constraints, demands and needs and identify what would provide the public and our members with the highest quality information for evaluating the project.

After careful consideration, Staff is initially proceeding with model development with the ROC on LTO BiOps baseline and incorporate the SWP ITP actions after release of DCR2019 joint model implementation as the best course at the present time. This approach provides:

1. The most defined and expedient starting point for analysis of Sites Project operations while accomplishing a high-quality analysis that meets adequacy tests under CEQA/NEPA and provides the public with a reasonable evaluation of effects from the Project.
2. Best represents Shasta Lake tiered cold water pool management which is a key operating feature to be considered when evaluating possible exchanges and coordinated operations with Sites Reservoir.

3. Use of the merged Sites version of the model developed for the Reclamation Feasibility Report will expedite model development to meet the required schedule.

Operations criteria for the SWP ITP actions will be incorporated based on DCR2019 if it available in time to meet the Project's schedule. In addition, the team will be performing additional gap and sensitivity analyses to evaluate additional operations that are needed to support the Sites Project planning and permitting process.

As more detailed analysis is completed, staff will continue to evaluate the baseline approach and may make modifications to the approach to meet the requirements of CEQA/NEPA and provide the public with a reasonable evaluation of effects from the Project.

ALTERNATIVE APPROACHES CONSIDERED

Given the uncertainties surrounding operations of the SWP and CVP, staff has identified three possible modeling baseline options, each having their own pros and cons:

1. Use of ROC on LTO Alternative 1/Proposed Action only – This baseline would be based on the ROC on LTO Proposed Action Calsim II model released by the Bureau of Reclamation (Reclamation) in December 2019.
    a. Pros:
        i. Most recent representation of Shasta Lake tiered cold water pool management.
        ii. Expedited development of the modeled baseline using existing Sites model developed for Reclamation Feasibility Report.
    b. Cons:
        i. Excludes additional actions that are included in the SWP ITP.
        ii. Potential lack of acceptance by the State since the model does not include the SWP ITP.

2. Use of SWP ITP Alternative 2b/Proposed Project only – This baseline would be based on the SWP ITP Calsim II model released by the Department of Water Resources (DWR) in March 2020.
    a. Pros:
        i. Use of a model representing the SWP ITP in the baseline for analysis in the Sites ITP application.
    b. Cons:
        i. May require additional updates to include all of the actions described in the SWP ITP.
        ii. Excludes changes made in the updated final ROC on LTO Alternative 1/Proposed Action published in December 2019.
        iii. Potential lack of acceptance by Reclamation since the model does not include ROC on LTO.

3. Combination of 2019 State Water Project Delivery Capability Report (DCR2019) / ROC on LTO / SWP ITP – DWR is currently working on a joint representation of the ROC on LTO BiOps and the SWP ITP as part of DCR2019, which would include the potential future combined CVP/SWP operations. This is expected to be released in July 2020.

Draft_0027464

a. Pros:
    i. Comprehensive representation of baseline operations currently in development.
    ii. Allow for the possibility of greater acceptance to a wider range of agencies and stakeholders.
    iii. Provide a State/Federal supported baseline.
b. Cons:
    i. Schedule for release of DCR2019 has been and may continue to be delayed (DWR originally tentatively planning for a June release).
    ii. Delays associated with developing Sites Project ITP implementation based on DCR2019 (at least four week).

Prior Action:

None.

Fiscal Impact/Funding Source:

Model development is part of the Amendment 2 work plan and is a key component to other 2021 milestones within the work plan. There is sufficient funding to conduct efficient modeling whereby the baseline approach is established, and the modeling results are used to inform the EIR/EIS analysis. Multiple revisions of the modeling baseline or excessive iterations would be additional cost and time delay to the project.

Staff Contact:

Ali Forsythe

Attachments:

None.

Sites

Topic: **Authority Board Agenda Item 3.3** **2020 July 22**

Subject: **Funding Credit and Reimbursement Policy Implementation**

Requested Action:

Review and comment on the Draft Funding Credit and Reimbursement Policy (Policy) Revisions.

Detailed Description/Background:

When the Reservoir Committee was formed, one of the requirements included the development of this Policy to ensure equity among participants and be an important component to the rebalancing process. The initial Policy went through a thorough development and review process and was approved by the Authority in August 2018. A revised Policy is now required as the Authority continues to progress into new phases of the project.

A subgroup of the Joint Budget and Finance Committee was convened to review the current Policy and develop draft revisions as needed to reflect the status of the current Sites Project. The Subgroup developed a draft revised Policy and examples of applying the draft Policy which were reviewed and commented on by the Joint Budget and Finance Committee during their July 10, 2020 meeting. A revised draft has been prepared based on the comments received (Attachments 1 and 2).

The Joint Budget and Finance Committee is requesting comments to the draft revised Policy from the Reservoir Committee and Authority Board during their July meetings. Comments from these meetings will be incorporated into a revised draft which will be reviewed by Authority General Counsel, and then brought to the Joint Budget and Finance Committee, Reservoir Committee, and Authority Board at their August 2020 meetings for approval.

The most significant changes being proposed in the draft revised Policy are:

- Revisions to Section 6 "Participation Priority" include the addition of two new priority rounds. A revision was also made to clarify the application of "Last in / First Out" approach should the available participation be reduced below the amount of participation by Project Agreement Members.
- Revisions to Section 10.3 "Limitation on Reimbursements" provides additional clarity to when the Authority would make reimbursement payments.
- The new Section 14 "Provision for Buy-in After Phase 1" was added to specify the requirement that additional or new participation pay the equivalent funding of prior phases upon executing the Project Agreement.

- The new Section 15 "Provision for Phase 2 Rebalancing and True-up Process" was added to provide a process for Project Agreement Members to change their level of participation at the conclusion of each incremental Phase 2 Project Agreement. The provision is similar to the Phase 1 True-up with the exception of Class 2 Water conversion.

Additional revisions were made to expand the application of the policy to Phase 2, provide flexibility for allowing incremental Project Agreements and associated participation changes, and clarify/specify previous provisions and requirements.

Prior Action:

At the August 16, 2018 Reservoir Committee, the Credit Reimbursement Policy was approved.

At the June 25, 2018 Reservoir Committee meeting, the status was discussed with direction provided to the Ad Hoc Finance and Economics Work Group.

Fiscal Impact/Funding Source:

The Policy's requirement to reimburse Project Agreement Members for partial or complete withdrawal from the Project would be funded by new revenue for the "Buy-in" payments received from an existing Project Agreement Members that increase their amount participation or from new/returning Project Agreement Members initiating participation. Reimbursement payments would only be made once the Reservoir Committee and Authority Board determine that funds are available to make such payments without impacting the implementation of the Project.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Working Draft Funding Credit and Reimbursement Policy (with redline strikeout of proposed changes).

Attachment B: Examples of Applying Draft Policy.

Sites Reservoir Project, Phases 1 and 2
Funding Credit and Reimbursement Policy

`

Table of Contents

1. PURPOSE OF POLICY 2
2. ADMINISTRATIVE 2
3. DEFINITIONS 3
4. PROJECT PHASES 5
5. UNDERLYING ASSUMPTIONS 5
6. PARTICIPATION PRIORITY 6
7. PROVISION FOR ENCOURAGING NORTHERN CALIFORNIA PARTICIPATION 8
8. CREDITING POLICY FOR ADVANCE FUNDING 8
9. USE OF CONTRIBUTION CREDIT 8
10. REIMBURSEMENT POLICY FOR CONTRIBUTION CREDIT 9
11. PROVISIONS FOR WITHDRAWAL FROM PROJECT 10
12. PROVISION FOR CLASS 2 CONVERSION TO CLASS 1 PRIOR TO THE TRUE-UP PROCESS 10
13. PROVISION FOR REBALANCING PHASE 1 PARTICIPATION AND TRUE-UP OF THE CLASS 1 COST BASIS ... 10
14. PROVISION FOR BUY-IN AFTER PHASE 1 11
15. PROVISION FOR PHASE 2 REBALANCING AND TRUE-UP PROCESS 12
16. FAIR SHARE FUNDING STUDY 13

APPENDIX A – Participating Members and Respective Priority

1. PURPOSE OF POLICY

The Sites Project Authority (Authority) has adopted bylaws[1] that establish use of a Cost Development Model to track each participating agency's funding contributions and anticipated funding requirements as the Sites Reservoir Project (Project) is developed.

The purpose of this Funding Credit and Reimbursement Policy (Policy) is to establish the rules and procedures for contributing funds for the Project that will be used to inform the Cost Development Model ~~that will be used as part of the Phase 1 rebalancing process[2].~~as the project advances. The specific objectives of the Policy are to define the provisions and terms for:

- Defining contribution credit to participating agencies (Project Agreement Members, see **Section 3.8**) for having contributed funds to advance the Project;
- Defining allowable conditions for the use and/or reimbursement of credit;
- Providing an equitable exchange in participation levels between Project Agreement Members; ~~and~~
- Defining the process for recalculating the equivalent cost basis among Phase 1 Project Agreement Members for Class 1 and Class 2 water[3]; and~~.~~
- Defining the process for recalculating the equivalent cost basis for future phases of the project that are funded on a "cash call" basis.

It is the intent of this Policy to ensure that all Project Agreement Members ~~proceeding into Phase 2~~ will each have paid the same amount on an equivalent cost basis as the Project advances.

2. ADMINISTRATIVE

2.1 Role of the Authority and Reservoir Committee

Through its Bylaws, the Authority considers this process to be a foundational part of advancing the Project. Development of the requirements has been delegated to the participants in each phase of the ~~Phase 1~~ Reservoir Project ~~Agreement~~Agreements (Reservoir Committee). Acceptance of this document by the Authority is required before ~~it's~~its requirements can be implemented.

---

1 November 21, 2016 Amended and Restated Bylaws of the Sites Project Authority for Phase 1 of the Sites Reservoir Project, Section 3.9.

~~2 For use in subsequent phases, this document will be amended to incorporate additional information gained during Phase 2.~~

3 Participation in voting and funding is based on a Members' participation as measured in acre-feet that is used to apportion the project's development costs. Until the completion of Phase 2, the annualized volumes of water that could be released from storage can't be finalized until the Project has acquired the prerequisite permits needed for construction and operations and both state and federal agencies have committed to fund their equitable share in the Project.

2.2 Amendments to this Policy

It is the Authority's intent that this policy be updated as needed (i.e. is a "living" document) to ensure the Project is implemented equitably, efficiently and cost-effectively. The Authority may amend this Policy to reflect changes to the funding structure for the Project (e.g. separate participation in water storage versus water supply). Any amendment to this Policy will not reduce or increase any participant's contribution credit (as defined below) for having contributed funds to advance the Project.

2.3 Precedence of Documents

This document is subordinate to both the Authority's and Reservoir Committee's governance documents. Should a conflict exist, the Authority's Joint Exercise of Powers Agreement - including the Bylaws - shall take precedence, followed by the Reservoir Committee's ~~Phase 1~~sequential Reservoir Project Agreement ~~- including Exhibit~~ for each phase of the Project (Requirements).

Further and in keeping with the *Mission and Project's Primary Goals* established in Phase 1[4], should a conflict exist between this document and ~~the Phase 2~~any Reservoir Project Agreement, which ~~will~~may include the terms and conditions for the interim finance plan, the ~~Phase 2~~ Reservoir Project ~~Agreement~~Agreements shall control, and this document shall be amended to remove the conflict.

2.4 Document Revision History

A record of approved changes to this document shall be recorded in this section, starting with its original approval for use, which is revision 0.

Rev.	Effective Date	Status of Authorizing Action
0	August 20, 2018	Approved by Reservoir Committee and adopted by the Authority.
1	TBD	Revised to include Phase 2 considerations.

3. DEFINITIONS

The following definitions are used in this Policy:

3.1.	"Class 1 Water"	Water supply reserved for Project Agreement Members based on 50% of the total average annualized volume of water released from storage (250 ~~TAF~~TAF[5]).
3.2.	"Class 2 Water"	Additional 170 TAF[5] of water supply that may be available to Project Agreement Members, of which the State of California and/or the United States Government will be given the first

[4] Refer to Exhibit B of the Phase 1 Reservoir Project Agreement, General Requirements.

[5] These acre footage values are based on original project yield projection at the onset of Phase 1 in 2016 and are subject to change throughout the duration of the project.

		right to acquire for ecosystem and water quality "public" benefit(s).
3.3.	"Contribution Credit"	The accumulated funding provided by a Project Agreement Member for both Class 1 and Class 2.
3.4.	"Equivalent Cost Basis"	The equivalent cost per acre-foot for Class 1 water established by the Reservoir Committee.
3.5.	"Equivalent Required Funding"	The amount of funding required by each Project Agreement Member at the Phase 1 True-up event (**Section ~~12~~13**) equal to the Equivalent Cost Basis times the Project Agreement Member's participation in Class 1 water.
3.6.	"Fully Subscribed Project"	Full participation in the total amount of Class 1 water available. The associated amount may change between the end of Phase 1 and end of Phase 2. Further, it is contemplated that in Phase 2, consideration will be given to shift from the current delivery-based method (FOB Holthouse Reservoir) to a storage-based method.
3.7.	"Funding Obligation"	A Project Agreement Member's semi-annual pro-rata share of funding required. The Authority may elect to waive any Project Agreement Member from a semi-annual obligation by excluding that particular Project Agreement Member's participation in the Project when determining the basis for the pro-rata share of the remaining Project Agreement Members.
3.8.	"Project Agreement Member"	Any agency or entity that has executed ~~the Phase 1~~a Project Agreement and not withdrawn from the project. [6]
3.9.	"Good Standing"	Meeting the requirements to actively participate in the Project according to Section 8.1 of Authority's Bylaws.
3.10.	"Participation"	A Project Agreement Member's elected participation rate expressed in terms of acre-feet of average annual water supply, or other metric utilized to determine the pro-rata share of funding required from each Member.

[6] Ibid, Section 7.3 for membership types. A list of current Project Agreement Members is provided in Exhibit A1 of the Phase 1 Reservoir Project Agreement.

3.11. "Priority"	The ranking of participation in the Project, Class 1 and/or Class-2, used to determine the order in which participation changes take effect. Highest priority is associated with earliest date the Phase 1 Reservoir Project Agreement was executed (i.e. Round 1). Lowest priority is the latest date this agreement was executed (Round 4).

4. PROJECT PHASES

The Project is being advanced by Project Agreement Members on a workplan based phased approach. The phases of the project associated with each Project Agreement are defined as:

- Phase 1: Phase 1 Project Agreement, November 2016 through March 31, 2019
- Phase 2A: Phase 2 Project Agreement plus Amendments 1A/1B, April 1, 2019 through August 31, 2020
- Phase 2B: Phase 2 Project Amendment 2, September 2020 through December 31, 2021

~~4.~~5. UNDERLYING ASSUMPTIONS

The following underlying assumptions predicate the establishment of credits and reimbursements:

4.1 As of August 1, 2015, funding provided by Members of the Authority has been divided into two separate categories: 1) Authority administration, and 2) Implementation of the Project.

4.2 Funding provided for Authority administration is not eligible for Credit, whereas funding for implementation of the Project is eligible.

4.3 Funding provided between January 1 and July 31, 2015 was applied first to Administration requirements in 2015 and the balance was applied to Project funding as of August 1, 2015.

4.4 All other funding received before December 31, 2014 was provided for advocacy of the Project and is not eligible for credit.

4.5 Prior to starting Phase 2 and after the California Water Commission has made its "Maximum Conditional Eligibility Determination (MCED)"[7], a formal process will be conducted to enable Project Agreement Members to change their participation. These changes will become the basis for the Phase 1 True-Up ~~and the Phase 2 Reservoir Project Agreement~~.

4.6 As the Project advances, each additional phase of the project will require Project Agreement Members commit funding through execution of a new Project Agreement. Changes in participation may be allowed at the conclusion of each phase, subject to the credit and reimbursement conditions established by this policy.

[7] ~~Refer to California Code of Regulations, Tile 23, Division 7, Chapter 1. Section 6011.~~7 See Ibid, Section 7.3 for membership types.

~~5.~~

6. PARTICIPATION PRIORITY

As more or less Class 1 water becomes available, each Project Agreement Member's allocation of Class 1 and/or Class 2 water may be impacted based on the priority assigned to their participation. Should the level of participation need to be reduced, participation will be eliminated on a "last in / first out" basis. Each Project Agreement Member will be assigned a priority based on the following criteria:

Round 1 Priority: Founding members of the Authority who also elected to execute the Phase 1 Reservoir Project Agreement. Project Agreement Members in this round received Class 1 water.

Round 2 Priority: New members of the Authority seated prior to the 2016 on-boarding process who elected to execute the Phase 1 Reservoir Project Agreement. Project Agreement Members in this round received Class 1 water.

Priority 2.1: Existing members of the Authority seated prior to the 2016 on-boarding process, who had executed the Phase 1 Reservoir Project Agreement, and who elected to change their participation quantities in advance of the August 1, 2016 deadline that closed the On-boarding process. These changes were approved by the Authority on June 13, 2016.

Round 3 Priority: Additional participation beginning with the 2016 On-Boarding process and extending through execution of a funding agreement with the State ~~(anticipated by December 2018).~~ Project Agreement Members in this round receive either:

1) For Public Water Agencies: A combination of Class 1 and/or Class 2 water dependent upon the availability of Class 1 water; or

2) For Non-Member Participating Parties: Mutual Water Companies who submitted a request to participate as part of the 2016 On-Boarding process received Class 2 water.

Note that a rounding error in the original allocation of Class 1 and Class 2 water was found November 2017. The correction to the rounding error was applied retroactively to Priority 3 participants.

The following sub-priority within Round 3 will be based on the date that additional participation is established, which is the date the Phase 1 Reservoir Project Agreement was authorized to be executed by the Project Agreement Member.

Priority 3.1 Prior to executing the Phase 1 Reservoir Project Agreement, 10,000 acre-feet of Class 1 water became available and was allocated to those Project Agreement Members who had initially agreed to accept fractional shares of Class 1 and Class 2 totaling the amount requested in their On-boarding letter of intent. For

each of these Project Agreement Members, the result was a reduction in Class 2 water and a corresponding increase in Class 1 water.

Priority 3.2 — Prior to executing the Phase 1 Reservoir Project Agreement, Project Agreement Members who requested to increase their participation received Class 2 water.

Priority 3.3 — California Water Service request during the 2016 On-Boarding process requiring CPUC approval before executing the Reservoir Project Agreement.

Priority 3.4 — Metropolitan Water District of Southern California elected to not specify an acre-ft as the basis of their participation in the 2016 On-Boarding process, but subsequently requested to participate in Class 2 water through execution of the Reservoir Project Agreement.

Priority 3.5 — After Project Agreement Members had executed the Phase 1 Reservoir Project Agreement, 6,285 acre-feet of Class 1 water became available after April 28, 2017 that was allocated to those Project Agreement Members who had initially agreed to accept fractional shares of Class 1 and Class 2 totaling their participation amount (Priority 3). Project Agreement Member's in this priority received a pro-rata share of the Class 1 water and a corresponding decrease in their Class 2 water.

Priority 3.6 — After Project Agreement Members had executed the Phase 1 Reservoir Project Agreement, an additional 6,285 acre-feet of Class 1 water became available after May 31, 2017 that was allocated to those Project Agreement Members who had initially agreed to accept fractional shares of Class 1 and Class 2 totaling their participation amount (Priority 3.0). Project Agreement Member's in this priority received a pro-rata share of the Class 1 water and a corresponding decrease in their Class 2 water.

Round 4 Priority: Additional Phase 1 participation following execution of the funding agreement with the State ~~(anticipated by December 2018).~~ Project Agreement Members in this round would only receive Class 2 water unless participation changes result in the availability of Class 1 water.

This round ~~of~~ will also include additional Class 1 water required to maintain the minimum participation threshold from water agencies located in the Sacramento River Hydrologic Region (see **Section ~~6~~7**).

Note that there no participation changes or new participation occurred during Phase 2A. All Project Agreement Members that signed the Phase 2 Project Agreement in April 2019 continued their level of participation through August 2020.

Round 5 Priority: This round includes additional participation from existing or new Project Agreement Members following the completion of Phase 2A and prior to

execution of the Phase 3 Project Agreement. Sub-priority within this round will be established as follows:

Priority 5.1	Additional or new participation from agencies in the Sacramento River Hydrologic Region. This priority was established to encourage Northern California participation (**Section 7**).
Priority 5.2	Additional participation from existing Project Agreement Members.
Priority 5.3	New participation from prior Project Agreement Members that completely withdrew during a prior phase of the Project.
Priority 5.4	New participation from agencies that have not participated in prior phases of the Project.
Round 6 Priority:	New or additional participation just prior to execution of the Phase 3 Project Agreement.

Any established priority will be forfeited commensurate with a reduction in participation. Priority is only valid for the participation commitment under the active Project Agreement, less any amount subtracted by a reduction in participation or project withdrawal.

Additional rounds may be established by the Authority Board as the Project develops. The current list of priority participation is included in Appendix A.

~~6.~~7. PROVISION FOR ENCOURAGING NORTHERN CALIFORNIA PARTICIPATION

The Authority desires to maintain a minimum threshold for participation by agencies within the Sacramento River Hydrologic Region equal to approximately 25% of the total water supply. Should the participation from Project Agreement Members in this region drop below the threshold, the Authority may solicit additional interest from Project Agreement Members or agencies that are not currently participating in the Project, both of which are located in the Sacramento River Hydrologic Region. The additional ~~Class 1~~ participation will be established within ~~Priority 4.~~the current round of priority at the time the Project Agreement is executed (**Section 6**).

~~7.~~8. CREDITING POLICY FOR ADVANCE FUNDING

The Crediting Policy describes the process of how Contribution Credit is earned by each Project Agreement Member by fulfilling its funding obligations. Funding obligations will be established through the Authority's annual budgeting process and will be apportioned to Project Agreement Members based on their respective participation. Each Project Agreement Member will accumulate Contribution Credit equal to its cumulative funding for both Class 1 and Class 2 participation throughout all phases of the project.

~~8.~~9. USE OF CONTRIBUTION CREDIT

Contribution Credit will be utilized to determine any payment or reimbursement due as result of the following events:

- Withdrawal ~~from~~or reduction in Project participation ~~in the Project~~, as further described in **Section ~~10~~11**; or

- True-up of Class 1 Cost Basis and Retirement of Class 2 participation, as further described in **Section ~~12~~13** and **Section 15**.

~~9.~~10. REIMBURSEMENT POLICY FOR CONTRIBUTION CREDIT

The Authority will provide reimbursements[8] under the following conditions.

~~9.1.~~10.1. Reimbursements from Rebalancing Process

Based on the Rebalancing Process (**Section ~~12~~13 or Section 15**) results, ~~The~~the Authority will reimburse certain Project Agreement Members for excess Contribution Credit. The Authority will only reimburse such members once all payments have been received from Project Agreement Members that owe additional funds as ~~the~~a result of the Rebalancing Process.

~~9.2.~~10.2. Reimbursements from Withdrawal from Participation

A Project Agreement Member partially or completely withdrawing their participation in the Project will be reimbursed for its accumulated Contribution Credit based on the Provision for Withdrawal (**Section ~~10~~11**). The Authority will provide such reimbursement once all payments have been received from Project Agreement Members electing to increase its participation to offset the withdrawn participation.

10.3. ~~Reimbursements from~~Limitation on Reimbursements

The Authority is not required to provide any reimbursement payment until the Authority determines that such payment will not have an adverse impact on the financial viability or overall implementation of the Project. Partial reimbursement payments may be made based on available funding with the remaining amount maintained as a liability for the Authority to pay at such time sufficient funding exists. As funding from new participation (**Section 14**) becomes available, the Authority may pay down the liabilities on a pro-rata basis following the order in which the liabilities occurred (e.g. Phase 1 liabilities are paid prior to Phase 2A liabilities).

~~9.3.~~10.4. Reimbursements due to Project Termination

In the event that the Project cannot be completed or in the event the Authority ~~has terminated~~terminates the ~~Phase 1~~then active Reservoir Project Agreement, the Authority will disperse any available Project funds according to the participation percentages as defined in the current version of ~~Exhibit A1 of~~ the then active Reservoir Project Agreement. Note that this exhibit is a "living document" that has been updated as participation changes have occurred throughout ~~Phase~~Phases 1 and 2.

~~9.4.1.1. Limitation on Reimbursements~~

[8] ~~Under no circumstances will the Authority finance any portion of any amounts that may be reimbursed.~~

~~The Authority is not required to provide any reimbursements of any kind until the Authority determines that such reimbursements will not have an adverse impact on the financial viability or overall implementation of the Project.~~

11. PROVISIONS FOR WITHDRAWAL FROM PROJECT ~~BEFORE THE START OF PHASE~~

~~9.5.~~11.1. Withdrawal or Reduction Before the Start of Phase 2 ~~OBLIGATIONS~~Obligations

A Project Agreement Member may reduce or completely withdraw their participation in Class 1 and/or Class 2 within the window associated with Assumption 4.5 and the Phase ~~2~~2A Reservoir Project Agreement~~.~~ (Dated April 1, 2019). In order to maintain equity among all Members, all changes in project participation will be accommodated through the provisions of the Phase 1 True-Up Process **(Section ~~12~~13)**.

11.2. Withdrawal Before the Start of Phase 3 Obligations

Following Phase 2, project funding will most likely involve debt issuance and require full financial commitment by Project Agreement Members for Phase 3 and Phase 4. Consequently, the last opportunity for a Project Agreement Member to withdraw or reduce participation under this policy will be prior to execution of the Phase 3 Reservoir Project Agreement. In order to establish equity prior to debt issuance, all final changes in project participation will be accommodated through the provision of the Phase 2 True-Up Process (**Section 15**).

~~10.~~12. PROVISION FOR CLASS 2 CONVERSION TO CLASS 1 PRIOR TO THE TRUE-UP PROCESS

Prior to the Phase 1 True-up Process (**Section ~~12~~13**), the conversion of Class 2 water will occur only when additional Class 1 water becomes available. Project Agreement Members with Class 2 participation will be allowed to convert their Class 2 water in order of highest to lowest priority.

The cost for each Project Agreement Member to convert its Class 2 to Class 1 will be equal to the difference between the Class 1 and Class 2 funding obligations on a per acre-foot basis, multiplied by the quantity (acre-feet) of Class 2 being converted to Class 1.

~~11.~~13. PROVISION FOR REBALANCING PHASE 1 PARTICIPATION AND TRUE-UP OF THE CLASS 1 COST BASIS

At the conclusion of the Phase 1 Rebalancing Process, the Authority will establish the final amount of Class 1 available for participation and establish an equivalent cost basis per acre-foot (the True-Up Process). The final Phase 1 participation will be established as follows:

- The minimum threshold for participation from agencies in the Sacramento River Hydrologic Region (**Section ~~6~~7**) will be considered prior to the conversion of Class 2.

- Any voluntary change in participation shall be fully recognized prior to the calculation of conversion of Class 2 to Class 1.
- Class 2 will be converted to Class 1 in order of highest to lowest priority until the final amount of Class 1 participation is fulfilled. The cost to convert Class 2 water shall be paid by each member separate from the determination of the Equivalent Required Funding for Phase 1. Funding associated with Class 2 conversion will be included in the Project Agreement Member's cumulative Contribution Credit.
- Any remaining Class 2 participation will be retired. Project Agreement Members with retired Class 2 water will be placed on a stand-by list for future participation based on their original priority.
- Should the total amount of Class 1 participation be less than the amount available, the Authority will solicit interest from current Project Agreement Members for additional participation. If the additional Class 1 participation is still available, the Authority will solicit interest from other non-participating agencies.

An equivalent cost basis per acre-foot will be established for all Project Agreement Members at the conclusion of Phase 1 as follows:

- The Equivalent Cost Basis for Class 1 participation has been established by the Project Reservoir Committee as $48.50 per acre-foot.
- The Equivalent Required Funding for each Project Agreement Member will be the Equivalent Cost Basis multiplied by the Project Agreement Member's Class 1 participation at the end of Phase 1.
- If the Equivalent Required Funding is greater than the Project Agreement Member's cumulative Contribution Credit, a true-up payment will be due from the Project Agreement Member to the Authority equal to the Equivalent Required Funding minus the Member's cumulative Contribution Credit.
- If the Equivalent Required Funding is less than the Project Agreement Member's cumulative Funding Obligation, a reimbursement will be due from the Authority to the Project Agreement Member equal to the Project Agreement Member's cumulative Contribution Credit minus its Equivalent Required Funding.

14. PROVISION FOR BUY-IN AFTER PHASE 1

During Phase 2, if Class 1 water is available, existing or new Project Agreement Members may increase their participation. In order the maintain equity among Project Agreement Members, additional participation carries a "buy-in" cost equal to the equivalent cost basis at the time that new or additional participation is requested. The equivalent cost basis has been established by the Reservoir Committee as:

Phase 1:	$48.50 per acre-foot
Phase 2A:	$60.00 per acre-foot
Total:	$108.50 per acre-foot

Additional increments may be added as the project progresses through Phase 2.

The buy-in cost for additional/new participation is equal to the Equivalent Cost Basis per acre-foot multiplied by the amount of additional/new participation requested less any reimbursement liability due from the Authority from prior withdrawal/reduction in participation. The buy-in payment will be invoiced upon execution of the Reservoir Project Agreement.

The additional/new participation will be assigned the current round of priority at the time the Reservoir Project Agreement is executed (**Section 6**).

The Authority may utilize the buy-in payments to reduce any outstanding reimbursement liabilities from prior phases.

15. PROVISION FOR PHASE 2 REBALANCING AND TRUE-UP PROCESS

At the conclusion of each incremental Phase 2 agreement, the Authority will declare any change to the Fully Subscribed Project and Project Agreement Members will identify their preferred Participation moving forward in the Project.

With respect to the Fully Subscribed Project, any available Class 1 water will be offered according to the Round 5 sub-priorities (**Section 6**). Project Agreement Members that increase their participation and new Project Agreement Members must "buy-in" to the Project at the current Equivalent Cost Basis (**Section 14**).

With respect to the Fully Subscribed Project, if the total preferred Participation exceeds the Fully Subscribed Project, Project Agreement Members with a proposed participation increase will only receive a pro-rata share of their proposed increase. If the total preferred Participation still exceeds the Fully Subscribed Project, the Participation of Existing Project Agreement Members will be reduced on a "last in / first out" basis according to the participation priorities (**Section 6**) and on a pro-rata basis within the same priority or sub-priority.

Once the final participation is established for each incremental Phase 2 Project Agreement, true-up payments and reimbursements will be determined for each Project Agreement Member:

- The Equivalent Required Funding at the completion of each incremental Phase 2 Project Agreement will be the Equivalent Cost Basis multiplied by the Project Agreement Member's participation.

- If the Equivalent Required Funding is greater than the Project Agreement Member's cumulative Contribution Credit, a true-up payment will be due from the Project Agreement Member to the Authority equal to the Equivalent Required Funding minus the Member's cumulative Contribution Credit.

- If the Equivalent Required Funding is less than the Project Agreement Member's cumulative Funding Obligation, a reimbursement will be due from the Authority to the Project Agreement Member equal to the Project Agreement Member's cumulative Contribution Credit minus its Equivalent Required Funding.

Draft_0027479

~~12.~~16. FAIR SHARE FUNDING STUDY

The Authority will prepare a funding study upon completion of Phase 1 to document each Project Agreement Member's funding contributions, equivalent cost basis and Contribution Credit. The "Phase 1 Fair Share Funding Study" will summarize the conversion and/or retirement of Class 2 water and the true-up of the Class 1 cost basis at the end of Phase 1.

The Fair Share Funding Study will be updated to document changes in Participation, Priority and Equivalent Cost Basis for each Project Agreement Member through completion of Phase 2.

Appendix A – Participating Members and Respective Priority

Sites Reservoir Project
Credit & Reimbursement Policy - Phase 2

	Phase 1 & Phase 2A				Phase 2B				Cummulative Totals	
Hypothetical Examples	Phase 2A Participation (AF)	Phase 1 Contribution Credit ($48.50/AF)	Phase 1 Reimbursement Liability	Phase 2A Contribution Credit ($60/AF)	Participation (AF)	Buy-in Cost ($108.50/AF)	Phase 2B Contribution Credit ($100/AF)	Phase 2A Reimbursement Liability	Contribution Credit ($208.50/AF)	Outstanding Reimbursement Liability
Example 1 - No Change in Phase 2B Participation										
District 1A	5,000	$242,500	-	$300,000	5,000	-	$500,000	-	$1,042,500	-
District 1B	5,000	$242,500	$(187,500)	$300,000	5,000	-	$500,000	-	$1,042,500	$(187,500)
Example 2 - Increase in Phase 2B Participation										
District 2A	5,000	$242,500	-	$300,000	6,000	$108,500	$600,000	-	$1,251,000	-
District 2B	5,000	$242,500	$(187,500)	$300,000	6,000	-	$600,000	-	$1,251,000	$(79,000)
Example 3 - Reduction in Phase 2B Participation										
District 3A	5,000	$242,500	-	$300,000	4,000	-	$400,000	$(108,500)	$834,000	$(108,500)
District 3B	5,000	$242,500	$(187,500)	$300,000	4,000	-	$400,000	$(108,500)	$834,000	$(296,000)
Example 4 - New Participation										
District 4A	0	-	-	-	5,000	$542,500	$500,000	-	$1,042,500	-
District 4B	0	-	$(187,500)	-	5,000	$355,000	$500,000	-	$1,042,500	-

Sites

Topic: **Authority Board Agenda Item 3.4** **2020 July 22**

Subject: **Preliminary Participation Levels**

Requested Action:

Receive status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation.

Detailed Description/Background:

Preliminary Participation Levels:

Soft call results and participation agreement status have been updated and are included in Attachment A. In summary:

- Based on initial results, full subscription can be achieved.
- Based on projected, reduced participation from Sacramento Valley, approximately 13,000 AF may be available. The Reservoir Committee and Authority Board in May 2020 requested that outreach be conducted to try to achieve the 25% goal for Sacramento Valley participation.
- Outside of the Sacramento Valley, current participants identified interest in 5,000 AF and prior participants expressed interest in 10,000 AF of additional capacity, if available.

Outreach and Rebalancing:

Staff has initiated outreach to existing, prior, and new participants in the Sacramento Valley to determine interest in additional capacity. Existing members Colusa County Water District and Westside Water District are in the process of polling for increased participation interest. No prior or new interested parties have stepped forward within the Sacramento Valley at this time. Staff is moving to priority two and three of outreach and will provide an update at the August meeting. As a reminder, new and returning participants must be approved for admission according to Section 10 of the Participation Agreement, which includes a 75% weighted approval at the Reservoir Committee and 75% of Authority Board director approval as well as other requirements.

For reference, the approved priority system is as follows:

1. The goal is total Sacramento Valley participation of ~25%. Priority is given to current, prior and new Sacramento Valley participants in that order.
2. Existing Reservoir Committee participating members (with sub-priorities by seniority)
3. Prior Reservoir Committee participating members (with sub-priorities by exit date)

4. Nonparticipants. Priority for new participants outside of Sacramento Valley.

The process for a participant securing a position in the participation queue involves the following steps:

- Participants submit a letter of intent for new or increased participation prior to September 10, 2020 to secure a position in the queue. The letter should acknowledge the potential financial commitment. Attachment A shows the remaining contributed credit that participants can apply to prior participant payments.
- Staff prioritizes requests based on the approved rebalancing priority system.
- At the September meetings, the Reservoir Committee and Authority Board direct staff to offer capacity to participants in the queue.

Schedule:

The following items will be considered at the Reservoir Committee and Authority Board at their monthly meetings:

- August: Outreach update.
- September: Final participation levels for current members (executed agreements), approval of participation queue, vote to onboard new participants.
- October: Final participation levels for new members (executed agreements), approval of the final Exhibit A to the Participation Agreement, invoices sent for payment.

Prior Action:

June 18, 2020: The Reservoir Committee received a status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation.

May 21, 2020: The Reservoir Committee accepted Exhibit B (2020 and 2021 budget) to the Second Amendment to 2019 Reservoir Project Agreement and directed staff to begin outreach to potential participants based on the proposed priority system to explore interest in Sites capacity, if available.

May 5, 2020: At a Reservoir Committee workshop, staff provided information on changes to the cash call process and schedule in light of potential economic impacts of the COVID-19 natural disaster on participating member agencies. A two-step cash call process including a "Soft Call" and a "Hard Call" will be used to better plan for changes in participation. The due date of the first cash call of $60/AF will be moved two months out to November 1, 2020. The due date of the second cash call will be moved two months out to April 1, 2021 and will be up to $40/AF. The Amendment 2 work will still begin on September 1, 2020.

April 17, 2020: The Reservoir Committee approved the Amendment 2 Work Plan for the purposes of planning cash calls for participants totaling $100/AF,

producing a draft budget exhibit to the participation agreement, and developing consultant task orders.

March 30, 2020: At a joint workshop, staff provided information that included a process overview of task order development; an updated project schedule; cash flows for Reservoir Committee and Authority Board; and preliminary task budgets including assigned resources.

March 19, 2020: Staff provided information on the goals, schedule, cash flow, and preliminary budget allocation by subject assuming a cash call of $100/AF. Staff provided a preliminary cash call schedule of $60/AF due September 1, 2020 and $40 due February 1, 2021.

February 21, 2020: Staff provided information on three possible revenue scenarios and a task list for Amendment 2 (September 1, 2020 and ending December 31, 2021). Staff received direction to continue to develop the $100/AF revenue scenario.

January 17, 2020: Staff provided information regarding the proposed process for developing a work plan. This work plan proposes to focus on improving certainty related to project operations, permitting, and affordability, and to meeting the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive funding.

Fiscal Impact/Funding Source:

The total cash call remains unchanged from the approved Amendment 2 work plan in the total amount of $100/AF. With the Amendment 1B carryover funds it may be possible to reduce the total cash call. However, Staff proposes to keep the first call at $60/AF and reassess the second call currently projected at $40/AF midway through the Amendment 2 work. At that time, any adjustments to the second call can be considered based on the then current projections. Participating members can consider the second call to be an "up to" amount since the Amendment 1B carryover represents previously unanticipated funds for the Amendment 2 work plan.

Staff Contact:

Jerry Brown

Attachments:

Attachment A – Preliminary Amendment 2 Participation Table.

Preliminary Amendment 2 Participation Table
Reservoir Committee

Based on preliminary soft call survey results as of 12pm Wednesday, 7/1/2020

Working Draft, Subject to Change

Participant	Amendment 2 Agreement Status	Phase 2 (2019) Participation Level (Ref, AF)	Participation Level (AF) Staff Recommendation	Variance (AF)	Participation Increase Interest (AF)
Antelope Valley East Kern WA		500	500	0	
Carter Mutual Water Company		300	300	0	
City of American Canyon		4,000	4,000	0	
Coachella Valley Water District		10,000	10,000	0	
Colusa County	Approved	10,000	10,000	0	
Colusa County WD**		11,975	11,000	-975	Possibly
Cortina WD		450	450	0	
Davis Water District		2,000	2,000	0	
Dunnigan WD		2,717	2,717	0	
Desert WA		6,500	6,500	0	
Glenn Colusa Irrigation District		5,000	5,000	0	
LaGrande water district		1,000	1,000	0	
Metropolitan Water District of Southern California		50,000	50,000	0	
RD-108		4,000	4,000	0	
San Bernardino Valley Municipal Water District	Approved	21,400	21,400	0	
San Gorgonio Pass Water Agency		14,000	14,000	0	
Santa Clara Valley WD***		16,000	16,000	0	
Santa Clarita Valley Water Agency		5,000	5,000	0	5,000
Westside W.D.**		15,000	2,000	-13,000	
Zone 7 Water Agency		10,000	10,000	0	
Wheeler Ridge-Maricopa WSD		3,050	3,050	0	
Pacific Resources MWC*		0	0	0	10,000
Total		**192,892**	**178,917**	**-13,975**	**15,000**

**Phase 1 participant, no survey response received. Interest expressed by a responding Phase 2 participant.*

***Indicated possibility for higher participation, lower projected participation level shown*

****Response is pending, full participation assumed by Sites staff*

Sites

Topic: **Authority Board Agenda Item 3.5** **2020 July 22**

Subject: **Organizational Assessment Quarterly Update**

Requested Action:

Review and comment on the July 2020 Quarterly Update of the plan and schedule for addressing the Organizational Assessment (OA) findings and recommendations.

Detailed Description/Background:

The approved OA Report includes findings and recommendations aimed at improving the overall effectiveness of the organization. The Authority Board directed Staff to provide a plan and schedule for addressing the report findings. Staff recommended a plan and schedule, including quarterly progress updates to the Reservoir Committee (RC) and Authority Board (AB). The RC and AB accepted the recommendation at their respective April 2020 meetings. This provides the first quarterly update to the plan and schedule.

Staff reviewed all items in the plan and schedule and generally made the following adjustments to reflect current circumstances:

1. Status column has been completed to reflect the current status for each action.
2. "Target Board Item Date" has been reviewed and updated for some action based on the detailed Project schedule and status of project activities.

Staff continues to work diligently to address the Organizational Assessment findings and recommendations. As reflected in the attached July 2020 update to the plan and schedule, of the 43 total actions, 9 have been completed, 3 are completed and in on-going tacking status, 2 are partially completed, 12 are in progress, 8 will be starting shortly, and 9 are items that have been assigned to the RC and AB to complete (most of these will be accomplished in the Strategic Planning effort).

The next Quarterly Update is scheduled for October 2020. For the October update, staff will be consolidating the matrix to continue tracking only those items that have not been completed and group items by major category to align with 2021 key milestones (i.e., Plan of Finance, Strategic Plan, Permit Discussions, Revised EIR, and Federal/State Involvement).

Prior Action:

April 17, 2020: Accepted plan and schedule for addressing the Organizational Assessment findings and recommendations.

Draft_0027487

November 21, 2019: Darling H20 Consulting, Inc. presented the draft Organizational Assessment.

August 26, 2019: Approved a budget reallocation for the organizational assessment and execution of a sole-source professional services agreement with Darling H2O to perform an organizational assessment.

July 22, 2019: Discussed working on an organizational assessment plan to evaluate the structure of the Sites Project's program management team, Reservoir Committee and the Authority.

Fiscal Impact/Funding Source:

Efforts to address the findings and recommendations are being made within the Amendment 1B and Amendment 2 work plans. No additional funds are needed to support these efforts at this time.

Staff Contact:

Ali Forsythe

Attachments:

Organizational Assessment Matrix – July 2020 Quarterly Update

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
1.1	F	Prepare an analysis of the major regulatory decisions being made regarding flow in the Sacramento River and Delta and determine flow-related permitting strategy with identification of risks.	Permitting strategy memo was prepared by ICF in May 2019 and updated through CDFW meetings in fall/winter 2019. A revised version that will include updates with recent relevant changes is in progress.	Staff will review prior related materials and prepare the analysis identified.	High	October 2020	Ali Forsythe	Starting shortly. Will begin after development of the Revised EIR/EIS project description and identification of the Preferred Project in September.
1.2	E	Prepare an analysis of the major comments received on the draft EIR/S. Identify approach to addressing those comments and working with specific commenters.	• March 29, 2019 – Jacobs provided a memo that included Draft EIR/EIS Comments Matrix, Master Response Topics, Key Comment Letter Summaries, and draft Initial Responses. • April 12, 2019 – Strategy meetings were initiated with ICF, Reclamation and Authority to address overall approach as well as specific topics. Based on these strategy sessions, an outline for the Final EIR/EIS was prepared and an approach for responding to comments, including master responses to comments was identified and forwarded to both CEQA (Authority) and NEPA (Reclamation) legal counsel. • June 5, 2019 – ICF provided master response annotated outlines and commenced preparing responses. In addition, the Authority EPP began meeting with key commenters, (e.g., CDFW, NRDC, Humboldt County, etc.) to clarify issues of concern.	• The work that has been completed to date in responding to comments will be utilized in either the completion of a Final EIR/EIS or in preparing a Recirculated Draft EIR/EIS. Assuming recirculation of the Draft EIR/EIS is the preferred approach for moving forward based on the status of the Value Planning effort, ICF is in the process of preparing a strategy/work plan to be completed in May 2020. • The Authority EPP will also continue outreach to Draft EIR/EIS commenters and Agencies as efforts move forward.	High	• Analysis completed under original work plan and to be updated April 2020 with preparation of EIR/EIS Work Plan • Meetings with specific commenters – ongoing throughout 2020 and 2021	Ali Forsythe	Partially completed. • Revised EIR/EIS Work Plan completed. As part of this effort, all comments were reviewed and approaches to revising the EIR/EIS to address prior comments were identified. • General meetings with some commenters completed. Additional meetings planned for post September 2020.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
1.3	E	Identify legal requirements of the environmental laws that Sites will be required to comply with.	Preliminary list of permit requirements and other approvals were included in the Draft EIR/EIS released in August 2017.	The preliminary list will be updated in the development of the Revised Draft EIR/EIS.	Medium	December 2020	Ali Forsythe	Starting shortly. Will begin after development of the Revised EIR/EIS project description and identification of the Preferred Project in September.
1.4	F	Establish a permitting flow chart with realistic timelines.	In 2019, the Environmental Planning and Permitting team developed and maintained an MS Project schedule.	• Environmental Planning and Permitting team along with the Operations and Engineering teams is currently developing a detailed project schedule focusing on activities thru the end of 2021. • Develop planning / permitting flow chart and add in key dates from schedule effort. • Present summary schedule and flow chart to Res Com and Board and then track progress monthly thereafter.	High	• April 2020 Res Com and Board meetings – Detailed and summary schedule completed along with flow chart • On-going – Track and report on progress	Ali Forsythe	On-going Tracking. • A detailed project schedule that includes activities through the end of 2021 has been developed and was presented to the Reservoir Committee and Authority Board in the "Work Plan for September 1, 2020, to December 31, 2021" at the April 2020 Reservoir Committee and Authority Board meetings. • A summary of the schedule was developed and provided to the Reservoir Committee and Authority Board at the May 2020 meetings. • Tracking will be ongoing.
1.5	E/F	Prepare analysis of the draft EIR/S for use by all of the permitting agencies to issue permits upon the finalization of the EIR/S. Identify schedule for document completion.	A preliminary list of permit requirements and other approvals were included in the Draft EIR/EIS released in August 2017.	• Analysis – As the team works to develop a revised project description and revise the Draft EIR/EIS, the permitting agency comments will be reviewed in response to Action 1.2 and a regulatory agency technical team will be formed in response to Action 2.4. These two efforts will collectively address the analysis request in this action. • Schedule – See Action 1.4 for schedule development.	Addressed thru other Actions	--	--	See Status for Actions 1.2, 1.4, and 2.4.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
1.6	Executive Director	Determine if Water Commission has authority to grant schedule relief. If yes, then formally request schedule relief. If no, then figure out a legislative fix and timing.	The relevant deadlines are specific in the voter approved bond language. The current COVID -19 situation presents an opportunity for possible adjustment.	Work with Legal Counsel, and Gov't Relations Team to review statue in detail and determine options. Action plan being developed.	High	May 2020	Jerry Brown	In-progress. It was determined that the schedule requirements were in the bond language which means it cannot be changed without going to the voters. A joint letter with other WSIP grant recipients was submitted to the CWC for consideration of releasing additional funding sooner. Also, defining what constitutes feasible and 75% local cost share commitment is being pursued.
1.7	C	Prepare an analysis of the pros and cons along with a staff recommendation to present to the governance bodies on whether to spend additional time and money pursuing WIIN Act funding.	Extensive coordination with Reclamation has been conducted to date and Reclamation anticipates providing its final review process of their Feasibility Report in April. Staff has also developed a strategy on how to bring the Federal Feasibility Report in line with the Value Planning effort by next summer (2021).	Staff will prepare the analysis requested and elevate for decision-making to the Reservoir Committee and Board.	High	June 2020	Kevin Spesert	Completed. Federal Legislative Priority and State Legislative Priority were presented at the May and June 2020, Reservoir Committee and Authority Board meetings, respectively.
1.8	--	Determine if there is a viable strategy to phasing, which could allow for additional project partners.	The Value Planning exercise has formulated potential projects around meeting the needs of current participants with the opportunity to expand in the future.	Reservoir Committee/Board will be selecting a preferred project in April. All alternatives have the ability to be phased should the Reservoir Committee/Board chose to do that.	No Action	--	--	--
2.1	F	Develop an interest, science based permitting strategy.	See Action 1.1.		High	October 2020	Ali Forsythe	Starting shortly. Will begin after development of the Revised EIR/EIS project description and identification of the Preferred Project in September.
2.2	F	Determine when appropriate for project staff and governance members to be involved in the permitting process and at what level.	--	This will be addressed in the strategic planning exercise.	--	June 2020	--	Completed. A negotiations approach for permits and operating agreements, which identifies the roles of governance members in the permitting process, was approved by the Reservoir Committee and Authority Board meetings in June 2020.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
2.3	F	Determine if overall project provides a "net environmental benefit" beyond cold water pool in Shasta. If yes, then work to get agency and NGO buy in.	Outside of the Refuge water supply and Delta smelt benefits, net environmental benefits were identified in the WSIP application related to cold water pool management in Shasta. CDFW expressed concerns with using these net environmental benefits to offset impacts in their 2081 permit – requesting "assurances" that benefits would occur.	• Revised net environmental benefits will be determined as part of the development of operational criteria. • Agency and NGO discussions are planned to occur throughout 2020.	High	December 2020	Ali Forsythe	Starting shortly. Will begin with the development of the operations and biological modeling efforts for the Revised EIR/EIS.
2.4	F	Establish a regulatory agency technical advisory committee at the staff level that meets regularly.	A number of meetings were held with CDFW, USFWS, and NMFS in 2019, including the CDFW "60-day" process that included technical, management, and executive level meetings.	A regulatory agency technical team will be established in spring / early summer 2020 as environmental planning and permitting activities are restarted. In addition, the internal Sites team (EPP, Integration and ICF) hold regularly established coordination and work planning meetings.	High	June 2020	Ali Forsythe	Completed. Two groups were established for near-term activities and discussed at the Reservoir Committee and Authority Board meetings in June 2020.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
3.1	D	Quantify and get agreement from the state and feds as to what the benefits are to an integrated operation with the SWP and CVP.	Modeling of Sites Reservoir operations scenarios have assumed an integrated operation of the SWP and CVP. Numerous discussions have occurred with DWR and Reclamation on operations criteria and benefits.	• Development of a revised operational scenario is scheduled to be completed in June 2020. • Modeling and analysis to quantify benefits of the revised operational scenario is scheduled to be completed in December 2020. • Sites staff will be working with Reclamation and DWR throughout the development and analysis of the revised scenarios to both quantify and obtain agreement on criteria and resulting benefits of an integrated operation with the SWP and CVP. • Discussions with SWP and CVP should be memorialized in draft agreements and the Operations Plan, Version 1.0 in 2020.	High	December 2020	Ali Forsythe	Starting shortly. Will begin with the development of the operations and biological modeling efforts for the Revised EIR/EIS.
3.2	C	Establish a strategy to get state and federal agencies to be storage investors.	• The State is committed to being an investor in the project through the WSIP program. • Federal participation is addressed in Action 1.7.	• Build schedule to meet the current requirements of the CWC WSIP to maintain state current level of investment • Continue to position with CWC to get additional funding through WSIP should funds come available. • Working with government affairs work group to position for potential funds in a November state water bond. • Address Federal participation through Action 1.7.	High	June 2020	Kevin Spesert	See Status for Action 1.7.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
4.1	H	Review all facility planning previously completed by DWR to make certain that there are not missed opportunities to integrate new facilities with existing facilities.	The Value Planning effort has taken a close look at project facility needs and costs and has re-evaluate all of the previously identified facilities.	Complete the Value Planning Report and adopt a new Preferred Alternative.	High	April 2020	Jerry Brown	Completed. Value Planning Report was adopted by the Reservoir Committee and Authority Board at their April 2020 meetings. Additional review of applicable facilities is included in the scope of effort for Amendments 1B and 2.
5.1	B	Once a schedule is developed, then make certain to include all anticipated Board decisions that are critical path to project development.	The work plan through December 2021 includes a detailed schedule that is nearing complete and will serve as the basis for adding in key board decision dates.	See Action 1.4	High	May 2020	Jerry Brown	See Status for Action 1.4 since critical path is currently environmental planning and permitting.
5.2	Board / Res Comm	Once a new schedule is agreed to, governance members need to dedicate enough time to keep up with the agreed upon pace of this project.	--	See Action 1.4	--	--	--	See Status for Action 1.4.
5.3	Executive Director	Get materials to governance members at least a week in advance that clearly identifies decisions to be made, any analysis being made in support of the authority staff recommended decisions, and other options that were considered.	Staff have previously developed templates for Reservoir Committee and Authority Board materials.	• Actions to implement a more inclusive and effective policy making process have been initiated. • Progress to be evaluated as part of strategic planning exercise.	High	April 2020 (with further adjustments thereafter)	Jerry Brown	Completed. • Adjustments to the Reservoir Committee and Authority Board meeting agendas and staff report format have been made in response to this Action. • Continuous improvements will be made based on feedback and as identified by members and Authority Agents.
5.4	Board / Res Comm	As part of the new schedule, each governance member should identify which decisions warrant staff making a presentation to their individual agency to make certain that they are in concurrence with the direction the project tis moving.	--	--	--	--	Fritz Durst / Thad Bettner	-- Currently, the focus is on completing the cash call process.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
5.5	--	Use joint Board workshops and individual agency meetings to walk thru assumptions and anticipated outcomes so that when a decision comes to governance members for approval, the information will not be new.	Staff has started to utilize joint Board / Reservoir Committee workshops in 2019.	• Staff will continue to propose and utilize joint Board / Reservoir Committee workshops as appropriate for specific topics. • Individual agency meetings may be initiated by staff or by an individual agency and tracked thru the process developed under • No additional specific action will be taken to address this Action.	--	Ongoing	--	In Progress. Workgroups and committees are meeting with the necessary frequency to support effective decision making at the Reservoir Committee and Authority Board level.
5.6	C	Review the costs and deliverables accrued to date and tie future cash calls to anticipated deliverables.	Staff worked to tie cash calls to deliverables in Amendment 1B efforts. However, some activities are more ongoing business that do not necessarily result in a deliverable. Draft Amendment 2 Workplan includes deliverables by service area and explains areas where there may not be a "deliverable".	• Draft 2019 Annual Report prepared addressing items such as accomplishments and expenditures in 2019. Waiting review and comments from Authority and staff will finalize April 2020. • Draft Amendment 2 will be finalized end of April 2020.	High	April 2020	Joe Trapasso	In-progress. • 2019 Annual Report was approved by the Reservoir Committee and Authority Board at their April 2020 meetings. • Reservoir Committee and Authority Board approved the Amendment 2 Work Plan at their April 2020 meetings. • Amendment 2 Task Orders are expected to be reviewed at the August 2020 Reservoir Committee and Authority Board meetings.
6.1	A	Determine process and schedule to deliver cost per acre-foot and long-term debt information to project investors.	Cost per acre-foot has historically been developed and shared with members. In late 2019, staff developed a "Cash Flow" tool to help show long-term debt information.	• Continue to present cost per acre-foot when discussing affordability. • Update Cash Flow tool periodically as assumptions change or become outdated.	High	• April 2020 – Updates as a result of Value Planning efforts • Future – Periodic updates as changes occur	Jerry Brown	Completed. • The Value Planning Report, which included updated cost per acre-foot, was adopted by the Reservoir Committee and Authority Board at their April 2020 meetings. • Costs will be refined with the Plan of Finance expected to be initiated in September 2020 and be completed in summer 2021.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
7.1	--	Determine process and schedule to deliver how long-term costs of this project compare to other sources of water.	Information on costs of other sources of water is difficult to obtain. In addition, the "value proposition" for each member agency will be different depending on a number of factors including ag, M&I uses, water located north or south of Delta, length of agreement, and risks.	As this is different for each member and information is difficult to obtain, no additional work is proposed.	Low	--	--	--
8.1	A	Prepare a financial plan that lays out the potential sources of funding including California IBank.	• The scope and budget for the development of a financial plan was deferred by the Reservoir Committee / Board to a later date. • Exploratory conversations with IBank date back to September 28, 2017. Changes to their program would be needed for members to use this source.	• Develop finance plan as part of Amendment 2. • Continue to identify potential grant and other funding sources - at both the state and federal levels - that could reduce the project's finance costs. • Continue to work with Water Commission on timing of additional Prop 1 funds becoming available, since the original funding amount was less than the amount they deemed Sites to be eligible to receive.	Medium	July 2021	Joe Trapasso	Starting shortly. This effort is planned as part of Amendment 2.
9.1	F	Prepare a Board briefing on the comparative costs of mitigation from comparable projects to help determine a level of reasonableness.	Mitigation cost technical memorandum was prepared by CH2M Hill in 2016. Additional mitigation cost reviews were prepared in October of 2019 and February 2020 for the Value Planning Process.	Mitigation planning and a class 4 cost estimate are scoped to occur in Amendment 2 (late 2020/early 2021).	High	Late 2020	Ali Forsythe	In Progress. • The Value Planning report included a review of the mitigation cost estimate and comparison to other projects. • Further refinement of these estimates will occur as part of Amendment 2.
10.1	Executive Director	Determine process and schedule to deliver information regarding the cost of storage versus the cost of yield in the context of developing a tiered pricing approach.	--	The approved Storage Policy addresses this issue. Additional work is needed to allocate costs according to storage benefits.	Medium	Nov 2020	Jerry Brown	Starting shortly. This effort will be part of the Plan of Finance development.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
11.1	B	Once the governance members agree on a project schedule then have staff only focus on scope thru permitting and come up with a budget.	Work Plans are developed by staff and approved by the Authority Board. These Work Plans identify specific tasks and priorities and Authority staff work to execute these plans as written.	Draft Amendment 2 work plan completed and will be reviewed and commented on by Authority in April 2020. Staff will make necessary changes and finalize. Focus activities thru December 2021 on those activities identified in the Amendment 2 Work Plan.	High	April 2020	Joe Trapasso	See Status for Action 5.6.
12.1	Board / Res Comm	Hold team building sessions to better understand how governance members process information and make decisions with the anticipated outcome for governance members and Authority staff to agree upon their respected roles and responsibilities.	--	--	--	--	Fritz Durst / Thad Bettner	In Progress. This will be addressed as part of the strategic planning session in August 2020.
12.2	Executive Director	Authority staff should work to make Board meetings more of a final sign off or endorsement of a policy decision or budget approval.	This Action is similar to Action 5.5.	See Action 5.5.	--	Ongoing	Jerry Brown	See Status for Action 5.5.
13 (13.1 to 13.5)	B	Staff will document and track all governance member questions and provide responses to the governance members.	--	Staff initiated work on a tracking tool to track member questions and information requests and update it on a regular basis.	High	Ongoing	Joe Trapasso	In-progress. Staff are documenting all questions and reviewing the list internally to ensure member questions are addressed and appropriate follow up is completed.
14.1	F	Concerns with the structure and information flow from the CDFW discussions.	--	• Hold more frequent Environmental and Permitting Work Group meetings to provide updates on the CDFW efforts. • Continue to provide updates at the Operations Work Group meetings on the CDFW efforts.	High	Throughout 2020	Ali Forsythe	In-progress. • Discussions with CDFW have been reinitiated to ensure the coverage within the Revised Draft EIR is sufficient for permitting purposes. • A check-in schedule with the CDFW Director has been prepared.
15.1	Executive Director	Prepare a discussion paper on what lessons have been learned by other similar projects that can potentially be adopted for use by the Sites Project.	--	Staff continuously monitors other projects for lesson learned and applies them to this Project as appropriate.	Low	Ongoing, verbal	Jerry Brown	In-progress.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
15.2	Board / Res Comm	Create a joint strategic plan for the Reservoir Committee and Board.	--	Issue a Request for Proposal for a Strategic Plan effort and contract with a Strategic Planner.	High	December 2020	Jerry Brown	In-progress. • A contract for strategic planning services was approved by the Reservoir Committee and Authority Board at their May 2020 meeting. • A strategic planning session is planned for August 2020.
16.1	C	Create a strategy to get Reclamation to the table to describe what the local investment will do for their contracts.	See Action 1.7.	Such as strategy will be coordinated with Action 1.7.	High	June 2020	Kevin Spesert	See Status for Action 1.7.
16.2	B	Explore the concept of beneficiary pays to accurately account for the benefits received by each investor and consider a tiered pricing system.	The concept of beneficiary pays has been discussed a number of times. Once a Preferred Project has been selected and additional design / use / beneficiary information is better understood, the concept of beneficiary pays can be explored further. See Action 10.1.	• Explore the concept of beneficiary pays as design and cost information progresses. Refine and have an agreed upon approach for Phase 3 (post 2021). • Governance considerations including potential tiered pricing will be discussed in the strategic planning exercise.	Medium	Late 2020 / Mid 2021	Joe Trapasso	See Status for Action 8.1 and 10.1. This will be addressed as part of the Plan of Finance development.
16.3	Board / Res Comm	Formally request south of Delta investors to identify ways of keeping north of Delta ag in the project.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--
17.1	B	Perform analysis to validate if increased partnerships would result in the project becoming more affordable.	--	Dependent on approval of upcoming funding request results.	Medium	April 2020	Joe Trapasso	See Status for Action 6.1
18.1	Board / Res Comm	Celebrate and memorialize the cooperative relationship between north of Delta and south of Delta participants on the Project.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--
19.1	Board / Res Comm	Formalize the roles and responsibilities between the Res Comm and Board and look for ways to reduce duplication.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--
20.1	Board / Res Comm	Complete analysis of the legal requirements for the Res Comm and Board governance. Consult with other Prop 1 recipients that have multiple partners to see how they are set up.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--
20.2	Board / Res Comm	Depending on the results of Action 21.1, have a policy level discussion to decide if the Res Comm and Board make-up can be and should be changed.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
21.1	Board / Res Comm	Rules for membership on the Authority Board are not understood. Get a legal and policy review of the composition of the Board to inform a discussion and decision on Board composition.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--
22.1	Executive Director	Prepare a legal analysis of what are appropriate materials to be covered in closed session.	--	The analysis will be prepared and presented to the Res Comm and Board.	High	August 2020	Scott Kuney	On schedule.

Sites

Topic: **Authority Board Agenda Item 3.6** **2020 July 22**

Subject: **Strategic Planning Session Agenda**

Requested Action:

Review and comment on the Strategic Planning Session Draft Agenda.

Detailed Description/Background:

The Catalyst Group was hired by the Authority to prepare a strategic plan and facilitate a strategic planning session. The consultant has reviewed the organizational assessment findings and has conducted interviews with coordinating committee members (Reservoir Committee (RC) and Authority Board (AB)) and key staff. Based on this input, the attached draft agenda has been prepared for the strategic planning session and the consultant would like the RC's feedback before finalizing pre-meeting activities and plans for the day.

In addition, before the meeting, the Catalyst team will be soliciting additional input regarding the strategic plan through an online survey to be distributed to the Authority Board, Reservoir Committee, agents, and select consultants. The purpose of the joint workshop is to discuss and affirm the Authority's vision, mission, values, goals, and objectives.

Currently, the strategic planning session is scheduled to occur Thursday, August 13, 2020. Due to uncertainties of the COVID situation, accommodations were attempted for a north and a south meeting location along with virtual meeting accommodations. However, only a north location has been secured at this time.

Staff wanted to hold the meeting in-person but sees the upsides of having the meeting sooner in line with ongoing project activity outweighing the downsides of waiting for the COVID situation to pass. The consultant will have a live facilitator and video capabilities at each facility. The consultant is also evaluating options for making the virtual participation as interactive as possible. This will be a joint meeting of the RC and the AB and members will have the option of attending in the manner they are most comfortable with.

The strategic plan document will be written following the session and a draft is scheduled to be delivered in September 2020.

Fiscal Impact:

Sufficient funds for the strategic plan and facilitation are included in the Amendment 1B work plan. Funds and contract authority previously authorized by the board for Catalyst and Darling H2O to assist with strategic plan implementation are being held for these activities.

Prior Action:

May 27, 2020: Approved a consulting contract with The Catalyst Group for facilitation services in an amount not to exceed $50,000 and approve a time extension through December 31, 2020 for the existing contract with Darling H2O.

April 22, 2020: Approved the Organization Assessment Report as follows: the plan and schedule for addressing the report findings and recommendations, the scope, schedule and budget for strategic planning facilitation services and release a Request for Proposals for strategic planning facilitation services.

December 20, 2019: Approved Darling H20 to expand the scope of work to support the recruitment of a facilitator for Strategic Planning.

November 21, 2019: Darling H20 Consulting, Inc. presented the draft Organizational Assessment.

August 26, 2019: Approved a budget reallocation for the organizational assessment and execution of a sole-source professional services agreement with Darling H2O to perform an organizational assessment.

July 22, 2019: Discussed working on an organizational assessment plan to evaluate the structure of the Sites Project's program management team, Reservoir Committee and the Authority.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Proposed Draft Agenda.

Joint Strategic Planning Workshop

8:30 **I. Welcome, Agenda Overview, Introductions — Jerry Brown & Charles Gardiner**

Workshop Purpose: Identify & affirm Authority **vision, mission, and values** and **project and organizational goals** to achieve our mission.

Objectives:

- Review and affirm Vision, Mission, and Values statements.
- Identify, modify, and affirm the high-level goals to achieve an *approved, funded project*.
- Identify, modify, and affirm *organizational* needs to lead the project.

Introductions: Board and Reservoir Committee members introduce themselves and state their objectives for the day.

Protocols: Review meeting process guidelines

8:50 **II. Review Strategic Plan Intent, Content & Progress to Date — Facilitator**

Strategic plan purpose and timeframe:

- Sets vision, mission, values, and broad project goals and organizational....
- Will be used to....
- To be addressed today....and in future work.

Key Findings: Review key findings emerging from staff and Coordinating Committee interviews/meetings.

Discussion: Q&A on Strategic Plan, findings, and agenda.

9:15 **III. Review and Affirm Strategic Plan "Vision, Mission & Values" — Facilitator**

10:20 ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ **BREAK 10 mins** ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~

10:30 **IV. Review & Affirm Content of the Strategic Plan Goals & Objectives — Facilitator**

Goals and Objectives: Present, discuss, and affirm 3-5 strategic plan goals for the project and organization.

11:50 **V. Review Work Task and Break into Work Groups — Facilitator**

12:00 ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ **Working Lunch** ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~

12:15 **VI. Breakout Session #1 – Identify Core Challenges/Needs for 2-3 Goals (Breakout Group for each Goal)**

What is needed to achieve the high-level project goals – approaches, relationships, teams, knowledge, deliverables, etc.?

1:30 **VII. Breakout Session #2 – Identify Challenges/Needs for the Organizational Goal(s) (2-3 breakouts)**

How does the organization need to grow and develop to own and lead the project?

2:15 **VIII. Reconvene as Full Group – Summarize Outcomes & Agree on Broad Themes**

Reports from breakout groups. Refinement and direction to work groups/staff to refine the goals and develop implementation strategies.

2:55 **Next Steps & Adjourn**

DRAFT

Topic: **Sites Reservoir Project, Phase 2**

Subject: **Monthly Status Report** Report Period: **2020 June**

Monthly Status Summary:

The development of the Sites Project continues to make progress in the critical areas including the following activities:

WSIP Funding:

- Meetings were conducted with CWC staff to evaluate administrative actions that could be taken to accelerate early funding to the project. Of these measures, release of retention has been authorized and a revised scope of work that redistributes funds within existing tasks is being reviewed. Combined these actions would accelerate approximately $2-$3M of state funding to the project in the Amendment 2 work plan that was not previously anticipated. A third action to temporarily allow higher state cost share is under consideration as well. Staff continue to pursue further definition from CWC on the 1/1/2021 requirements for feasibility and 75% local cost share commitment.

WIIN Act Funding:

- See "Coordination with Reclamation" section below.

USDA Funding:

- No update.

Engineering:

- Engaged PG&E and WAPA in power delivery discussions.
- Continued feasibility analysis and design of project alternatives.
- Continue supporting data needs for preparation of the Project Description.

Coordination with Reclamation:

- Began coordinating with Reclamation for in-kind work associated with Geologic & Geotechnical exploration efforts in support of the feasibility design.
- Continued to work with Reclamation planning and environmental teams on the National Environmental Policy Act approach, including identification of schedule for Reclamation review process assuming joint environmental document.
- Provided updated materials for Financial Assistance Agreement application for WIIN Act funding.

Environmental Planning and Permitting:

- Continued development of the project description for use in the Revised Environmental Impact Report / Environmental Impact Statement (Revised EIR/EIS) and the Biological Assessment.

- Finalized the Revised EIR/EIS Work Plan. The Revised EIR/EIS Work Plan identifies the approach and technical work (environmental, operations and engineering) that will be needed to support the analysis performed under Amendment 2.
- Updated the CEQA project objectives in response to feedback to support alternatives selected for the Revised Draft EIR/EIS analysis.
- Completed approach to permit and agreement negotiations for Environmental Planning, Permitting and Operations.
- Continued technical discussions and planning activities for the water right permitting and a 2020 initial meeting with state Board Staff
- Completed approach for Regulatory Agency Technical Working Group.
- Continued data exchange, water quality and geotechnical small technical working groups to facilitate development of those project components for use in the Revised EIR/EIS, Biological Assessment and Sites Feasibility Report.
- Continued technical discussions and planning activities for state and federal Endangered Species Act and Clean Water Act permitting.

Operations:

- Refined negotiations approach for working on operational agreements with the Department of Water Resources and the Bureau of Reclamation.
- Progressed the development of operations criteria that will be built into the models for use in the Revised EIR/EIS and Biological Assessment. Criteria in development include determining the modeling baseline, modeling of Shasta exchanges, diversion criteria, water demand, and coordination with the State Water Project.

Stakeholder Engagement, Public Outreach & Real Estate:

- Planning and logistics for the July 14th & 15th Sites Town Hall meetings.
- Facilitated a project update meeting for the Water Education Foundation.
- Continued engagement and outreach efforts with landowners, local community members, state and federal elected officials, non-governmental organizations and coalitions of regional and statewide organizations to introduce the Value Planning Report and the new "rightsized" project.
- Responded to landowner requests for project information, facilitated coordination activities with local government agencies and organizations and planned for future landowner, stakeholders and general public outreach activities and events.

Program Management & Administration:

- Provided update to Reservoir Committee and Authority Board on project rebalancing process.
- Supported WSIP Funding Strategy and options for leveraging State Funds via coordination meetings with Water Commission Staff.
- Implemented process and templates for Amendment 2 Work Plan Task Orders for service area providers.

Draft_0027504

OVERVIEW OF VALUE PLANNING PROCESS

MARCH 25, 2020

Sites

Draft_0027505

Value Planning Work Group

Participants:
Kunde, Vanderwaal, Evans, Azevedo, Bettner, Sutton, Traynham, Carter, Murphy, Ruiz, Neudeck

Key staff/consultants:
Frederiksen, Spesert, Forsythe, Herrin, Tull, Rude, Barnes, Spranza, Arsenijevic, Warner Herson, Heydinger, Grubbs

Draft_0027506

Ad Hoc Value Planning Work Group

- Representatives of the Reservoir Committee and Authority Board formed the Ad Hoc Value Planning Work Group in October 2019.
- Over several meetings, the Work Group directed the efforts of the Authority staff and consultant team to formulate and evaluate alternatives that would yield a more affordable project.
- On March 2, 2020, the Value Planning Work Group, through a sequential process of evaluating initial and refined alternatives, has identified a recommended project and two options that would provide the ability to complete the Project as circumstances evolve.

Draft_0027507

Value Planning Appraisal Report

- Purpose of report is to present information that the participants will find useful
- The draft Value Planning Appraisal Report will be submitted to the Reservoir Committee and Authority Board in March, 2020 for review and comment
- A workshop will be held on March 30, 2020 to provide opportunity for detailed questions on the process and analysis
- The final Value Planning Appraisal Report will be submitted to the Reservoir Committee and Authority Board in April, 2020 for approval

Draft_0027508

Project Objectives

Primary Objectives	Secondary Objectives
Improve Water Supply and Water Supply Reliability	Provide Opportunities for Recreation
Provide Incremental Level 4 Water Supply for Refuges	Provide Opportunities for Flood Damage Reduction
Improve the Survival of Anadromous Fish	
Enhance the Delta Ecosystem	

Draft_0027509

Key Components and Approach for Reducing Costs

Diversion Facilities for Filling – use the existing T-C and GCID and diversions rather than constructing new facilities.

Conveyance for Releases – use the existing T-C Canal to deliver water to the southern terminus of the canal. Releases could then be conveyed from the southern end of the T-C Canal to either the Colusa Basin Drain (CBD) or the Sacramento River.

Storage – smaller reservoir sizes, focusing on reservoir sizes of 1.5, 1.3, and 1.0 million acre-feet (MAF) to reduces the number and size of the dams and saddle dams along with related gates, towers, tunnels, and pumping facilities needed to fill Sites Reservoir.

Roads and Bridges – use shorter bridges with the use of constructed fill.

Elimination of Unsupported Components – Pump back hydropower has no apparent investors at this time.

Draft_0027510

Sites Reservoir Releases under Varying Storage and Release Capacities

Storage Capacity (MAF)	Long-term Average		
	1,500 cfs Release Capacity (TAF)	1,000 cfs Release Capacity (TAF)	750 cfs Release Capacity (TAF)
1.5	253	243	236
1.3	243	234	230
1.0	207	195	191

Meets participant demand (193+40=233)

Does not meet participant demand

Draft_0027511

Annual Repayment Costs per Acre-Foot of Release

	VP1			VP2			VP3		VP4		VP5	VP6	VP7
Release Capacity (cfs)	750			750			1,500		1,000		1,000	1,000	1,000
Reservoir Size (MAF)	1.0	1.3	1.5	1.0	1.3	1.5	1.3	1.5	1.3	1.5	1.3	1.3	1.5
Project Cost (2019 $, billions)	3.2	3.4	3.6	2.7	2.9	3.1	3.4	3.6	2.9	3.1	2.8	2.9	3.0
Annualized acre-feet/year Release (TAF)	191	230	236	191	230	236	243	253	234	243	234	234	243
PWA Annual Costs During Repayment Without WIFIA[a] Loan (2020 $, $/acre-feet)	862	776	804	730	667	692	737	754	660	678	631	659	648
PWA Annual Costs During Repayment With WIFIA Loan (2020 $, $/acre-feet)	798	724	754	664	613	641	688	707	608	628	577	607	598

Draft_0027512

Value Planning Group Recommended Project and Options

	VP5	VP6	VP7
	Option 1	Option 2	Recommended
Reservoir Size	1.3 MAF	1.3 MAF	1.5 MAF
Release Capacity	1,000 cfs	1,000 cfs	1,000 cfs
Estimated Cost (2019 dollars)	$2,779,000,000 to $2,814,000,000	$2,910,000,000 to $2,945,000,000	$2,961,000,000 to $2,996,000,000
Estimated Cost per Acre-Foot with WIFIA[a] (2020)	$577	$607	$598
Estimated Deliveries (Long-Term Average in TAF)	234	234	243
Key Options vs. VP7	-Smaller reservoir	-Smaller reservoir -Release pipeline to Sacramento River	

Note: Inclusion of WIFIA loan reduces costs by ~$50/AF

Draft_0027513

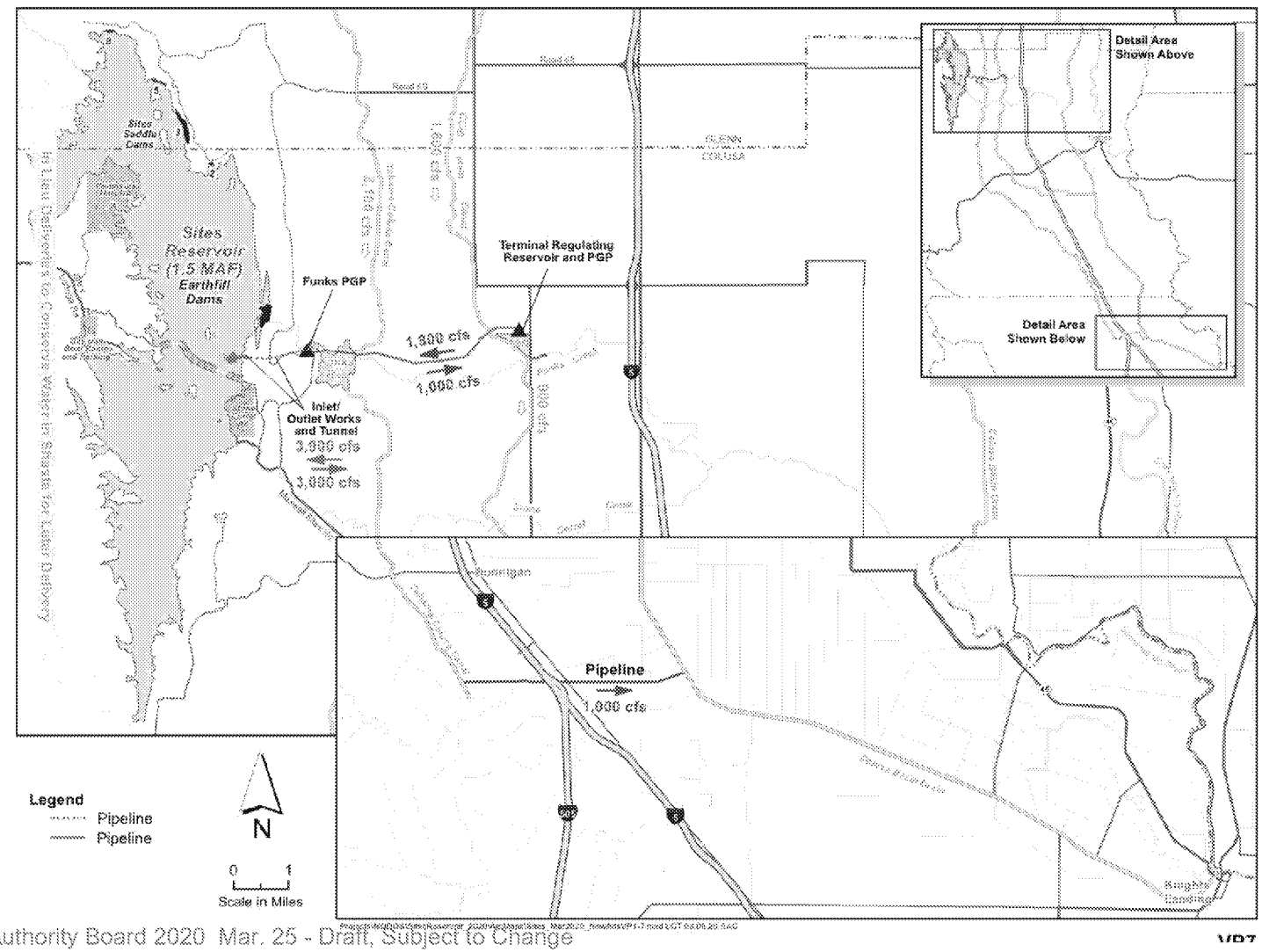
Value Planning Recommended Project and Options

The Value Planning Workgroup recommends the Project proceed as Alternative VP7. Although Alternative VP5 had the lowest overall cost and lower cost per acre-foot, the Value Planning Workgroup recommends VP7 based on higher deliveries at a comparable cost and improved operational flexibility with a 1.5 MAF reservoir.

The Value Planning Workgroup also recommends the subsequent analyses of the Project include options for a 1.3 MAF reservoir (per VP5) and a Dunnigan to Sacramento River 1000 cfs release pipeline (per VP6) in order to provide flexibility to respond to any future condition changes that might result in such facilities becoming preferable.

Draft_0027514

Recommended Value Planning Alternative (VP7)



END

QUESTIONS?

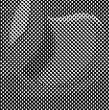
Sites

Draft_0027516

AUTHORITY BOARD MEETING

MARCH 25, 2020

Agenda Item 6.3: Work Plan



Sites

Draft_0027517

Presentation Outline

Work Plan for Sept 2020 thru Dec 2021 (Amendment 2)

- Process and schedule to execute Amendment 2 Agreement
- Goals and objectives
- Status of Work Plan schedule
- Status of Work Plan budget
- Preliminary scope by subject and deferred work

Process and Schedule to Execute Amendment 2 Agreement

Now - April

- Input on Home Board needs
- Direction on work plan
- Workshop (March 30)
- **Approve (April 17):**
  1. **Value Planning**
  2. **Work Plan**

May - July

- Home Boards' consideration

  Staff support as requested

Responses to participation level due July 9

July

- **Approve Participation and first invoice**

  Res. Comm (July 16)

  Board (July 22)

Distribute 1st invoice (payment due Sept 1)

August

- **Approve consultant services contract amendments and initial task orders**

  Res. Comm (Aug 21)

  Board (Aug 26)

DISCUSSION:

QUESTIONS ON PROCESS

Sites

Draft_0027520

Proposed Goals to Complete by End of 2021

a. Operational plan and certainty

b. Permit certainty

- Admin draft water rights application prepared
- Biological Assessment submitted
- CDFW Incidental Take Permit application submitted
- Section 106 Programmatic Agreement signed
- Other key permits and/or agreements in progress

c. Environmental analysis and documentation (EIR/EIS) largely completed

Proposed Goals to Complete by End of 2021

d. Affordability & engineering design (work in progress)
   - Incorporate DSOD requirements
   - Incorporate CVFPB requirements
   - Address other construction-related permits and/or agreements

e. Stakeholder partnerships (and draft agreements)

Proposed Goals to Complete by End of 2021

f. Prop 1 *eligibility* milestone (Commission < 01/01/2022)

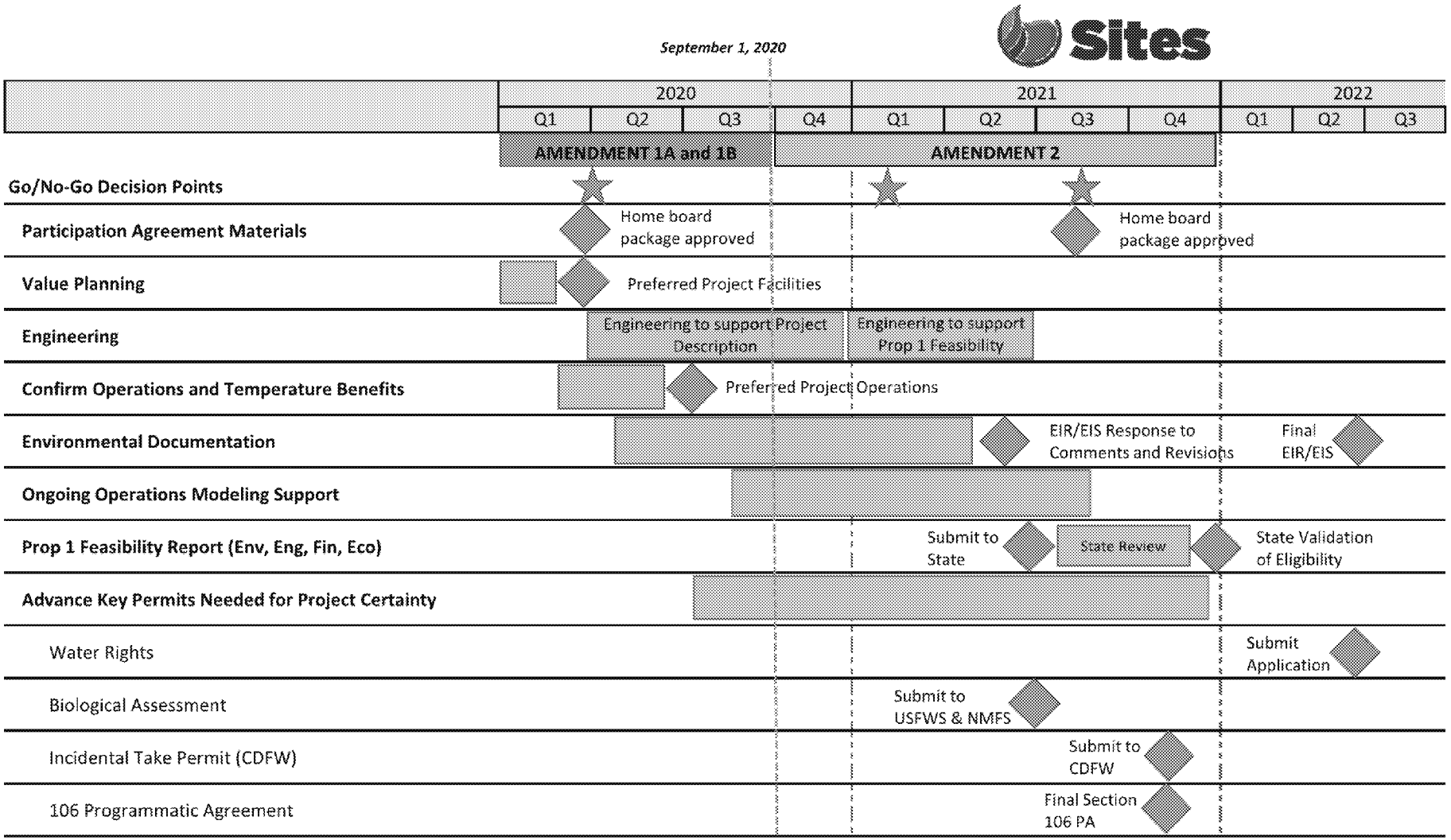
- Draft EIR available for public review
- Feasibility study completed
- Commission determines:
  - ✓ Draft EIR complete
  - ✓ Project is feasible (technical, economic, financial, and environmental)
  - ✓ At least 75% commitment for non-Prop 1 benefits (local agencies plus federal)

Proposed Goals to Complete by End of 2021

g. Manage go/no-go decisions

h. Prepare successor agreements

Schedule Targets (No Change)



NOTE: This graphic includes schedule drivers only and does not include all activities/deliverables.
This work plan is based on current participation commitments.

Authority Board 2020 March 25 - Draft, Subject to Change

Draft_0027525

DISCUSSION:

LIVE DETAILED SCHEDULE REVIEW

Sites

Draft_0027526

Revenue Assumptions

- Carryover Funds: $750,000
- Local: $19,700,000
  - Reservoir Committee Cash Calls* ($100/AF): $19,200,000
  - Authority Board Seats: $500,000
- Federal (WIIN Act): $4,000,000
- State (Prop 1): $7,300,000
- Total Revenue: $31,750,000

**Assumes no change in subscription*

For every $1.00 of local investment, ~$1.50 is used to advance the project

Preliminary Cash Call Invoice Schedule

Objective:

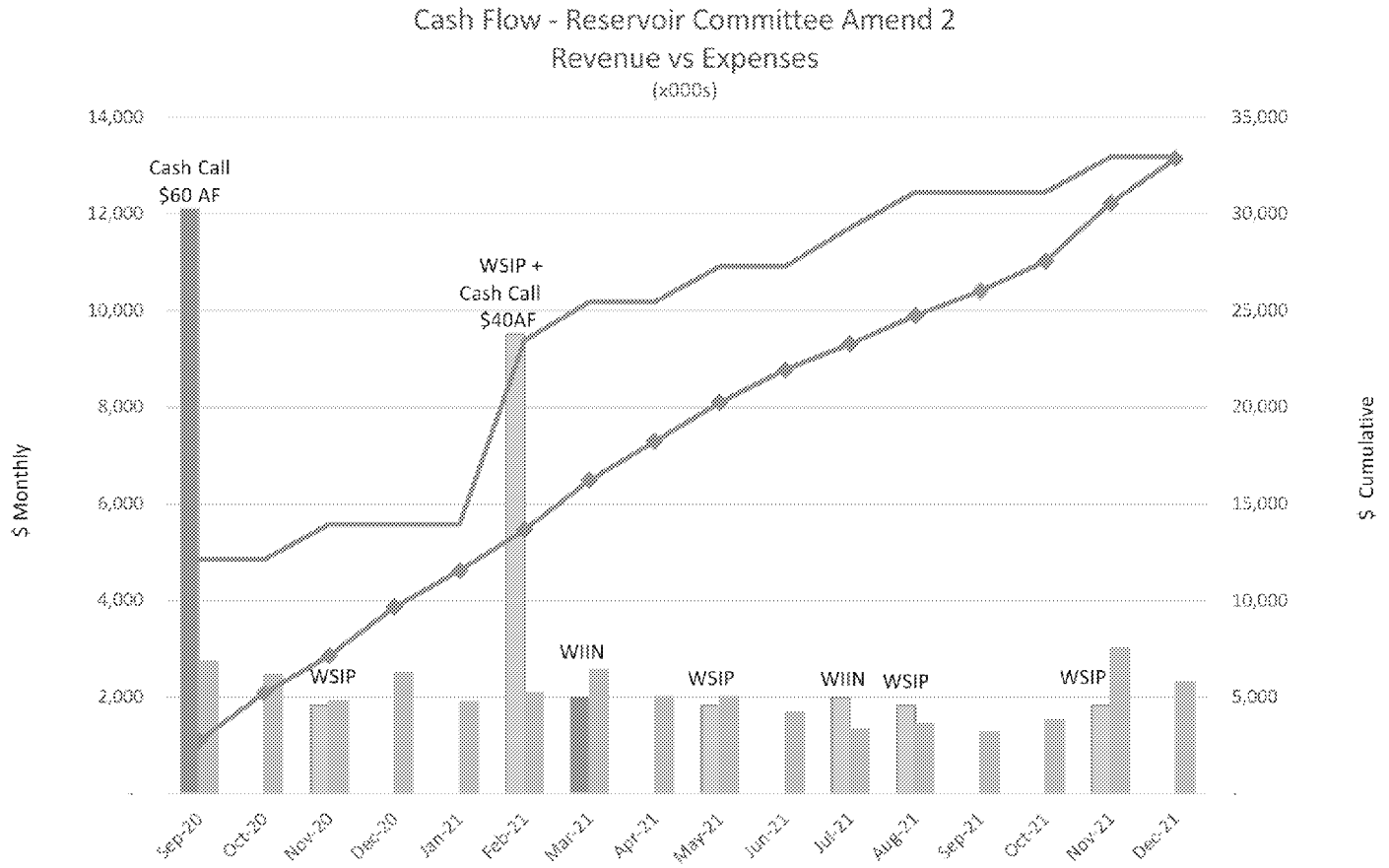
- Maintain cash positive position and avoid delays
- Leverage Prop 1 and WIIN Act funds

Participant Invoice Schedule:

$60/AF, Due September 1, 2020.

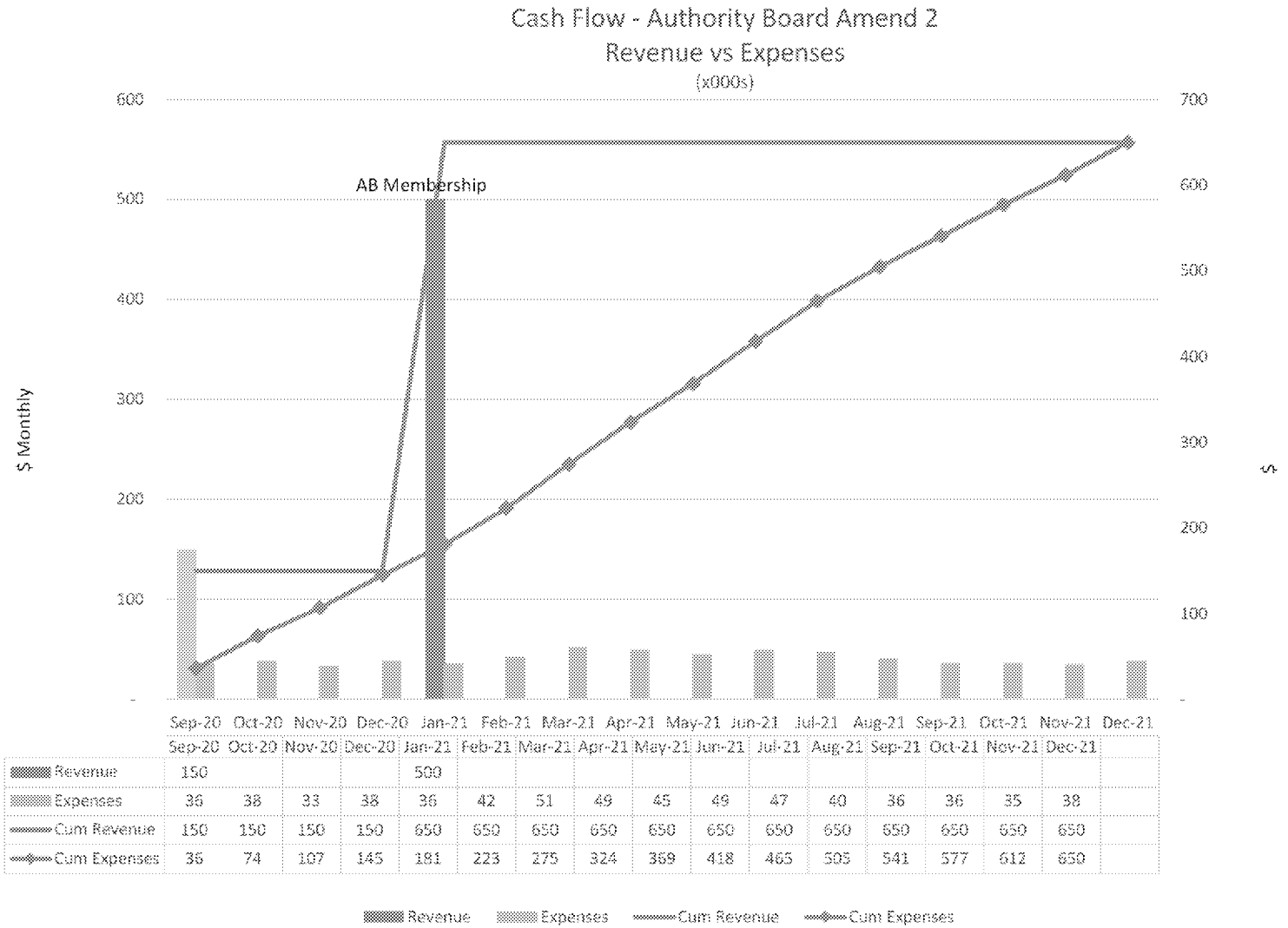
$40/AF, Due February 1, 2021.

Preliminary Cash Flow – Reservoir Committee



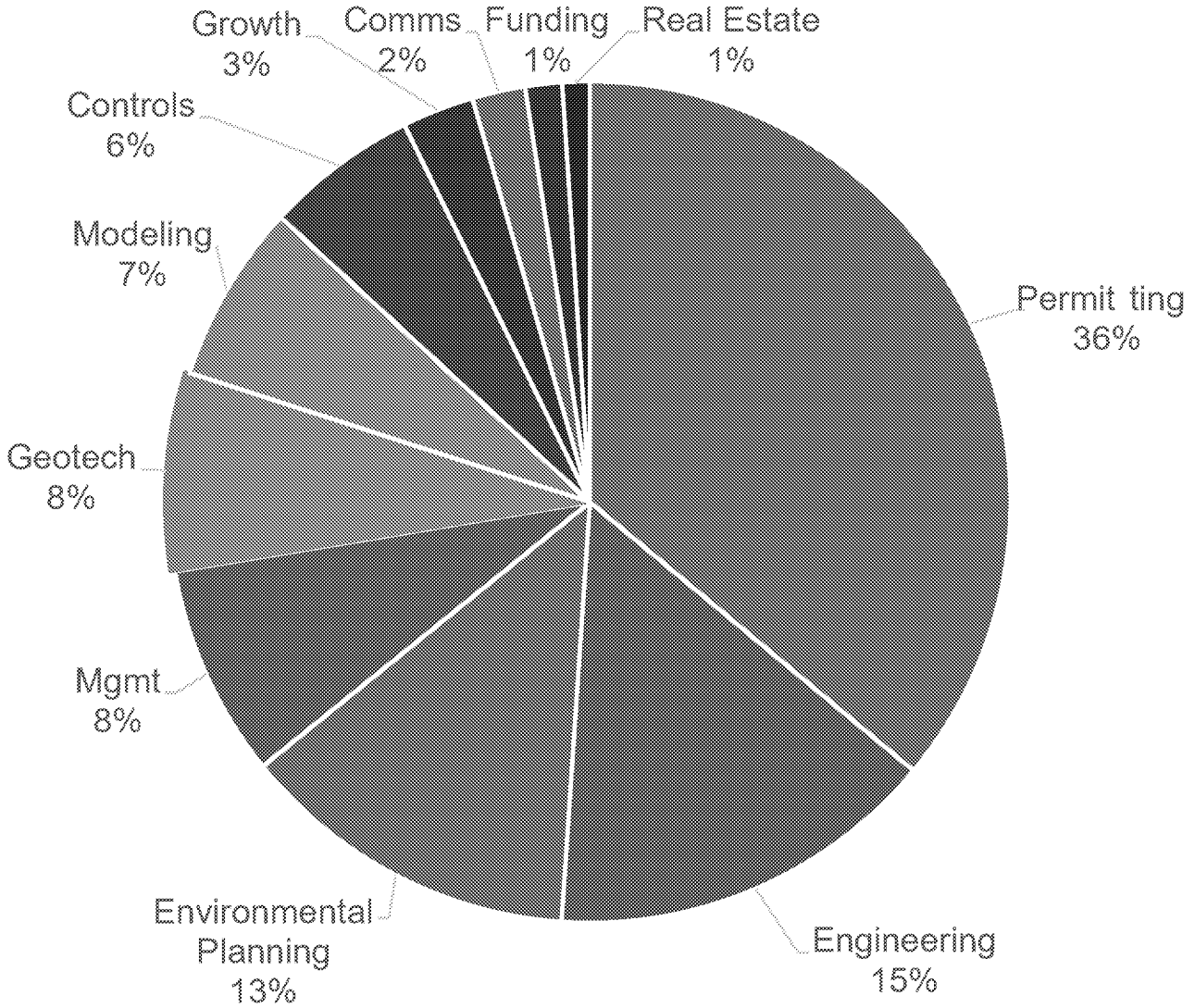
	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21
Revenue	12,120		1,834			9,514	2,000		1,834		2,000	1,834			1,834	
Expenses	2,743	2,468	1,926	2,522	1,900	2,092	2,579	2,011	2,012	1,698	1,342	1,460	1,274	1,542	3,013	2,303
Cum Revenue	12,120	12,120	13,954	13,954	13,954	23,468	25,468	25,468	27,301	27,301	29,301	31,135	31,135	31,135	32,969	32,969
Cum Expenses	2,743	5,211	7,136	9,658	11,559	13,651	16,230	18,241	20,254	21,952	23,293	24,753	26,027	27,569	30,582	32,885

Preliminary Cash Flow – Authority Board



	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21
Revenue	150				500											
Expenses	36	38	33	38	36	42	51	49	45	49	47	40	36	36	35	38
Cum Revenue	150	150	150	150	650	650	650	650	650	650	650	650	650	650	650	650
Cum Expenses	36	74	107	145	181	223	275	324	369	418	465	505	541	577	612	650

Preliminary Key Consultant Cost Allocation by Subject (Reservoir Committee Funded)



DISCUSSION:
CASH CALL TIMING AND BUDGET ALLOCATION

Sites

Post-Value Planning and Project Description Development (Post August 2020)

1. Continue CEQA/NEPA analysis
2. Advance key permits
3. Complete Prop 1 (WSIP) Feasibility Report
4. Coordinate with Reclamation

Key Technical Teams:

- Reservoir Operations
- Environmental Planning
- Permitting
- Engineering
- Real Estate
- Financial
- Legal counsels (General, Bond, CEQA)
- Communications and Engagement

Reservoir Operations

Operations Lead:

a. Operations Plan

Operations Support:

a. Environmental analysis and documentation
b. Permitting, including water rights
c. Engineering (hydraulics)
d. Various agreements

Discussion – what's missing or needs to change?

Environmental Planning

Environmental Planning Lead:

a. Revisit draft EIR/EIS

b. Final EIR/EIS

Environmental Planning Support:

a. Prop 1 Feasibility Report (environmental and mitigation costs)

b. Additional geotechnical data collection

c. Public benefit agreements (Prop 1)

Discussion – what's missing or needs to change?

Permitting

Permitting Lead:

a. Federal ESA compliance
b. Incidental Take Permit application
c. Section 106 Programmatic Agreement
d. Water Rights application advanced
e. State and Federal Clean Water Act permits

Permitting Support:

a. Prop 1 Feasibility Report (mitigation costs)
b. Additional geotechnical data collection
c. Public benefit agreements (Prop 1)

Discussion – what's missing or needs to change?

Engineering

Engineering Lead:

a) Construction cost estimate and schedule
b) Updated risk assessment
c) Geotechnical data collection

Engineering Support:

a) Prop 1 Feasibility Report (technical & economic)
b) Environmental analysis & documentation
c) Critical permits
d) Water rights application

Discussion – what's missing or needs to change?

Management, Controls, Funding, and Growth

Program Management and Controls:

a) Successor agreements (growth)
b) Grants and funding agreement management
c) Support to Authority Board & Reservoir Committee
d) Financial and cost management
e) Document, data and schedule management
f) Contract procurement, management and compliance
g) Risk and quality management
h) Risk assessment
i) Health and Safety
j) Board reporting and annual reports

Discussion – what's missing or needs to change?

Deferred Work (Beyond Dec 31, 2021)

a) Any additional repayment of Phase 1 contributed credit to participants

b) Purchasing of real estate

c) Early procurement of equipment

d) Development of alternative delivery bridging documents

e) Development of a detailed Program Management Plan (PMP) and associated standards

f) Development of policies and procedures beyond those necessary to receive previously identified grants or funding agreements

g) Implementation of Project Management Information System (PMIS) or an enterprise-wide solution for business and asset management (e.g. ERP)

Discussion: Scope and Deferred Work

Now - April

- Input on Home Board needs
- Direction on work plan
- Workshop (March 30)
- **Approve** (April 17):
  1. **Value Planning**
  2. **Work Plan**

May - July

- Home Boards' consideration

  Staff support as requested

Responses to participation level due July 9

July

- **Approve Participation and first invoice**

  Res. Comm (July 16)

  Board (July 22)

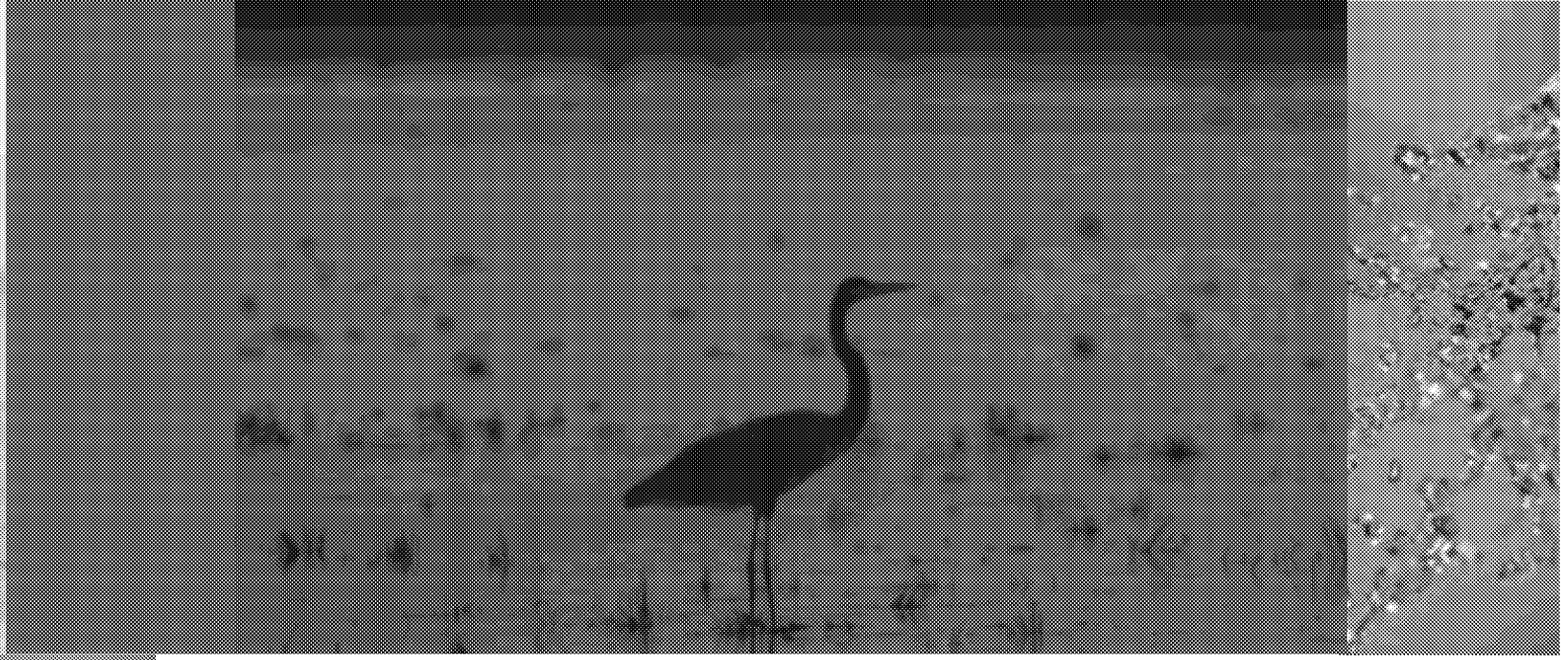
Distribute 1st invoice (payment due Sept 1)

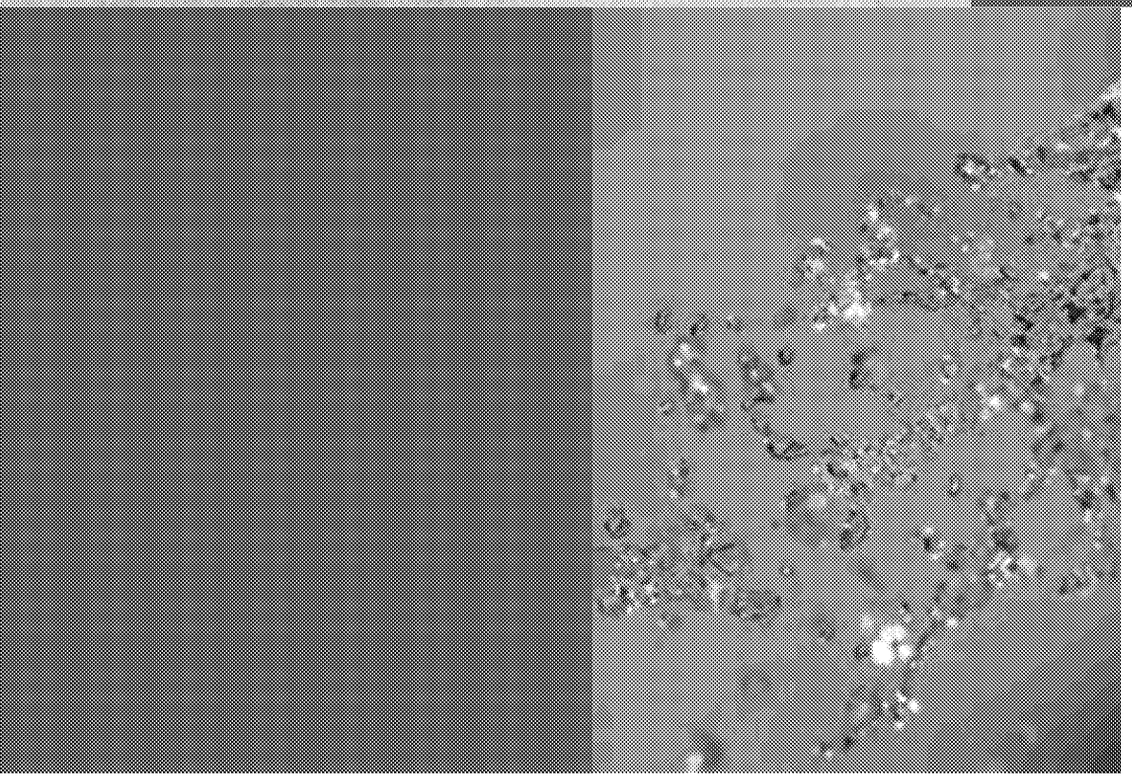
August

- **Approve Consultant services contract amendments and initial task orders**

  Res. Comm (Aug 21)

  Board (Aug 26)





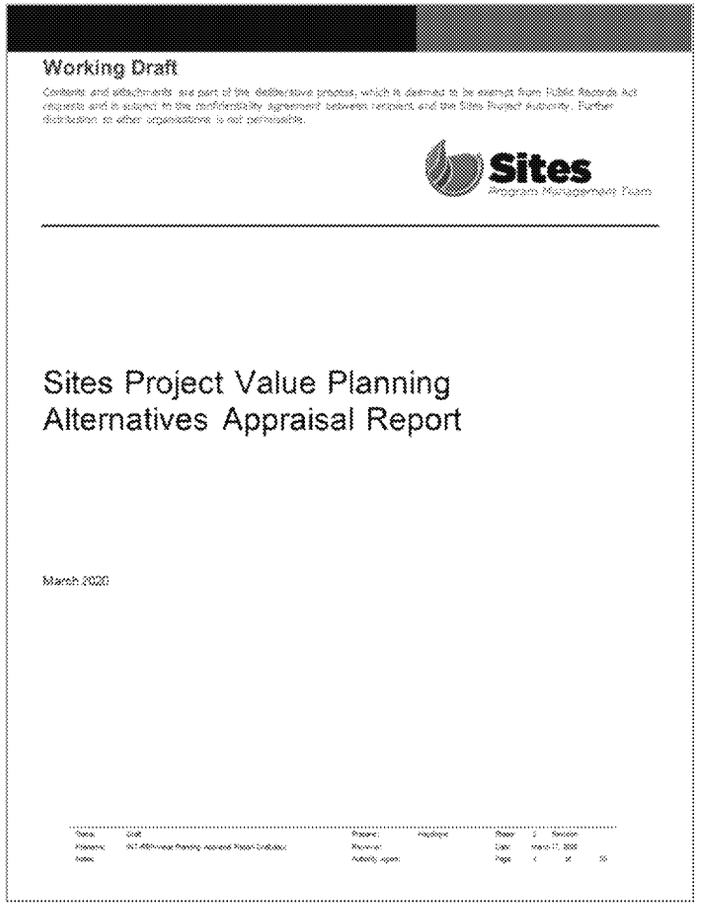
March Authority Board 6.4: Extending to Current Participation Agreement

March 2020

Sites
Program Management Team

Proposed Home Board Package

- Amended Phase 2 participation agreements with updated work plan
- Value Planning Report
- Draft Storage Policy
- 2019 Annual Report
- 4-Page Prospectus
- Power Point template for presentations to home boards


Working Draft

Contents and attachments are part of the deliberative process, which is deemed to be exempt from Public Records Act requests and is subject to the confidentiality agreement between recipient and the Sites Project Authority. Further distribution to other organizations is not permissible.

Sites
Program Management Team

Sites Project Value Planning
Alternatives Appraisal Report

March 2020

Are there additional things you need for your home board?

Sites
Program Management Team

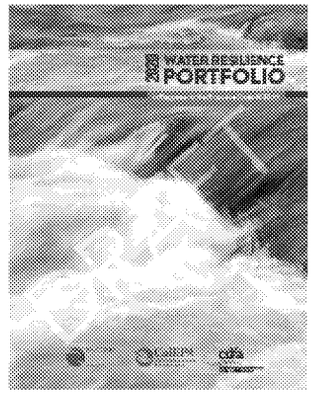
© 2019 HDR, all rights reserved

Sites Reservoir Project Executive Prospectus

March 2020

HIGHLIGHTS OF WHAT HAS BEEN ACCOMPLISHED TO DATE

- Named in the Governor's Water Resiliency Plan
- Reduced the construction cost by over $2B through the value planning process
- Leveraged your investment dollars against other federal and state dollars. For every dollar you invested we stretched it into $1.50
- Honed in on permitting criteria
- Adopted storage policy that you can use to meet individual investor needs



Additional Investments	
BOR WIIN Act	$6M
USDA Low Interest Rate Loan	$439M
Prop 1	$816M

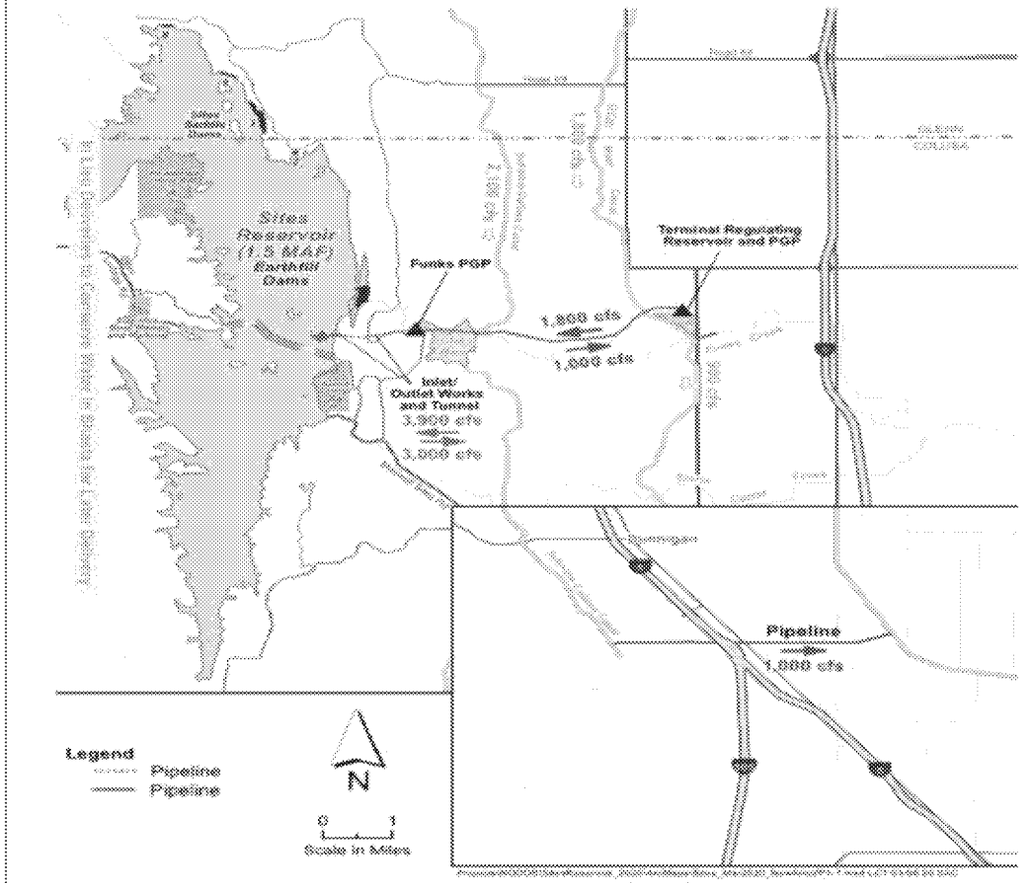
CURRENT PARTICIPATION

Member	Reservoir Participation (AFY)
Public Water Agencies	
North of Delta	52,142
South of Delta	140,750
Subtotal Public Water Agencies	192,892
State of CA (WSIP)	~40,000
Total Requirement	~230,000

Sites

Draft_0027543

VALUE PLANNING



Leveraged federal dollars to do geotech explorations in 2019

Draft_0027544

ENVIRONMENTAL PLANNING & PERMITTING

- Plan to Recirculate EIS

Criteria	DIVERSION CRITERIA CONSIDERED Scenario A		Scenario B	
Reservoir Size	1.5 MAF		1.5 MAF	
GCC Maintenance Window	2 weeks (Jan/Feb)		2 weeks (Jan/Feb)	
Wilkins Slough Bypass Flow	8,000 cfs		8,000 cfs April/May; all other times, 5,000 cfs	
Fremont Weir Notch	Prioritize the Fremont Weir Notch, Yolo Bypass preferred alternative, flow over weir within 1%		Prioritize the Fremont Weir Notch, Yolo Bypass preferred alternative, flow over weir within 5%	
Flows into the Sutter Bypass System	No restriction due to flow over Moulton, Colusa, and Tisdale Weirs		No restriction due to flow over Moulton, Colusa, and Tisdale Weirs	
Freeport Bypass Flow	Modeled WaterFix Criteria (applied on a daily basis) Pulse & Post-Pulse Protection (applied on a moving 7-day average) Pulse = Oct-Mar (35,000 cfs offramp) Post-Pulse (3 levels) = Oct-Jun Level 1 starts Oct 1st		Modeled WaterFix Criteria (applied on a daily basis) Post-Pulse Protection (applied on a moving 7-day average) Post-Pulse (3 levels) = Jan-Mar Level 2 starts Jan 1st Level 1 is initiated by the pulse trigger	
Net Delta Outflow Index (NDOI) Prior to Project Diversions	44,500 cfs between March 1st and May 31st		44,500 cfs between March 1st and May 31st	
Potential Range of Diversions to Fill Sites (Average)	Lower (Estimate) 189 TAF	Upper (Modeled) 209 TAF	Lower (Estimate) 242 TAF	Upper (Modeled) 272 TAF

OPERATIONS

Year Type	1,000 cfs Release Capacity (TAF)
Wet	116
Above Normal	286
Below Normal	273
Dry	382
Critically Dry	237
Long Term Average	**243**

Sites

Draft_0027545

AFFORDABILITY

- Continue to advance beneficiary pays concept

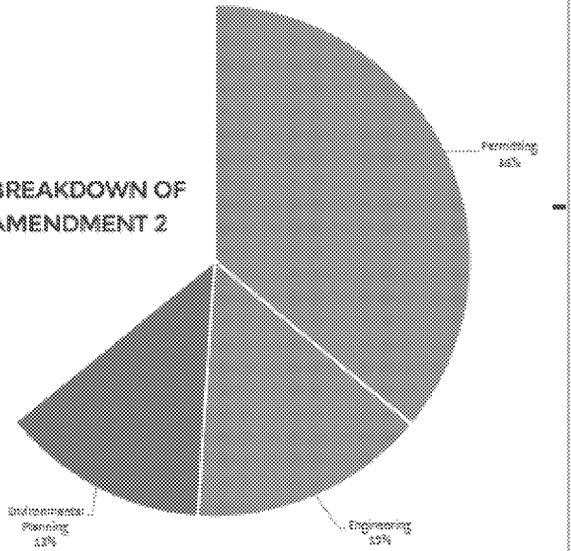
Annual Repayment Costs per Acre Foot of Release	
Reservoir Size (MAF)	1.5
Project Cost (2019$, billions)	$3.0
Annualized AF/year Release (TAF)	243
PWA Annual Costs During Repayment Without WIFIA Loan (2020$, $/AF)	$648
PWA Annual Costs During Repayment With WIFIA Loan (2020$, $/AF)	$598

NEXT STEPS

- Workplan Highlights include
  - Operations/SWP/CVP Exchange Refinements
  - Advancing Permitting Certainty
  - Recirculation of Draft EIS
  - Feasibility Study
  - State and Federal Grant Administration
  - Continue to strengthen community and landowner relationships
  - Develop plan to advance the project past 2021

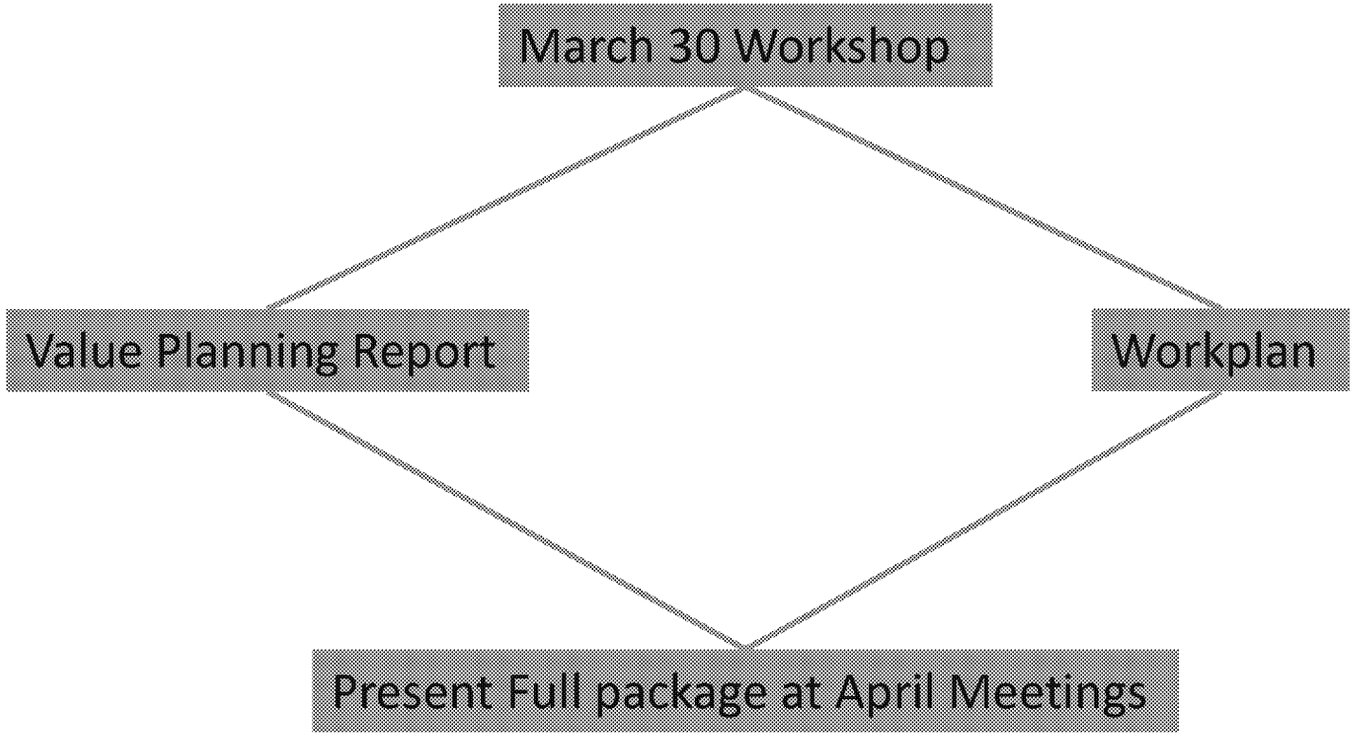
Workplan translates into $100/acre -ft cash call from Sept. 1, 2020 through December 31, 2021

BREAKDOWN OF AMENDMENT 2



Draft_0027546

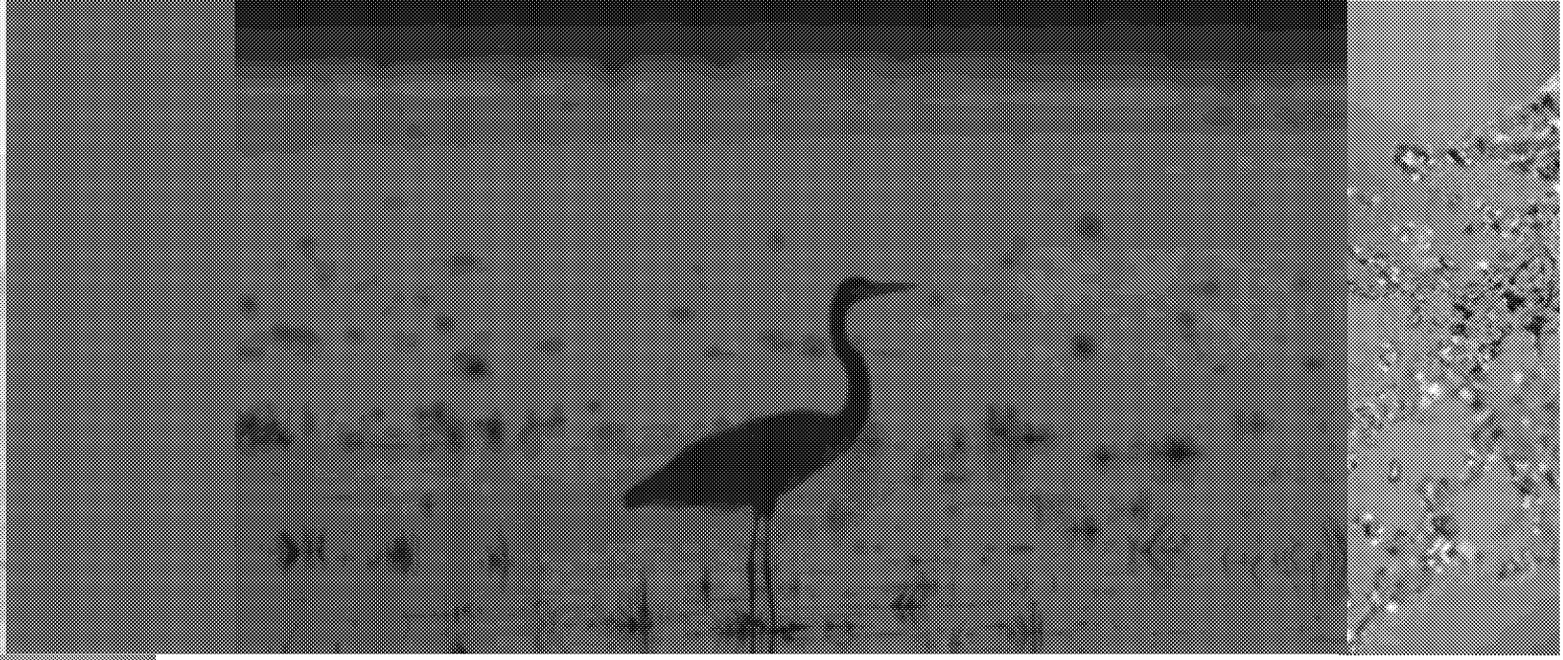
Next Steps

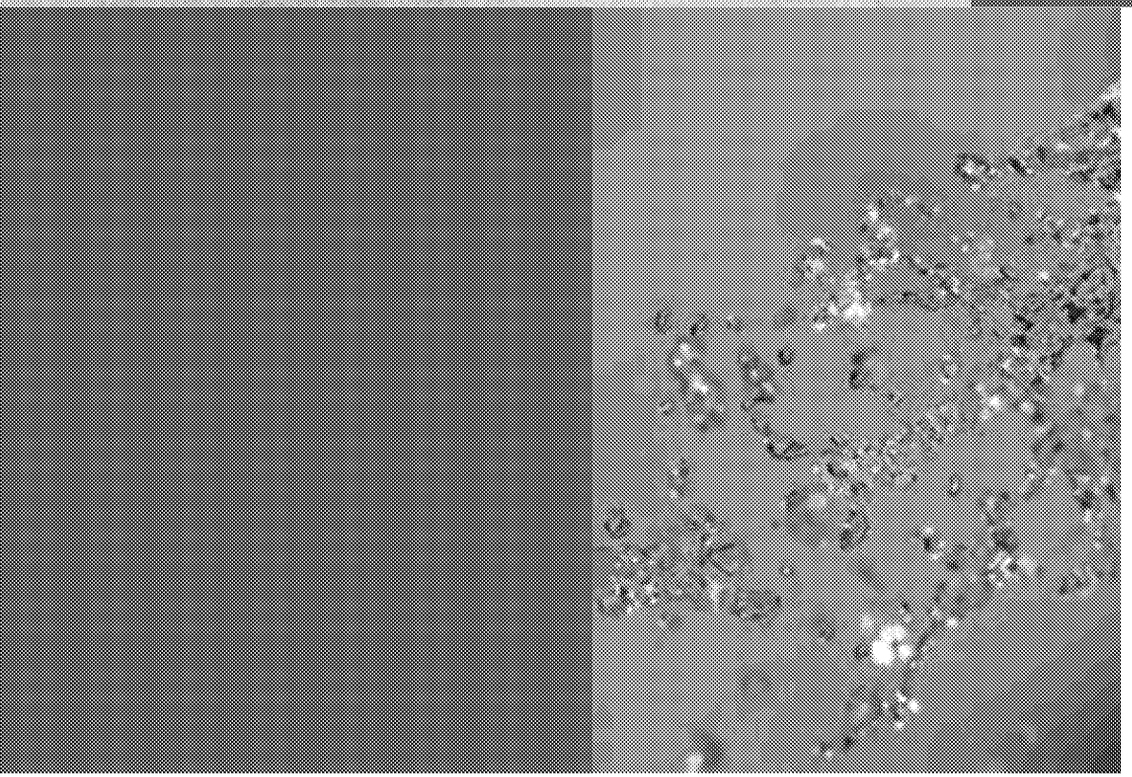


Proposed Ad Hoc Work Group to Finalize Prospectus & Power Point

Sites
Program Management Team

© 2019 HDR, all rights reserved





Break for Closed Session

March 2020

Sites
Program Management Team

SITES PROJECT AUTHORITY
P.O. Box 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JIM WATSON, GENERAL MANAGER
530.410.8250

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, U.S BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members ***(NON-VOTING)***

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

Notice: Pursuant to Executive Order N-25-20, issued by Governor Newsom on March 12, 2020, and guidance by the California Department of Public Health dated March 11, 2020, this meeting will be conducted by teleconference. The public may attend the meeting and offer public comments by phone, using the call-in number provided below, or in person, at the address above. Members of the Board will participate by teleconference from other locations.

March 25, 2020 ***1:30 p.m.***
Sites Project Authority
Agenda

Teleconference: **1-844-531-9388** Code: **739 386 447** **WEBEX LINK**

Welcome to a meeting of the Sites Joint Powers Authority. If you are scheduled to address the Board, please state your full name for the record. Regularly numbered items may be considered at any time during the meeting. All items are listed in accordance with the Ralph M. Brown Act. We invite all members of the public to attend.

CALL TO ORDER:

- Pledge of Allegiance.
- Introductions.
- Approve the March 25, 2020 Sites Project Authority Agenda.
- Approve the February 26, 2020 Sites Project Authority Minutes.
- Announcement of Closed Session.
- Period of Public Comment.

1. **CHAIRPERSONS' REPORT:** Durst, Sutton

   This time is set aside to allow the Chair &/or Vice Chair an opportunity to disclose/discuss items related to the Sites Project; including any meetings with external stakeholders to advance the Sites Project.

1.1 Conduct Authority Board Election for the position of Secretary for calendar year 2020.

2. BOARD MEMBER REPORTS: (No action will be taken) **All**

This time is set aside to give the Directors an opportunity to disclose/ discuss items related to the Sites Project; including any meetings with external stakeholders to advance the Sites Project.

3. CONSENT AGENDA: Durst

None.

4. MANAGER'S REPORT: Watson

4.1 Discussion and possible direction to staff regarding the Sites Project's monthly status report. **(Attachment 4-1A)**

4.2 Discussion and possible direction to staff regarding Proposition 1, WSIP activities and WIIN Act Funding.

5. 2019 FINANCE & BUDGET AD HOC COMMITTEE: Traynham

5.1 Accept the Treasurer's Report. **(Attachment 5-1A)**

5.2 Consider approval of the monthly Payment of Claims. **(Attachment 5-2A & B)**

6. Phase 2 (2019) RESERVOIR COMMITTEE: Bettner, Davis, Watson

6.1 Report on the March 19, 2020 Phase 2 (2019) Reservoir Committee meeting. **(Attachments 6-1A & B)**

6.2 Discussion and possible direction to staff regarding value planning efforts and the next steps to develop an updated project description.

6.3 Discussion and possible direction to staff regarding the development of the updated work plan for the period of performance starting September 1, 2020 and ending December 31, 2021 in accordance with a proposed Amendment 2 to the current Participation Agreement. **(Attachments 6-3A, B &C)**

6.4 Discussion and possible direction to staff regarding the proposed amendment to the current participation agreement and supporting documents.

7. 2019 POLICY & GOVERNANCE AD HOC COMMITTEE: Durst

None.

8. 2019 LEGISLATIVE & OUTREACH AD HOC COMMITTEE: Sutton

Discussion and possible direction to staff regarding federal and state govern mental affairs/legislative, stakeholder engagement and communications activity.

9. 2019 LAND MANAGEMENT AD HOC COMMITTEE: Evans

Discussion and possible direction to staff regarding real estate, land management, site facility activities and early geotechnical explorations.

RECESS:

10. Closed Session:

10.1 Public Employee Appointment (Gov. Code, § 54957)
Title: Executive Director

11. Report from Closed Session: Kuney

ADJOURN

Next Authority Board Meeting:

Wednesday, April 22, 2020 1:30 PM – 4:00 PM
Sites Project Office
122 Old Highway 99W, Maxwell, CA 95955

PERIOD OF PUBLIC COMMENT: Any person may speak about any subject of concern, provided it is within the jurisdiction of the Directors and is not already on today's agenda. The total amount of time allotted for receiving such public communication shall be limited to a total of 15 minutes per issue and each individual or group will be limited to no more than 5 minutes each within the 15 minutes allocated per issue. ***Note:*** *No action shall be taken on comments made under this comment period.*

ADA COMPLIANCE: Upon request, agendas will be made available in alternative formats to accommodate persons with disabilities. In addition, any person with a disability who requires a modification or accommodation to participate or attend this meeting may request necessary accommodation. Please make your request to the Board Clerk, specifying your disability, the format in which you would like to receive this Agenda and any other accommodation required no later than 24 hours prior to the start of the meeting.

All supporting documentation is available for public inspection and review in the Sites Project Authority office located at 122 Old Highway 99 West Maxwell, CA 95955 during regular business hours 8:30 a.m. to 5:00 p.m., Monday through Friday.

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JIM WATSON, GENERAL MANAGER
530.410.8250

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
GRAY ALLEN, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, U.S BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members *(NON-VOTING)*
GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

February 26, 2020 ***1:30 p.m.***
Sites Project Authority
MINUTES

The Sites Project Authority Board of Directors met in Regular Session on February 26, 2020, at the hour of 1:30 p.m. **Directors Present:** Fritz Durst, Chair, Jeff Sutton, Vice-Chair, Gary Evans, Logan Dennis, Doug Parker, Leigh McDaniel, Joe Marsh, and Rob Cooke. **Alternate Directors Present:** Michael Azevedo, Brett Ewart, Natalie Wolder, Anne Sanger. (Other Alternate Directors that may be listed as present below, did not participate in the decision-making, process). **Associate Members Present:** Greg Johnson.

Staff Present: Jim, Watson, General Manager
Scott Kuney, General Counsel
Jamie Traynham, Treasurer & TC-4 District Alternate
Kevin Spesert, Joe Trapasso, Ali Forsythe, Sites Project Authority
Yolanda Tirado, Clerk/Secretary

Others Present: JP Robinette, Marcia Kivett, Brown and Caldwell
Bill Vanderwaal, RD 108/DWD
Gary Darling, Darling H20
Kerry Schmitz, Sacramento County Water Agency
Ken Sinclair, Parsons
Robert Boling, Laura Warner Herson-Phenix, Sites Integration
Thad Bettner, GCID
Treve Campos, Williams
Christy Scofield, Arbuckle
Ed Horton, PCWA
Juleah Cordi, Assemblyman Gallagher's Office

Pledge of Allegiance.

INTRODUCTION:

Chairman Durst asked those present to introduce themselves.

AGENDA APPROVAL:

Chairman Durst called for approval of the February 26, 2020 Sites Project Authority Agenda. He stated Agenda Items under No. 6 Phase 2 (2019) Reservoir Committee would be considered after 5.3, an amendment to a contract with Fechter & Company, Certified Public Accountants.

Action: It was moved by Director Dennis, seconded by Director Sutton to approve the February 26, 2020 Sites Project Authority Agenda, as amended. Motion carried: All those Directors present voted yes.

MINUTES APPROVAL:

Action: It was moved by Director Evans, seconded by Director Parker to approve the January 22, 2020 Sites Project Authority Minutes, as presented. Motion carried: All those Directors present voted yes.

PERIOD OF PUBLIC COMMENT:

Chairman Durst called for a period of public comment. Hearing none, he closed the period of public comment.

SPECIAL PRESENTATION:

Informational Presentation regarding on-going or future activities and projects in the Sacramento River System and the Sacramento-San Joaquin Delta.

Mr. Bettner provided an update regarding the status of the Voluntary Agreements. He included ongoing activities and projects in the Sacramento River system and the Sacramento-San Joaquin Delta that may affect the Sites Project, either positively or negatively.

1:50 p.m. Director McDaniel is now present and seated.

Discussion followed, with no action taken at this time.

1. **CHAIRPERSONS' REPORT:**

This time is set aside to allow the Chair and/or Vice Chair an opportunity to disclose/discuss items related to the Sites Project; including any meetings with external stakeholders to advance the Sites Project.

Chairman Durst provided an update on the following:

- Board representation thanked Board Members leaving and welcomed new Board Members aboard.
- February 11, 2020 presented to the Woodside Atherton Garden Club regarding Sites and the topic of "fix vs fight."

Mr. Darling provided an update on the following:

- Executive Director's Recruitment.
- Facilitator for strategic planning.

Brief discussion followed with no action taken.

1.1 Conduct Authority Board Elections for calendar year 2020.

Chairman Durst stated the matter before the Board was the election of the Chair, Vice-Chair and the appointment of the Secretary/Treasurer.

Chairman Durst opened the nominations for the election of the Chair position. Director Sutton nominated Director Durst as Chairman for calendar year 2020.

Chairman Durst called for further nominations. Hearing none, he closed the nominations for the position of Chairman.

Action: It was moved by Director Evans, seconded by Director Dennis to elect Director Durst as the Chair of the Sites Project Authority for calendar year 2020. Motion carried: All those Directors present voted yes.

Chairman Durst called for nominations for the position of Vice-chairman for calendar year 2020. Director Evans nominated Director Sutton as Vice-chairman for calendar year 2020.

Chairman Durst called for further nominations. Hearing none, he closed the nominations for the position of Vice-chairman.

Action: It was moved by Director Dennis, seconded by Director McDaniel to elect Director Sutton as the Vice-chairman of the Sites Project Authority for calendar year 2020. Motion carried: All those Directors present voted yes.

Chairman Durst called for the appointment of the Secretary position for calendar year 2020. Director Sutton nominated Yolanda Tirado for the Secretary position for calendar year 2020.

Chairman Durst called for further nominations. Hearing none, he closed the nomination for the Secretary position.

Action: It was moved by Director Evans, seconded by Director Sutton to appoint Yolanda Tirado as the Secretary of the Sites Project Authority for calendar year 2020. Motion carried: All those Directors present voted yes.

Chairman Durst called for the appointment of the Treasurer position for calendar year 2020. Director Dennis nominated Associate Member Traynham for the Treasurer position for calendar year 2020.

Chairman Durst called for further nominations. Hearing none, he closed the nominations for the Treasurer position.

Action: It was moved by Director Sutton, seconded by Director Dennis to appoint Jamie Traynham as the Treasurer of the Sites Project Authority for calendar year 2020. Motion carried: All those Director present voted yes.

2. BOARD MEMBER REPORTS: (No action will be taken)

This time is set aside to give the Directors an opportunity to disclose/ discuss items related to the Sites Project; including any meetings with external stakeholders to advance the Sites Project.

Director Evans stated a conference call was held on February 25, 2020 regarding the Value Planning. He expressed concern regarding the consultant's mind set and the need to clarify their (consultants) role within the Sites Project. Chairman Durst suggested he, Director Evans and Mr. Watson meet to discuss this matter further.

3. CONSENT AGENDA:

None.

4. MANAGER'S REPORT:

4.1 Discussion and possible direction to staff regarding the Sites Project's monthly status report.

Mr. Watson provided a brief update on the Governor's Draft Resiliency Portfolio and stated comments closed on February 7, 2020 and the Authority sent a letter in support of same.

Mr. Spesert stated various letters of support from various entities were also submitted.

Mr. Watson also reminded the Board Members of the April 2, 2020 deadline for filing their Form 700s.

Mr. Watson provided an overview of project activities performed in the months of January and February 2020 as follows:

- Continued support of Value Engineering and Affordability Analysis.
- Continued support of Reclamation on completion of their Feasibility Report, including the coordination of biological and cultural monitoring along with the geotechnical investigations.
- Received the third CWC early funding payment in the amount of $2.1 million.
- January 27, 2020, received a request from Proberta Water District regarding an update on the reimbursement and payment process and stated a response is being prepared.

4.2 Discussion and possible direction to staff regarding Proposition 1, WSIP activities and WIIN Act Funding.

Mr. Watson provided an update regarding the following:

Proposition 1-Early Funding:

- Issued a Quarterly Progress Report (available on the Sites website).
- California Water Commission met on February 19, 2020 and discussed/considered the following:
    - New roles of the Water Commission.

- Water Resilience Portfolio.
- Delta Conveyance (new name for water fix).
- Tribal Engagement in Delta Conveyance.
- Tabled the Annual Review of the State Water Project.

USDA:

- Submitted end of year Status Report for work performed in 2019.

Federal Appropriations:

- Continued work with the California Great Basin Region
- Continued work on getting a funding agreement in place

Ms. Wolder provided a brief update on the proposed schedule for the Feasibility Report. Brief discussion followed with no action taken.

5. 2019 FINANCE & BUDGET AD HOC COMMITTEE:

5.1 Accept the Treasurer's Report. **(Attachment 5-1 A)**

Action: It was moved by Director Dennis, seconded by Director Sutton to accept the Treasurer's Report, as presented. Motion carried: All those Directors present voted yes.

5.2 Consider approval of the monthly Payment of Claims. **(Attachment 5-2A & B)**

Action: It was moved by Director Sutton, seconded by Director Evans to approve the monthly Payment of Claims, as presented. Motion carried: All those Directors present voted yes.

5.3 Consider approval to award a contract amendment to Fechter & Company, Certified Public Accountants, Sacramento (Fechter), for Professional Financial Auditing Services for fiscal year 2019 in an amount not to exceed $11,846. **(Attachment 5-3 A)**

Action: It was moved by Director Ewart, seconded by Director Dennis to approve and award a contract amendment to Fechter & Company, Certified Public Accountants, Sacramento (Fechter), for Professional Financial Auditing Services for fiscal year 2019 in an amount not to exceed $11,846. Motion carried: All those Directors present voted yes.

Chairman Durst stated Agenda Items under Agenda Item 6, Phase 2 (2019) Reservoir Committee would be taken out of sequence and heard at this time.

6. Phase 2 (2019) RESERVOIR COMMITTEE:

6.1 Report on the February 21, 2020 Phase 2 (2019) Reservoir Committee meeting. **(Attachment 6-1A)**

Mr. Bettner provided a brief update regarding the Work Plan through the end of 2021, i.e., next cash call, CEQA compliance and Home Board Member packets.

Mr. Watson provided a review of actions approved and matters discussed at the February 21, 2020 Phase 2 (2019) Reservoir Committee Meeting.

6.2 Consider approval to re-start efforts on the Environmental Impact Report (EIR) for the Sites Reservoir Project (Project) and consider the most appropriate approach for completing the EIR pursuant to the California Environmental Quality Act (CEQA).

Ms. Forsythe stated the Ad Hoc Environmental and Permitting Work Group met on January 29, 2020 to discuss how to approach restarting efforts on the EIR for the Sites Reservoir, the most appropriate approach for completing the EIR pursuant to CEQA and options to finalize the EIR/EIS as a joint document with the Bureau of Reclamation and provided an overview of same.

Mr. Bruner provided an overview of CEQA standards for recirculation of the EIR/EIS i.e., whether to do a partial or full recirculation and spoke to some examples from Case Law.

Director McDaniel expressed concern with moving forward with recirculation of the EIR without first knowing what the results of the Value Planning efforts. Lengthy discussion followed regarding the Value Planning footprints, risks, operations and full or partial recirculation of the EIR.

Action: It was moved by Director Sutton, seconded by Director Ewart to approve re-starting efforts on the EIR for the Sites Reservoir Project and assessing the most appropriate approach for completing the EIR pursuant to the CEQA. Motion carried: All those Directors present voted yes.

6.3 Informational update regarding value Planning efforts to develop an updated project description.

Mr. Vanderwaal provided a brief update on the Value Planning efforts to develop a preferred project and stated a Draft document would be presented for Board consideration at the March meeting.

6.4 Discussion and possible direction to staff regarding the development of a work plan and overall schedule of work to be completed through December 31, 2021 and under the current Participation Agreement as Amendment 2.

Mr. Watson provided an overview of the development of the work plan for the period of performance starting September 1, 2020 through December 31, 2021 and spoke to the following:

- Information to be included in the Home Board packet.
- Certainty related to the reservoir's operations and degree of operation integration with the State Water Project and Central Valley Project to produce benefits for both water supply and reliability purposes and water dedicated to environmental purposes.
- Improve certainty related to the project's affordability by advancing the level of engineering and implementing risk management to

improve the accuracy of the cost estimate and to purse low interest financing and potential grants.

- Continue to cultivate and strengthen partnerships with local landowners and key stakeholders that represent environmental, business labor and other interest.
- Meet the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive construction funding.
- Identify continued participation, Go/No-Go decision points with staggered cash calls.
- Strawman Senarios (A, B &C).

Lengthy discussion followed on all the above-mentioned issues. Following discussion, Mr. Watson stated the Reservoir Committee's recommendation to the Authority Board was to advance Scenario A and return to the Board at their April meeting for further consideration.

Chairman Durst stated Agenda Items Nos. 5.4 through 5.5 would be considered at this time.

5.4 Discussion and possible direction to staff regarding the forward-looking work plan associated with extending the time of the current Participation Agreement from January 1 through August 31, 2020 as Amendment 1B Work Plan.

Mr. Watson provided a brief update regarding extending the time of the current Participation Agreement from January 1 through August 31, 2020 as Amendment 1B Work Plan. He also stated the Reservoir Committee Members recommended approval of same. Brief discussion followed.

Chairman Durst called for approval of Agenda Items Nos. 5.4.1 through 5.4.8, various contract amendments. Brief discussion followed.

Action: It was moved by Director Evans, seconded by Director Sutton to approve Agenda Item Nos. 5.4.1 through 5.4.8 as follows:

5.4.1 An amendment to HDR Engineers, Inc.'s (HDR) (Project Integration) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.2 An amendment to Brown and Caldwell's (B&C) (Project Controls) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.3 An amendment to Katz and Associates, Inc.'s (Katz) (Communications) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.4 An amendment to ICF Jones and Stokes, Inc.'s (ICF) (Environmental Planning and Analysis) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.5 An amendment to ICF Jones and Stokes, Inc.'s (ICF) (Permitting and Agreements) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.6 An amendment to CH2M Hill Engineers, Inc.'s (CH2M) (Operations Simulation Modeling) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.7 An amendment to Fugro USA Land, Inc.'s (Fugro) (Geology and Geotechnical) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.8 An amendment to increase the budget to the AECOM contract and authorize the General Manager to sign.

Motion carried: All those Directors present voted yes.

5.5 Discussion and possible direction to staff regarding recent changes to the Service Area G – Real Estate project team and future real estate service area work activities.

Mr. Watson provided a brief update regarding the reorganization of Bender Rosenthal Inc., (BRI) which resulted in a reduction in staff and the layoff of the existing lead Right-of-Way Agent, who served as the BRI's project manager in support of the Sites Reservoir Project's real estate program for the last couple of years. Brief discussion followed.

Action: It was moved by Director Marsh, seconded by Director Dennis to delegate authority to the Coordination Committee on how to proceed with the Service Area G – Real Estate contract. Motion carried: All those Directors present voted yes.

7. 2019 POLICY & GOVERNANCE AD HOC COMMITTEE:

None.

8. 2019 LEGISLATIVE & OUTREACH AD HOC COMMITTEE:

Discussion and possible direction to staff regarding federal and state govern mental affairs/legislative, stakeholder engagement and communications activity.

Director Sutton provided a brief update as follows:

- Meeting with Senator Nielson.
- Scheduling a Legislative Day in late March 2020.
- Senator Garner/Feinstein Bill.

9. 2019 LAND MANAGEMENT AD HOC COMMITTEE:

Discussion and possible direction to staff regarding real estate, land management, site facility activities and early geotechnical explorations.

Mr. Spesert provided a brief update regarding the BRI situation, Mr. Connor's contribution to the Project, specifically his working relationship with the landowners and stated Sites staff is looking at ways for Mr. Connor to continue with the Project.

Chairman Durst adjourned the meeting at 4:30 p.m. to reconvene on March 25, 2020 at the hour of 1:30 p.m.

_____ _____

Fritz Durst, Chairman Yolanda Tirado, Clerk/Secretary

Sites

Topic: **Authority Board Agenda Item 4-1 2020 March 25**

0Subject: **Monthly Status Report**

Requested Action:

No action requested. Discussion and possible direction to staff regarding the Sites Project's monthly status report.

Detailed Description/Background:

General Manager and staff to provide an update on project activities performed in February 2020 for; Program Management & Administration, Engagement & Outreach, Proposition 1, Environmental, Feasibility Study and Engineering & Technical Support.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jim Watson

Attachments:

Attachment A: February 2020 Status Report.

Topic: **Sites Reservoir Project, Phase 2**

Subject: **Monthly Status Report** Report Period: **2020 February**

Monthly Status Summary:

The development of the Sites Project continues to make progress in the critical areas associated with the reprioritized Phase 2 (2019) budget and work plan, including the following activities:

- Continuing to advance the Value Planning and Affordability Analyses.
- Continuing to support Reclamation on completion of their Feasibility Report, including the coordination of biological and cultural monitoring along with land access for the NODOS Feasibility Geotechnical Investigations.
- Continuing efforts to develop a work plan through December 2021.

The following highlights the status of activities conducted during the month:

Engineering:

- Continuing to advance the value planning effort that is evaluating options to improve the projects' affordability based on permitting criteria and the current level of participation commitments. Held value planning workshop to identify a potential alternative project. Received input on summary technical memorandum and draft value planning report for review by the Reservoir Committee and Authority Board in March.

  NOTE: Development of the Value Planning report includes input from the environmental and permitting teams into identification of possible opportunities and challenges with initial concepts.
- Began work on draft detailed schedule for engineering from value planning through feasibility in coordination with the environmental planning, permitting, and the reservoir operations team.
- Developed engineering task order materials including scope, budget, and schedule for service areas HC (Conveyance) and HR (Reservoir).

Coordination with Reclamation:

- Continued coordination and support for feasibility-level geotechnical investigations. Reclamation is funding and undertaking additional geotechnical investigations while environmental compliance and monitoring activities are being funded by the Authority. Field activities continued at Fletcher 1 and 2. Drilling at Fletcher 1 was completed in February and downhole geophysics was completed in early March. Drilling at Fletcher 2 was completed in early March along with downhole geophysics. Both holes were backfilled, and the sites cleaned up. One drill shift remains to demobilize all equipment and ship all core materials.
- Continued to conduct landowner coordination activities in support of the geotechnical field investigations.

Draft_0027564

Environmental Planning and Permitting:

- Continued implementing the environmental commitments including biological, cultural and tribal field monitoring activities for NODOS geotechnical investigations.
- Began work on draft detailed schedule for operations, planning and permitting components.

Operations:

- Continued refinement of analysis tools for daily operations, bypass criteria, floodplain inundation, Shasta within year exchanges and other operational effects.

Stakeholder Engagement, Public Outreach & Real Estate:

Continued ongoing coordination efforts with landowners, local community members, state and federal elected officials, government agencies and coalitions of regional and statewide organizations including the following activities:

- Responded to landowner requests for project information, facilitated coordination activities with local government agencies and organizations and planned for future landowner, stakeholders and general public outreach activities and events.
- Facilitated a project site tour for Reclamation technical staff.
- Conducted a project update briefing with state Senator Jim Nielsen.

Program Management & Administration:

- Executed key consultants task orders in support of the Amendment 1B Work Plan (i.e. for work being performed between January 1 through August 31, 2020.
- Developing Amendment 2 work plan materials to support work to be performed between September 1, 2020 and December 31, 2021. Received input from the Reservoir Committee and Authority Board related to the goals, schedule, and potential cash call scenarios.
- Issued revised payment of claims to utilize Prop 1 (WSIP) funds received to advance the project. Issued payments to consultants from State checking account.

Draft_0027565

Sites

Topic: **Authority Board** **Agenda Item 4-2** **2020 March 25**

Subject: **Status of Funding Activities - State's WSIP and Federal (WIIN Act and USDA)**

Requested Action:

No action requested. Discussion and possible direction to staff regarding activities related to compliance with Water Commission's administration of the Water Storage Investment program (WSIP) and access to federal funding.

Detailed Description/Background:

The following topics will be discussed:

1. WSIP: Early Funding Agreement (EFA): Status of invoices.
2. USDA Conditional Funding:
3. Federal Appropriations: Status
4. Federal Feasibility Report:

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jim Watson/Joe Trapasso

Attachments:

None.

Sites

Topic: **Authority Board Agenda Item 5-1 2020 March 25**

Subject: **Treasurer's Report**

Requested Action:

Review and consider accepting the Sites Project Authority Treasurer's Report as presented in Attachment 5-1A.

Detailed Description/Background:

Attachment 5-1A incorporates financial information through February 29, 2020.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: February 2020 Treasurer's Report.

Draft_0027569

SITES JOINT POWERS AUTHORITY
TREASURER'S REPORT
February 29, 2020

Savings Account-River City Bank				
Beginning Balance	02/01/2020		$ 2,212,074.25	
Transfer from checking to max balance			$ 799,661.59	
Interest Earned			$ 3,876.62	
River City Bank ICS Savings Account Balance	**02/29/2020**			$ 3,015,612.46
General Operating Checking Account-River City Bank				
Beginning Balance	02/01/2020		$ 1,835,423.19	
RECEIVED:				
Membership Revenue		$ 394,862.77		
Interest Earned		$ 1,792.05		
TOTAL RECEIVED			$ 396,654.82	
DISBURSED:				
Bank Fees		$ (164.36)		
Accounting Fees		$ (4,614.00)		
Administrative Support		$ (3,880.80)		
Computer Service		$ (898.39)		
General Manager		$ (39,841.00)		
Office Expenses		$ (647.26)		
Professional Fees-Legislative/Regulatory		$ (8,000.00)		
Professional Fees-Communication		$ (16,977.06)		
Professional Fees-Organizatioal Assessment		$ (8,993.05)		
Professional Fees-Fed Gov't Affairs Support		$ (15,000.00)		
Professional Fees-Legal Services		$ (8,142.99)		
TOTAL DISBURSED			$ (107,158.91)	
Transfer to Savings to max balance			$ (799,661.59)	
RCB General Checking Account Balance	**02/29/2020**			$ 1,325,257.51
State (WSIP) Fund Checking Account-River City Bank				
Beginning Balance	02/01/2020		$ 6,123,037.29	
DISBURSED:				
Bus/Comm Manager		$ (22,977.99)		
Program Op Manager		$ (28,953.74)		
Professional Fees-Engineering		$ (24,381.18)		
Professional Fees-Real Estate		$ (2,774.66)		
Professional Fees-Project Controls		$ (74,932.13)		
Professional Fees-Operations/SIM Modeling		$ (58,739.76)		
Professional Fees-EPP Manager		$ (31,049.44)		
Professional Fees-Geotech Eng		$ (4,478.96)		
Professional Fees-Project Integration		$ (137,996.08)		
Professional Fees-Cost Development		$ (710.60)		
Professional Fees-Municipal Advisor		$ (10,525.00)		
Professional Fees-Env/Biological Serv & Permit		$ (21,763.95)		
TOTAL DISBURSED			$ (419,283.49)	
RCB State Fund Checking Account Balance	**02/29/2020**			$ 5,703,753.80
TOTAL CASH ON HAND	**February 29, 2020**			$ 10,044,623.77

Sites Project Joint Powers Authority
Transactions by Account
As of February 29, 2020

Type	Date	Num	Name	Memo	Debit	Credit	Balance
River City ICS Savings							2,212,074.25
General Journal	02/07/2020	2-1C		adjust ICS balance to max	857,086.17		3,069,160.42
General Journal	02/28/2020	2-2C		adjust ICS balance to max		57,424.58	3,011,735.84
General Journal	02/29/2020	2-3C		rec int fme	3,876.62		3,015,612.46
Total River City ICS Savings					860,962.79	57,424.58	3,015,612.46
River City Operating Account							1,835,423.19
Deposit	02/07/2020			Tehama Colusa Canal Auth	55,000.00		1,890,423.19
Deposit	02/07/2020			Western Canal WD	5,000.00		1,895,423.19
General Journal	02/07/2020	2-1C		adjust ICS balance to max		857,086.17	1,038,337.02
Deposit	02/10/2020			County of Colusa	55,000.00		1,093,337.02
Bill Pmt -Check	02/12/2020	2100	Adept Solutions Inc			898.39	1,092,438.63
Bill Pmt -Check	02/12/2020	2101	Darling H2O Consulting, Inc.			8,993.05	1,083,445.58
Bill Pmt -Check	02/12/2020	2102	Dunn Consulting			8,000.00	1,075,445.58
Bill Pmt -Check	02/12/2020	2103	J.C. Watson, Inc			39,841.00	1,035,604.58
Bill Pmt -Check	02/12/2020	2104	Katz and Associates, Inc			16,977.06	1,018,627.52
Bill Pmt -Check	02/12/2020	2105	KCoe Isom, LLP			4,614.00	1,014,013.52
Bill Pmt -Check	02/12/2020	2106	M.R. Cleaning Services			200.00	1,013,813.52
Bill Pmt -Check	02/12/2020	2107	Maximun Pest Control			65.00	1,013,748.52
Bill Pmt -Check	02/12/2020	2108	Mt Shasta Spring Water			49.60	1,013,698.92
Bill Pmt -Check	02/12/2020	2109	Recology Butte Colusa Counties			35.95	1,013,662.97
Bill Pmt -Check	02/12/2020	2110	Rush Personnel Services, Inc	00000L4H		3,880.80	1,009,782.17
Bill Pmt -Check	02/12/2020	2111	The Ferguson Group			15,000.00	994,782.17
Bill Pmt -Check	02/12/2020	2112	US Bank			296.71	994,485.46
Bill Pmt -Check	02/12/2020	2113	Young Wooldridge LLP	20091 EAC		8,142.99	986,342.47
Deposit	02/21/2020			City of Sac	27,500.00		1,013,842.47
Deposit	02/21/2020			GCID	55,000.00		1,068,842.47
Deposit	02/21/2020			Davis WD	4,862.77		1,073,705.24
Deposit	02/21/2020			Sac Co WD	27,500.00		1,101,205.24
Deposit	02/28/2020			Rec District 108	55,000.00		1,156,205.24
Deposit	02/28/2020			Colusa Co WD	55,000.00		1,211,205.24
Deposit	02/28/2020			Placer Co WA	27,500.00		1,238,705.24
Deposit	02/28/2020			City of Roseville	27,500.00		1,266,205.24
General Journal	02/28/2020	2-2C		adjust ICS balance to max	57,424.58		1,323,629.82
General Journal	02/29/2020	2-4C		Bank service fee		164.36	1,323,465.46
General Journal	02/29/2020	2-5C		rec int fme	1,792.05		1,325,257.51
Total River City Operating Account					454,079.40	964,245.08	1,325,257.51
River City State Fund Checking							6,123,037.29
Bill Pmt -Check	02/12/2020	1001	AECOM Technical Services, Inc.			24,381.18	6,098,656.11
Bill Pmt -Check	02/12/2020	1002	Bender Rosenthal Inc			2,774.66	6,095,881.45
Bill Pmt -Check	02/12/2020	1003	Brown and Caldwell			74,932.13	6,020,949.32
Bill Pmt -Check	02/12/2020	1004	CH2M Hill Engineers, Inc			58,739.76	5,962,209.56
Bill Pmt -Check	02/12/2020	1005	Forsythe Group LLC			31,049.44	5,931,160.12
Bill Pmt -Check	02/12/2020	1006	Fugro USA Land, Inc			4,478.96	5,926,681.16
Bill Pmt -Check	02/12/2020	1007	HDR Engineering Inc			137,996.08	5,788,685.08
Bill Pmt -Check	02/12/2020	1008	ICF Jones & Stokes Inc			21,763.95	5,766,921.13
Bill Pmt -Check	02/12/2020	1009	Larsen Wurzel & Associates, Inc			710.60	5,766,210.53
Bill Pmt -Check	02/12/2020	1010	Montague DeRose and Associat...			10,525.00	5,755,685.53
Bill Pmt -Check	02/12/2020	1011	Spesert Consulting			22,977.99	5,732,707.54
Bill Pmt -Check	02/12/2020	1012	Trapasso Consulting Services			28,953.74	5,703,753.80
Total River City State Fund Checking					0.00	419,283.49	5,703,753.80
US Bank Checking							0.00
Total US Bank Checking							0.00
TOTAL					**1,315,042.19**	**1,440,953.15**	**10,044,623.77**

Draft_0027571

Sites Project Joint Powers Authority
Balance Sheet
As of February 29, 2020

	Feb 29, 20	
ASSETS		
Current Assets		
Checking/Savings		
River City ICS Savings		3,015,612.46
River City Operating Account		1,325,257.51
River City State Fund Checking		5,703,753.80
Total Checking/Savings		10,044,623.77
Accounts Receivable		
Accounts Rec Members		
Membership - Authority	123,000.00	
Membership - Reservoir	11,329.72	
Total Accounts Rec Members		134,329.72
Total Accounts Receivable		134,329.72
Total Current Assets		10,178,953.49
TOTAL ASSETS		**10,178,953.49**
LIABILITIES & EQUITY		
Liabilities		
Current Liabilities		
Accounts Payable		
Accounts Payable		641,112.52
Total Accounts Payable		641,112.52
Total Current Liabilities		641,112.52
Long Term Liabilities		
Participant Reimbursement Polic		6,064,652.67
Total Long Term Liabilities		6,064,652.67
Total Liabilities		6,705,765.19
Equity		
Net Assets		1,929,216.58
Retained Earnings		2,194,599.73
Net Income		-650,628.01
Total Equity		3,473,188.30
TOTAL LIABILITIES & EQUITY		**10,178,953.49**

Sites Project Joint Powers Authority
A/R Aging Summary
As of February 29, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
Antelope Valley - East Kern Water Agency	0.00	0.00	0.00	0.00	16,317.92	16,317.92
City of American Canyon	0.00	0.00	0.00	0.00	-4,988.20	-4,988.20
County of Glenn	0.00	55,000.00	0.00	0.00	0.00	55,000.00
Westside Water District	0.00	55,000.00	0.00	0.00	0.00	55,000.00
Yolo County Flood Control	0.00	0.00	0.00	0.00	13,000.00	13,000.00
TOTAL	**0.00**	**110,000.00**	**0.00**	**0.00**	**24,329.72**	**134,329.72**

Sites Project Joint Powers Authority
A/P Aging Summary
As of February 29, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
Adept Solutions Inc	932.08	0.00	0.00	0.00	0.00	932.08
AECOM Technical Services, Inc.	38,838.46	0.00	0.00	0.00	0.00	38,838.46
Brown and Caldwell	0.00	106,709.01	0.00	0.00	0.00	106,709.01
CH2M Hill Engineers, Inc	60,522.55	0.00	0.00	0.00	0.00	60,522.55
Colusa Indian Community Council	1,017.82	2,867.73	0.00	0.00	0.00	3,885.55
Darling H2O Consulting, Inc.	7,673.14	0.00	0.00	0.00	0.00	7,673.14
Dunn Consulting	8,000.00	0.00	0.00	0.00	0.00	8,000.00
Forsythe Group LLC	30,660.62	0.00	0.00	0.00	0.00	30,660.62
Fugro USA Land, Inc	8,744.05	0.00	0.00	0.00	0.00	8,744.05
HDR Engineering Inc	172,196.08	0.00	0.00	0.00	0.00	172,196.08
ICF Jones & Stokes Inc	0.00	41,235.90	0.00	0.00	0.00	41,235.90
J.C. Watson, Inc	39,865.70	0.00	0.00	0.00	0.00	39,865.70
Katz and Associates, Inc	0.00	19,652.49	0.00	0.00	0.00	19,652.49
M.R. Cleaning Services	200.00	0.00	0.00	0.00	0.00	200.00
Maximun Pest Control	65.00	0.00	0.00	0.00	0.00	65.00
MBK Engineers Inc	0.00	1,101.00	0.00	0.00	0.00	1,101.00
Montague DeRose and Associates, LLC	0.00	1,925.00	0.00	0.00	0.00	1,925.00
Mt Shasta Spring Water	47.65	0.00	0.00	0.00	0.00	47.65
Perkins Coie LLP	18,450.90	0.00	0.00	0.00	0.00	18,450.90
Recology Butte Colusa Counties	35.95	0.00	0.00	0.00	0.00	35.95
Rush Personnel Services, Inc	4,983.30	0.00	0.00	0.00	0.00	4,983.30
Spesert Consulting	21,589.46	0.00	0.00	0.00	0.00	21,589.46
The Ferguson Group	0.00	15,000.00	0.00	0.00	0.00	15,000.00
Trapasso Consulting Services	29,107.10	0.00	0.00	0.00	0.00	29,107.10
US Bank	1,548.26	0.00	0.00	0.00	0.00	1,548.26
Young Wooldridge LLP	8,143.27	0.00	0.00	0.00	0.00	8,143.27
TOTAL	**452,621.39**	**188,491.13**	**0.00**	**0.00**	**0.00**	**641,112.52**

Sites Project Joint Powers Authority
Profit & Loss
February 2020

	Feb 20	Jan - Feb 20
Ordinary Income/Expense		
Income		
Membership Admin/Authority	0.00	505,000.00
Total Income	0.00	505,000.00
Gross Profit	0.00	505,000.00
Expense		
Accounting Expense	0.00	4,614.00
Admin Support Gen Manager	4,983.30	8,864.10
Bank Service Fees	164.36	353.81
General Manager		
Services	39,865.70	79,706.70
Total General Manager	39,865.70	79,706.70
Insurance - Property	0.00	250.00
Insurance Liability	0.00	1,604.25
Office Expenses		
Cleaning	200.00	400.00
Misc Office & Operating Exp	1,548.26	1,844.97
Pest Control & Maintenance	65.00	130.00
Trash Service	35.95	71.90
Water Expense	47.65	97.25
Total Office Expenses	1,896.86	2,544.12
Professional Fees		
Bus/Comm Manager	21,589.46	44,567.45
Communication	19,652.49	36,629.55
Cost Development Model	0.00	710.60
Cultural Study	1,017.82	3,885.55
Engineering & Technical Service	38,838.46	63,219.64
EPP Manager	30,660.62	61,710.06
Federal Government Affairs Supp	15,000.00	30,000.00
General Legal Counsel	8,143.27	16,286.26
Geotechnical Engineering	8,744.05	13,223.01
Legislative/Reg/Strategic	8,000.00	16,000.00
Municipal Advisor	1,925.00	12,450.00
Operations/Simulation Modeling	60,522.55	119,262.31
Organizational Assessment	7,673.14	16,666.19
Permitting & Agreements	41,235.90	62,999.85
Program Ops Manager	29,107.10	58,060.84
Project Controls	106,709.01	181,641.14
Project Integration	172,196.08	310,192.16
Real Estate	0.00	761.67
Reservoir Operations	1,101.00	1,101.00
Special Legal	18,450.90	18,450.90
Total Professional Fees	590,566.85	1,067,818.18
Website, Data, Computer Support	932.08	2,502.67
Total Expense	638,409.15	1,168,257.83
Net Ordinary Income	-638,409.15	-663,257.83
Other Income/Expense		
Other Income		
Interest Income		
Interest Income-River City	5,668.67	12,629.82
Total Interest Income	5,668.67	12,629.82
Total Other Income	5,668.67	12,629.82
Net Other Income	5,668.67	12,629.82

Sites Project Joint Powers Authority
Profit & Loss
February 2020

	Feb 20	Jan - Feb 20
Net Income	-632,740.48	-650,628.01

FOR MANAGEMENT PURPOSES ONLY

Sites Project Joint Powers Authority
Transaction Detail By Account
February 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Admin Support Gen Manager								
Bill	02/11/2020	135105	Rush Personnel Services, ...	2/20	Authority	211.68		-211.68
Bill	02/11/2020	135105	Rush Personnel Services, ...	2/20	Reservoir	846.72		-1,058.40
Bill	02/11/2020	135106	Rush Personnel Services, ...	2/20	Authority	255.78		-1,314.18
Bill	02/11/2020	135106	Rush Personnel Services, ...	2/20	Reservoir	1,023.12		-2,337.30
Bill	02/25/2020	135423	Rush Personnel Services, ...	2/20	Authority	246.96		-2,584.26
Bill	02/25/2020	135423	Rush Personnel Services, ...	2/20	Reservoir	987.84		-3,572.10
Bill	02/25/2020	135424	Rush Personnel Services, ...	2/20	Authority	282.24		-3,854.34
Bill	02/25/2020	135424	Rush Personnel Services, ...	2/20	Reservoir	1,128.96		-4,983.30
Total Admin Support Gen Manager						4,983.30	0.00	-4,983.30
Bank Service Fees								
General J...	02/29/2020	2-4C		Bank service fee	Authority	31.23		-31.23
General J...	02/29/2020	2-4C		Bank service fee	Reservoir	133.13		-164.36
Total Bank Service Fees						164.36	0.00	-164.36
General Manager								
Services								
Bill	02/29/2020	SPA-055	J.C. Watson, Inc	2/20	Authority	5,874.23		-5,874.23
Bill	02/29/2020	SPA-055	J.C. Watson, Inc	2/20	Reservoir	33,991.47		-39,865.70
Total Services						39,865.70	0.00	-39,865.70
Total General Manager						39,865.70	0.00	-39,865.70
Office Expenses								
Cleaning								
Bill	02/29/2020	20	M.R. Cleaning Services	2/20	Authority	200.00		-200.00
Total Cleaning						200.00	0.00	-200.00
Misc Office & Operating Exp								
Bill	02/29/2020	Online 3/2	US Bank	2/20	Authority	249.62		-249.62
Bill	02/29/2020	Online 3/2	US Bank	2/20	Reservoir	1,298.64		-1,548.26
Total Misc Office & Operating Exp						1,548.26	0.00	-1,548.26
Pest Control & Maintenance								
Bill	02/14/2020	50451	Maximun Pest Control	2/20	Authority	65.00		-65.00
Total Pest Control & Maintenance						65.00	0.00	-65.00
Trash Service								
Bill	02/29/2020	37055688	Recology Butte Colusa Co...	2/20	Authority	35.95		-35.95
Total Trash Service						35.95	0.00	-35.95
Water Expense								
Bill	02/20/2020	471753	Mt Shasta Spring Water	2/20	Authority	47.65		-47.65
Total Water Expense						47.65	0.00	-47.65
Total Office Expenses						1,896.86	0.00	-1,896.86
Professional Fees								
Bus/Comm Manager								
Bill	02/29/2020	3-20	Spesert Consulting	2/20	Reservoir	21,589.46		-21,589.46
Total Bus/Comm Manager						21,589.46	0.00	-21,589.46
Communication								
Bill	02/13/2020	413590	Katz and Associates, Inc	1/20 (C)	Authority	11,791.50		-11,791.50
Bill	02/13/2020	413590	Katz and Associates, Inc	1/20 (C)	Reservoir	7,860.99		-19,652.49
Total Communication						19,652.49	0.00	-19,652.49
Cultural Study								
Bill	02/29/2020	02-29-20-01	Colusa Indian Community ...	2/20	Reservoir	1,017.82		-1,017.82
Total Cultural Study						1,017.82	0.00	-1,017.82
Engineering & Technical Service								
Bill	02/28/2020	2000330234	AECOM Technical Service...	2/20	Reservoir	38,838.46		-38,838.46
Total Engineering & Technical Service						38,838.46	0.00	-38,838.46
EPP Manager								
Bill	02/27/2020	SPA-202002	Forsythe Group LLC	2/20	Reservoir	30,660.62		-30,660.62
Total EPP Manager						30,660.62	0.00	-30,660.62
Federal Government Affairs Supp								
Bill	02/01/2020	0220162	The Ferguson Group	2/20	Authority	7,500.00		-7,500.00
Bill	02/01/2020	0220162	The Ferguson Group	2/20	Reservoir	7,500.00		-15,000.00
Total Federal Government Affairs Supp						15,000.00	0.00	-15,000.00
General Legal Counsel								
Bill	02/29/2020	63615	Young Wooldridge LLP	2/20	Authority	5,606.27		-5,606.27
Bill	02/29/2020	63615	Young Wooldridge LLP	2/20	Reservoir	2,537.00		-8,143.27
Total General Legal Counsel						8,143.27	0.00	-8,143.27
Geotechnical Engineering								

Sites Project Joint Powers Authority
Transaction Detail By Account
February 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Bill	02/29/2020	04.7219003...	Fugro USA Land, Inc	2/20 (I)	Reservoir	8,744.05		-8,744.05
Total Geotechnical Engineering						8,744.05	0.00	-8,744.05
Legislative/Reg/Strategic								
Bill	02/29/2020	Letter	Dunn Consulting	2/20	Authority	4,000.00		-4,000.00
Bill	02/29/2020	Letter	Dunn Consulting	2/20	Reservoir	4,000.00		-8,000.00
Total Legislative/Reg/Strategic						8,000.00	0.00	-8,000.00
Municipal Advisor								
Bill	02/16/2020	4802SITES	Montague DeRose and As...	1/20	Reservoir	1,925.00		-1,925.00
Total Municipal Advisor						1,925.00	0.00	-1,925.00
Operations/Simulation Modeling								
Bill	02/19/2020	D3205400-010	CH2M Hill Engineers, Inc	1/20(D)	Reservoir	60,522.55		-60,522.55
Total Operations/Simulation Modeling						60,522.55	0.00	-60,522.55
Organizational Assessment								
Bill	02/29/2020	117	Darling H2O Consulting, Inc.	2/20	Authority	7,673.14		-7,673.14
Total Organizational Assessment						7,673.14	0.00	-7,673.14
Permitting & Agreements								
Bill	02/14/2020	0144836	ICF Jones & Stokes Inc	1/20 (Permitting) (F)	Reservoir	41,235.90		-41,235.90
Total Permitting & Agreements						41,235.90	0.00	-41,235.90
Program Ops Manager								
Bill	02/29/2020	SPA-17-29	Trapasso Consulting Servi...	2/20	Reservoir	29,107.10		-29,107.10
Total Program Ops Manager						29,107.10	0.00	-29,107.10
Project Controls								
Bill	02/12/2020	17363756	Brown and Caldwell	1/20 (B)	Reservoir	106,709.01		-106,709.01
Total Project Controls						106,709.01	0.00	-106,709.01
Project Integration								
Bill	02/22/2020	1200250916	HDR Engineering Inc	2/20 (A)	Reservoir	172,196.08		-172,196.08
Total Project Integration						172,196.08	0.00	-172,196.08
Reservoir Operations								
Bill	02/13/2020	20-01-4941.0	MBK Engineers Inc	1/20	Reservoir	1,101.00		-1,101.00
Total Reservoir Operations						1,101.00	0.00	-1,101.00
Special Legal								
Bill	02/25/2020	6146039	Perkins Coie LLP	1/20	Reservoir	18,450.90		-18,450.90
Total Special Legal						18,450.90	0.00	-18,450.90
Total Professional Fees						590,566.85	0.00	-590,566.85
Website, Data, Computer Support								
Bill	02/29/2020	MSP-138726	Adept Solutions Inc	3/20	Authority	134.44		-134.44
Bill	02/29/2020	MSP-138726	Adept Solutions Inc	3/20	Reservoir	537.76		-672.20
Bill	02/29/2020	138721	Adept Solutions Inc	1/20	Authority	51.98		-724.18
Bill	02/29/2020	138721	Adept Solutions Inc	1/20	Reservoir	207.90		-932.08
Total Website, Data, Computer Support						932.08	0.00	-932.08
Interest Income								
Interest Income-River City								
General J...	02/29/2020	2-3C		rec int fme	Reservoir		3,876.62	3,876.62
General J...	02/29/2020	2-5C		rec int fme	Authority		340.49	4,217.11
General J...	02/29/2020	2-5C		rec int fme	Reservoir		1,451.56	5,668.67
Total Interest Income-River City						0.00	5,668.67	5,668.67
Total Interest Income						0.00	5,668.67	5,668.67
TOTAL						**638,409.15**	**5,668.67**	**-632,740.48**

Sites Project Joint Powers Authority
Balance Sheet by Class
As of February 29, 2020

	Authority	Reservoir	TOTAL
ASSETS			
Current Assets			
Checking/Savings			
River City ICS Savings	0.00	3,015,612.46	3,015,612.46
River City Operating Account	815,579.50	509,678.01	1,325,257.51
River City State Fund Checking	0.00	5,703,753.80	5,703,753.80
Total Checking/Savings	815,579.50	9,229,044.27	10,044,623.77
Accounts Receivable			
Accounts Rec Members			
Membership - Authority	123,000.00	0.00	123,000.00
Membership - Reservoir	0.00	11,329.72	11,329.72
Total Accounts Rec Members	123,000.00	11,329.72	134,329.72
Total Accounts Receivable	123,000.00	11,329.72	134,329.72
Total Current Assets	938,579.50	9,240,373.99	10,178,953.49
TOTAL ASSETS	**938,579.50**	**9,240,373.99**	**10,178,953.49**
LIABILITIES & EQUITY			
Liabilities			
Current Liabilities			
Accounts Payable			
Accounts Payable	44,226.44	596,886.08	641,112.52
Total Accounts Payable	44,226.44	596,886.08	641,112.52
Total Current Liabilities	44,226.44	596,886.08	641,112.52
Long Term Liabilities			
Participant Reimbursement Polic	0.00	6,064,652.67	6,064,652.67
Total Long Term Liabilities	0.00	6,064,652.67	6,064,652.67
Total Liabilities	44,226.44	6,661,538.75	6,705,765.19
Equity			
Net Assets	114,674.93	1,814,541.65	1,929,216.58
Retained Earnings	356,196.54	1,838,403.19	2,194,599.73
Net Income	423,481.59	-1,074,109.60	-650,628.01
Total Equity	894,353.06	2,578,835.24	3,473,188.30
TOTAL LIABILITIES & EQUITY	**938,579.50**	**9,240,373.99**	**10,178,953.49**

Draft_0027579

Sites Project Joint Powers Authority
Profit & Loss by Class
February 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Expense			
Admin Support Gen Manager	996.66	3,986.64	4,983.30
Bank Service Fees	31.23	133.13	164.36
General Manager			
Services	5,874.23	33,991.47	39,865.70
Total General Manager	5,874.23	33,991.47	39,865.70
Office Expenses			
Cleaning	200.00	0.00	200.00
Misc Office & Operating Exp	249.62	1,298.64	1,548.26
Pest Control & Maintenance	65.00	0.00	65.00
Trash Service	35.95	0.00	35.95
Water Expense	47.65	0.00	47.65
Total Office Expenses	598.22	1,298.64	1,896.86
Professional Fees			
Bus/Comm Manager	0.00	21,589.46	21,589.46
Communication	11,791.50	7,860.99	19,652.49
Cultural Study	0.00	1,017.82	1,017.82
Engineering & Technical Service	0.00	38,838.46	38,838.46
EPP Manager	0.00	30,660.62	30,660.62
Federal Government Affairs Supp	7,500.00	7,500.00	15,000.00
General Legal Counsel	5,606.27	2,537.00	8,143.27
Geotechnical Engineering	0.00	8,744.05	8,744.05
Legislative/Reg/Strategic	4,000.00	4,000.00	8,000.00
Municipal Advisor	0.00	1,925.00	1,925.00
Operations/Simulation Modeling	0.00	60,522.55	60,522.55
Organizational Assessment	7,673.14	0.00	7,673.14
Permitting & Agreements	0.00	41,235.90	41,235.90
Program Ops Manager	0.00	29,107.10	29,107.10
Project Controls	0.00	106,709.01	106,709.01
Project Integration	0.00	172,196.08	172,196.08
Reservoir Operations	0.00	1,101.00	1,101.00
Special Legal	0.00	18,450.90	18,450.90
Total Professional Fees	36,570.91	553,995.94	590,566.85
Website, Data, Computer Support	186.42	745.66	932.08
Total Expense	44,257.67	594,151.48	638,409.15
Net Ordinary Income	-44,257.67	-594,151.48	-638,409.15
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	340.49	5,328.18	5,668.67
Total Interest Income	340.49	5,328.18	5,668.67
Total Other Income	340.49	5,328.18	5,668.67
Net Other Income	340.49	5,328.18	5,668.67
Net Income	**-43,917.18**	**-588,823.30**	**-632,740.48**

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through February 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Income			
Membership Admin/Authority	505,000.00	0.00	505,000.00
Total Income	505,000.00	0.00	505,000.00
Gross Profit	505,000.00	0.00	505,000.00
Expense			
Accounting Expense	922.80	3,691.20	4,614.00
Admin Support Gen Manager	1,772.82	7,091.28	8,864.10
Bank Service Fees	71.09	282.72	353.81
General Manager			
Services	11,740.41	67,966.29	79,706.70
Total General Manager	11,740.41	67,966.29	79,706.70
Insurance - Property	200.00	50.00	250.00
Insurance Liability	0.00	1,604.25	1,604.25
Office Expenses			
Cleaning	400.00	0.00	400.00
Misc Office & Operating Exp	427.93	1,417.04	1,844.97
Pest Control & Maintenance	130.00	0.00	130.00
Trash Service	71.90	0.00	71.90
Water Expense	97.25	0.00	97.25
Total Office Expenses	1,127.08	1,417.04	2,544.12
Professional Fees			
Bus/Comm Manager	0.00	44,567.45	44,567.45
Communication	16,035.76	20,593.79	36,629.55
Cost Development Model	0.00	710.60	710.60
Cultural Study	0.00	3,885.55	3,885.55
Engineering & Technical Service	0.00	63,219.64	63,219.64
EPP Manager	0.00	61,710.06	61,710.06
Federal Government Affairs Supp	15,000.00	15,000.00	30,000.00
General Legal Counsel	10,717.76	5,568.50	16,286.26
Geotechnical Engineering	0.00	13,223.01	13,223.01
Legislative/Reg/Strategic	8,000.00	8,000.00	16,000.00
Municipal Advisor	0.00	12,450.00	12,450.00
Operations/Simulation Modeling	0.00	119,262.31	119,262.31
Organizational Assessment	16,666.19	0.00	16,666.19
Permitting & Agreements	0.00	62,999.85	62,999.85
Program Ops Manager	0.00	58,060.84	58,060.84
Project Controls	0.00	181,641.14	181,641.14
Project Integration	0.00	310,192.16	310,192.16
Real Estate	0.00	761.67	761.67
Reservoir Operations	0.00	1,101.00	1,101.00
Special Legal	0.00	18,450.90	18,450.90
Total Professional Fees	66,419.71	1,001,398.47	1,067,818.18
Website, Data, Computer Support	500.54	2,002.13	2,502.67
Total Expense	82,754.45	1,085,503.38	1,168,257.83
Net Ordinary Income	422,245.55	-1,085,503.38	-663,257.83
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	1,236.04	11,393.78	12,629.82
Total Interest Income	1,236.04	11,393.78	12,629.82
Total Other Income	1,236.04	11,393.78	12,629.82
Net Other Income	1,236.04	11,393.78	12,629.82

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through February 2020

	Authority	Reservoir	TOTAL
Net Income	423,481.59	-1,074,109.60	-650,628.01

Sites

Topic: **Authority Board Agenda Item 5-2 2020 March 25**

Subject: **Payment of Claims**

Requested Action:

Consider approval of the Payment of Claims as presented in Attachment 5-2A with supporting details provided in Attachment 5-2B.

Detailed Description/Background:

Attachment A presents the warrants to be drawn against the invoices received by the Sites Project Authority through March 2, 2020.

Attachment B summarizes details of the invoices received through March 2, 2020 and how the incurred costs are allocated between the Authority and Reservoir Committee.

Prior Action:

None.

Fiscal Impact/Funding Source:

Total Payment of Claims is $641,112.52 with $44,226.44 of costs being assigned to the Authority and $596,886.08 assigned to the Reservoir Committee.

For the Reservoir Committee assigned amount, $534,965.68 will be paid through the WSIP account and $106,146.84 through the JPA/Sites account as shown in Attachment B.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: March 2020 Report on warrants to be drawn for Payment of Claims.

Attachment B: March 2020 Monthly Invoice Summary table.

WARRANTS DRAWN AGAINST
Sites Project Authority-WSIP Fund
March 25, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
1013	03/12/2020	AECOM Inc.	Engineering	38,838.46
1014	03/12/2020	Brown and Caldwell	Project Controls	106,709.01
1015	03/12/2020	CH2M	Operations/SIM Modeling	60,522.55
1016	03/12/2020	Colusa Indian Community Council	Tribal Council	3,885.55
1017	03/12/2020	Forsythe Group LLC	EPP Manager	30,660.62
1018	03/12/2020	Fugro USA Land, Inc.	Geotechnical Engineering Services	8,744.05
1019	03/12/2020	HDR Engineering Inc.	Project Integration	172,196.08
1020	03/12/2020	ICF Jones & Stokes Inc.	Env/Biological Services & Permitting	41,235.90
1021	03/12/2020	MBK Engineers Inc.	Reservoir Operations	1,101.00
1022	03/12/2020	Montegue DeRose and Associates, Inc.	Municipal Advisor	1,925.00
1023	03/12/2020	Perkins Coie LLP	Special Legal	18,450.90
1024	03/12/2020	Spesert Consulting	Bus/Comm Manager	21,589.46
1025	03/12/2020	Trapasso Consulting Services	Program Operations Manager	29,107.10

THE FOREGOING CLAIM, NUMBERED 1013-1025 ARE APPLIED TO THE WSIP FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **534,965.68**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

WARRANTS DRAWN AGAINST
Sites Project Authority- General Fund
March 25, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
2114	03/12/2020	Adept Solutions	IT & Related Services	932.08
2115	03/12/2020	Darling H2O Consulting, Inc.	Organizational Assessment	7,673.14
2116	03/12/2020	Dunn Consulting	Legislative/Regulatory/Strategic Support	8,000.00
2117	03/12/2020	JC Watson Inc.	GM Services	39,865.70
2118	03/12/2020	Katz and Associates Inc.	Communications	19,652.49
2119	03/12/2020	MR Cleaning Services	Office Cleaning	200.00
2120	03/12/2020	Maximum Pest Control	Pest Control	65.00
2121	03/12/2020	Mt Shasta Spring Water	Office Water	47.65
2122	03/12/2020	Recology Butte Colusa Counties	Office Trash Pickup	35.95
2123	03/12/2020	Rush Personnel Services Inc.	Administrative Services	4,983.30
2124	03/12/2020	The Ferguson Group	Fed Govt Affairs Support	15,000.00
2125	03/12/2020	U.S. Bank	Misc. Expenses	1,548.26
2126	03/12/2020	Young Wooldridge LLP	Legal Counsel	8,143.27

THE FOREGOING CLAIM, NUMBERED 2114-2126 ARE APPLIED TO THE GENERAL FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **106,146.84**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

Sites

March 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for March 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their March monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Adept Solutions	MSP-138726			$672.20	$134.44	$537.76	KMS
IT Related Services/Computer Equipment		*3/1/20*	03/20				
Adept Solutions	138721			$259.88	$51.98	$207.90	KMS
IT Related Services/Computer Equipment		*3/1/20*	1/20				
AECOM Technical Services, Inc.	2000330234			$38,838.46		$38,838.46	LEF
Engineering/Tech		*3/2/20*	2/20				
Bender Rosenthal, Inc. (G)	No Invoice						KMS
Real Estate							
Brown and Caldwell (B)	17363756			$106,709.01		$106,709.01	JAT
Project Controls		*2/12/20*	1/20				
Capital Project Strategies, LLC	No Invoice						JCW
Alternative Project Delivery Advisor							
CH2M Hill Engineers (Operations) (D)	D3205400-010			$60,522.55		$60,522.55	AEF
Operations / Simulation Modeling		*2/19/20*	1/20				
Colusa Indian Community Council	01-31-20-01			$2,867.73		$2,867.73	SPE
Tribal Council		*1/31/20*	1/20				
Colusa Indian Community Council	02-29-20-01			$1,017.82		$1,017.82	SPE
Tribal Council		*2/29/20*	2/20				
Darling H2O Consulting, Inc.	117			$7,673.14	$7,673.14		FD
Organizational Assessment		*3/1/20*	2/20				
Dunn Consulting	Letter			$8,000.00	$4,000.00	$4,000.00	KMS
Legislative/Regulatory/Strategic Support		*3/3/20*	2/20				
Forsythe Group, LLC	SPA-202002			$30,660.62		$30,660.62	JCW
EPP Manager		*2/27/20*	2/20				
Fugro (I)	04.72190035-11			$8,744.05		$8,744.05	LEF
Geotechnical Engineering Services		*3/3/20*	2/20				
Gerald (Jerry) Johns	No Invoice						AEF
Project Operations							
HDR (A)	1200250916			$172,196.08		$172,196.08	All Agents
Project Integration		*2/22/20*	2/20				

Sites

March 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for March 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their March monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
ICF Jones & Stokes, Inc. (Environ.) (E) *Env/Biological Services*	No Invoice						AEF
ICF Jones & Stokes, Inc. (Permitting) (F) *Permitting and Agreements*	0144836	*2/14/20*	1/20	$41,235.90		$41,235.90	AEF
J.C. Watson, Inc. *General Manager Services*	SPA-055	*3/1/20*	2/20	$39,865.70	$5,874.23	$33,991.47	JRT/GA
Katz & Associates (C) *Communications*	413590	*2/13/20*	1/20	$19,652.49	$11,791.50	$7,860.99	KMS
K-Coe Isom, LLP *Accounting*	No Invoice						JAT
Larsen Wurzel & Associates, Inc. *Cost Development*	No Invoice						JAT
M.R. Cleaning Service *Office Cleaning*	20	*2/29/20*	2/20	$200.00	$200.00		KMS
Maximum Pest Control *Pest Spraying*	50451	*2/14/20*	2/20	$65.00	$65.00		KMS
MBK Engineers *Reservoir Operations*	20-01-4941.0	*2/13/20*	1/20	$1,101.00		$1,101.00	AEF
Montague DeRose & Associates, LLC *Municipal Advisor*	4802SITES	*2/16/20*	1/20	$1,925.00		$1,925.00	JCW
MT Shasta Water *Office Water*	471753	*2/20/20*	2/20	$47.65	$47.65		KMS
Perkins Coie, LLP *Special Legal*	6146039	*2/25/20*	1/20	$18,450.90		$18,450.90	AEF
Recology Butte Colusa Counties *Office Trash Pickup*	37055688	*2/29/20*	2/20	$35.95	$35.95		KMS
Rush Personnel *Yolanda Tirado Services*	IVC000000135105	*2/11/20*	2/20	$1,058.40	$211.68	$846.72	KMS
Rush Personnel *Yolanda Tirado Services*	IVC000000135106	*2/11/20*	2/20	$1,278.90	$255.78	$1,023.12	KMS

Sites

March 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for March 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their March monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Rush Personnel	IVC000000135423		$1,234.80	$246.96	$987.84	KMS
Yolanda Tirado Services	*2/25/20*	2/20				
Rush Personnel	IVC000000135424		$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services	*2/25/20*	2/20				
Spesert Consulting	3-20		$21,589.46		$21,589.46	JCW
Bus/Communications Manager	*3/4/20*	2/20				
Stradling, Yocca, Carlson & Rauth	No Invoice					JCW
Bond Counsel						
The Ferguson Group	0220162		$15,000.00	$7,500.00	$7,500.00	JAT
Federal Government Affairs Support	*2/1/20*	2/20				
Trapasso Consulting Services	SPA 17-29		$29,107.10		$29,107.10	JCW
Program Operations Manager	*3/3/20*	2/20				
U.S. Bank - Credit Card	Online 3/2		$1,548.26	$249.62	$1,298.64	KMS
Misc. Expenses	*3/2/20*	2/20				
Wiseman Consulting Group	No Invoice					KMS
ROW/Land Management						
Young Wooldridge, Law Offices, LLP	63615		$8,143.27	$5,606.27	$2,537.00	JCW
Legal Counsel	*2/29/20*	2/20				

		$ Total	$ Authority Board	$ Reservoir Committee
Monthly Summary	Cash Accounts	641,112.52	44,226.44	596,886.08
	WSIP	534,965.68		534,965.68
	JPA/Sites	106,146.84	44,226.44	61,920.40

Notes: *

Sites

Topic: **Authority Board Agenda Item 6-1** **2020 March 25**

Subject: **Report on Sites Project's Phase 2 Reservoir Committee Meeting – March 19, 2020**

Requested Action:

No action requested. Informational item.

Detailed Description/Background:

Reservoir Committee officers and/or Staff provide a report on the March 19, 2020 Sites Project Reservoir Committee meeting.

The Reservoir Committee's meeting package can be viewed at:

https://sitesproject.org/meetings/march-19-2020/

Prior Authority Board Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jim Watson

Attachments:

Attachment A – Sites Project Reservoir Committee Meeting Agenda – March 19, 2020.

Attachment B - Workgroup Signup Sheet.

Status:	Final	Preparer:	Trapasso	Phase: 2	Version: A
Purpose:	Staff Report	QA/QC:	Watson	Date:	2020 March 25
Caveat:	Informational	Authority Agent:	Watson	Ref/File #:	10.700
Notes:				Page: 1	of 1

Meeting:	**Phase 2 Reservoir Project Agreement**	**2020 March 19**
Subject:	**Reservoir Committee Meeting**	**1:00 PM – 4:00 PM**
Location:	Maxwell Project Office 122 Old Highway 99W, Maxwell, CA 95955	Call in: **1-844-531-9388** Code: **738 675 471**

WEBEX LINK

Chair: Thad Bettner (Glenn-Colusa Irrigation District)

Vice Chair: Jeff Davis (San Gorgonio Pass Water Agency)

Treasurer: Jamie Traynham (Davis Water District)

AGENDA

Notice: Pursuant to Executive Order N-25-20, issued by Governor Newsom on March 12, 2020, and guidance by the California Department of Public Health dated March 11, 2020, this meeting will be conducted by teleconference. The public may attend the meeting and offer public comments by phone, using the call-in number above, or in person, at the address above. Members of the Committee will participate by teleconference from other locations.

ROLL CALL & CALL TO ORDER: 10 min

- Introductions.
- Pledge of Allegiance.
- Approval of March 19, 2020 Agenda.
- Consider approving the February 21, 2020 Phase 2 Reservoir Committee Meeting Minutes.
- Announcement of Closed Session.
- Period for Public Comment. Max: 10 min

1. Member's Reports:

1.1 Chairpersons' Report: 15 min Bettner, Davis

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

- Workgroup assignments (Attachment 01-1A)

1.2 Reservoir Committee Participant Reports: 5 min Representatives

This time is set aside to allow Representatives or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

2. Consent Agenda: 0 min Watson

None.

3. Manager's Report: 15 min Watson

3.1 Discussion and possible direction to staff regarding the Sites Project's monthly status report. **(Attachment 3-1A)**

3.2 Discussion and possible direction to staff regarding Proposition 1, WSIP activities and WIIN Act Funding.

4. **2019 Ad Hoc Budget & Finance Workgroup**: 30 min Traynham

4.1 Consider accepting the Sites Project Authority Treasurer's Report. **(Attachment 4-1 A)**

4.2 Consider approval of the Reservoir Committee's portion of the monthly Payment of Claims. **(Attachments 4-2 A & B)**

5. **2019 Ad Hoc Environmental & Permitting Workgroup**: 10 min Bettner

Discussion and possible direction to staff regarding the ongoing activities of the Environmental and Permitting Workgroup.

6. **2019 Ad Hoc Reservoir Ops & Engineering Workgroup**: 20 min Kunde/Azevedo

6.1 Discussion and possible direction to staff regarding value planning efforts and the next steps to develop an updated project description.

7. **Updated Work Plan**:

7.1 Discussion and possible direction to staff regarding the development of the work plan for the period of performance starting September 1, 2020 and ending December 31, 2021 in accordance with a proposed Amendment 2 to the current Participation Agreement.

8. **Extending to Current Participation Agreement**:

8.1 Discussion and possible direction to staff regarding the proposed amendment to the current participation agreement and supporting documents.

RECESS:

9. **Closed Session**:

9.1 Public Employee Appointment (Gov. Code, § 54957)
Title: Executive Director

10. **Report from Closed Session**: 5 min Kuney

11. **Recap**: Watson

11.1 Agenda topics for next meeting?

- Presentation: Statewide water management actions: Department of Water Resources' perspective on Delta Conveyance.

11.2 Upcoming Reservoir Committee meeting:

Friday, April 17, 2020 9:00 AM
Maxwell Project Office
122 Old Highway 99W, Maxwell, CA 95955

ADJOURN:

PERIOD OF PUBLIC COMMENT: Any person may speak about any subject of concern, provided it is within the jurisdiction of the Reservoir Committee and is not already on today's agenda. The total amount of time allotted for receiving such public communication shall be limited to a total of 10 minutes per issue and each individual or group will be limited to no more than 3 minutes each within the 10 minutes allocated per issue. **Note:** No action shall be taken on comments made under this comment period.

ADA COMPLIANCE: Upon request, agendas will be made available in alternative formats to accommodate persons with disabilities. In addition, any person with a disability who requires a modification or accommodation to participate or attend this meeting may request necessary accommodation. Please make your request to the Board Clerk, specifying your disability, the format in which you would like to receive this Agenda and any other accommodation required no later than 24 hours prior to the start of the meeting.

Topic: **Sites Project - Reservoir Committee** **2020 March 16**

Workgroup Assignments for 2020

	Budget & Finance	Res. Ops & Engineering	Environmental & Permitting	Coordination
1	Jamie Traynham Chair	Mike Azevedo Vice Chair	Mike Azevedo	Thad Bettner Res Comm Chair
2	Thad Bettner	Thad Bettner	Thad Bettner Chair	Jeff Davis Res Comm Vice Chair
3	Rob Kunde	Rob Kunde Chair	Rob Kunde	Jamie Traynham Budget & Finance Chair
4	Eric Leitterman	Eric Leitterman	Eric Leitterman	Robert Cheng Budget & Finance Vice Chair
5	Robert Cheng Vice chair	Robert Cheng	Robert Cheng	Rob Kunde Res Ops & Eng. Chair
6	Bill Vanderwaal	Bill Vanderwaal	Bill Vanderwaal	Mike Azevedo Res Ops & Eng. Vice Chair
7	Dan Ruiz	Dan Ruiz	Jeff Davis	Thad Bettner Env & Permit Chair
8	Shelly Murphy	Bob Tincher	Heather Dyer Vice chair	Heather Dyer Env & Permit Vice Chair
9		Dirk Marks		
10		Rick Kaufman		
11		Amparo Flores		
12		Jeff Sutton (TC4)		
Staff	Joe Trapasso	Jim Watson	Ali Forsythe	Executive Director

NOTE: Workgroup meetings that have more than 10 representatives or designated alternates requires public noticing

Sites

Topic: **Authority Board** **Agenda Item 6-2** **2020 March 25**

Subject: **Value Planning**

Requested Action:

Discussion and possible direction to staff regarding value planning efforts and the next steps to develop an updated project description.

Detailed Description/Background:

The Ad Hoc Value Planning Workgroup was formed in late 2019 and continued to meet through early 2020. The Workgroup directed the efforts of Authority staff and the consultant team to formulate and evaluate a broader range of facilities that appear to be more affordable. On March 2, 2020, the Value Planning Work Group, through a sequential process that evaluated initial and refined facility alternatives, has identified a recommended set of facilities and range of alternatives that would provide the ability to adjust the Project as circumstances may dictate.

With the identification of a recommended project, the Value Planning Workgroup purpose is completed, and no further Workgroup meetings are planned.

A Sites workshop is scheduled for Monday, March 30, 2020. The workshop will provide an opportunity for participants to ask questions and provide feedback regarding the value planning study. The report will be finalized reflecting input from participants and a final report will be submitted to the Reservoir Committee and the Authority Board for approval in April.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Lee Frederiksen

Attachments:

None.

Sites

Topic: **Authority Board Agenda Item 6-3** **2020 March 25**

Subject: **September 1, 2020 through December 2021 Updated Work Plan (Amendment 2)**

Requested Action:

Discussion and possible direction to staff regarding the development of the updated work plan for the period of performance starting September 1, 2020 and ending December 31, 2021 in accordance with a proposed Amendment 2 to the current Participation Agreement.

Background:

To continue to advance the Sites Project beyond August 31, 2020, an amended participation agreement (Amendment 2) is needed that includes an additional funding commitment (aka cash call). These funds can then be leveraged using a combination of the Prop 1 (WSIP) early funding, which is capped at $40.8 million, and a portion of the $6 million WIIN Act appropriation that occurred in December. Based on estimates of local, state, and federal funding available, a work plan needs to be prepared and be based on the current level of local and state conditional investments and the current level of federal interest. The proposed goals of this work plan are:

a. To improve certainty related to the reservoir's operations and degree of operational integration with the State Water Project and Central Valley Project to produce benefits for both water supply and reliability purposes and water dedicated to environmental purposes;

b. To improve certainty related to the project's permitability through more-focused early agencies' consultations to then prepare applications for key federal and state permits and the state's water rights;

c. To complete the environmental analysis and documentation as required under CEQA and NEPA;

d. To improve certainty related to the project's affordability by advancing the level of engineering and implementing risk management to improve the accuracy of the cost estimates. In addition, pursue low interest financing and potential grants;

e. To continue to cultivate and strengthen partnerships with local landowners, communities, and key stakeholders that represent environmental, business, labor, and other interests;

f. To meet the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive the $775 million in construction funding;

g. To identify continued participation Go/No-Go decision points with staggered cash calls; and

h. To develop a successor participation agreement, work plan, and funding or finance plan to advance the Sites Project beyond December 31, 2021.

Proposed Schedule:

☑ January 17: Obtain input to overall goals, priorities, and milestones.

☑ February 21: Obtain input to *strawman* work plan (task list, initial milestones, and range of proposed cash calls) and proposed information package to be provided to each home board.

☐ March 19: Obtain input to an initial work plan (revised task list, more-defined CPM schedule, and cash call timing) and proposed information package.

☐ March 30: At a workshop, obtain input to the schedule, the proposed allocation of costs, and the proposed cash call timing for the purpose of developing a final draft of work plan for approval at the April meetings.

☐ April 17: Obtain final input and approval of the work plan to create Exhibit B to the amended participation agreement.

NOTE: Authority Board's consideration to approve is scheduled for April 22.

☐ May 1: Distribute document package for Home Boards' consideration.

☐ July 9: Response from Home Board for inclusion into agenda package for July 16 Reservoir Committee meeting to:

1. Consider approving the participation responses.
2. Authorize preparation of the first invoice due in 30 days (i.e. September 1, 2020). Plan is to distribute invoices to home boards on or before July 31.
3. If needed, authorize the rebalancing process.

NOTE: Authority Board's consideration to approve is scheduled for July 22.

☐ August 21: Reservoir Committee approve consultant services contract amendments and initial set of task orders to issue near-term Notices to Proceed on or before September 1.

☐ September 1: Formal start of amendment 2 to the current participation agreement.

Updated Work Plan:

Process: Based on input from the Reservoir Committee, staff continued to develop a project schedule through 2021 (Attachment A), with particular focus given to the critical path. Based on the activities scheduled for September 1, 2020 through December 31, 2021, preliminary cost estimates were developed, and the schedule was cost loaded. The cash flow based on this exercise, including cash calls from the Reservoir Committee, is included in Attachment B. Based on this scenario, the cash call invoices totaling $100/AF would occur as follows:

- $60/AF, Due September 1, 2020.
- $40/AF, Due February 1, 2021.

Preliminary cost estimates by subject area are shown in Attachment C, reflecting highest priority spending on project permitting (36%), engineering in support of the project description and feasibility (15%), and the environmental planning documents (13%).

Based on the revenue scenario and focusing on the work plan goals as defined, the following activities are proposed to be **deferred beyond December 31, 2021**:

- Any additional repayment of Phase 1 contributed credit to participants.
- Payment of permit application fees.
- Purchasing of real estate.
- Early procurement of equipment.
- Development of alternative delivery bridging documents, which includes alternative delivery legal support.
- Development of a detailed Program Management Plan (PMP) and associated standards.
- Development of policies and procedures beyond those necessary to receive previously identified grants or funding agreements.
- Implementation of a Project Management Information System (PMIS) or an enterprise-wide solution for business and asset management (e.g. ERP).

Prior Action:

February 21, 2020: Staff provided information on three possible revenue scenarios and a task list for Amendment 2 (September 1, 2020 and ending December 31, 2021). Staff received direction to continue to develop the $100/AF revenue scenario assuming a reduction in participation up to 10%.

January 17, 2020: Staff provided information regarding the proposed process for developing a work plan. This work plan proposes to focus on improving certainty related to the operations, permitting, and affordability and meet the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive funding.

Fiscal Impact/Funding Source:

Not yet determined

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Project Schedule Through Dec 31, 2021 (Amendment 2)

Attachment B: Amendment 2 Cash Flow Curves, Reservoir Committee and Authority Board

Attachment C: Amendment 2 Work Plan Spend by Subject Area

Sites Reservoir Project

2020 March 25 Authority Board, Agenda Item 6-3, Attachment A

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
SITES PROJECT		548	02-Jan-20	25-Feb-22
MILESTONES		327	31-Aug-20	15-Dec-21
MS-001	Local Funding (Go/No-go #1)	0		31-Aug-20
MS-002	Local Funding (Go/No-go #2)	0		08-Jan-21
MS-003	Local Funding (Go/No-go #3)	0		15-Dec-21
LOCAL FUNDING		509	02-Jan-20	31-Dec-21
Execute Participation Agreement 1B		95	23-Jan-20	04-Jun-20
PA-010	Amend Task Orders	26	23-Jan-20	27-Feb-20
PA-020	Final Participation Agreement Amendment 1B	15	18-Mar-20	08-Apr-20
PA-030	Engineering Task Orders (HC, HR)	30	23-Jan-20	04-Mar-20
PA-040	Amendment 1B Exhibit B	5	01-Apr-20	08-Apr-20
PA-050	Executed 1B	0		04-Jun-20
Execute Participation Agreement 2		114	18-Mar-20	26-Aug-20
PA-120	Draft Participation Agreement Amend 2 for Home Board Review	15	18-Mar-20	08-Apr-20
PA-130	Home Board Review - Determine Participation Level	44	17-Apr-20	18-Jun-20
PA-140	Rebalance Participation	8	19-Jun-20	30-Jun-20
PA-150	Final Participation Agreement Amend 2 with Exhibits	0		30-Jun-20
PA-160	Execute Amendment 2	0		26-Aug-20
Execute Successor Participation Agreement 2		106	02-Aug-21	31-Dec-21
PA-210	Execute Successor Agreement	106	02-Aug-21*	31-Dec-21
Work Plan Amend 1B		40	02-Jan-20	26-Feb-20
WP-005	Incorporate Comments from Dec Res Comm Mtg	9	02-Jan-20*	14-Jan-20
WP-015	Prepare Final Work Plan	14	15-Jan-20	03-Feb-20
WP-020	Budget & Finance Work Group Review	5	04-Feb-20	10-Feb-20
WP-025	Work Plan 1B Approved	0		26-Feb-20
Work Plan Amend 2		153	28-Jan-20	01-Sep-20
Develop Schedule		29	28-Jan-20	06-Mar-20
WP-040	Develop Draft Schedule thru Dec 31, 2021	13	28-Jan-20	13-Feb-20
WP-060	Develop Final Schedule through Dec 2021	10	24-Feb-20	06-Mar-20
Work Plan Scope Document		50	28-Jan-20	06-Apr-20
WP-050	Draft Work Plan Task List	13	28-Jan-20	13-Feb-20
WP-070	Final Work Plan Scope Document	31	24-Feb-20	06-Apr-20
Work Plan Budget		50	28-Jan-20	06-Apr-20
WP-035	Budget Scenarios and Assumptions	2	11-Feb-20	12-Feb-20
WP-055	Draft Work Plan Budget	13	28-Jan-20	13-Feb-20
WP-090	Final Work Plan Budget	31	24-Feb-20	06-Apr-20
Amendment 2		103	07-Apr-20	01-Sep-20
WP-095	Final Amendment 2 Work Plan	5	07-Apr-20	13-Apr-20
WP-110	Develop Task Orders (Sep 2020 to Dec 2021)	51	14-Apr-20	24-Jun-20
WP-120	Work Plan 2 Approved	0		24-Jun-20
WP-130	Start of Amend 2	0	01-Sep-20	
VALUE PLANNING		73	02-Jan-20	13-Apr-20
Value Planning Analysis		44	02-Jan-20	03-Mar-20
VP-001	Refine Value Planning Options	3	02-Jan-20	06-Jan-20
VP-002	Screen Value Planning Options	20	07-Jan-20	03-Feb-20
VP-006	Qualitative Permit Screening	37	02-Jan-20	21-Feb-20

WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
VP-007	Qualitative Env Screening	37	02-Jan-20	21-Feb-20
VP-008	Qualitative Ops Screening	37	02-Jan-20	21-Feb-20
VP-013	Prepare Costs For Value Planning Alternatives	15	04-Feb-20	24-Feb-20
VP-014	Affordability Analysis	4	25-Feb-20	28-Feb-20
VP-015	Screen Alternatives	2	02-Mar-20	03-Mar-20
VP-020	Recommend Preferred Option	0		03-Mar-20
Value Planning Report		42	14-Feb-20	13-Apr-20
VP-025	Value Planning Draft Report	21	14-Feb-20	13-Mar-20
VP-070	Select and Confirm Preferred Project	21	16-Mar-20	13-Apr-20
VP-090	Value Planning Final Report	17	20-Mar-20	13-Apr-20
Preferred Project		0	13-Apr-20	13-Apr-20
VP-095	Approve Preferred Project	0		13-Apr-20
PROJECT DESCRIPTION		143	11-Feb-20	31-Aug-20
Determine Needs		56	11-Feb-20	28-Apr-20
PDE-10	Environmental Planning Needs	56	11-Feb-20	28-Apr-20
PDE-20	Permitting Needs	56	11-Feb-20	28-Apr-20
PDE-25	Engineering Needs	46	11-Feb-20	14-Apr-20
PDE-30	Operations Needs	10	11-Feb-20	24-Feb-20
PDE-35	Water Rights Needs (Reg Items/Study)	46	11-Feb-20	14-Apr-20
Components		60	01-Apr-20	24-Jun-20
PDE-40	Identify Alternatives for EIR/EIS	30	01-Apr-20	12-May-20
PDE-42	Determine Preliminary Project Construction Sequencing	30	13-May-20	24-Jun-20
PDE-45	Identify Project-Level vs Program Components (Planning)	47	14-Apr-20	18-Jun-20
PDE-47	Identify Project-Level vs Program Components (Permitting)	47	14-Apr-20	18-Jun-20
Develop Project Description		85	29-Apr-20	27-Aug-20
PDE-50	Develop Project Description Construction	85	29-Apr-20	27-Aug-20
PDE-55	Project Description Operations Criteria Complete (see Ops Tasks)	0		12-Jun-20
Project Description Complete		0	31-Aug-20	31-Aug-20
PDE-60	Project Description	0		31-Aug-20
OPERATIONS		486	02-Jan-20	29-Nov-21
Value Planning Operations		71	02-Jan-20	10-Apr-20
OP-005	Value Planning Model	37	02-Jan-20	21-Feb-20
OP-007	Stony Creek Evaluation	37	02-Jan-20	21-Feb-20
OP-010	Shasta Exchanges Post-Processing and Draft TM	37	02-Jan-20	21-Feb-20
OP-015	Value Planning TM/Document	20	03-Feb-20	28-Feb-20
OP-020	Storage to Release Ratios Table	15	10-Feb-20	28-Feb-20
OP-070	Reclamation Feasibility Complete (Milestone) - Critical Staffing Need	0		10-Apr-20*
Refine Operational Parameters		89	10-Feb-20	12-Jun-20
OP-110	Confirm Notched Fremont Weir Approach	44	10-Feb-20	09-Apr-20
OP-120	Model Reclamation with No Storage Investment	44	10-Apr-20	11-Jun-20
OP-130	Confirm Shasta Exchange Approach	44	10-Feb-20	09-Apr-20
OP-140	Confirm Sutter Bypass Approach	44	10-Feb-20	09-Apr-20
OP-150	Confirm Voluntary Agreement Weir Notching Approach	44	10-Feb-20	09-Apr-20
OP-160	Confirm Delta Water Quality Approach	44	10-Feb-20	09-Apr-20
OP-170	Confirm Sacramento River Mortality Approach	44	10-Feb-20	09-Apr-20
OP-180	Determine Baseline	30	10-Feb-20	20-Mar-20

WORKING DRAFT

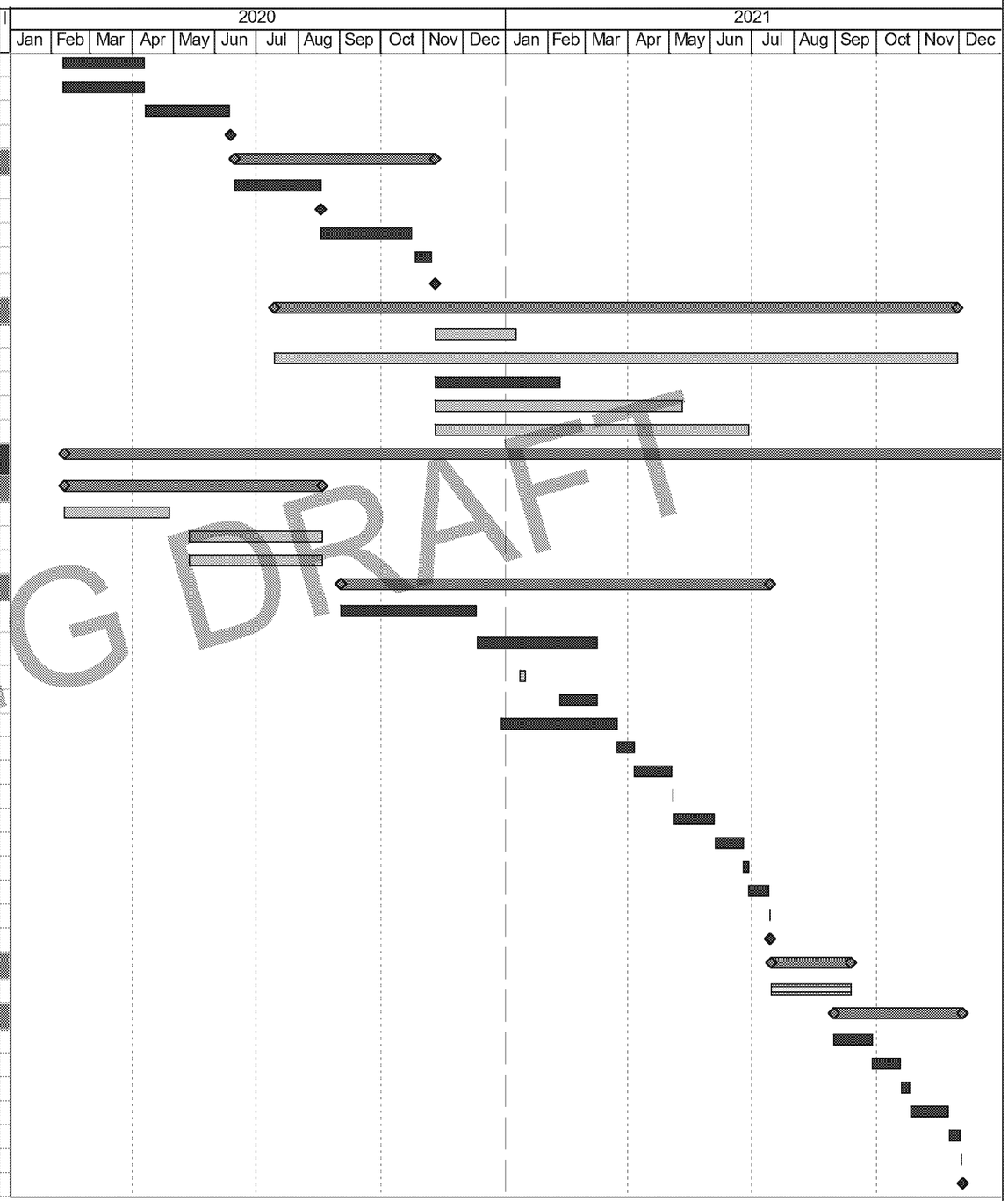
Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
OP-190	Confirm CVP/SWP Integration Approach	44	10-Feb-20	09-Apr-20
OP-200	Confirm Participation Demands Updated	44	10-Feb-20	09-Apr-20
OP-210	Confirm Model Refinements	44	10-Apr-20	11-Jun-20
OP-220	Finalize Operational Criteria	0		12-Jun-20
Full Operations Analysis		104	15-Jun-20	09-Nov-20
OP-410	Initial Modeling Evaluation	45	15-Jun-20	17-Aug-20
OP-420	Preliminary Results Available for Strategy Discussions	0		17-Aug-20
OP-430	Define and Finalize	48	18-Aug-20	23-Oct-20
OP-440	Power and Economics	10	26-Oct-20	06-Nov-20
OP-450	Final Operations Analysis's Output	0		09-Nov-20
Coordination		350	14-Jul-20	29-Nov-21
OP-320	Documentation for EIR/EIS and BA/ITP	40	10-Nov-20	08-Jan-21
OP-330	Ongoing Support to EPP, Engineering, & Financial	350	14-Jul-20	29-Nov-21
OP-340	Cumulative and Climate Change	62	10-Nov-20	09-Feb-21
OP-350	Bridging Simulation	126	10-Nov-20	10-May-21
OP-360	Ops Support for Local Feasibility - Water Commission	160	10-Nov-20	28-Jun-21
EIR/EIS		520	11-Feb-20	25-Feb-22
Work Plan & Outline		134	11-Feb-20	18-Aug-20
EIR-001	Prepare EIR/EIS Work Plan	56	11-Feb-20	28-Apr-20
EIR-010	Prepare Annotated Outline	68	13-May-20	18-Aug-20
EIR-015	Introduction Chapter/Recirculation Story	68	13-May-20	18-Aug-20
Draft EIR/EIS		220	01-Sep-20	14-Jul-21
EIR-019	Prepare Revised Draft EIR/EIS Analysis (Construction)	70	01-Sep-20	10-Dec-20
EIR-020	Prepare Revised Draft EIR/EIS Analysis (Operations)	61	11-Dec-20	09-Mar-21
EIR-021	Status Update to Board	5	11-Jan-21	15-Jan-21
EIR-025	Prepare Cumulative and Climate Change Sections	20	10-Feb-21	09-Mar-21
EIR-026	Prepare Complete Admin Draft EIR/EIS	60	29-Dec-20	23-Mar-21
EIR-030	Authority/Reclamation/Integration Review of Admin Draft EIR/EIS	9	24-Mar-21	05-Apr-21
EIR-040	Revise Draft EIR/EIS Based on Comments	20	06-Apr-21	03-May-21
EIR-045	Live Edit Meeting	1	04-May-21	04-May-21
EIR-050	Work Group & Legal Review of Revised Draft EIR/EIS	22	05-May-21	03-Jun-21
EIR-060	Resolve Work Group Comments	15	04-Jun-21	24-Jun-21
EIR-065	Live Edit Meeting	2	25-Jun-21	28-Jun-21
EIR-067	Final Editing, Formatting and Doc Production	10	29-Jun-21	13-Jul-21
EIR-070	Authority Approval of Release Revised Draft EIR/EIS	1	14-Jul-21	14-Jul-21
EIR-080	Release Revised Draft EIR/EIS and Issue Public Notices	0		14-Jul-21
Public Review		60	15-Jul-21	12-Sep-21
EIR-090	Public Review Period	60	15-Jul-21	12-Sep-21
Summary Report		67	30-Aug-21	03-Dec-21
EIR-095	Categorize and Sort Comments by Topic	20	30-Aug-21	27-Sep-21
EIR-100	Approach to Response to Comments	15	28-Sep-21	18-Oct-21
EIR-105	Authority/Reclamation/Legal Review	5	19-Oct-21	25-Oct-21
EIR-110	Prepare Summary Report	20	26-Oct-21	22-Nov-21
EIR-115	Work Group & Legal Review of Summary Report	5	23-Nov-21	01-Dec-21
EIR-117	Live Edit Meeting of Summary Report	1	02-Dec-21	02-Dec-21
EIR-120	Draft EIR Summary Report Submittal to CWC	0		03-Dec-21



WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
Response to Comments		90	19-Oct-21	25-Feb-22
EIR-130	Begin Preparation of Final EIR/EIS Including Response to Comments	90	19-Oct-21	25-Feb-22
PERMITTING		439	14-Apr-20	06-Jan-22
Biological Assessment		306	14-Apr-20	28-Jun-21
BA-001	Initial Desktop Analysis	60	01-Oct-20	28-Dec-20
BA-010	Mitigation Planning	202	14-Apr-20	29-Jan-21
BA-015	Adaptive Management Plan	202	14-Apr-20	29-Jan-21
BA-019	Confirm Federal Scope of Action (ESA)	38	14-Apr-20	05-Jun-20
BA-020	Permitting Construction Project Description	16	08-Jun-20	29-Jun-20
BA-022	Permitting Operations Project Description	20	20-Oct-20	16-Nov-20
BA-024	Prepare Construction Analysis	97	01-Oct-20	18-Feb-21
BA-025	Prepare Operations Analysis	60	17-Nov-20	12-Feb-21
BA-030	Finalize Admin Draft BA	20	19-Feb-21	18-Mar-21
BA-032	Submit Final Admin Draft BA	0		18-Mar-21
BA-035	Independent Review Draft BA	30	19-Mar-21	17-Apr-21
BA-055	Reclamation, Legal, and Work Group Review	30	19-Mar-21	17-Apr-21
BA-057	Revise Admin Draft BA	30	19-Apr-21	28-May-21
BA-060	Submit Revised Admin Draft BA to Redamation	0		28-May-21
BA-075	Final Reclamation and Solicitor Office Review	30	29-May-21	27-Jun-21
BA-100	Reclamation Submit BA to USFWS & NMFS	0		28-Jun-21
BO Incidental Take Authorization		135	28-Jun-21	09-Nov-21
BA-1110	BO Incidental Take Authorization	135	28-Jun-21	09-Nov-21
ITP - CESA (Se 2081) Operations		231	12-Jan-21	07-Dec-21
CES-190	Prepare Operations Analysis	30	12-Jan-21	22-Feb-21
CES-200	Additional Mitigation Planning	30	23-Feb-21	05-Apr-21
CES-210	Prepare Draft ITP Application	60	01-Jun-21	24-Aug-21
CES-220	Legal Review of Draft ITP App	30	25-Aug-21	23-Sep-21
CES-230	Work Group Review of Draft ITP App	30	25-Aug-21	23-Sep-21
CES-240	Revise Draft ITP Application	20	23-Sep-21	21-Oct-21
CES-250	Authority Board Approval of ITP App	30	21-Oct-21	06-Dec-21
CES-260	Submit ITP Application to CDFW	0		07-Dec-21
ITP - CESA (Se 2081) Construction		390	01-Oct-20	07-Dec-21
CES-015	Initial Desktop Analysis	30	01-Oct-20	11-Nov-20
CES-020	Prepare Terrestrial Analysis	30	12-Nov-20	28-Dec-20
CES-025	Mitigation Planning	30	29-Dec-20	09-Feb-21
CES-030	Prepare Draft ITP Application	60	01-Jun-21	24-Aug-21
CES-040	Legal Review of Draft ITP App	30	25-Aug-21	23-Sep-21
CES-060	Work Group Review of Draft ITP App	30	25-Aug-21	23-Sep-21
CES-070	Revise Draft ITP Application	20	23-Sep-21	21-Oct-21
CES-080	Authority Board Approval of ITP App	30	21-Oct-21	06-Dec-21
CES-090	Submit ITP Application to CDFW	0		07-Dec-21
Section 106		317	01-Sep-20	02-Dec-21
106-000	Confirmation of Section 106 Federal Lead Agency	0		08-Sep-20
106-001	Prepare SHPO Initiation Package	20	01-Sep-20	29-Sep-20
106-002	Authority/Reclamation/Integration Reviews	10	30-Sep-20	13-Oct-20
106-003	Management/Work Group Reviews	10	14-Oct-20	27-Oct-20
106-004	Revisions	10	28-Oct-20	10-Nov-20

WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
106-005	Reclamation Submits to SHPO	0		17-Nov-20
106-006	SHPO Review and Comments	30	18-Nov-20	17-Dec-20
106-021	Prepare Consultation Information Package	15	17-Dec-20	11-Jan-21
106-022	Authority/Reclamation/Integration Reviews	10	11-Jan-21	25-Jan-21
106-023	Management/Work Group Reviews	10	25-Jan-21	08-Feb-21
106-024	Revisions	10	08-Feb-21	22-Feb-21
106-025	Reclamation Distributes to SHPO and Invited Consulting Parties	5	22-Feb-21	01-Mar-21
106-026	Parties Accept Invitation for Consulting Party Status	30	02-Mar-21	31-Mar-21
106-032	Arrange Meeting Logistics and Prepare Materials	20	22-Feb-21	22-Mar-21
106-033	Conduct Meetings	10	01-Mar-21	15-Mar-21
106-042	Authority/Reclamation/Integration Reviews	10	30-Mar-21	13-Apr-21
106-043	Management/Work Group Reviews	10	13-Apr-21	27-Apr-21
106-044	Revisions	10	27-Apr-21	11-May-21
106-052	Circulate Draft PA to SHPO and Consulting Parties	5	27-Apr-21	04-May-21
106-053	Deadline for Comments on Draft PA	30	05-May-21	03-Jun-21
106-061	Prepare Draft Final PA	20	03-Jun-21	01-Jul-21
106-062	Authority/Reclamation/Integration Reviews	10	01-Jul-21	16-Jul-21
106-063	Management/Work Group Reviews	10	16-Jul-21	30-Jul-21
106-064	Revisions	10	30-Jul-21	13-Aug-21
106-072	Circulate Draft Final PA to SHPO and Consulting Parties	5	13-Aug-21	20-Aug-21
106-073	Deadline for Comments on Draft Final PA	30	21-Aug-21	19-Sep-21
106-081	Prepare Final PA	15	20-Sep-21	08-Oct-21
106-082	Authority/Reclamation/Integration Reviews	10	11-Oct-21	22-Oct-21
106-083	Management/Work Group Reviews	10	25-Oct-21	05-Nov-21
106-084	Revisions	10	08-Nov-21	19-Nov-21
106-091	Consult with SHPO and Consulting Parties on Final PA	5	22-Nov-21	30-Nov-21
106-092	Circulate Final PA to SHPO and Consulting Parties for Signatures	5	22-Nov-21	30-Nov-21
106-093	Deadline for Signatures on Final PA	0		02-Dec-21
Water Rights		341	01-Sep-20	06-Jan-22
WR-005	Water Availability Analysis & Planning/Coordination with SWRCB	210	01-Sep-20	29-Jun-21
WR-025	Prepare Water Right Application	121	15-Jul-21	06-Jan-22
Section 404		413	14-Apr-20	07-Dec-21
404-001	Agreement with Reclamation for LEDPA Analysis	15	14-Apr-20	04-May-20
404-003	USACE LEDPA & NEPA, 404/408 and WD Approach	88	05-May-20	08-Sep-20
404-010	Desktop Wetland Delineation Analysis (includes Waters of the State)	150	01-Oct-20	04-May-21
404-020	Submit Delineation to USACE	0		05-May-21
404-025	Pre-Application Meeting	1	01-Jun-21	02-Jun-21
404-030	Preliminary Wetland Delineation Acceptance	90	06-May-21	03-Aug-21
404-035	Prepare Compensatory Mitigation Plan	161	23-Feb-21	08-Oct-21
404-050	Prepare Draft 404 Application	42	03-Aug-21	01-Oct-21
404-060	Authority/Integration/Work Group Review of Draft 404 Application	20	11-Oct-21	05-Nov-21
404-070	Prepare Final 404 Application	20	08-Nov-21	07-Dec-21
404-120	Submit 404 Application	0		07-Dec-21
Section 408		341	01-Sep-20	06-Jan-22
408-005	Coordinate with CVFPB and USACE	20	01-Sep-20	29-Sep-20
408-010	Assume Start of 50% Design of 408 Jurisdictional Features	0		01-Jul-21
408-130	Prepare Draft Encroachment Permit/408 Request w/Engineering Team	129	05-Jul-21	06-Jan-22
Section 401 Water Quality		311	09-Sep-20	30-Nov-21

WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
401-120	Coordinate with RWQCB and SWRCB	84	09-Sep-20	08-Jan-21
401-130	Prepare Draft 401 Application	42	03-Aug-21	01-Oct-21
401-140	Authority/Integration/Work Group Review of Draft 401	20	01-Oct-21	29-Oct-21
401-150	Prepare Final 401 Application	20	29-Oct-21	30-Nov-21
401-160	Submit 401 Application	0		30-Nov-21
FEASIBILITY-LEVEL GEOTECH		337	01-Sep-20	30-Dec-21
GSR-010	Geotechnical Permitting & Planning, Right of Access	61	01-Sep-20	25-Nov-20
GSR-020	Conduct Geotechnical Field Investigation	85	30-Nov-20	30-Mar-21
GSR-030	Data Evaluation and Prepare Geotechnical Data Reports	107	29-Jan-21	29-Jun-21
GSR-040	Data Gap Assessment and Preliminary Planning for Design Level Inv for I	149	28-May-21	30-Dec-21
ENGINEERING		449	24-Mar-20	30-Dec-21
Support of Project Description		449	24-Mar-20	30-Dec-21
ENG-110	Engineering Consultants Brought On Board (complete prior to Sept 1)	0		24-Mar-20
ENG-120	Coordination of CADD and GIS Standards	5	25-Mar-20	31-Mar-20
ENG-130	Coordination to Identify Alternatives for EIR/EIS & Related Studies	5	25-Mar-20	31-Mar-20
ENG-140	Coordination to Finalize Storage & Conveyance Capacities	5	25-Mar-20	31-Mar-20
ENG-145	Coordination with Reclamantion on Approach	5	25-Mar-20	31-Mar-20
ENG-150	Gather Data from Prior Draft EIR/EIS	10	25-Mar-20	07-Apr-20
ENG-160	Prepare Project Base Map	20	01-Apr-20	28-Apr-20
ENG-170	Prepare Basis of Feasibility Design	22	01-Apr-20	30-Apr-20
ENG-180	Conduct Field Reviews (as needed)	5	01-Apr-20	07-Apr-20
ENG-190	Prepare Preliminary Feasibility Level Design	55	01-May-20	20-Jul-20
ENG-193	Develop Hydaulic Model	30	01-May-20	12-Jun-20
ENG-195	Prepare Final Feasibility Level Design	30	21-Jul-20	31-Aug-20
ENG-200	Develop CADD Drawings of Key Features	85	01-May-20	31-Aug-20
ENG-210	Provide Information on Key Project Features & Facilities	21	01-Sep-20	30-Sep-20
ENG-220	Convert Drawings to GIS Geodatabase File Format to Support Environm	21	01-Sep-20	30-Sep-20
ENG-230	Support to Environmental and Permitting Team	316	01-Oct-20	30-Dec-21
Cost Estimate, Feasibility, Constructability		295	01-Oct-20	30-Nov-21
ENG-240	Identify Project Objectives	11	01-Oct-20	15-Oct-20
ENG-250	Feasibility Project Description	0		15-Oct-20
ENG-260	Obtain Operations Simulation Results of Preferred Option	0		16-Nov-20
ENG-270	Develop Costs Associated with Mitigation Measures	15	16-Oct-20	05-Nov-20
ENG-280	Develop Class 4 Cost Estimate (State Feasibility)	25	17-Nov-20	23-Dec-20
ENG-290	Risk and Uncertainty Assessment	20	06-Nov-20	07-Dec-20
ENG-300	Coordinate with Operations to Confirm Project Benefits	45	17-Nov-20	22-Jan-21
ENG-310	Project Benefits Consist with the Ops Plan	40	08-Dec-20	03-Feb-21
ENG-320	Cost Allocation	20	04-Feb-21	03-Mar-21
ENG-330	Technical Feasibility	20	04-Feb-21	03-Mar-21
ENG-340	Obtain the Finding of Env Feasibility with Mitigation	5	04-May-21	10-May-21
ENG-370	Constructability	20	04-Mar-21	31-Mar-21
ENG-400	Develop DSOD Engagement Plan	96	15-Jul-21	30-Nov-21
ENG-404	Coordinate on a Geotechnical Investigation Plan	96	15-Jul-21	30-Nov-21
ENG-406	Coordinate to Develop Geotechnical Permitting Plan	96	15-Jul-21	30-Nov-21
ENG-410	Develop Program Design/Construction/Permit Implementation Plan	96	15-Jul-21	30-Nov-21
ENG-420	Advance Preliminary Hydraulics Model	40	20-May-21	16-Jul-21
ENG-440	Final Hydraulics Model	23	19-Jul-21	18-Aug-21

WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
Economics and Financial		20	04-Mar-21	31-Mar-21
Feasibility Report		20	04-Mar-21	31-Mar-21
ENG-350	Economic Feasibility	5	04-Mar-21	10-Mar-21
ENG-360	Financial Feasibility	20	04-Mar-21	31-Mar-21
Authority Feasibility Report		70	01-Apr-21	09-Jul-21
Feasibility Report		70	01-Apr-21	09-Jul-21
ENG-380	Prepare Draft Feasibility Report	30	01-Apr-21	12-May-21
ENG-382	Executive Summary (Storyboard)	5	01-Apr-21	07-Apr-21
ENG-384	Executive Summary Final	5	06-May-21	12-May-21
ENG-385	Work Group Review	5	13-May-21	19-May-21
ENG-390	Prepare Final Feasibility Report	30	20-May-21	01-Jul-21
ENG-392	Reservoir Committee and Board Approval	5	05-Jul-21	09-Jul-21
PROP 1		289	23-Oct-20	15-Dec-21
CWC Feasibility Review		180	31-Mar-21	15-Dec-21
CWC-391	Release for CWC Review Pkg 1: Engineering	0		09-Jul-21
CWC-393	Release for CWC Review Pkg 2: Economic and Finance	0		31-Mar-21
CWC-396	Release for CWC Review Pkg 3: Environmental with Res Ops	0		03-Dec-21
CWC-397	CWC Review Pkg 1: Engineering	30	12-Jul-21	20-Aug-21
CWC-407	CWC Review Pkg 2: Economic and Finance	30	01-Apr-21	12-May-21
CWC-417	CWC Review Pkg 3: Environmental with Res Ops	8	06-Dec-21	15-Dec-21
CWC Determination		289	23-Oct-20	15-Dec-21
CWC-420	Provide CWC with Revised Public Benefits	0		23-Oct-20
CWC-450	CWC Public Review Draft EIR/EIS	30	15-Jul-21	25-Aug-21
CWC-500	State Determination of Feasibility	0		15-Dec-21*
PROJECT OPERATIONS AND FINANCES		509	02-Jan-20	31-Dec-21
REAL ESTATE		509	02-Jan-20	31-Dec-21
Real Estate Landowner Coordination		509	02-Jan-20	31-Dec-21
RE-200	Real Estate Landowner Coordination	171	02-Jan-20	31-Aug-20
RE-210	Real Estate Landowner Coordination	338	01-Sep-20	31-Dec-21
COMMUNICATIONS & GOVERNMENT AFFAIRS		509	02-Jan-20	31-Dec-21
CG-000	Communication & Government Affairs	171	02-Jan-20	31-Aug-20
CG-10	Communication & Government Affairs	338	01-Sep-20	31-Dec-21
Organizational Assessment		255	02-Jan-20	31-Dec-20
ORA-000	Organizational Assessment Direction	171	02-Jan-20	31-Aug-20
ORA-010	Organizational Assessment	84	01-Sep-20	31-Dec-20
Executive Director		338	01-Sep-20	31-Dec-21
EXD-010	Executive Director	338	01-Sep-20	31-Dec-21
Contract Amendments		486	04-Feb-20	31-Dec-21
CON-010	Contract Management	338	01-Sep-20	31-Dec-21
CON-022	Revise Service Area Task Orders for Approval	20	04-Feb-20	02-Mar-20
Invoicing & Reporting		499	16-Jan-20	31-Dec-21
INV-032	Prepare 4Q2019 CWC invoice	17	16-Jan-20*	07-Feb-20
INV-033	Prepare Q12020 CWC invoice	18	16-Jan-20*	10-Feb-20
REP-010	Quarterly Reporting	338	01-Sep-20	31-Dec-21
REP-020	Annual Reporting	338	01-Sep-20	31-Dec-21
Financial Assistance Agreement		55	14-Jan-20	30-Mar-20

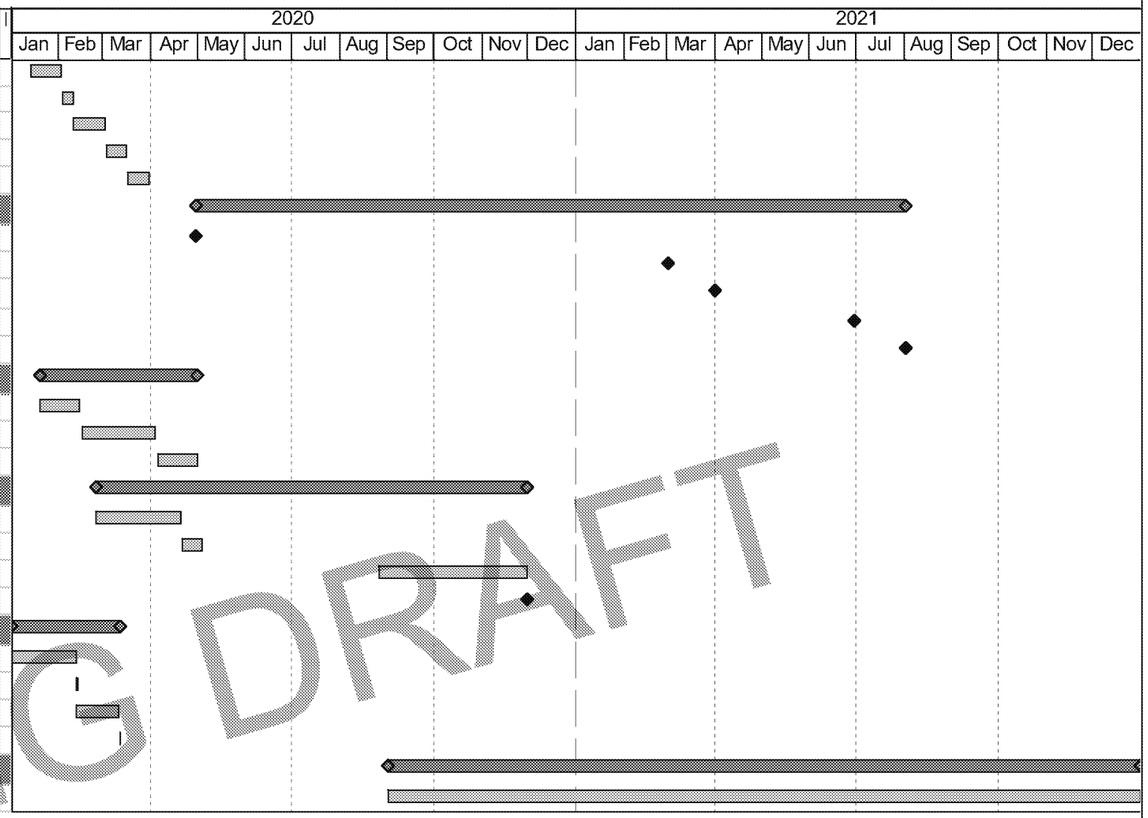
WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Sites Reservoir Project

Date: 12-Mar-20

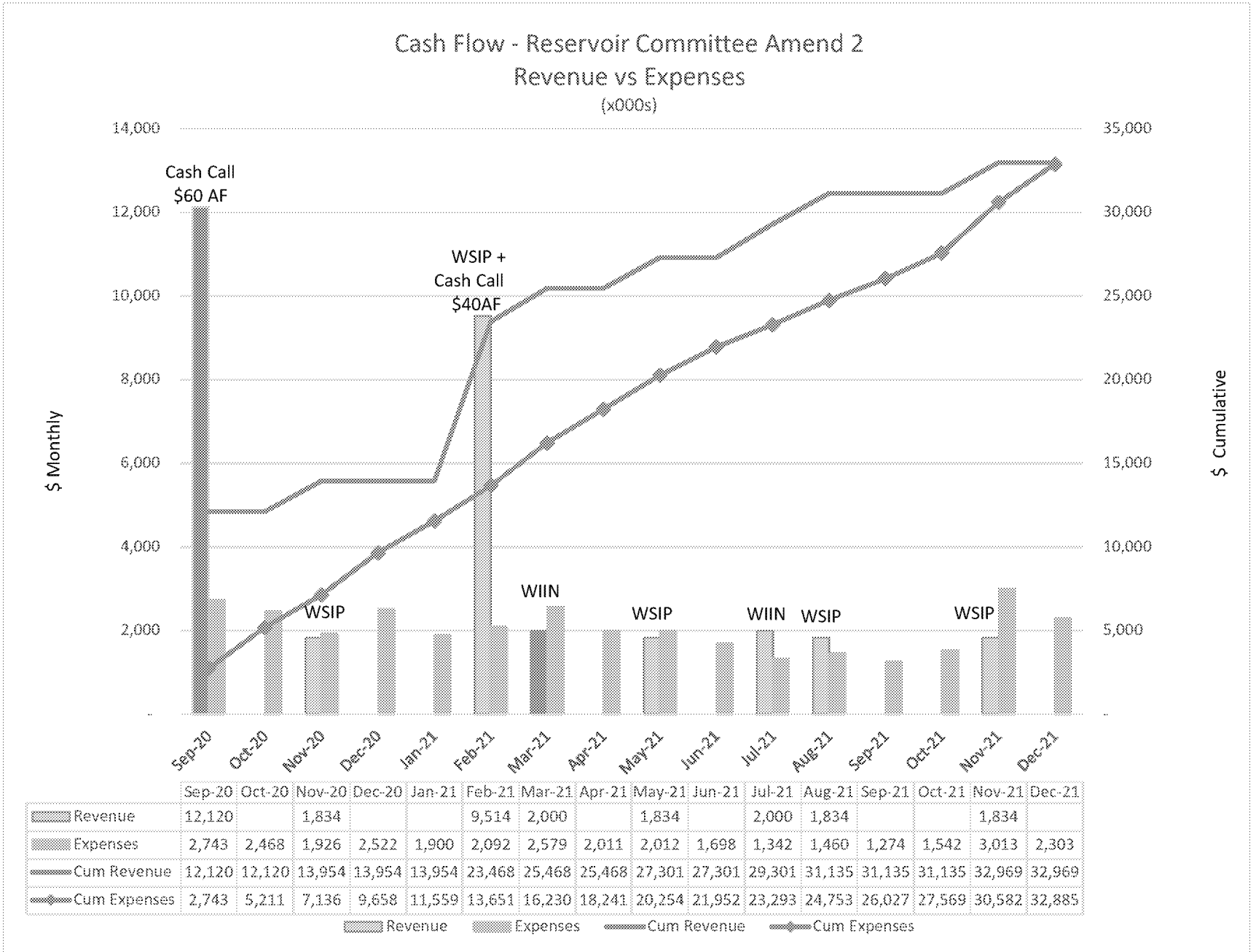
Activity ID	Activity Name	Ori Dur	Start	Finish
FAA-005	Prepare Draft FA Scope and Form 424	15	14-Jan-20	03-Feb-20
FAA-010	Review Scope with USBR	5	04-Feb-20	10-Feb-20
FAA-015	Prepare Budget Estimates, Narrative and Form 424A/B, SFLLL	15	11-Feb-20	02-Mar-20
FAA-020	Review with USBR	10	03-Mar-20	16-Mar-20
FAA-025	Finalize Draft FA	10	17-Mar-20	30-Mar-20
Plan of Finance		319	30-Apr-20	02-Aug-21
POF-005	Plan of Finance Update 1 (Update Affordability)	0	30-Apr-20*	
POF-010	Plan of Finance (Fin Feas)	0	01-Mar-21*	
POF-015	Plan of Finance (WIFIA Loan)	0	01-Apr-21*	
POF-020	WIFIA/LOI	0	30-Jun-21*	
POF-025	Plan of Finance (Home Board Pkg)	0		02-Aug-21*
WIFIA Loan Application		75	20-Jan-20	01-May-20
WL-005	Prepare Initial Loan Application	20	20-Jan-20*	14-Feb-20
WL-010	Review Loan Application	35	17-Feb-20	03-Apr-20
WL-015	Prepare Loan Application for Facilities	20	06-Apr-20	01-May-20
Risk Assessment		195	25-Feb-20	30-Nov-20
RA-005	Risk Analysis of Recommend Option Meeting	40	25-Feb-20	20-Apr-20
RA-010	Prepare Cost Risk Update	10	21-Apr-20	04-May-20
RA-020	Prepare RA	66	26-Aug-20	30-Nov-20*
RA-030	Submit Admin Final RA	0		30-Nov-20*
Cost of Year Deliveries		51	02-Jan-20	12-Mar-20
CYD-005	Prepare Progress Report	30	02-Jan-20	12-Feb-20
CYD-010	In-progress Briefing	1	13-Feb-20	13-Feb-20
CYD-015	Prepare Final Report	20	13-Feb-20	11-Mar-20
CYD-020	In-progress Briefing	1	12-Mar-20	12-Mar-20
Strategic Planner		338	01-Sep-20	31-Dec-21
STP-010	Strategic Planner	338	01-Sep-20	31-Dec-21



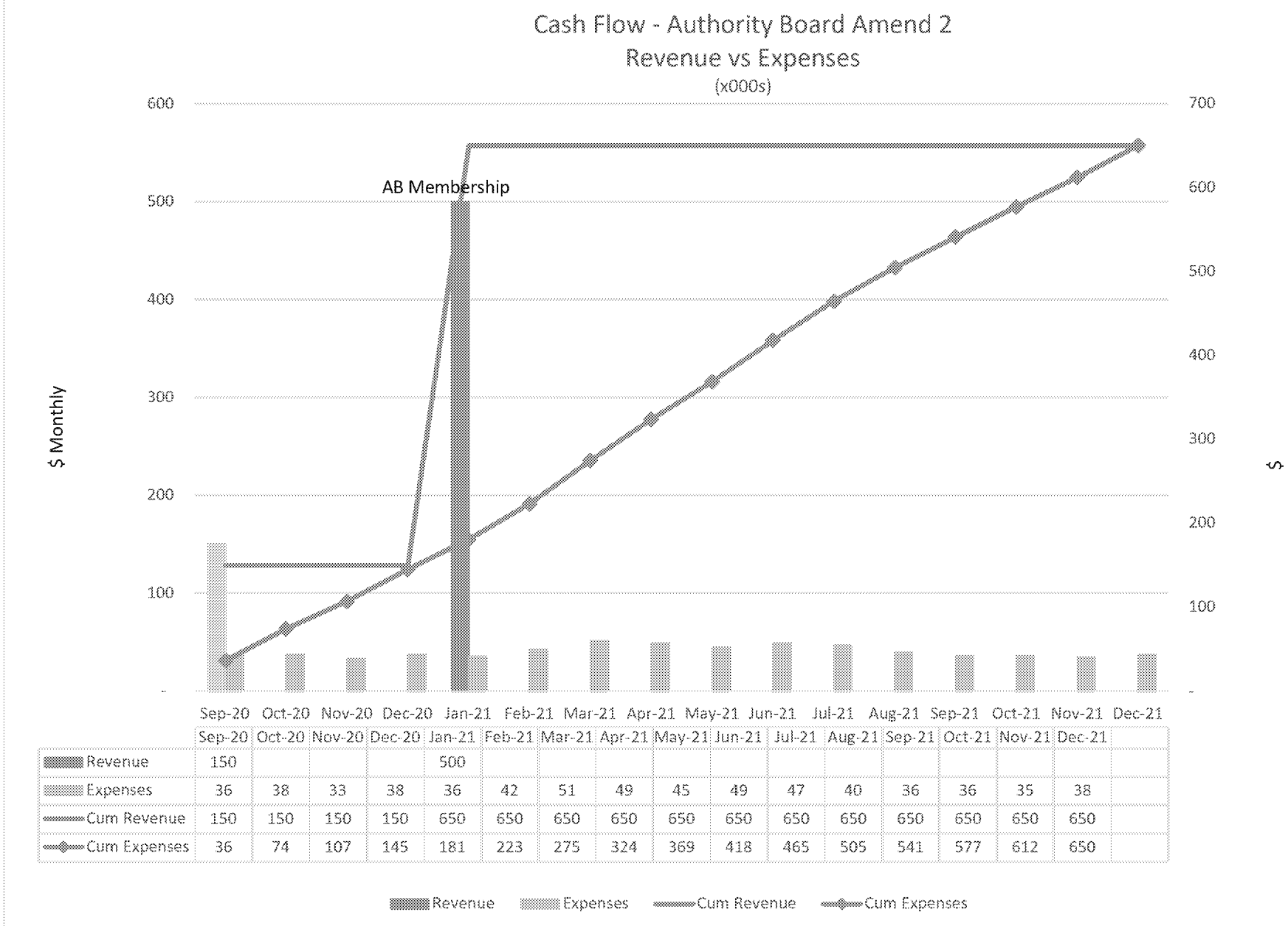
WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule



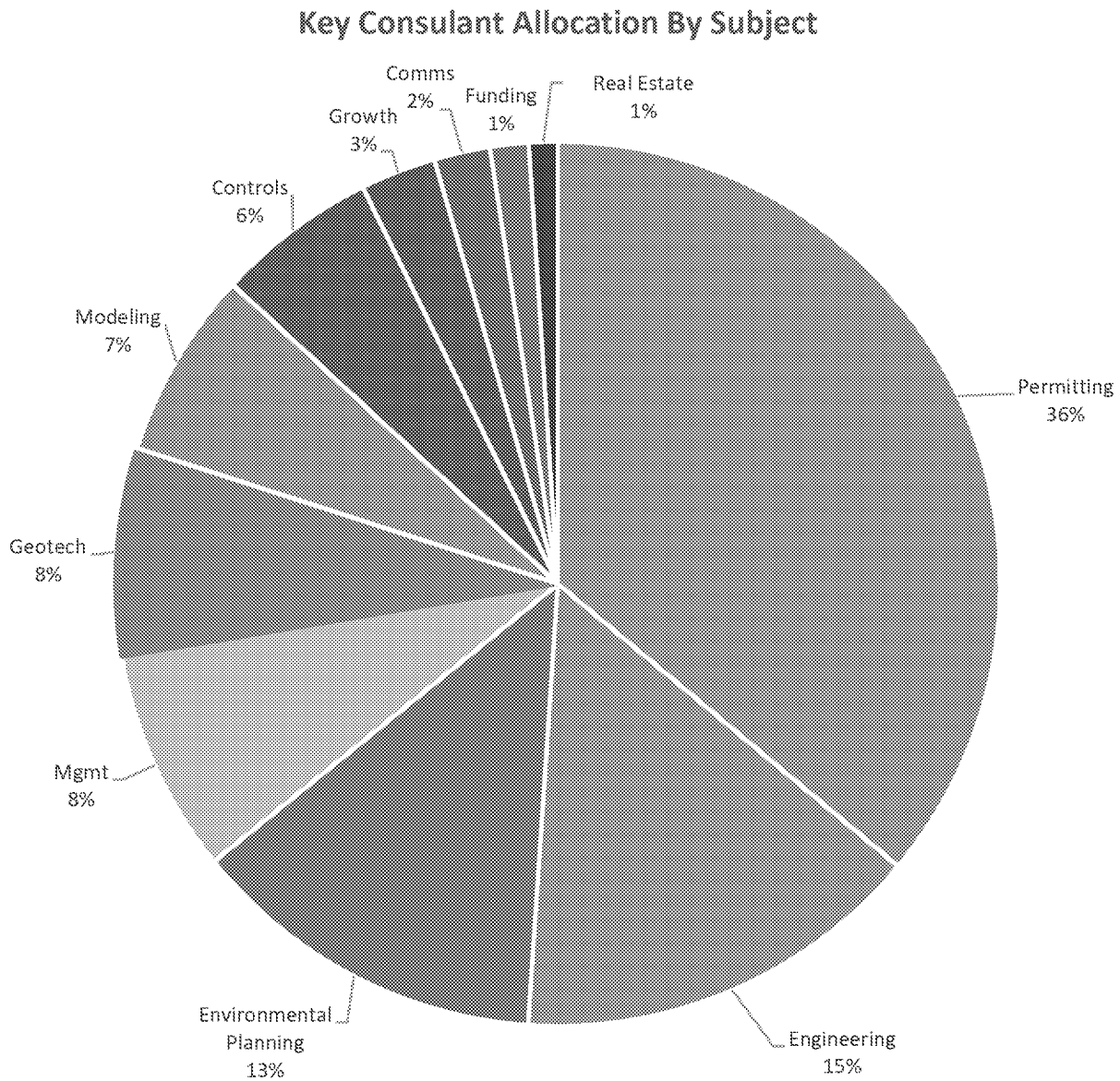
	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21
Revenue	12,120		1,834			9,514	2,000		1,834		2,000	1,834			1,834	
Expenses	2,743	2,468	1,926	2,522	1,900	2,092	2,579	2,011	2,012	1,698	1,342	1,460	1,274	1,542	3,013	2,303
Cum Revenue	12,120	12,120	13,954	13,954	13,954	23,468	25,468	25,468	27,301	27,301	29,301	31,135	31,135	31,135	32,969	32,969
Cum Expenses	2,743	5,211	7,136	9,658	11,559	13,651	16,230	18,241	20,254	21,952	23,293	24,753	26,027	27,569	30,582	32,885



	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21
Revenue	150				500											
Expenses	36	38	33	38	36	42	51	49	45	49	47	40	36	36	35	38
Cum Revenue	150	150	150	150	650	650	650	650	650	650	650	650	650	650	650	650
Cum Expenses	36	74	107	145	181	223	275	324	369	418	465	505	541	577	612	650

Summary Tasks Resource Name Funding Type

Budgeted Cost



Sites

Topic: **Authority Board Agenda Item 6-4** **2020 March 25**

Subject: **Extending to Current Participation Agreement**

Requested Action:

Discussion and possible direction to staff regarding the proposed amendment to the current participation agreement and supporting documents.

Detailed Description/Background:

In an effort to support the individual Reservoir Committee members to continue to garner support from their home boards for advancing the Amendment 2 work plan and associated cash call, staff is developing a package of documents that you can use to inform your home boards. This home board package is proposed to include the following:

- Amended Phase 2 Participation Agreement with update Exhibit B (summarized work plan).
- Value Planning Report that summarizes the efforts and proposed changes to "right size" the project.
- Draft Storage Policy that summarizes how each participant can utilize their share of the reservoirs' storage.
- 2019 Annual Report.
- A four-page prospectus key accomplishments and summarizing other on-going activities as well as identifying activities that will be focused upon under an additional cash call scenario.
- A template PowerPoint presentation that can be modified to fit the needs of your respective home boards.

Proposed Schedule:

March 19, 2020 – Provide working draft handout of the prospectus for comment.

March 19, 2020 – Receive direction from the committee if additional items are needed for to take to their home boards.

March 30, 2020 – Hold workshop to refine prospectus and power point presentation.

April 17, 2020 – Obtain final input and approval of the document package.

NOTE: Authority Board's consideration is scheduled for April 22.

May 1, 2020 – Distribute document package to representatives for use with their respective Board.

Draft_0027613

July 9, 2020 – Response from Home Board for inclusion into agenda package for July 16 Reservoir Committee meeting to:

1. Consider approving the participation responses.
2. Authorize preparation of the first invoice due in 30 days (i.e. September 1, 2020). Plan is to distribute invoices to home boards on or before July 31.
3. If needed, authorize the rebalancing process.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

None. A power point presentation will be presented at the meeting.

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JERRY BROWN, EXECUTIVE DIRECTOR
925.260.7417

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members *(NON-VOTING)*

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

Notice: Pursuant to Executive Orders N-25-20 & N-33-20, issued by Governor Newsom on March 12, 2020, and guidance by the California Department of Public Health dated March 11, 2020, this meeting will be conducted by teleconference. The public may attend the meeting and offer public comments by phone, using the call-in number provided below, or in person, at the address above. Members of the Committee will participate by teleconference from other locations.

May 27, 2020 ***1:30 p.m.***
Sites Project Authority
Agenda

Teleconference: **1-408-418-9388** Code: **967 741 493** WebEx Link

Welcome to a meeting of the Sites Joint Powers Authority. If you are scheduled to address the Board, please state your full name for the record. Regularly numbered items may be considered at any time during the meeting. All items are listed in accordance with the Ralph M. Brown Act. We invite all members of the public to attend.

CALL TO ORDER:

- Pledge of Allegiance.
- Introductions.
- Approve the May 27, 2020 Sites Project Authority Agenda.
- Period of Public Comment.

1. **Consent Agenda:** Approximate start time 1:40 pm

The following items have been reviewed by the Executive Director. To his knowledge, there is no opposition to the action. The items can be acted on in one consolidated motion as recommended or may be removed from the Consent Calendar and separately considered at the request of any person.

1.1 Consider approval of the April 22, 2020 Sites Project Authority Meeting Minutes.

1.2 Consider acceptance of the Sites Project Authority Treasurer's Report. **(Attachment 1.2 A)**

1.3 Consider approval of the monthly Payment of Claims. **(Attachment 1.3A,B,C)**

1.4 Consider approval of a consulting contract with The Catalyst Group for facilitation services in an amount not to exceed $50,000 and approve a time extension through December 31, 2020 for the existing contract with Darling H2O.

1.5 Consider approval of Resolution No. 2020-01, providing for an application to the USBR for a Financial Assistance Agreement for WIIN Act funds. **(Attachment 1.5A)**

2. Action Items: Approximate start time 1:45 pm

2.1 Consider acceptance of the attached Exhibit B (2020 and 2021 budget) to the Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the results of the "soft call". Consider approving one of the following actions:

A. Approve moving to the "hard call" and the use of the attached participation table to create a draft Exhibit A to be included with Amendment 2.

B. Direct staff to begin outreach to potential participants based on the priority system defined in participation agreements and the credit reimbursement policy to explore interest in Sites capacity, if available. **(Attachment 2.1 A & B)**

2.2 Consider approval of the draft Second Amendment to 2019 Reservoir Project Agreement. **(Attachments 2.2 A &B)**

2.3 Consider acceptance of the 2020-2021 Sites Project Federal Government Affairs Priorities. **(Attachment 2.3A)**

2.4 Consider approval of Resolution 2020-02 commending Mr. Jim Watson for his 5 years of dedicated service to the Sites Reservoir Project. **(Attachment 2.4 A)**

3. Discussion and Information Items: Approximate start time 2:45 pm

3.1 Review and Comment on Recirculated EIR and key permits milestone schedule and key decision points. **(Attachment 3.1A)**

3.2 Review and Comment on Outreach Efforts to Communicate the Rightsized Project.

3.3 Review and Comment on Efforts to Secure Proposition 1 Water Storage Investment Program implementation modifications in light of the continuing

effects of the COVID-19 pandemic on local government and water agency finances. **(Attachment 3.3 A &B)**

3.4 Review and Comment on the Reservoir Committee Workgroup and Authority Board Committee Structure and Assignments. **(Attachment 3.4 A & B)**

4. Reports: Approximate start time 3:30 pm

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

4.1.2 Committee Chairpersons' Report:

This time is set aside to allow the Committee Chairpersons' an opportunity to disclose/discuss items related to the Sites Project.

4.1.3 Authority Board Participant Reports:

This time is set aside to allow Directors or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

4.2 Executive Director's Report:

- Sites Project's monthly status report. **(Attachment 4-2 A)**
- Reservoir Committee update.

5. Future Meetings and Schedules: Approximate start time 4:20 pm

5.1 Suggested Future Agenda Items.

5.2 Upcoming meetings:

RESERVOIR COMMITTEE (NOTE DATE CHANGED FROM JUNE 19 TO JUNE 18)

THURSDAY, JUNE 18, 2020 1:00 PM
CALL NUMBER AND LOCATION TBD

AUTHORITY BOARD

WEDNESDAY, JUNE 24, 2020 1:30 PM
CALL NUMBER AND LOCATION TBD

ADJOURN

PERIOD OF PUBLIC COMMENT: Any person may speak about any subject of concern, provided it is within the jurisdiction of the Directors and is not already on today's agenda. The total amount of time allotted for receiving such public communication shall be limited to a total of 15 minutes per issue and each

individual or group will be limited to no more than 5 minutes each within the 15 minutes allocated per issue. ***Note:*** *No action shall be taken on comments made under this comment period.*

ADA COMPLIANCE: Upon request, agendas will be made available in alternative formats to accommodate persons with disabilities. In addition, any person with a disability who requires a modification or accommodation to participate or attend this meeting may request necessary accommodation. Please make your request to the Board Clerk, specifying your disability, the format in which you would like to receive this Agenda and any other accommodation required no later than 24 hours prior to the start of the meeting.

All supporting documentation is available for public inspection and review in the Sites Project Authority office located at 122 Old Highway 99 West Maxwell, CA 95955 during regular business hours 8:30 a.m. to 5:00 p.m., Monday through Friday.

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JERRY BROWN, EXECUTIVE DIRECTOR
925.260.7417

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members *(NON-VOTING)*

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

April 22, 2020 ***1:30 p.m.***
Sites Project Authority
Minutes

The Sites Project Authority Board of Directors met in Regular Session on April 22, 2020 at the hour of 1:30 p.m. **Directors Present:** Fritz Durst, Chair, Jeff Sutton, Vice-Chair, Gary Evans, Logan Dennis, Doug Parker, Bruce Houdesheldt, Jeff Harris, Leigh McDaniel, Don Bader and Rob Cooke. **Directors Absent:** Joe Marsh. *(Other Alternate Directors that may be listed as present below, did not participate in the decision-making process).*

Staff present:	Jerry Brown, Executive Director Jim Watson, General Manager Scott Kuney, General Counsel Jamie Traynham, Treasurer Kevin Spesert, Joe Trapasso, Ali Forsythe, Lee Frederiksen, Yolanda Tirado, Sites Project Authority
Associate Members present:	Jamie Traynham, TC-4 Ted Trimble, Western Canal Water District
Alternate Directors present:	Brett Ewart, City of Sacramento/Sacramento County Water
Others present:	Natalie Wolder, Bureau of Reclamation JP Robinette, Marcia Kivett, Brown and Caldwell Ed Horton, PCWA Erin Heydinger, Robert Boling, HDR Connor McDonald, CDM West Thad Bettner, GCID Laurie Warner Herson, Phenix Sara Katz, Katz and Associates

Mr. Brown provided a brief update on the process to be used for this meeting (Remotely held). He also spoke to the restructuring of the Agenda.

Pledge of Allegiance.

INTRODUCTION:

Chairman Durst asked those present to introduce themselves.

Chairman Durst made time to consider approval of the April 22, 2020 Sites Project Authority Agenda.

Mr. Brown requested Agenda Item 2.1c be removed from the April 22, 2020 Agenda. He also briefly spoke to the structure of the Agenda.

Mr. Kuney stated there was a typo on the Government Code Section of Agenda Item 5.1 Closed Session and noted 54857 (b)(1) should be 54957(b)(1)).

AGENDA APPROVAL:

Action: It was moved by Director Evans, seconded by Director Harris to approve the April 22, 2020 Sites Project Authority Agenda, as amended. Motion carried: All Directors present voted yes.

ANNOUNCEMENT OF CLOSED SESSION:

Mr. Kuney announced the Authority Board would be considering Closed Session as follows:

Independent Contractor/Public Employee/Discipline/Dismissal/Release (Gov. Code, § 54954.5(e) and 54957(b)(1)).

PERIOD OF PUBLIC COMMENT:

Chairman Durst called for a period of public comment. Hearing none, he closed the period of public comment.

1. **Consent Agenda:** It was moved by Jeff Harris, seconded by Jeff Sutton to approve Consent Agenda Item Numbers 1.1 through 1.5 as follows:

1.1 Approval of the March 25, 2020 Sites Project Authority Meeting Minutes.

1.2 Acceptance of the Sites Project Authority Treasurer's Report.

1.3 Approval of the monthly Payment of Claims.

1.4 Approval of a consulting agreement with CH2M Hill Engineers, Inc. (CH2M) for Service Area HC – Engineering Conveyance and approve an initial task order and budget in the not to exceed amount of $597,023.00 for services through August 31, 2020.

1.5 Approval of a consulting agreement with AECOM for Service Area HR – Engineering Reservoir and approve an initial task order and budget for services in the not to exceed amount of $599,381.00 for services through August 31, 2020. Motion carried: All Directors present voted yes.

2. Action Items:

2.1 Consider acceptance of the following items that reflect the direction to be taken in advancing the Project through the next stage of development:

a. Consider approval of the final report titled "Sites Project Value Planning Alternatives Appraisal Report, dated April 13, 2020" and the recommendations presented within and a recommendation to the Sites Project Authority to approve the final report titled "Sites Project Value Planning alternatives Appraisal Report, April 13, 2020" and the recommendations presented within. **(Attachment 2-1.a A)**

b. Consider approval of the work plan with a period of performance of September 1, 2020 to December 31, 2021 for the following uses: Planning cash call timing for participating agencies, Producing a draft Exhibit A, "Amendment 2 Work Plan", to the Second Amendment to 2019 Reservoir Project Agreement and Developing consultant task orders for the next stage of project development. **(Attachment 2-1.b A)**

c. Consider approval of the draft Second Amendment to the 2019 Reservoir Project Agreement. **(Attachment 2-1.c A)**

d. Consider direction for staff to revise and recirculate a Draft Environmental Impact Report (EIR) to analyze the environmental effects of the options identified in the Final Sites Project Value Planning Alternatives Appraisal Report dated April 13, 2020 (Report), including VP7. **(Attachment 2-1.d A)**

2.1.a Consider approval of the final report titled "Sites Project Value Planning Alternatives Appraisal Report, dated April 13, 2020" and the recommendations presented within and a recommendation to the Sites Project Authority to approve the final report titled "Sites Project Value Planning Alternatives Appraisal Report, April 13, 2020" and the recommendations presented within. **(Attachment 2-1.a A)**

Mr. Brown provided an update regarding the Sites Project Value Planning Alternatives Appraisal Report, dated April 13, 2020, which, incorporated all prior comments by the Authority Board and Reservoir Committee members from the March 30, 2020 Workshop. He stated this report is the final resolve to the project as it relates to all the different alternatives and analyses completed. Discussion followed regarding conveyance, reservoir size and decrease/increase of subscription/participation, repayment costs and hydropower capacity.

Action: It was moved by Sutton, seconded by Harris to approve the final report titled "Sites Project Value Planning Alternatives Appraisal Report, dated April 13, 2020" and the recommendations presented within and a recommendation to the Sites Project Authority to approve the final report titled "Sites Project Value Planning Alternatives Appraisal Report, April 13, 2020" and the

recommendations presented within. Motion carried: All Directors present voted yes.

Chairman Durst expressed his appreciation to Mr. Watson, AECOM and staff on their hard work to get to this point.

2.1.b Consider approval of the work plan with a period of performance of September 1, 2020 to December 31, 2021 for the following uses: Planning cash call timing for participating agencies, Producing a draft Exhibit A, "Amendment 2 Work Plan", to the Second Amendment to 2019 Reservoir Project Agreement and Developing consultant task orders for the next stage of project development. **(Attachment 2-1.b A)**

Mr. Robinette provided an overview of the Work Plan (Amendment 2) from September 1, 2020 through December 31, 2021 and spoke to the following:

- Work Plan Goals and Schedule Targets.
- Project Schedule Targets.
- Deliverables.
- Rebalancing process.
- Revenue Budget which includes Cash calls, annual seat dues, State and Federal Funding.
- Expense Budget.
- Work Plan Cash Flow ($60/AF, due September 1, 2020 and $40/AF, due February 1, 2021).
- Activities needed to complete the Planning Phase.

Brief discussion followed as to whether the repayment/reimbursement credit was included in the financial plan. Mr. Brown stated it was not included in the financial plan.

Action: It was moved by Houdesheldt, seconded by Sutton to approve the work plan with a period of performance of September 1, 2020 to December 31, 2021 for the following uses: Planning cash call timing for participating agencies, Producing a draft Exhibit A, "Amendment 2 Work Plan", to the Second Amendment to 2019 Reservoir Project Agreement and Developing consultant task orders for the next stage of project development.

Motion carried: All Directors present voted yes.

2.1.c Consider approval of the draft Second Amendment to the 2019 Reservoir Project Agreement. **(Attachment 2-1.c A)**

Pursuant to Mr. Brown's request, Chairman Durst removed the approval of the draft Second Amendment to the 2019 Reservoir Project Agreement from the Agenda.

2.1.d Consider direction for staff to revise and recirculate a Draft Environmental Impact Report (EIR) to analyze the environmental effects of the options

identified in the Final Sites Project Value Planning Alternatives Appraisal Report dated April 13, 2020 (Report), including VP7. **(Attachment 2-1.d A)**

Action: It was moved by Harris, seconded by Evans to direct staff to revise and recirculate a Draft EIR to analyze the environmental effects of the options identified in the Final Sites Project Value Planning Alternatives Appraisal Report dated April 13, 2020 (Report), including VP7.

Motion carried: All Directors present voted yes.

2.2 Consider approval of the Sites Project message platform which has been incorporated into informational materials describing the results of the value planning effort and the proposed work plan and will be used for communicating the Project to all audiences. **(Attachments 2-2 A, B, C & D)**

Mr. Brown and Mr. Spesert provided an update regarding the Sites Project message platform and it's 5 key message themes and proof statements that will serve as the foundation of all future messaging for the project and in informational materials.

Mr. Spesert spoke to the 5 Key messages as follows:

- Key Message 1: Sites Reservoir is a 21st Century Multi-Benefit Solution to California's Water Reliability Challenges.
- Key Message 2: Our Strength is in our diverse statewide Participation.
- Key Message 3: Sites Reservoir is a "Right Sized" Project that will meet our water supply needs for today and in the future.
- Key Message 4: Sites Reservoir provides environmental, water supply, flood protection and recreation benefits for the State of California for generations to come.
- Key Message 5: We are on-track to deliver this vital project for the people of California.

Mr. Spesert also spoke to a rollout plan within the next few months, e.g. press release, E-blast, Landowner newsletter, meeting with Yolo County Supervisor Dwayne Chamberlin and various other media activities.

Brief discussion followed.

Action: It was moved by Harris, seconded by Dennis to approve the Sites Project message platform which has been incorporated into informational materials describing the results of the value planning effort and the proposed work plan and will be used for communicating the Project to all audiences.

Motion carried: All Directors present voted yes.

2.3 Consider acceptance of the following actions by the Authority Board relative to the approved Organization Assessment (OA) Report as follows:

a. Consider acceptance of the plan and schedule for addressing the report findings and recommendations. **(Attachment 2-3 A)**

b. Consider concurrence with the scope, schedule and budget for strategic planning facilitation services. **(Attachment 2-3 B)**

c. Consider approval to release a Request for Proposals for strategic planning facilitation services.

Mr. Brown provided a brief update on the approved OA Report which included findings and recommendations aimed at improving the overall effectiveness of the organization. Brief discussion followed regarding the RFP. He stated the Selection Committee would be conducting interviews and asked whether anyone would be interested in serving on the Selection Committee. Brief discussion followed as to whether the RFPs would be available to others of the public who would be interested in submitting and RFP.

Ms. Dyer volunteered to serve on the Selection Committee for strategic planning facilitation services.

Action: It was moved by Houdesheldt, seconded by Harris to accept the following actions by the Authority Board relative to the approved Organization Assessment (OA) Report as follows:

a. Acceptance of the plan and schedule for addressing the report findings and recommendations.

b. Concurrence with the scope, schedule and budget for strategic planning facilitation services.

c. Approval to release a Request for Proposals for strategic planning facilitation services.

Motion carried: All Directors present voted yes.

3. Discussion and Information Items:

3.1 Review and comment on the letter received from participating member Wheeler Ridge-Maricopa Water Storage District (Wheeler Ridge) requesting a broad water right place of use commitment from the Project. **(Attachment 3-1 A & B)**

Mr. Brown provided a brief update regarding a letter received from participating member Wheeler Ridge-Maricopa Water Storage District in which they were requesting a broad water right place of use commitment from the Project. He stated Wheeler-Ridge is satisfied with their response to this matter and no action is required at this time.

3.2 Review and comment on status of the Service Area G – Real Estate contract.

Mr. Spesert provided an update on the Service Area G – Real Estate contract. He stated this matter was delegated to the Joint Authority/Reservoir Committee Coordination Committee on how to proceed, which resulted in

the decision to allow the existing Real Estate contract to expire June 30, 2020. He further stated Real Estate services through 2021 will be limited to securing temporary right of entry (TROE) permits in support of engineering and environmental field activities and to support landowner engagement activities. Authority agents would be taking care of any real estate activities.

Brief discussion followed with no action taken.

4. Reports:

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

Policy and Governance:

Chairman Durst stated due to the COVID-19 situation different modes of communication with State and Federal members have come about (remotely held meetings). He provided a brief update on the following:

- Discussions/meetings with Congressman LaMalfa and Congressman Garamendi.
- Working on scheduling meetings with staff from Senator Feinstein, Senator Nielsen and Assemblyman Gallagher's offices.
- Expressed appreciation to Sara Katz, Katz & Associates for messaging assistance.
- Need to reach out to NGOs.
- Coordination Committee twice per month meetings.

Legislative & Outreach Ad hoc Committee:

Vice-chairman Sutton concurred with Chairman Durst regarding the need to reach out to the NGOs. He spoke to exploring funding opportunities that arising from the stimulus and the Messaging Platform.

Mr. Spesert stated in addition to the meeting with staff from Senator Feinstein's office, a meeting with staff from Congressman Huffman's office is also scheduled. He stated further a meeting with the Legislative and Outreach Committee to discuss refining and prioritizing federal funding strategy will be scheduled within the next week or two.

4.1.2 Committee Chairpersons' Report:

This time is set aside to allow the Committee Chairpersons' an opportunity to disclose/discuss items related to the Sites Project.

Land Management Ad hoc Committee:

Director Evans stated a meeting has been scheduled for April 23, 2020 with Yolo County Supervisor Chamberlain regarding the pipeline. He stated further contact with individual landowners regarding the pipelines has also been initiated.

Budget and Finance Ad hoc Committee:

Ms. Traynham stated the 2019 Annual Audit is almost completed and a Report on same will be presented at the May 27, 2020 Authority meeting.

4.1.3 Authority Board Participant Reports:

This time is set aside to allow Directors or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

None.

4.2 Executive Director's Report:

Director Brown provided a brief overview of the following:

- Sites Project's monthly status report. **(Attachment 4-2 A)**
- Sites Project's monthly Proposition 1, WSIP activities and WIIN Act Funding. **(Attachment 4-2 B)**
- Reservoir Committee update.

RECESS:

Chairman Durst declared a recess at 3:20 p.m. and convened into Closed Session at 3:25 p.m. to consider the following matter:

5. Closed Session:

5.1 Independent Contractor/Public Employee/Discipline/Dismissal/Release (Govt. Code §§ 54954.5(e) and 54957(b)(1)).

Chairman Durst adjourned Closed Session at 3:40 p.m. and reconvened into Open Session.

6. Report from Closed Session:

Mr. Kuney stated as to Closed Session regarding the Independent Contract/Public Employee/Discipline/Dismissal/Release (Gov. Code Section 54954(e) and 54957(b)(1)), he announced the Authority Board voted to approve an agreement between the Authority and JC Watson, Inc with regard to the Sites Project.

7. Future Meetings and Schedules:

7.1 Mr. Brown stated this is on for any Board member who might want to add an item to the May 27, 2020 Agenda.

7.2 Upcoming meetings:

RESERVOIR COMMITTEE

THURSDAY, MAY 21, 2020 1:00 PM
CALL NUMBER AND LOCATION TBD

AUTHORITY BOARD

WEDNESDAY, MAY 27, 2020 1:30 PM
CALL NUMBER AND LOCATION TBD

Chairman Durst adjourned the meeting at 3:45 p.m.

_____ _____
Fritz Durst, Chairman Yolanda Tirado, Board Clerk

Sites

Topic: **Authority Board Agenda Item 1.2** **2020 May 27**

Subject: **Treasurer's Report**

Requested Action:

Consider acceptance of the Sites Project Authority Treasurer's Report as presented in Attachment 1.2A.

Detailed Description/Background:

Attachment 1.2A incorporates financial information through April 30, 2020.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: May 2020 Treasurer's Report.

Draft_0027629

SITES JOINT POWERS AUTHORITY
TREASURER'S REPORT
April 30, 2020

Savings Account-River City Bank				
Beginning Balance	04/01/2020		$ 3,019,583.31	
Transfer to General Checking to max benefit			$ (444,529.69)	
Interest Earned			$ 2,879.50	
River City Bank ICS Savings Account Balance	**04/30/2020**			$ 2,577,933.12
General Operating Checking Account-River City Bank				
Beginning Balance	04/01/2020		$ 1,220,682.01	
RECEIVED:				
Interest Earned		$ 1,S42.10		
Membership Revenue		$ SS,000.00		
Reservoir Revenue		$ 16,317.92		
TOTAL RECEIVED			$ 72,860.02	
DISBURSED:				
Bank Fees		$ (1S7.88)		
Administrative Support		$ (6,813.4S)		
Computer Service		$ (911.7S)		
General Manager		$ (40,026.70)		
Accounting Fees		$ (4,890.00)		
Office Expenses		$ (74S.84)		
Professional Fees-Legislative/Regulatory		$ (8,000.00)		
Professional Fees-Communication		$ (12,716.11)		
Professional Fees-Organizatioal Assessment		$ (11,727.84)		
Professional Fees-Fed Gov't Affairs Support		$ (1S,000.00)		
Professional Fees-Legal Services		$ (14,928.S0)		
TOTAL DI5BURSED			$ (115,918.07)	
Transfer from Savings to max benefit			$ 444,529.69	
RCB General Checking Account Balance	**04/30/2020**			$ 1,622,153.65
State (WSIP) Fund Checking Account-River City Bank				
Beginning Balance	04/01/2020		$ 5,168,788.12	
DISBURSED:				
Bus/Comm Manager		$ (21,490.64)		
Program Op Manager		$ (28,449.40)		
Professional Fees-Engineering		$ (27,322.61)		
Professional Fees-Special Legal		$ (11,9S2.00)		
Professional Fees-Project Controls		$ (236,713.61)		
Professional Fees-Operations/SIM Modeling		$ (99,S2S.41)		
Professional Fees-EPP Manager		$ (30,349.03)		
Professional Fees-Geotech Eng		$ (11,187.16)		
Professional Fees-Project Integration		$ (196,668.86)		
Professional Fees-Reservoir Operations		$ (11,200.00)		
Professional Fees-Municipal Advisor		$ (S,3S0.00)		
Professional Fees-Env/Biological Serv & Permit		$ (84,S72.48)		
TOTAL DISBURSED			$ (764,781.20)	
RCB State Fund Checking Account Balance	**04/30/2020**			$ 4,404,006.92
TOTAL CA5H ON HAND	**April 30, 2020**			$ 8,604,093.69

Sites Project Joint Powers Authority
Transactions by Account
As of April 30, 2020

Type	Date	Num	Name	Memo	Debit	Credit	Balance
River City ICS Savings							3,019,583.31
General Journal	04/03/2020	4-1C		adjust ICS balance to max		196,867.28	2,822,716.03
General Journal	04/23/2020	4-2C		transfer to max ICS		247,662.41	2,575,053.62
General Journal	04/30/2020	4-4C		rec int fme	2,879.50		2,577,933.12
Total River City ICS Savings					2,879.50	444,529.69	2,577,933.12
River City Operating Account							1,220,682.01
General Journal	04/03/2020	4-1C		adjust ICS balance to max	196,867.28		1,417,549.29
Deposit	04/11/2020			Westside WD	55,000.00		1,472,549.29
Bill Pmt -Check	04/14/2020	2127	Adept Solutions Inc			911.75	1,471,637.54
Bill Pmt -Check	04/14/2020	212B	Darling H2O Consulting, Inc.			11,727.84	1,459,909.70
Bill Pmt -Check	04/14/2020	2129	Dunn Consulting			8,000.00	1,451,909.70
Bill Pmt -Check	04/14/2020	2130	J.C. Watson, Inc			40,026.70	1,411,883.00
Bill Pmt -Check	04/14/2020	2131	Katz and Associates, Inc			12,716.11	1,399,166.89
Bill Pmt -Check	04/14/2020	2132	KCoe Isom, LLP			4,890.00	1,394,276.89
Bill Pmt -Check	04/14/2020	2133	M.R. Cleaning Services			100.00	1,394,176.89
Bill Pmt -Check	04/14/2020	2134	Maximun Pest Control			65.00	1,394,111.89
Bill Pmt -Check	04/14/2020	2135	Mt Shasta Spring Water			51.65	1,394,060.24
Bill Pmt -Check	04/14/2020	2136	Recology Butte Colusa Counties			35.95	1,394,024.29
Bill Pmt -Check	04/14/2020	2137	Rush Personnel Services, Inc			6,813.45	1,387,210.84
Bill Pmt -Check	04/14/2020	213B	The Ferguson Group			15,000.00	1,372,210.84
Bill Pmt -Check	04/14/2020	2139	US Bank			493.24	1,371,717.60
Bill Pmt -Check	04/14/2020	2140	Young Wooldridge LLP			14,928.50	1,356,789.10
General Journal	04/23/2020	4-2C		transfer to max ICS	247,662.41		1,604,451.51
General Journal	04/28/2020	4-3C		Bank service fee		157.88	1,604,293.63
Deposit	04/30/2020			Antelope/E Kern WA	16,317.92		1,620,611.55
General Journal	04/30/2020	4-5C		rec int fme	1,542.10		1,622,153.65
Total River City Operating Account					517,389.71	115,918.07	1,622,153.65
River City State Fund Checking							5,168,788.12
Bill Pmt -Check	04/14/2020	1026	AECOM Technical Services, Inc.			27,322.61	5,141,465.51
Bill Pmt -Check	04/14/2020	1027	Brown and Caldwell			236,713.61	4,904,751.90
Bill Pmt -Check	04/14/2020	1028	CH2M Hill Engineers, Inc			99,525.41	4,805,226.49
Bill Pmt -Check	04/14/2020	1029	Forsythe Group LLC			30,349.03	4,774,877.46
Bill Pmt -Check	04/14/2020	1030	Fugro USA Land, Inc			11,187.16	4,763,690.30
Bill Pmt -Check	04/14/2020	1031	HDR Engineering Inc			196,668.86	4,567,021.44
Bill Pmt -Check	04/14/2020	1032	ICF Jones & Stokes Inc			84,572.48	4,482,448.96
Bill Pmt -Check	04/14/2020	1033	MBK Engineers Inc			11,200.00	4,471,248.96
Bill Pmt -Check	04/14/2020	1034	Montague DeRose and Associat...			5,350.00	4,465,898.96
Bill Pmt -Check	04/14/2020	1035	Perkins Coie LLP			11,952.00	4,453,946.96
Bill Pmt -Check	04/14/2020	1036	Spesert Consulting			21,490.64	4,432,456.32
Bill Pmt -Check	04/14/2020	1037	Trapasso Consulting Services			28,449.40	4,404,006.92
Total River City State Fund Checking					0.00	764,781.20	4,404,006.92
US Bank Checking							0.00
Total US Bank Checking							0.00
TOTAL					**520,269.21**	**1,325,228.96**	**8,604,093.69**

Draft_0027631

Sites Project Joint Powers Authority
Balance Sheet
As of April 30, 2020

	Apr 30, 20	
ASSETS		
Current Assets		
Checking/Savings		
River City ICS Savings		2,577,933.12
River City Operating Account		1,622,153.65
River City State Fund Checking		4,404,006.92
Total Checking/Savings		8,604,093.69
Accounts Receivable		
Accounts Rec Members		
Membership - Authority	68,000.00	
Membership - Reservoir	-4,988.20	
Total Accounts Rec Members		63,011.80
Grants Receivable		
California Water Commission	1,957,712.12	
Total Grants Receivable		1,957,712.12
Total Accounts Receivable		2,020,723.92
Total Current Assets		10,624,817.61
TOTAL ASSETS		**10,624,817.61**
LIABILITIES & EQUITY		
Liabilities		
Current Liabilities		
Accounts Payable		
Accounts Payable		714,999.16
Total Accounts Payable		714,999.16
Total Current Liabilities		714,999.16
Long Term Liabilities		
Participant Reimbursement Polic		6,064,652.67
Total Long Term Liabilities		6,064,652.67
Total Liabilities		6,779,651.83
Equity		
Net Assets		1,929,216.58
Retained Earnings		2,011,661.73
Net Income		-95,712.53
Total Equity		3,845,165.78
TOTAL LIABILITIES & EQUITY		**10,624,817.61**

FOR MANAGEMENT PURPOSES ONLY

Sites Project Joint Powers Authority
A/R Aging Summary
As of April 30, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
CA Department of Water Resources	0.00	0.00	1,957,712.12	0.00	0.00	1,957,712.12
City of American Canyon	0.00	0.00	0.00	0.00	-4,988.20	-4,988.20
County of Glenn	0.00	0.00	0.00	55,000.00	0.00	55,000.00
Yolo County Flood Control	0.00	0.00	0.00	0.00	13,000.00	13,000.00
TOTAL	**0.00**	**0.00**	**1,957,712.12**	**55,000.00**	**8,011.80**	**2,020,723.92**

Sites Project Joint Powers Authority
A/P Aging Summary
As of April 30, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
Adept Solutions Inc	932.11	986.85	0.00	0.00	0.00	1,918.96
AECOM Technical Services, Inc.	15,863.36	0.00	0.00	0.00	0.00	15,863.36
Brown and Caldwell	116,632.37	0.00	0.00	0.00	0.00	116,632.37
CH2M Hill Engineers, Inc	0.00	93,369.39	0.00	0.00	0.00	93,369.39
Darling H2O Consulting, Inc.	1,299.38	0.00	0.00	0.00	0.00	1,299.38
Dunn Consulting	8,000.00	0.00	0.00	0.00	0.00	8,000.00
Fechter & Company CPA	0.00	10,760.40	0.00	0.00	0.00	10,760.40
Forsythe Group LLC	30,000.00	0.00	0.00	0.00	0.00	30,000.00
Fugro USA Land, Inc	12,562.77	0.00	0.00	0.00	0.00	12,562.77
HDR Engineering Inc	168,613.06	0.00	0.00	0.00	0.00	168,613.06
ICF Jones & Stokes Inc	0.00	68,898.24	0.00	0.00	0.00	68,898.24
J.C. Watson, Inc	38,230.00	0.00	0.00	0.00	0.00	38,230.00
Katz and Associates, Inc	0.00	17,737.49	0.00	0.00	0.00	17,737.49
KCoe Isom, LLP	2,695.00	0.00	0.00	0.00	0.00	2,695.00
Larsen Wurzel & Associates, Inc	458.00	0.00	0.00	0.00	0.00	458.00
Maximun Pest Control	65.00	0.00	0.00	0.00	0.00	65.00
MBK Engineers Inc	0.00	4,716.75	0.00	0.00	0.00	4,716.75
Montague DeRose and Associates, LLC	12,725.00	0.00	0.00	0.00	0.00	12,725.00
Mt Shasta Spring Water	19.65	0.00	0.00	0.00	0.00	19.65
Recology Butte Colusa Counties	35.95	0.00	0.00	0.00	0.00	35.95
Rush Personnel Services, Inc	5,644.80	0.00	0.00	0.00	0.00	5,644.80
Spesert Consulting	21,343.76	0.00	0.00	0.00	0.00	21,343.76
The Ferguson Group	0.00	15,000.00	0.00	0.00	0.00	15,000.00
Trapasso Consulting Services	28,000.00	0.00	0.00	0.00	0.00	28,000.00
US Bank	302.11	0.00	0.00	0.00	0.00	302.11
Waterology Consulting	33,750.00	0.00	0.00	0.00	0.00	33,750.00
Young Wooldridge LLP	6,357.72	0.00	0.00	0.00	0.00	6,357.72
TOTAL	**503,530.04**	**211,469.12**	**0.00**	**0.00**	**0.00**	**714,999.16**

Sites Project Joint Powers Authority
Profit & Loss
April 2020

	Apr 20	Jan - Apr 20
Ordinary Income/Expense		
Income		
Membership Admin/Authority	0.00	505,000.00
Prop 1 Funding	0.00	1,957,712.12
Total Income	0.00	2,462,712.12
Gross Profit	0.00	2,462,712.12
Expense		
Accounting Expense	2,695.00	12,199.00
Admin Support Gen Manager	5,644.80	21,322.35
Audit Fees	10,760.40	10,760.40
Bank Service Fees	157.88	674.31
General Manager		
Services	38,230.00	157,963.40
Total General Manager	38,230.00	157,963.40
Insurance - Property	0.00	250.00
Insurance Liability	0.00	1,604.25
Office Expenses		
Cleaning	0.00	500.00
Misc Office & Operating Exp	302.11	2,640.32
Pest Control & Maintenance	65.00	260.00
Trash Service	35.95	143.80
Water Expense	19.65	168.55
Total Office Expenses	422.71	3,712.67
Professional Fees		
Bus/Comm Manager	21,343.76	87,401.85
Communication	17,737.49	50,106.15
Cost Development Model	458.00	1,168.60
Cultural Study	0.00	3,885.55
Engineering & Technical Service	15,863.36	106,405.61
Env/Biological Services	36,310.66	77,003.42
EPP Manager	30,000.00	122,059.09
Executive Director	33,750.00	33,750.00
Federal Government Affairs Supp	15,000.00	60,000.00
General Legal Counsel	6,357.72	37,572.48
Geotechnical Engineering	12,562.77	36,972.94
Legislative/Reg/Strategic	8,000.00	32,000.00
Municipal Advisor	12,725.00	20,000.00
Operations/Simulation Modeling	93,369.39	253,417.11
Organizational Assessment	1,299.38	29,693.41
Permitting & Agreements	32,587.58	117,703.15
Program Ops Manager	28,000.00	114,510.24
Project Controls	116,632.37	460,055.12
Project Integration	168,613.06	675,474.08
Real Estate	0.00	761.67
Reservoir Operations	4,716.75	17,017.75
Special Legal	0.00	30,402.90
Total Professional Fees	655,327.29	2,367,361.12
Website, Data, Computer Support	1,918.96	5,333.38
Total Expense	715,157.04	2,581,180.88
Net Ordinary Income	-715,157.04	-118,468.76
Other Income/Expense		
Other Income		
Interest Income		
Interest Income-River City	4,421.60	22,756.23
Total Interest Income	4,421.60	22,756.23

Sites Project Joint Powers Authority
Profit & Loss
April 2020

	Apr 20	Jan - Apr 20
Total Other Income	4,421.60	22,756.23
Net Other Income	4,421.60	22,756.23
Net Income	-710,735.44	-95,712.53

Sites Project Joint Powers Authority
Transaction Detail By Account
April 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Accounting Expense								
Bill	04/30/2020	KC084322	KCoe Isom, LLP	4/20	Authority	539.00		-539.00
Bill	04/30/2020	KC084322	KCoe Isom, LLP	4/20	Reservoir	2,156.00		-2,695.00
Total Accounting Expense						2,695.00	0.00	-2,695.00
Admin Support Gen Manager								
Bill	04/21/2020	136553	Rush Personnel Services, ...	4/20	Authority	282.24		-282.24
Bill	04/21/2020	136553	Rush Personnel Services, ...	4/20	Reservoir	1,128.96		-1,411.20
Bill	04/21/2020	136554	Rush Personnel Services, ...	4/20	Authority	282.24		-1,693.44
Bill	04/21/2020	136554	Rush Personnel Services, ...	4/20	Reservoir	1,128.96		-2,822.40
Bill	04/30/2020	136720	Rush Personnel Services, ...	4/20	Authority	282.24		-3,104.64
Bill	04/30/2020	136720	Rush Personnel Services, ...	4/20	Reservoir	1,128.96		-4,233.60
Bill	04/30/2020	136721	Rush Personnel Services, ...	5/20	Authority	282.24		-4,515.84
Bill	04/30/2020	136721	Rush Personnel Services, ...	5/20	Reservoir	1,128.96		-5,644.80
Total Admin Support Gen Manager						5,644.80	0.00	-5,644.80
Audit Fees								
Bill	04/15/2020	Letter	Fechter & Company CPA	4/20	Reservoir	10,760.40		-10,760.40
Total Audit Fees						10,760.40	0.00	-10,760.40
Bank Service Fees								
General J...	04/28/2020	4-3C		8ank service fee	Authority	75.78		-75.78
General J...	04/28/2020	4-3C		Bank service fee	Reservoir	82.10		-157.88
Total Bank Service Fees						157.88	0.00	-157.88
General Manager								
Services								
Bill	04/30/2020	SPA-057	J.C. Watson, Inc	4/20	Authority	3,823.00		-3,823.00
Bill	04/30/2020	SPA-057	J.C. Watson, Inc	4/20	Reservoir	34,407.00		-38,230.00
Total Services						38,230.00	0.00	-38,230.00
Total General Manager						38,230.00	0.00	-38,230.00
Office Expenses								
Misc Office & Operating Exp								
Bill	04/30/2020	Online 5/1	US Bank	4/20	Authority	226.58		-226.58
Bill	04/30/2020	Online 5/1	US Bank	4/20	Reservoir	75.53		-302.11
Total Misc Office & Operating Exp						302.11	0.00	-302.11
Pest Control & Maintenance								
Bill	04/23/2020	50797	Maximun Pest Control	4/20	Authority	65.00		-65.00
Total Pest Control & Maintenance						65.00	0.00	-65.00
Trash Service								
Bill	04/30/2020	37208147	Recology Butte Colusa Co...	4/20	Authority	35.95		-35.95
Total Trash Service						35.95	0.00	-35.95
Water Expense								
Bill	04/01/2020	486476	Mt Shasta Spring Water	4/20	Authority	10.00		-10.00
Bill	04/02/2020	483104	Mt Shasta Spring Water	4/20	Authority	9.65		-19.65
Total Water Expense						19.65	0.00	-19.65
Total Office Expenses						422.71	0.00	-422.71
Professional Fees								
Bus/Comm Manager								
Bill	04/30/2020	05-20	Spesert Consulting	4/20	Reservoir	21,343.76		-21,343.76
Total Bus/Comm Manager						21,343.76	0.00	-21,343.76
Communication								
Bill	04/14/2020	413694	Katz and Associates, Inc	3/20 (C)	Authority	10,642.50		-10,642.50
Bill	04/14/2020	413694	Katz and Associates, Inc	3/20 (C)	Reservoir	7,094.99		-17,737.49
Total Communication						17,737.49	0.00	-17,737.49
Cost Development Model								
Bill	04/15/2020	1609000-0320	Larsen Wurzel & Associat...	3/20	Reservoir	458.00		-458.00
Total Cost Development Model						458.00	0.00	-458.00
Engineering & Technical Service								
Bill	04/30/2020	2000353965	AECOM Technical Service...	4/20	Reservoir	15,863.36		-15,863.36
Total Engineering & Technical Service						15,863.36	0.00	-15,863.36
Env/Biological Services								
Bill	04/14/2020	0146105	ICF Jones & Stokes Inc	3/20 (E)	Reservoir	36,310.66		-36,310.66
Total Env/Biological Services						36,310.66	0.00	-36,310.66
EPP Manager								
Bill	04/30/2020	SPA-202004	Forsythe Group LLC	4/20	Reservoir	30,000.00		-30,000.00
Total EPP Manager						30,000.00	0.00	-30,000.00
Executive Director								

Sites Project Joint Powers Authority
Transaction Detail By Account
April 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Bill	04/30/2020	1	Waterology Consulting	4/20	Reservoir	33,750.00		-33,750.00
Total Executive Director						33,750.00	0.00	-33,750.00
Federal Government Affairs Supp								
Bill	04/01/2020	0420149	The Ferguson Group	4/20	Authority	7,500.00		-7,500.00
Bill	04/01/2020	0420149	The Ferguson Group	4/20	Reservoir	7,500.00		-15,000.00
Total Federal Government Affairs Supp						15,000.00	0.00	-15,000.00
General Legal Counsel								
Bill	04/30/2020	65164	Young Wooldridge LLP	4/20	Authority	2,838.11		-2,838.11
Bill	04/30/2020	65164	Young Wooldridge LLP	4/20	Reservoir	3,519.61		-6,357.72
Total General Legal Counsel						6,357.72	0.00	-6,357.72
Geotechnical Engineering								
Bill	04/30/2020	04.7219003...	Fugro USA Land, Inc	4/20 (I)	Reservoir	12,562.77		-12,562.77
Total Geotechnical Engineering						12,562.77	0.00	-12,562.77
Legislative/Reg/Strategic								
Bill	04/30/2020	Letter	Dunn Consulting	4/20	Authority	4,000.00		-4,000.00
Bill	04/30/2020	Letter	Dunn Consulting	4/20	Reservoir	4,000.00		-8,000.00
Total Legislative/Reg/Strategic						8,000.00	0.00	-8,000.00
Municipal Advisor								
Bill	04/24/2020	4851SITES	Montague DeRose and As...	3/20	Reservoir	12,725.00		-12,725.00
Total Municipal Advisor						12,725.00	0.00	-12,725.00
Operations/Simulation Modeling								
Bill	04/14/2020	D3205400-012	CH2M Hill Engineers, Inc	3/20 (D)	Reservoir	93,369.39		-93,369.39
Total Operations/Simulation Modeling						93,369.39	0.00	-93,369.39
Organizational Assessment								
Bill	04/30/2020	122	Darling H2O Consulting, Inc.	4/20	Authority	1,299.38		-1,299.38
Total Organizational Assessment						1,299.38	0.00	-1,299.38
Permitting & Agreements								
Bill	04/14/2020	0146101	ICF Jones & Stokes Inc	3/20 (F)	Reservoir	32,587.58		-32,587.58
Total Permitting & Agreements						32,587.58	0.00	-32,587.58
Program Ops Manager								
Bill	04/30/2020	SPA 17-31	Trapasso Consulting Servi...	4/20	Reservoir	28,000.00		-28,000.00
Total Program Ops Manager						28,000.00	0.00	-28,000.00
Project Controls								
Bill	04/30/2020	17371089	Brown and Caldwell	4/20 (B)	Reservoir	116,632.37		-116,632.37
Total Project Controls						116,632.37	0.00	-116,632.37
Project Integration								
Bill	04/30/2020	1200264393	HDR Engineering Inc	4/20 (A)	Reservoir	168,613.06		-168,613.06
Total Project Integration						168,613.06	0.00	-168,613.06
Reservoir Operations								
Bill	04/17/2020	20-03-4941.1	MBK Engineers Inc	3/20	Reservoir	4,716.75		-4,716.75
Total Reservoir Operations						4,716.75	0.00	-4,716.75
Total Professional Fees						655,327.29	0.00	-655,327.29
Website, Data, Computer Support								
Bill	04/15/2020	139076	Adept Solutions Inc	3/20	Authority	197.37		-197.37
Bill	04/15/2020	139076	Adept Solutions Inc	3/20	Reservoir	789.48		-986.85
Bill	04/30/2020	MSP-139049	Adept Solutions Inc	5/20	Authority	136.40		-1,123.25
Bill	04/30/2020	MSP-139049	Adept Solutions Inc	5/20	Reservoir	545.61		-1,668.86
Bill	04/30/2020	139187	Adept Solutions Inc	3/20	Authority	50.02		-1,718.88
Bill	04/30/2020	139187	Adept Solutions Inc	3/20	Reservoir	200.08		-1,918.96
Total Website, Data, Computer Support						1,918.96	0.00	-1,918.96
Interest Income								
Interest Income-River City								
General J...	04/30/2020	4-4C		rec int fme	Reservoir		2,879.50	2,879.50
General J...	04/30/2020	4-5C		rec int fme	Authority		740.21	3,819.71
General J...	04/30/2020	4-5C		rec int fme	Reservoir		801.89	4,421.60
Total Interest Income-River City						0.00	4,421.60	4,421.60
Total Interest Income						0.00	4,421.60	4,421.60
TOTAL						**715,157.04**	**4,421.60**	**-710,735.44**

Sites Project Joint Powers Authority
Balance Sheet by Class
As of April 30, 2020

	Authority	Reservoir	TOTAL
ASSETS			
Current Assets			
Checking/Savings			
River City ICS Savings	0.00	2,577,933.12	2,577,933.12
River City Operating Account	780,589.71	841,563.94	1,622,153.65
River City State Fund Checking	0.00	4,404,006.92	4,404,006.92
Total Checking/Savings	780,589.71	7,823,503.98	8,604,093.69
Accounts Receivable			
Accounts Rec Members			
Membership - Authority	68,000.00	0.00	68,000.00
Membership - Reservoir	0.00	-4,988.20	-4,988.20
Total Accounts Rec Members	68,000.00	-4,988.20	63,011.80
Grants Receivable			
California Water Commission	0.00	1,957,712.12	1,957,712.12
Total Grants Receivable	0.00	1,957,712.12	1,957,712.12
Total Accounts Receivable	68,000.00	1,952,723.92	2,020,723.92
Total Current Assets	848,589.71	9,776,227.90	10,624,817.61
TOTAL ASSETS	**848,589.71**	**9,776,227.90**	**10,624,817.61**
LIABILITIES & EQUITY			
Liabilities			
Current Liabilities			
Accounts Payable			
Accounts Payable	32,501.92	682,497.24	714,999.16
Total Accounts Payable	32,501.92	682,497.24	714,999.16
Total Current Liabilities	32,501.92	682,497.24	714,999.16
Long Term Liabilities			
Participant Reimbursement Polic	0.00	6,064,652.67	6,064,652.67
Total Long Term Liabilities	0.00	6,064,652.67	6,064,652.67
Total Liabilities	32,501.92	6,747,149.91	6,779,651.83
Equity			
Net Assets	114,674.93	1,814,541.65	1,929,216.58
Retained Earnings	351,952.54	1,659,709.19	2,011,661.73
Net Income	349,460.32	-445,172.85	-95,712.53
Total Equity	816,087.79	3,029,077.99	3,845,165.78
TOTAL LIABILITIES & EQUITY	**848,589.71**	**9,776,227.90**	**10,624,817.61**

Sites Project Joint Powers Authority
Profit & Loss by Class
April 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Expense			
Accounting Expense	539.00	2,156.00	2,695.00
Admin Support Gen Manager	1,128.96	4,515.84	5,644.80
Audit Fees	0.00	10,760.40	10,760.40
Bank Service Fees	75.78	82.10	157.88
General Manager			
Services	3,823.00	34,407.00	38,230.00
Total General Manager	3,823.00	34,407.00	38,230.00
Office Expenses			
Misc Office & Operating Exp	226.58	75.53	302.11
Pest Control & Maintenance	65.00	0.00	65.00
Trash Service	35.95	0.00	35.95
Water Expense	19.65	0.00	19.65
Total Office Expenses	347.18	75.53	422.71
Professional Fees			
Bus/Comm Manager	0.00	21,343.76	21,343.76
Communication	10,642.50	7,094.99	17,737.49
Cost Development Model	0.00	458.00	458.00
Engineering & Technical Service	0.00	15,863.36	15,863.36
Env/Biological Services	0.00	36,310.66	36,310.66
EPP Manager	0.00	30,000.00	30,000.00
Executive Director	0.00	33,750.00	33,750.00
Federal Government Affairs Supp	7,500.00	7,500.00	15,000.00
General Legal Counsel	2,838.11	3,519.61	6,357.72
Geotechnical Engineering	0.00	12,562.77	12,562.77
Legislative/Reg/Strategic	4,000.00	4,000.00	8,000.00
Municipal Advisor	0.00	12,725.00	12,725.00
Operations/Simulation Modeling	0.00	93,369.39	93,369.39
Organizational Assessment	1,299.38	0.00	1,299.38
Permitting & Agreements	0.00	32,587.58	32,587.58
Program Ops Manager	0.00	28,000.00	28,000.00
Project Controls	0.00	116,632.37	116,632.37
Project Integration	0.00	168,613.06	168,613.06
Reservoir Operations	0.00	4,716.75	4,716.75
Total Professional Fees	26,279.99	629,047.30	655,327.29
Website, Data, Computer Support	383.79	1,535.17	1,918.96
Total Expense	32,577.70	682,579.34	715,157.04
Net Ordinary Income	-32,577.70	-682,579.34	-715,157.04
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	740.21	3,681.39	4,421.60
Total Interest Income	740.21	3,681.39	4,421.60
Total Other Income	740.21	3,681.39	4,421.60
Net Other Income	740.21	3,681.39	4,421.60
Net Income	**-31,837.49**	**-678,897.95**	**-710,735.44**

FOR MANAGEMENT PURPOSES ONLY

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through April 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Income			
Membership Admin/Authority	505,000.00	0.00	505,000.00
Prop 1 Funding	0.00	1,957,712.12	1,957,712.12
Total Income	505,000.00	1,957,712.12	2,462,712.12
Gross Profit	505,000.00	1,957,712.12	2,462,712.12
Expense			
Accounting Expense	2,439.80	9,759.20	12,199.00
Admin Support Gen Manager	4,264.47	17,057.88	21,322.35
Audit Fees	0.00	10,760.40	10,760.40
Bank Service Fees	249.32	424.99	674.31
General Manager			
Services	22,382.36	135,581.04	157,963.40
Total General Manager	22,382.36	135,581.04	157,963.40
Insurance - Property	200.00	50.00	250.00
Insurance Liability	0.00	1,604.25	1,604.25
Office Expenses			
Cleaning	500.00	0.00	500.00
Misc Office & Operating Exp	664.51	1,975.81	2,640.32
Pest Control & Maintenance	260.00	0.00	260.00
Trash Service	143.80	0.00	143.80
Water Expense	168.55	0.00	168.55
Total Office Expenses	1,736.86	1,975.81	3,712.67
Professional Fees			
Bus/Comm Manager	0.00	87,401.85	87,401.85
Communication	30,063.92	20,042.23	50,106.15
Cost Development Model	0.00	1,168.60	1,168.60
Cultural Study	0.00	3,885.55	3,885.55
Engineering & Technical Service	0.00	106,405.61	106,405.61
Env/Biological Services	0.00	77,003.42	77,003.42
EPP Manager	0.00	122,059.09	122,059.09
Executive Director	0.00	33,750.00	33,750.00
Federal Government Affairs Supp	30,000.00	30,000.00	60,000.00
General Legal Counsel	20,511.50	17,060.98	37,572.48
Geotechnical Engineering	0.00	36,972.94	36,972.94
Legislative/Reg/Strategic	16,000.00	16,000.00	32,000.00
Municipal Advisor	0.00	20,000.00	20,000.00
Operations/Simulation Modeling	0.00	253,417.11	253,417.11
Organizational Assessment	29,693.41	0.00	29,693.41
Permitting & Agreements	0.00	117,703.15	117,703.15
Program Ops Manager	0.00	114,510.24	114,510.24
Project Controls	0.00	460,055.12	460,055.12
Project Integration	0.00	675,474.08	675,474.08
Real Estate	0.00	761.67	761.67
Reservoir Operations	0.00	17,017.75	17,017.75
Special Legal	0.00	30,402.90	30,402.90
Total Professional Fees	126,268.83	2,241,092.29	2,367,361.12
Website, Data, Computer Support	1,066.68	4,266.70	5,333.38
Total Expense	158,608.32	2,422,572.56	2,581,180.88
Net Ordinary Income	346,391.68	-464,860.44	-118,468.76
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	3,068.64	19,687.59	22,756.23
Total Interest Income	3,068.64	19,687.59	22,756.23

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through April 2020

	Authority	Reservoir	TOTAL
Total Other Income	3,068.64	19,687.59	22,756.23
Net Other Income	3,068.64	19,687.59	22,756.23
Net Income	**349,460.32**	**-445,172.85**	**-95,712.53**

Sites

Topic: **Authority Board Agenda Item 1.3** **2020 May 27**

Subject: **Payment of Claims**

Requested Action:

Consider approval of the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B.

Detailed Description/Background:

Attachment A presents the warrants to be drawn against the invoices received by the Sites Project Authority through May 4, 2020.

Attachment B summarizes details of the invoices received through May 4, 2020 and how the incurred costs are allocated between the Authority and Reservoir Committee.

Prior Action:

None.

Fiscal Impact/Funding Source:

Total Payment of Claims is $714,999.16 with $32,501.92 of costs being assigned to the Authority and $682,497.24 assigned to the Reservoir Committee.

For the Reservoir Committee assigned amount, $617,693.10 will be paid through the WSIP account and $64,804.14 through the JPA/Sites account as shown in Attachment B.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: May 2020 Report on warrants to be drawn for Payment of Claims

Attachment B: May 2020 Monthly Consultant and Vendor Invoice Table

Attachment C: May 2020 Key Consultant Budget Status Report

Draft_0027643

WARRANTS DRAWN AGAINST
Sites Project Authority- General Fund
May 27, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
2141	05/13/2020	Adept Solutions	IT & Related Services	1,918.96
2142	05/13/2020	Darling H2O Consulting, Inc.	Organizational Assessment	1,299.38
2143	05/13/2020	Dunn Consulting	Legislative/Regulatory/Strategic Support	8,000.00
2144	05/13/2020	JC Watson Inc.	GM Services	38,230.00
2145	05/13/2020	Katz and Associates Inc.	Communications	17,737.49
2146	05/13/2020	K-Coe-Isom, LLP	Accounting	2,695.00
2147	05/13/2020	Maximum Pest Control	Pest Control	65.00
2148	05/13/2020	Mt Shasta Spring Water	Office Water	19.65
2149	05/13/2020	Recology Butte Colusa Counties	Office Trash Pickup	35.95
2150	05/13/2020	Rush Personnel Services Inc.	Administrative Services	5,644.80
2151	05/13/2020	The Ferguson Group	Fed Govt Affairs Support	15,000.00
2152	05/13/2020	U.S. Bank	Misc. Expenses	302.11
2153	05/13/2020	Young Wooldridge LLP	Legal Counsel	6,357.72

THE FOREGOING CLAIM, NUMBERED 2141-2153 ARE APPLIED TO THE GENERAL FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **97,306.06**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

WARRANTS DRAWN AGAINST
Sites Project Authority-WSIP Fund
May 27, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
1038	05/13/2020	AECOM Inc.	Engineering	15,863.36
1039	05/13/2020	Brown and Caldwell	Project Controls	116,632.37
1040	05/13/2020	CH2M	Operations/SIM Modeling	93,369.39
1041	05/13/2020	Fechter & Company CPA	Accounting	10,760.40
1042	05/13/2020	Forsythe Group LLC	EPP Manager	30,000.00
1043	05/13/2020	Fugro USA Land, Inc.	Geotechnical Engineering Services	12,562.77
1044	05/13/2020	HDR Engineering Inc.	Project Integration	168,613.06
1045	05/13/2020	ICF Jones & Stokes Inc.	Env/Biological Services & Permitting	68,898.24
1046	05/13/2020	Larsen Wurzel & Associates, Inc.	Cost Development	458.00
1047	05/13/2020	MBK Engineers Inc.	Reservoir Operations	4,716.75
1048	05/13/2020	Montegue DeRose and Associates, Inc.	Municipal Advisor	12,725.00
1049	05/13/2020	Spesert Consulting	Bus/Comm Manager	21,343.76
1050	05/13/2020	Trapasso Consulting Services	Program Operations Manager	28,000.00
1051	05/13/2020	Waterology Consulting	Executive Director Services	33,750.00

THE FOREGOING CLAIM, NUMBERED 1038-1051 ARE APPLIED TO THE WSIP FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **617,693.10**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

Sites

May 10, 2020

Topic: Program Operations - Finance

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Sites Applications						
Adept Solutions *IT Related Services/Computer Equipment*	139076 4/15/20	03/20	$986.85	$197.37	$789.48	KMS
Adept Solutions *IT Related Services/Computer Equipment*	MSP-139049 5/1/20	5/20	$682.01	$136.40	$545.61	KMS
Adept Solutions *IT Related Services/Computer Equipment*	139187 5/1/20	3/20	$250.10	$50.02	$200.08	KMS
AECOM (Reservoir) (HR) *Design*	No Invoice					
AECOM Technical Services, Inc. *Engineering/Tech*	2000353965 5/4/20	4/20	$15,863.36		$15,863.36	LEF
Bender Rosenthal, Inc. (G) *Real Estate*	No Invoice					KMS
Brown and Caldwell (B) *Project Controls*	17371089 5/4/20	4/20	$116,632.37		$116,632.37	JAT
CH2M Hill Engineers (Operations) (D) *Operations / Simulation Modeling*	D3205400-012 4/14/20	3/20	$93,369.39		$93,369.39	AEF
CH2M/Jacobs (Conveyance) (HC) *Engineering Services*	No Invoice					
Colusa Indian Community Council *Tribal Council*	No Invoice					SPE
Darling H2O Consulting, Inc. *Organizational Assessment*	122 5/1/20	4/20	$1,299.38	$1,299.38		FD
Dunn Consulting *Legislative/Regulatory/Strategic Support*	Letter 5/5/20	4/20	$8,000.00	$4,000.00	$4,000.00	KMS
Fechter and Company, CPAs *Accounting Services*	Letter 4/15/20	4/20	$10,760.40		$10,760.40	JAT
Forsythe Group, LLC *EPP Manager*	SPA-202004 5/4/20	4/20	$30,000.00		$30,000.00	JB
Fugro (I) *Geotechnical Engineering Services*	04.72190035-13 5/1/20	4/20	$12,562.77		$12,562.77	LEF

Draft_0027646

Sites

May 10, 2020

Topic: Program Operations - Finance

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Gerald (Jerry) Johns	No Invoice					AEF
Project Operations						
HDR (A)	1200264393		$168,613.06		$168,613.06	JAT
Project Integration	*5/1/20*	4/20				
ICF Jones & Stokes, Inc. (Environ.) (E)	0146105		$36,310.66		$36,310.66	AEF
Env/Biological Services	*4/14/20*	3/20				
ICF Jones & Stokes, Inc. (Permitting) (F)	0146101		$32,587.58		$32,587.58	AEF
Permitting and Agreements	*4/14/20*	3/20				
J.C. Watson, Inc.	SPA-057		$38,230.00	$3,823.00	$34,407.00	JB
General Manager Services	*5/1/20*	4/20				
Katz & Associates (C)	413694		$17,737.49	$10,642.50	$7,094.99	KMS
Communications	*4/14/20*	3/20				
K-Coe Isom, LLP	KC084322		$2,695.00	$539.00	$2,156.00	JAT
Accounting	*4/30/20*	4/20				
Larsen Wurzel & Associates, Inc.	1609000-0320		$458.00		$458.00	JAT
Cost Development	*4/15/20*	3/20				
M.R. Cleaning Service	No Invoice					KMS
Office Cleaning						
Maximum Pest Control	50797		$65.00	$65.00		KMS
Pest Spraying	*4/23/20*	4/20				
MBK Engineers	20-03-4941.0		$4,716.75		$4,716.75	AEF
Reservoir Operations	*4/17/20*	3/20				
Montague DeRose & Associates, LLC	4851SITES		$12,725.00		$12,725.00	JAT
Municipal Advisor	*4/24/20*	3/20				
MT Shasta Water	486476		$10.00	$10.00		KMS
Office Water	*4/1/20*	4/20				
MT Shasta Water	483104		$9.65	$9.65		KMS
Office Water	*4/2/20*	4/20				
Perkins Coie, LLP	No Invoice					AEF
Special Legal						

Draft_0027647

Sites

May 10, 2020

Topic: Program Operations - Finance

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Recology Butte Colusa Counties	37208147			$35.95	$35.95		KMS
Office Trash Pickup		*4/30/20*	4/20				
Rush Personnel	IVC0000000136553			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*4/21/20*	4/20				
Rush Personnel	IVC0000000136554			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*4/21/20*	4/20				
Rush Personnel	IVC0000000136720			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*5/4/20*	4/20				
Rush Personnel	IVC0000000136721			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*5/4/20*	5/20				
Spesert Consulting	05-20			$21,343.76		$21,343.76	JB
Bus/Communications Manager		*5/4/20*	4/20				
Stradling, Yocca, Carlson & Rauth	No Invoice						JAT
Bond Counsel							
The Ferguson Group	0420149			$15,000.00	$7,500.00	$7,500.00	KMS
Federal Government Affairs Support		*4/1/20*	4/20				
Trapasso Consulting Services	SPA 17-31			$28,000.00		$28,000.00	JB
Program Operations Manager		*5/3/20*	4/20				
U.S. Bank - Credit Card	Online 5/1			$302.11	$226.58	$75.53	KMS
Misc. Expenses		*5/1/20*	4/20				
Waterology Consulting	1			$33,750.00		$33,750.00	JT/FD/JS
Executive Director Services		*5/1/20*	4/20				
William Avery & Associates	No Invoice						JAT
Personnel Recruiter							
Wiseman Consulting Group	No Invoice						KMS
ROW/Land Management							
Young Wooldridge, Law Offices, LLP	65164			$6,357.72	$2,838.11	$3,519.61	JAT
Legal Counsel		*4/30/20*	4/20				

Draft_0027648

Sites

May 10, 2020

Topic: Program Operations - Finance

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports. The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice # Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
			Total	$ Authority Board	$ Reservoir Committee	
Cash Accounts			[illegible]	[illegible]	[illegible]	
May 2020 Monthly Totals		JPA/Sites	97,306.06	32,501.92	64,804.14	
		WSIP	617,693.10		617,693.10	
Cumulative Summary		WSIP To Date	2,336,723.47	(3) Fund Checks Received		
		Balance	3,786,358.82	6,123,082.29		

Notes: *

Draft_0027649

Sites

May 10, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Board Approval Items							
Adept Solutions	139076			$986.85	$197.37	$789.48	KMS
IT Related Services/Computer Equipment		*4/15/20*	03/20				
Adept Solutions	MSP-139049			$682.01	$136.40	$545.61	KMS
IT Related Services/Computer Equipment		*5/1/20*	5/20				
Adept Solutions	139187			$250.10	$50.02	$200.08	KMS
IT Related Services/Computer Equipment		*5/1/20*	3/20				
AECOM (Reservoir) (HR)	No Invoice						
Design							
AECOM Technical Services, Inc.	2000353965			$15,863.36		$15,863.36	LEF
Engineering/Tech		*5/4/20*	4/20				
Bender Rosenthal, Inc. (G)	No Invoice						KMS
Real Estate							
Brown and Caldwell (B)	17371089			$116,632.37		$116,632.37	JAT
Project Controls		*5/4/20*	4/20				
CH2M Hill Engineers (Operations) (D)	D3205400-012			$93,369.39		$93,369.39	AEF
Operations / Simulation Modeling		*4/14/20*	3/20				
CH2M/Jacobs (Conveyance) (HC)	No Invoice						
Engineering Services							
Colusa Indian Community Council	No Invoice						SPE
Tribal Council							
Darling H2O Consulting, Inc.	122			$1,299.38	$1,299.38		FD
Organizational Assessment		*5/1/20*	4/20				
Dunn Consulting	Letter			$8,000.00	$4,000.00	$4,000.00	KMS
Legislative/Regulatory/Strategic Support		*5/5/20*	4/20				
Fechter and Company, CPAs	Letter			$10,760.40		$10,760.40	JAT
Accounting Services		*4/15/20*	4/20				
Forsythe Group, LLC	SPA-202004			$30,000.00		$30,000.00	JB
EPP Manager		*5/4/20*	4/20				
Fugro (I)	04.72190035-13			$12,562.77		$12,562.77	LEF
Geotechnical Engineering Services		*5/1/20*	4/20				

Sites

May 10, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Gerald (Jerry) Johns	No Invoice					AEF
Project Operations						
HDR (A)	1200264393		$168,613.06		$168,613.06	JAT
Project Integration	*5/1/20*	4/20				
ICF Jones & Stokes, Inc. (Environ.) (E)	0146105		$36,310.66		$36,310.66	AEF
Env/Biological Services	*4/14/20*	3/20				
ICF Jones & Stokes, Inc. (Permitting) (F)	0146101		$32,587.58		$32,587.58	AEF
Permitting and Agreements	*4/14/20*	3/20				
J.C. Watson, Inc.	SPA-057		$38,230.00	$3,823.00	$34,407.00	JB
General Manager Services	*5/1/20*	4/20				
Katz & Associates (C)	413694		$17,737.49	$10,642.50	$7,094.99	KMS
Communications	*4/14/20*	3/20				
K-Coe Isom, LLP	KC084322		$2,695.00	$539.00	$2,156.00	JAT
Accounting	*4/30/20*	4/20				
Larsen Wurzel & Associates, Inc.	1609000-0320		$458.00		$458.00	JAT
Cost Development	*4/15/20*	3/20				
M.R. Cleaning Service	No Invoice					KMS
Office Cleaning						
Maximum Pest Control	50797		$65.00	$65.00		KMS
Pest Spraying	*4/23/20*	4/20				
MBK Engineers	20-03-4941.0		$4,716.75		$4,716.75	AEF
Reservoir Operations	*4/17/20*	3/20				
Montague DeRose & Associates, LLC	4851SITES		$12,725.00		$12,725.00	JAT
Municipal Advisor	*4/24/20*	3/20				
MT Shasta Water	486476		$10.00	$10.00		KMS
Office Water	*4/1/20*	4/20				
MT Shasta Water	483104		$9.65	$9.65		KMS
Office Water	*4/2/20*	4/20				
Perkins Coie, LLP	No Invoice					AEF
Special Legal						

Sites

May 10, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Recology Butte Colusa Counties	37208147			$35.95	$35.95		KMS
Office Trash Pickup		*4/30/20*	4/20				
Rush Personnel	IVC000000136553			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*4/21/20*	4/20				
Rush Personnel	IVC000000136554			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*4/21/20*	4/20				
Rush Personnel	IVC000000136720			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*5/4/20*	4/20				
Rush Personnel	IVC000000136721			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*5/4/20*	5/20				
Spesert Consulting	05-20			$21,343.76		$21,343.76	JB
Bus/Communications Manager		*5/4/20*	4/20				
Stradling, Yocca, Carlson & Rauth	No Invoice						JAT
Bond Counsel							
The Ferguson Group	0420149			$15,000.00	$7,500.00	$7,500.00	KMS
Federal Government Affairs Support		*4/1/20*	4/20				
Trapasso Consulting Services	SPA 17-31			$28,000.00		$28,000.00	JB
Program Operations Manager		*5/3/20*	4/20				
U.S. Bank - Credit Card	Online 5/1			$302.11	$226.58	$75.53	KMS
Misc. Expenses		*5/1/20*	4/20				
Waterology Consulting	1			$33,750.00		$33,750.00	JT/FD/JS
Executive Director Services		*5/1/20*	4/20				
William Avery & Associates	No Invoice						JAT
Personnel Recruiter							
Wiseman Consulting Group	No Invoice						KMS
ROW/Land Management							
Young Wooldridge, Law Offices, LLP	65164			$6,357.72	$2,838.11	$3,519.61	JAT
Legal Counsel		*4/30/20*	4/20				

Sites

May 10, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice # Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by

		Total	$ Authority Board	$ Reservoir Committee
	Cash Accounts	714,999.16	32,501.92	682,497.24
May 2020 Monthly Totals	JPA/Sites	97,306.06	32,501.92	64,804.14
	WSIP	617,693.10		617,693.10
Cumulative Summary	WSIP To Date	2,336,723.47	(3) Fund Checks Received	
	Balance	3,786,358.82	6,123,082.29	

Notes: *

Draft_0027653

Sites

MAY KEY CONSULTANT BUDGET REPORT

FOR AUTHORITY BOARD AND RESERVOIR COMMITTEE,
FINANCE AND ECONOMICS AD HOC COMMITTEE

Area / Consultant		Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
a) HDR			Costs and Task % as of 04/20 Services	**1,499,864**	**1,499,864**	**675,474**	**824,390**	**45%**		
	2	A01	Communications Int.		-	-	-	-	100%	
	2	A02	Ops Modeling Int.		181,007	14,038	166,969	8%	30%	
	2	A03	Env. Planning Int.		149,357	96,118	53,239	64%	45%	
	2	A04	Permitting Int.		243,884	138,496	105,388	57%	45%	
	2	A05	Real Estate Int.		139,718	12,392	127,326	9%	25%	
	2	A06	Engineering Int.		299,476	150,326	149,150	50%	45%	
	2	A07	Geotech Int.		-	-	-	-	100%	
	2	A08	Controls Int.		224,387	117,420	106,967	52%	45%	
	2	A09	General Int.		98,267	108,358	(10,091)	110%	45%	
	2	A10	Authority's Engineer		-	-	-	-	100%	
	2	A11	Health, Safety & Loss Prevention		-	-	-	-	100%	
	2	A12	Quality Management		-	-	-	-	100%	
	2	A13	Risk Management		49,890	7,249	42,641	15%	10%	
	2	A14	IT		15,831	6,357	9,474	40%	45%	
	2	A15	GIS		-	765	(765)	-	100%	
	2	A16	Document Management		3,734	2,604	1,130	70%	45%	
	2	A17	Staff Support		14,004	1,929	12,075	14%	20%	
	2	A18	Satellite Project Office		-	-	-	-	100%	
	2	A19	Land Conservation Approach		-	-	-	-	100%	
	2	A98	HDR Project Management		40,309	14,224	26,085	35%	45%	
	2	A99	Expenses		40,000	5,198	34,802	13%	45%	
b) BC			Costs and Task % as of 04/20 Services	**899,156**	**899,156**	**460,055**	**439,101**	**51%**		
	2	B01	Project Controls		113,645	49,703	63,942	44%	45%	
	2	B02	Contract Administration and Compliance		492,414	231,523	260,891	47%	45%	
	2	B03	Work Planning and Scheduling		100,341	88,452	11,889	88%	50%	
	2	B04	Project Administrative Support		117,516	64,018	53,498	54%	50%	
	2	B05	PMP Sections		-	-	-	-	-	
	2	B98	Project Management		73,240	24,660	48,580	34%	40%	
	2	B99	Expenses		2,000	1,700	300	85%	90%	

Draft_0027655

Area / Consultant		Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
c) K&A			Costs and Task % as of 03/20 Services	**199,930**	**199,930**	**50,106**	**149,824**	**25%**		
	2	C01	Local/Landowner Outreach		14,083	56	14,027	0%	-	
	2	C02	Statewide Outreach		22,000	620	21,380	3%	-	
	2	C03	NGO Outreach		12,166	1,085	11,081	9%	-	
	2	C04	Strategic Communications		19,666	4,039	15,627	21%	35%	
	2	C05	Message Development/Training		11,831	1,410	10,421	12%	20%	
	2	C06	Informational Materials Distribution		38,500	11,069	27,431	29%	20%	
	2	C07	Website Modifications and Management		18,600	4,014	14,586	22%	35%	
	2	C08	Video & Photography		-	-	-	-	-	
	2	C09	Authority/Reservoir Committee Public Affairs Support		14,500	4,495	10,005	31%	35%	
	2	C10	Media Relations		13,084	5,598	7,487	43%	30%	
	2	C11	Social Media		5,000	1,174	3,826	23%	20%	
	2	C98	Project Management		30,500	14,090	16,410	46%	37%	
	2	C99	Expenses		-	2,457	(2,457)	-	-	
d) CH2-d			Costs and Task % as of 03/20 Services	**993,000**	**993,000**	**253,417**	**739,583**	**26%**		
	2	D01	Permitting and Resource Agency Technical Support		100,000	59,710	40,290	60%	88%	
	2	D02	Environmental Document Support		356,853	30,510	326,343	9%	7%	
	2	D03	Total Operations Technical Support		300,000	85,682	214,318	29%	50%	
	2	D04	Value Planning		88,000	52,117	35,883	59%	80%	
	2	D98	Project Management		31,147	24,940	6,207	80%	40%	
	2	D99	Subs and ODCS		117,000	458	116,542	0%	25%	
e) ICF-e			Costs and Task % as of 03/20 Services	**428,000**	**428,000**	**77,003**	**350,997**	**18%**		
	2	E01	Draft EIS/EIR Review and Strategic Consultation		-	-	-	-	-	
	2	E02	Prepare Admin Final Responses to Comments		-	-	-	-	-	
	2	E03	Prepare Administrative Final EIR/EIS		15,000	14,967	33	100%	-	
	2	E04	Begin Preparation of Administrative Record for Fin		-	-	-	-	-	
	2	E05	Engagement		-	-	-	-	-	
	2	E06	Geotechnical Environmental Document		-	-	-	-	-	
	2	E07	Develop Environmental Document Work Plan		110,000	29,883	80,117	27%	35%	
	2	E08	Support Development of EIR/EIS Project Description		180,000	9,921	170,079	6%	3%	
	2	E09	Prepare Annotated Outline		88,000	-	88,000	-	-	

Sites

MAY KEY CONSULTANT BUDGET REPORT

FOR AUTHORITY BOARD AND RESERVOIR COMMITTEE,
FINANCE AND ECONOMICS AD HOC COMMITTEE

5/8/2020

Area / Consultant		Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
	2	E98	Project Management		31,500	22,232	9,268	71%	15%	
	2	E99	Expenses		3,500	-	3,500	-	12%	
f) ICF-f			Costs and Task % as of 03/20 Services	**708,300**	**708,300**	**117,703**	**590,597**	**17%**		
	2	F01	Finalize Joint Biological Assessment		-	-	-	-	-	
	2	F02	Finalize Geotechnical Permits and Fieldwork		297,120	35,070	262,050	12%	25%	
	2	F03	Prepare Section 106 Documents		-	-	-	-	-	
	2	F04	Begin Preparation of Permits and Agreements		26,000	25,976	24	100%	-	
	2	F05	Prepare for 2020 Field Work Studies		-	-	-	-	-	
	2	F06	Strategize on Mitigation and Adaptive Management P		-	-	-	-	-	
	2	F07	Early Coordination and Development of Key Permits		333,740	27,076	306,664	8%	15%	
	2	F98	Project Management		35,000	23,479	11,521	67%	10%	
	2	F99	Expenses		16,440	6,102	10,338	37%	15%	
g) BRI			Costs and Task % as of 01/20 Services	**762**	**762**	**762**	**0**	**100%**		
	2	G01	Develop Draft Right-of-Way Manual Sections		-	-	-	-	-	
	2	G02	Right-of-Way Planning Efforts		-	-	-	-	-	
	2	G03	Right-of-Entry / Early-Access Program		517	517	0	100%	-	
	2	G04	Property Management Program		-	-	-	-	-	
	2	G05	Public Outreach and Community Engagement		-	-	-	-	-	
	2	G98	Project Management / QC		245	245	0	100%	-	
	2	G99	Expenses		-	-	-	-	-	
i) FUG			Costs and Task % as of 04/20 Services	**85,995**	**85,995**	**36,973**	**49,022**	**43%**		
	2	I01	Data Review and Develop 2019 Feasibility Study Work Plan		-	-	-	-	-	
	2	I02	- Obsolete -		-	-	-	-	-	
	2	I03	2019 Site Investigation		-	-	-	-	-	
	2	I04	Future Site Investigation Work Plan for Design		-	-	-	-	-	
	2	I05	Assessment of Proposed Field Data Collection Points		50,786	14,474	36,312	28%	29%	
	2	I98	Project Management		35,209	22,499	12,710	64%	65%	

Draft_0027657

Sites

Topic: **Authority Board Agenda Item 1.4** **2020 May 27**

Subject: **Award Strategic Planning Facilitation Service Contract**

Requested Action:

Consider approval of a consulting contract with The Catalyst Group for facilitation services in an amount not to exceed $50,000 and approve a time extension through December 31, 2020 for the existing contract with Darling H2O.

Detailed Description/Background:

The Reservoir Committee and Authority Board issued a Request For Proposal on April 23, 2020. Three responses were received from the shortlisted firms. A selection panel made up of Authority Staff, Reservoir Committee members and Authority Board members reviewed the proposals and invited all three for an interview. After conducting the interviews, the selection panel unanimously chose The Catalyst Group because of their strong experience working with organizations and addressing challenges similar to the Sites project, and their unique approach to the work.

The scope of work primarily involves designing a strategic planning program around the findings of the November 2019 draft Organizational Assessment that the facilitator thinks are most important to the success to the Project and include, but not limited to mission/vision, governance, subcommittees, outside relationships, communication and trust.

This planning session needs to be an in-person meeting, so timing is dependent on the shelter in place orders being lifted.

The selection committee consisted of the Executive Director, Authority Program Manager, both Chairs of the Reservoir Committee and Authority Board, and two Budget and Finance Workgroup members.

A contract has been prepared for execution which has been approved by legal and is consistent with the scope of work and budget approved by the Authority in the Amendment 1B Work Plan.

Following strategic planning there likely will be implementation activities to incorporate outcomes in business process changes. Staff will require assistance for this work and proposes to use a combination of The Catalyst Group and Darling H2O consulting services. The Darling H2O contract currently expires June 30, 2020 and requires the Authority to approve a contract extension through December 31, 2020.

Fiscal Impact:

Sufficient funds exist in the Phase 1B work plan budget to pay for the facilitation services. The contract will be structured to allow up to $25,000 for the strategic plan development and up to $25,000 for additional services for a total not to exceed amount of $50,000. The Darling H2O contract has $21,597 remaining in their approved contract budget that will not be exceeded.

Prior Action:

April 23, 2020: Approved following actions by the Authority Board relative to the approved Organization Assessment (OA) Report as follows: a) accept the plan and schedule for addressing the report findings and recommendations, b)concur with the scope, schedule and budget for strategic planning facilitation services, and c) approve release of a Request for Proposals for strategic planning facilitation services.

December 20, 2019: Approved Darling H20 to expand the scope of work to support the recruitment of a facilitator for Strategic Planning.

November 21, 2019: Darling H20 Consulting, Inc. presented the draft Organizational Assessment.

August 26, 2019: Approved a budget reallocation for the organizational assessment and execution of a sole-source professional services agreement with Darling H2O to perform an organizational assessment.

July 22, 2019: Discussed working on an organizational assessment plan to evaluate the structure of the Sites Project's program management team, Reservoir Committee, and the Authority.

Fiscal Impact/Funding Source:

Sufficient funds are available for this activity in the current work plan.

Staff Contact:

Jerry Brown

Attachments:

None.

Sites

Topic: **Authority Board Agenda Item 1.5** **2020 May 21**

Subject: **Reclamation Financial Assistance Agreement**

Requested Action:

Consider approval of Resolution No. 2020-01, providing for an application to the USBR for a Financial Assistance Agreement (FAA)for WIIN Act funds.

Detailed Description/Background:

The 2019 federal budget appropriated $6M in WIIN act funds toward the Sites Reservoir Project. The proposed Resolution is needed to initiate development of the FAA. A separate action will be necessary to authorize executing the FAA.

Staff has been working closely with Reclamation staff to prepare the FAA. Reclamation's participation will be a 50/50 percent cost share with $4 million of funding dedicated to Sites expenses and the other $2M toward Reclamation expenses on the Sites project. Staff is working with Reclamation to determine if $1million of the funding dedicated to Sites expenses will either come in cash or contributed work performed by Reclamation, either way there will be no impact on the Amendment 2 Work Plan. This funding will allow the project to continue development of the environmental documents and permits. The scope does not commit the Authority to any specific type of reservoir project. Should the project not get built, these funds are not required to be paid back to the federal government.

Prior Action:

None.

Fiscal Impact/Funding Source:

The approved phase 2 work plan includes $4M of WIIN Act funding to advance project environmental planning and engineering work. The funds are expected to be received in early 2021.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Resolution 2020-01

Draft_0027661

BEFORE THE BOARD OF DIRECTORS OF THE SITES PROJECT AUTHORITY

RESOLUTION NO. 2020-01

In the Matter of:

Authorizing Execution of Documents for the Financial Assistance Agreement with the Bureau of Reclamation utilizing the WIIN Act Funding.

WHEREAS, the United States Bureau of Reclamation (Reclamation) is considering participation in the Sites Reservoir Project under Water Infrastructure Improvements for the Nation (WIIN) Act, Public Law No: 114-322; and

WHEREAS, Section Sec. 4007 of the WIIN Act authorizes the United States Department of Interior, among other things, to participate in state-led water storage projects in an amount up to 50% of the total project cost; and

WHEREAS, Reclamation's participation is consistent with Section 14 of the Reclamation Project Act of 1939; and

WHEREAS, it is provided in the Further Consolidated Appropriations Act, 2020 H. R. 1865—132, enacted into law December 20, 2020: "That in accordance with section 4007 of Public Law 114–322, and as recommended by the Secretary in a letter dated February 13, 2019, funding provided for such purpose in fiscal years 2017 and 2018 shall be made available to...the North-of-the Delta Off stream Storage (Sites Reservoir Project)"; and

WHEREAS, the Project Description for Sites Reservoir Project listed in the Secretary letter dated February 13, 2019 states, "This project includes an additional 1.8 million AF of off-stream surface storage to restore flexibility and adaptability to Central Valley Project (CVP) and State Water Project (SWP) operations. Funds will be used to address any comments on the final Feasibility Report, advance the EIS/EIR, and various related activities, including execution of a cost-sharing agreement with the Sites Project Authority."; and

WHEREAS, the Sites Project Authority ("Authority") is a joint powers authority formed by and existing among various irrigation districts and other local water districts and local governments within the Sacramento River hydrologic region pursuant to a Joint Exercise of Powers Agreement as last amended Feb 13, 2017, and through a Reservoir Committee established by the Authority has partners that are not located within said hydrologic region, participating with development of the Sites Project; and

WHEREAS, the Bureau of Reclamation is intending to award the Authority up to $4,000,000 for the Financial Application Agreement.

NOW, THEREFORE BE IT RESOLVED by this Board of Directors as follows:

1. The foregoing recitals are true and correct.
2. The Executive Director, or their designee, is authorized and directed to prepare the necessary data, conduct investigations, file such applications, execute a funding agreement and any amendments thereto, and sign invoices with the Bureau of Reclamation related to the Financial Assistance Agreement from the WIIN Act funding and its implementing regulations.
3. The Authority's officers and Executive Director are authorized to do all things necessary and proper to carry out and implement the above reference matters with the Bureau of Reclamation.

PASSED, APPROVED AND ADOPTED by the Board of Directors of the Sites Project Authority this 27th day of May 2020, by the following vote:

AYES:

NOES:

ABSTAIN:

ABSENT:

I, Fritz Durst, Chair Authority Board of the Site Project Authority, do hereby certify that the resolution set forth above is true and accurate copy of the resolution adopted by the Board of Directors of the Sites Project Authority at a duly called meeting of the Board on May 27, 2020 and that said resolution has not been rescinded, amended or modified and is in full force and effect as of the date hereof.

In Witness Whereof, I have executed this certificate this 27th day of May 2020.

_____

Fritz Durst, Chair Authority Board, Sites Project Authority

Sites

Topic: **Authority Board Agenda Item 2.1** **2020 May 27**

Subject: **Amendment 2 Cash Call**

Requested Action:

Consider acceptance of the attached Exhibit B (2020 and 2021 budget) to the Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the results of the "soft call". Consider approving one of the following actions:

A. Approve moving to the "hard call" and the use of the attached participation table to create a draft Exhibit A to be included with Amendment 2.

B. Direct staff to begin outreach to potential participants based on the priority system defined in participation agreements and the credit reimbursement policy to explore interest in Sites capacity, if available.

Detailed Description/Background:

Acting on feedback received at the April Reservoir Committee meeting, staff has developed a two-step cash call process with the following objectives:

1. Ensure continued full subscription in the rightsized project.
2. Provide more time prior to the first funding request in light of the COVID-19 natural disaster and its long-term financial impacts.
3. Identify other revenue sources and possibly reduce costs to further reduce the cash call.

Soft Call Preliminary Participation Results:

Soft call survey responses were due on May 15th and the results are summarized below:

- 19 out of 21 agencies have responded, representing 191,942 AF out of 192,892 AF current participation (99.5%).
- On net it appears we can still achieve full subscription, but there is some reduction in participation levels and geographic balance will need to be addressed.
- Existing participants identified interest in 5,000 AF of additional capacity, if available.
- Prior participants expressed interest in 10,000 AF of capacity, if available.

Attachment A shows the preliminary participation results.

Potential Participant Outreach and Priority:

Soft call results indicate subscription will likely be reduced below the current level of 192,892 acre feet. Staff can begin outreach to potential participants and report back at the June meeting. The following priority will be given to participants based on the credit reimbursement policy:

1. The goal is total Sac Valley participation of ~25%. Priority is given to current, prior and new Sac Valley participants in that order.
2. Existing Reservoir Committee participating members (with sub-priorities by seniority)
3. Prior Reservoir Committee participating members (with sub-priorities by exit date)
4. Nonparticipants. Priority for new participants outside of Sac Valley.

New participants must be approved for admission according to Section 10 of the Participation Agreement, which includes a 75% weighted approval at the Reservoir Committee and 75% of Authority Board director approval as well as other requirements.

Per the credit reimbursement policy, new, returning, and increasing participants would be responsible for paying their share of the project costs to date. Departing or reducing participants would be frozen at the priority they left under. For returning participants, the new amount owed will be reduced by their contributed credit balance. The below table shows the prior and future participation commitments. Any prior commitments owed would be due along with the first invoice for Amendment 2 on Nov 1, 2020. Payment for prior commitments would be distributed according to the credit reimbursement policy.

Agreement / Work Plan	Cost / AF Participation	Period End
Phase 1	$48.50/AF	Mar 31, 2019
Phase 2 (2019)	$60/AF	Dec 31, 2019
Phase 2 (2019) Amendment 1B	$0/AF	Aug 31, 2020
Prior Commitments Total*	**$108.50/AF**	
Phase 2 (2019) Amendment 2	$100/AF	Dec 31, 2021
Installment 1, Nov 1, 2020	$60/AF	
Installment 2, Apr 1, 2021**	$40/AF	
Phase 2 Future Commitments	**$100/AF**	
Total Buy-in	**$208.50/AF**	

* Prior commitment payments would be utilized per the credit reimbursement policy consistent with prior actions.
** Second installment is not-to-exceed $40 and will be evaluated in early 2021.

Reduced Participation Scenario:

If after the outreach step participation remains reduced, the Amendment 2 work plan would need to be revised to reflect a reduction in revenue or there would need to be an increase in the $100/AF with reduced subscription. Exhibit A and

Exhibit B to Amendment 2 would be updated to reflect final approved participation levels and the budget, respectively. These next steps would be discussed during future Reservoir Committee and Authority Board meetings.

Cash Call Timing:

The proposed cash call schedule is:

- $60/AF due Nov 1, 2020
- Up to $40/AF due Apr 1, 2021

The possibility of individual agencies opting to pay the full $100/AF on November 1, 2020 has been discussed in the coordination work group. Participants would essentially be pre-paying the second cash call of up to $40/AF (due April 1, 2021). Staff has explored possible financial and non-financial incentive scenarios.

The financial incentive considered was related to interest earned on prepaid funds. Assuming 50% of participants prepaid the full $100/AF and the money was held for six months before other participants paid in, the total interest earned is estimated to be less than $50,000. Based on this analysis, developing policies and systems for tracking interest at a participant level represent increases in administrative costs in excess of the benefit. Financial incentives are not planned based on this analysis.

The non-financial incentive for pre-payment relates to maintaining project schedule while accommodating delayed payment from agencies who have constraints with the timing of the funding request. This is not quantifiable but represents good will and commitment to the project.

Should an agency desire to prepay the full $100/AF on November 1st, the Authority has the ability to track accounts receivable and maintain an accurate participant ledger.

Schedule:

Responses from the soft call indicate that the earliest planned board date is May and the latest planned board date is October. This range of schedules can be accommodated. The plan is to issue invoices October 1 at $60/AF, due for payment on November 1.

Prior Action:

May 5, 2020: At a Reservoir Committee workshop, staff provided information on changes to the cash call process and schedule in light of potential economic impacts of the COVID-19 natural disaster on participating member agencies. A two-step cash call process including a "Soft Call" and a "Hard Call" will be used to better plan for changes in participation. The due date of the first cash call of $60/AF will be moved two months out to November 1, 2020. The due date of the second cash call will be moved two months out to April 1, 2021 and will be up to $40/AF. The Amendment 2 work will still begin on September 1, 2020.

April 17, 2020: The Reservoir Committee approved the Amendment 2 Work Plan for the purposes of planning cash calls for participants totaling $100/AF, producing a draft budget exhibit to the participation agreement, and developing consultant task orders.

March 30, 2020: At a joint workshop, staff provided information that included a process overview of task order development; an updated project schedule; cash flows for Reservoir Committee and Authority Board; and preliminary task budgets including assigned resources.

March 19, 2020: Staff provided information on the goals, schedule, cash flow, and preliminary budget allocation by subject assuming a cash call of $100/AF. Staff provided a preliminary cash call schedule of $60/AF due September 1, 2020 and $40 due February 1, 2021.

February 21, 2020: Staff provided information on three possible revenue scenarios and a task list for Amendment 2 (September 1, 2020 and ending December 31, 2021). Staff received direction to continue to develop the $100/AF revenue scenario.

January 17, 2020: Staff provided information regarding the proposed process for developing a work plan. This work plan proposes to focus on improving certainty related to project operations, permitting, and affordability, and to meeting the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive funding.

Fiscal Impact/Funding Source:

The total cash call remains unchanged from the approved Amendment 2 work plan in the total amount of $100/AF. With the Amendment 1B carryover funds it may be possible to reduce the total cash call. However, Staff proposes to keep the first call at $60/AF and reassess the second call currently projected at $40/AF midway through the Amendment 2 work. At that time, any adjustments to the second call can be considered based on the then current projections. Participating members can consider the second call to be an "up to" amount since the Amendment 1B carryover represents previously unanticipated funds for the Amendment 2 work plan.

Staff Contact:

Jerry Brown

Attachments:

Attachment A – Preliminary Amendment 2 Participation Table

Attachment B – Exhibit B Reservoir Committee 2020 and 2021 Work Plan

Preliminary Amendment 2 Participation Table
Reservoir Committee

Based on preliminary soft call survey results as of 12pm Wednesday, 5/20/2020

Working Draft, Subject to Change

Participant	Phase 2 (2019) Participation Level (Ref, AF)	Participation Level (AF) Staff Recommendation	Variance (AF)	Participation Increase Interest (AF)
Carter Mutual Water Company	300	300	0	
City of American Canyon	4,000	4,000	0	
Coachella Valley Water District	10,000	10,000	0	
Colusa County	10,000	10,000	0	
Colusa County WD	11,975	11,000	-975	Possibly
Davis Water District	2,000	2,000	0	
Dunnigan WD	2,717	2,717	0	
Desert WA	6,500	6,500	0	
Glenn Colusa Irrigation District	5,000	5,000	0	
LaGrande water district	1,000	1,000	0	
Metropolitan Water District of Southern California	50,000	50,000	0	
RD-108	4,000	4,000	0	
San Bernardino Valley Municipal Water District	21,400	21,400	0	
San Gorgonio Pass Water Agency	14,000	14,000	0	
Santa Clara Valley WD	16,000	16,000	0	
Santa Clarita Valley Water Agency	5,000	5,000	0	5,000
Westside W.D.**	15,000	2,000	-13,000	
Zone 7 Water Agency	10,000	10,000	0	
Wheeler Ridge-Maricopa WSD	3,050	3,050	0	
Antelope Valley East Kern WA	500	Pending	-500	
Cortina WD	450	Pending	-450	
Pacific Resources MWC*	0	Pending	0	10,000
Total	**192,892**	**177,967**	**-14,925**	**15,000**

**Phase 1 participant, no survey response received. Interest expressed by a responding Phase 2 participant.*

***Indicated possibility for higher participation, lower projected participation level shown*

Blue text indicates no survey response received

Exhibit B
Reservoir Committee
2020 and 2021 Work Plan

May 21, 2020 Reservoir Committee Working Draft

Reservoir Committee Annual Budget for FY 2020 and FY 2021 ($000)

	Subject Area	2020	2021	Total
Revenue	Beginning Balance	$6,847	$0	$6,847
	Participation Revenue	$11,520	$7,680	$19,200
	Federal Revenue	$0	$4,000	$4,000
	State Revenue	$5,134	$5,502	$10,636
Revenue Total		$23,501	$17,182	$40,683
Expenses	Permitting	($2,558)	($5,011)	($7,569)
	Early Mitigation	($243)	($2,257)	($2,500)
	Environmental Planning	($3,511)	($2,376)	($5,887)
	Operations Modeling	($3,486)	($536)	($4,022)
	Engineering	($4,360)	($2,180)	($6,540)
	Geotechnical	($1,142)	($2,003)	($3,145)
	Real Estate	($145)	($272)	($417)
	Communications	($489)	($579)	($1,068)
	Project Controls	($1,333)	($1,528)	($2,861)
	Funding	($777)	($590)	($1,367)
	Growth	($819)	($910)	($1,729)
	Management	($461)	($1,219)	($1,681)
	Support	($248)	($388)	($636)
Expenses Total		($19,573)	($19,849)	($39,422)
Grand Total		**$3,928**	**($2,666)**	**$1,261**

Annual expense budgets are based on the projected spend rate for the Amendment 1B and Amendment 2 work plans combinded (Pg 2 and 3).

Amendment 1B Budget by Month ($000s)

Reservoir Committee Work Plan Summary

Subject Area		Jan 20	Feb 20	Mar 20	Apr 20	May 20	Jun 20	Jul 20	Aug 20	Total
Revenue										
	Beginning Balance*	$6,847								$6,847
	Participation Revenue									$0
	Federal Revenue									$0
	State Revenue	$3,300								$3,300
Revenue Sum		$10,147								$10,147
Expenses										
	Environmental Planning	($269)	($245)	($269)	($269)	($245)	($257)	$0	$0	($1,555)
	Operations Modeling	($519)	($472)	($355)	($220)	($116)	($85)	($55)	($53)	($1,876)
	Engineering	$0	($151)	($237)	($239)	($247)	($272)	($248)	($205)	($1,600)
	Geotechnical	$0	$0	($27)	($118)	($107)	($118)	($118)	($113)	($601)
	Real Estate	($7)	($6)	($7)	($7)	($6)	($7)	($7)	($7)	($55)
	Communications	($38)	($35)	($38)	($38)	($35)	($38)	($38)	($36)	($297)
	Project Controls	($255)	($77)	($85)	($85)	($77)	($85)	($85)	($81)	($828)
	Funding	($74)	($109)	($120)	($121)	($104)	($61)	($37)	($35)	($661)
	Growth	($93)	($84)	($93)	($93)	($84)	($93)	($93)	($88)	($719)
	Management	$0	$0	$0	($1)	($23)	($25)	($9)	$0	($58)
	Support	($15)	($14)	($15)	($15)	($14)	($15)	($15)	($15)	($120)
Expenses Sum		($1,271)	($1,194)	($1,247)	($1,206)	($1,059)	($1,056)	($705)	($633)	($8,370)

**Adjusted from value published in work plan based on 2019 close-out*

Draft_0027672

Amendment 2 Budget by Month ($000s)

Reservoir Committee Work Plan Summary

Subject Area	Sep 20	Oct 20	Nov 20	Dec 20	Jan 21	Feb 21	Mar 21	Apr 21	May 21	Jun 21	Jul 21	Aug 21	Oct 21	Sep 21	Nov 21	Dec 21	Total
Revenue																	
Participation Revenue			$11,520					$7,680									$19,200
Federal Revenue							$2,000				$2,000						$4,000
State Revenue			$1,834			$1,834						$1,834			$1,834		$7,336
Revenue Total			$13,354			$1,834	$2,000	$7,680			$2,000	$1,834			$1,834		$30,536
Expenses																	
Permitting	($253)	($577)	($1,023)	($705)	($525)	($525)	($584)	($471)	($419)	($448)	($295)	($309)	($295)	($295)	($613)	($232)	($7,569)
Early Mitigation	$0	$0	($113)	($131)	($119)	($119)	($136)	($131)	($119)	($235)	($231)	($242)	($231)	($231)	($220)	($242)	($2,500)
Environmental Planning	($488)	($512)	($442)	($513)	($474)	($474)	($218)	($71)	($65)	($71)	($61)	($62)	($216)	($42)	($343)	($279)	($4,332)
Operations Modeling	($621)	($680)	($232)	($78)	($71)	($71)	($81)	($72)	($43)	($48)	($46)	($48)	($11)	($46)	$0	$0	($2,146)
Engineering	($1,134)	($768)	($398)	($461)	($108)	($185)	($355)	($292)	($221)	($190)	($161)	($186)	($155)	($155)	($147)	($25)	($4,941)
Geotechnical	($52)	($54)	($61)	($374)	($346)	($461)	($513)	($134)	($124)	($172)	($42)	($44)	($42)	($42)	($40)	($42)	($2,544)
Real Estate	($23)	($24)	($20)	($24)	($21)	($21)	($25)	($24)	($21)	($24)	($23)	($24)	($23)	($23)	($21)	($24)	($362)
Communications	($48)	($50)	($43)	($50)	($46)	($46)	($52)	($50)	($46)	($50)	($48)	($50)	($48)	($48)	($46)	($50)	($771)
Project Controls	($126)	($132)	($114)	($132)	($120)	($120)	($138)	($132)	($120)	($132)	($126)	($132)	($126)	($126)	($120)	($132)	($2,033)
Funding	($29)	($30)	($26)	($30)	($64)	($66)	($76)	($73)	($66)	($71)	($29)	($30)	($29)	($29)	($28)	($30)	($706)
Growth	($25)	($26)	($23)	($26)	$0	$0	($38)	($36)	($33)	($36)	($35)	($154)	($146)	($146)	($139)	($148)	($1,010)
Management	($101)	($106)	($91)	($106)	($96)	($96)	($110)	($106)	($96)	($106)	($101)	($106)	($101)	($101)	($96)	($106)	($1,623)
Support	($32)	($34)	($29)	($34)	($31)	($31)	($35)	($34)	($31)	($34)	($32)	($34)	($32)	($32)	($31)	($34)	($516)
Expenses Total	($2,931)	($2,994)	($2,616)	($2,663)	($2,020)	($2,214)	($2,362)	($1,624)	($1,404)	($1,616)	($1,230)	($1,422)	($1,454)	($1,314)	($1,844)	($1,945)	($31,052)

Sites

Topic: **Authority Board Agenda Item 2.2** **2020 May 27**

Subject: **Second Amendment to 2019 Reservoir Project Agreement**

Requested Action:

Consider approval of the Second Amendment to 2019 Reservoir Project Agreement (Second Amendment).

Detailed Description/Background:

Staff worked with Authority bond counsel (Stradling Yocca Carlson & Rauth) and the Budget and Finance ad hoc Committee to prepare the proposed amendment. This amendment addresses multiple items including; 1) allowing for potential participation changes, 2) extending the agreement through December 2021 which is the end of the Amendment 2 Work Plan and 3) incorporating the Amendment 2 Work Plan revenues and expenses.

In prior staff reports a home board package was referenced to include the materials necessary for executing the Amendment 2 Work Plan. After further evaluation, Staff will be providing the home board package in a format as follows:

- A letter will be provided to each participant member representative requesting action by the agency. (Attachment A) The deadline to receive all the executed amendment forms is September 10, 2020.
- Accompanying the letter will be the Second Amendment for action by the authorized agency designee. (Attachment B)
- A web-based portal has been created and stores all of the information materials for download as needed by each agency. Staff is available to assist with these materials.

Prior Action:

April 17, 2020: Requested staff to address additional items in the draft Second Amendment to 2019 Reservoir Project Agreement including potential participation level changes.

November 21, 2019: Provided input to staff to aid in development of a work plan through June 30, 2020.

October 18, 2019: Approved the First Amendment to 2019 Reservoir Project Agreement.

September 20, 2019: Approved a no-cost extension of time to complete activities defined in the Agreement's work plan (aka Exhibit B). Their approval extended

Draft_0027675

the Agreement's period of performance December 31, 2019 through March 31, 2020.

Fiscal Impact/Funding Source:

Execution of the second amendment creates the contractual commitment by each agency to fund the Amendment 2 work plan through December 2021. It is likely that the Second Amendment will not be completely executed before the current amended agreement expiration of June 30, 2020. While not desirable, this situation was necessary to allow the flexibility and time that agencies needed for their cash call decision making. There is no impact on the project since the Phase 1B funds have already been received.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Draft Cover Letter
Attachment B: Second Amendment to 2019 Reservoir Project Agreement

Sites

May 21, 2020

Dear Reservoir Committee Member,

In April 2020, actions were taken by the Project and Authority governing bodies to adopt the April 13, 2020, Sites Project Value Planning Alternatives Appraisal Report and the Amendment 2 Work Plan which together constitute the scope, schedule and budget for the next stage of project development through December 2021. In taking these actions, funding requirements were set which established the timing and amount due from each participating member that wishes to continue its participation in the Project during the Amendment 2 Work Plan period.

As a participating member in the original Phase 2 Project Agreement dated April 1, 2019 and the First Amendment dated January 1, 2020, the Authority hereby requests your determination of interest in continued participation by returning the attached Second Amendment completed and signed by your authorized agency designee, **no later than September 10, 2020.**

Please note that the Second Amendment requires the following information in addition to the authorized signature on the amendment:

- Indicate the amount of capacity shares in acre feet that you are securing through this phase of the project which, in accordance with the amended Section 6, will represent the capacity share amount to which your agency has first rights of refusal in any future phase of work. These capacity share amounts indicated by each participating member will be used for the final Exhibit A of the Second Amendment.
- Indicate your commitment to provide up to the total funding required for the capacity shares you have designated. The unit cost is the total for the original agreement already paid ($60/AF) and the new commitment for the Amendment2 Work Plan (not to exceed $100/AF).

We have prepared a set of documents for you to use in your agency deliberations of this request. Documents are available for you to download at this link: https://brwncald-my.sharepoint.com/:f:/p/irobinette/Epm9jMLcDwNOhlMc2840b6EBFm-iqGMQPzc-HU2pCxovcQ?e=Z6687k. Don't hesitate to contact Kevin Spesert, Sites Project Authority, External Affairs Manager, at kspesert@sitesproject.org if you need assistance with any of these materials.

The project team is excited to embark on this ambitious Amendment 2 Work Plan. It is critical that you receive timely information for your next decision prior to December 2021 where we will be seeking commitment of the total project local cost share which is one of the conditions for receiving the $816M State WSIP funds.

Please don't hesitate to contact me if there are any questions or you need my support regarding this request.

Jerry Brown
Executive Director, Sites Project Authority

Sincerely,

_____
Jerry Brown, Executive Director
Sites Project Authority

SECOND AMENDMENT TO 2019 RESERVOIR PROJECT AGREEMENT

BY AND AMONG

SITES PROJECT AUTHORITY

and

THE PROJECT AGREEMENT MEMBERS LISTED HEREIN

Dated as of July 1, 2020

THIS SECOND AMENDMENT TO 2019 RESERVOIR PROJECT AGREEMENT (this "Second Amendment"), dated as of July 1, 2020, by and among SITES PROJECT AUTHORITY, a joint powers authority duly organized and existing under the laws of the State of California (the "Authority"), and the project agreement members listed in the Agreement referenced below (the "Project Agreement Members") and amends that certain 2019 Reservoir Project Agreement dated as of April 1, 2019 (the "Original Agreement"), as previously amended by the First Amendment to 2019 Reservoir Project Agreement dated as of January 1, 2020 (the "First Amendment" and, together with the Original Agreement, the "Agreement"), each by and among the Authority and the Project Agreement Members;

WITNESSETH:

WHEREAS, Authority and the Project Agreement Members have determined to approve an Amendment 2 Work Plan and to extend the term of the Agreement to December 31, 2021; and

WHEREAS, under Section 11 of the Agreement, the Agreement may be amended by a writing executed by the Authority and at least 75% of the total weighted vote of the then current Committee members as provided in Subsection 3(g); and

WHEREAS, all acts, conditions and things required by law to exist, to have happened and to have been performed precedent to and in connection with the execution and the entering into of this Second Amendment do exist, have happened and have been performed in regular and due time, form and manner as required by law, and the parties hereto are now duly authorized to execute and enter into this Second Amendment;

NOW, THEREFORE, THIS SECOND AMENDMENT WITNESSETH, the Authority and the Project Agreement Members agree, as follows:

ARTICLE I

DEFINITIONS

Section 1.01. **Definitions.** All capitalized terms not otherwise defined herein shall have the meaning set forth in the Agreement.

ARTICLE II

AMENDMENTS TO AGREEMENT

Section 2.01. **Project Agreement Members**.

(a) Effective September 1, 2020, the Project Agreement Members attached as Exhibit A to the Agreement shall be succeeded in their entirety by the Project Agreement Members attached hereto as Exhibit A.

Section 2.02. **Work Plan**.

(a) Effective September 1, 2020, the 2019 Work Plan attached as Exhibit B to the Agreement shall be supplemented by the Work Plan attached hereto as Exhibit B (the "Amendment 2 Work Plan").

Section 2.03. **Funding**.

The Agreement is hereby amended to remove Section 4(a) in its entirety and replace it with the following:

"(a) Budget. The Committee shall, in cooperation with the Authority's Board, provide and approve both a Fiscal Year operating budget and reestablish a Phase 2 budget target, annually or more frequently as needed. The Project Agreement Members shall contribute their respective pro-rata share of the budgeted sums reflected in the 2019 Work Plan (prior to November 1, 2020) and the Amendment 2 Work Plan (on and after November 1, 2020) in accordance with Section 5 of this Project Agreement; provided, however, that in no event shall the amount paid by a Project Agreement Member exceed $160 per acre-foot (with $60 of such amount being attributable to the 2019 Work Plan and $100 of such amount being attributable to the Amendment 2 Work Plan) without the approval of such Project Agreement Member. The contribution with respect to the pro-rata budgeted sums reflected in the Amendment 2 Work Plan shall be payable by each Project Agreement Member in two installments. The first installment shall be in an amount equal to $60 per acre-foot and shall be payable by no later than November 1, 2020. The second installment shall be in an amount up to $40 per acre-foot and shall be payable by no later than April 1, 2021. The exact amount per acre-foot of the second installment shall be established by the Committee, in cooperation with the Authority's Board, and notice of such amount shall be provided by the Authority to each Project Agreement Member."

Section 2.04. **Future Development of the Sites Reservoir Project**.

The Agreement is hereby amended to remove Section 6(b) in its entirety and replace it with the following:

"(b) Without limiting the foregoing, any Project Agreement Member that elects to continue participating in the development, financing, and construction of the Sites Reservoir Project to the time when the Authority offers contracts for a water supply or other services, will be afforded a first right, equal to that Project Agreement Member's Participation Percentage, to contract for a share of any water supply that is developed, and for storage capacity that may be available from, the Sites Reservoir Project. In any successor phase agreements, Project Agreement Members who are parties to this Project Agreement that submitted a proposal to participate before February 28, 2019, shall be granted rights to contract for a share, in an amount equal to that Project Agreement Member's Participation Percentage as of the effective date of such successor phase agreement, of any water supply that is developed, and for storage capacity that may be available from the Sites Reservoir Project prior to the rights of those becoming parties to this Project Agreement after that date.

If a participating Project Agreement Member as of February 28, 2019 identifies a lesser amount in the Second Amendment than its Original Agreement requested amount, that participating Project Agreement Member's first rights of refusal in the future are to be based on the Second Amendment amounts and not the February 28, 2019 amounts.

Provided, however, that if a Project Agreement Member withdraws from the Project Agreement pursuant to Section 9 of this Agreement but later requests to be reinstated, then to the extent there is unsubscribed participation in the Project as determined by the Committee, the

Committee may vote to readmit said withdrawn Member with a reinstated first right of refusal provided said withdrawing Member provides funding to the Project commensurate with the funding requirements met by all current Project Agreement Members in the current phase of the Project as well as any prior phase, as adjusted for any credits, payments and/or reimbursements made under the Authority's credit reimbursement policy (the "Credit Reimbursement Policy").

Further provided, that if a Project Agreement Member desires to increase its participation after execution of the Second Amendment, then to the extent there is unsubscribed participation in the Project as determined by the Committee, the Committee may vote to approve said increase, or portion thereof, with a first right of refusal attendant thereto, provided said increasing Project Agreement Member provides funding to the Project commensurate with the funding requirements met by all current Project Agreement Members in the current phase of the Project as well as any prior phase, as adjusted for any credits, payments and/or reimbursements made under the Credit Reimbursement Policy.

The Authority and the Project Agreement Members will cooperate on the drafting of provisions in the water supply contract that will allow a Project Agreement Member or other eligible entity that commits to purchase a Sites Reservoir Project water supply to transfer water that the entity may not need from time to time on terms and conditions acceptable to the Project Agreement Member."

Section 2.05. **Term**. The Agreement is hereby amended to remove Section 8(b) in its entirety and replace it with the following:

"(b) The term of this Project Agreement shall continue until December 31, 2021. In the event that this Second Amendment is not approved by Project Agreement Members with the requisite percentage of the total weighted vote as set forth in the Agreement by June 30, 2020, the Agreement shall be revived immediately upon approval by such requisite percentage, without any additional approval of the Project Agreement Members, and this Second Amendment shall become effective."

Section 2.06. **Executive Director**. All references to the "General Manager" in the Agreement shall be changed to "Executive Director."

ARTICLE III

PROJECT AGREEMENT MEMBER PARTICIPATION

Section 3.01. **Project Agreement Participation.** Each Project Agreement Member shall specify its participation in the Sites Reservoir Project by indicating its elected water participation amount in the Sites Reservoir Project and the associated cost in the space provided therefor on the signature page to this Second Amendment. Based upon the respective participation elections of the Project Agreement Members, the Authority shall update Exhibit A pursuant to Section 5 of the Agreement.

ARTICLE IV

MISCELLANEOUS

Section 4.01. **Effectiveness of Agreement**. Except as expressly amended by this Second Amendment, the Agreement is hereby ratified and confirmed and shall continue in full force and effect in accordance with the terms and provisions thereof. The amendments set forth in this Second Amendment shall be incorporated as part of the Agreement upon their effectiveness in accordance with Section 11 of the Agreement.

Section 4.02. **Execution in Several Counterparts**. This Second Amendment may be executed in any number of counterparts and each of such counterparts shall for all purposes be deemed to be an original; and all such counterparts, or as many of them as the Authority and the Project Agreement Members shall preserve undestroyed, shall together constitute but one and the same instrument.

Section 4.03. **Authorization, Ratification and Confirmation of Certain Actions**. The Authority and the Project Agreement Members each hereby authorize, ratify and confirm the extension of the term of the Agreement, as previously extended pursuant to the First Amendment, to June 30, 2020, and the expenditure of funds collected under the Agreement with respect to the 2019 Work Plan on and prior to June 30, 2020.

Section 4.04. **Laws Governing Second Amendment**. The effect and meaning of this Second Amendment and the rights of all parties hereunder shall be governed by, and construed according to, the laws of the State.

IN WITNESS WHEREOF, the Authority and Project Agreement Members hereto, pursuant to resolutions duly and regularly adopted by their respective governing bodies, have caused their names to be affixed by their proper and respective officers on the date shown below:

Dated: _____

SITES PROJECT AUTHORITY

By: _____
Name:
Title:

[PROJECT AGREEMENT MEMBER]

Dated: _____

_____

(Authority & Project Agreement Member)

By: _____
Name:
Title:

PARTICIPATION AMOUNT

[PROJECT AGREEMENT MEMBER] hereby elects to participate in the Sites Reservoir Project in the amount and at the cost identified below.

Participation (Second Amendment Annualized Acre-Foot):

Second Amendment Cost: Not to Exceed $100 per Acre-Foot

EXHIBIT A

PROJECT AGREEMENT MEMBERS

Participant	Participation (Second Amendment Annualized Acre-Foot) Preliminary	Percent
American Canyon, City of		
Antelope Valley-East Kern Water Agency		
Carter Mutual Water Company #		
Coachella Valley Water District		
Colusa County		
Colusa County Water District		
Cortina Water District		
Davis Water District		
Desert Water Agency		
Dunnigan Water District		
Glenn-Colusa Irrigation District		
LaGrande Water District		
Metropolitan Water District of S. CA		
Pacific Resources Mutual Water Company #		
Reclamation District 108		
San Bernardino Valley Municipal Water District		
San Gorgonio Pass Water Agency		
Santa Clara Valley Water District		
Santa Clarita Valley Water Agency		
Westside Water District		
Wheeler Ridge-Maricopa Water Storage District		
Zone 7 Water Agency		
Potential new participants		
Total:		

Participation Percentages exclude State of California and United States Bureau of Reclamation share of the Project.

# Denotes a non-public agency. Refer to California Corporations Code Section 14300 et. seq. with additional requirements provided in both the Public Utilities Code and Water Code.

EXHIBIT B

AMENDMENT 2 WORK PLAN

Draft_0027686

Sites

Topic: **Authority Board Agenda Item 2.3** **2020 May 27**

Subject: **Federal Legislative Priorities**

Requested Action:

Consider acceptance of the 2020-2021 Sites Project Federal Government Affairs Priorities.

Detailed Description/Background:

In response to the recommendation outlined in the Organizational Assessment to prepare an analysis and staff recommendation on federal funding pursuits, staff has been working with the Authority Ad Hoc Legislative & Outreach Committee and our Government Affairs Team to identify our near-term Federal government affairs/legislative priorities.

The focus of this effort was to ensure that our Federal Government Affairs/Legislative activities aligns with key project milestones and our project schedule and specifically focusing on near-term federal operational participation and federal funding. These priorities will be the Committee and Team focus through December 2021. Any adjustments would be brought back to the RC and AB before being incorporated and updates will be provided on significant progress.

Prior Action:

None.

Fiscal Impact/Funding Source:

Sufficient funds exist to support activities associated with these priorities within the Amendment 2 work plan.

Staff Contact:

Jerry Brown/Kevin Spesert

Attachments:

Attachment A: Federal Government Affairs Priorities Memo

TO: Ad Hoc Legislative & Outreach Committee

DATE: 13 May 2020

SUBJECT: 2020 Federal Government Affairs Priorities

Staff has been working to identify near-term (2020) Federal government affairs/legislative priorities in an effort to focus our activities to better align with key project milestones and our existing project schedule.

The following represents the staff's proposed Federal government affairs/legislative priorities.

OPERATIONS PARTICIPATION

- Final completion of Reclamation's Feasibility Report and DOI Secretary determination of feasibility.
  - Complete by **December 31, 2020** which is needed to be eligible for receiving WIIN Act funding and to support the state feasibility report as required by Proposition 1.
  - Reconcile the alternatives included in the feasibility report with the Authority's VP7 alternative.
  - Finalize level of federal participation/investment in the project.
- Pursue development of a CVP Coordinated Operations Plan.
  - Term sheet whereby the parties agree on the key provisions of an agreement by **July 2021.**
- Coordination with Reclamation on the development of the Authority's Water Rights Application.
  - Develop and submit Authority Water Rights Application by **June 2022**.
- Continuing partnership with Reclamation as the NEPA lead agency in developing the Environmental Impact Statement (EIS).
  - Completion of the Recirculated Draft EIS no later than **July 2021** in coordination with the Sites Authority EIR. Completion of the Record of Decision by **May 2022**.

FEDERAL FUNDING

WIFIA/RIFIA

- Begin groundwork for submittal of a WIFIA Loan application.
  - The Value Planning Report anticipated a $1.1 billion WIFIA loan. Application is planned for submittal in **June 2021.**
- Continue to track the development of the RIFIA program and pursue RIFIA funding if available.

WIIN Act

- Secure funding received from the WIIN Act in 2019. Needed to complete the Phase 2 work plan.
  - **$6 million secured** from the 2019/2020 Budget deal signed by the President in **Dec 2019.**
  - Authority is developing a Financial Assistance Agreement to access these funds– assume the Authority will receive **$3 million.**
- Pursue and secure FY2021 WIIN Act funding (current budget cycle) and begin groundwork for a FY2022 WIIN Act funding request.

DRAFT

- Coordination with Reclamation to identify funding needs in FY2021 and FY2022 to advance the project including "post-feasibility" funding.
- Begin groundwork for WIIN Act construction funding request (Pending Reclamation investment decision).

Federal Stimulus & Other funding

- Support development and secure funding from potential COVID19 related infrastructure stimulus package for priority items that align with early project work (e.g. roads, communications, etc.).
  - Anticipate infrastructure stimulus in Fall of 2020.
- Track and pursue opportunities for appropriations/grants/loans as appropriate
  - Roads/Bridges, Rural Broadband, flood control, infrastructure planning, operations &maintenance, etc.

Other items that do not require legislative action but are administrative in nature are listed below. We will need to keep our legislators informed on progress of these items so that they can step in when/if needed to assist in keeping them on the timeline required to support the project schedule.

Permitting - Supportive consultation with federal agencies in securing; USFWS Biological Opinion, NMFS Biological Opinion, National Historic Preservation Act Section 106 Programmatic Agreement.

Public Outreach - Engage with Reclamation Public Affairs staff for the rollout of the Feasibility Report. Work to ensure that messaging is consistent and any differences between the Authority's VP7 alternation and the alternatives included in the feasibility report are addressed. Coordinate with USBR Public Affairs on any public outreach activities associated with a recirculated EIS.

DRAFT

Sites

Topic: **Authority Board Agenda Item 2.4** **2020 May 27**

Subject: **Commendation for Jim Watson, General Manager**

Requested Action:

Consider approval of Resolution No. 2020-02 commending Mr. Jim Watson for his 5 years of dedicated service to the Sites Reservoir Project.

Detailed Description/Background:

With almost 5 years of exemplary service to the Sites Project Authority, Mr. Jim Watson will be leaving his position as General Manager, effective May 23, 2020.

Mr. Watson has made significant contributions to the Sites Project, including:

- Management of multi-million-dollar annual budgets to enable progress on the Project, and establishment of various procedures to manage the finances and operations of the Project.
- Assembly of a highly qualified team of Authority Agents and consultants to enable progress on the Project to a high standard of performance.
- Development of the 2017 Draft Environmental Impact Report for the Project;
- Coordination and cooperation in the development by the U.S. Bureau of Reclamation of its 2017 Draft Federal Feasibility Report.
- Initiation of focused geotechnical field investigations.
- Qualification of the Project for $816 million of funding from the State of California's Water Storage Investment Program (Proposition 1) via the California Water Commission, and execution of an Early Funding Agreement with the Commission.
- Establishment of eligibility for and receipt of about $10 million in funding from the federal WIIN Act via the Bureau of Reclamation.
- Completion of numerous studies necessary for furtherance of Project financial viability, physical construction, future operations, permitting, and environmental benefits.
- Inclusion of the Project in California's Water Resilience Portfolio.
- Establishment of the Sites Project Authority office in Maxwell, California.
- Secure a diverse and statewide group of project investors including the State of California in the amount of $816M of WSIP Prop 1 funding along with 21 participating member agencies.

It is appropriate to acknowledge Mr. Watson for his leadership at the local, regional, and state level, and his years of dedicated service to the Sites Project Authority and the participating agencies in the project.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Resolution 2020-02

RESOLUTION NO. 2020-02

A Joint RESOLUTION OF THE SITES PROJECT AUTHORITY Board of Directors and Reservoir Committee honoring JIM C. WATSON for his leadership and dedication during his years of service on the project

WHEREAS, Mr. Watson has dedicated almost five years of service to the Sites Project Authority and its participating member agencies while serving as the Authority's first General Manager; and

WHEREAS, Mr. Watson has been a collaborative General Manager with a strong focus on creative problem solving, technical details, innovative project concept development; and

WHEREAS, Mr. Watson cultivated a strong and dedicated "project comes first" culture in the organization with a commitment to individual professional growth and recognition; and

WHEREAS, Mr. Watson's leadership enabled the Sites Project Authority to competitively receive the largest Prop 1 WSIP award of $816 million toward statewide benefits in the project and execution of an Early Funding Agreement with the Commission; and

WHEREAS, Mr. Watson, through a spirit of partnership with the Bureau of Reclamation, helped to develop the Draft Federal Feasibility Report finding that the project has significant federal interest and is worthy of federal investment; and

WHEREAS, Mr. Watson's business acumen and experience, emphasis on timely planning and decision-making, practical approach to problem-solving, and assertiveness regarding the Project's interests in public forums have also benefitted the Project's development;

WHEREAS, Mr. Watson has branded the Sites Project as a vital component of California's water infrastructure with a diverse and statewide support and participation; and

WHEREAS, Mr. Watson successfully discharged his responsibilities with honesty, integrity, and fairness, and with a laser-focused practical approach for the overall benefit of the Project and its Members, all to improve future water supplies for water suppliers, residents and the environment of the State of California;

WHEREAS, the improvement of water supplies to farms, cities and the environment within the Sacramento Valley and the State of California is noble and praiseworthy work, and Mr. Watson has cared deeply about this work and has enabled it with loyalty, dedication, and excellence to the benefit of the Project and its beneficiaries;

WHEREAS, Mr. Watson's dedication to the Project has been beyond reproach;

NOW, THEREFORE BE IT RESOLVED that the Authority Board and Reservoir Committee of the Sites Reservoir Project expresses its gratitude and appreciation to Jim Watson for his dedicated service to the Sites Reservoir Project and its participating member agencies, and envisions continued success for him in his future endeavors.

****

The foregoing resolution was duly and regularly adopted at a meeting of the Reservoir Committee held on the 21th of May 2020 and was duly and regularly adopted at a meeting of the Authority Board held on May 27th of May 2020 both making up the governing body of Sites Reservoir Project.

AUTHORITY BOARD	**RESERVIOR COMMITTEE**
_____	_____
Fritz Durst – Sites Project Authority, Chair	Thad Bettner –Reservoir Committee , Chair

ATTESTED

_____

Yolanda Tirado – Sites Project Authority, Board Clerk

Sites

Topic: **Authority Board Agenda Item 3.1** **2020 May 27**

Subject: **Environmental Planning and Permitting Update**

Requested Action:

Review and comment on Recirculated EIR and key permits milestone schedule and key decision points.

Detailed Description/Background:

Since approval of the Phase 1B Work Plan and the Sites Value Planning Report, staff has been moving forward with executing the environmental planning and permitting components for the "rightsized" project. The attached milestone schedule describes the roadmap for completing the Recirculated EIR and obtaining key permits. Current activities and key upcoming decision points (shown in bold) are as follows:

- California Environmental Quality Act / National Environmental Policy Act (CEQA / NEPA) – The project team is currently developing an approach for completing the Recirculated Environmental Impact Report (EIR). This approach will outline key components of the work ahead, including assessing the extent of revisions to each section of the 2017 document. The project team is also working to **formulate a recommended approach to alternatives and baseline conditions that we anticipate reviewing with the Reservoir Committee and Board in June**. The team is proceeding to coordinate a joint EIR/EIS. No final decision has been made yet by Reclamation, but it is assumed that the EIS will be supplemented and recirculated with the EIR.
- Federal Endangered Species Act and California Endangered Species Act (Fish and Game Code Section 2081) – Similar to above, the project team is currently developing the approach for completing the Biological Assessment and Section 2081 Permit applications in coordination with the resource agencies and Reclamation. The environmental and operations teams continue to work closely on modeling components and refinement of operational criteria for project diversions from the Sacramento River. The **operating regimes for diversions and releases and a review of the storage policy will be reviewed with the Reservoir Committee and Board in July.**
- National Historic Preservation Act, Section 106 – This effort will be initiated in September.
- California Water Right Application (California Water Code) – The project team is currently working to **formulate a recommend approach to identify key components of the water right application for discussion with the Reservoir Committee and Board in September**. These key components

Draft_0027695

include Place of Use, Points of Diversion, Points of Rediversion, and Purpose of Use – all of which will form the basis of the application.

- Clean Water Act Section 404 and 401 – This effort will be initiated shortly.
- River and Harbors Act Section 408 – This effort will be initiated in late July as more design and engineering information becomes available.

All of the actions and activities identified above remain on the overall schedule (some priorities have shifted around, but the overall schedule remains the same). All actions and activities are also within budget. The attached figure provides an overview of the key environmental planning and permitting efforts underway along with the schedule for key milestones and deliverables.

Prior Action:

None.

Fiscal Impact/Funding Source:

Costs to undertake the current efforts were included in the Amendment 2 Work Plan which was approved by the Sites Project Authority at its April 22, 2020 Board meeting.

Staff Contact:

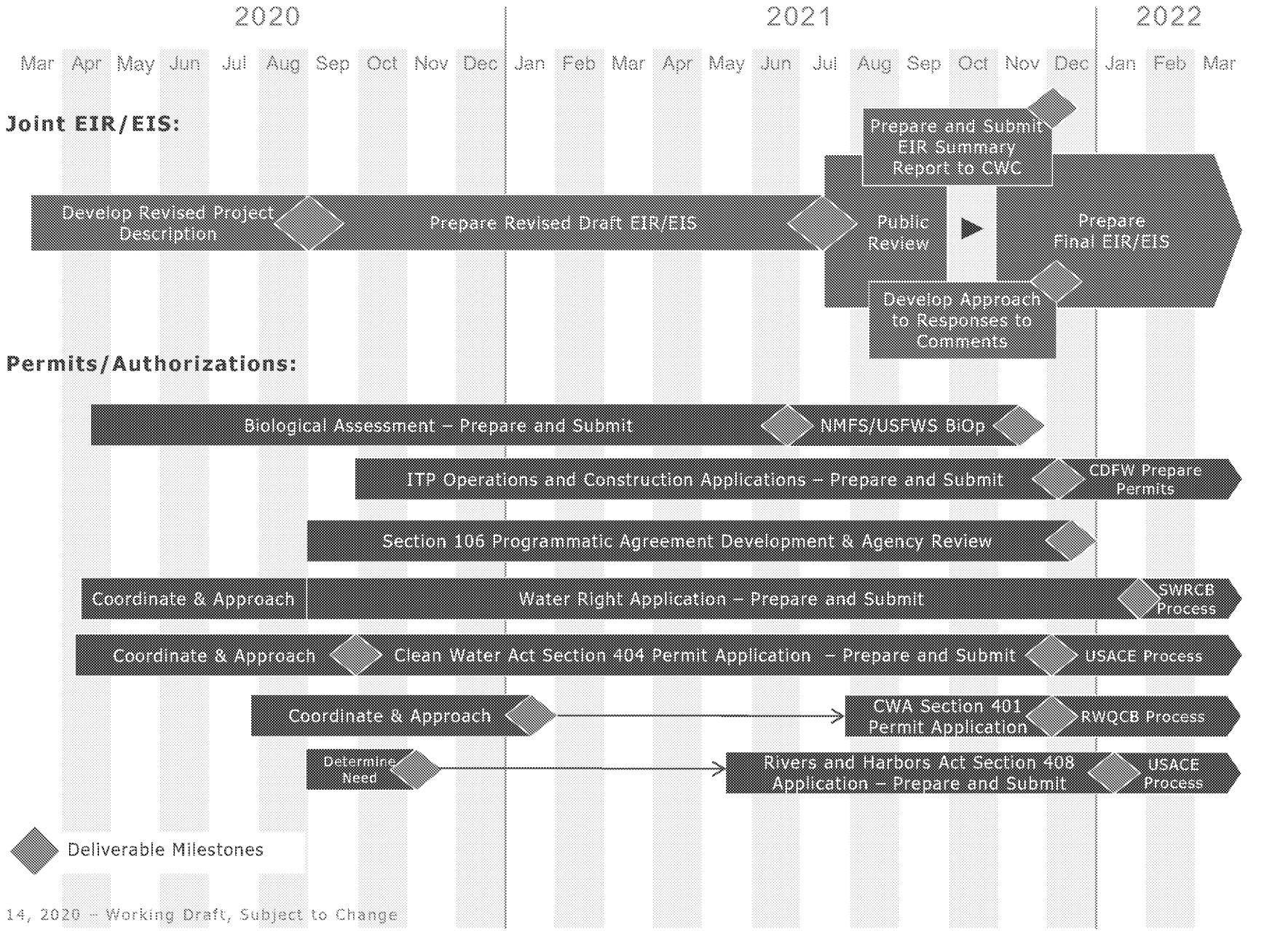
Ali Forsythe

Attachments:

Environmental Planning and Permitting Schedule – The schedule needs to be attached to this staff report.

Environmental Planning and Permitting Key Milestones

Sites



May 14, 2020 – Working Draft, Subject to Change

Sites

Topic: **Authority Board Agenda Item 3.2** **2020 May 27**

Subject: **Communications and Outreach Update**

Requested Action:

Review and Comment on Communications and Outreach Efforts to Communicate Rightsized Project.

Detailed Description/Background:

Since the approval of the Sites Value Planning Report last month and the introduction of the proposed "right-sized" project, staff and our Communications and Government Affairs teams have been actively engaging in an extensive communications and outreach effort.

These efforts include the following activities.

- Distribution of a press release highlighting the "right-sized" project to our statewide media list, distribution of an e-blast newsletter, distribution of Editorials to regional media outlets, and distribution of content on the project's social media platforms.
- Federal & State elected official/staff briefings on the project's value planning process, future funding needs and agency coordination needs.
- Project update briefings with NGOs and public interest organizations to introduce the project's rightsizing and solicit NGO feedback on the environmental review process and the path forward.
- Landowner coordination activities and briefings with local elected officials introducing the "right-sized" project.

Over the next several weeks, staff will continue to engage elected officials, landowners, project stakeholders and to develop and distribute additional media content in support of the rollout of the new proposed project. Considering the circumstances with COVID, a webinar is being produced that will be made available on the website by the end of June that reviews all aspects of the "right sized" project.

Additionally, staff is working on updating and distributing a revised version of the Message Platform based on comments received from the Reservoir Committee and Authority Board in addition to the feedback that we have received from during our outreach efforts to date.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown/Kevin Spesert

Attachments:

None.

Sites

Topic: **Authority Board Agenda Item 3.3** **2020 May 27**

Subject: **Secure Proposition 1 Water Storage Investment Program**

Requested Action:

Review and Comment on Efforts to Secure Proposition 1 Water Storage Investment Program implementation modifications in light of the continuing effects of the COVID-19 pandemic on local government and water agency finances.

Detailed Description/Background:

The Phase 2 work plan was unanimously approved last month by the Reservoir Committee and the Authority Board showing unwavering commitment to completing this Project in an expeditious manner. However, the uncertainty and longer-term financial impacts of the COVID-19 pandemic may negatively impact the participating members ability to provide some or all the necessary financial support to advance the project in the near term. A key upcoming statutory deadline to receive the Proposition 1 funding is to achieve three specific milestones (see attached) including demonstrating at least 75% local cost share commitment by January 1, 2022. While it is hoped that COVID's effects will have subsided by then, there are funding requirements between now and then that may be impacted.

As a funding partner in the Sites project, the State is in a unique position to provide emergency assistance that could defray local cashflow during the effects of the pandemic and keep the project on schedule. A group of 6 of the 8 storage projects that were awarded Proposition 1 WSIP funds, including Sites, requested that the California Water Commission direct staff to provide administrative and regulatory modifications within the next 60 days that could mitigate the impacts of COVID-19. The letter included suggested actions that could be evaluated on a project by project basis due to the variance in risk factors. Any of the suggestions could benefit the Sites Project.

The CWC will meet on May 20, 2020 and is anticipated to consider the request. Staff will provide a report at the meeting on the Commission's actions.

Fiscal Impact/Funding Source:

It is expected any actions by the Commission on our request would result in cashflow adjustments, not new outside funding to the Project. However, advanced cashflow by the State could keep the project on schedule if local funding becomes unavailable because of more serious economic conditions, which are a reasonable possibility in some cases. To date, State early funding has been provided to the project in the amount of $6.1 million and $897,000 is being held in retainage by the State.

History:

In November 2016 the California Code of Regulations was modified by the California Water Commission to incorporate the conditions under which projects awarded funding under the Proposition 1 Water Storage Investment Program would be considered and receive funds. None of the conditions took into consideration the current COVID-19 natural disaster being experienced across the country.

In July 2018 eight storage projects were awarded funds under the WSIP, including the Sites Reservoir Project that received a maximum conditional eligibility determination (MCED) of $816,377,686 and was provided early funding in the amount of $40,818,884 which was the maximum allowed 5% of the MCED.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Proposition 1 Language

Attachment B: Proposition 1 Six Agency Letter sent to the CWC on May 11, 2020

Sites

Requirements That Must Be Met for Eligibility of Final Funding

79755. (a) Except as provided in subdivision (c), no funds allocated pursuant to this chapter may be allocated for a project before December 15, 2016, and until the commission approves the project based on the commission's determination that all of the following have occurred:

(1) The commission has adopted the regulations specified in Section 79754 and specifically quantified and made public the cost of the public benefits associated with the project.

(2) The project applicant has entered into a contract with each party that will derive benefits, other than public benefits, as defined in Section 79753, from the project that ensures the party will pay its share of the total costs of the project. The benefits available to a party shall be consistent with that party's share of total project costs.

(3) The project applicant has entered into a contract with each public agency identified in Section 79754 that administers the public benefits, after that agency makes a finding that the public benefits of the project for which that agency is responsible meet all the requirements of this chapter, to ensure that the public contribution of funds pursuant to this chapter achieves the public benefits identified for the project.

(4) The commission has held a public hearing for the purposes of providing an opportunity for the public to review and comment on the information required to be prepared pursuant to this subdivision.

(5) All of the following additional conditions are met:

(A) Feasibility studies have been completed.

(B) The commission has found and determined that the project is feasible, is consistent with all applicable laws and regulations and will advance the long-term objectives of restoring ecological health and improving water management for beneficial uses of the Delta.

(C) All environmental documentation associated with the project has been completed, and all other federal, state, and local approvals, certifications, and agreements required to be completed have been obtained.

(b) The commission shall submit to the Legislature its findings for each of the criteria identified in subdivision (a) for a project funded pursuant to this chapter.

(c) Notwithstanding subdivision (a), funds may be made available under this chapter for the completion of environmental documentation and permitting of a project.

Requirements That Must Be Met Before January 1, 2022

79757. (a) A project is not eligible for funding under this chapter unless, by January 1, 2022, all of the following conditions are met:

(1) All feasibility studies are complete and draft environmental documentation is available for public review.

(2) The commission makes a finding that the project is feasible and will advance the long-term objectives of restoring ecological health and improving water management for beneficial uses of the Delta.

(3) The director receives commitments for not less than 75 percent of the nonpublic benefit cost share of the project.

(b) If compliance with subdivision (a) is delayed by litigation or failure to promulgate regulations, the date in subdivision (a) shall be extended by the commission for a time period that is equal to the time period of the delay, and funding under this chapter that has been dedicated to the project shall be encumbered until the time at which the litigation is completed or the regulations have been promulgated.

May 11, 2020

Armando Quintero, Chairman
California Water Commission
PO Box 942836
Sacramento, CA 94236

Dear Chairman Quintero,

We represent six of the eight projects that were conditionally awarded funds for water storage projects under the Proposition 1 Water Storage Investment Program (WSIP). We are writing to request that you consider making emergency and temporary modifications on a project by project basis in your implementation of the WSIP funding due to the COVID natural disaster. In particular, we are concerned about the longer-term economic and jobs related impacts this continuing disaster will have on our ability to implement these critical water storage projects that will be co-funded through the WSIP.

Across our State, residents are struggling to meet their financial obligations including paying their water bills. Business closures have resulted in lost revenue to water and wastewater agencies. These are some of the direct impacts of the disaster today. More concerning and uncertain are the longer-term impacts which are sure to negatively affect public agency finances. All of us are in strong financial positions today, but none of us could have ever expected or planned for what we are facing now and in our future because of the COVID pandemic. We are all foreseeing financial pressures on our local investments in capital projects from COVID, including potentially delaying these WSIP storage projects.

We are not wavering in our commitment to implement these critical projects of statewide significance. However, we are concerned that the uncertain financial times ahead could cause delays to these projects that you have previously determined create an important statewide benefit. Delays hurt all investors, especially the State, because the State's investment dollars are not inflation protected. We do not want to see any delays, which is why we are bringing this issue and potential solutions to your attention while there is time for measured steps that help to avoid more serious outcomes.

The State, as a project investor, is in the unique position to provide emergency assistance while the local investors sort out the unforeseen consequences of COVID. The State has the financial wherewithal and legal latitude within Proposition 1 to look beyond the current situation and ensure our storage projects move forward on the timeline needed for the State's long-term water security and for job creation.

We request the California Water Commission direct staff to evaluate administrative and regulatory modifications which are appropriate to mitigate COVID impacts and submit

corresponding recommendations to the Commission for its consideration within 60 days. To assist in this effort, we offer the following considerations as potential areas for modification depending on individual project circumstances:

- Modification of Early funding conditions – Currently, $78.6 million of the $135 million has been encumbered to applicants that requested early funding. New or additional funds could be released. The 5% limit on early funding could be increased, (per Water Code Section 79704, up to 10% of allocated funds may be expended for planning and monitoring). Also, the Commission could consider allowing the cost share of prior costs to extend back to November 4, 2014, instead of the current August 14, 2017 date. Scope revisions on existing early funding agreements could be implemented on all projects. Projects that did not request early funding could be given the opportunity to receive such funding under revised program conditions established by the Commission. The program cap of $135 million toward early funding could be increased.
- Increased release frequency or waiver of retention on early funding - Since funds are provided on a reimbursement basis, this would better match actual expenditures rather than local dollars filling the many months gap between paying service providers and receiving the state funds.
- Consider using flexibility within the early funding agreements - For example, instead of maintaining 50/50 cost-share on a monthly basis, a longer time horizon would allow local dollars to be defrayed in the near term. A 70/30 cost share could be temporarily allowed for 18-24 months and the remaining time could be taken to achieve the required overall 50/50 share split.
- Incorporate COVID related impacts into pre-established deadlines - such impacts were not expected when dates were determined. Day for day extensions are typical contract remedies for Force-Majeure conditions. The Governor has significant powers under the emergency declaration which should be further explored. As an alternative to deadline changes, consider interpreting the requirements for feasibility and local cost-share commitments in a way that accommodates COVID impacts.

Thank you for your consideration of this unfortunate request. Our shared interest is in getting these projects built and we remain committed to achieving this goal, despite the COVID natural disaster.

Sincerely,

Jerry Brown, Executive Director
Sites Project Authority

Mark Beuhler,
Willow Springs Water Bank

Aaron Fukuda, General Manager
Temperance Flat Reservoir Authority

Shivaji Deshmukh, General Manager
Inland Empire Utilities Agency

Paul Cook, General Manager
Irvine Ranch Water District

Eric Averett, General Manager
Rosedale-Rio Bravo Water Storage District

Norma Camacho, Chief Executive Officer
Valley Water

Cc: Carol Baker, California Water Commission
Teresa Alvarado, California Water Commission
Andrew Ball, California Water Commission
Daniel Curtin, California Water Commission
Matthew Swanson, California Water Commission
Joe Yun, Executive Officer, California Water Commission
Amy Young, WSIP Project Manager, California Water Commission

Sites

Topic: **Authority Board Agenda Item 3.4** **2020 May 27**

Subject: **Reservoir Committee Workgroup and Authority Board Committee Structure**

Requested Action:

Review and Comment on the Reservoir Committee Workgroup and Authority Board Committee Structure and Assignments.

Detailed Description/Background:

According to Article 9 of the Authority's September 2017, seconded amended and restated bylaws of the Sites Project Authority it is within the duties of the Chair to establish standing committees of the Board as necessary to ensure successful completion of the Authority's mission. In light of the recent approval of April 2020 Value Planning Report and Phase 2 work plan, it is appropriate to reconsider the existing Authority Board Committee structure. After consulting the Chair, the following change is being made:

- The Budget and Finance Committee of the Board is merged effective immediately with the Budget and Finance Workgroup of the Reservoir Committee. Any Board members wishing to participate in budget and finance matters are welcome to attend these meetings as long as a quorum of the Board is not reached. The Chair of the Reservoir Committee and the Chair of the Budget and Finance Workgroup has concurred with this change.

No other changes are proposed at this time for the Authority Board Committee structure. The attached list describes the active committees of the Board and the current roster. No changes are proposed to charter documents of these committees.

The Reservoir Committee adopted updates to its workgroup structure at the March 19, 2020 meeting and the list of active workgroups and the current rosters are attached for information.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Current Reservoir Workgroup Assignments

Attachment B: Current Authority Board Committee Assignments

Reservoir Committee Workgroup Assignments for 2020

	Budget & Finance	Res. Ops & Engineering	Environmental & Permitting	Coordination
1	Jamie Traynham **Chair**, Davis WD	Mike Azevedo Vice Chair, Colusa	Thad Bettner **Chair**, GCID	Thad Bettner Res Comm **Chair**
2	Thad Bettner GCID	Thad Bettner GCID	Mike Azevedo Colusa Co	Jeff Davis Res Comm Vice Chair
3	Rob Kunde WRMWSD	Rob Kunde **Chair**, WRMWSD	Rob Kunde WRMWSD	Jamie Traynham Budget & Finance Chair
4	Katrina Jessop SCVWD	Eric Leitterman SCVWD	Eric Leitterman SCVWD	Robert Cheng Budget & Finance Vice Chair
5	Robert Cheng Vice Chair, CVWD	Robert Cheng CVWD	Robert Cheng CVWD	Rob Kunde Res Ops & Eng. Chair
6	Bill Vanderwaal Dunnigan	Bill Vanderwaal Dunnigan	Bill Vanderwaal Dunnigan	Mike Azevedo Res Ops & Eng. Vice Chair
7	Dan Ruiz Westside WD	Dan Ruiz Westside WD	Jeff Davis SGPWA	Thad Bettner Env & Permit Chair
8	Shelly Murphy Colusa Co WD	Bob Tincher SBVMWD	Heather Dyer Vice Chair, SBVMWD	Heather Dyer Env & Permit Vice Chair
9		Dirk Marks **(Alt)** SCVWA		
10		Rick Kaufman **(Alt)** American Canyon		
11		Amparo Flores Zone 7		
12		Jeff Sutton **(Alt)** TC-4 Districts		
13		Randall Neudeck MWDSC		
		Rob Cooke (by invitation) DWR (non-voting)		
		TBD (by invitation) USBR (non-voting)		
Staff	Joe Trapasso	Jerry Brown	Ali Forsythe	Jerry Brown

Sites

Authority Board Committee Assignments for 2020

	Coordination	Legislative & Outreach	Land Management
1	Fritz Durst, **Chair** RD 108	Jeff Sutton, **Chair** Tehama-Colusa CA	Gary Evans, **Chair** Colusa County
2	Jeff Sutton Tehama-Colusa CA	Fritz Durst RD 108	Jeff Sutton Tehama-Colusa CA
3	Logan Dennis Glenn-Colusa IR	Logan Dennis Glenn-Colusa IR	Logan Dennis Glenn-Colusa IR
4	Gary Evans Colusa County	Gary Evans Colusa County	Leigh McDaniel Glenn County
	Jamie Traynham Associate Member, TC4		
Staff	Jerry Brown	Kevin Spesert	Kevin Spesert

Topic: **Sites Reservoir Project, Phase 2**

Subject: **Monthly Status Report** Report Period: **2020 April**

Monthly Status Summary:

The development of the Sites Project continues to make progress in the critical areas associated with the value planning, work plan, and message platform including the following activities:

WSIP Funding:

- Submitted CWC Quarterly Report 7 for the work period January 1 through March 31, 2020.

WIIN Act Funding:

- Submitted draft statement of work for Financial Assistance Agreement to receive WIIN Act Funds from Reclamation. The project was awarded $6 million in WIIN Act Funds, some of which Reclamation will maintain to advance the project with their staff.

USDA Funding:

- No update

Engineering:

- Finalized the Value Planning Alternatives Appraisal Report identifying a recommended project and two options that would provide flexibility to adjust key features to respond to future conditions.
- Onboarded two new engineering teams: Contract HC – Conveyance Systems (CH2M) and Contract HR – Reservoirs (AECOM).
- Reviewed existing data, including geotechnical exploration information, to begin to determine next steps for Feasibility Study and support of Project Description.

Coordination with Reclamation:

- Met with Reclamation environmental staff to address the outcome of the Value Planning process and Authority's decision to recirculate the Draft EIR. Also discussed the NEPA path forward including the need to define the federal action and assumption of a continued joint EIR/EIS process.
- Continued coordination on Reclamation's work to complete the Final Federal Feasibility Report. Awaiting report chapters for review by Staff.

Environmental Planning and Permitting:

- Completed support to the Value Planning efforts.
- Continued efforts on the EIR/EIS Work Plan and approach for key permits, including efforts to formulate a range of alternatives for the EIR/EIS based on the Draft Value Planning Report and identify the appropriate baseline for on-

going and future analyses.

- Continued development of the project description for use in the recirculated EIR and state and federal ESA permits.
- Began efforts to determine key considerations and approach for the project's water right application.

Operations:

- Continued refinement of analysis tools for daily operations, bypass criteria, floodplain inundation, Shasta within year exchanges and other operational effects.
- Continued discussions on model baseline to support the project description development.
- Continued evaluation of potential for Shasta Exchanges based on updated information contained in ROC on LTO.
- Drafted Work Plan for development of priority operations criteria and parameters.

Stakeholder Engagement, Public Outreach & Real Estate:

- Engaged in outreach efforts with landowners, local community members, state and federal elected officials, government agencies and coalitions of regional and statewide organizations to introduce the Value Planning Report and the new "rightsized" project.
- Responded to landowner requests for project information, facilitated coordination activities with local government agencies and organizations and planned for future landowner, stakeholders and general public outreach activities and events.

Program Management & Administration:

- Developed and presented the Amendment 2 Work Plan covering work between Sep 1, 2020 and Dec 31, 2021. The work plan was approved by the Reservoir Committee and Authority Board.
- Developed a two-step cash call process in light of COVID-19 concerns. Rolled out a soft call survey to Reservoir Committee members to determine planned participation levels by agency.
- Developed the Second Amendment to 2019 Reservoir Project Agreement.

Draft_0027714

Federal Legislative/Government Affairs Priorities

Sites Authority Board

May 27, 2020

Sites

Federal Legislative/Government Affairs Priorities

Staff has been working with the Authority Ad Hoc Legislative & Outreach Committee to identify near-term (2020) Federal legislative and government affairs priorities

- Ensure that legislative/government affairs activities better align with key project milestones, overall project schedule and available resources
- Responsive to the recommendations outlined in the Organizational Assessment to prepare an analysis and staff recommendation on federal funding pursuits

Effort focused on identifying near-team priorities associated with Federal Operational Participation and Federal Funding

Any adjustment to the priorities would be brought back to the Reservoir Committee and Authority Board before being incorporated and updates will be project on significant progress

A similar effort is planned to identify our State Legislative/Government Affairs priorities

Sites

Federal Legislative/Government Affairs Priorities

Federal Operational Participation Priorities

- Final completion of Reclamation's Feasibility Report and Secretarial determination of feasibility by December 31, 2020
  - Reconcile the alternative included in the Feasibility Report with the Authority's VP7 alternative
  - Finalize level of federal participation/investment in the project
- Pursue development of a CVP Coordination Operations Plan – with term sheet where parties agree on the key provisions by July 2021
- Coordination with Reclamation on the development of the Authority's Water Rights Application – submittal of the Authority water rights application by June 2022
- Continuing partnership with Reclamation as the NEPA lead agency in developing the Environmental Impact Statement (EIS)
  - Completion of recirculated Draft EIS no later that July 2021 in coordination with the Authority's EIR
  - Completion of the Record of Decision by May 2022

Sites

Federal Legislative/Government Affairs Priorities

Federal Funding

- WIFA
  - Begin groundwork for the submittal of a $1.1 billion WIFIA loan application by June 2021
  - Continue to track the development of the RIFIA program and the Army Corp of Engineers WIFIA program and pursue funding if available

- WIIN ACT
  - Secure the $6 million appropriated to the project in the 2019 budget deal and finalize the Federal Financial Assistance Agreement to access the funds
  - Pursue and secure FY2021 WIIN Act funding (current budget cycle) and begin groundwork for a FY2022 WIIN Act Request for preconstruction activities
  - Begin groundwork for a WINN Act construction funding request – pending Reclamation's investment decision

- Federal Stimulus & Other Funding
  - Support development and secure funding from potential COVID19 related infrastructure stimulus package that align with early project work
  - Track and pursue opportunities for appropriations/grants for related project facilities – roads/bridges, flood control, rural broadband, infrastructure planning, etc.

Sites

Federal Legislative/Government Affairs Priorities

Other Administrative Priorities

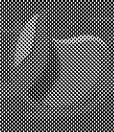
- Permitting
  - Support consultation with federal agencies in securing federal permits – UFFWS Biological Opinion, NMFS Biological Opinion, National Historic Preservation Act Section 106 Programmatic Agreement

- Public Outreach & Communications
  - Support Reclamation in the public affairs staff with the roll-out of the Feasibility Report
  - Coordinate and support Reclamation on any public outreach/involvement activities associated with a recirculated EIS
  - Coordinate and support Reclamation with outreach and engagement with tribes and other stakeholder groups

- Real Estate
  - Coordination with appropriate federal agencies to secure access to federal lands for the purposes of conducting environmental, engineering, and geotechnical field studies identified in the work plan

Sites

Questions



Sites

Communications & Government Affairs Update

Authority Board
May 27, 2020

Sites

Communications & Government Affairs Update

With the introduction of the new "right-sized" project alternative, staff and the Communications and Government Affairs team have been actively engaging in an extensive communications and outreach effort focused on;

- Introducing the project's new organization and direction
- Introducing the new "right-sized" project and its statewide benefits
- Discussing the proposed revision on the project's environmental review

Communications and outreach efforts included;

- Briefings with Federal & State elected officials
- Briefings with NGO's and public interest organizations
- Landowner coordination activities and briefings
- Media and development of project materials

Sites

Communications & Government Affairs Update

Briefings with Federal & State elected officials

- Provided briefings for federal electeds (Feinstein, Garamendi, LaMalfa, Huffman) with additional briefings for other electeds being planned
- Provided briefings for state electeds (Nielsen, Sen. Dahle, Gallagher, Assembly member Dahle) with additional briefings for other electeds being planned
- Conducted a "virtual" Capitol Hill Legislative Day and provided briefings for key Senate and House Committee staff. A second round of "virtual" meetings are being planned

Briefings with NGO's and public interest organizations

- NGOs briefed as part of this effort included; NRDC, Nature Conservancy, California Waterfowl, Ducks Unlimited,, Environmental Defense Fund, Friends of the River, California Sports Fishing Alliance, Planning & Conservation League, Defenders of Wildlife, Grasslands Water District, Audubon, Point Blue
- Meetings are being scheduled for early June with additional NGO's

Sites

Communications & Government Affairs Update

Landowner/local community coordination activities and briefings

- Began outreach to landowners and the local community to introduce the new project - including the development of a Landowner Newsletter to be published in late May/early June
- Began outreach to landowners along the proposed Dunnigan Pipeline/CBD and provided a briefing to the Yolo County Supervisor who represents the area to discuss potential land use issues

Media and project materials updates

- Distribution of a press release highlighting the right-sized project, distribution of an e-blast newsletter, preparation of newspaper Op-eds for publication, and distribution of content on the project's social media platforms.
- Distribution of the 2019 Annual Report and Executive Prospectus
- Updating of project informational materials including the general project fact sheet, FAQ , and website information
- Development of new materials as needed - including a VP7 fact sheet

Sites

Communications & Government Affairs Update

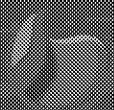
Update/Revisions to the Message Platform

- RC and AB input from the April meetings –expanding out the messaging on the project's environmental benefits and climate change resiliency
- Common themes coming out of NGO meetings
  - Environmental Water management – how do we accomplish flexible operations?
  - Dealing with Uncertainty of Delta operations
  - Need for greater specificity and understanding of proposed operations
  - Concerns with diversion criteria and temperature effects of releases
- Questions about WSIP funding being commensurate with "rightsized" benefits

The Message Platform is being revised to reflect input received and will be considered by the RC and AB at the June meetings.

Sites

Questions



Sites

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JERRY BROWN, EXECUTIVE DIRECTOR
925.260.7417

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members *(NON-VOTING)*

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

Notice: Pursuant to Executive Orders N-25-20 and N-33-20, issued by Governor Newsom on March 12, 2020, and guidance by the California Department of Public Health dated March 11, 2020, this meeting will be conducted by teleconference. The public may attend the meeting and offer public comments by phone, using the call-in number above. Members of the Committee will participate by teleconference from other locations. In addition, the public and members of the Committee may, at their discretion, attend the meeting at the Sites Project Authority's Maxwell Office located at 122 Old Highway 99 West, Maxwell, CA 95955. However, the room will be arranged to achieve physical distancing standards, and capacity at this site is therefore limited and will not be sufficient to accommodate more than a few members of the public.

June 24, 2020 ***1:30 p.m.***
Sites Project Authority
Agenda

Teleconference: **1-408-418-9388** Code: **146 657 3639** WebEx Link

Welcome to a meeting of the Sites Joint Powers Authority. If you are scheduled to address the Board, please state your full name for the record. Regularly numbered items may be considered at any time during the meeting. All items are listed in accordance with the Ralph M. Brown Act. We invite all members of the public to attend.

ROLL CALL & CALL TO ORDER:

- Introductions.
- Pledge of Allegiance.
- Approve the June 24, 2020 Sites Project Authority Agenda.
- Period of Public Comment.

1. Consent Agenda: Approximate start time 1:40 pm

The following items have been reviewed by the Executive Director. To his knowledge, there is no opposition to the action. The items can be acted on in one consolidated motion as recommended or may be removed from the Consent Calendar and separately considered at the request of any person.

1.1 Consider approval of the May 21, 2020 Phase 2 Reservoir Committee Meeting Minutes.

1.2 Consider acceptance of the Sites Project Authority Treasurer's Report as presented in Attachment 1.2A. **(Attachment A)**

1.3 Consider approval of the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B. **(Attachments A, B & C)**

2. Action Items: Approximate start time 1:45 pm

2.1 Consider acceptance of the 2020-2021 Sites Project State Agency Legislative Activity Priorities. **(Attachment A)**

2.2 Consider approval of the Authority's negotiation approach for permits and operating agreements. **(Attachment A)**

3. Discussion and Information Items: Approximate start time 2:15 pm

3.1 Receive status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation. **(Attachment A, B & C)**

3.2 Receive status update on draft 2020 Final Federal Feasibility Report.

3.3 Review and Comment on the objectives and alternatives for the Revised Environmental Impact Report/Environmental Impact Statement to focus efforts in developing a more complete project description on schedule. **(Attachment A & B)**

3.4 Review and comment on the approach for discussions with the California Department of Water Resources on a Sites Coordinated Operations Agreement. **(Attachment A)**

3.5 Receive status update on the Colusa Basin Drain evaluation and Dunnigan Pipeline alignment review.

3.6 Receive status update on the approach for Regulatory Agency Technical Working Group. **(Attachment A)**

4. Reports: Approximate start time 3:15 pm

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

4.1.2 Workgroup Chairpersons' Report:

This time is set aside to allow the Workgroup Chairpersons' an opportunity to disclose/discuss items related to the Sites Project.

4.1.3 Reservoir Committee Participant Reports:

This time is set aside to allow Representatives or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

4.2 Executive Director's Reports:

- Monthly status report. **(Attachment A)**
- Message Platform Update.

5. Future Meetings and Schedules: Approximate start time 3:15 pm

5.1 Suggested Future Agenda Items.

5.2 Upcoming meetings:

RESERVOIR COMMITTEE

THURSDAY, JULY 16, 2020 1:00 PM
Sites Project Office, 122 Old Highway 99W Maxwell, CA 95955
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

AUTHORITY BOARD

WEDNESDAY, JULY 22, 2020 1:30PM
Sites Project Office, 122 Old Highway 99W Maxwell, CA 95955
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

ADJOURN

PERIOD OF PUBLIC COMMENT: Any person may speak about any subject of concern, provided it is within the jurisdiction of the Directors and is not already on today's agenda. The total amount of time allotted for receiving such public communication shall be limited to a total of 15 minutes per issue and each individual or group will be limited to no more than 5 minutes each within the 15 minutes allocated per issue. ***Note:*** *No action shall be taken on comments made under this comment period.*

ADA COMPLIANCE: Upon request, agendas will be made available in alternative formats to accommodate persons with disabilities. In addition, any person with a disability who requires a modification or accommodation to participate or attend this meeting may request necessary accommodation. Please make your request to the Board Clerk, specifying your disability, the format in which you would like to receive this Agenda and any other accommodation required no later than 24 hours prior to the start of the meeting.

All supporting documentation is available for public inspection and review in the Sites Project Authority office located at 122 Old Highway 99 West Maxwell, CA 95955 during regular business hours 8:30 a.m. to 5:00 p.m., Monday through Friday.

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JERRY BROWN, EXECUTIVE DIRECTOR
925.260.7417

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members *(NON-VOTING)*

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

May 27, 2020 ***1:30 p.m.***
Sites Project Authority
Minutes

CALL TO ORDER:

Chairman Durst called the meeting of the Sites Project Authority Board of Directors to order at the hour of 1:30 p.m., followed by the Pledge of Allegiance.

INTRODUCTIONS:

Chairman Durst asked those present to introduce themselves. (See Authority Board Meeting Attendance, **Attachment A**).

AGENDA APPROVAL:

It was moved by Director Sutton, seconded by Director Dennis to approve the May 27, 2020 Sites Project Authority Agenda. Motion carried: All Directors present voted yes.

PERIOD OF PUBLIC COMMENT:

Chairman Durst called for public comment. Hearing none, he closed the period of public comment.

CONSENT AGENDA: It was moved by Director Harris, seconded by Director Houdesheldt to approve Consent Agenda Item Numbers 1.1 through 1.5 as follows:

1.1 The April 22, 2020 Sites Project Authority Meeting Minutes, as submitted.

1.2 Accept the Sites Project Authority Treasurer's Report, as submitted. **(Attachment 1.2 A)**

1.3 Approve the monthly Payment of Claims, as submitted. **(Attachment 1.3 A, B, C)**

1.4 Approve a consulting contract with The Catalyst Group for facilitation services in an amount not to exceed $50,000 and approve a time extension through December 31, 2020 for the existing contract with Darling H2O and authorize the Chair to sign.

1.5 Adopt Resolution No. 2020-01, providing for an application to the USBR for a Financial Assistance Agreement for WIIN Act funds and authorize the Chair to sign. **(Attachment 1.5A)**

Motion carried as follows:

AYES: Directors Durst, Sutton, Evans, Dennis, Houdesheldt and Harris.

NOES: None.

ABSENT: Directors McDaniel, Parker and Marsh.

2. Action Items:

2.1 Chairman Durst made time to consider the following:

Consider acceptance of the attached Exhibit B (2020 and 2021 budget) to the Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the results of the "soft call". Consider approving one of the following actions:

A. Approve moving to the "hard call" and the use of the attached participation table to create a draft Exhibit A to be included with Amendment 2.

B. Direct staff to begin outreach to potential participants based on the priority system defined in participation agreements and the credit reimbursement policy to explore interest in Sites capacity, if available. **(Attachment 2.1 A & B)**

Mr. Brown provided a brief update on the two options available to the Authority Board. He stated the Reservoir Committee voted to proceed with Option B.

Mr. Robinette provided an update of the "Two-Step Cash Call Process" and spoke to the following:

- Soft Call Results as of May 20, 2020:
  - 19 out of 21 agencies responded, representing 191,942 AF of 192,892 AF current participation (99.5%).
  - Appears full subscription can still be achieved, but there is some reduction in participation levels and geographic balance would need to be addressed.
- Existing participants identified interest in 5,000 AF of additional capacity, if available.

- Prior participants expressed interest in 10,000 AF of capacity, if available.
- Latest planned home-board date is in October, with majority in June or July.

- New Participant Outreach Priority:
  1. Goal is total Sacramento Valley participation of 25%. Priority would be given to current, prior and new Sacramento Valley participants in that order.
  2. Existing Reservoir Committee participating members (with sub-priorities by seniority).
  3. Prior Reservoir Committee participating members (with sub-priorities by exit date).
  4. Nonparticipants. Priority for new participants is to Sacramento Valley and others.
- Prior and Future Commitments.
- Geographic Balance:
  - Current Participation Levels (Sac Valley 27%, Non-Sac Valley 73%).
  - Amendment 2 Projected participation - Participation Staff Recommended + Additional Interest (Sac Valley 20%, Non-Sac Valley 80%).
- Schedule:
  - September 10, 2020: Signed Amendment 2 due.
  - November 1, 2020: Invoice 1, $60/AF due.
  - April 1,2021: Invoice 2, up to $40/AF due.
  - Financial incentives for pre-payment of the full $100/AF on Nov 1 are not planned based on administration cost.
  - Non-financial pre-payment incentives related to maintaining project schedule while accommodating delay payment from other agencies.

Mr. Robinette provided a brief update on the credit reimbursement policy and process of getting back into the project by new, returning and increasing participants. Brief discussion followed. Following discussion, Mr. Robinette requested direction from the Committee Members as to the following 2 options:

a. Approve moving to the "hard call" and the use of the attached participation table to create a draft Exhibit A to be included with Amendment 2.
b. Direct staff to begin outreach to potential participants based on the priority system defined in participation agreements and the credit reimbursement policy to explore interest in Sites capacity, if available. **(Attachment 2.1 A & B)**

Action: It was moved by Director Sutton, seconded by Director Evans to approve Option B, directing staff to begin outreach to potential participants based on the priority system defined in participation agreements and the credit reimbursement policy to explore interest in Sites capacity, if available. Motion carried: All Directors present voted yes.

2.2 Consider approval of the draft Second Amendment to 2019 Reservoir Project Agreement. **(Attachments 2.2 A &B)**

Mr. Brown stated the amendment to the contract is being brought back to the Committee to include the ability to adjust the participation levels and re-balancing.

Mr. Trapasso provided a brief overview of the Second Amendment to the 2019 Reservoir Project Agreement, stating it was reviewed by the Budget and Finance Workgroup and Authority Bond Counsel, Stradling Yocca Carlson & Rauth. Brief discussion followed.

Action: It was moved by Director Dennis, seconded by Director Evans to approve the Second Amendment to the Reservoir Project Agreement By and Among Sites Project Authority and The Project Agreement Members Listed Herein and authorize the Chairman to sign. Motion carried: All Directors present voted yes.

2.3 Consider acceptance of the 2020-2021 Sites Project Federal Government Affairs Priorities. **(Attachment 2.3A)**

Mr. Spesert stated staff has been working with the Authority Ad Hoc Legislative and Outreach Committee and Government Affairs Team to identify near-term Federal government affairs/legislative priorities. He stated the following represents the staff's proposed Federal government affairs/legislative priorities:

Operations Participation:

- Final completion of Reclamation's Feasibility Report and DOI Secretary determination of feasibility.
- Pursue development of a CVP Coordination Operations Plan.
- Coordination with Reclamation on the development of the Authority's Water Rights Application.
- Continuing partnership with Reclamation as the NEPA lead agency in developing the EIS.

Federal Funding:

- WIFIA/RIFIA.
- WIIN Act.
- Federal Stimulus and other funding.

Brief discussion followed.

Action: It was moved by Director Harris, seconded by Director Sutton to accept the 2020-2021 Sites Project Federal Government Affairs Priorities, as submitted. Motion carried: All Directors present voted yes.

2.4 Consider approval of Resolution 2020-02 commending Mr. Jim Watson for his 5 years of dedicated service to the Sites Reservoir Project. **(Attachment 2.4 A).**

Chairman Durst expressed his appreciation to Mr. Watson for his dedicated service to the Sites Reservoir Project and read the proposed Resolution.

Action: It was moved by Director Houdesheldt, seconded by Director Sutton to adopt Resolution 2020-02 commending Mr. Jim Watson for his 5 years of dedicated service to the Sites Reservoir Project. Motion carried: All Directors present voted yes.

3. Discussion and Information Items:

3.1 Review and Comment on Recirculated EIR and key permits milestone schedule and key decision points. **(Attachment 3.1A)**

Ms. Forsythe provided an overview of the Recirculated Environmental Impact Report (EIR) and upcoming activities and key decision points as follows:

- California Environmental Quality Act/National Environmental Policy Act (CEQA/NEPA) - Project team is currently developing an approach for completing the Recirculated EIR as well as working to formulate a recommended approach to alternatives and baseline conditions that are anticipated will be reviewed with the Reservoir Committee and Authority Board in June. Team is proceeding to coordinate a joint EIR/EIS, with the assumption that the EIS will be supplement and recirculated with the EIR, though Reclamation has not made a final decision.
- Federal Endangered Species Act and California Endangered Species Act (Fish and Game Code Section 2081) - Project team is working to develop an approach for completing the Biological Assessment and Section 2081 Permit applications in coordination with the resource agencies and Reclamation. Environmental and Operations teams continue to work on modeling components and refinement of operation criteria for project diversion from the Sacramento River, with operating regimes for diversion and releases and a review of the storage policy to be brought to the Reservoir Committee and Board in September.
- National Historic Preservation Act, Section 106 (to be initiated in September).
- California Water Right Application (California Water Code) - Working to formulate a recommended approach to identify key components of the water right application for discussion with the Reservoir Committee and Authority Board in September.
    - Key components include Place of Use, Points of Diversion, Points of Re-diversion and Purpose of Use-all of which will form the basis of the application.

- Clean Water Act Section 404 and 401 - Will be initiated in July.
- Environmental Planning and Permitting Key Milestones through 2022.

Brief discussion followed with no action taken.

3.2 Review and Comment on Outreach Efforts to Communicate the Rightsized Project.

Mr. Spesert provided an overview of the outreach efforts to communicate the "rightsized project". He stated with the introduction of the new "right-sized" project alternative, staff and Communications and Government Affairs team have been actively engaged in extensive communications and outreach efforts focused on the following activities:

- Introducing the project's new organization and direction.
- Introducing the new "right-sized" project and its statewide benefits.
- Discussion on the proposed revision on the project's environmental review.
- Communications and outreach efforts included:
    - Briefings with Federal & State elected officials.
    - Briefings with NGO's and public interest organizations.
    - Landowner/local community coordination activities and briefings.
    - Media and development of project materials.
    - Update/Revisions to the Message Platform.

He further stated staff would continue to engage elected officials, landowners, project stakeholders and develop and distribute additional media content in support of the rollout of the new proposed project in the coming weeks. Additionally, staff is working on the following:

- A revised version of the Message Platform based on comments received from the Reservoir Committee and Authority Board. Brief discussion followed with no action taken.
- A Webinar in response to a request by members to get project information that highlights the "right-sized" project to individual participants and landowner investors.

Brief discussion followed with no action taken.

3.3 Review and Comment on Efforts to Secure Proposition 1 Water Storage Investment Program implementation modifications in light of the continuing effects of the COVID-19 pandemic on local government and water agency finances. **(Attachment 3.3 A &B)**

Executive Director Brown provided a brief update regarding a joint letter signed along with 5 other Storage Projects to the California Water Commission regarding a request that the CWC consider making an emergency and temporary modifications, on a project by project basis, in their implementation of the Proposition 1 Water Storage Investment Program (WSIP)

funding due to the COVID situation. He stated the matter was on the CWC's May 20, 2020 meeting agenda. He further stated the CWC's Executive Director reported receiving the letter and indicated staff would be considering the request and returning to the Commissioners with a recommendation.

Brief discussion followed with no action taken.

3.4 Review and Comment on the Reservoir Committee Workgroup and Authority Board Committee Structure and Assignments. **(Attachment 3.4 A & B)**

Chairman Durst provided a brief overview of the Reservoir Committee Workgroup and Authority Board Committee Structure and Assignments. He stated there are vacancies in all workgroups, with the exception of the Reservoir Operations & Engineering, for those interested in volunteering.

Discussion followed with no action taken.

4. Reports:

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

Chairman Durst provided a brief update on various matters/items related to the Sites Project.

4.1.2 Committee Chairpersons' Report:

This time is set aside to allow the Committee Chairpersons' an opportunity to disclose/discuss items related to the Sites Project.

Legislative and Outreach:

Director Sutton provided an update legislative and outreach activities, i.e., "Virtual" Capitol Hill Legislative Day, briefings for key Senate and House Committee staff and 2.0 of Sites Project.

Land Management:

Director Evans provided a brief update regarding a meeting with Yolo County Supervisor Chamberlain regarding the proposed Dunnigan Pipeline/CBD to discuss potential land use issues and stated the Colusa County Board of Supervisors voted 5-0 to re-opening the County of Colusa.

Budget and Finance:

Ms. Traynham stated have received $1.95 million from last invoice submitted for WSIP funding.

4.1.3 Authority Board Participant Reports:

This time is set aside to allow Directors or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

Director Harris briefly spoke to the newspaper Op-eds regarding the benefits of the Sites Reservoir Project and the distribution of a press release highlighting the right-sized project.

4.2 Executive Director's Report:

Executive Director Brown provided an overview of the following:

- Sites Project's monthly status report. **(Attachment 4-2 A)**
- Reservoir Committee update.
- 2020 Organizational Chart.
- Place of Use request made by Wheeler Ridge: followed up with a memo to Wheeler Ridge identifying the plan forward is development of a water rights strategy which will come to Board later this summer.
- Coalition letter to Appropriations Hearing Subcommittee of the State Legislature (Governor's May Revise) in support of the SWRCB Water Resilience Portfolio BCP-$4.5 million for Water Storage Investment Program Water Rights Permitting Staff.
- Sacramento Office will not be re-opening prior to July 2020.
- Contract and performance plan for Jerry Brown, Executive Director.
- Expressed appreciation to Mr. Kunde for preparation of Mr. Jim Watson's commendation.

5. Future Meetings and Schedules:

5.1 Suggested Future Agenda Items.

None.

5.2 Upcoming meetings:

RESERVOIR COMMITTEE (NOTE DATE CHANGED FROM JUNE 19 TO JUNE 18)

THURSDAY, JUNE 18, 2020 1:00 PM
CALL NUMBER AND LOCATION TBD

AUTHORITY BOARD

WEDNESDAY, JUNE 24, 2020 1:30 PM
CALL NUMBER AND LOCATION TBD

Chairman Durst adjourned the meeting at 3:15 p.m.

_____
Fritz Durst, Chairman

_____
Yolanda Tirado, Board Clerk

Topic: **Sites Reservoir Project, Phase 1** **2020 May 27**

Subject: **Authority Board Meeting Attendance** **1:30 – 4:00 PM**

Current Voting Authority Board Participants:

Participant	✓ Representative	✓ Alternate	Others
Colusa County	☒ Gary Evans	☒ Mike Azevedo	
Colusa County Water District	☐ Joe Marsh	☐ Doug Griffen	
Glenn County	☐ Leigh McDaniel	☐ John Viegas	
Glenn-Colusa Irrigation District	☒ Logan Dennis	☐ Don Bransford	Thad Bettner
Reclamation District 108	☒ Fritz Durst	☐ Sean Doherty	Bill Vanderwaal
City of Roseville / Placer County Water Agency	☒ Bruce Houdesheldt	☐ Joshua Alpine	
Tehama-Colusa Canal Authority	☒ Jeff Sutton	☐ Halbert Charter	
City of Sacramento / Sacramento County Water Agency	☒ Jeff Harris	☒ Brett Ewart ☐ Ann Sanger	
Westside Water District	☐ Doug Parker	☐ Zach Dennis	

Associate Members (2 non-voting):

Participant	✓ Representative	✓ Alternate	Others
TC-4	☒ Jamie Traynham	☐ Tom Charter ☐ Jason Holley	
Western Canal Water District	☐ Dwayne Chisam ☒ Ted Trimble	☐ Zach Dennis ☐ Dan Ruiz	

Non-Voting Committee Participants (2):

Participant	✓ Representative/Other	✓ Alternate/Other	
Dept of Water Resources	☒ Rob Cooke	☐ David Sandino	
	☐ Ajay Goyal	☐ Jim Wieking	☐ Dave Arrate
Bureau of Reclamation	☐ Richard Welsh	☒ Don Bader	☐ Chris Duke
	☒ Ryan Davis ☒ Natalie Wolder	☐ Derya Sumer ☐ Mike Dietl ☐ David Van Rijn	☐ Mike Mosley ☐ Shana Kaplan

Authority, Non-Signatory (6):

Participant	✓ Representative	✓ Alternate
PCWA	☒ Ed Horton	☐ Ben Barker Darin Reintjes
Roseville	☐ Sean Bigley	☐ Trevor Joseph ☒ Jason Marks
Sacramento County WA	☐ Kerry Schmitz	☐ Michael Peterson ☐ William Busath

Staff:

✓	Name	Representing
☒	Brown, Jerry	Sites Project Authority
☒	Forsythe, Ali	Sites Project Authority
☒	Spesert, Kevin	Sites Project Authority
☒	Trapasso, Joe	Sites Project Authority
☒	Tirado, Yolanda	Sites Project Authority
☒	Kivett, Marcia	Sites Project Authority

Consultants:

✓	Name	Representing
☒	Alexander, Jeriann	Fugro
☐	Boling, Robert	HDR
☐	Briard, Monique	ICF
☐	Floyd, Kim	Floyd
☐	Herrin, Jeff	AECOM
☒	Heydinger, Erin	HDR
☒	Katz, Sara	Katz & Associates
☒	Kuney, Scott	Young Wooldridge
☒	Robinette, JP	Brown & Caldwell
☐	Spranza, John	HDR
☐	Tull, Rob	Ch2m
☐	Warner Herson, Laurie	Phenix

Other Attendees: *(Check box to have email address added to the distribution list)*

Name	Representing	Contact (Phone & E-mail)
Laura Nicolson	Office of Senator Jim Nielsen	
Henry Luu	HDR, Sites Integration	
Juleah Cordi	Office of Assemblyman Gallagher	

Sites

Topic: **Authority Board Agenda Item 1.2** **2020 June 24**

Subject: **Treasurer's Report**

Requested Action:

Consider acceptance of the Sites Project Authority Treasurer's Report as presented in Attachment 1.2A.

Detailed Description/Background:

Attachment 1.2A incorporates financial information through May 30, 2020.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: June's 2020 Treasurer's Report.

SITES JOINT POWERS AUTHORITY
TREASURER'S REPORT
May 31, 2020

Savings Account-River City Bank				
Beginning Balance	05/01/2020		$ **2,577,933.12**	
Transfer from General Checking to max benefit			$ **376,104.38**	
Interest Earned			$ **2,599.15**	
River City Bank ICS Savings Account Balance	**05/31/2020**			$ **2,956,636.65**
General Operating Checking Account-River City Bank				
Beginning Balance	05/01/2020		$ **1,622,153.65**	
RECEIVED:				
Interest Earned		$ 1,382.69		
TOTAL RECEIVED			$ **1,382.69**	
DISBURSED:				
Bank Fees		$ (159.88)		
Administrative Support		$ (5,644.80)		
Computer Service		$ (1,918.96)		
General Manager		$ (38,230.00)		
Accounting Fees		$ (2,695.00)		
Office Expenses		$ (422.71)		
Professional Fees-Legislative/Regulatory		$ (8,000.00)		
Professional Fees-Communication		$ (17,737.49)		
Professional Fees-Organizatioal Assessment		$ (1,299.38)		
Professional Fees-Fed Gov't Affairs Support		$ (15,000.00)		
Professional Fees-Legal Services		$ (6,357.72)		
TOTAL DISBURSED			$ **(97,465.94)**	
Transfer to Savings to max benefit			$ **(376,104.38)**	
RCB General Checking Account Balance	**05/31/2020**			$ **1,149,966.02**
State (WSIP) Fund Checking Account-River City Bank				
Beginning Balance	05/01/2020		$ **4,404,006.92**	
RECEIVED:				
CA Dept of Water			$ **1,957,712.12**	
DISBURSED:				
Bank Wire Fees		$ (15.00)		
Annual Audit Fees		$ (10,760.40)		
Bus/Comm Manager		$ (21,343.76)		
Program Op Manager		$ (28,000.00)		
Professional Fees-Engineering		$ (15,863.36)		
Professional Fees-Cost Development		$ (458.00)		
Professional Fees-Executive Director Services		$ (33,750.00)		
Professional Fees-Project Controls		$ (116,632.37)		
Professional Fees-Operations/SIM Modeling		$ (93,369.39)		
Professional Fees-EPP Manager		$ (30,000.00)		
Professional Fees-Geotech Eng		$ (12,562.77)		
Professional Fees-Project Integration		$ (168,613.06)		
Professional Fees-Reservoir Operations		$ (4,716.75)		
Professional Fees-Municipal Advisor		$ (12,725.00)		
Professional Fees-Env/Biological Serv & Permit		$ (68,898.24)		
TOTAL DISBURSED			$ **(617,708.10)**	
RCB State Fund Checking Account Balance	**05/31/2020**			$ **5,744,010.94**
TOTAL CASH ON HAND	**May 31, 2020**			$ **9,850,613.61**

Sites Project Joint Powers Authority
Transactions by Account
As of May 31, 2020

Type	Date	Num	Name	Memo	Debit	Credit	Balance
River City ICS Savings							2,577,933.12
General Journal	05/18/2020	5-2C		transfer to max ICS	376,104.38		2,954,037.50
General Journal	05/31/2020	5-5C		rec int fme	2,599.15		2,956,636.65
Total River City ICS Savings					378,703.53	0.00	2,956,636.65
River City Operating Account							1,622,153.65
Bill Pmt -Check	05/13/2020	2141	Adept Solutions Inc			1,918.96	1,620,234.69
Bill Pmt -Check	05/13/2020	2142	Darling H2O Consulting, Inc.			1,299.38	1,618,935.31
Bill Pmt -Check	05/13/2020	2143	Dunn Consulting			8,000.00	1,610,935.31
Bill Pmt -Check	05/13/2020	2144	J.C. Watson, Inc			38,230.00	1,572,705.31
Bill Pmt -Check	05/13/2020	2145	Katz and Associates, Inc			17,737.49	1,554,967.82
Bill Pmt -Check	05/13/2020	2146	KCoe Isom, LLP			2,695.00	1,552,272.82
Bill Pmt -Check	05/13/2020	2147	Maximun Pest Control			65.00	1,552,207.82
Bill Pmt -Check	05/13/2020	2148	Mt Shasta Spring Water			19.65	1,552,188.17
Bill Pmt -Check	05/13/2020	2149	Recology Butte Colusa Counties			35.95	1,552,152.22
Bill Pmt -Check	05/13/2020	2150	Rush Personnel Services, Inc			5,644.80	1,546,507.42
Bill Pmt -Check	05/13/2020	2151	The Ferguson Group			15,000.00	1,531,507.42
Bill Pmt -Check	05/13/2020	2152	US Bank			302.11	1,531,205.31
Bill Pmt -Check	05/13/2020	2153	Young Wooldridge LLP			6,357.72	1,524,847.59
General Journal	05/18/2020	5-2C		transfer to max ICS		376,104.38	1,148,743.21
General Journal	05/31/2020	5-3C		rec int fme	1,382.69		1,150,125.90
General Journal	05/31/2020	5-4C		Bank service fee		159.88	1,149,966.02
Total River City Operating Account					1,382.69	473,570.32	1,149,966.02
River City State Fund Checking							4,404,006.92
Bill Pmt -Check	05/13/2020	1038	AECOM Technical Services, Inc.			15,863.36	4,388,143.56
Bill Pmt -Check	05/13/2020	1039	Brown and Caldwell			116,632.37	4,271,511.19
Bill Pmt -Check	05/13/2020	1040	CH2M Hill Engineers, Inc			93,369.39	4,178,141.80
Bill Pmt -Check	05/13/2020	1041	Fechter & Company CPA			10,760.40	4,167,381.40
Bill Pmt -Check	05/13/2020	1042	Forsythe Group LLC			30,000.00	4,137,381.40
Bill Pmt -Check	05/13/2020	1043	Fugro USA Land, Inc			12,562.77	4,124,818.63
Bill Pmt -Check	05/13/2020	1044	HDR Engineering Inc			168,613.06	3,956,205.57
Bill Pmt -Check	05/13/2020	1045	ICF Jones & Stokes Inc			68,898.24	3,887,307.33
Bill Pmt -Check	05/13/2020	1046	Larsen Wurzel & Associates, Inc			458.00	3,886,849.33
Bill Pmt -Check	05/13/2020	1047	MBK Engineers Inc			4,716.75	3,882,132.58
Bill Pmt -Check	05/13/2020	1048	Montague DeRose and Associat...			12,725.00	3,869,407.58
Bill Pmt -Check	05/13/2020	1049	Spesert Consulting			21,343.76	3,848,063.82
Bill Pmt -Check	05/13/2020	1050	Trapasso Consulting Services			28,000.00	3,820,063.82
Bill Pmt -Check	05/13/2020	1051	Waterology Consulting			33,750.00	3,786,313.82
Deposit	05/14/2020			CA Dept of Water	1,957,712.12		5,744,025.94
General Journal	05/14/2020	5-1C		wire fee for incoming of CA D...		15.00	5,744,010.94
Total River City State Fund Checking					1,957,712.12	617,708.10	5,744,010.94
US Bank Checking							0.00
Total US Bank Checking							0.00
TOTAL					**2,337,798.34**	**1,091,278.42**	**9,850,613.61**

Draft_0027744

Sites Project Joint Powers Authority
Balance Sheet
As of May 31, 2020

	May 31, 20
ASSETS	
Current Assets	
Checking/Savings	
River City ICS Savings	2,956,636.65
River City Operating Account	1,149,966.02
River City State Fund Checking	5,744,010.94
Total Checking/Savings	9,850,613.61
Accounts Receivable	
Accounts Rec Members	
Membership - Authority	68,000.00
Membership - Reservoir	-4,988.20
Total Accounts Rec Members	63,011.80
Total Accounts Receivable	63,011.80
Total Current Assets	9,913,625.41
TOTAL ASSETS	**9,913,625.41**
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Accounts Payable	
Accounts Payable	722,564.07
Total Accounts Payable	722,564.07
Total Current Liabilities	722,564.07
Long Term Liabilities	
Participant Reimbursement Polic	6,064,652.67
Total Long Term Liabilities	6,064,652.67
Total Liabilities	6,787,216.74
Equity	
Net Assets	1,929,216.58
Retained Earnings	3,969,373.85
Net Income	-2,772,181.76
Total Equity	3,126,408.67
TOTAL LIABILITIES & EQUITY	**9,913,625.41**

Draft_0027745

Sites Project Joint Powers Authority
A/R Aging Summary
As of May 31, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
City of American Canyon	0.00	0.00	0.00	0.00	-4,988.20	-4,988.20
County of Glenn	0.00	0.00	0.00	0.00	55,000.00	55,000.00
Yolo County Flood Control	0.00	0.00	0.00	0.00	13,000.00	13,000.00
TOTAL	**0.00**	**0.00**	**0.00**	**0.00**	**63,011.80**	**63,011.80**

Sites Project Joint Powers Authority
A/P Aging Summary
As of May 31, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
Adept Solutions Inc	1,045.35	305.27	0.00	0.00	0.00	1,350.62
AECOM Technical Services, Inc.	8,112.77	0.00	0.00	0.00	0.00	8,112.77
Brown and Caldwell	116,764.80	0.00	0.00	0.00	0.00	116,764.80
CH2M Hill Engineers, Inc	0.00	97,617.53	0.00	0.00	0.00	97,617.53
Dunn Consulting	8,000.00	0.00	0.00	0.00	0.00	8,000.00
Forsythe Group LLC	30,000.00	0.00	0.00	0.00	0.00	30,000.00
Fugro USA Land, Inc	11,875.58	0.00	0.00	0.00	0.00	11,875.58
HDR Engineering Inc	168,516.59	0.00	0.00	0.00	0.00	168,516.59
ICF Jones & Stokes Inc	0.00	96,395.12	0.00	0.00	0.00	96,395.12
J.C. Watson, Inc	28,551.26	0.00	0.00	0.00	0.00	28,551.26
Katz and Associates, Inc	0.00	35,424.99	0.00	0.00	0.00	35,424.99
KCoe Isom, LLP	1,765.00	0.00	0.00	0.00	0.00	1,765.00
Larsen Wurzel & Associates, Inc	1,374.00	0.00	0.00	0.00	0.00	1,374.00
M.R. Cleaning Services	400.00	0.00	0.00	0.00	0.00	400.00
Maximun Pest Control	65.00	0.00	0.00	0.00	0.00	65.00
MBK Engineers Inc	0.00	3,185.00	0.00	0.00	0.00	3,185.00
Mt Shasta Spring Water	30.15	0.00	0.00	0.00	0.00	30.15
Perkins Coie LLP	971.10	0.00	0.00	0.00	0.00	971.10
Recology Butte Colusa Counties	35.95	0.00	0.00	0.00	0.00	35.95
Rush Personnel Services, Inc	4,189.50	0.00	0.00	0.00	0.00	4,189.50
Spesert Consulting	21,320.00	0.00	0.00	0.00	0.00	21,320.00
Stradling Yocca Carlson & Rauth	0.00	3,970.00	0.00	0.00	0.00	3,970.00
The Ferguson Group	0.00	15,000.00	0.00	0.00	0.00	15,000.00
Trapasso Consulting Services	28,000.00	0.00	0.00	0.00	0.00	28,000.00
US Bank	191.97	0.00	0.00	0.00	0.00	191.97
Waterology Consulting	33,750.00	0.00	0.00	0.00	0.00	33,750.00
Young Wooldridge LLP	5,707.14	0.00	0.00	0.00	0.00	5,707.14
TOTAL	**470,666.16**	**251,897.91**	**0.00**	**0.00**	**0.00**	**722,564.07**

Sites Project Joint Powers Authority
Profit & Loss
May 2020

	May 20	Jan - May 20
Ordinary Income/Expense		
Income		
Membership Admin/Authority	0.00	505,000.00
Prop 1 Funding	0.00	0.00
Total Income	0.00	505,000.00
Gross Profit	0.00	505,000.00
Expense		
Accounting Expense	1,765.00	13,964.00
Admin Support Gen Manager	4,189.50	25,511.85
Audit Fees	0.00	10,760.40
Bank Service Fees	174.88	849.19
General Manager	28,551.26	186,514.66
Insurance - Property	0.00	250.00
Insurance Liability	0.00	1,604.25
Office Expenses		
Cleaning	400.00	900.00
Misc Office & Operating Exp	191.97	2,832.29
Pest Control & Maintenance	65.00	325.00
Trash Service	35.95	179.75
Water Expense	30.15	198.70
Total Office Expenses	723.07	4,435.74
Professional Fees		
Bond Counsel	3,970.00	3,970.00
Bus/Comm Manager	21,320.00	108,721.85
Communication	35,424.99	85,531.14
Cost Development Model	1,374.00	2,542.60
Cultural Study	0.00	3,885.55
Engineering & Technical Service	8,112.77	114,518.38
Env/Biological Services	65,867.86	142,871.28
EPP Manager	30,000.00	152,059.09
Executive Director	33,750.00	67,500.00
Federal Government Affairs Supp	15,000.00	75,000.00
General Legal Counsel	5,707.14	43,279.62
Geotechnical Engineering	11,875.58	48,848.52
Legislative/Reg/Strategic	8,000.00	40,000.00
Municipal Advisor	0.00	20,000.00
Operations/Simulation Modeling	97,617.53	351,034.64
Organizational Assessment	0.00	29,693.41
Permitting & Agreements	30,527.26	148,230.41
Program Ops Manager	28,000.00	142,510.24
Project Controls	116,764.80	576,819.92
Project Integration	168,516.59	843,990.67
Real Estate	0.00	761.67
Reservoir Operations	3,185.00	20,202.75
Special Legal	971.10	31,374.00
Total Professional Fees	685,984.62	3,053,345.74
Website, Data, Computer Support	1,350.62	6,684.00
Total Expense	722,738.95	3,303,919.83
Net Ordinary Income	-722,738.95	-2,798,919.83
Other Income/Expense		
Other Income		
Interest Income		
Interest Income-River City	3,981.84	26,738.07
Total Interest Income	3,981.84	26,738.07
Total Other Income	3,981.84	26,738.07

Sites Project Joint Powers Authority
Profit & Loss
May 2020

	May 20	Jan - May 20
Net Other Income	3,981.84	26,738.07
Net Income	**-718,757.11**	**-2,772,181.76**

Sites Project Joint Powers Authority
Transaction Detail By Account
May 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Accounting Expense								
Bill	05/31/2020	KC087169	KCoe Isom, LLP	5/20	Authority	353.00		-353.00
Bill	05/31/2020	KC087169	KCoe Isom, LLP	5/20	Reservoir	1,412.00		-1,765.00
Total Accounting Expense						1,765.00	0.00	-1,765.00
Admin Support Gen Manager								
Bill	05/18/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Authority	141.12		-141.12
Bill	05/18/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Reservoir	564.48		-705.60
Bill	05/18/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Authority	189.63		-895.23
Bill	05/18/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Reservoir	758.52		-1,653.75
Bill	05/31/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Authority	282.24		-1,935.99
Bill	05/31/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Reservoir	1,128.96		-3,064.95
Bill	05/31/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Authority	224.91		-3,289.86
Bill	05/31/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Reservoir	899.64		-4,189.50
Total Admin Support Gen Manager						4,189.50	0.00	-4,189.50
Bank Service Fees								
General J...	05/14/2020	5-1C		wire fee for incoming of CA D...	Reservoir	15.00		-15.00
General J...	05/31/2020	5-4C		Bank service fee	Authority	103.92		-118.92
General J...	05/31/2020	5-4C		Bank service fee	Reservoir	55.96		-174.88
Total Bank Service Fees						174.88	0.00	-174.88
General Manager								
Bill	05/28/2020	SPA-058	J.C. Watson, Inc	5/20	Authority	4,369.69		-4,369.69
Bill	05/28/2020	SPA-058	J.C. Watson, Inc	5/20	Reservoir	24,181.57		-28,551.26
Total General Manager						28,551.26	0.00	-28,551.26
Office Expenses								
Cleaning								
Bill	05/10/2020	33	M.R. Cleaning Services	4/20	Authority	200.00		-200.00
Bill	05/28/2020	37	M.R. Cleaning Services	5/20	Authority	200.00		-400.00
Total Cleaning						400.00	0.00	-400.00
Misc Office & Operating Exp								
Bill	05/31/2020	Online 6/3	US Bank		Authority	191.97		-191.97
Total Misc Office & Operating Exp						191.97	0.00	-191.97
Pest Control & Maintenance								
Bill	05/28/2020	51008	Maximun Pest Control	5/20	Authority	65.00		-65.00
Total Pest Control & Maintenance						65.00	0.00	-65.00
Trash Service								
Bill	05/31/2020	37394368	Recology Butte Colusa Co...	5/20	Authority	35.95		-35.95
Total Trash Service						35.95	0.00	-35.95
Water Expense								
Bill	05/14/2020	495621	Mt Shasta Spring Water	5/20	Authority	30.15		-30.15
Total Water Expense						30.15	0.00	-30.15
Total Office Expenses						723.07	0.00	-723.07
Professional Fees								
Bond Counsel								
Bill	05/13/2020	364298-0001	Stradling Yocca Carlson &...	4/20	Reservoir	3,970.00		-3,970.00
Total Bond Counsel						3,970.00	0.00	-3,970.00
Bus/Comm Manager								
Bill	05/31/2020	06-20	Spesert Consulting	5/20	Reservoir	21,320.00		-21,320.00
Total Bus/Comm Manager						21,320.00	0.00	-21,320.00
Communication								
Bill	05/14/2020	413745	Katz and Associates, Inc	4/20 (C)	Authority	21,254.99		-21,254.99
Bill	05/14/2020	413745	Katz and Associates, Inc	4/20 (C)	Reservoir	14,170.00		-35,424.99
Total Communication						35,424.99	0.00	-35,424.99
Cost Development Model								
Bill	05/08/2020	1609000-0420	Larsen Wurzel & Associat...	4/20	Reservoir	1,374.00		-1,374.00
Total Cost Development Model						1,374.00	0.00	-1,374.00
Engineering & Technical Service								
Bill	05/31/2020	2000365230	AECOM Technical Service...	5/20	Reservoir	8,112.77		-8,112.77
Total Engineering & Technical Service						8,112.77	0.00	-8,112.77
Env/Biological Services								
Bill	05/14/2020	0147006	ICF Jones & Stokes Inc	4/20	Reservoir	65,867.86		-65,867.86
Total Env/Biological Services						65,867.86	0.00	-65,867.86
EPP Manager								
Bill	05/31/2020	SPA-202005	Forsythe Group LLC	5/20	Reservoir	30,000.00		-30,000.00

Sites Project Joint Powers Authority
Transaction Detail By Account
May 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Total EPP Manager						30,000.00	0.00	-30,000.00
Executive Director								
Bill	05/31/2020	2	Waterology Consulting	5/20	Reservoir	33,750.00		-33,750.00
Total Executive Director						33,750.00	0.00	-33,750.00
Federal Government Affairs Supp								
Bill	05/01/2020	0520148	The Ferguson Group	5/20	Authority	7,500.00		-7,500.00
Bill	05/01/2020	0520148	The Ferguson Group	5/20	Reservoir	7,500.00		-15,000.00
Total Federal Government Affairs Supp						15,000.00	0.00	-15,000.00
General Legal Counsel								
Bill	05/31/2020	65924	Young Wooldridge LLP	5/20	Authority	1,268.50		-1,268.50
Bill	05/31/2020	65924	Young Wooldridge LLP	5/20	Reservoir	4,438.64		-5,707.14
Total General Legal Counsel						5,707.14	0.00	-5,707.14
Geotechnical Engineering								
Bill	05/28/2020	04.7219003...	Fugro USA Land, Inc	5/20 (I)	Reservoir	11,875.58		-11,875.58
Total Geotechnical Engineering						11,875.58	0.00	-11,875.58
Legislative/Reg/Strategic								
Bill	05/31/2020	Letter	Dunn Consulting	5/20	Authority	4,000.00		-4,000.00
Bill	05/31/2020	Letter	Dunn Consulting	5/20	Reservoir	4,000.00		-8,000.00
Total Legislative/Reg/Strategic						8,000.00	0.00	-8,000.00
Operations/Simulation Modeling								
Bill	05/15/2020	D3205400-013	CH2M Hill Engineers, Inc	4/20 (D)	Reservoir	97,617.53		-97,617.53
Total Operations/Simulation Modeling						97,617.53	0.00	-97,617.53
Permitting & Agreements								
Bill	05/14/2020	0147005	ICF Jones & Stokes Inc	4/20 (F)	Reservoir	30,527.26		-30,527.26
Total Permitting & Agreements						30,527.26	0.00	-30,527.26
Program Ops Manager								
Bill	05/31/2020	SPA 17-32	Trapasso Consulting Servi...	5/20	Reservoir	28,000.00		-28,000.00
Total Program Ops Manager						28,000.00	0.00	-28,000.00
Project Controls								
Bill	05/29/2020	17373609	Brown and Caldwell	5/20 (B)	Reservoir	116,764.80		-116,764.80
Total Project Controls						116,764.80	0.00	-116,764.80
Project Integration								
Bill	05/31/2020	1200270922	HDR Engineering Inc	5/20 (A)	Reservoir	168,516.59		-168,516.59
Total Project Integration						168,516.59	0.00	-168,516.59
Reservoir Operations								
Bill	05/15/2020	20-04-4941.0	MBK Engineers Inc	4/20	Reservoir	3,185.00		-3,185.00
Total Reservoir Operations						3,185.00	0.00	-3,185.00
Special Legal								
Bill	05/28/2020	6195435	Perkins Coie LLP	4/20	Reservoir	971.10		-971.10
Total Special Legal						971.10	0.00	-971.10
Total Professional Fees						685,984.62	0.00	-685,984.62
Website, Data, Computer Support								
Bill	05/15/2020	139505	Adept Solutions Inc	4/20	Authority	61.05		-61.05
Bill	05/15/2020	139505	Adept Solutions Inc	4/20	Reservoir	244.22		-305.27
Bill	05/31/2020	MSP-139499	Adept Solutions Inc	06/20	Authority	209.07		-514.34
Bill	05/31/2020	MSP-139499	Adept Solutions Inc	06/20	Reservoir	836.28		-1,350.62
Total Website, Data, Computer Support						1,350.62	0.00	-1,350.62
Interest Income								
Interest Income-River City								
General J...	05/15/2020	5-3C		rec int fme	Authority		898.75	898.75
General J...	05/31/2020	5-3C		rec int fme	Reservoir		483.94	1,382.69
General J...	05/31/2020	5-5C		rec int fme	Reservoir		2,599.15	3,981.84
Total Interest Income-River City						0.00	3,981.84	3,981.84
Total Interest Income						0.00	3,981.84	3,981.84
TOTAL						**722,738.95**	**3,981.84**	**-718,757.11**

Sites Project Joint Powers Authority
Balance Sheet by Class
As of May 31, 2020

	Authority	Reservoir	TOTAL
ASSETS			
Current Assets			
Checking/Savings			
River City ICS Savings	0.00	2,956,636.65	2,956,636.65
River City Operating Account	748,882.62	401,083.40	1,149,966.02
River City State Fund Checking	0.00	5,744,010.94	5,744,010.94
Total Checking/Savings	748,882.62	9,101,730.99	9,850,613.61
Accounts Receivable			
Accounts Rec Members			
Membership - Authority	68,000.00	0.00	68,000.00
Membership - Reservoir	0.00	-4,988.20	-4,988.20
Total Accounts Rec Members	68,000.00	-4,988.20	63,011.80
Total Accounts Receivable	68,000.00	-4,988.20	63,011.80
Total Current Assets	816,882.62	9,096,742.79	9,913,625.41
TOTAL ASSETS	**816,882.62**	**9,096,742.79**	**9,913,625.41**
LIABILITIES & EQUITY			
Liabilities			
Current Liabilities			
Accounts Payable			
Accounts Payable	40,577.27	681,986.80	722,564.07
Total Accounts Payable	40,577.27	681,986.80	722,564.07
Total Current Liabilities	40,577.27	681,986.80	722,564.07
Long Term Liabilities			
Participant Reimbursement Polic	0.00	6,064,652.67	6,064,652.67
Total Long Term Liabilities	0.00	6,064,652.67	6,064,652.67
Total Liabilities	40,577.27	6,746,639.47	6,787,216.74
Equity			
Net Assets	114,674.93	1,814,541.65	1,929,216.58
Retained Earnings	351,952.54	3,617,421.31	3,969,373.85
Net Income	309,677.88	-3,081,859.64	-2,772,181.76
Total Equity	776,305.35	2,350,103.32	3,126,408.67
TOTAL LIABILITIES & EQUITY	**816,882.62**	**9,096,742.79**	**9,913,625.41**

Sites Project Joint Powers Authority
Profit & Loss by Class
May 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Expense			
Accounting Expense	353.00	1,412.00	1,765.00
Admin Support Gen Manager	837.90	3,351.60	4,189.50
Bank Service Fees	103.92	70.96	174.88
General Manager	4,369.69	24,181.57	28,551.26
Office Expenses			
Cleaning	400.00	0.00	400.00
Misc Office & Operating Exp	191.97	0.00	191.97
Pest Control & Maintenance	65.00	0.00	65.00
Trash Service	35.95	0.00	35.95
Water Expense	30.15	0.00	30.15
Total Office Expenses	723.07	0.00	723.07
Professional Fees			
Bond Counsel	0.00	3,970.00	3,970.00
Bus/Comm Manager	0.00	21,320.00	21,320.00
Communication	21,254.99	14,170.00	35,424.99
Cost Development Model	0.00	1,374.00	1,374.00
Engineering & Technical Service	0.00	8,112.77	8,112.77
Env/Biological Services	0.00	65,867.86	65,867.86
EPP Manager	0.00	30,000.00	30,000.00
Executive Director	0.00	33,750.00	33,750.00
Federal Government Affairs Supp	7,500.00	7,500.00	15,000.00
General Legal Counsel	1,268.50	4,438.64	5,707.14
Geotechnical Engineering	0.00	11,875.58	11,875.58
Legislative/Reg/Strategic	4,000.00	4,000.00	8,000.00
Operations/Simulation Modeling	0.00	97,617.53	97,617.53
Permitting & Agreements	0.00	30,527.26	30,527.26
Program Ops Manager	0.00	28,000.00	28,000.00
Project Controls	0.00	116,764.80	116,764.80
Project Integration	0.00	168,516.59	168,516.59
Reservoir Operations	0.00	3,185.00	3,185.00
Special Legal	0.00	971.10	971.10
Total Professional Fees	34,023.49	651,961.13	685,984.62
Website, Data, Computer Support	270.12	1,080.50	1,350.62
Total Expense	40,681.19	682,057.76	722,738.95
Net Ordinary Income	-40,681.19	-682,057.76	-722,738.95
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	898.75	3,083.09	3,981.84
Total Interest Income	898.75	3,083.09	3,981.84
Total Other Income	898.75	3,083.09	3,981.84
Net Other Income	898.75	3,083.09	3,981.84
Net Income	**-39,782.44**	**-678,974.67**	**-718,757.11**

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through May 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Income			
Membership Admin/Authority	505,000.00	0.00	505,000.00
Prop 1 Funding	0.00	0.00	0.00
Total Income	505,000.00	0.00	505,000.00
Gross Profit	505,000.00	0.00	505,000.00
Expense			
Accounting Expense	2,792.80	11,171.20	13,964.00
Admin Support Gen Manager	5,102.37	20,409.48	25,511.85
Audit Fees	0.00	10,760.40	10,760.40
Bank Service Fees	353.24	495.95	849.19
General Manager	26,752.05	159,762.61	186,514.66
Insurance - Property	200.00	50.00	250.00
Insurance Liability	0.00	1,604.25	1,604.25
Office Expenses			
Cleaning	900.00	0.00	900.00
Misc Office & Operating Exp	856.48	1,975.81	2,832.29
Pest Control & Maintenance	325.00	0.00	325.00
Trash Service	179.75	0.00	179.75
Water Expense	198.70	0.00	198.70
Total Office Expenses	2,459.93	1,975.81	4,435.74
Professional Fees			
Bond Counsel	0.00	3,970.00	3,970.00
Bus/Comm Manager	0.00	108,721.85	108,721.85
Communication	51,318.91	34,212.23	85,531.14
Cost Development Model	0.00	2,542.60	2,542.60
Cultural Study	0.00	3,885.55	3,885.55
Engineering & Technical Service	0.00	114,518.38	114,518.38
Env/Biological Services	0.00	142,871.28	142,871.28
EPP Manager	0.00	152,059.09	152,059.09
Executive Director	0.00	67,500.00	67,500.00
Federal Government Affairs Supp	37,500.00	37,500.00	75,000.00
General Legal Counsel	21,780.00	21,499.62	43,279.62
Geotechnical Engineering	0.00	48,848.52	48,848.52
Legislative/Reg/Strategic	20,000.00	20,000.00	40,000.00
Municipal Advisor	0.00	20,000.00	20,000.00
Operations/Simulation Modeling	0.00	351,034.64	351,034.64
Organizational Assessment	29,693.41	0.00	29,693.41
Permitting & Agreements	0.00	148,230.41	148,230.41
Program Ops Manager	0.00	142,510.24	142,510.24
Project Controls	0.00	576,819.92	576,819.92
Project Integration	0.00	843,990.67	843,990.67
Real Estate	0.00	761.67	761.67
Reservoir Operations	0.00	20,202.75	20,202.75
Special Legal	0.00	31,374.00	31,374.00
Total Professional Fees	160,292.32	2,893,053.42	3,053,345.74
Website, Data, Computer Support	1,336.80	5,347.20	6,684.00
Total Expense	199,289.51	3,104,630.32	3,303,919.83
Net Ordinary Income	305,710.49	-3,104,630.32	-2,798,919.83
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	3,967.39	22,770.68	26,738.07
Total Interest Income	3,967.39	22,770.68	26,738.07
Total Other Income	3,967.39	22,770.68	26,738.07

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through May 2020

	Authority	Reservoir	TOTAL
Net Other Income	3,967.39	22,770.68	26,738.07
Net Income	**309,677.88**	**-3,081,859.64**	**-2,772,181.76**

Sites

Topic: **Authority Board Agenda Item 1.3** **2020 June 24**

Subject: **Payment of Claims**

Requested Action:

Consider approval of the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B.

Detailed Description/Background:

Attachment A presents the warrants to be drawn against the invoices received by the Sites Project Authority through June 1, 2020.

Attachment B summarizes details of the invoices received through June 1, 2020 and how the incurred costs are allocated between the Authority and Reservoir Committee.

Prior Action:

None.

Fiscal Impact/Funding Source:

Total Payment of Claims is $722,564.07 with $40,577.27 of costs being assigned to the Authority and $681,986.80 assigned to the Reservoir Committee.

For the Reservoir Committee assigned amount, $621,852.49 will be paid through the WSIP account and $60,134.31 through the JPA/Sites account as shown in Attachment B.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: June 2020 Report on warrants to be drawn for Payment of Claims.

Attachment B: June 2020 Monthly Key Consultant and Vendor Invoice table.

Attachment C: June 2020 Key Consultant Budget Status Report.

WARRANTS DRAWN AGAINST
Sites Project Authority- General Fund
June 24, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
2154	06/09/2020	Adept Solutions	IT & Related Services	1,350.62
2155	06/09/2020	Dunn Consulting	Legislative/Regulatory/Strategic Support	8,000.00
2156	06/09/2020	J.C. Watson Inc.	GM Services	28,551.26
2157	06/09/2020	Katz and Associates Inc.	Communications	35,424.99
2158	06/09/2020	K·Coe·Isom, LLP	Accounting	1,765.00
2159	06/09/2020	M.R. Cleaning Services	Office Cleaning	400.00
2160	06/09/2020	Maximum Pest Control	Pest Control	65.00
2161	06/09/2020	Mt Shasta Spring Water	Office Water	30.15
2162	06/09/2020	Recology Butte Colusa Counties	Office Trash Pickup	35.95
2163	06/09/2020	Rush Personnel Services Inc.	Administrative Services	4,189.50
2164	06/09/2020	The Ferguson Group	Fed Govt Affairs Support	15,000.00
2165	06/09/2020	U.S. Bank	Misc. Expenses	191.97
2166	06/09/2020	Young Wooldridge LLP	Legal Counsel	5,707.14

THE FOREGOING CLAIM, NUMBERED 2154-2166 ARE APPLIED TO THE GENERAL FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **100,711.58**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

WARRANTS DRAWN AGAINST
Sites Project Authority-WSIP Fund
June 24, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
1052	06/09/2020	AECOM Inc.	Engineering	8,112.77
1053	06/09/2020	Brown and Caldwell	Project Controls	116,764.80
1054	06/09/2020	CH2M	Operations/SIM Modeling	97,617.53
1055	06/09/2020	Forsythe Group LLC	EPP Manager	30,000.00
1056	06/09/2020	Fugro USA Land, Inc.	Geotechnical Engineering Services	11,875.58
1057	06/09/2020	HDR Engineering Inc.	Project Integration	168,516.59
1058	06/09/2020	ICF Jones & Stokes Inc.	Env/Biological Services & Permitting	96,396.12
1059	06/09/2020	Larsen Wurzel & Associates, Inc.	Cost Development	1,374.00
1060	06/09/2020	MBK Engineers Inc.	Reservoir Operations	3,185.00
1061	06/09/2020	Perkins Cole, LLP	Special Legal	971.10
1062	06/09/2020	Spesert Consulting	Bus/Comm Manager	21,320.00
1063	06/09/2020	Stradling Yocca Carlson & Rauth	Bond Counsel	3,970.00
1064	06/09/2020	Trapasso Consulting Services	Program Operations Manager	28,000.00
1065	06/09/2020	Waterology Consulting	Executive Director Services	33,750.00

THE FOREGOING CLAIM, NUMBERED 1052-1065 ARE APPLIED TO THE WSIP FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount 621,652.49

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

Sites

June 8, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for June 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their June monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Board Approval Items						
Adept Solutions *IT Related Services/Computer Equipment*	MSP-139499 *6/1/20*	06/20	$1,045.35	$209.07	$836.28	KMS
Adept Solutions *IT Related Services/Computer Equipment*	139505 *5/15/20*	4/20	$305.27	$61.05	$244.22	KMS
AECOM (Reservoir) (HR) *Engineering Services*	No Invoice					HL
AECOM Technical Services, Inc. *Engineering/Tech*	2000365230 *6/1/20*	5/20	$8,112.77		$8,112.77	HL
Bender Rosenthal, Inc. (G) *Real Estate*	No Invoice					KMS
Brown and Caldwell (B) *Project Controls*	17373609 *5/29/20*	5/20	$116,764.80		$116,764.80	JAT
CH2M Hill Engineers (Operations) (D) *Operations / Simulation Modeling*	D3205400-013 *5/15/20*	4/20	$97,617.53		$97,617.53	AEF
CH2M/Jacobs (Conveyance) (HC) *Engineering Services*	No Invoice					HL
Colusa Indian Community Council *Tribal Council*	No Invoice					SPE
Darling H2O Consulting, Inc. *Organizational Assessment*	No Invoice					FD
Dunn Consulting *Legislative/Regulatory/Strategic Support*	Letter *6/2/20*	5/20	$8,000.00	$4,000.00	$4,000.00	KMS
Fechter and Company, CPAs *Accounting Services*	No Invoice					JAT
Forsythe Group, LLC *EPP Manager*	SPA-202005 *6/2/20*	5/20	$30,000.00		$30,000.00	JB
Fugro (I) *Geotechnical Engineering Services*	04.72190035-14 *5/28/20*	5/20	$11,875.58		$11,875.58	HL
Gerald (Jerry) Johns *Project Operations*	No Invoice					AEF

Sites

June 8, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for June 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their June monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
HDR (A)	1200270922			$168,516.59		$168,516.59	JAT
Project Integration		*6/1/20*	5/20				
ICF Jones & Stokes, Inc. (Environ.) (E)	0147006			$65,867.86		$65,867.86	AEF
Env/Biological Services		*5/14/20*	4/20				
ICF Jones & Stokes, Inc. (Permitting) (F)	0147005			$30,527.26		$30,527.26	AEF
Permitting and Agreements		*5/14/20*	4/20				
J.C. Watson, Inc.	SPA-058			$28,551.26	$4,369.69	$24,181.57	JB
General Manager Services		*5/28/20*	5/20				
Katz & Associates (C)	413745			$35,424.99	$21,254.99	$14,170.00	KMS
Communications		*5/14/20*	4/20				
K-Coe Isom, LLP	KC087169			$1,765.00	$353.00	$1,412.00	JAT
Accounting		*5/31/20*	5/20				
Larsen Wurzel & Associates, Inc.	1609000-0420			$1,374.00		$1,374.00	JAT
Cost Development		*5/8/20*	4/20				
M.R. Cleaning Service	33			$200.00	$200.00		KMS
Office Cleaning		*5/10/20*	4/20				
M.R. Cleaning Service	37			$200.00	$200.00		KMS
Office Cleaning		*5/28/20*	5/20				
Maximum Pest Control	51008			$65.00	$65.00		KMS
Pest Spraying		*5/28/20*	5/20				
MBK Engineers	20-04-4941.0			$3,185.00		$3,185.00	AEF
Reservoir Operations		*5/15/20*	4/20				
Montague DeRose & Associates, LLC	No Invoice						JAT
Municipal Advisor							
MT Shasta Water	495621			$30.15	$30.15		KMS
Office Water		*5/14/20*	5/20				
Perkins Coie, LLP	6195435			$971.10		$971.10	AEF
Special Legal		*5/28/20*	4/20				
Recology Butte Colusa Counties	37394368			$35.95	$35.95		KMS
Office Trash Pickup		*5/31/20*	5/20				

Sites

June 8, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for June 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their June monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Rush Personnel	IVC000000136950			$705.60	$141.12	$564.48	KMS
Yolanda Tirado Services		*5/18/20*	5/20				
Rush Personnel	IVC000000136951			$948.15	$189.63	$758.52	KMS
Yolanda Tirado Services		*5/18/20*	5/20				
Rush Personnel	IVC000000137208			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*6/1/20*	5/20				
Rush Personnel	IVC000000137209			$1,124.55	$224.91	$899.64	KMS
Yolanda Tirado Services		*6/1/20*	5/20				
Spesert Consulting	06-20			$21,320.00		$21,320.00	JB
Bus/Communications Manager		*6/2/20*	5/20				
Stradling, Yocca, Carlson & Rauth	364298-0001			$3,970.00		$3,970.00	JAT
Bond Counsel		*5/13/20*	4/20				
The Ferguson Group	0520148			$15,000.00	$7,500.00	$7,500.00	KMS
Federal Government Affairs Support		*5/1/20*	5/20				
Trapasso Consulting Services	SPA 17-32			$28,000.00		$28,000.00	JB
Program Operations Manager		*6/1/20*	5/20				
U.S. Bank - Credit Card	Online 6/3			$191.97	$191.97		KMS
Misc. Expenses		*6/3/20*	5/20				
Waterology Consulting	2			$33,750.00		$33,750.00	JT/ FD /JS
Executive Director Services		*6/1/20*	5/20				
William Avery & Associates	No Invoice						JAT
Personnel Recruiter							
Wiseman Consulting Group	No Invoice						KMS
ROW/Land Management							
Young Wooldridge, Law Offices, LLP	65924			$5,707.14	$1,268.50	$4,438.64	JAT
Legal Counsel		*5/31/20*	5/20				

Sites

June 8, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for June 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their June monthly meetings.

Consultant/Vendor	Invoice # Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by

		Total	$ Authority Board	$ Reservoir Committee
	Cash Accounts	722,564.07	40,577.27	681,986.80
June 2020 Monthly Totals	JPA/Sites	100,711.58	40,577.27	60,134.31
	WSIP	621,852.49		621,852.49
Cumulative Summary	WSIP To Date	3,580,428.45	(4) Fund Checks Received	
	Balance	4,500,365.96	8,080,794.41	

Notes: *

Sites

JUNE KEY CONSULTANT BUDGET REPORT

FOR AUTHORITY BOARD AND RESERVOIR COMMITTEE,
FINANCE AND ECONOMICS AD HOC COMMITTEE

Area / Consultant	Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
a) HDR		Costs and Task % as of 05/20 Services	**1,499,864**	**1,499,864**	**843,991**	**655,873**	**56%**		
	A01	Communications Int.		-	-	-	-	-	
	A02	Ops Modeling Int.		181,007	28,076	152,932	16%	40%	
	A03	Env. Planning Int.		149,357	123,553	25,804	83%	56%	
	A04	Permitting Int.		243,884	173,782	70,102	71%	56%	
	A05	Real Estate Int.		139,718	37,931	101,787	27%	35%	
	A06	Engineering Int.		299,476	175,703	123,773	59%	56%	
	A07	Geotech Int.		-	-	-	-	100%	
	A08	Controls Int.		224,387	141,151	83,236	63%	56%	
	A09	General Int.		98,267	113,340	(15,073)	115%	60%	
	A10	Authority's Engineer		-	-	-	-	100%	
	A11	Health, Safety & Loss Prevention		-	-	-	-	100%	
	A12	Quality Management		-	-	-	-	100%	
	A13	Risk Management		49,890	10,156	39,734	20%	20%	
	A14	IT		15,831	9,070	6,761	57%	56%	
	A15	GIS		-	765	(765)	-	100%	
	A16	Document Management		3,734	3,467	267	93%	56%	
	A17	Staff Support		14,004	1,929	12,075	14%	56%	
	A18	Satellite Project Office		-	-	-	-	100%	
	A19	Land Conservation Approach		-	-	-	-	100%	
	A98	HDR Project Management		40,309	17,755	22,554	44%	56%	
	A99	Expenses		40,000	7,311	32,689	18%	56%	
b) BC		Costs and Task % as of 05/20 Services	**899,156**	**899,156**	**576,820**	**322,336**	**64%**		
	B01	Project Controls		113,645	55,363	58,282	49%	45%	
	B02	Contract Administration and Compliance		492,414	305,321	187,093	62%	65%	
	B03	Work Planning and Scheduling		100,341	98,466	1,875	98%	100%	
	B04	Project Administrative Support		117,516	82,765	34,751	70%	65%	
	B05	PMP Sections		-	-	-	-	-	
	B98	Project Management		73,240	32,720	40,520	45%	50%	
	B99	Expenses		2,000	2,185	(185)	109%	100%	

Draft_0027763

Sites

JUNE KEY CONSULTANT BUDGET REPORT

FOR AUTHORITY BOARD AND RESERVOIR COMMITTEE,
FINANCE AND ECONOMICS AD HOC COMMITTEE

6/8/2020

Area / Consultant	Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
c) K&A		Costs and Task % as of 04/20 Services	**199,930**	**199,930**	**85,531**	**114,399**	**43%**		
	C01	Local/Landowner Outreach		14,083	1,443	12,641	10%	15%	
	C02	Statewide Outreach		22,000	3,756	18,244	17%	15%	
	C03	NGO Outreach		12,166	1,085	11,081	9%	-	
	C04	Strategic Communications		19,666	6,724	12,942	34%	35%	
	C05	Message Development/Training		11,831	3,778	8,054	32%	40%	
	C06	Informational Materials Distribution		38,500	26,152	12,348	68%	30%	
	C07	Website Modifications and Management		18,600	5,153	13,448	28%	40%	
	C08	Video & Photography		-	-	-	-	-	
	C09	Authority/Reservoir Committee Public Affairs Support		14,500	7,595	6,905	52%	40%	
	C10	Media Relations		13,084	8,448	4,637	65%	35%	
	C11	Social Media		5,000	1,246	3,754	25%	25%	
	C98	Project Management		30,500	17,695	12,805	58%	40%	
	C99	Expenses		-	2,457	(2,457)	-	-	
d) CH2-d		Costs and Task % as of 04/20 Services	**993,000**	**993,000**	**351,035**	**641,965**	**35%**		
	D01	Permitting and Resource Agency Technical Support		100,000	62,027	37,973	62%	90%	
	D02	Environmental Document Support		356,853	70,268	286,585	20%	20%	
	D03	Total Operations Technical Support		300,000	106,184	193,816	35%	65%	
	D04	Value Planning		88,000	71,575	16,425	81%	95%	
	D98	Project Management		31,147	28,591	2,556	92%	50%	
	D99	Expenses & Subconsultants		117,000	12,390	104,610	11%	45%	
e) ICF-e		Costs and Task % as of 04/20 Services	**428,000**	**428,000**	**142,871**	**285,129**	**33%**		
	E01	Draft EIS/EIR Review and Strategic Consultation		-	-	-	-	-	
	E02	Prepare Admin Final Responses to Comments		-	-	-	-	-	
	E03	Prepare Administrative Final EIR/EIS		15,000	14,967	33	100%	100%	
	E04	Begin Preparation of Administrative Record for Fin		-	-	-	-	-	
	E05	Engagement		-	-	-	-	-	
	E06	Geotechnical Environmental Document		-	-	-	-	-	
	E07	Develop Environmental Document Work Plan		110,000	75,325	34,675	68%	55%	
	E08	Support Development of EIR/EIS Project Description		180,000	24,714	155,286	14%	15%	
	E09	Prepare Annotated Outline		88,000	-	88,000	-	-	
	E98	Project Management		31,500	27,865	3,635	88%	30%	
	E99	Expenses		3,500	-	3,500	-	-	
f) ICF-f		Costs and Task % as of 04/20 Services	**708,300**	**708,300**	**148,230**	**560,070**	**21%**		
	F01	Finalize Joint Biological Assessment		-	-	-	-	-	
	F02	Finalize Geotechnical Permits and Fieldwork		297,120	37,554	259,566	13%	50%	

Draft_0027764

Area / Consultant	Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
	F03	Prepare Section 106 Documents		-	-	-	-	-	
	F04	Begin Preparation of Permits and Agreements		26,000	25,976	24	100%	100%	
	F05	Prepare for 2020 Field Work Studies		-	-	-	-	-	
	F06	Strategize on Mitigation and Adaptive Management P		-	-	-	-	-	
	F07	Early Coordination and Development of Key Permits		333,740	48,638	285,102	15%	20%	
	F98	Project Management		35,000	29,668	5,332	85%	30%	
	F99	Expenses		16,440	6,395	10,045	39%	15%	
g) BRI		Costs and Task % as of 01/20 Services	**762**	**762**	**762**	**0**	**100%**		
	G01	Develop Draft Right-of-Way Manual Sections		-	-	-	-	-	
	G02	Right-of-Way Planning Efforts		-	-	-	-	-	
	G03	Right-of-Entry / Early-Access Program		517	517	0	100%	-	
	G04	Property Management Program		-	-	-	-	-	
	G05	Public Outreach and Community Engagement		-	-	-	-	-	
	G98	Project Management / QC		245	245	0	100%	-	
	G99	Expenses		-	-	-	-	-	
hc) CH2-hc			**597,023**	**597,023**	**-**	**597,023**			
	HC01	Project Coordination		15,378	-	15,378	-	-	
	HC02	Engineering Support for Proj. Description of Preferred Proj. from Value Planning		370,475	-	370,475	-	-	
	HC98	Project Management		55,469	-	55,469	-	-	
	HC99	Expenses & Subconsultants		155,700	-	155,700	-	-	
hr) AEC-hr			**599,379**	**599,379**	**-**	**599,379**			
	HR01	Project Coordination		7,339	-	7,339	-	-	
	HR02	Engineering Support for Project Description of Preferred Project		567,000	-	567,000	-	-	
	HR98	Project Management		25,040	-	25,040	-	-	
i) FUG		Costs and Task % as of 05/20 Services	**85,995**	**85,995**	**48,849**	**37,146**	**57%**		
	I01	Data Review and Develop 2019 Feasibility Study Work Plan		-	-	-	-	-	
	I02	- Obsolete -		-	-	-	-	-	
	I03	2019 Site Investigation		-	-	-	-	-	
	I04	Future Site Investigation Work Plan for Design		-	-	-	-	-	
	I05	Assessment of Proposed Field Data Collection Points		50,786	22,428	28,358	44%	44%	
	I98	Project Management		35,209	26,421	8,788	75%	75%	

Draft_0027765

Sites

Topic: **Authority Board Agenda Item 2.1** **2020 June 24**

Subject: **State Legislative Activities**

Requested Action:

Consider acceptance of 2020-2021 Sites Project State Agency Legislative Activity Priorities.

Detailed Description/Background:

In response to the recommendation outlined in the Organizational Assessment to prepare an analysis and staff recommendation on federal funding pursuits, staff developed a Federal Legislative/Government Affairs Priorities memorandum that was approved by the Reservoir Committee and Authority Board at their respective May 2020 meetings.

In addition to the Federal Legislative/Government Affairs Priorities memorandum, staff has worked with the Authority Ad Hoc Legislative & Outreach Committee to develop a list of State level legislative and government priorities to focus our activities to better align with key project milestones. The State activities will be implemented in coordinated manner with our Federal government affairs/legislative priorities. These State priorities will be the Committee and Team focus through December 2021. Any adjustments would be brought back to the Reservoir Committee and Authority Board before being incorporated and updates will be provided on significant progress.

Prior Action:

None.

Fiscal Impact/Funding Source:

Sufficient funds exist to support activities associated with these priorities within the Amendment 2 work plan.

Staff Contact:

Jerry Brown/Kevin Spesert

Attachments:

Attachment A: State Legislative/Government Affairs Priorities Memo.

TO: Ad Hoc Legislative & Outreach Committee

DATE: 10 June 2020

SUBJECT: State Government Affairs Priorities

Staff has been working to identify near-term (thru December 31, 2021) State government affairs/legislative priorities to focus our activities to better align with key project milestones and are implemented in coordinated manner with our Federal government affairs/legislative priorities.

The following represents the staff's proposed State government affairs/legislative priorities.

STATE FUNDING

Proposition 1/CWC

- Continue to advance activities to meet the statutory requirements of WSIP to secure the $816 million awarded to the project.
    - Must have completed feasibility studies, a draft version of the recirculated environmental documents released for public review, CWC finding that the project is feasible and advances long-term Delta goals, and commitments for at least 75 percent of the non-WSIP funding **by January 1, 2022**.
- Continue to secure WSIP Early Funding that was awarded to the project.
    - Approximately **$32 million** of the WSIP Early Funding awarded to the project is still available ($40.8 million awarded by CWC).
- Advance discussions with CWC to address requests outlined in the WSIP Project Coalition Letter sent on May 11, 2020 to address impacts of COVID19 on the WSIP projects.
    - Modification of Early funding conditions, Increased release frequency or waiver of retention on early funding, increase flexibility within the early funding agreements, Incorporate COVID related impacts into pre-established deadlines.
- Advance discussions with CWC to reconcile WSIP public benefits regarding the VP7 recommended alternative.

California Infrastructure Bank

- Identify and begin groundwork to potentially apply for loan funding for the project from the California Infrastructure and Economic Development Bank (IBank) for appropriate/eligible project components.
    - Infrastructure State Revolving Fund Loans (ISRF), IBank Bond Financing, Infrastructure State Revolving Fund Bonds (ISRB).

Other State Appropriations/Bonds/Grants

- Support development and secure funding from potential state bond measures for infrastructure development, drought resiliency, water resources, and flood control.

- Possible bond to support the priorities identified in the Governor's Water Resilience Portfolio has been discussed but may be delayed due to COVID19 impacts to the state's economy.

- Track and pursue opportunities for state appropriations/grants as appropriate for transportation, economic/workforce development, flood control, infrastructure planning, operations & maintenance, etc.

STATE AGENCY COORDINATION

- Pursue development of a DWR/SWP Coordinated Operations Plan.
  - Including term sheet whereby the parties agree on the key provisions of an agreement by **July 2021.**
- Completion of the recirculated Draft Environmental Impact Report (EIR) by **July 2021.**
  - Coordinated with USBR's development of the Recirculated Draft Environmental Impact Statement (EIS) which is planned for completion no later than **July 2021.**
- Develop strategy to advance the Authority's water rights application by **September 2020** with a planned submittal of the application by **June 2022 (aligned with Final EIR/EIS).**
- Secure permits and approvals of CDFW for ITP & LSA.
  - Enter a three-year agreement to fund CDFW staff to support of the project's permitting by **December 2020**.
  - Execute Contract with CDFW for WSIP benefits.
- Secure permits and approvals from Division of Safety of Dams (DSOD) to initiate preliminary design by January 2022.
- Complete approvals and permits to achieve the Final Funding Conditions for Prop 1 by **June 2023** for management of WSIP public benefit water produced by the project.
  - All environmental documental competed, all other federal, state, and local approvals, certifications and agreements required to be completed have been obtained.

Other items that do not require legislative/government affairs action but are administrative in nature are listed below. We will need to keep our legislators informed on progress of these items so that they can step in when/if needed to assist in keeping them on the timeline required to support the project schedule.

Permitting - Ongoing consultation with state agencies to secure required state permits to advance the project.

Public Outreach - Coordinate with appropriate state agencies on any public outreach activities associated with a recirculated EIR. Reestablish AB 52 engagement with tribes and re-engage in consultation.

Draft_0027768

Sites

Topic: **Authority Board Agenda Item 2.2** **2020 June 24**

Subject: **Negotiation Approach - Permits and Operating Agreements**

Requested Action:

Consider approval of the Authority's negotiation approach for permits and operating agreements.

Detailed Description/Background:

The work plan being executed over the next 18 months includes negotiation of permit and operating agreement terms with state and federal agencies. The organizational assessment identified the need for the Authority to establish an understanding of its process for managing these important discussions to ensure continued policy level oversight and outcomes the Reservoir Committee and Authority Board support. The attached negotiation approach, if approved, would be followed in the negotiation of all permits and operating agreements for the Authority.

The Reservoir Committee and Authority Board would maintain policy level oversight of the negotiations under the proposed approach. However, a single lead negotiator would be empowered to manage the negotiating and would provide progress updates. The policy direction of the Reservoir Committee and Authority Board defines the parameters or principles that set "what" the lead negotiator must accomplish in the negotiations. The lead negotiator is empowered to determine "how" the policy direction is achieved in permits and agreements. When the lead negotiator is satisfied the outcomes of negotiation have achieved the policy direction, a proposed agreement would be brought for Reservoir Committee and Authority Board approval.

Should Reservoir Committee and Authority Board members wish to be involved in the negotiations, they can be under the proposed approach. The proposed approach allows for a negotiating team supporting the lead negotiator that can be made up of Reservoir Committee and/or Authority Board members as advisors on policy concerns. But the involvement of Reservoir Committee and/or Authority Board members does not override the authority of the lead negotiator who remains accountable to the entire Reservoir Committee and Authority Board.

The proposed approach can be adjusted for special circumstances. For example, operating agreements involving the State or Federal projects may require coordination with Reservoir Committee and/or Authority Board members that contract with these entities while also serving the interests of all participating members. Any coordination activities would be discussed with all Reservoir Committee and Authority Board members and they could direct a slightly different arrangement in these cases should they feel it is necessary.

Prior Action:

The proposed approach has been reviewed by the Coordinating Committees of the Reservoir Committee and Authority Board, the Engineering and Operations Workgroup and the Environmental Planning and Permitting Workgroup. Input received has been incorporated into the proposed approach.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown/Ali Forsythe

Attachments:

Attachment A: Draft, Proposed Approach to Permit and Agreement Negotiations Environmental Planning, Permitting and Operations

Approach to Permit and Agreement Negotiations
Environmental Planning, Permitting and Operations

This document provides the approach to be used by the Executive Director for permit and agreement negotiations for those permits and agreements that are needed for the Sites Project and that fall within the environmental planning, permitting, and operations services areas.

- Lead Negotiator – The Executive Director will serve as the lead negotiator on permits and agreements for the environmental planning, permitting, and operations services areas, unless another person is identified as the lead by the Executive Director. The Lead Negotiator is responsible to achieve negotiated terms in accordance with the principles/parameters of the Reservoir Committee and Authority Board.

- Work Group – The Lead Negotiator will consult with the appropriate Reservoir Committee Work Group on technical analysis, alternatives evaluation, and advising Work Group members on constraints, conflicts, and limitations. Communications with the Lead Negotiator are to be kept strictly confidential and disclosed only to the appropriate Work Group members that are part of the negotiation team, the Lead Negotiator, counsel, the Reservoir Committee, and the Authority Board.

- Negotiation Team – The appropriate Reservoir Committee Work Group chair may assign a small subset of Reservoir Committee or Authority Board members (2-4) to participate in negotiations with the Lead Negotiator.

    - Guidelines on participation:
        - The Work Group chair selects the Negotiation Team to achieve balanced representation of interests (e.g. NOD/SOD, Urban, Ag, CVP/SWC) and considers other attributes of members.
        - The Lead Negotiator is the Authority's decision maker in the negotiations and must be present at all discussions with the agency.
        - The Negotiation Team is to strictly provide policy counsel to the Lead Negotiator and has no authority to negotiate on behalf of Sites.
        - The Negotiation Team is there to represent the best interest of the Sites Project and all of the Sites members (Reservoir Committee and Authority Board); not for personal or specific agency or regional interest, recognizing that their personal or specific agency interest helps and informs key components of the discussions.

CONFIDENTIAL PRIVILEGED COMMUNICATION
PRELIMINARY DRAFT DOCUMENT FOR INTERNAL USE ONLY

- An executive level relationship should be fostered between Reservoir Committee and Authority Board members and agency political appointees as this can assist in but is not a substitute for the negotiations approach.
- Communications among the Negotiation Team and with the Lead Negotiator are to be kept strictly confidential and disclosed only to the Negotiation Team, the Lead Negotiator, counsel, the Reservoir Committee, and the Authority Board.

- Reservoir Committee – The Lead Negotiator, working with the Negotiation Team, coordination with counsel, will recommend key negotiation principles/parameters for the Reservoir Committee. The Reservoir Committee will review, modify and recommend approval of the key negotiation principles/parameters to the Authority Board.

- Authority Board – The Authority Board will review, modify and approve the key negotiation principles/parameters that the Lead Negotiator will use to negotiate agreements in principle with the respective agency. If conflicts exist between the Reservoir Committee and Authority Board, the Lead Negotiator will be responsible to resolve those conflicts and, in coordination with counsel, resubmit the key principles/parameters to the Reservoir Committee and Authority Board for review, modification, and approval.

- Final Agreement – When the Lead Negotiator has determined that the key negotiation principles/parameters have been met, the Lead Negotiator, in coordination with counsel, will prepare the final agreement and present to the Reservoir Committee how the Final Agreement complies with the key negotiation principles/parameters. The Reservoir Committee will consider and deliberate accepting that the Final Agreement complies with the key negotiation principles/parameters. The Reservoir Committee will consider recommending approval / signature of the Final Agreement to the Authority Board. The Authority Board will consider approval / signature of the Final Agreement after recommendation by the Reservoir Committee.

- There will be no disclosure of confidential information by any Reservoir Committee or Authority Board participant outside of closed session. All Authority staff, consultants, and members of the Negotiating Team shall maintain the confidentiality of this information within the Authority.

CONFIDENTIAL PRIVILEGED COMMUNICATION
PRELIMINARY DRAFT DOCUMENT FOR INTERNAL USE ONLY

For clarification:

- Parameter is a dollar amount.
- Principles of negotiation are terms and conditions of agreement.

This negotiation approach would apply to:

- All permits and approvals listed in Table C1-2 in the April 2020 Value Planning Report
- Coordinated Operations Plans with the Department of Water Resources and Bureau of Reclamation
- Use of Facilities Agreements with Tehama-Colusa Canal Authority, Glenn-Colusa Irrigation District and operating entities in the Colusa Basin Drain
- Contract with the California Department of Fish and Wildlife for Prop 1 WSIP Environmental Benefits
- Final Funding Agreement for Prop 1 WSIP with the California Water Commission

CONFIDENTIAL PRIVILEGED COMMUNICATION
PRELIMINARY DRAFT DOCUMENT FOR INTERNAL USE ONLY

Sites

Topic: **Authority Board Agenda Item 3.1** **2020 June 24**

Subject: **Preliminary Participation Levels**

Requested Action:

Receive status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation.

Preliminary Participation Levels:

Soft call results have been updated and are included in Attachment A. In summary:

- All participants have responded and provided staff-recommended participation levels. Based on these results, full subscription can be achieved.
- Based on projected, reduced participation from Sacramento Valley, approximately 13,000 AF may be available. The Reservoir Committee and Authority Board in May 2020 requested that outreach be conducted to try to achieve the 25% goal for Sac Valley participation.
- Outside of the Sacramento Valley, current participants identified interest in 5,000 AF and prior participants expressed interest in 10,000 AF of additional capacity, if available.

Outreach and Rebalancing:

Staff has initiated outreach to existing and prior participants in the Sacramento Valley to determine interest in additional capacity. Outreach to potential new Sac Valley participants would occur later. This is consistent with the approved priority system:

1. The goal is total Sac Valley participation of ~25%. Priority is given to current, prior and new Sac Valley participants in that order.
2. Existing Reservoir Committee participating members (with sub-priorities by seniority)
3. Prior Reservoir Committee participating members (with sub-priorities by exit date)
4. Nonparticipants. Priority for new participants outside of Sac Valley.

The process for a participant securing a position in the participation queue involves the following steps:

- Participants submit a letter of intent for new or increased participation prior to September 10, 2020 to secure a position in the queue. The letter should acknowledge the potential financial commitment. Attachment B shows the remaining contributed credit that participants can apply to prior participant payments.
- Staff prioritizes requests based on the approved rebalancing priority system.
- At the September meetings, the Reservoir Committee and Authority Board direct staff to offer capacity to participants in the queue.

To date, the responses have been mixed; some are considering it and others are not interested. More time is needed for consideration and decision. As a reminder, new and returning participants must be approved for admission according to Section 10 of the Participation Agreement, which includes a 75% weighted approval at the Reservoir Committee and 75% of Authority Board director approval as well as other requirements.

Schedule:

The following items will be considered at the Reservoir Committee and Authority Board at their monthly meetings:

- July: Outreach update.
- August: Outreach update.
- September: Final participation levels for current members (executed agreements), approval of participation queue, vote to onboard new participants.
- October: Final participation levels for new members (executed agreements), approval of the final Exhibit A, invoices sent for payment.

Cash Call Timing:

The proposed cash call schedule is:

- $60/AF due Nov 1, 2020.
- Up to $40/AF due Apr 1, 2021.

Recall that payment plan alternatives were discussed in the May meetings. Staff was asked to consider an alternative payment plan for Colusa County based on their unique situation. The alternate payment plan proposed by the County was analyzed by the Project team and was determined to meet the spirit and intent of Amendment 2. The Project team determined that it is prudent and reasonable to accept the alternate payment plan and made a finding of equivalency for the reasons outlined in the attached letter which was sent on June 5, 2020 (Attachment C).

Prior Action:

May 21, 2020: The Reservoir Committee accepted Exhibit B (2020 and 2021 budget) to the Second Amendment to 2019 Reservoir Project Agreement and directed staff to begin outreach to potential participants based on the proposed priority system to explore interest in Sites capacity, if available.

May 5, 2020: At a Reservoir Committee workshop, staff provided information on changes to the cash call process and schedule in light of potential economic

impacts of the COVID-19 natural disaster on participating member agencies. A two-step cash call process including a "Soft Call" and a "Hard Call" will be used to better plan for changes in participation. The due date of the first cash call of $60/AF will be moved two months out to November 1, 2020. The due date of the second cash call will be moved two months out to April 1, 2021 and will be up to $40/AF. The Amendment 2 work will still begin on September 1, 2020.

April 17, 2020: The Reservoir Committee approved the Amendment 2 Work Plan for the purposes of planning cash calls for participants totaling $100/AF, producing a draft budget exhibit to the participation agreement, and developing consultant task orders.

March 30, 2020: At a joint workshop, staff provided information that included a process overview of task order development; an updated project schedule; cash flows for Reservoir Committee and Authority Board; and preliminary task budgets including assigned resources.

March 19, 2020: Staff provided information on the goals, schedule, cash flow, and preliminary budget allocation by subject assuming a cash call of $100/AF. Staff provided a preliminary cash call schedule of $60/AF due September 1, 2020 and $40 due February 1, 2021.

February 21, 2020: Staff provided information on three possible revenue scenarios and a task list for Amendment 2 (September 1, 2020 and ending December 31, 2021). Staff received direction to continue to develop the $100/AF revenue scenario.

January 17, 2020: Staff provided information regarding the proposed process for developing a work plan. This work plan proposes to focus on improving certainty related to project operations, permitting, and affordability, and to meeting the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive funding.

Fiscal Impact/Funding Source:

The total cash call remains unchanged from the approved Amendment 2 work plan in the total amount of $100/AF. With the Amendment 1B carryover funds it may be possible to reduce the total cash call. However, Staff proposes to keep the first call at $60/AF and reassess the second call currently projected at $40/AF midway through the Amendment 2 work. At that time, any adjustments to the second call can be considered based on the then current projections. Participating members can consider the second call to be an "up to" amount since the Amendment 1B carryover represents previously unanticipated funds for the Amendment 2 work plan.

Staff Contact:

Jerry Brown

Attachments:

Attachment A – Preliminary Amendment 2 Participation Table.

Attachment B – Participant Contributed Credit Balance.

Attachment C – Colusa County Payment Proposal Letter.

Preliminary Amendment 2 Participation Table
Reservoir Committee

Based on preliminary soft call survey results as of 3pm Wednesday, 6/3/2020

Working Draft, Subject to Change

Participant	Phase 2 (2019) Participation Level (Ref, AF)	Participation Level (AF) Staff Recommendation	Variance (AF)	Participation Increase Interest (AF)
Antelope Valley East Kern WA	500	500	0	
Carter Mutual Water Company	300	300	0	
City of American Canyon	4,000	4,000	0	
Coachella Valley Water District	10,000	10,000	0	
Colusa County	10,000	10,000	0	
Colusa County WD**	11,975	11,000	-975	Possibly
Cortina WD	450	450	0	
Davis Water District	2,000	2,000	0	
Dunnigan WD	2,717	2,717	0	
Desert WA	6,500	6,500	0	
Glenn Colusa Irrigation District	5,000	5,000	0	
LaGrande water district	1,000	1,000	0	
Metropolitan Water District of Southern California	50,000	50,000	0	
RD-108	4,000	4,000	0	
San Bernardino Valley Municipal Water District	21,400	21,400	0	
San Gorgonio Pass Water Agency	14,000	14,000	0	
Santa Clara Valley WD	16,000	16,000	0	
Santa Clarita Valley Water Agency	5,000	5,000	0	5,000
Westside W.D.**	15,000	2,000	-13,000	
Zone 7 Water Agency	10,000	10,000	0	
Wheeler Ridge-Maricopa WSD	3,050	3,050	0	
Pacific Resources MWC*	0	0	0	10,000
Total	**192,392**	**178,417**	**-13,975**	**15,000**

**Phase 1 participant, no survey response received. Interest expressed by a responding Phase 2 participant.*

***Indicated possibility for higher participation, lower projected participation level shown*

All Participant Summary Phase 1 Conversion and Voluntary Changes Allocations							
Participant	Total Paid	Conversion (Class 2 to Class 1)	Voluntary Changes	Partial Refund (Voluntary Changes)	Remaining Voluntary Change Credit (long term liability)	Refund Unspent Phase 1 Funds	8/31/19 Balance of Long term Liability
American Canyon, City of	$ 145,500.00	$ 48,500.00					$ -
Antelope Valley-East Kern Water Agency	$ 83,104.75		$ (58,854.75)	$ (10,832.99)	$ (48,021.76)	$ (2,849.09)	$ (45,172.67)
California Water Service	$ 848,750.00		$ (848,750.00)	$ (156,223.59)	$ (692,526.41)	$ (29,097.84)	$ (663,428.57)
Carter MWC	$ 24,250.00	$ 7,275.00	$ (16,975.00)	$ (3,124.47)	$ (13,850.53)	$ (831.37)	$ (13,019.16)
Coachella Valley Water District	$ 1,101,095.50	$ -	$ (616,095.50)	$ (113,400.48)	$ (502,695.02)	$ (37,749.05)	$ (464,945.97)
Colusa County	$ 485,000.00			$ -	$ -		$ -
Colusa County Water District	$ 1,557,383.50		$ (980,476.00)	$ (180,469.49)	$ (800,006.51)	$ (53,392.05)	$ (746,614.46)
Dept of Water Resources				$ -	$ -		$ -
Desert Water Agency	$ 270,072.25	$ 45,177.75		$ -	$ -		$ -
Garden Highway MWC	$ 97,000.00		$ (97,000.00)	$ (17,854.12)	$ (79,145.88)	$ (3,325.47)	$ (75,820.41)
Glenn-Colusa Irrigation District	$ 970,000.00		$ (727,500.00)	$ (133,905.94)	$ (593,594.06)	$ (33,254.68)	$ (560,339.38)
Metropolitan Water District of S. CA	$ 1,212,500.00	$ 1,212,500.00		$ -	$ -		$ -
Orland-Artois Water District	$ 970,000.00		$ (970,000.00)	$ (178,541.25)	$ (791,458.75)	$ (33,254.68)	$ (758,204.07)
Pacific Resources MWC	$ 485,000.00		$ (485,000.00)	$ (89,270.62)	$ (395,729.38)	$ (16,627.34)	$ (379,102.04)
Reclamation District 108	$ 970,000.00		$ (776,000.00)	$ (142,833.00)	$ (633,167.00)	$ (33,254.68)	$ (599,912.32)
San Bernardino Valley Municipal Water District	$ 1,246,522.75		$ (208,622.75)	$ (38,399.76)	$ (170,222.99)	$ (42,734.75)	$ (127,488.24)
San Gorgonio Pass Water Agency	$ 581,709.00	$ 97,291.00		$ -	$ -		$ -
Santa Clara Valley Water District	$ 997,232.75		$ (221,232.75)	$ (40,720.80)	$ (180,511.95)	$ (34,188.30)	$ (146,323.65)
Santa Clarita Valley Water Agency	$ 207,749.75	$ 34,750.25		$ -	$ -		$ -
TC6: 4M Water District	$ 24,250.00		$ (24,250.00)	$ (4,463.53)	$ (19,786.47)	$ (831.37)	$ (18,955.10)
TC6: Cortina Water District	$ 14,550.00		$ -	$ -	$ -		$ -
TC6: Davis Water District	$ 97,000.00		$ -	$ -	$ -		$ -
TC6: Dunnigan Water District	$ 242,500.00		$ (110,725.50)	$ (20,380.48)	$ (90,345.02)	$ (8,313.67)	$ (82,031.35)
TC6: LaGrande Water District	$ 48,500.00		$ -	$ -	$ -		$ -
TC6: Proberta Water District	$ 145,500.00		$ (145,500.00)	$ (26,781.19)	$ (118,718.81)	$ (4,988.20)	$ (113,730.61)
Tehama-Colusa Canal Assoc				$ -	$ -		$ -
US Bureau of Reclamation				$ -	$ -		$ -
Western Canal Water District	$ 169,750.00		$ (169,750.00)	$ (31,244.72)	$ (138,505.28)	$ (5,819.57)	$ (132,685.71)
Westside Water District	$ 1,212,500.00		$ (485,000.00)	$ (89,270.62)	$ (395,729.38)	$ (41,568.35)	$ (354,161.03)
Wheeler Ridge-Maricopa Water Storage District	$ 831,023.25		$ (683,098.25)	$ (125,733.21)	$ (557,365.04)	$ (28,490.11)	$ (528,874.93)
Yolo County Flood Control and Water Conservation District				$ -	$ -		$ -
Zone 7 Water Agency	$ 831,023.25		$ (346,023.25)	$ (63,690.13)	$ (282,333.12)	$ (28,490.11)	$ (253,843.01)
Totals	$ 15,869,466.75	$ 1,445,494.00	$ (7,970,853.75)	$ (1,467,140.40)	$ (6,503,713.35)	$ (439,060.68)	$ (6,064,652.67)

**note conversion and refund totals changed due to calculation error on Coachella's invoice, a revised invoice was sent*

Sites

Michael J. Azevedo
Director of Public Works, Colusa County
738 Market Street
Colusa, CA 95932

June 5, 2020

Dear Mr. Azevedo,

This is in response to our recent conversations regarding the ongoing and ever-changing conditions of the COVID-19 economic impacts on County finances. The implications of these unanticipated and significant circumstances are presenting challenges unique to the County[1]. You have proposed an alternate payment plan for the County's continued participation in the Amendment No. 2 work plan that you wish to present to the Colusa County Supervisors when they consider the Second Amendment to 2019 Reservoir Project Agreement (Amendment) at their June 16, 2020 meeting.

The alternate payment plan proposed by the County (see attached) has been analyzed by the Project team and has been determined to meet the spirit and intent of the Amendment. The Project team finds that it is prudent and reasonable to accept the alternate payment plan and make a finding of equivalency for the following reasons:

- The same total dollars are to be paid and there is no lesser commitment as compared to any of the other participating members.
- The timing of the alternate payments is such that on a cash flow basis there is no redirected impacts on other participating members.
- The administrative burden of the alternate plan is offset by the proposed additional year of rent relief for the Authority's use of the Maxwell office building which is owned by the County.

A comparison of the standard payment plan and the proposed alternate payment plan is shown on the attached document.

Please do not hesitate to contact me if you have any questions.

Jerry Brown
Executive Director, Sites Project Authority

cc: Reservoir Committee
Authority Board

Attachment

1. Colusa County is the only participating member that generates 100% of its revenues to pay for participation in the project from taxes that do not involve water services.

Comparison of Payment Plans for Colusa County Sites Reservoir Participation
Amendment No. 2 of the Project Agreement

Payment[1]	Approx. Payment Date	Amendment No. 2 Payment Plan	Approx. Payment Date	Colusa County Proposed Alternate and Equal Payment Plan
early			June 30, 2020	$150,000
1	Nov 1, 2020	$600,000	Nov 1, 2020	$500,000
2	April 1, 2021	up to $400,000	July 1, 2021	up to $350,000[2]

1) All payments assume continued County participation of 10,000 acre-feet
2) depends on the Authority's determination of required funding. Prior amounts contributed in excess of amendment no. 2 will be credited in determining the County's 3rd payment

Note: The County's proposal includes continuing to waive rent collection (estimate to be $1,500/month) on the Maxwell project office through July 2021

Sites

Topic: **Authority Board Agenda Item 3.2** **2020 June 24**

Subject: **Final Federal Feasibility**

Requested Action:

Receive status update on draft 2020 Final Federal Feasibility Report.

Detailed Description/Background

The approved 2020-2021 Sites Federal Government Affairs Priorities includes completion of the Final Federal Feasibility Report (2020 FFFR) to qualify for federal funding and serve as a basis for the State's feasibility analysis required for WSIP funding. The draft 2020 FFFR has been drafted and is routing through the ~6-month review process.

The draft 2020 FFFR determines the Sites project to be feasible and there is federal interest for investment. Potential benefits include CVP operational flexibility for north (including the American River watershed) and south of delta, new supplies for incremental level 4 refuges, and improvements for migrating fish in north of delta rivers and streams. Assuming this determination remains, Sites would be qualified to receive a portion of WIIN Act construction funds which under beneficiary pays would require operating and storage capacity obligations that would be covered under future agreements. Conservatively, the VP7 plan of finance does not include WIIN funds but could accommodate federal participation should an agreement be reached.

There are fairly significant differences between the draft 2020 FFFR and VP7 (the Authority's preferred alternative). The 1.5MAF reservoir size in VP7 is not specifically analyzed and the Delevan Pipeline is not eliminated. The range of reservoir sizes encompasses the 1.5MAF in VP7 and the report indicates that other conveyance mechanisms would be feasible. It was not possible to address these discrepancies and still meet WIIN Act deadlines. The Authority is preparing proactive communications on these issues. The draft 2020 FFFR commits to a post report analysis in spring 2021 to coincide with the Authority's engineering advancement of VP7. Feasibility and federal interest are not expected to change materially.

The draft 2020 FFFR is supportive of meeting the State's WSIP feasibility requirements. The project is found to feasible in all areas of analysis; economic, financial, technical and environmental. The State's requirements align with these same areas, although the State determination will be looking to confirm its investment in the project which is different than potential federal investment. A benefit cost ratio of 1.1 is determined using the rigorous federal standards. A BCR must exceed 1.0 to qualify for federal investment and the many factors are considered among the storage projects competing for WIIN Act funds.

The draft 2020 FFFR puts Sites on track to meet WIIN deadlines and qualify for federal funding. Two key WIIN criteria are a determination of feasibility by December 2020, and initiation of construction by 2022, although what it means to be in construction has not yet been specified. The draft 2020 FFFR is going through policy review currently and will be sent to Washington DC in July where it will undergo numerous reviews including by OMB and finally reaching the Secretary of Interior who must submit the final determination to Congress. Authority Staff is on point for keeping our delegation informed and will work cooperatively and expeditiously to address questions or concerns that arise through the review process. The Authority delegation will keep abreast of the report as well. Any significant updates will be brought back to the Reservoir Committee and Authority Board.

Prior Reservoir Committee Action:

April 2020 – The organizational assessment response matrix identified two items being addressed by this report – 1) Create a strategy to have Reclamation to the table to describe what the local investment will do for their contracts. 2) Establish a strategy to get state and federal agencies to be storage investors.

Fiscal Impact/Funding Source:

Federal funds have paid 100% of the cost of the draft 2020 FFFR. A portion of the 2019 $6M Appropriation to the Sites project will pay the remaining costs plus the cost of the post report analysis. The federal expenditures count as non-State match toward WSIP early funding.

Staff Contact:

Jerry Brown

Attachments:

None.

Sites

Topic: **Authority Board Agenda Item 3.3** **2020 June 24**

Subject: **Proposed Objectives and Alternatives for the Revised EIR/EIS**

Requested Action:

Review and comment on the objectives and alternatives for the Revised Environmental Impact Report / Environmental Impact Statement (EIR/EIS) to focus efforts in developing a more complete project description on schedule.

Detailed Description/Background:

Staff has begun work on preparation of the revised and recirculated Draft EIR (Revised EIR). As part of this effort, the project objectives and action alternatives are being revised based on the Value Planning Report for the Revised EIR/EIS as described in more detail below and attached.

It is important that the Reservoir Committee and Authority Board review and comment on these recommended revisions at this early stage so staff and the consultant team can focus our efforts on development of more complete descriptions of these alternatives for the Revised EIR/EIS, permitting effort, and feasibility design currently underway. Staff anticipates returning to the Reservoir Committee and Authority Board in September with the Revised EIR/EIS project description, including any changes to the objectives based on initial analysis, a more complete description of the action alternatives, along with a recommendation for a Preferred Project. Staff will be seeking Reservoir Committee and Authority Board approval of this Revised EIR/EIS project description and the recommended Preferred Project in September.

Section 15124(b) of the California Environmental Quality Act (CEQA) Guidelines requires that a project description contain a clear statement of the project objectives including the underlying purpose of the project. Revisions to the Project objectives are needed from those contained in the 2017 Draft EIR/EIS to: (1) better reflect the goals of the Reservoir Committee and Authority Board as reflected in the Value Planning Report along with Sites Project messaging and informational materials adopted by the Authority Board in April 2020; and (2) add additional clarity and specificity on what the Project is focused on achieving to improve understanding of the foundational components that lead to formulation of Project alternatives. The revised objectives are being reviewed by the Ad Hoc Environmental Planning and Permitting Work Group at their June 18 meeting and the outcome of this review, including any changes will be reported at the Reservoir Committee meeting.

The CEQA Guidelines require that an EIR analyze a reasonable range of alternatives to the project which would feasibly attain most of the basic objectives of the project but would avoid or substantially lessen any of the significant effects of the project. Staff is recommending revisions to the Project

Draft_0027783

action alternatives from those contained in the 2017 Draft EIR/EIS to better align with the Value Planning Report and the alternatives contained therein. Two action alternatives are being recommended that combine components of VP5, VP6, and VP7 from the Value Planning Report to simplify the EIR and streamline its preparation and review by the public. The recommended revised action alternatives are being reviewed by the Ad Hoc Environmental Planning and Permitting Work Group at their June 18 meeting and the outcome of this review, including any changes will be reported at the Reservoir Committee meeting.

The recommended revisions to the project objectives and the action alternatives are within the scope allowed by CEQA to complete a Revised EIR and do not trigger any new process. Staff is working with Legal Counsel on the recommended revisions and Legal Counsel will continue to be involved in the development of the project description and Revised EIR.

Staff is coordinating the Revised EIR with similar changes Reclamation is or will be making to the EIS document.

Prior Action:

April 2020 Board Meeting - The Authority Board approved the Sites Project Value Planning Alternatives Appraisal Report (Value Planning Report) and the recommendations contained in that Report. The Value Planning Report included substantial changes in the recommended Project, VP7, as compared to Alternative D in the 2017 Draft EIR/EIS. At the same meeting, the Authority Board directed staff to begin preparation of a Revised EIR to analyze the environmental effects of the options identified in the Value Planning Report.

Fiscal Impact/Funding Source:

Development of the recommended project objectives and action alternatives is within the Amendment 1B work plan currently and sufficient funds are available to complete the project description. Completing the Revised EIR is part of the Amendment 2 work plan

Staff Contact:

Ali Forsythe

Attachments:

Attachment A - Recommended Revised EIR Objectives.
Attachment B - Recommended Revised EIR/EIS Alternatives.

Sites Reservoir Project
Preliminary Revised CEQA Objectives
June 12, 2020

- Improve environmental, agricultural, and municipal water supply reliability in a cost-effective manner for Project Participants;
- Improve cold water pool management in Shasta Reservoir through coordination and exchanges with Reclamation to benefit anadromous fish;
- Enhance the Delta ecosystem by providing water to convey food resources from the floodplain to the Delta thereby improving the food chain and quality of the Delta's estuarine habitat for the benefit of pelagic fishes[1] in the north Delta (e.g. Cache Slough);
- Provide improvements in state-wide water supply reliability to enhance opportunities for fish protection, habitat management, and other environmental needs; and
- Provide local and regional amenities, including developing recreational facilities, reducing local flood damage, and maintaining community connectivity through roadway modifications.

[1] Pelagic fish are species that spend most of their life swimming in the water column, having little contact or dependency with the bottom.

Sites Reservoir Project
Preliminary Revised Draft EIR/EIS Alternatives
June 12, 2020

Facilities/Operations	Action Alternative 1 (Derived from VP7)	Action Alternative 2 (Includes Parts of VP5 and VP6)
Diversion/Reservoir Infrastructure Details		
Reservoir Size	1.5 MAF	1.3 MAF
Dams [Scaled to the size of the reservoir]	Two main dams (Golden Gate and Sites) and 8 or 9 saddle dams (to be determined in design)	Two main dams and 7 saddle dams
Spillway	One spillway, design and location to be determined	Similar to Alternative 1*
Funks Reservoir and Funks Pumping Generating Plant	Pumping from the existing Funks Reservoir to Sites Reservoir through new Funks Pumping and Generating Plant and new Funks pipeline; operation will be modified and Funks Reservoir may be dredged. Footprint as described in Value Planning Report.	Similar to Alternative 1
Terminal Regulating Reservoir (TRR); TRR Pumping Generating Plant; TRR Pipeline	Pumping from the GCID Main Canal to Sites Reservoir requires construction of the TRR facilities; footprint as described in Value Planning Report	Same as Alternative 1
Holthouse Reservoir/Fletcher	Eliminated facilities from 2017 Draft EIR/EIS and pumpback generation	Same as Alternative 1
Hydropower	Power generation incidental upon release	Same as Alternative 1
Diversion(s)	Diversion from Sacramento River into exiting Tehama-Colusa Canal at Red Bluff and the existing GCID Main Canal at Hamilton City Adding 2 pumps in existing bays at the plant at Red Bluff	Same as Alternative 1
Emergency Release Flow [Function of the size of the reservoir]	1. Releases into Funks and Stone Corral creeks; and 2. Emergency outflow works pipeline and structure to release north to Hunters Creek Watershed	Similar to Alternative 1*

Sites Reservoir Project
Preliminary Revised Draft EIR/EIS Alternatives
June 12, 2020

Facilities/Operations	Action Alternative 1 (Derived from VP7)	Action Alternative 2 (Includes Parts of VP5 and VP6)
Flood Control	Flood damage reduction benefit for local watersheds from reservoir storage	Same as Alternative 1
Reservoir Management	Reservoir Management Plan (To be developed)	Same as Alternative 1
Electrical Facilities	Transmission Lines, Substations, Switchyards; Interconnection with WAPA or PG&E	Same as Alternative 1
Operations		
One Operational Criteria	Option based on Value Planning Report Table 3.1 Scenario B but anticipated to be modified by future modeling efforts	Same as Alternative 1
Reclamation Involvement	Two Options: 1. Funding Partner 2. Operational Exchanges a. Within Year Exchanges b. Real-time Exchanges	Same as Alternative 1
State Water Project (SWP) Involvement	Operational Exchanges with Oroville and storage in SWP facilities South-of-Delta	Same as Alternative 1
Bypass Releases		
Bypass Releases into Funks Creek and Stone Corral Creek	Develop specific bypass criteria to protect downstream water right holders and ecological function	Same as Alternative 1
Conveyance Release		
Dunnigan Release	Release 1,000 cfs into new pipeline to Colusa Basin Drain	Release into new pipeline to Sacramento River, partial release into the Colusa Basin Drain to fulfill the Proposition 1 needs

Sites Reservoir Project
Preliminary Revised Draft EIR/EIS Alternatives
June 12, 2020

Facilities/Operations	Action Alternative 1 (Derived from VP7)	Action Alternative 2 (Includes Parts of VP5 and VP6)
Recreation		
Facilities Consistent with WSIP Application	Phased implementation consistent with WSIP application. Two primary areas with infrastructure: 1. Peninsula Hills Area 2. Stone Corral Creek One day-use boat ramp w/parking located on the west side of the reservoir and south of the bridge	Same as Alternative 1
Transportation/Circulation		
Provide Route to West Side of Reservoir	Bridge and road to residents at the south end of reservoir (does not go to Lodoga)	Road to south residents continues to Lodoga; no bridge
Other Maintenance and Access Roads	Sites Reservoir Road Modifications Plan – In development	Similar to Alternative 1*

* May have minor differences due to reservoir size

Sites

Topic: **Authority Board Agenda Item 3.4** **2020 June 24**

Subject: **Approach for Discussions with the California Department of Water Resources**

Requested Action:

Review and comment on the approach for discussions with the California Department of Water Resources (DWR) on a Sites Coordinated Operations Agreement (Sites COA).

Detailed Description/Background:

Staff is reinitiating technical discussions with DWR regarding a Sites COA. The Sites COA is expected to address the following key items:

1. Coordination of Sites water deliveries with DWR's operation of the State Water Project.
2. Sites water accounting in the context of the Coordinated Operations Agreement for the Central Valley Project (CVP) and State Water Project (SWP).
3. Conveyance and operational losses.
4. Exchanges and transfers from Sites-participants to non-Sites SWP contractors.
5. Water rights and point of delivery considerations.

Key items may be modified, and additional items may be added as discussions progress.

Discussions have been technical in nature to date, focusing on technical analyses that would benefit both Sites and DWR in better understanding the key items above. Eventually, the discussions will involve negotiations of agreement terms.

Staff and DWR team members have developed the attached schedule of key activities. Principles of agreement on the key items identified above are scheduled for completion in June 2021. Completion of a full agreement would progress after the principles of agreement, but execution may need to wait until the Project California Environmental Quality Act process is completed in spring 2022. The schedule identifies key points for check-ins with DWR's Director and Sites leadership to ensure that activities are progressing, and issues are being addressed in a timely manner.

Staff has been and will continue coordinating our efforts on a COA with the Sites participants that are also SWP contractors. There are several SWP contract matters that will need to be resolved between this group and DWR concurrent

Draft_0027789

with the development of the Sites COA. WE do not anticipate any conflicts, but It is important that the RC members be kept apprised of these side by side and ongoing discussions.

Prior Action:

None.

Fiscal Impact/Funding Source:

The technical work and negotiations efforts are included in the Amendment 1B and 2 work plans.

Staff Contact:

Ali Forsythe

Attachments:

Attachment A - Sites / DWR Term Sheet Development Schedule.

Sites Reservoir Project Schedule

Milestone	Scheduled Date
For EIR Analysis • Establish modeled Release and Diversion Criteria • Define Project Baseline and Alternatives	June 2020
Perform Operations Analysis	Jun 2020-Nov 2020
Re-analyze Public Benefits	Nov 2020- Apr 2021
Complete USBR Final Federal Feasibility Report	Dec 2020
Complete Negotiation of Key Terms for Coordinated Operations with CVP and SWP	June 2021
Submit State Prop 1 Feasibility Report	July2021
Release Recirculated Draft EIR for 60-day public review	July 2021
Complete Plan of Finance and Allocation of Benefits and Costs	Aug 2021
Receive confirmation of local agency participation for Prop 1	Oct 2021
CWC determination of Prop 1 Construction Funds Eligibility	Dec 2021
Submit Water Rights Application to SWRCB	Jan 2022
Issue Final EIR	Mar 2022

DWR/Sites Term Sheet Development Schedule

(Jointly involve Ted Craddock and DWR Legal (David Sandino) as we go, no surprises)

Milestone	Date
Meet with Sites/SWP ktrs after Reservoir Cmte to outline approach	June 18, 2020
Meet to Review Operations Options with DWR/ Sites team/Sites SWP ktrs - Project water (DWR has to own, operate, maintain an asset, can be a service contract) - Operational exchange (similar to arrangement with CVP) - Article 55 (lowest pumping priority)	July 15, 2020 (date tdb)
DWR Director Check-In	**Aug 2020**
Conduct Operations Analysis to prove: - Can water be delivered - No harm - SWP flexibility - Sites can be on Statement of charges (tbd – who performs modeling)	Aug-Nov 2020 (4 months)
DWR Director Check-in	**Nov 2020**
Determine final coordinated operations model results	Nov 2020
Establish initial term sheet reflecting final model results and initiate negotiation of commercial terms	Dec 2020
DWR Director Check-in	**Mar 2021**
Final COA term sheet complete	June 2021

version date: May 15, 2020

Sites

Topic: **Authority Board Agenda Item 3.5** **2020 June 24**

Subject: **Colusa Basin Drain & Dunnigan Pipeline Alignment**

Requested Action:

Receive status update on the Colusa Basin Drain (CBD) evaluation and Dunnigan Pipeline (DP) alignment review.

Detailed Description/Background:

The use of the CBD and DP as the main conveyance for releases to the Sacramento River is a new configuration of the project as a result of the Value Planning. Good progress has been made on further evaluating the feasibility of these project elements.

The Dunnigan Pipeline alignment analysis is progressing well. No fatal flaws have been identified to date. The consultant team is currently refining the alignment to reduce impacts to properties and landowner operations, while minimizing environmental impacts to Bird Creek. Initial outreach and coordination have occurred with landowners and the land use authorities. So far, there have been significant issues identified from these groups.

An important hydraulic study of the CBD has been initiated. This analysis will confirm whether releases into the CBD are viable. The team is optimistic that conveyance concerns and potential impacts to adjacent properties can be avoided or mitigated. We will be able to determine go/no-go on releases to the CBD by August 2020. If use of the CBD is found to be infeasible, an alternative extending the Dunnigan Pipeline alignment to the river still exists. This extension will have additional environmental and real estate impacts but is considered a feasible alternative.

Prior Action:

None.

Fiscal Impact/Funding Source:

The feasibility analysis is being done within the Amendment 1B work plan. Adjustments to the work plan were necessary to accomplish the hydraulic modeling which is estimated to cost approximately $39,000.

Staff Contact:

Henry Luu

Attachments:

None.

Draft_0027792

Sites

Topic: **Authority Board Agenda Item 3.6** **2020 June 24**

Subject: **Regulatory Agency Technical Working Group**

Requested Action:

Receive status update on the approach for Regulatory Agency Technical Working Group.

Detailed Description/Background:

Staff have reinitiated efforts on the development and submittal of key permits for the Sites Project as reflected in the Amendment 1B Work Plan. As part of this effort, the team has developed an approach to facilitate regular communication and coordination with state, federal and local agencies with jurisdiction over all or portions of the Project. The attached, *Regulatory Agency Technical Working Groups Approach* document, details this effort. This approach is also in response to Action 2.4 in the November 2019 Organizational Assessment. The activities of the regulatory coordination will be reported to the Environmental Planning and Permitting Workgroup.

Prior Action:

None.

Fiscal Impact/Funding Source:

Conducting regulatory coordination is within the scope of work for the Amendment 1B and 2 work plans.

Staff Contact:

Ali Forsythe

Attachments:

Attachment A - Regulatory Agency Technical Working Groups Approach.

Regulatory Agency Technical Working Groups Approach

The following two technical working groups will be established to facilitate regular communication and coordination with state, federal and local agencies during the permitting efforts for the Sites Reservoir Project. These groups are expected to be in place thru Amendment 2 efforts (e.g., the end of 2021) and likely into Phase 3 efforts (post 2021). Additional technical working groups may be added as permitting activities progress depending on need. It is important to note that these groups are not intended to replace or supersede focused discussions and meetings with specific agencies, but rather facilitate a common understanding and basis of Project-wide and Fisheries/Operations knowledge and dialogue. These would be staff and consultant run meetings. Reservoir Committee and Authority Board members could attend to observe if desired. Results of the meetings will be reported out to the appropriate Reservoir Committee and Authority Board workgroups.

Group 1: Interagency General Update and Coordination Meetings

- **Purpose**: Quarterly meetings to efficiently update and coordinate with all and state, federal and local regulatory and/or partnering agencies. Items to be include in the meeting agenda would include general updates on project status, design efforts, status of permit development, status of working thru any key considerations that may affect all permits and upcoming items. Attendees would also be asked to update on permit processing status (if a permit application has been submitted and permit development is in process), update on any changes in their organizations, law or regulation that may affect Sites and identify any information needs or concerns they may have. Specific items, information requests or concerns would be identified, and a process developed for resolution with the relevant agencies and the Sites team.

- **Potential Attendees**: This meeting would be open to any agency that had an interest. Potential attendees would be broad and could include the following:
  - United States Fish and Wildlife Service (USFWS)
  - Bureau of Reclamation (Reclamation)
  - Bureau of Indian Affairs (BIA)
  - National Marine Fisheries Service (NMFS)
  - Natural Resources Conservation Service (NRCS)
  - United States Army Corps of Engineers (USACE)
  - United States Environmental Protection Agency (USEPA)
  - Western Area Power Administration (WAPA)
  - California Department of Fish and Wildlife (CDFW)
  - California Department of Transportation (Caltrans)

- California Department of Water Resources (DWR)
- California Office of Historic Preservation - State Historic Preservation Officer (SHPO)
- California State Water Resources Control Board
- Central Valley Regional Water Quality Control Board
- Central Valley Flood Protection Board
- County of Colusa
- County of Glenn
- County of Yolo

Group 2: Fishery and Operations Technical Meetings

- **Purpose**: Focused, as needed, fishery and operations meetings to review and discuss the modeling approach, analysis approach, operational criteria and the resulting effects to species of the Project. These meetings are intended to stimulate collaboration and early input into the fisheries and operational components and analysis and are anticipated to include technical, detailed discussion of topics.

- **Potential Attendees**: Potential attendees could include the following:
  - United States Fish and Wildlife Service (USFWS)
  - Bureau of Reclamation (Reclamation)
  - National Marine Fisheries Service (NMFS)
  - California Department of Fish and Wildlife (CDFW)
  - Additional agencies, depending on topics and authority

Topic: **Sites Reservoir Project, Phase 2**

Subject: **Monthly Status Report** Report Period: **2020 May**

Monthly Status Summary:

The development of the Sites Project continues to make progress in the critical areas including the following activities:

WSIP Funding:

- A six-agency letter was submitted to the CWC requesting consideration of modifications to the Prop 1 WSIP implementation in light of COVID economic impacts. Commission Staff will reach out to each project to explore options for addressing cash flow concerns.

WIIN Act Funding:

- See "Coordination with Reclamation" section below.

USDA Funding:

- No update.

Engineering:

- Initiated feasibility analysis and design of project alternatives.
- Coordinated data needs to support preparation of the Project Description.

Coordination with Reclamation:

- Continued to work closely with Reclamation to provide the information needed to enter into a Financial Assistance Agreement for WIIN Act funding. Discussed the potential scope for $1 million of in-kind work.
- Received and reviewed Reclamation's final draft Feasibility Report. Provided comments related to integrating the Feasibility Study with the Value Planning Report and recommended Project.
- Continued to work with Reclamation planning and environmental teams on the National Environmental Policy Act approach, confirming the preparation of a Revised Environmental Impact Statement (EIS).

Environmental Planning and Permitting:

- Continued development of the project description for use in the Revised Environmental Impact Report (EIR) and the Biological Assessment.
- Continued to refine the EIR/EIS Work Plan submitted by ICF to identify technical work (environmental, operations and engineering) that will be needed to support the analysis performed for the Revised Draft EIR/EIS under Amendment 2.
- Updating CEQA project objectives to support alternatives selected for Revised

Draft EIR/EIS analysis.

- Produced memorandum on Place of Use for the Project's water right application.
- Developed approach to permit and agreement negotiations for Environmental Planning, Permitting and Operations.
- Developed approach for Regulatory Agency Technical Working Group.
- Established data exchange, water quality and geotechnical small technical working groups to facilitate development of those project components for use in the Revised EIR/EIS, Biological Assessment and Sites Feasibility Report.
- Continued technical discussions and planning activities for state and federal ESA and Clean Water Act permitting.
- Conducted meeting with CDFW Department Director regarding the value planning and "rightsized" project. There will be follow-up with CDFW staff next month to determine a coordinated schedule for the permitting work.

Operations:

- Developed negotiations approach for working on operational agreements with the Department of Water Resources and the Bureau of Reclamation.
- Reviewed options for the modeling baseline and developed a technical memorandum outlining pros and cons of various baselines as well a recommendation for review with the Ad Hoc Operations and Engineering Workgroup.
- Progressed the development of operations criteria that will be built into the models for use in the Revised EIR/EIS and Biological Assessment. Criteria in development include the modeling of Shasta exchanges, diversion criteria, water demand, and coordination with the State Water Project.

Stakeholder Engagement, Public Outreach & Real Estate:

- Conducted a "virtual" Capitol Hill Legislative Day to brief federal elected officials, legislative staff, and committee staff on the new "rightsized" project and the project's near-term activities.
- Continued engagement in outreach efforts with landowners, local community members, state and federal elected officials, non-governmental organizations and coalitions of regional and statewide organizations to introduce the Value Planning Report and the new "rightsized" project.
- Responded to landowner requests for project information, facilitated coordination activities with local government agencies and organizations and planned for future landowner, stakeholders and general public outreach activities and events.

Program Management & Administration:

- Developed Amendment 2 Task Order templates and provided guidance to service area providers.
- Developed a two-step cash call process and presented priority system at Reservoir Committee and Authority Board Meetings.

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JERRY BROWN, EXECUTIVE DIRECTOR
925.260.7417

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members *(NON-VOTING)*

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

Notice: Pursuant to Executive Orders N-25-20 and N-33-20, issued by Governor Newsom on March 12, 2020, and guidance by the California Department of Public Health dated March 11, 2020, this meeting will be conducted by teleconference. The public may attend the meeting and offer public comments by phone, using the call-in number above. Members of the Committee will participate by teleconference from other locations. In addition, the public and members of the Committee may, at their discretion, attend the meeting at the Sites Project Authority's Maxwell Office located at 122 Old Highway 99 West, Maxwell, CA 95955. However, it is requested that everyone wear facial coverings and the room will be arranged to achieve physical distancing standards, and capacity at this site is therefore limited and will not be sufficient to accommodate more than a few members of the public.

July 22, 2020 ***1:30 p.m.***
Sites Project Authority
Agenda

Teleconference: **1-408-418-9388** Code: **146 087 0032** WebEx Link

Welcome to a meeting of the Sites Joint Powers Authority. If you are scheduled to address the Board, please state your full name for the record. Regularly numbered items may be considered at any time during the meeting. All items are listed in accordance with the Ralph M. Brown Act. We invite all members of the public to attend.

ROLL CALL & CALL TO ORDER:

- Introductions.
- Pledge of Allegiance.
- Approval of July 22, 2020 Agenda.
- Announcement of Closed Session.
- Period for Public Comment.

1. Consent Agenda: Approximate start time 1:40 pm

The following items have been reviewed by the Executive Director. To his knowledge, there is no opposition to the action. The items can be acted on in one consolidated motion as recommended or may be removed from the Consent Calendar and separately considered at the request of any person.

1.1 Consider approval of the June 24, 2020 Phase 2 Authority Board Meeting Minutes.

1.2 Consider acceptance of the Sites Project Authority Treasurer's Report as presented in Attachment 1.2A. **(Attachment A)**

1.3 Consider approval of the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B. **(Attachments A, B & C)**

1.4 Consider approval of Resolution No. 2020-03, providing all of the duties, authorities and responsibilities to the Authority's Executive Director that were previously delegated to the Authority's General Manager. **(Attachment A)**

1.5 Consider approval of the revised changes to the Authority Consulting Services Agreement. **(Attachment A)**

1.6 Consider approval of the Coordination, Legislative Outreach, Land Management and Joint Budget and Finance Workgroups Charter Document updates. **(Attachment A & B)**

1.7 Consider approval of an amendment to Brown and Caldwell's (Project Controls) contract and task order scope of work and budget. **(Attachment A)**

2. Action Items: Approximate start time 1:45 pm

2.1 Consider acceptance the Financial Auditor's Report. **(Attachments A & B)**

2.2 Consider approval of release of a Request for Qualifications to initiate the procurement of legal services to support the acquisition of the water rights permits and approvals needed to support the project. **(Attachment A)**

3. Discussion and Information Items: Approximate start time 2:15 pm

3.1 Review and comment on the conditionally approved August 2019 Water Storage Policy and consideration of updates to align with Value Planning. Recognize the storage policy serves as the foundational document to 2021 key milestones of creating a Plan of Finance and Operations Plan and refer consideration of updates to the ad hoc Engineering and Operations Workgroup. **(Attachment A)**

3.2 Review and comment on the approach being taken for the modeling baseline for the EIR/EIS analysis.

3.3 Review and comment on the Credit Reimbursement Policy Revisions. **(Attachments A & B)**

3.4 Receive status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation. **(Attachment A)**

3.5 Review and comment on the July 2020 Quarterly Update of the plan and schedule for addressing the Organizational Assessment findings and recommendations. **(Attachment A)**

3.6 Review and comment on the Strategic Planning Session Draft Agenda. **(Attachment A)**

4. Reports: Approximate start time 3:00 pm

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

4.1.2 Committee Chairpersons' Report:

This time is set aside to allow the Committee Chairpersons' an opportunity to disclose/discuss items related to the Sites Project.

4.1.3 Authority Board Participant Reports:

This time is set aside to allow Directors or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

4.2 Executive Director's Report:

- Sites Project's monthly status report. **(Attachment 4-2 A)**
- Reservoir Committee update.

5. Closed Session

5.1 Executive Director's Three-Month Performance Review (Govt. Code§ 54954.5(e)).

6. Future Meetings and Schedules: Approximate start time 3:40 pm

6.1 Suggested Future Agenda Items.

6.2 Upcoming meetings:

STRATEGIC PLANNING SESSION

AUGUST 13, 2020
TBD
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

RESERVOIR COMMITTEE

FRIDAY, AUGUST 21, 2020 9:00 AM
Sites Project Office, 122 Old Highway 99W Maxwell, CA 95955
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

AUTHORITY BOARD

WEDNESDAY, AUGUST 26, 2020 1:30PM
Sites Project Office
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

ADJOURN

PERIOD OF PUBLIC COMMENT: Any person may speak about any subject of concern, provided it is within the jurisdiction of the Directors and is not already on today's agenda. The total amount of time allotted for receiving such public communication shall be limited to a total of 15 minutes per issue and each individual or group will be limited to no more than 5 minutes each within the 15 minutes allocated per issue. ***Note:*** *No action shall be taken on comments made under this comment period.*

ADA COMPLIANCE: Upon request, agendas will be made available in alternative formats to accommodate persons with disabilities. In addition, any person with a disability who requires a modification or accommodation to participate or attend this meeting may request necessary accommodation. Please make your request to the Board Clerk, specifying your disability, the format in which you would like to receive this Agenda and any other accommodation required no later than 24 hours prior to the start of the meeting.

All supporting documentation is available for public inspection and review in the Sites Project Authority office located at 122 Old Highway 99 West Maxwell, CA 95955 during regular business hours 8:30 a.m. to 5:00 p.m., Monday through Friday.

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JERRY BROWN, EXECUTIVE DIRECTOR
925.260.7417

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members ***(NON-VOTING)***

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

June 24, 2020 ***1:30 p.m.***
Sites Project Authority
Minutes

CALL TO ORDER & ROLL CALL:

Chairman Durst called the Sites Project Authority Board of Directors meeting to order at the hour of 1:30 p.m., followed by the Pledge of Allegiance.

INTRODUCTIONS:

Chairman Durst asked those present to introduce themselves. (**Attachment A**)

AGENDA APPROVAL:

It was moved by Director Dennis, seconded by Director Parker to approve the June 24, 2020 Sites Project Authority Agenda. Motion carried: All Directors present voted yes.

PERIOD OF PUBLIC COMMENT:

Chairman Durst called for public comment. Hearing none, he closed the period of public comment.

1. **CONSENT AGENDA:** It was moved by Director Harris, seconded by Director Dennis to approve Consent Agenda Item Numbers 1.1 through 1.3 as follows:

1.1 Approval of the May 21, 2020 Phase 2 Reservoir Committee Meeting Minutes.

1.2 Acceptance of the Sites Project Authority Treasurer's Report as presented in Attachment 1.2A.

1.3 Approval of the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B.

Motion carried: All Directors present voted yes.

2. Action Items:

2.1 Consider acceptance of the 2020-2021 Sites Project State Agency Legislative Activity Priorities.

Mr. Spesert provided an overview of the 2020-2021 Sites Project State Agency Legislative Activities Priorities, stating these priorities would be the Committee's and Team's focus through December 2021. He added any adjustments/refinements would be presented to the Reservoir Committee and Authority Board prior to being incorporated, with updates as needed. Brief discussion followed.

Action: It moved by Director Evans, seconded by Director Harris to accept the 2020-2021 Sites Project State Agency Legislative Activity Priorities. Motion carried: All Directors present voted yes.

2.2 Consider approval of the Authority's negotiation approach for permits and operating agreements.

Ms. Forsythe provided an overview of the Authority's negotiation approach for permits and operating agreements with state and federal agencies.

Chairman Durst stated the Executive Director would be given the authority as the lead in these negotiations, with two teams working under him, the Workgroup which would deal with technical issues and the Negotiating Team who would evolve some of the principles. Brief discussion followed.

Action: It was moved by Director Sutton, seconded by Director Dennis to approve the Authority's negotiation approach for permits and operating agreements. Motion carried: All Directors present voted yes.

3. Discussion and Information Items:

3.1 Receive status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation. **(Attachment A, B & C)**

Mr. Robinette provided an update regarding the following:

- Preliminary Participation Levels.
- Outreach and Rebalancing.
- Schedule.
- Cash Call Timing.
- Process for a participant securing a position in the participation queue and steps involved.

Mr. Robinette also spoke to a request from Colusa County regarding an alternate payment plan based on their unique situation. He stated the alternate payment plan proposed by the County was analyzed by the Project team and the Team determined that it was prudent and reasonable to accept the alternate payment plan and made a finding of equivalency for the following reasons:

- The same total dollars are to be paid and there is no lesser commitment as compared to any of the other participating members.
- The timing of the alternate payments is such that on a cash flow basis there is no redirected impacts on other participating members.
- The administrative burden of the alternate plan is offset by the proposed additional year of rent relief for the Authority's use of the Maxwell office building which is owned by the County.

Discussion followed with no action taken.

3.2 Receive status update on draft 2020 Final Federal Feasibility Report.

Mr. Brown provided an update on the draft 2020 Final Federal Feasibility (FFFR) Report, stating the approved 2020-21 Sites Federal Government Affairs Priorities includes completion of the FFFR to qualify for federal funding and serves as a basis for the State's feasibility analysis required for WSIP funding. Mr. Brown spoke to the following:

- Potential benefits for north and south of delta, new supplies for incremental level 4 refuges and improvement for migrating fish in north of delta rivers and streams.
- WIIN Act construction funds.
- Differences between the draft 2020 FFFR and VP7 (the Authority's preferred alternative).
- "Right sized" Project.
- WIIN Act deadlines.
- Benefit cost ratio.
- Key WIIN Act criteria (determination of feasibility by December 2020 and initiation of construction by 2022.
- Feasibility Report Review Process.

Mr. Brown stated the draft 2020 FFR is currently going through policy review and will be sent to Washington DC in July where it will undergo numerous reviews by OMB and the Secretary of Interior who will then submit the final determination to Congress. Discussion followed with no action taken.

3.3 Review and Comment on the objectives and alternatives for the Revised Environmental Impact Report/Environmental Impact Statement to focus efforts in developing a more complete project description on schedule. **(Attachment A & B)**

Ms. Forsythe provided an overview on the objectives and alternatives for the Revised Environmental Impact Report/Environmental Impact Statement. She spoke to the following:

Overview:

- Work on the preparation of the Revised EIR/EIS, updates to the Biological Assessment and design efforts.
- Key first step is the development of a Revised Project Description:
    - Serves as the foundation of the Revised EIR/EIS.
    - Preferred Project is foundation of the Biological Assessment and Sites Feasibility Report.
- Soliciting early input to reduce rework and maintain schedule.

Building Block to September Decision:

- Environmental Planning and Permitting Key Milestones:
    - September, RC and AB to review and approve.
        - More complete project description.
        - Recommendation on Preferred Project.
- Necessity of staying on schedule.

Objectives – Background:

- CEQA Guidelines – require that a project description contain a clear statement of the project objectives, including the underlying purpose of the Project.
- Staff proposing revisions to objectives to:
    - Better reflect goals of Reservoir Committee and Authority Board as described in the Value Planning Report and messaging documents.
    - Add clarity and specificity to improve understanding of the foundation of the Project.
- Improve environmental, agricultural and municipal water supply reliability in a cost-effective manner for Project Participants.
- Improve cold water pool management in Shasta Reservoir through coordination and exchanges with Reclamation to benefit anadromous fish.
- Enhance the Delta ecosystem by providing water to convey food resources from the floodplain to the Delta thereby improving the food chain and quality of the Delta's estuarine habitat for the benefit of pelagic fishes in the north Delta (e.g. Cache Slough.)

- Provide improvements in state-wide water supply reliability to enhance opportunities for fish protection, habitat management and other environmental needs.
- Provide local and regional amenities, including development of recreational facilities, reduction of local flood damage and maintaining community connectivity through roadway modifications.

Alternatives – Background:

- CEQA Guidelines require that an EIR analyze a reasonable range of alternatives that would:
  - Satisfy and attain most of the basic objectives of the project.
  - Avoid or substantially lessen any of the significant effects of the project.
- Staff proposing revisions to alternatives to better align with Value Planning Report.
- Alternatives – Key Components and Differences of the Facilities/Operations, Action Alternative 1 (Derived from VP7 and Action Alternative 2 (Includes Parts of VP5 and VP6).
- Next Steps:
  - Continue development and refinement of more complete project description, including:
    - Objectives.
    - Action Alternatives.
    - No Action Alternatives.
    - Recommended Preferred Project.
- Return to Reservoir Committee and Authority Board in September with:
  - More complete project description.
  - Recommend Preferred Project for the purpose of the CEQA analysis.
- Coordinate with Reclamation and Sites legal counsel for input.

Discussion followed regarding Alternative 1 and Alternative (Conveyance Release/Dunnigan Release and Route to West side of Reservoir) with no action taken.

3.4 Review and comment on the approach for discussions with the California Department of Water Resources on a Sites Coordinated Operations Agreement. **(Attachment A)**

Mr. Brown stated Staff is reinitiating technical discussion with DWR on a Sites Coordinated Operations Agreement (COA). He stated Sites COA would address the following key items:

1. Coordination of Sites water deliveries with DWR's operation of the State Water Project.
2. Sites water accounting in the context of the COA) for CVP and SWP.
3. Conveyance and operational losses.
4. Exchanges and transfers from Sites-participants to non-Sites SWP contractors.
5. Water rights and point of delivery considerations.

Brief discussion followed with no action taken.

3.5 Receive status update on the Colusa Basin Drain (CBD) evaluation and Dunnigan Pipeline alignment review.

Mr. Luu provided an update on the CBD evaluation and the Dunnigan Pipeline alignment. He stated the Dunnigan Pipeline alignment analysis is progressing well, with no fatal flaws having been identified to date. He stated further the consultant team is refining the alignment to reduce impacts to properties and landowner operations as well as minimizing environmental impacts to Bird Creek. He further stated a hydraulic study of the CBD has been initiated and the analysis would confirm whether releases into the CBD are viable. He said a determination of a go/no-go on releases to the CBD would be made by August 2020. and should the use of the CBD not be feasible an alternative extending the Dunnigan Pipeline alignment to the river would still exist.

Brief discussion followed with no action taken.

3.6 Receive status update on the approach for Regulatory Agency Technical Working Group. **(Attachment A)**

Ms. Forsythe stated staff have reinitiated efforts on the development and submittal of key permits for the Sites Project as reflected in the Amendment 1B Work Plan. She stated two technical working groups would be established to facilitate regular communication and coordination with state, federal and local agencies with jurisdiction over all or portions of the Project.

She stated Group 1: Interagency General Update would meet on a quarterly basis to update and coordinate with all state, federal and local regulatory and/or partnering agencies; Group 2: Fishery and Operations Technical Meetings would focus, as needed on fishery and operations meetings to review and discuss the modeling approach, analysis approach, operational criteria and the resulting effects to species of the Project. She also spoke to Potential Attendees of each of the Technical Working Groups.

Mr. Spesert suggested including local agencies such as the Fire Department, Sheriff's Department, School Districts, etc. Brief discussion followed with no action taken.

4. Reports:

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

Chairman Durst provided a brief update on various matters related to the Sites Project. He also stated Chairman Bettner, Executive Director Brown and he meet on a regular monthly basis.

4.1.2 Workgroup Chairpersons' Report:

Land Management

Director Evans stated a kickoff meeting was held with AECOM and Glenn-Colusa regarding road issues.

Budget & Finance

Ms. Traynham provided and update on the following:

- Audit Report (completed and signed).
- Revised Credit Reimbursement Policy.

Legislative & Outreach

Director Sutton provided a brief update regarding Legislative and Outreach matters.

4.1.3 Reservoir Committee Participant Reports:

None.

4.2 Executive Director's Reports:

- Monthly status report. **(Attachment A)**
- Updated Message Platform.
- Update on concerns raised by M & I Agencies re: 2020 Urban Water Management Plan and added requirement of making findings of reducing reliance on Delta supplies.
- Work conduct of Authority Agents and Consultants re: needs for and responsibilities of conduct within the workplace.
- Federal and State Budgets:
    - WIIN Act Appropriations for fiscal year 2021 announced.
    - Bond funding made it through as related to Sites Project.
- Strategic Planning Session update planned for end of July 2020.

- Communications:
    - Revised Message Platform has been added to the Home Board Package.
    - Town Hall meetings to be held on July 14 and July 15, 2020.
    - Landowner Newsletter re: right sized project has gone out.

Ms. Katz provided an update on outreach to the community and entities interested in the Town Hall meetings.

5. Future Meetings and Schedules:

5.1 Suggested Future Agenda Items.

Mr. Brown provided a brief update of matters to be heard on future Agendas. Brief discussion followed with no action taken.

5.2 Upcoming meetings:

RESERVOIR COMMITTEE

THURSDAY, JULY 16, 2020 1:00 PM
Sites Project Office, 122 Old Highway 99W Maxwell, CA 95955
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

AUTHORITY BOARD

WEDNESDAY, JULY 22, 2020 1:30PM
Sites Project Office, 122 Old Highway 99W Maxwell, CA 95955
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

Chairman Durst adjourned the meeting at 3:35 p.m.

_____ _____
Fritz Durst, Chairman Yolanda Tirado, Board Clerk

Topic: **Sites Reservoir Project, Phase 1** **2020 June 24**

Subject: **Authority Board Meeting Attendance** **1:30 – 4:00 PM**

Current Voting Authority Board Participants:

Participant	✓ Representative	✓ Alternate	Others
Colusa County	☒ Gary Evans	☒ Mike Azevedo	
Colusa County Water District	☐ Joe Marsh	☐ Doug Griffen	
Glenn County	☒ Leigh McDaniel	☐ John Viegas	
Glenn-Colusa Irrigation District	☒ Logan Dennis	☐ Don Bransford	
Reclamation District 108	☒ Fritz Durst	☐ Sean Doherty	
City of Roseville / Placer County Water Agency	☒ Bruce Houdesheldt	☐ Joshua Alpine	
Tehama-Colusa Canal Authority	☒ Jeff Sutton	☐ Halbert Charter	
City of Sacramento / Sacramento County Water Agency	☒ Jeff Harris	☐ Brett Ewart ☐ Ann Sanger	
Westside Water District	☒ Doug Parker	☐ Zach Dennis	

Associate Members (2 non-voting):

Participant	✓ Representative	✓ Alternate	Others
TC-4	☒ Jamie Traynham	☐ Tom Charter ☐ Jason Holley	
Western Canal Water District	☐ Greg Johnson ☒ Ted Trimble	☐ Zach Dennis ☐ Dan Ruiz	

Non-Voting Committee Participants (2):

Participant	✓ Representative/Other	✓ Alternate/Other	
Dept of Water Resources	☐ Rob Cooke	☐ David Sandino	
	☐ Ajay Goyal	☐ Jim Wieking	☐ Dave Arrate
Bureau of Reclamation	☐ Richard Welsh	☒ Don Bader	☐ Chris Duke
	☒ Ryan Davis ☒ Natalie Wolder	☐ Derya Sumer ☐ Mike Dietl ☐ David Van Rijn	☐ Mike Mosley ☐ Shana Kaplan

Authority, Non-Signatory (6):

Participant	✓ *Representative*	✓ *Alternate*	
PCWA	☒ Ed Horton	☐ Ben Barker Darin Reintjes	
Roseville	☐ Sean Bigley	☐ Trevor Joseph ☐ Jason Marks	
Sacramento County WA	☒ Kerry Schmitz	☐ Michael Peterson ☐ William Busath	☒ Michael Grinstead ☒ Dave Underwood

Staff:

✓ *Name*	*Representing*
☒ Brown, Jerry	Sites Project Authority
☒ Forsythe, Ali	Sites Project Authority
☒ Spesert, Kevin	Sites Project Authority

✓ *Name*	*Representing*
☒ Trapasso, Joe	Sites Project Authority
☒ Tirado, Yolanda	Sites Project Authority

Consultants:

✓ *Name*	*Representing*
☐ Alexander, Jeriann	Fugro
☐ Boling, Robert	HDR
☐ Briard, Monique	ICF
☐ Floyd, Kim	Floyd
☐ Herrin, Jeff	AECOM
☒ Heydinger, Erin	HDR
☒ Katz, Sara	Katz & Associates

✓ *Name*	*Representing*
☒ Kuney, Scott	Young Wooldridge
☒ Luu, Henry	HDR
☒ Robinette, JP	Brown & Caldwell
☐ Spranza, John	HDR
☐ Tull, Rob	Ch2m
☒ Warner Herson, Laurie	Phenix

Other Attendees: *(Check box to have email address added to the distribution list)*

Name	*Representing*	*Contact (Phone & E-mail)*
Juleah Cordi	Office of Assemblymember Gallagher	
Conner McDonald	CMD West	
Cathy Westcot	HDR	
Grace Lui		

Sites

Topic: **Authority Board Agenda Item 1.2** **2020 July 22**

Subject: **Treasurer's Report**

Requested Action:

Consider acceptance of the Sites Project Authority Treasurer's Report as presented in Attachment 1-2A.

Detailed Description/Background:

Attachment 1-2A incorporates financial information through June 30, 2020.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: June 2020 Treasurer's Report.

SITES JOINT POWERS AUTHORITY
TREASURER'S REPORT
June 30, 2020

Savings Account-River City Bank				
Beginning Balance	06/01/2020		**$ 2,956,636.65**	
Transfer to General Checking to max benefit			**$ (218,086.79)**	
Interest Earned			**$ 2,232.05**	
River City Bank ICS Savings Account Balance	**06/30/2020**			**$ 2,740,781.91**
General Operating Checking Account-River City Bank				
Beginning Balance	06/01/2020		**$ 1,149,966.02**	
RECEIVED:				
Refund from Sac Public Library-Meeting Expense		$ 450.00		
Interest Earned		$ 945.78		
TOTAL RECEIVED			**$ 1,395.78**	
DISBURSED:				
Bank Fees		$ (157.77)		
Administrative Support		$ (4,189.50)		
Computer Service		$ (1,350.62)		
General Manager		$ (28,551.26)		
Accounting Fees		$ (1,765.00)		
Office Expenses		$ (723.07)		
Professional Fees-Legislative/Regulatory		$ (8,000.00)		
Professional Fees-Communication		$ (35,424.99)		
Professional Fees-Organizatioal Assessment				
Professional Fees-Fed Gov't Affairs Support		$ (15,000.00)		
Professional Fees-Legal Services		$ (5,707.14)		
TOTAL DISBURSED			**$ (100,869.35)**	
Transfer from Savings to max benefit			**$ 218,086.79**	
RCB General Checking Account Balance	**06/30/2020**			**$ 1,268,579.24**
State (WSIP) Fund Checking Account-River City Bank				
Beginning Balance	06/01/2020		**$ 5,744,010.94**	
DISBURSED:				
Bank Wire Fees				
Annual Audit Fees				
Bus/Comm Manager		$ (21,320.00)		
Program Op Manager		$ (28,000.00)		
Professional Fees-Engineering		$ (8,112.77)		
Professional Fees-Cost Development		$ (1,374.00)		
Professional Fees-Bond Counsel		$ (3,970.00)		
Professional Fees-Executive Director Services		$ (33,750.00)		
Professional Fees-Project Controls		$ (116,764.80)		
Professional Fees-Operations/SIM Modeling		$ (97,617.53)		
Professional Fees-EPP Manager		$ (30,000.00)		
Professional Fees-Geotech Eng		$ (11,875.58)		
Professional Fees-Project Integration		$ (168,516.59)		
Professional Fees-Reservoir Operations		$ (3,185.00)		
Professional Fees-Special Legal		$ (971.10)		
Professional Fees-Env/Biological Serv & Permit		$ (96,395.12)		
TOTAL DISBURSED			**$ (621,852.49)**	
RCB State Fund Checking Account Balance	**06/30/2020**			**$ 5,122,158.45**
TOTAL CASH ON HAND	**June 30, 2020**			**$ 9,131,519.60**

Sites Project Joint Powers Authority
Transactions by Account
As of June 30, 2020

Type	Date	Num	Name	Memo	Debit	Credit	Balance
River City ICS Savings							2,956,636.65
General Journal	06/24/2020	6-1C		transfer to max ICS		218,086.79	2,738,549.86
General Journal	06/30/2020	6-3C		rec int fme	2,232.05		2,740,781.91
Total River City ICS Savings					2,232.05	218,086.79	2,740,781.91
River City Operating Account							1,149,966.02
Bill Pmt -Check	06/09/2020	2154	Adept Solutions Inc			1,350.62	1,148,615.40
Bill Pmt -Check	06/09/2020	2155	Dunn Consulting			8,000.00	1,140,615.40
Bill Pmt -Check	06/09/2020	2156	J.C. Watson, Inc			28,551.26	1,112,064.14
Bill Pmt -Check	06/09/2020	2157	Katz and Associates, Inc			35,424.99	1,076,639.15
Bill Pmt -Check	06/09/2020	2158	KCoe Isom, LLP			1,765.00	1,074,874.15
Bill Pmt -Check	06/09/2020	2159	M.R. Cleaning Services			400.00	1,074,474.15
Bill Pmt -Check	06/09/2020	2160	Maximun Pest Control			65.00	1,074,409.15
Bill Pmt -Check	06/09/2020	2161	Mt Shasta Spring Water			30.15	1,074,379.00
Bill Pmt -Check	06/09/2020	2162	Recology Butte Colusa Counties			35.95	1,074,343.05
Bill Pmt -Check	06/09/2020	2163	Rush Personnel Services, Inc			4,189.50	1,070,153.55
Bill Pmt -Check	06/09/2020	2164	The Ferguson Group			15,000.00	1,055,153.55
Bill Pmt -Check	06/09/2020	2165	US Bank			191.97	1,054,961.58
Bill Pmt -Check	06/09/2020	2166	Young Wooldridge LLP			5,707.14	1,049,254.44
Deposit	06/24/2020			Sac Public Library	450.00		1,049,704.44
General Journal	06/24/2020	6-1C		transfer to max ICS	218,086.79		1,267,791.23
General Journal	06/30/2020	6-2C		Bank service fee		157.77	1,267,633.46
General Journal	06/30/2020	6-4C		rec int fme	945.78		1,268,579.24
Total River City Operating Account					219,482.57	100,869.35	1,268,579.24
River City State Fund Checking							5,744,010.94
Bill Pmt -Check	06/09/2020	1052	AECOM Technical Services, Inc.			8,112.77	5,735,898.17
Bill Pmt -Check	06/09/2020	1053	Brown and Caldwell			116,764.80	5,619,133.37
Bill Pmt -Check	06/09/2020	1054	CH2M Hill Engineers, Inc			97,617.53	5,521,515.84
Bill Pmt -Check	06/09/2020	1055	Forsythe Group LLC			30,000.00	5,491,515.84
Bill Pmt -Check	06/09/2020	1056	Fugro USA Land, Inc			11,875.58	5,479,640.26
Bill Pmt -Check	06/09/2020	1057	HDR Engineering Inc			168,516.59	5,311,123.67
Bill Pmt -Check	06/09/2020	1058	ICF Jones & Stokes Inc			96,395.12	5,214,728.55
Bill Pmt -Check	06/09/2020	1059	Larsen Wurzel & Associates, Inc			1,374.00	5,213,354.55
Bill Pmt -Check	06/09/2020	1060	MBK Engineers Inc			3,185.00	5,210,169.55
Bill Pmt -Check	06/09/2020	1061	Perkins Coie LLP			971.10	5,209,198.45
Bill Pmt -Check	06/09/2020	1062	Spesert Consulting			21,320.00	5,187,878.45
Bill Pmt -Check	06/09/2020	1063	Stradling Yocca Carlson & Rauth			3,970.00	5,183,908.45
Bill Pmt -Check	06/09/2020	1064	Trapasso Consulting Services			28,000.00	5,155,908.45
Bill Pmt -Check	06/09/2020	1065	Waterology Consulting			33,750.00	5,122,158.45
Total River City State Fund Checking					0.00	621,852.49	5,122,158.45
US Bank Checking							0.00
Total US Bank Checking							0.00
TOTAL					**221,714.62**	**940,808.63**	**9,131,519.60**

Draft_0027814

Sites Project Joint Powers Authority
Balance Sheet
As of June 30, 2020

	Jun 30, 20	
ASSETS		
Current Assets		
Checking/Savings		
River City ICS Savings		2,740,781.91
River City Operating Account		1,268,579.24
River City State Fund Checking		5,122,158.45
Total Checking/Savings		9,131,519.60
Accounts Receivable		
Accounts Rec Members		
Membership - Authority	68,000.00	
Membership - Reservoir	145,011.80	
Total Accounts Rec Members		213,011.80
Total Accounts Receivable		213,011.80
Total Current Assets		9,344,531.40
TOTAL ASSETS		**9,344,531.40**
LIABILITIES & EQUITY		
Liabilities		
Current Liabilities		
Accounts Payable		
Accounts Payable		1,106,991.94
Total Accounts Payable		1,106,991.94
Total Current Liabilities		1,106,991.94
Long Term Liabilities		
Participant Reimbursement Polic		6,064,652.67
Total Long Term Liabilities		6,064,652.67
Total Liabilities		7,171,644.61
Equity		
Net Assets		1,929,216.58
Retained Earnings		3,969,373.85
Net Income		-3,725,703.64
Total Equity		2,172,886.79
TOTAL LIABILITIES & EQUITY		**9,344,531.40**

Sites Project Joint Powers Authority
A/R Aging Summary
As of June 30, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
City of American Canyon	0.00	0.00	0.00	0.00	-4,988.20	-4,988.20
County of Colusa	150,000.00	0.00	0.00	0.00	0.00	150,000.00
County of Glenn	0.00	0.00	0.00	0.00	55,000.00	55,000.00
Yolo County Flood Control	0.00	0.00	0.00	0.00	13,000.00	13,000.00
TOTAL	**150,000.00**	**0.00**	**0.00**	**0.00**	**63,011.80**	**213,011.80**

Sites Project Joint Powers Authority
A/P Aging Summary
As of June 30, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
Adept Solutions Inc	1,011.86	0.00	0.00	0.00	0.00	1,011.86
AECOM Technical Services, Inc.	158,393.74	78,837.14	0.00	0.00	0.00	237,230.88
Brown and Caldwell	98,335.19	0.00	0.00	0.00	0.00	98,335.19
CH2M Hill Engineers, Inc	0.00	206,131.53	0.00	0.00	0.00	206,131.53
Darling H2O Consulting, Inc.	236.25	0.00	0.00	0.00	0.00	236.25
Dunn Consulting	8,000.00	0.00	0.00	0.00	0.00	8,000.00
Fechter & Company CPA	1,275.60	0.00	0.00	0.00	0.00	1,275.60
Forsythe Group LLC	30,251.85	0.00	0.00	0.00	0.00	30,251.85
Fugro USA Land, Inc	13,209.93	0.00	0.00	0.00	0.00	13,209.93
HDR Engineering Inc	202,638.30	0.00	0.00	0.00	0.00	202,638.30
ICF Jones & Stokes Inc	0.00	78,982.06	0.00	0.00	0.00	78,982.06
Katz and Associates, Inc	31,549.15	20,979.99	0.00	0.00	0.00	52,529.14
KCoe Isom, LLP	1,997.00	0.00	0.00	0.00	0.00	1,997.00
Larsen Wurzel & Associates, Inc	13,006.25	0.00	0.00	0.00	0.00	13,006.25
Maximun Pest Control	65.00	0.00	0.00	0.00	0.00	65.00
MBK Engineers Inc	0.00	7,785.75	0.00	0.00	0.00	7,785.75
Montague DeRose and Associates, LLC	0.00	4,568.75	0.00	0.00	0.00	4,568.75
Mt Shasta Spring Water	102.40	0.00	0.00	0.00	0.00	102.40
Perkins Coie LLP	597.60	0.00	0.00	0.00	0.00	597.60
Recology Butte Colusa Counties	35.95	0.00	0.00	0.00	0.00	35.95
Rush Personnel Services, Inc	5,115.60	0.00	0.00	0.00	0.00	5,115.60
Spesert Consulting	21,320.00	0.00	0.00	0.00	0.00	21,320.00
Stradling Yocca Carlson & Rauth	0.00	3,450.00	0.00	0.00	0.00	3,450.00
The Catalyst Group	11,100.88	0.00	0.00	0.00	0.00	11,100.88
The Ferguson Group	0.00	15,000.00	0.00	0.00	0.00	15,000.00
Trapasso Consulting Services	28,000.00	0.00	0.00	0.00	0.00	28,000.00
US Bank	251.13	0.00	0.00	0.00	0.00	251.13
US Postal Service	120.00	0.00	0.00	0.00	0.00	120.00
Waterology Consulting	33,750.00	0.00	0.00	0.00	0.00	33,750.00
William Avery & Associates	0.00	0.00	13,271.36	0.00	11,623.68	24,895.04
Young Wooldridge LLP	5,998.00	0.00	0.00	0.00	0.00	5,998.00
TOTAL	**666,361.68**	**415,735.22**	**13,271.36**	**0.00**	**11,623.68**	**1,106,991.94**

Sites Project Joint Powers Authority
Profit & Loss
June 2020

	Jun 20	Jan - Jun 20
Ordinary Income/Expense		
Income		
Membership Admin/Authority	0.00	505,000.00
Membership Water	150,000.00	150,000.00
Prop 1 Funding	0.00	0.00
Total Income	150,000.00	655,000.00
Gross Profit	150,000.00	655,000.00
Expense		
Accounting Expense	1,997.00	15,961.00
Admin Support Gen Manager	5,115.60	30,627.45
Audit Fees	1,275.60	12,036.00
Bank Service Fees	157.77	1,006.96
General Manager	0.00	186,514.66
Insurance - Property	0.00	250.00
Insurance Liability	0.00	1,604.25
Office Expenses		
Cleaning	0.00	900.00
Misc Office & Operating Exp	-78.87	2,753.42
Pest Control & Maintenance	65.00	390.00
Trash Service	35.95	215.70
Water Expense	102.40	301.10
Total Office Expenses	124.48	4,560.22
Professional Fees		
Bond Counsel	3,450.00	7,420.00
Bus/Comm Manager	21,320.00	130,041.85
Communication	52,529.14	138,060.28
Cost Development Model	13,006.25	15,548.85
Cultural Study	0.00	3,885.55
Engineering & Technical Service	314,554.77	429,073.15
Env/Biological Services	43,590.12	186,461.40
EPP Manager	30,251.85	182,310.94
Executive Director	33,750.00	101,250.00
Federal Government Affairs Supp	15,000.00	90,000.00
General Legal Counsel	5,998.00	49,277.62
Geotechnical Engineering	13,209.93	62,058.45
Legislative/Reg/Strategic	8,000.00	48,000.00
Municipal Advisor	4,568.75	24,568.75
Operations/Simulation Modeling	128,807.64	479,842.28
Organizational Assessment	236.25	29,929.66
Permitting & Agreements	35,391.94	183,622.35
Personel Recruiter	0.00	24,895.04
Program Ops Manager	28,000.00	170,510.24
Project Controls	98,335.19	675,155.11
Project Integration	202,638.30	1,046,628.97
Real Estate	0.00	761.67
Reservoir Operations	7,785.75	27,988.50
Special Legal	597.60	31,971.60
Strategic Planning	11,100.88	11,100.88
Total Professional Fees	1,072,122.36	4,150,363.14
Website, Data, Computer Support	1,011.86	7,695.86
Total Expense	1,081,804.67	4,410,619.54
Net Ordinary Income	-931,804.67	-3,755,619.54
Other Income/Expense		
Other Income		
Interest Income		
Interest Income-River City	3,177.83	29,915.90

Sites Project Joint Powers Authority
Profit & Loss
June 2020

	Jun 20	Jan - Jun 20
Total Interest Income	3,177.83	29,915.90
Total Other Income	3,177.83	29,915.90
Net Other Income	3,177.83	29,915.90
Net Income	-928,626.84	-3,725,703.64

Sites Project Joint Powers Authority
Transaction Detail By Account
June 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Membership Water								
Invoice	06/19/2020	SPA-2020-14	County of Colusa	Sites Reservoir Participation ...	Reservoir		150,000.00	150,000.00
Total Membership Water						0.00	150,000.00	150,000.00
Accounting Expense								
Bill	06/30/2020	KC090345	KCoe Isom, LLP	6/20	Authority	399.40		-399.40
Bill	06/30/2020	KC090345	KCoe Isom, LLP	6/20	Reservoir	1,597.60		-1,997.00
Total Accounting Expense						1,997.00	0.00	-1,997.00
Admin Support Gen Manager								
Bill	06/15/2020	0137562	Rush Personnel Services, ...	6/20	Authority	246.96		-246.96
Bill	06/15/2020	0137562	Rush Personnel Services, ...	6/20	Reservoir	987.84		-1,234.80
Bill	06/15/2020	0137563	Rush Personnel Services, ..	6/20	Authority	282.24		-1,517.04
Bill	06/15/2020	0137563	Rush Personnel Services, ..	6/20	Reservoir	1,128.96		-2,646.00
Bill	06/29/2020	0137791	Rush Personnel Services, ...	6/20	Authority	211.68		-2,857.68
Bill	06/29/2020	0137791	Rush Personnel Services, ...	6/20	Reservoir	846.72		-3,704.40
Bill	06/29/2020	0137792	Rush Personnel Services, ...	6/20	Authority	282.24		-3,986.64
Bill	06/29/2020	0137792	Rush Personnel Services, ...	6/20	Reservoir	1,128.96		-5,115.60
Total Admin Support Gen Manager						5,115.60	0.00	-5,115.60
Audit Fees								
Bill	06/22/2020	Letter	Fechter & Company CPA	6/20	Reservoir	1,275.60		-1,275.60
Total Audit Fees						1,275.60	0.00	-1,275.60
Bank Service Fees								
General J...	06/30/2020	6-2C		Bank service fee	Authority	88.35		-88.35
General J...	06/30/2020	6-2C		Bank service fee	Reservoir	69.42		-157.77
Total Bank Service Fees						157.77	0.00	-157.77
Office Expenses								
Misc Office & Operating Exp								
Deposit	06/24/2020			refund	Reservoir		450.00	450.00
Bill	06/30/2020	Onlin 7/1	US Bank	6/20	Authority	251.13		198.87
Bill	06/30/2020	Letter	US Postal Service	7/20 Box rent	Authority	120.00		78.87
Total Misc Office & Operating Exp						371.13	450.00	78.87
Pest Control & Maintenance								
Bill	06/26/2020	51183	Maximun Pest Control	6/20	Authority	65.00		-65.00
Total Pest Control & Maintenance						65.00	0.00	-65.00
Trash Service								
Bill	06/30/2020	37438579	Recology Butte Colusa Co...	6/20	Authority	35.95		-35.95
Total Trash Service						35.95	0.00	-35.95
Water Expense								
Bill	06/05/2020	401027	Mt Shasta Spring Water	6/20	Authority	30.15		-30.15
Bill	06/26/2020	407049	Mt Shasta Spring Water	6/20	Authority	72.25		-102.40
Total Water Expense						102.40	0.00	-102.40
Total Office Expenses						574.48	450.00	-124.48
Professional Fees								
Bond Counsel								
Bill	06/18/2020	365299-0001	Stradling Yocca Carlson &..	5/20	Reservoir	3,450.00		-3,450.00
Total Bond Counsel						3,450.00	0.00	-3,450.00
Bus/Comm Manager								
Bill	06/30/2020	07-20	Spesert Consulting	6/20	Reservoir	21,320.00		-21,320.00
Total Bus/Comm Manager						21,320.00	0.00	-21,320.00
Communication								
Bill	06/15/2020	413789	Katz and Associates, Inc	5/20 (C)	Authority	11,538.00		-11,538.00
Bill	06/15/2020	413789	Katz and Associates, Inc	5/20 (C)	Reservoir	9,441.99		-20,979.99
Bill	06/30/2020	413822	Katz and Associates, Inc	6/20 (C)	Authority	17,349.28		-38,329.27
Bill	06/30/2020	413822	Katz and Associates, Inc	6/20 (C)	Reservoir	14,199.87		-52,529.14
Total Communication						52,529.14	0.00	-52,529.14
Cost Development Model								
Bill	06/15/2020	1609000-0520	Larsen Wurzel & Associat...	5/20	Reservoir	3,623.50		-3,623.50
Bill	06/30/2020	1609000-0620	Larsen Wurzel & Associat...	6/20	Reservoir	9,382.75		-13,006.25
Total Cost Development Model						13,006.25	0.00	-13,006.25
Engineering & Technical Service								
Bill	06/10/2020	200369389	AECOM Technical Service...	5/20 (Reservoir) (HR)	Reservoir	78,837.14		-78,837.14
Bill	06/17/2020	D3380600-001	CH2M Hill Engineers, Inc	5/20 (Conveyance) (HC)	Reservoir	77,323.89		-156,161.03
Bill	06/30/2020	200378520	AECOM Technical Service...	6/20 (Reservoir) (HR)	Reservoir	157,711.74		-313,872.77
Bill	06/30/2020	200377940	AECOM Technical Service...	6/20	Reservoir	682.00		-314,554.77
Total Engineering & Technical Service						314,554.77	0.00	-314,554.77
Env/Biological Services								
Bill	06/15/2020	0147510	ICF Jones & Stokes Inc	5/20 (Environ.) (E)	Reservoir	43,590.12		-43,590.12

Sites Project Joint Powers Authority
Transaction Detail By Account
June 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Total Env/Biological Services						43,590.12	0.00	-43,590.12
EPP Manager								
Bill	06/25/2020	SPA-202006	Forsythe Group LLC	6/20	Reservoir	30,251.85		-30,251.85
Total EPP Manager						30,251.85	0.00	-30,251.85
Executive Director								
Bill	06/30/2020	3	Waterology Consulting	6/20	Reservoir	33,750.00		-33,750.00
Total Executive Director						33,750.00	0.00	-33,750.00
Federal Government Affairs Supp								
Bill	06/01/2020	0620149	The Ferguson Group	6/20	Authority	7,500.00		-7,500.00
Bill	06/01/2020	0620149	The Ferguson Group	6/20	Reservoir	7,500.00		-15,000.00
Total Federal Government Affairs Supp						15,000.00	0.00	-15,000.00
General Legal Counsel								
Bill	06/30/2020	66804	Young Wooldridge LLP	6/20	Authority	3,353.25		-3,353.25
Bill	06/30/2020	66804	Young Wooldridge LLP	6/20	Reservoir	2,644.75		-5,998.00
Total General Legal Counsel						5,998.00	0.00	-5,998.00
Geotechnical Engineering								
Bill	06/30/2020	04.7219003...	Fugro USA Land, Inc	6/20 (I)	Reservoir	13,209.93		-13,209.93
Total Geotechnical Engineering						13,209.93	0.00	-13,209.93
Legislative/Reg/Strategic								
Bill	06/30/2020	Letter	Dunn Consulting	6/20	Authority	4,000.00		-4,000.00
Bill	06/30/2020	Letter	Dunn Consulting	6/20	Reservoir	4,000.00		-8,000.00
Total Legislative/Reg/Strategic						8,000.00	0.00	-8,000.00
Municipal Advisor								
Bill	06/04/2020	4888SITES	Montague DeRose and As...	4/20	Reservoir	4,568.75		-4,568.75
Total Municipal Advisor						4,568.75	0.00	-4,568.75
Operations/Simulation Modeling								
Bill	06/15/2020	D3205400-014	CH2M Hill Engineers, Inc	5/20 (Operations) (D)	Reservoir	128,807.64		-128,807.64
Total Operations/Simulation Modeling						128,807.64	0.00	-128,807.64
Organizational Assessment								
Bill	06/30/2020	127	Darling H2O Consulting, Inc.	6/20	Authority	236.25		-236.25
Total Organizational Assessment						236.25	0.00	-236.25
Permitting & Agreements								
Bill	06/15/2020	0147511	ICF Jones & Stokes Inc	5/20 (Permitting) (F)	Reservoir	35,391.94		-35,391.94
Total Permitting & Agreements						35,391.94	0.00	-35,391.94
Program Ops Manager								
Bill	06/30/2020	SPA 17-33	Trapasso Consulting Servi...	6/20	Reservoir	28,000.00		-28,000.00
Total Program Ops Manager						28,000.00	0.00	-28,000.00
Project Controls								
Bill	06/30/2020	17376336	Brown and Caldwell	6/20 (B)	Reservoir	98,335.19		-98,335.19
Total Project Controls						98,335.19	0.00	-98,335.19
Project Integration								
Bill	06/30/2020	1200277979	HDR Engineering Inc	6/20 (A)	Reservoir	202,638.30		-202,638.30
Total Project Integration						202,638.30	0.00	-202,638.30
Reservoir Operations								
Bill	06/19/2020	20-05-4941.0	MBK Engineers Inc	5/20	Reservoir	7,785.75		-7,785.75
Total Reservoir Operations						7,785.75	0.00	-7,785.75
Special Legal								
Bill	06/23/2020	6208406	Perkins Coie LLP	5/20	Reservoir	597.60		-597.60
Total Special Legal						597.60	0.00	-597.60
Strategic Planning								
Bill	06/30/2020	492	The Catalyst Group	6/20	Reservoir	11,100.88		-11,100.88
Total Strategic Planning						11,100.88	0.00	-11,100.88
Total Professional Fees						1,072,122.36	0.00	-1,072,122.36
Website, Data, Computer Support								
Bill	06/30/2020	MSP-139657	Adept Solutions Inc	7/20	Authority	152.04		-152.04
Bill	06/30/2020	MSP-139657	Adept Solutions Inc	7/20	Reservoir	608.16		-760.20
Bill	06/30/2020	139699	Adept Solutions Inc	5/20	Authority	50.33		-810.53
Bill	06/30/2020	139699	Adept Solutions Inc	5/20	Reservoir	201.33		-1,011.86
Total Website, Data, Computer Support						1,011.86	0.00	-1,011.86
Interest Income								
Interest Income-River City								

Sites Project Joint Powers Authority
Transaction Detail By Account
June 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
General J...	06/30/2020	6-3C		rec int fme	Reservoir		2,232.05	2,232.05
General J...	06/30/2020	6-4C		rec int fme	Authority		529.64	2,761.69
General J...	06/30/2020	6-4C		rec int fme	Reservoir		416.14	3,177.83
Total Interest Income-River City						0.00	3,177.83	3,177.83
Total Interest Income						0.00	3,177.83	3,177.83
TOTAL						**1,082,254.67**	**153,627.83**	**-928,626.84**

Sites Project Joint Powers Authority
Balance Sheet by Class
As of June 30, 2020

	Authority	Reservoir	TOTAL
ASSETS			
Current Assets			
Checking/Savings			
River City ICS Savings	0.00	2,740,781.91	2,740,781.91
River City Operating Account	708,746.64	559,832.60	1,268,579.24
River City State Fund Checking	0.00	5,122,158.45	5,122,158.45
Total Checking/Savings	708,746.64	8,422,772.96	9,131,519.60
Accounts Receivable			
Accounts Rec Members			
Membership - Authority	68,000.00	0.00	68,000.00
Membership - Reservoir	0.00	145,011.80	145,011.80
Total Accounts Rec Members	68,000.00	145,011.80	213,011.80
Total Accounts Receivable	68,000.00	145,011.80	213,011.80
Total Current Assets	776,746.64	8,567,784.76	9,344,531.40
TOTAL ASSETS	**776,746.64**	**8,567,784.76**	**9,344,531.40**
LIABILITIES & EQUITY			
Liabilities			
Current Liabilities			
Accounts Payable			
Accounts Payable	71,071.19	1,035,920.75	1,106,991.94
Total Accounts Payable	71,071.19	1,035,920.75	1,106,991.94
Total Current Liabilities	71,071.19	1,035,920.75	1,106,991.94
Long Term Liabilities			
Participant Reimbursement Polic	0.00	6,064,652.67	6,064,652.67
Total Long Term Liabilities	0.00	6,064,652.67	6,064,652.67
Total Liabilities	71,071.19	7,100,573.42	7,171,644.61
Equity			
Net Assets	114,674.93	1,814,541.65	1,929,216.58
Retained Earnings	351,952.54	3,617,421.31	3,969,373.85
Net Income	239,047.98	-3,964,751.62	-3,725,703.64
Total Equity	705,675.45	1,467,211.34	2,172,886.79
TOTAL LIABILITIES & EQUITY	**776,746.64**	**8,567,784.76**	**9,344,531.40**

FOR MANAGEMENT PURPOSES ONLY

Sites Project Joint Powers Authority
Profit & Loss by Class
June 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Income			
Membership Water	0.00	150,000.00	150,000.00
Total Income	0.00	150,000.00	150,000.00
Gross Profit	0.00	150,000.00	150,000.00
Expense			
Accounting Expense	399.40	1,597.60	1,997.00
Admin Support Gen Manager	1,023.12	4,092.48	5,115.60
Audit Fees	0.00	1,275.60	1,275.60
Bank Service Fees	88.35	69.42	157.77
Office Expenses			
Misc Office & Operating Exp	371.13	-450.00	-78.87
Pest Control & Maintenance	65.00	0.00	65.00
Trash Service	35.95	0.00	35.95
Water Expense	102.40	0.00	102.40
Total Office Expenses	574.48	-450.00	124.48
Professional Fees			
Bond Counsel	0.00	3,450.00	3,450.00
Bus/Comm Manager	0.00	21,320.00	21,320.00
Communication	28,887.28	23,641.86	52,529.14
Cost Development Model	0.00	13,006.25	13,006.25
Engineering & Technical Service	0.00	314,554.77	314,554.77
Env/Biological Services	0.00	43,590.12	43,590.12
EPP Manager	0.00	30,251.85	30,251.85
Executive Director	0.00	33,750.00	33,750.00
Federal Government Affairs Supp	7,500.00	7,500.00	15,000.00
General Legal Counsel	3,353.25	2,644.75	5,998.00
Geotechnical Engineering	0.00	13,209.93	13,209.93
Legislative/Reg/Strategic	4,000.00	4,000.00	8,000.00
Municipal Advisor	0.00	4,568.75	4,568.75
Operations/Simulation Modeling	0.00	128,807.64	128,807.64
Organizational Assessment	236.25	0.00	236.25
Permitting & Agreements	0.00	35,391.94	35,391.94
Program Ops Manager	0.00	28,000.00	28,000.00
Project Controls	0.00	98,335.19	98,335.19
Project Integration	0.00	202,638.30	202,638.30
Reservoir Operations	0.00	7,785.75	7,785.75
Special Legal	0.00	597.60	597.60
Strategic Planning	0.00	11,100.88	11,100.88
Total Professional Fees	43,976.78	1,028,145.58	1,072,122.36
Website, Data, Computer Support	202.37	809.49	1,011.86
Total Expense	46,264.50	1,035,540.17	1,081,804.67
Net Ordinary Income	-46,264.50	-885,540.17	-931,804.67
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	529.64	2,648.19	3,177.83
Total Interest Income	529.64	2,648.19	3,177.83
Total Other Income	529.64	2,648.19	3,177.83
Net Other Income	529.64	2,648.19	3,177.83
Net Income	**-45,734.86**	**-882,891.98**	**-928,626.84**

FOR MANAGEMENT PURPOSES ONLY

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through June 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Income			
Membership Admin/Authority	505,000.00	0.00	505,000.00
Membership Water	0.00	150,000.00	150,000.00
Prop 1 Funding	0.00	0.00	0.00
Total Income	505,000.00	150,000.00	655,000.00
Gross Profit	505,000.00	150,000.00	655,000.00
Expense			
Accounting Expense	3,192.20	12,768.80	15,961.00
Admin Support Gen Manager	6,125.49	24,501.96	30,627.45
Audit Fees	0.00	12,036.00	12,036.00
Bank Service Fees	441.59	565.37	1,006.96
General Manager	26,752.05	159,762.61	186,514.66
Insurance - Property	200.00	50.00	250.00
Insurance Liability	0.00	1,604.25	1,604.25
Office Expenses			
Cleaning	900.00	0.00	900.00
Misc Office & Operating Exp	1,227.61	1,525.81	2,753.42
Pest Control & Maintenance	390.00	0.00	390.00
Trash Service	215.70	0.00	215.70
Water Expense	301.10	0.00	301.10
Total Office Expenses	3,034.41	1,525.81	4,560.22
Professional Fees			
Bond Counsel	0.00	7,420.00	7,420.00
Bus/Comm Manager	0.00	130,041.85	130,041.85
Communication	80,206.19	57,854.09	138,060.28
Cost Development Model	0.00	15,548.85	15,548.85
Cultural Study	0.00	3,885.55	3,885.55
Engineering & Technical Service	0.00	429,073.15	429,073.15
Env/Biological Services	0.00	186,461.40	186,461.40
EPP Manager	0.00	182,310.94	182,310.94
Executive Director	0.00	101,250.00	101,250.00
Federal Government Affairs Supp	45,000.00	45,000.00	90,000.00
General Legal Counsel	25,133.25	24,144.37	49,277.62
Geotechnical Engineering	0.00	62,058.45	62,058.45
Legislative/Reg/Strategic	24,000.00	24,000.00	48,000.00
Municipal Advisor	0.00	24,568.75	24,568.75
Operations/Simulation Modeling	0.00	479,842.28	479,842.28
Organizational Assessment	29,929.66	0.00	29,929.66
Permitting & Agreements	0.00	183,622.35	183,622.35
Personel Recruiter	24,895.04	0.00	24,895.04
Program Ops Manager	0.00	170,510.24	170,510.24
Project Controls	0.00	675,155.11	675,155.11
Project Integration	0.00	1,046,628.97	1,046,628.97
Real Estate	0.00	761.67	761.67
Reservoir Operations	0.00	27,988.50	27,988.50
Special Legal	0.00	31,971.60	31,971.60
Strategic Planning	0.00	11,100.88	11,100.88
Total Professional Fees	229,164.14	3,921,199.00	4,150,363.14
Website, Data, Computer Support	1,539.17	6,156.69	7,695.86
Total Expense	270,449.05	4,140,170.49	4,410,619.54
Net Ordinary Income	234,550.95	-3,990,170.49	-3,755,619.54
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	4,497.03	25,418.87	29,915.90

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through June 2020

	Authority	Reservoir	TOTAL
Total Interest Income	4,497.03	25,418.87	29,915.90
Total Other Income	4,497.03	25,418.87	29,915.90
Net Other Income	4,497.03	25,418.87	29,915.90
Net Income	**239,047.98**	**-3,964,751.62**	**-3,725,703.64**

FOR MANAGEMENT PURPOSES ONLY

Sites

Topic: **Authority Board Agenda Item 1.3** **2020 July 22**

Subject: **Payment of Claims**

Requested Action:

Approve the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B.

Detailed Description/Background:

Attachment A presents the warrants to be drawn against the invoices received by the Sites Project Authority through July 6, 2020.

Attachment B summarizes details of the invoices received through July 6, 2020 and how the incurred costs are allocated between the Authority and Reservoir Committee.

Attachment C presents a budget status report of key consultants' task orders and tasks through the period of performance of the invoices presented in Attachment B.

Prior Action:

None.

Fiscal Impact/Funding Source:

Total Payment of Claims is $1,106,991.94 with $71,071.19 of costs being assigned to the Authority and $1,035,920.75 assigned to the Reservoir Committee.

For the Reservoir Committee assigned amount, $991,634.57 will be paid through the WSIP account and $44,286,18 through the JPA/Sites account as shown in Attachment B.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: July 2020 Report on warrants to be drawn for Payment of Claims.

Attachment B: July 2020 Monthly Consultant and Vendor Invoice table.

Attachment C: July 2020 Key Consultant Budget Status Report.

WARRANTS DRAWN AGAINST
Sites Project Authority- General Fund
July 22, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
2167	07/09/2020	Adept Solutions	IT & Related Services	1,011.86
2168	07/09/2020	Darling H2O	Organizational Assessment	236.25
2169	07/09/2020	Dunn Consulting	Legislative/Regulatory/Strategic Support	8,000.00
2170	07/09/2020	Katz and Associates Inc.	Communications	52,529.14
2171	07/09/2020	K·Coe-Isom, LLP	Accounting	1,997.00
2172	07/09/2020	Maximum Pest Control	Pest Control	65.00
2173	07/09/2020	Mt Shasta Spring Water	Office Water	102.40
2174	07/09/2020	Recology Butte Colusa Counties	Office Trash Pickup	35.95
2175	07/09/2020	Rush Personnel Services Inc.	Administrative Services	5,115.60
2176	07/09/2020	The Ferguson Group	Fed Govt Affairs Support	15,000.00
2177	07/09/2020	U.S. Bank	Misc. Expenses	251.13
2178	07/09/2020	US Postal Service	Box Fee	120.00
2179	07/09/2020	William Avery & Associates	Personnel Recruiter	24,895.04
2180	07/09/2020	Young Wooldridge LLP	Legal Counsel	5,998.00

THE FOREGOING CLAIM, NUMBERED 2167-2180 ARE APPLIED TO THE GENERAL FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **115,357.37**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

WARRANTS DRAWN AGAINST
Sites Project Authority-WSIP Fund
July 22, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
1066	07/09/2020	AECOM Inc.	Engineering	237,230.88
1067	07/09/2020	Brown and Caldwell	Project Controls	98,335.19
1068	07/09/2020	CH2M	Operations/SIM Modeling	206,131.53
1069	07/09/2020	Fechter & Company CPA	Accounting	1,275.60
1070	07/09/2020	Forsythe Group LLC	EPP Manager	30,251.85
1071	07/09/2020	Fugro USA Land, Inc.	Geotechnical Engineering Services	13,209.93
1072	07/09/2020	HDR Engineering Inc.	Project Integration	202,638.30
1073	07/09/2020	ICF Jones & Stokes Inc.	Env/Biological Services & Permitting	78,982.06
1074	07/09/2020	Larsen Wurzel & Associates, Inc.	Cost Development	13,006.25
1075	07/09/2020	MBK Engineers Inc.	Reservoir Operations	7,785.75
1076	07/09/2020	Montague DeRose and Associates, LLC	Municipal Advisor	4,568.75
1077	07/09/2020	Perkins Coie, LLP	Special Legal	597.60
1078	07/09/2020	Spesert Consulting	Bus/Comm Manager	21,320.00
1079	07/09/2020	Stradling Yocca Carlson & Rauth	Bond Counsel	3,450.00
1080	07/09/2020	The Catalyst Group	Strategic Planning Services	11,100.88
1081	07/09/2020	Trapasso Consulting Services	Program Operations Manager	28,000.00
1082	07/09/2020	Waterology Consulting	Executive Director Services	33,750.00

THE FOREGOING CLAIM, NUMBERED 1066-1082 ARE APPLIED TO THE WSIP FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **991,634.57**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

Sites

July 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for July 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their July monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Board Approval Items							
Adept Solutions	MSP-139657			$760.20	$152.04	$608.16	KMS
IT Related Services/Computer Equipment		*7/1/20*	07/20				
Adept Solutions	139699			$251.66	$50.33	$201.33	KMS
IT Related Services/Computer Equipment		*7/1/20*	5/20				
AECOM (Reservoir) (HR)	2000369389			$78,837.14		$78,837.14	HL
Engineering Services		*6/10/20*	5/20				
AECOM (Reservoir) (HR)	2000378520			$157,711.74		$157,711.74	HL
Engineering Services		*7/7/20*	6/20				
AECOM Technical Services, Inc.	2000377940			$682.00		$682.00	HL
Engineering/Tech		*7/6/20*	6/20				
Brown and Caldwell (B)	17376336			$98,335.19		$98,335.19	JAT
Project Controls		*7/2/20*	6/20				
CH2M Hill Engineers (Operations) (D)	D3205400-014			$128,807.64		$128,807.64	AEF
Operations / Simulation Modeling		*6/15/20*	5/20				
CH2M/Jacobs (Conveyance) (HC)	D3380600-001			$77,323.89		$77,323.89	HL
Engineering Services		*6/17/20*	5/20				
Colusa Indian Community Council	No Invoice						SPE
Tribal Council							
Darling H2O Consulting, Inc.	127			$236.25	$236.25		FD
Organizational Assessment		*6/30/20*	6/20				
Dunn Consulting	Letter			$8,000.00	$4,000.00	$4,000.00	KMS
Legislative/Regulatory/Strategic Support		*7/2/20*	6/20				
Fechter and Company, CPAs	Letter			$1,275.60		$1,275.60	JAT
Accounting Services		*6/22/20*	6/20				
Forsythe Group, LLC	SPA-202006			$30,251.85		$30,251.85	JB
EPP Manager		*6/25/20*	6/20				
Fugro (I)	04.72190035-15			$13,209.93		$13,209.93	HL
Geotechnical Engineering Services		*7/6/20*	6/20				
Gerald (Jerry) Johns	No Invoice						AEF
Project Operations							

Sites

July 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for July 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their July monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
HDR (A)	1200277979			$202,638.30		$202,638.30	JAT
Project Integration		*7/6/20*	6/20				
ICF Jones & Stokes, Inc. (Environ.) (E)	0147510			$43,590.12		$43,590.12	AEF
Env/Biological Services		*6/15/20*	5/20				
ICF Jones & Stokes, Inc. (Permitting) (F)	0147511			$35,391.94		$35,391.94	AEF
Permitting and Agreements		*6/15/20*	5/20				
Katz & Associates (C)	413789			$20,979.99	$11,538.00	$9,441.99	KMS
Communications		*6/15/20*	5/20				
Katz & Associates (C)	413822			$31,549.15	$17,349.28	$14,199.87	KMS
Communications		*7/6/20*	6/20				
K-Coe Isom, LLP	KC090345			$1,997.00	$399.40	$1,597.60	JAT
Accounting		*6/30/20*	6/20				
Larsen Wurzel & Associates, Inc.	1609000-0520			$3,623.50		$3,623.50	JAT
Cost Development		*6/15/20*	5/20				
Larsen Wurzel & Associates, Inc.	1609000-0620			$9,382.75		$9,382.75	JAT
Cost Development		*7/2/20*	6/20				
M.R. Cleaning Service	No Invoice						KMS
Office Cleaning							
Maximum Pest Control	51183			$65.00	$65.00		KMS
Pest Spraying		*6/26/20*	6/20				
MBK Engineers	20-05-4941.0			$7,785.75		$7,785.75	AEF
Reservoir Operations		*6/19/20*	5/20				
Montague DeRose & Associates, LLC	4888SITES			$4,568.75		$4,568.75	JAT
Municipal Advisor		*6/4/20*	4/20				
MT Shasta Water	401027			$30.15	$30.15		KMS
Office Water		*6/5/20*	6/20				
MT Shasta Water	407049			$72.25	$72.25		KMS
Office Water		*6/26/20*	6/20				
Perkins Coie, LLP	6208406			$597.60		$597.60	AEF
Special Legal		*6/23/20*	5/20				
Recology Butte Colusa Counties	37438579			$35.95	$35.95		KMS
Office Trash Pickup		*6/30/20*	6/20				

Sites

July 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for July 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their July monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Rush Personnel	IVC000000137562			$1,234.80	$246.96	$987.84	KMS
Yolanda Tirado Services		*6/15/20*	6/20				
Rush Personnel	IVC000000137563			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*6/15/20*	6/20				
Rush Personnel	IVC000000137791			$1,058.40	$211.68	$846.72	KMS
Yolanda Tirado Services		*6/29/20*	6/20				
Rush Personnel	IVC000000137792			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*6/29/20*	6/20				
Spesert Consulting	07-20			$21,320.00		$21,320.00	JB
Bus/Communications Manager		*7/3/20*	6/20				
Stradling, Yocca, Carlson & Rauth	365299-0001			$3,450.00		$3,450.00	JAT
Bond Counsel		*6/18/20*	5/20				
The Catalyst Group	492			$11,100.88		$11,100.88	JB
Strategic Planning Services		*7/2/20*	6/20				
The Ferguson Group	0620149			$15,000.00	$7,500.00	$7,500.00	KMS
Federal Government Affairs Support		*6/1/20*	6/20				
Trapasso Consulting Services	SPA 17-33			$28,000.00		$28,000.00	JB
Program Operations Manager		*7/5/20*	6/20				
U.S. Bank - Credit Card	Online 7/1			$251.13	$251.13		KMS
Misc. Expenses		*7/1/20*	6/20				
U.S. Postal Service	Letter			$120.00	$120.00		KMS
P.O. Box		*7/1/20*	7/20				
Waterology Consulting	3			$33,750.00		$33,750.00	JT/ FD /JS
Executive Director Services		*7/1/20*	6/20				
William Avery & Associates	2939			$8,400.00	$8,400.00		JAT
Personnel Recruiter		*1/21/20*	1/20				
William Avery & Associates	2940			$3,223.68	$3,223.68		JAT
Personnel Recruiter		*1/21/20*	1/20				
William Avery & Associates	3013			$5,500.00	$5,500.00		JAT
Personnel Recruiter		*5/8/20*	5/20				
William Avery & Associates	3014			$5,500.00	$5,500.00		JAT
Personnel Recruiter		*5/8/20*	5/20				

Sites

July 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for July 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their July monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
William Avery & Associates	3015		$2,271.36	$2,271.36		JAT
Personnel Recruiter	*5/8/20*	5/20				
Wiseman Consulting Group	No Invoice					KMS
ROW/Land Management						
Young Wooldridge, Law Offices, LLP	66804		$5,998.00	$3,353.25	$2,644.75	JAT
Legal Counsel	*6/30/20*	6/20				

		Total	$ Authority Board	$ Reservoir Committee
		Total	**$ Authority Board**	**$ Reservoir Committee**
	Cash Accounts	1,106,991.94	71,071.19	1,035,920.75
July 2020 Monthly Totals	**JPA/Sites**	115,357.37	71,071.19	44,286.18
	WSIP	991,634.57		991,634.57
Cumulative Summary	**WSIP To Date**	3,950,210.53	**(4) Fund Checks Received**	
	Balance	4,130,583.88	8,080,794.41	

Notes: *

Draft_0027833

Sites

JULY KEY CONSULTANT BUDGET REPORT

FOR AUTHORITY BOARD AND RESERVOIR COMMITTEE,
FINANCE AND ECONOMICS AD HOC COMMITTEE

Area / Consultant	Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
a) HDR		Costs and Task % as of 06/20 Services	**1,499,864**	**1,499,864**	**1,046,629**	**453,235**	**70%**		
	A01	Communications Int.		-	-	-	-	100%	
	A02	Ops Modeling Int.		181,007	43,269	137,738	24%	70%	
	A03	Env. Planning Int.		149,357	157,369	(8,012)	105%	75%	
	A04	Permitting Int.		243,884	217,610	26,274	89%	75%	
	A05	Real Estate Int.		139,718	69,768	69,950	50%	60%	
	A06	Engineering Int.		299,476	198,972	100,504	66%	75%	
	A07	Geotech Int.		-	-	-	-	100%	
	A08	Controls Int.		224,387	170,737	53,650	76%	75%	
	A09	General Int.		98,267	119,639	(21,372)	122%	75%	
	A10	Authority's Engineer		-	-	-	-	100%	
	A11	Health, Safety & Loss Prevention		-	-	-	-	100%	
	A12	Quality Management		-	-	-	-	100%	
	A13	Risk Management		49,890	18,901	30,989	38%	50%	
	A14	IT		15,831	12,033	3,798	76%	75%	
	A15	GIS		-	765	(765)	-	100%	
	A16	Document Management		3,734	3,654	80	98%	75%	
	A17	Staff Support		14,004	2,402	11,602	17%	75%	
	A18	Satellite Project Office		-	-	-	-	100%	
	A19	Land Conservation Approach		-	-	-	-	100%	
	A98	HDR Project Management		40,309	23,242	17,067	58%	75%	
	A99	Expenses		40,000	8,267	31,733	21%	75%	
b) BC		Costs and Task % as of 06/20 Services	**899,156**	**899,156**	**675,155**	**224,001**	**75%**		
	B01	Project Controls		113,645	60,036	53,609	53%	80%	
	B02	Contract Administration and Compliance		492,414	365,670	126,744	74%	75%	
	B03	Work Planning and Scheduling		100,341	99,410	931	99%	90%	
	B04	Project Administrative Support		117,516	105,160	12,356	89%	90%	
	B05	PMP Sections		-	-	-	-	-	
	B98	Project Management		73,240	42,370	30,870	58%	60%	
	B99	Expenses		2,000	2,510	(510)	125%	100%	

Draft_0027834

Area / Consultant	Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
c) K&A		Costs and Task % as of 06/20 Services	**199,930**	**199,930**	**138,060**	**61,870**	**69%**		
	C01	Local/Landowner Outreach		14,083	4,499	9,584	32%	50%	
	C02	Statewide Outreach		22,000	17,286	4,714	79%	70%	
	C03	NGO Outreach		12,166	1,085	11,081	9%	-	
	C04	Strategic Communications		19,666	12,844	6,822	65%	50%	
	C05	Message Development/Training		11,831	3,778	8,054	32%	60%	
	C06	Informational Materials Distribution		38,500	34,496	4,004	90%	60%	
	C07	Website Modifications and Management		18,600	7,393	11,208	40%	65%	
	C08	Video & Photography		-	73	(73)	-	-	
	C09	Authority/Reservoir Committee Public Affairs Support		14,500	15,828	(1,328)	109%	50%	
	C10	Media Relations		13,084	10,995	2,089	84%	65%	
	C11	Social Media		5,000	1,500	3,500	30%	35%	
	C98	Project Management		30,500	25,828	4,673	85%	65%	
	C99	Expenses		-	2,457	(2,457)	-	-	
d) CH2-d		Costs and Task % as of 05/20 Services	**993,000**	**993,000**	**479,843**	**513,157**	**48%**		
	D01	Permitting and Resource Agency Technical Support		100,000	71,586	28,414	72%	90%	
	D02	Environmental Document Support		356,853	140,676	216,177	39%	35%	
	D03	Total Operations Technical Support		300,000	125,048	174,952	42%	65%	
	D04	Value Planning		88,000	79,921	8,079	91%	100%	
	D98	Project Management		31,147	32,091	(944)	103%	60%	
	D99	Expenses & Subconsultants		117,000	30,520	86,480	26%	45%	
e) ICF-e		Costs and Task % as of 05/20 Services	**428,000**	**428,000**	**186,461**	**241,539**	**44%**		
	E01	Draft EIS/EIR Review and Strategic Consultation		-	-	-	-	-	
	E02	Prepare Admin Final Responses to Comments		-	-	-	-	-	
	E03	Prepare Administrative Final EIR/EIS		15,000	14,967	33	100%	100%	
	E04	Begin Preparation of Administrative Record for Fin		-	-	-	-	-	
	E05	Engagement		-	-	-	-	-	
	E06	Geotechnical Environmental Document		-	-	-	-	-	
	E07	Develop Environmental Document Work Plan		110,000	85,759	24,241	78%	75%	
	E08	Support Development of EIR/EIS Project Description		180,000	57,124	122,876	32%	30%	
	E09	Prepare Annotated Outline		88,000	-	88,000	-	-	
	E98	Project Management		31,500	28,611	2,889	91%	75%	
	E99	Expenses		3,500	-	3,500	-	-	

Draft_0027835

Sites

JULY KEY CONSULTANT BUDGET REPORT

FOR AUTHORITY BOARD AND RESERVOIR COMMITTEE,
FINANCE AND ECONOMICS AD HOC COMMITTEE

7/9/2020

Area / Consultant	Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
f) ICF-f		Costs and Task % as of 05/20 Services	**708,300**	**708,300**	**183,622**	**524,678**	**26%**		
	F01	Finalize Joint Biological Assessment		-	-	-	-	-	
	F02	Finalize Geotechnical Permits and Fieldwork		297,120	37,628	259,492	13%	50%	
	F03	Prepare Section 106 Documents		-	-	-	-	-	
	F04	Begin Preparation of Permits and Agreements		26,000	25,976	24	100%	100%	
	F05	Prepare for 2020 Field Work Studies		-	-	-	-	-	
	F06	Strategize on Mitigation and Adaptive Management P		-	-	-	-	-	
	F07	Early Coordination and Development of Key Permits		333,740	82,890	250,850	25%	30%	
	F98	Project Management		35,000	30,734	4,266	88%	75%	
	F99	Expenses		16,440	6,395	10,045	39%	15%	
hc) CH2-hc		Costs and Task % as of 05/20 Services	**597,023**	**597,023**	**77,324**	**519,699**	**13%**		
	HC01	Project Coordination		15,378	4,211	11,167	27%	25%	
	HC02	Engineering Support for Proj. Description of Preferred Proj. from Value Planning		370,475	44,050	326,426	12%	15%	
	HC98	Project Management		55,469	21,204	34,265	38%	25%	
	HC99	Expenses & Subconsultants		155,700	7,859	147,841	5%	15%	
hr) AEC-hr		Costs and Task % as of 06/20 Services	**599,379**	**599,379**	**236,549**	**362,830**	**39%**		
	HR01	Project Coordination		7,339	1,487	5,852	20%	20%	
	HR02	Engineering Support for Project Description of Preferred Project		567,000	212,462	354,538	37%	48%	
	HR98	Project Management		25,040	22,600	2,440	90%	95%	
i) FUG		Costs and Task % as of 06/20 Services	**85,995**	**85,995**	**62,058**	**23,937**	**72%**		
	I01	Data Review and Develop 2019 Feasibility Study Work Plan		-	-	-	-	-	
	I02	- Obsolete -		-	-	-	-	-	
	I03	2019 Site Investigation		-	-	-	-	-	
	I04	Future Site Investigation Work Plan for Design		-	-	-	-	-	
	I05	Assessment of Proposed Field Data Collection Points		50,786	31,736	19,050	62%	62%	
	I98	Project Management		35,209	30,322	4,887	86%	86%	
	I99	Expenses		-	-	-	-	-	

Notes: *

Draft_0027836

Sites

Topic: **Authority Board Agenda Item 1.4** **2020 July 22**

Subject: **Reassignment of Staff**

Requested Action:

Consider approval of Resolution No. 2020-03, providing all of the duties, authorities and responsibilities to the Authority's Executive Director that were previously delegated to the Authority's General Manager.

Detailed Description/Background:

As a result of the organizational assessment, the duties and responsibilities of the General Manager were reassigned to an interim Executive Director in December 2019 and a new Executive Director was selected and a contract for these services was executed in March 2020. There is no longer a General Manger role on the project. The proposed attached resolution memorializes the change from General Manager to Executive Director to affect all agreements, contracts, and any other documents that the Authority has previously executed identifying the General Manager position.

Prior Action:

None

Fiscal Impact/Funding Source:

There is no fiscal impact associated with this change.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Resolution No. 2020-03

BEFORE THE BOARD OF DIRECTORS OF THE SITES PROJECT AUTHORITY

RESOLUTION NO. 2020-03

In the Matter of:

Authorizing the Executive Director as having all of the duties, responsibilities and authorities previously directed upon the General Manager.

WHEREAS, the Authority has previously assigned certain duties, responsibilities and authorities to the General Manager in the oversight of the Project and has identified such in its agreements, contracts, permits, and other business documentation related to the Project; and

WHEREAS, in December 2019 the Authority created the "interim" Executive Director position as the replacement for the General Manager position; and

WHEREAS, in March 2020 the Authority entered into a contract for services with the Authority's Executive Director (the "Contract"), which provided for the Executive Director's fulfillment of duties, responsibilities, and authorities that were previously fulfilled by the former General Manager, and thereby replaced the General Manager position on a permanent basis with the position of Executive Director.

NOW, THEREFORE BE IT RESOLVED by this Board of Directors as follows:

1. The Executive Director position replaces the previous General Manager position within the Project's organization and, pursuant to the Contract, has been delegated all of the duties, responsibilities, and authorities previously assigned to the former General Manager.
2. All references to the term "General Manager" in any agreements, contracts, permits or other business documents shall be understood to mean "Executive Director", if and as applicable, and all such agreements, contracts, permits or other business documents shall, as appropriate, be revised to replace the term "General Manager" with the term "Executive Director". Such amendments shall be considered

ministerial and not subject to further agreement or negotiation between effected parties, and is fully within the rights of the Authority to unilaterally authorize.

3. The Authority's officers and Executive Director are authorized to do all things necessary and proper to carry out and implement the above reference matters.

PASSED, APPROVED AND ADOPTED by the Board of Directors of the Sites Project Authority this 22th day of July 2020, by the following vote:

AYES:

NOES:

ABSTAIN:

ABSENT:

I, Fritz Durst, Chair Authority Board of the Site Project Authority, do hereby certify that the resolution set forth above is true and accurate copy of the resolution adopted by the Board of Directors of the Sites Project Authority at a duly called meeting of the Board on July 22, 2020 and that said resolution has not been rescinded, amended or modified and is in full force and effect as of the date hereof.

In Witness Whereof, I have executed this certificate this 22th day of July 2020.

_____

Fritz Durst, Chair Authority Board, Sites Project Authority

Sites

Topic: **Authority Board Agenda Item 1.5** **2020 July 22**

Subject: **Consulting Service Agreements Terms and Conditions**

Requested Action:

Consider approval of the revised changes to the Authority Consulting Services Agreement.

Detailed Description/Background:

The Sites Project Authority's staff, in coordination with General Counsel, previously developed the Sites Standard Consulting Services Agreement which has been used as the standard contract for consultant services since July 2018.

Recently a need was determined to expand compliance with all applicable laws, specifically incorporating any agreement and agreement amendments between the Authority and a state or federal agency funding agreement into the agreements of the Authority's consultants. Attachment A covers the standard conditions in the consulting agreements. Similar provisions will be added to agreements with other service providers where state and/or federal funding is involved.

Prior Action:

At the July 20, 2018 Reservoir Committee meeting, the Sites Consulting Services Agreement was approved.

Fiscal Impact/Funding Source:

The additional standard conditions are not expected to increase costs for consulting services since the consultants working on the Sites project also perform work for State and Federal agency's which means they already have workplace programs in place to meet these requirements.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Proposed Language Revisions.

Sites

ADDITIONAL LANGUAGE TO AUTHORITY CONSULTING SERVICES AGREEMENT
July 2020

Minor language additions to the Authority's Standard Consulting Services Agreement are in red which has been approved by Authority legal counsel.

1. Services g. Use of Employees, Subconsultants and Subcontractors. Consultant shall furnish sufficient qualified personnel necessary to perform the Services and shall provide and employ all required equipment, materials and supplies necessary to perform the Services in accordance with the completion schedule for the Services. Any subconsultant or subcontractor that will provide services shall be listed in the Task Order with a corresponding Hourly Rate Schedule. Consultant shall not commission the services of any new or additional subconsultant or subcontractor without the approval of the Authority, which approval the Authority may withhold or condition in its reasonable discretion to ensure adherence to the terms and conditions of this Agreement, and which approval shall, if granted, be memorialized in the form of a Change Order. Consultant shall determine the method, details, and means of performing the Services and may at its own cost, employ such employees, subconsultants and subcontractors as it deems necessary to perform the Services. Authority may not control, direct or supervise Consultant's employees, subconsultants or subcontractors in the performance of the Services, and Authority shall not be liable for any expenses or costs relating to Consultant's employees, subconsultants or subcontractors unless Authority has agreed in writing, prior to the time such expenses or costs are incurred, to reimburse Consultant for such expenses. Consultant shall ensure that any contract entered into with any subconsultant or subcontractor is expressly subject to all applicable terms and conditions of this Agreement, which applicable terms and conditions include, without limitation, those governing insurance, applicable laws, indemnification, ownership of work product, confidentiality and conflicts of interest.

8. Compliance with Laws. The Services shall conform to the requirements of all governmental agencies having jurisdiction over the Services. Consultant shall also comply with all federal, state and local laws, ordinances, rules, regulations and orders applicable to the performance of its obligations under this Agreement, including all licensing requirements and occupational, health, safety, employment and environmental laws. Such applicable laws, ordinances, rules, regulations and orders shall include, without limitation, those that are specifically incorporated into any agreement between Authority and a state or federal agency pertaining to the Services, which agency agreement and any amendments thereto the Authority will provide to Consultant following their execution. Consultant shall bear all costs, expenses and liabilities related to any changes in the Services to conform to such laws, ordinances, rules, regulations and orders.

Sites

Topic: **Authority Board Agenda Item 1.6** **2020 July 22**

Subject: **Committee Charter Documents**

Requested Action:

Consider approval of the Coordination, Legislative Outreach, Land Management and Joint Budget and Finance Committees Charter Document updates.

Detailed Description/Background:

The Reservoir Committee and the Authority Board each recently went through a process to re-evaluate and establish their respective workgroup and committee structures for the upcoming 18 months of project work. Each committee has met and considered its charter and is recommending Authority Board approval of updated documents.

Along with the individual committee charters, there is an overarching charting document that the Authority Board previously established to generally inform the purpose, roles and responsibilities and participation guidelines for committees (Attachment B). No changes are being proposed to this document at this time.

The Authority Board has gone through a similar process relative to the charter documents of its committees and will be asked to approve their updates at its July 2020 meeting. According to Section 9 of the November 2016 Authority Bylaws, the charter documents must be approved by the Authority Board.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Individual Charter Documents.
Attachment B: Charter Document Attachment A.

Committee Chartering Document

Status: **Ad Hoc**

- Chair: Fritz Durst (RD-108)
- Members: Officers of the Authority Board and Chairs from each chartered committee, the Treasurer, and any other members of the Authority Board designated by the Chairperson (or their respective designated representative)[1]

  Vice Chair: Jeff Sutton (TCCA)

  Treasurer: Jamie Traynham (TC-4 through Davis WD)

  Land Management: Gary Evans (Colusa County, Chair)

  Legislative & Outreach: Jeff Sutton (TCCA, Chair)

- Staff Support:
  1. The Executive Director and/or his/her designated representative.
  2. Legal counsel on an as needed basis.
  3. Specialty advisors or experts on an as need basis.
- Formation: May 21, 2020 (Elections)
- Expires: Annually, commensurate with elections, which occur in February or March.

Related Documents:

- Attachment A: Committee and Work Group Chartering Process, General Requirements.

Purpose: To facilitate the Authority Board's ability to carry out the functions described in the Joint Exercise of Powers Agreement, as may be amended, in an advisory role and to not make any binding decisions on behalf of the Authority's Board of Directors.

Meeting Frequency: When either the Chair or Vice-Chair deems necessary.

Committee's Roles and Responsibilities:

[1] The total number of participating agencies on this Committee shall not exceed the Authority Board's quorum threshold.

- Acting in an administerial role, serves as the clearinghouse to assign and/or prioritize matters of interest to the most-appropriate committee; who will then prepare a recommendation to the Authority's Board of Directors for consideration and possible action.

  Should either the likelihood for potential duplication of effort between committees exist or the need to ensure integration between committees exists, assign one committee to be the overall lead.

- To ensure each committee is performing their duties efficiently, effectively, timely reporting to the Authority's Board of Directors, and in accordance with the current version of the Joint Exercise of Powers Agreement. In addition, to prevent the duplication of effort between multiple committees.

  NOTES: Agreement by the Chair and Vice-chair is needed to appoint or replace a Committee Chair.

- To ensure matters of interest to the Authority that are related to work delegated to the Authority Board are being addressed and reported in a timely manner. This may include requesting specific items be included in the Authority Board's meeting agenda packages.

- To ensure matters of interest to the Authority Board that either are being addressed by the Authority or require action from the Authority's Board are being addressed and reported in a timely manner. This may include requesting specific items be included in the Authority's meeting agenda packages.

Topic: **Sites Reservoir Project** **2020 July 22**

Subject: **Authority Ad Hoc Land Management Committee**

Authority Committee Chartering Document

Status: **Ad Hoc**

- Leader: Gary Evans (Colusa County)
- Members (3): Lee McDaniel (Glenn County)
  Jeff Sutton (TCCA)
  Logan Dennis (GCID)
- Staff Support:
  1. The External Affairs Manager
  2. Legal counsel on an as needed basis.
  3. Other specialty advisors or experts on an as needed basis.
- Formation: March 22, 2019
- Expires: TBD

Related Documents:

- Attachment A: Committee Chartering Process, General Requirements

Purpose: To advise the Authority on Real Estate, Land Management and Site Facilities issues, including land use changes caused by proposed project facilities for incorporation into both the EIR/S and Reclamation's congressionally mandated Feasibility Report.

Meeting Frequency: When either the Leader determines or an Authority Board Member requests that a potential issue exists to warrant convening the ad hoc committee to develop a recommended resolution or response for the Authority Board to then consider and act upon.

Committee Roles and Responsibilities:

- The primary focus of this Committee will be:
  - Issues and activities relating to the Sites Project Authority's Real Estate program including temporary-rights-of-entry (TROE), property acquisition and landowner engagement activities.

Draft_0027845

- Issues and activities relating to the Site Project Authority's Land Management program including policies for the management of Authority acquired property, management of easements (project and mitigation), and other land management activities.

- Issues and activities relating to the planning and development of the project's proposed recreation facilities and other public lands.

- Issues and activities relating to coordination with local agencies regarding project planning activities, facilities siting issues and changes in local land use.

Topic: **Sites Reservoir Project** **2020 July 22**

Subject: **Authority Ad Hoc Legislative & Outreach Committee**

Authority Committee Chartering Document

Status: **Ad Hoc**

- Leader: Jeff Sutton (TCCA)
- Members (3): Fritz Durst (RD 108)
  Garry Evans (Colusa County)
  Logan Dennis (GCID)
- *RC:* *Reservoir Committee Chair (Thad Bettner – GCID)*
  Reservoir Committee Vice Chair (Jeff Davis – SGPWD)
- Staff Support:
  1. External Affairs Manager – Lead Staff
  2. Legal counsel on an as needed basis.
  3. Other specialty advisors or experts on an as needed basis.
- Formation: March 22, 2019
- Expires: TBD

Related Documents:

- Attachment A: Committee Chartering Process, General Requirements

Purpose: To advise the Authority on Federal, State and Local legislative/government affairs issues and to advise on landowner coordination, stakeholder engagement, public outreach, and media activities.

Meeting Frequency: When either the Leader determines or an Authority Board Member requests that a potential issue exists to warrant convening the ad hoc committee to develop a recommended resolution or response for the Authority Board to then consider and act upon.

Committee Roles and Responsibilities:

- The primary focus of this Committee will be:

Draft_0027847

- Issues and activities relating to the Sites Project Authority's Federal and State Government Affairs/Legislative program including strategy, advocacy, and engagement with Federal and State elected officials, legislative/committee staff, and regulatory agency leadership.

- Issues and activities related to the Sites Project Authority's landowner and local governmental agency coordination effort.

- Issues and activities related to the Sites Project Authority's Communications Program including stakeholder engagement, public outreach, and media relations.

Committee Chartering Document

Status: **Ad Hoc**

- Chair: Jamie Traynham (Davis WD)
- Vice Chair: Robert Cheng (CVWD)
- Members (6): Thad Bettner (GCID)
  Katrina Jessop (SCVWD)
  Rob Kunde (WR-M WSD)
  Shelly Murphy (Colusa County WD)
  Dan Ruiz (Westside WD)
  Bill Vanderwaal (RD-108)
- Staff Lead: Joe Trapasso
- Staff Support: Legal counsel on an as needed basis
  Other specialty advisors or experts on an as needed basis
- Formation: May 27, 2020
- Expires: End of the Phase 2 (2019) Reservoir Project Agreement

Related Documents:

- Attachment A: Work Group Chartering Process, General Requirements

Purpose: To advise the Reservoir Committee and Authority Board on:

a. Status of expenditures,

b. Progress related to implementation of Work Plans,

c. Contract and task order documents,

d. Agreements such as the 2019 Reservoir Participation Agreement

e. Credit Reimbursement Policy,

f. Proposed finance plan, and

g. Project's overall economics for inclusion in the Feasibility Report and WSIP-required state feasibility assessment.

Meeting Frequency: When either the Chair determines, or an Authority Chairperson requests that a potential issue exists to warrant convening the Committee to develop a recommended resolution or response for the Authority to then consider and act upon.

Committee's Roles and Responsibilities:

- The primary focus areas of this work group are:

  a. Serve as the Authority's budget committee to manage the planned, actual, and forecast costs for Phase 2;

  b. Maintaining both the Cost Development Model and Credit reimbursement Policy;

  c. Assessment of the Project's overall economic feasibility;

  d. Recommending a financing strategy for each subsequent project phase;

  e. Recommending how requests to participate should proceed; including the rebalancing processes that will occur prior to the end of August 2020 and December 31, 2021.

  f. Review monthly payment of claims for adequacy to recommend approval to the Reservoir Committee and Authority Board.

  g. Review of Reclamation's Feasibility Study for both economic and financial feasibility.

  NOTE: The review for technical feasibility has been assigned to the Water Facilities Work Group.

Topic: **Sites Reservoir Project, Phase 2 (2020)** **2020 July 22**

Subject: **Authority Board's Committees**

Committee Chartering Process, General Requirements
(Attachment A to each Committee's Chartering Document)

Introduction: Advancing the Sites Reservoir Project (Project) requires the integration of both multiple disciplines and the respective values each participant expects to obtain through this and potentially subsequent phases. Given the size and complexity of this Project, the work has been organized into major categories of work (e.g. cost centers) that serve as the basis for executing specific Project Agreements, such as the Reservoir Committee and Authority Board for the Project's Phase 2 (2019)[1].

In anticipation of the need to expeditiously and cost-effectively resolve issues, the Authority Board has been further organized into committee by key topic areas. Each committee will be advisory to the Authority Board and be responsible for developing recommendations for the Authority Board's consideration regarding the specific matter assigned to them by an over-arching Coordination Committee. The Coordination Committee's purpose is to (a) perform ministerial functions that are intended to ensure integration and facilitate timely decision-making by the Authority Board and (b) both maintenance of and recommend interpretation of the Authority Board's governance documents.

Chartering Document's Purpose:

- To define the primary roles and responsibilities applicable to each committee to address specific matters and to avoid the potential for duplication of effort among multiple committees;

- To establish a timeline for completion of the specific assignment with the expectation its role be revisited and, as appropriate, the chartering document either be amended or a new committee be created.

Committee Roles and Responsibilities: Each committee's primary roles and responsibilities include the following, which are in addition to specific assignment identified in their respective chartering document:

- To keep the Authority Board's Leadership Team appraised of the committee's progress and the escalation of issues in a timely manner.

[1] Since Phase 1, the Authority and Reservoir Committee have been deemed to each be a legislative body as referred to in the Brown Act. Should there be a need for an Authority Committee to meet with a Reservoir Committee's Workgroup, so long as both are advisory, the resulting meeting does not have to be noticed.

Draft_0027851

- To incorporate the Authority Board's direction to their respective committee; to then provide recommendations back to the Authority Board for their consideration and eventual disposition (e.g. adoption of a document or recommended policy).

- To review staff and consultant developed work products and/or deliverables for:

  a. Acceptability with the applicable standard of practice related primarily to technical and quality considerations;

  b. Identification of potential scope changes that may affect – both positively and negatively – the approved annual budget, target work plan, and/or schedule;

  c. Identification of potentially significant changes in risk and/or the reallocation of risk;

  d. Identification of potential policy issues; and,

  e. Ensuring a consolidated set of comments is maintained and final acceptance of how subsequent versions have addressed prior comments.

- Where issues require an integrated response from multiple committees, the Authority Board's leadership team may assign a lead who will then coordinate with each of the required committees to develop an integrated response for the Authority Board's consideration.
- Each committee's activities are ad hoc in nature in that they are limited in scope to that which is provided for in the accompanying "Committee Chartering Document" and presently anticipated to be completed within a phase (or year), generally through review of portions of drafts of feasibility studies, the EIR/EIS and the WSIP application and related documents.

Participation: Organizations[2] who are signatory to the Authority Board and deemed to be in good standing by the Coordination Committee, have been approved to provide in-kind services to the Authority Board, may designate personnel to represent their interests and serve in an active capacity to any Committee. Authority Board Committees may also include as technical advisors who are not signatory to the Authority Board, but whose specific knowledge and expertise is deemed essential to advise on specific issues. This can include Authority Members, Associate Members, and non-voting cost-share partners or Ex Officio Members.

---

[2] Refer to Project Agreement Committee's chartering document, which defines membership types applicable to allow participation in the respective Project Agreement Committee.

Sites

Topic: **Authority Board Agenda Item 1.7** **2020 July 22**

Subject: **Contract Amendment for Professional Services (Brown and Caldwell)**

Requested Action:

Consider approval of an amendment to Brown and Caldwell's (Project Controls) contract and task order scope of work and budget in the amount of $31,881 for a new total contract authority of $1,942,399.

Detailed Description/Background:

The Project Controls contract supports the Project Operations Manager providing primarily staff augmentation to cover financial and administrative activities. Brown and Caldwell was competitively selected for these services in March 2019 and an Amendment 1B task order was issued in February 2020 to carry these services through August 2020. Through the past six months, additional work was incurred in these areas developing work plans, leading the rebalancing and outreach efforts, and supporting early funding agreement changes with the CWC. Also, the Executive Director has been delegating more of the administrative activities to the B&C support staff to free up his time for higher value activities. Staff tried to stretch the contract authority through to August 2020 but has come up short and a modest increase is necessary to continue to provide these important functions in August.

Prior Action:

February 26, 2020: Approved Project Controls task order amendment of $899,156 for the period of January 1, 2020 through August 31, 2020.

January 17, 2020: Approved Amendment 1B Work Plan for the period of January 1, 2020 through August 31, 2020 as part of a no cost time extension to the then current Participation Agreement. The Amendment included summary-level descriptions of the deliverables with estimated Reservoir Committee budgets. Each deliverable budget was further defined in the document by the level of effort required to complete each deliverable by consultant for use in developing amended consultant task orders intended to be submitted for approval to the Reservoir Committee and Authority Board in February 2020.

December 19, 2019: Approved a recommendation to the Sites Project Authority to approve an amendment to Brown and Caldwell's (B&C) (Project Controls) contract period of performance by extending their task order from December 31, 2019 through June 30, 2020 with no change in their cost.

Draft_0027853

March 22, 2019: Approved a recommendation to the Sites Project Authority to approve the Brown and Caldwell Phase 2 (2019) task order and budget for project controls services from April 1, 2019 through December 31, 2019.

January 18, 2019: Delegated responsibility to the Ad Hoc Finance and Economics Work Group to review and consider accepting the terms and conditions and recommending to the Sites Project Authority to approve the agreement negotiated by staff.

November 16, 2018: Approved a recommendation to the Sites Project Authority to accept the evaluation panel's selection of B&C for Service Area B – Project Controls.

August 16, 2018: Approved a recommendation to the Sites Project Authority to the release of the Project Development Support Services RFQ-18-04.

Fiscal Impact/Funding Source:

The BC contract ceiling is proposed to increase by $31,881 with this amendment to complete the additional scope of work. This additional budget would increase the contract budget ceiling to a not to exceed amount of $1,942,399.

This change will be accommodated within the Amendment 1B Work Plan total budget. $31,881 will be reallocated from the General Manager (GM) budget (Reservoir Committee share). The Reservoir Committee share of the GM budget in the Amendment 1B Work Plan is $258,400. Actual spend to date for the GM is $159,763 and no further invoices are anticipated, leaving $98,637 remaining. This reallocation does not impact the Amendment 1B carryover funds previously discussed as part of the cash call process.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Task Order Amendment.

Sites Project Authority

Project Controls

Task Order Amendment

Consultant: Brown and Caldwell

Task Order No. 2.4

Task Order No. 2.4 amends the current Brown and Caldwell Consulting Agreement and task order work activities with the Authority for Project Controls Services for the period of performance through August 31, 2020. This task order amendment expands the scope of work and increases the budget as presented below.

Scope of Work

Brown and Caldwell has been providing additional administrative support to the Authority's new Executive Director and has supported changes to the Early Funding Agreement with the California Water Commission (CWC) to provide increased project revenue. Additional administrative support is required in support of planned town hall meetings and strategic planning sessions. Brown and Caldwell has developed Participation Agreement work plans for the Reservoir Committee, and has supported recent rebalancing efforts. Finally, additional changes are required to the Amendment 2 Work Plan based on increased CWC revenue, cash call timing due to COVID-19, project rebalancing, and negotiated task orders.

Budget

The Brown and Caldwell contract ceiling is increased by $31,881 with this amendment to complete the additional scope of work. This additional budget increases the contract budget ceiling to a not to exceed amount of $1,942,399.

Period of Performance

The period of performance of the task order does not change with this amendment and remains as August 31, 2020.

Brown and Caldwell	**Sites Project Authority**
By: _____	By: _____
Printed Name: _____	Printed Name: _____
Date: _____	Date: _____

Sites

Topic: **Authority Board Agenda Item 2.1** **2020 July 22**

Subject: **2019 Financial Audit Report**

Requested Action:

Consider acceptance of the Financial Auditor's Report.

Detailed Description/Background:

Fechter and Company, CPA, was awarded a contract to conduct an audit of the Authority's financial information for the fiscal years 2016, 2017 and 2018. The audit for the fiscal years 2016 and 2017 were initiated in April 2018 and completed in early July. The fiscal year 2018 audit report was initiated in April 2019 and completed in July 2019.

The Authority approved Fechter and Company to conduct the fiscal year 2019 audit. It was started April 2020 and concluded June 2020.

The report for fiscal year 2019 concludes: "In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Authority as of December 31, 2018, and the results of its operations and cash flows for the years then ended in conformity with accounting principles generally accepted in the United States of America."

It should be noted current liabilities increased from $2,146,939 as of December 31, 2018 to $6,793,111 as of December 31, 2019, due primarily to an increase in the Authority's Participant Reimbursement Policy liability.

Prior Reservoir Committee Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A – 2019 Financial Audit Report.

Attachment B – 2019 Management Report.

Draft_0027856

SITES PROJECT AUTHORITY

ANNUAL FINANCIAL REPORT
With
Independent Auditor's Report Thereon

DECEMBER 31, 2019

SITES PROJECT AUTHORITY

Annual Financial Report
December 31, 2019

Table of Contents

	Page
Introductory Section	
Organization of Authority and List of Board of Directors	1
Financial Section	
Independent Auditor's Report	2-3
Management's Discussion and Analysis	4-7
Basic Financial Statements	
Statement of Net Position	8
Statement of Activities	9
Balance Sheet – Governmental Funds	10
Statements of Revenues, Expenditures, and Changes in Fund Balances	11
Notes to Basic Financial Statements	12-17
Required Supplementary Information	
Statement of Revenues, Expenditures, and Changes in Fund Balances Budget to Actual – General Fund	18
Statement of Revenues, Expenditures, and Changes in Fund Balances Budget to Actual – Reservoir Fund	19
Notes to Required Supplementary Information	20
Other Reports	
Independent Auditor's Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with Government Auditing Standards	21-22

Draft_0027858

SITES PROJECT AUTHORITY

Organization of Authority and List of Board of Directors
December 31, 2019

Sites Project Authority (the Authority) was established on August 26, 2010, when seven regional entities, including several local water agencies and Counties, executed the Joint Exercise of Power Agreement. The primary purpose of the Authority, as stated in the agreement, is to pursue the development and construction of the Sites Reservoir Project, which has long been viewed as an ideal location for additional off stream storage to provide direct and real benefits to instream flows, the Delta ecosystem, and water supply. In keeping their commitment to move the project forward on behalf of the region, the Authority has spent the last nine years working towards this goal by engaging the public, various stakeholders, state and federal agencies and landowners, initiating the required environmental planning process, and conducting feasibility studies, among other efforts.

GOVERNING BOARD

Name	Office	Member Represented
Fritz Durst	Chair	Reclamation District 108
Gray Allen	Vice Chair	Placer County Water Agency
Gary Evans	Member	County of Colusa
Joe Marsh	Member	Colusa County Water District
Leigh McDaniel	Member	County of Glenn
Logan Dennis	Member	Glenn-Colusa Irrigation District
Jeff Harris	Member	City of Sacramento
Jeff Sutton	Member	Tehama-Colusa Canal Authority
Doug Parker	Member	Westside Water District
Jamie Traynham	Treasurer	TC 4 Districts
Greg Johnson	Member - Non-Voting	Western Canal Water District
Don Bader	Cost-Share Partner - Non-Voting	U.S. Bureau of Reclamation
Rob Cooke	Ex-Officio - Non-Voting	CA Department of Water Resources

Draft_0027859

FECHTER & COMPANY
Certified Public Accountants

Craig R. Fechter, CPA, MST

INDEPENDENT AUDITOR'S REPORT

Board of Directors
Sites Project Authority
Maxwell, California

Report on the Financial Statements

We have audited the accompanying financial statements of the governmental activities and each major fund of the Sites Project Authority (the Authority), as of and for the year ended December 31, 2019, and the related notes to the financial statements, which collectively comprise the Authority's basic financial statements as listed in the table of contents.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, and the State Controller's Minimum Audit Requirements for California Special Districts. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

3445 American River Drive Suite A | Sacramento, CA 95864 | ph 916-333-5360 | fax 916-333-5370
www.fechtercpa.com
Member of the American Institute of Certified Public Accountants Tax Section
and California Society of CPAs

Draft_0027860

Board of Directors
Sites Project Authority
Maxwell, California

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Authority as of December 31, 2019, and the results of its operations and cash flows for the years then ended in conformity with accounting principles generally accepted in the United States of America.

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis information, and the budgetary comparison schedules, as listed in the table of contents, be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated June 15, 2020, on our consideration of the Authority's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Authority's internal control over financial reporting and compliance.

Fechter & Company
Certified Public Accountants

Sacramento, California
June 15, 2020

Draft_0027861

SITES PROJECT AUTHORITY

Management's Discussion and Analysis
December 31, 2019

The following Management's Discussion and Analysis (MD&A) of activities and financial performance of the Sites Project Authority (the Authority) provides an introduction to the financial statements of the Authority for the year ended December 31, 2019, and a comparison to the prior audited financial statements for the year ended December 31, 2018. We encourage readers to consider the information presented here in conjunction with the transmittal letter in the Introductory Section and with the basic financial statements and related notes, which follow this section.

Financial Highlights

- The Authority's assets exceed liabilities by $5,898,590 as of December 31, 2019.

- The Authority's revenues exceeded expenditures by $4,436,226 for the year ending December 31, 2019. The Authority's largest expense was for professional services. Professional services were 67% of total expenses for the year ending December 31, 2019.

Required Financial Statements

This annual report consists of a series of financial statements. The *Statement of Net Position* and the *Statement of Activities* provide information about the activities and performance of the Authority using accounting methods similar to those used by private sector companies.

The *Statement of Net Position* includes all of the Authority's investments in resources (assets) and the obligations to creditors (liabilities). It also provides the basis for computing a rate of return, evaluating the capital structure of the Authority, and assessing the liquidity and financial flexibility of the Authority. All of the current period's revenue and expenses are accounted for in the *Statement of Activities*. This statement measures the success of the Authority's operations over the past year and can be used to determine if the Authority has successfully recovered all of its costs through its revenues. This statement can also be used to evaluate profitability and credit worthiness.

More detailed information about the Authority's most significant funds – not the Authority as a whole, is provided in the fund financial statements. Funds are accounting devices the Authority uses to keep track of specific sources of funding and spending on particular programs. The *Balance Sheet* for governmental funds presents financial information by fund types showing money left at year-end available for spending. The *Statement of Revenues, Expenditures, and Changes in Fund Balances* for all governmental fund types focuses on how money flows into and out of the various funds.

Financial Analysis of the Authority

One of the most important questions asked about the Authority finances is, "Is the Authority better off or worse off as a result of this year's activities?" The *Statement of Net Position* and the *Statement of Activities* report information about the Authority in a way that helps answer this question. These statements include all assets and liabilities using the accrual basis of accounting, which is similar to the accounting used by most private sector companies. All of the current year's revenues and expenses are taken into account regardless of when the cash is received or paid.

These two statements report the Authority's net assets and changes in them. You can think of the Authority's net assets – the difference between assets and liabilities – as one way to measure the Authority's financial health or financial position. Over time, increases or decreases in the Authority's

Draft_0027862

net assets are one indicator of whether its financial health is improving or deteriorating. However, one will need to consider other non-financial factors such as changes in economic conditions, population growth, zoning, and new or changed government legislation, such as changes in Federal and State regulations.

Notes to the Basic Financial Statements

The notes provide additional information that is essential to a full understanding of the data provided in the basic financial statements.

Statement of Net Position

	2019	2018	Change
Assets			
Cash and investments	$ 7,342,108	$ 3,567,974	$ 3,774,134
Other current assets	5,349,593	41,329	5,308,264
Total Assets	12,691,701	3,609,303	9,082,398
Liabilities			
Current liabilities	6,793,111	2,146,939	4,646,172
Total Liabilities	6,793,111	2,146,939	4,646,172
Net Position			
Unrestricted	5,898,590	1,462,364	4,436,226
Total Net Position	$ 5,898,590	$ 1,462,364	$ 4,436,226

As discussed earlier, net position may serve over time as a useful indicator of a government's financial position. In the case of the Authority, assets exceeded liabilities by $5,898,590 and $1,462,364 as of December 31, 2019 and 2018, respectively.

The largest portion, 58%, of the Authority's assets was cash in bank as of December 31, 2019.

Current liabilities increased from $2,146,939 as of December 31, 2018, to $6,793,111 as of December 31, 2019, due primarily to an increase in the Authority's Participant Reimbursement Policy liability.

At the end of fiscal years 2019 and 2018, the Authority showed a positive balance in its unrestricted net position of $5,898,590 and $1,462,364, respectively.

Draft_0027863

Statement of Activities

	2019	2018	Change
General Revenues			
Membership Admin/Authority	$ 506,294	$ 691,795	$ (185,501)
Membership - Water	11,456,740	-	11,456,740
Prop 1 funding	8,080,794	-	8,080,794
Interest revenue	121,535	1,183	120,352
Total Revenues	20,165,363	692,978	19,472,385
Operating Expenses			
Authority/Admin	494,930	427,934	66,996
Reservoir	15,234,207	5,951,648	9,282,559
Total Expenditures	15,729,137	6,379,582	9,349,555
Change in Net Position	$ 4,436,226	$ (5,686,604)	$ 10,122,830

The S*tatement of Activities* shows how the Authority's net position changed during the periods. In the case of the Authority, net position increased by $4,436,226 for the year ending December 31, 2019, and decreased by $5,686,604 for the year ending December 31, 2018, respectively.

A closer examination of the sources of changes in net position reveals that:

Total revenue, which consisted of member dues and assessments, increased by $19,472,385 from the year ending December 31, 2018, to the year ended December 31, 2019, due primarily to the billing of Phase 2 of the Project ($11.6 million) and Prop 1 funding ($8.1 million). Total expenses also increased by $9,349,555 from the year ending December 31, 2018, to the year ended December 31, 2019, to match the Authority's scope of work in 2019.

Authority/Admin expenses comprised 3.15% and 6.71% of total expenses for the years ending December 31, 2019 and 2018, respectively. Reservoir expenses comprised 96.85% and 93.29% of total expenses for the years ending December 31, 2019 and 2018, respectively.

General Fund Budgetary Highlights

The Authority's total budget for the General Fund for the year ended December 31, 2019, showed excess revenues over expenditures of $258 compared to the actual amount of $24,264. The difference was primarily due to the determination of critical tasks that were required to be postponed.

	Actual	Budget	Variance
Revenues	$ 519,194	$ 515,000	$ 4,194
Operating Expenses	494,930	514,742	(19,812)
Net Increase	$ 24,264	$ 258	$ 24,006

Draft_0027864

Conditions Affecting Current Financial Position

The Authority continues to plan and expand project activities to build the large water infrastructure project. Expanding activities results in a continuation of increases to the annual financial budgets.

The California Water Commission's Early Funding Agreement (EFA) with the Authority was approved mid-2019 for the maximum amount payable by the State to not exceed $40,818,884 for activities reasonably related to the completion of environmental documentation and permitting. In 2019, the Authority processed and received a State payment of $2,769,021 towards the not to exceed amount for eligible activities. In 2020, the Authority will continue to prepare and submit EFA invoices for payment.

The current phase of the project is anticipated to be completed by August 31, 2020, and is fully funded. The Authority is planning to finalize the next phase of the project in mid-2020 to initiate work on September 1, 2020 through December 31, 2021. The current plan is to fund the next phase of the project through a Participants' cash call and additional received EFA dollars.

Future Plans for the Sites Reservoir Project

As an ongoing part of the EFA funding process, the Authority will continue to prepare and submit invoices for payment on a quarterly basis.

The Authority will continue to expand its governance and member participation.

The Authority will be developing a detailed work plan and financing plan for the remainder of Phase 2 through December 31, 2021.

The Authority will secure additional necessary professional services contracts such as engineering contracts to support the implementation of the Phase 2 Work Plan and beyond. Critical Phase 2 activities that need to be performed by the professional services includes further work to secure necessary environmental permits and agreements, additional operations simulation modeling, and detailed engineering work.

Requests for Information

This financial report is designed to provide the Authority's funding sources, customers, stakeholders, and other interested parties with an overview of the Authority's financial operations and financial condition. Should the reader have questions regarding the information included in this report or wish to request additional financial information, please contact the Authority's Treasurer at P.O. Box 517, Maxwell, California 95955 or view the website of the Authority at www.sitesjpa.org.

Draft_0027865

SITES PROJECT AUTHORITY
STATEMENT OF NET POSITION
DECEMBER 31, 2019

ASSETS		
Cash and investments	$	7,342,108
Due from other governments		35,293
Grants receivable		5,311,774
Prepaid expenses		2,526
TOTAL ASSETS		12,691,701
LIABILITIES		
Accounts payable		728,458
Participant reimbursement policy		6,064,653
TOTAL LIABILITIES		6,793,111
NET POSITION		
Unrestricted		5,898,590
NET POSITION	$	5,898,590

The accompanying notes are an integral part of these financial statements.

Draft_0027866

SITES PROJECT AUTHORITY
STATEMENT OF ACTIVITIES
FOR THE YEAR ENDED DECEMBER 31, 2019

GOVERNMENTAL ACTIVITIES:

EXPENSES:

Authority/Admin	$ 494,930
Reservoir	15,234,207
Total expenses	$ 15,729,137

GENERAL REVENUES:

Membership Admin/Authority	$ 506,294
Membership Water	11,456,740
Prop 1 funding	8,080,794
Interest revenue	121,535
Total general revenues	20,165,363
Change in net position	4,436,226
Net position, beginning of fiscal year	1,462,364
Net position at end of fiscal year	$ 5,898,590

The accompanying notes are an integral part of these financial statements.

Draft_0027867

SITES PROJECT AUTHORITY
BALANCE SHEET - GOVERNMENTAL FUNDS
DECEMBER 31, 2019

	General Fund - Authority	Special Revenue - Reservoir	Total
ASSETS			
Cash and investments	$ 504,957	$ 6,837,151	$ 7,342,108
Due from other governments	12,863	22,430	35,293
Grants receivable	-	5,311,774	5,311,774
Prepaid expenses	334	2,192	2,526
TOTAL ASSETS	$ 518,154	$ 12,173,547	$ 12,691,701
LIABILITIES			
Accounts payable	$ 51,526	$ 676,932	$ 728,458
Participant reimbursement policy	-	6,064,653	6,064,653
Total liabilities	51,526	6,741,585	6,793,111
FUND BALANCES			
Fund balance:			
Assigned to development of the reservoir	-	5,431,962	5,431,962
Unassigned	466,628	-	466,628
Total fund balance	466,628	5,431,962	5,898,590
TOTAL LIABILITIES AND FUND BALANCES	$ 518,154	$ 12,173,547	$ 12,691,701

The accompanying notes are an integral part of these financial statements.

Draft_0027868

SITES PROJECT AUTHORITY
STATEMENT OF REVENUES, EXPENDITURES, AND CHANGES IN FUND BALANCES
GOVERNMENTAL FUNDS
FOR THE YEAR ENDED DECEMBER 31, 2019

	General Fund - Authority	Special Revenue - Reservoir	Total
REVENUES			
Membership Admin/Authority	$ 506,294	$ -	$ 506,294
Membership Water	-	11,456,740	11,456,740
Prop 1 funding	-	8,080,794	8,080,794
Interest revenue	12,900	108,635	121,535
Total revenues	519,194	19,646,169	20,165,363
EXPENDITURES			
Current:			
Accounting services	8,527	34,111	42,638
Audit fees	-	11,892	11,892
Administrative support	13,437	53,749	67,186
General manager	50,532	385,369	435,901
Insurance	200	585	785
Office expenses	8,197	4,509	12,706
Rent expense	-	2,500	2,500
Dues and Subscriptions	15,799	6,981	22,780
Professional fees	395,821	10,064,129	10,459,950
Reimbursements	-	4,660,713	4,660,713
Website, computer support	2,182	8,729	10,911
Miscellaneous	235	940	1,175
Total expenditures	494,930	15,234,207	15,729,137
Net changes in fund balances	24,264	4,411,962	4,436,226
Fund balances, beginning of year	442,364	1,020,000	1,462,364
Fund balances, end of year	$ 466,628	$ 5,431,962	$ 5,898,590

The accompanying notes are an integral part of these financial statements.

Draft_0027869

NOTE 1: SIGNIFICANT ACCOUNTING POLICIES

Reporting Entity: Sites Project Authority (the Authority) was established as an independent special agency in August of 2010 under the Joint Exercise of Powers Act, California Government Code, Section 6500.

As of December 31, 2019, 11 public entities, filling 9 Board seats with 2 government agencies serving ex-officio positions and 2 Associate Members, were approved members of the Authority as follows:

Reclamation District 108	Westside Water District
Placer County Water Agency/ City of Roseville	Colusa County Water District
County of Colusa	Sacramento County Water Agency/ City of Sacramento
County of Glenn	California Department of Water Resources
Glenn-Colusa Irrigation District	TC 4 Districts (Associate Member)
Tehama-Colusa Canal Authority	Western Canal Water District (Associate Member)
U. S. Bureau of Reclamation	

The Authority is a public entity district and therefore, falls under the guidelines of a special district governmental entity. The Authority is governed by a board of directors that consists of one representative of each member.

The Authority was developed with the purpose to effectively study, promote, develop, design, finance, acquire, construct, manage, and operate Sites Reservoir and the related facilities. The purpose of pursuing and developing the Sites Reservoir are to: (a) increase surface water storage and enhance water management flexibility in the Sacramento Valley, (b) provide flood control benefits, (c) improve conditions for fish and wildlife in the Sacramento Valley and (d) improve the operation of the State's water system.

Financial Statement Presentation: The *Statement of Net Position* and *Statement of Activities* display information about the primary government (the Authority). These statements include the financial activities of the overall government. These statements report the governmental activities of the Authority, which are normally supported by inter-governmental revenues. The Authority had no business-type activities as of and for the year ended December 31, 2019.

The *Statement of Activities* presents a comparison between direct expenses and program revenues for each function of the Authority's governmental activities. Direct expenses are those that are specifically associated with a program or function and; therefore, are clearly identifiable to a particular function. Program revenues include operating grants. Revenues that are not classified as program revenues are presented instead as general revenues.

Draft_0027870

NOTE 1: SIGNIFICANT ACCOUNTING POLICIES - CONTINUED

Fund financial statements of the Authority are organized into one fund which is considered to be a separate accounting entity. The fund is accounted for by providing a separate set of self-balancing accounts that constitute its assets, liabilities, fund equity, revenues, and expenditures. The Authority reports two funds, the General Fund, which accounts for all revenues and expenditures necessary to carry out the administrative activities of the Authority, and the Reservoir Fund, a special revenue fund used to account for specific work activities for the development of the Sites Reservoir Project and is funded by contributions from the Project Agreement Members.

Basis of Accounting and Measurement Focus: Basis of accounting refers to the point at which revenues or expenditures/expenses are recognized in the accounts and reported in the financial statements. It relates to the timing of the measurements made regardless of the measurement focus applied.

The government-wide financial statements are reported using the economic resources measurement focus and the accrual basis of accounting. Revenues are recorded when earned and expenses are recorded at the time liabilities are incurred, regardless of when the related cash flows take place. Non-exchange transactions, in which the Authority gives (or receives) value without directly receiving (or giving) equal value in exchange, include grants, entitlements, and donations.

Revenues from grants, entitlements, and donations are recognized in the fiscal year in which all eligibility requirements have been satisfied.

Governmental funds are reported using the current financial resources measurement focus and the modified accrual basis of accounting. Under this method, revenues are recognized when measurable and available. Charges for services, operating grants, and use of money and property are accrued when their receipt occurs within sixty days after the end of the accounting period so as to be both measurable and available. Expenditures are generally recorded when a liability is incurred, as under accrual accounting.

Cash: The Authority's cash includes demand deposits. The Authority had no investments during the year ending December 31, 2019.

The governing board has not formally adopted any deposit and investment policies that limits the Authority's allowable deposits or investments and addresses the specific type of risk to which the Authority is exposed.

Due from Other Governments: Amounts due from other governments represent amounts due from member agencies that has been earned but not received by the end of the period.

As of December 31, 2019, the Authority has determined that all amounts are collectable and therefore, no doubtful account allowance was deemed necessary as of December 31, 2019.

Draft_0027871

NOTE 1: SIGNIFICANT ACCOUNTING POLICIES - CONTINUED

Capital Assets: The Authority defines capital assets as property, plant, equipment, and infrastructure assets with an initial individual cost of $5,000 and a useful life of three years or more. As of December 31, 2019, the Authority had no capital assets.

Unearned Revenue: This represents amounts received from members that will be applied to future billings.

Revenue: The Authority's revenue is comprised of the following:

General Fund – Authority Revenue

Authority members pay an annual membership fee which is established by the Authority. The membership fee for 2019 was $55,000 per member.

Special Revenue – Reservoir Fund

Revenue is recognized according to the Project's board-approved operating budget for each phase of the Project. The Project Agreement Members are billed in accordance with their pro-rata share of the budgeted costs for activities undertaken pursuant to the Project Agreement.

To withdraw from this Project Agreement, a Project Agreement Member shall give the Authority and other Project Agreement Members written notice of such withdrawal not less than 30 days prior to the withdrawal date. As of the withdrawal date, all rights of participation in this Project Agreement shall cease for the withdrawing Project Agreement Member. The financial obligation as prescribed in the Bylaws' Section 5.10 in effect on the withdrawal date, shall consist of the withdrawing Member's share of the following costs: (a) payment of its share of all non-contract costs incurred prior to the date of the written notice of withdrawal, and (b) those contract costs associated with funds approved.

Government-Wide Net Position:
When both restricted and unrestricted resources are available for use, it is the Authority's policy to use restricted resources first, then unrestricted resources as they are needed.

Government-wide net position is reported in three components - net investment in capital assets, restricted, and unrestricted. These classifications are defined as follows:

Net investment in capital assets – This component consists of capital assets, including restricted capital assets, net of accumulated depreciation and reduced by the outstanding balances of any bonds, mortgages, notes or other borrowings that are attributable to the acquisition, construction, or improvement of those assets.

Restricted – This component consists of constraints placed on net position through external constraints imposed by creditors (such as through debt covenants), grantors, contributors, or regulations of other governments or constraints imposed by law through constitutional provisions or enabling legislation.

Draft_0027872

NOTE 1: SIGNIFICANT ACCOUNTING POLICIES - CONTINUED

Unrestricted – This component consists of all other net position that does not meet the definition of "restricted" or "net investment in capital assets", as defined above.

Governmental Fund Balance: In the governmental fund financial statements, fund balances are classified as follows:

Nonspendable – Amounts that cannot be spent either because they are in a nonspendable form or because they are legally or contractually required to be maintained intact.

Restricted – Amounts that can be spent only for specific purposes because of state or federal laws, or externally imposed conditions by grantors or creditors.

Committed – Amounts that can be used only for specific purposes determined by formal action of the Authority.

Assigned – Amounts that are designated by the Authority to be used for specific purposes, but are neither restricted nor committed. The board of directors has the authority to assign amounts to be used for specific purposes.

Unassigned – All amounts not included in other spendable classifications.

Estimates: The preparation of financial statements, in conformity with accounting principles generally accepted in the United States of America, requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Actual results may differ from those estimates.

NOTE 2: CASH AND INVESTMENTS

Demand Deposits: The carrying amount and the bank balance of the Authority's cash as of December 31, 2019, were $7,342,108 and $7,853,942, respectively. The Federal Deposit Insurance Corporation (FDIC) insures deposits up to $250,000. As of December 31, 2019, $7,603,942 was in excess of the insurance coverage.

Custodial credit risk is the risk that in the event of a bank failure, the Authority's deposits may not be returned to it. The California Government Code and the Authority's investment policy do not contain legal or policy requirements limiting exposure to custodial risk for deposits or investments. The California Government Code requires that a bank secures deposits made by state and local governmental units by pledging securities in an undivided collateral pool held by a depository regulated under state law (unless so waived by the governmental unit). The market value of the pledged securities in the collateral pool must equal at least 110% of the total amount deposited by public agencies. California law also allows financial institutions to secure the Authority's deposits by pledging first trust deed mortgage notes having a value of 150% of the secured public deposits.

Draft_0027873

NOTE 3: PROFESSIONAL FEES

Professional fees for the year ended December 31, 2019, consisted of the following:

	General Fund - Authority	Special Revenue - Reservoir	Total
Env/Biological Services	$ -	$ 1,265,952	$ 1,265,952
Environmental/Project Operation	-	326,300	326,300
Bond Counsel	3,835	4,747	8,582
Bus/Comm Manager	-	236,373	236,373
EPP Manager	-	215,834	215,834
Federal Government Affairs Supp	25,000	25,000	50,000
General Legal Counsel	65,517	74,956	140,473
Geotechnical Engineering	-	193,371	193,371
Legislative/Reg/Strategic	55,000	33,000	88,000
Program Ops Manager	-	349,666	349,666
Project Controls	-	1,331,250	1,331,250
Project Delivery Advisor	2,970	1,035	4,005
Project Integration	-	2,378,561	2,378,561
Communication	191,653	194,107	385,760
Cost Development Model	-	12,753	12,753
Cultural Study	-	19,024	19,024
Engineering & Technical Service	-	244,092	244,092
Env Planning/Permits Manager	-	391,160	391,160
Public Outreach & Engagement	13,184	8,272	21,456
Real Estate	-	207,176	207,176
Reservoir Operations	-	25,574	25,574
ROW/Land Management	-	16,932	16,932
Special Legal	-	61,934	61,934
Municipal Advisor	-	176,709	176,709
Operations/Simulation Modeling	-	927,470	927,470
Organizational Assessment	38,662	-	38,662
Permitting & Agreements	-	1,269,631	1,269,631
Project Operations	-	73,250	73,250
	$ 395,821	$ 10,064,129	$ 10,459,950

Draft_0027874

NOTE 4: RISK MANAGEMENT

The Authority is exposed to various risks and general liabilities. The Authority participates in a public entity risk pool as a member of Association of California Water Agencies Joint Powers Insurance Authority (ACWA-JPIA). Each ACWA-JPIA member agency shares surpluses and deficits proportional to their participation. The Authority pays retrospectively rated annual premiums to ACWA-JPIA for its insurance coverage and has met all obligations since participation began.

NOTE 5: PARTICIPANTS REIMBURSEMENT POLICY

According to the Authority's participants reimbursement policy, the participants who withdrew or decreased their participation in the project are entitled to a credit equal to the contribution they made up to the date of withdrawal or reduction. That credit was applied toward future contribution requirements or booked as a liability on the Authority's books to be paid when it is determined that such reimbursements will not have an adverse impact on the financial viability or overall implementation of the Project. The amount due to participants under the reimbursement policy at December 31, 2019, was $6,064,653.

NOTE 6: COMMITMENTS AND CONTINGENCIES

Amounts received or receivable from grantor agencies are subject to audit and adjustment by grantor agencies. Any disallowed claims, including amounts already collected, may constitute a liability. The amount of expenditures, if any, which may be disallowed by the grantor, cannot be determined at this time although the Authority expects such amounts, if any, to be immaterial.

The Authority has outstanding contracts related to EIR/EIS and feasibility study. The remaining commitments on these contracts as of December 31, 2019, were minimal as the contracts can be canceled with a thirty-day notice.

NOTE 7: SUBSEQUENT EVENTS

Management has evaluated subsequent events through June 15, 2020, the date which the financial statements were available to be issued. Based upon this evaluation, except for the following, it was determined that no other subsequent events occurred that require recognition or additional disclosure in the financial statements.

In January 2020, the virus SARS -CoC-2 was transmitted to the United States from overseas sources. This virus, responsible for the Coronavirus disease COVID-19, has proven to be extremely virulent with transmission rates as yet unknown. The economic impact in the State of California as yet has not been determined and therefore any potential impact on the Authority is not yet known.

Draft_0027875

REQUIRED SUPPLEMENTARY INFORMATION

SITES PROJECT AUTHORITY
GENERAL FUND
STATEMENT OF REVENUES, EXPENDITURES, AND CHANGES IN FUND BALANCES - BUDGET AND ACTUAL
FOR THE YEAR ENDED DECEMBER 31, 2019

	Budgeted Amounts			Variance with Final Budget Positive
	Original	Final	Actual	(Negative)
REVENUES				
Membership Admin/Authority	$ 515,000	$ 515,000	$ 506,294	$ (8,706)
Interest revenue	-	-	12,900	12,900
Total revenues	515,000	515,000	519,194	4,194
EXPENDITURES				
Current:				
Accounting services	6,300	6,300	8,527	(2,227)
Audit fees	-	-	-	-
Administrative support	24,000	24,000	13,437	10,563
General manager	71,640	71,640	50,532	21,108
Insurance	3,250	3,250	200	3,050
Office expenses	23,330	23,330	8,197	15,133
Dues and Subscriptions	5,000	5,000	15,799	(10,799)
Professional fees	315,222	315,222	395,821	(80,599)
Reimbursements	-	-	-	-
Website, computer support	6,000	6,000	2,182	3,818
Miscellaneous	60,000	60,000	235	59,765
Total expenditures	514,742	514,742	494,930	19,812
Excess of revenues over expenditures	$ 258	$ 258	$ 24,264	$ 24,006

See notes to required supplementary information.

Draft_0027877

SITES PROJECT AUTHORITY
RESERVOIR FUND
STATEMENT OF REVENUES, EXPENDITURES, AND CHANGES IN FUND BALANCES - BUDGET AND ACTUAL
FOR THE YEAR ENDED DECEMBER 31, 2019

	Budgeted Amounts			Variance with Final Budget Positive
	Original	Final	Actual	(Negative)
REVENUES				
Membership Water	$ 16,111,534	$ 13,032,023	$ 11,456,740	$ (1,575,283)
Prop 1 funding	18,854,260	1,880,000	8,080,794	6,200,794
Interest revenue	-	-	108,635	108,635
Total revenues	34,965,794	14,912,023	19,646,169	4,734,146
EXPENDITURES				
Current:				
Accounting services	35,692	46,800	34,111	12,689
Audit fees	-	-	11,892	(11,892)
Administrative support	24,000	43,200	53,749	(10,549)
General manager	304,470	283,500	385,369	(101,869)
Insurance	-	-	585	(585)
Office expenses	8,198	3,240	4,509	(1,269)
Rent expense	124,000	-	2,500	(2,500)
Dues and Subscriptions	4,000	-	6,981	(6,981)
Professional fees	32,368,676	13,020,319	10,064,129	2,956,190
Reimbursements	2,067,094	1,472,023	4,660,713	(3,188,690)
Website, computer support	10,182	5,760	8,729	(2,969)
Miscellaneous	-	-	940	(940)
Total expenditures	34,946,312	14,874,842	15,234,207	(359,365)
Excess of revenues under expenditures	$ 19,482	$ 37,181	$ 4,411,962	$ 4,374,781

See notes to required supplementary information.

Draft_0027878

Note 1 - Budgetary Basis of Accounting

Budgets are prepared on the cash and expenditures or encumbrances basis. Revenues are budgeted in the year receipt is expected; expenditures are budgeted in the year that the applicable warrant requisitions are expected to be issued. The budget and actual financial statements are reported on the above basis, with no material differences between them.

Annual budget requests are submitted by the Authority's staff to the board of directors for preliminary review and approval. After public hearing, a final budget is approved by the board of directors, with a resolution adopting said budget. Copies of the approved budget are sent to all required agencies.

Draft_0027879

OTHER REPORTS

FECHTER & COMPANY
Certified Public Accountants

Craig R. Fechter, CPA, MST

INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

To the Board of Directors
Sites Project Authority
Maxwell, California

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in Government Auditing Standards issued by the Comptroller General of the United States, the financial statements of the governmental activities and the General Fund of Sites Project Authority, as of and for the year ended December 31, 2019, and the related notes to the financial statements, which collectively comprise the Authority's basic financial statements, and have issued our report thereon dated June 15, 2020.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the Authority's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Authority's internal control. Accordingly, we do not express an opinion on the effectiveness of the Authority's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

3445 American River Drive Suite A | Sacramento, CA 95864 | ph 916-333-5360 | fax 916-333-5370
www.fechtercpa.com
Member of the American Institute of Certified Public Accountants Tax Section
and California Society of CPAs

Draft_0027881

To the Board of Directors
Sites Project Authority

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Authority's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under Government Auditing Standards.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with Government Auditing Standards in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Fechter & Company
Certified Public Accountants

Sacramento, California
June 15, 2020

Draft_0027882

SITES PROJECT AUTHORITY

MANAGEMENT REPORT

FOR THE YEAR ENDED

DECEMBER 31, 2019

SITES PROJECT AUTHORITY

Management Report
For the Year Ended December 31, 2019

Table of Contents

	Page
Introduction	1
Required communication	2-4

FECHTER & COMPANY
Certified Public Accountants

Craig R. Fechter, CPA, MST

Board of Directors of the
Sites Project Authority
Maxwell, California

In planning and performing our audit of the financial statements of the Sites Project Authority for the year ended December 31, 2019, we considered the Authority's internal control structure to determine our auditing procedures for the purpose of expressing an opinion on the financial statements and not to provide assurance on the internal control structure.

Our consideration of the internal control would not necessarily disclose all matters in the internal control that might be material weaknesses under standards established by the American Institute of Certified Public Accountants. A material weakness is a condition in which the design or operation of one or more of the internal control components does not reduce, to a relatively low level, the risk that errors or irregularities in amounts that would be material in relation to the basic financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions.

We will review the status of these comments during our next audit engagement. We will be pleased to discuss them in further detail at your convenience, to perform any additional study of these matters, or to assist you in implementing the recommendations. We thank the Authority's staff for its cooperation on this audit.

Fechter & Company,
Certified Public Accountants

June 15, 2020
Sacramento, California

3445 American River Drive Suite A | Sacramento, CA 95864 | ph 916-333-5360 | fax 916-333-5370
www.fechtercpa.com
Member of the American Institute of Certified Public Accountants Tax Section
and California Society of CPAs

Draft_0027885

SITES PROJECT AUTHORITY
Required Communications
For the Year Ended December 31, 2019

The Auditor's Responsibility under U.S. Generally Accepted Auditing Standards

As stated in our engagement letter dated February 3, 2020, our responsibility, as described by professional standards, is to plan and perform our audit to obtain reasonable, but not absolute assurance that the financial statements are free of material misstatement and are fairly presented in accordance with U.S. generally accepted accounting principles. Because an audit is designed to provide reasonable, but not absolute assurance and because we did not perform a detailed examination of all transactions, there is a risk that material misstatements may exist and not be detected by us.

In planning and performing our audit, we considered the Authority's internal control over financial reporting in order to determine our auditing procedures for the purpose of expressing our opinion on the financial statements and not to provide assurance on the internal control over financial reporting.

As part of obtaining reasonable assurance about whether the Authority financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grants, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit.

Significant Accounting Policies

Management is responsible for the selection and use of appropriate accounting policies. In accordance with the terms of our engagement letter, we will advise management about the appropriateness of accounting policies and their application. The significant accounting policies used by the Authority are described in Note 1 to the financial statements. We noted no transactions entered into by the Authority during the year that were both significant and unusual, and of which, under professional standards, we are required to inform you, or transactions for which there is a lack of authoritative guidance or consensus.

Accounting Estimates

Accounting estimates are an integral part of the financial statements prepared by management and are based on management's knowledge and experience about past and current events and assumptions about future events. Certain accounting estimates are particularly sensitive because of their significance to the financial statements and because of the possibility that future events affecting them may differ significantly from those expected. Our audit did not disclose any sensitive estimates affecting the financial statements.

Draft_0027886

Audit Adjustments

For purposes of this letter, professional standards define an audit adjustment as a proposed correction of the financial statements that, in our judgment, may not have been detected except through our auditing procedures. An audit adjustment may or may not indicate matters that could have a significant effect on the Authority's financial reporting process (that is, cause future financial statements to be materially misstated). The following audit adjustments, in our judgment, indicate matters that could have a significant effect on the Authority's financial reporting process:

Audit adjustments for the year ended December 31, 2019:

- To record additional payables of $182,938 relating to 5 invoices that pertained to the year ended December 31, 2019.
- To record a grant receivable of $1,957,712 for Prop 1 Funding received on May 14, 2020, which pertained to the year ended December 31, 2019.

Disagreements with Management

For purposes of this letter, professional standards define a disagreement with management as a matter, whether or not resolved to our satisfaction, concerning a financial accounting, reporting, or auditing matter that could be significant to the financial statements or the auditor's report. We are pleased to report that no such disagreements arose during the course of our audit.

Management Representations

We have requested certain representations from management that are included in the management representation letter dated June 15, 2020.

Consultations with Other Independent Auditors

In some cases, management may decide to consult with other accountants about auditing and accounting matters, similar to obtaining a "second opinion" on certain situations. If a consultation involves application of an accounting principle to the governmental unit's financial statements or a determination of the type of auditor's opinion that may be expressed on those statements, our professional standards require the consulting accountant to check with us to determine that the consultant has all the relevant facts. To our knowledge, there were no such consultations with other accountants.

Issues Discussed Prior to Retention of Independent Auditors

We generally discuss a variety of matters, including the application of accounting principles and auditing standards, with management each year prior to retention as the Authority's auditors. However, these discussions occurred in the normal course of our professional relationship and our responses were not a condition to our retention.

Draft_0027887

SITES PROJECT AUTHORITY
Required Communications
For the Year Ended December 31, 2019

Difficulties Encountered in Performing the Audit

We encountered no significant difficulties in dealing with management in performing our audit.

Other Matters

During our audit, we became aware of the following deficiencies in internal control, other than significant deficiencies or material weaknesses, and other matters that are opportunities for strengthening internal controls and operating efficiency:

1. Recording Expense Accruals: In order to present a more accurate picture of the Authority's operations, it is important to record revenues and expenditures in the period in which they were incurred, not necessarily when they were paid. During our audit of the Authority, we found 5 instances in which an expenditure had not been recorded in the proper period. We recommend that the Authority perform a more thorough review of transactions subsequent to year-end to accurately reflect revenues and expenditures in the correct period.

2. Written Financial Policies and Procedures: Financial policies can provide a solid foundation for sound fiscal practices by a local agency. They should be in writing and adopted by the governing body. As such, they provide:

    a. A means through which the governing body can communicate its policies and goals to staff, the public, and others;
    b. Direction to staff and standards against which current practices can be measured and changes in practices can be evaluated; and
    c. A way to optimize credit for borrowing. Ratings agencies who assess local agencies' credit for borrowing review their written financial policies. Well-documented policies can mean higher rating grades and lower borrowing costs.

    Board members and staff need to understand why they are doing the things they are doing and be committed to doing them correctly and completely. Written policies and procedures enhance this understanding. Specific policies will vary depending upon the nature and scope of a district's operations. Nevertheless, having a range of policies (from big picture to practical and operational) helps an agency chart a wise course financially and avoid operational missteps.

    During the audit, we became aware that the Authority does not have written policies and procedures. We recommend that the Authority prepare written financial policies and procedures and make these available to all parties that initiate, authorize, and record transactions for the Authority.

Restriction on Use

This information is intended solely for the use of the Board of Directors and management of the Sites Project Authority and is not intended to be, and should not be, used by anyone other than these specified parties.

Draft_0027888

Sites

Topic: **Authority Board Agenda Item 2.2** **2020 July 22**

Subject: **Water Rights Attorney Procurement**

Requested Action:

Consider approval of release of a Request for Qualifications to initiate the procurement of legal services to support the acquisition of the water rights permits and approvals needed to support the project.

Detailed Description/Background:

As part of the Amendment 2 work plan, a team of technicians, engineers and an attorney are needed to secure the necessary permits and approvals related to the water rights for the project. Previously, the Authority competitively procured the services of the technicians and engineers but has not yet secured an attorney of the water rights team.

Staff recommends issuing a Request for Qualifications (RFQ) to competitively procure a water rights attorney at this time. A short list of specialized water rights attorneys (see attached) known to have the qualifications to complete the assignment has been created and these firms will be invited to provide a statement of qualifications. Having a short list does not preclude other statements of qualifications. The RFQ will be publicly advertised on the website. The objective will be to select the best qualified firm for the assignment and an individual that can work effectively with the existing team. Also, since the number of qualified attorneys practicing in this area within California is limited, consideration of potential conflicts will be a key factor for selection. A summary of the scope, schedule, budget and the short list of firms is attached.

The selection panel will consist of the Executive Director, the EPP Manager, and the Chairs of the Reservoir Committee and Authority Board. Other Reservoir Committee members interested in participating can do so. We would like to keep the panel manageable at 6-8 participants.

Prior Action:

None.

Fiscal Impact/Funding Source:

The Amendment 2 work plan includes approximately $200,000 for legal services associated water rights acquisition during this initial phase of planning and preparation of an administrative draft water rights application by the end of 2021. Subject to acceptable performance, the services would be continued through acquisition of all water rights permits and approvals expected to occur by 2024.

Staff Contact:

Jerry Brown/Ali Forsythe

Attachments:

Attachment A: Summary of the scope, schedule, budget and short list of firms.

Water Right Legal Services
For the Sites Project authority

Scope: To assist the Authority in securing all necessary water rights for the Sites Reservoir Project. This initial stage of work involves working with the water right team to complete the water right strategy, ensuring water right matters are properly analyzed in the revised and recirculated Draft EIR/EIS, and lead the preparation of a water right application to the State Water Resources Control Board to be submitted in early 2022. Depending upon performance, future stages of work may involve serving as legal representative for the Authority to the State Water Resources Control Board in their proceedings to issue a water right permit and approvals for the Project which is expected no later than Spring 2024.

The current primary water right team members consist of the following firms: MBK Engineers, ICF International, CH2MHill/Jacobs Engineering and Authority Agents.

Schedule:

Activity	Date (2020)
Release of RFQ	July 22
Submission of Written RFQ Questions	July 28 Noon
Response to Written Questions Emailed to Respondents	July 31
Proposals Due to Authority	August 20 Noon
Authority Review of Qualifications/Firms Selected for Interview	August 23
Notification of Interviews, if Appropriate	August 28
Interviews, at Authority's Discretion	September 3-4
Reservoir Committee Reviews and Recommends Approval to the Authority Board	September 17
Authority Board Reviews and Approves Contract Award	September 23
Execution of Contract Completed	September 30

Budget: There is $200,000 for water right legal services in the current Amendment 2 Work Plan which is intended to cover the initial stage of work. However, the proposer is encouraged to identify any other work products and budget needs they feel would be advantageous to the Project in regard to acquisition of water

rights. Future stages of work will include sufficient funds and the provider will assist in developing the scope and budget.

The selection panel will involve the Executive Director, and 4-6 members of the Reservoir Committee and Authority Board Coordinating Committees. The selection criteria will generally involve the following elements:

- Results of the proposers comprehensive conflict check must reasonably demonstrate the attorney and the firm are sufficiently cleared of material conflicts with any Reservoir Committee and Authority Board participating member agencies, or conflicts with any other parties that may be interested in the Sites Reservoir Project water right process.
- Level of demonstrated experience (attorney and firm) providing similar legal services and successfully completing a water right proceeding of a nature and magnitude similar to that of the Sites Reservoir Project.
- Capability to work effectively with the water right team and deliver an end product meeting the requirements of the Authority.

Invited Firms: The following list of firms will be invited to propose for the water right legal services:

Bartkiewicz, Kronick & Shanahan
1011 22nd Street, Sacramento, CA 95816

Best, Best & Krieger
500 Capitol Mall, Sacramento, CA 95814

Downey Brand LLP
621 Capital Mall 18th Floor, Sacramento, CA 95814

Ellison Schneider Harris & Donlan LLP
2600 Capital Ave, Suite 400, Sacramento, CA 95816

Kronick Moskovitz Tiedemann & Girard
400 Capital Mall, 27th Floor, Sacramento, CA 95814

Somach Simmons & Dunn
500 Capital Mall, Suite 1000, Sacramento, CA 95814

Sites

Topic: **Authority Board Agenda Item 3.1** **2020 July 22**

Subject: **Review Approved Water Storage Policy**

Requested Action:

Review and comment on the conditionally approved August 2019 Water Storage Policy and consideration of updates to align with Value Planning. Recognize the storage policy serves as the foundational document to 2021 key milestones of creating a Plan of Finance and Operations Plan and refer consideration of updates to the ad hoc Engineering and Operations Workgroup.

Detailed Description/Background:

In August 2019, the Reservoir Committee conditionally approved the attached Water Storage Policy (Policy) that broadly describes allocation of Project benefits and costs including how the Reservoir Committee and each Sites participant would be able to manage water diversions to, storage in, and releases from Sites Reservoir. The Policy highlights the fundamental concept that Sites participants are purchasing storage space in a reservoir that can be used with discretion, subject to permitting and operating constraints. The Policy also identifies that the Sites participants are seeking to secure a source of supply that would be equitably allocated to participants. It broadly outlines how storage and releases are scheduled, the sharing and leasing of storage space, and the allocation of operations and maintenance costs.

The establishment of the Policy in 2019 was an important step in providing greater certainty for Sites participants related to reservoir storage, but the Policy has not been updated since the Value Planning effort. Generally, the Value Planning highlighted individual participant constraints and needs which the Reservoir Committee may wish to consider in the Policy. State and Federal involvement is another consideration. Also, there are technical changes that have occurred requiring changes in the Policy. For example, the Policy identifies the reservoir size as 1.81 million acre-feet and includes the possibility of power generation and pump-back storage.

This is the right time to consider Storage Policy updates since the Policy is a foundational building block to accomplishing key 2021 milestones. The Storage Policy will inform the benefit/cost mechanics and detailed technical operating aspects to be included in the Plan of Finance and Operations Plan respectively. Both of these documents, along with updates to the Storage Policy, will be developed during the Amendment 2 Work Plan. Staff recommends the Reservoir Committee refer the Storage Policy updating process to the ad hoc Operations and Engineering Workgroup for consideration of updates.

Prior Reservoir Committee Action:

August 26, 2019: A draft was provided at the Reservoir Project Committee meeting and was adopted at that time, pending minor modifications included in Attachment A and pending home board legal review.

August 15, 2019: Draft Storage Policy presented and discussed at the Joint Workshop.

July 19 & 22, 2019: Draft Storage Policy was provided to for review to the Reservoir Committee and Authority Board meetings to allow all Sites Participants to review, discuss and comment.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Ali Forsythe

Attachments:

Attachment A - "Storage Policy by the Sites Project Authority".

The Storage Policy was adopted by the Reservoir Project Committee on August 22, 2019 and by the Sites Project Authority on August 26, 2019. The attached Policy reflects the revisions 'as amended' referenced in the Reservoir Project Committee Minutes of August 22, 2019, to wit:

"Consider approval of a recommendation the Reservoir Committee approve the Water Storage policy and then recommend the Sites Project Authority consider adoption of the Water Storage Policy in conjunction with the development of future participation agreements. (Attachment 7-1A)

Action: It was moved by Ruiz, seconded by Davis to adopt the Water Storage Policy as amended and pending home board legal review. Further, recommend the Sites Project Authority also consider adoption of the Water Storage Policy in conjunction with the development of future participation agreement and further legal review of the Policy by Counsel. The motion carried unanimously."

Please also note the findings described in the August 22, 2019 memorandum to the Reservoir Project Committee "Topic: Reservoir Committee Agenda Item 7-1, Subject: Operations Workgroup - Draft Water Storage Policy", to wit:

"It is noted such approval of this policy does not result in the implementation of any Project operation or action resulting in a significant effect on the environment nor does it preclude future changes to the Water Storage Policy which are likely to be necessary as the Project proceeds."

Storage policy

BY
THE SITES PROJECT AUTHORITY

(1) This Storage Policy was adopted by the Sites Authority on 26th day of August 2019, to assist in the development and operation of the Sites Reservoir Project (Project) by the Sites Project Authority and the Sites Storage Partners listed in Attachment 1.

INTRODUCTION

(2) The Sites Project Authority (Authority) will apply for and expects to hold the water rights and be responsible for the operation of the Sites Reservoir Project with the Reservoir Committee being responsible for the planning, permitting and real-time operations implementation. There are numerous financial participants in the Sites Reservoir Project. These participants will receive water supply benefits, water supply related environmental benefits and other benefits from Sites Reservoir operation. These participants include the (1) State of California, (2) United States Bureau of Reclamation, (3) Northern California agencies and organizations, and (4) water agencies both west and south of the Sacramento-San Joaquin Delta. The participants in the Sites Reservoir Project who receive (a) water supply related benefits or (b) water supply related environmental benefits are considered Sites Storage Partners. The Sites Storage Partners will enter into agreements with the Authority for the funding of the Project and the benefits derived from the Project and may specify each Sites Storage Partner's storage space in Sites Reservoir. To the extent allowed by natural conditions, permit requirements and physical capabilities of the Project, each of these Sites Storage Partners will have control over the use of their storage allocation space based on the conditions set forth in this policy. The water supply related benefits derived from these storage allocation spaces will depend in large part on how the each Sites Storage Partner manages the water stored and released from their allocated storage space. The Reservoir Committee is responsible for accounting for all the water placed into each Sites Storage Partner's allocated storage space, water released from that storage space and any losses on a daily basis.

DEFINITIONS

(3) Sites Participants – Government Agencies, Water Organizations and others who financially contribute to the planning and construction of the Sites Reservoir Project.

(4) Sites Storage Partners – The Sites Participants who have funded and receive water supply or water supply related environmental benefits from the Sites Reservoir Project (see Attachment 1 for the list of Sites Storage Partners).

(5) Active Storage – That portion of Sites Reservoir above dead pool that can be exercised to create water supply related benefits. The total maximum storage in Sites Reservoir is expected to be 1.81 MAF. Firm dead pool storage is expected to be about 60 TAF. However, operationally another 60 TAF will likely be needed to prevent water quality issues in the storage releases. Therefore, the operational dead pool is considered to be 120 TAF. For this Policy the Active Storage for Sites Reservoir is considered to be about 1.69 MAF. These values are approximate and subject to change in the future.

(6) Water Year - The California Water Year is from October 1 through September 30.

(7) Operation and Maintenance and Replacement- (O&M) or (O&M&R). Those costs associated with the operations of the Sites Reservoir Project. These can be broken down into:

(a) Fixed operations and maintenance and replacement costs that are more stable year-to-year and that are not significantly influenced by varying diversions or releases of water, and

(b) variable operations and maintenance costs that reflect annual operations and maintenance costs that vary based on actual operations each year.

ROLES

(8) Sites Project Authority (Authority) - The Authority will apply for and expects to hold the water rights for the Sites Reservoir Project and be responsible for its operation.

(9) Reservoir Committee - The Reservoir Committee is a standing committee of the Authority and oversees the planning, permitting, and day-to-day operations and accounting of Sites Reservoir storage, releases and losses and related activities including coordination with each of the Sites Storage Partners. This will be done in a way that is open and transparent to all the Sites Storage Partners.

(10) State and Federal Agencies:

(a) The State of California - As described in Proposition 1 (2014) and associated regulations, the Sites Authority expects that the State of California will work though the California Water Commission and the Resources Agency to manage, at their request, the water held in the Sites storage allocation space obtained by the Water Commission for environmental purposes. In addition, the State of California will cooperate with the Reservoir Committee's operation of Sites Reservoir and that of the State Water Project (and if appropriate the Central Valley Project) to maximize the water supply and water supply related benefits of Sites Reservoir.

(b) The United States – To be provided.

STORAGE OF WATER

(11) Water Storage by the Appropriation of Water: The Authority will be responsible for compliance with water right provisions and other conditions that control the appropriation of water into storage in Sites Reservoir. The Authority through the Reservoir Committee will take all actions necessary to maximize the appropriation of water into storage consistent with regulatory requirements, physical constraints and hydrologic conditions. Water appropriated will be placed into Sites Reservoir and then allocated into each Sites Storage Partners contractual storage space proportional to their acquired storage space amount.[1]

Based on the water accounting system approved by the Reservoir Committee, if a Sites Storage Partner's storage volume is not available (whether due to being completely filled, filled up to the requested amount by a Storage Partner, or any other reason), the available water will be allocated to the remaining Sites Storage Partners who still have available storage allocation space and the water will be used to fill the other Sites Storage Partner's storage allocation spaces (based on their storage space allocation) until all Sites Storage Partners storage allocation spaces are filled up to each Partner's requested amount of fill within that Partner's space. Each Sites Storage Partner will be responsible for the Operation and Maintenance (O&M) costs associated with the

---

[1] *For example, if only 340 TAF of water is able to be appropriated into Sites Reservoir in any one year, this represents 20% of the total allocated storage of Sites Reservoir (340/1.69 MAF) = 20%). In that year each Sites Storage Partner will receive an amount of water equal to 20% of their allocated storage space amount.*

use of their storage allocation space as set forth below. However, a Sites Storage Partner may opt out of having water appropriated into their storage space and avoid the variable O&M costs if they so inform the Reservoir Committee at the beginning of the Water Year or as otherwise established by the Reservoir Committee. The appropriation of water into storage will take priority over the release of stored water. If needed, a dispute resolution process will be developed.

(12) Water Storage from Other Sources: There are opportunities for the Sites Storage Partners to request that the Reservoir Committee place water from sources other than that by appropriation by the Project into their storage allocation space. These other sources could include among other things: the re-diversion of previously stored water, water transfers, exchanges between Sites Storage Partners, exchanges with other entities, etc. The Reservoir Committee will take all reasonable steps to help facilitate these requests. The Sites Storage Partners will be responsible for the costs of obtaining/storing these other sources of water including any costs associated with water right changes, environmental compliance, and Reservoir Committee costs associated with reviews. Also, the Sites Storage Partner is responsible for all O&M costs of placing this water into their storage allocation space as set forth below. Placing water into storage from other sources shall not impact the ability of the Reservoir Committee to appropriate water or release water from Sites Reservoir.

(13) Storage/Release Conflict Resolution: If there is a conflict between placing water into storage in Sites Reservoir from other sources of water and the release of water from Sites Reservoir at the same time, an "in lieu storage" option may be used by the parties involved provided the water rights issues are addressed on a case-by-case basis.

ACCOUNTING FOR LOSSES

(14) Allocation of Losses: Losses of water held in Sites Reservoir storage including evaporation and seepage will be accounted for on a daily basis. These losses will be allocated to each Sites Storage Partner based proportionally on the amount of their water in storage that day.

SHARING OR LEASING OF STORAGE SPACE

(15) Sharing or Leasing of Storage Allocation Space: The Sites Storage Partners are allowed to share or lease their storage allocation space with other Sites Storage Partners or other entities. This sharing or leasing could span multiple years and will be subject to several conditions set forth below.

- Any sharing or leasing of storage allocation space shall be coordinated with the Reservoir Committee so that proper water accounting can be maintained.
- If the Sites Storage Partner's allocated storage space fills, then any shared or leased water held in that storage space that day will stay in the shared or leased space unless the Reservoir Committee receives written instructions otherwise from the Sites Storage Partner.
- The responsibility for collecting payment for the use of a Sites Storage Partners shared storage space will be the responsibility of the Sites Storage Partner and not that of the Reservoir Committee.
- The Sites Storage Partner maintains the responsibility to the Reservoir Committee for all the O&M costs of placing water of any source into its storage allocation space. However, the Sites Storage Partner will likely collect costs of placing water into any shared storage space from the party making use of that shared space.

RELEASES OF WATER FROM STORAGE

(16) Water Released From Storage: The Sites Storage Partners have total discretion on the amount of water held in their storage allocation space that they wish to be scheduled for release in any calendar year for their use. These requested stored water releases and their schedule for release shall be coordinated with the Reservoir Committee so the Reservoir Committee can coordinate this request with the other Sites Storage Partners, State Water Project, Central Valley Project and any regulations related to the release of water from Sites Reservoir.

The Reservoir Committee will work with the Department of Water Resources and the U. S. Bureau of Reclamation to manage these releases with those of the State Water Project and Central Valley Project to maximize the benefits to the above parties when possible.

It is anticipated water will be released from storage for environmental/public benefits (e.g. on behalf of the State of California per their WSIP funding investment; e.g. on behalf of the United States per WIIN Act funding investment). The Authority's intent is that the non-consumptive use of water for environmental/ public benefits will remain under the control of the Sites Authority and its Storage Partners for further allocation to another in-basin or out-of-basin uses.

(17) Scheduling Stored Water Releases: No later than February 20th of each water year, the Reservoir Committee will make a water storage forecast for each Sites Storage Partner for that water year based on each Sites Storage Partner physical storage to date and several examples of projected hydrologic conditions ranging from critically dry to far above normal. These forecasts will be updated each month by the 20th of every month until April 20th. Each Sites Storage Partner will provide the Reservoir Committee the monthly schedule and amounts of the water they wish to be released that calendar year based upon each of these forecasts 15 days after the receipt of these forecasts. These schedules will be updated monthly as needed until June and changes after that will be accommodated to the extent it does not conflict with those of other Sites Storage Partners. Any water held in a shared/leased allocation space will be the responsibility of the Sites Storage Partner to communicate the requested release of that water to the Reservoir Committee unless other arrangements are provided to the Reservoir Committee in writing.

The Reservoir Committee will work with each Sites Storage Partner, the State Water Project, Central Valley Project and regulatory agencies and make all reasonable efforts to satisfy the water release schedules requested by each Sites Storage Partner. If there is a Sites Reservoir release constraint affecting the ability of meeting the requested water release schedules from Sites Reservoir, the Reservoir Committee will first work with those conflicted Sites Storage Partners to see if accommodations can be made. If the conflict cannot be resolved, the priority for the releases will be in proportion to the Storage Allocation space as divided among the conflicted Sites Storage Partners.

OPERATION, MAINTENANCE AND REPLACEMENT

(18) Operation and Maintenance Cost Allocations: Operation and Maintenance (O&M) costs will be divided into fixed O&M&R and variable O&M. Fixed O&M&R will cover those O&M&R costs that are generally stable from year to year like monitoring costs, routine maintenance, Reservoir Committee staff costs, repair funds, replacement of equipment funds etc. These costs will be calculated by the Reservoir Committee and paid by the Sites Storage Partners for the coming Water Year in advance. They will be allocated to all the Sites Storage Partners in the same manner as the capital costs.

Annual variable O&M costs include pumping costs to appropriate water into storage, pumping water from other sources into storage, other costs related to the placement of water into storage

and the costs, if any, of releasing water from storage. These costs will be assessed to each Sites Storage Partner based on the amount of water placed into their storage allocation that year. An estimate of these costs will be made by the Reservoir Committee. These costs will be assessed to each Sites Storage Partner and paid for the coming Water Year in advance. However, a Sites Storage Partner may opt out of having water appropriated into their storage space and avoid the variable O&M costs if they so inform the Reservoir Committee at the beginning of the Water Year. At the end of each Water Year any revenue received in excess of costs will be returned to the Sites Storage Partners or applied as a credit to the next year's variable O&M costs. However, the Reservoir Committee will retain a reasonable reserve fund to cover any unforeseen variable O&M costs that may come up in any year.

(19) Power Revenue. It is recognized that the Sites Reservoir Project could generate power revenues. The use of these revenues is not part of this Storage Policy at this time.

FUTURE STORAGE POLICY CHANGES

(20) Future Storage Policy Changes - This policy is intended, at this time, for use in the Sites Participation Agreements that will be developed in the near future. This policy will likely evolve and change as the Sites Reservoir Project develops. This policy may be modified in the future by the Authority with approval by the Reservoir Committee. Under the current Bylaws, such Reservoir Committee approval requires a 75% affirmative vote.

DATE

August 26, 2019_____

ATTACHMENT 1

SITES STORAGE PARTNERS

ENVIRONMENTAL

WSIP - California Water Commission

WIIN- United States Bureau of Reclamation

WATER USERS

America Canyon, City of
Antelope Valley-East Kern WA
Carter Mutual Water Company
Coachella Valley Water District
Colusa County
Colusa County Water District
Desert Water Agency
Glenn-Colusa Irrigation District
MWD of So. California
Reclamation District 108
San Bernardino Valley MWD
San Gorgonio Pass WA
Santa Clara Valley WD
Santa Clarita Valley WA
TC-4 Cortina Water District
TC-4 Davis Water District
TC-4 Dunnigan Water District
TC-4 LaGrange Water District
Westside Water District
Wheeler Ridge-Maricopa WSD
Zone 7 Water Agency

Sites

Topic: **Authority Board Agenda Item 3.2** **2020 July 22**

Subject: **Key Operational Analysis Factors - Baseline**

Requested Action:

Review and comment on the approach being taken for the modeling baseline for the EIR/EIS analysis.

Detailed Description/Background:

OVERVIEW

As work progresses on the analysis for the Revised Draft EIR/EIS, the operations team is developing modeling parameters and criteria required for assessing the alternatives in the Revised EIR/EIS. One of the foundational decisions related to the operations modeling for the EIR/EIS analysis is the description of the modeling baseline. An adequate description of the baseline is critical to the CEQA/NEPA evaluation and to describe the of project's benefits.

Issuance of the U.S. Fish and Wildlife Service and National Marine Fisheries Service Biological Opinions for the Reinitiation of Consultation on the Coordinated Operations of the Central Valley Project (CVP) and State Water Project (ROC on LTO BiOps) and the issuance of the Incidental Take Permit for Long-Term Operations of the State Water Project (SWP) in the Sacramento-San Joaquin Delta (SWP ITP) has modified baseline conditions for the CVP-SWP system from the previous BiOp and ITP. The ROC on LTO BiOps and the SWP ITP are also subject to pending litigation by a number of parties. There is disagreement regarding the implementation of the ROC on LTO BiOps and the SWP ITP between water users, environmental stakeholders, and the federal and state water management and permitting agencies. This disagreement will likely persist throughout the Sites Project planning and permitting process. As the CEQA Lead Agency, we need to balance all of the external and internal constraints, demands and needs and identify what would provide the public and our members with the highest quality information for evaluating the project.

After careful consideration, Staff is initially proceeding with model development with the ROC on LTO BiOps baseline and incorporate the SWP ITP actions after release of DCR2019 joint model implementation as the best course at the present time. This approach provides:

1. The most defined and expedient starting point for analysis of Sites Project operations while accomplishing a high-quality analysis that meets adequacy tests under CEQA/NEPA and provides the public with a reasonable evaluation of effects from the Project.
2. Best represents Shasta Lake tiered cold water pool management which is a key operating feature to be considered when evaluating possible exchanges and coordinated operations with Sites Reservoir.

3. Use of the merged Sites version of the model developed for the Reclamation Feasibility Report will expedite model development to meet the required schedule.

Operations criteria for the SWP ITP actions will be incorporated based on DCR2019 if it available in time to meet the Project's schedule. In addition, the team will be performing additional gap and sensitivity analyses to evaluate additional operations that are needed to support the Sites Project planning and permitting process.

As more detailed analysis is completed, staff will continue to evaluate the baseline approach and may make modifications to the approach to meet the requirements of CEQA/NEPA and provide the public with a reasonable evaluation of effects from the Project.

ALTERNATIVE APPROACHES CONSIDERED

Given the uncertainties surrounding operations of the SWP and CVP, staff has identified three possible modeling baseline options, each having their own pros and cons:

1. Use of ROC on LTO Alternative 1/Proposed Action only – This baseline would be based on the ROC on LTO Proposed Action Calsim II model released by the Bureau of Reclamation (Reclamation) in December 2019.
    a. Pros:
        i. Most recent representation of Shasta Lake tiered cold water pool management.
        ii. Expedited development of the modeled baseline using existing Sites model developed for Reclamation Feasibility Report.
    b. Cons:
        i. Excludes additional actions that are included in the SWP ITP.
        ii. Potential lack of acceptance by the State since the model does not include the SWP ITP.

2. Use of SWP ITP Alternative 2b/Proposed Project only – This baseline would be based on the SWP ITP Calsim II model released by the Department of Water Resources (DWR) in March 2020.
    a. Pros:
        i. Use of a model representing the SWP ITP in the baseline for analysis in the Sites ITP application.
    b. Cons:
        i. May require additional updates to include all of the actions described in the SWP ITP.
        ii. Excludes changes made in the updated final ROC on LTO Alternative 1/Proposed Action published in December 2019.
        iii. Potential lack of acceptance by Reclamation since the model does not include ROC on LTO.

3. Combination of 2019 State Water Project Delivery Capability Report (DCR2019) / ROC on LTO / SWP ITP – DWR is currently working on a joint representation of the ROC on LTO BiOps and the SWP ITP as part of DCR2019, which would include the potential future combined CVP/SWP operations. This is expected to be released in July 2020.

a. Pros:
    i. Comprehensive representation of baseline operations currently in development.
    ii. Allow for the possibility of greater acceptance to a wider range of agencies and stakeholders.
    iii. Provide a State/Federal supported baseline.
b. Cons:
    i. Schedule for release of DCR2019 has been and may continue to be delayed (DWR originally tentatively planning for a June release).
    ii. Delays associated with developing Sites Project ITP implementation based on DCR2019 (at least four week).

Prior Action:

None.

Fiscal Impact/Funding Source:

Model development is part of the Amendment 2 work plan and is a key component to other 2021 milestones within the work plan. There is sufficient funding to conduct efficient modeling whereby the baseline approach is established, and the modeling results are used to inform the EIR/EIS analysis. Multiple revisions of the modeling baseline or excessive iterations would be additional cost and time delay to the project.

Staff Contact:

Ali Forsythe

Attachments:

None.

Sites

Topic: **Authority Board Agenda Item 3.3** **2020 July 22**

Subject: **Funding Credit and Reimbursement Policy Implementation**

Requested Action:

Review and comment on the Draft Funding Credit and Reimbursement Policy (Policy) Revisions.

Detailed Description/Background:

When the Reservoir Committee was formed, one of the requirements included the development of this Policy to ensure equity among participants and be an important component to the rebalancing process. The initial Policy went through a thorough development and review process and was approved by the Authority in August 2018. A revised Policy is now required as the Authority continues to progress into new phases of the project.

A subgroup of the Joint Budget and Finance Committee was convened to review the current Policy and develop draft revisions as needed to reflect the status of the current Sites Project. The Subgroup developed a draft revised Policy and examples of applying the draft Policy which were reviewed and commented on by the Joint Budget and Finance Committee during their July 10, 2020 meeting. A revised draft has been prepared based on the comments received (Attachments 1 and 2).

The Joint Budget and Finance Committee is requesting comments to the draft revised Policy from the Reservoir Committee and Authority Board during their July meetings. Comments from these meetings will be incorporated into a revised draft which will be reviewed by Authority General Counsel, and then brought to the Joint Budget and Finance Committee, Reservoir Committee, and Authority Board at their August 2020 meetings for approval.

The most significant changes being proposed in the draft revised Policy are:

- Revisions to Section 6 "Participation Priority" include the addition of two new priority rounds. A revision was also made to clarify the application of "Last in / First Out" approach should the available participation be reduced below the amount of participation by Project Agreement Members.
- Revisions to Section 10.3 "Limitation on Reimbursements" provides additional clarity to when the Authority would make reimbursement payments.
- The new Section 14 "Provision for Buy-in After Phase 1" was added to specify the requirement that additional or new participation pay the equivalent funding of prior phases upon executing the Project Agreement.

- The new Section 15 "Provision for Phase 2 Rebalancing and True-up Process" was added to provide a process for Project Agreement Members to change their level of participation at the conclusion of each incremental Phase 2 Project Agreement. The provision is similar to the Phase 1 True-up with the exception of Class 2 Water conversion.

Additional revisions were made to expand the application of the policy to Phase 2, provide flexibility for allowing incremental Project Agreements and associated participation changes, and clarify/specify previous provisions and requirements.

Prior Action:

At the August 16, 2018 Reservoir Committee, the Credit Reimbursement Policy was approved.

At the June 25, 2018 Reservoir Committee meeting, the status was discussed with direction provided to the Ad Hoc Finance and Economics Work Group.

Fiscal Impact/Funding Source:

The Policy's requirement to reimburse Project Agreement Members for partial or complete withdrawal from the Project would be funded by new revenue for the "Buy-in" payments received from an existing Project Agreement Members that increase their amount participation or from new/returning Project Agreement Members initiating participation. Reimbursement payments would only be made once the Reservoir Committee and Authority Board determine that funds are available to make such payments without impacting the implementation of the Project.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Working Draft Funding Credit and Reimbursement Policy (with redline strikeout of proposed changes).

Attachment B: Examples of Applying Draft Policy.

Sites Reservoir Project, Phases 1 and 2
Funding Credit and Reimbursement Policy

`

Table of Contents

1. PURPOSE OF POLICY 2
2. ADMINISTRATIVE 2
3. DEFINITIONS 3
4. PROJECT PHASES 5
5. UNDERLYING ASSUMPTIONS 5
6. PARTICIPATION PRIORITY 6
7. PROVISION FOR ENCOURAGING NORTHERN CALIFORNIA PARTICIPATION 8
8. CREDITING POLICY FOR ADVANCE FUNDING 8
9. USE OF CONTRIBUTION CREDIT 8
10. REIMBURSEMENT POLICY FOR CONTRIBUTION CREDIT 9
11. PROVISIONS FOR WITHDRAWAL FROM PROJECT 10
12. PROVISION FOR CLASS 2 CONVERSION TO CLASS 1 PRIOR TO THE TRUE-UP PROCESS 10
13. PROVISION FOR REBALANCING PHASE 1 PARTICIPATION AND TRUE-UP OF THE CLASS 1 COST BASIS ... 10
14. PROVISION FOR BUY-IN AFTER PHASE 1 11
15. PROVISION FOR PHASE 2 REBALANCING AND TRUE-UP PROCESS 12
16. FAIR SHARE FUNDING STUDY 13

APPENDIX A – Participating Members and Respective Priority

1. PURPOSE OF POLICY

The Sites Project Authority (Authority) has adopted bylaws[1] that establish use of a Cost Development Model to track each participating agency's funding contributions and anticipated funding requirements as the Sites Reservoir Project (Project) is developed.

The purpose of this Funding Credit and Reimbursement Policy (Policy) is to establish the rules and procedures for contributing funds for the Project that will be used to inform the Cost Development Model ~~that will be used as part of the Phase 1 rebalancing process[2].~~as the project advances. The specific objectives of the Policy are to define the provisions and terms for:

- Defining contribution credit to participating agencies (Project Agreement Members, see **Section 3.8**) for having contributed funds to advance the Project;
- Defining allowable conditions for the use and/or reimbursement of credit;
- Providing an equitable exchange in participation levels between Project Agreement Members; ~~and~~
- Defining the process for recalculating the equivalent cost basis among Phase 1 Project Agreement Members for Class 1 and Class 2 water[3]; and~~.~~
- Defining the process for recalculating the equivalent cost basis for future phases of the project that are funded on a "cash call" basis.

It is the intent of this Policy to ensure that all Project Agreement Members ~~proceeding into Phase 2~~ will each have paid the same amount on an equivalent cost basis as the Project advances.

2. ADMINISTRATIVE

2.1 Role of the Authority and Reservoir Committee

Through its Bylaws, the Authority considers this process to be a foundational part of advancing the Project. Development of the requirements has been delegated to the participants in each phase of the ~~Phase 1~~ Reservoir Project ~~Agreement~~Agreements (Reservoir Committee). Acceptance of this document by the Authority is required before ~~it's~~its requirements can be implemented.

---

[1] November 21, 2016 Amended and Restated Bylaws of the Sites Project Authority for Phase 1 of the Sites Reservoir Project, Section 3.9.

~~[2] For use in subsequent phases, this document will be amended to incorporate additional information gained during Phase 2.~~

[3] Participation in voting and funding is based on a Members' participation as measured in acre-feet that is used to apportion the project's development costs. Until the completion of Phase 2, the annualized volumes of water that could be released from storage can't be finalized until the Project has acquired the prerequisite permits needed for construction and operations and both state and federal agencies have committed to fund their equitable share in the Project.

2.2 Amendments to this Policy

It is the Authority's intent that this policy be updated as needed (i.e. is a "living" document) to ensure the Project is implemented equitably, efficiently and cost-effectively. The Authority may amend this Policy to reflect changes to the funding structure for the Project (e.g. separate participation in water storage versus water supply). Any amendment to this Policy will not reduce or increase any participant's contribution credit (as defined below) for having contributed funds to advance the Project.

2.3 Precedence of Documents

This document is subordinate to both the Authority's and Reservoir Committee's governance documents. Should a conflict exist, the Authority's Joint Exercise of Powers Agreement - including the Bylaws - shall take precedence, followed by the Reservoir Committee's ~~Phase 1~~sequential Reservoir Project Agreement ~~- including Exhibit~~ for each phase of the Project (Requirements).

Further and in keeping with the *Mission and Project's Primary Goals* established in Phase 1[4], should a conflict exist between this document and ~~the Phase 2~~any Reservoir Project Agreement, which ~~will~~may include the terms and conditions for the interim finance plan, the ~~Phase 2~~ Reservoir Project ~~Agreement~~Agreements shall control, and this document shall be amended to remove the conflict.

2.4 Document Revision History

A record of approved changes to this document shall be recorded in this section, starting with its original approval for use, which is revision 0.

Rev.	Effective Date	Status of Authorizing Action
0	August 20, 2018	Approved by Reservoir Committee and adopted by the Authority.
1	TBD	Revised to include Phase 2 considerations.

3. DEFINITIONS

The following definitions are used in this Policy:

3.1. "Class 1 Water" — Water supply reserved for Project Agreement Members based on 50% of the total average annualized volume of water released from storage (250 ~~TAF~~TAF[5]).

3.2. "Class 2 Water" — Additional 170 TAF[5] of water supply that may be available to Project Agreement Members, of which the State of California and/or the United States Government will be given the first

[4] Refer to Exhibit B of the Phase 1 Reservoir Project Agreement, General Requirements.

[5] These acre footage values are based on original project yield projection at the onset of Phase 1 in 2016 and are subject to change throughout the duration of the project.

		right to acquire for ecosystem and water quality "public" benefit(s).
3.3.	"Contribution Credit"	The accumulated funding provided by a Project Agreement Member for both Class 1 and Class 2.
3.4.	"Equivalent Cost Basis"	The equivalent cost per acre-foot for Class 1 water established by the Reservoir Committee.
3.5.	"Equivalent Required Funding"	The amount of funding required by each Project Agreement Member at the Phase 1 True-up event (**Section ~~12~~13**) equal to the Equivalent Cost Basis times the Project Agreement Member's participation in Class 1 water.
3.6.	"Fully Subscribed Project"	Full participation in the total amount of Class 1 water available. The associated amount may change between the end of Phase 1 and end of Phase 2. Further, it is contemplated that in Phase 2, consideration will be given to shift from the current delivery-based method (FOB Holthouse Reservoir) to a storage-based method.
3.7.	"Funding Obligation"	A Project Agreement Member's semi-annual pro-rata share of funding required. The Authority may elect to waive any Project Agreement Member from a semi-annual obligation by excluding that particular Project Agreement Member's participation in the Project when determining the basis for the pro-rata share of the remaining Project Agreement Members.
3.8.	"Project Agreement Member"	Any agency or entity that has executed ~~the Phase 1~~a Project Agreement and not withdrawn from the project. [6]
3.9.	"Good Standing"	Meeting the requirements to actively participate in the Project according to Section 8.1 of Authority's Bylaws.
3.10.	"Participation"	A Project Agreement Member's elected participation rate expressed in terms of acre-feet of average annual water supply, or other metric utilized to determine the pro-rata share of funding required from each Member.

[6] Ibid, Section 7.3 for membership types. A list of current Project Agreement Members is provided in Exhibit A1 of the Phase 1 Reservoir Project Agreement.

3.11. "Priority"	The ranking of participation in the Project, Class 1 and/or Class-2, used to determine the order in which participation changes take effect. Highest priority is associated with earliest date the Phase 1 Reservoir Project Agreement was executed (i.e. Round 1). Lowest priority is the latest date this agreement was executed (Round 4).

4. PROJECT PHASES

The Project is being advanced by Project Agreement Members on a workplan based phased approach. The phases of the project associated with each Project Agreement are defined as:

- Phase 1: Phase 1 Project Agreement, November 2016 through March 31, 2019
- Phase 2A: Phase 2 Project Agreement plus Amendments 1A/1B, April 1, 2019 through August 31, 2020
- Phase 2B: Phase 2 Project Amendment 2, September 2020 through December 31, 2021

~~4.~~5. UNDERLYING ASSUMPTIONS

The following underlying assumptions predicate the establishment of credits and reimbursements:

4.1 As of August 1, 2015, funding provided by Members of the Authority has been divided into two separate categories: 1) Authority administration, and 2) Implementation of the Project.

4.2 Funding provided for Authority administration is not eligible for Credit, whereas funding for implementation of the Project is eligible.

4.3 Funding provided between January 1 and July 31, 2015 was applied first to Administration requirements in 2015 and the balance was applied to Project funding as of August 1, 2015.

4.4 All other funding received before December 31, 2014 was provided for advocacy of the Project and is not eligible for credit.

4.5 Prior to starting Phase 2 and after the California Water Commission has made its "Maximum Conditional Eligibility Determination (MCED)"[7], a formal process will be conducted to enable Project Agreement Members to change their participation. These changes will become the basis for the Phase 1 True-Up ~~and the Phase 2 Reservoir Project Agreement~~.

4.6 As the Project advances, each additional phase of the project will require Project Agreement Members commit funding through execution of a new Project Agreement. Changes in participation may be allowed at the conclusion of each phase, subject to the credit and reimbursement conditions established by this policy.

[7] ~~Refer to California Code of Regulations, Tile 23, Division 7, Chapter 1. Section 6011.~~7 See Ibid, Section 7.3 for membership types.

~~5.~~

6. PARTICIPATION PRIORITY

As more or less Class 1 water becomes available, each Project Agreement Member's allocation of Class 1 and/or Class 2 water may be impacted based on the priority assigned to their participation. Should the level of participation need to be reduced, participation will be eliminated on a "last in / first out" basis. Each Project Agreement Member will be assigned a priority based on the following criteria:

Round 1 Priority: Founding members of the Authority who also elected to execute the Phase 1 Reservoir Project Agreement. Project Agreement Members in this round received Class 1 water.

Round 2 Priority: New members of the Authority seated prior to the 2016 on-boarding process who elected to execute the Phase 1 Reservoir Project Agreement. Project Agreement Members in this round received Class 1 water.

Priority 2.1: Existing members of the Authority seated prior to the 2016 on-boarding process, who had executed the Phase 1 Reservoir Project Agreement, and who elected to change their participation quantities in advance of the August 1, 2016 deadline that closed the On-boarding process. These changes were approved by the Authority on June 13, 2016.

Round 3 Priority: Additional participation beginning with the 2016 On-Boarding process and extending through execution of a funding agreement with the State ~~(anticipated by December 2018).~~ Project Agreement Members in this round receive either:

1) For Public Water Agencies: A combination of Class 1 and/or Class 2 water dependent upon the availability of Class 1 water; or

2) For Non-Member Participating Parties: Mutual Water Companies who submitted a request to participate as part of the 2016 On-Boarding process received Class 2 water.

Note that a rounding error in the original allocation of Class 1 and Class 2 water was found November 2017. The correction to the rounding error was applied retroactively to Priority 3 participants.

The following sub-priority within Round 3 will be based on the date that additional participation is established, which is the date the Phase 1 Reservoir Project Agreement was authorized to be executed by the Project Agreement Member.

Priority 3.1 Prior to executing the Phase 1 Reservoir Project Agreement, 10,000 acre-feet of Class 1 water became available and was allocated to those Project Agreement Members who had initially agreed to accept fractional shares of Class 1 and Class 2 totaling the amount requested in their On-boarding letter of intent. For

each of these Project Agreement Members, the result was a reduction in Class 2 water and a corresponding increase in Class 1 water.

Priority 3.2 Prior to executing the Phase 1 Reservoir Project Agreement, Project Agreement Members who requested to increase their participation received Class 2 water.

Priority 3.3 California Water Service request during the 2016 On-Boarding process requiring CPUC approval before executing the Reservoir Project Agreement.

Priority 3.4 Metropolitan Water District of Southern California elected to not specify an acre-ft as the basis of their participation in the 2016 On-Boarding process, but subsequently requested to participate in Class 2 water through execution of the Reservoir Project Agreement.

Priority 3.5 After Project Agreement Members had executed the Phase 1 Reservoir Project Agreement, 6,285 acre-feet of Class 1 water became available after April 28, 2017 that was allocated to those Project Agreement Members who had initially agreed to accept fractional shares of Class 1 and Class 2 totaling their participation amount (Priority 3). Project Agreement Member's in this priority received a pro-rata share of the Class 1 water and a corresponding decrease in their Class 2 water.

Priority 3.6 After Project Agreement Members had executed the Phase 1 Reservoir Project Agreement, an additional 6,285 acre-feet of Class 1 water became available after May 31, 2017 that was allocated to those Project Agreement Members who had initially agreed to accept fractional shares of Class 1 and Class 2 totaling their participation amount (Priority 3.0). Project Agreement Member's in this priority received a pro-rata share of the Class 1 water and a corresponding decrease in their Class 2 water.

Round 4 Priority: Additional Phase 1 participation following execution of the funding agreement with the State ~~(anticipated by December 2018).~~ Project Agreement Members in this round would only receive Class 2 water unless participation changes result in the availability of Class 1 water.

This round ~~of~~ will also include additional Class 1 water required to maintain the minimum participation threshold from water agencies located in the Sacramento River Hydrologic Region (see **Section ~~6~~7**).

Note that there no participation changes or new participation occurred during Phase 2A. All Project Agreement Members that signed the Phase 2 Project Agreement in April 2019 continued their level of participation through August 2020.

Round 5 Priority: This round includes additional participation from existing or new Project Agreement Members following the completion of Phase 2A and prior to

execution of the Phase 3 Project Agreement. Sub-priority within this round will be established as follows:

Priority 5.1	Additional or new participation from agencies in the Sacramento River Hydrologic Region. This priority was established to encourage Northern California participation (**Section 7**).
Priority 5.2	Additional participation from existing Project Agreement Members.
Priority 5.3	New participation from prior Project Agreement Members that completely withdrew during a prior phase of the Project.
Priority 5.4	New participation from agencies that have not participated in prior phases of the Project.
Round 6 Priority:	New or additional participation just prior to execution of the Phase 3 Project Agreement.

Any established priority will be forfeited commensurate with a reduction in participation. Priority is only valid for the participation commitment under the active Project Agreement, less any amount subtracted by a reduction in participation or project withdrawal.

Additional rounds may be established by the Authority Board as the Project develops. The current list of priority participation is included in Appendix A.

~~6.~~7. PROVISION FOR ENCOURAGING NORTHERN CALIFORNIA PARTICIPATION

The Authority desires to maintain a minimum threshold for participation by agencies within the Sacramento River Hydrologic Region equal to approximately 25% of the total water supply. Should the participation from Project Agreement Members in this region drop below the threshold, the Authority may solicit additional interest from Project Agreement Members or agencies that are not currently participating in the Project, both of which are located in the Sacramento River Hydrologic Region. The additional ~~Class 1~~ participation will be established within ~~Priority 4.~~the current round of priority at the time the Project Agreement is executed (**Section 6**).

~~7.~~8. CREDITING POLICY FOR ADVANCE FUNDING

The Crediting Policy describes the process of how Contribution Credit is earned by each Project Agreement Member by fulfilling its funding obligations. Funding obligations will be established through the Authority's annual budgeting process and will be apportioned to Project Agreement Members based on their respective participation. Each Project Agreement Member will accumulate Contribution Credit equal to its cumulative funding for both Class 1 and Class 2 participation throughout all phases of the project.

~~8.~~9. USE OF CONTRIBUTION CREDIT

Contribution Credit will be utilized to determine any payment or reimbursement due as result of the following events:

- Withdrawal ~~from~~or reduction in Project participation ~~in the Project~~, as further described in **Section ~~10~~11**; or

- True-up of Class 1 Cost Basis and Retirement of Class 2 participation, as further described in **Section ~~12~~13** and **Section 15**.

~~9.~~10. REIMBURSEMENT POLICY FOR CONTRIBUTION CREDIT

The Authority will provide reimbursements[8] under the following conditions.

~~9.1.~~10.1. Reimbursements from Rebalancing Process

Based on the Rebalancing Process (**Section ~~12~~13 or Section 15**) results, ~~The~~the Authority will reimburse certain Project Agreement Members for excess Contribution Credit. The Authority will only reimburse such members once all payments have been received from Project Agreement Members that owe additional funds as ~~the~~a result of the Rebalancing Process.

~~9.2.~~10.2. Reimbursements from Withdrawal from Participation

A Project Agreement Member partially or completely withdrawing their participation in the Project will be reimbursed for its accumulated Contribution Credit based on the Provision for Withdrawal (**Section ~~10~~11**). The Authority will provide such reimbursement once all payments have been received from Project Agreement Members electing to increase its participation to offset the withdrawn participation.

10.3. ~~Reimbursements from~~Limitation on Reimbursements

The Authority is not required to provide any reimbursement payment until the Authority determines that such payment will not have an adverse impact on the financial viability or overall implementation of the Project. Partial reimbursement payments may be made based on available funding with the remaining amount maintained as a liability for the Authority to pay at such time sufficient funding exists. As funding from new participation (**Section 14**) becomes available, the Authority may pay down the liabilities on a pro-rata basis following the order in which the liabilities occurred (e.g. Phase 1 liabilities are paid prior to Phase 2A liabilities).

~~9.3.~~10.4. Reimbursements due to Project Termination

In the event that the Project cannot be completed or in the event the Authority ~~has terminated~~terminates the ~~Phase 1~~then active Reservoir Project Agreement, the Authority will disperse any available Project funds according to the participation percentages as defined in the current version of ~~Exhibit A1 of~~ the then active Reservoir Project Agreement. Note that this exhibit is a "living document" that has been updated as participation changes have occurred throughout ~~Phase~~Phases 1 and 2.

~~9.4.1.1. Limitation on Reimbursements~~

~~[8] Under no circumstances will the Authority finance any portion of any amounts that may be reimbursed.~~

~~The Authority is not required to provide any reimbursements of any kind until the Authority determines that such reimbursements will not have an adverse impact on the financial viability or overall implementation of the Project.~~

11. PROVISIONS FOR WITHDRAWAL FROM PROJECT ~~BEFORE THE START OF PHASE~~

~~9.5.~~11.1. Withdrawal or Reduction Before the Start of Phase 2 ~~OBLIGATIONS~~Obligations

A Project Agreement Member may reduce or completely withdraw their participation in Class 1 and/or Class 2 within the window associated with Assumption 4.5 and the Phase ~~2~~2A Reservoir Project Agreement~~.~~ (Dated April 1, 2019). In order to maintain equity among all Members, all changes in project participation will be accommodated through the provisions of the Phase 1 True-Up Process **(Section ~~12~~13)**.

11.2. Withdrawal Before the Start of Phase 3 Obligations

Following Phase 2, project funding will most likely involve debt issuance and require full financial commitment by Project Agreement Members for Phase 3 and Phase 4. Consequently, the last opportunity for a Project Agreement Member to withdraw or reduce participation under this policy will be prior to execution of the Phase 3 Reservoir Project Agreement. In order to establish equity prior to debt issuance, all final changes in project participation will be accommodated through the provision of the Phase 2 True-Up Process (**Section 15**).

~~10.~~12. PROVISION FOR CLASS 2 CONVERSION TO CLASS 1 PRIOR TO THE TRUE-UP PROCESS

Prior to the Phase 1 True-up Process (**Section ~~12~~13**), the conversion of Class 2 water will occur only when additional Class 1 water becomes available. Project Agreement Members with Class 2 participation will be allowed to convert their Class 2 water in order of highest to lowest priority.

The cost for each Project Agreement Member to convert its Class 2 to Class 1 will be equal to the difference between the Class 1 and Class 2 funding obligations on a per acre-foot basis, multiplied by the quantity (acre-feet) of Class 2 being converted to Class 1.

~~11.~~13. PROVISION FOR REBALANCING PHASE 1 PARTICIPATION AND TRUE-UP OF THE CLASS 1 COST BASIS

At the conclusion of the Phase 1 Rebalancing Process, the Authority will establish the final amount of Class 1 available for participation and establish an equivalent cost basis per acre-foot (the True-Up Process). The final Phase 1 participation will be established as follows:

- The minimum threshold for participation from agencies in the Sacramento River Hydrologic Region (**Section ~~6~~7**) will be considered prior to the conversion of Class 2.

- Any voluntary change in participation shall be fully recognized prior to the calculation of conversion of Class 2 to Class 1.
- Class 2 will be converted to Class 1 in order of highest to lowest priority until the final amount of Class 1 participation is fulfilled. The cost to convert Class 2 water shall be paid by each member separate from the determination of the Equivalent Required Funding for Phase 1. Funding associated with Class 2 conversion will be included in the Project Agreement Member's cumulative Contribution Credit.
- Any remaining Class 2 participation will be retired. Project Agreement Members with retired Class 2 water will be placed on a stand-by list for future participation based on their original priority.
- Should the total amount of Class 1 participation be less than the amount available, the Authority will solicit interest from current Project Agreement Members for additional participation. If the additional Class 1 participation is still available, the Authority will solicit interest from other non-participating agencies.

An equivalent cost basis per acre-foot will be established for all Project Agreement Members at the conclusion of Phase 1 as follows:

- The Equivalent Cost Basis for Class 1 participation has been established by the Project Reservoir Committee as $48.50 per acre-foot.
- The Equivalent Required Funding for each Project Agreement Member will be the Equivalent Cost Basis multiplied by the Project Agreement Member's Class 1 participation at the end of Phase 1.
- If the Equivalent Required Funding is greater than the Project Agreement Member's cumulative Contribution Credit, a true-up payment will be due from the Project Agreement Member to the Authority equal to the Equivalent Required Funding minus the Member's cumulative Contribution Credit.
- If the Equivalent Required Funding is less than the Project Agreement Member's cumulative Funding Obligation, a reimbursement will be due from the Authority to the Project Agreement Member equal to the Project Agreement Member's cumulative Contribution Credit minus its Equivalent Required Funding.

14. PROVISION FOR BUY-IN AFTER PHASE 1

During Phase 2, if Class 1 water is available, existing or new Project Agreement Members may increase their participation. In order the maintain equity among Project Agreement Members, additional participation carries a "buy-in" cost equal to the equivalent cost basis at the time that new or additional participation is requested. The equivalent cost basis has been established by the Reservoir Committee as:

Phase 1:	$48.50 per acre-foot
Phase 2A:	$60.00 per acre-foot
Total:	$108.50 per acre-foot

Additional increments may be added as the project progresses through Phase 2.

The buy-in cost for additional/new participation is equal to the Equivalent Cost Basis per acre-foot multiplied by the amount of additional/new participation requested less any reimbursement liability due from the Authority from prior withdrawal/reduction in participation. The buy-in payment will be invoiced upon execution of the Reservoir Project Agreement.

The additional/new participation will be assigned the current round of priority at the time the Reservoir Project Agreement is executed (**Section 6**).

The Authority may utilize the buy-in payments to reduce any outstanding reimbursement liabilities from prior phases.

15. PROVISION FOR PHASE 2 REBALANCING AND TRUE-UP PROCESS

At the conclusion of each incremental Phase 2 agreement, the Authority will declare any change to the Fully Subscribed Project and Project Agreement Members will identify their preferred Participation moving forward in the Project.

With respect to the Fully Subscribed Project, any available Class 1 water will be offered according to the Round 5 sub-priorities (**Section 6**). Project Agreement Members that increase their participation and new Project Agreement Members must "buy-in" to the Project at the current Equivalent Cost Basis (**Section 14**).

With respect to the Fully Subscribed Project, if the total preferred Participation exceeds the Fully Subscribed Project, Project Agreement Members with a proposed participation increase will only receive a pro-rata share of their proposed increase. If the total preferred Participation still exceeds the Fully Subscribed Project, the Participation of Existing Project Agreement Members will be reduced on a "last in / first out" basis according to the participation priorities (**Section 6**) and on a pro-rata basis within the same priority or sub-priority.

Once the final participation is established for each incremental Phase 2 Project Agreement, true-up payments and reimbursements will be determined for each Project Agreement Member:

- The Equivalent Required Funding at the completion of each incremental Phase 2 Project Agreement will be the Equivalent Cost Basis multiplied by the Project Agreement Member's participation.

- If the Equivalent Required Funding is greater than the Project Agreement Member's cumulative Contribution Credit, a true-up payment will be due from the Project Agreement Member to the Authority equal to the Equivalent Required Funding minus the Member's cumulative Contribution Credit.

- If the Equivalent Required Funding is less than the Project Agreement Member's cumulative Funding Obligation, a reimbursement will be due from the Authority to the Project Agreement Member equal to the Project Agreement Member's cumulative Contribution Credit minus its Equivalent Required Funding.

Draft_0027918

~~12.~~16. FAIR SHARE FUNDING STUDY

The Authority will prepare a funding study upon completion of Phase 1 to document each Project Agreement Member's funding contributions, equivalent cost basis and Contribution Credit. The "Phase 1 Fair Share Funding Study" will summarize the conversion and/or retirement of Class 2 water and the true-up of the Class 1 cost basis at the end of Phase 1.

The Fair Share Funding Study will be updated to document changes in Participation, Priority and Equivalent Cost Basis for each Project Agreement Member through completion of Phase 2.

Appendix A – Participating Members and Respective Priority

Sites Reservoir Project
Credit & Reimbursement Policy - Phase 2

	Phase 1 & Phase 2A				Phase 2B				Cummulative Totals	
Hypothetical Examples	Phase 2A Participation (AF)	Phase 1 Contribution Credit ($48.50/AF)	Phase 1 Reimbursement Liability	Phase 2A Contribution Credit ($60/AF)	Participation (AF)	Buy-in Cost ($108.50/AF)	Phase 2B Contribution Credit ($100/AF)	Phase 2A Reimbursement Liability	Contribution Credit ($208.50/AF)	Outstanding Reimbursement Liability
Example 1 - No Change in Phase 2B Participation										
District 1A	5,000	$242,500	-	$300,000	5,000	-	$500,000	-	$1,042,500	-
District 1B	5,000	$242,500	$(187,500)	$300,000	5,000	-	$500,000	-	$1,042,500	$(187,500)
Example 2 - Increase in Phase 2B Participation										
District 2A	5,000	$242,500	-	$300,000	6,000	$108,500	$600,000	-	$1,251,000	-
District 2B	5,000	$242,500	$(187,500)	$300,000	6,000	-	$600,000	-	$1,251,000	$(79,000)
Example 3 - Reduction in Phase 2B Participation										
District 3A	5,000	$242,500	-	$300,000	4,000	-	$400,000	$(108,500)	$834,000	$(108,500)
District 3B	5,000	$242,500	$(187,500)	$300,000	4,000	-	$400,000	$(108,500)	$834,000	$(296,000)
Example 4 - New Participation										
District 4A	0	-	-	-	5,000	$542,500	$500,000	-	$1,042,500	-
District 4B	0	-	$(187,500)	-	5,000	$355,000	$500,000	-	$1,042,500	-

Sites

Topic: **Authority Board Agenda Item 3.4** **2020 July 22**

Subject: **Preliminary Participation Levels**

Requested Action:

Receive status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation.

Detailed Description/Background:

Preliminary Participation Levels:

Soft call results and participation agreement status have been updated and are included in Attachment A. In summary:

- Based on initial results, full subscription can be achieved.
- Based on projected, reduced participation from Sacramento Valley, approximately 13,000 AF may be available. The Reservoir Committee and Authority Board in May 2020 requested that outreach be conducted to try to achieve the 25% goal for Sacramento Valley participation.
- Outside of the Sacramento Valley, current participants identified interest in 5,000 AF and prior participants expressed interest in 10,000 AF of additional capacity, if available.

Outreach and Rebalancing:

Staff has initiated outreach to existing, prior, and new participants in the Sacramento Valley to determine interest in additional capacity. Existing members Colusa County Water District and Westside Water District are in the process of polling for increased participation interest. No prior or new interested parties have stepped forward within the Sacramento Valley at this time. Staff is moving to priority two and three of outreach and will provide an update at the August meeting. As a reminder, new and returning participants must be approved for admission according to Section 10 of the Participation Agreement, which includes a 75% weighted approval at the Reservoir Committee and 75% of Authority Board director approval as well as other requirements.

For reference, the approved priority system is as follows:

1. The goal is total Sacramento Valley participation of ~25%. Priority is given to current, prior and new Sacramento Valley participants in that order.
2. Existing Reservoir Committee participating members (with sub-priorities by seniority)
3. Prior Reservoir Committee participating members (with sub-priorities by exit date)

4. Nonparticipants. Priority for new participants outside of Sacramento Valley.

The process for a participant securing a position in the participation queue involves the following steps:

- Participants submit a letter of intent for new or increased participation prior to September 10, 2020 to secure a position in the queue. The letter should acknowledge the potential financial commitment. Attachment A shows the remaining contributed credit that participants can apply to prior participant payments.
- Staff prioritizes requests based on the approved rebalancing priority system.
- At the September meetings, the Reservoir Committee and Authority Board direct staff to offer capacity to participants in the queue.

Schedule:

The following items will be considered at the Reservoir Committee and Authority Board at their monthly meetings:

- August: Outreach update.
- September: Final participation levels for current members (executed agreements), approval of participation queue, vote to onboard new participants.
- October: Final participation levels for new members (executed agreements), approval of the final Exhibit A to the Participation Agreement, invoices sent for payment.

Prior Action:

June 18, 2020: The Reservoir Committee received a status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation.

May 21, 2020: The Reservoir Committee accepted Exhibit B (2020 and 2021 budget) to the Second Amendment to 2019 Reservoir Project Agreement and directed staff to begin outreach to potential participants based on the proposed priority system to explore interest in Sites capacity, if available.

May 5, 2020: At a Reservoir Committee workshop, staff provided information on changes to the cash call process and schedule in light of potential economic impacts of the COVID-19 natural disaster on participating member agencies. A two-step cash call process including a "Soft Call" and a "Hard Call" will be used to better plan for changes in participation. The due date of the first cash call of $60/AF will be moved two months out to November 1, 2020. The due date of the second cash call will be moved two months out to April 1, 2021 and will be up to $40/AF. The Amendment 2 work will still begin on September 1, 2020.

April 17, 2020: The Reservoir Committee approved the Amendment 2 Work Plan for the purposes of planning cash calls for participants totaling $100/AF,

producing a draft budget exhibit to the participation agreement, and developing consultant task orders.

March 30, 2020: At a joint workshop, staff provided information that included a process overview of task order development; an updated project schedule; cash flows for Reservoir Committee and Authority Board; and preliminary task budgets including assigned resources.

March 19, 2020: Staff provided information on the goals, schedule, cash flow, and preliminary budget allocation by subject assuming a cash call of $100/AF. Staff provided a preliminary cash call schedule of $60/AF due September 1, 2020 and $40 due February 1, 2021.

February 21, 2020: Staff provided information on three possible revenue scenarios and a task list for Amendment 2 (September 1, 2020 and ending December 31, 2021). Staff received direction to continue to develop the $100/AF revenue scenario.

January 17, 2020: Staff provided information regarding the proposed process for developing a work plan. This work plan proposes to focus on improving certainty related to project operations, permitting, and affordability, and to meeting the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive funding.

Fiscal Impact/Funding Source:

The total cash call remains unchanged from the approved Amendment 2 work plan in the total amount of $100/AF. With the Amendment 1B carryover funds it may be possible to reduce the total cash call. However, Staff proposes to keep the first call at $60/AF and reassess the second call currently projected at $40/AF midway through the Amendment 2 work. At that time, any adjustments to the second call can be considered based on the then current projections. Participating members can consider the second call to be an "up to" amount since the Amendment 1B carryover represents previously unanticipated funds for the Amendment 2 work plan.

Staff Contact:

Jerry Brown

Attachments:

Attachment A – Preliminary Amendment 2 Participation Table.

Preliminary Amendment 2 Participation Table
Reservoir Committee

Based on preliminary soft call survey results as of 12pm Wednesday, 7/1/2020

Working Draft, Subject to Change

Participant	Amendment 2 Agreement Status	Phase 2 (2019) Participation Level (Ref, AF)	Participation Level (AF) Staff Recommendation	Variance (AF)	Participation Increase Interest (AF)
Antelope Valley East Kern WA		500	500	0	
Carter Mutual Water Company		300	300	0	
City of American Canyon		4,000	4,000	0	
Coachella Valley Water District		10,000	10,000	0	
Colusa County	Approved	10,000	10,000	0	
Colusa County WD**		11,975	11,000	-975	Possibly
Cortina WD		450	450	0	
Davis Water District		2,000	2,000	0	
Dunnigan WD		2,717	2,717	0	
Desert WA		6,500	6,500	0	
Glenn Colusa Irrigation District		5,000	5,000	0	
LaGrande water district		1,000	1,000	0	
Metropolitan Water District of Southern California		50,000	50,000	0	
RD-108		4,000	4,000	0	
San Bernardino Valley Municipal Water District	Approved	21,400	21,400	0	
San Gorgonio Pass Water Agency		14,000	14,000	0	
Santa Clara Valley WD***		16,000	16,000	0	
Santa Clarita Valley Water Agency		5,000	5,000	0	5,000
Westside W.D.**		15,000	2,000	-13,000	
Zone 7 Water Agency		10,000	10,000	0	
Wheeler Ridge-Maricopa WSD		3,050	3,050	0	
Pacific Resources MWC*		0	0	0	10,000
Total		**192,892**	**178,917**	**-13,975**	**15,000**

**Phase 1 participant, no survey response received. Interest expressed by a responding Phase 2 participant.*

***Indicated possibility for higher participation, lower projected participation level shown*

****Response is pending, full participation assumed by Sites staff*

Sites

Topic: **Authority Board Agenda Item 3.5** **2020 July 22**

Subject: **Organizational Assessment Quarterly Update**

Requested Action:

Review and comment on the July 2020 Quarterly Update of the plan and schedule for addressing the Organizational Assessment (OA) findings and recommendations.

Detailed Description/Background:

The approved OA Report includes findings and recommendations aimed at improving the overall effectiveness of the organization. The Authority Board directed Staff to provide a plan and schedule for addressing the report findings. Staff recommended a plan and schedule, including quarterly progress updates to the Reservoir Committee (RC) and Authority Board (AB). The RC and AB accepted the recommendation at their respective April 2020 meetings. This provides the first quarterly update to the plan and schedule.

Staff reviewed all items in the plan and schedule and generally made the following adjustments to reflect current circumstances:

1. Status column has been completed to reflect the current status for each action.
2. "Target Board Item Date" has been reviewed and updated for some action based on the detailed Project schedule and status of project activities.

Staff continues to work diligently to address the Organizational Assessment findings and recommendations. As reflected in the attached July 2020 update to the plan and schedule, of the 43 total actions, 9 have been completed, 3 are completed and in on-going tacking status, 2 are partially completed, 12 are in progress, 8 will be starting shortly, and 9 are items that have been assigned to the RC and AB to complete (most of these will be accomplished in the Strategic Planning effort).

The next Quarterly Update is scheduled for October 2020. For the October update, staff will be consolidating the matrix to continue tracking only those items that have not been completed and group items by major category to align with 2021 key milestones (i.e., Plan of Finance, Strategic Plan, Permit Discussions, Revised EIR, and Federal/State Involvement).

Prior Action:

April 17, 2020: Accepted plan and schedule for addressing the Organizational Assessment findings and recommendations.

Draft_0027926

November 21, 2019: Darling H20 Consulting, Inc. presented the draft Organizational Assessment.

August 26, 2019: Approved a budget reallocation for the organizational assessment and execution of a sole-source professional services agreement with Darling H2O to perform an organizational assessment.

July 22, 2019: Discussed working on an organizational assessment plan to evaluate the structure of the Sites Project's program management team, Reservoir Committee and the Authority.

Fiscal Impact/Funding Source:

Efforts to address the findings and recommendations are being made within the Amendment 1B and Amendment 2 work plans. No additional funds are needed to support these efforts at this time.

Staff Contact:

Ali Forsythe

Attachments:

Organizational Assessment Matrix – July 2020 Quarterly Update

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
1.1	F	Prepare an analysis of the major regulatory decisions being made regarding flow in the Sacramento River and Delta and determine flow-related permitting strategy with identification of risks.	Permitting strategy memo was prepared by ICF in May 2019 and updated through CDFW meetings in fall/winter 2019. A revised version that will include updates with recent relevant changes is in progress.	Staff will review prior related materials and prepare the analysis identified.	High	October 2020	Ali Forsythe	Starting shortly. Will begin after development of the Revised EIR/EIS project description and identification of the Preferred Project in September.
1.2	E	Prepare an analysis of the major comments received on the draft EIR/S. Identify approach to addressing those comments and working with specific commenters.	• March 29, 2019 – Jacobs provided a memo that included Draft EIR/EIS Comments Matrix, Master Response Topics, Key Comment Letter Summaries, and draft Initial Responses. • April 12, 2019 – Strategy meetings were initiated with ICF, Reclamation and Authority to address overall approach as well as specific topics. Based on these strategy sessions, an outline for the Final EIR/EIS was prepared and an approach for responding to comments, including master responses to comments was identified and forwarded to both CEQA (Authority) and NEPA (Reclamation) legal counsel. • June 5, 2019 – ICF provided master response annotated outlines and commenced preparing responses. In addition, the Authority EPP began meeting with key commenters, (e.g., CDFW, NRDC, Humboldt County, etc.) to clarify issues of concern.	• The work that has been completed to date in responding to comments will be utilized in either the completion of a Final EIR/EIS or in preparing a Recirculated Draft EIR/EIS. Assuming recirculation of the Draft EIR/EIS is the preferred approach for moving forward based on the status of the Value Planning effort, ICF is in the process of preparing a strategy/work plan to be completed in May 2020. • The Authority EPP will also continue outreach to Draft EIR/EIS commenters and Agencies as efforts move forward.	High	• Analysis completed under original work plan and to be updated April 2020 with preparation of EIR/EIS Work Plan • Meetings with specific commenters – ongoing throughout 2020 and 2021	Ali Forsythe	Partially completed. • Revised EIR/EIS Work Plan completed. As part of this effort, all comments were reviewed and approaches to revising the EIR/EIS to address prior comments were identified. • General meetings with some commenters completed. Additional meetings planned for post September 2020.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
1.3	E	Identify legal requirements of the environmental laws that Sites will be required to comply with.	Preliminary list of permit requirements and other approvals were included in the Draft EIR/EIS released in August 2017.	The preliminary list will be updated in the development of the Revised Draft EIR/EIS.	Medium	December 2020	Ali Forsythe	Starting shortly. Will begin after development of the Revised EIR/EIS project description and identification of the Preferred Project in September.
1.4	F	Establish a permitting flow chart with realistic timelines.	In 2019, the Environmental Planning and Permitting team developed and maintained an MS Project schedule.	• Environmental Planning and Permitting team along with the Operations and Engineering teams is currently developing a detailed project schedule focusing on activities thru the end of 2021. • Develop planning / permitting flow chart and add in key dates from schedule effort. • Present summary schedule and flow chart to Res Com and Board and then track progress monthly thereafter.	High	• April 2020 Res Com and Board meetings – Detailed and summary schedule completed along with flow chart • On-going – Track and report on progress	Ali Forsythe	On-going Tracking. • A detailed project schedule that includes activities through the end of 2021 has been developed and was presented to the Reservoir Committee and Authority Board in the "Work Plan for September 1, 2020, to December 31, 2021" at the April 2020 Reservoir Committee and Authority Board meetings. • A summary of the schedule was developed and provided to the Reservoir Committee and Authority Board at the May 2020 meetings. • Tracking will be ongoing.
1.5	E/F	Prepare analysis of the draft EIR/S for use by all of the permitting agencies to issue permits upon the finalization of the EIR/S. Identify schedule for document completion.	A preliminary list of permit requirements and other approvals were included in the Draft EIR/EIS released in August 2017.	• Analysis – As the team works to develop a revised project description and revise the Draft EIR/EIS, the permitting agency comments will be reviewed in response to Action 1.2 and a regulatory agency technical team will be formed in response to Action 2.4. These two efforts will collectively address the analysis request in this action. • Schedule – See Action 1.4 for schedule development.	Addressed thru other Actions	--	--	See Status for Actions 1.2, 1.4, and 2.4.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
1.6	Executive Director	Determine if Water Commission has authority to grant schedule relief. If yes, then formally request schedule relief. If no, then figure out a legislative fix and timing.	The relevant deadlines are specific in the voter approved bond language. The current COVID -19 situation presents an opportunity for possible adjustment.	Work with Legal Counsel, and Gov't Relations Team to review statue in detail and determine options. Action plan being developed.	High	May 2020	Jerry Brown	In-progress. It was determined that the schedule requirements were in the bond language which means it cannot be changed without going to the voters. A joint letter with other WSIP grant recipients was submitted to the CWC for consideration of releasing additional funding sooner. Also, defining what constitutes feasible and 75% local cost share commitment is being pursued.
1.7	C	Prepare an analysis of the pros and cons along with a staff recommendation to present to the governance bodies on whether to spend additional time and money pursuing WIIN Act funding.	Extensive coordination with Reclamation has been conducted to date and Reclamation anticipates providing its final review process of their Feasibility Report in April. Staff has also developed a strategy on how to bring the Federal Feasibility Report in line with the Value Planning effort by next summer (2021).	Staff will prepare the analysis requested and elevate for decision-making to the Reservoir Committee and Board.	High	June 2020	Kevin Spesert	Completed. Federal Legislative Priority and State Legislative Priority were presented at the May and June 2020, Reservoir Committee and Authority Board meetings, respectively.
1.8	--	Determine if there is a viable strategy to phasing, which could allow for additional project partners.	The Value Planning exercise has formulated potential projects around meeting the needs of current participants with the opportunity to expand in the future.	Reservoir Committee/Board will be selecting a preferred project in April. All alternatives have the ability to be phased should the Reservoir Committee/Board chose to do that.	No Action	--	--	--
2.1	F	Develop an interest, science based permitting strategy.	See Action 1.1.		High	October 2020	Ali Forsythe	Starting shortly. Will begin after development of the Revised EIR/EIS project description and identification of the Preferred Project in September.
2.2	F	Determine when appropriate for project staff and governance members to be involved in the permitting process and at what level.	--	This will be addressed in the strategic planning exercise.	--	June 2020	--	Completed. A negotiations approach for permits and operating agreements, which identifies the roles of governance members in the permitting process, was approved by the Reservoir Committee and Authority Board meetings in June 2020.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
2.3	F	Determine if overall project provides a "net environmental benefit" beyond cold water pool in Shasta. If yes, then work to get agency and NGO buy in.	Outside of the Refuge water supply and Delta smelt benefits, net environmental benefits were identified in the WSIP application related to cold water pool management in Shasta. CDFW expressed concerns with using these net environmental benefits to offset impacts in their 2081 permit – requesting "assurances" that benefits would occur.	• Revised net environmental benefits will be determined as part of the development of operational criteria. • Agency and NGO discussions are planned to occur throughout 2020.	High	December 2020	Ali Forsythe	Starting shortly. Will begin with the development of the operations and biological modeling efforts for the Revised EIR/EIS.
2.4	F	Establish a regulatory agency technical advisory committee at the staff level that meets regularly.	A number of meetings were held with CDFW, USFWS, and NMFS in 2019, including the CDFW "60-day" process that included technical, management, and executive level meetings.	A regulatory agency technical team will be established in spring / early summer 2020 as environmental planning and permitting activities are restarted. In addition, the internal Sites team (EPP, Integration and ICF) hold regularly established coordination and work planning meetings.	High	June 2020	Ali Forsythe	Completed. Two groups were established for near-term activities and discussed at the Reservoir Committee and Authority Board meetings in June 2020.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
3.1	D	Quantify and get agreement from the state and feds as to what the benefits are to an integrated operation with the SWP and CVP.	Modeling of Sites Reservoir operations scenarios have assumed an integrated operation of the SWP and CVP. Numerous discussions have occurred with DWR and Reclamation on operations criteria and benefits.	• Development of a revised operational scenario is scheduled to be completed in June 2020. • Modeling and analysis to quantify benefits of the revised operational scenario is scheduled to be completed in December 2020. • Sites staff will be working with Reclamation and DWR throughout the development and analysis of the revised scenarios to both quantify and obtain agreement on criteria and resulting benefits of an integrated operation with the SWP and CVP. • Discussions with SWP and CVP should be memorialized in draft agreements and the Operations Plan, Version 1.0 in 2020.	High	December 2020	Ali Forsythe	Starting shortly. Will begin with the development of the operations and biological modeling efforts for the Revised EIR/EIS.
3.2	C	Establish a strategy to get state and federal agencies to be storage investors.	• The State is committed to being an investor in the project through the WSIP program. • Federal participation is addressed in Action 1.7.	• Build schedule to meet the current requirements of the CWC WSIP to maintain state current level of investment • Continue to position with CWC to get additional funding through WSIP should funds come available. • Working with government affairs work group to position for potential funds in a November state water bond. • Address Federal participation through Action 1.7.	High	June 2020	Kevin Spesert	See Status for Action 1.7.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
4.1	H	Review all facility planning previously completed by DWR to make certain that there are not missed opportunities to integrate new facilities with existing facilities.	The Value Planning effort has taken a close look at project facility needs and costs and has re-evaluate all of the previously identified facilities.	Complete the Value Planning Report and adopt a new Preferred Alternative.	High	April 2020	Jerry Brown	Completed. Value Planning Report was adopted by the Reservoir Committee and Authority Board at their April 2020 meetings. Additional review of applicable facilities is included in the scope of effort for Amendments 1B and 2.
5.1	B	Once a schedule is developed, then make certain to include all anticipated Board decisions that are critical path to project development.	The work plan through December 2021 includes a detailed schedule that is nearing complete and will serve as the basis for adding in key board decision dates.	See Action 1.4	High	May 2020	Jerry Brown	See Status for Action 1.4 since critical path is currently environmental planning and permitting.
5.2	Board / Res Comm	Once a new schedule is agreed to, governance members need to dedicate enough time to keep up with the agreed upon pace of this project.	--	See Action 1.4	--	--	--	See Status for Action 1.4.
5.3	Executive Director	Get materials to governance members at least a week in advance that clearly identifies decisions to be made, any analysis being made in support of the authority staff recommended decisions, and other options that were considered.	Staff have previously developed templates for Reservoir Committee and Authority Board materials.	• Actions to implement a more inclusive and effective policy making process have been initiated. • Progress to be evaluated as part of strategic planning exercise.	High	April 2020 (with further adjustments thereafter)	Jerry Brown	Completed. • Adjustments to the Reservoir Committee and Authority Board meeting agendas and staff report format have been made in response to this Action. • Continuous improvements will be made based on feedback and as identified by members and Authority Agents.
5.4	Board / Res Comm	As part of the new schedule, each governance member should identify which decisions warrant staff making a presentation to their individual agency to make certain that they are in concurrence with the direction the project tis moving.	--	--	--	--	Fritz Durst / Thad Bettner	-- Currently, the focus is on completing the cash call process.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
5.5	--	Use joint Board workshops and individual agency meetings to walk thru assumptions and anticipated outcomes so that when a decision comes to governance members for approval, the information will not be new.	Staff has started to utilize joint Board / Reservoir Committee workshops in 2019.	• Staff will continue to propose and utilize joint Board / Reservoir Committee workshops as appropriate for specific topics. • Individual agency meetings may be initiated by staff or by an individual agency and tracked thru the process developed under • No additional specific action will be taken to address this Action.	--	Ongoing	--	In Progress. Workgroups and committees are meeting with the necessary frequency to support effective decision making at the Reservoir Committee and Authority Board level.
5.6	C	Review the costs and deliverables accrued to date and tie future cash calls to anticipated deliverables.	Staff worked to tie cash calls to deliverables in Amendment 1B efforts. However, some activities are more ongoing business that do not necessarily result in a deliverable. Draft Amendment 2 Workplan includes deliverables by service area and explains areas where there may not be a "deliverable".	• Draft 2019 Annual Report prepared addressing items such as accomplishments and expenditures in 2019. Waiting review and comments from Authority and staff will finalize April 2020. • Draft Amendment 2 will be finalized end of April 2020.	High	April 2020	Joe Trapasso	In-progress. • 2019 Annual Report was approved by the Reservoir Committee and Authority Board at their April 2020 meetings. • Reservoir Committee and Authority Board approved the Amendment 2 Work Plan at their April 2020 meetings. • Amendment 2 Task Orders are expected to be reviewed at the August 2020 Reservoir Committee and Authority Board meetings.
6.1	A	Determine process and schedule to deliver cost per acre-foot and long-term debt information to project investors.	Cost per acre-foot has historically been developed and shared with members. In late 2019, staff developed a "Cash Flow" tool to help show long-term debt information.	• Continue to present cost per acre-foot when discussing affordability. • Update Cash Flow tool periodically as assumptions change or become outdated.	High	• April 2020 – Updates as a result of Value Planning efforts • Future – Periodic updates as changes occur	Jerry Brown	Completed. • The Value Planning Report, which included updated cost per acre-foot, was adopted by the Reservoir Committee and Authority Board at their April 2020 meetings. • Costs will be refined with the Plan of Finance expected to be initiated in September 2020 and be completed in summer 2021.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
7.1	--	Determine process and schedule to deliver how long-term costs of this project compare to other sources of water.	Information on costs of other sources of water is difficult to obtain. In addition, the "value proposition" for each member agency will be different depending on a number of factors including ag, M&I uses, water located north or south of Delta, length of agreement, and risks.	As this is different for each member and information is difficult to obtain, no additional work is proposed.	Low	--	--	--
8.1	A	Prepare a financial plan that lays out the potential sources of funding including California IBank.	• The scope and budget for the development of a financial plan was deferred by the Reservoir Committee / Board to a later date. • Exploratory conversations with IBank date back to September 28, 2017. Changes to their program would be needed for members to use this source.	• Develop finance plan as part of Amendment 2. • Continue to identify potential grant and other funding sources - at both the state and federal levels - that could reduce the project's finance costs. • Continue to work with Water Commission on timing of additional Prop 1 funds becoming available, since the original funding amount was less than the amount they deemed Sites to be eligible to receive.	Medium	July 2021	Joe Trapasso	Starting shortly. This effort is planned as part of Amendment 2.
9.1	F	Prepare a Board briefing on the comparative costs of mitigation from comparable projects to help determine a level of reasonableness.	Mitigation cost technical memorandum was prepared by CH2M Hill in 2016. Additional mitigation cost reviews were prepared in October of 2019 and February 2020 for the Value Planning Process.	Mitigation planning and a class 4 cost estimate are scoped to occur in Amendment 2 (late 2020/early 2021).	High	Late 2020	Ali Forsythe	In Progress. • The Value Planning report included a review of the mitigation cost estimate and comparison to other projects. • Further refinement of these estimates will occur as part of Amendment 2.
10.1	Executive Director	Determine process and schedule to deliver information regarding the cost of storage versus the cost of yield in the context of developing a tiered pricing approach.	--	The approved Storage Policy addresses this issue. Additional work is needed to allocate costs according to storage benefits.	Medium	Nov 2020	Jerry Brown	Starting shortly. This effort will be part of the Plan of Finance development.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
11.1	B	Once the governance members agree on a project schedule then have staff only focus on scope thru permitting and come up with a budget.	Work Plans are developed by staff and approved by the Authority Board. These Work Plans identify specific tasks and priorities and Authority staff work to execute these plans as written.	Draft Amendment 2 work plan completed and will be reviewed and commented on by Authority in April 2020. Staff will make necessary changes and finalize. Focus activities thru December 2021 on those activities identified in the Amendment 2 Work Plan.	High	April 2020	Joe Trapasso	See Status for Action 5.6.
12.1	Board / Res Comm	Hold team building sessions to better understand how governance members process information and make decisions with the anticipated outcome for governance members and Authority staff to agree upon their respected roles and responsibilities.	--	--	--	--	Fritz Durst / Thad Bettner	In Progress. This will be addressed as part of the strategic planning session in August 2020.
12.2	Executive Director	Authority staff should work to make Board meetings more of a final sign off or endorsement of a policy decision or budget approval.	This Action is similar to Action 5.5.	See Action 5.5.	--	Ongoing	Jerry Brown	See Status for Action 5.5.
13 (13.1 to 13.5)	B	Staff will document and track all governance member questions and provide responses to the governance members.	--	Staff initiated work on a tracking tool to track member questions and information requests and update it on a regular basis.	High	Ongoing	Joe Trapasso	In-progress. Staff are documenting all questions and reviewing the list internally to ensure member questions are addressed and appropriate follow up is completed.
14.1	F	Concerns with the structure and information flow from the CDFW discussions.	--	• Hold more frequent Environmental and Permitting Work Group meetings to provide updates on the CDFW efforts. • Continue to provide updates at the Operations Work Group meetings on the CDFW efforts.	High	Throughout 2020	Ali Forsythe	In-progress. • Discussions with CDFW have been reinitiated to ensure the coverage within the Revised Draft EIR is sufficient for permitting purposes. • A check-in schedule with the CDFW Director has been prepared.
15.1	Executive Director	Prepare a discussion paper on what lessons have been learned by other similar projects that can potentially be adopted for use by the Sites Project.	--	Staff continuously monitors other projects for lesson learned and applies them to this Project as appropriate.	Low	Ongoing, verbal	Jerry Brown	In-progress.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
15.2	Board / Res Comm	Create a joint strategic plan for the Reservoir Committee and Board.	--	Issue a Request for Proposal for a Strategic Plan effort and contract with a Strategic Planner.	High	December 2020	Jerry Brown	In-progress. • A contract for strategic planning services was approved by the Reservoir Committee and Authority Board at their May 2020 meeting. • A strategic planning session is planned for August 2020.
16.1	C	Create a strategy to get Reclamation to the table to describe what the local investment will do for their contracts.	See Action 1.7.	Such as strategy will be coordinated with Action 1.7.	High	June 2020	Kevin Spesert	See Status for Action 1.7.
16.2	B	Explore the concept of beneficiary pays to accurately account for the benefits received by each investor and consider a tiered pricing system.	The concept of beneficiary pays has been discussed a number of times. Once a Preferred Project has been selected and additional design / use / beneficiary information is better understood, the concept of beneficiary pays can be explored further. See Action 10.1.	• Explore the concept of beneficiary pays as design and cost information progresses. Refine and have an agreed upon approach for Phase 3 (post 2021). • Governance considerations including potential tiered pricing will be discussed in the strategic planning exercise.	Medium	Late 2020 / Mid 2021	Joe Trapasso	See Status for Action 8.1 and 10.1. This will be addressed as part of the Plan of Finance development.
16.3	Board / Res Comm	Formally request south of Delta investors to identify ways of keeping north of Delta ag in the project.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--
17.1	B	Perform analysis to validate if increased partnerships would result in the project becoming more affordable.	--	Dependent on approval of upcoming funding request results.	Medium	April 2020	Joe Trapasso	See Status for Action 6.1
18.1	Board / Res Comm	Celebrate and memorialize the cooperative relationship between north of Delta and south of Delta participants on the Project.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--
19.1	Board / Res Comm	Formalize the roles and responsibilities between the Res Comm and Board and look for ways to reduce duplication.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--
20.1	Board / Res Comm	Complete analysis of the legal requirements for the Res Comm and Board governance. Consult with other Prop 1 recipients that have multiple partners to see how they are set up.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--
20.2	Board / Res Comm	Depending on the results of Action 21.1, have a policy level discussion to decide if the Res Comm and Board make-up can be and should be changed.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
21.1	Board / Res Comm	Rules for membership on the Authority Board are not understood. Get a legal and policy review of the composition of the Board to inform a discussion and decision on Board composition.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--
22.1	Executive Director	Prepare a legal analysis of what are appropriate materials to be covered in closed session.	--	The analysis will be prepared and presented to the Res Comm and Board.	High	August 2020	Scott Kuney	On schedule.

Sites

Topic: **Authority Board Agenda Item 3.6** **2020 July 22**

Subject: **Strategic Planning Session Agenda**

Requested Action:

Review and comment on the Strategic Planning Session Draft Agenda.

Detailed Description/Background:

The Catalyst Group was hired by the Authority to prepare a strategic plan and facilitate a strategic planning session. The consultant has reviewed the organizational assessment findings and has conducted interviews with coordinating committee members (Reservoir Committee (RC) and Authority Board (AB)) and key staff. Based on this input, the attached draft agenda has been prepared for the strategic planning session and the consultant would like the RC's feedback before finalizing pre-meeting activities and plans for the day.

In addition, before the meeting, the Catalyst team will be soliciting additional input regarding the strategic plan through an online survey to be distributed to the Authority Board, Reservoir Committee, agents, and select consultants. The purpose of the joint workshop is to discuss and affirm the Authority's vision, mission, values, goals, and objectives.

Currently, the strategic planning session is scheduled to occur Thursday, August 13, 2020. Due to uncertainties of the COVID situation, accommodations were attempted for a north and a south meeting location along with virtual meeting accommodations. However, only a north location has been secured at this time.

Staff wanted to hold the meeting in-person but sees the upsides of having the meeting sooner in line with ongoing project activity outweighing the downsides of waiting for the COVID situation to pass. The consultant will have a live facilitator and video capabilities at each facility. The consultant is also evaluating options for making the virtual participation as interactive as possible. This will be a joint meeting of the RC and the AB and members will have the option of attending in the manner they are most comfortable with.

The strategic plan document will be written following the session and a draft is scheduled to be delivered in September 2020.

Fiscal Impact:

Sufficient funds for the strategic plan and facilitation are included in the Amendment 1B work plan. Funds and contract authority previously authorized by the board for Catalyst and Darling H2O to assist with strategic plan implementation are being held for these activities.

Prior Action:

May 27, 2020: Approved a consulting contract with The Catalyst Group for facilitation services in an amount not to exceed $50,000 and approve a time extension through December 31, 2020 for the existing contract with Darling H2O.

April 22, 2020: Approved the Organization Assessment Report as follows: the plan and schedule for addressing the report findings and recommendations, the scope, schedule and budget for strategic planning facilitation services and release a Request for Proposals for strategic planning facilitation services.

December 20, 2019: Approved Darling H20 to expand the scope of work to support the recruitment of a facilitator for Strategic Planning.

November 21, 2019: Darling H20 Consulting, Inc. presented the draft Organizational Assessment.

August 26, 2019: Approved a budget reallocation for the organizational assessment and execution of a sole-source professional services agreement with Darling H2O to perform an organizational assessment.

July 22, 2019: Discussed working on an organizational assessment plan to evaluate the structure of the Sites Project's program management team, Reservoir Committee and the Authority.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Proposed Draft Agenda.

Joint Strategic Planning Workshop

8:30 **I. Welcome, Agenda Overview, Introductions — Jerry Brown & Charles Gardiner**

Workshop Purpose: Identify & affirm Authority **vision, mission, and values** and **project and organizational goals** to achieve our mission.

Objectives:

- Review and affirm Vision, Mission, and Values statements.
- Identify, modify, and affirm the high-level goals to achieve an *approved, funded project*.
- Identify, modify, and affirm *organizational* needs to lead the project.

Introductions: Board and Reservoir Committee members introduce themselves and state their objectives for the day.

Protocols: Review meeting process guidelines

8:50 **II. Review Strategic Plan Intent, Content & Progress to Date — Facilitator**

Strategic plan purpose and timeframe:

- Sets vision, mission, values, and broad project goals and organizational....
- Will be used to....
- To be addressed today....and in future work.

Key Findings: Review key findings emerging from staff and Coordinating Committee interviews/meetings.

Discussion: Q&A on Strategic Plan, findings, and agenda.

9:15 **III. Review and Affirm Strategic Plan "Vision, Mission & Values" — Facilitator**

10:20 ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ **BREAK 10 mins** ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~

10:30 **IV. Review & Affirm Content of the Strategic Plan Goals & Objectives — Facilitator**

Goals and Objectives: Present, discuss, and affirm 3-5 strategic plan goals for the project and organization.

11:50 **V. Review Work Task and Break into Work Groups — Facilitator**

12:00 ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ **Working Lunch** ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~

12:15 **VI. Breakout Session #1 – Identify Core Challenges/Needs for 2-3 Goals (Breakout Group for each Goal)**

What is needed to achieve the high-level project goals – approaches, relationships, teams, knowledge, deliverables, etc.?

1:30 **VII. Breakout Session #2 – Identify Challenges/Needs for the Organizational Goal(s) (2-3 breakouts)**

How does the organization need to grow and develop to own and lead the project?

2:15 **VIII. Reconvene as Full Group – Summarize Outcomes & Agree on Broad Themes**

Reports from breakout groups. Refinement and direction to work groups/staff to refine the goals and develop implementation strategies.

2:55 **Next Steps & Adjourn**

DRAFT

Topic: **Sites Reservoir Project, Phase 2**

Subject: **Monthly Status Report** Report Period: **2020 June**

Monthly Status Summary:

The development of the Sites Project continues to make progress in the critical areas including the following activities:

WSIP Funding:

- Meetings were conducted with CWC staff to evaluate administrative actions that could be taken to accelerate early funding to the project. Of these measures, release of retention has been authorized and a revised scope of work that redistributes funds within existing tasks is being reviewed. Combined these actions would accelerate approximately $2-$3M of state funding to the project in the Amendment 2 work plan that was not previously anticipated. A third action to temporarily allow higher state cost share is under consideration as well. Staff continue to pursue further definition from CWC on the 1/1/2021 requirements for feasibility and 75% local cost share commitment.

WIIN Act Funding:

- See "Coordination with Reclamation" section below.

USDA Funding:

- No update.

Engineering:

- Engaged PG&E and WAPA in power delivery discussions.
- Continued feasibility analysis and design of project alternatives.
- Continue supporting data needs for preparation of the Project Description.

Coordination with Reclamation:

- Began coordinating with Reclamation for in-kind work associated with Geologic & Geotechnical exploration efforts in support of the feasibility design.
- Continued to work with Reclamation planning and environmental teams on the National Environmental Policy Act approach, including identification of schedule for Reclamation review process assuming joint environmental document.
- Provided updated materials for Financial Assistance Agreement application for WIIN Act funding.

Environmental Planning and Permitting:

- Continued development of the project description for use in the Revised Environmental Impact Report / Environmental Impact Statement (Revised EIR/EIS) and the Biological Assessment.

Draft_0027942

- Finalized the Revised EIR/EIS Work Plan. The Revised EIR/EIS Work Plan identifies the approach and technical work (environmental, operations and engineering) that will be needed to support the analysis performed under Amendment 2.
- Updated the CEQA project objectives in response to feedback to support alternatives selected for the Revised Draft EIR/EIS analysis.
- Completed approach to permit and agreement negotiations for Environmental Planning, Permitting and Operations.
- Continued technical discussions and planning activities for the water right permitting and a 2020 initial meeting with state Board Staff
- Completed approach for Regulatory Agency Technical Working Group.
- Continued data exchange, water quality and geotechnical small technical working groups to facilitate development of those project components for use in the Revised EIR/EIS, Biological Assessment and Sites Feasibility Report.
- Continued technical discussions and planning activities for state and federal Endangered Species Act and Clean Water Act permitting.

Operations:

- Refined negotiations approach for working on operational agreements with the Department of Water Resources and the Bureau of Reclamation.
- Progressed the development of operations criteria that will be built into the models for use in the Revised EIR/EIS and Biological Assessment. Criteria in development include determining the modeling baseline, modeling of Shasta exchanges, diversion criteria, water demand, and coordination with the State Water Project.

Stakeholder Engagement, Public Outreach & Real Estate:

- Planning and logistics for the July 14th & 15th Sites Town Hall meetings.
- Facilitated a project update meeting for the Water Education Foundation.
- Continued engagement and outreach efforts with landowners, local community members, state and federal elected officials, non-governmental organizations and coalitions of regional and statewide organizations to introduce the Value Planning Report and the new "rightsized" project.
- Responded to landowner requests for project information, facilitated coordination activities with local government agencies and organizations and planned for future landowner, stakeholders and general public outreach activities and events.

Program Management & Administration:

- Provided update to Reservoir Committee and Authority Board on project rebalancing process.
- Supported WSIP Funding Strategy and options for leveraging State Funds via coordination meetings with Water Commission Staff.
- Implemented process and templates for Amendment 2 Work Plan Task Orders for service area providers.

Draft_0027943

OVERVIEW OF VALUE PLANNING PROCESS

MARCH 25, 2020

Sites

Draft_0027944

Value Planning Work Group

Participants:
Kunde, Vanderwaal, Evans, Azevedo, Bettner, Sutton, Traynham, Carter, Murphy, Ruiz, Neudeck

Key staff/consultants:
Frederiksen, Spesert, Forsythe, Herrin, Tull, Rude, Barnes, Spranza, Arsenijevic, Warner Herson, Heydinger, Grubbs

Draft_0027945

Ad Hoc Value Planning Work Group

- Representatives of the Reservoir Committee and Authority Board formed the Ad Hoc Value Planning Work Group in October 2019.
- Over several meetings, the Work Group directed the efforts of the Authority staff and consultant team to formulate and evaluate alternatives that would yield a more affordable project.
- On March 2, 2020, the Value Planning Work Group, through a sequential process of evaluating initial and refined alternatives, has identified a recommended project and two options that would provide the ability to complete the Project as circumstances evolve.

Draft_0027946

Value Planning Appraisal Report

- Purpose of report is to present information that the participants will find useful
- The draft Value Planning Appraisal Report will be submitted to the Reservoir Committee and Authority Board in March, 2020 for review and comment
- A workshop will be held on March 30, 2020 to provide opportunity for detailed questions on the process and analysis
- The final Value Planning Appraisal Report will be submitted to the Reservoir Committee and Authority Board in April, 2020 for approval

Draft_0027947

Project Objectives

Primary Objectives	Secondary Objectives
Improve Water Supply and Water Supply Reliability	Provide Opportunities for Recreation
Provide Incremental Level 4 Water Supply for Refuges	Provide Opportunities for Flood Damage Reduction
Improve the Survival of Anadromous Fish	
Enhance the Delta Ecosystem	

Draft_0027948

Key Components and Approach for Reducing Costs

Diversion Facilities for Filling – use the existing T-C and GCID and diversions rather than constructing new facilities.

Conveyance for Releases – use the existing T-C Canal to deliver water to the southern terminus of the canal. Releases could then be conveyed from the southern end of the T-C Canal to either the Colusa Basin Drain (CBD) or the Sacramento River.

Storage – smaller reservoir sizes, focusing on reservoir sizes of 1.5, 1.3, and 1.0 million acre-feet (MAF) to reduces the number and size of the dams and saddle dams along with related gates, towers, tunnels, and pumping facilities needed to fill Sites Reservoir.

Roads and Bridges – use shorter bridges with the use of constructed fill.

Elimination of Unsupported Components – Pump back hydropower has no apparent investors at this time.

Draft_0027949

Sites Reservoir Releases under Varying Storage and Release Capacities

Storage Capacity (MAF)	Long-term Average		
	1,500 cfs Release Capacity (TAF)	1,000 cfs Release Capacity (TAF)	750 cfs Release Capacity (TAF)
1.5	253	243	236
1.3	243	234	230
1.0	207	195	191

Meets participant demand (193+40=233)

Does not meet participant demand

Draft_0027950

Annual Repayment Costs per Acre-Foot of Release

	VP1			VP2			VP3		VP4		VP5	VP6	VP7
Release Capacity (cfs)	750			750			1,500		1,000		1,000	1,000	1,000
Reservoir Size (MAF)	1.0	1.3	1.5	1.0	1.3	1.5	1.3	1.5	1.3	1.5	1.3	1.3	1.5
Project Cost (2019 $, billions)	3.2	3.4	3.6	2.7	2.9	3.1	3.4	3.6	2.9	3.1	2.8	2.9	3.0
Annualized acre-feet/year Release (TAF)	191	230	236	191	230	236	243	253	234	243	234	234	243
PWA Annual Costs During Repayment Without WIFIA[a] Loan (2020 $, $/acre-feet)	862	776	804	730	667	692	737	754	660	678	631	659	648
PWA Annual Costs During Repayment With WIFIA Loan (2020 $, $/acre-feet)	798	724	754	664	613	641	688	707	608	628	577	607	598

Draft_0027951

Value Planning Group Recommended Project and Options

	VP5	VP6	VP7
	Option 1	Option 2	Recommended
Reservoir Size	1.3 MAF	1.3 MAF	1.5 MAF
Release Capacity	1,000 cfs	1,000 cfs	1,000 cfs
Estimated Cost (2019 dollars)	$2,779,000,000 to $2,814,000,000	$2,910,000,000 to $2,945,000,000	$2,961,000,000 to $2,996,000,000
Estimated Cost per Acre-Foot with WIFIA[a] (2020)	$577	$607	$598
Estimated Deliveries (Long-Term Average in TAF)	234	234	243
Key Options vs. VP7	-Smaller reservoir	-Smaller reservoir -Release pipeline to Sacramento River	

Note: Inclusion of WIFIA loan reduces costs by ~$50/AF

Draft_0027952

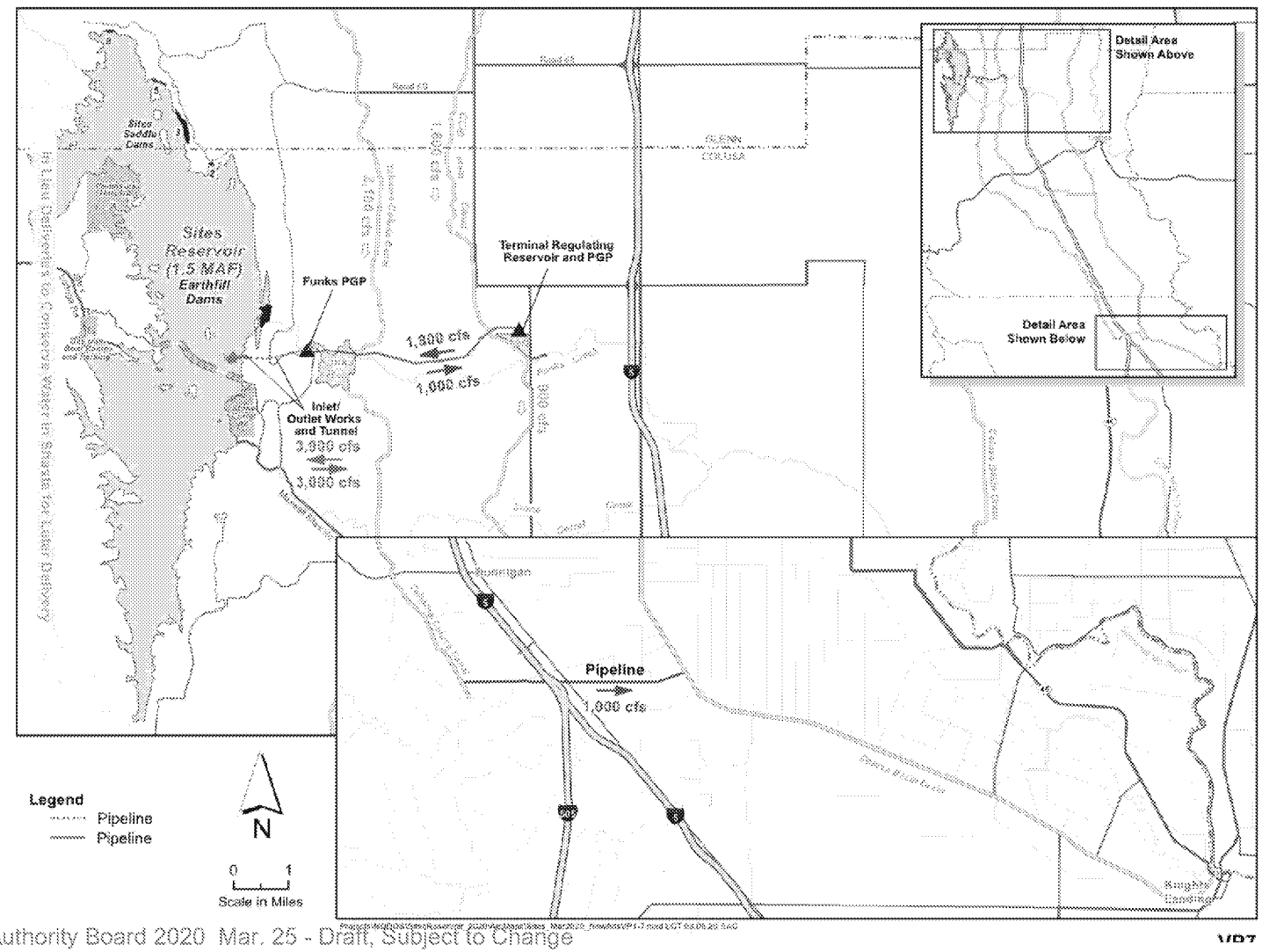
Value Planning Recommended Project and Options

The Value Planning Workgroup recommends the Project proceed as Alternative VP7. Although Alternative VP5 had the lowest overall cost and lower cost per acre-foot, the Value Planning Workgroup recommends VP7 based on higher deliveries at a comparable cost and improved operational flexibility with a 1.5 MAF reservoir.

The Value Planning Workgroup also recommends the subsequent analyses of the Project include options for a 1.3 MAF reservoir (per VP5) and a Dunnigan to Sacramento River 1000 cfs release pipeline (per VP6) in order to provide flexibility to respond to any future condition changes that might result in such facilities becoming preferable.

Draft_0027953

Recommended Value Planning Alternative (VP7)



Draft_0027954

END

QUESTIONS?

Sites

Draft_0027955

AUTHORITY BOARD MEETING

MARCH 25, 2020

Agenda Item 6.3: Work Plan

Sites

Draft_0027956

Presentation Outline

Work Plan for Sept 2020 thru Dec 2021 (Amendment 2)

- Process and schedule to execute Amendment 2 Agreement
- Goals and objectives
- Status of Work Plan schedule
- Status of Work Plan budget
- Preliminary scope by subject and deferred work

Process and Schedule to Execute Amendment 2 Agreement

Now - April

- Input on Home Board needs
- Direction on work plan
- Workshop (March 30)
- **Approve (April 17):**
  1. **Value Planning**
  2. **Work Plan**

May - July

- Home Boards' consideration

  Staff support as requested

Responses to participation level due July 9

July

- **Approve Participation and first invoice**

  Res. Comm (July 16)

  Board (July 22)

Distribute 1st invoice (payment due Sept 1)

August

- **Approve consultant services contract amendments and initial task orders**

  Res. Comm (Aug 21)

  Board (Aug 26)

DISCUSSION:

QUESTIONS ON PROCESS

Sites

Draft_0027959

Proposed Goals to Complete by End of 2021

a. Operational plan and certainty

b. Permit certainty

- Admin draft water rights application prepared
- Biological Assessment submitted
- CDFW Incidental Take Permit application submitted
- Section 106 Programmatic Agreement signed
- Other key permits and/or agreements in progress

c. Environmental analysis and documentation (EIR/EIS) largely completed

Proposed Goals to Complete by End of 2021

d. Affordability & engineering design (work in progress)
   - Incorporate DSOD requirements
   - Incorporate CVFPB requirements
   - Address other construction-related permits and/or agreements

e. Stakeholder partnerships (and draft agreements)

Proposed Goals to Complete by End of 2021

f. Prop 1 *eligibility* milestone (Commission < 01/01/2022)

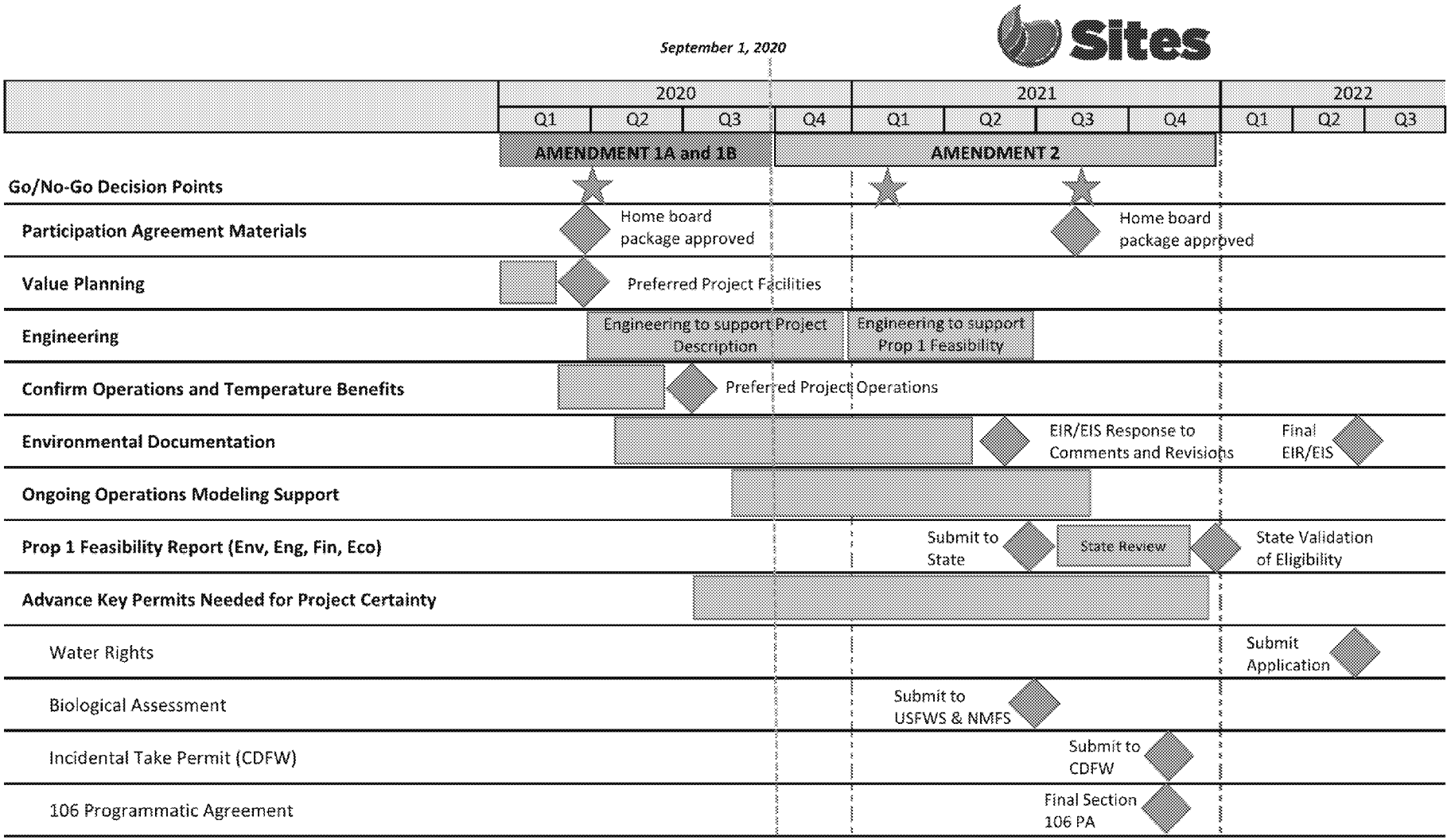
- Draft EIR available for public review
- Feasibility study completed
- Commission determines:
  - ✓ Draft EIR complete
  - ✓ Project is feasible (technical, economic, financial, and environmental)
  - ✓ At least 75% commitment for non-Prop 1 benefits (local agencies plus federal)

Proposed Goals to Complete by End of 2021

g. Manage go/no-go decisions

h. Prepare successor agreements

Schedule Targets (No Change)



NOTE: This graphic includes schedule drivers only and does not include all activities/deliverables.
This work plan is based on current participation commitments.

Authority Board 2020 March 25 - Draft, Subject to Change

Draft_0027964

DISCUSSION:

LIVE DETAILED SCHEDULE REVIEW

Sites

Draft_0027965

Revenue Assumptions

- Carryover Funds: $750,000
- Local: $19,700,000
  - Reservoir Committee Cash Calls* ($100/AF): $19,200,000
  - Authority Board Seats: $500,000
- Federal (WIIN Act): $4,000,000
- State (Prop 1): $7,300,000
- Total Revenue: $31,750,000

**Assumes no change in subscription*

For every $1.00 of local investment, ~$1.50 is used to advance the project

Preliminary Cash Call Invoice Schedule

Objective:

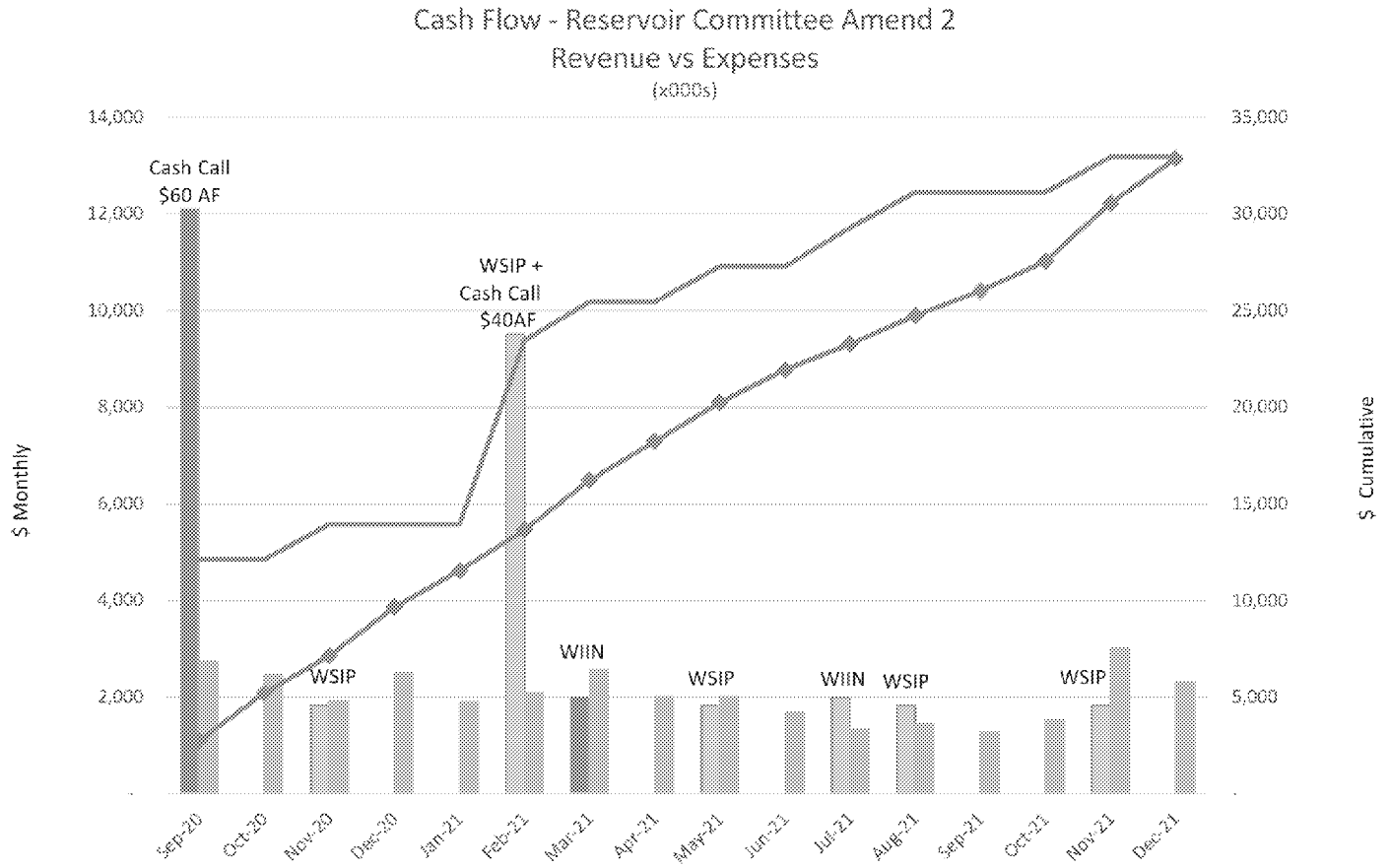
- Maintain cash positive position and avoid delays
- Leverage Prop 1 and WIIN Act funds

Participant Invoice Schedule:

$60/AF, Due September 1, 2020.

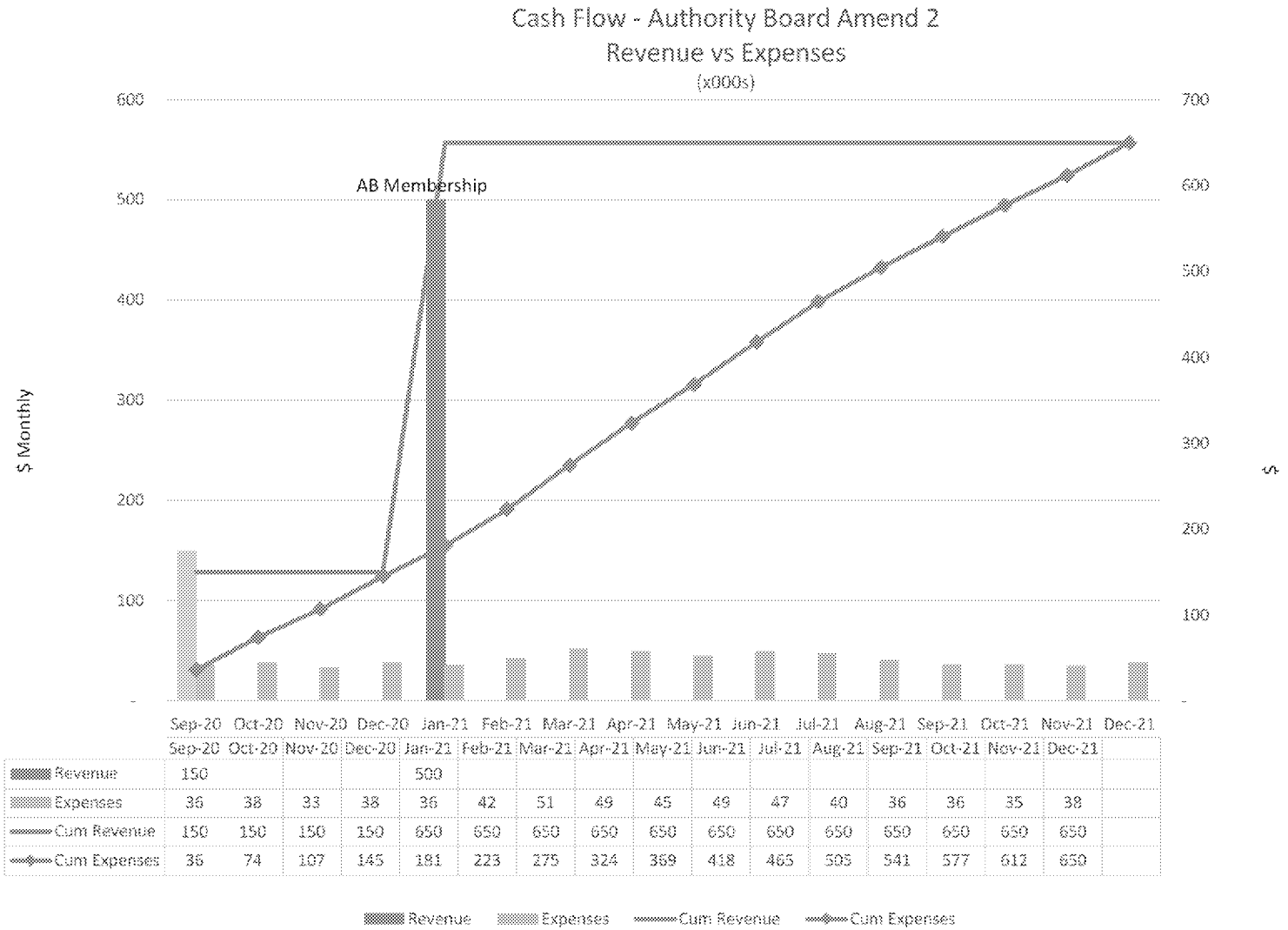
$40/AF, Due February 1, 2021.

Preliminary Cash Flow – Reservoir Committee



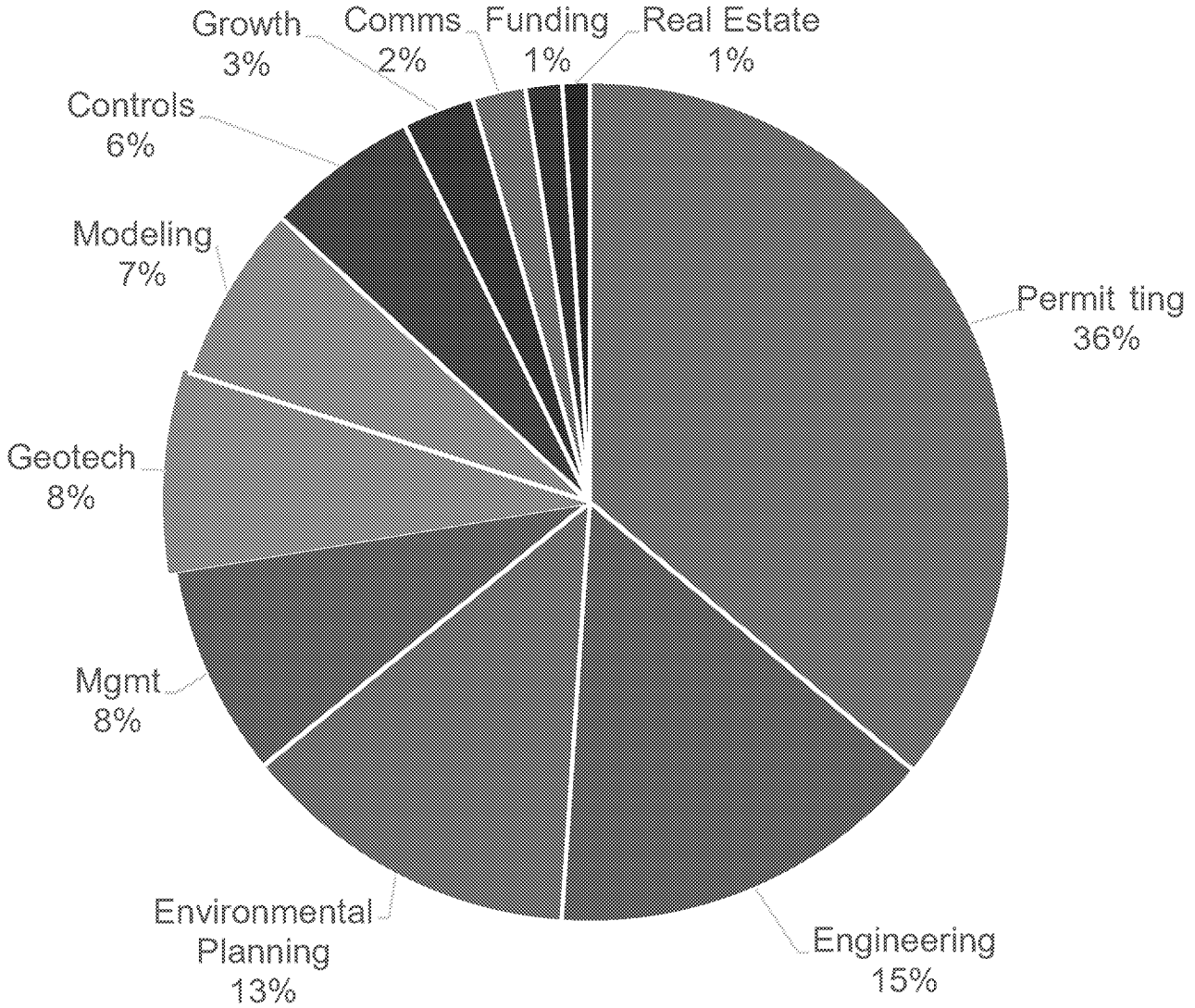
	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21
Revenue	12,120		1,834			9,514	2,000		1,834		2,000	1,834			1,834	
Expenses	2,743	2,468	1,926	2,522	1,900	2,092	2,579	2,011	2,012	1,698	1,342	1,460	1,274	1,542	3,013	2,303
Cum Revenue	12,120	12,120	13,954	13,954	13,954	23,468	25,468	25,468	27,301	27,301	29,301	31,135	31,135	31,135	32,969	32,969
Cum Expenses	2,743	5,211	7,136	9,658	11,559	13,651	16,230	18,241	20,254	21,952	23,293	24,753	26,027	27,569	30,582	32,885

Preliminary Cash Flow – Authority Board



	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21
Revenue	150				500											
Expenses	36	38	33	38	36	42	51	49	45	49	47	40	36	36	35	38
Cum Revenue	150	150	150	150	650	650	650	650	650	650	650	650	650	650	650	650
Cum Expenses	36	74	107	145	181	223	275	324	369	418	465	505	541	577	612	650

Preliminary Key Consultant Cost Allocation by Subject (Reservoir Committee Funded)



DISCUSSION:
CASH CALL TIMING AND BUDGET ALLOCATION

Sites

Post-Value Planning and Project Description Development (Post August 2020)

1. Continue CEQA/NEPA analysis
2. Advance key permits
3. Complete Prop 1 (WSIP) Feasibility Report
4. Coordinate with Reclamation

Key Technical Teams:

- Reservoir Operations
- Environmental Planning
- Permitting
- Engineering
- Real Estate
- Financial
- Legal counsels (General, Bond, CEQA)
- Communications and Engagement

Reservoir Operations

Operations Lead:

a. Operations Plan

Operations Support:

a. Environmental analysis and documentation
b. Permitting, including water rights
c. Engineering (hydraulics)
d. Various agreements

Discussion – what's missing or needs to change?

Environmental Planning

Environmental Planning Lead:

a. Revisit draft EIR/EIS

b. Final EIR/EIS

Environmental Planning Support:

a. Prop 1 Feasibility Report (environmental and mitigation costs)

b. Additional geotechnical data collection

c. Public benefit agreements (Prop 1)

Discussion – what's missing or needs to change?

Permitting

Permitting Lead:

a. Federal ESA compliance
b. Incidental Take Permit application
c. Section 106 Programmatic Agreement
d. Water Rights application advanced
e. State and Federal Clean Water Act permits

Permitting Support:

a. Prop 1 Feasibility Report (mitigation costs)
b. Additional geotechnical data collection
c. Public benefit agreements (Prop 1)

Discussion – what's missing or needs to change?

Engineering

Engineering Lead:

a) Construction cost estimate and schedule
b) Updated risk assessment
c) Geotechnical data collection

Engineering Support:

a) Prop 1 Feasibility Report (technical & economic)
b) Environmental analysis & documentation
c) Critical permits
d) Water rights application

Discussion – what's missing or needs to change?

Management, Controls, Funding, and Growth

Program Management and Controls:

a) Successor agreements (growth)
b) Grants and funding agreement management
c) Support to Authority Board & Reservoir Committee
d) Financial and cost management
e) Document, data and schedule management
f) Contract procurement, management and compliance
g) Risk and quality management
h) Risk assessment
i) Health and Safety
j) Board reporting and annual reports

Discussion – what's missing or needs to change?

Deferred Work (Beyond Dec 31, 2021)

a) Any additional repayment of Phase 1 contributed credit to participants

b) Purchasing of real estate

c) Early procurement of equipment

d) Development of alternative delivery bridging documents

e) Development of a detailed Program Management Plan (PMP) and associated standards

f) Development of policies and procedures beyond those necessary to receive previously identified grants or funding agreements

g) Implementation of Project Management Information System (PMIS) or an enterprise-wide solution for business and asset management (e.g. ERP)

Discussion: Scope and Deferred Work

Now - April

- Input on Home Board needs
- Direction on work plan
- Workshop (March 30)
- **Approve** (April 17):
  1. **Value Planning**
  2. **Work Plan**

May - July

- Home Boards' consideration

  Staff support as requested

Responses to participation level due July 9

July

- **Approve Participation and first invoice**

  Res. Comm (July 16)

  Board (July 22)

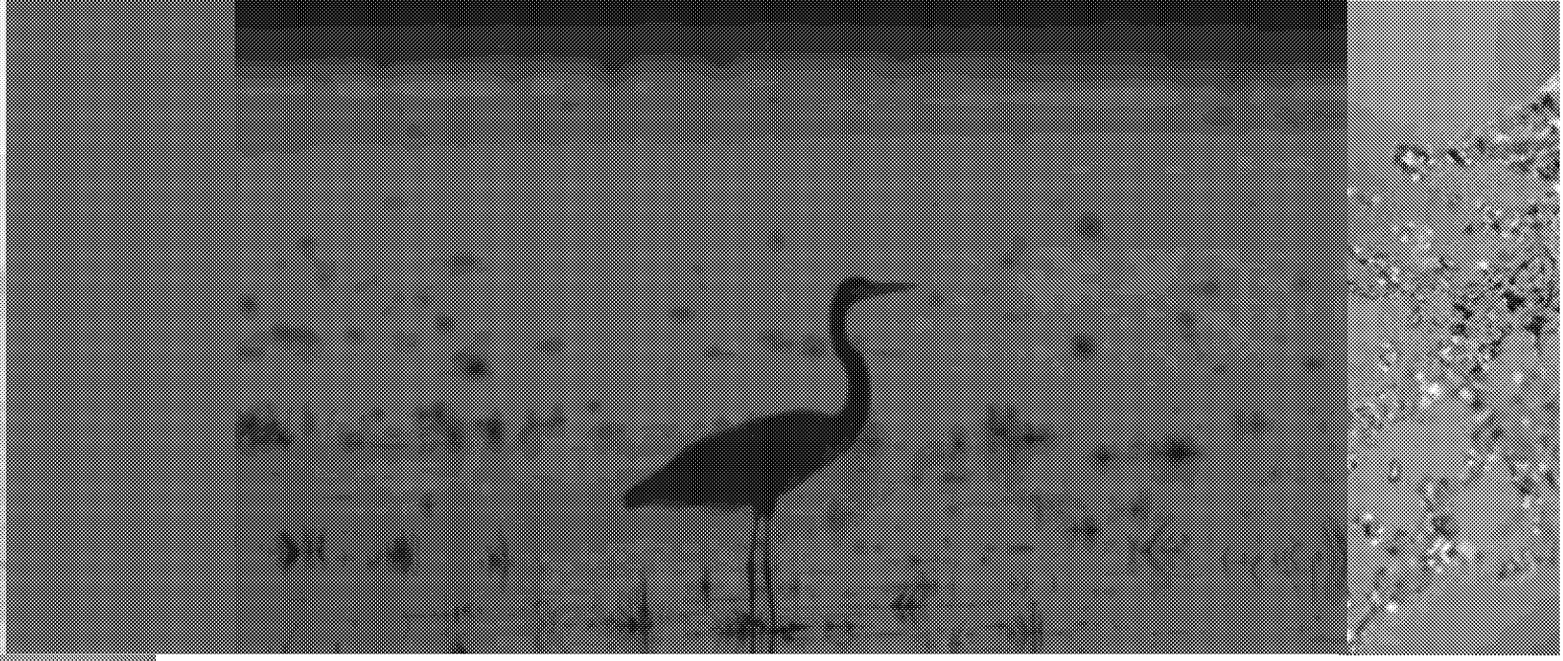
Distribute 1st invoice (payment due Sept 1)

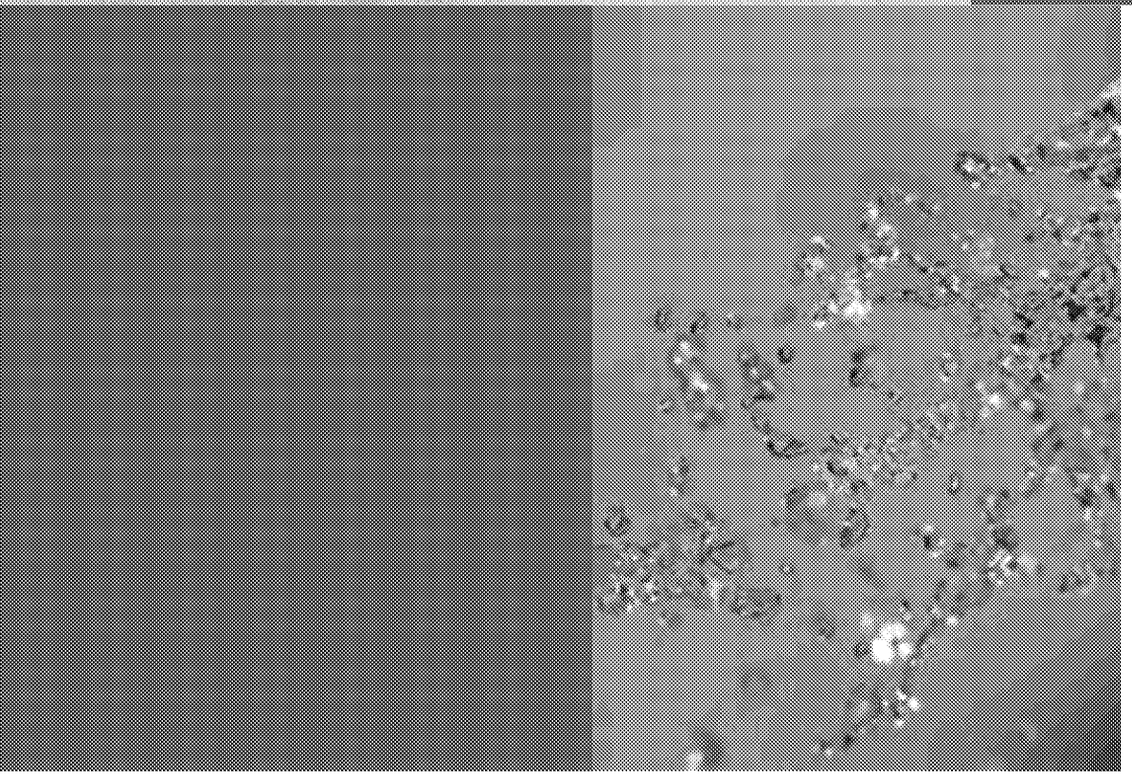
August

- **Approve Consultant services contract amendments and initial task orders**

  Res. Comm (Aug 21)

  Board (Aug 26)





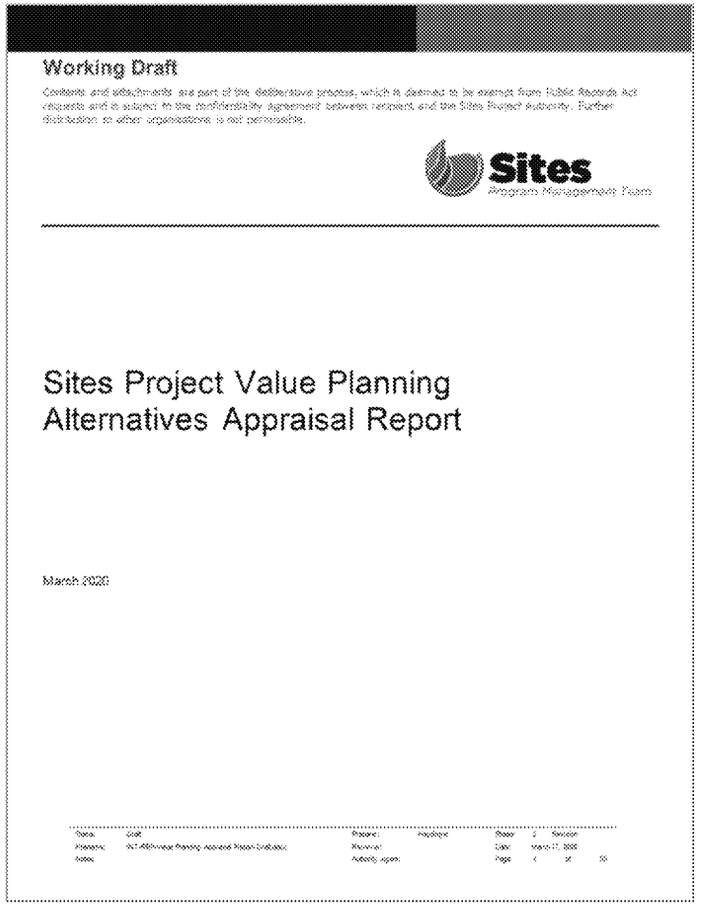
March Authority Board 6.4: Extending to Current Participation Agreement

March 2020

Sites
Program Management Team

Proposed Home Board Package

- Amended Phase 2 participation agreements with updated work plan
- Value Planning Report
- Draft Storage Policy
- 2019 Annual Report
- 4-Page Prospectus
- Power Point template for presentations to home boards


Working Draft

Contents and attachments are part of the deliberative process, which is deemed to be exempt from Public Records Act requests and is subject to the confidentiality agreement between recipient and the Sites Project Authority. Further distribution to other organizations is not permissible.

Sites
Program Management Team

Sites Project Value Planning
Alternatives Appraisal Report

March 2020

Are there additional things you need for your home board?

Sites
Program Management Team

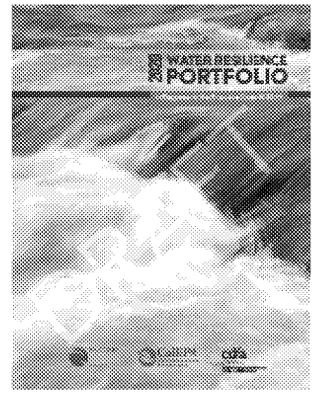
© 2019 HDR, all rights reserved

Sites Reservoir Project Executive Prospectus

March 2020

HIGHLIGHTS OF WHAT HAS BEEN ACCOMPLISHED TO DATE

- Named in the Governor's Water Resiliency Plan
- Reduced the construction cost by over $2B through the value planning process
- Leveraged your investment dollars against other federal and state dollars. For every dollar you invested we stretched it into $1.50
- Honed in on permitting criteria
- Adopted storage policy that you can use to meet individual investor needs



Additional Investments	
BOR WIIN Act	$6M
USDA Low Interest Rate Loan	$439M
Prop 1	$816M

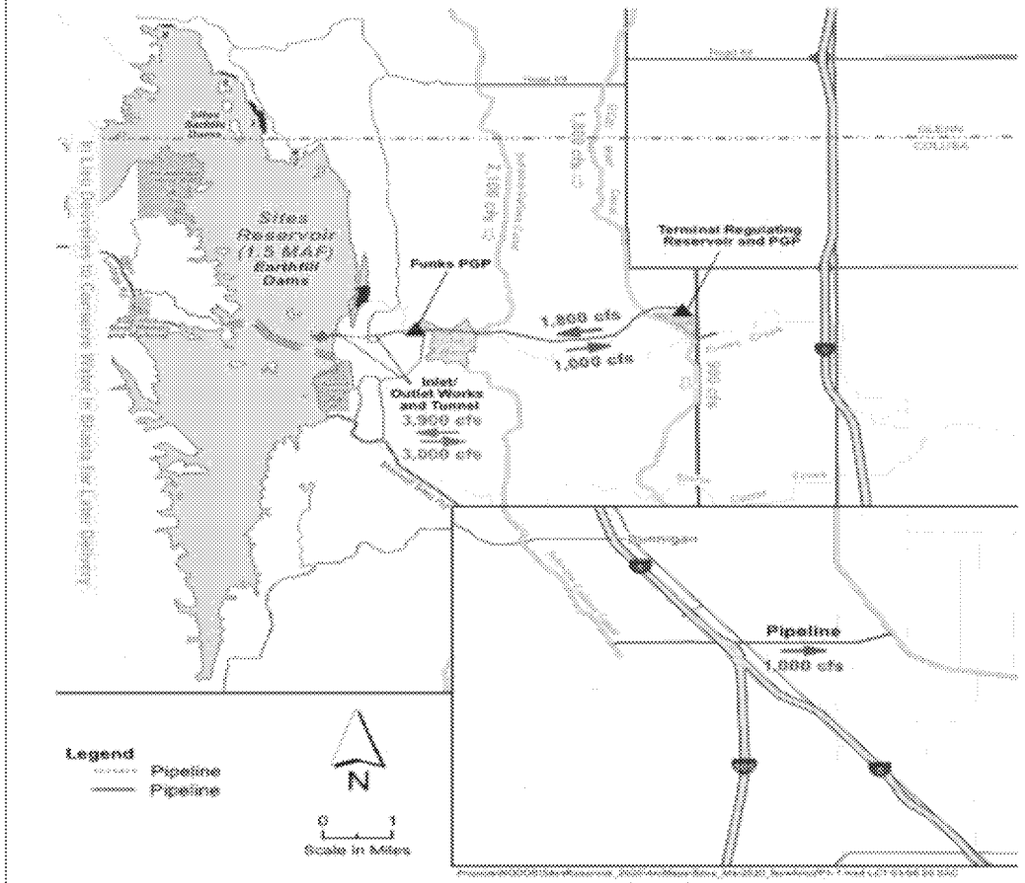
CURRENT PARTICIPATION

Member	Reservoir Participation (AFY)
Public Water Agencies	
North of Delta	52,142
South of Delta	140,750
Subtotal Public Water Agencies	192,892
State of CA (WSIP)	~40,000
Total Requirement	~230,000

Sites

Draft_0027982

VALUE PLANNING



Leveraged federal dollars to do geotech explorations in 2019

Draft_0027983

ENVIRONMENTAL PLANNING & PERMITTING

- Plan to Recirculate EIS

Criteria	DIVERSION CRITERIA CONSIDERED Scenario A		Scenario B	
Reservoir Size	1.5 MAF		1.5 MAF	
GCC Maintenance Window	2 weeks (Jan/Feb)		2 weeks (Jan/Feb)	
Wilkins Slough Bypass Flow	8,000 cfs		8,000 cfs April/May; all other times, 5,000 cfs	
Fremont Weir Notch	Prioritize the Fremont Weir Notch, Yolo Bypass preferred alternative, flow over weir within 1%		Prioritize the Fremont Weir Notch, Yolo Bypass preferred alternative, flow over weir within 5%	
Flows into the Sutter Bypass System	No restriction due to flow over Moulton, Colusa, and Tisdale Weirs		No restriction due to flow over Moulton, Colusa, and Tisdale Weirs	
Freeport Bypass Flow	Modeled WaterFix Criteria (applied on a daily basis) Pulse & Post-Pulse Protection (applied on a moving 7-day average) Pulse = Oct-Mar (35,000 cfs offramp) Post-Pulse (3 levels) = Oct-Jun Level 1 starts Oct 1st		Modeled WaterFix Criteria (applied on a daily basis) Post-Pulse Protection (applied on a moving 7-day average) Post-Pulse (3 levels) = Jan-Mar Level 2 starts Jan 1st Level 1 is initiated by the pulse trigger	
Net Delta Outflow Index (NDOI) Prior to Project Diversions	44,500 cfs between March 1st and May 31st		44,500 cfs between March 1st and May 31st	
Potential Range of Diversions to Fill Sites (Average)	Lower (Estimate) 189 TAF	Upper (Modeled) 209 TAF	Lower (Estimate) 242 TAF	Upper (Modeled) 272 TAF

OPERATIONS

Year Type	1,000 cfs Release Capacity (TAF)
Wet	116
Above Normal	286
Below Normal	273
Dry	382
Critically Dry	237
Long Term Average	**243**

Sites

Draft_0027984

AFFORDABILITY

- Continue to advance beneficiary pays concept

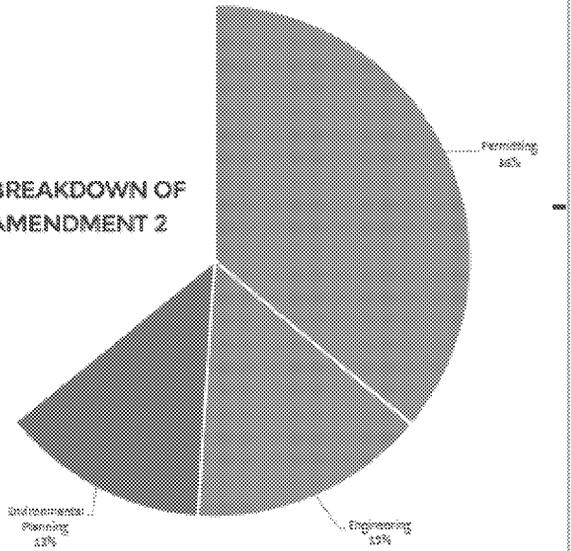
Annual Repayment Costs per Acre Foot of Release	
Reservoir Size (MAF)	1.5
Project Cost (2019$, billions)	$3.0
Annualized AF/year Release (TAF)	243
PWA Annual Costs During Repayment Without WIFIA Loan (2020$, $/AF)	$648
PWA Annual Costs During Repayment With WIFIA Loan (2020$, $/AF)	$598

NEXT STEPS

- Workplan Highlights include
  - Operations/SWP/CVP Exchange Refinements
  - Advancing Permitting Certainty
  - Recirculation of Draft EIS
  - Feasibility Study
  - State and Federal Grant Administration
  - Continue to strengthen community and landowner relationships
  - Develop plan to advance the project past 2021

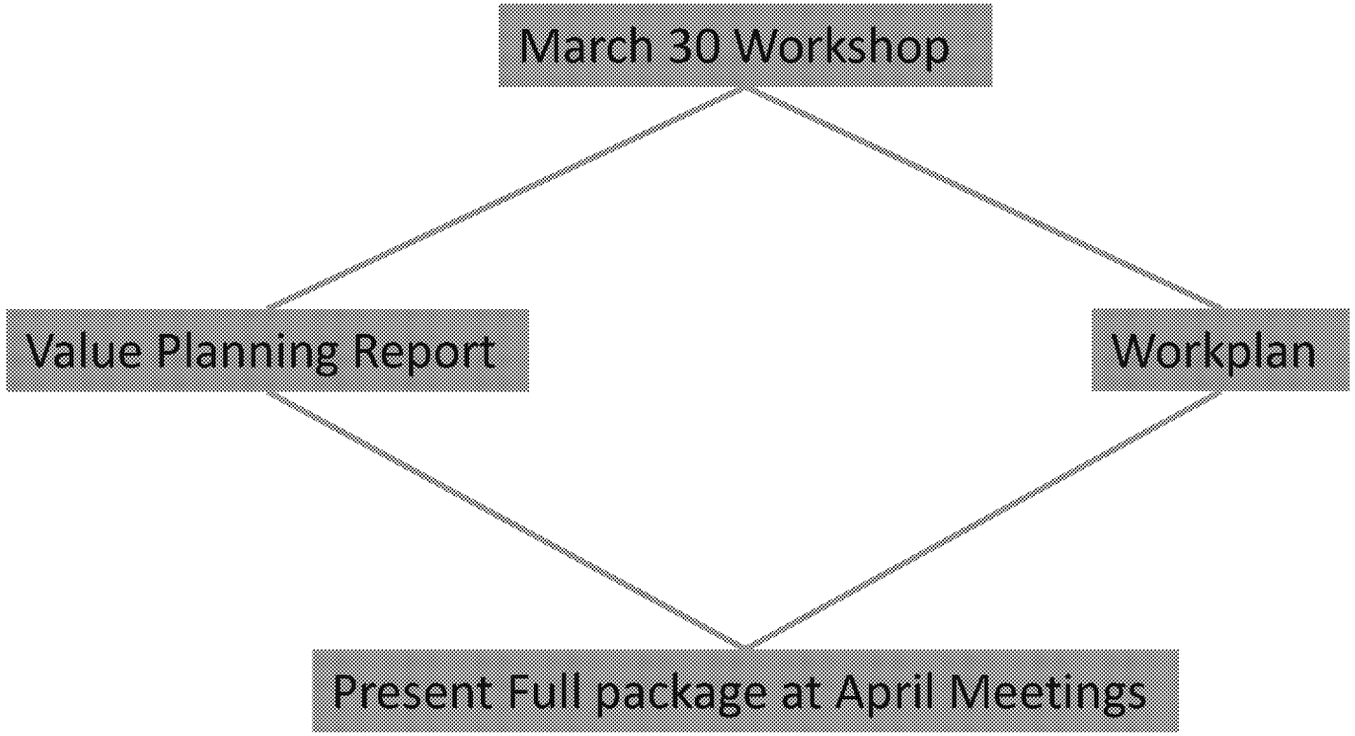
Workplan translates into $100/acre -ft cash call from Sept. 1, 2020 through December 31, 2021

BREAKDOWN OF AMENDMENT 2



Draft_0027985

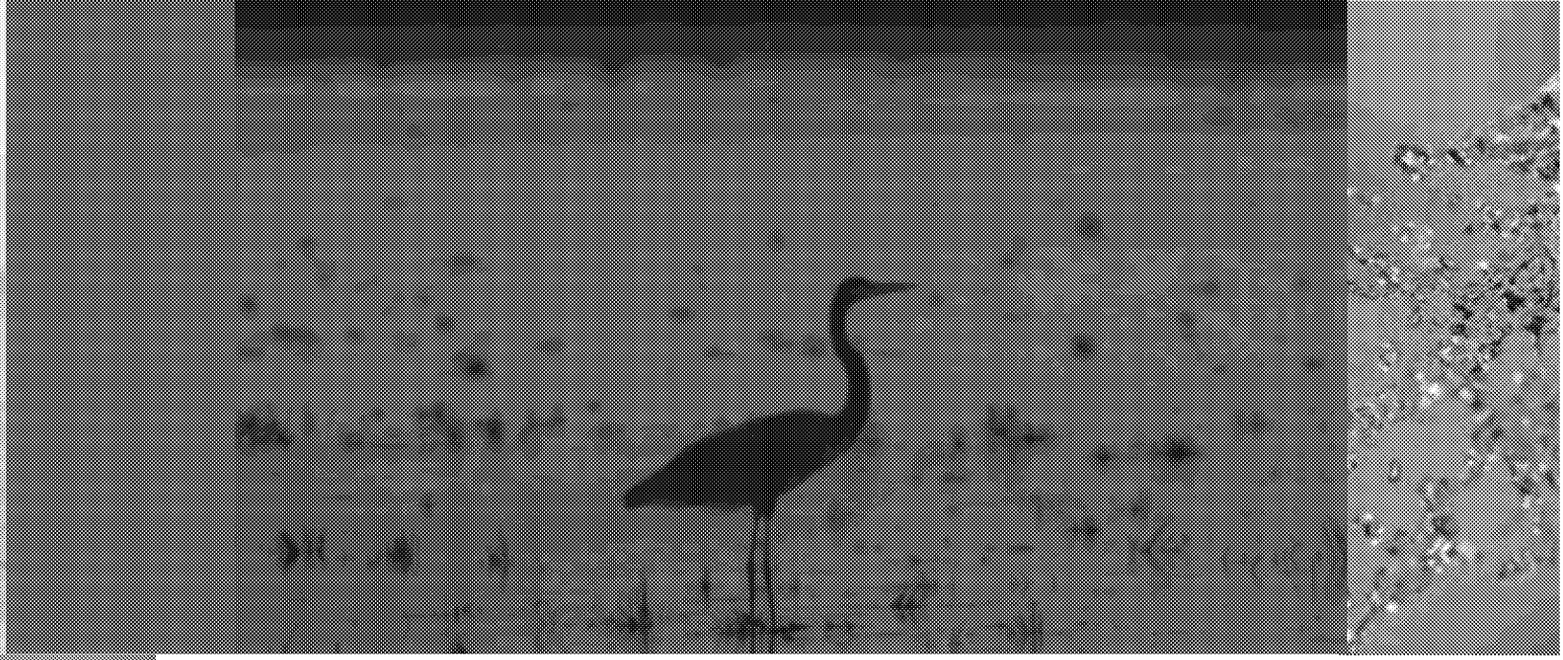
Next Steps

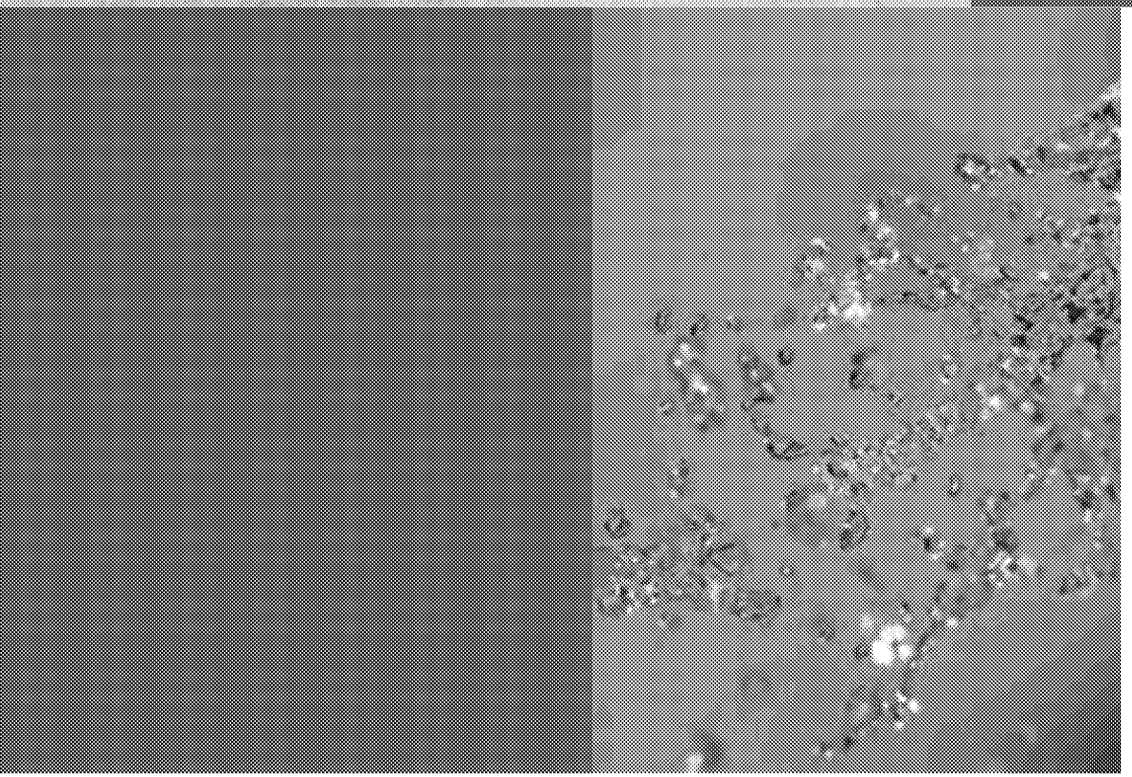


Proposed Ad Hoc Work Group to Finalize Prospectus & Power Point

Sites
Program Management Team

© 2019 HDR, all rights reserved





Break for Closed Session

March 2020

Sites
Program Management Team

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JIM WATSON, GENERAL MANAGER
530.410.8250

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, U.S BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members ***(NON-VOTING)***

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

Notice: Pursuant to Executive Order N-25-20, issued by Governor Newsom on March 12, 2020, and guidance by the California Department of Public Health dated March 11, 2020, this meeting will be conducted by teleconference. The public may attend the meeting and offer public comments by phone, using the call-in number provided below, or in person, at the address above. Members of the Board will participate by teleconference from other locations.

March 25, 2020 ***1:30 p.m.***
Sites Project Authority
Agenda

Teleconference: **1-844-531-9388** Code: **739 386 447** **WEBEX LINK**

Welcome to a meeting of the Sites Joint Powers Authority. If you are scheduled to address the Board, please state your full name for the record. Regularly numbered items may be considered at any time during the meeting. All items are listed in accordance with the Ralph M. Brown Act. We invite all members of the public to attend.

CALL TO ORDER:

- Pledge of Allegiance.
- Introductions.
- Approve the March 25, 2020 Sites Project Authority Agenda.
- Approve the February 26, 2020 Sites Project Authority Minutes.
- Announcement of Closed Session.
- Period of Public Comment.

1. **CHAIRPERSONS' REPORT:** Durst, Sutton

   This time is set aside to allow the Chair &/or Vice Chair an opportunity to disclose/discuss items related to the Sites Project; including any meetings with external stakeholders to advance the Sites Project.

1.1 Conduct Authority Board Election for the position of Secretary for calendar year 2020.

2. BOARD MEMBER REPORTS: (No action will be taken) **All**

This time is set aside to give the Directors an opportunity to disclose/ discuss items related to the Sites Project; including any meetings with external stakeholders to advance the Sites Project.

3. CONSENT AGENDA: Durst

None.

4. MANAGER'S REPORT: Watson

4.1 Discussion and possible direction to staff regarding the Sites Project's monthly status report. **(Attachment 4-1A)**

4.2 Discussion and possible direction to staff regarding Proposition 1, WSIP activities and WIIN Act Funding.

5. 2019 FINANCE & BUDGET AD HOC COMMITTEE: Traynham

5.1 Accept the Treasurer's Report. **(Attachment 5-1A)**

5.2 Consider approval of the monthly Payment of Claims. **(Attachment 5-2A & B)**

6. Phase 2 (2019) RESERVOIR COMMITTEE: Bettner, Davis, Watson

6.1 Report on the March 19, 2020 Phase 2 (2019) Reservoir Committee meeting. **(Attachments 6-1A & B)**

6.2 Discussion and possible direction to staff regarding value planning efforts and the next steps to develop an updated project description.

6.3 Discussion and possible direction to staff regarding the development of the updated work plan for the period of performance starting September 1, 2020 and ending December 31, 2021 in accordance with a proposed Amendment 2 to the current Participation Agreement. **(Attachments 6-3A, B &C)**

6.4 Discussion and possible direction to staff regarding the proposed amendment to the current participation agreement and supporting documents.

7. 2019 POLICY & GOVERNANCE AD HOC COMMITTEE: Durst

None.

8. 2019 LEGISLATIVE & OUTREACH AD HOC COMMITTEE: Sutton

Discussion and possible direction to staff regarding federal and state govern mental affairs/legislative, stakeholder engagement and communications activity.

9. 2019 LAND MANAGEMENT AD HOC COMMITTEE: Evans

Discussion and possible direction to staff regarding real estate, land management, site facility activities and early geotechnical explorations.

RECESS:

10. Closed Session:

10.1 Public Employee Appointment (Gov. Code, § 54957)
Title: Executive Director

11. Report from Closed Session: Kuney

ADJOURN

Next Authority Board Meeting:

Wednesday, April 22, 2020 1:30 PM – 4:00 PM
Sites Project Office
122 Old Highway 99W, Maxwell, CA 95955

PERIOD OF PUBLIC COMMENT: Any person may speak about any subject of concern, provided it is within the jurisdiction of the Directors and is not already on today's agenda. The total amount of time allotted for receiving such public communication shall be limited to a total of 15 minutes per issue and each individual or group will be limited to no more than 5 minutes each within the 15 minutes allocated per issue. ***Note:*** *No action shall be taken on comments made under this comment period.*

ADA COMPLIANCE: Upon request, agendas will be made available in alternative formats to accommodate persons with disabilities. In addition, any person with a disability who requires a modification or accommodation to participate or attend this meeting may request necessary accommodation. Please make your request to the Board Clerk, specifying your disability, the format in which you would like to receive this Agenda and any other accommodation required no later than 24 hours prior to the start of the meeting.

All supporting documentation is available for public inspection and review in the Sites Project Authority office located at 122 Old Highway 99 West Maxwell, CA 95955 during regular business hours 8:30 a.m. to 5:00 p.m., Monday through Friday.

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JIM WATSON, GENERAL MANAGER
530.410.8250

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
GRAY ALLEN, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, U.S BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members *(NON-VOTING)*
GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

February 26, 2020 ***1:30 p.m.***
Sites Project Authority
MINUTES

The Sites Project Authority Board of Directors met in Regular Session on February 26, 2020, at the hour of 1:30 p.m. **Directors Present:** Fritz Durst, Chair, Jeff Sutton, Vice-Chair, Gary Evans, Logan Dennis, Doug Parker, Leigh McDaniel, Joe Marsh, and Rob Cooke. **Alternate Directors Present:** Michael Azevedo, Brett Ewart, Natalie Wolder, Anne Sanger. (Other Alternate Directors that may be listed as present below, did not participate in the decision-making, process). **Associate Members Present:** Greg Johnson.

Staff Present: Jim, Watson, General Manager
Scott Kuney, General Counsel
Jamie Traynham, Treasurer & TC-4 District Alternate
Kevin Spesert, Joe Trapasso, Ali Forsythe, Sites Project Authority
Yolanda Tirado, Clerk/Secretary

Others Present: JP Robinette, Marcia Kivett, Brown and Caldwell
Bill Vanderwaal, RD 108/DWD
Gary Darling, Darling H20
Kerry Schmitz, Sacramento County Water Agency
Ken Sinclair, Parsons
Robert Boling, Laura Warner Herson-Phenix, Sites Integration
Thad Bettner, GCID
Treve Campos, Williams
Christy Scofield, Arbuckle
Ed Horton, PCWA
Juleah Cordi, Assemblyman Gallagher's Office

Pledge of Allegiance.

INTRODUCTION:

Chairman Durst asked those present to introduce themselves.

AGENDA APPROVAL:

Chairman Durst called for approval of the February 26, 2020 Sites Project Authority Agenda. He stated Agenda Items under No. 6 Phase 2 (2019) Reservoir Committee would be considered after 5.3, an amendment to a contract with Fechter & Company, Certified Public Accountants.

Action: It was moved by Director Dennis, seconded by Director Sutton to approve the February 26, 2020 Sites Project Authority Agenda, as amended. Motion carried: All those Directors present voted yes.

MINUTES APPROVAL:

Action: It was moved by Director Evans, seconded by Director Parker to approve the January 22, 2020 Sites Project Authority Minutes, as presented. Motion carried: All those Directors present voted yes.

PERIOD OF PUBLIC COMMENT:

Chairman Durst called for a period of public comment. Hearing none, he closed the period of public comment.

SPECIAL PRESENTATION:

Informational Presentation regarding on-going or future activities and projects in the Sacramento River System and the Sacramento-San Joaquin Delta.

Mr. Bettner provided an update regarding the status of the Voluntary Agreements. He included ongoing activities and projects in the Sacramento River system and the Sacramento-San Joaquin Delta that may affect the Sites Project, either positively or negatively.

1:50 p.m. Director McDaniel is now present and seated.

Discussion followed, with no action taken at this time.

1. **CHAIRPERSONS' REPORT:**

This time is set aside to allow the Chair and/or Vice Chair an opportunity to disclose/discuss items related to the Sites Project; including any meetings with external stakeholders to advance the Sites Project.

Chairman Durst provided an update on the following:

- Board representation thanked Board Members leaving and welcomed new Board Members aboard.
- February 11, 2020 presented to the Woodside Atherton Garden Club regarding Sites and the topic of "fix vs fight."

Mr. Darling provided an update on the following:

- Executive Director's Recruitment.
- Facilitator for strategic planning.

Brief discussion followed with no action taken.

1.1 Conduct Authority Board Elections for calendar year 2020.

Chairman Durst stated the matter before the Board was the election of the Chair, Vice-Chair and the appointment of the Secretary/Treasurer.

Chairman Durst opened the nominations for the election of the Chair position. Director Sutton nominated Director Durst as Chairman for calendar year 2020.

Chairman Durst called for further nominations. Hearing none, he closed the nominations for the position of Chairman.

Action: It was moved by Director Evans, seconded by Director Dennis to elect Director Durst as the Chair of the Sites Project Authority for calendar year 2020. Motion carried: All those Directors present voted yes.

Chairman Durst called for nominations for the position of Vice-chairman for calendar year 2020. Director Evans nominated Director Sutton as Vice-chairman for calendar year 2020.

Chairman Durst called for further nominations. Hearing none, he closed the nominations for the position of Vice-chairman.

Action: It was moved by Director Dennis, seconded by Director McDaniel to elect Director Sutton as the Vice-chairman of the Sites Project Authority for calendar year 2020. Motion carried: All those Directors present voted yes.

Chairman Durst called for the appointment of the Secretary position for calendar year 2020. Director Sutton nominated Yolanda Tirado for the Secretary position for calendar year 2020.

Chairman Durst called for further nominations. Hearing none, he closed the nomination for the Secretary position.

Action: It was moved by Director Evans, seconded by Director Sutton to appoint Yolanda Tirado as the Secretary of the Sites Project Authority for calendar year 2020. Motion carried: All those Directors present voted yes.

Chairman Durst called for the appointment of the Treasurer position for calendar year 2020. Director Dennis nominated Associate Member Traynham for the Treasurer position for calendar year 2020.

Chairman Durst called for further nominations. Hearing none, he closed the nominations for the Treasurer position.

Action: It was moved by Director Sutton, seconded by Director Dennis to appoint Jamie Traynham as the Treasurer of the Sites Project Authority for calendar year 2020. Motion carried: All those Director present voted yes.

2. BOARD MEMBER REPORTS: (No action will be taken)

This time is set aside to give the Directors an opportunity to disclose/ discuss items related to the Sites Project; including any meetings with external stakeholders to advance the Sites Project.

Director Evans stated a conference call was held on February 25, 2020 regarding the Value Planning. He expressed concern regarding the consultant's mind set and the need to clarify their (consultants) role within the Sites Project. Chairman Durst suggested he, Director Evans and Mr. Watson meet to discuss this matter further.

3. CONSENT AGENDA:

None.

4. MANAGER'S REPORT:

4.1 Discussion and possible direction to staff regarding the Sites Project's monthly status report.

Mr. Watson provided a brief update on the Governor's Draft Resiliency Portfolio and stated comments closed on February 7, 2020 and the Authority sent a letter in support of same.

Mr. Spesert stated various letters of support from various entities were also submitted.

Mr. Watson also reminded the Board Members of the April 2, 2020 deadline for filing their Form 700s.

Mr. Watson provided an overview of project activities performed in the months of January and February 2020 as follows:

- Continued support of Value Engineering and Affordability Analysis.
- Continued support of Reclamation on completion of their Feasibility Report, including the coordination of biological and cultural monitoring along with the geotechnical investigations.
- Received the third CWC early funding payment in the amount of $2.1 million.
- January 27, 2020, received a request from Proberta Water District regarding an update on the reimbursement and payment process and stated a response is being prepared.

4.2 Discussion and possible direction to staff regarding Proposition 1, WSIP activities and WIIN Act Funding.

Mr. Watson provided an update regarding the following:

Proposition 1-Early Funding:

- Issued a Quarterly Progress Report (available on the Sites website).
- California Water Commission met on February 19, 2020 and discussed/considered the following:
    - New roles of the Water Commission.

- Water Resilience Portfolio.
- Delta Conveyance (new name for water fix).
- Tribal Engagement in Delta Conveyance.
- Tabled the Annual Review of the State Water Project.

USDA:

- Submitted end of year Status Report for work performed in 2019.

Federal Appropriations:

- Continued work with the California Great Basin Region
- Continued work on getting a funding agreement in place

Ms. Wolder provided a brief update on the proposed schedule for the Feasibility Report. Brief discussion followed with no action taken.

5. 2019 FINANCE & BUDGET AD HOC COMMITTEE:

5.1 Accept the Treasurer's Report. **(Attachment 5-1 A)**

Action: It was moved by Director Dennis, seconded by Director Sutton to accept the Treasurer's Report, as presented. Motion carried: All those Directors present voted yes.

5.2 Consider approval of the monthly Payment of Claims. **(Attachment 5-2A & B)**

Action: It was moved by Director Sutton, seconded by Director Evans to approve the monthly Payment of Claims, as presented. Motion carried: All those Directors present voted yes.

5.3 Consider approval to award a contract amendment to Fechter & Company, Certified Public Accountants, Sacramento (Fechter), for Professional Financial Auditing Services for fiscal year 2019 in an amount not to exceed $11,846. **(Attachment 5-3 A)**

Action: It was moved by Director Ewart, seconded by Director Dennis to approve and award a contract amendment to Fechter & Company, Certified Public Accountants, Sacramento (Fechter), for Professional Financial Auditing Services for fiscal year 2019 in an amount not to exceed $11,846. Motion carried: All those Directors present voted yes.

Chairman Durst stated Agenda Items under Agenda Item 6, Phase 2 (2019) Reservoir Committee would be taken out of sequence and heard at this time.

6. Phase 2 (2019) RESERVOIR COMMITTEE:

6.1 Report on the February 21, 2020 Phase 2 (2019) Reservoir Committee meeting. **(Attachment 6-1A)**

Mr. Bettner provided a brief update regarding the Work Plan through the end of 2021, i.e., next cash call, CEQA compliance and Home Board Member packets.

Mr. Watson provided a review of actions approved and matters discussed at the February 21, 2020 Phase 2 (2019) Reservoir Committee Meeting.

6.2 Consider approval to re-start efforts on the Environmental Impact Report (EIR) for the Sites Reservoir Project (Project) and consider the most appropriate approach for completing the EIR pursuant to the California Environmental Quality Act (CEQA).

Ms. Forsythe stated the Ad Hoc Environmental and Permitting Work Group met on January 29, 2020 to discuss how to approach restarting efforts on the EIR for the Sites Reservoir, the most appropriate approach for completing the EIR pursuant to CEQA and options to finalize the EIR/EIS as a joint document with the Bureau of Reclamation and provided an overview of same.

Mr. Bruner provided an overview of CEQA standards for recirculation of the EIR/EIS i.e., whether to do a partial or full recirculation and spoke to some examples from Case Law.

Director McDaniel expressed concern with moving forward with recirculation of the EIR without first knowing what the results of the Value Planning efforts. Lengthy discussion followed regarding the Value Planning footprints, risks, operations and full or partial recirculation of the EIR.

Action: It was moved by Director Sutton, seconded by Director Ewart to approve re-starting efforts on the EIR for the Sites Reservoir Project and assessing the most appropriate approach for completing the EIR pursuant to the CEQA. Motion carried: All those Directors present voted yes.

6.3 Informational update regarding value Planning efforts to develop an updated project description.

Mr. Vanderwaal provided a brief update on the Value Planning efforts to develop a preferred project and stated a Draft document would be presented for Board consideration at the March meeting.

6.4 Discussion and possible direction to staff regarding the development of a work plan and overall schedule of work to be completed through December 31, 2021 and under the current Participation Agreement as Amendment 2.

Mr. Watson provided an overview of the development of the work plan for the period of performance starting September 1, 2020 through December 31, 2021 and spoke to the following:

- Information to be included in the Home Board packet.
- Certainty related to the reservoir's operations and degree of operation integration with the State Water Project and Central Valley Project to produce benefits for both water supply and reliability purposes and water dedicated to environmental purposes.
- Improve certainty related to the project's affordability by advancing the level of engineering and implementing risk management to

improve the accuracy of the cost estimate and to purse low interest financing and potential grants.

- Continue to cultivate and strengthen partnerships with local landowners and key stakeholders that represent environmental, business labor and other interest.
- Meet the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive construction funding.
- Identify continued participation, Go/No-Go decision points with staggered cash calls.
- Strawman Senarios (A, B &C).

Lengthy discussion followed on all the above-mentioned issues. Following discussion, Mr. Watson stated the Reservoir Committee's recommendation to the Authority Board was to advance Scenario A and return to the Board at their April meeting for further consideration.

Chairman Durst stated Agenda Items Nos. 5.4 through 5.5 would be considered at this time.

5.4 Discussion and possible direction to staff regarding the forward-looking work plan associated with extending the time of the current Participation Agreement from January 1 through August 31, 2020 as Amendment 1B Work Plan.

Mr. Watson provided a brief update regarding extending the time of the current Participation Agreement from January 1 through August 31, 2020 as Amendment 1B Work Plan. He also stated the Reservoir Committee Members recommended approval of same. Brief discussion followed.

Chairman Durst called for approval of Agenda Items Nos. 5.4.1 through 5.4.8, various contract amendments. Brief discussion followed.

Action: It was moved by Director Evans, seconded by Director Sutton to approve Agenda Item Nos. 5.4.1 through 5.4.8 as follows:

5.4.1 An amendment to HDR Engineers, Inc.'s (HDR) (Project Integration) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.2 An amendment to Brown and Caldwell's (B&C) (Project Controls) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.3 An amendment to Katz and Associates, Inc.'s (Katz) (Communications) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.4 An amendment to ICF Jones and Stokes, Inc.'s (ICF) (Environmental Planning and Analysis) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.5 An amendment to ICF Jones and Stokes, Inc.'s (ICF) (Permitting and Agreements) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.6 An amendment to CH2M Hill Engineers, Inc.'s (CH2M) (Operations Simulation Modeling) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.7 An amendment to Fugro USA Land, Inc.'s (Fugro) (Geology and Geotechnical) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.8 An amendment to increase the budget to the AECOM contract and authorize the General Manager to sign.

Motion carried: All those Directors present voted yes.

5.5 Discussion and possible direction to staff regarding recent changes to the Service Area G – Real Estate project team and future real estate service area work activities.

Mr. Watson provided a brief update regarding the reorganization of Bender Rosenthal Inc., (BRI) which resulted in a reduction in staff and the layoff of the existing lead Right-of-Way Agent, who served as the BRI's project manager in support of the Sites Reservoir Project's real estate program for the last couple of years. Brief discussion followed.

Action: It was moved by Director Marsh, seconded by Director Dennis to delegate authority to the Coordination Committee on how to proceed with the Service Area G – Real Estate contract. Motion carried: All those Directors present voted yes.

7. 2019 POLICY & GOVERNANCE AD HOC COMMITTEE:

None.

8. 2019 LEGISLATIVE & OUTREACH AD HOC COMMITTEE:

Discussion and possible direction to staff regarding federal and state govern mental affairs/legislative, stakeholder engagement and communications activity.

Director Sutton provided a brief update as follows:

- Meeting with Senator Nielson.
- Scheduling a Legislative Day in late March 2020.
- Senator Garner/Feinstein Bill.

9. 2019 LAND MANAGEMENT AD HOC COMMITTEE:

Discussion and possible direction to staff regarding real estate, land management, site facility activities and early geotechnical explorations.

Mr. Spesert provided a brief update regarding the BRI situation, Mr. Connor's contribution to the Project, specifically his working relationship with the landowners and stated Sites staff is looking at ways for Mr. Connor to continue with the Project.

Chairman Durst adjourned the meeting at 4:30 p.m. to reconvene on March 25, 2020 at the hour of 1:30 p.m.

_____ _____

Fritz Durst, Chairman Yolanda Tirado, Clerk/Secretary

Sites

Topic: **Authority Board Agenda Item 4-1 2020 March 25**

0Subject: **Monthly Status Report**

Requested Action:

No action requested. Discussion and possible direction to staff regarding the Sites Project's monthly status report.

Detailed Description/Background:

General Manager and staff to provide an update on project activities performed in February 2020 for; Program Management & Administration, Engagement & Outreach, Proposition 1, Environmental, Feasibility Study and Engineering & Technical Support.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jim Watson

Attachments:

Attachment A: February 2020 Status Report.

Topic: **Sites Reservoir Project, Phase 2**

Subject: **Monthly Status Report** Report Period: **2020 February**

Monthly Status Summary:

The development of the Sites Project continues to make progress in the critical areas associated with the reprioritized Phase 2 (2019) budget and work plan, including the following activities:

- Continuing to advance the Value Planning and Affordability Analyses.
- Continuing to support Reclamation on completion of their Feasibility Report, including the coordination of biological and cultural monitoring along with land access for the NODOS Feasibility Geotechnical Investigations.
- Continuing efforts to develop a work plan through December 2021.

The following highlights the status of activities conducted during the month:

Engineering:

- Continuing to advance the value planning effort that is evaluating options to improve the projects' affordability based on permitting criteria and the current level of participation commitments. Held value planning workshop to identify a potential alternative project. Received input on summary technical memorandum and draft value planning report for review by the Reservoir Committee and Authority Board in March.

  NOTE: Development of the Value Planning report includes input from the environmental and permitting teams into identification of possible opportunities and challenges with initial concepts.
- Began work on draft detailed schedule for engineering from value planning through feasibility in coordination with the environmental planning, permitting, and the reservoir operations team.
- Developed engineering task order materials including scope, budget, and schedule for service areas HC (Conveyance) and HR (Reservoir).

Coordination with Reclamation:

- Continued coordination and support for feasibility-level geotechnical investigations. Reclamation is funding and undertaking additional geotechnical investigations while environmental compliance and monitoring activities are being funded by the Authority. Field activities continued at Fletcher 1 and 2. Drilling at Fletcher 1 was completed in February and downhole geophysics was completed in early March. Drilling at Fletcher 2 was completed in early March along with downhole geophysics. Both holes were backfilled, and the sites cleaned up. One drill shift remains to demobilize all equipment and ship all core materials.
- Continued to conduct landowner coordination activities in support of the geotechnical field investigations.

Environmental Planning and Permitting:

- Continued implementing the environmental commitments including biological, cultural and tribal field monitoring activities for NODOS geotechnical investigations.
- Began work on draft detailed schedule for operations, planning and permitting components.

Operations:

- Continued refinement of analysis tools for daily operations, bypass criteria, floodplain inundation, Shasta within year exchanges and other operational effects.

Stakeholder Engagement, Public Outreach & Real Estate:

Continued ongoing coordination efforts with landowners, local community members, state and federal elected officials, government agencies and coalitions of regional and statewide organizations including the following activities:

- Responded to landowner requests for project information, facilitated coordination activities with local government agencies and organizations and planned for future landowner, stakeholders and general public outreach activities and events.
- Facilitated a project site tour for Reclamation technical staff.
- Conducted a project update briefing with state Senator Jim Nielsen.

Program Management & Administration:

- Executed key consultants task orders in support of the Amendment 1B Work Plan (i.e. for work being performed between January 1 through August 31, 2020.
- Developing Amendment 2 work plan materials to support work to be performed between September 1, 2020 and December 31, 2021. Received input from the Reservoir Committee and Authority Board related to the goals, schedule, and potential cash call scenarios.
- Issued revised payment of claims to utilize Prop 1 (WSIP) funds received to advance the project. Issued payments to consultants from State checking account.

Draft_0028004

Sites

Topic: **Authority Board** **Agenda Item 4-2** **2020 March 25**

Subject: **Status of Funding Activities - State's WSIP and Federal (WIIN Act and USDA)**

Requested Action:

No action requested. Discussion and possible direction to staff regarding activities related to compliance with Water Commission's administration of the Water Storage Investment program (WSIP) and access to federal funding.

Detailed Description/Background:

The following topics will be discussed:

1. WSIP: Early Funding Agreement (EFA): Status of invoices.
2. USDA Conditional Funding:
3. Federal Appropriations: Status
4. Federal Feasibility Report:

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jim Watson/Joe Trapasso

Attachments:

None.

Sites

Topic: **Authority Board Agenda Item 5-1 2020 March 25**

Subject: **Treasurer's Report**

Requested Action:

Review and consider accepting the Sites Project Authority Treasurer's Report as presented in Attachment 5-1A.

Detailed Description/Background:

Attachment 5-1A incorporates financial information through February 29, 2020.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: February 2020 Treasurer's Report.

Draft_0028008

SITES JOINT POWERS AUTHORITY
TREASURER'S REPORT
February 29, 2020

Savings Account-River City Bank				
Beginning Balance	02/01/2020		$ 2,212,074.25	
Transfer from checking to max balance			$ 799,661.59	
Interest Earned			$ 3,876.62	
River City Bank ICS Savings Account Balance	**02/29/2020**			$ 3,015,612.46
General Operating Checking Account-River City Bank				
Beginning Balance	02/01/2020		$ 1,835,423.19	
RECEIVED:				
Membership Revenue		$ 394,862.77		
Interest Earned		$ 1,792.05		
TOTAL RECEIVED			$ 396,654.82	
DISBURSED:				
Bank Fees		$ (164.36)		
Accounting Fees		$ (4,614.00)		
Administrative Support		$ (3,880.80)		
Computer Service		$ (898.39)		
General Manager		$ (39,841.00)		
Office Expenses		$ (647.26)		
Professional Fees-Legislative/Regulatory		$ (8,000.00)		
Professional Fees-Communication		$ (16,977.06)		
Professional Fees-Organizatioal Assessment		$ (8,993.05)		
Professional Fees-Fed Gov't Affairs Support		$ (15,000.00)		
Professional Fees-Legal Services		$ (8,142.99)		
TOTAL DISBURSED			$ (107,158.91)	
Transfer to Savings to max balance			$ (799,661.59)	
RCB General Checking Account Balance	**02/29/2020**			$ 1,325,257.51
State (WSIP) Fund Checking Account-River City Bank				
Beginning Balance	02/01/2020		$ 6,123,037.29	
DISBURSED:				
Bus/Comm Manager		$ (22,977.99)		
Program Op Manager		$ (28,953.74)		
Professional Fees-Engineering		$ (24,381.18)		
Professional Fees-Real Estate		$ (2,774.66)		
Professional Fees-Project Controls		$ (74,932.13)		
Professional Fees-Operations/SIM Modeling		$ (58,739.76)		
Professional Fees-EPP Manager		$ (31,049.44)		
Professional Fees-Geotech Eng		$ (4,478.96)		
Professional Fees-Project Integration		$ (137,996.08)		
Professional Fees-Cost Development		$ (710.60)		
Professional Fees-Municipal Advisor		$ (10,525.00)		
Professional Fees-Env/Biological Serv & Permit		$ (21,763.95)		
TOTAL DISBURSED			$ (419,283.49)	
RCB State Fund Checking Account Balance	**02/29/2020**			$ 5,703,753.80
TOTAL CASH ON HAND	**February 29, 2020**			$ 10,044,623.77

Sites Project Joint Powers Authority
Transactions by Account
As of February 29, 2020

Type	Date	Num	Name	Memo	Debit	Credit	Balance
River City ICS Savings							2,212,074.25
General Journal	02/07/2020	2-1C		adjust ICS balance to max	857,086.17		3,069,160.42
General Journal	02/28/2020	2-2C		adjust ICS balance to max		57,424.58	3,011,735.84
General Journal	02/29/2020	2-3C		rec int fme	3,876.62		3,015,612.46
Total River City ICS Savings					860,962.79	57,424.58	3,015,612.46
River City Operating Account							1,835,423.19
Deposit	02/07/2020			Tehama Colusa Canal Auth	55,000.00		1,890,423.19
Deposit	02/07/2020			Western Canal WD	5,000.00		1,895,423.19
General Journal	02/07/2020	2-1C		adjust ICS balance to max		857,086.17	1,038,337.02
Deposit	02/10/2020			County of Colusa	55,000.00		1,093,337.02
Bill Pmt -Check	02/12/2020	2100	Adept Solutions Inc			898.39	1,092,438.63
Bill Pmt -Check	02/12/2020	2101	Darling H2O Consulting, Inc.			8,993.05	1,083,445.58
Bill Pmt -Check	02/12/2020	2102	Dunn Consulting			8,000.00	1,075,445.58
Bill Pmt -Check	02/12/2020	2103	J.C. Watson, Inc			39,841.00	1,035,604.58
Bill Pmt -Check	02/12/2020	2104	Katz and Associates, Inc			16,977.06	1,018,627.52
Bill Pmt -Check	02/12/2020	2105	KCoe Isom, LLP			4,614.00	1,014,013.52
Bill Pmt -Check	02/12/2020	2106	M.R. Cleaning Services			200.00	1,013,813.52
Bill Pmt -Check	02/12/2020	2107	Maximun Pest Control			65.00	1,013,748.52
Bill Pmt -Check	02/12/2020	2108	Mt Shasta Spring Water			49.60	1,013,698.92
Bill Pmt -Check	02/12/2020	2109	Recology Butte Colusa Counties			35.95	1,013,662.97
Bill Pmt -Check	02/12/2020	2110	Rush Personnel Services, Inc	00000L4H		3,880.80	1,009,782.17
Bill Pmt -Check	02/12/2020	2111	The Ferguson Group			15,000.00	994,782.17
Bill Pmt -Check	02/12/2020	2112	US Bank			296.71	994,485.46
Bill Pmt -Check	02/12/2020	2113	Young Wooldridge LLP	20091 EAC		8,142.99	986,342.47
Deposit	02/21/2020			City of Sac	27,500.00		1,013,842.47
Deposit	02/21/2020			GCID	55,000.00		1,068,842.47
Deposit	02/21/2020			Davis WD	4,862.77		1,073,705.24
Deposit	02/21/2020			Sac Co WD	27,500.00		1,101,205.24
Deposit	02/28/2020			Rec District 108	55,000.00		1,156,205.24
Deposit	02/28/2020			Colusa Co WD	55,000.00		1,211,205.24
Deposit	02/28/2020			Placer Co WA	27,500.00		1,238,705.24
Deposit	02/28/2020			City of Roseville	27,500.00		1,266,205.24
General Journal	02/28/2020	2-2C		adjust ICS balance to max	57,424.58		1,323,629.82
General Journal	02/29/2020	2-4C		Bank service fee		164.36	1,323,465.46
General Journal	02/29/2020	2-5C		rec int fme	1,792.05		1,325,257.51
Total River City Operating Account					454,079.40	964,245.08	1,325,257.51
River City State Fund Checking							6,123,037.29
Bill Pmt -Check	02/12/2020	1001	AECOM Technical Services, Inc.			24,381.18	6,098,656.11
Bill Pmt -Check	02/12/2020	1002	Bender Rosenthal Inc			2,774.66	6,095,881.45
Bill Pmt -Check	02/12/2020	1003	Brown and Caldwell			74,932.13	6,020,949.32
Bill Pmt -Check	02/12/2020	1004	CH2M Hill Engineers, Inc			58,739.76	5,962,209.56
Bill Pmt -Check	02/12/2020	1005	Forsythe Group LLC			31,049.44	5,931,160.12
Bill Pmt -Check	02/12/2020	1006	Fugro USA Land, Inc			4,478.96	5,926,681.16
Bill Pmt -Check	02/12/2020	1007	HDR Engineering Inc			137,996.08	5,788,685.08
Bill Pmt -Check	02/12/2020	1008	ICF Jones & Stokes Inc			21,763.95	5,766,921.13
Bill Pmt -Check	02/12/2020	1009	Larsen Wurzel & Associates, Inc			710.60	5,766,210.53
Bill Pmt -Check	02/12/2020	1010	Montague DeRose and Associat...			10,525.00	5,755,685.53
Bill Pmt -Check	02/12/2020	1011	Spesert Consulting			22,977.99	5,732,707.54
Bill Pmt -Check	02/12/2020	1012	Trapasso Consulting Services			28,953.74	5,703,753.80
Total River City State Fund Checking					0.00	419,283.49	5,703,753.80
US Bank Checking							0.00
Total US Bank Checking							0.00
TOTAL					**1,315,042.19**	**1,440,953.15**	**10,044,623.77**

Draft_0028010

Sites Project Joint Powers Authority
Balance Sheet
As of February 29, 2020

	Feb 29, 20	
ASSETS		
Current Assets		
Checking/Savings		
River City ICS Savings		3,015,612.46
River City Operating Account		1,325,257.51
River City State Fund Checking		5,703,753.80
Total Checking/Savings		10,044,623.77
Accounts Receivable		
Accounts Rec Members		
Membership - Authority	123,000.00	
Membership - Reservoir	11,329.72	
Total Accounts Rec Members		134,329.72
Total Accounts Receivable		134,329.72
Total Current Assets		10,178,953.49
TOTAL ASSETS		**10,178,953.49**
LIABILITIES & EQUITY		
Liabilities		
Current Liabilities		
Accounts Payable		
Accounts Payable		641,112.52
Total Accounts Payable		641,112.52
Total Current Liabilities		641,112.52
Long Term Liabilities		
Participant Reimbursement Polic		6,064,652.67
Total Long Term Liabilities		6,064,652.67
Total Liabilities		6,705,765.19
Equity		
Net Assets		1,929,216.58
Retained Earnings		2,194,599.73
Net Income		-650,628.01
Total Equity		3,473,188.30
TOTAL LIABILITIES & EQUITY		**10,178,953.49**

Sites Project Joint Powers Authority
A/R Aging Summary
As of February 29, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
Antelope Valley - East Kern Water Agency	0.00	0.00	0.00	0.00	16,317.92	16,317.92
City of American Canyon	0.00	0.00	0.00	0.00	-4,988.20	-4,988.20
County of Glenn	0.00	55,000.00	0.00	0.00	0.00	55,000.00
Westside Water District	0.00	55,000.00	0.00	0.00	0.00	55,000.00
Yolo County Flood Control	0.00	0.00	0.00	0.00	13,000.00	13,000.00
TOTAL	**0.00**	**110,000.00**	**0.00**	**0.00**	**24,329.72**	**134,329.72**

Sites Project Joint Powers Authority
A/P Aging Summary
As of February 29, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
Adept Solutions Inc	932.08	0.00	0.00	0.00	0.00	932.08
AECOM Technical Services, Inc.	38,838.46	0.00	0.00	0.00	0.00	38,838.46
Brown and Caldwell	0.00	106,709.01	0.00	0.00	0.00	106,709.01
CH2M Hill Engineers, Inc	60,522.55	0.00	0.00	0.00	0.00	60,522.55
Colusa Indian Community Council	1,017.82	2,867.73	0.00	0.00	0.00	3,885.55
Darling H2O Consulting, Inc.	7,673.14	0.00	0.00	0.00	0.00	7,673.14
Dunn Consulting	8,000.00	0.00	0.00	0.00	0.00	8,000.00
Forsythe Group LLC	30,660.62	0.00	0.00	0.00	0.00	30,660.62
Fugro USA Land, Inc	8,744.05	0.00	0.00	0.00	0.00	8,744.05
HDR Engineering Inc	172,196.08	0.00	0.00	0.00	0.00	172,196.08
ICF Jones & Stokes Inc	0.00	41,235.90	0.00	0.00	0.00	41,235.90
J.C. Watson, Inc	39,865.70	0.00	0.00	0.00	0.00	39,865.70
Katz and Associates, Inc	0.00	19,652.49	0.00	0.00	0.00	19,652.49
M.R. Cleaning Services	200.00	0.00	0.00	0.00	0.00	200.00
Maximun Pest Control	65.00	0.00	0.00	0.00	0.00	65.00
MBK Engineers Inc	0.00	1,101.00	0.00	0.00	0.00	1,101.00
Montague DeRose and Associates, LLC	0.00	1,925.00	0.00	0.00	0.00	1,925.00
Mt Shasta Spring Water	47.65	0.00	0.00	0.00	0.00	47.65
Perkins Coie LLP	18,450.90	0.00	0.00	0.00	0.00	18,450.90
Recology Butte Colusa Counties	35.95	0.00	0.00	0.00	0.00	35.95
Rush Personnel Services, Inc	4,983.30	0.00	0.00	0.00	0.00	4,983.30
Spesert Consulting	21,589.46	0.00	0.00	0.00	0.00	21,589.46
The Ferguson Group	0.00	15,000.00	0.00	0.00	0.00	15,000.00
Trapasso Consulting Services	29,107.10	0.00	0.00	0.00	0.00	29,107.10
US Bank	1,548.26	0.00	0.00	0.00	0.00	1,548.26
Young Wooldridge LLP	8,143.27	0.00	0.00	0.00	0.00	8,143.27
TOTAL	**452,621.39**	**188,491.13**	**0.00**	**0.00**	**0.00**	**641,112.52**

Sites Project Joint Powers Authority
Profit & Loss
February 2020

	Feb 20	Jan - Feb 20
Ordinary Income/Expense		
Income		
Membership Admin/Authority	0.00	505,000.00
Total Income	0.00	505,000.00
Gross Profit	0.00	505,000.00
Expense		
Accounting Expense	0.00	4,614.00
Admin Support Gen Manager	4,983.30	8,864.10
Bank Service Fees	164.36	353.81
General Manager		
Services	39,865.70	79,706.70
Total General Manager	39,865.70	79,706.70
Insurance - Property	0.00	250.00
Insurance Liability	0.00	1,604.25
Office Expenses		
Cleaning	200.00	400.00
Misc Office & Operating Exp	1,548.26	1,844.97
Pest Control & Maintenance	65.00	130.00
Trash Service	35.95	71.90
Water Expense	47.65	97.25
Total Office Expenses	1,896.86	2,544.12
Professional Fees		
Bus/Comm Manager	21,589.46	44,567.45
Communication	19,652.49	36,629.55
Cost Development Model	0.00	710.60
Cultural Study	1,017.82	3,885.55
Engineering & Technical Service	38,838.46	63,219.64
EPP Manager	30,660.62	61,710.06
Federal Government Affairs Supp	15,000.00	30,000.00
General Legal Counsel	8,143.27	16,286.26
Geotechnical Engineering	8,744.05	13,223.01
Legislative/Reg/Strategic	8,000.00	16,000.00
Municipal Advisor	1,925.00	12,450.00
Operations/Simulation Modeling	60,522.55	119,262.31
Organizational Assessment	7,673.14	16,666.19
Permitting & Agreements	41,235.90	62,999.85
Program Ops Manager	29,107.10	58,060.84
Project Controls	106,709.01	181,641.14
Project Integration	172,196.08	310,192.16
Real Estate	0.00	761.67
Reservoir Operations	1,101.00	1,101.00
Special Legal	18,450.90	18,450.90
Total Professional Fees	590,566.85	1,067,818.18
Website, Data, Computer Support	932.08	2,502.67
Total Expense	638,409.15	1,168,257.83
Net Ordinary Income	-638,409.15	-663,257.83
Other Income/Expense		
Other Income		
Interest Income		
Interest Income-River City	5,668.67	12,629.82
Total Interest Income	5,668.67	12,629.82
Total Other Income	5,668.67	12,629.82
Net Other Income	5,668.67	12,629.82

Sites Project Joint Powers Authority
Profit & Loss
February 2020

	Feb 20	Jan - Feb 20
Net Income	-632,740.48	-650,628.01

FOR MANAGEMENT PURPOSES ONLY

Sites Project Joint Powers Authority
Transaction Detail By Account
February 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Admin Support Gen Manager								
Bill	02/11/2020	135105	Rush Personnel Services, ...	2/20	Authority	211.68		-211.68
Bill	02/11/2020	135105	Rush Personnel Services, ...	2/20	Reservoir	846.72		-1,058.40
Bill	02/11/2020	135106	Rush Personnel Services, ...	2/20	Authority	255.78		-1,314.18
Bill	02/11/2020	135106	Rush Personnel Services, ...	2/20	Reservoir	1,023.12		-2,337.30
Bill	02/25/2020	135423	Rush Personnel Services, ...	2/20	Authority	246.96		-2,584.26
Bill	02/25/2020	135423	Rush Personnel Services, ...	2/20	Reservoir	987.84		-3,572.10
Bill	02/25/2020	135424	Rush Personnel Services, ...	2/20	Authority	282.24		-3,854.34
Bill	02/25/2020	135424	Rush Personnel Services, ...	2/20	Reservoir	1,128.96		-4,983.30
Total Admin Support Gen Manager						4,983.30	0.00	-4,983.30
Bank Service Fees								
General J...	02/29/2020	2-4C		Bank service fee	Authority	31.23		-31.23
General J...	02/29/2020	2-4C		Bank service fee	Reservoir	133.13		-164.36
Total Bank Service Fees						164.36	0.00	-164.36
General Manager								
Services								
Bill	02/29/2020	SPA-055	J.C. Watson, Inc	2/20	Authority	5,874.23		-5,874.23
Bill	02/29/2020	SPA-055	J.C. Watson, Inc	2/20	Reservoir	33,991.47		-39,865.70
Total Services						39,865.70	0.00	-39,865.70
Total General Manager						39,865.70	0.00	-39,865.70
Office Expenses								
Cleaning								
Bill	02/29/2020	20	M.R. Cleaning Services	2/20	Authority	200.00		-200.00
Total Cleaning						200.00	0.00	-200.00
Misc Office & Operating Exp								
Bill	02/29/2020	Online 3/2	US Bank	2/20	Authority	249.62		-249.62
Bill	02/29/2020	Online 3/2	US Bank	2/20	Reservoir	1,298.64		-1,548.26
Total Misc Office & Operating Exp						1,548.26	0.00	-1,548.26
Pest Control & Maintenance								
Bill	02/14/2020	50451	Maximun Pest Control	2/20	Authority	65.00		-65.00
Total Pest Control & Maintenance						65.00	0.00	-65.00
Trash Service								
Bill	02/29/2020	37055688	Recology Butte Colusa Co...	2/20	Authority	35.95		-35.95
Total Trash Service						35.95	0.00	-35.95
Water Expense								
Bill	02/20/2020	471753	Mt Shasta Spring Water	2/20	Authority	47.65		-47.65
Total Water Expense						47.65	0.00	-47.65
Total Office Expenses						1,896.86	0.00	-1,896.86
Professional Fees								
Bus/Comm Manager								
Bill	02/29/2020	3-20	Spesert Consulting	2/20	Reservoir	21,589.46		-21,589.46
Total Bus/Comm Manager						21,589.46	0.00	-21,589.46
Communication								
Bill	02/13/2020	413590	Katz and Associates, Inc	1/20 (C)	Authority	11,791.50		-11,791.50
Bill	02/13/2020	413590	Katz and Associates, Inc	1/20 (C)	Reservoir	7,860.99		-19,652.49
Total Communication						19,652.49	0.00	-19,652.49
Cultural Study								
Bill	02/29/2020	02-29-20-01	Colusa Indian Community ...	2/20	Reservoir	1,017.82		-1,017.82
Total Cultural Study						1,017.82	0.00	-1,017.82
Engineering & Technical Service								
Bill	02/28/2020	2000330234	AECOM Technical Service...	2/20	Reservoir	38,838.46		-38,838.46
Total Engineering & Technical Service						38,838.46	0.00	-38,838.46
EPP Manager								
Bill	02/27/2020	SPA-202002	Forsythe Group LLC	2/20	Reservoir	30,660.62		-30,660.62
Total EPP Manager						30,660.62	0.00	-30,660.62
Federal Government Affairs Supp								
Bill	02/01/2020	0220162	The Ferguson Group	2/20	Authority	7,500.00		-7,500.00
Bill	02/01/2020	0220162	The Ferguson Group	2/20	Reservoir	7,500.00		-15,000.00
Total Federal Government Affairs Supp						15,000.00	0.00	-15,000.00
General Legal Counsel								
Bill	02/29/2020	63615	Young Wooldridge LLP	2/20	Authority	5,606.27		-5,606.27
Bill	02/29/2020	63615	Young Wooldridge LLP	2/20	Reservoir	2,537.00		-8,143.27
Total General Legal Counsel						8,143.27	0.00	-8,143.27
Geotechnical Engineering								

Sites Project Joint Powers Authority
Transaction Detail By Account
February 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Bill	02/29/2020	04.7219003...	Fugro USA Land, Inc	2/20 (I)	Reservoir	8,744.05		-8,744.05
Total Geotechnical Engineering						8,744.05	0.00	-8,744.05
Legislative/Reg/Strategic								
Bill	02/29/2020	Letter	Dunn Consulting	2/20	Authority	4,000.00		-4,000.00
Bill	02/29/2020	Letter	Dunn Consulting	2/20	Reservoir	4,000.00		-8,000.00
Total Legislative/Reg/Strategic						8,000.00	0.00	-8,000.00
Municipal Advisor								
Bill	02/16/2020	4802SITES	Montague DeRose and As...	1/20	Reservoir	1,925.00		-1,925.00
Total Municipal Advisor						1,925.00	0.00	-1,925.00
Operations/Simulation Modeling								
Bill	02/19/2020	D3205400-010	CH2M Hill Engineers, Inc	1/20(D)	Reservoir	60,522.55		-60,522.55
Total Operations/Simulation Modeling						60,522.55	0.00	-60,522.55
Organizational Assessment								
Bill	02/29/2020	117	Darling H2O Consulting, Inc.	2/20	Authority	7,673.14		-7,673.14
Total Organizational Assessment						7,673.14	0.00	-7,673.14
Permitting & Agreements								
Bill	02/14/2020	0144836	ICF Jones & Stokes Inc	1/20 (Permitting) (F)	Reservoir	41,235.90		-41,235.90
Total Permitting & Agreements						41,235.90	0.00	-41,235.90
Program Ops Manager								
Bill	02/29/2020	SPA-17-29	Trapasso Consulting Servi...	2/20	Reservoir	29,107.10		-29,107.10
Total Program Ops Manager						29,107.10	0.00	-29,107.10
Project Controls								
Bill	02/12/2020	17363756	Brown and Caldwell	1/20 (B)	Reservoir	106,709.01		-106,709.01
Total Project Controls						106,709.01	0.00	-106,709.01
Project Integration								
Bill	02/22/2020	1200250916	HDR Engineering Inc	2/20 (A)	Reservoir	172,196.08		-172,196.08
Total Project Integration						172,196.08	0.00	-172,196.08
Reservoir Operations								
Bill	02/13/2020	20-01-4941.0	MBK Engineers Inc	1/20	Reservoir	1,101.00		-1,101.00
Total Reservoir Operations						1,101.00	0.00	-1,101.00
Special Legal								
Bill	02/25/2020	6146039	Perkins Coie LLP	1/20	Reservoir	18,450.90		-18,450.90
Total Special Legal						18,450.90	0.00	-18,450.90
Total Professional Fees						590,566.85	0.00	-590,566.85
Website, Data, Computer Support								
Bill	02/29/2020	MSP-138726	Adept Solutions Inc	3/20	Authority	134.44		-134.44
Bill	02/29/2020	MSP-138726	Adept Solutions Inc	3/20	Reservoir	537.76		-672.20
Bill	02/29/2020	138721	Adept Solutions Inc	1/20	Authority	51.98		-724.18
Bill	02/29/2020	138721	Adept Solutions Inc	1/20	Reservoir	207.90		-932.08
Total Website, Data, Computer Support						932.08	0.00	-932.08
Interest Income								
Interest Income-River City								
General J...	02/29/2020	2-3C		rec int fme	Reservoir		3,876.62	3,876.62
General J...	02/29/2020	2-5C		rec int fme	Authority		340.49	4,217.11
General J...	02/29/2020	2-5C		rec int fme	Reservoir		1,451.56	5,668.67
Total Interest Income-River City						0.00	5,668.67	5,668.67
Total Interest Income						0.00	5,668.67	5,668.67
TOTAL						**638,409.15**	**5,668.67**	**-632,740.48**

Sites Project Joint Powers Authority
Balance Sheet by Class
As of February 29, 2020

	Authority	Reservoir	TOTAL
ASSETS			
Current Assets			
Checking/Savings			
River City ICS Savings	0.00	3,015,612.46	3,015,612.46
River City Operating Account	815,579.50	509,678.01	1,325,257.51
River City State Fund Checking	0.00	5,703,753.80	5,703,753.80
Total Checking/Savings	815,579.50	9,229,044.27	10,044,623.77
Accounts Receivable			
Accounts Rec Members			
Membership - Authority	123,000.00	0.00	123,000.00
Membership - Reservoir	0.00	11,329.72	11,329.72
Total Accounts Rec Members	123,000.00	11,329.72	134,329.72
Total Accounts Receivable	123,000.00	11,329.72	134,329.72
Total Current Assets	938,579.50	9,240,373.99	10,178,953.49
TOTAL ASSETS	**938,579.50**	**9,240,373.99**	**10,178,953.49**
LIABILITIES & EQUITY			
Liabilities			
Current Liabilities			
Accounts Payable			
Accounts Payable	44,226.44	596,886.08	641,112.52
Total Accounts Payable	44,226.44	596,886.08	641,112.52
Total Current Liabilities	44,226.44	596,886.08	641,112.52
Long Term Liabilities			
Participant Reimbursement Polic	0.00	6,064,652.67	6,064,652.67
Total Long Term Liabilities	0.00	6,064,652.67	6,064,652.67
Total Liabilities	44,226.44	6,661,538.75	6,705,765.19
Equity			
Net Assets	114,674.93	1,814,541.65	1,929,216.58
Retained Earnings	356,196.54	1,838,403.19	2,194,599.73
Net Income	423,481.59	-1,074,109.60	-650,628.01
Total Equity	894,353.06	2,578,835.24	3,473,188.30
TOTAL LIABILITIES & EQUITY	**938,579.50**	**9,240,373.99**	**10,178,953.49**

Draft_0028018

Sites Project Joint Powers Authority
Profit & Loss by Class
February 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Expense			
Admin Support Gen Manager	996.66	3,986.64	4,983.30
Bank Service Fees	31.23	133.13	164.36
General Manager			
Services	5,874.23	33,991.47	39,865.70
Total General Manager	5,874.23	33,991.47	39,865.70
Office Expenses			
Cleaning	200.00	0.00	200.00
Misc Office & Operating Exp	249.62	1,298.64	1,548.26
Pest Control & Maintenance	65.00	0.00	65.00
Trash Service	35.95	0.00	35.95
Water Expense	47.65	0.00	47.65
Total Office Expenses	598.22	1,298.64	1,896.86
Professional Fees			
Bus/Comm Manager	0.00	21,589.46	21,589.46
Communication	11,791.50	7,860.99	19,652.49
Cultural Study	0.00	1,017.82	1,017.82
Engineering & Technical Service	0.00	38,838.46	38,838.46
EPP Manager	0.00	30,660.62	30,660.62
Federal Government Affairs Supp	7,500.00	7,500.00	15,000.00
General Legal Counsel	5,606.27	2,537.00	8,143.27
Geotechnical Engineering	0.00	8,744.05	8,744.05
Legislative/Reg/Strategic	4,000.00	4,000.00	8,000.00
Municipal Advisor	0.00	1,925.00	1,925.00
Operations/Simulation Modeling	0.00	60,522.55	60,522.55
Organizational Assessment	7,673.14	0.00	7,673.14
Permitting & Agreements	0.00	41,235.90	41,235.90
Program Ops Manager	0.00	29,107.10	29,107.10
Project Controls	0.00	106,709.01	106,709.01
Project Integration	0.00	172,196.08	172,196.08
Reservoir Operations	0.00	1,101.00	1,101.00
Special Legal	0.00	18,450.90	18,450.90
Total Professional Fees	36,570.91	553,995.94	590,566.85
Website, Data, Computer Support	186.42	745.66	932.08
Total Expense	44,257.67	594,151.48	638,409.15
Net Ordinary Income	-44,257.67	-594,151.48	-638,409.15
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	340.49	5,328.18	5,668.67
Total Interest Income	340.49	5,328.18	5,668.67
Total Other Income	340.49	5,328.18	5,668.67
Net Other Income	340.49	5,328.18	5,668.67
Net Income	**-43,917.18**	**-588,823.30**	**-632,740.48**

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through February 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Income			
Membership Admin/Authority	505,000.00	0.00	505,000.00
Total Income	505,000.00	0.00	505,000.00
Gross Profit	505,000.00	0.00	505,000.00
Expense			
Accounting Expense	922.80	3,691.20	4,614.00
Admin Support Gen Manager	1,772.82	7,091.28	8,864.10
Bank Service Fees	71.09	282.72	353.81
General Manager			
Services	11,740.41	67,966.29	79,706.70
Total General Manager	11,740.41	67,966.29	79,706.70
Insurance - Property	200.00	50.00	250.00
Insurance Liability	0.00	1,604.25	1,604.25
Office Expenses			
Cleaning	400.00	0.00	400.00
Misc Office & Operating Exp	427.93	1,417.04	1,844.97
Pest Control & Maintenance	130.00	0.00	130.00
Trash Service	71.90	0.00	71.90
Water Expense	97.25	0.00	97.25
Total Office Expenses	1,127.08	1,417.04	2,544.12
Professional Fees			
Bus/Comm Manager	0.00	44,567.45	44,567.45
Communication	16,035.76	20,593.79	36,629.55
Cost Development Model	0.00	710.60	710.60
Cultural Study	0.00	3,885.55	3,885.55
Engineering & Technical Service	0.00	63,219.64	63,219.64
EPP Manager	0.00	61,710.06	61,710.06
Federal Government Affairs Supp	15,000.00	15,000.00	30,000.00
General Legal Counsel	10,717.76	5,568.50	16,286.26
Geotechnical Engineering	0.00	13,223.01	13,223.01
Legislative/Reg/Strategic	8,000.00	8,000.00	16,000.00
Municipal Advisor	0.00	12,450.00	12,450.00
Operations/Simulation Modeling	0.00	119,262.31	119,262.31
Organizational Assessment	16,666.19	0.00	16,666.19
Permitting & Agreements	0.00	62,999.85	62,999.85
Program Ops Manager	0.00	58,060.84	58,060.84
Project Controls	0.00	181,641.14	181,641.14
Project Integration	0.00	310,192.16	310,192.16
Real Estate	0.00	761.67	761.67
Reservoir Operations	0.00	1,101.00	1,101.00
Special Legal	0.00	18,450.90	18,450.90
Total Professional Fees	66,419.71	1,001,398.47	1,067,818.18
Website, Data, Computer Support	500.54	2,002.13	2,502.67
Total Expense	82,754.45	1,085,503.38	1,168,257.83
Net Ordinary Income	422,245.55	-1,085,503.38	-663,257.83
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	1,236.04	11,393.78	12,629.82
Total Interest Income	1,236.04	11,393.78	12,629.82
Total Other Income	1,236.04	11,393.78	12,629.82
Net Other Income	1,236.04	11,393.78	12,629.82

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through February 2020

	Authority	Reservoir	TOTAL
Net Income	423,481.59	-1,074,109.60	-650,628.01

Sites

Topic: **Authority Board Agenda Item 5-2 2020 March 25**

Subject: **Payment of Claims**

Requested Action:

Consider approval of the Payment of Claims as presented in Attachment 5-2A with supporting details provided in Attachment 5-2B.

Detailed Description/Background:

Attachment A presents the warrants to be drawn against the invoices received by the Sites Project Authority through March 2, 2020.

Attachment B summarizes details of the invoices received through March 2, 2020 and how the incurred costs are allocated between the Authority and Reservoir Committee.

Prior Action:

None.

Fiscal Impact/Funding Source:

Total Payment of Claims is $641,112.52 with $44,226.44 of costs being assigned to the Authority and $596,886.08 assigned to the Reservoir Committee.

For the Reservoir Committee assigned amount, $534,965.68 will be paid through the WSIP account and $106,146.84 through the JPA/Sites account as shown in Attachment B.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: March 2020 Report on warrants to be drawn for Payment of Claims.

Attachment B: March 2020 Monthly Invoice Summary table.

Draft_0028022

WARRANTS DRAWN AGAINST
Sites Project Authority-WSIP Fund
March 25, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
1013	03/12/2020	AECOM Inc.	Engineering	38,838.46
1014	03/12/2020	Brown and Caldwell	Project Controls	106,709.01
1015	03/12/2020	CH2M	Operations/SIM Modeling	60,522.55
1016	03/12/2020	Colusa Indian Community Council	Tribal Council	3,885.55
1017	03/12/2020	Forsythe Group LLC	EPP Manager	30,660.62
1018	03/12/2020	Fugro USA Land, Inc.	Geotechnical Engineering Services	8,744.05
1019	03/12/2020	HDR Engineering Inc.	Project Integration	172,196.08
1020	03/12/2020	ICF Jones & Stokes Inc.	Env/Biological Services & Permitting	41,235.90
1021	03/12/2020	MBK Engineers Inc.	Reservoir Operations	1,101.00
1022	03/12/2020	Montegue DeRose and Associates, Inc.	Municipal Advisor	1,925.00
1023	03/12/2020	Perkins Coie LLP	Special Legal	18,450.90
1024	03/12/2020	Spesert Consulting	Bus/Comm Manager	21,589.46
1025	03/12/2020	Trapasso Consulting Services	Program Operations Manager	29,107.10

THE FOREGOING CLAIM, NUMBERED 1013-1025 ARE APPLIED TO THE WSIP FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **534,965.68**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

WARRANTS DRAWN AGAINST
Sites Project Authority- General Fund
March 25, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
2114	03/12/2020	Adept Solutions	IT & Related Services	932.08
2115	03/12/2020	Darling H2O Consulting, Inc.	Organizational Assessment	7,673.14
2116	03/12/2020	Dunn Consulting	Legislative/Regulatory/Strategic Support	8,000.00
2117	03/12/2020	JC Watson Inc.	GM Services	39,865.70
2118	03/12/2020	Katz and Associates Inc.	Communications	19,652.49
2119	03/12/2020	MR Cleaning Services	Office Cleaning	200.00
2120	03/12/2020	Maximum Pest Control	Pest Control	65.00
2121	03/12/2020	Mt Shasta Spring Water	Office Water	47.65
2122	03/12/2020	Recology Butte Colusa Counties	Office Trash Pickup	35.95
2123	03/12/2020	Rush Personnel Services Inc.	Administrative Services	4,983.30
2124	03/12/2020	The Ferguson Group	Fed Govt Affairs Support	15,000.00
2125	03/12/2020	U.S. Bank	Misc. Expenses	1,548.26
2126	03/12/2020	Young Wooldridge LLP	Legal Counsel	8,143.27

THE FOREGOING CLAIM, NUMBERED 2114-2126 ARE APPLIED TO THE GENERAL FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **106,146.84**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

Sites

March 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for March 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their March monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Adept Solutions	MSP-138726			$672.20	$134.44	$537.76	KMS
IT Related Services/Computer Equipment		*3/1/20*	03/20				
Adept Solutions	138721			$259.88	$51.98	$207.90	KMS
IT Related Services/Computer Equipment		*3/1/20*	1/20				
AECOM Technical Services, Inc.	2000330234			$38,838.46		$38,838.46	LEF
Engineering/Tech		*3/2/20*	2/20				
Bender Rosenthal, Inc. (G)	No Invoice						KMS
Real Estate							
Brown and Caldwell (B)	17363756			$106,709.01		$106,709.01	JAT
Project Controls		*2/12/20*	1/20				
Capital Project Strategies, LLC	No Invoice						JCW
Alternative Project Delivery Advisor							
CH2M Hill Engineers (Operations) (D)	D3205400-010			$60,522.55		$60,522.55	AEF
Operations / Simulation Modeling		*2/19/20*	1/20				
Colusa Indian Community Council	01-31-20-01			$2,867.73		$2,867.73	SPE
Tribal Council		*1/31/20*	1/20				
Colusa Indian Community Council	02-29-20-01			$1,017.82		$1,017.82	SPE
Tribal Council		*2/29/20*	2/20				
Darling H2O Consulting, Inc.	117			$7,673.14	$7,673.14		FD
Organizational Assessment		*3/1/20*	2/20				
Dunn Consulting	Letter			$8,000.00	$4,000.00	$4,000.00	KMS
Legislative/Regulatory/Strategic Support		*3/3/20*	2/20				
Forsythe Group, LLC	SPA-202002			$30,660.62		$30,660.62	JCW
EPP Manager		*2/27/20*	2/20				
Fugro (I)	04.72190035-11			$8,744.05		$8,744.05	LEF
Geotechnical Engineering Services		*3/3/20*	2/20				
Gerald (Jerry) Johns	No Invoice						AEF
Project Operations							
HDR (A)	1200250916			$172,196.08		$172,196.08	All Agents
Project Integration		*2/22/20*	2/20				

Sites

March 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for March 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their March monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
ICF Jones & Stokes, Inc. (Environ.) (E)	No Invoice						AEF
Env/Biological Services							
ICF Jones & Stokes, Inc. (Permitting) (F)	0144836			$41,235.90		$41,235.90	AEF
Permitting and Agreements		*2/14/20*	1/20				
J.C. Watson, Inc.	SPA-055			$39,865.70	$5,874.23	$33,991.47	JRT/GA
General Manager Services		*3/1/20*	2/20				
Katz & Associates (C)	413590			$19,652.49	$11,791.50	$7,860.99	KMS
Communications		*2/13/20*	1/20				
K-Coe Isom, LLP	No Invoice						JAT
Accounting							
Larsen Wurzel & Associates, Inc.	No Invoice						JAT
Cost Development							
M.R. Cleaning Service	20			$200.00	$200.00		KMS
Office Cleaning		*2/29/20*	2/20				
Maximum Pest Control	50451			$65.00	$65.00		KMS
Pest Spraying		*2/14/20*	2/20				
MBK Engineers	20-01-4941.0			$1,101.00		$1,101.00	AEF
Reservoir Operations		*2/13/20*	1/20				
Montague DeRose & Associates, LLC	4802SITES			$1,925.00		$1,925.00	JCW
Municipal Advisor		*2/16/20*	1/20				
MT Shasta Water	471753			$47.65	$47.65		KMS
Office Water		*2/20/20*	2/20				
Perkins Coie, LLP	6146039			$18,450.90		$18,450.90	AEF
Special Legal		*2/25/20*	1/20				
Recology Butte Colusa Counties	37055688			$35.95	$35.95		KMS
Office Trash Pickup		*2/29/20*	2/20				
Rush Personnel	IVC000000135105			$1,058.40	$211.68	$846.72	KMS
Yolanda Tirado Services		*2/11/20*	2/20				
Rush Personnel	IVC000000135106			$1,278.90	$255.78	$1,023.12	KMS
Yolanda Tirado Services		*2/11/20*	2/20				

Sites

March 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for March 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their March monthly meetings.

Consultant/Vendor	Invoice # Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Rush Personnel	IVC000000135423		$1,234.80	$246.96	$987.84	KMS
Yolanda Tirado Services	*2/25/20*	2/20				
Rush Personnel	IVC000000135424		$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services	*2/25/20*	2/20				
Spesert Consulting	3-20		$21,589.46		$21,589.46	JCW
Bus/Communications Manager	*3/4/20*	2/20				
Stradling, Yocca, Carlson & Rauth	No Invoice					JCW
Bond Counsel						
The Ferguson Group	0220162		$15,000.00	$7,500.00	$7,500.00	JAT
Federal Government Affairs Support	*2/1/20*	2/20				
Trapasso Consulting Services	SPA 17-29		$29,107.10		$29,107.10	JCW
Program Operations Manager	*3/3/20*	2/20				
U.S. Bank - Credit Card	Online 3/2		$1,548.26	$249.62	$1,298.64	KMS
Misc. Expenses	*3/2/20*	2/20				
Wiseman Consulting Group	No Invoice					KMS
ROW/Land Management						
Young Wooldridge, Law Offices, LLP	63615		$8,143.27	$5,606.27	$2,537.00	JCW
Legal Counsel	*2/29/20*	2/20				

		$ Total	$ Authority Board	$ Reservoir Committee
Monthly Summary	Cash Accounts	641,112.52	44,226.44	596,886.08
	WSIP	534,965.68		534,965.68
	JPA/Sites	106,146.84	44,226.44	61,920.40

Notes: *

Sites

Topic: **Authority Board Agenda Item 6-1** **2020 March 25**

Subject: **Report on Sites Project's Phase 2 Reservoir Committee Meeting – March 19, 2020**

Requested Action:

No action requested. Informational item.

Detailed Description/Background:

Reservoir Committee officers and/or Staff provide a report on the March 19, 2020 Sites Project Reservoir Committee meeting.

The Reservoir Committee's meeting package can be viewed at:

https://sitesproject.org/meetings/march-19-2020/

Prior Authority Board Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jim Watson

Attachments:

Attachment A – Sites Project Reservoir Committee Meeting Agenda – March 19, 2020.

Attachment B - Workgroup Signup Sheet.

Status:	Final	Preparer:	Trapasso	Phase: 2	Version: A
Purpose:	Staff Report	QA/QC:	Watson	Date:	2020 March 25
Caveat:	Informational	Authority Agent:	Watson	Ref/File #:	10.700
Notes:				Page: 1	of 1

Meeting:	**Phase 2 Reservoir Project Agreement**	**2020 March 19**
Subject:	**Reservoir Committee Meeting**	**1:00 PM – 4:00 PM**
Location:	Maxwell Project Office 122 Old Highway 99W, Maxwell, CA 95955	Call in: **1-844-531-9388** Code: **738 675 471**

WEBEX LINK

Chair: Thad Bettner (Glenn-Colusa Irrigation District)

Vice Chair: Jeff Davis (San Gorgonio Pass Water Agency)

Treasurer: Jamie Traynham (Davis Water District)

AGENDA

Notice: Pursuant to Executive Order N-25-20, issued by Governor Newsom on March 12, 2020, and guidance by the California Department of Public Health dated March 11, 2020, this meeting will be conducted by teleconference. The public may attend the meeting and offer public comments by phone, using the call-in number above, or in person, at the address above. Members of the Committee will participate by teleconference from other locations.

ROLL CALL & CALL TO ORDER: 10 min

- Introductions.
- Pledge of Allegiance.
- Approval of March 19, 2020 Agenda.
- Consider approving the February 21, 2020 Phase 2 Reservoir Committee Meeting Minutes.
- Announcement of Closed Session.
- Period for Public Comment. Max: 10 min

1. Member's Reports:

1.1 Chairpersons' Report: 15 min Bettner, Davis

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

- Workgroup assignments (Attachment 01-1A)

1.2 Reservoir Committee Participant Reports: 5 min Representatives

This time is set aside to allow Representatives or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

2. Consent Agenda: 0 min Watson

None.

3. Manager's Report: 15 min Watson

3.1 Discussion and possible direction to staff regarding the Sites Project's monthly status report. **(Attachment 3-1A)**

3.2 Discussion and possible direction to staff regarding Proposition 1, WSIP activities and WIIN Act Funding.

4. **2019 Ad Hoc Budget & Finance Workgroup**: 30 min Traynham

4.1 Consider accepting the Sites Project Authority Treasurer's Report. **(Attachment 4-1 A)**

4.2 Consider approval of the Reservoir Committee's portion of the monthly Payment of Claims. **(Attachments 4-2 A & B)**

5. **2019 Ad Hoc Environmental & Permitting Workgroup**: 10 min Bettner

Discussion and possible direction to staff regarding the ongoing activities of the Environmental and Permitting Workgroup.

6. **2019 Ad Hoc Reservoir Ops & Engineering Workgroup**: 20 min Kunde/Azevedo

6.1 Discussion and possible direction to staff regarding value planning efforts and the next steps to develop an updated project description.

7. **Updated Work Plan**:

7.1 Discussion and possible direction to staff regarding the development of the work plan for the period of performance starting September 1, 2020 and ending December 31, 2021 in accordance with a proposed Amendment 2 to the current Participation Agreement.

8. **Extending to Current Participation Agreement**:

8.1 Discussion and possible direction to staff regarding the proposed amendment to the current participation agreement and supporting documents.

RECESS:

9. **Closed Session**:

9.1 Public Employee Appointment (Gov. Code, § 54957)
Title: Executive Director

10. **Report from Closed Session**: 5 min Kuney

11. **Recap**: Watson

11.1 Agenda topics for next meeting?

- Presentation: Statewide water management actions: Department of Water Resources' perspective on Delta Conveyance.

11.2 Upcoming Reservoir Committee meeting:

Friday, April 17, 2020 9:00 AM
Maxwell Project Office
122 Old Highway 99W, Maxwell, CA 95955

ADJOURN:

PERIOD OF PUBLIC COMMENT: Any person may speak about any subject of concern, provided it is within the jurisdiction of the Reservoir Committee and is not already on today's agenda. The total amount of time allotted for receiving such public communication shall be limited to a total of 10 minutes per issue and each individual or group will be limited to no more than 3 minutes each within the 10 minutes allocated per issue. **Note:** No action shall be taken on comments made under this comment period.

ADA COMPLIANCE: Upon request, agendas will be made available in alternative formats to accommodate persons with disabilities. In addition, any person with a disability who requires a modification or accommodation to participate or attend this meeting may request necessary accommodation. Please make your request to the Board Clerk, specifying your disability, the format in which you would like to receive this Agenda and any other accommodation required no later than 24 hours prior to the start of the meeting.

Draft_0028032

Topic: **Sites Project - Reservoir Committee** **2020 March 16**

Workgroup Assignments for 2020

	Budget & Finance	Res. Ops & Engineering	Environmental & Permitting	Coordination
1	Jamie Traynham Chair	Mike Azevedo Vice Chair	Mike Azevedo	Thad Bettner Res Comm Chair
2	Thad Bettner	Thad Bettner	Thad Bettner Chair	Jeff Davis Res Comm Vice Chair
3	Rob Kunde	Rob Kunde Chair	Rob Kunde	Jamie Traynham Budget & Finance Chair
4	Eric Leitterman	Eric Leitterman	Eric Leitterman	Robert Cheng Budget & Finance Vice Chair
5	Robert Cheng Vice chair	Robert Cheng	Robert Cheng	Rob Kunde Res Ops & Eng. Chair
6	Bill Vanderwaal	Bill Vanderwaal	Bill Vanderwaal	Mike Azevedo Res Ops & Eng. Vice Chair
7	Dan Ruiz	Dan Ruiz	Jeff Davis	Thad Bettner Env & Permit Chair
8	Shelly Murphy	Bob Tincher	Heather Dyer Vice chair	Heather Dyer Env & Permit Vice Chair
9		Dirk Marks		
10		Rick Kaufman		
11		Amparo Flores		
12		Jeff Sutton (TC4)		
Staff	Joe Trapasso	Jim Watson	Ali Forsythe	Executive Director

NOTE: Workgroup meetings that have more than 10 representatives or designated alternates requires public noticing

Sites

Topic: **Authority Board Agenda Item 6-2 2020 March 25**

Subject: **Value Planning**

Requested Action:

Discussion and possible direction to staff regarding value planning efforts and the next steps to develop an updated project description.

Detailed Description/Background:

The Ad Hoc Value Planning Workgroup was formed in late 2019 and continued to meet through early 2020. The Workgroup directed the efforts of Authority staff and the consultant team to formulate and evaluate a broader range of facilities that appear to be more affordable. On March 2, 2020, the Value Planning Work Group, through a sequential process that evaluated initial and refined facility alternatives, has identified a recommended set of facilities and range of alternatives that would provide the ability to adjust the Project as circumstances may dictate.

With the identification of a recommended project, the Value Planning Workgroup purpose is completed, and no further Workgroup meetings are planned.

A Sites workshop is scheduled for Monday, March 30, 2020. The workshop will provide an opportunity for participants to ask questions and provide feedback regarding the value planning study. The report will be finalized reflecting input from participants and a final report will be submitted to the Reservoir Committee and the Authority Board for approval in April.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Lee Frederiksen

Attachments:

None.

Sites

Topic: **Authority Board Agenda Item 6-3** **2020 March 25**

Subject: **September 1, 2020 through December 2021 Updated Work Plan (Amendment 2)**

Requested Action:

Discussion and possible direction to staff regarding the development of the updated work plan for the period of performance starting September 1, 2020 and ending December 31, 2021 in accordance with a proposed Amendment 2 to the current Participation Agreement.

Background:

To continue to advance the Sites Project beyond August 31, 2020, an amended participation agreement (Amendment 2) is needed that includes an additional funding commitment (aka cash call). These funds can then be leveraged using a combination of the Prop 1 (WSIP) early funding, which is capped at $40.8 million, and a portion of the $6 million WIIN Act appropriation that occurred in December. Based on estimates of local, state, and federal funding available, a work plan needs to be prepared and be based on the current level of local and state conditional investments and the current level of federal interest. The proposed goals of this work plan are:

a. To improve certainty related to the reservoir's operations and degree of operational integration with the State Water Project and Central Valley Project to produce benefits for both water supply and reliability purposes and water dedicated to environmental purposes;

b. To improve certainty related to the project's permitability through more-focused early agencies' consultations to then prepare applications for key federal and state permits and the state's water rights;

c. To complete the environmental analysis and documentation as required under CEQA and NEPA;

d. To improve certainty related to the project's affordability by advancing the level of engineering and implementing risk management to improve the accuracy of the cost estimates. In addition, pursue low interest financing and potential grants;

e. To continue to cultivate and strengthen partnerships with local landowners, communities, and key stakeholders that represent environmental, business, labor, and other interests;

f. To meet the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive the $775 million in construction funding;

g. To identify continued participation Go/No-Go decision points with staggered cash calls; and

h. To develop a successor participation agreement, work plan, and funding or finance plan to advance the Sites Project beyond December 31, 2021.

Proposed Schedule:

☑ January 17: Obtain input to overall goals, priorities, and milestones.

☑ February 21: Obtain input to *strawman* work plan (task list, initial milestones, and range of proposed cash calls) and proposed information package to be provided to each home board.

☐ March 19: Obtain input to an initial work plan (revised task list, more-defined CPM schedule, and cash call timing) and proposed information package.

☐ March 30: At a workshop, obtain input to the schedule, the proposed allocation of costs, and the proposed cash call timing for the purpose of developing a final draft of work plan for approval at the April meetings.

☐ April 17: Obtain final input and approval of the work plan to create Exhibit B to the amended participation agreement.

NOTE: Authority Board's consideration to approve is scheduled for April 22.

☐ May 1: Distribute document package for Home Boards' consideration.

☐ July 9: Response from Home Board for inclusion into agenda package for July 16 Reservoir Committee meeting to:

1. Consider approving the participation responses.
2. Authorize preparation of the first invoice due in 30 days (i.e. September 1, 2020). Plan is to distribute invoices to home boards on or before July 31.
3. If needed, authorize the rebalancing process.

NOTE: Authority Board's consideration to approve is scheduled for July 22.

☐ August 21: Reservoir Committee approve consultant services contract amendments and initial set of task orders to issue near-term Notices to Proceed on or before September 1.

☐ September 1: Formal start of amendment 2 to the current participation agreement.

Updated Work Plan:

Process: Based on input from the Reservoir Committee, staff continued to develop a project schedule through 2021 (Attachment A), with particular focus given to the critical path. Based on the activities scheduled for September 1, 2020 through December 31, 2021, preliminary cost estimates were developed, and the schedule was cost loaded. The cash flow based on this exercise, including cash calls from the Reservoir Committee, is included in Attachment B. Based on this scenario, the cash call invoices totaling $100/AF would occur as follows:

- $60/AF, Due September 1, 2020.
- $40/AF, Due February 1, 2021.

Preliminary cost estimates by subject area are shown in Attachment C, reflecting highest priority spending on project permitting (36%), engineering in support of the project description and feasibility (15%), and the environmental planning documents (13%).

Based on the revenue scenario and focusing on the work plan goals as defined, the following activities are proposed to be **deferred beyond December 31, 2021**:

- Any additional repayment of Phase 1 contributed credit to participants.
- Payment of permit application fees.
- Purchasing of real estate.
- Early procurement of equipment.
- Development of alternative delivery bridging documents, which includes alternative delivery legal support.
- Development of a detailed Program Management Plan (PMP) and associated standards.
- Development of policies and procedures beyond those necessary to receive previously identified grants or funding agreements.
- Implementation of a Project Management Information System (PMIS) or an enterprise-wide solution for business and asset management (e.g. ERP).

Prior Action:

February 21, 2020: Staff provided information on three possible revenue scenarios and a task list for Amendment 2 (September 1, 2020 and ending December 31, 2021). Staff received direction to continue to develop the $100/AF revenue scenario assuming a reduction in participation up to 10%.

January 17, 2020: Staff provided information regarding the proposed process for developing a work plan. This work plan proposes to focus on improving certainty related to the operations, permitting, and affordability and meet the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive funding.

Fiscal Impact/Funding Source:

Not yet determined

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Project Schedule Through Dec 31, 2021 (Amendment 2)

Attachment B: Amendment 2 Cash Flow Curves, Reservoir Committee and Authority Board

Attachment C: Amendment 2 Work Plan Spend by Subject Area

Sites Reservoir Project

2020 March 25 Authority Board, Agenda Item 6-3, Attachment A

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
SITES PROJECT		548	02-Jan-20	25-Feb-22
MILESTONES		327	31-Aug-20	15-Dec-21
MS-001	Local Funding (Go/No-go #1)	0		31-Aug-20
MS-002	Local Funding (Go/No-go #2)	0		08-Jan-21
MS-003	Local Funding (Go/No-go #3)	0		15-Dec-21
LOCAL FUNDING		509	02-Jan-20	31-Dec-21
Execute Participation Agreement 1B		95	23-Jan-20	04-Jun-20
PA-010	Amend Task Orders	26	23-Jan-20	27-Feb-20
PA-020	Final Participation Agreement Amendment 1B	15	18-Mar-20	08-Apr-20
PA-030	Engineering Task Orders (HC, HR)	30	23-Jan-20	04-Mar-20
PA-040	Amendment 1B Exhibit B	5	01-Apr-20	08-Apr-20
PA-050	Executed 1B	0		04-Jun-20
Execute Participation Agreement 2		114	18-Mar-20	26-Aug-20
PA-120	Draft Participation Agreement Amend 2 for Home Board Review	15	18-Mar-20	08-Apr-20
PA-130	Home Board Review - Determine Participation Level	44	17-Apr-20	18-Jun-20
PA-140	Rebalance Participation	8	19-Jun-20	30-Jun-20
PA-150	Final Participation Agreement Amend 2 with Exhibits	0		30-Jun-20
PA-160	Execute Amendment 2	0		26-Aug-20
Execute Successor Participation Agreement 2		106	02-Aug-21	31-Dec-21
PA-210	Execute Successor Agreement	106	02-Aug-21*	31-Dec-21
Work Plan Amend 1B		40	02-Jan-20	26-Feb-20
WP-005	Incorporate Comments from Dec Res Comm Mtg	9	02-Jan-20*	14-Jan-20
WP-015	Prepare Final Work Plan	14	15-Jan-20	03-Feb-20
WP-020	Budget & Finance Work Group Review	5	04-Feb-20	10-Feb-20
WP-025	Work Plan 1B Approved	0		26-Feb-20
Work Plan Amend 2		153	28-Jan-20	01-Sep-20
Develop Schedule		29	28-Jan-20	06-Mar-20
WP-040	Develop Draft Schedule thru Dec 31, 2021	13	28-Jan-20	13-Feb-20
WP-060	Develop Final Schedule through Dec 2021	10	24-Feb-20	06-Mar-20
Work Plan Scope Document		50	28-Jan-20	06-Apr-20
WP-050	Draft Work Plan Task List	13	28-Jan-20	13-Feb-20
WP-070	Final Work Plan Scope Document	31	24-Feb-20	06-Apr-20
Work Plan Budget		50	28-Jan-20	06-Apr-20
WP-035	Budget Scenarios and Assumptions	2	11-Feb-20	12-Feb-20
WP-055	Draft Work Plan Budget	13	28-Jan-20	13-Feb-20
WP-090	Final Work Plan Budget	31	24-Feb-20	06-Apr-20
Amendment 2		103	07-Apr-20	01-Sep-20
WP-095	Final Amendment 2 Work Plan	5	07-Apr-20	13-Apr-20
WP-110	Develop Task Orders (Sep 2020 to Dec 2021)	51	14-Apr-20	24-Jun-20
WP-120	Work Plan 2 Approved	0		24-Jun-20
WP-130	Start of Amend 2	0	01-Sep-20	
VALUE PLANNING		73	02-Jan-20	13-Apr-20
Value Planning Analysis		44	02-Jan-20	03-Mar-20
VP-001	Refine Value Planning Options	3	02-Jan-20	06-Jan-20
VP-002	Screen Value Planning Options	20	07-Jan-20	03-Feb-20
VP-006	Qualitative Permit Screening	37	02-Jan-20	21-Feb-20

WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
VP-007	Qualitative Env Screening	37	02-Jan-20	21-Feb-20
VP-008	Qualitative Ops Screening	37	02-Jan-20	21-Feb-20
VP-013	Prepare Costs For Value Planning Alternatives	15	04-Feb-20	24-Feb-20
VP-014	Affordability Analysis	4	25-Feb-20	28-Feb-20
VP-015	Screen Alternatives	2	02-Mar-20	03-Mar-20
VP-020	Recommend Preferred Option	0		03-Mar-20
Value Planning Report		42	14-Feb-20	13-Apr-20
VP-025	Value Planning Draft Report	21	14-Feb-20	13-Mar-20
VP-070	Select and Confirm Preferred Project	21	16-Mar-20	13-Apr-20
VP-090	Value Planning Final Report	17	20-Mar-20	13-Apr-20
Preferred Project		0	13-Apr-20	13-Apr-20
VP-095	Approve Preferred Project	0		13-Apr-20
PROJECT DESCRIPTION		143	11-Feb-20	31-Aug-20
Determine Needs		56	11-Feb-20	28-Apr-20
PDE-10	Environmental Planning Needs	56	11-Feb-20	28-Apr-20
PDE-20	Permitting Needs	56	11-Feb-20	28-Apr-20
PDE-25	Engineering Needs	46	11-Feb-20	14-Apr-20
PDE-30	Operations Needs	10	11-Feb-20	24-Feb-20
PDE-35	Water Rights Needs (Reg Items/Study)	46	11-Feb-20	14-Apr-20
Components		60	01-Apr-20	24-Jun-20
PDE-40	Identify Alternatives for EIR/EIS	30	01-Apr-20	12-May-20
PDE-42	Determine Preliminary Project Construction Sequencing	30	13-May-20	24-Jun-20
PDE-45	Identify Project-Level vs Program Components (Planning)	47	14-Apr-20	18-Jun-20
PDE-47	Identify Project-Level vs Program Components (Permitting)	47	14-Apr-20	18-Jun-20
Develop Project Description		85	29-Apr-20	27-Aug-20
PDE-50	Develop Project Description Construction	85	29-Apr-20	27-Aug-20
PDE-55	Project Description Operations Criteria Complete (see Ops Tasks)	0		12-Jun-20
Project Description Complete		0	31-Aug-20	31-Aug-20
PDE-60	Project Description	0		31-Aug-20
OPERATIONS		486	02-Jan-20	29-Nov-21
Value Planning Operations		71	02-Jan-20	10-Apr-20
OP-005	Value Planning Model	37	02-Jan-20	21-Feb-20
OP-007	Stony Creek Evaluation	37	02-Jan-20	21-Feb-20
OP-010	Shasta Exchanges Post-Processing and Draft TM	37	02-Jan-20	21-Feb-20
OP-015	Value Planning TM/Document	20	03-Feb-20	28-Feb-20
OP-020	Storage to Release Ratios Table	15	10-Feb-20	28-Feb-20
OP-070	Reclamation Feasibility Complete (Milestone) - Critical Staffing Need	0		10-Apr-20*
Refine Operational Parameters		89	10-Feb-20	12-Jun-20
OP-110	Confirm Notched Fremont Weir Approach	44	10-Feb-20	09-Apr-20
OP-120	Model Reclamation with No Storage Investment	44	10-Apr-20	11-Jun-20
OP-130	Confirm Shasta Exchange Approach	44	10-Feb-20	09-Apr-20
OP-140	Confirm Sutter Bypass Approach	44	10-Feb-20	09-Apr-20
OP-150	Confirm Voluntary Agreement Weir Notching Approach	44	10-Feb-20	09-Apr-20
OP-160	Confirm Delta Water Quality Approach	44	10-Feb-20	09-Apr-20
OP-170	Confirm Sacramento River Mortality Approach	44	10-Feb-20	09-Apr-20
OP-180	Determine Baseline	30	10-Feb-20	20-Mar-20

WORKING DRAFT

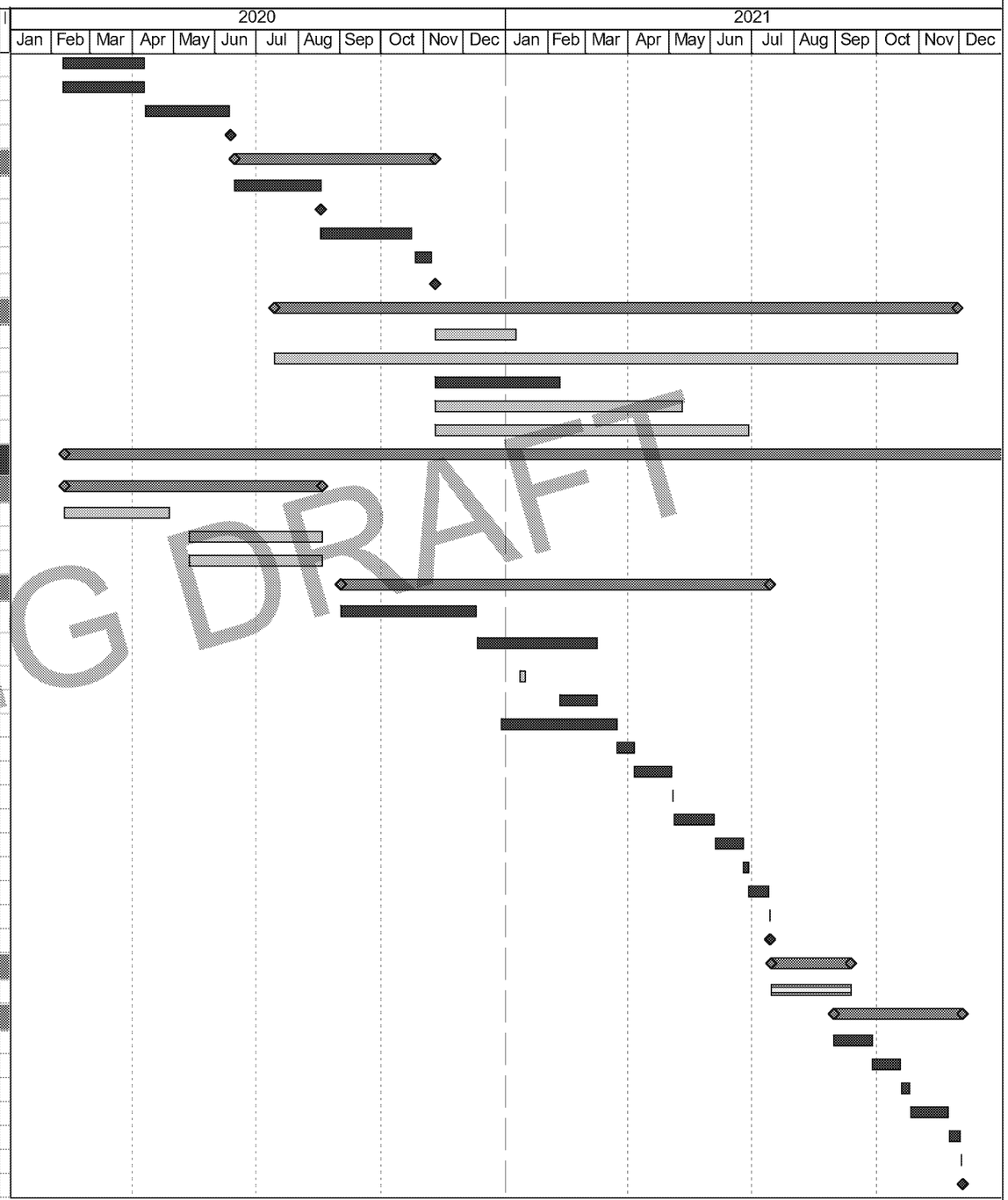
Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
OP-190	Confirm CVP/SWP Integration Approach	44	10-Feb-20	09-Apr-20
OP-200	Confirm Participation Demands Updated	44	10-Feb-20	09-Apr-20
OP-210	Confirm Model Refinements	44	10-Apr-20	11-Jun-20
OP-220	Finalize Operational Criteria	0		12-Jun-20
Full Operations Analysis		104	15-Jun-20	09-Nov-20
OP-410	Initial Modeling Evaluation	45	15-Jun-20	17-Aug-20
OP-420	Preliminary Results Available for Strategy Discussions	0		17-Aug-20
OP-430	Define and Finalize	48	18-Aug-20	23-Oct-20
OP-440	Power and Economics	10	26-Oct-20	06-Nov-20
OP-450	Final Operations Analysis's Output	0		09-Nov-20
Coordination		350	14-Jul-20	29-Nov-21
OP-320	Documentation for EIR/EIS and BA/ITP	40	10-Nov-20	08-Jan-21
OP-330	Ongoing Support to EPP, Engineering, & Financial	350	14-Jul-20	29-Nov-21
OP-340	Cumulative and Climate Change	62	10-Nov-20	09-Feb-21
OP-350	Bridging Simulation	126	10-Nov-20	10-May-21
OP-360	Ops Support for Local Feasibility - Water Commission	160	10-Nov-20	28-Jun-21
EIR/EIS		520	11-Feb-20	25-Feb-22
Work Plan & Outline		134	11-Feb-20	18-Aug-20
EIR-001	Prepare EIR/EIS Work Plan	56	11-Feb-20	28-Apr-20
EIR-010	Prepare Annotated Outline	68	13-May-20	18-Aug-20
EIR-015	Introduction Chapter/Recirculation Story	68	13-May-20	18-Aug-20
Draft EIR/EIS		220	01-Sep-20	14-Jul-21
EIR-019	Prepare Revised Draft EIR/EIS Analysis (Construction)	70	01-Sep-20	10-Dec-20
EIR-020	Prepare Revised Draft EIR/EIS Analysis (Operations)	61	11-Dec-20	09-Mar-21
EIR-021	Status Update to Board	5	11-Jan-21	15-Jan-21
EIR-025	Prepare Cumulative and Climate Change Sections	20	10-Feb-21	09-Mar-21
EIR-026	Prepare Complete Admin Draft EIR/EIS	60	29-Dec-20	23-Mar-21
EIR-030	Authority/Reclamation/Integration Review of Admin Draft EIR/EIS	9	24-Mar-21	05-Apr-21
EIR-040	Revise Draft EIR/EIS Based on Comments	20	06-Apr-21	03-May-21
EIR-045	Live Edit Meeting	1	04-May-21	04-May-21
EIR-050	Work Group & Legal Review of Revised Draft EIR/EIS	22	05-May-21	03-Jun-21
EIR-060	Resolve Work Group Comments	15	04-Jun-21	24-Jun-21
EIR-065	Live Edit Meeting	2	25-Jun-21	28-Jun-21
EIR-067	Final Editing, Formatting and Doc Production	10	29-Jun-21	13-Jul-21
EIR-070	Authority Approval of Release Revised Draft EIR/EIS	1	14-Jul-21	14-Jul-21
EIR-080	Release Revised Draft EIR/EIS and Issue Public Notices	0		14-Jul-21
Public Review		60	15-Jul-21	12-Sep-21
EIR-090	Public Review Period	60	15-Jul-21	12-Sep-21
Summary Report		67	30-Aug-21	03-Dec-21
EIR-095	Categorize and Sort Comments by Topic	20	30-Aug-21	27-Sep-21
EIR-100	Approach to Response to Comments	15	28-Sep-21	18-Oct-21
EIR-105	Authority/Reclamation/Legal Review	5	19-Oct-21	25-Oct-21
EIR-110	Prepare Summary Report	20	26-Oct-21	22-Nov-21
EIR-115	Work Group & Legal Review of Summary Report	5	23-Nov-21	01-Dec-21
EIR-117	Live Edit Meeting of Summary Report	1	02-Dec-21	02-Dec-21
EIR-120	Draft EIR Summary Report Submittal to CWC	0		03-Dec-21



WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
Response to Comments		90	19-Oct-21	25-Feb-22
EIR-130	Begin Preparation of Final EIR/EIS Including Response to Comments	90	19-Oct-21	25-Feb-22
PERMITTING		439	14-Apr-20	06-Jan-22
Biological Assessment		306	14-Apr-20	28-Jun-21
BA-001	Initial Desktop Analysis	60	01-Oct-20	28-Dec-20
BA-010	Mitigation Planning	202	14-Apr-20	29-Jan-21
BA-015	Adaptive Management Plan	202	14-Apr-20	29-Jan-21
BA-019	Confirm Federal Scope of Action (ESA)	38	14-Apr-20	05-Jun-20
BA-020	Permitting Construction Project Description	16	08-Jun-20	29-Jun-20
BA-022	Permitting Operations Project Description	20	20-Oct-20	16-Nov-20
BA-024	Prepare Construction Analysis	97	01-Oct-20	18-Feb-21
BA-025	Prepare Operations Analysis	60	17-Nov-20	12-Feb-21
BA-030	Finalize Admin Draft BA	20	19-Feb-21	18-Mar-21
BA-032	Submit Final Admin Draft BA	0		18-Mar-21
BA-035	Independent Review Draft BA	30	19-Mar-21	17-Apr-21
BA-055	Reclamation, Legal, and Work Group Review	30	19-Mar-21	17-Apr-21
BA-057	Revise Admin Draft BA	30	19-Apr-21	28-May-21
BA-060	Submit Revised Admin Draft BA to Redamation	0		28-May-21
BA-075	Final Reclamation and Solicitor Office Review	30	29-May-21	27-Jun-21
BA-100	Reclamation Submit BA to USFWS & NMFS	0		28-Jun-21
BO Incidental Take Authorization		135	28-Jun-21	09-Nov-21
BA-1110	BO Incidental Take Authorization	135	28-Jun-21	09-Nov-21
ITP - CESA (Se 2081) Operations		231	12-Jan-21	07-Dec-21
CES-190	Prepare Operations Analysis	30	12-Jan-21	22-Feb-21
CES-200	Additional Mitigation Planning	30	23-Feb-21	05-Apr-21
CES-210	Prepare Draft ITP Application	60	01-Jun-21	24-Aug-21
CES-220	Legal Review of Draft ITP App	30	25-Aug-21	23-Sep-21
CES-230	Work Group Review of Draft ITP App	30	25-Aug-21	23-Sep-21
CES-240	Revise Draft ITP Application	20	23-Sep-21	21-Oct-21
CES-250	Authority Board Approval of ITP App	30	21-Oct-21	06-Dec-21
CES-260	Submit ITP Application to CDFW	0		07-Dec-21
ITP - CESA (Se 2081) Construction		390	01-Oct-20	07-Dec-21
CES-015	Initial Desktop Analysis	30	01-Oct-20	11-Nov-20
CES-020	Prepare Terrestrial Analysis	30	12-Nov-20	28-Dec-20
CES-025	Mitigation Planning	30	29-Dec-20	09-Feb-21
CES-030	Prepare Draft ITP Application	60	01-Jun-21	24-Aug-21
CES-040	Legal Review of Draft ITP App	30	25-Aug-21	23-Sep-21
CES-060	Work Group Review of Draft ITP App	30	25-Aug-21	23-Sep-21
CES-070	Revise Draft ITP Application	20	23-Sep-21	21-Oct-21
CES-080	Authority Board Approval of ITP App	30	21-Oct-21	06-Dec-21
CES-090	Submit ITP Application to CDFW	0		07-Dec-21
Section 106		317	01-Sep-20	02-Dec-21
106-000	Confirmation of Section 106 Federal Lead Agency	0		08-Sep-20
106-001	Prepare SHPO Initiation Package	20	01-Sep-20	29-Sep-20
106-002	Authority/Reclamation/Integration Reviews	10	30-Sep-20	13-Oct-20
106-003	Management/Work Group Reviews	10	14-Oct-20	27-Oct-20
106-004	Revisions	10	28-Oct-20	10-Nov-20

WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
106-005	Reclamation Submits to SHPO	0		17-Nov-20
106-006	SHPO Review and Comments	30	18-Nov-20	17-Dec-20
106-021	Prepare Consultation Information Package	15	17-Dec-20	11-Jan-21
106-022	Authority/Reclamation/Integration Reviews	10	11-Jan-21	25-Jan-21
106-023	Management/Work Group Reviews	10	25-Jan-21	08-Feb-21
106-024	Revisions	10	08-Feb-21	22-Feb-21
106-025	Reclamation Distributes to SHPO and Invited Consulting Parties	5	22-Feb-21	01-Mar-21
106-026	Parties Accept Invitation for Consulting Party Status	30	02-Mar-21	31-Mar-21
106-032	Arrange Meeting Logistics and Prepare Materials	20	22-Feb-21	22-Mar-21
106-033	Conduct Meetings	10	01-Mar-21	15-Mar-21
106-042	Authority/Reclamation/Integration Reviews	10	30-Mar-21	13-Apr-21
106-043	Management/Work Group Reviews	10	13-Apr-21	27-Apr-21
106-044	Revisions	10	27-Apr-21	11-May-21
106-052	Circulate Draft PA to SHPO and Consulting Parties	5	27-Apr-21	04-May-21
106-053	Deadline for Comments on Draft PA	30	05-May-21	03-Jun-21
106-061	Prepare Draft Final PA	20	03-Jun-21	01-Jul-21
106-062	Authority/Reclamation/Integration Reviews	10	01-Jul-21	16-Jul-21
106-063	Management/Work Group Reviews	10	16-Jul-21	30-Jul-21
106-064	Revisions	10	30-Jul-21	13-Aug-21
106-072	Circulate Draft Final PA to SHPO and Consulting Parties	5	13-Aug-21	20-Aug-21
106-073	Deadline for Comments on Draft Final PA	30	21-Aug-21	19-Sep-21
106-081	Prepare Final PA	15	20-Sep-21	08-Oct-21
106-082	Authority/Reclamation/Integration Reviews	10	11-Oct-21	22-Oct-21
106-083	Management/Work Group Reviews	10	25-Oct-21	05-Nov-21
106-084	Revisions	10	08-Nov-21	19-Nov-21
106-091	Consult with SHPO and Consulting Parties on Final PA	5	22-Nov-21	30-Nov-21
106-092	Circulate Final PA to SHPO and Consulting Parties for Signatures	5	22-Nov-21	30-Nov-21
106-093	Deadline for Signatures on Final PA	0		02-Dec-21
Water Rights		341	01-Sep-20	06-Jan-22
WR-005	Water Availability Analysis & Planning/Coordination with SWRCB	210	01-Sep-20	29-Jun-21
WR-025	Prepare Water Right Application	121	15-Jul-21	06-Jan-22
Section 404		413	14-Apr-20	07-Dec-21
404-001	Agreement with Reclamation for LEDPA Analysis	15	14-Apr-20	04-May-20
404-003	USACE LEDPA & NEPA, 404/408 and WD Approach	88	05-May-20	08-Sep-20
404-010	Desktop Wetland Delineation Analysis (includes Waters of the State)	150	01-Oct-20	04-May-21
404-020	Submit Delineation to USACE	0		05-May-21
404-025	Pre-Application Meeting	1	01-Jun-21	02-Jun-21
404-030	Preliminary Wetland Delineation Acceptance	90	06-May-21	03-Aug-21
404-035	Prepare Compensatory Mitigation Plan	161	23-Feb-21	08-Oct-21
404-050	Prepare Draft 404 Application	42	03-Aug-21	01-Oct-21
404-060	Authority/Integration/Work Group Review of Draft 404 Application	20	11-Oct-21	05-Nov-21
404-070	Prepare Final 404 Application	20	08-Nov-21	07-Dec-21
404-120	Submit 404 Application	0		07-Dec-21
Section 408		341	01-Sep-20	06-Jan-22
408-005	Coordinate with CVFPB and USACE	20	01-Sep-20	29-Sep-20
408-010	Assume Start of 50% Design of 408 Jurisdictional Features	0		01-Jul-21
408-130	Prepare Draft Encroachment Permit/408 Request w/Engineering Team	129	05-Jul-21	06-Jan-22
Section 401 Water Quality		311	09-Sep-20	30-Nov-21

WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
401-120	Coordinate with RWQCB and SWRCB	84	09-Sep-20	08-Jan-21
401-130	Prepare Draft 401 Application	42	03-Aug-21	01-Oct-21
401-140	Authority/Integration/Work Group Review of Draft 401	20	01-Oct-21	29-Oct-21
401-150	Prepare Final 401 Application	20	29-Oct-21	30-Nov-21
401-160	Submit 401 Application	0		30-Nov-21
FEASIBILITY-LEVEL GEOTECH		337	01-Sep-20	30-Dec-21
GSR-010	Geotechnical Permitting & Planning, Right of Access	61	01-Sep-20	25-Nov-20
GSR-020	Conduct Geotechnical Field Investigation	85	30-Nov-20	30-Mar-21
GSR-030	Data Evaluation and Prepare Geotechnical Data Reports	107	29-Jan-21	29-Jun-21
GSR-040	Data Gap Assessment and Preliminary Planning for Design Level Inv for I	149	28-May-21	30-Dec-21
ENGINEERING		449	24-Mar-20	30-Dec-21
Support of Project Description		449	24-Mar-20	30-Dec-21
ENG-110	Engineering Consultants Brought On Board (complete prior to Sept 1)	0		24-Mar-20
ENG-120	Coordination of CADD and GIS Standards	5	25-Mar-20	31-Mar-20
ENG-130	Coordination to Identify Alternatives for EIR/EIS & Related Studies	5	25-Mar-20	31-Mar-20
ENG-140	Coordination to Finalize Storage & Conveyance Capacities	5	25-Mar-20	31-Mar-20
ENG-145	Coordination with Reclamantion on Approach	5	25-Mar-20	31-Mar-20
ENG-150	Gather Data from Prior Draft EIR/EIS	10	25-Mar-20	07-Apr-20
ENG-160	Prepare Project Base Map	20	01-Apr-20	28-Apr-20
ENG-170	Prepare Basis of Feasibility Design	22	01-Apr-20	30-Apr-20
ENG-180	Conduct Field Reviews (as needed)	5	01-Apr-20	07-Apr-20
ENG-190	Prepare Preliminary Feasibility Level Design	55	01-May-20	20-Jul-20
ENG-193	Develop Hydaulic Model	30	01-May-20	12-Jun-20
ENG-195	Prepare Final Feasibility Level Design	30	21-Jul-20	31-Aug-20
ENG-200	Develop CADD Drawings of Key Features	85	01-May-20	31-Aug-20
ENG-210	Provide Information on Key Project Features & Facilities	21	01-Sep-20	30-Sep-20
ENG-220	Convert Drawings to GIS Geodatabase File Format to Support Environm	21	01-Sep-20	30-Sep-20
ENG-230	Support to Environmental and Permitting Team	316	01-Oct-20	30-Dec-21
Cost Estimate, Feasibility, Constructability		295	01-Oct-20	30-Nov-21
ENG-240	Identify Project Objectives	11	01-Oct-20	15-Oct-20
ENG-250	Feasibility Project Description	0		15-Oct-20
ENG-260	Obtain Operations Simulation Results of Preferred Option	0		16-Nov-20
ENG-270	Develop Costs Associated with Mitigation Measures	15	16-Oct-20	05-Nov-20
ENG-280	Develop Class 4 Cost Estimate (State Feasibility)	25	17-Nov-20	23-Dec-20
ENG-290	Risk and Uncertainty Assessment	20	06-Nov-20	07-Dec-20
ENG-300	Coordinate with Operations to Confirm Project Benefits	45	17-Nov-20	22-Jan-21
ENG-310	Project Benefits Consist with the Ops Plan	40	08-Dec-20	03-Feb-21
ENG-320	Cost Allocation	20	04-Feb-21	03-Mar-21
ENG-330	Technical Feasibility	20	04-Feb-21	03-Mar-21
ENG-340	Obtain the Finding of Env Feasibility with Mitigation	5	04-May-21	10-May-21
ENG-370	Constructability	20	04-Mar-21	31-Mar-21
ENG-400	Develop DSOD Engagement Plan	96	15-Jul-21	30-Nov-21
ENG-404	Coordinate on a Geotechnical Investigation Plan	96	15-Jul-21	30-Nov-21
ENG-406	Coordinate to Develop Geotechnical Permitting Plan	96	15-Jul-21	30-Nov-21
ENG-410	Develop Program Design/Construction/Permit Implementation Plan	96	15-Jul-21	30-Nov-21
ENG-420	Advance Preliminary Hydraulics Model	40	20-May-21	16-Jul-21
ENG-440	Final Hydraulics Model	23	19-Jul-21	18-Aug-21

WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
Economics and Financial		20	04-Mar-21	31-Mar-21
Feasibility Report		20	04-Mar-21	31-Mar-21
ENG-350	Economic Feasibility	5	04-Mar-21	10-Mar-21
ENG-360	Financial Feasibility	20	04-Mar-21	31-Mar-21
Authority Feasibility Report		70	01-Apr-21	09-Jul-21
Feasibility Report		70	01-Apr-21	09-Jul-21
ENG-380	Prepare Draft Feasibility Report	30	01-Apr-21	12-May-21
ENG-382	Executive Summary (Storyboard)	5	01-Apr-21	07-Apr-21
ENG-384	Executive Summary Final	5	06-May-21	12-May-21
ENG-385	Work Group Review	5	13-May-21	19-May-21
ENG-390	Prepare Final Feasibility Report	30	20-May-21	01-Jul-21
ENG-392	Reservoir Committee and Board Approval	5	05-Jul-21	09-Jul-21
PROP 1		289	23-Oct-20	15-Dec-21
CWC Feasibility Review		180	31-Mar-21	15-Dec-21
CWC-391	Release for CWC Review Pkg 1: Engineering	0		09-Jul-21
CWC-393	Release for CWC Review Pkg 2: Economic and Finance	0		31-Mar-21
CWC-396	Release for CWC Review Pkg 3: Environmental with Res Ops	0		03-Dec-21
CWC-397	CWC Review Pkg 1: Engineering	30	12-Jul-21	20-Aug-21
CWC-407	CWC Review Pkg 2: Economic and Finance	30	01-Apr-21	12-May-21
CWC-417	CWC Review Pkg 3: Environmental with Res Ops	8	06-Dec-21	15-Dec-21
CWC Determination		289	23-Oct-20	15-Dec-21
CWC-420	Provide CWC with Revised Public Benefits	0		23-Oct-20
CWC-450	CWC Public Review Draft EIR/EIS	30	15-Jul-21	25-Aug-21
CWC-500	State Determination of Feasibility	0		15-Dec-21*
PROJECT OPERATIONS AND FINANCES		509	02-Jan-20	31-Dec-21
REAL ESTATE		509	02-Jan-20	31-Dec-21
Real Estate Landowner Coordination		509	02-Jan-20	31-Dec-21
RE-200	Real Estate Landowner Coordination	171	02-Jan-20	31-Aug-20
RE-210	Real Estate Landowner Coordination	338	01-Sep-20	31-Dec-21
COMMUNICATIONS & GOVERNMENT AFFAIRS		509	02-Jan-20	31-Dec-21
CG-000	Communication & Government Affairs	171	02-Jan-20	31-Aug-20
CG-10	Communication & Government Affairs	338	01-Sep-20	31-Dec-21
Organizational Assessment		255	02-Jan-20	31-Dec-20
ORA-000	Organizational Assessment Direction	171	02-Jan-20	31-Aug-20
ORA-010	Organizational Assessment	84	01-Sep-20	31-Dec-20
Executive Director		338	01-Sep-20	31-Dec-21
EXD-010	Executive Director	338	01-Sep-20	31-Dec-21
Contract Amendments		486	04-Feb-20	31-Dec-21
CON-010	Contract Management	338	01-Sep-20	31-Dec-21
CON-022	Revise Service Area Task Orders for Approval	20	04-Feb-20	02-Mar-20
Invoicing & Reporting		499	16-Jan-20	31-Dec-21
INV-032	Prepare 4Q2019 CWC invoice	17	16-Jan-20*	07-Feb-20
INV-033	Prepare Q12020 CWC invoice	18	16-Jan-20*	10-Feb-20
REP-010	Quarterly Reporting	338	01-Sep-20	31-Dec-21
REP-020	Annual Reporting	338	01-Sep-20	31-Dec-21
Financial Assistance Agreement		55	14-Jan-20	30-Mar-20

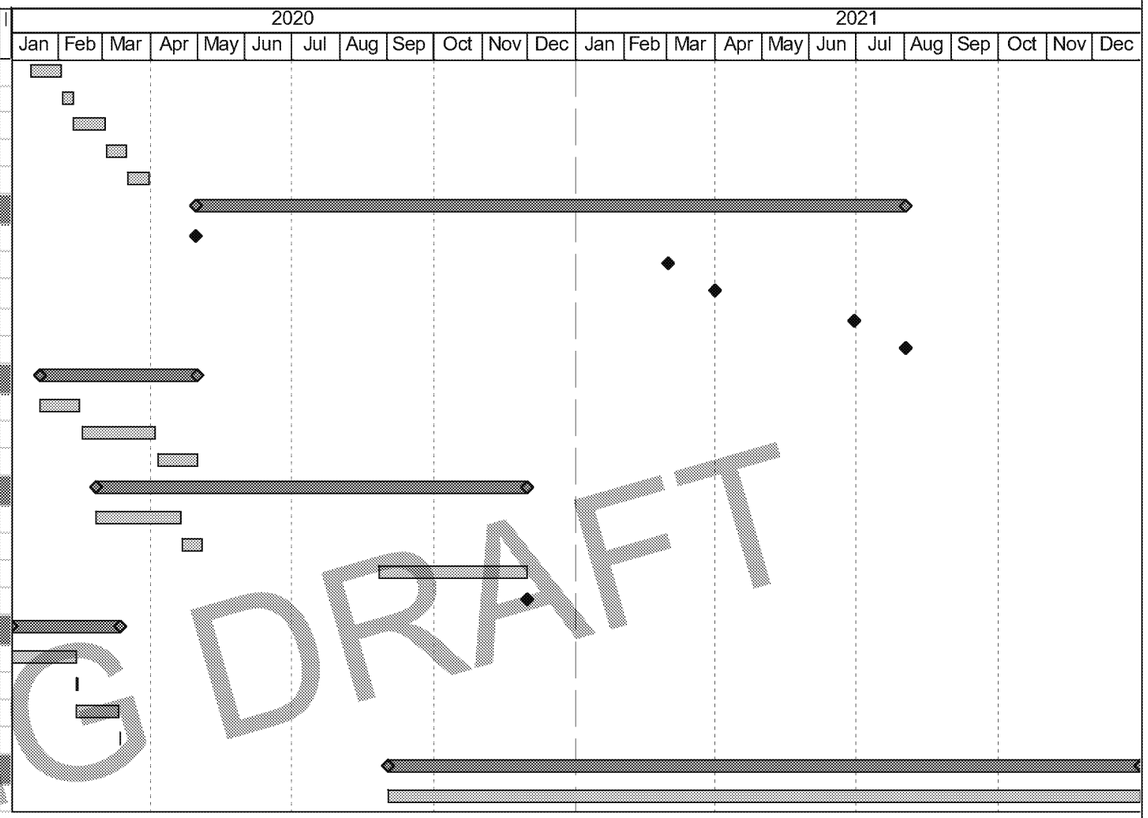
WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Sites Reservoir Project

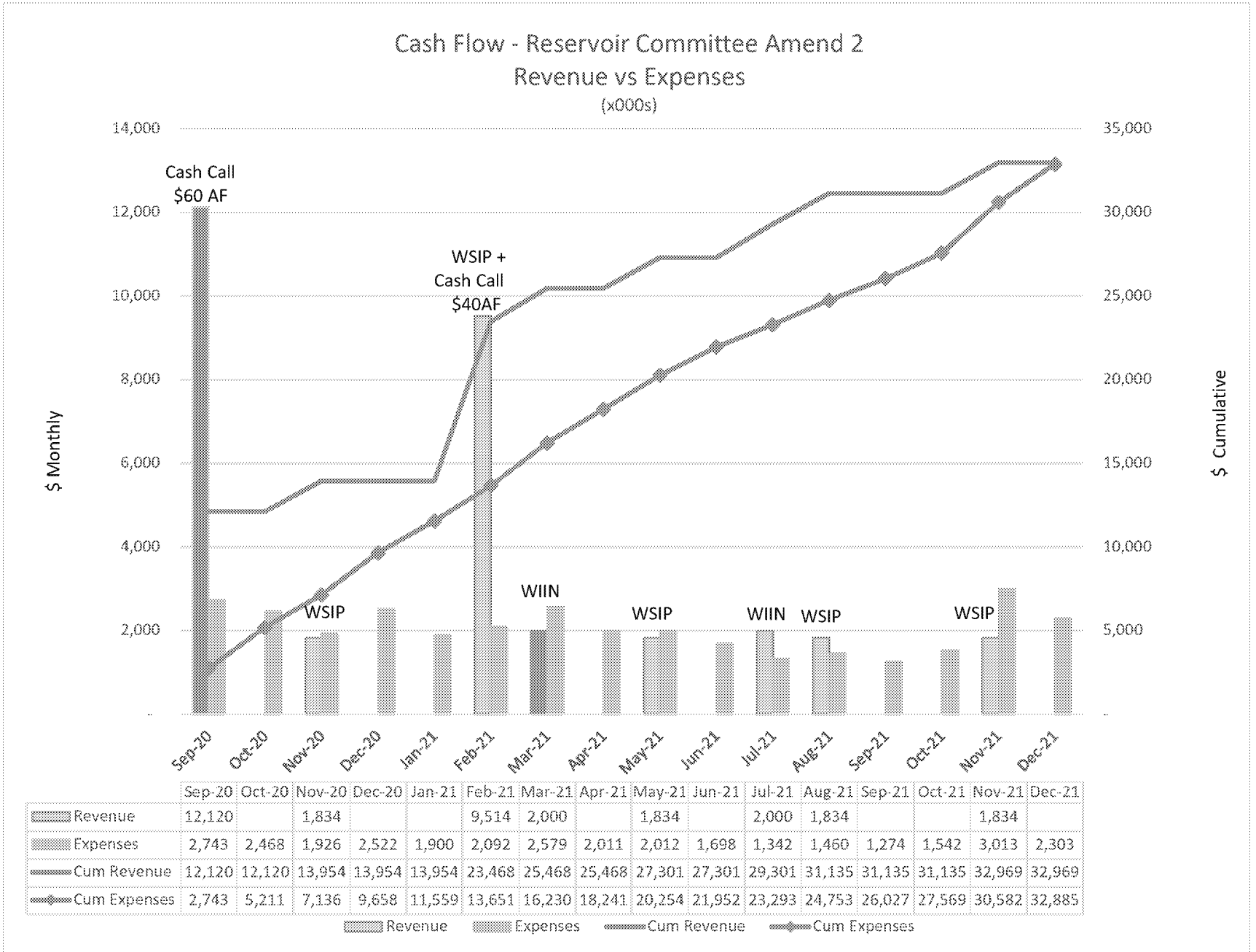
Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
FAA-005	Prepare Draft FA Scope and Form 424	15	14-Jan-20	03-Feb-20
FAA-010	Review Scope with USBR	5	04-Feb-20	10-Feb-20
FAA-015	Prepare Budget Estimates, Narrative and Form 424A/B, SFLLL	15	11-Feb-20	02-Mar-20
FAA-020	Review with USBR	10	03-Mar-20	16-Mar-20
FAA-025	Finalize Draft FA	10	17-Mar-20	30-Mar-20
Plan of Finance		319	30-Apr-20	02-Aug-21
POF-005	Plan of Finance Update 1 (Update Affordability)	0	30-Apr-20*	
POF-010	Plan of Finance (Fin Feas)	0	01-Mar-21*	
POF-015	Plan of Finance (WIFIA Loan)	0	01-Apr-21*	
POF-020	WIFIA/LOI	0	30-Jun-21*	
POF-025	Plan of Finance (Home Board Pkg)	0		02-Aug-21*
WIFIA Loan Application		75	20-Jan-20	01-May-20
WL-005	Prepare Initial Loan Application	20	20-Jan-20*	14-Feb-20
WL-010	Review Loan Application	35	17-Feb-20	03-Apr-20
WL-015	Prepare Loan Application for Facilities	20	06-Apr-20	01-May-20
Risk Assessment		195	25-Feb-20	30-Nov-20
RA-005	Risk Analysis of Recommend Option Meeting	40	25-Feb-20	20-Apr-20
RA-010	Prepare Cost Risk Update	10	21-Apr-20	04-May-20
RA-020	Prepare RA	66	26-Aug-20	30-Nov-20*
RA-030	Submit Admin Final RA	0		30-Nov-20*
Cost of Year Deliveries		51	02-Jan-20	12-Mar-20
CYD-005	Prepare Progress Report	30	02-Jan-20	12-Feb-20
CYD-010	In-progress Briefing	1	13-Feb-20	13-Feb-20
CYD-015	Prepare Final Report	20	13-Feb-20	11-Mar-20
CYD-020	In-progress Briefing	1	12-Mar-20	12-Mar-20
Strategic Planner		338	01-Sep-20	31-Dec-21
STP-010	Strategic Planner	338	01-Sep-20	31-Dec-21

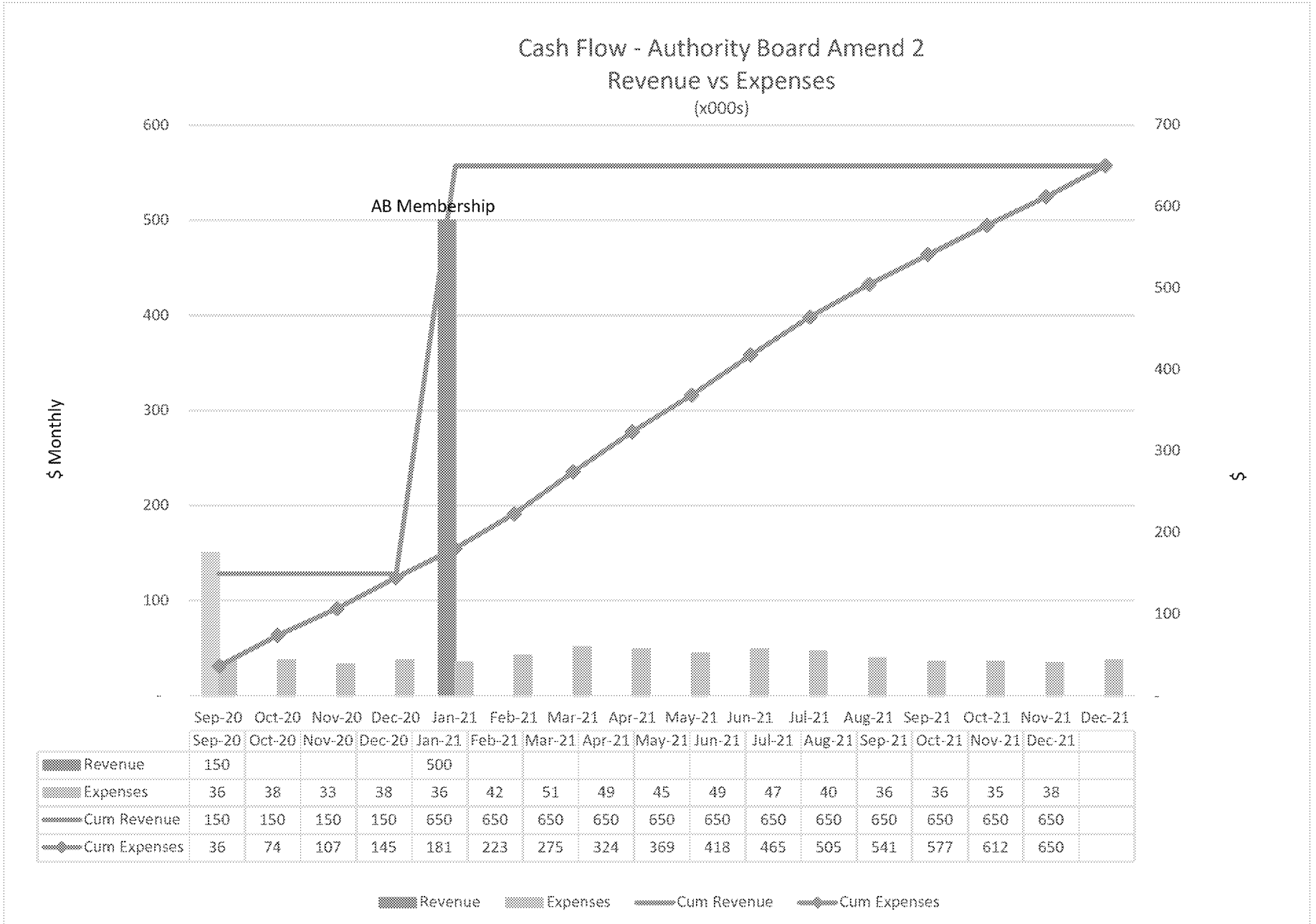


WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary



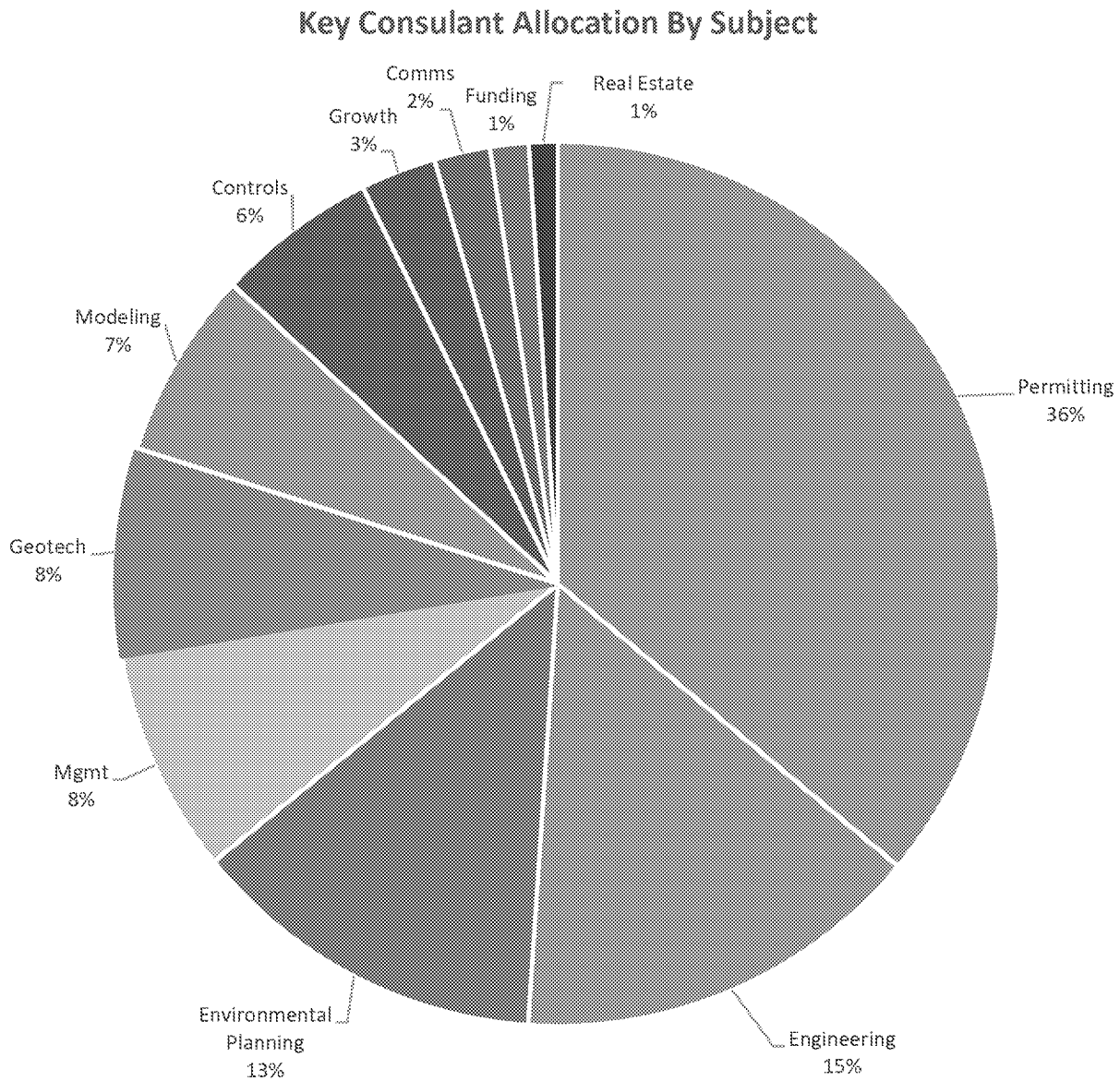
	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21
Revenue	12,120		1,834			9,514	2,000		1,834		2,000	1,834			1,834	
Expenses	2,743	2,468	1,926	2,522	1,900	2,092	2,579	2,011	2,012	1,698	1,342	1,460	1,274	1,542	3,013	2,303
Cum Revenue	12,120	12,120	13,954	13,954	13,954	23,468	25,468	25,468	27,301	27,301	29,301	31,135	31,135	31,135	32,969	32,969
Cum Expenses	2,743	5,211	7,136	9,658	11,559	13,651	16,230	18,241	20,254	21,952	23,293	24,753	26,027	27,569	30,582	32,885



	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21
Revenue	150				500											
Expenses	36	38	33	38	36	42	51	49	45	49	47	40	36	36	35	38
Cum Revenue	150	150	150	150	650	650	650	650	650	650	650	650	650	650	650	650
Cum Expenses	36	74	107	145	181	223	275	324	369	418	465	505	541	577	612	650

Summary Tasks Resource Name Funding Type

Budgeted Cost



Sites

Topic: **Authority Board Agenda Item 6-4** **2020 March 25**

Subject: **Extending to Current Participation Agreement**

Requested Action:

Discussion and possible direction to staff regarding the proposed amendment to the current participation agreement and supporting documents.

Detailed Description/Background:

In an effort to support the individual Reservoir Committee members to continue to garner support from their home boards for advancing the Amendment 2 work plan and associated cash call, staff is developing a package of documents that you can use to inform your home boards. This home board package is proposed to include the following:

- Amended Phase 2 Participation Agreement with update Exhibit B (summarized work plan).
- Value Planning Report that summarizes the efforts and proposed changes to "right size" the project.
- Draft Storage Policy that summarizes how each participant can utilize their share of the reservoirs' storage.
- 2019 Annual Report.
- A four-page prospectus key accomplishments and summarizing other on-going activities as well as identifying activities that will be focused upon under an additional cash call scenario.
- A template PowerPoint presentation that can be modified to fit the needs of your respective home boards.

Proposed Schedule:

March 19, 2020 – Provide working draft handout of the prospectus for comment.

March 19, 2020 – Receive direction from the committee if additional items are needed for to take to their home boards.

March 30, 2020 – Hold workshop to refine prospectus and power point presentation.

April 17, 2020 – Obtain final input and approval of the document package.

NOTE: Authority Board's consideration is scheduled for April 22.

May 1, 2020 – Distribute document package to representatives for use with their respective Board.

July 9, 2020 – Response from Home Board for inclusion into agenda package for July 16 Reservoir Committee meeting to:

1. Consider approving the participation responses.
2. Authorize preparation of the first invoice due in 30 days (i.e. September 1, 2020). Plan is to distribute invoices to home boards on or before July 31.
3. If needed, authorize the rebalancing process.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

None. A power point presentation will be presented at the meeting.

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JERRY BROWN, EXECUTIVE DIRECTOR
925.260.7417

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members *(NON-VOTING)*

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

Notice: Pursuant to Executive Orders N-25-20 & N-33-20, issued by Governor Newsom on March 12, 2020, and guidance by the California Department of Public Health dated March 11, 2020, this meeting will be conducted by teleconference. The public may attend the meeting and offer public comments by phone, using the call-in number provided below, or in person, at the address above. Members of the Committee will participate by teleconference from other locations.

May 27, 2020 ***1:30 p.m.***
Sites Project Authority
Agenda

Teleconference: **1-408-418-9388** Code: **967 741 493** WebEx Link

Welcome to a meeting of the Sites Joint Powers Authority. If you are scheduled to address the Board, please state your full name for the record. Regularly numbered items may be considered at any time during the meeting. All items are listed in accordance with the Ralph M. Brown Act. We invite all members of the public to attend.

CALL TO ORDER:

- Pledge of Allegiance.
- Introductions.
- Approve the May 27, 2020 Sites Project Authority Agenda.
- Period of Public Comment.

1. **Consent Agenda:** Approximate start time 1:40 pm

The following items have been reviewed by the Executive Director. To his knowledge, there is no opposition to the action. The items can be acted on in one consolidated motion as recommended or may be removed from the Consent Calendar and separately considered at the request of any person.

1.1 Consider approval of the April 22, 2020 Sites Project Authority Meeting Minutes.

1.2 Consider acceptance of the Sites Project Authority Treasurer's Report. **(Attachment 1.2 A)**

1.3 Consider approval of the monthly Payment of Claims. **(Attachment 1.3A,B,C)**

1.4 Consider approval of a consulting contract with The Catalyst Group for facilitation services in an amount not to exceed $50,000 and approve a time extension through December 31, 2020 for the existing contract with Darling H2O.

1.5 Consider approval of Resolution No. 2020-01, providing for an application to the USBR for a Financial Assistance Agreement for WIIN Act funds. **(Attachment 1.5A)**

2. Action Items: Approximate start time 1:45 pm

2.1 Consider acceptance of the attached Exhibit B (2020 and 2021 budget) to the Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the results of the "soft call". Consider approving one of the following actions:

A. Approve moving to the "hard call" and the use of the attached participation table to create a draft Exhibit A to be included with Amendment 2.

B. Direct staff to begin outreach to potential participants based on the priority system defined in participation agreements and the credit reimbursement policy to explore interest in Sites capacity, if available. **(Attachment 2.1 A & B)**

2.2 Consider approval of the draft Second Amendment to 2019 Reservoir Project Agreement. **(Attachments 2.2 A &B)**

2.3 Consider acceptance of the 2020-2021 Sites Project Federal Government Affairs Priorities. **(Attachment 2.3A)**

2.4 Consider approval of Resolution 2020-02 commending Mr. Jim Watson for his 5 years of dedicated service to the Sites Reservoir Project. **(Attachment 2.4 A)**

3. Discussion and Information Items: Approximate start time 2:45 pm

3.1 Review and Comment on Recirculated EIR and key permits milestone schedule and key decision points. **(Attachment 3.1A)**

3.2 Review and Comment on Outreach Efforts to Communicate the Rightsized Project.

3.3 Review and Comment on Efforts to Secure Proposition 1 Water Storage Investment Program implementation modifications in light of the continuing

effects of the COVID-19 pandemic on local government and water agency finances. **(Attachment 3.3 A &B)**

3.4 Review and Comment on the Reservoir Committee Workgroup and Authority Board Committee Structure and Assignments. **(Attachment 3.4 A & B)**

4. Reports: Approximate start time 3:30 pm

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

4.1.2 Committee Chairpersons' Report:

This time is set aside to allow the Committee Chairpersons' an opportunity to disclose/discuss items related to the Sites Project.

4.1.3 Authority Board Participant Reports:

This time is set aside to allow Directors or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

4.2 Executive Director's Report:

- Sites Project's monthly status report. **(Attachment 4-2 A)**
- Reservoir Committee update.

5. Future Meetings and Schedules: Approximate start time 4:20 pm

5.1 Suggested Future Agenda Items.

5.2 Upcoming meetings:

RESERVOIR COMMITTEE (NOTE DATE CHANGED FROM JUNE 19 TO JUNE 18)

THURSDAY, JUNE 18, 2020 1:00 PM
CALL NUMBER AND LOCATION TBD

AUTHORITY BOARD

WEDNESDAY, JUNE 24, 2020 1:30 PM
CALL NUMBER AND LOCATION TBD

ADJOURN

PERIOD OF PUBLIC COMMENT: Any person may speak about any subject of concern, provided it is within the jurisdiction of the Directors and is not already on today's agenda. The total amount of time allotted for receiving such public communication shall be limited to a total of 15 minutes per issue and each

individual or group will be limited to no more than 5 minutes each within the 15 minutes allocated per issue. ***Note:*** *No action shall be taken on comments made under this comment period.*

ADA COMPLIANCE: Upon request, agendas will be made available in alternative formats to accommodate persons with disabilities. In addition, any person with a disability who requires a modification or accommodation to participate or attend this meeting may request necessary accommodation. Please make your request to the Board Clerk, specifying your disability, the format in which you would like to receive this Agenda and any other accommodation required no later than 24 hours prior to the start of the meeting.

All supporting documentation is available for public inspection and review in the Sites Project Authority office located at 122 Old Highway 99 West Maxwell, CA 95955 during regular business hours 8:30 a.m. to 5:00 p.m., Monday through Friday.

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JERRY BROWN, EXECUTIVE DIRECTOR
925.260.7417

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members *(NON-VOTING)*

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

April 22, 2020 ***1:30 p.m.***
Sites Project Authority
Minutes

The Sites Project Authority Board of Directors met in Regular Session on April 22, 2020 at the hour of 1:30 p.m. **Directors Present:** Fritz Durst, Chair, Jeff Sutton, Vice-Chair, Gary Evans, Logan Dennis, Doug Parker, Bruce Houdesheldt, Jeff Harris, Leigh McDaniel, Don Bader and Rob Cooke. **Directors Absent:** Joe Marsh. *(Other Alternate Directors that may be listed as present below, did not participate in the decision-making process).*

Staff present:	Jerry Brown, Executive Director Jim Watson, General Manager Scott Kuney, General Counsel Jamie Traynham, Treasurer Kevin Spesert, Joe Trapasso, Ali Forsythe, Lee Frederiksen, Yolanda Tirado, Sites Project Authority
Associate Members present:	Jamie Traynham, TC-4 Ted Trimble, Western Canal Water District
Alternate Directors present:	Brett Ewart, City of Sacramento/Sacramento County Water
Others present:	Natalie Wolder, Bureau of Reclamation JP Robinette, Marcia Kivett, Brown and Caldwell Ed Horton, PCWA Erin Heydinger, Robert Boling, HDR Connor McDonald, CDM West Thad Bettner, GCID Laurie Warner Herson, Phenix Sara Katz, Katz and Associates

Mr. Brown provided a brief update on the process to be used for this meeting (Remotely held). He also spoke to the restructuring of the Agenda.

Pledge of Allegiance.

INTRODUCTION:

Chairman Durst asked those present to introduce themselves.

Chairman Durst made time to consider approval of the April 22, 2020 Sites Project Authority Agenda.

Mr. Brown requested Agenda Item 2.1c be removed from the April 22, 2020 Agenda. He also briefly spoke to the structure of the Agenda.

Mr. Kuney stated there was a typo on the Government Code Section of Agenda Item 5.1 Closed Session and noted 54857 (b)(1) should be 54957(b)(1)).

AGENDA APPROVAL:

Action: It was moved by Director Evans, seconded by Director Harris to approve the April 22, 2020 Sites Project Authority Agenda, as amended. Motion carried: All Directors present voted yes.

ANNOUNCEMENT OF CLOSED SESSION:

Mr. Kuney announced the Authority Board would be considering Closed Session as follows:

Independent Contractor/Public Employee/Discipline/Dismissal/Release (Gov. Code, § 54954.5(e) and 54957(b)(1)).

PERIOD OF PUBLIC COMMENT:

Chairman Durst called for a period of public comment. Hearing none, he closed the period of public comment.

1. **Consent Agenda:** It was moved by Jeff Harris, seconded by Jeff Sutton to approve Consent Agenda Item Numbers 1.1 through 1.5 as follows:

1.1 Approval of the March 25, 2020 Sites Project Authority Meeting Minutes.

1.2 Acceptance of the Sites Project Authority Treasurer's Report.

1.3 Approval of the monthly Payment of Claims.

1.4 Approval of a consulting agreement with CH2M Hill Engineers, Inc. (CH2M) for Service Area HC – Engineering Conveyance and approve an initial task order and budget in the not to exceed amount of $597,023.00 for services through August 31, 2020.

1.5 Approval of a consulting agreement with AECOM for Service Area HR – Engineering Reservoir and approve an initial task order and budget for services in the not to exceed amount of $599,381.00 for services through August 31, 2020. Motion carried: All Directors present voted yes.

2. Action Items:

2.1 Consider acceptance of the following items that reflect the direction to be taken in advancing the Project through the next stage of development:

a. Consider approval of the final report titled "Sites Project Value Planning Alternatives Appraisal Report, dated April 13, 2020" and the recommendations presented within and a recommendation to the Sites Project Authority to approve the final report titled "Sites Project Value Planning alternatives Appraisal Report, April 13, 2020" and the recommendations presented within. **(Attachment 2-1.a A)**

b. Consider approval of the work plan with a period of performance of September 1, 2020 to December 31, 2021 for the following uses: Planning cash call timing for participating agencies, Producing a draft Exhibit A, "Amendment 2 Work Plan", to the Second Amendment to 2019 Reservoir Project Agreement and Developing consultant task orders for the next stage of project development. **(Attachment 2-1.b A)**

c. Consider approval of the draft Second Amendment to the 2019 Reservoir Project Agreement. **(Attachment 2-1.c A)**

d. Consider direction for staff to revise and recirculate a Draft Environmental Impact Report (EIR) to analyze the environmental effects of the options identified in the Final Sites Project Value Planning Alternatives Appraisal Report dated April 13, 2020 (Report), including VP7. **(Attachment 2-1.d A)**

2.1.a Consider approval of the final report titled "Sites Project Value Planning Alternatives Appraisal Report, dated April 13, 2020" and the recommendations presented within and a recommendation to the Sites Project Authority to approve the final report titled "Sites Project Value Planning Alternatives Appraisal Report, April 13, 2020" and the recommendations presented within. **(Attachment 2-1.a A)**

Mr. Brown provided an update regarding the Sites Project Value Planning Alternatives Appraisal Report, dated April 13, 2020, which, incorporated all prior comments by the Authority Board and Reservoir Committee members from the March 30, 2020 Workshop. He stated this report is the final resolve to the project as it relates to all the different alternatives and analyses completed. Discussion followed regarding conveyance, reservoir size and decrease/increase of subscription/participation, repayment costs and hydropower capacity.

Action: It was moved by Sutton, seconded by Harris to approve the final report titled "Sites Project Value Planning Alternatives Appraisal Report, dated April 13, 2020" and the recommendations presented within and a recommendation to the Sites Project Authority to approve the final report titled "Sites Project Value Planning Alternatives Appraisal Report, April 13, 2020" and the

recommendations presented within. Motion carried: All Directors present voted yes.

Chairman Durst expressed his appreciation to Mr. Watson, AECOM and staff on their hard work to get to this point.

2.1.b Consider approval of the work plan with a period of performance of September 1, 2020 to December 31, 2021 for the following uses: Planning cash call timing for participating agencies, Producing a draft Exhibit A, "Amendment 2 Work Plan", to the Second Amendment to 2019 Reservoir Project Agreement and Developing consultant task orders for the next stage of project development. **(Attachment 2-1.b A)**

Mr. Robinette provided an overview of the Work Plan (Amendment 2) from September 1, 2020 through December 31, 2021 and spoke to the following:

- Work Plan Goals and Schedule Targets.
- Project Schedule Targets.
- Deliverables.
- Rebalancing process.
- Revenue Budget which includes Cash calls, annual seat dues, State and Federal Funding.
- Expense Budget.
- Work Plan Cash Flow ($60/AF, due September 1, 2020 and $40/AF, due February 1, 2021).
- Activities needed to complete the Planning Phase.

Brief discussion followed as to whether the repayment/reimbursement credit was included in the financial plan. Mr. Brown stated it was not included in the financial plan.

Action: It was moved by Houdesheldt, seconded by Sutton to approve the work plan with a period of performance of September 1, 2020 to December 31, 2021 for the following uses: Planning cash call timing for participating agencies, Producing a draft Exhibit A, "Amendment 2 Work Plan", to the Second Amendment to 2019 Reservoir Project Agreement and Developing consultant task orders for the next stage of project development.

Motion carried: All Directors present voted yes.

2.1.c Consider approval of the draft Second Amendment to the 2019 Reservoir Project Agreement. **(Attachment 2-1.c A)**

Pursuant to Mr. Brown's request, Chairman Durst removed the approval of the draft Second Amendment to the 2019 Reservoir Project Agreement from the Agenda.

2.1.d Consider direction for staff to revise and recirculate a Draft Environmental Impact Report (EIR) to analyze the environmental effects of the options

identified in the Final Sites Project Value Planning Alternatives Appraisal Report dated April 13, 2020 (Report), including VP7. **(Attachment 2-1.d A)**

Action: It was moved by Harris, seconded by Evans to direct staff to revise and recirculate a Draft EIR to analyze the environmental effects of the options identified in the Final Sites Project Value Planning Alternatives Appraisal Report dated April 13, 2020 (Report), including VP7.

Motion carried: All Directors present voted yes.

2.2 Consider approval of the Sites Project message platform which has been incorporated into informational materials describing the results of the value planning effort and the proposed work plan and will be used for communicating the Project to all audiences. **(Attachments 2-2 A, B, C & D)**

Mr. Brown and Mr. Spesert provided an update regarding the Sites Project message platform and it's 5 key message themes and proof statements that will serve as the foundation of all future messaging for the project and in informational materials.

Mr. Spesert spoke to the 5 Key messages as follows:

- Key Message 1: Sites Reservoir is a 21st Century Multi-Benefit Solution to California's Water Reliability Challenges.
- Key Message 2: Our Strength is in our diverse statewide Participation.
- Key Message 3: Sites Reservoir is a "Right Sized" Project that will meet our water supply needs for today and in the future.
- Key Message 4: Sites Reservoir provides environmental, water supply, flood protection and recreation benefits for the State of California for generations to come.
- Key Message 5: We are on-track to deliver this vital project for the people of California.

Mr. Spesert also spoke to a rollout plan within the next few months, e.g. press release, E-blast, Landowner newsletter, meeting with Yolo County Supervisor Dwayne Chamberlin and various other media activities.

Brief discussion followed.

Action: It was moved by Harris, seconded by Dennis to approve the Sites Project message platform which has been incorporated into informational materials describing the results of the value planning effort and the proposed work plan and will be used for communicating the Project to all audiences.

Motion carried: All Directors present voted yes.

2.3 Consider acceptance of the following actions by the Authority Board relative to the approved Organization Assessment (OA) Report as follows:

a. Consider acceptance of the plan and schedule for addressing the report findings and recommendations. **(Attachment 2-3 A)**

b. Consider concurrence with the scope, schedule and budget for strategic planning facilitation services. **(Attachment 2-3 B)**

c. Consider approval to release a Request for Proposals for strategic planning facilitation services.

Mr. Brown provided a brief update on the approved OA Report which included findings and recommendations aimed at improving the overall effectiveness of the organization. Brief discussion followed regarding the RFP. He stated the Selection Committee would be conducting interviews and asked whether anyone would be interested in serving on the Selection Committee. Brief discussion followed as to whether the RFPs would be available to others of the public who would be interested in submitting and RFP.

Ms. Dyer volunteered to serve on the Selection Committee for strategic planning facilitation services.

Action: It was moved by Houdesheldt, seconded by Harris to accept the following actions by the Authority Board relative to the approved Organization Assessment (OA) Report as follows:

a. Acceptance of the plan and schedule for addressing the report findings and recommendations.

b. Concurrence with the scope, schedule and budget for strategic planning facilitation services.

c. Approval to release a Request for Proposals for strategic planning facilitation services.

Motion carried: All Directors present voted yes.

3. Discussion and Information Items:

3.1 Review and comment on the letter received from participating member Wheeler Ridge-Maricopa Water Storage District (Wheeler Ridge) requesting a broad water right place of use commitment from the Project. **(Attachment 3-1 A & B)**

Mr. Brown provided a brief update regarding a letter received from participating member Wheeler Ridge-Maricopa Water Storage District in which they were requesting a broad water right place of use commitment from the Project. He stated Wheeler-Ridge is satisfied with their response to this matter and no action is required at this time.

3.2 Review and comment on status of the Service Area G – Real Estate contract.

Mr. Spesert provided an update on the Service Area G – Real Estate contract. He stated this matter was delegated to the Joint Authority/Reservoir Committee Coordination Committee on how to proceed, which resulted in

the decision to allow the existing Real Estate contract to expire June 30, 2020. He further stated Real Estate services through 2021 will be limited to securing temporary right of entry (TROE) permits in support of engineering and environmental field activities and to support landowner engagement activities. Authority agents would be taking care of any real estate activities.

Brief discussion followed with no action taken.

4. Reports:

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

Policy and Governance:

Chairman Durst stated due to the COVID-19 situation different modes of communication with State and Federal members have come about (remotely held meetings). He provided a brief update on the following:

- Discussions/meetings with Congressman LaMalfa and Congressman Garamendi.
- Working on scheduling meetings with staff from Senator Feinstein, Senator Nielsen and Assemblyman Gallagher's offices.
- Expressed appreciation to Sara Katz, Katz & Associates for messaging assistance.
- Need to reach out to NGOs.
- Coordination Committee twice per month meetings.

Legislative & Outreach Ad hoc Committee:

Vice-chairman Sutton concurred with Chairman Durst regarding the need to reach out to the NGOs. He spoke to exploring funding opportunities that arising from the stimulus and the Messaging Platform.

Mr. Spesert stated in addition to the meeting with staff from Senator Feinstein's office, a meeting with staff from Congressman Huffman's office is also scheduled. He stated further a meeting with the Legislative and Outreach Committee to discuss refining and prioritizing federal funding strategy will be scheduled within the next week or two.

4.1.2 Committee Chairpersons' Report:

This time is set aside to allow the Committee Chairpersons' an opportunity to disclose/discuss items related to the Sites Project.

Land Management Ad hoc Committee:

Director Evans stated a meeting has been scheduled for April 23, 2020 with Yolo County Supervisor Chamberlain regarding the pipeline. He stated further contact with individual landowners regarding the pipelines has also been initiated.

Budget and Finance Ad hoc Committee:

Ms. Traynham stated the 2019 Annual Audit is almost completed and a Report on same will be presented at the May 27, 2020 Authority meeting.

4.1.3 Authority Board Participant Reports:

This time is set aside to allow Directors or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

None.

4.2 Executive Director's Report:

Director Brown provided a brief overview of the following:

- Sites Project's monthly status report. **(Attachment 4-2 A)**
- Sites Project's monthly Proposition 1, WSIP activities and WIIN Act Funding. **(Attachment 4-2 B)**
- Reservoir Committee update.

RECESS:

Chairman Durst declared a recess at 3:20 p.m. and convened into Closed Session at 3:25 p.m. to consider the following matter:

5. Closed Session:

5.1 Independent Contractor/Public Employee/Discipline/Dismissal/Release (Govt. Code §§ 54954.5(e) and 54957(b)(1)).

Chairman Durst adjourned Closed Session at 3:40 p.m. and reconvened into Open Session.

6. Report from Closed Session:

Mr. Kuney stated as to Closed Session regarding the Independent Contract/Public Employee/Discipline/Dismissal/Release (Gov. Code Section 54954(e) and 54957(b)(1)), he announced the Authority Board voted to approve an agreement between the Authority and JC Watson, Inc with regard to the Sites Project.

7. Future Meetings and Schedules:

7.1 Mr. Brown stated this is on for any Board member who might want to add an item to the May 27, 2020 Agenda.

7.2 Upcoming meetings:

RESERVOIR COMMITTEE

THURSDAY, MAY 21, 2020 1:00 PM
CALL NUMBER AND LOCATION TBD

AUTHORITY BOARD

WEDNESDAY, MAY 27, 2020 1:30 PM
CALL NUMBER AND LOCATION TBD

Chairman Durst adjourned the meeting at 3:45 p.m.

_____ _____

Fritz Durst, Chairman Yolanda Tirado, Board Clerk

Sites

Topic: **Authority Board Agenda Item 1.2** **2020 May 27**

Subject: **Treasurer's Report**

Requested Action:

Consider acceptance of the Sites Project Authority Treasurer's Report as presented in Attachment 1.2A.

Detailed Description/Background:

Attachment 1.2A incorporates financial information through April 30, 2020.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: May 2020 Treasurer's Report.

Draft_0028068

SITES JOINT POWERS AUTHORITY
TREASURER'S REPORT
April 30, 2020

Savings Account-River City Bank				
Beginning Balance	04/01/2020		$ 3,019,583.31	
Transfer to General Checking to max benefit			$ (444,529.69)	
Interest Earned			$ 2,879.50	
River City Bank ICS Savings Account Balance	**04/30/2020**			$ 2,577,933.12
General Operating Checking Account-River City Bank				
Beginning Balance	04/01/2020		$ 1,220,682.01	
RECEIVED:				
Interest Earned		$ 1,S42.10		
Membership Revenue		$ SS,000.00		
Reservoir Revenue		$ 16,317.92		
TOTAL RECEIVED			$ 72,860.02	
DISBURSED:				
Bank Fees		$ (1S7.88)		
Administrative Support		$ (6,813.4S)		
Computer Service		$ (911.7S)		
General Manager		$ (40,026.70)		
Accounting Fees		$ (4,890.00)		
Office Expenses		$ (74S.84)		
Professional Fees-Legislative/Regulatory		$ (8,000.00)		
Professional Fees-Communication		$ (12,716.11)		
Professional Fees-Organizatioal Assessment		$ (11,727.84)		
Professional Fees-Fed Gov't Affairs Support		$ (1S,000.00)		
Professional Fees-Legal Services		$ (14,928.S0)		
TOTAL DI5BURSED			$ (115,918.07)	
Transfer from Savings to max benefit			$ 444,529.69	
RCB General Checking Account Balance	**04/30/2020**			$ 1,622,153.65
State (WSIP) Fund Checking Account-River City Bank				
Beginning Balance	04/01/2020		$ 5,168,788.12	
DISBURSED:				
Bus/Comm Manager		$ (21,490.64)		
Program Op Manager		$ (28,449.40)		
Professional Fees-Engineering		$ (27,322.61)		
Professional Fees-Special Legal		$ (11,9S2.00)		
Professional Fees-Project Controls		$ (236,713.61)		
Professional Fees-Operations/SIM Modeling		$ (99,S2S.41)		
Professional Fees-EPP Manager		$ (30,349.03)		
Professional Fees-Geotech Eng		$ (11,187.16)		
Professional Fees-Project Integration		$ (196,668.86)		
Professional Fees-Reservoir Operations		$ (11,200.00)		
Professional Fees-Municipal Advisor		$ (S,3S0.00)		
Professional Fees-Env/Biological Serv & Permit		$ (84,S72.48)		
TOTAL DISBURSED			$ (764,781.20)	
RCB State Fund Checking Account Balance	**04/30/2020**			$ 4,404,006.92
TOTAL CA5H ON HAND	**April 30, 2020**			$ 8,604,093.69

Sites Project Joint Powers Authority
Transactions by Account
As of April 30, 2020

Type	Date	Num	Name	Memo	Debit	Credit	Balance
River City ICS Savings							3,019,583.31
General Journal	04/03/2020	4-1C		adjust ICS balance to max		196,867.28	2,822,716.03
General Journal	04/23/2020	4-2C		transfer to max ICS		247,662.41	2,575,053.62
General Journal	04/30/2020	4-4C		rec int fme	2,879.50		2,577,933.12
Total River City ICS Savings					2,879.50	444,529.69	2,577,933.12
River City Operating Account							1,220,682.01
General Journal	04/03/2020	4-1C		adjust ICS balance to max	196,867.28		1,417,549.29
Deposit	04/11/2020			Westside WD	55,000.00		1,472,549.29
Bill Pmt -Check	04/14/2020	2127	Adept Solutions Inc			911.75	1,471,637.54
Bill Pmt -Check	04/14/2020	212B	Darling H2O Consulting, Inc.			11,727.84	1,459,909.70
Bill Pmt -Check	04/14/2020	2129	Dunn Consulting			8,000.00	1,451,909.70
Bill Pmt -Check	04/14/2020	2130	J.C. Watson, Inc			40,026.70	1,411,883.00
Bill Pmt -Check	04/14/2020	2131	Katz and Associates, Inc			12,716.11	1,399,166.89
Bill Pmt -Check	04/14/2020	2132	KCoe Isom, LLP			4,890.00	1,394,276.89
Bill Pmt -Check	04/14/2020	2133	M.R. Cleaning Services			100.00	1,394,176.89
Bill Pmt -Check	04/14/2020	2134	Maximun Pest Control			65.00	1,394,111.89
Bill Pmt -Check	04/14/2020	2135	Mt Shasta Spring Water			51.65	1,394,060.24
Bill Pmt -Check	04/14/2020	2136	Recology Butte Colusa Counties			35.95	1,394,024.29
Bill Pmt -Check	04/14/2020	2137	Rush Personnel Services, Inc			6,813.45	1,387,210.84
Bill Pmt -Check	04/14/2020	213B	The Ferguson Group			15,000.00	1,372,210.84
Bill Pmt -Check	04/14/2020	2139	US Bank			493.24	1,371,717.60
Bill Pmt -Check	04/14/2020	2140	Young Wooldridge LLP			14,928.50	1,356,789.10
General Journal	04/23/2020	4-2C		transfer to max ICS	247,662.41		1,604,451.51
General Journal	04/28/2020	4-3C		Bank service fee		157.88	1,604,293.63
Deposit	04/30/2020			Antelope/E Kern WA	16,317.92		1,620,611.55
General Journal	04/30/2020	4-5C		rec int fme	1,542.10		1,622,153.65
Total River City Operating Account					517,389.71	115,918.07	1,622,153.65
River City State Fund Checking							5,168,788.12
Bill Pmt -Check	04/14/2020	1026	AECOM Technical Services, Inc.			27,322.61	5,141,465.51
Bill Pmt -Check	04/14/2020	1027	Brown and Caldwell			236,713.61	4,904,751.90
Bill Pmt -Check	04/14/2020	1028	CH2M Hill Engineers, Inc			99,525.41	4,805,226.49
Bill Pmt -Check	04/14/2020	1029	Forsythe Group LLC			30,349.03	4,774,877.46
Bill Pmt -Check	04/14/2020	1030	Fugro USA Land, Inc			11,187.16	4,763,690.30
Bill Pmt -Check	04/14/2020	1031	HDR Engineering Inc			196,668.86	4,567,021.44
Bill Pmt -Check	04/14/2020	1032	ICF Jones & Stokes Inc			84,572.48	4,482,448.96
Bill Pmt -Check	04/14/2020	1033	MBK Engineers Inc			11,200.00	4,471,248.96
Bill Pmt -Check	04/14/2020	1034	Montague DeRose and Associat...			5,350.00	4,465,898.96
Bill Pmt -Check	04/14/2020	1035	Perkins Coie LLP			11,952.00	4,453,946.96
Bill Pmt -Check	04/14/2020	1036	Spesert Consulting			21,490.64	4,432,456.32
Bill Pmt -Check	04/14/2020	1037	Trapasso Consulting Services			28,449.40	4,404,006.92
Total River City State Fund Checking					0.00	764,781.20	4,404,006.92
US Bank Checking							0.00
Total US Bank Checking							0.00
TOTAL					**520,269.21**	**1,325,228.96**	**8,604,093.69**

Draft_0028070

Sites Project Joint Powers Authority
Balance Sheet
As of April 30, 2020

	Apr 30, 20	
ASSETS		
Current Assets		
Checking/Savings		
River City ICS Savings		2,577,933.12
River City Operating Account		1,622,153.65
River City State Fund Checking		4,404,006.92
Total Checking/Savings		8,604,093.69
Accounts Receivable		
Accounts Rec Members		
Membership - Authority	68,000.00	
Membership - Reservoir	-4,988.20	
Total Accounts Rec Members		63,011.80
Grants Receivable		
California Water Commission	1,957,712.12	
Total Grants Receivable		1,957,712.12
Total Accounts Receivable		2,020,723.92
Total Current Assets		10,624,817.61
TOTAL ASSETS		**10,624,817.61**
LIABILITIES & EQUITY		
Liabilities		
Current Liabilities		
Accounts Payable		
Accounts Payable		714,999.16
Total Accounts Payable		714,999.16
Total Current Liabilities		714,999.16
Long Term Liabilities		
Participant Reimbursement Polic		6,064,652.67
Total Long Term Liabilities		6,064,652.67
Total Liabilities		6,779,651.83
Equity		
Net Assets		1,929,216.58
Retained Earnings		2,011,661.73
Net Income		-95,712.53
Total Equity		3,845,165.78
TOTAL LIABILITIES & EQUITY		**10,624,817.61**

FOR MANAGEMENT PURPOSES ONLY

Sites Project Joint Powers Authority
A/R Aging Summary
As of April 30, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
CA Department of Water Resources	0.00	0.00	1,957,712.12	0.00	0.00	1,957,712.12
City of American Canyon	0.00	0.00	0.00	0.00	-4,988.20	-4,988.20
County of Glenn	0.00	0.00	0.00	55,000.00	0.00	55,000.00
Yolo County Flood Control	0.00	0.00	0.00	0.00	13,000.00	13,000.00
TOTAL	**0.00**	**0.00**	**1,957,712.12**	**55,000.00**	**8,011.80**	**2,020,723.92**

Sites Project Joint Powers Authority
A/P Aging Summary
As of April 30, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
Adept Solutions Inc	932.11	986.85	0.00	0.00	0.00	1,918.96
AECOM Technical Services, Inc.	15,863.36	0.00	0.00	0.00	0.00	15,863.36
Brown and Caldwell	116,632.37	0.00	0.00	0.00	0.00	116,632.37
CH2M Hill Engineers, Inc	0.00	93,369.39	0.00	0.00	0.00	93,369.39
Darling H2O Consulting, Inc.	1,299.38	0.00	0.00	0.00	0.00	1,299.38
Dunn Consulting	8,000.00	0.00	0.00	0.00	0.00	8,000.00
Fechter & Company CPA	0.00	10,760.40	0.00	0.00	0.00	10,760.40
Forsythe Group LLC	30,000.00	0.00	0.00	0.00	0.00	30,000.00
Fugro USA Land, Inc	12,562.77	0.00	0.00	0.00	0.00	12,562.77
HDR Engineering Inc	168,613.06	0.00	0.00	0.00	0.00	168,613.06
ICF Jones & Stokes Inc	0.00	68,898.24	0.00	0.00	0.00	68,898.24
J.C. Watson, Inc	38,230.00	0.00	0.00	0.00	0.00	38,230.00
Katz and Associates, Inc	0.00	17,737.49	0.00	0.00	0.00	17,737.49
KCoe Isom, LLP	2,695.00	0.00	0.00	0.00	0.00	2,695.00
Larsen Wurzel & Associates, Inc	458.00	0.00	0.00	0.00	0.00	458.00
Maximun Pest Control	65.00	0.00	0.00	0.00	0.00	65.00
MBK Engineers Inc	0.00	4,716.75	0.00	0.00	0.00	4,716.75
Montague DeRose and Associates, LLC	12,725.00	0.00	0.00	0.00	0.00	12,725.00
Mt Shasta Spring Water	19.65	0.00	0.00	0.00	0.00	19.65
Recology Butte Colusa Counties	35.95	0.00	0.00	0.00	0.00	35.95
Rush Personnel Services, Inc	5,644.80	0.00	0.00	0.00	0.00	5,644.80
Spesert Consulting	21,343.76	0.00	0.00	0.00	0.00	21,343.76
The Ferguson Group	0.00	15,000.00	0.00	0.00	0.00	15,000.00
Trapasso Consulting Services	28,000.00	0.00	0.00	0.00	0.00	28,000.00
US Bank	302.11	0.00	0.00	0.00	0.00	302.11
Waterology Consulting	33,750.00	0.00	0.00	0.00	0.00	33,750.00
Young Wooldridge LLP	6,357.72	0.00	0.00	0.00	0.00	6,357.72
TOTAL	**503,530.04**	**211,469.12**	**0.00**	**0.00**	**0.00**	**714,999.16**

Sites Project Joint Powers Authority
Profit & Loss
April 2020

	Apr 20	Jan - Apr 20
Ordinary Income/Expense		
Income		
Membership Admin/Authority	0.00	505,000.00
Prop 1 Funding	0.00	1,957,712.12
Total Income	0.00	2,462,712.12
Gross Profit	0.00	2,462,712.12
Expense		
Accounting Expense	2,695.00	12,199.00
Admin Support Gen Manager	5,644.80	21,322.35
Audit Fees	10,760.40	10,760.40
Bank Service Fees	157.88	674.31
General Manager		
Services	38,230.00	157,963.40
Total General Manager	38,230.00	157,963.40
Insurance - Property	0.00	250.00
Insurance Liability	0.00	1,604.25
Office Expenses		
Cleaning	0.00	500.00
Misc Office & Operating Exp	302.11	2,640.32
Pest Control & Maintenance	65.00	260.00
Trash Service	35.95	143.80
Water Expense	19.65	168.55
Total Office Expenses	422.71	3,712.67
Professional Fees		
Bus/Comm Manager	21,343.76	87,401.85
Communication	17,737.49	50,106.15
Cost Development Model	458.00	1,168.60
Cultural Study	0.00	3,885.55
Engineering & Technical Service	15,863.36	106,405.61
Env/Biological Services	36,310.66	77,003.42
EPP Manager	30,000.00	122,059.09
Executive Director	33,750.00	33,750.00
Federal Government Affairs Supp	15,000.00	60,000.00
General Legal Counsel	6,357.72	37,572.48
Geotechnical Engineering	12,562.77	36,972.94
Legislative/Reg/Strategic	8,000.00	32,000.00
Municipal Advisor	12,725.00	20,000.00
Operations/Simulation Modeling	93,369.39	253,417.11
Organizational Assessment	1,299.38	29,693.41
Permitting & Agreements	32,587.58	117,703.15
Program Ops Manager	28,000.00	114,510.24
Project Controls	116,632.37	460,055.12
Project Integration	168,613.06	675,474.08
Real Estate	0.00	761.67
Reservoir Operations	4,716.75	17,017.75
Special Legal	0.00	30,402.90
Total Professional Fees	655,327.29	2,367,361.12
Website, Data, Computer Support	1,918.96	5,333.38
Total Expense	715,157.04	2,581,180.88
Net Ordinary Income	-715,157.04	-118,468.76
Other Income/Expense		
Other Income		
Interest Income		
Interest Income-River City	4,421.60	22,756.23
Total Interest Income	4,421.60	22,756.23

Draft_0028074

Sites Project Joint Powers Authority
Profit & Loss
April 2020

	Apr 20	Jan - Apr 20
Total Other Income	4,421.60	22,756.23
Net Other Income	4,421.60	22,756.23
Net Income	-710,735.44	-95,712.53

Sites Project Joint Powers Authority
Transaction Detail By Account
April 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Accounting Expense								
Bill	04/30/2020	KC084322	KCoe Isom, LLP	4/20	Authority	539.00		-539.00
Bill	04/30/2020	KC084322	KCoe Isom, LLP	4/20	Reservoir	2,156.00		-2,695.00
Total Accounting Expense						2,695.00	0.00	-2,695.00
Admin Support Gen Manager								
Bill	04/21/2020	136553	Rush Personnel Services, ...	4/20	Authority	282.24		-282.24
Bill	04/21/2020	136553	Rush Personnel Services, ...	4/20	Reservoir	1,128.96		-1,411.20
Bill	04/21/2020	136554	Rush Personnel Services, ...	4/20	Authority	282.24		-1,693.44
Bill	04/21/2020	136554	Rush Personnel Services, ...	4/20	Reservoir	1,128.96		-2,822.40
Bill	04/30/2020	136720	Rush Personnel Services, ...	4/20	Authority	282.24		-3,104.64
Bill	04/30/2020	136720	Rush Personnel Services, ...	4/20	Reservoir	1,128.96		-4,233.60
Bill	04/30/2020	136721	Rush Personnel Services, ...	5/20	Authority	282.24		-4,515.84
Bill	04/30/2020	136721	Rush Personnel Services, ...	5/20	Reservoir	1,128.96		-5,644.80
Total Admin Support Gen Manager						5,644.80	0.00	-5,644.80
Audit Fees								
Bill	04/15/2020	Letter	Fechter & Company CPA	4/20	Reservoir	10,760.40		-10,760.40
Total Audit Fees						10,760.40	0.00	-10,760.40
Bank Service Fees								
General J...	04/28/2020	4-3C		8ank service fee	Authority	75.78		-75.78
General J...	04/28/2020	4-3C		Bank service fee	Reservoir	82.10		-157.88
Total Bank Service Fees						157.88	0.00	-157.88
General Manager								
Services								
Bill	04/30/2020	SPA-057	J.C. Watson, Inc	4/20	Authority	3,823.00		-3,823.00
Bill	04/30/2020	SPA-057	J.C. Watson, Inc	4/20	Reservoir	34,407.00		-38,230.00
Total Services						38,230.00	0.00	-38,230.00
Total General Manager						38,230.00	0.00	-38,230.00
Office Expenses								
Misc Office & Operating Exp								
Bill	04/30/2020	Online 5/1	US Bank	4/20	Authority	226.58		-226.58
Bill	04/30/2020	Online 5/1	US Bank	4/20	Reservoir	75.53		-302.11
Total Misc Office & Operating Exp						302.11	0.00	-302.11
Pest Control & Maintenance								
Bill	04/23/2020	50797	Maximun Pest Control	4/20	Authority	65.00		-65.00
Total Pest Control & Maintenance						65.00	0.00	-65.00
Trash Service								
Bill	04/30/2020	37208147	Recology Butte Colusa Co...	4/20	Authority	35.95		-35.95
Total Trash Service						35.95	0.00	-35.95
Water Expense								
Bill	04/01/2020	486476	Mt Shasta Spring Water	4/20	Authority	10.00		-10.00
Bill	04/02/2020	483104	Mt Shasta Spring Water	4/20	Authority	9.65		-19.65
Total Water Expense						19.65	0.00	-19.65
Total Office Expenses						422.71	0.00	-422.71
Professional Fees								
Bus/Comm Manager								
Bill	04/30/2020	05-20	Spesert Consulting	4/20	Reservoir	21,343.76		-21,343.76
Total Bus/Comm Manager						21,343.76	0.00	-21,343.76
Communication								
Bill	04/14/2020	413694	Katz and Associates, Inc	3/20 (C)	Authority	10,642.50		-10,642.50
Bill	04/14/2020	413694	Katz and Associates, Inc	3/20 (C)	Reservoir	7,094.99		-17,737.49
Total Communication						17,737.49	0.00	-17,737.49
Cost Development Model								
Bill	04/15/2020	1609000-0320	Larsen Wurzel & Associat...	3/20	Reservoir	458.00		-458.00
Total Cost Development Model						458.00	0.00	-458.00
Engineering & Technical Service								
Bill	04/30/2020	2000353965	AECOM Technical Service...	4/20	Reservoir	15,863.36		-15,863.36
Total Engineering & Technical Service						15,863.36	0.00	-15,863.36
Env/Biological Services								
Bill	04/14/2020	0146105	ICF Jones & Stokes Inc	3/20 (E)	Reservoir	36,310.66		-36,310.66
Total Env/Biological Services						36,310.66	0.00	-36,310.66
EPP Manager								
Bill	04/30/2020	SPA-202004	Forsythe Group LLC	4/20	Reservoir	30,000.00		-30,000.00
Total EPP Manager						30,000.00	0.00	-30,000.00
Executive Director								

Sites Project Joint Powers Authority
Transaction Detail By Account
April 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Bill	04/30/2020	1	Waterology Consulting	4/20	Reservoir	33,750.00		-33,750.00
Total Executive Director						33,750.00	0.00	-33,750.00
Federal Government Affairs Supp								
Bill	04/01/2020	0420149	The Ferguson Group	4/20	Authority	7,500.00		-7,500.00
Bill	04/01/2020	0420149	The Ferguson Group	4/20	Reservoir	7,500.00		-15,000.00
Total Federal Government Affairs Supp						15,000.00	0.00	-15,000.00
General Legal Counsel								
Bill	04/30/2020	65164	Young Wooldridge LLP	4/20	Authority	2,838.11		-2,838.11
Bill	04/30/2020	65164	Young Wooldridge LLP	4/20	Reservoir	3,519.61		-6,357.72
Total General Legal Counsel						6,357.72	0.00	-6,357.72
Geotechnical Engineering								
Bill	04/30/2020	04.7219003...	Fugro USA Land, Inc	4/20 (I)	Reservoir	12,562.77		-12,562.77
Total Geotechnical Engineering						12,562.77	0.00	-12,562.77
Legislative/Reg/Strategic								
Bill	04/30/2020	Letter	Dunn Consulting	4/20	Authority	4,000.00		-4,000.00
Bill	04/30/2020	Letter	Dunn Consulting	4/20	Reservoir	4,000.00		-8,000.00
Total Legislative/Reg/Strategic						8,000.00	0.00	-8,000.00
Municipal Advisor								
Bill	04/24/2020	4851SITES	Montague DeRose and As...	3/20	Reservoir	12,725.00		-12,725.00
Total Municipal Advisor						12,725.00	0.00	-12,725.00
Operations/Simulation Modeling								
Bill	04/14/2020	D3205400-012	CH2M Hill Engineers, Inc	3/20 (D)	Reservoir	93,369.39		-93,369.39
Total Operations/Simulation Modeling						93,369.39	0.00	-93,369.39
Organizational Assessment								
Bill	04/30/2020	122	Darling H2O Consulting, Inc.	4/20	Authority	1,299.38		-1,299.38
Total Organizational Assessment						1,299.38	0.00	-1,299.38
Permitting & Agreements								
Bill	04/14/2020	0146101	ICF Jones & Stokes Inc	3/20 (F)	Reservoir	32,587.58		-32,587.58
Total Permitting & Agreements						32,587.58	0.00	-32,587.58
Program Ops Manager								
Bill	04/30/2020	SPA 17-31	Trapasso Consulting Servi...	4/20	Reservoir	28,000.00		-28,000.00
Total Program Ops Manager						28,000.00	0.00	-28,000.00
Project Controls								
Bill	04/30/2020	17371089	Brown and Caldwell	4/20 (B)	Reservoir	116,632.37		-116,632.37
Total Project Controls						116,632.37	0.00	-116,632.37
Project Integration								
Bill	04/30/2020	1200264393	HDR Engineering Inc	4/20 (A)	Reservoir	168,613.06		-168,613.06
Total Project Integration						168,613.06	0.00	-168,613.06
Reservoir Operations								
Bill	04/17/2020	20-03-4941.1	MBK Engineers Inc	3/20	Reservoir	4,716.75		-4,716.75
Total Reservoir Operations						4,716.75	0.00	-4,716.75
Total Professional Fees						655,327.29	0.00	-655,327.29
Website, Data, Computer Support								
Bill	04/15/2020	139076	Adept Solutions Inc	3/20	Authority	197.37		-197.37
Bill	04/15/2020	139076	Adept Solutions Inc	3/20	Reservoir	789.48		-986.85
Bill	04/30/2020	MSP-139049	Adept Solutions Inc	5/20	Authority	136.40		-1,123.25
Bill	04/30/2020	MSP-139049	Adept Solutions Inc	5/20	Reservoir	545.61		-1,668.86
Bill	04/30/2020	139187	Adept Solutions Inc	3/20	Authority	50.02		-1,718.88
Bill	04/30/2020	139187	Adept Solutions Inc	3/20	Reservoir	200.08		-1,918.96
Total Website, Data, Computer Support						1,918.96	0.00	-1,918.96
Interest Income								
Interest Income-River City								
General J...	04/30/2020	4-4C		rec int fme	Reservoir		2,879.50	2,879.50
General J...	04/30/2020	4-5C		rec int fme	Authority		740.21	3,819.71
General J...	04/30/2020	4-5C		rec int fme	Reservoir		801.89	4,421.60
Total Interest Income-River City						0.00	4,421.60	4,421.60
Total Interest Income						0.00	4,421.60	4,421.60
TOTAL						**715,157.04**	**4,421.60**	**-710,735.44**

Sites Project Joint Powers Authority
Balance Sheet by Class
As of April 30, 2020

	Authority	Reservoir	TOTAL
ASSETS			
Current Assets			
Checking/Savings			
River City ICS Savings	0.00	2,577,933.12	2,577,933.12
River City Operating Account	780,589.71	841,563.94	1,622,153.65
River City State Fund Checking	0.00	4,404,006.92	4,404,006.92
Total Checking/Savings	780,589.71	7,823,503.98	8,604,093.69
Accounts Receivable			
Accounts Rec Members			
Membership - Authority	68,000.00	0.00	68,000.00
Membership - Reservoir	0.00	-4,988.20	-4,988.20
Total Accounts Rec Members	68,000.00	-4,988.20	63,011.80
Grants Receivable			
California Water Commission	0.00	1,957,712.12	1,957,712.12
Total Grants Receivable	0.00	1,957,712.12	1,957,712.12
Total Accounts Receivable	68,000.00	1,952,723.92	2,020,723.92
Total Current Assets	848,589.71	9,776,227.90	10,624,817.61
TOTAL ASSETS	**848,589.71**	**9,776,227.90**	**10,624,817.61**
LIABILITIES & EQUITY			
Liabilities			
Current Liabilities			
Accounts Payable			
Accounts Payable	32,501.92	682,497.24	714,999.16
Total Accounts Payable	32,501.92	682,497.24	714,999.16
Total Current Liabilities	32,501.92	682,497.24	714,999.16
Long Term Liabilities			
Participant Reimbursement Polic	0.00	6,064,652.67	6,064,652.67
Total Long Term Liabilities	0.00	6,064,652.67	6,064,652.67
Total Liabilities	32,501.92	6,747,149.91	6,779,651.83
Equity			
Net Assets	114,674.93	1,814,541.65	1,929,216.58
Retained Earnings	351,952.54	1,659,709.19	2,011,661.73
Net Income	349,460.32	-445,172.85	-95,712.53
Total Equity	816,087.79	3,029,077.99	3,845,165.78
TOTAL LIABILITIES & EQUITY	**848,589.71**	**9,776,227.90**	**10,624,817.61**

Sites Project Joint Powers Authority
Profit & Loss by Class
April 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Expense			
Accounting Expense	539.00	2,156.00	2,695.00
Admin Support Gen Manager	1,128.96	4,515.84	5,644.80
Audit Fees	0.00	10,760.40	10,760.40
Bank Service Fees	75.78	82.10	157.88
General Manager			
Services	3,823.00	34,407.00	38,230.00
Total General Manager	3,823.00	34,407.00	38,230.00
Office Expenses			
Misc Office & Operating Exp	226.58	75.53	302.11
Pest Control & Maintenance	65.00	0.00	65.00
Trash Service	35.95	0.00	35.95
Water Expense	19.65	0.00	19.65
Total Office Expenses	347.18	75.53	422.71
Professional Fees			
Bus/Comm Manager	0.00	21,343.76	21,343.76
Communication	10,642.50	7,094.99	17,737.49
Cost Development Model	0.00	458.00	458.00
Engineering & Technical Service	0.00	15,863.36	15,863.36
Env/Biological Services	0.00	36,310.66	36,310.66
EPP Manager	0.00	30,000.00	30,000.00
Executive Director	0.00	33,750.00	33,750.00
Federal Government Affairs Supp	7,500.00	7,500.00	15,000.00
General Legal Counsel	2,838.11	3,519.61	6,357.72
Geotechnical Engineering	0.00	12,562.77	12,562.77
Legislative/Reg/Strategic	4,000.00	4,000.00	8,000.00
Municipal Advisor	0.00	12,725.00	12,725.00
Operations/Simulation Modeling	0.00	93,369.39	93,369.39
Organizational Assessment	1,299.38	0.00	1,299.38
Permitting & Agreements	0.00	32,587.58	32,587.58
Program Ops Manager	0.00	28,000.00	28,000.00
Project Controls	0.00	116,632.37	116,632.37
Project Integration	0.00	168,613.06	168,613.06
Reservoir Operations	0.00	4,716.75	4,716.75
Total Professional Fees	26,279.99	629,047.30	655,327.29
Website, Data, Computer Support	383.79	1,535.17	1,918.96
Total Expense	32,577.70	682,579.34	715,157.04
Net Ordinary Income	-32,577.70	-682,579.34	-715,157.04
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	740.21	3,681.39	4,421.60
Total Interest Income	740.21	3,681.39	4,421.60
Total Other Income	740.21	3,681.39	4,421.60
Net Other Income	740.21	3,681.39	4,421.60
Net Income	**-31,837.49**	**-678,897.95**	**-710,735.44**

FOR MANAGEMENT PURPOSES ONLY

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through April 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Income			
Membership Admin/Authority	505,000.00	0.00	505,000.00
Prop 1 Funding	0.00	1,957,712.12	1,957,712.12
Total Income	505,000.00	1,957,712.12	2,462,712.12
Gross Profit	505,000.00	1,957,712.12	2,462,712.12
Expense			
Accounting Expense	2,439.80	9,759.20	12,199.00
Admin Support Gen Manager	4,264.47	17,057.88	21,322.35
Audit Fees	0.00	10,760.40	10,760.40
Bank Service Fees	249.32	424.99	674.31
General Manager			
Services	22,382.36	135,581.04	157,963.40
Total General Manager	22,382.36	135,581.04	157,963.40
Insurance - Property	200.00	50.00	250.00
Insurance Liability	0.00	1,604.25	1,604.25
Office Expenses			
Cleaning	500.00	0.00	500.00
Misc Office & Operating Exp	664.51	1,975.81	2,640.32
Pest Control & Maintenance	260.00	0.00	260.00
Trash Service	143.80	0.00	143.80
Water Expense	168.55	0.00	168.55
Total Office Expenses	1,736.86	1,975.81	3,712.67
Professional Fees			
Bus/Comm Manager	0.00	87,401.85	87,401.85
Communication	30,063.92	20,042.23	50,106.15
Cost Development Model	0.00	1,168.60	1,168.60
Cultural Study	0.00	3,885.55	3,885.55
Engineering & Technical Service	0.00	106,405.61	106,405.61
Env/Biological Services	0.00	77,003.42	77,003.42
EPP Manager	0.00	122,059.09	122,059.09
Executive Director	0.00	33,750.00	33,750.00
Federal Government Affairs Supp	30,000.00	30,000.00	60,000.00
General Legal Counsel	20,511.50	17,060.98	37,572.48
Geotechnical Engineering	0.00	36,972.94	36,972.94
Legislative/Reg/Strategic	16,000.00	16,000.00	32,000.00
Municipal Advisor	0.00	20,000.00	20,000.00
Operations/Simulation Modeling	0.00	253,417.11	253,417.11
Organizational Assessment	29,693.41	0.00	29,693.41
Permitting & Agreements	0.00	117,703.15	117,703.15
Program Ops Manager	0.00	114,510.24	114,510.24
Project Controls	0.00	460,055.12	460,055.12
Project Integration	0.00	675,474.08	675,474.08
Real Estate	0.00	761.67	761.67
Reservoir Operations	0.00	17,017.75	17,017.75
Special Legal	0.00	30,402.90	30,402.90
Total Professional Fees	126,268.83	2,241,092.29	2,367,361.12
Website, Data, Computer Support	1,066.68	4,266.70	5,333.38
Total Expense	158,608.32	2,422,572.56	2,581,180.88
Net Ordinary Income	346,391.68	-464,860.44	-118,468.76
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	3,068.64	19,687.59	22,756.23
Total Interest Income	3,068.64	19,687.59	22,756.23

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through April 2020

	Authority	Reservoir	TOTAL
Total Other Income	3,068.64	19,687.59	22,756.23
Net Other Income	3,068.64	19,687.59	22,756.23
Net Income	**349,460.32**	**-445,172.85**	**-95,712.53**

Sites

Topic: **Authority Board Agenda Item 1.3** **2020 May 27**

Subject: **Payment of Claims**

Requested Action:

Consider approval of the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B.

Detailed Description/Background:

Attachment A presents the warrants to be drawn against the invoices received by the Sites Project Authority through May 4, 2020.

Attachment B summarizes details of the invoices received through May 4, 2020 and how the incurred costs are allocated between the Authority and Reservoir Committee.

Prior Action:

None.

Fiscal Impact/Funding Source:

Total Payment of Claims is $714,999.16 with $32,501.92 of costs being assigned to the Authority and $682,497.24 assigned to the Reservoir Committee.

For the Reservoir Committee assigned amount, $617,693.10 will be paid through the WSIP account and $64,804.14 through the JPA/Sites account as shown in Attachment B.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: May 2020 Report on warrants to be drawn for Payment of Claims

Attachment B: May 2020 Monthly Consultant and Vendor Invoice Table

Attachment C: May 2020 Key Consultant Budget Status Report

Draft_0028082

WARRANTS DRAWN AGAINST
Sites Project Authority- General Fund
May 27, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
2141	05/13/2020	Adept Solutions	IT & Related Services	1,918.96
2142	05/13/2020	Darling H2O Consulting, Inc.	Organizational Assessment	1,299.38
2143	05/13/2020	Dunn Consulting	Legislative/Regulatory/Strategic Support	8,000.00
2144	05/13/2020	JC Watson Inc.	GM Services	38,230.00
2145	05/13/2020	Katz and Associates Inc.	Communications	17,737.49
2146	05/13/2020	K-Coe-Isom, LLP	Accounting	2,695.00
2147	05/13/2020	Maximum Pest Control	Pest Control	65.00
2148	05/13/2020	Mt Shasta Spring Water	Office Water	19.65
2149	05/13/2020	Recology Butte Colusa Counties	Office Trash Pickup	35.95
2150	05/13/2020	Rush Personnel Services Inc.	Administrative Services	5,644.80
2151	05/13/2020	The Ferguson Group	Fed Govt Affairs Support	15,000.00
2152	05/13/2020	U.S. Bank	Misc. Expenses	302.11
2153	05/13/2020	Young Wooldridge LLP	Legal Counsel	6,357.72

THE FOREGOING CLAIM, NUMBERED 2141-2153 ARE APPLIED TO THE GENERAL FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **97,306.06**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

WARRANTS DRAWN AGAINST
Sites Project Authority-WSIP Fund
May 27, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
1038	05/13/2020	AECOM Inc.	Engineering	15,863.36
1039	05/13/2020	Brown and Caldwell	Project Controls	116,632.37
1040	05/13/2020	CH2M	Operations/SIM Modeling	93,369.39
1041	05/13/2020	Fechter & Company CPA	Accounting	10,760.40
1042	05/13/2020	Forsythe Group LLC	EPP Manager	30,000.00
1043	05/13/2020	Fugro USA Land, Inc.	Geotechnical Engineering Services	12,562.77
1044	05/13/2020	HDR Engineering Inc.	Project Integration	168,613.06
1045	05/13/2020	ICF Jones & Stokes Inc.	Env/Biological Services & Permitting	68,898.24
1046	05/13/2020	Larsen Wurzel & Associates, Inc.	Cost Development	458.00
1047	05/13/2020	MBK Engineers Inc.	Reservoir Operations	4,716.75
1048	05/13/2020	Montegue DeRose and Associates, Inc.	Municipal Advisor	12,725.00
1049	05/13/2020	Spesert Consulting	Bus/Comm Manager	21,343.76
1050	05/13/2020	Trapasso Consulting Services	Program Operations Manager	28,000.00
1051	05/13/2020	Waterology Consulting	Executive Director Services	33,750.00

THE FOREGOING CLAIM, NUMBERED 1038-1051 ARE APPLIED TO THE WSIP FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **617,693.10**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

Sites

May 10, 2020

Topic: Program Operations - Finance

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Sites Applications						
Adept Solutions *IT Related Services/Computer Equipment*	139076 *4/15/20*	03/20	$986.85	$197.37	$789.48	KMS
Adept Solutions *IT Related Services/Computer Equipment*	MSP-139049 *5/1/20*	5/20	$682.01	$136.40	$545.61	KMS
Adept Solutions *IT Related Services/Computer Equipment*	139187 *5/1/20*	3/20	$250.10	$50.02	$200.08	KMS
AECOM (Reservoir) (HR) *Design*	No Invoice					
AECOM Technical Services, Inc. *Engineering/Tech*	2000353965 *5/4/20*	4/20	$15,863.36		$15,863.36	LEF
Bender Rosenthal, Inc. (G) *Real Estate*	No Invoice					KMS
Brown and Caldwell (B) *Project Controls*	17371089 *5/4/20*	4/20	$116,632.37		$116,632.37	JAT
CH2M Hill Engineers (Operations) (D) *Operations / Simulation Modeling*	D3205400-012 *4/14/20*	3/20	$93,369.39		$93,369.39	AEF
CH2M/Jacobs (Conveyance) (HC) *Engineering Services*	No Invoice					
Colusa Indian Community Council *Tribal Council*	No Invoice					SPE
Darling H2O Consulting, Inc. *Organizational Assessment*	122 *5/1/20*	4/20	$1,299.38	$1,299.38		FD
Dunn Consulting *Legislative/Regulatory/Strategic Support*	Letter *5/5/20*	4/20	$8,000.00	$4,000.00	$4,000.00	KMS
Fechter and Company, CPAs *Accounting Services*	Letter *4/15/20*	4/20	$10,760.40		$10,760.40	JAT
Forsythe Group, LLC *EPP Manager*	SPA-202004 *5/4/20*	4/20	$30,000.00		$30,000.00	JB
Fugro (I) *Geotechnical Engineering Services*	04.72190035-13 *5/1/20*	4/20	$12,562.77		$12,562.77	LEF

Draft_0028085

Sites

May 10, 2020

Topic: Program Operations - Finance

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Gerald (Jerry) Johns	No Invoice						AEF
Project Operations							
HDR (A)	1200264393			$168,613.06		$168,613.06	JAT
Project Integration		*5/1/20*	4/20				
ICF Jones & Stokes, Inc. (Environ.) (E)	0146105			$36,310.66		$36,310.66	AEF
Env/Biological Services		*4/14/20*	3/20				
ICF Jones & Stokes, Inc. (Permitting) (F)	0146101			$32,587.58		$32,587.58	AEF
Permitting and Agreements		*4/14/20*	3/20				
J.C. Watson, Inc.	SPA-057			$38,230.00	$3,823.00	$34,407.00	JB
General Manager Services		*5/1/20*	4/20				
Katz & Associates (C)	413694			$17,737.49	$10,642.50	$7,094.99	KMS
Communications		*4/14/20*	3/20				
K-Coe Isom, LLP	KC084322			$2,695.00	$539.00	$2,156.00	JAT
Accounting		*4/30/20*	4/20				
Larsen Wurzel & Associates, Inc.	1609000-0320			$458.00		$458.00	JAT
Cost Development		*4/15/20*	3/20				
M.R. Cleaning Service	No Invoice						KMS
Office Cleaning							
Maximum Pest Control	50797			$65.00	$65.00		KMS
Pest Spraying		*4/23/20*	4/20				
MBK Engineers	20-03-4941.0			$4,716.75		$4,716.75	AEF
Reservoir Operations		*4/17/20*	3/20				
Montague DeRose & Associates, LLC	4851SITES			$12,725.00		$12,725.00	JAT
Municipal Advisor		*4/24/20*	3/20				
MT Shasta Water	486476			$10.00	$10.00		KMS
Office Water		*4/1/20*	4/20				
MT Shasta Water	483104			$9.65	$9.65		KMS
Office Water		*4/2/20*	4/20				
Perkins Coie, LLP	No Invoice						AEF
Special Legal							

Draft_0028086

Sites

May 10, 2020

Topic: Program Operations - Finance

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Recology Butte Colusa Counties	37208147		$35.95	$35.95		KMS
Office Trash Pickup	*4/30/20*	4/20				
Rush Personnel	IVC000000136553		$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services	*4/21/20*	4/20				
Rush Personnel	IVC000000136554		$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services	*4/21/20*	4/20				
Rush Personnel	IVC000000136720		$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services	*5/4/20*	4/20				
Rush Personnel	IVC000000136721		$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services	*5/4/20*	5/20				
Spesert Consulting	05-20		$21,343.76		$21,343.76	JB
Bus/Communications Manager	*5/4/20*	4/20				
Stradling, Yocca, Carlson & Rauth	No Invoice					JAT
Bond Counsel						
The Ferguson Group	0420149		$15,000.00	$7,500.00	$7,500.00	KMS
Federal Government Affairs Support	*4/1/20*	4/20				
Trapasso Consulting Services	SPA 17-31		$28,000.00		$28,000.00	JB
Program Operations Manager	*5/3/20*	4/20				
U.S. Bank - Credit Card	Online 5/1		$302.11	$226.58	$75.53	KMS
Misc. Expenses	*5/1/20*	4/20				
Waterology Consulting	1		$33,750.00		$33,750.00	JT/FD/JS
Executive Director Services	*5/1/20*	4/20				
William Avery & Associates	No Invoice					JAT
Personnel Recruiter						
Wiseman Consulting Group	No Invoice					KMS
ROW/Land Management						
Young Wooldridge, Law Offices, LLP	65164		$6,357.72	$2,838.11	$3,519.61	JAT
Legal Counsel	*4/30/20*	4/20				

Draft_0028087

Sites

May 10, 2020

Topic: Program Operations - Finance

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports. The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice # Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
			Total	$ Authority Board	$ Reservoir Committee	
Cost Accounts			[illegible]	[illegible]	[illegible]	
May 2020 Monthly Totals		JPA/Sites	97,306.06	32,501.92	64,804.14	
		WSIP	617,693.10		617,693.10	
Cumulative Summary		WSIP To Date	2,336,723.47	(3) Fund Checks Received		
		Balance	3,786,358.82	6,123,082.29		

Notes: *

Draft_0028088

Sites

May 10, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Board Approval Items							
Adept Solutions	139076			$986.85	$197.37	$789.48	KMS
IT Related Services/Computer Equipment		*4/15/20*	03/20				
Adept Solutions	MSP-139049			$682.01	$136.40	$545.61	KMS
IT Related Services/Computer Equipment		*5/1/20*	5/20				
Adept Solutions	139187			$250.10	$50.02	$200.08	KMS
IT Related Services/Computer Equipment		*5/1/20*	3/20				
AECOM (Reservoir) (HR)	No Invoice						
Design							
AECOM Technical Services, Inc.	2000353965			$15,863.36		$15,863.36	LEF
Engineering/Tech		*5/4/20*	4/20				
Bender Rosenthal, Inc. (G)	No Invoice						KMS
Real Estate							
Brown and Caldwell (B)	17371089			$116,632.37		$116,632.37	JAT
Project Controls		*5/4/20*	4/20				
CH2M Hill Engineers (Operations) (D)	D3205400-012			$93,369.39		$93,369.39	AEF
Operations / Simulation Modeling		*4/14/20*	3/20				
CH2M/Jacobs (Conveyance) (HC)	No Invoice						
Engineering Services							
Colusa Indian Community Council	No Invoice						SPE
Tribal Council							
Darling H2O Consulting, Inc.	122			$1,299.38	$1,299.38		FD
Organizational Assessment		*5/1/20*	4/20				
Dunn Consulting	Letter			$8,000.00	$4,000.00	$4,000.00	KMS
Legislative/Regulatory/Strategic Support		*5/5/20*	4/20				
Fechter and Company, CPAs	Letter			$10,760.40		$10,760.40	JAT
Accounting Services		*4/15/20*	4/20				
Forsythe Group, LLC	SPA-202004			$30,000.00		$30,000.00	JB
EPP Manager		*5/4/20*	4/20				
Fugro (I)	04.72190035-13			$12,562.77		$12,562.77	LEF
Geotechnical Engineering Services		*5/1/20*	4/20				

Sites

May 10, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Gerald (Jerry) Johns	No Invoice					AEF
Project Operations						
HDR (A)	1200264393		$168,613.06		$168,613.06	JAT
Project Integration	*5/1/20*	4/20				
ICF Jones & Stokes, Inc. (Environ.) (E)	0146105		$36,310.66		$36,310.66	AEF
Env/Biological Services	*4/14/20*	3/20				
ICF Jones & Stokes, Inc. (Permitting) (F)	0146101		$32,587.58		$32,587.58	AEF
Permitting and Agreements	*4/14/20*	3/20				
J.C. Watson, Inc.	SPA-057		$38,230.00	$3,823.00	$34,407.00	JB
General Manager Services	*5/1/20*	4/20				
Katz & Associates (C)	413694		$17,737.49	$10,642.50	$7,094.99	KMS
Communications	*4/14/20*	3/20				
K-Coe Isom, LLP	KC084322		$2,695.00	$539.00	$2,156.00	JAT
Accounting	*4/30/20*	4/20				
Larsen Wurzel & Associates, Inc.	1609000-0320		$458.00		$458.00	JAT
Cost Development	*4/15/20*	3/20				
M.R. Cleaning Service	No Invoice					KMS
Office Cleaning						
Maximum Pest Control	50797		$65.00	$65.00		KMS
Pest Spraying	*4/23/20*	4/20				
MBK Engineers	20-03-4941.0		$4,716.75		$4,716.75	AEF
Reservoir Operations	*4/17/20*	3/20				
Montague DeRose & Associates, LLC	4851SITES		$12,725.00		$12,725.00	JAT
Municipal Advisor	*4/24/20*	3/20				
MT Shasta Water	486476		$10.00	$10.00		KMS
Office Water	*4/1/20*	4/20				
MT Shasta Water	483104		$9.65	$9.65		KMS
Office Water	*4/2/20*	4/20				
Perkins Coie, LLP	No Invoice					AEF
Special Legal						

Sites

May 10, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Recology Butte Colusa Counties	37208147			$35.95	$35.95		KMS
Office Trash Pickup		*4/30/20*	4/20				
Rush Personnel	IVC000000136553			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*4/21/20*	4/20				
Rush Personnel	IVC000000136554			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*4/21/20*	4/20				
Rush Personnel	IVC000000136720			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*5/4/20*	4/20				
Rush Personnel	IVC000000136721			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*5/4/20*	5/20				
Spesert Consulting	05-20			$21,343.76		$21,343.76	JB
Bus/Communications Manager		*5/4/20*	4/20				
Stradling, Yocca, Carlson & Rauth	No Invoice						JAT
Bond Counsel							
The Ferguson Group	0420149			$15,000.00	$7,500.00	$7,500.00	KMS
Federal Government Affairs Support		*4/1/20*	4/20				
Trapasso Consulting Services	SPA 17-31			$28,000.00		$28,000.00	JB
Program Operations Manager		*5/3/20*	4/20				
U.S. Bank - Credit Card	Online 5/1			$302.11	$226.58	$75.53	KMS
Misc. Expenses		*5/1/20*	4/20				
Waterology Consulting	1			$33,750.00		$33,750.00	JT/FD/JS
Executive Director Services		*5/1/20*	4/20				
William Avery & Associates	No Invoice						JAT
Personnel Recruiter							
Wiseman Consulting Group	No Invoice						KMS
ROW/Land Management							
Young Wooldridge, Law Offices, LLP	65164			$6,357.72	$2,838.11	$3,519.61	JAT
Legal Counsel		*4/30/20*	4/20				

Sites

May 10, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice # Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by

		Total	$ Authority Board	$ Reservoir Committee
	Cash Accounts	714,999.16	32,501.92	682,497.24
May 2020 Monthly Totals	JPA/Sites	97,306.06	32,501.92	64,804.14
	WSIP	617,693.10		617,693.10
Cumulative Summary	WSIP To Date	2,336,723.47	(3) Fund Checks Received	
	Balance	3,786,358.82	6,123,082.29	

Notes: *

Draft_0028092

Sites

MAY KEY CONSULTANT BUDGET REPORT

FOR AUTHORITY BOARD AND RESERVOIR COMMITTEE,
FINANCE AND ECONOMICS AD HOC COMMITTEE

Area / Consultant		Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
a) HDR			Costs and Task % as of 04/20 Services	**1,499,864**	**1,499,864**	**675,474**	**824,390**	**45%**		
	2	A01	Communications Int.		-	-	-	-	100%	
	2	A02	Ops Modeling Int.		181,007	14,038	166,969	8%	30%	
	2	A03	Env. Planning Int.		149,357	96,118	53,239	64%	45%	
	2	A04	Permitting Int.		243,884	138,496	105,388	57%	45%	
	2	A05	Real Estate Int.		139,718	12,392	127,326	9%	25%	
	2	A06	Engineering Int.		299,476	150,326	149,150	50%	45%	
	2	A07	Geotech Int.		-	-	-	-	100%	
	2	A08	Controls Int.		224,387	117,420	106,967	52%	45%	
	2	A09	General Int.		98,267	108,358	(10,091)	110%	45%	
	2	A10	Authority's Engineer		-	-	-	-	100%	
	2	A11	Health, Safety & Loss Prevention		-	-	-	-	100%	
	2	A12	Quality Management		-	-	-	-	100%	
	2	A13	Risk Management		49,890	7,249	42,641	15%	10%	
	2	A14	IT		15,831	6,357	9,474	40%	45%	
	2	A15	GIS		-	765	(765)	-	100%	
	2	A16	Document Management		3,734	2,604	1,130	70%	45%	
	2	A17	Staff Support		14,004	1,929	12,075	14%	20%	
	2	A18	Satellite Project Office		-	-	-	-	100%	
	2	A19	Land Conservation Approach		-	-	-	-	100%	
	2	A98	HDR Project Management		40,309	14,224	26,085	35%	45%	
	2	A99	Expenses		40,000	5,198	34,802	13%	45%	
b) BC			Costs and Task % as of 04/20 Services	**899,156**	**899,156**	**460,055**	**439,101**	**51%**		
	2	B01	Project Controls		113,645	49,703	63,942	44%	45%	
	2	B02	Contract Administration and Compliance		492,414	231,523	260,891	47%	45%	
	2	B03	Work Planning and Scheduling		100,341	88,452	11,889	88%	50%	
	2	B04	Project Administrative Support		117,516	64,018	53,498	54%	50%	
	2	B05	PMP Sections		-	-	-	-	-	
	2	B98	Project Management		73,240	24,660	48,580	34%	40%	
	2	B99	Expenses		2,000	1,700	300	85%	90%	

Draft_0028094

Area / Consultant		Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
c) K&A			Costs and Task % as of 03/20 Services	**199,930**	**199,930**	**50,106**	**149,824**	**25%**		
	2	C01	Local/Landowner Outreach		14,083	56	14,027	0%	-	
	2	C02	Statewide Outreach		22,000	620	21,380	3%	-	
	2	C03	NGO Outreach		12,166	1,085	11,081	9%	-	
	2	C04	Strategic Communications		19,666	4,039	15,627	21%	35%	
	2	C05	Message Development/Training		11,831	1,410	10,421	12%	20%	
	2	C06	Informational Materials Distribution		38,500	11,069	27,431	29%	20%	
	2	C07	Website Modifications and Management		18,600	4,014	14,586	22%	35%	
	2	C08	Video & Photography		-	-	-	-	-	
	2	C09	Authority/Reservoir Committee Public Affairs Support		14,500	4,495	10,005	31%	35%	
	2	C10	Media Relations		13,084	5,598	7,487	43%	30%	
	2	C11	Social Media		5,000	1,174	3,826	23%	20%	
	2	C98	Project Management		30,500	14,090	16,410	46%	37%	
	2	C99	Expenses		-	2,457	(2,457)	-	-	
d) CH2-d			Costs and Task % as of 03/20 Services	**993,000**	**993,000**	**253,417**	**739,583**	**26%**		
	2	D01	Permitting and Resource Agency Technical Support		100,000	59,710	40,290	60%	88%	
	2	D02	Environmental Document Support		356,853	30,510	326,343	9%	7%	
	2	D03	Total Operations Technical Support		300,000	85,682	214,318	29%	50%	
	2	D04	Value Planning		88,000	52,117	35,883	59%	80%	
	2	D98	Project Management		31,147	24,940	6,207	80%	40%	
	2	D99	Subs and ODCS		117,000	458	116,542	0%	25%	
e) ICF-e			Costs and Task % as of 03/20 Services	**428,000**	**428,000**	**77,003**	**350,997**	**18%**		
	2	E01	Draft EIS/EIR Review and Strategic Consultation		-	-	-	-	-	
	2	E02	Prepare Admin Final Responses to Comments		-	-	-	-	-	
	2	E03	Prepare Administrative Final EIR/EIS		15,000	14,967	33	100%	-	
	2	E04	Begin Preparation of Administrative Record for Fin		-	-	-	-	-	
	2	E05	Engagement		-	-	-	-	-	
	2	E06	Geotechnical Environmental Document		-	-	-	-	-	
	2	E07	Develop Environmental Document Work Plan		110,000	29,883	80,117	27%	35%	
	2	E08	Support Development of EIR/EIS Project Description		180,000	9,921	170,079	6%	3%	
	2	E09	Prepare Annotated Outline		88,000	-	88,000	-	-	

Sites

MAY KEY CONSULTANT BUDGET REPORT

FOR AUTHORITY BOARD AND RESERVOIR COMMITTEE,
FINANCE AND ECONOMICS AD HOC COMMITTEE

5/8/2020

Area / Consultant		Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
	2	E98	Project Management		31,500	22,232	9,268	71%	15%	
	2	E99	Expenses		3,500	-	3,500	-	12%	
f) ICF-f			Costs and Task % as of 03/20 Services	**708,300**	**708,300**	**117,703**	**590,597**	**17%**		
	2	F01	Finalize Joint Biological Assessment		-	-	-	-	-	
	2	F02	Finalize Geotechnical Permits and Fieldwork		297,120	35,070	262,050	12%	25%	
	2	F03	Prepare Section 106 Documents		-	-	-	-	-	
	2	F04	Begin Preparation of Permits and Agreements		26,000	25,976	24	100%	-	
	2	F05	Prepare for 2020 Field Work Studies		-	-	-	-	-	
	2	F06	Strategize on Mitigation and Adaptive Management P		-	-	-	-	-	
	2	F07	Early Coordination and Development of Key Permits		333,740	27,076	306,664	8%	15%	
	2	F98	Project Management		35,000	23,479	11,521	67%	10%	
	2	F99	Expenses		16,440	6,102	10,338	37%	15%	
g) BRI			Costs and Task % as of 01/20 Services	**762**	**762**	**762**	**0**	**100%**		
	2	G01	Develop Draft Right-of-Way Manual Sections		-	-	-	-	-	
	2	G02	Right-of-Way Planning Efforts		-	-	-	-	-	
	2	G03	Right-of-Entry / Early-Access Program		517	517	0	100%	-	
	2	G04	Property Management Program		-	-	-	-	-	
	2	G05	Public Outreach and Community Engagement		-	-	-	-	-	
	2	G98	Project Management / QC		245	245	0	100%	-	
	2	G99	Expenses		-	-	-	-	-	
i) FUG			Costs and Task % as of 04/20 Services	**85,995**	**85,995**	**36,973**	**49,022**	**43%**		
	2	I01	Data Review and Develop 2019 Feasibility Study Work Plan		-	-	-	-	-	
	2	I02	- Obsolete -		-	-	-	-	-	
	2	I03	2019 Site Investigation		-	-	-	-	-	
	2	I04	Future Site Investigation Work Plan for Design		-	-	-	-	-	
	2	I05	Assessment of Proposed Field Data Collection Points		50,786	14,474	36,312	28%	29%	
	2	I98	Project Management		35,209	22,499	12,710	64%	65%	

Draft_0028096

Sites

Topic: **Authority Board Agenda Item 1.4** **2020 May 27**

Subject: **Award Strategic Planning Facilitation Service Contract**

Requested Action:

Consider approval of a consulting contract with The Catalyst Group for facilitation services in an amount not to exceed $50,000 and approve a time extension through December 31, 2020 for the existing contract with Darling H2O.

Detailed Description/Background:

The Reservoir Committee and Authority Board issued a Request For Proposal on April 23, 2020. Three responses were received from the shortlisted firms. A selection panel made up of Authority Staff, Reservoir Committee members and Authority Board members reviewed the proposals and invited all three for an interview. After conducting the interviews, the selection panel unanimously chose The Catalyst Group because of their strong experience working with organizations and addressing challenges similar to the Sites project, and their unique approach to the work.

The scope of work primarily involves designing a strategic planning program around the findings of the November 2019 draft Organizational Assessment that the facilitator thinks are most important to the success to the Project and include, but not limited to mission/vision, governance, subcommittees, outside relationships, communication and trust.

This planning session needs to be an in-person meeting, so timing is dependent on the shelter in place orders being lifted.

The selection committee consisted of the Executive Director, Authority Program Manager, both Chairs of the Reservoir Committee and Authority Board, and two Budget and Finance Workgroup members.

A contract has been prepared for execution which has been approved by legal and is consistent with the scope of work and budget approved by the Authority in the Amendment 1B Work Plan.

Following strategic planning there likely will be implementation activities to incorporate outcomes in business process changes. Staff will require assistance for this work and proposes to use a combination of The Catalyst Group and Darling H2O consulting services. The Darling H2O contract currently expires June 30, 2020 and requires the Authority to approve a contract extension through December 31, 2020.

Fiscal Impact:

Sufficient funds exist in the Phase 1B work plan budget to pay for the facilitation services. The contract will be structured to allow up to $25,000 for the strategic plan development and up to $25,000 for additional services for a total not to exceed amount of $50,000. The Darling H2O contract has $21,597 remaining in their approved contract budget that will not be exceeded.

Prior Action:

April 23, 2020: Approved following actions by the Authority Board relative to the approved Organization Assessment (OA) Report as follows: a) accept the plan and schedule for addressing the report findings and recommendations, b)concur with the scope, schedule and budget for strategic planning facilitation services, and c) approve release of a Request for Proposals for strategic planning facilitation services.

December 20, 2019: Approved Darling H20 to expand the scope of work to support the recruitment of a facilitator for Strategic Planning.

November 21, 2019: Darling H20 Consulting, Inc. presented the draft Organizational Assessment.

August 26, 2019: Approved a budget reallocation for the organizational assessment and execution of a sole-source professional services agreement with Darling H2O to perform an organizational assessment.

July 22, 2019: Discussed working on an organizational assessment plan to evaluate the structure of the Sites Project's program management team, Reservoir Committee, and the Authority.

Fiscal Impact/Funding Source:

Sufficient funds are available for this activity in the current work plan.

Staff Contact:

Jerry Brown

Attachments:

None.

Sites

Topic: **Authority Board Agenda Item 1.5** **2020 May 21**

Subject: **Reclamation Financial Assistance Agreement**

Requested Action:

Consider approval of Resolution No. 2020-01, providing for an application to the USBR for a Financial Assistance Agreement (FAA)for WIIN Act funds.

Detailed Description/Background:

The 2019 federal budget appropriated $6M in WIIN act funds toward the Sites Reservoir Project. The proposed Resolution is needed to initiate development of the FAA. A separate action will be necessary to authorize executing the FAA.

Staff has been working closely with Reclamation staff to prepare the FAA. Reclamation's participation will be a 50/50 percent cost share with $4 million of funding dedicated to Sites expenses and the other $2M toward Reclamation expenses on the Sites project. Staff is working with Reclamation to determine if $1million of the funding dedicated to Sites expenses will either come in cash or contributed work performed by Reclamation, either way there will be no impact on the Amendment 2 Work Plan. This funding will allow the project to continue development of the environmental documents and permits. The scope does not commit the Authority to any specific type of reservoir project. Should the project not get built, these funds are not required to be paid back to the federal government.

Prior Action:

None.

Fiscal Impact/Funding Source:

The approved phase 2 work plan includes $4M of WIIN Act funding to advance project environmental planning and engineering work. The funds are expected to be received in early 2021.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Resolution 2020-01

Draft_0028100

BEFORE THE BOARD OF DIRECTORS OF THE SITES PROJECT AUTHORITY

RESOLUTION NO. 2020-01

In the Matter of:

Authorizing Execution of Documents for the Financial Assistance Agreement with the Bureau of Reclamation utilizing the WIIN Act Funding.

WHEREAS, the United States Bureau of Reclamation (Reclamation) is considering participation in the Sites Reservoir Project under Water Infrastructure Improvements for the Nation (WIIN) Act, Public Law No: 114-322; and

WHEREAS, Section Sec. 4007 of the WIIN Act authorizes the United States Department of Interior, among other things, to participate in state-led water storage projects in an amount up to 50% of the total project cost; and

WHEREAS, Reclamation's participation is consistent with Section 14 of the Reclamation Project Act of 1939; and

WHEREAS, it is provided in the Further Consolidated Appropriations Act, 2020 H. R. 1865—132, enacted into law December 20, 2020: "That in accordance with section 4007 of Public Law 114–322, and as recommended by the Secretary in a letter dated February 13, 2019, funding provided for such purpose in fiscal years 2017 and 2018 shall be made available to...the North-of-the Delta Off stream Storage (Sites Reservoir Project)"; and

WHEREAS, the Project Description for Sites Reservoir Project listed in the Secretary letter dated February 13, 2019 states, "This project includes an additional 1.8 million AF of off-stream surface storage to restore flexibility and adaptability to Central Valley Project (CVP) and State Water Project (SWP) operations. Funds will be used to address any comments on the final Feasibility Report, advance the EIS/EIR, and various related activities, including execution of a cost-sharing agreement with the Sites Project Authority."; and

WHEREAS, the Sites Project Authority ("Authority") is a joint powers authority formed by and existing among various irrigation districts and other local water districts and local governments within the Sacramento River hydrologic region pursuant to a Joint Exercise of Powers Agreement as last amended Feb 13, 2017, and through a Reservoir Committee established by the Authority has partners that are not located within said hydrologic region, participating with development of the Sites Project; and

WHEREAS, the Bureau of Reclamation is intending to award the Authority up to $4,000,000 for the Financial Application Agreement.

NOW, THEREFORE BE IT RESOLVED by this Board of Directors as follows:

1. The foregoing recitals are true and correct.
2. The Executive Director, or their designee, is authorized and directed to prepare the necessary data, conduct investigations, file such applications, execute a funding agreement and any amendments thereto, and sign invoices with the Bureau of Reclamation related to the Financial Assistance Agreement from the WIIN Act funding and its implementing regulations.
3. The Authority's officers and Executive Director are authorized to do all things necessary and proper to carry out and implement the above reference matters with the Bureau of Reclamation.

PASSED, APPROVED AND ADOPTED by the Board of Directors of the Sites Project Authority this 27th day of May 2020, by the following vote:

AYES:

NOES:

ABSTAIN:

ABSENT:

I, Fritz Durst, Chair Authority Board of the Site Project Authority, do hereby certify that the resolution set forth above is true and accurate copy of the resolution adopted by the Board of Directors of the Sites Project Authority at a duly called meeting of the Board on May 27, 2020 and that said resolution has not been rescinded, amended or modified and is in full force and effect as of the date hereof.

In Witness Whereof, I have executed this certificate this 27th day of May 2020.

_____

Fritz Durst, Chair Authority Board, Sites Project Authority

Sites

Topic: **Authority Board Agenda Item 2.1** **2020 May 27**

Subject: **Amendment 2 Cash Call**

Requested Action:

Consider acceptance of the attached Exhibit B (2020 and 2021 budget) to the Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the results of the "soft call". Consider approving one of the following actions:

A. Approve moving to the "hard call" and the use of the attached participation table to create a draft Exhibit A to be included with Amendment 2.

B. Direct staff to begin outreach to potential participants based on the priority system defined in participation agreements and the credit reimbursement policy to explore interest in Sites capacity, if available.

Detailed Description/Background:

Acting on feedback received at the April Reservoir Committee meeting, staff has developed a two-step cash call process with the following objectives:

1. Ensure continued full subscription in the rightsized project.
2. Provide more time prior to the first funding request in light of the COVID-19 natural disaster and its long-term financial impacts.
3. Identify other revenue sources and possibly reduce costs to further reduce the cash call.

Soft Call Preliminary Participation Results:

Soft call survey responses were due on May 15th and the results are summarized below:

- 19 out of 21 agencies have responded, representing 191,942 AF out of 192,892 AF current participation (99.5%).
- On net it appears we can still achieve full subscription, but there is some reduction in participation levels and geographic balance will need to be addressed.
- Existing participants identified interest in 5,000 AF of additional capacity, if available.
- Prior participants expressed interest in 10,000 AF of capacity, if available.

Attachment A shows the preliminary participation results.

Potential Participant Outreach and Priority:

Soft call results indicate subscription will likely be reduced below the current level of 192,892 acre feet. Staff can begin outreach to potential participants and report back at the June meeting. The following priority will be given to participants based on the credit reimbursement policy:

1. The goal is total Sac Valley participation of ~25%. Priority is given to current, prior and new Sac Valley participants in that order.
2. Existing Reservoir Committee participating members (with sub-priorities by seniority)
3. Prior Reservoir Committee participating members (with sub-priorities by exit date)
4. Nonparticipants. Priority for new participants outside of Sac Valley.

New participants must be approved for admission according to Section 10 of the Participation Agreement, which includes a 75% weighted approval at the Reservoir Committee and 75% of Authority Board director approval as well as other requirements.

Per the credit reimbursement policy, new, returning, and increasing participants would be responsible for paying their share of the project costs to date. Departing or reducing participants would be frozen at the priority they left under. For returning participants, the new amount owed will be reduced by their contributed credit balance. The below table shows the prior and future participation commitments. Any prior commitments owed would be due along with the first invoice for Amendment 2 on Nov 1, 2020. Payment for prior commitments would be distributed according to the credit reimbursement policy.

Agreement / Work Plan	Cost / AF Participation	Period End
Phase 1	$48.50/AF	Mar 31, 2019
Phase 2 (2019)	$60/AF	Dec 31, 2019
Phase 2 (2019) Amendment 1B	$0/AF	Aug 31, 2020
Prior Commitments Total*	**$108.50/AF**	
Phase 2 (2019) Amendment 2	$100/AF	Dec 31, 2021
Installment 1, Nov 1, 2020	$60/AF	
Installment 2, Apr 1, 2021**	$40/AF	
Phase 2 Future Commitments	**$100/AF**	
Total Buy-in	**$208.50/AF**	

* Prior commitment payments would be utilized per the credit reimbursement policy consistent with prior actions.
** Second installment is not-to-exceed $40 and will be evaluated in early 2021.

Reduced Participation Scenario:

If after the outreach step participation remains reduced, the Amendment 2 work plan would need to be revised to reflect a reduction in revenue or there would need to be an increase in the $100/AF with reduced subscription. Exhibit A and

Exhibit B to Amendment 2 would be updated to reflect final approved participation levels and the budget, respectively. These next steps would be discussed during future Reservoir Committee and Authority Board meetings.

Cash Call Timing:

The proposed cash call schedule is:

- $60/AF due Nov 1, 2020
- Up to $40/AF due Apr 1, 2021

The possibility of individual agencies opting to pay the full $100/AF on November 1, 2020 has been discussed in the coordination work group. Participants would essentially be pre-paying the second cash call of up to $40/AF (due April 1, 2021). Staff has explored possible financial and non-financial incentive scenarios.

The financial incentive considered was related to interest earned on prepaid funds. Assuming 50% of participants prepaid the full $100/AF and the money was held for six months before other participants paid in, the total interest earned is estimated to be less than $50,000. Based on this analysis, developing policies and systems for tracking interest at a participant level represent increases in administrative costs in excess of the benefit. Financial incentives are not planned based on this analysis.

The non-financial incentive for pre-payment relates to maintaining project schedule while accommodating delayed payment from agencies who have constraints with the timing of the funding request. This is not quantifiable but represents good will and commitment to the project.

Should an agency desire to prepay the full $100/AF on November 1st, the Authority has the ability to track accounts receivable and maintain an accurate participant ledger.

Schedule:

Responses from the soft call indicate that the earliest planned board date is May and the latest planned board date is October. This range of schedules can be accommodated. The plan is to issue invoices October 1 at $60/AF, due for payment on November 1.

Prior Action:

May 5, 2020: At a Reservoir Committee workshop, staff provided information on changes to the cash call process and schedule in light of potential economic impacts of the COVID-19 natural disaster on participating member agencies. A two-step cash call process including a "Soft Call" and a "Hard Call" will be used to better plan for changes in participation. The due date of the first cash call of $60/AF will be moved two months out to November 1, 2020. The due date of the second cash call will be moved two months out to April 1, 2021 and will be up to $40/AF. The Amendment 2 work will still begin on September 1, 2020.

April 17, 2020: The Reservoir Committee approved the Amendment 2 Work Plan for the purposes of planning cash calls for participants totaling $100/AF, producing a draft budget exhibit to the participation agreement, and developing consultant task orders.

March 30, 2020: At a joint workshop, staff provided information that included a process overview of task order development; an updated project schedule; cash flows for Reservoir Committee and Authority Board; and preliminary task budgets including assigned resources.

March 19, 2020: Staff provided information on the goals, schedule, cash flow, and preliminary budget allocation by subject assuming a cash call of $100/AF. Staff provided a preliminary cash call schedule of $60/AF due September 1, 2020 and $40 due February 1, 2021.

February 21, 2020: Staff provided information on three possible revenue scenarios and a task list for Amendment 2 (September 1, 2020 and ending December 31, 2021). Staff received direction to continue to develop the $100/AF revenue scenario.

January 17, 2020: Staff provided information regarding the proposed process for developing a work plan. This work plan proposes to focus on improving certainty related to project operations, permitting, and affordability, and to meeting the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive funding.

Fiscal Impact/Funding Source:

The total cash call remains unchanged from the approved Amendment 2 work plan in the total amount of $100/AF. With the Amendment 1B carryover funds it may be possible to reduce the total cash call. However, Staff proposes to keep the first call at $60/AF and reassess the second call currently projected at $40/AF midway through the Amendment 2 work. At that time, any adjustments to the second call can be considered based on the then current projections. Participating members can consider the second call to be an "up to" amount since the Amendment 1B carryover represents previously unanticipated funds for the Amendment 2 work plan.

Staff Contact:

Jerry Brown

Attachments:

Attachment A – Preliminary Amendment 2 Participation Table

Attachment B – Exhibit B Reservoir Committee 2020 and 2021 Work Plan

Preliminary Amendment 2 Participation Table
Reservoir Committee

Based on preliminary soft call survey results as of 12pm Wednesday, 5/20/2020

Working Draft, Subject to Change

Participant	Phase 2 (2019) Participation Level (Ref, AF)	Participation Level (AF) Staff Recommendation	Variance (AF)	Participation Increase Interest (AF)
Carter Mutual Water Company	300	300	0	
City of American Canyon	4,000	4,000	0	
Coachella Valley Water District	10,000	10,000	0	
Colusa County	10,000	10,000	0	
Colusa County WD	11,975	11,000	-975	Possibly
Davis Water District	2,000	2,000	0	
Dunnigan WD	2,717	2,717	0	
Desert WA	6,500	6,500	0	
Glenn Colusa Irrigation District	5,000	5,000	0	
LaGrande water district	1,000	1,000	0	
Metropolitan Water District of Southern California	50,000	50,000	0	
RD-108	4,000	4,000	0	
San Bernardino Valley Municipal Water District	21,400	21,400	0	
San Gorgonio Pass Water Agency	14,000	14,000	0	
Santa Clara Valley WD	16,000	16,000	0	
Santa Clarita Valley Water Agency	5,000	5,000	0	5,000
Westside W.D.**	15,000	2,000	-13,000	
Zone 7 Water Agency	10,000	10,000	0	
Wheeler Ridge-Maricopa WSD	3,050	3,050	0	
Antelope Valley East Kern WA	500	Pending	-500	
Cortina WD	450	Pending	-450	
Pacific Resources MWC*	0	Pending	0	10,000
Total	**192,892**	**177,967**	**-14,925**	**15,000**

**Phase 1 participant, no survey response received. Interest expressed by a responding Phase 2 participant.*

***Indicated possibility for higher participation, lower projected participation level shown*

Blue text indicates no survey response received

Exhibit B
Reservoir Committee
2020 and 2021 Work Plan

May 21, 2020 Reservoir Committee Working Draft

Reservoir Committee Annual Budget for FY 2020 and FY 2021 ($000)

	Subject Area	2020	2021	Total
Revenue	Beginning Balance	$6,847	$0	$6,847
	Participation Revenue	$11,520	$7,680	$19,200
	Federal Revenue	$0	$4,000	$4,000
	State Revenue	$5,134	$5,502	$10,636
Revenue Total		$23,501	$17,182	$40,683
Expenses	Permitting	($2,558)	($5,011)	($7,569)
	Early Mitigation	($243)	($2,257)	($2,500)
	Environmental Planning	($3,511)	($2,376)	($5,887)
	Operations Modeling	($3,486)	($536)	($4,022)
	Engineering	($4,360)	($2,180)	($6,540)
	Geotechnical	($1,142)	($2,003)	($3,145)
	Real Estate	($145)	($272)	($417)
	Communications	($489)	($579)	($1,068)
	Project Controls	($1,333)	($1,528)	($2,861)
	Funding	($777)	($590)	($1,367)
	Growth	($819)	($910)	($1,729)
	Management	($461)	($1,219)	($1,681)
	Support	($248)	($388)	($636)
Expenses Total		($19,573)	($19,849)	($39,422)
Grand Total		**$3,928**	**($2,666)**	**$1,261**

Annual expense budgets are based on the projected spend rate for the Amendment 1B and Amendment 2 work plans combinded (Pg 2 and 3).

Amendment 1B Budget by Month ($000s)

Reservoir Committee Work Plan Summary

Subject Area		Jan 20	Feb 20	Mar 20	Apr 20	May 20	Jun 20	Jul 20	Aug 20	Total
Revenue										
	Beginning Balance*	$6,847								$6,847
	Participation Revenue									$0
	Federal Revenue									$0
	State Revenue	$3,300								$3,300
Revenue Sum		$10,147								$10,147
Expenses										
	Environmental Planning	($269)	($245)	($269)	($269)	($245)	($257)	$0	$0	($1,555)
	Operations Modeling	($519)	($472)	($355)	($220)	($116)	($85)	($55)	($53)	($1,876)
	Engineering	$0	($151)	($237)	($239)	($247)	($272)	($248)	($205)	($1,600)
	Geotechnical	$0	$0	($27)	($118)	($107)	($118)	($118)	($113)	($601)
	Real Estate	($7)	($6)	($7)	($7)	($6)	($7)	($7)	($7)	($55)
	Communications	($38)	($35)	($38)	($38)	($35)	($38)	($38)	($36)	($297)
	Project Controls	($255)	($77)	($85)	($85)	($77)	($85)	($85)	($81)	($828)
	Funding	($74)	($109)	($120)	($121)	($104)	($61)	($37)	($35)	($661)
	Growth	($93)	($84)	($93)	($93)	($84)	($93)	($93)	($88)	($719)
	Management	$0	$0	$0	($1)	($23)	($25)	($9)	$0	($58)
	Support	($15)	($14)	($15)	($15)	($14)	($15)	($15)	($15)	($120)
Expenses Sum		($1,271)	($1,194)	($1,247)	($1,206)	($1,059)	($1,056)	($705)	($633)	($8,370)

**Adjusted from value published in work plan based on 2019 close-out*

Amendment 2 Budget by Month ($000s)

Reservoir Committee Work Plan Summary

Subject Area	Sep 20	Oct 20	Nov 20	Dec 20	Jan 21	Feb 21	Mar 21	Apr 21	May 21	Jun 21	Jul 21	Aug 21	Oct 21	Sep 21	Nov 21	Dec 21	Total
Revenue																	
Participation Revenue			$11,520					$7,680									$19,200
Federal Revenue							$2,000				$2,000						$4,000
State Revenue			$1,834			$1,834						$1,834			$1,834		$7,336
Revenue Total			$13,354			$1,834	$2,000	$7,680			$2,000	$1,834			$1,834		$30,536
Expenses																	
Permitting	($253)	($577)	($1,023)	($705)	($525)	($525)	($584)	($471)	($419)	($448)	($295)	($309)	($295)	($295)	($613)	($232)	($7,569)
Early Mitigation	$0	$0	($113)	($131)	($119)	($119)	($136)	($131)	($119)	($235)	($231)	($242)	($231)	($231)	($220)	($242)	($2,500)
Environmental Planning	($488)	($512)	($442)	($513)	($474)	($474)	($218)	($71)	($65)	($71)	($61)	($62)	($216)	($42)	($343)	($279)	($4,332)
Operations Modeling	($621)	($680)	($232)	($78)	($71)	($71)	($81)	($72)	($43)	($48)	($46)	($48)	($11)	($46)	$0	$0	($2,146)
Engineering	($1,134)	($768)	($398)	($461)	($108)	($185)	($355)	($292)	($221)	($190)	($161)	($186)	($155)	($155)	($147)	($25)	($4,941)
Geotechnical	($52)	($54)	($61)	($374)	($346)	($461)	($513)	($134)	($124)	($172)	($42)	($44)	($42)	($42)	($40)	($42)	($2,544)
Real Estate	($23)	($24)	($20)	($24)	($21)	($21)	($25)	($24)	($21)	($24)	($23)	($24)	($23)	($23)	($21)	($24)	($362)
Communications	($48)	($50)	($43)	($50)	($46)	($46)	($52)	($50)	($46)	($50)	($48)	($50)	($48)	($48)	($46)	($50)	($771)
Project Controls	($126)	($132)	($114)	($132)	($120)	($120)	($138)	($132)	($120)	($132)	($126)	($132)	($126)	($126)	($120)	($132)	($2,033)
Funding	($29)	($30)	($26)	($30)	($64)	($66)	($76)	($73)	($66)	($71)	($29)	($30)	($29)	($29)	($28)	($30)	($706)
Growth	($25)	($26)	($23)	($26)	$0	$0	($38)	($36)	($33)	($36)	($35)	($154)	($146)	($146)	($139)	($148)	($1,010)
Management	($101)	($106)	($91)	($106)	($96)	($96)	($110)	($106)	($96)	($106)	($101)	($106)	($101)	($101)	($96)	($106)	($1,623)
Support	($32)	($34)	($29)	($34)	($31)	($31)	($35)	($34)	($31)	($34)	($32)	($34)	($32)	($32)	($31)	($34)	($516)
Expenses Total	($2,931)	($2,994)	($2,616)	($2,663)	($2,020)	($2,214)	($2,362)	($1,624)	($1,404)	($1,616)	($1,230)	($1,422)	($1,454)	($1,314)	($1,844)	($1,945)	($31,052)

Sites

Topic: **Authority Board Agenda Item 2.2** **2020 May 27**

Subject: **Second Amendment to 2019 Reservoir Project Agreement**

Requested Action:

Consider approval of the Second Amendment to 2019 Reservoir Project Agreement (Second Amendment).

Detailed Description/Background:

Staff worked with Authority bond counsel (Stradling Yocca Carlson & Rauth) and the Budget and Finance ad hoc Committee to prepare the proposed amendment. This amendment addresses multiple items including; 1) allowing for potential participation changes, 2) extending the agreement through December 2021 which is the end of the Amendment 2 Work Plan and 3) incorporating the Amendment 2 Work Plan revenues and expenses.

In prior staff reports a home board package was referenced to include the materials necessary for executing the Amendment 2 Work Plan. After further evaluation, Staff will be providing the home board package in a format as follows:

- A letter will be provided to each participant member representative requesting action by the agency. (Attachment A) The deadline to receive all the executed amendment forms is September 10, 2020.
- Accompanying the letter will be the Second Amendment for action by the authorized agency designee. (Attachment B)
- A web-based portal has been created and stores all of the information materials for download as needed by each agency. Staff is available to assist with these materials.

Prior Action:

April 17, 2020: Requested staff to address additional items in the draft Second Amendment to 2019 Reservoir Project Agreement including potential participation level changes.

November 21, 2019: Provided input to staff to aid in development of a work plan through June 30, 2020.

October 18, 2019: Approved the First Amendment to 2019 Reservoir Project Agreement.

September 20, 2019: Approved a no-cost extension of time to complete activities defined in the Agreement's work plan (aka Exhibit B). Their approval extended

Draft_0028114

the Agreement's period of performance December 31, 2019 through March 31, 2020.

Fiscal Impact/Funding Source:

Execution of the second amendment creates the contractual commitment by each agency to fund the Amendment 2 work plan through December 2021. It is likely that the Second Amendment will not be completely executed before the current amended agreement expiration of June 30, 2020. While not desirable, this situation was necessary to allow the flexibility and time that agencies needed for their cash call decision making. There is no impact on the project since the Phase 1B funds have already been received.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Draft Cover Letter
Attachment B: Second Amendment to 2019 Reservoir Project Agreement

Sites

May 21, 2020

Dear Reservoir Committee Member,

In April 2020, actions were taken by the Project and Authority governing bodies to adopt the April 13, 2020, Sites Project Value Planning Alternatives Appraisal Report and the Amendment 2 Work Plan which together constitute the scope, schedule and budget for the next stage of project development through December 2021. In taking these actions, funding requirements were set which established the timing and amount due from each participating member that wishes to continue its participation in the Project during the Amendment 2 Work Plan period.

As a participating member in the original Phase 2 Project Agreement dated April 1, 2019 and the First Amendment dated January 1, 2020, the Authority hereby requests your determination of interest in continued participation by returning the attached Second Amendment completed and signed by your authorized agency designee, **no later than September 10, 2020.**

Please note that the Second Amendment requires the following information in addition to the authorized signature on the amendment:

- Indicate the amount of capacity shares in acre feet that you are securing through this phase of the project which, in accordance with the amended Section 6, will represent the capacity share amount to which your agency has first rights of refusal in any future phase of work. These capacity share amounts indicated by each participating member will be used for the final Exhibit A of the Second Amendment.
- Indicate your commitment to provide up to the total funding required for the capacity shares you have designated. The unit cost is the total for the original agreement already paid ($60/AF) and the new commitment for the Amendment2 Work Plan (not to exceed $100/AF).

We have prepared a set of documents for you to use in your agency deliberations of this request. Documents are available for you to download at this link: https://brwncald-my.sharepoint.com/:f:/p/irobinette/Epm9jMLcDwNOhlMc2840b6EBFm-iqGMQPzc-HU2pCxovcQ?e=Z6687k. Don't hesitate to contact Kevin Spesert, Sites Project Authority, External Affairs Manager, at kspesert@sitesproject.org if you need assistance with any of these materials.

The project team is excited to embark on this ambitious Amendment 2 Work Plan. It is critical that you receive timely information for your next decision prior to December 2021 where we will be seeking commitment of the total project local cost share which is one of the conditions for receiving the $816M State WSIP funds.

Please don't hesitate to contact me if there are any questions or you need my support regarding this request.

Jerry Brown
Executive Director, Sites Project Authority

Sincerely,

_____
Jerry Brown, Executive Director
Sites Project Authority

SECOND AMENDMENT TO 2019 RESERVOIR PROJECT AGREEMENT

BY AND AMONG

SITES PROJECT AUTHORITY

and

THE PROJECT AGREEMENT MEMBERS LISTED HEREIN

Dated as of July 1, 2020

THIS SECOND AMENDMENT TO 2019 RESERVOIR PROJECT AGREEMENT (this "Second Amendment"), dated as of July 1, 2020, by and among SITES PROJECT AUTHORITY, a joint powers authority duly organized and existing under the laws of the State of California (the "Authority"), and the project agreement members listed in the Agreement referenced below (the "Project Agreement Members") and amends that certain 2019 Reservoir Project Agreement dated as of April 1, 2019 (the "Original Agreement"), as previously amended by the First Amendment to 2019 Reservoir Project Agreement dated as of January 1, 2020 (the "First Amendment" and, together with the Original Agreement, the "Agreement"), each by and among the Authority and the Project Agreement Members;

WITNESSETH:

WHEREAS, Authority and the Project Agreement Members have determined to approve an Amendment 2 Work Plan and to extend the term of the Agreement to December 31, 2021; and

WHEREAS, under Section 11 of the Agreement, the Agreement may be amended by a writing executed by the Authority and at least 75% of the total weighted vote of the then current Committee members as provided in Subsection 3(g); and

WHEREAS, all acts, conditions and things required by law to exist, to have happened and to have been performed precedent to and in connection with the execution and the entering into of this Second Amendment do exist, have happened and have been performed in regular and due time, form and manner as required by law, and the parties hereto are now duly authorized to execute and enter into this Second Amendment;

NOW, THEREFORE, THIS SECOND AMENDMENT WITNESSETH, the Authority and the Project Agreement Members agree, as follows:

ARTICLE I

DEFINITIONS

Section 1.01. **Definitions.** All capitalized terms not otherwise defined herein shall have the meaning set forth in the Agreement.

ARTICLE II

AMENDMENTS TO AGREEMENT

Section 2.01. **Project Agreement Members**.

(a) Effective September 1, 2020, the Project Agreement Members attached as Exhibit A to the Agreement shall be succeeded in their entirety by the Project Agreement Members attached hereto as Exhibit A.

Section 2.02. **Work Plan**.

(a) Effective September 1, 2020, the 2019 Work Plan attached as Exhibit B to the Agreement shall be supplemented by the Work Plan attached hereto as Exhibit B (the "Amendment 2 Work Plan").

Section 2.03. **Funding**.

The Agreement is hereby amended to remove Section 4(a) in its entirety and replace it with the following:

"(a) Budget. The Committee shall, in cooperation with the Authority's Board, provide and approve both a Fiscal Year operating budget and reestablish a Phase 2 budget target, annually or more frequently as needed. The Project Agreement Members shall contribute their respective pro-rata share of the budgeted sums reflected in the 2019 Work Plan (prior to November 1, 2020) and the Amendment 2 Work Plan (on and after November 1, 2020) in accordance with Section 5 of this Project Agreement; provided, however, that in no event shall the amount paid by a Project Agreement Member exceed $160 per acre-foot (with $60 of such amount being attributable to the 2019 Work Plan and $100 of such amount being attributable to the Amendment 2 Work Plan) without the approval of such Project Agreement Member. The contribution with respect to the pro-rata budgeted sums reflected in the Amendment 2 Work Plan shall be payable by each Project Agreement Member in two installments. The first installment shall be in an amount equal to $60 per acre-foot and shall be payable by no later than November 1, 2020. The second installment shall be in an amount up to $40 per acre-foot and shall be payable by no later than April 1, 2021. The exact amount per acre-foot of the second installment shall be established by the Committee, in cooperation with the Authority's Board, and notice of such amount shall be provided by the Authority to each Project Agreement Member."

Section 2.04. **Future Development of the Sites Reservoir Project**.

The Agreement is hereby amended to remove Section 6(b) in its entirety and replace it with the following:

"(b) Without limiting the foregoing, any Project Agreement Member that elects to continue participating in the development, financing, and construction of the Sites Reservoir Project to the time when the Authority offers contracts for a water supply or other services, will be afforded a first right, equal to that Project Agreement Member's Participation Percentage, to contract for a share of any water supply that is developed, and for storage capacity that may be available from, the Sites Reservoir Project. In any successor phase agreements, Project Agreement Members who are parties to this Project Agreement that submitted a proposal to participate before February 28, 2019, shall be granted rights to contract for a share, in an amount equal to that Project Agreement Member's Participation Percentage as of the effective date of such successor phase agreement, of any water supply that is developed, and for storage capacity that may be available from the Sites Reservoir Project prior to the rights of those becoming parties to this Project Agreement after that date.

If a participating Project Agreement Member as of February 28, 2019 identifies a lesser amount in the Second Amendment than its Original Agreement requested amount, that participating Project Agreement Member's first rights of refusal in the future are to be based on the Second Amendment amounts and not the February 28, 2019 amounts.

Provided, however, that if a Project Agreement Member withdraws from the Project Agreement pursuant to Section 9 of this Agreement but later requests to be reinstated, then to the extent there is unsubscribed participation in the Project as determined by the Committee, the

Committee may vote to readmit said withdrawn Member with a reinstated first right of refusal provided said withdrawing Member provides funding to the Project commensurate with the funding requirements met by all current Project Agreement Members in the current phase of the Project as well as any prior phase, as adjusted for any credits, payments and/or reimbursements made under the Authority's credit reimbursement policy (the "Credit Reimbursement Policy").

Further provided, that if a Project Agreement Member desires to increase its participation after execution of the Second Amendment, then to the extent there is unsubscribed participation in the Project as determined by the Committee, the Committee may vote to approve said increase, or portion thereof, with a first right of refusal attendant thereto, provided said increasing Project Agreement Member provides funding to the Project commensurate with the funding requirements met by all current Project Agreement Members in the current phase of the Project as well as any prior phase, as adjusted for any credits, payments and/or reimbursements made under the Credit Reimbursement Policy.

The Authority and the Project Agreement Members will cooperate on the drafting of provisions in the water supply contract that will allow a Project Agreement Member or other eligible entity that commits to purchase a Sites Reservoir Project water supply to transfer water that the entity may not need from time to time on terms and conditions acceptable to the Project Agreement Member."

Section 2.05. **Term**. The Agreement is hereby amended to remove Section 8(b) in its entirety and replace it with the following:

"(b) The term of this Project Agreement shall continue until December 31, 2021. In the event that this Second Amendment is not approved by Project Agreement Members with the requisite percentage of the total weighted vote as set forth in the Agreement by June 30, 2020, the Agreement shall be revived immediately upon approval by such requisite percentage, without any additional approval of the Project Agreement Members, and this Second Amendment shall become effective."

Section 2.06. **Executive Director**. All references to the "General Manager" in the Agreement shall be changed to "Executive Director."

ARTICLE III

PROJECT AGREEMENT MEMBER PARTICIPATION

Section 3.01. **Project Agreement Participation.** Each Project Agreement Member shall specify its participation in the Sites Reservoir Project by indicating its elected water participation amount in the Sites Reservoir Project and the associated cost in the space provided therefor on the signature page to this Second Amendment. Based upon the respective participation elections of the Project Agreement Members, the Authority shall update Exhibit A pursuant to Section 5 of the Agreement.

ARTICLE IV

MISCELLANEOUS

Section 4.01. **Effectiveness of Agreement**. Except as expressly amended by this Second Amendment, the Agreement is hereby ratified and confirmed and shall continue in full force and effect in accordance with the terms and provisions thereof. The amendments set forth in this Second Amendment shall be incorporated as part of the Agreement upon their effectiveness in accordance with Section 11 of the Agreement.

Section 4.02. **Execution in Several Counterparts**. This Second Amendment may be executed in any number of counterparts and each of such counterparts shall for all purposes be deemed to be an original; and all such counterparts, or as many of them as the Authority and the Project Agreement Members shall preserve undestroyed, shall together constitute but one and the same instrument.

Section 4.03. **Authorization, Ratification and Confirmation of Certain Actions**. The Authority and the Project Agreement Members each hereby authorize, ratify and confirm the extension of the term of the Agreement, as previously extended pursuant to the First Amendment, to June 30, 2020, and the expenditure of funds collected under the Agreement with respect to the 2019 Work Plan on and prior to June 30, 2020.

Section 4.04. **Laws Governing Second Amendment**. The effect and meaning of this Second Amendment and the rights of all parties hereunder shall be governed by, and construed according to, the laws of the State.

IN WITNESS WHEREOF, the Authority and Project Agreement Members hereto, pursuant to resolutions duly and regularly adopted by their respective governing bodies, have caused their names to be affixed by their proper and respective officers on the date shown below:

Dated: _____

SITES PROJECT AUTHORITY

By: _____
Name:
Title:

[PROJECT AGREEMENT MEMBER]

Dated: _____

_____

(Authority & Project Agreement Member)

By: _____
Name:
Title:

PARTICIPATION AMOUNT

[PROJECT AGREEMENT MEMBER] hereby elects to participate in the Sites Reservoir Project in the amount and at the cost identified below.

Participation (Second Amendment Annualized Acre-Foot):

Second Amendment Cost: Not to Exceed $100 per Acre-Foot

EXHIBIT A

PROJECT AGREEMENT MEMBERS

Participant	Participation (Second Amendment Annualized Acre-Foot) Preliminary	Percent
American Canyon, City of		
Antelope Valley-East Kern Water Agency		
Carter Mutual Water Company #		
Coachella Valley Water District		
Colusa County		
Colusa County Water District		
Cortina Water District		
Davis Water District		
Desert Water Agency		
Dunnigan Water District		
Glenn-Colusa Irrigation District		
LaGrande Water District		
Metropolitan Water District of S. CA		
Pacific Resources Mutual Water Company #		
Reclamation District 108		
San Bernardino Valley Municipal Water District		
San Gorgonio Pass Water Agency		
Santa Clara Valley Water District		
Santa Clarita Valley Water Agency		
Westside Water District		
Wheeler Ridge-Maricopa Water Storage District		
Zone 7 Water Agency		
Potential new participants		
Total:		

Participation Percentages exclude State of California and United States Bureau of Reclamation share of the Project.

# Denotes a non-public agency. Refer to California Corporations Code Section 14300 et. seq. with additional requirements provided in both the Public Utilities Code and Water Code.

Draft_0028124

EXHIBIT B

AMENDMENT 2 WORK PLAN

Draft_0028125

Sites

Topic: **Authority Board Agenda Item 2.3** **2020 May 27**

Subject: **Federal Legislative Priorities**

Requested Action:

Consider acceptance of the 2020-2021 Sites Project Federal Government Affairs Priorities.

Detailed Description/Background:

In response to the recommendation outlined in the Organizational Assessment to prepare an analysis and staff recommendation on federal funding pursuits, staff has been working with the Authority Ad Hoc Legislative & Outreach Committee and our Government Affairs Team to identify our near-term Federal government affairs/legislative priorities.

The focus of this effort was to ensure that our Federal Government Affairs/Legislative activities aligns with key project milestones and our project schedule and specifically focusing on near-term federal operational participation and federal funding. These priorities will be the Committee and Team focus through December 2021. Any adjustments would be brought back to the RC and AB before being incorporated and updates will be provided on significant progress.

Prior Action:

None.

Fiscal Impact/Funding Source:

Sufficient funds exist to support activities associated with these priorities within the Amendment 2 work plan.

Staff Contact:

Jerry Brown/Kevin Spesert

Attachments:

Attachment A: Federal Government Affairs Priorities Memo

TO: Ad Hoc Legislative & Outreach Committee

DATE: 13 May 2020

SUBJECT: 2020 Federal Government Affairs Priorities

Staff has been working to identify near-term (2020) Federal government affairs/legislative priorities in an effort to focus our activities to better align with key project milestones and our existing project schedule.

The following represents the staff's proposed Federal government affairs/legislative priorities.

OPERATIONS PARTICIPATION

- Final completion of Reclamation's Feasibility Report and DOI Secretary determination of feasibility.
  - Complete by **December 31, 2020** which is needed to be eligible for receiving WIIN Act funding and to support the state feasibility report as required by Proposition 1.
  - Reconcile the alternatives included in the feasibility report with the Authority's VP7 alternative.
  - Finalize level of federal participation/investment in the project.
- Pursue development of a CVP Coordinated Operations Plan.
  - Term sheet whereby the parties agree on the key provisions of an agreement by **July 2021.**
- Coordination with Reclamation on the development of the Authority's Water Rights Application.
  - Develop and submit Authority Water Rights Application by **June 2022**.
- Continuing partnership with Reclamation as the NEPA lead agency in developing the Environmental Impact Statement (EIS).
  - Completion of the Recirculated Draft EIS no later than **July 2021** in coordination with the Sites Authority EIR. Completion of the Record of Decision by **May 2022**.

FEDERAL FUNDING

WIFIA/RIFIA

- Begin groundwork for submittal of a WIFIA Loan application.
  - The Value Planning Report anticipated a $1.1 billion WIFIA loan. Application is planned for submittal in **June 2021.**
- Continue to track the development of the RIFIA program and pursue RIFIA funding if available.

WIIN Act

- Secure funding received from the WIIN Act in 2019. Needed to complete the Phase 2 work plan.
  - **$6 million secured** from the 2019/2020 Budget deal signed by the President in **Dec 2019.**
  - Authority is developing a Financial Assistance Agreement to access these funds– assume the Authority will receive **$3 million.**
- Pursue and secure FY2021 WIIN Act funding (current budget cycle) and begin groundwork for a FY2022 WIIN Act funding request.

DRAFT

- Coordination with Reclamation to identify funding needs in FY2021 and FY2022 to advance the project including "post-feasibility" funding.
- Begin groundwork for WIIN Act construction funding request (Pending Reclamation investment decision).

Federal Stimulus & Other funding

- Support development and secure funding from potential COVID19 related infrastructure stimulus package for priority items that align with early project work (e.g. roads, communications, etc.).
  - Anticipate infrastructure stimulus in Fall of 2020.
- Track and pursue opportunities for appropriations/grants/loans as appropriate
  - Roads/Bridges, Rural Broadband, flood control, infrastructure planning, operations &maintenance, etc.

Other items that do not require legislative action but are administrative in nature are listed below. We will need to keep our legislators informed on progress of these items so that they can step in when/if needed to assist in keeping them on the timeline required to support the project schedule.

Permitting - Supportive consultation with federal agencies in securing; USFWS Biological Opinion, NMFS Biological Opinion, National Historic Preservation Act Section 106 Programmatic Agreement.

Public Outreach - Engage with Reclamation Public Affairs staff for the rollout of the Feasibility Report. Work to ensure that messaging is consistent and any differences between the Authority's VP7 alternation and the alternatives included in the feasibility report are addressed. Coordinate with USBR Public Affairs on any public outreach activities associated with a recirculated EIS.

DRAFT

Sites

Topic: **Authority Board Agenda Item 2.4** **2020 May 27**

Subject: **Commendation for Jim Watson, General Manager**

Requested Action:

Consider approval of Resolution No. 2020-02 commending Mr. Jim Watson for his 5 years of dedicated service to the Sites Reservoir Project.

Detailed Description/Background:

With almost 5 years of exemplary service to the Sites Project Authority, Mr. Jim Watson will be leaving his position as General Manager, effective May 23, 2020.

Mr. Watson has made significant contributions to the Sites Project, including:

- Management of multi-million-dollar annual budgets to enable progress on the Project, and establishment of various procedures to manage the finances and operations of the Project.
- Assembly of a highly qualified team of Authority Agents and consultants to enable progress on the Project to a high standard of performance.
- Development of the 2017 Draft Environmental Impact Report for the Project;
- Coordination and cooperation in the development by the U.S. Bureau of Reclamation of its 2017 Draft Federal Feasibility Report.
- Initiation of focused geotechnical field investigations.
- Qualification of the Project for $816 million of funding from the State of California's Water Storage Investment Program (Proposition 1) via the California Water Commission, and execution of an Early Funding Agreement with the Commission.
- Establishment of eligibility for and receipt of about $10 million in funding from the federal WIIN Act via the Bureau of Reclamation.
- Completion of numerous studies necessary for furtherance of Project financial viability, physical construction, future operations, permitting, and environmental benefits.
- Inclusion of the Project in California's Water Resilience Portfolio.
- Establishment of the Sites Project Authority office in Maxwell, California.
- Secure a diverse and statewide group of project investors including the State of California in the amount of $816M of WSIP Prop 1 funding along with 21 participating member agencies.

It is appropriate to acknowledge Mr. Watson for his leadership at the local, regional, and state level, and his years of dedicated service to the Sites Project Authority and the participating agencies in the project.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Resolution 2020-02

RESOLUTION NO. 2020-02

A Joint RESOLUTION OF THE SITES PROJECT AUTHORITY Board of Directors and Reservoir Committee honoring JIM C. WATSON for his leadership and dedication during his years of service on the project

WHEREAS, Mr. Watson has dedicated almost five years of service to the Sites Project Authority and its participating member agencies while serving as the Authority's first General Manager; and

WHEREAS, Mr. Watson has been a collaborative General Manager with a strong focus on creative problem solving, technical details, innovative project concept development; and

WHEREAS, Mr. Watson cultivated a strong and dedicated "project comes first" culture in the organization with a commitment to individual professional growth and recognition; and

WHEREAS, Mr. Watson's leadership enabled the Sites Project Authority to competitively receive the largest Prop 1 WSIP award of $816 million toward statewide benefits in the project and execution of an Early Funding Agreement with the Commission; and

WHEREAS, Mr. Watson, through a spirit of partnership with the Bureau of Reclamation, helped to develop the Draft Federal Feasibility Report finding that the project has significant federal interest and is worthy of federal investment; and

WHEREAS, Mr. Watson's business acumen and experience, emphasis on timely planning and decision-making, practical approach to problem-solving, and assertiveness regarding the Project's interests in public forums have also benefitted the Project's development;

WHEREAS, Mr. Watson has branded the Sites Project as a vital component of California's water infrastructure with a diverse and statewide support and participation; and

WHEREAS, Mr. Watson successfully discharged his responsibilities with honesty, integrity, and fairness, and with a laser-focused practical approach for the overall benefit of the Project and its Members, all to improve future water supplies for water suppliers, residents and the environment of the State of California;

WHEREAS, the improvement of water supplies to farms, cities and the environment within the Sacramento Valley and the State of California is noble and praiseworthy work, and Mr. Watson has cared deeply about this work and has enabled it with loyalty, dedication, and excellence to the benefit of the Project and its beneficiaries;

WHEREAS, Mr. Watson's dedication to the Project has been beyond reproach;

NOW, THEREFORE BE IT RESOLVED that the Authority Board and Reservoir Committee of the Sites Reservoir Project expresses its gratitude and appreciation to Jim Watson for his dedicated service to the Sites Reservoir Project and its participating member agencies, and envisions continued success for him in his future endeavors.

****

The foregoing resolution was duly and regularly adopted at a meeting of the Reservoir Committee held on the 21th of May 2020 and was duly and regularly adopted at a meeting of the Authority Board held on May 27th of May 2020 both making up the governing body of Sites Reservoir Project.

AUTHORITY BOARD	**RESERVIOR COMMITTEE**
_____	_____
Fritz Durst – Sites Project Authority, Chair	Thad Bettner –Reservoir Committee , Chair

ATTESTED

_____

Yolanda Tirado – Sites Project Authority, Board Clerk

Sites

Topic: **Authority Board Agenda Item 3.1** **2020 May 27**

Subject: **Environmental Planning and Permitting Update**

Requested Action:

Review and comment on Recirculated EIR and key permits milestone schedule and key decision points.

Detailed Description/Background:

Since approval of the Phase 1B Work Plan and the Sites Value Planning Report, staff has been moving forward with executing the environmental planning and permitting components for the "rightsized" project. The attached milestone schedule describes the roadmap for completing the Recirculated EIR and obtaining key permits. Current activities and key upcoming decision points (shown in bold) are as follows:

- California Environmental Quality Act / National Environmental Policy Act (CEQA / NEPA) – The project team is currently developing an approach for completing the Recirculated Environmental Impact Report (EIR). This approach will outline key components of the work ahead, including assessing the extent of revisions to each section of the 2017 document. The project team is also working to **formulate a recommended approach to alternatives and baseline conditions that we anticipate reviewing with the Reservoir Committee and Board in June**. The team is proceeding to coordinate a joint EIR/EIS. No final decision has been made yet by Reclamation, but it is assumed that the EIS will be supplemented and recirculated with the EIR.
- Federal Endangered Species Act and California Endangered Species Act (Fish and Game Code Section 2081) – Similar to above, the project team is currently developing the approach for completing the Biological Assessment and Section 2081 Permit applications in coordination with the resource agencies and Reclamation. The environmental and operations teams continue to work closely on modeling components and refinement of operational criteria for project diversions from the Sacramento River. The **operating regimes for diversions and releases and a review of the storage policy will be reviewed with the Reservoir Committee and Board in July.**
- National Historic Preservation Act, Section 106 – This effort will be initiated in September.
- California Water Right Application (California Water Code) – The project team is currently working to **formulate a recommend approach to identify key components of the water right application for discussion with the Reservoir Committee and Board in September**. These key components

Draft_0028134

include Place of Use, Points of Diversion, Points of Rediversion, and Purpose of Use – all of which will form the basis of the application.

- Clean Water Act Section 404 and 401 – This effort will be initiated shortly.
- River and Harbors Act Section 408 – This effort will be initiated in late July as more design and engineering information becomes available.

All of the actions and activities identified above remain on the overall schedule (some priorities have shifted around, but the overall schedule remains the same). All actions and activities are also within budget. The attached figure provides an overview of the key environmental planning and permitting efforts underway along with the schedule for key milestones and deliverables.

Prior Action:

None.

Fiscal Impact/Funding Source:

Costs to undertake the current efforts were included in the Amendment 2 Work Plan which was approved by the Sites Project Authority at its April 22, 2020 Board meeting.

Staff Contact:

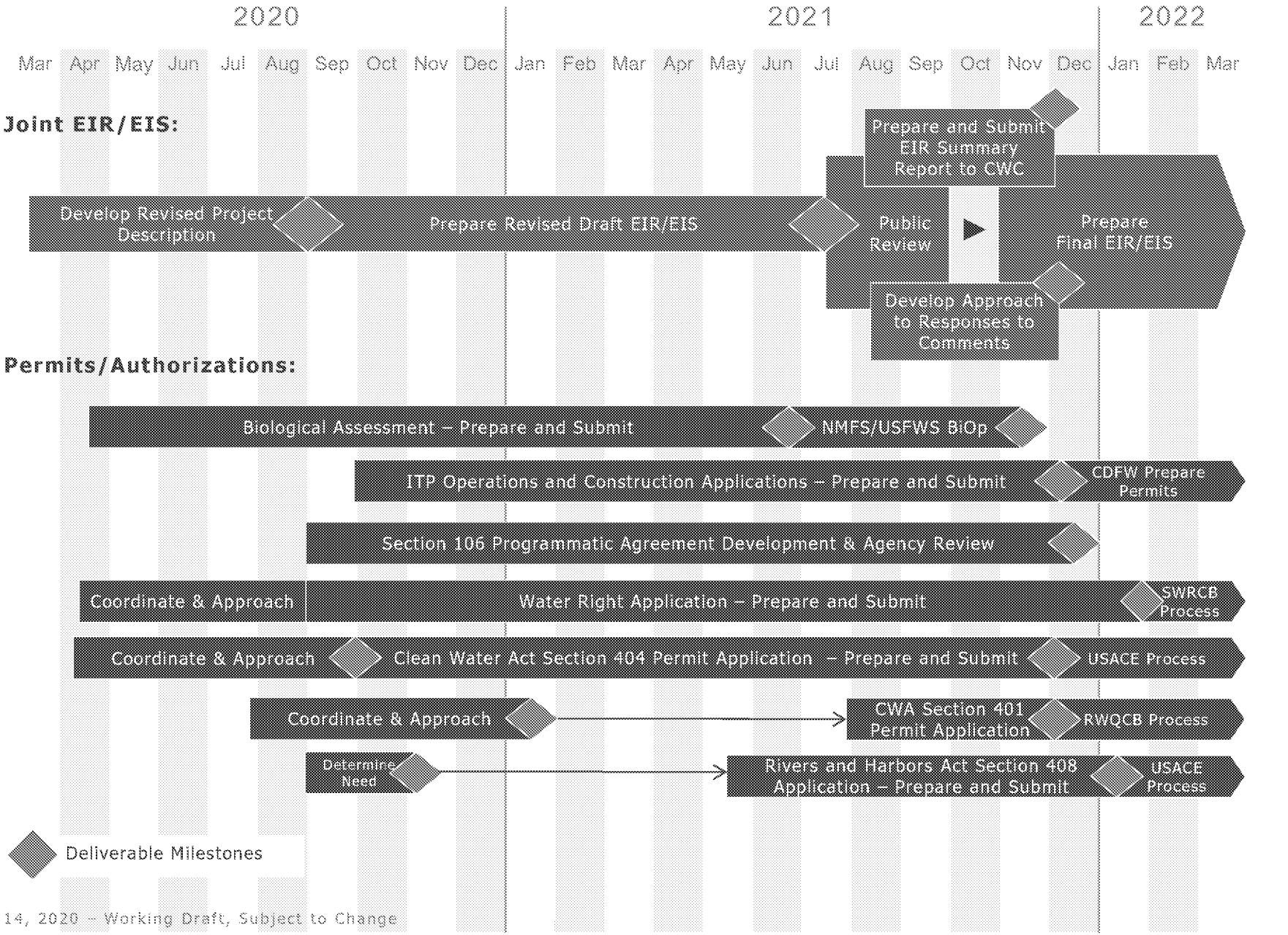
Ali Forsythe

Attachments:

Environmental Planning and Permitting Schedule – The schedule needs to be attached to this staff report.

Environmental Planning and Permitting Key Milestones

Sites



May 14, 2020 – Working Draft, Subject to Change

Sites

Topic: **Authority Board Agenda Item 3.2** **2020 May 27**

Subject: **Communications and Outreach Update**

Requested Action:

Review and Comment on Communications and Outreach Efforts to Communicate Rightsized Project.

Detailed Description/Background:

Since the approval of the Sites Value Planning Report last month and the introduction of the proposed "right-sized" project, staff and our Communications and Government Affairs teams have been actively engaging in an extensive communications and outreach effort.

These efforts include the following activities.

- Distribution of a press release highlighting the "right-sized" project to our statewide media list, distribution of an e-blast newsletter, distribution of Editorials to regional media outlets, and distribution of content on the project's social media platforms.
- Federal & State elected official/staff briefings on the project's value planning process, future funding needs and agency coordination needs.
- Project update briefings with NGOs and public interest organizations to introduce the project's rightsizing and solicit NGO feedback on the environmental review process and the path forward.
- Landowner coordination activities and briefings with local elected officials introducing the "right-sized" project.

Over the next several weeks, staff will continue to engage elected officials, landowners, project stakeholders and to develop and distribute additional media content in support of the rollout of the new proposed project. Considering the circumstances with COVID, a webinar is being produced that will be made available on the website by the end of June that reviews all aspects of the "right sized" project.

Additionally, staff is working on updating and distributing a revised version of the Message Platform based on comments received from the Reservoir Committee and Authority Board in addition to the feedback that we have received from during our outreach efforts to date.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown/Kevin Spesert

Attachments:

None.

Sites

Topic: **Authority Board Agenda Item 3.3** **2020 May 27**

Subject: **Secure Proposition 1 Water Storage Investment Program**

Requested Action:

Review and Comment on Efforts to Secure Proposition 1 Water Storage Investment Program implementation modifications in light of the continuing effects of the COVID-19 pandemic on local government and water agency finances.

Detailed Description/Background:

The Phase 2 work plan was unanimously approved last month by the Reservoir Committee and the Authority Board showing unwavering commitment to completing this Project in an expeditious manner. However, the uncertainty and longer-term financial impacts of the COVID-19 pandemic may negatively impact the participating members ability to provide some or all the necessary financial support to advance the project in the near term. A key upcoming statutory deadline to receive the Proposition 1 funding is to achieve three specific milestones (see attached) including demonstrating at least 75% local cost share commitment by January 1, 2022. While it is hoped that COVID's effects will have subsided by then, there are funding requirements between now and then that may be impacted.

As a funding partner in the Sites project, the State is in a unique position to provide emergency assistance that could defray local cashflow during the effects of the pandemic and keep the project on schedule. A group of 6 of the 8 storage projects that were awarded Proposition 1 WSIP funds, including Sites, requested that the California Water Commission direct staff to provide administrative and regulatory modifications within the next 60 days that could mitigate the impacts of COVID-19. The letter included suggested actions that could be evaluated on a project by project basis due to the variance in risk factors. Any of the suggestions could benefit the Sites Project.

The CWC will meet on May 20, 2020 and is anticipated to consider the request. Staff will provide a report at the meeting on the Commission's actions.

Fiscal Impact/Funding Source:

It is expected any actions by the Commission on our request would result in cashflow adjustments, not new outside funding to the Project. However, advanced cashflow by the State could keep the project on schedule if local funding becomes unavailable because of more serious economic conditions, which are a reasonable possibility in some cases. To date, State early funding has been provided to the project in the amount of $6.1 million and $897,000 is being held in retainage by the State.

History:

In November 2016 the California Code of Regulations was modified by the California Water Commission to incorporate the conditions under which projects awarded funding under the Proposition 1 Water Storage Investment Program would be considered and receive funds. None of the conditions took into consideration the current COVID-19 natural disaster being experienced across the country.

In July 2018 eight storage projects were awarded funds under the WSIP, including the Sites Reservoir Project that received a maximum conditional eligibility determination (MCED) of $816,377,686 and was provided early funding in the amount of $40,818,884 which was the maximum allowed 5% of the MCED.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Proposition 1 Language

Attachment B: Proposition 1 Six Agency Letter sent to the CWC on May 11, 2020

Sites

Requirements That Must Be Met for Eligibility of Final Funding

79755. (a) Except as provided in subdivision (c), no funds allocated pursuant to this chapter may be allocated for a project before December 15, 2016, and until the commission approves the project based on the commission's determination that all of the following have occurred:

(1) The commission has adopted the regulations specified in Section 79754 and specifically quantified and made public the cost of the public benefits associated with the project.

(2) The project applicant has entered into a contract with each party that will derive benefits, other than public benefits, as defined in Section 79753, from the project that ensures the party will pay its share of the total costs of the project. The benefits available to a party shall be consistent with that party's share of total project costs.

(3) The project applicant has entered into a contract with each public agency identified in Section 79754 that administers the public benefits, after that agency makes a finding that the public benefits of the project for which that agency is responsible meet all the requirements of this chapter, to ensure that the public contribution of funds pursuant to this chapter achieves the public benefits identified for the project.

(4) The commission has held a public hearing for the purposes of providing an opportunity for the public to review and comment on the information required to be prepared pursuant to this subdivision.

(5) All of the following additional conditions are met:

(A) Feasibility studies have been completed.

(B) The commission has found and determined that the project is feasible, is consistent with all applicable laws and regulations and will advance the long-term objectives of restoring ecological health and improving water management for beneficial uses of the Delta.

(C) All environmental documentation associated with the project has been completed, and all other federal, state, and local approvals, certifications, and agreements required to be completed have been obtained.

(b) The commission shall submit to the Legislature its findings for each of the criteria identified in subdivision (a) for a project funded pursuant to this chapter.

(c) Notwithstanding subdivision (a), funds may be made available under this chapter for the completion of environmental documentation and permitting of a project.

Requirements That Must Be Met Before January 1, 2022

79757. (a) A project is not eligible for funding under this chapter unless, by January 1, 2022, all of the following conditions are met:

(1) All feasibility studies are complete and draft environmental documentation is available for public review.

(2) The commission makes a finding that the project is feasible and will advance the long-term objectives of restoring ecological health and improving water management for beneficial uses of the Delta.

(3) The director receives commitments for not less than 75 percent of the nonpublic benefit cost share of the project.

(b) If compliance with subdivision (a) is delayed by litigation or failure to promulgate regulations, the date in subdivision (a) shall be extended by the commission for a time period that is equal to the time period of the delay, and funding under this chapter that has been dedicated to the project shall be encumbered until the time at which the litigation is completed or the regulations have been promulgated.

May 11, 2020

Armando Quintero, Chairman
California Water Commission
PO Box 942836
Sacramento, CA 94236

Dear Chairman Quintero,

We represent six of the eight projects that were conditionally awarded funds for water storage projects under the Proposition 1 Water Storage Investment Program (WSIP). We are writing to request that you consider making emergency and temporary modifications on a project by project basis in your implementation of the WSIP funding due to the COVID natural disaster. In particular, we are concerned about the longer-term economic and jobs related impacts this continuing disaster will have on our ability to implement these critical water storage projects that will be co-funded through the WSIP.

Across our State, residents are struggling to meet their financial obligations including paying their water bills. Business closures have resulted in lost revenue to water and wastewater agencies. These are some of the direct impacts of the disaster today. More concerning and uncertain are the longer-term impacts which are sure to negatively affect public agency finances. All of us are in strong financial positions today, but none of us could have ever expected or planned for what we are facing now and in our future because of the COVID pandemic. We are all foreseeing financial pressures on our local investments in capital projects from COVID, including potentially delaying these WSIP storage projects.

We are not wavering in our commitment to implement these critical projects of statewide significance. However, we are concerned that the uncertain financial times ahead could cause delays to these projects that you have previously determined create an important statewide benefit. Delays hurt all investors, especially the State, because the State's investment dollars are not inflation protected. We do not want to see any delays, which is why we are bringing this issue and potential solutions to your attention while there is time for measured steps that help to avoid more serious outcomes.

The State, as a project investor, is in the unique position to provide emergency assistance while the local investors sort out the unforeseen consequences of COVID. The State has the financial wherewithal and legal latitude within Proposition 1 to look beyond the current situation and ensure our storage projects move forward on the timeline needed for the State's long-term water security and for job creation.

We request the California Water Commission direct staff to evaluate administrative and regulatory modifications which are appropriate to mitigate COVID impacts and submit

corresponding recommendations to the Commission for its consideration within 60 days. To assist in this effort, we offer the following considerations as potential areas for modification depending on individual project circumstances:

- Modification of Early funding conditions – Currently, $78.6 million of the $135 million has been encumbered to applicants that requested early funding. New or additional funds could be released. The 5% limit on early funding could be increased, (per Water Code Section 79704, up to 10% of allocated funds may be expended for planning and monitoring). Also, the Commission could consider allowing the cost share of prior costs to extend back to November 4, 2014, instead of the current August 14, 2017 date. Scope revisions on existing early funding agreements could be implemented on all projects. Projects that did not request early funding could be given the opportunity to receive such funding under revised program conditions established by the Commission. The program cap of $135 million toward early funding could be increased.
- Increased release frequency or waiver of retention on early funding - Since funds are provided on a reimbursement basis, this would better match actual expenditures rather than local dollars filling the many months gap between paying service providers and receiving the state funds.
- Consider using flexibility within the early funding agreements - For example, instead of maintaining 50/50 cost-share on a monthly basis, a longer time horizon would allow local dollars to be defrayed in the near term. A 70/30 cost share could be temporarily allowed for 18-24 months and the remaining time could be taken to achieve the required overall 50/50 share split.
- Incorporate COVID related impacts into pre-established deadlines - such impacts were not expected when dates were determined. Day for day extensions are typical contract remedies for Force-Majeure conditions. The Governor has significant powers under the emergency declaration which should be further explored. As an alternative to deadline changes, consider interpreting the requirements for feasibility and local cost-share commitments in a way that accommodates COVID impacts.

Thank you for your consideration of this unfortunate request. Our shared interest is in getting these projects built and we remain committed to achieving this goal, despite the COVID natural disaster.

Sincerely,

Jerry Brown, Executive Director
Sites Project Authority

Mark Beuhler,
Willow Springs Water Bank

Aaron Fukuda, General Manager
Temperance Flat Reservoir Authority

Shivaji Deshmukh, General Manager
Inland Empire Utilities Agency

Paul Cook, General Manager
Irvine Ranch Water District

Eric Averett, General Manager
Rosedale-Rio Bravo Water Storage District

Norma Camacho, Chief Executive Officer
Valley Water

Cc: Carol Baker, California Water Commission
Teresa Alvarado, California Water Commission
Andrew Ball, California Water Commission
Daniel Curtin, California Water Commission
Matthew Swanson, California Water Commission
Joe Yun, Executive Officer, California Water Commission
Amy Young, WSIP Project Manager, California Water Commission

Sites

Topic: **Authority Board Agenda Item 3.4** **2020 May 27**

Subject: **Reservoir Committee Workgroup and Authority Board Committee Structure**

Requested Action:

Review and Comment on the Reservoir Committee Workgroup and Authority Board Committee Structure and Assignments.

Detailed Description/Background:

According to Article 9 of the Authority's September 2017, seconded amended and restated bylaws of the Sites Project Authority it is within the duties of the Chair to establish standing committees of the Board as necessary to ensure successful completion of the Authority's mission. In light of the recent approval of April 2020 Value Planning Report and Phase 2 work plan, it is appropriate to reconsider the existing Authority Board Committee structure. After consulting the Chair, the following change is being made:

- The Budget and Finance Committee of the Board is merged effective immediately with the Budget and Finance Workgroup of the Reservoir Committee. Any Board members wishing to participate in budget and finance matters are welcome to attend these meetings as long as a quorum of the Board is not reached. The Chair of the Reservoir Committee and the Chair of the Budget and Finance Workgroup has concurred with this change.

No other changes are proposed at this time for the Authority Board Committee structure. The attached list describes the active committees of the Board and the current roster. No changes are proposed to charter documents of these committees.

The Reservoir Committee adopted updates to its workgroup structure at the March 19, 2020 meeting and the list of active workgroups and the current rosters are attached for information.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Current Reservoir Workgroup Assignments

Attachment B: Current Authority Board Committee Assignments

Reservoir Committee Workgroup Assignments for 2020

	Budget & Finance	Res. Ops & Engineering	Environmental & Permitting	Coordination
1	Jamie Traynham **Chair**, Davis WD	Mike Azevedo Vice Chair, Colusa	Thad Bettner **Chair**, GCID	Thad Bettner Res Comm **Chair**
2	Thad Bettner GCID	Thad Bettner GCID	Mike Azevedo Colusa Co	Jeff Davis Res Comm Vice Chair
3	Rob Kunde WRMWSD	Rob Kunde **Chair**, WRMWSD	Rob Kunde WRMWSD	Jamie Traynham Budget & Finance Chair
4	Katrina Jessop SCVWD	Eric Leitterman SCVWD	Eric Leitterman SCVWD	Robert Cheng Budget & Finance Vice Chair
5	Robert Cheng Vice Chair, CVWD	Robert Cheng CVWD	Robert Cheng CVWD	Rob Kunde Res Ops & Eng. Chair
6	Bill Vanderwaal Dunnigan	Bill Vanderwaal Dunnigan	Bill Vanderwaal Dunnigan	Mike Azevedo Res Ops & Eng. Vice Chair
7	Dan Ruiz Westside WD	Dan Ruiz Westside WD	Jeff Davis SGPWA	Thad Bettner Env & Permit Chair
8	Shelly Murphy Colusa Co WD	Bob Tincher SBVMWD	Heather Dyer Vice Chair, SBVMWD	Heather Dyer Env & Permit Vice Chair
9		Dirk Marks **(Alt)** SCVWA		
10		Rick Kaufman **(Alt)** American Canyon		
11		Amparo Flores Zone 7		
12		Jeff Sutton **(Alt)** TC-4 Districts		
13		Randall Neudeck MWDSC		
		Rob Cooke (by invitation) DWR (non-voting)		
		TBD (by invitation) USBR (non-voting)		
Staff	Joe Trapasso	Jerry Brown	Ali Forsythe	Jerry Brown

Sites

Authority Board Committee Assignments for 2020

	Coordination	Legislative & Outreach	Land Management
1	Fritz Durst, **Chair** RD 108	Jeff Sutton, **Chair** Tehama-Colusa CA	Gary Evans, **Chair** Colusa County
2	Jeff Sutton Tehama-Colusa CA	Fritz Durst RD 108	Jeff Sutton Tehama-Colusa CA
3	Logan Dennis Glenn-Colusa IR	Logan Dennis Glenn-Colusa IR	Logan Dennis Glenn-Colusa IR
4	Gary Evans Colusa County	Gary Evans Colusa County	Leigh McDaniel Glenn County
	Jamie Traynham Associate Member, TC4		
Staff	Jerry Brown	Kevin Spesert	Kevin Spesert

Topic: **Sites Reservoir Project, Phase 2**

Subject: **Monthly Status Report** Report Period: **2020 April**

Monthly Status Summary:

The development of the Sites Project continues to make progress in the critical areas associated with the value planning, work plan, and message platform including the following activities:

WSIP Funding:

- Submitted CWC Quarterly Report 7 for the work period January 1 through March 31, 2020.

WIIN Act Funding:

- Submitted draft statement of work for Financial Assistance Agreement to receive WIIN Act Funds from Reclamation. The project was awarded $6 million in WIIN Act Funds, some of which Reclamation will maintain to advance the project with their staff.

USDA Funding:

- No update

Engineering:

- Finalized the Value Planning Alternatives Appraisal Report identifying a recommended project and two options that would provide flexibility to adjust key features to respond to future conditions.
- Onboarded two new engineering teams: Contract HC – Conveyance Systems (CH2M) and Contract HR – Reservoirs (AECOM).
- Reviewed existing data, including geotechnical exploration information, to begin to determine next steps for Feasibility Study and support of Project Description.

Coordination with Reclamation:

- Met with Reclamation environmental staff to address the outcome of the Value Planning process and Authority's decision to recirculate the Draft EIR. Also discussed the NEPA path forward including the need to define the federal action and assumption of a continued joint EIR/EIS process.
- Continued coordination on Reclamation's work to complete the Final Federal Feasibility Report. Awaiting report chapters for review by Staff.

Environmental Planning and Permitting:

- Completed support to the Value Planning efforts.
- Continued efforts on the EIR/EIS Work Plan and approach for key permits, including efforts to formulate a range of alternatives for the EIR/EIS based on the Draft Value Planning Report and identify the appropriate baseline for on-

going and future analyses.

- Continued development of the project description for use in the recirculated EIR and state and federal ESA permits.
- Began efforts to determine key considerations and approach for the project's water right application.

Operations:

- Continued refinement of analysis tools for daily operations, bypass criteria, floodplain inundation, Shasta within year exchanges and other operational effects.
- Continued discussions on model baseline to support the project description development.
- Continued evaluation of potential for Shasta Exchanges based on updated information contained in ROC on LTO.
- Drafted Work Plan for development of priority operations criteria and parameters.

Stakeholder Engagement, Public Outreach & Real Estate:

- Engaged in outreach efforts with landowners, local community members, state and federal elected officials, government agencies and coalitions of regional and statewide organizations to introduce the Value Planning Report and the new "rightsized" project.
- Responded to landowner requests for project information, facilitated coordination activities with local government agencies and organizations and planned for future landowner, stakeholders and general public outreach activities and events.

Program Management & Administration:

- Developed and presented the Amendment 2 Work Plan covering work between Sep 1, 2020 and Dec 31, 2021. The work plan was approved by the Reservoir Committee and Authority Board.
- Developed a two-step cash call process in light of COVID-19 concerns. Rolled out a soft call survey to Reservoir Committee members to determine planned participation levels by agency.
- Developed the Second Amendment to 2019 Reservoir Project Agreement.

Draft_0028153

Federal Legislative/Government Affairs Priorities

Sites Authority Board

May 27, 2020

Sites

Federal Legislative/Government Affairs Priorities

Staff has been working with the Authority Ad Hoc Legislative & Outreach Committee to identify near-term (2020) Federal legislative and government affairs priorities

- Ensure that legislative/government affairs activities better align with key project milestones, overall project schedule and available resources
- Responsive to the recommendations outlined in the Organizational Assessment to prepare an analysis and staff recommendation on federal funding pursuits

Effort focused on identifying near-team priorities associated with Federal Operational Participation and Federal Funding

Any adjustment to the priorities would be brought back to the Reservoir Committee and Authority Board before being incorporated and updates will be project on significant progress

A similar effort is planned to identify our State Legislative/Government Affairs priorities

Sites

Federal Legislative/Government Affairs Priorities

Federal Operational Participation Priorities

- Final completion of Reclamation's Feasibility Report and Secretarial determination of feasibility by December 31, 2020
  - Reconcile the alternative included in the Feasibility Report with the Authority's VP7 alternative
  - Finalize level of federal participation/investment in the project
- Pursue development of a CVP Coordination Operations Plan – with term sheet where parties agree on the key provisions by July 2021
- Coordination with Reclamation on the development of the Authority's Water Rights Application – submittal of the Authority water rights application by June 2022
- Continuing partnership with Reclamation as the NEPA lead agency in developing the Environmental Impact Statement (EIS)
  - Completion of recirculated Draft EIS no later that July 2021 in coordination with the Authority's EIR
  - Completion of the Record of Decision by May 2022

Sites

Federal Legislative/Government Affairs Priorities

Federal Funding

- WIFA
  - Begin groundwork for the submittal of a $1.1 billion WIFIA loan application by June 2021
  - Continue to track the development of the RIFIA program and the Army Corp of Engineers WIFIA program and pursue funding if available

- WIIN ACT
  - Secure the $6 million appropriated to the project in the 2019 budget deal and finalize the Federal Financial Assistance Agreement to access the funds
  - Pursue and secure FY2021 WIIN Act funding (current budget cycle) and begin groundwork for a FY2022 WIIN Act Request for preconstruction activities
  - Begin groundwork for a WINN Act construction funding request – pending Reclamation's investment decision

- Federal Stimulus & Other Funding
  - Support development and secure funding from potential COVID19 related infrastructure stimulus package that align with early project work
  - Track and pursue opportunities for appropriations/grants for related project facilities – roads/bridges, flood control, rural broadband, infrastructure planning, etc.

Sites

Federal Legislative/Government Affairs Priorities

Other Administrative Priorities

- Permitting
  - Support consultation with federal agencies in securing federal permits – UFFWS Biological Opinion, NMFS Biological Opinion, National Historic Preservation Act Section 106 Programmatic Agreement

- Public Outreach & Communications
  - Support Reclamation in the public affairs staff with the roll-out of the Feasibility Report
  - Coordinate and support Reclamation on any public outreach/involvement activities associated with a recirculated EIS
  - Coordinate and support Reclamation with outreach and engagement with tribes and other stakeholder groups

- Real Estate
  - Coordination with appropriate federal agencies to secure access to federal lands for the purposes of conducting environmental, engineering, and geotechnical field studies identified in the work plan

Sites

Questions

Sites

Communications & Government Affairs Update

Authority Board
May 27, 2020

Sites

Communications & Government Affairs Update

With the introduction of the new "right-sized" project alternative, staff and the Communications and Government Affairs team have been actively engaging in an extensive communications and outreach effort focused on;

- Introducing the project's new organization and direction
- Introducing the new "right-sized" project and its statewide benefits
- Discussing the proposed revision on the project's environmental review

Communications and outreach efforts included;

- Briefings with Federal & State elected officials
- Briefings with NGO's and public interest organizations
- Landowner coordination activities and briefings
- Media and development of project materials

Sites

Communications & Government Affairs Update

Briefings with Federal & State elected officials

- Provided briefings for federal electeds (Feinstein, Garamendi, LaMalfa, Huffman) with additional briefings for other electeds being planned
- Provided briefings for state electeds (Nielsen, Sen. Dahle, Gallagher, Assembly member Dahle) with additional briefings for other electeds being planned
- Conducted a "virtual" Capitol Hill Legislative Day and provided briefings for key Senate and House Committee staff. A second round of "virtual" meetings are being planned

Briefings with NGO's and public interest organizations

- NGOs briefed as part of this effort included; NRDC, Nature Conservancy, California Waterfowl, Ducks Unlimited,, Environmental Defense Fund, Friends of the River, California Sports Fishing Alliance, Planning & Conservation League, Defenders of Wildlife, Grasslands Water District, Audubon, Point Blue
- Meetings are being scheduled for early June with additional NGO's

Sites

Communications & Government Affairs Update

Landowner/local community coordination activities and briefings

- Began outreach to landowners and the local community to introduce the new project - including the development of a Landowner Newsletter to be published in late May/early June
- Began outreach to landowners along the proposed Dunnigan Pipeline/CBD and provided a briefing to the Yolo County Supervisor who represents the area to discuss potential land use issues

Media and project materials updates

- Distribution of a press release highlighting the right-sized project, distribution of an e-blast newsletter, preparation of newspaper Op-eds for publication, and distribution of content on the project's social media platforms.
- Distribution of the 2019 Annual Report and Executive Prospectus
- Updating of project informational materials including the general project fact sheet, FAQ , and website information
- Development of new materials as needed - including a VP7 fact sheet

Sites

Communications & Government Affairs Update

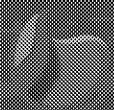
Update/Revisions to the Message Platform

- RC and AB input from the April meetings –expanding out the messaging on the project's environmental benefits and climate change resiliency
- Common themes coming out of NGO meetings
  - Environmental Water management – how do we accomplish flexible operations?
  - Dealing with Uncertainty of Delta operations
  - Need for greater specificity and understanding of proposed operations
  - Concerns with diversion criteria and temperature effects of releases
- Questions about WSIP funding being commensurate with "rightsized" benefits

The Message Platform is being revised to reflect input received and will be considered by the RC and AB at the June meetings.

Sites

Questions



Sites

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JERRY BROWN, EXECUTIVE DIRECTOR
925.260.7417

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members *(NON-VOTING)*

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

Notice: Pursuant to Executive Orders N-25-20 and N-33-20, issued by Governor Newsom on March 12, 2020, and guidance by the California Department of Public Health dated March 11, 2020, this meeting will be conducted by teleconference. The public may attend the meeting and offer public comments by phone, using the call-in number above. Members of the Committee will participate by teleconference from other locations. In addition, the public and members of the Committee may, at their discretion, attend the meeting at the Sites Project Authority's Maxwell Office located at 122 Old Highway 99 West, Maxwell, CA 95955. However, it is requested that everyone wear facial coverings and the room will be arranged to achieve physical distancing standards, and capacity at this site is therefore limited and will not be sufficient to accommodate more than a few members of the public.

July 22, 2020 ***1:30 p.m.***
Sites Project Authority
Agenda

Teleconference: **1-408-418-9388** Code: **146 087 0032** WebEx Link

Welcome to a meeting of the Sites Joint Powers Authority. If you are scheduled to address the Board, please state your full name for the record. Regularly numbered items may be considered at any time during the meeting. All items are listed in accordance with the Ralph M. Brown Act. We invite all members of the public to attend.

ROLL CALL & CALL TO ORDER:

- Introductions.
- Pledge of Allegiance.
- Approval of July 22, 2020 Agenda.
- Announcement of Closed Session.
- Period for Public Comment.

1. Consent Agenda: Approximate start time 1:40 pm

The following items have been reviewed by the Executive Director. To his knowledge, there is no opposition to the action. The items can be acted on in one consolidated motion as recommended or may be removed from the Consent Calendar and separately considered at the request of any person.

1.1 Consider approval of the June 24, 2020 Phase 2 Authority Board Meeting Minutes.

1.2 Consider acceptance of the Sites Project Authority Treasurer's Report as presented in Attachment 1.2A. **(Attachment A)**

1.3 Consider approval of the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B. **(Attachments A, B & C)**

1.4 Consider approval of Resolution No. 2020-03, providing all of the duties, authorities and responsibilities to the Authority's Executive Director that were previously delegated to the Authority's General Manager. **(Attachment A)**

1.5 Consider approval of the revised changes to the Authority Consulting Services Agreement. **(Attachment A)**

1.6 Consider approval of the Coordination, Legislative Outreach, Land Management and Joint Budget and Finance Workgroups Charter Document updates. **(Attachment A & B)**

1.7 Consider approval of an amendment to Brown and Caldwell's (Project Controls) contract and task order scope of work and budget. **(Attachment A)**

2. Action Items: Approximate start time 1:45 pm

2.1 Consider acceptance the Financial Auditor's Report. **(Attachments A & B)**

2.2 Consider approval of release of a Request for Qualifications to initiate the procurement of legal services to support the acquisition of the water rights permits and approvals needed to support the project. **(Attachment A)**

3. Discussion and Information Items: Approximate start time 2:15 pm

3.1 Review and comment on the conditionally approved August 2019 Water Storage Policy and consideration of updates to align with Value Planning. Recognize the storage policy serves as the foundational document to 2021 key milestones of creating a Plan of Finance and Operations Plan and refer consideration of updates to the ad hoc Engineering and Operations Workgroup. **(Attachment A)**

3.2 Review and comment on the approach being taken for the modeling baseline for the EIR/EIS analysis.

3.3 Review and comment on the Credit Reimbursement Policy Revisions. **(Attachments A & B)**

3.4 Receive status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation. **(Attachment A)**

3.5 Review and comment on the July 2020 Quarterly Update of the plan and schedule for addressing the Organizational Assessment findings and recommendations. **(Attachment A)**

3.6 Review and comment on the Strategic Planning Session Draft Agenda. **(Attachment A)**

4. Reports: Approximate start time 3:00 pm

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

4.1.2 Committee Chairpersons' Report:

This time is set aside to allow the Committee Chairpersons' an opportunity to disclose/discuss items related to the Sites Project.

4.1.3 Authority Board Participant Reports:

This time is set aside to allow Directors or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

4.2 Executive Director's Report:

- Sites Project's monthly status report. **(Attachment 4-2 A)**
- Reservoir Committee update.

5. Closed Session

5.1 Executive Director's Three-Month Performance Review (Govt. Code§ 54954.5(e)).

6. Future Meetings and Schedules: Approximate start time 3:40 pm

6.1 Suggested Future Agenda Items.

6.2 Upcoming meetings:

STRATEGIC PLANNING SESSION

AUGUST 13, 2020
TBD
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

RESERVOIR COMMITTEE

FRIDAY, AUGUST 21, 2020 9:00 AM
Sites Project Office, 122 Old Highway 99W Maxwell, CA 95955
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

AUTHORITY BOARD

WEDNESDAY, AUGUST 26, 2020 1:30PM
Sites Project Office
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

ADJOURN

PERIOD OF PUBLIC COMMENT: Any person may speak about any subject of concern, provided it is within the jurisdiction of the Directors and is not already on today's agenda. The total amount of time allotted for receiving such public communication shall be limited to a total of 15 minutes per issue and each individual or group will be limited to no more than 5 minutes each within the 15 minutes allocated per issue. ***Note:*** *No action shall be taken on comments made under this comment period.*

ADA COMPLIANCE: Upon request, agendas will be made available in alternative formats to accommodate persons with disabilities. In addition, any person with a disability who requires a modification or accommodation to participate or attend this meeting may request necessary accommodation. Please make your request to the Board Clerk, specifying your disability, the format in which you would like to receive this Agenda and any other accommodation required no later than 24 hours prior to the start of the meeting.

All supporting documentation is available for public inspection and review in the Sites Project Authority office located at 122 Old Highway 99 West Maxwell, CA 95955 during regular business hours 8:30 a.m. to 5:00 p.m., Monday through Friday.

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JERRY BROWN, EXECUTIVE DIRECTOR
925.260.7417

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members *(NON-VOTING)*

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

June 24, 2020 ***1:30 p.m.***
Sites Project Authority
Minutes

CALL TO ORDER & ROLL CALL:

Chairman Durst called the Sites Project Authority Board of Directors meeting to order at the hour of 1:30 p.m., followed by the Pledge of Allegiance.

INTRODUCTIONS:

Chairman Durst asked those present to introduce themselves. (**Attachment A**)

AGENDA APPROVAL:

It was moved by Director Dennis, seconded by Director Parker to approve the June 24, 2020 Sites Project Authority Agenda. Motion carried: All Directors present voted yes.

PERIOD OF PUBLIC COMMENT:

Chairman Durst called for public comment. Hearing none, he closed the period of public comment.

1. **CONSENT AGENDA:** It was moved by Director Harris, seconded by Director Dennis to approve Consent Agenda Item Numbers 1.1 through 1.3 as follows:

1.1 Approval of the May 21, 2020 Phase 2 Reservoir Committee Meeting Minutes.

1.2 Acceptance of the Sites Project Authority Treasurer's Report as presented in Attachment 1.2A.

1.3 Approval of the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B.

Motion carried: All Directors present voted yes.

2. Action Items:

2.1 Consider acceptance of the 2020-2021 Sites Project State Agency Legislative Activity Priorities.

Mr. Spesert provided an overview of the 2020-2021 Sites Project State Agency Legislative Activities Priorities, stating these priorities would be the Committee's and Team's focus through December 2021. He added any adjustments/refinements would be presented to the Reservoir Committee and Authority Board prior to being incorporated, with updates as needed. Brief discussion followed.

Action: It moved by Director Evans, seconded by Director Harris to accept the 2020-2021 Sites Project State Agency Legislative Activity Priorities. Motion carried: All Directors present voted yes.

2.2 Consider approval of the Authority's negotiation approach for permits and operating agreements.

Ms. Forsythe provided an overview of the Authority's negotiation approach for permits and operating agreements with state and federal agencies.

Chairman Durst stated the Executive Director would be given the authority as the lead in these negotiations, with two teams working under him, the Workgroup which would deal with technical issues and the Negotiating Team who would evolve some of the principles. Brief discussion followed.

Action: It was moved by Director Sutton, seconded by Director Dennis to approve the Authority's negotiation approach for permits and operating agreements. Motion carried: All Directors present voted yes.

3. Discussion and Information Items:

3.1 Receive status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation. **(Attachment A, B & C)**

Mr. Robinette provided an update regarding the following:

- Preliminary Participation Levels.
- Outreach and Rebalancing.
- Schedule.
- Cash Call Timing.
- Process for a participant securing a position in the participation queue and steps involved.

Mr. Robinette also spoke to a request from Colusa County regarding an alternate payment plan based on their unique situation. He stated the alternate payment plan proposed by the County was analyzed by the Project team and the Team determined that it was prudent and reasonable to accept the alternate payment plan and made a finding of equivalency for the following reasons:

- The same total dollars are to be paid and there is no lesser commitment as compared to any of the other participating members.
- The timing of the alternate payments is such that on a cash flow basis there is no redirected impacts on other participating members.
- The administrative burden of the alternate plan is offset by the proposed additional year of rent relief for the Authority's use of the Maxwell office building which is owned by the County.

Discussion followed with no action taken.

3.2 Receive status update on draft 2020 Final Federal Feasibility Report.

Mr. Brown provided an update on the draft 2020 Final Federal Feasibility (FFFR) Report, stating the approved 2020-21 Sites Federal Government Affairs Priorities includes completion of the FFFR to qualify for federal funding and serves as a basis for the State's feasibility analysis required for WSIP funding. Mr. Brown spoke to the following:

- Potential benefits for north and south of delta, new supplies for incremental level 4 refuges and improvement for migrating fish in north of delta rivers and streams.
- WIIN Act construction funds.
- Differences between the draft 2020 FFFR and VP7 (the Authority's preferred alternative).
- "Right sized" Project.
- WIIN Act deadlines.
- Benefit cost ratio.
- Key WIIN Act criteria (determination of feasibility by December 2020 and initiation of construction by 2022.
- Feasibility Report Review Process.

Mr. Brown stated the draft 2020 FFR is currently going through policy review and will be sent to Washington DC in July where it will undergo numerous reviews by OMB and the Secretary of Interior who will then submit the final determination to Congress. Discussion followed with no action taken.

3.3 Review and Comment on the objectives and alternatives for the Revised Environmental Impact Report/Environmental Impact Statement to focus efforts in developing a more complete project description on schedule. **(Attachment A & B)**

Ms. Forsythe provided an overview on the objectives and alternatives for the Revised Environmental Impact Report/Environmental Impact Statement. She spoke to the following:

Overview:

- Work on the preparation of the Revised EIR/EIS, updates to the Biological Assessment and design efforts.
- Key first step is the development of a Revised Project Description:
    - Serves as the foundation of the Revised EIR/EIS.
    - Preferred Project is foundation of the Biological Assessment and Sites Feasibility Report.
- Soliciting early input to reduce rework and maintain schedule.

Building Block to September Decision:

- Environmental Planning and Permitting Key Milestones:
    - September, RC and AB to review and approve.
        - More complete project description.
        - Recommendation on Preferred Project.
- Necessity of staying on schedule.

Objectives – Background:

- CEQA Guidelines – require that a project description contain a clear statement of the project objectives, including the underlying purpose of the Project.
- Staff proposing revisions to objectives to:
    - Better reflect goals of Reservoir Committee and Authority Board as described in the Value Planning Report and messaging documents.
    - Add clarity and specificity to improve understanding of the foundation of the Project.
- Improve environmental, agricultural and municipal water supply reliability in a cost-effective manner for Project Participants.
- Improve cold water pool management in Shasta Reservoir through coordination and exchanges with Reclamation to benefit anadromous fish.
- Enhance the Delta ecosystem by providing water to convey food resources from the floodplain to the Delta thereby improving the food chain and quality of the Delta's estuarine habitat for the benefit of pelagic fishes in the north Delta (e.g. Cache Slough.)

- Provide improvements in state-wide water supply reliability to enhance opportunities for fish protection, habitat management and other environmental needs.
- Provide local and regional amenities, including development of recreational facilities, reduction of local flood damage and maintaining community connectivity through roadway modifications.

Alternatives – Background:

- CEQA Guidelines require that an EIR analyze a reasonable range of alternatives that would:
  - Satisfy and attain most of the basic objectives of the project.
  - Avoid or substantially lessen any of the significant effects of the project.
- Staff proposing revisions to alternatives to better align with Value Planning Report.
- Alternatives – Key Components and Differences of the Facilities/Operations, Action Alternative 1 (Derived from VP7 and Action Alternative 2 (Includes Parts of VP5 and VP6).
- Next Steps:
  - Continue development and refinement of more complete project description, including:
    - Objectives.
    - Action Alternatives.
    - No Action Alternatives.
    - Recommended Preferred Project.
- Return to Reservoir Committee and Authority Board in September with:
  - More complete project description.
  - Recommend Preferred Project for the purpose of the CEQA analysis.
- Coordinate with Reclamation and Sites legal counsel for input.

Discussion followed regarding Alternative 1 and Alternative (Conveyance Release/Dunnigan Release and Route to West side of Reservoir) with no action taken.

3.4 Review and comment on the approach for discussions with the California Department of Water Resources on a Sites Coordinated Operations Agreement. **(Attachment A)**

Mr. Brown stated Staff is reinitiating technical discussion with DWR on a Sites Coordinated Operations Agreement (COA). He stated Sites COA would address the following key items:

1. Coordination of Sites water deliveries with DWR's operation of the State Water Project.
2. Sites water accounting in the context of the COA) for CVP and SWP.
3. Conveyance and operational losses.
4. Exchanges and transfers from Sites-participants to non-Sites SWP contractors.
5. Water rights and point of delivery considerations.

Brief discussion followed with no action taken.

3.5 Receive status update on the Colusa Basin Drain (CBD) evaluation and Dunnigan Pipeline alignment review.

Mr. Luu provided an update on the CBD evaluation and the Dunnigan Pipeline alignment. He stated the Dunnigan Pipeline alignment analysis is progressing well, with no fatal flaws having been identified to date. He stated further the consultant team is refining the alignment to reduce impacts to properties and landowner operations as well as minimizing environmental impacts to Bird Creek. He further stated a hydraulic study of the CBD has been initiated and the analysis would confirm whether releases into the CBD are viable. He said a determination of a go/no-go on releases to the CBD would be made by August 2020. and should the use of the CBD not be feasible an alternative extending the Dunnigan Pipeline alignment to the river would still exist.

Brief discussion followed with no action taken.

3.6 Receive status update on the approach for Regulatory Agency Technical Working Group. **(Attachment A)**

Ms. Forsythe stated staff have reinitiated efforts on the development and submittal of key permits for the Sites Project as reflected in the Amendment 1B Work Plan. She stated two technical working groups would be established to facilitate regular communication and coordination with state, federal and local agencies with jurisdiction over all or portions of the Project.

She stated Group 1: Interagency General Update would meet on a quarterly basis to update and coordinate with all state, federal and local regulatory and/or partnering agencies; Group 2: Fishery and Operations Technical Meetings would focus, as needed on fishery and operations meetings to review and discuss the modeling approach, analysis approach, operational criteria and the resulting effects to species of the Project. She also spoke to Potential Attendees of each of the Technical Working Groups.

Mr. Spesert suggested including local agencies such as the Fire Department, Sheriff's Department, School Districts, etc. Brief discussion followed with no action taken.

4. Reports:

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

Chairman Durst provided a brief update on various matters related to the Sites Project. He also stated Chairman Bettner, Executive Director Brown and he meet on a regular monthly basis.

4.1.2 Workgroup Chairpersons' Report:

Land Management

Director Evans stated a kickoff meeting was held with AECOM and Glenn-Colusa regarding road issues.

Budget & Finance

Ms. Traynham provided and update on the following:

- Audit Report (completed and signed).
- Revised Credit Reimbursement Policy.

Legislative & Outreach

Director Sutton provided a brief update regarding Legislative and Outreach matters.

4.1.3 Reservoir Committee Participant Reports:

None.

4.2 Executive Director's Reports:

- Monthly status report. **(Attachment A)**
- Updated Message Platform.
- Update on concerns raised by M & I Agencies re: 2020 Urban Water Management Plan and added requirement of making findings of reducing reliance on Delta supplies.
- Work conduct of Authority Agents and Consultants re: needs for and responsibilities of conduct within the workplace.
- Federal and State Budgets:
    - WIIN Act Appropriations for fiscal year 2021 announced.
    - Bond funding made it through as related to Sites Project.
- Strategic Planning Session update planned for end of July 2020.

- Communications:
    - Revised Message Platform has been added to the Home Board Package.
    - Town Hall meetings to be held on July 14 and July 15, 2020.
    - Landowner Newsletter re: right sized project has gone out.

Ms. Katz provided an update on outreach to the community and entities interested in the Town Hall meetings.

5. Future Meetings and Schedules:

5.1 Suggested Future Agenda Items.

Mr. Brown provided a brief update of matters to be heard on future Agendas. Brief discussion followed with no action taken.

5.2 Upcoming meetings:

RESERVOIR COMMITTEE

THURSDAY, JULY 16, 2020 1:00 PM
Sites Project Office, 122 Old Highway 99W Maxwell, CA 95955
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

AUTHORITY BOARD

WEDNESDAY, JULY 22, 2020 1:30PM
Sites Project Office, 122 Old Highway 99W Maxwell, CA 95955
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

Chairman Durst adjourned the meeting at 3:35 p.m.

_____ _____
Fritz Durst, Chairman Yolanda Tirado, Board Clerk

Topic: **Sites Reservoir Project, Phase 1** **2020 June 24**

Subject: **Authority Board Meeting Attendance** **1:30 – 4:00 PM**

Current Voting Authority Board Participants:

Participant	✓ Representative	✓ Alternate	Others
Colusa County	☒ Gary Evans	☒ Mike Azevedo	
Colusa County Water District	☐ Joe Marsh	☐ Doug Griffen	
Glenn County	☒ Leigh McDaniel	☐ John Viegas	
Glenn-Colusa Irrigation District	☒ Logan Dennis	☐ Don Bransford	
Reclamation District 108	☒ Fritz Durst	☐ Sean Doherty	
City of Roseville / Placer County Water Agency	☒ Bruce Houdesheldt	☐ Joshua Alpine	
Tehama-Colusa Canal Authority	☒ Jeff Sutton	☐ Halbert Charter	
City of Sacramento / Sacramento County Water Agency	☒ Jeff Harris	☐ Brett Ewart ☐ Ann Sanger	
Westside Water District	☒ Doug Parker	☐ Zach Dennis	

Associate Members (2 non-voting):

Participant	✓ Representative	✓ Alternate	Others
TC-4	☒ Jamie Traynham	☐ Tom Charter ☐ Jason Holley	
Western Canal Water District	☐ Greg Johnson ☒ Ted Trimble	☐ Zach Dennis ☐ Dan Ruiz	

Non-Voting Committee Participants (2):

Participant	✓ Representative/Other	✓ Alternate/Other	
Dept of Water Resources	☐ Rob Cooke	☐ David Sandino	
	☐ Ajay Goyal	☐ Jim Wieking	☐ Dave Arrate
Bureau of Reclamation	☐ Richard Welsh	☒ Don Bader	☐ Chris Duke
	☒ Ryan Davis ☒ Natalie Wolder	☐ Derya Sumer ☐ Mike Dietl ☐ David Van Rijn	☐ Mike Mosley ☐ Shana Kaplan

Authority, Non-Signatory (6):

Participant	✓ Representative	✓ Alternate	
PCWA	☒ Ed Horton	☐ Ben Barker Darin Reintjes	
Roseville	☐ Sean Bigley	☐ Trevor Joseph ☐ Jason Marks	
Sacramento County WA	☒ Kerry Schmitz	☐ Michael Peterson ☐ William Busath	☒ Michael Grinstead ☒ Dave Underwood

Staff:

✓	Name	Representing
☒	Brown, Jerry	Sites Project Authority
☒	Forsythe, Ali	Sites Project Authority
☒	Spesert, Kevin	Sites Project Authority
☒	Trapasso, Joe	Sites Project Authority
☒	Tirado, Yolanda	Sites Project Authority

Consultants:

✓	Name	Representing
☐	Alexander, Jeriann	Fugro
☐	Boling, Robert	HDR
☐	Briard, Monique	ICF
☐	Floyd, Kim	Floyd
☐	Herrin, Jeff	AECOM
☒	Heydinger, Erin	HDR
☒	Katz, Sara	Katz & Associates
☒	Kuney, Scott	Young Wooldridge
☒	Luu, Henry	HDR
☒	Robinette, JP	Brown & Caldwell
☐	Spranza, John	HDR
☐	Tull, Rob	Ch2m
☒	Warner Herson, Laurie	Phenix

Other Attendees: *(Check box to have email address added to the distribution list)*

Name	Representing	Contact (Phone & E-mail)
Juleah Cordi	Office of Assemblymember Gallagher	
Conner McDonald	CMD West	
Cathy Westcot	HDR	
Grace Lui		

Sites

Topic: **Authority Board Agenda Item 1.2** **2020 July 22**

Subject: **Treasurer's Report**

Requested Action:

Consider acceptance of the Sites Project Authority Treasurer's Report as presented in Attachment 1-2A.

Detailed Description/Background:

Attachment 1-2A incorporates financial information through June 30, 2020.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: June 2020 Treasurer's Report.

SITES JOINT POWERS AUTHORITY
TREASURER'S REPORT
June 30, 2020

Savings Account-River City Bank				
Beginning Balance	06/01/2020		**$ 2,956,636.65**	
Transfer to General Checking to max benefit			**$ (218,086.79)**	
Interest Earned			**$ 2,232.05**	
River City Bank ICS Savings Account Balance	**06/30/2020**			**$ 2,740,781.91**
General Operating Checking Account-River City Bank				
Beginning Balance	06/01/2020		**$ 1,149,966.02**	
RECEIVED:				
Refund from Sac Public Library-Meeting Expense		$ 450.00		
Interest Earned		$ 945.78		
TOTAL RECEIVED			**$ 1,395.78**	
DISBURSED:				
Bank Fees		$ (157.77)		
Administrative Support		$ (4,189.50)		
Computer Service		$ (1,350.62)		
General Manager		$ (28,551.26)		
Accounting Fees		$ (1,765.00)		
Office Expenses		$ (723.07)		
Professional Fees-Legislative/Regulatory		$ (8,000.00)		
Professional Fees-Communication		$ (35,424.99)		
Professional Fees-Organizatioal Assessment				
Professional Fees-Fed Gov't Affairs Support		$ (15,000.00)		
Professional Fees-Legal Services		$ (5,707.14)		
TOTAL DISBURSED			**$ (100,869.35)**	
Transfer from Savings to max benefit			**$ 218,086.79**	
RCB General Checking Account Balance	**06/30/2020**			**$ 1,268,579.24**
State (WSIP) Fund Checking Account-River City Bank				
Beginning Balance	06/01/2020		**$ 5,744,010.94**	
DISBURSED:				
Bank Wire Fees				
Annual Audit Fees				
Bus/Comm Manager		$ (21,320.00)		
Program Op Manager		$ (28,000.00)		
Professional Fees-Engineering		$ (8,112.77)		
Professional Fees-Cost Development		$ (1,374.00)		
Professional Fees-Bond Counsel		$ (3,970.00)		
Professional Fees-Executive Director Services		$ (33,750.00)		
Professional Fees-Project Controls		$ (116,764.80)		
Professional Fees-Operations/SIM Modeling		$ (97,617.53)		
Professional Fees-EPP Manager		$ (30,000.00)		
Professional Fees-Geotech Eng		$ (11,875.58)		
Professional Fees-Project Integration		$ (168,516.59)		
Professional Fees-Reservoir Operations		$ (3,185.00)		
Professional Fees-Special Legal		$ (971.10)		
Professional Fees-Env/Biological Serv & Permit		$ (96,395.12)		
TOTAL DISBURSED			**$ (621,852.49)**	
RCB State Fund Checking Account Balance	**06/30/2020**			**$ 5,122,158.45**
TOTAL CASH ON HAND	**June 30, 2020**			**$ 9,131,519.60**

Sites Project Joint Powers Authority
Transactions by Account
As of June 30, 2020

Type	Date	Num	Name	Memo	Debit	Credit	Balance
River City ICS Savings							2,956,636.65
General Journal	06/24/2020	6-1C		transfer to max ICS		218,086.79	2,738,549.86
General Journal	06/30/2020	6-3C		rec int fme	2,232.05		2,740,781.91
Total River City ICS Savings					2,232.05	218,086.79	2,740,781.91
River City Operating Account							1,149,966.02
Bill Pmt -Check	06/09/2020	2154	Adept Solutions Inc			1,350.62	1,148,615.40
Bill Pmt -Check	06/09/2020	2155	Dunn Consulting			8,000.00	1,140,615.40
Bill Pmt -Check	06/09/2020	2156	J.C. Watson, Inc			28,551.26	1,112,064.14
Bill Pmt -Check	06/09/2020	2157	Katz and Associates, Inc			35,424.99	1,076,639.15
Bill Pmt -Check	06/09/2020	2158	KCoe Isom, LLP			1,765.00	1,074,874.15
Bill Pmt -Check	06/09/2020	2159	M.R. Cleaning Services			400.00	1,074,474.15
Bill Pmt -Check	06/09/2020	2160	Maximun Pest Control			65.00	1,074,409.15
Bill Pmt -Check	06/09/2020	2161	Mt Shasta Spring Water			30.15	1,074,379.00
Bill Pmt -Check	06/09/2020	2162	Recology Butte Colusa Counties			35.95	1,074,343.05
Bill Pmt -Check	06/09/2020	2163	Rush Personnel Services, Inc			4,189.50	1,070,153.55
Bill Pmt -Check	06/09/2020	2164	The Ferguson Group			15,000.00	1,055,153.55
Bill Pmt -Check	06/09/2020	2165	US Bank			191.97	1,054,961.58
Bill Pmt -Check	06/09/2020	2166	Young Wooldridge LLP			5,707.14	1,049,254.44
Deposit	06/24/2020			Sac Public Library	450.00		1,049,704.44
General Journal	06/24/2020	6-1C		transfer to max ICS	218,086.79		1,267,791.23
General Journal	06/30/2020	6-2C		Bank service fee		157.77	1,267,633.46
General Journal	06/30/2020	6-4C		rec int fme	945.78		1,268,579.24
Total River City Operating Account					219,482.57	100,869.35	1,268,579.24
River City State Fund Checking							5,744,010.94
Bill Pmt -Check	06/09/2020	1052	AECOM Technical Services, Inc.			8,112.77	5,735,898.17
Bill Pmt -Check	06/09/2020	1053	Brown and Caldwell			116,764.80	5,619,133.37
Bill Pmt -Check	06/09/2020	1054	CH2M Hill Engineers, Inc			97,617.53	5,521,515.84
Bill Pmt -Check	06/09/2020	1055	Forsythe Group LLC			30,000.00	5,491,515.84
Bill Pmt -Check	06/09/2020	1056	Fugro USA Land, Inc			11,875.58	5,479,640.26
Bill Pmt -Check	06/09/2020	1057	HDR Engineering Inc			168,516.59	5,311,123.67
Bill Pmt -Check	06/09/2020	1058	ICF Jones & Stokes Inc			96,395.12	5,214,728.55
Bill Pmt -Check	06/09/2020	1059	Larsen Wurzel & Associates, Inc			1,374.00	5,213,354.55
Bill Pmt -Check	06/09/2020	1060	MBK Engineers Inc			3,185.00	5,210,169.55
Bill Pmt -Check	06/09/2020	1061	Perkins Coie LLP			971.10	5,209,198.45
Bill Pmt -Check	06/09/2020	1062	Spesert Consulting			21,320.00	5,187,878.45
Bill Pmt -Check	06/09/2020	1063	Stradling Yocca Carlson & Rauth			3,970.00	5,183,908.45
Bill Pmt -Check	06/09/2020	1064	Trapasso Consulting Services			28,000.00	5,155,908.45
Bill Pmt -Check	06/09/2020	1065	Waterology Consulting			33,750.00	5,122,158.45
Total River City State Fund Checking					0.00	621,852.49	5,122,158.45
US Bank Checking							0.00
Total US Bank Checking							0.00
TOTAL					**221,714.62**	**940,808.63**	**9,131,519.60**

Draft_0028182

Sites Project Joint Powers Authority
Balance Sheet
As of June 30, 2020

	Jun 30, 20	
ASSETS		
Current Assets		
Checking/Savings		
River City ICS Savings		2,740,781.91
River City Operating Account		1,268,579.24
River City State Fund Checking		5,122,158.45
Total Checking/Savings		9,131,519.60
Accounts Receivable		
Accounts Rec Members		
Membership - Authority	68,000.00	
Membership - Reservoir	145,011.80	
Total Accounts Rec Members		213,011.80
Total Accounts Receivable		213,011.80
Total Current Assets		9,344,531.40
TOTAL ASSETS		**9,344,531.40**
LIABILITIES & EQUITY		
Liabilities		
Current Liabilities		
Accounts Payable		
Accounts Payable		1,106,991.94
Total Accounts Payable		1,106,991.94
Total Current Liabilities		1,106,991.94
Long Term Liabilities		
Participant Reimbursement Polic		6,064,652.67
Total Long Term Liabilities		6,064,652.67
Total Liabilities		7,171,644.61
Equity		
Net Assets		1,929,216.58
Retained Earnings		3,969,373.85
Net Income		-3,725,703.64
Total Equity		2,172,886.79
TOTAL LIABILITIES & EQUITY		**9,344,531.40**

Sites Project Joint Powers Authority
A/R Aging Summary
As of June 30, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
City of American Canyon	0.00	0.00	0.00	0.00	-4,988.20	-4,988.20
County of Colusa	150,000.00	0.00	0.00	0.00	0.00	150,000.00
County of Glenn	0.00	0.00	0.00	0.00	55,000.00	55,000.00
Yolo County Flood Control	0.00	0.00	0.00	0.00	13,000.00	13,000.00
TOTAL	**150,000.00**	**0.00**	**0.00**	**0.00**	**63,011.80**	**213,011.80**

Sites Project Joint Powers Authority
A/P Aging Summary
As of June 30, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
Adept Solutions Inc	1,011.86	0.00	0.00	0.00	0.00	1,011.86
AECOM Technical Services, Inc.	158,393.74	78,837.14	0.00	0.00	0.00	237,230.88
Brown and Caldwell	98,335.19	0.00	0.00	0.00	0.00	98,335.19
CH2M Hill Engineers, Inc	0.00	206,131.53	0.00	0.00	0.00	206,131.53
Darling H2O Consulting, Inc.	236.25	0.00	0.00	0.00	0.00	236.25
Dunn Consulting	8,000.00	0.00	0.00	0.00	0.00	8,000.00
Fechter & Company CPA	1,275.60	0.00	0.00	0.00	0.00	1,275.60
Forsythe Group LLC	30,251.85	0.00	0.00	0.00	0.00	30,251.85
Fugro USA Land, Inc	13,209.93	0.00	0.00	0.00	0.00	13,209.93
HDR Engineering Inc	202,638.30	0.00	0.00	0.00	0.00	202,638.30
ICF Jones & Stokes Inc	0.00	78,982.06	0.00	0.00	0.00	78,982.06
Katz and Associates, Inc	31,549.15	20,979.99	0.00	0.00	0.00	52,529.14
KCoe Isom, LLP	1,997.00	0.00	0.00	0.00	0.00	1,997.00
Larsen Wurzel & Associates, Inc	13,006.25	0.00	0.00	0.00	0.00	13,006.25
Maximun Pest Control	65.00	0.00	0.00	0.00	0.00	65.00
MBK Engineers Inc	0.00	7,785.75	0.00	0.00	0.00	7,785.75
Montague DeRose and Associates, LLC	0.00	4,568.75	0.00	0.00	0.00	4,568.75
Mt Shasta Spring Water	102.40	0.00	0.00	0.00	0.00	102.40
Perkins Coie LLP	597.60	0.00	0.00	0.00	0.00	597.60
Recology Butte Colusa Counties	35.95	0.00	0.00	0.00	0.00	35.95
Rush Personnel Services, Inc	5,115.60	0.00	0.00	0.00	0.00	5,115.60
Spesert Consulting	21,320.00	0.00	0.00	0.00	0.00	21,320.00
Stradling Yocca Carlson & Rauth	0.00	3,450.00	0.00	0.00	0.00	3,450.00
The Catalyst Group	11,100.88	0.00	0.00	0.00	0.00	11,100.88
The Ferguson Group	0.00	15,000.00	0.00	0.00	0.00	15,000.00
Trapasso Consulting Services	28,000.00	0.00	0.00	0.00	0.00	28,000.00
US Bank	251.13	0.00	0.00	0.00	0.00	251.13
US Postal Service	120.00	0.00	0.00	0.00	0.00	120.00
Waterology Consulting	33,750.00	0.00	0.00	0.00	0.00	33,750.00
William Avery & Associates	0.00	0.00	13,271.36	0.00	11,623.68	24,895.04
Young Wooldridge LLP	5,998.00	0.00	0.00	0.00	0.00	5,998.00
TOTAL	**666,361.68**	**415,735.22**	**13,271.36**	**0.00**	**11,623.68**	**1,106,991.94**

Sites Project Joint Powers Authority
Profit & Loss
June 2020

	Jun 20	Jan - Jun 20
Ordinary Income/Expense		
Income		
Membership Admin/Authority	0.00	505,000.00
Membership Water	150,000.00	150,000.00
Prop 1 Funding	0.00	0.00
Total Income	150,000.00	655,000.00
Gross Profit	150,000.00	655,000.00
Expense		
Accounting Expense	1,997.00	15,961.00
Admin Support Gen Manager	5,115.60	30,627.45
Audit Fees	1,275.60	12,036.00
Bank Service Fees	157.77	1,006.96
General Manager	0.00	186,514.66
Insurance - Property	0.00	250.00
Insurance Liability	0.00	1,604.25
Office Expenses		
Cleaning	0.00	900.00
Misc Office & Operating Exp	-78.87	2,753.42
Pest Control & Maintenance	65.00	390.00
Trash Service	35.95	215.70
Water Expense	102.40	301.10
Total Office Expenses	124.48	4,560.22
Professional Fees		
Bond Counsel	3,450.00	7,420.00
Bus/Comm Manager	21,320.00	130,041.85
Communication	52,529.14	138,060.28
Cost Development Model	13,006.25	15,548.85
Cultural Study	0.00	3,885.55
Engineering & Technical Service	314,554.77	429,073.15
Env/Biological Services	43,590.12	186,461.40
EPP Manager	30,251.85	182,310.94
Executive Director	33,750.00	101,250.00
Federal Government Affairs Supp	15,000.00	90,000.00
General Legal Counsel	5,998.00	49,277.62
Geotechnical Engineering	13,209.93	62,058.45
Legislative/Reg/Strategic	8,000.00	48,000.00
Municipal Advisor	4,568.75	24,568.75
Operations/Simulation Modeling	128,807.64	479,842.28
Organizational Assessment	236.25	29,929.66
Permitting & Agreements	35,391.94	183,622.35
Personel Recruiter	0.00	24,895.04
Program Ops Manager	28,000.00	170,510.24
Project Controls	98,335.19	675,155.11
Project Integration	202,638.30	1,046,628.97
Real Estate	0.00	761.67
Reservoir Operations	7,785.75	27,988.50
Special Legal	597.60	31,971.60
Strategic Planning	11,100.88	11,100.88
Total Professional Fees	1,072,122.36	4,150,363.14
Website, Data, Computer Support	1,011.86	7,695.86
Total Expense	1,081,804.67	4,410,619.54
Net Ordinary Income	-931,804.67	-3,755,619.54
Other Income/Expense		
Other Income		
Interest Income		
Interest Income-River City	3,177.83	29,915.90

Sites Project Joint Powers Authority
Profit & Loss
June 2020

	Jun 20	Jan - Jun 20
Total Interest Income	3,177.83	29,915.90
Total Other Income	3,177.83	29,915.90
Net Other Income	3,177.83	29,915.90
Net Income	-928,626.84	-3,725,703.64

Sites Project Joint Powers Authority
Transaction Detail By Account
June 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Membership Water								
Invoice	06/19/2020	SPA-2020-14	County of Colusa	Sites Reservoir Participation ...	Reservoir		150,000.00	150,000.00
Total Membership Water						0.00	150,000.00	150,000.00
Accounting Expense								
Bill	06/30/2020	KC090345	KCoe Isom, LLP	6/20	Authority	399.40		-399.40
Bill	06/30/2020	KC090345	KCoe Isom, LLP	6/20	Reservoir	1,597.60		-1,997.00
Total Accounting Expense						1,997.00	0.00	-1,997.00
Admin Support Gen Manager								
Bill	06/15/2020	0137562	Rush Personnel Services, ...	6/20	Authority	246.96		-246.96
Bill	06/15/2020	0137562	Rush Personnel Services, ...	6/20	Reservoir	987.84		-1,234.80
Bill	06/15/2020	0137563	Rush Personnel Services, ..	6/20	Authority	282.24		-1,517.04
Bill	06/15/2020	0137563	Rush Personnel Services, ..	6/20	Reservoir	1,128.96		-2,646.00
Bill	06/29/2020	0137791	Rush Personnel Services, ...	6/20	Authority	211.68		-2,857.68
Bill	06/29/2020	0137791	Rush Personnel Services, ...	6/20	Reservoir	846.72		-3,704.40
Bill	06/29/2020	0137792	Rush Personnel Services, ...	6/20	Authority	282.24		-3,986.64
Bill	06/29/2020	0137792	Rush Personnel Services, ...	6/20	Reservoir	1,128.96		-5,115.60
Total Admin Support Gen Manager						5,115.60	0.00	-5,115.60
Audit Fees								
Bill	06/22/2020	Letter	Fechter & Company CPA	6/20	Reservoir	1,275.60		-1,275.60
Total Audit Fees						1,275.60	0.00	-1,275.60
Bank Service Fees								
General J...	06/30/2020	6-2C		Bank service fee	Authority	88.35		-88.35
General J...	06/30/2020	6-2C		Bank service fee	Reservoir	69.42		-157.77
Total Bank Service Fees						157.77	0.00	-157.77
Office Expenses								
Misc Office & Operating Exp								
Deposit	06/24/2020			refund	Reservoir		450.00	450.00
Bill	06/30/2020	Onlin 7/1	US Bank	6/20	Authority	251.13		198.87
Bill	06/30/2020	Letter	US Postal Service	7/20 Box rent	Authority	120.00		78.87
Total Misc Office & Operating Exp						371.13	450.00	78.87
Pest Control & Maintenance								
Bill	06/26/2020	51183	Maximun Pest Control	6/20	Authority	65.00		-65.00
Total Pest Control & Maintenance						65.00	0.00	-65.00
Trash Service								
Bill	06/30/2020	37438579	Recology Butte Colusa Co...	6/20	Authority	35.95		-35.95
Total Trash Service						35.95	0.00	-35.95
Water Expense								
Bill	06/05/2020	401027	Mt Shasta Spring Water	6/20	Authority	30.15		-30.15
Bill	06/26/2020	407049	Mt Shasta Spring Water	6/20	Authority	72.25		-102.40
Total Water Expense						102.40	0.00	-102.40
Total Office Expenses						574.48	450.00	-124.48
Professional Fees								
Bond Counsel								
Bill	06/18/2020	365299-0001	Stradling Yocca Carlson &..	5/20	Reservoir	3,450.00		-3,450.00
Total Bond Counsel						3,450.00	0.00	-3,450.00
Bus/Comm Manager								
Bill	06/30/2020	07-20	Spesert Consulting	6/20	Reservoir	21,320.00		-21,320.00
Total Bus/Comm Manager						21,320.00	0.00	-21,320.00
Communication								
Bill	06/15/2020	413789	Katz and Associates, Inc	5/20 (C)	Authority	11,538.00		-11,538.00
Bill	06/15/2020	413789	Katz and Associates, Inc	5/20 (C)	Reservoir	9,441.99		-20,979.99
Bill	06/30/2020	413822	Katz and Associates, Inc	6/20 (C)	Authority	17,349.28		-38,329.27
Bill	06/30/2020	413822	Katz and Associates, Inc	6/20 (C)	Reservoir	14,199.87		-52,529.14
Total Communication						52,529.14	0.00	-52,529.14
Cost Development Model								
Bill	06/15/2020	1609000-0520	Larsen Wurzel & Associat...	5/20	Reservoir	3,623.50		-3,623.50
Bill	06/30/2020	1609000-0620	Larsen Wurzel & Associat...	6/20	Reservoir	9,382.75		-13,006.25
Total Cost Development Model						13,006.25	0.00	-13,006.25
Engineering & Technical Service								
Bill	06/10/2020	200369389	AECOM Technical Service...	5/20 (Reservoir) (HR)	Reservoir	78,837.14		-78,837.14
Bill	06/17/2020	D3380600-001	CH2M Hill Engineers, Inc	5/20 (Conveyance) (HC)	Reservoir	77,323.89		-156,161.03
Bill	06/30/2020	200378520	AECOM Technical Service...	6/20 (Reservoir) (HR)	Reservoir	157,711.74		-313,872.77
Bill	06/30/2020	200377940	AECOM Technical Service...	6/20	Reservoir	682.00		-314,554.77
Total Engineering & Technical Service						314,554.77	0.00	-314,554.77
Env/Biological Services								
Bill	06/15/2020	0147510	ICF Jones & Stokes Inc	5/20 (Environ.) (E)	Reservoir	43,590.12		-43,590.12

Sites Project Joint Powers Authority
Transaction Detail By Account
June 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Total Env/Biological Services						43,590.12	0.00	-43,590.12
EPP Manager								
Bill	06/25/2020	SPA-202006	Forsythe Group LLC	6/20	Reservoir	30,251.85		-30,251.85
Total EPP Manager						30,251.85	0.00	-30,251.85
Executive Director								
Bill	06/30/2020	3	Waterology Consulting	6/20	Reservoir	33,750.00		-33,750.00
Total Executive Director						33,750.00	0.00	-33,750.00
Federal Government Affairs Supp								
Bill	06/01/2020	0620149	The Ferguson Group	6/20	Authority	7,500.00		-7,500.00
Bill	06/01/2020	0620149	The Ferguson Group	6/20	Reservoir	7,500.00		-15,000.00
Total Federal Government Affairs Supp						15,000.00	0.00	-15,000.00
General Legal Counsel								
Bill	06/30/2020	66804	Young Wooldridge LLP	6/20	Authority	3,353.25		-3,353.25
Bill	06/30/2020	66804	Young Wooldridge LLP	6/20	Reservoir	2,644.75		-5,998.00
Total General Legal Counsel						5,998.00	0.00	-5,998.00
Geotechnical Engineering								
Bill	06/30/2020	04.7219003...	Fugro USA Land, Inc	6/20 (I)	Reservoir	13,209.93		-13,209.93
Total Geotechnical Engineering						13,209.93	0.00	-13,209.93
Legislative/Reg/Strategic								
Bill	06/30/2020	Letter	Dunn Consulting	6/20	Authority	4,000.00		-4,000.00
Bill	06/30/2020	Letter	Dunn Consulting	6/20	Reservoir	4,000.00		-8,000.00
Total Legislative/Reg/Strategic						8,000.00	0.00	-8,000.00
Municipal Advisor								
Bill	06/04/2020	4888SITES	Montague DeRose and As...	4/20	Reservoir	4,568.75		-4,568.75
Total Municipal Advisor						4,568.75	0.00	-4,568.75
Operations/Simulation Modeling								
Bill	06/15/2020	D3205400-014	CH2M Hill Engineers, Inc	5/20 (Operations) (D)	Reservoir	128,807.64		-128,807.64
Total Operations/Simulation Modeling						128,807.64	0.00	-128,807.64
Organizational Assessment								
Bill	06/30/2020	127	Darling H2O Consulting, Inc.	6/20	Authority	236.25		-236.25
Total Organizational Assessment						236.25	0.00	-236.25
Permitting & Agreements								
Bill	06/15/2020	0147511	ICF Jones & Stokes Inc	5/20 (Permitting) (F)	Reservoir	35,391.94		-35,391.94
Total Permitting & Agreements						35,391.94	0.00	-35,391.94
Program Ops Manager								
Bill	06/30/2020	SPA 17-33	Trapasso Consulting Servi...	6/20	Reservoir	28,000.00		-28,000.00
Total Program Ops Manager						28,000.00	0.00	-28,000.00
Project Controls								
Bill	06/30/2020	17376336	Brown and Caldwell	6/20 (B)	Reservoir	98,335.19		-98,335.19
Total Project Controls						98,335.19	0.00	-98,335.19
Project Integration								
Bill	06/30/2020	1200277979	HDR Engineering Inc	6/20 (A)	Reservoir	202,638.30		-202,638.30
Total Project Integration						202,638.30	0.00	-202,638.30
Reservoir Operations								
Bill	06/19/2020	20-05-4941.0	MBK Engineers Inc	5/20	Reservoir	7,785.75		-7,785.75
Total Reservoir Operations						7,785.75	0.00	-7,785.75
Special Legal								
Bill	06/23/2020	6208406	Perkins Coie LLP	5/20	Reservoir	597.60		-597.60
Total Special Legal						597.60	0.00	-597.60
Strategic Planning								
Bill	06/30/2020	492	The Catalyst Group	6/20	Reservoir	11,100.88		-11,100.88
Total Strategic Planning						11,100.88	0.00	-11,100.88
Total Professional Fees						1,072,122.36	0.00	-1,072,122.36
Website, Data, Computer Support								
Bill	06/30/2020	MSP-139657	Adept Solutions Inc	7/20	Authority	152.04		-152.04
Bill	06/30/2020	MSP-139657	Adept Solutions Inc	7/20	Reservoir	608.16		-760.20
Bill	06/30/2020	139699	Adept Solutions Inc	5/20	Authority	50.33		-810.53
Bill	06/30/2020	139699	Adept Solutions Inc	5/20	Reservoir	201.33		-1,011.86
Total Website, Data, Computer Support						1,011.86	0.00	-1,011.86
Interest Income								
Interest Income-River City								

Sites Project Joint Powers Authority
Transaction Detail By Account
June 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
General J...	06/30/2020	6-3C		rec int fme	Reservoir		2,232.05	2,232.05
General J...	06/30/2020	6-4C		rec int fme	Authority		529.64	2,761.69
General J...	06/30/2020	6-4C		rec int fme	Reservoir		416.14	3,177.83
Total Interest Income-River City						0.00	3,177.83	3,177.83
Total Interest Income						0.00	3,177.83	3,177.83
TOTAL						**1,082,254.67**	**153,627.83**	**-928,626.84**

Sites Project Joint Powers Authority
Balance Sheet by Class
As of June 30, 2020

	Authority	Reservoir	TOTAL
ASSETS			
Current Assets			
Checking/Savings			
River City ICS Savings	0.00	2,740,781.91	2,740,781.91
River City Operating Account	708,746.64	559,832.60	1,268,579.24
River City State Fund Checking	0.00	5,122,158.45	5,122,158.45
Total Checking/Savings	708,746.64	8,422,772.96	9,131,519.60
Accounts Receivable			
Accounts Rec Members			
Membership - Authority	68,000.00	0.00	68,000.00
Membership - Reservoir	0.00	145,011.80	145,011.80
Total Accounts Rec Members	68,000.00	145,011.80	213,011.80
Total Accounts Receivable	68,000.00	145,011.80	213,011.80
Total Current Assets	776,746.64	8,567,784.76	9,344,531.40
TOTAL ASSETS	**776,746.64**	**8,567,784.76**	**9,344,531.40**
LIABILITIES & EQUITY			
Liabilities			
Current Liabilities			
Accounts Payable			
Accounts Payable	71,071.19	1,035,920.75	1,106,991.94
Total Accounts Payable	71,071.19	1,035,920.75	1,106,991.94
Total Current Liabilities	71,071.19	1,035,920.75	1,106,991.94
Long Term Liabilities			
Participant Reimbursement Polic	0.00	6,064,652.67	6,064,652.67
Total Long Term Liabilities	0.00	6,064,652.67	6,064,652.67
Total Liabilities	71,071.19	7,100,573.42	7,171,644.61
Equity			
Net Assets	114,674.93	1,814,541.65	1,929,216.58
Retained Earnings	351,952.54	3,617,421.31	3,969,373.85
Net Income	239,047.98	-3,964,751.62	-3,725,703.64
Total Equity	705,675.45	1,467,211.34	2,172,886.79
TOTAL LIABILITIES & EQUITY	**776,746.64**	**8,567,784.76**	**9,344,531.40**

Sites Project Joint Powers Authority
Profit & Loss by Class
June 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Income			
Membership Water	0.00	150,000.00	150,000.00
Total Income	0.00	150,000.00	150,000.00
Gross Profit	0.00	150,000.00	150,000.00
Expense			
Accounting Expense	399.40	1,597.60	1,997.00
Admin Support Gen Manager	1,023.12	4,092.48	5,115.60
Audit Fees	0.00	1,275.60	1,275.60
Bank Service Fees	88.35	69.42	157.77
Office Expenses			
Misc Office & Operating Exp	371.13	-450.00	-78.87
Pest Control & Maintenance	65.00	0.00	65.00
Trash Service	35.95	0.00	35.95
Water Expense	102.40	0.00	102.40
Total Office Expenses	574.48	-450.00	124.48
Professional Fees			
Bond Counsel	0.00	3,450.00	3,450.00
Bus/Comm Manager	0.00	21,320.00	21,320.00
Communication	28,887.28	23,641.86	52,529.14
Cost Development Model	0.00	13,006.25	13,006.25
Engineering & Technical Service	0.00	314,554.77	314,554.77
Env/Biological Services	0.00	43,590.12	43,590.12
EPP Manager	0.00	30,251.85	30,251.85
Executive Director	0.00	33,750.00	33,750.00
Federal Government Affairs Supp	7,500.00	7,500.00	15,000.00
General Legal Counsel	3,353.25	2,644.75	5,998.00
Geotechnical Engineering	0.00	13,209.93	13,209.93
Legislative/Reg/Strategic	4,000.00	4,000.00	8,000.00
Municipal Advisor	0.00	4,568.75	4,568.75
Operations/Simulation Modeling	0.00	128,807.64	128,807.64
Organizational Assessment	236.25	0.00	236.25
Permitting & Agreements	0.00	35,391.94	35,391.94
Program Ops Manager	0.00	28,000.00	28,000.00
Project Controls	0.00	98,335.19	98,335.19
Project Integration	0.00	202,638.30	202,638.30
Reservoir Operations	0.00	7,785.75	7,785.75
Special Legal	0.00	597.60	597.60
Strategic Planning	0.00	11,100.88	11,100.88
Total Professional Fees	43,976.78	1,028,145.58	1,072,122.36
Website, Data, Computer Support	202.37	809.49	1,011.86
Total Expense	46,264.50	1,035,540.17	1,081,804.67
Net Ordinary Income	-46,264.50	-885,540.17	-931,804.67
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	529.64	2,648.19	3,177.83
Total Interest Income	529.64	2,648.19	3,177.83
Total Other Income	529.64	2,648.19	3,177.83
Net Other Income	529.64	2,648.19	3,177.83
Net Income	**-45,734.86**	**-882,891.98**	**-928,626.84**

FOR MANAGEMENT PURPOSES ONLY

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through June 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Income			
Membership Admin/Authority	505,000.00	0.00	505,000.00
Membership Water	0.00	150,000.00	150,000.00
Prop 1 Funding	0.00	0.00	0.00
Total Income	505,000.00	150,000.00	655,000.00
Gross Profit	505,000.00	150,000.00	655,000.00
Expense			
Accounting Expense	3,192.20	12,768.80	15,961.00
Admin Support Gen Manager	6,125.49	24,501.96	30,627.45
Audit Fees	0.00	12,036.00	12,036.00
Bank Service Fees	441.59	565.37	1,006.96
General Manager	26,752.05	159,762.61	186,514.66
Insurance - Property	200.00	50.00	250.00
Insurance Liability	0.00	1,604.25	1,604.25
Office Expenses			
Cleaning	900.00	0.00	900.00
Misc Office & Operating Exp	1,227.61	1,525.81	2,753.42
Pest Control & Maintenance	390.00	0.00	390.00
Trash Service	215.70	0.00	215.70
Water Expense	301.10	0.00	301.10
Total Office Expenses	3,034.41	1,525.81	4,560.22
Professional Fees			
Bond Counsel	0.00	7,420.00	7,420.00
Bus/Comm Manager	0.00	130,041.85	130,041.85
Communication	80,206.19	57,854.09	138,060.28
Cost Development Model	0.00	15,548.85	15,548.85
Cultural Study	0.00	3,885.55	3,885.55
Engineering & Technical Service	0.00	429,073.15	429,073.15
Env/Biological Services	0.00	186,461.40	186,461.40
EPP Manager	0.00	182,310.94	182,310.94
Executive Director	0.00	101,250.00	101,250.00
Federal Government Affairs Supp	45,000.00	45,000.00	90,000.00
General Legal Counsel	25,133.25	24,144.37	49,277.62
Geotechnical Engineering	0.00	62,058.45	62,058.45
Legislative/Reg/Strategic	24,000.00	24,000.00	48,000.00
Municipal Advisor	0.00	24,568.75	24,568.75
Operations/Simulation Modeling	0.00	479,842.28	479,842.28
Organizational Assessment	29,929.66	0.00	29,929.66
Permitting & Agreements	0.00	183,622.35	183,622.35
Personel Recruiter	24,895.04	0.00	24,895.04
Program Ops Manager	0.00	170,510.24	170,510.24
Project Controls	0.00	675,155.11	675,155.11
Project Integration	0.00	1,046,628.97	1,046,628.97
Real Estate	0.00	761.67	761.67
Reservoir Operations	0.00	27,988.50	27,988.50
Special Legal	0.00	31,971.60	31,971.60
Strategic Planning	0.00	11,100.88	11,100.88
Total Professional Fees	229,164.14	3,921,199.00	4,150,363.14
Website, Data, Computer Support	1,539.17	6,156.69	7,695.86
Total Expense	270,449.05	4,140,170.49	4,410,619.54
Net Ordinary Income	234,550.95	-3,990,170.49	-3,755,619.54
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	4,497.03	25,418.87	29,915.90

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through June 2020

	Authority	Reservoir	TOTAL
Total Interest Income	4,497.03	25,418.87	29,915.90
Total Other Income	4,497.03	25,418.87	29,915.90
Net Other Income	4,497.03	25,418.87	29,915.90
Net Income	**239,047.98**	**-3,964,751.62**	**-3,725,703.64**

Sites

Topic: **Authority Board Agenda Item 1.3** **2020 July 22**

Subject: **Payment of Claims**

Requested Action:

Approve the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B.

Detailed Description/Background:

Attachment A presents the warrants to be drawn against the invoices received by the Sites Project Authority through July 6, 2020.

Attachment B summarizes details of the invoices received through July 6, 2020 and how the incurred costs are allocated between the Authority and Reservoir Committee.

Attachment C presents a budget status report of key consultants' task orders and tasks through the period of performance of the invoices presented in Attachment B.

Prior Action:

None.

Fiscal Impact/Funding Source:

Total Payment of Claims is $1,106,991.94 with $71,071.19 of costs being assigned to the Authority and $1,035,920.75 assigned to the Reservoir Committee.

For the Reservoir Committee assigned amount, $991,634.57 will be paid through the WSIP account and $44,286,18 through the JPA/Sites account as shown in Attachment B.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: July 2020 Report on warrants to be drawn for Payment of Claims.

Attachment B: July 2020 Monthly Consultant and Vendor Invoice table.

Attachment C: July 2020 Key Consultant Budget Status Report.

WARRANTS DRAWN AGAINST
Sites Project Authority- General Fund
July 22, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
2167	07/09/2020	Adept Solutions	IT & Related Services	1,011.86
2168	07/09/2020	Darling H2O	Organizational Assessment	236.25
2169	07/09/2020	Dunn Consulting	Legislative/Regulatory/Strategic Support	8,000.00
2170	07/09/2020	Katz and Associates Inc.	Communications	52,529.14
2171	07/09/2020	K·Coe-Isom, LLP	Accounting	1,997.00
2172	07/09/2020	Maximum Pest Control	Pest Control	65.00
2173	07/09/2020	Mt Shasta Spring Water	Office Water	102.40
2174	07/09/2020	Recology Butte Colusa Counties	Office Trash Pickup	35.95
2175	07/09/2020	Rush Personnel Services Inc.	Administrative Services	5,115.60
2176	07/09/2020	The Ferguson Group	Fed Govt Affairs Support	15,000.00
2177	07/09/2020	U.S. Bank	Misc. Expenses	251.13
2178	07/09/2020	US Postal Service	Box Fee	120.00
2179	07/09/2020	William Avery & Associates	Personnel Recruiter	24,895.04
2180	07/09/2020	Young Wooldridge LLP	Legal Counsel	5,998.00

THE FOREGOING CLAIM, NUMBERED 2167-2180 ARE APPLIED TO THE GENERAL FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **115,357.37**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

WARRANTS DRAWN AGAINST
Sites Project Authority-WSIP Fund
July 22, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
1066	07/09/2020	AECOM Inc.	Engineering	237,230.88
1067	07/09/2020	Brown and Caldwell	Project Controls	98,335.19
1068	07/09/2020	CH2M	Operations/SIM Modeling	206,131.53
1069	07/09/2020	Fechter & Company CPA	Accounting	1,275.60
1070	07/09/2020	Forsythe Group LLC	EPP Manager	30,251.85
1071	07/09/2020	Fugro USA Land, Inc.	Geotechnical Engineering Services	13,209.93
1072	07/09/2020	HDR Engineering Inc.	Project Integration	202,638.30
1073	07/09/2020	ICF Jones & Stokes Inc.	Env/Biological Services & Permitting	78,982.06
1074	07/09/2020	Larsen Wurzel & Associates, Inc.	Cost Development	13,006.25
1075	07/09/2020	MBK Engineers Inc.	Reservoir Operations	7,785.75
1076	07/09/2020	Montague DeRose and Associates, LLC	Municipal Advisor	4,568.75
1077	07/09/2020	Perkins Coie, LLP	Special Legal	597.60
1078	07/09/2020	Spesert Consulting	Bus/Comm Manager	21,320.00
1079	07/09/2020	Stradling Yocca Carlson & Rauth	Bond Counsel	3,450.00
1080	07/09/2020	The Catalyst Group	Strategic Planning Services	11,100.88
1081	07/09/2020	Trapasso Consulting Services	Program Operations Manager	28,000.00
1082	07/09/2020	Waterology Consulting	Executive Director Services	33,750.00

THE FOREGOING CLAIM, NUMBERED 1066-1082 ARE APPLIED TO THE WSIP FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **991,634.57**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

Sites

July 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for July 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their July monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Board Approval Items							
Adept Solutions *IT Related Services/Computer Equipment*	MSP-139657	*7/1/20*	07/20	$760.20	$152.04	$608.16	KMS
Adept Solutions *IT Related Services/Computer Equipment*	139699	*7/1/20*	5/20	$251.66	$50.33	$201.33	KMS
AECOM (Reservoir) (HR) *Engineering Services*	2000369389	*6/10/20*	5/20	$78,837.14		$78,837.14	HL
AECOM (Reservoir) (HR) *Engineering Services*	2000378520	*7/7/20*	6/20	$157,711.74		$157,711.74	HL
AECOM Technical Services, Inc. *Engineering/Tech*	2000377940	*7/6/20*	6/20	$682.00		$682.00	HL
Brown and Caldwell (B) *Project Controls*	17376336	*7/2/20*	6/20	$98,335.19		$98,335.19	JAT
CH2M Hill Engineers (Operations) (D) *Operations / Simulation Modeling*	D3205400-014	*6/15/20*	5/20	$128,807.64		$128,807.64	AEF
CH2M/Jacobs (Conveyance) (HC) *Engineering Services*	D3380600-001	*6/17/20*	5/20	$77,323.89		$77,323.89	HL
Colusa Indian Community Council *Tribal Council*	No Invoice						SPE
Darling H2O Consulting, Inc. *Organizational Assessment*	127	*6/30/20*	6/20	$236.25	$236.25		FD
Dunn Consulting *Legislative/Regulatory/Strategic Support*	Letter	*7/2/20*	6/20	$8,000.00	$4,000.00	$4,000.00	KMS
Fechter and Company, CPAs *Accounting Services*	Letter	*6/22/20*	6/20	$1,275.60		$1,275.60	JAT
Forsythe Group, LLC *EPP Manager*	SPA-202006	*6/25/20*	6/20	$30,251.85		$30,251.85	JB
Fugro (I) *Geotechnical Engineering Services*	04.72190035-15	*7/6/20*	6/20	$13,209.93		$13,209.93	HL
Gerald (Jerry) Johns *Project Operations*	No Invoice						AEF

Sites

July 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for July 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their July monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
HDR (A)	1200277979			$202,638.30		$202,638.30	JAT
Project Integration		*7/6/20*	6/20				
ICF Jones & Stokes, Inc. (Environ.) (E)	0147510			$43,590.12		$43,590.12	AEF
Env/Biological Services		*6/15/20*	5/20				
ICF Jones & Stokes, Inc. (Permitting) (F)	0147511			$35,391.94		$35,391.94	AEF
Permitting and Agreements		*6/15/20*	5/20				
Katz & Associates (C)	413789			$20,979.99	$11,538.00	$9,441.99	KMS
Communications		*6/15/20*	5/20				
Katz & Associates (C)	413822			$31,549.15	$17,349.28	$14,199.87	KMS
Communications		*7/6/20*	6/20				
K-Coe Isom, LLP	KC090345			$1,997.00	$399.40	$1,597.60	JAT
Accounting		*6/30/20*	6/20				
Larsen Wurzel & Associates, Inc.	1609000-0520			$3,623.50		$3,623.50	JAT
Cost Development		*6/15/20*	5/20				
Larsen Wurzel & Associates, Inc.	1609000-0620			$9,382.75		$9,382.75	JAT
Cost Development		*7/2/20*	6/20				
M.R. Cleaning Service	No Invoice						KMS
Office Cleaning							
Maximum Pest Control	51183			$65.00	$65.00		KMS
Pest Spraying		*6/26/20*	6/20				
MBK Engineers	20-05-4941.0			$7,785.75		$7,785.75	AEF
Reservoir Operations		*6/19/20*	5/20				
Montague DeRose & Associates, LLC	4888SITES			$4,568.75		$4,568.75	JAT
Municipal Advisor		*6/4/20*	4/20				
MT Shasta Water	401027			$30.15	$30.15		KMS
Office Water		*6/5/20*	6/20				
MT Shasta Water	407049			$72.25	$72.25		KMS
Office Water		*6/26/20*	6/20				
Perkins Coie, LLP	6208406			$597.60		$597.60	AEF
Special Legal		*6/23/20*	5/20				
Recology Butte Colusa Counties	37438579			$35.95	$35.95		KMS
Office Trash Pickup		*6/30/20*	6/20				

Sites

July 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for July 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their July monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Rush Personnel	IVC000000137562			$1,234.80	$246.96	$987.84	KMS
Yolanda Tirado Services		*6/15/20*	6/20				
Rush Personnel	IVC000000137563			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*6/15/20*	6/20				
Rush Personnel	IVC000000137791			$1,058.40	$211.68	$846.72	KMS
Yolanda Tirado Services		*6/29/20*	6/20				
Rush Personnel	IVC000000137792			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*6/29/20*	6/20				
Spesert Consulting	07-20			$21,320.00		$21,320.00	JB
Bus/Communications Manager		*7/3/20*	6/20				
Stradling, Yocca, Carlson & Rauth	365299-0001			$3,450.00		$3,450.00	JAT
Bond Counsel		*6/18/20*	5/20				
The Catalyst Group	492			$11,100.88		$11,100.88	JB
Strategic Planning Services		*7/2/20*	6/20				
The Ferguson Group	0620149			$15,000.00	$7,500.00	$7,500.00	KMS
Federal Government Affairs Support		*6/1/20*	6/20				
Trapasso Consulting Services	SPA 17-33			$28,000.00		$28,000.00	JB
Program Operations Manager		*7/5/20*	6/20				
U.S. Bank - Credit Card	Online 7/1			$251.13	$251.13		KMS
Misc. Expenses		*7/1/20*	6/20				
U.S. Postal Service	Letter			$120.00	$120.00		KMS
P.O. Box		*7/1/20*	7/20				
Waterology Consulting	3			$33,750.00		$33,750.00	JT/ FD /JS
Executive Director Services		*7/1/20*	6/20				
William Avery & Associates	2939			$8,400.00	$8,400.00		JAT
Personnel Recruiter		*1/21/20*	1/20				
William Avery & Associates	2940			$3,223.68	$3,223.68		JAT
Personnel Recruiter		*1/21/20*	1/20				
William Avery & Associates	3013			$5,500.00	$5,500.00		JAT
Personnel Recruiter		*5/8/20*	5/20				
William Avery & Associates	3014			$5,500.00	$5,500.00		JAT
Personnel Recruiter		*5/8/20*	5/20				

Sites

July 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for July 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their July monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
William Avery & Associates	3015		$2,271.36	$2,271.36		JAT
Personnel Recruiter	*5/8/20*	5/20				
Wiseman Consulting Group	No Invoice					KMS
ROW/Land Management						
Young Wooldridge, Law Offices, LLP	66804		$5,998.00	$3,353.25	$2,644.75	JAT
Legal Counsel	*6/30/20*	6/20				

		Total	$ Authority Board	$ Reservoir Committee
		Total	**$ Authority Board**	**$ Reservoir Committee**
	Cash Accounts	1,106,991.94	71,071.19	1,035,920.75
July 2020 Monthly Totals	**JPA/Sites**	115,357.37	71,071.19	44,286.18
	WSIP	991,634.57		991,634.57
Cumulative Summary	**WSIP To Date**	3,950,210.53	**(4) Fund Checks Received**	
	Balance	4,130,583.88	8,080,794.41	

Notes: *

Sites

JULY KEY CONSULTANT BUDGET REPORT

FOR AUTHORITY BOARD AND RESERVOIR COMMITTEE,
FINANCE AND ECONOMICS AD HOC COMMITTEE

Area / Consultant	Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
a) HDR		Costs and Task % as of 06/20 Services	**1,499,864**	**1,499,864**	**1,046,629**	**453,235**	**70%**		
	A01	Communications Int.		-	-	-	-	100%	
	A02	Ops Modeling Int.		181,007	43,269	137,738	24%	70%	
	A03	Env. Planning Int.		149,357	157,369	(8,012)	105%	75%	
	A04	Permitting Int.		243,884	217,610	26,274	89%	75%	
	A05	Real Estate Int.		139,718	69,768	69,950	50%	60%	
	A06	Engineering Int.		299,476	198,972	100,504	66%	75%	
	A07	Geotech Int.		-	-	-	-	100%	
	A08	Controls Int.		224,387	170,737	53,650	76%	75%	
	A09	General Int.		98,267	119,639	(21,372)	122%	75%	
	A10	Authority's Engineer		-	-	-	-	100%	
	A11	Health, Safety & Loss Prevention		-	-	-	-	100%	
	A12	Quality Management		-	-	-	-	100%	
	A13	Risk Management		49,890	18,901	30,989	38%	50%	
	A14	IT		15,831	12,033	3,798	76%	75%	
	A15	GIS		-	765	(765)	-	100%	
	A16	Document Management		3,734	3,654	80	98%	75%	
	A17	Staff Support		14,004	2,402	11,602	17%	75%	
	A18	Satellite Project Office		-	-	-	-	100%	
	A19	Land Conservation Approach		-	-	-	-	100%	
	A98	HDR Project Management		40,309	23,242	17,067	58%	75%	
	A99	Expenses		40,000	8,267	31,733	21%	75%	
b) BC		Costs and Task % as of 06/20 Services	**899,156**	**899,156**	**675,155**	**224,001**	**75%**		
	B01	Project Controls		113,645	60,036	53,609	53%	80%	
	B02	Contract Administration and Compliance		492,414	365,670	126,744	74%	75%	
	B03	Work Planning and Scheduling		100,341	99,410	931	99%	90%	
	B04	Project Administrative Support		117,516	105,160	12,356	89%	90%	
	B05	PMP Sections		-	-	-	-	-	
	B98	Project Management		73,240	42,370	30,870	58%	60%	
	B99	Expenses		2,000	2,510	(510)	125%	100%	

Draft_0028202

Area / Consultant	Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
c) K&A		Costs and Task % as of 06/20 Services	**199,930**	**199,930**	**138,060**	**61,870**	**69%**		
	C01	Local/Landowner Outreach		14,083	4,499	9,584	32%	50%	
	C02	Statewide Outreach		22,000	17,286	4,714	79%	70%	
	C03	NGO Outreach		12,166	1,085	11,081	9%	-	
	C04	Strategic Communications		19,666	12,844	6,822	65%	50%	
	C05	Message Development/Training		11,831	3,778	8,054	32%	60%	
	C06	Informational Materials Distribution		38,500	34,496	4,004	90%	60%	
	C07	Website Modifications and Management		18,600	7,393	11,208	40%	65%	
	C08	Video & Photography		-	73	(73)	-	-	
	C09	Authority/Reservoir Committee Public Affairs Support		14,500	15,828	(1,328)	109%	50%	
	C10	Media Relations		13,084	10,995	2,089	84%	65%	
	C11	Social Media		5,000	1,500	3,500	30%	35%	
	C98	Project Management		30,500	25,828	4,673	85%	65%	
	C99	Expenses		-	2,457	(2,457)	-	-	
d) CH2-d		Costs and Task % as of 05/20 Services	**993,000**	**993,000**	**479,843**	**513,157**	**48%**		
	D01	Permitting and Resource Agency Technical Support		100,000	71,586	28,414	72%	90%	
	D02	Environmental Document Support		356,853	140,676	216,177	39%	35%	
	D03	Total Operations Technical Support		300,000	125,048	174,952	42%	65%	
	D04	Value Planning		88,000	79,921	8,079	91%	100%	
	D98	Project Management		31,147	32,091	(944)	103%	60%	
	D99	Expenses & Subconsultants		117,000	30,520	86,480	26%	45%	
e) ICF-e		Costs and Task % as of 05/20 Services	**428,000**	**428,000**	**186,461**	**241,539**	**44%**		
	E01	Draft EIS/EIR Review and Strategic Consultation		-	-	-	-	-	
	E02	Prepare Admin Final Responses to Comments		-	-	-	-	-	
	E03	Prepare Administrative Final EIR/EIS		15,000	14,967	33	100%	100%	
	E04	Begin Preparation of Administrative Record for Fin		-	-	-	-	-	
	E05	Engagement		-	-	-	-	-	
	E06	Geotechnical Environmental Document		-	-	-	-	-	
	E07	Develop Environmental Document Work Plan		110,000	85,759	24,241	78%	75%	
	E08	Support Development of EIR/EIS Project Description		180,000	57,124	122,876	32%	30%	
	E09	Prepare Annotated Outline		88,000	-	88,000	-	-	
	E98	Project Management		31,500	28,611	2,889	91%	75%	
	E99	Expenses		3,500	-	3,500	-	-	

Draft_0028203

Sites

JULY KEY CONSULTANT BUDGET REPORT

FOR AUTHORITY BOARD AND RESERVOIR COMMITTEE,
FINANCE AND ECONOMICS AD HOC COMMITTEE

7/9/2020

Area / Consultant	Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
f) ICF-f		Costs and Task % as of 05/20 Services	**708,300**	**708,300**	**183,622**	**524,678**	**26%**		
	F01	Finalize Joint Biological Assessment		-	-	-	-	-	
	F02	Finalize Geotechnical Permits and Fieldwork		297,120	37,628	259,492	13%	50%	
	F03	Prepare Section 106 Documents		-	-	-	-	-	
	F04	Begin Preparation of Permits and Agreements		26,000	25,976	24	100%	100%	
	F05	Prepare for 2020 Field Work Studies		-	-	-	-	-	
	F06	Strategize on Mitigation and Adaptive Management P		-	-	-	-	-	
	F07	Early Coordination and Development of Key Permits		333,740	82,890	250,850	25%	30%	
	F98	Project Management		35,000	30,734	4,266	88%	75%	
	F99	Expenses		16,440	6,395	10,045	39%	15%	
hc) CH2-hc		Costs and Task % as of 05/20 Services	**597,023**	**597,023**	**77,324**	**519,699**	**13%**		
	HC01	Project Coordination		15,378	4,211	11,167	27%	25%	
	HC02	Engineering Support for Proj. Description of Preferred Proj. from Value Planning		370,475	44,050	326,426	12%	15%	
	HC98	Project Management		55,469	21,204	34,265	38%	25%	
	HC99	Expenses & Subconsultants		155,700	7,859	147,841	5%	15%	
hr) AEC-hr		Costs and Task % as of 06/20 Services	**599,379**	**599,379**	**236,549**	**362,830**	**39%**		
	HR01	Project Coordination		7,339	1,487	5,852	20%	20%	
	HR02	Engineering Support for Project Description of Preferred Project		567,000	212,462	354,538	37%	48%	
	HR98	Project Management		25,040	22,600	2,440	90%	95%	
i) FUG		Costs and Task % as of 06/20 Services	**85,995**	**85,995**	**62,058**	**23,937**	**72%**		
	I01	Data Review and Develop 2019 Feasibility Study Work Plan		-	-	-	-	-	
	I02	- Obsolete -		-	-	-	-	-	
	I03	2019 Site Investigation		-	-	-	-	-	
	I04	Future Site Investigation Work Plan for Design		-	-	-	-	-	
	I05	Assessment of Proposed Field Data Collection Points		50,786	31,736	19,050	62%	62%	
	I98	Project Management		35,209	30,322	4,887	86%	86%	
	I99	Expenses		-	-	-	-	-	

Notes: *

Draft_0028204

Sites

Topic: **Authority Board Agenda Item 1.4** **2020 July 22**

Subject: **Reassignment of Staff**

Requested Action:

Consider approval of Resolution No. 2020-03, providing all of the duties, authorities and responsibilities to the Authority's Executive Director that were previously delegated to the Authority's General Manager.

Detailed Description/Background:

As a result of the organizational assessment, the duties and responsibilities of the General Manager were reassigned to an interim Executive Director in December 2019 and a new Executive Director was selected and a contract for these services was executed in March 2020. There is no longer a General Manger role on the project. The proposed attached resolution memorializes the change from General Manager to Executive Director to affect all agreements, contracts, and any other documents that the Authority has previously executed identifying the General Manager position.

Prior Action:

None

Fiscal Impact/Funding Source:

There is no fiscal impact associated with this change.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Resolution No. 2020-03

BEFORE THE BOARD OF DIRECTORS OF THE SITES PROJECT AUTHORITY

RESOLUTION NO. 2020-03

In the Matter of:

Authorizing the Executive Director as having all of the duties, responsibilities and authorities previously directed upon the General Manager.

WHEREAS, the Authority has previously assigned certain duties, responsibilities and authorities to the General Manager in the oversight of the Project and has identified such in its agreements, contracts, permits, and other business documentation related to the Project; and

WHEREAS, in December 2019 the Authority created the "interim" Executive Director position as the replacement for the General Manager position; and

WHEREAS, in March 2020 the Authority entered into a contract for services with the Authority's Executive Director (the "Contract"), which provided for the Executive Director's fulfillment of duties, responsibilities, and authorities that were previously fulfilled by the former General Manager, and thereby replaced the General Manager position on a permanent basis with the position of Executive Director.

NOW, THEREFORE BE IT RESOLVED by this Board of Directors as follows:

1. The Executive Director position replaces the previous General Manager position within the Project's organization and, pursuant to the Contract, has been delegated all of the duties, responsibilities, and authorities previously assigned to the former General Manager.
2. All references to the term "General Manager" in any agreements, contracts, permits or other business documents shall be understood to mean "Executive Director", if and as applicable, and all such agreements, contracts, permits or other business documents shall, as appropriate, be revised to replace the term "General Manager" with the term "Executive Director". Such amendments shall be considered

ministerial and not subject to further agreement or negotiation between effected parties, and is fully within the rights of the Authority to unilaterally authorize.

3. The Authority's officers and Executive Director are authorized to do all things necessary and proper to carry out and implement the above reference matters.

PASSED, APPROVED AND ADOPTED by the Board of Directors of the Sites Project Authority this 22th day of July 2020, by the following vote:

AYES:

NOES:

ABSTAIN:

ABSENT:

I, Fritz Durst, Chair Authority Board of the Site Project Authority, do hereby certify that the resolution set forth above is true and accurate copy of the resolution adopted by the Board of Directors of the Sites Project Authority at a duly called meeting of the Board on July 22, 2020 and that said resolution has not been rescinded, amended or modified and is in full force and effect as of the date hereof.

In Witness Whereof, I have executed this certificate this 22th day of July 2020.

_____

Fritz Durst, Chair Authority Board, Sites Project Authority

Sites

Topic: **Authority Board Agenda Item 1.5** **2020 July 22**

Subject: **Consulting Service Agreements Terms and Conditions**

Requested Action:

Consider approval of the revised changes to the Authority Consulting Services Agreement.

Detailed Description/Background:

The Sites Project Authority's staff, in coordination with General Counsel, previously developed the Sites Standard Consulting Services Agreement which has been used as the standard contract for consultant services since July 2018.

Recently a need was determined to expand compliance with all applicable laws, specifically incorporating any agreement and agreement amendments between the Authority and a state or federal agency funding agreement into the agreements of the Authority's consultants. Attachment A covers the standard conditions in the consulting agreements. Similar provisions will be added to agreements with other service providers where state and/or federal funding is involved.

Prior Action:

At the July 20, 2018 Reservoir Committee meeting, the Sites Consulting Services Agreement was approved.

Fiscal Impact/Funding Source:

The additional standard conditions are not expected to increase costs for consulting services since the consultants working on the Sites project also perform work for State and Federal agency's which means they already have workplace programs in place to meet these requirements.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Proposed Language Revisions.

Sites

ADDITIONAL LANGUAGE TO AUTHORITY CONSULTING SERVICES AGREEMENT
July 2020

Minor language additions to the Authority's Standard Consulting Services Agreement are in red which has been approved by Authority legal counsel.

1. Services g. Use of Employees, Subconsultants and Subcontractors. Consultant shall furnish sufficient qualified personnel necessary to perform the Services and shall provide and employ all required equipment, materials and supplies necessary to perform the Services in accordance with the completion schedule for the Services. Any subconsultant or subcontractor that will provide services shall be listed in the Task Order with a corresponding Hourly Rate Schedule. Consultant shall not commission the services of any new or additional subconsultant or subcontractor without the approval of the Authority, which approval the Authority may withhold or condition in its reasonable discretion to ensure adherence to the terms and conditions of this Agreement, and which approval shall, if granted, be memorialized in the form of a Change Order. Consultant shall determine the method, details, and means of performing the Services and may at its own cost, employ such employees, subconsultants and subcontractors as it deems necessary to perform the Services. Authority may not control, direct or supervise Consultant's employees, subconsultants or subcontractors in the performance of the Services, and Authority shall not be liable for any expenses or costs relating to Consultant's employees, subconsultants or subcontractors unless Authority has agreed in writing, prior to the time such expenses or costs are incurred, to reimburse Consultant for such expenses. Consultant shall ensure that any contract entered into with any subconsultant or subcontractor is expressly subject to all applicable terms and conditions of this Agreement, which applicable terms and conditions include, without limitation, those governing insurance, applicable laws, indemnification, ownership of work product, confidentiality and conflicts of interest.

8. Compliance with Laws. The Services shall conform to the requirements of all governmental agencies having jurisdiction over the Services. Consultant shall also comply with all federal, state and local laws, ordinances, rules, regulations and orders applicable to the performance of its obligations under this Agreement, including all licensing requirements and occupational, health, safety, employment and environmental laws. Such applicable laws, ordinances, rules, regulations and orders shall include, without limitation, those that are specifically incorporated into any agreement between Authority and a state or federal agency pertaining to the Services, which agency agreement and any amendments thereto the Authority will provide to Consultant following their execution. Consultant shall bear all costs, expenses and liabilities related to any changes in the Services to conform to such laws, ordinances, rules, regulations and orders.

Sites

Topic: **Authority Board Agenda Item 1.6** **2020 July 22**

Subject: **Committee Charter Documents**

Requested Action:

Consider approval of the Coordination, Legislative Outreach, Land Management and Joint Budget and Finance Committees Charter Document updates.

Detailed Description/Background:

The Reservoir Committee and the Authority Board each recently went through a process to re-evaluate and establish their respective workgroup and committee structures for the upcoming 18 months of project work. Each committee has met and considered its charter and is recommending Authority Board approval of updated documents.

Along with the individual committee charters, there is an overarching charting document that the Authority Board previously established to generally inform the purpose, roles and responsibilities and participation guidelines for committees (Attachment B). No changes are being proposed to this document at this time.

The Authority Board has gone through a similar process relative to the charter documents of its committees and will be asked to approve their updates at its July 2020 meeting. According to Section 9 of the November 2016 Authority Bylaws, the charter documents must be approved by the Authority Board.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Individual Charter Documents.
Attachment B: Charter Document Attachment A.

Committee Chartering Document

Status: **Ad Hoc**

- Chair: Fritz Durst (RD-108)
- Members: Officers of the Authority Board and Chairs from each chartered committee, the Treasurer, and any other members of the Authority Board designated by the Chairperson (or their respective designated representative)[1]

  Vice Chair: Jeff Sutton (TCCA)

  Treasurer: Jamie Traynham (TC-4 through Davis WD)

  Land Management: Gary Evans (Colusa County, Chair)

  Legislative & Outreach: Jeff Sutton (TCCA, Chair)

- Staff Support:
  1. The Executive Director and/or his/her designated representative.
  2. Legal counsel on an as needed basis.
  3. Specialty advisors or experts on an as need basis.
- Formation: May 21, 2020 (Elections)
- Expires: Annually, commensurate with elections, which occur in February or March.

Related Documents:

- Attachment A: Committee and Work Group Chartering Process, General Requirements.

Purpose: To facilitate the Authority Board's ability to carry out the functions described in the Joint Exercise of Powers Agreement, as may be amended, in an advisory role and to not make any binding decisions on behalf of the Authority's Board of Directors.

Meeting Frequency: When either the Chair or Vice-Chair deems necessary.

Committee's Roles and Responsibilities:

[1] The total number of participating agencies on this Committee shall not exceed the Authority Board's quorum threshold.

- Acting in an administerial role, serves as the clearinghouse to assign and/or prioritize matters of interest to the most-appropriate committee; who will then prepare a recommendation to the Authority's Board of Directors for consideration and possible action.

  Should either the likelihood for potential duplication of effort between committees exist or the need to ensure integration between committees exists, assign one committee to be the overall lead.

- To ensure each committee is performing their duties efficiently, effectively, timely reporting to the Authority's Board of Directors, and in accordance with the current version of the Joint Exercise of Powers Agreement. In addition, to prevent the duplication of effort between multiple committees.

  NOTES: Agreement by the Chair and Vice-chair is needed to appoint or replace a Committee Chair.

- To ensure matters of interest to the Authority that are related to work delegated to the Authority Board are being addressed and reported in a timely manner. This may include requesting specific items be included in the Authority Board's meeting agenda packages.

- To ensure matters of interest to the Authority Board that either are being addressed by the Authority or require action from the Authority's Board are being addressed and reported in a timely manner. This may include requesting specific items be included in the Authority's meeting agenda packages.

Topic: **Sites Reservoir Project** **2020 July 22**

Subject: **Authority Ad Hoc Land Management Committee**

Authority Committee Chartering Document

Status: **Ad Hoc**

- Leader: Gary Evans (Colusa County)
- Members (3): Lee McDaniel (Glenn County)
  Jeff Sutton (TCCA)
  Logan Dennis (GCID)
- Staff Support:
  1. The External Affairs Manager
  2. Legal counsel on an as needed basis.
  3. Other specialty advisors or experts on an as needed basis.
- Formation: March 22, 2019
- Expires: TBD

Related Documents:

- Attachment A: Committee Chartering Process, General Requirements

Purpose: To advise the Authority on Real Estate, Land Management and Site Facilities issues, including land use changes caused by proposed project facilities for incorporation into both the EIR/S and Reclamation's congressionally mandated Feasibility Report.

Meeting Frequency: When either the Leader determines or an Authority Board Member requests that a potential issue exists to warrant convening the ad hoc committee to develop a recommended resolution or response for the Authority Board to then consider and act upon.

Committee Roles and Responsibilities:

- The primary focus of this Committee will be:
  - Issues and activities relating to the Sites Project Authority's Real Estate program including temporary-rights-of-entry (TROE), property acquisition and landowner engagement activities.

Draft_0028213

- Issues and activities relating to the Site Project Authority's Land Management program including policies for the management of Authority acquired property, management of easements (project and mitigation), and other land management activities.

- Issues and activities relating to the planning and development of the project's proposed recreation facilities and other public lands.

- Issues and activities relating to coordination with local agencies regarding project planning activities, facilities siting issues and changes in local land use.

Topic: **Sites Reservoir Project** **2020 July 22**

Subject: **Authority Ad Hoc Legislative & Outreach Committee**

Authority Committee Chartering Document

Status: **Ad Hoc**

- Leader: Jeff Sutton (TCCA)
- Members (3): Fritz Durst (RD 108)
  Garry Evans (Colusa County)
  Logan Dennis (GCID)
- *RC:* *Reservoir Committee Chair (Thad Bettner – GCID)*
  Reservoir Committee Vice Chair (Jeff Davis – SGPWD)
- Staff Support:
  1. External Affairs Manager – Lead Staff
  2. Legal counsel on an as needed basis.
  3. Other specialty advisors or experts on an as needed basis.
- Formation: March 22, 2019
- Expires: TBD

Related Documents:

- Attachment A: Committee Chartering Process, General Requirements

Purpose: To advise the Authority on Federal, State and Local legislative/government affairs issues and to advise on landowner coordination, stakeholder engagement, public outreach, and media activities.

Meeting Frequency: When either the Leader determines or an Authority Board Member requests that a potential issue exists to warrant convening the ad hoc committee to develop a recommended resolution or response for the Authority Board to then consider and act upon.

Committee Roles and Responsibilities:

- The primary focus of this Committee will be:

Draft_0028215

- Issues and activities relating to the Sites Project Authority's Federal and State Government Affairs/Legislative program including strategy, advocacy, and engagement with Federal and State elected officials, legislative/committee staff, and regulatory agency leadership.

- Issues and activities related to the Sites Project Authority's landowner and local governmental agency coordination effort.

- Issues and activities related to the Sites Project Authority's Communications Program including stakeholder engagement, public outreach, and media relations.

Committee Chartering Document

Status: **Ad Hoc**

- Chair: Jamie Traynham (Davis WD)
- Vice Chair: Robert Cheng (CVWD)
- Members (6): Thad Bettner (GCID)
  Katrina Jessop (SCVWD)
  Rob Kunde (WR-M WSD)
  Shelly Murphy (Colusa County WD)
  Dan Ruiz (Westside WD)
  Bill Vanderwaal (RD-108)
- Staff Lead: Joe Trapasso
- Staff Support: Legal counsel on an as needed basis
  Other specialty advisors or experts on an as needed basis
- Formation: May 27, 2020
- Expires: End of the Phase 2 (2019) Reservoir Project Agreement

Related Documents:

- Attachment A: Work Group Chartering Process, General Requirements

Purpose: To advise the Reservoir Committee and Authority Board on:

a. Status of expenditures,

b. Progress related to implementation of Work Plans,

c. Contract and task order documents,

d. Agreements such as the 2019 Reservoir Participation Agreement

e. Credit Reimbursement Policy,

f. Proposed finance plan, and

g. Project's overall economics for inclusion in the Feasibility Report and WSIP-required state feasibility assessment.

Meeting Frequency: When either the Chair determines, or an Authority Chairperson requests that a potential issue exists to warrant convening the Committee to develop a recommended resolution or response for the Authority to then consider and act upon.

Committee's Roles and Responsibilities:

- The primary focus areas of this work group are:

  a. Serve as the Authority's budget committee to manage the planned, actual, and forecast costs for Phase 2;

  b. Maintaining both the Cost Development Model and Credit reimbursement Policy;

  c. Assessment of the Project's overall economic feasibility;

  d. Recommending a financing strategy for each subsequent project phase;

  e. Recommending how requests to participate should proceed; including the rebalancing processes that will occur prior to the end of August 2020 and December 31, 2021.

  f. Review monthly payment of claims for adequacy to recommend approval to the Reservoir Committee and Authority Board.

  g. Review of Reclamation's Feasibility Study for both economic and financial feasibility.

  NOTE: The review for technical feasibility has been assigned to the Water Facilities Work Group.

Topic: **Sites Reservoir Project, Phase 2 (2020)** **2020 July 22**

Subject: **Authority Board's Committees**

Committee Chartering Process, General Requirements
(Attachment A to each Committee's Chartering Document)

Introduction: Advancing the Sites Reservoir Project (Project) requires the integration of both multiple disciplines and the respective values each participant expects to obtain through this and potentially subsequent phases. Given the size and complexity of this Project, the work has been organized into major categories of work (e.g. cost centers) that serve as the basis for executing specific Project Agreements, such as the Reservoir Committee and Authority Board for the Project's Phase 2 (2019)[1].

In anticipation of the need to expeditiously and cost-effectively resolve issues, the Authority Board has been further organized into committee by key topic areas. Each committee will be advisory to the Authority Board and be responsible for developing recommendations for the Authority Board's consideration regarding the specific matter assigned to them by an over-arching Coordination Committee. The Coordination Committee's purpose is to (a) perform ministerial functions that are intended to ensure integration and facilitate timely decision-making by the Authority Board and (b) both maintenance of and recommend interpretation of the Authority Board's governance documents.

Chartering Document's Purpose:

- To define the primary roles and responsibilities applicable to each committee to address specific matters and to avoid the potential for duplication of effort among multiple committees;

- To establish a timeline for completion of the specific assignment with the expectation its role be revisited and, as appropriate, the chartering document either be amended or a new committee be created.

Committee Roles and Responsibilities: Each committee's primary roles and responsibilities include the following, which are in addition to specific assignment identified in their respective chartering document:

- To keep the Authority Board's Leadership Team appraised of the committee's progress and the escalation of issues in a timely manner.

[1] Since Phase 1, the Authority and Reservoir Committee have been deemed to each be a legislative body as referred to in the Brown Act. Should there be a need for an Authority Committee to meet with a Reservoir Committee's Workgroup, so long as both are advisory, the resulting meeting does not have to be noticed.

Draft_0028219

- To incorporate the Authority Board's direction to their respective committee; to then provide recommendations back to the Authority Board for their consideration and eventual disposition (e.g. adoption of a document or recommended policy).

- To review staff and consultant developed work products and/or deliverables for:

  a. Acceptability with the applicable standard of practice related primarily to technical and quality considerations;

  b. Identification of potential scope changes that may affect – both positively and negatively – the approved annual budget, target work plan, and/or schedule;

  c. Identification of potentially significant changes in risk and/or the reallocation of risk;

  d. Identification of potential policy issues; and,

  e. Ensuring a consolidated set of comments is maintained and final acceptance of how subsequent versions have addressed prior comments.

- Where issues require an integrated response from multiple committees, the Authority Board's leadership team may assign a lead who will then coordinate with each of the required committees to develop an integrated response for the Authority Board's consideration.
- Each committee's activities are ad hoc in nature in that they are limited in scope to that which is provided for in the accompanying "Committee Chartering Document" and presently anticipated to be completed within a phase (or year), generally through review of portions of drafts of feasibility studies, the EIR/EIS and the WSIP application and related documents.

Participation: Organizations[2] who are signatory to the Authority Board and deemed to be in good standing by the Coordination Committee, have been approved to provide in-kind services to the Authority Board, may designate personnel to represent their interests and serve in an active capacity to any Committee. Authority Board Committees may also include as technical advisors who are not signatory to the Authority Board, but whose specific knowledge and expertise is deemed essential to advise on specific issues. This can include Authority Members, Associate Members, and non-voting cost-share partners or Ex Officio Members.

---

[2] Refer to Project Agreement Committee's chartering document, which defines membership types applicable to allow participation in the respective Project Agreement Committee.

Sites

Topic: **Authority Board Agenda Item 1.7** **2020 July 22**

Subject: **Contract Amendment for Professional Services (Brown and Caldwell)**

Requested Action:

Consider approval of an amendment to Brown and Caldwell's (Project Controls) contract and task order scope of work and budget in the amount of $31,881 for a new total contract authority of $1,942,399.

Detailed Description/Background:

The Project Controls contract supports the Project Operations Manager providing primarily staff augmentation to cover financial and administrative activities. Brown and Caldwell was competitively selected for these services in March 2019 and an Amendment 1B task order was issued in February 2020 to carry these services through August 2020. Through the past six months, additional work was incurred in these areas developing work plans, leading the rebalancing and outreach efforts, and supporting early funding agreement changes with the CWC. Also, the Executive Director has been delegating more of the administrative activities to the B&C support staff to free up his time for higher value activities. Staff tried to stretch the contract authority through to August 2020 but has come up short and a modest increase is necessary to continue to provide these important functions in August.

Prior Action:

February 26, 2020: Approved Project Controls task order amendment of $899,156 for the period of January 1, 2020 through August 31, 2020.

January 17, 2020: Approved Amendment 1B Work Plan for the period of January 1, 2020 through August 31, 2020 as part of a no cost time extension to the then current Participation Agreement. The Amendment included summary-level descriptions of the deliverables with estimated Reservoir Committee budgets. Each deliverable budget was further defined in the document by the level of effort required to complete each deliverable by consultant for use in developing amended consultant task orders intended to be submitted for approval to the Reservoir Committee and Authority Board in February 2020.

December 19, 2019: Approved a recommendation to the Sites Project Authority to approve an amendment to Brown and Caldwell's (B&C) (Project Controls) contract period of performance by extending their task order from December 31, 2019 through June 30, 2020 with no change in their cost.

March 22, 2019: Approved a recommendation to the Sites Project Authority to approve the Brown and Caldwell Phase 2 (2019) task order and budget for project controls services from April 1, 2019 through December 31, 2019.

January 18, 2019: Delegated responsibility to the Ad Hoc Finance and Economics Work Group to review and consider accepting the terms and conditions and recommending to the Sites Project Authority to approve the agreement negotiated by staff.

November 16, 2018: Approved a recommendation to the Sites Project Authority to accept the evaluation panel's selection of B&C for Service Area B – Project Controls.

August 16, 2018: Approved a recommendation to the Sites Project Authority to the release of the Project Development Support Services RFQ-18-04.

Fiscal Impact/Funding Source:

The BC contract ceiling is proposed to increase by $31,881 with this amendment to complete the additional scope of work. This additional budget would increase the contract budget ceiling to a not to exceed amount of $1,942,399.

This change will be accommodated within the Amendment 1B Work Plan total budget. $31,881 will be reallocated from the General Manager (GM) budget (Reservoir Committee share). The Reservoir Committee share of the GM budget in the Amendment 1B Work Plan is $258,400. Actual spend to date for the GM is $159,763 and no further invoices are anticipated, leaving $98,637 remaining. This reallocation does not impact the Amendment 1B carryover funds previously discussed as part of the cash call process.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Task Order Amendment.

Sites Project Authority

Project Controls

Task Order Amendment

Consultant: Brown and Caldwell

Task Order No. 2.4

Task Order No. 2.4 amends the current Brown and Caldwell Consulting Agreement and task order work activities with the Authority for Project Controls Services for the period of performance through August 31, 2020. This task order amendment expands the scope of work and increases the budget as presented below.

Scope of Work

Brown and Caldwell has been providing additional administrative support to the Authority's new Executive Director and has supported changes to the Early Funding Agreement with the California Water Commission (CWC) to provide increased project revenue. Additional administrative support is required in support of planned town hall meetings and strategic planning sessions. Brown and Caldwell has developed Participation Agreement work plans for the Reservoir Committee, and has supported recent rebalancing efforts. Finally, additional changes are required to the Amendment 2 Work Plan based on increased CWC revenue, cash call timing due to COVID-19, project rebalancing, and negotiated task orders.

Budget

The Brown and Caldwell contract ceiling is increased by $31,881 with this amendment to complete the additional scope of work. This additional budget increases the contract budget ceiling to a not to exceed amount of $1,942,399.

Period of Performance

The period of performance of the task order does not change with this amendment and remains as August 31, 2020.

Brown and Caldwell	**Sites Project Authority**
By: _____	By: _____
Printed Name: _____	Printed Name: _____
Date: _____	Date: _____

Sites

Topic: **Authority Board Agenda Item 2.1** **2020 July 22**

Subject: **2019 Financial Audit Report**

Requested Action:

Consider acceptance of the Financial Auditor's Report.

Detailed Description/Background:

Fechter and Company, CPA, was awarded a contract to conduct an audit of the Authority's financial information for the fiscal years 2016, 2017 and 2018. The audit for the fiscal years 2016 and 2017 were initiated in April 2018 and completed in early July. The fiscal year 2018 audit report was initiated in April 2019 and completed in July 2019.

The Authority approved Fechter and Company to conduct the fiscal year 2019 audit. It was started April 2020 and concluded June 2020.

The report for fiscal year 2019 concludes: "In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Authority as of December 31, 2018, and the results of its operations and cash flows for the years then ended in conformity with accounting principles generally accepted in the United States of America."

It should be noted current liabilities increased from $2,146,939 as of December 31, 2018 to $6,793,111 as of December 31, 2019, due primarily to an increase in the Authority's Participant Reimbursement Policy liability.

Prior Reservoir Committee Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A – 2019 Financial Audit Report.

Attachment B – 2019 Management Report.

SITES PROJECT AUTHORITY

ANNUAL FINANCIAL REPORT
With
Independent Auditor's Report Thereon

DECEMBER 31, 2019

SITES PROJECT AUTHORITY

Annual Financial Report
December 31, 2019

Table of Contents

	Page
Introductory Section	
Organization of Authority and List of Board of Directors	1
Financial Section	
Independent Auditor's Report	2-3
Management's Discussion and Analysis	4-7
Basic Financial Statements	
Statement of Net Position	8
Statement of Activities	9
Balance Sheet – Governmental Funds	10
Statements of Revenues, Expenditures, and Changes in Fund Balances	11
Notes to Basic Financial Statements	12-17
Required Supplementary Information	
Statement of Revenues, Expenditures, and Changes in Fund Balances Budget to Actual – General Fund	18
Statement of Revenues, Expenditures, and Changes in Fund Balances Budget to Actual – Reservoir Fund	19
Notes to Required Supplementary Information	20
Other Reports	
Independent Auditor's Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with Government Auditing Standards	21-22

SITES PROJECT AUTHORITY

Organization of Authority and List of Board of Directors
December 31, 2019

Sites Project Authority (the Authority) was established on August 26, 2010, when seven regional entities, including several local water agencies and Counties, executed the Joint Exercise of Power Agreement. The primary purpose of the Authority, as stated in the agreement, is to pursue the development and construction of the Sites Reservoir Project, which has long been viewed as an ideal location for additional off stream storage to provide direct and real benefits to instream flows, the Delta ecosystem, and water supply. In keeping their commitment to move the project forward on behalf of the region, the Authority has spent the last nine years working towards this goal by engaging the public, various stakeholders, state and federal agencies and landowners, initiating the required environmental planning process, and conducting feasibility studies, among other efforts.

GOVERNING BOARD

Name	Office	Member Represented
Fritz Durst	Chair	Reclamation District 108
Gray Allen	Vice Chair	Placer County Water Agency
Gary Evans	Member	County of Colusa
Joe Marsh	Member	Colusa County Water District
Leigh McDaniel	Member	County of Glenn
Logan Dennis	Member	Glenn-Colusa Irrigation District
Jeff Harris	Member	City of Sacramento
Jeff Sutton	Member	Tehama-Colusa Canal Authority
Doug Parker	Member	Westside Water District
Jamie Traynham	Treasurer	TC 4 Districts
Greg Johnson	Member - Non-Voting	Western Canal Water District
Don Bader	Cost-Share Partner - Non-Voting	U.S. Bureau of Reclamation
Rob Cooke	Ex-Officio - Non-Voting	CA Department of Water Resources

Draft_0028227

FECHTER & COMPANY
Certified Public Accountants

Craig R. Fechter, CPA, MST

INDEPENDENT AUDITOR'S REPORT

Board of Directors
Sites Project Authority
Maxwell, California

Report on the Financial Statements

We have audited the accompanying financial statements of the governmental activities and each major fund of the Sites Project Authority (the Authority), as of and for the year ended December 31, 2019, and the related notes to the financial statements, which collectively comprise the Authority's basic financial statements as listed in the table of contents.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, and the State Controller's Minimum Audit Requirements for California Special Districts. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

3445 American River Drive Suite A | Sacramento, CA 95864 | ph 916-333-5360 | fax 916-333-5370
www.fechtercpa.com
Member of the American Institute of Certified Public Accountants Tax Section
and California Society of CPAs

Draft_0028228

Board of Directors
Sites Project Authority
Maxwell, California

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Authority as of December 31, 2019, and the results of its operations and cash flows for the years then ended in conformity with accounting principles generally accepted in the United States of America.

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis information, and the budgetary comparison schedules, as listed in the table of contents, be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated June 15, 2020, on our consideration of the Authority's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Authority's internal control over financial reporting and compliance.

Fechter & Company
Certified Public Accountants

Sacramento, California
June 15, 2020

Draft_0028229

SITES PROJECT AUTHORITY

Management's Discussion and Analysis
December 31, 2019

The following Management's Discussion and Analysis (MD&A) of activities and financial performance of the Sites Project Authority (the Authority) provides an introduction to the financial statements of the Authority for the year ended December 31, 2019, and a comparison to the prior audited financial statements for the year ended December 31, 2018. We encourage readers to consider the information presented here in conjunction with the transmittal letter in the Introductory Section and with the basic financial statements and related notes, which follow this section.

Financial Highlights

- The Authority's assets exceed liabilities by $5,898,590 as of December 31, 2019.

- The Authority's revenues exceeded expenditures by $4,436,226 for the year ending December 31, 2019. The Authority's largest expense was for professional services. Professional services were 67% of total expenses for the year ending December 31, 2019.

Required Financial Statements

This annual report consists of a series of financial statements. The *Statement of Net Position* and the *Statement of Activities* provide information about the activities and performance of the Authority using accounting methods similar to those used by private sector companies.

The *Statement of Net Position* includes all of the Authority's investments in resources (assets) and the obligations to creditors (liabilities). It also provides the basis for computing a rate of return, evaluating the capital structure of the Authority, and assessing the liquidity and financial flexibility of the Authority. All of the current period's revenue and expenses are accounted for in the *Statement of Activities*. This statement measures the success of the Authority's operations over the past year and can be used to determine if the Authority has successfully recovered all of its costs through its revenues. This statement can also be used to evaluate profitability and credit worthiness.

More detailed information about the Authority's most significant funds – not the Authority as a whole, is provided in the fund financial statements. Funds are accounting devices the Authority uses to keep track of specific sources of funding and spending on particular programs. The *Balance Sheet* for governmental funds presents financial information by fund types showing money left at year-end available for spending. The *Statement of Revenues, Expenditures, and Changes in Fund Balances* for all governmental fund types focuses on how money flows into and out of the various funds.

Financial Analysis of the Authority

One of the most important questions asked about the Authority finances is, "Is the Authority better off or worse off as a result of this year's activities?" The *Statement of Net Position* and the *Statement of Activities* report information about the Authority in a way that helps answer this question. These statements include all assets and liabilities using the accrual basis of accounting, which is similar to the accounting used by most private sector companies. All of the current year's revenues and expenses are taken into account regardless of when the cash is received or paid.

These two statements report the Authority's net assets and changes in them. You can think of the Authority's net assets – the difference between assets and liabilities – as one way to measure the Authority's financial health or financial position. Over time, increases or decreases in the Authority's

Draft_0028230

net assets are one indicator of whether its financial health is improving or deteriorating. However, one will need to consider other non-financial factors such as changes in economic conditions, population growth, zoning, and new or changed government legislation, such as changes in Federal and State regulations.

Notes to the Basic Financial Statements

The notes provide additional information that is essential to a full understanding of the data provided in the basic financial statements.

Statement of Net Position

	2019	2018	Change
Assets			
Cash and investments	$ 7,342,108	$ 3,567,974	$ 3,774,134
Other current assets	5,349,593	41,329	5,308,264
Total Assets	12,691,701	3,609,303	9,082,398
Liabilities			
Current liabilities	6,793,111	2,146,939	4,646,172
Total Liabilities	6,793,111	2,146,939	4,646,172
Net Position			
Unrestricted	5,898,590	1,462,364	4,436,226
Total Net Position	$ 5,898,590	$ 1,462,364	$ 4,436,226

As discussed earlier, net position may serve over time as a useful indicator of a government's financial position. In the case of the Authority, assets exceeded liabilities by $5,898,590 and $1,462,364 as of December 31, 2019 and 2018, respectively.

The largest portion, 58%, of the Authority's assets was cash in bank as of December 31, 2019.

Current liabilities increased from $2,146,939 as of December 31, 2018, to $6,793,111 as of December 31, 2019, due primarily to an increase in the Authority's Participant Reimbursement Policy liability.

At the end of fiscal years 2019 and 2018, the Authority showed a positive balance in its unrestricted net position of $5,898,590 and $1,462,364, respectively.

Draft_0028231

Statement of Activities

	2019	2018	Change
General Revenues			
Membership Admin/Authority	$ 506,294	$ 691,795	$ (185,501)
Membership - Water	11,456,740	-	11,456,740
Prop 1 funding	8,080,794	-	8,080,794
Interest revenue	121,535	1,183	120,352
Total Revenues	20,165,363	692,978	19,472,385
Operating Expenses			
Authority/Admin	494,930	427,934	66,996
Reservoir	15,234,207	5,951,648	9,282,559
Total Expenditures	15,729,137	6,379,582	9,349,555
Change in Net Position	$ 4,436,226	$ (5,686,604)	$ 10,122,830

The S*tatement of Activities* shows how the Authority's net position changed during the periods. In the case of the Authority, net position increased by $4,436,226 for the year ending December 31, 2019, and decreased by $5,686,604 for the year ending December 31, 2018, respectively.

A closer examination of the sources of changes in net position reveals that:

Total revenue, which consisted of member dues and assessments, increased by $19,472,385 from the year ending December 31, 2018, to the year ended December 31, 2019, due primarily to the billing of Phase 2 of the Project ($11.6 million) and Prop 1 funding ($8.1 million). Total expenses also increased by $9,349,555 from the year ending December 31, 2018, to the year ended December 31, 2019, to match the Authority's scope of work in 2019.

Authority/Admin expenses comprised 3.15% and 6.71% of total expenses for the years ending December 31, 2019 and 2018, respectively. Reservoir expenses comprised 96.85% and 93.29% of total expenses for the years ending December 31, 2019 and 2018, respectively.

General Fund Budgetary Highlights

The Authority's total budget for the General Fund for the year ended December 31, 2019, showed excess revenues over expenditures of $258 compared to the actual amount of $24,264. The difference was primarily due to the determination of critical tasks that were required to be postponed.

	Actual	Budget	Variance
Revenues	$ 519,194	$ 515,000	$ 4,194
Operating Expenses	494,930	514,742	(19,812)
Net Increase	$ 24,264	$ 258	$ 24,006

Draft_0028232

Conditions Affecting Current Financial Position

The Authority continues to plan and expand project activities to build the large water infrastructure project. Expanding activities results in a continuation of increases to the annual financial budgets.

The California Water Commission's Early Funding Agreement (EFA) with the Authority was approved mid-2019 for the maximum amount payable by the State to not exceed $40,818,884 for activities reasonably related to the completion of environmental documentation and permitting. In 2019, the Authority processed and received a State payment of $2,769,021 towards the not to exceed amount for eligible activities. In 2020, the Authority will continue to prepare and submit EFA invoices for payment.

The current phase of the project is anticipated to be completed by August 31, 2020, and is fully funded. The Authority is planning to finalize the next phase of the project in mid-2020 to initiate work on September 1, 2020 through December 31, 2021. The current plan is to fund the next phase of the project through a Participants' cash call and additional received EFA dollars.

Future Plans for the Sites Reservoir Project

As an ongoing part of the EFA funding process, the Authority will continue to prepare and submit invoices for payment on a quarterly basis.

The Authority will continue to expand its governance and member participation.

The Authority will be developing a detailed work plan and financing plan for the remainder of Phase 2 through December 31, 2021.

The Authority will secure additional necessary professional services contracts such as engineering contracts to support the implementation of the Phase 2 Work Plan and beyond. Critical Phase 2 activities that need to be performed by the professional services includes further work to secure necessary environmental permits and agreements, additional operations simulation modeling, and detailed engineering work.

Requests for Information

This financial report is designed to provide the Authority's funding sources, customers, stakeholders, and other interested parties with an overview of the Authority's financial operations and financial condition. Should the reader have questions regarding the information included in this report or wish to request additional financial information, please contact the Authority's Treasurer at P.O. Box 517, Maxwell, California 95955 or view the website of the Authority at www.sitesjpa.org.

Draft_0028233

SITES PROJECT AUTHORITY
STATEMENT OF NET POSITION
DECEMBER 31, 2019

ASSETS		
Cash and investments	$	7,342,108
Due from other governments		35,293
Grants receivable		5,311,774
Prepaid expenses		2,526
TOTAL ASSETS		12,691,701
LIABILITIES		
Accounts payable		728,458
Participant reimbursement policy		6,064,653
TOTAL LIABILITIES		6,793,111
NET POSITION		
Unrestricted		5,898,590
NET POSITION	$	5,898,590

The accompanying notes are an integral part of these financial statements.

Draft_0028234

SITES PROJECT AUTHORITY
STATEMENT OF ACTIVITIES
FOR THE YEAR ENDED DECEMBER 31, 2019

GOVERNMENTAL ACTIVITIES:

EXPENSES:

Authority/Admin	$ 494,930
Reservoir	15,234,207
Total expenses	$ 15,729,137

GENERAL REVENUES:

Membership Admin/Authority	$ 506,294
Membership Water	11,456,740
Prop 1 funding	8,080,794
Interest revenue	121,535
Total general revenues	20,165,363
Change in net position	4,436,226
Net position, beginning of fiscal year	1,462,364
Net position at end of fiscal year	$ 5,898,590

The accompanying notes are an integral part of these financial statements.

Draft_0028235

SITES PROJECT AUTHORITY
BALANCE SHEET - GOVERNMENTAL FUNDS
DECEMBER 31, 2019

	General Fund - Authority	Special Revenue - Reservoir	Total
ASSETS			
Cash and investments	$ 504,957	$ 6,837,151	$ 7,342,108
Due from other governments	12,863	22,430	35,293
Grants receivable	-	5,311,774	5,311,774
Prepaid expenses	334	2,192	2,526
TOTAL ASSETS	$ 518,154	$ 12,173,547	$ 12,691,701
LIABILITIES			
Accounts payable	$ 51,526	$ 676,932	$ 728,458
Participant reimbursement policy	-	6,064,653	6,064,653
Total liabilities	51,526	6,741,585	6,793,111
FUND BALANCES			
Fund balance:			
Assigned to development of the reservoir	-	5,431,962	5,431,962
Unassigned	466,628	-	466,628
Total fund balance	466,628	5,431,962	5,898,590
TOTAL LIABILITIES AND FUND BALANCES	$ 518,154	$ 12,173,547	$ 12,691,701

The accompanying notes are an integral part of these financial statements.

Draft_0028236

SITES PROJECT AUTHORITY
STATEMENT OF REVENUES, EXPENDITURES, AND CHANGES IN FUND BALANCES
GOVERNMENTAL FUNDS
FOR THE YEAR ENDED DECEMBER 31, 2019

	General Fund - Authority	Special Revenue - Reservoir	Total
REVENUES			
Membership Admin/Authority	$ 506,294	$ -	$ 506,294
Membership Water	-	11,456,740	11,456,740
Prop 1 funding	-	8,080,794	8,080,794
Interest revenue	12,900	108,635	121,535
Total revenues	519,194	19,646,169	20,165,363
EXPENDITURES			
Current:			
Accounting services	8,527	34,111	42,638
Audit fees	-	11,892	11,892
Administrative support	13,437	53,749	67,186
General manager	50,532	385,369	435,901
Insurance	200	585	785
Office expenses	8,197	4,509	12,706
Rent expense	-	2,500	2,500
Dues and Subscriptions	15,799	6,981	22,780
Professional fees	395,821	10,064,129	10,459,950
Reimbursements	-	4,660,713	4,660,713
Website, computer support	2,182	8,729	10,911
Miscellaneous	235	940	1,175
Total expenditures	494,930	15,234,207	15,729,137
Net changes in fund balances	24,264	4,411,962	4,436,226
Fund balances, beginning of year	442,364	1,020,000	1,462,364
Fund balances, end of year	$ 466,628	$ 5,431,962	$ 5,898,590

The accompanying notes are an integral part of these financial statements.

Draft_0028237

NOTE 1: SIGNIFICANT ACCOUNTING POLICIES

Reporting Entity: Sites Project Authority (the Authority) was established as an independent special agency in August of 2010 under the Joint Exercise of Powers Act, California Government Code, Section 6500.

As of December 31, 2019, 11 public entities, filling 9 Board seats with 2 government agencies serving ex-officio positions and 2 Associate Members, were approved members of the Authority as follows:

- Reclamation District 108
- Placer County Water Agency/ City of Roseville
- County of Colusa
- County of Glenn
- Glenn-Colusa Irrigation District
- Tehama-Colusa Canal Authority
- U. S. Bureau of Reclamation
- Westside Water District
- Colusa County Water District
- Sacramento County Water Agency/ City of Sacramento
- California Department of Water Resources
- TC 4 Districts (Associate Member)
- Western Canal Water District (Associate Member)

The Authority is a public entity district and therefore, falls under the guidelines of a special district governmental entity. The Authority is governed by a board of directors that consists of one representative of each member.

The Authority was developed with the purpose to effectively study, promote, develop, design, finance, acquire, construct, manage, and operate Sites Reservoir and the related facilities. The purpose of pursuing and developing the Sites Reservoir are to: (a) increase surface water storage and enhance water management flexibility in the Sacramento Valley, (b) provide flood control benefits, (c) improve conditions for fish and wildlife in the Sacramento Valley and (d) improve the operation of the State's water system.

Financial Statement Presentation: The *Statement of Net Position* and *Statement of Activities* display information about the primary government (the Authority). These statements include the financial activities of the overall government. These statements report the governmental activities of the Authority, which are normally supported by inter-governmental revenues. The Authority had no business-type activities as of and for the year ended December 31, 2019.

The *Statement of Activities* presents a comparison between direct expenses and program revenues for each function of the Authority's governmental activities. Direct expenses are those that are specifically associated with a program or function and; therefore, are clearly identifiable to a particular function. Program revenues include operating grants. Revenues that are not classified as program revenues are presented instead as general revenues.

Draft_0028238

NOTE 1: SIGNIFICANT ACCOUNTING POLICIES - CONTINUED

Fund financial statements of the Authority are organized into one fund which is considered to be a separate accounting entity. The fund is accounted for by providing a separate set of self-balancing accounts that constitute its assets, liabilities, fund equity, revenues, and expenditures. The Authority reports two funds, the General Fund, which accounts for all revenues and expenditures necessary to carry out the administrative activities of the Authority, and the Reservoir Fund, a special revenue fund used to account for specific work activities for the development of the Sites Reservoir Project and is funded by contributions from the Project Agreement Members.

Basis of Accounting and Measurement Focus: Basis of accounting refers to the point at which revenues or expenditures/expenses are recognized in the accounts and reported in the financial statements. It relates to the timing of the measurements made regardless of the measurement focus applied.

The government-wide financial statements are reported using the economic resources measurement focus and the accrual basis of accounting. Revenues are recorded when earned and expenses are recorded at the time liabilities are incurred, regardless of when the related cash flows take place. Non-exchange transactions, in which the Authority gives (or receives) value without directly receiving (or giving) equal value in exchange, include grants, entitlements, and donations.

Revenues from grants, entitlements, and donations are recognized in the fiscal year in which all eligibility requirements have been satisfied.

Governmental funds are reported using the current financial resources measurement focus and the modified accrual basis of accounting. Under this method, revenues are recognized when measurable and available. Charges for services, operating grants, and use of money and property are accrued when their receipt occurs within sixty days after the end of the accounting period so as to be both measurable and available. Expenditures are generally recorded when a liability is incurred, as under accrual accounting.

Cash: The Authority's cash includes demand deposits. The Authority had no investments during the year ending December 31, 2019.

The governing board has not formally adopted any deposit and investment policies that limits the Authority's allowable deposits or investments and addresses the specific type of risk to which the Authority is exposed.

Due from Other Governments: Amounts due from other governments represent amounts due from member agencies that has been earned but not received by the end of the period.

As of December 31, 2019, the Authority has determined that all amounts are collectable and therefore, no doubtful account allowance was deemed necessary as of December 31, 2019.

Draft_0028239

NOTE 1: SIGNIFICANT ACCOUNTING POLICIES - CONTINUED

Capital Assets: The Authority defines capital assets as property, plant, equipment, and infrastructure assets with an initial individual cost of $5,000 and a useful life of three years or more. As of December 31, 2019, the Authority had no capital assets.

Unearned Revenue: This represents amounts received from members that will be applied to future billings.

Revenue: The Authority's revenue is comprised of the following:

General Fund – Authority Revenue

Authority members pay an annual membership fee which is established by the Authority. The membership fee for 2019 was $55,000 per member.

Special Revenue – Reservoir Fund

Revenue is recognized according to the Project's board-approved operating budget for each phase of the Project. The Project Agreement Members are billed in accordance with their pro-rata share of the budgeted costs for activities undertaken pursuant to the Project Agreement.

To withdraw from this Project Agreement, a Project Agreement Member shall give the Authority and other Project Agreement Members written notice of such withdrawal not less than 30 days prior to the withdrawal date. As of the withdrawal date, all rights of participation in this Project Agreement shall cease for the withdrawing Project Agreement Member. The financial obligation as prescribed in the Bylaws' Section 5.10 in effect on the withdrawal date, shall consist of the withdrawing Member's share of the following costs: (a) payment of its share of all non-contract costs incurred prior to the date of the written notice of withdrawal, and (b) those contract costs associated with funds approved.

Government-Wide Net Position:
When both restricted and unrestricted resources are available for use, it is the Authority's policy to use restricted resources first, then unrestricted resources as they are needed.

Government-wide net position is reported in three components - net investment in capital assets, restricted, and unrestricted. These classifications are defined as follows:

Net investment in capital assets – This component consists of capital assets, including restricted capital assets, net of accumulated depreciation and reduced by the outstanding balances of any bonds, mortgages, notes or other borrowings that are attributable to the acquisition, construction, or improvement of those assets.

Restricted – This component consists of constraints placed on net position through external constraints imposed by creditors (such as through debt covenants), grantors, contributors, or regulations of other governments or constraints imposed by law through constitutional provisions or enabling legislation.

Draft_0028240

NOTE 1: SIGNIFICANT ACCOUNTING POLICIES - CONTINUED

Unrestricted – This component consists of all other net position that does not meet the definition of "restricted" or "net investment in capital assets", as defined above.

Governmental Fund Balance: In the governmental fund financial statements, fund balances are classified as follows:

Nonspendable – Amounts that cannot be spent either because they are in a nonspendable form or because they are legally or contractually required to be maintained intact.

Restricted – Amounts that can be spent only for specific purposes because of state or federal laws, or externally imposed conditions by grantors or creditors.

Committed – Amounts that can be used only for specific purposes determined by formal action of the Authority.

Assigned – Amounts that are designated by the Authority to be used for specific purposes, but are neither restricted nor committed. The board of directors has the authority to assign amounts to be used for specific purposes.

Unassigned – All amounts not included in other spendable classifications.

Estimates: The preparation of financial statements, in conformity with accounting principles generally accepted in the United States of America, requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Actual results may differ from those estimates.

NOTE 2: CASH AND INVESTMENTS

Demand Deposits: The carrying amount and the bank balance of the Authority's cash as of December 31, 2019, were $7,342,108 and $7,853,942, respectively. The Federal Deposit Insurance Corporation (FDIC) insures deposits up to $250,000. As of December 31, 2019, $7,603,942 was in excess of the insurance coverage.

Custodial credit risk is the risk that in the event of a bank failure, the Authority's deposits may not be returned to it. The California Government Code and the Authority's investment policy do not contain legal or policy requirements limiting exposure to custodial risk for deposits or investments. The California Government Code requires that a bank secures deposits made by state and local governmental units by pledging securities in an undivided collateral pool held by a depository regulated under state law (unless so waived by the governmental unit). The market value of the pledged securities in the collateral pool must equal at least 110% of the total amount deposited by public agencies. California law also allows financial institutions to secure the Authority's deposits by pledging first trust deed mortgage notes having a value of 150% of the secured public deposits.

Draft_0028241

NOTE 3: PROFESSIONAL FEES

Professional fees for the year ended December 31, 2019, consisted of the following:

	General Fund - Authority	Special Revenue - Reservoir	Total
Env/Biological Services	$ -	$ 1,265,952	$ 1,265,952
Environmental/Project Operation	-	326,300	326,300
Bond Counsel	3,835	4,747	8,582
Bus/Comm Manager	-	236,373	236,373
EPP Manager	-	215,834	215,834
Federal Government Affairs Supp	25,000	25,000	50,000
General Legal Counsel	65,517	74,956	140,473
Geotechnical Engineering	-	193,371	193,371
Legislative/Reg/Strategic	55,000	33,000	88,000
Program Ops Manager	-	349,666	349,666
Project Controls	-	1,331,250	1,331,250
Project Delivery Advisor	2,970	1,035	4,005
Project Integration	-	2,378,561	2,378,561
Communication	191,653	194,107	385,760
Cost Development Model	-	12,753	12,753
Cultural Study	-	19,024	19,024
Engineering & Technical Service	-	244,092	244,092
Env Planning/Permits Manager	-	391,160	391,160
Public Outreach & Engagement	13,184	8,272	21,456
Real Estate	-	207,176	207,176
Reservoir Operations	-	25,574	25,574
ROW/Land Management	-	16,932	16,932
Special Legal	-	61,934	61,934
Municipal Advisor	-	176,709	176,709
Operations/Simulation Modeling	-	927,470	927,470
Organizational Assessment	38,662	-	38,662
Permitting & Agreements	-	1,269,631	1,269,631
Project Operations	-	73,250	73,250
	$ 395,821	$ 10,064,129	$ 10,459,950

Draft_0028242

NOTE 4: RISK MANAGEMENT

The Authority is exposed to various risks and general liabilities. The Authority participates in a public entity risk pool as a member of Association of California Water Agencies Joint Powers Insurance Authority (ACWA-JPIA). Each ACWA-JPIA member agency shares surpluses and deficits proportional to their participation. The Authority pays retrospectively rated annual premiums to ACWA-JPIA for its insurance coverage and has met all obligations since participation began.

NOTE 5: PARTICIPANTS REIMBURSEMENT POLICY

According to the Authority's participants reimbursement policy, the participants who withdrew or decreased their participation in the project are entitled to a credit equal to the contribution they made up to the date of withdrawal or reduction. That credit was applied toward future contribution requirements or booked as a liability on the Authority's books to be paid when it is determined that such reimbursements will not have an adverse impact on the financial viability or overall implementation of the Project. The amount due to participants under the reimbursement policy at December 31, 2019, was $6,064,653.

NOTE 6: COMMITMENTS AND CONTINGENCIES

Amounts received or receivable from grantor agencies are subject to audit and adjustment by grantor agencies. Any disallowed claims, including amounts already collected, may constitute a liability. The amount of expenditures, if any, which may be disallowed by the grantor, cannot be determined at this time although the Authority expects such amounts, if any, to be immaterial.

The Authority has outstanding contracts related to EIR/EIS and feasibility study. The remaining commitments on these contracts as of December 31, 2019, were minimal as the contracts can be canceled with a thirty-day notice.

NOTE 7: SUBSEQUENT EVENTS

Management has evaluated subsequent events through June 15, 2020, the date which the financial statements were available to be issued. Based upon this evaluation, except for the following, it was determined that no other subsequent events occurred that require recognition or additional disclosure in the financial statements.

In January 2020, the virus SARS -CoC-2 was transmitted to the United States from overseas sources. This virus, responsible for the Coronavirus disease COVID-19, has proven to be extremely virulent with transmission rates as yet unknown. The economic impact in the State of California as yet has not been determined and therefore any potential impact on the Authority is not yet known.

Draft_0028243

REQUIRED SUPPLEMENTARY INFORMATION

SITES PROJECT AUTHORITY
GENERAL FUND
STATEMENT OF REVENUES, EXPENDITURES, AND CHANGES IN FUND BALANCES - BUDGET AND ACTUAL
FOR THE YEAR ENDED DECEMBER 31, 2019

	Budgeted Amounts			Variance with Final Budget Positive
	Original	Final	Actual	(Negative)
REVENUES				
Membership Admin/Authority	$ 515,000	$ 515,000	$ 506,294	$ (8,706)
Interest revenue	-	-	12,900	12,900
Total revenues	515,000	515,000	519,194	4,194
EXPENDITURES				
Current:				
Accounting services	6,300	6,300	8,527	(2,227)
Audit fees	-	-	-	-
Administrative support	24,000	24,000	13,437	10,563
General manager	71,640	71,640	50,532	21,108
Insurance	3,250	3,250	200	3,050
Office expenses	23,330	23,330	8,197	15,133
Dues and Subscriptions	5,000	5,000	15,799	(10,799)
Professional fees	315,222	315,222	395,821	(80,599)
Reimbursements	-	-	-	-
Website, computer support	6,000	6,000	2,182	3,818
Miscellaneous	60,000	60,000	235	59,765
Total expenditures	514,742	514,742	494,930	19,812
Excess of revenues over expenditures	$ 258	$ 258	$ 24,264	$ 24,006

See notes to required supplementary information.

Draft_0028245

SITES PROJECT AUTHORITY
RESERVOIR FUND
STATEMENT OF REVENUES, EXPENDITURES, AND CHANGES IN FUND BALANCES - BUDGET AND ACTUAL
FOR THE YEAR ENDED DECEMBER 31, 2019

	Budgeted Amounts			Variance with Final Budget Positive
	Original	Final	Actual	(Negative)
REVENUES				
Membership Water	$ 16,111,534	$ 13,032,023	$ 11,456,740	$ (1,575,283)
Prop 1 funding	18,854,260	1,880,000	8,080,794	6,200,794
Interest revenue	-	-	108,635	108,635
Total revenues	34,965,794	14,912,023	19,646,169	4,734,146
EXPENDITURES				
Current:				
Accounting services	35,692	46,800	34,111	12,689
Audit fees	-	-	11,892	(11,892)
Administrative support	24,000	43,200	53,749	(10,549)
General manager	304,470	283,500	385,369	(101,869)
Insurance	-	-	585	(585)
Office expenses	8,198	3,240	4,509	(1,269)
Rent expense	124,000	-	2,500	(2,500)
Dues and Subscriptions	4,000	-	6,981	(6,981)
Professional fees	32,368,676	13,020,319	10,064,129	2,956,190
Reimbursements	2,067,094	1,472,023	4,660,713	(3,188,690)
Website, computer support	10,182	5,760	8,729	(2,969)
Miscellaneous	-	-	940	(940)
Total expenditures	34,946,312	14,874,842	15,234,207	(359,365)
Excess of revenues under expenditures	$ 19,482	$ 37,181	$ 4,411,962	$ 4,374,781

See notes to required supplementary information.

Draft_0028246

Note 1 - Budgetary Basis of Accounting

Budgets are prepared on the cash and expenditures or encumbrances basis. Revenues are budgeted in the year receipt is expected; expenditures are budgeted in the year that the applicable warrant requisitions are expected to be issued. The budget and actual financial statements are reported on the above basis, with no material differences between them.

Annual budget requests are submitted by the Authority's staff to the board of directors for preliminary review and approval. After public hearing, a final budget is approved by the board of directors, with a resolution adopting said budget. Copies of the approved budget are sent to all required agencies.

Draft_0028247

OTHER REPORTS

FECHTER & COMPANY
Certified Public Accountants

Craig R. Fechter, CPA, MST

INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

To the Board of Directors
Sites Project Authority
Maxwell, California

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in Government Auditing Standards issued by the Comptroller General of the United States, the financial statements of the governmental activities and the General Fund of Sites Project Authority, as of and for the year ended December 31, 2019, and the related notes to the financial statements, which collectively comprise the Authority's basic financial statements, and have issued our report thereon dated June 15, 2020.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the Authority's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Authority's internal control. Accordingly, we do not express an opinion on the effectiveness of the Authority's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

3445 American River Drive Suite A | Sacramento, CA 95864 | ph 916-333-5360 | fax 916-333-5370
www.fechtercpa.com
Member of the American Institute of Certified Public Accountants Tax Section
and California Society of CPAs

Draft_0028249

To the Board of Directors
Sites Project Authority

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Authority's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under Government Auditing Standards.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with Government Auditing Standards in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Fechter & Company
Certified Public Accountants

Sacramento, California
June 15, 2020

Draft_0028250

SITES PROJECT AUTHORITY

MANAGEMENT REPORT

FOR THE YEAR ENDED

DECEMBER 31, 2019

SITES PROJECT AUTHORITY

Management Report
For the Year Ended December 31, 2019

Table of Contents

Page

Introduction.....1

Required communication.....2-4

FECHTER & COMPANY
Certified Public Accountants

Craig R. Fechter, CPA, MST

Board of Directors of the
Sites Project Authority
Maxwell, California

In planning and performing our audit of the financial statements of the Sites Project Authority for the year ended December 31, 2019, we considered the Authority's internal control structure to determine our auditing procedures for the purpose of expressing an opinion on the financial statements and not to provide assurance on the internal control structure.

Our consideration of the internal control would not necessarily disclose all matters in the internal control that might be material weaknesses under standards established by the American Institute of Certified Public Accountants. A material weakness is a condition in which the design or operation of one or more of the internal control components does not reduce, to a relatively low level, the risk that errors or irregularities in amounts that would be material in relation to the basic financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions.

We will review the status of these comments during our next audit engagement. We will be pleased to discuss them in further detail at your convenience, to perform any additional study of these matters, or to assist you in implementing the recommendations. We thank the Authority's staff for its cooperation on this audit.

Fechter & Company,
Certified Public Accountants

June 15, 2020
Sacramento, California

3445 American River Drive Suite A | Sacramento, CA 95864 | ph 916-333-5360 | fax 916-333-5370
www.fechtercpa.com
Member of the American Institute of Certified Public Accountants Tax Section
and California Society of CPAs

Draft_0028253

SITES PROJECT AUTHORITY
Required Communications
For the Year Ended December 31, 2019

The Auditor's Responsibility under U.S. Generally Accepted Auditing Standards

As stated in our engagement letter dated February 3, 2020, our responsibility, as described by professional standards, is to plan and perform our audit to obtain reasonable, but not absolute assurance that the financial statements are free of material misstatement and are fairly presented in accordance with U.S. generally accepted accounting principles. Because an audit is designed to provide reasonable, but not absolute assurance and because we did not perform a detailed examination of all transactions, there is a risk that material misstatements may exist and not be detected by us.

In planning and performing our audit, we considered the Authority's internal control over financial reporting in order to determine our auditing procedures for the purpose of expressing our opinion on the financial statements and not to provide assurance on the internal control over financial reporting.

As part of obtaining reasonable assurance about whether the Authority financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grants, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit.

Significant Accounting Policies

Management is responsible for the selection and use of appropriate accounting policies. In accordance with the terms of our engagement letter, we will advise management about the appropriateness of accounting policies and their application. The significant accounting policies used by the Authority are described in Note 1 to the financial statements. We noted no transactions entered into by the Authority during the year that were both significant and unusual, and of which, under professional standards, we are required to inform you, or transactions for which there is a lack of authoritative guidance or consensus.

Accounting Estimates

Accounting estimates are an integral part of the financial statements prepared by management and are based on management's knowledge and experience about past and current events and assumptions about future events. Certain accounting estimates are particularly sensitive because of their significance to the financial statements and because of the possibility that future events affecting them may differ significantly from those expected. Our audit did not disclose any sensitive estimates affecting the financial statements.

Draft_0028254

Audit Adjustments

For purposes of this letter, professional standards define an audit adjustment as a proposed correction of the financial statements that, in our judgment, may not have been detected except through our auditing procedures. An audit adjustment may or may not indicate matters that could have a significant effect on the Authority's financial reporting process (that is, cause future financial statements to be materially misstated). The following audit adjustments, in our judgment, indicate matters that could have a significant effect on the Authority's financial reporting process:

Audit adjustments for the year ended December 31, 2019:

- To record additional payables of $182,938 relating to 5 invoices that pertained to the year ended December 31, 2019.
- To record a grant receivable of $1,957,712 for Prop 1 Funding received on May 14, 2020, which pertained to the year ended December 31, 2019.

Disagreements with Management

For purposes of this letter, professional standards define a disagreement with management as a matter, whether or not resolved to our satisfaction, concerning a financial accounting, reporting, or auditing matter that could be significant to the financial statements or the auditor's report. We are pleased to report that no such disagreements arose during the course of our audit.

Management Representations

We have requested certain representations from management that are included in the management representation letter dated June 15, 2020.

Consultations with Other Independent Auditors

In some cases, management may decide to consult with other accountants about auditing and accounting matters, similar to obtaining a "second opinion" on certain situations. If a consultation involves application of an accounting principle to the governmental unit's financial statements or a determination of the type of auditor's opinion that may be expressed on those statements, our professional standards require the consulting accountant to check with us to determine that the consultant has all the relevant facts. To our knowledge, there were no such consultations with other accountants.

Issues Discussed Prior to Retention of Independent Auditors

We generally discuss a variety of matters, including the application of accounting principles and auditing standards, with management each year prior to retention as the Authority's auditors. However, these discussions occurred in the normal course of our professional relationship and our responses were not a condition to our retention.

Draft_0028255

Difficulties Encountered in Performing the Audit

We encountered no significant difficulties in dealing with management in performing our audit.

Other Matters

During our audit, we became aware of the following deficiencies in internal control, other than significant deficiencies or material weaknesses, and other matters that are opportunities for strengthening internal controls and operating efficiency:

1. Recording Expense Accruals: In order to present a more accurate picture of the Authority's operations, it is important to record revenues and expenditures in the period in which they were incurred, not necessarily when they were paid. During our audit of the Authority, we found 5 instances in which an expenditure had not been recorded in the proper period. We recommend that the Authority perform a more thorough review of transactions subsequent to year-end to accurately reflect revenues and expenditures in the correct period.

2. Written Financial Policies and Procedures: Financial policies can provide a solid foundation for sound fiscal practices by a local agency. They should be in writing and adopted by the governing body. As such, they provide:

    a. A means through which the governing body can communicate its policies and goals to staff, the public, and others;
    b. Direction to staff and standards against which current practices can be measured and changes in practices can be evaluated; and
    c. A way to optimize credit for borrowing. Ratings agencies who assess local agencies' credit for borrowing review their written financial policies. Well-documented policies can mean higher rating grades and lower borrowing costs.

    Board members and staff need to understand why they are doing the things they are doing and be committed to doing them correctly and completely. Written policies and procedures enhance this understanding. Specific policies will vary depending upon the nature and scope of a district's operations. Nevertheless, having a range of policies (from big picture to practical and operational) helps an agency chart a wise course financially and avoid operational missteps.

    During the audit, we became aware that the Authority does not have written policies and procedures. We recommend that the Authority prepare written financial policies and procedures and make these available to all parties that initiate, authorize, and record transactions for the Authority.

Restriction on Use

This information is intended solely for the use of the Board of Directors and management of the Sites Project Authority and is not intended to be, and should not be, used by anyone other than these specified parties.

Draft_0028256

Sites

Topic: **Authority Board Agenda Item 2.2** **2020 July 22**

Subject: **Water Rights Attorney Procurement**

Requested Action:

Consider approval of release of a Request for Qualifications to initiate the procurement of legal services to support the acquisition of the water rights permits and approvals needed to support the project.

Detailed Description/Background:

As part of the Amendment 2 work plan, a team of technicians, engineers and an attorney are needed to secure the necessary permits and approvals related to the water rights for the project. Previously, the Authority competitively procured the services of the technicians and engineers but has not yet secured an attorney of the water rights team.

Staff recommends issuing a Request for Qualifications (RFQ) to competitively procure a water rights attorney at this time. A short list of specialized water rights attorneys (see attached) known to have the qualifications to complete the assignment has been created and these firms will be invited to provide a statement of qualifications. Having a short list does not preclude other statements of qualifications. The RFQ will be publicly advertised on the website. The objective will be to select the best qualified firm for the assignment and an individual that can work effectively with the existing team. Also, since the number of qualified attorneys practicing in this area within California is limited, consideration of potential conflicts will be a key factor for selection. A summary of the scope, schedule, budget and the short list of firms is attached.

The selection panel will consist of the Executive Director, the EPP Manager, and the Chairs of the Reservoir Committee and Authority Board. Other Reservoir Committee members interested in participating can do so. We would like to keep the panel manageable at 6-8 participants.

Prior Action:

None.

Fiscal Impact/Funding Source:

The Amendment 2 work plan includes approximately $200,000 for legal services associated water rights acquisition during this initial phase of planning and preparation of an administrative draft water rights application by the end of 2021. Subject to acceptable performance, the services would be continued through acquisition of all water rights permits and approvals expected to occur by 2024.

Staff Contact:

Jerry Brown/Ali Forsythe

Attachments:

Attachment A: Summary of the scope, schedule, budget and short list of firms.

Water Right Legal Services
For the Sites Project authority

Scope: To assist the Authority in securing all necessary water rights for the Sites Reservoir Project. This initial stage of work involves working with the water right team to complete the water right strategy, ensuring water right matters are properly analyzed in the revised and recirculated Draft EIR/EIS, and lead the preparation of a water right application to the State Water Resources Control Board to be submitted in early 2022. Depending upon performance, future stages of work may involve serving as legal representative for the Authority to the State Water Resources Control Board in their proceedings to issue a water right permit and approvals for the Project which is expected no later than Spring 2024.

The current primary water right team members consist of the following firms: MBK Engineers, ICF International, CH2MHill/Jacobs Engineering and Authority Agents.

Schedule:

Activity	Date (2020)
Release of RFQ	July 22
Submission of Written RFQ Questions	July 28 Noon
Response to Written Questions Emailed to Respondents	July 31
Proposals Due to Authority	August 20 Noon
Authority Review of Qualifications/Firms Selected for Interview	August 23
Notification of Interviews, if Appropriate	August 28
Interviews, at Authority's Discretion	September 3-4
Reservoir Committee Reviews and Recommends Approval to the Authority Board	September 17
Authority Board Reviews and Approves Contract Award	September 23
Execution of Contract Completed	September 30

Budget: There is $200,000 for water right legal services in the current Amendment 2 Work Plan which is intended to cover the initial stage of work. However, the proposer is encouraged to identify any other work products and budget needs they feel would be advantageous to the Project in regard to acquisition of water

rights. Future stages of work will include sufficient funds and the provider will assist in developing the scope and budget.

The selection panel will involve the Executive Director, and 4-6 members of the Reservoir Committee and Authority Board Coordinating Committees. The selection criteria will generally involve the following elements:

- Results of the proposers comprehensive conflict check must reasonably demonstrate the attorney and the firm are sufficiently cleared of material conflicts with any Reservoir Committee and Authority Board participating member agencies, or conflicts with any other parties that may be interested in the Sites Reservoir Project water right process.
- Level of demonstrated experience (attorney and firm) providing similar legal services and successfully completing a water right proceeding of a nature and magnitude similar to that of the Sites Reservoir Project.
- Capability to work effectively with the water right team and deliver an end product meeting the requirements of the Authority.

Invited Firms: The following list of firms will be invited to propose for the water right legal services:

Bartkiewicz, Kronick & Shanahan
1011 22nd Street, Sacramento, CA 95816

Best, Best & Krieger
500 Capitol Mall, Sacramento, CA 95814

Downey Brand LLP
621 Capital Mall 18th Floor, Sacramento, CA 95814

Ellison Schneider Harris & Donlan LLP
2600 Capital Ave, Suite 400, Sacramento, CA 95816

Kronick Moskovitz Tiedemann & Girard
400 Capital Mall, 27th Floor, Sacramento, CA 95814

Somach Simmons & Dunn
500 Capital Mall, Suite 1000, Sacramento, CA 95814

Sites

Topic: **Authority Board Agenda Item 3.1** **2020 July 22**

Subject: **Review Approved Water Storage Policy**

Requested Action:

Review and comment on the conditionally approved August 2019 Water Storage Policy and consideration of updates to align with Value Planning. Recognize the storage policy serves as the foundational document to 2021 key milestones of creating a Plan of Finance and Operations Plan and refer consideration of updates to the ad hoc Engineering and Operations Workgroup.

Detailed Description/Background:

In August 2019, the Reservoir Committee conditionally approved the attached Water Storage Policy (Policy) that broadly describes allocation of Project benefits and costs including how the Reservoir Committee and each Sites participant would be able to manage water diversions to, storage in, and releases from Sites Reservoir. The Policy highlights the fundamental concept that Sites participants are purchasing storage space in a reservoir that can be used with discretion, subject to permitting and operating constraints. The Policy also identifies that the Sites participants are seeking to secure a source of supply that would be equitably allocated to participants. It broadly outlines how storage and releases are scheduled, the sharing and leasing of storage space, and the allocation of operations and maintenance costs.

The establishment of the Policy in 2019 was an important step in providing greater certainty for Sites participants related to reservoir storage, but the Policy has not been updated since the Value Planning effort. Generally, the Value Planning highlighted individual participant constraints and needs which the Reservoir Committee may wish to consider in the Policy. State and Federal involvement is another consideration. Also, there are technical changes that have occurred requiring changes in the Policy. For example, the Policy identifies the reservoir size as 1.81 million acre-feet and includes the possibility of power generation and pump-back storage.

This is the right time to consider Storage Policy updates since the Policy is a foundational building block to accomplishing key 2021 milestones. The Storage Policy will inform the benefit/cost mechanics and detailed technical operating aspects to be included in the Plan of Finance and Operations Plan respectively. Both of these documents, along with updates to the Storage Policy, will be developed during the Amendment 2 Work Plan. Staff recommends the Reservoir Committee refer the Storage Policy updating process to the ad hoc Operations and Engineering Workgroup for consideration of updates.

Prior Reservoir Committee Action:

August 26, 2019: A draft was provided at the Reservoir Project Committee meeting and was adopted at that time, pending minor modifications included in Attachment A and pending home board legal review.

August 15, 2019: Draft Storage Policy presented and discussed at the Joint Workshop.

July 19 & 22, 2019: Draft Storage Policy was provided to for review to the Reservoir Committee and Authority Board meetings to allow all Sites Participants to review, discuss and comment.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Ali Forsythe

Attachments:

Attachment A - "Storage Policy by the Sites Project Authority".

The Storage Policy was adopted by the Reservoir Project Committee on August 22, 2019 and by the Sites Project Authority on August 26, 2019. The attached Policy reflects the revisions 'as amended' referenced in the Reservoir Project Committee Minutes of August 22, 2019, to wit:

"Consider approval of a recommendation the Reservoir Committee approve the Water Storage policy and then recommend the Sites Project Authority consider adoption of the Water Storage Policy in conjunction with the development of future participation agreements. (Attachment 7-1A)

Action: It was moved by Ruiz, seconded by Davis to adopt the Water Storage Policy as amended and pending home board legal review. Further, recommend the Sites Project Authority also consider adoption of the Water Storage Policy in conjunction with the development of future participation agreement and further legal review of the Policy by Counsel. The motion carried unanimously."

Please also note the findings described in the August 22, 2019 memorandum to the Reservoir Project Committee "Topic: Reservoir Committee Agenda Item 7-1, Subject: Operations Workgroup - Draft Water Storage Policy", to wit:

"It is noted such approval of this policy does not result in the implementation of any Project operation or action resulting in a significant effect on the environment nor does it preclude future changes to the Water Storage Policy which are likely to be necessary as the Project proceeds."

Storage policy

BY
THE SITES PROJECT AUTHORITY

(1) This Storage Policy was adopted by the Sites Authority on 26th day of August 2019, to assist in the development and operation of the Sites Reservoir Project (Project) by the Sites Project Authority and the Sites Storage Partners listed in Attachment 1.

INTRODUCTION

(2) The Sites Project Authority (Authority) will apply for and expects to hold the water rights and be responsible for the operation of the Sites Reservoir Project with the Reservoir Committee being responsible for the planning, permitting and real-time operations implementation. There are numerous financial participants in the Sites Reservoir Project. These participants will receive water supply benefits, water supply related environmental benefits and other benefits from Sites Reservoir operation. These participants include the (1) State of California, (2) United States Bureau of Reclamation, (3) Northern California agencies and organizations, and (4) water agencies both west and south of the Sacramento-San Joaquin Delta. The participants in the Sites Reservoir Project who receive (a) water supply related benefits or (b) water supply related environmental benefits are considered Sites Storage Partners. The Sites Storage Partners will enter into agreements with the Authority for the funding of the Project and the benefits derived from the Project and may specify each Sites Storage Partner's storage space in Sites Reservoir. To the extent allowed by natural conditions, permit requirements and physical capabilities of the Project, each of these Sites Storage Partners will have control over the use of their storage allocation space based on the conditions set forth in this policy. The water supply related benefits derived from these storage allocation spaces will depend in large part on how the each Sites Storage Partner manages the water stored and released from their allocated storage space. The Reservoir Committee is responsible for accounting for all the water placed into each Sites Storage Partner's allocated storage space, water released from that storage space and any losses on a daily basis.

DEFINITIONS

(3) Sites Participants – Government Agencies, Water Organizations and others who financially contribute to the planning and construction of the Sites Reservoir Project.

(4) Sites Storage Partners – The Sites Participants who have funded and receive water supply or water supply related environmental benefits from the Sites Reservoir Project (see Attachment 1 for the list of Sites Storage Partners).

(5) Active Storage – That portion of Sites Reservoir above dead pool that can be exercised to create water supply related benefits. The total maximum storage in Sites Reservoir is expected to be 1.81 MAF. Firm dead pool storage is expected to be about 60 TAF. However, operationally another 60 TAF will likely be needed to prevent water quality issues in the storage releases. Therefore, the operational dead pool is considered to be 120 TAF. For this Policy the Active Storage for Sites Reservoir is considered to be about 1.69 MAF. These values are approximate and subject to change in the future.

(6) Water Year - The California Water Year is from October 1 through September 30.

(7) Operation and Maintenance and Replacement- (O&M) or (O&M&R). Those costs associated with the operations of the Sites Reservoir Project. These can be broken down into:

(a) Fixed operations and maintenance and replacement costs that are more stable year-to-year and that are not significantly influenced by varying diversions or releases of water, and

(b) variable operations and maintenance costs that reflect annual operations and maintenance costs that vary based on actual operations each year.

ROLES

(8) Sites Project Authority (Authority) - The Authority will apply for and expects to hold the water rights for the Sites Reservoir Project and be responsible for its operation.

(9) Reservoir Committee - The Reservoir Committee is a standing committee of the Authority and oversees the planning, permitting, and day-to-day operations and accounting of Sites Reservoir storage, releases and losses and related activities including coordination with each of the Sites Storage Partners. This will be done in a way that is open and transparent to all the Sites Storage Partners.

(10) State and Federal Agencies:

(a) The State of California - As described in Proposition 1 (2014) and associated regulations, the Sites Authority expects that the State of California will work though the California Water Commission and the Resources Agency to manage, at their request, the water held in the Sites storage allocation space obtained by the Water Commission for environmental purposes. In addition, the State of California will cooperate with the Reservoir Committee's operation of Sites Reservoir and that of the State Water Project (and if appropriate the Central Valley Project) to maximize the water supply and water supply related benefits of Sites Reservoir.

(b) The United States – To be provided.

STORAGE OF WATER

(11) Water Storage by the Appropriation of Water: The Authority will be responsible for compliance with water right provisions and other conditions that control the appropriation of water into storage in Sites Reservoir. The Authority through the Reservoir Committee will take all actions necessary to maximize the appropriation of water into storage consistent with regulatory requirements, physical constraints and hydrologic conditions. Water appropriated will be placed into Sites Reservoir and then allocated into each Sites Storage Partners contractual storage space proportional to their acquired storage space amount.[1]

Based on the water accounting system approved by the Reservoir Committee, if a Sites Storage Partner's storage volume is not available (whether due to being completely filled, filled up to the requested amount by a Storage Partner, or any other reason), the available water will be allocated to the remaining Sites Storage Partners who still have available storage allocation space and the water will be used to fill the other Sites Storage Partner's storage allocation spaces (based on their storage space allocation) until all Sites Storage Partners storage allocation spaces are filled up to each Partner's requested amount of fill within that Partner's space. Each Sites Storage Partner will be responsible for the Operation and Maintenance (O&M) costs associated with the

---

[1] *For example, if only 340 TAF of water is able to be appropriated into Sites Reservoir in any one year, this represents 20% of the total allocated storage of Sites Reservoir (340/1.69 MAF) = 20%). In that year each Sites Storage Partner will receive an amount of water equal to 20% of their allocated storage space amount.*

use of their storage allocation space as set forth below. However, a Sites Storage Partner may opt out of having water appropriated into their storage space and avoid the variable O&M costs if they so inform the Reservoir Committee at the beginning of the Water Year or as otherwise established by the Reservoir Committee. The appropriation of water into storage will take priority over the release of stored water. If needed, a dispute resolution process will be developed.

(12) Water Storage from Other Sources: There are opportunities for the Sites Storage Partners to request that the Reservoir Committee place water from sources other than that by appropriation by the Project into their storage allocation space. These other sources could include among other things: the re-diversion of previously stored water, water transfers, exchanges between Sites Storage Partners, exchanges with other entities, etc. The Reservoir Committee will take all reasonable steps to help facilitate these requests. The Sites Storage Partners will be responsible for the costs of obtaining/storing these other sources of water including any costs associated with water right changes, environmental compliance, and Reservoir Committee costs associated with reviews. Also, the Sites Storage Partner is responsible for all O&M costs of placing this water into their storage allocation space as set forth below. Placing water into storage from other sources shall not impact the ability of the Reservoir Committee to appropriate water or release water from Sites Reservoir.

(13) Storage/Release Conflict Resolution: If there is a conflict between placing water into storage in Sites Reservoir from other sources of water and the release of water from Sites Reservoir at the same time, an "in lieu storage" option may be used by the parties involved provided the water rights issues are addressed on a case-by-case basis.

ACCOUNTING FOR LOSSES

(14) Allocation of Losses: Losses of water held in Sites Reservoir storage including evaporation and seepage will be accounted for on a daily basis. These losses will be allocated to each Sites Storage Partner based proportionally on the amount of their water in storage that day.

SHARING OR LEASING OF STORAGE SPACE

(15) Sharing or Leasing of Storage Allocation Space: The Sites Storage Partners are allowed to share or lease their storage allocation space with other Sites Storage Partners or other entities. This sharing or leasing could span multiple years and will be subject to several conditions set forth below.

- Any sharing or leasing of storage allocation space shall be coordinated with the Reservoir Committee so that proper water accounting can be maintained.
- If the Sites Storage Partner's allocated storage space fills, then any shared or leased water held in that storage space that day will stay in the shared or leased space unless the Reservoir Committee receives written instructions otherwise from the Sites Storage Partner.
- The responsibility for collecting payment for the use of a Sites Storage Partners shared storage space will be the responsibility of the Sites Storage Partner and not that of the Reservoir Committee.
- The Sites Storage Partner maintains the responsibility to the Reservoir Committee for all the O&M costs of placing water of any source into its storage allocation space. However, the Sites Storage Partner will likely collect costs of placing water into any shared storage space from the party making use of that shared space.

RELEASES OF WATER FROM STORAGE

(16) Water Released From Storage: The Sites Storage Partners have total discretion on the amount of water held in their storage allocation space that they wish to be scheduled for release in any calendar year for their use. These requested stored water releases and their schedule for release shall be coordinated with the Reservoir Committee so the Reservoir Committee can coordinate this request with the other Sites Storage Partners, State Water Project, Central Valley Project and any regulations related to the release of water from Sites Reservoir.

The Reservoir Committee will work with the Department of Water Resources and the U. S. Bureau of Reclamation to manage these releases with those of the State Water Project and Central Valley Project to maximize the benefits to the above parties when possible.

It is anticipated water will be released from storage for environmental/public benefits (e.g. on behalf of the State of California per their WSIP funding investment; e.g. on behalf of the United States per WIIN Act funding investment). The Authority's intent is that the non-consumptive use of water for environmental/ public benefits will remain under the control of the Sites Authority and its Storage Partners for further allocation to another in-basin or out-of-basin uses.

(17) Scheduling Stored Water Releases: No later than February 20th of each water year, the Reservoir Committee will make a water storage forecast for each Sites Storage Partner for that water year based on each Sites Storage Partner physical storage to date and several examples of projected hydrologic conditions ranging from critically dry to far above normal. These forecasts will be updated each month by the 20th of every month until April 20th. Each Sites Storage Partner will provide the Reservoir Committee the monthly schedule and amounts of the water they wish to be released that calendar year based upon each of these forecasts 15 days after the receipt of these forecasts. These schedules will be updated monthly as needed until June and changes after that will be accommodated to the extent it does not conflict with those of other Sites Storage Partners. Any water held in a shared/leased allocation space will be the responsibility of the Sites Storage Partner to communicate the requested release of that water to the Reservoir Committee unless other arrangements are provided to the Reservoir Committee in writing.

The Reservoir Committee will work with each Sites Storage Partner, the State Water Project, Central Valley Project and regulatory agencies and make all reasonable efforts to satisfy the water release schedules requested by each Sites Storage Partner. If there is a Sites Reservoir release constraint affecting the ability of meeting the requested water release schedules from Sites Reservoir, the Reservoir Committee will first work with those conflicted Sites Storage Partners to see if accommodations can be made. If the conflict cannot be resolved, the priority for the releases will be in proportion to the Storage Allocation space as divided among the conflicted Sites Storage Partners.

OPERATION, MAINTENANCE AND REPLACEMENT

(18) Operation and Maintenance Cost Allocations: Operation and Maintenance (O&M) costs will be divided into fixed O&M&R and variable O&M. Fixed O&M&R will cover those O&M&R costs that are generally stable from year to year like monitoring costs, routine maintenance, Reservoir Committee staff costs, repair funds, replacement of equipment funds etc. These costs will be calculated by the Reservoir Committee and paid by the Sites Storage Partners for the coming Water Year in advance. They will be allocated to all the Sites Storage Partners in the same manner as the capital costs.

Annual variable O&M costs include pumping costs to appropriate water into storage, pumping water from other sources into storage, other costs related to the placement of water into storage

and the costs, if any, of releasing water from storage. These costs will be assessed to each Sites Storage Partner based on the amount of water placed into their storage allocation that year. An estimate of these costs will be made by the Reservoir Committee. These costs will be assessed to each Sites Storage Partner and paid for the coming Water Year in advance. However, a Sites Storage Partner may opt out of having water appropriated into their storage space and avoid the variable O&M costs if they so inform the Reservoir Committee at the beginning of the Water Year. At the end of each Water Year any revenue received in excess of costs will be returned to the Sites Storage Partners or applied as a credit to the next year's variable O&M costs. However, the Reservoir Committee will retain a reasonable reserve fund to cover any unforeseen variable O&M costs that may come up in any year.

(19) Power Revenue. It is recognized that the Sites Reservoir Project could generate power revenues. The use of these revenues is not part of this Storage Policy at this time.

FUTURE STORAGE POLICY CHANGES

(20) Future Storage Policy Changes - This policy is intended, at this time, for use in the Sites Participation Agreements that will be developed in the near future. This policy will likely evolve and change as the Sites Reservoir Project develops. This policy may be modified in the future by the Authority with approval by the Reservoir Committee. Under the current Bylaws, such Reservoir Committee approval requires a 75% affirmative vote.

DATE

August 26, 2019_____

ATTACHMENT 1

SITES STORAGE PARTNERS

ENVIRONMENTAL

WSIP - California Water Commission

WIIN- United States Bureau of Reclamation

WATER USERS

America Canyon, City of
Antelope Valley-East Kern WA
Carter Mutual Water Company
Coachella Valley Water District
Colusa County
Colusa County Water District
Desert Water Agency
Glenn-Colusa Irrigation District
MWD of So. California
Reclamation District 108
San Bernardino Valley MWD
San Gorgonio Pass WA
Santa Clara Valley WD
Santa Clarita Valley WA
TC-4 Cortina Water District
TC-4 Davis Water District
TC-4 Dunnigan Water District
TC-4 LaGrange Water District
Westside Water District
Wheeler Ridge-Maricopa WSD
Zone 7 Water Agency

Sites

Topic: **Authority Board Agenda Item 3.2** **2020 July 22**

Subject: **Key Operational Analysis Factors - Baseline**

Requested Action:

Review and comment on the approach being taken for the modeling baseline for the EIR/EIS analysis.

Detailed Description/Background:

OVERVIEW

As work progresses on the analysis for the Revised Draft EIR/EIS, the operations team is developing modeling parameters and criteria required for assessing the alternatives in the Revised EIR/EIS. One of the foundational decisions related to the operations modeling for the EIR/EIS analysis is the description of the modeling baseline. An adequate description of the baseline is critical to the CEQA/NEPA evaluation and to describe the of project's benefits.

Issuance of the U.S. Fish and Wildlife Service and National Marine Fisheries Service Biological Opinions for the Reinitiation of Consultation on the Coordinated Operations of the Central Valley Project (CVP) and State Water Project (ROC on LTO BiOps) and the issuance of the Incidental Take Permit for Long-Term Operations of the State Water Project (SWP) in the Sacramento-San Joaquin Delta (SWP ITP) has modified baseline conditions for the CVP-SWP system from the previous BiOp and ITP. The ROC on LTO BiOps and the SWP ITP are also subject to pending litigation by a number of parties. There is disagreement regarding the implementation of the ROC on LTO BiOps and the SWP ITP between water users, environmental stakeholders, and the federal and state water management and permitting agencies. This disagreement will likely persist throughout the Sites Project planning and permitting process. As the CEQA Lead Agency, we need to balance all of the external and internal constraints, demands and needs and identify what would provide the public and our members with the highest quality information for evaluating the project.

After careful consideration, Staff is initially proceeding with model development with the ROC on LTO BiOps baseline and incorporate the SWP ITP actions after release of DCR2019 joint model implementation as the best course at the present time. This approach provides:

1. The most defined and expedient starting point for analysis of Sites Project operations while accomplishing a high-quality analysis that meets adequacy tests under CEQA/NEPA and provides the public with a reasonable evaluation of effects from the Project.
2. Best represents Shasta Lake tiered cold water pool management which is a key operating feature to be considered when evaluating possible exchanges and coordinated operations with Sites Reservoir.

3. Use of the merged Sites version of the model developed for the Reclamation Feasibility Report will expedite model development to meet the required schedule.

Operations criteria for the SWP ITP actions will be incorporated based on DCR2019 if it available in time to meet the Project's schedule. In addition, the team will be performing additional gap and sensitivity analyses to evaluate additional operations that are needed to support the Sites Project planning and permitting process.

As more detailed analysis is completed, staff will continue to evaluate the baseline approach and may make modifications to the approach to meet the requirements of CEQA/NEPA and provide the public with a reasonable evaluation of effects from the Project.

ALTERNATIVE APPROACHES CONSIDERED

Given the uncertainties surrounding operations of the SWP and CVP, staff has identified three possible modeling baseline options, each having their own pros and cons:

1. Use of ROC on LTO Alternative 1/Proposed Action only – This baseline would be based on the ROC on LTO Proposed Action Calsim II model released by the Bureau of Reclamation (Reclamation) in December 2019.
    a. Pros:
        i. Most recent representation of Shasta Lake tiered cold water pool management.
        ii. Expedited development of the modeled baseline using existing Sites model developed for Reclamation Feasibility Report.
    b. Cons:
        i. Excludes additional actions that are included in the SWP ITP.
        ii. Potential lack of acceptance by the State since the model does not include the SWP ITP.

2. Use of SWP ITP Alternative 2b/Proposed Project only – This baseline would be based on the SWP ITP Calsim II model released by the Department of Water Resources (DWR) in March 2020.
    a. Pros:
        i. Use of a model representing the SWP ITP in the baseline for analysis in the Sites ITP application.
    b. Cons:
        i. May require additional updates to include all of the actions described in the SWP ITP.
        ii. Excludes changes made in the updated final ROC on LTO Alternative 1/Proposed Action published in December 2019.
        iii. Potential lack of acceptance by Reclamation since the model does not include ROC on LTO.

3. Combination of 2019 State Water Project Delivery Capability Report (DCR2019) / ROC on LTO / SWP ITP – DWR is currently working on a joint representation of the ROC on LTO BiOps and the SWP ITP as part of DCR2019, which would include the potential future combined CVP/SWP operations. This is expected to be released in July 2020.

a. Pros:
    i. Comprehensive representation of baseline operations currently in development.
    ii. Allow for the possibility of greater acceptance to a wider range of agencies and stakeholders.
    iii. Provide a State/Federal supported baseline.
b. Cons:
    i. Schedule for release of DCR2019 has been and may continue to be delayed (DWR originally tentatively planning for a June release).
    ii. Delays associated with developing Sites Project ITP implementation based on DCR2019 (at least four week).

Prior Action:

None.

Fiscal Impact/Funding Source:

Model development is part of the Amendment 2 work plan and is a key component to other 2021 milestones within the work plan. There is sufficient funding to conduct efficient modeling whereby the baseline approach is established, and the modeling results are used to inform the EIR/EIS analysis. Multiple revisions of the modeling baseline or excessive iterations would be additional cost and time delay to the project.

Staff Contact:

Ali Forsythe

Attachments:

None.

Sites

Topic: **Authority Board Agenda Item 3.3** **2020 July 22**

Subject: **Funding Credit and Reimbursement Policy Implementation**

Requested Action:

Review and comment on the Draft Funding Credit and Reimbursement Policy (Policy) Revisions.

Detailed Description/Background:

When the Reservoir Committee was formed, one of the requirements included the development of this Policy to ensure equity among participants and be an important component to the rebalancing process. The initial Policy went through a thorough development and review process and was approved by the Authority in August 2018. A revised Policy is now required as the Authority continues to progress into new phases of the project.

A subgroup of the Joint Budget and Finance Committee was convened to review the current Policy and develop draft revisions as needed to reflect the status of the current Sites Project. The Subgroup developed a draft revised Policy and examples of applying the draft Policy which were reviewed and commented on by the Joint Budget and Finance Committee during their July 10, 2020 meeting. A revised draft has been prepared based on the comments received (Attachments 1 and 2).

The Joint Budget and Finance Committee is requesting comments to the draft revised Policy from the Reservoir Committee and Authority Board during their July meetings. Comments from these meetings will be incorporated into a revised draft which will be reviewed by Authority General Counsel, and then brought to the Joint Budget and Finance Committee, Reservoir Committee, and Authority Board at their August 2020 meetings for approval.

The most significant changes being proposed in the draft revised Policy are:

- Revisions to Section 6 "Participation Priority" include the addition of two new priority rounds. A revision was also made to clarify the application of "Last in / First Out" approach should the available participation be reduced below the amount of participation by Project Agreement Members.
- Revisions to Section 10.3 "Limitation on Reimbursements" provides additional clarity to when the Authority would make reimbursement payments.
- The new Section 14 "Provision for Buy-in After Phase 1" was added to specify the requirement that additional or new participation pay the equivalent funding of prior phases upon executing the Project Agreement.

- The new Section 15 "Provision for Phase 2 Rebalancing and True-up Process" was added to provide a process for Project Agreement Members to change their level of participation at the conclusion of each incremental Phase 2 Project Agreement. The provision is similar to the Phase 1 True-up with the exception of Class 2 Water conversion.

Additional revisions were made to expand the application of the policy to Phase 2, provide flexibility for allowing incremental Project Agreements and associated participation changes, and clarify/specify previous provisions and requirements.

Prior Action:

At the August 16, 2018 Reservoir Committee, the Credit Reimbursement Policy was approved.

At the June 25, 2018 Reservoir Committee meeting, the status was discussed with direction provided to the Ad Hoc Finance and Economics Work Group.

Fiscal Impact/Funding Source:

The Policy's requirement to reimburse Project Agreement Members for partial or complete withdrawal from the Project would be funded by new revenue for the "Buy-in" payments received from an existing Project Agreement Members that increase their amount participation or from new/returning Project Agreement Members initiating participation. Reimbursement payments would only be made once the Reservoir Committee and Authority Board determine that funds are available to make such payments without impacting the implementation of the Project.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Working Draft Funding Credit and Reimbursement Policy (with redline strikeout of proposed changes).

Attachment B: Examples of Applying Draft Policy.

Sites Reservoir Project, Phases 1 and 2
Funding Credit and Reimbursement Policy

`

Table of Contents

1. PURPOSE OF POLICY 2
2. ADMINISTRATIVE 2
3. DEFINITIONS 3
4. PROJECT PHASES 5
5. UNDERLYING ASSUMPTIONS 5
6. PARTICIPATION PRIORITY 6
7. PROVISION FOR ENCOURAGING NORTHERN CALIFORNIA PARTICIPATION 8
8. CREDITING POLICY FOR ADVANCE FUNDING 8
9. USE OF CONTRIBUTION CREDIT 8
10. REIMBURSEMENT POLICY FOR CONTRIBUTION CREDIT 9
11. PROVISIONS FOR WITHDRAWAL FROM PROJECT 10
12. PROVISION FOR CLASS 2 CONVERSION TO CLASS 1 PRIOR TO THE TRUE-UP PROCESS 10
13. PROVISION FOR REBALANCING PHASE 1 PARTICIPATION AND TRUE-UP OF THE CLASS 1 COST BASIS ... 10
14. PROVISION FOR BUY-IN AFTER PHASE 1 11
15. PROVISION FOR PHASE 2 REBALANCING AND TRUE-UP PROCESS 12
16. FAIR SHARE FUNDING STUDY 13

APPENDIX A – Participating Members and Respective Priority

1. PURPOSE OF POLICY

The Sites Project Authority (Authority) has adopted bylaws[1] that establish use of a Cost Development Model to track each participating agency's funding contributions and anticipated funding requirements as the Sites Reservoir Project (Project) is developed.

The purpose of this Funding Credit and Reimbursement Policy (Policy) is to establish the rules and procedures for contributing funds for the Project that will be used to inform the Cost Development Model ~~that will be used as part of the Phase 1 rebalancing process[2].~~as the project advances. The specific objectives of the Policy are to define the provisions and terms for:

- Defining contribution credit to participating agencies (Project Agreement Members, see **Section 3.8**) for having contributed funds to advance the Project;
- Defining allowable conditions for the use and/or reimbursement of credit;
- Providing an equitable exchange in participation levels between Project Agreement Members; ~~and~~
- Defining the process for recalculating the equivalent cost basis among Phase 1 Project Agreement Members for Class 1 and Class 2 water[3]; and~~.~~
- Defining the process for recalculating the equivalent cost basis for future phases of the project that are funded on a "cash call" basis.

It is the intent of this Policy to ensure that all Project Agreement Members ~~proceeding into Phase 2~~ will each have paid the same amount on an equivalent cost basis as the Project advances.

2. ADMINISTRATIVE

2.1 Role of the Authority and Reservoir Committee

Through its Bylaws, the Authority considers this process to be a foundational part of advancing the Project. Development of the requirements has been delegated to the participants in each phase of the ~~Phase 1~~ Reservoir Project ~~Agreement~~Agreements (Reservoir Committee). Acceptance of this document by the Authority is required before ~~it's~~its requirements can be implemented.

---

[1] November 21, 2016 Amended and Restated Bylaws of the Sites Project Authority for Phase 1 of the Sites Reservoir Project, Section 3.9.

~~[2] For use in subsequent phases, this document will be amended to incorporate additional information gained during Phase 2.~~

[3] Participation in voting and funding is based on a Members' participation as measured in acre-feet that is used to apportion the project's development costs. Until the completion of Phase 2, the annualized volumes of water that could be released from storage can't be finalized until the Project has acquired the prerequisite permits needed for construction and operations and both state and federal agencies have committed to fund their equitable share in the Project.

2.2 Amendments to this Policy

It is the Authority's intent that this policy be updated as needed (i.e. is a "living" document) to ensure the Project is implemented equitably, efficiently and cost-effectively. The Authority may amend this Policy to reflect changes to the funding structure for the Project (e.g. separate participation in water storage versus water supply). Any amendment to this Policy will not reduce or increase any participant's contribution credit (as defined below) for having contributed funds to advance the Project.

2.3 Precedence of Documents

This document is subordinate to both the Authority's and Reservoir Committee's governance documents. Should a conflict exist, the Authority's Joint Exercise of Powers Agreement - including the Bylaws - shall take precedence, followed by the Reservoir Committee's ~~Phase 1~~sequential Reservoir Project Agreement ~~- including Exhibit~~ for each phase of the Project (Requirements).

Further and in keeping with the *Mission and Project's Primary Goals* established in Phase 1[4], should a conflict exist between this document and ~~the Phase 2~~any Reservoir Project Agreement, which ~~will~~may include the terms and conditions for the interim finance plan, the ~~Phase 2~~ Reservoir Project ~~Agreement~~Agreements shall control, and this document shall be amended to remove the conflict.

2.4 Document Revision History

A record of approved changes to this document shall be recorded in this section, starting with its original approval for use, which is revision 0.

Rev.	Effective Date	Status of Authorizing Action
0	August 20, 2018	Approved by Reservoir Committee and adopted by the Authority.
1	TBD	Revised to include Phase 2 considerations.

3. DEFINITIONS

The following definitions are used in this Policy:

3.1.	"Class 1 Water"	Water supply reserved for Project Agreement Members based on 50% of the total average annualized volume of water released from storage (250 ~~TAF~~TAF[5]).
3.2.	"Class 2 Water"	Additional 170 TAF[5] of water supply that may be available to Project Agreement Members, of which the State of California and/or the United States Government will be given the first

[4] Refer to Exhibit B of the Phase 1 Reservoir Project Agreement, General Requirements.

[5] These acre footage values are based on original project yield projection at the onset of Phase 1 in 2016 and are subject to change throughout the duration of the project.

		right to acquire for ecosystem and water quality "public" benefit(s).
3.3.	"Contribution Credit"	The accumulated funding provided by a Project Agreement Member for both Class 1 and Class 2.
3.4.	"Equivalent Cost Basis"	The equivalent cost per acre-foot for Class 1 water established by the Reservoir Committee.
3.5.	"Equivalent Required Funding"	The amount of funding required by each Project Agreement Member at the Phase 1 True-up event (**Section ~~12~~13**) equal to the Equivalent Cost Basis times the Project Agreement Member's participation in Class 1 water.
3.6.	"Fully Subscribed Project"	Full participation in the total amount of Class 1 water available. The associated amount may change between the end of Phase 1 and end of Phase 2. Further, it is contemplated that in Phase 2, consideration will be given to shift from the current delivery-based method (FOB Holthouse Reservoir) to a storage-based method.
3.7.	"Funding Obligation"	A Project Agreement Member's semi-annual pro-rata share of funding required. The Authority may elect to waive any Project Agreement Member from a semi-annual obligation by excluding that particular Project Agreement Member's participation in the Project when determining the basis for the pro-rata share of the remaining Project Agreement Members.
3.8.	"Project Agreement Member"	Any agency or entity that has executed ~~the Phase 1~~a Project Agreement and not withdrawn from the project. [6]
3.9.	"Good Standing"	Meeting the requirements to actively participate in the Project according to Section 8.1 of Authority's Bylaws.
3.10.	"Participation"	A Project Agreement Member's elected participation rate expressed in terms of acre-feet of average annual water supply, or other metric utilized to determine the pro-rata share of funding required from each Member.

[6] Ibid, Section 7.3 for membership types. A list of current Project Agreement Members is provided in Exhibit A1 of the Phase 1 Reservoir Project Agreement.

3.11. "Priority"	The ranking of participation in the Project, Class 1 and/or Class-2, used to determine the order in which participation changes take effect. Highest priority is associated with earliest date the Phase 1 Reservoir Project Agreement was executed (i.e. Round 1). Lowest priority is the latest date this agreement was executed (Round 4).

4. PROJECT PHASES

The Project is being advanced by Project Agreement Members on a workplan based phased approach. The phases of the project associated with each Project Agreement are defined as:

- Phase 1: Phase 1 Project Agreement, November 2016 through March 31, 2019
- Phase 2A: Phase 2 Project Agreement plus Amendments 1A/1B, April 1, 2019 through August 31, 2020
- Phase 2B: Phase 2 Project Amendment 2, September 2020 through December 31, 2021

~~4.~~5. UNDERLYING ASSUMPTIONS

The following underlying assumptions predicate the establishment of credits and reimbursements:

4.1 As of August 1, 2015, funding provided by Members of the Authority has been divided into two separate categories: 1) Authority administration, and 2) Implementation of the Project.

4.2 Funding provided for Authority administration is not eligible for Credit, whereas funding for implementation of the Project is eligible.

4.3 Funding provided between January 1 and July 31, 2015 was applied first to Administration requirements in 2015 and the balance was applied to Project funding as of August 1, 2015.

4.4 All other funding received before December 31, 2014 was provided for advocacy of the Project and is not eligible for credit.

4.5 Prior to starting Phase 2 and after the California Water Commission has made its "Maximum Conditional Eligibility Determination (MCED)"[7], a formal process will be conducted to enable Project Agreement Members to change their participation. These changes will become the basis for the Phase 1 True-Up ~~and the Phase 2 Reservoir Project Agreement~~.

4.6 As the Project advances, each additional phase of the project will require Project Agreement Members commit funding through execution of a new Project Agreement. Changes in participation may be allowed at the conclusion of each phase, subject to the credit and reimbursement conditions established by this policy.

~~[7] Refer to California Code of Regulations, Tile 23, Division 7, Chapter 1. Section 6011.~~[7] See Ibid, Section 7.3 for membership types.

~~5.~~

6. PARTICIPATION PRIORITY

As more or less Class 1 water becomes available, each Project Agreement Member's allocation of Class 1 and/or Class 2 water may be impacted based on the priority assigned to their participation. Should the level of participation need to be reduced, participation will be eliminated on a "last in / first out" basis. Each Project Agreement Member will be assigned a priority based on the following criteria:

Round 1 Priority: Founding members of the Authority who also elected to execute the Phase 1 Reservoir Project Agreement. Project Agreement Members in this round received Class 1 water.

Round 2 Priority: New members of the Authority seated prior to the 2016 on-boarding process who elected to execute the Phase 1 Reservoir Project Agreement. Project Agreement Members in this round received Class 1 water.

Priority 2.1: Existing members of the Authority seated prior to the 2016 on-boarding process, who had executed the Phase 1 Reservoir Project Agreement, and who elected to change their participation quantities in advance of the August 1, 2016 deadline that closed the On-boarding process. These changes were approved by the Authority on June 13, 2016.

Round 3 Priority: Additional participation beginning with the 2016 On-Boarding process and extending through execution of a funding agreement with the State ~~(anticipated by December 2018).~~ Project Agreement Members in this round receive either:

1) For Public Water Agencies: A combination of Class 1 and/or Class 2 water dependent upon the availability of Class 1 water; or

2) For Non-Member Participating Parties: Mutual Water Companies who submitted a request to participate as part of the 2016 On-Boarding process received Class 2 water.

Note that a rounding error in the original allocation of Class 1 and Class 2 water was found November 2017. The correction to the rounding error was applied retroactively to Priority 3 participants.

The following sub-priority within Round 3 will be based on the date that additional participation is established, which is the date the Phase 1 Reservoir Project Agreement was authorized to be executed by the Project Agreement Member.

Priority 3.1 Prior to executing the Phase 1 Reservoir Project Agreement, 10,000 acre-feet of Class 1 water became available and was allocated to those Project Agreement Members who had initially agreed to accept fractional shares of Class 1 and Class 2 totaling the amount requested in their On-boarding letter of intent. For

each of these Project Agreement Members, the result was a reduction in Class 2 water and a corresponding increase in Class 1 water.

Priority 3.2 Prior to executing the Phase 1 Reservoir Project Agreement, Project Agreement Members who requested to increase their participation received Class 2 water.

Priority 3.3 California Water Service request during the 2016 On-Boarding process requiring CPUC approval before executing the Reservoir Project Agreement.

Priority 3.4 Metropolitan Water District of Southern California elected to not specify an acre-ft as the basis of their participation in the 2016 On-Boarding process, but subsequently requested to participate in Class 2 water through execution of the Reservoir Project Agreement.

Priority 3.5 After Project Agreement Members had executed the Phase 1 Reservoir Project Agreement, 6,285 acre-feet of Class 1 water became available after April 28, 2017 that was allocated to those Project Agreement Members who had initially agreed to accept fractional shares of Class 1 and Class 2 totaling their participation amount (Priority 3). Project Agreement Member's in this priority received a pro-rata share of the Class 1 water and a corresponding decrease in their Class 2 water.

Priority 3.6 After Project Agreement Members had executed the Phase 1 Reservoir Project Agreement, an additional 6,285 acre-feet of Class 1 water became available after May 31, 2017 that was allocated to those Project Agreement Members who had initially agreed to accept fractional shares of Class 1 and Class 2 totaling their participation amount (Priority 3.0). Project Agreement Member's in this priority received a pro-rata share of the Class 1 water and a corresponding decrease in their Class 2 water.

Round 4 Priority: Additional Phase 1 participation following execution of the funding agreement with the State ~~(anticipated by December 2018).~~ Project Agreement Members in this round would only receive Class 2 water unless participation changes result in the availability of Class 1 water.

This round ~~of~~ will also include additional Class 1 water required to maintain the minimum participation threshold from water agencies located in the Sacramento River Hydrologic Region (see **Section ~~6~~7**).

Note that there no participation changes or new participation occurred during Phase 2A. All Project Agreement Members that signed the Phase 2 Project Agreement in April 2019 continued their level of participation through August 2020.

Round 5 Priority: This round includes additional participation from existing or new Project Agreement Members following the completion of Phase 2A and prior to

execution of the Phase 3 Project Agreement. Sub-priority within this round will be established as follows:

Priority 5.1	Additional or new participation from agencies in the Sacramento River Hydrologic Region. This priority was established to encourage Northern California participation (**Section 7**).
Priority 5.2	Additional participation from existing Project Agreement Members.
Priority 5.3	New participation from prior Project Agreement Members that completely withdrew during a prior phase of the Project.
Priority 5.4	New participation from agencies that have not participated in prior phases of the Project.
Round 6 Priority:	New or additional participation just prior to execution of the Phase 3 Project Agreement.

Any established priority will be forfeited commensurate with a reduction in participation. Priority is only valid for the participation commitment under the active Project Agreement, less any amount subtracted by a reduction in participation or project withdrawal.

Additional rounds may be established by the Authority Board as the Project develops. The current list of priority participation is included in Appendix A.

~~6.~~7. PROVISION FOR ENCOURAGING NORTHERN CALIFORNIA PARTICIPATION

The Authority desires to maintain a minimum threshold for participation by agencies within the Sacramento River Hydrologic Region equal to approximately 25% of the total water supply. Should the participation from Project Agreement Members in this region drop below the threshold, the Authority may solicit additional interest from Project Agreement Members or agencies that are not currently participating in the Project, both of which are located in the Sacramento River Hydrologic Region. The additional ~~Class 1~~ participation will be established within ~~Priority 4.~~the current round of priority at the time the Project Agreement is executed (**Section 6**).

~~7.~~8. CREDITING POLICY FOR ADVANCE FUNDING

The Crediting Policy describes the process of how Contribution Credit is earned by each Project Agreement Member by fulfilling its funding obligations. Funding obligations will be established through the Authority's annual budgeting process and will be apportioned to Project Agreement Members based on their respective participation. Each Project Agreement Member will accumulate Contribution Credit equal to its cumulative funding for both Class 1 and Class 2 participation throughout all phases of the project.

~~8.~~9. USE OF CONTRIBUTION CREDIT

Contribution Credit will be utilized to determine any payment or reimbursement due as result of the following events:

- Withdrawal ~~from~~or reduction in Project participation ~~in the Project~~, as further described in **Section ~~10~~11**; or

- True-up of Class 1 Cost Basis and Retirement of Class 2 participation, as further described in **Section ~~12~~13** and **Section 15**.

~~9.~~10. REIMBURSEMENT POLICY FOR CONTRIBUTION CREDIT

The Authority will provide reimbursements[8] under the following conditions.

~~9.1.~~10.1. Reimbursements from Rebalancing Process

Based on the Rebalancing Process (**Section ~~12~~13 or Section 15**) results, ~~The~~the Authority will reimburse certain Project Agreement Members for excess Contribution Credit. The Authority will only reimburse such members once all payments have been received from Project Agreement Members that owe additional funds as ~~the~~a result of the Rebalancing Process.

~~9.2.~~10.2. Reimbursements from Withdrawal from Participation

A Project Agreement Member partially or completely withdrawing their participation in the Project will be reimbursed for its accumulated Contribution Credit based on the Provision for Withdrawal (**Section ~~10~~11**). The Authority will provide such reimbursement once all payments have been received from Project Agreement Members electing to increase its participation to offset the withdrawn participation.

10.3. ~~Reimbursements from~~Limitation on Reimbursements

The Authority is not required to provide any reimbursement payment until the Authority determines that such payment will not have an adverse impact on the financial viability or overall implementation of the Project. Partial reimbursement payments may be made based on available funding with the remaining amount maintained as a liability for the Authority to pay at such time sufficient funding exists. As funding from new participation (**Section 14**) becomes available, the Authority may pay down the liabilities on a pro-rata basis following the order in which the liabilities occurred (e.g. Phase 1 liabilities are paid prior to Phase 2A liabilities).

~~9.3.~~10.4. Reimbursements due to Project Termination

In the event that the Project cannot be completed or in the event the Authority ~~has terminated~~terminates the ~~Phase 1~~then active Reservoir Project Agreement, the Authority will disperse any available Project funds according to the participation percentages as defined in the current version of ~~Exhibit A1 of~~ the then active Reservoir Project Agreement. Note that this exhibit is a "living document" that has been updated as participation changes have occurred throughout ~~Phase~~Phases 1 and 2.

~~9.4.1.1. Limitation on Reimbursements~~

[8] ~~Under no circumstances will the Authority finance any portion of any amounts that may be reimbursed.~~

~~The Authority is not required to provide any reimbursements of any kind until the Authority determines that such reimbursements will not have an adverse impact on the financial viability or overall implementation of the Project.~~

11. PROVISIONS FOR WITHDRAWAL FROM PROJECT ~~BEFORE THE START OF PHASE~~

~~9.5.~~11.1. Withdrawal or Reduction Before the Start of Phase 2 ~~OBLIGATIONS~~Obligations

A Project Agreement Member may reduce or completely withdraw their participation in Class 1 and/or Class 2 within the window associated with Assumption 4.5 and the Phase ~~2~~2A Reservoir Project Agreement~~.~~ (Dated April 1, 2019). In order to maintain equity among all Members, all changes in project participation will be accommodated through the provisions of the Phase 1 True-Up Process **(Section ~~12~~13)**.

11.2. Withdrawal Before the Start of Phase 3 Obligations

Following Phase 2, project funding will most likely involve debt issuance and require full financial commitment by Project Agreement Members for Phase 3 and Phase 4. Consequently, the last opportunity for a Project Agreement Member to withdraw or reduce participation under this policy will be prior to execution of the Phase 3 Reservoir Project Agreement. In order to establish equity prior to debt issuance, all final changes in project participation will be accommodated through the provision of the Phase 2 True-Up Process (**Section 15**).

~~10.~~12. PROVISION FOR CLASS 2 CONVERSION TO CLASS 1 PRIOR TO THE TRUE-UP PROCESS

Prior to the Phase 1 True-up Process (**Section ~~12~~13**), the conversion of Class 2 water will occur only when additional Class 1 water becomes available. Project Agreement Members with Class 2 participation will be allowed to convert their Class 2 water in order of highest to lowest priority.

The cost for each Project Agreement Member to convert its Class 2 to Class 1 will be equal to the difference between the Class 1 and Class 2 funding obligations on a per acre-foot basis, multiplied by the quantity (acre-feet) of Class 2 being converted to Class 1.

~~11.~~13. PROVISION FOR REBALANCING PHASE 1 PARTICIPATION AND TRUE-UP OF THE CLASS 1 COST BASIS

At the conclusion of the Phase 1 Rebalancing Process, the Authority will establish the final amount of Class 1 available for participation and establish an equivalent cost basis per acre-foot (the True-Up Process). The final Phase 1 participation will be established as follows:

- The minimum threshold for participation from agencies in the Sacramento River Hydrologic Region (**Section ~~6~~7**) will be considered prior to the conversion of Class 2.

- Any voluntary change in participation shall be fully recognized prior to the calculation of conversion of Class 2 to Class 1.
- Class 2 will be converted to Class 1 in order of highest to lowest priority until the final amount of Class 1 participation is fulfilled. The cost to convert Class 2 water shall be paid by each member separate from the determination of the Equivalent Required Funding for Phase 1. Funding associated with Class 2 conversion will be included in the Project Agreement Member's cumulative Contribution Credit.
- Any remaining Class 2 participation will be retired. Project Agreement Members with retired Class 2 water will be placed on a stand-by list for future participation based on their original priority.
- Should the total amount of Class 1 participation be less than the amount available, the Authority will solicit interest from current Project Agreement Members for additional participation. If the additional Class 1 participation is still available, the Authority will solicit interest from other non-participating agencies.

An equivalent cost basis per acre-foot will be established for all Project Agreement Members at the conclusion of Phase 1 as follows:

- The Equivalent Cost Basis for Class 1 participation has been established by the Project Reservoir Committee as $48.50 per acre-foot.
- The Equivalent Required Funding for each Project Agreement Member will be the Equivalent Cost Basis multiplied by the Project Agreement Member's Class 1 participation at the end of Phase 1.
- If the Equivalent Required Funding is greater than the Project Agreement Member's cumulative Contribution Credit, a true-up payment will be due from the Project Agreement Member to the Authority equal to the Equivalent Required Funding minus the Member's cumulative Contribution Credit.
- If the Equivalent Required Funding is less than the Project Agreement Member's cumulative Funding Obligation, a reimbursement will be due from the Authority to the Project Agreement Member equal to the Project Agreement Member's cumulative Contribution Credit minus its Equivalent Required Funding.

14. PROVISION FOR BUY-IN AFTER PHASE 1

During Phase 2, if Class 1 water is available, existing or new Project Agreement Members may increase their participation. In order the maintain equity among Project Agreement Members, additional participation carries a "buy-in" cost equal to the equivalent cost basis at the time that new or additional participation is requested. The equivalent cost basis has been established by the Reservoir Committee as:

Phase 1:	$48.50 per acre-foot
Phase 2A:	$60.00 per acre-foot
Total:	$108.50 per acre-foot

Additional increments may be added as the project progresses through Phase 2.

The buy-in cost for additional/new participation is equal to the Equivalent Cost Basis per acre-foot multiplied by the amount of additional/new participation requested less any reimbursement liability due from the Authority from prior withdrawal/reduction in participation. The buy-in payment will be invoiced upon execution of the Reservoir Project Agreement.

The additional/new participation will be assigned the current round of priority at the time the Reservoir Project Agreement is executed (**Section 6**).

The Authority may utilize the buy-in payments to reduce any outstanding reimbursement liabilities from prior phases.

15. PROVISION FOR PHASE 2 REBALANCING AND TRUE-UP PROCESS

At the conclusion of each incremental Phase 2 agreement, the Authority will declare any change to the Fully Subscribed Project and Project Agreement Members will identify their preferred Participation moving forward in the Project.

With respect to the Fully Subscribed Project, any available Class 1 water will be offered according to the Round 5 sub-priorities (**Section 6**). Project Agreement Members that increase their participation and new Project Agreement Members must "buy-in" to the Project at the current Equivalent Cost Basis (**Section 14**).

With respect to the Fully Subscribed Project, if the total preferred Participation exceeds the Fully Subscribed Project, Project Agreement Members with a proposed participation increase will only receive a pro-rata share of their proposed increase. If the total preferred Participation still exceeds the Fully Subscribed Project, the Participation of Existing Project Agreement Members will be reduced on a "last in / first out" basis according to the participation priorities (**Section 6**) and on a pro-rata basis within the same priority or sub-priority.

Once the final participation is established for each incremental Phase 2 Project Agreement, true-up payments and reimbursements will be determined for each Project Agreement Member:

- The Equivalent Required Funding at the completion of each incremental Phase 2 Project Agreement will be the Equivalent Cost Basis multiplied by the Project Agreement Member's participation.

- If the Equivalent Required Funding is greater than the Project Agreement Member's cumulative Contribution Credit, a true-up payment will be due from the Project Agreement Member to the Authority equal to the Equivalent Required Funding minus the Member's cumulative Contribution Credit.

- If the Equivalent Required Funding is less than the Project Agreement Member's cumulative Funding Obligation, a reimbursement will be due from the Authority to the Project Agreement Member equal to the Project Agreement Member's cumulative Contribution Credit minus its Equivalent Required Funding.

Draft_0028286

~~12.~~16. FAIR SHARE FUNDING STUDY

The Authority will prepare a funding study upon completion of Phase 1 to document each Project Agreement Member's funding contributions, equivalent cost basis and Contribution Credit. The "Phase 1 Fair Share Funding Study" will summarize the conversion and/or retirement of Class 2 water and the true-up of the Class 1 cost basis at the end of Phase 1.

The Fair Share Funding Study will be updated to document changes in Participation, Priority and Equivalent Cost Basis for each Project Agreement Member through completion of Phase 2.

Appendix A – Participating Members and Respective Priority

Sites Reservoir Project
Credit & Reimbursement Policy - Phase 2

	Phase 1 & Phase 2A				Phase 2B				Cummulative Totals	
Hypothetical Examples	Phase 2A Participation (AF)	Phase 1 Contribution Credit ($48.50/AF)	Phase 1 Reimbursement Liability	Phase 2A Contribution Credit ($60/AF)	Participation (AF)	Buy-in Cost ($108.50/AF)	Phase 2B Contribution Credit ($100/AF)	Phase 2A Reimbursement Liability	Contribution Credit ($208.50/AF)	Outstanding Reimbursement Liability
Example 1 - No Change in Phase 2B Participation										
District 1A	5,000	$242,500	-	$300,000	5,000	-	$500,000	-	$1,042,500	-
District 1B	5,000	$242,500	$(187,500)	$300,000	5,000	-	$500,000	-	$1,042,500	$(187,500)
Example 2 - Increase in Phase 2B Participation										
District 2A	5,000	$242,500	-	$300,000	6,000	$108,500	$600,000	-	$1,251,000	-
District 2B	5,000	$242,500	$(187,500)	$300,000	6,000	-	$600,000	-	$1,251,000	$(79,000)
Example 3 - Reduction in Phase 2B Participation										
District 3A	5,000	$242,500	-	$300,000	4,000	-	$400,000	$(108,500)	$834,000	$(108,500)
District 3B	5,000	$242,500	$(187,500)	$300,000	4,000	-	$400,000	$(108,500)	$834,000	$(296,000)
Example 4 - New Participation										
District 4A	0	-	-	-	5,000	$542,500	$500,000	-	$1,042,500	-
District 4B	0	-	$(187,500)	-	5,000	$355,000	$500,000	-	$1,042,500	-

Sites

Topic: **Authority Board Agenda Item 3.4** **2020 July 22**

Subject: **Preliminary Participation Levels**

Requested Action:

Receive status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation.

Detailed Description/Background:

Preliminary Participation Levels:

Soft call results and participation agreement status have been updated and are included in Attachment A. In summary:

- Based on initial results, full subscription can be achieved.
- Based on projected, reduced participation from Sacramento Valley, approximately 13,000 AF may be available. The Reservoir Committee and Authority Board in May 2020 requested that outreach be conducted to try to achieve the 25% goal for Sacramento Valley participation.
- Outside of the Sacramento Valley, current participants identified interest in 5,000 AF and prior participants expressed interest in 10,000 AF of additional capacity, if available.

Outreach and Rebalancing:

Staff has initiated outreach to existing, prior, and new participants in the Sacramento Valley to determine interest in additional capacity. Existing members Colusa County Water District and Westside Water District are in the process of polling for increased participation interest. No prior or new interested parties have stepped forward within the Sacramento Valley at this time. Staff is moving to priority two and three of outreach and will provide an update at the August meeting. As a reminder, new and returning participants must be approved for admission according to Section 10 of the Participation Agreement, which includes a 75% weighted approval at the Reservoir Committee and 75% of Authority Board director approval as well as other requirements.

For reference, the approved priority system is as follows:

1. The goal is total Sacramento Valley participation of ~25%. Priority is given to current, prior and new Sacramento Valley participants in that order.
2. Existing Reservoir Committee participating members (with sub-priorities by seniority)
3. Prior Reservoir Committee participating members (with sub-priorities by exit date)

4. Nonparticipants. Priority for new participants outside of Sacramento Valley.

The process for a participant securing a position in the participation queue involves the following steps:

- Participants submit a letter of intent for new or increased participation prior to September 10, 2020 to secure a position in the queue. The letter should acknowledge the potential financial commitment. Attachment A shows the remaining contributed credit that participants can apply to prior participant payments.
- Staff prioritizes requests based on the approved rebalancing priority system.
- At the September meetings, the Reservoir Committee and Authority Board direct staff to offer capacity to participants in the queue.

Schedule:

The following items will be considered at the Reservoir Committee and Authority Board at their monthly meetings:

- August: Outreach update.
- September: Final participation levels for current members (executed agreements), approval of participation queue, vote to onboard new participants.
- October: Final participation levels for new members (executed agreements), approval of the final Exhibit A to the Participation Agreement, invoices sent for payment.

Prior Action:

June 18, 2020: The Reservoir Committee received a status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation.

May 21, 2020: The Reservoir Committee accepted Exhibit B (2020 and 2021 budget) to the Second Amendment to 2019 Reservoir Project Agreement and directed staff to begin outreach to potential participants based on the proposed priority system to explore interest in Sites capacity, if available.

May 5, 2020: At a Reservoir Committee workshop, staff provided information on changes to the cash call process and schedule in light of potential economic impacts of the COVID-19 natural disaster on participating member agencies. A two-step cash call process including a "Soft Call" and a "Hard Call" will be used to better plan for changes in participation. The due date of the first cash call of $60/AF will be moved two months out to November 1, 2020. The due date of the second cash call will be moved two months out to April 1, 2021 and will be up to $40/AF. The Amendment 2 work will still begin on September 1, 2020.

April 17, 2020: The Reservoir Committee approved the Amendment 2 Work Plan for the purposes of planning cash calls for participants totaling $100/AF,

producing a draft budget exhibit to the participation agreement, and developing consultant task orders.

March 30, 2020: At a joint workshop, staff provided information that included a process overview of task order development; an updated project schedule; cash flows for Reservoir Committee and Authority Board; and preliminary task budgets including assigned resources.

March 19, 2020: Staff provided information on the goals, schedule, cash flow, and preliminary budget allocation by subject assuming a cash call of $100/AF. Staff provided a preliminary cash call schedule of $60/AF due September 1, 2020 and $40 due February 1, 2021.

February 21, 2020: Staff provided information on three possible revenue scenarios and a task list for Amendment 2 (September 1, 2020 and ending December 31, 2021). Staff received direction to continue to develop the $100/AF revenue scenario.

January 17, 2020: Staff provided information regarding the proposed process for developing a work plan. This work plan proposes to focus on improving certainty related to project operations, permitting, and affordability, and to meeting the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive funding.

Fiscal Impact/Funding Source:

The total cash call remains unchanged from the approved Amendment 2 work plan in the total amount of $100/AF. With the Amendment 1B carryover funds it may be possible to reduce the total cash call. However, Staff proposes to keep the first call at $60/AF and reassess the second call currently projected at $40/AF midway through the Amendment 2 work. At that time, any adjustments to the second call can be considered based on the then current projections. Participating members can consider the second call to be an "up to" amount since the Amendment 1B carryover represents previously unanticipated funds for the Amendment 2 work plan.

Staff Contact:

Jerry Brown

Attachments:

Attachment A – Preliminary Amendment 2 Participation Table.

Preliminary Amendment 2 Participation Table
Reservoir Committee

Based on preliminary soft call survey results as of 12pm Wednesday, 7/1/2020

Working Draft, Subject to Change

Participant	Amendment 2 Agreement Status	Phase 2 (2019) Participation Level (Ref, AF)	Participation Level (AF) Staff Recommendation	Variance (AF)	Participation Increase Interest (AF)
Antelope Valley East Kern WA		500	500	0	
Carter Mutual Water Company		300	300	0	
City of American Canyon		4,000	4,000	0	
Coachella Valley Water District		10,000	10,000	0	
Colusa County	Approved	10,000	10,000	0	
Colusa County WD**		11,975	11,000	-975	Possibly
Cortina WD		450	450	0	
Davis Water District		2,000	2,000	0	
Dunnigan WD		2,717	2,717	0	
Desert WA		6,500	6,500	0	
Glenn Colusa Irrigation District		5,000	5,000	0	
LaGrande water district		1,000	1,000	0	
Metropolitan Water District of Southern California		50,000	50,000	0	
RD-108		4,000	4,000	0	
San Bernardino Valley Municipal Water District	Approved	21,400	21,400	0	
San Gorgonio Pass Water Agency		14,000	14,000	0	
Santa Clara Valley WD***		16,000	16,000	0	
Santa Clarita Valley Water Agency		5,000	5,000	0	5,000
Westside W.D.**		15,000	2,000	-13,000	
Zone 7 Water Agency		10,000	10,000	0	
Wheeler Ridge-Maricopa WSD		3,050	3,050	0	
Pacific Resources MWC*		0	0	0	10,000
Total		**192,892**	**178,917**	**-13,975**	**15,000**

**Phase 1 participant, no survey response received. Interest expressed by a responding Phase 2 participant.*

***Indicated possibility for higher participation, lower projected participation level shown*

****Response is pending, full participation assumed by Sites staff*

Sites

Topic: **Authority Board Agenda Item 3.5** **2020 July 22**

Subject: **Organizational Assessment Quarterly Update**

Requested Action:

Review and comment on the July 2020 Quarterly Update of the plan and schedule for addressing the Organizational Assessment (OA) findings and recommendations.

Detailed Description/Background:

The approved OA Report includes findings and recommendations aimed at improving the overall effectiveness of the organization. The Authority Board directed Staff to provide a plan and schedule for addressing the report findings. Staff recommended a plan and schedule, including quarterly progress updates to the Reservoir Committee (RC) and Authority Board (AB). The RC and AB accepted the recommendation at their respective April 2020 meetings. This provides the first quarterly update to the plan and schedule.

Staff reviewed all items in the plan and schedule and generally made the following adjustments to reflect current circumstances:

1. Status column has been completed to reflect the current status for each action.
2. "Target Board Item Date" has been reviewed and updated for some action based on the detailed Project schedule and status of project activities.

Staff continues to work diligently to address the Organizational Assessment findings and recommendations. As reflected in the attached July 2020 update to the plan and schedule, of the 43 total actions, 9 have been completed, 3 are completed and in on-going tacking status, 2 are partially completed, 12 are in progress, 8 will be starting shortly, and 9 are items that have been assigned to the RC and AB to complete (most of these will be accomplished in the Strategic Planning effort).

The next Quarterly Update is scheduled for October 2020. For the October update, staff will be consolidating the matrix to continue tracking only those items that have not been completed and group items by major category to align with 2021 key milestones (i.e., Plan of Finance, Strategic Plan, Permit Discussions, Revised EIR, and Federal/State Involvement).

Prior Action:

April 17, 2020: Accepted plan and schedule for addressing the Organizational Assessment findings and recommendations.

Draft_0028294

November 21, 2019: Darling H20 Consulting, Inc. presented the draft Organizational Assessment.

August 26, 2019: Approved a budget reallocation for the organizational assessment and execution of a sole-source professional services agreement with Darling H2O to perform an organizational assessment.

July 22, 2019: Discussed working on an organizational assessment plan to evaluate the structure of the Sites Project's program management team, Reservoir Committee and the Authority.

Fiscal Impact/Funding Source:

Efforts to address the findings and recommendations are being made within the Amendment 1B and Amendment 2 work plans. No additional funds are needed to support these efforts at this time.

Staff Contact:

Ali Forsythe

Attachments:

Organizational Assessment Matrix – July 2020 Quarterly Update

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
1.1	F	Prepare an analysis of the major regulatory decisions being made regarding flow in the Sacramento River and Delta and determine flow-related permitting strategy with identification of risks.	Permitting strategy memo was prepared by ICF in May 2019 and updated through CDFW meetings in fall/winter 2019. A revised version that will include updates with recent relevant changes is in progress.	Staff will review prior related materials and prepare the analysis identified.	High	October 2020	Ali Forsythe	Starting shortly. Will begin after development of the Revised EIR/EIS project description and identification of the Preferred Project in September.
1.2	E	Prepare an analysis of the major comments received on the draft EIR/S. Identify approach to addressing those comments and working with specific commenters.	• March 29, 2019 – Jacobs provided a memo that included Draft EIR/EIS Comments Matrix, Master Response Topics, Key Comment Letter Summaries, and draft Initial Responses. • April 12, 2019 – Strategy meetings were initiated with ICF, Reclamation and Authority to address overall approach as well as specific topics. Based on these strategy sessions, an outline for the Final EIR/EIS was prepared and an approach for responding to comments, including master responses to comments was identified and forwarded to both CEQA (Authority) and NEPA (Reclamation) legal counsel. • June 5, 2019 – ICF provided master response annotated outlines and commenced preparing responses. In addition, the Authority EPP began meeting with key commenters, (e.g., CDFW, NRDC, Humboldt County, etc.) to clarify issues of concern.	• The work that has been completed to date in responding to comments will be utilized in either the completion of a Final EIR/EIS or in preparing a Recirculated Draft EIR/EIS. Assuming recirculation of the Draft EIR/EIS is the preferred approach for moving forward based on the status of the Value Planning effort, ICF is in the process of preparing a strategy/work plan to be completed in May 2020. • The Authority EPP will also continue outreach to Draft EIR/EIS commenters and Agencies as efforts move forward.	High	• Analysis completed under original work plan and to be updated April 2020 with preparation of EIR/EIS Work Plan • Meetings with specific commenters – ongoing throughout 2020 and 2021	Ali Forsythe	Partially completed. • Revised EIR/EIS Work Plan completed. As part of this effort, all comments were reviewed and approaches to revising the EIR/EIS to address prior comments were identified. • General meetings with some commenters completed. Additional meetings planned for post September 2020.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
1.3	E	Identify legal requirements of the environmental laws that Sites will be required to comply with.	Preliminary list of permit requirements and other approvals were included in the Draft EIR/EIS released in August 2017.	The preliminary list will be updated in the development of the Revised Draft EIR/EIS.	Medium	December 2020	Ali Forsythe	Starting shortly. Will begin after development of the Revised EIR/EIS project description and identification of the Preferred Project in September.
1.4	F	Establish a permitting flow chart with realistic timelines.	In 2019, the Environmental Planning and Permitting team developed and maintained an MS Project schedule.	• Environmental Planning and Permitting team along with the Operations and Engineering teams is currently developing a detailed project schedule focusing on activities thru the end of 2021. • Develop planning / permitting flow chart and add in key dates from schedule effort. • Present summary schedule and flow chart to Res Com and Board and then track progress monthly thereafter.	High	• April 2020 Res Com and Board meetings – Detailed and summary schedule completed along with flow chart • On-going – Track and report on progress	Ali Forsythe	On-going Tracking. • A detailed project schedule that includes activities through the end of 2021 has been developed and was presented to the Reservoir Committee and Authority Board in the "Work Plan for September 1, 2020, to December 31, 2021" at the April 2020 Reservoir Committee and Authority Board meetings. • A summary of the schedule was developed and provided to the Reservoir Committee and Authority Board at the May 2020 meetings. • Tracking will be ongoing.
1.5	E/F	Prepare analysis of the draft EIR/S for use by all of the permitting agencies to issue permits upon the finalization of the EIR/S. Identify schedule for document completion.	A preliminary list of permit requirements and other approvals were included in the Draft EIR/EIS released in August 2017.	• Analysis – As the team works to develop a revised project description and revise the Draft EIR/EIS, the permitting agency comments will be reviewed in response to Action 1.2 and a regulatory agency technical team will be formed in response to Action 2.4. These two efforts will collectively address the analysis request in this action. • Schedule – See Action 1.4 for schedule development.	Addressed thru other Actions	--	--	See Status for Actions 1.2, 1.4, and 2.4.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
1.6	Executive Director	Determine if Water Commission has authority to grant schedule relief. If yes, then formally request schedule relief. If no, then figure out a legislative fix and timing.	The relevant deadlines are specific in the voter approved bond language. The current COVID -19 situation presents an opportunity for possible adjustment.	Work with Legal Counsel, and Gov't Relations Team to review statue in detail and determine options. Action plan being developed.	High	May 2020	Jerry Brown	In-progress. It was determined that the schedule requirements were in the bond language which means it cannot be changed without going to the voters. A joint letter with other WSIP grant recipients was submitted to the CWC for consideration of releasing additional funding sooner. Also, defining what constitutes feasible and 75% local cost share commitment is being pursued.
1.7	C	Prepare an analysis of the pros and cons along with a staff recommendation to present to the governance bodies on whether to spend additional time and money pursuing WIIN Act funding.	Extensive coordination with Reclamation has been conducted to date and Reclamation anticipates providing its final review process of their Feasibility Report in April. Staff has also developed a strategy on how to bring the Federal Feasibility Report in line with the Value Planning effort by next summer (2021).	Staff will prepare the analysis requested and elevate for decision-making to the Reservoir Committee and Board.	High	June 2020	Kevin Spesert	Completed. Federal Legislative Priority and State Legislative Priority were presented at the May and June 2020, Reservoir Committee and Authority Board meetings, respectively.
1.8	--	Determine if there is a viable strategy to phasing, which could allow for additional project partners.	The Value Planning exercise has formulated potential projects around meeting the needs of current participants with the opportunity to expand in the future.	Reservoir Committee/Board will be selecting a preferred project in April. All alternatives have the ability to be phased should the Reservoir Committee/Board chose to do that.	No Action	--	--	--
2.1	F	Develop an interest, science based permitting strategy.	See Action 1.1.		High	October 2020	Ali Forsythe	Starting shortly. Will begin after development of the Revised EIR/EIS project description and identification of the Preferred Project in September.
2.2	F	Determine when appropriate for project staff and governance members to be involved in the permitting process and at what level.	--	This will be addressed in the strategic planning exercise.	--	June 2020	--	Completed. A negotiations approach for permits and operating agreements, which identifies the roles of governance members in the permitting process, was approved by the Reservoir Committee and Authority Board meetings in June 2020.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
2.3	F	Determine if overall project provides a "net environmental benefit" beyond cold water pool in Shasta. If yes, then work to get agency and NGO buy in.	Outside of the Refuge water supply and Delta smelt benefits, net environmental benefits were identified in the WSIP application related to cold water pool management in Shasta. CDFW expressed concerns with using these net environmental benefits to offset impacts in their 2081 permit – requesting "assurances" that benefits would occur.	• Revised net environmental benefits will be determined as part of the development of operational criteria. • Agency and NGO discussions are planned to occur throughout 2020.	High	December 2020	Ali Forsythe	Starting shortly. Will begin with the development of the operations and biological modeling efforts for the Revised EIR/EIS.
2.4	F	Establish a regulatory agency technical advisory committee at the staff level that meets regularly.	A number of meetings were held with CDFW, USFWS, and NMFS in 2019, including the CDFW "60-day" process that included technical, management, and executive level meetings.	A regulatory agency technical team will be established in spring / early summer 2020 as environmental planning and permitting activities are restarted. In addition, the internal Sites team (EPP, Integration and ICF) hold regularly established coordination and work planning meetings.	High	June 2020	Ali Forsythe	Completed. Two groups were established for near-term activities and discussed at the Reservoir Committee and Authority Board meetings in June 2020.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
3.1	D	Quantify and get agreement from the state and feds as to what the benefits are to an integrated operation with the SWP and CVP.	Modeling of Sites Reservoir operations scenarios have assumed an integrated operation of the SWP and CVP. Numerous discussions have occurred with DWR and Reclamation on operations criteria and benefits.	• Development of a revised operational scenario is scheduled to be completed in June 2020. • Modeling and analysis to quantify benefits of the revised operational scenario is scheduled to be completed in December 2020. • Sites staff will be working with Reclamation and DWR throughout the development and analysis of the revised scenarios to both quantify and obtain agreement on criteria and resulting benefits of an integrated operation with the SWP and CVP. • Discussions with SWP and CVP should be memorialized in draft agreements and the Operations Plan, Version 1.0 in 2020.	High	December 2020	Ali Forsythe	Starting shortly. Will begin with the development of the operations and biological modeling efforts for the Revised EIR/EIS.
3.2	C	Establish a strategy to get state and federal agencies to be storage investors.	• The State is committed to being an investor in the project through the WSIP program. • Federal participation is addressed in Action 1.7.	• Build schedule to meet the current requirements of the CWC WSIP to maintain state current level of investment • Continue to position with CWC to get additional funding through WSIP should funds come available. • Working with government affairs work group to position for potential funds in a November state water bond. • Address Federal participation through Action 1.7.	High	June 2020	Kevin Spesert	See Status for Action 1.7.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
4.1	H	Review all facility planning previously completed by DWR to make certain that there are not missed opportunities to integrate new facilities with existing facilities.	The Value Planning effort has taken a close look at project facility needs and costs and has re-evaluate all of the previously identified facilities.	Complete the Value Planning Report and adopt a new Preferred Alternative.	High	April 2020	Jerry Brown	Completed. Value Planning Report was adopted by the Reservoir Committee and Authority Board at their April 2020 meetings. Additional review of applicable facilities is included in the scope of effort for Amendments 1B and 2.
5.1	B	Once a schedule is developed, then make certain to include all anticipated Board decisions that are critical path to project development.	The work plan through December 2021 includes a detailed schedule that is nearing complete and will serve as the basis for adding in key board decision dates.	See Action 1.4	High	May 2020	Jerry Brown	See Status for Action 1.4 since critical path is currently environmental planning and permitting.
5.2	Board / Res Comm	Once a new schedule is agreed to, governance members need to dedicate enough time to keep up with the agreed upon pace of this project.	--	See Action 1.4	--	--	--	See Status for Action 1.4.
5.3	Executive Director	Get materials to governance members at least a week in advance that clearly identifies decisions to be made, any analysis being made in support of the authority staff recommended decisions, and other options that were considered.	Staff have previously developed templates for Reservoir Committee and Authority Board materials.	• Actions to implement a more inclusive and effective policy making process have been initiated. • Progress to be evaluated as part of strategic planning exercise.	High	April 2020 (with further adjustments thereafter)	Jerry Brown	Completed. • Adjustments to the Reservoir Committee and Authority Board meeting agendas and staff report format have been made in response to this Action. • Continuous improvements will be made based on feedback and as identified by members and Authority Agents.
5.4	Board / Res Comm	As part of the new schedule, each governance member should identify which decisions warrant staff making a presentation to their individual agency to make certain that they are in concurrence with the direction the project tis moving.	--	--	--	--	Fritz Durst / Thad Bettner	-- Currently, the focus is on completing the cash call process.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
5.5	--	Use joint Board workshops and individual agency meetings to walk thru assumptions and anticipated outcomes so that when a decision comes to governance members for approval, the information will not be new.	Staff has started to utilize joint Board / Reservoir Committee workshops in 2019.	• Staff will continue to propose and utilize joint Board / Reservoir Committee workshops as appropriate for specific topics. • Individual agency meetings may be initiated by staff or by an individual agency and tracked thru the process developed under • No additional specific action will be taken to address this Action.	--	Ongoing	--	In Progress. Workgroups and committees are meeting with the necessary frequency to support effective decision making at the Reservoir Committee and Authority Board level.
5.6	C	Review the costs and deliverables accrued to date and tie future cash calls to anticipated deliverables.	Staff worked to tie cash calls to deliverables in Amendment 1B efforts. However, some activities are more ongoing business that do not necessarily result in a deliverable. Draft Amendment 2 Workplan includes deliverables by service area and explains areas where there may not be a "deliverable".	• Draft 2019 Annual Report prepared addressing items such as accomplishments and expenditures in 2019. Waiting review and comments from Authority and staff will finalize April 2020. • Draft Amendment 2 will be finalized end of April 2020.	High	April 2020	Joe Trapasso	In-progress. • 2019 Annual Report was approved by the Reservoir Committee and Authority Board at their April 2020 meetings. • Reservoir Committee and Authority Board approved the Amendment 2 Work Plan at their April 2020 meetings. • Amendment 2 Task Orders are expected to be reviewed at the August 2020 Reservoir Committee and Authority Board meetings.
6.1	A	Determine process and schedule to deliver cost per acre-foot and long-term debt information to project investors.	Cost per acre-foot has historically been developed and shared with members. In late 2019, staff developed a "Cash Flow" tool to help show long-term debt information.	• Continue to present cost per acre-foot when discussing affordability. • Update Cash Flow tool periodically as assumptions change or become outdated.	High	• April 2020 – Updates as a result of Value Planning efforts • Future – Periodic updates as changes occur	Jerry Brown	Completed. • The Value Planning Report, which included updated cost per acre-foot, was adopted by the Reservoir Committee and Authority Board at their April 2020 meetings. • Costs will be refined with the Plan of Finance expected to be initiated in September 2020 and be completed in summer 2021.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
7.1	--	Determine process and schedule to deliver how long-term costs of this project compare to other sources of water.	Information on costs of other sources of water is difficult to obtain. In addition, the "value proposition" for each member agency will be different depending on a number of factors including ag, M&I uses, water located north or south of Delta, length of agreement, and risks.	As this is different for each member and information is difficult to obtain, no additional work is proposed.	Low	--	--	--
8.1	A	Prepare a financial plan that lays out the potential sources of funding including California IBank.	• The scope and budget for the development of a financial plan was deferred by the Reservoir Committee / Board to a later date. • Exploratory conversations with IBank date back to September 28, 2017. Changes to their program would be needed for members to use this source.	• Develop finance plan as part of Amendment 2. • Continue to identify potential grant and other funding sources - at both the state and federal levels - that could reduce the project's finance costs. • Continue to work with Water Commission on timing of additional Prop 1 funds becoming available, since the original funding amount was less than the amount they deemed Sites to be eligible to receive.	Medium	July 2021	Joe Trapasso	Starting shortly. This effort is planned as part of Amendment 2.
9.1	F	Prepare a Board briefing on the comparative costs of mitigation from comparable projects to help determine a level of reasonableness.	Mitigation cost technical memorandum was prepared by CH2M Hill in 2016. Additional mitigation cost reviews were prepared in October of 2019 and February 2020 for the Value Planning Process.	Mitigation planning and a class 4 cost estimate are scoped to occur in Amendment 2 (late 2020/early 2021).	High	Late 2020	Ali Forsythe	In Progress. • The Value Planning report included a review of the mitigation cost estimate and comparison to other projects. • Further refinement of these estimates will occur as part of Amendment 2.
10.1	Executive Director	Determine process and schedule to deliver information regarding the cost of storage versus the cost of yield in the context of developing a tiered pricing approach.	--	The approved Storage Policy addresses this issue. Additional work is needed to allocate costs according to storage benefits.	Medium	Nov 2020	Jerry Brown	Starting shortly. This effort will be part of the Plan of Finance development.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
11.1	B	Once the governance members agree on a project schedule then have staff only focus on scope thru permitting and come up with a budget.	Work Plans are developed by staff and approved by the Authority Board. These Work Plans identify specific tasks and priorities and Authority staff work to execute these plans as written.	Draft Amendment 2 work plan completed and will be reviewed and commented on by Authority in April 2020. Staff will make necessary changes and finalize. Focus activities thru December 2021 on those activities identified in the Amendment 2 Work Plan.	High	April 2020	Joe Trapasso	See Status for Action 5.6.
12.1	Board / Res Comm	Hold team building sessions to better understand how governance members process information and make decisions with the anticipated outcome for governance members and Authority staff to agree upon their respected roles and responsibilities.	--	--	--	--	Fritz Durst / Thad Bettner	In Progress. This will be addressed as part of the strategic planning session in August 2020.
12.2	Executive Director	Authority staff should work to make Board meetings more of a final sign off or endorsement of a policy decision or budget approval.	This Action is similar to Action 5.5.	See Action 5.5.	--	Ongoing	Jerry Brown	See Status for Action 5.5.
13 (13.1 to 13.5)	B	Staff will document and track all governance member questions and provide responses to the governance members.	--	Staff initiated work on a tracking tool to track member questions and information requests and update it on a regular basis.	High	Ongoing	Joe Trapasso	In-progress. Staff are documenting all questions and reviewing the list internally to ensure member questions are addressed and appropriate follow up is completed.
14.1	F	Concerns with the structure and information flow from the CDFW discussions.	--	• Hold more frequent Environmental and Permitting Work Group meetings to provide updates on the CDFW efforts. • Continue to provide updates at the Operations Work Group meetings on the CDFW efforts.	High	Throughout 2020	Ali Forsythe	In-progress. • Discussions with CDFW have been reinitiated to ensure the coverage within the Revised Draft EIR is sufficient for permitting purposes. • A check-in schedule with the CDFW Director has been prepared.
15.1	Executive Director	Prepare a discussion paper on what lessons have been learned by other similar projects that can potentially be adopted for use by the Sites Project.	--	Staff continuously monitors other projects for lesson learned and applies them to this Project as appropriate.	Low	Ongoing, verbal	Jerry Brown	In-progress.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
15.2	Board / Res Comm	Create a joint strategic plan for the Reservoir Committee and Board.	--	Issue a Request for Proposal for a Strategic Plan effort and contract with a Strategic Planner.	High	December 2020	Jerry Brown	In-progress. • A contract for strategic planning services was approved by the Reservoir Committee and Authority Board at their May 2020 meeting. • A strategic planning session is planned for August 2020.
16.1	C	Create a strategy to get Reclamation to the table to describe what the local investment will do for their contracts.	See Action 1.7.	Such as strategy will be coordinated with Action 1.7.	High	June 2020	Kevin Spesert	See Status for Action 1.7.
16.2	B	Explore the concept of beneficiary pays to accurately account for the benefits received by each investor and consider a tiered pricing system.	The concept of beneficiary pays has been discussed a number of times. Once a Preferred Project has been selected and additional design / use / beneficiary information is better understood, the concept of beneficiary pays can be explored further. See Action 10.1.	• Explore the concept of beneficiary pays as design and cost information progresses. Refine and have an agreed upon approach for Phase 3 (post 2021). • Governance considerations including potential tiered pricing will be discussed in the strategic planning exercise.	Medium	Late 2020 / Mid 2021	Joe Trapasso	See Status for Action 8.1 and 10.1. This will be addressed as part of the Plan of Finance development.
16.3	Board / Res Comm	Formally request south of Delta investors to identify ways of keeping north of Delta ag in the project.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--
17.1	B	Perform analysis to validate if increased partnerships would result in the project becoming more affordable.	--	Dependent on approval of upcoming funding request results.	Medium	April 2020	Joe Trapasso	See Status for Action 6.1
18.1	Board / Res Comm	Celebrate and memorialize the cooperative relationship between north of Delta and south of Delta participants on the Project.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--
19.1	Board / Res Comm	Formalize the roles and responsibilities between the Res Comm and Board and look for ways to reduce duplication.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--
20.1	Board / Res Comm	Complete analysis of the legal requirements for the Res Comm and Board governance. Consult with other Prop 1 recipients that have multiple partners to see how they are set up.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--
20.2	Board / Res Comm	Depending on the results of Action 21.1, have a policy level discussion to decide if the Res Comm and Board make-up can be and should be changed.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
21.1	Board / Res Comm	Rules for membership on the Authority Board are not understood. Get a legal and policy review of the composition of the Board to inform a discussion and decision on Board composition.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--
22.1	Executive Director	Prepare a legal analysis of what are appropriate materials to be covered in closed session.	--	The analysis will be prepared and presented to the Res Comm and Board.	High	August 2020	Scott Kuney	On schedule.

Sites

Topic: **Authority Board Agenda Item 3.6** **2020 July 22**

Subject: **Strategic Planning Session Agenda**

Requested Action:

Review and comment on the Strategic Planning Session Draft Agenda.

Detailed Description/Background:

The Catalyst Group was hired by the Authority to prepare a strategic plan and facilitate a strategic planning session. The consultant has reviewed the organizational assessment findings and has conducted interviews with coordinating committee members (Reservoir Committee (RC) and Authority Board (AB)) and key staff. Based on this input, the attached draft agenda has been prepared for the strategic planning session and the consultant would like the RC's feedback before finalizing pre-meeting activities and plans for the day.

In addition, before the meeting, the Catalyst team will be soliciting additional input regarding the strategic plan through an online survey to be distributed to the Authority Board, Reservoir Committee, agents, and select consultants. The purpose of the joint workshop is to discuss and affirm the Authority's vision, mission, values, goals, and objectives.

Currently, the strategic planning session is scheduled to occur Thursday, August 13, 2020. Due to uncertainties of the COVID situation, accommodations were attempted for a north and a south meeting location along with virtual meeting accommodations. However, only a north location has been secured at this time.

Staff wanted to hold the meeting in-person but sees the upsides of having the meeting sooner in line with ongoing project activity outweighing the downsides of waiting for the COVID situation to pass. The consultant will have a live facilitator and video capabilities at each facility. The consultant is also evaluating options for making the virtual participation as interactive as possible. This will be a joint meeting of the RC and the AB and members will have the option of attending in the manner they are most comfortable with.

The strategic plan document will be written following the session and a draft is scheduled to be delivered in September 2020.

Fiscal Impact:

Sufficient funds for the strategic plan and facilitation are included in the Amendment 1B work plan. Funds and contract authority previously authorized by the board for Catalyst and Darling H2O to assist with strategic plan implementation are being held for these activities.

Prior Action:

May 27, 2020: Approved a consulting contract with The Catalyst Group for facilitation services in an amount not to exceed $50,000 and approve a time extension through December 31, 2020 for the existing contract with Darling H2O.

April 22, 2020: Approved the Organization Assessment Report as follows: the plan and schedule for addressing the report findings and recommendations, the scope, schedule and budget for strategic planning facilitation services and release a Request for Proposals for strategic planning facilitation services.

December 20, 2019: Approved Darling H20 to expand the scope of work to support the recruitment of a facilitator for Strategic Planning.

November 21, 2019: Darling H20 Consulting, Inc. presented the draft Organizational Assessment.

August 26, 2019: Approved a budget reallocation for the organizational assessment and execution of a sole-source professional services agreement with Darling H2O to perform an organizational assessment.

July 22, 2019: Discussed working on an organizational assessment plan to evaluate the structure of the Sites Project's program management team, Reservoir Committee and the Authority.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Proposed Draft Agenda.

Joint Strategic Planning Workshop

8:30 **I. Welcome, Agenda Overview, Introductions — Jerry Brown & Charles Gardiner**

Workshop Purpose: Identify & affirm Authority **vision, mission, and values** and **project and organizational goals** to achieve our mission.

Objectives:

- Review and affirm Vision, Mission, and Values statements.
- Identify, modify, and affirm the high-level goals to achieve an *approved, funded project*.
- Identify, modify, and affirm *organizational* needs to lead the project.

Introductions: Board and Reservoir Committee members introduce themselves and state their objectives for the day.

Protocols: Review meeting process guidelines

8:50 **II. Review Strategic Plan Intent, Content & Progress to Date — Facilitator**

Strategic plan purpose and timeframe:

- Sets vision, mission, values, and broad project goals and organizational....
- Will be used to....
- To be addressed today....and in future work.

Key Findings: Review key findings emerging from staff and Coordinating Committee interviews/meetings.

Discussion: Q&A on Strategic Plan, findings, and agenda.

9:15 **III. Review and Affirm Strategic Plan "Vision, Mission & Values" — Facilitator**

10:20 ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ **BREAK 10 mins** ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~

10:30 **IV. Review & Affirm Content of the Strategic Plan Goals & Objectives — Facilitator**

Goals and Objectives: Present, discuss, and affirm 3-5 strategic plan goals for the project and organization.

11:50 **V. Review Work Task and Break into Work Groups — Facilitator**

12:00 ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ **Working Lunch** ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~

12:15 **VI. Breakout Session #1 – Identify Core Challenges/Needs for 2-3 Goals (Breakout Group for each Goal)**

What is needed to achieve the high-level project goals – approaches, relationships, teams, knowledge, deliverables, etc.?

1:30 **VII. Breakout Session #2 – Identify Challenges/Needs for the Organizational Goal(s) (2-3 breakouts)**

How does the organization need to grow and develop to own and lead the project?

2:15 **VIII. Reconvene as Full Group – Summarize Outcomes & Agree on Broad Themes**

Reports from breakout groups. Refinement and direction to work groups/staff to refine the goals and develop implementation strategies.

2:55 **Next Steps & Adjourn**

DRAFT

Topic: **Sites Reservoir Project, Phase 2**

Subject: **Monthly Status Report** Report Period: **2020 June**

Monthly Status Summary:

The development of the Sites Project continues to make progress in the critical areas including the following activities:

WSIP Funding:

- Meetings were conducted with CWC staff to evaluate administrative actions that could be taken to accelerate early funding to the project. Of these measures, release of retention has been authorized and a revised scope of work that redistributes funds within existing tasks is being reviewed. Combined these actions would accelerate approximately $2-$3M of state funding to the project in the Amendment 2 work plan that was not previously anticipated. A third action to temporarily allow higher state cost share is under consideration as well. Staff continue to pursue further definition from CWC on the 1/1/2021 requirements for feasibility and 75% local cost share commitment.

WIIN Act Funding:

- See "Coordination with Reclamation" section below.

USDA Funding:

- No update.

Engineering:

- Engaged PG&E and WAPA in power delivery discussions.
- Continued feasibility analysis and design of project alternatives.
- Continue supporting data needs for preparation of the Project Description.

Coordination with Reclamation:

- Began coordinating with Reclamation for in-kind work associated with Geologic & Geotechnical exploration efforts in support of the feasibility design.
- Continued to work with Reclamation planning and environmental teams on the National Environmental Policy Act approach, including identification of schedule for Reclamation review process assuming joint environmental document.
- Provided updated materials for Financial Assistance Agreement application for WIIN Act funding.

Environmental Planning and Permitting:

- Continued development of the project description for use in the Revised Environmental Impact Report / Environmental Impact Statement (Revised EIR/EIS) and the Biological Assessment.

- Finalized the Revised EIR/EIS Work Plan. The Revised EIR/EIS Work Plan identifies the approach and technical work (environmental, operations and engineering) that will be needed to support the analysis performed under Amendment 2.
- Updated the CEQA project objectives in response to feedback to support alternatives selected for the Revised Draft EIR/EIS analysis.
- Completed approach to permit and agreement negotiations for Environmental Planning, Permitting and Operations.
- Continued technical discussions and planning activities for the water right permitting and a 2020 initial meeting with state Board Staff
- Completed approach for Regulatory Agency Technical Working Group.
- Continued data exchange, water quality and geotechnical small technical working groups to facilitate development of those project components for use in the Revised EIR/EIS, Biological Assessment and Sites Feasibility Report.
- Continued technical discussions and planning activities for state and federal Endangered Species Act and Clean Water Act permitting.

Operations:

- Refined negotiations approach for working on operational agreements with the Department of Water Resources and the Bureau of Reclamation.
- Progressed the development of operations criteria that will be built into the models for use in the Revised EIR/EIS and Biological Assessment. Criteria in development include determining the modeling baseline, modeling of Shasta exchanges, diversion criteria, water demand, and coordination with the State Water Project.

Stakeholder Engagement, Public Outreach & Real Estate:

- Planning and logistics for the July 14th & 15th Sites Town Hall meetings.
- Facilitated a project update meeting for the Water Education Foundation.
- Continued engagement and outreach efforts with landowners, local community members, state and federal elected officials, non-governmental organizations and coalitions of regional and statewide organizations to introduce the Value Planning Report and the new "rightsized" project.
- Responded to landowner requests for project information, facilitated coordination activities with local government agencies and organizations and planned for future landowner, stakeholders and general public outreach activities and events.

Program Management & Administration:

- Provided update to Reservoir Committee and Authority Board on project rebalancing process.
- Supported WSIP Funding Strategy and options for leveraging State Funds via coordination meetings with Water Commission Staff.
- Implemented process and templates for Amendment 2 Work Plan Task Orders for service area providers.

Draft_0028311

To: Marcia Kivett [MKivett@sitesproject.org]; RWelsh@usbr.gov; MDietl@usbr.gov; MMosley@usbr.gov; DBader@usbr.gov; DVanRijn@usbr.gov; Nwolder@usbr.gov; RDavis@usbr.gov; kkennedy@usbr.gov; dsumer@usbr.gov; Board Clerk [boardclerk@sitesproject.org]; dgonsalves@cityofsacramento.org; boardclerk@countyofcolusa.com; Donald.bransford@gmail.com; gevans@countyofcolusa.org; fritz.durst@gmail.com; 1cjm@frontiernet.net; lmcdaniel@countyofglenn.net; jsutton@tccanal.com; jdparker@frontiernet.net; glallen@surewest.net; Jsharris@cityofsacramento.org; logan@canalfarms.com; MJAzevedo@countyofcolusa.org; sean@dohertyfarms.com; dkorchards@frontiernet.net; jviegas@countyofglenn.net; Zachary@CanalFarms.com; jalpine@hotmail.com; asanger@cityofsacramento.org; SchmitzK@SacCounty.net; PetersonMi@SacCounty.net; jamie@tnpfarms.com; greg@greatrice.com; ted@westerncanal.com; Jim Watson [jwatson@sitesproject.org]; Kevin Spesert [kspesert@sitesproject.org]; Joe Trapasso [jtrapasso@sitesproject.org]; Rob Thomson [rthomson@sitesproject.org]; Alicia Forsythe [aforsythe@sitesproject.org]; Lee.Frederiksen@hdrinc.com; Yolanda Tirado [ytirado@sitesproject.org]; skuney@youngwooldridge.com; Jeff Kivett [JKivett@BrwnCald.com]; JP Robinette [JRobinette@BrwnCald.com]; charris@brwncald.com; Boling, Robert M. [Robert.Boling@hdrinc.com]; Cathy.Westcot@hdrinc.com; Erin.Heydinger@hdrinc.com; John Spranza (john.spranza@hdrinc.com) [john.spranza@hdrinc.com]; laurie.warner.herson@phenixenv.com; connermcdonald@gmail.com; blakevann@vannbros.com

CC: Kayla Mendonca [kmendonca@gcid.net]; Thad Bettner [tbettner@gcid.net]; Heather Dyer (heatherd@sbvmwd.com) [heatherd@sbvmwd.com]; SBigley@roseville.ca.us; Tjoseph@roseville.ca.us; JTMarks@roseville.ca.us; Stephanie Kauss [SKauss@cityofsacramento.org]

Attachments: 06-02 Value Planning Presentation.pdf; 06-03 Work Plan Presentation.pdf; 06-04 Extending to Current Participation Agreement Presentation.pdf; Authority Board Meeting Teleconferencing Information - Public.docx; Authority Board_Agenda Packet.pdf

Location: Sites Project Office, 122 Old Hwy 99W, Maxwell, CA 95955

Start: 3/25/2020 1:30:00 PM
End: 3/25/2020 4:00:00 PM

Recurrence: (none)

Required Attendees: RWelsh@usbr.gov; MDietl@usbr.gov; MMosley@usbr.gov; DBader@usbr.gov; DVanRijn@usbr.gov; Nwolder@usbr.gov; RDavis@usbr.gov; kkennedy@usbr.gov; dsumer@usbr.gov; Board Clerk; dgonsalves@cityofsacramento.org; boardclerk@countyofcolusa.com; Donald.bransford@gmail.com; gevans@countyofcolusa.org; fritz.durst@gmail.com; 1cjm@frontiernet.net; lmcdaniel@countyofglenn.net; jsutton@tccanal.com; jdparker@frontiernet.net; glallen@surewest.net; Jsharris@cityofsacramento.org; logan@canalfarms.com; MJAzevedo@countyofcolusa.org; sean@dohertyfarms.com; dkorchards@frontiernet.net; jviegas@countyofglenn.net; blakevann@vannbros.com; Zachary@CanalFarms.com; jalpine@hotmail.com; asanger@cityofsacramento.org; SchmitzK@SacCounty.net; PetersonMi@SacCounty.net; jamie@tnpfarms.com; greg@greatrice.com; ted@westerncanal.com; Jim Watson, General Manager; Kevin Spesert (kspesert@sitesproject.org); Joe Trapasso; Rob Thomson, Asst. GM; Ali Forsythe; Lee.Frederiksen@hdrinc.com; Yolanda Tirado; skuney@youngwooldridge.com; Jeff Kivett; JP Robinette; charris@brwncald.com; Boling, Robert M.; Cathy.Westcot@hdrinc.com; Erin.Heydinger@hdrinc.com; John Spranza (john.spranza@hdrinc.com); laurie.warner.herson@phenixenv.com

Optional Attendees: Heather Dyer (heatherd@sbvmwd.com); SBigley@roseville.ca.us; Tjoseph@roseville.ca.us; JTMarks@roseville.ca.us; Stephanie Kauss

Dear Authority Board Members, Alternates and Public Participants,

Board members, please refer to my email for closed session teleconference information.

Please see the attached:

- Teleconferencing Information.
- Complete Agenda Packet.
- Agenda Item 6-2, Value Planning Presentation.
- Agenda Item 6-3, Updated Work Plan Presentation.

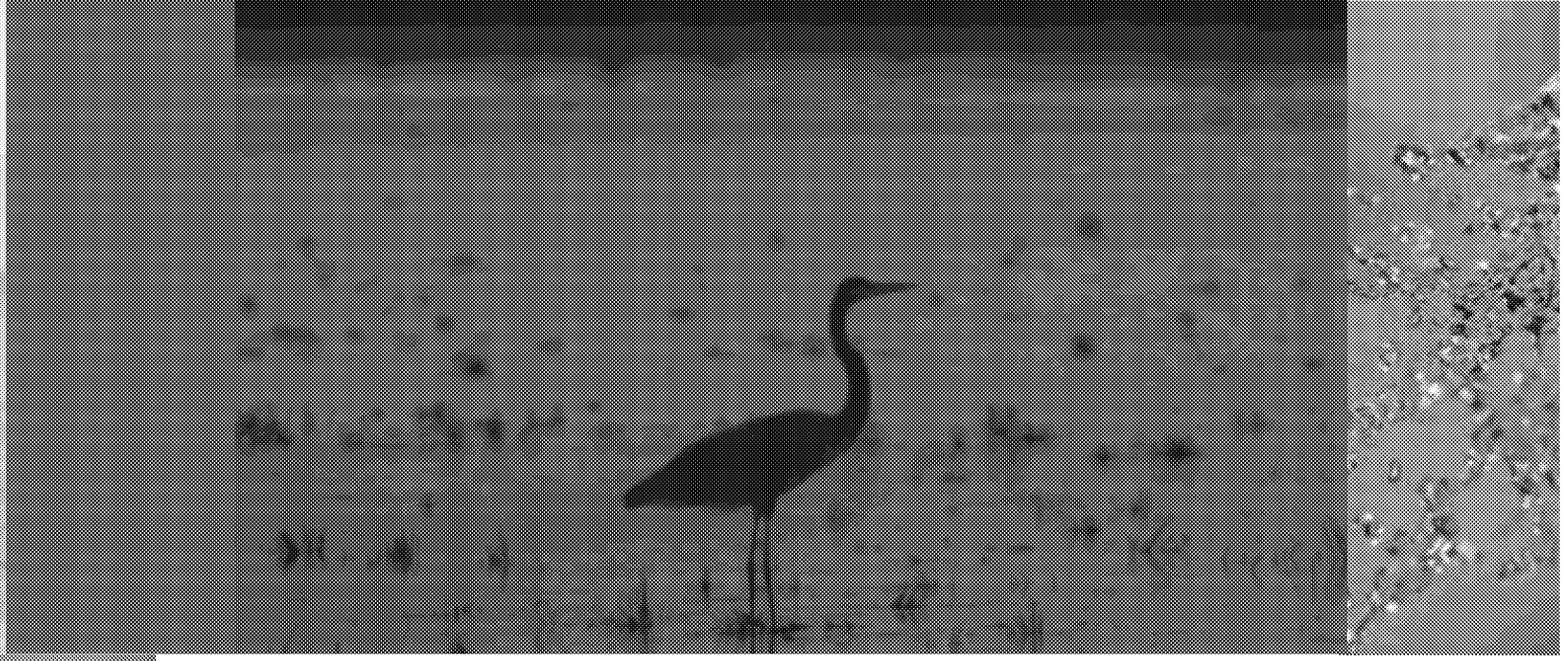
- Agenda Item 6-4, Extending to Current Participation Agreement Presentation.

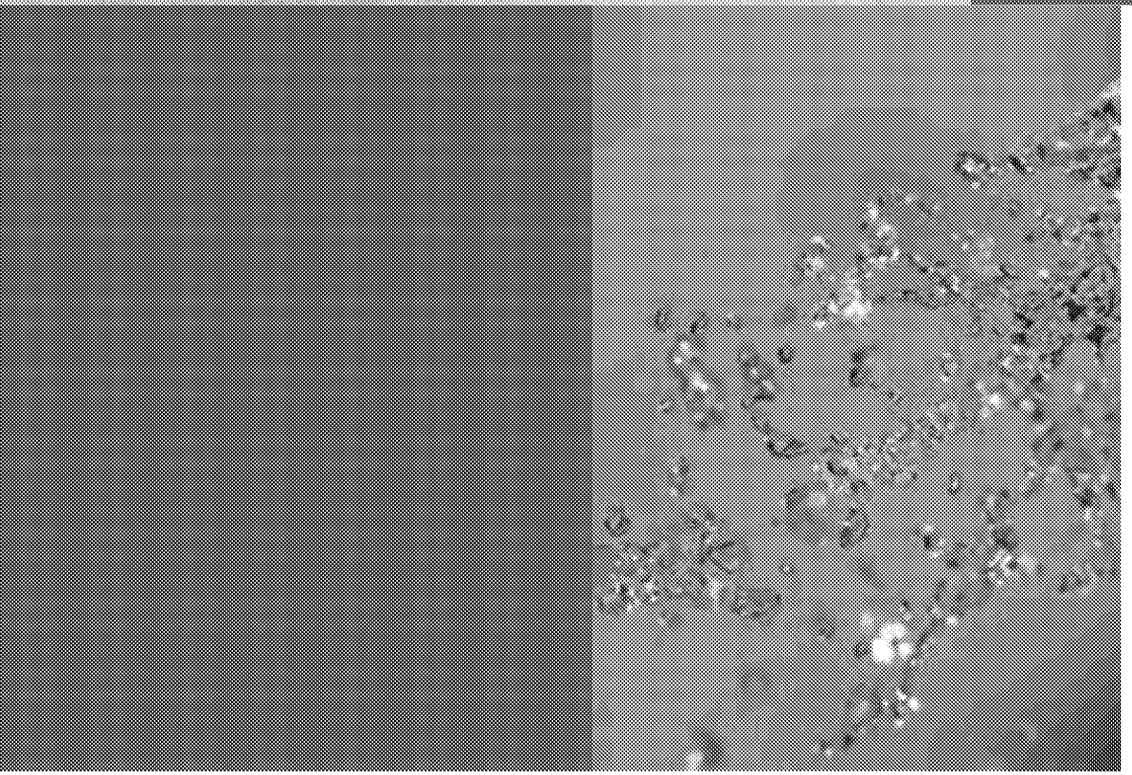
If you would like to log in early to the WebEx location, it will be up and running at 12:30 to work out any issues.

Public Teleconference Line – 1-844-531-9388; code 739 386 447
Public WebEx (Screen Share): https://hdrinc.webex.com/hdrinc/j.php?MTID=m00692b0f3ec0c61996a745ae99cf29f3

When we reach Agenda Item 10, those members attending the closed session will hang up the public line and dial the Closed Session phone number provided to you in an email. This phone number is for members and select staff only and does not include screen sharing. We will then go back to the public line after Closed Session for a report-out.

Marcia Kivett
Sites Project Admin
Phone: 561.843.9740
Email: mkivett@sitesproject.org
Web: www.SitesProject.org
P.O. Box 517
122 Old Hwy 99W
Maxwell, CA 95955





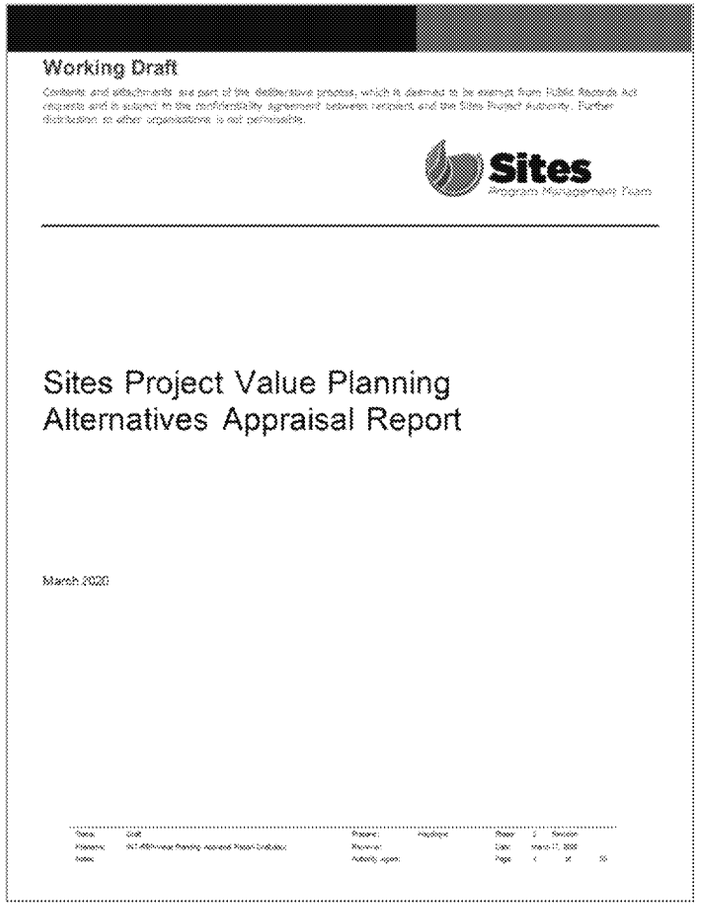
March Authority Board 6.4: Extending to Current Participation Agreement

March 2020

Sites
Program Management Team

Proposed Home Board Package

- Amended Phase 2 participation agreements with updated work plan
- Value Planning Report
- Draft Storage Policy
- 2019 Annual Report
- 4-Page Prospectus
- Power Point template for presentations to home boards


Working Draft

Contents and attachments are part of the deliberative process, which is deemed to be exempt from Public Records Act requests and is subject to the confidentiality agreement between recipient and the Sites Project Authority. Further distribution to other organizations is not permissible.

Sites
Program Management Team

Sites Project Value Planning
Alternatives Appraisal Report

March 2020

Are there additional things you need for your home board?

Sites
Program Management Team

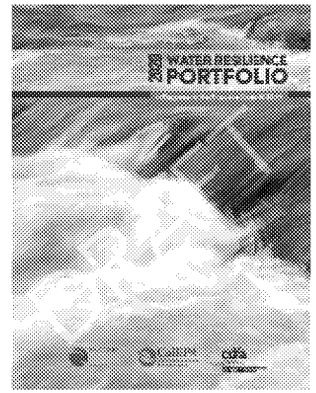
© 2019 HDR, all rights reserved

Sites Reservoir Project Executive Prospectus

March 2020

HIGHLIGHTS OF WHAT HAS BEEN ACCOMPLISHED TO DATE

- Named in the Governor's Water Resiliency Plan
- Reduced the construction cost by over $2B through the value planning process
- Leveraged your investment dollars against other federal and state dollars. For every dollar you invested we stretched it into $1.50
- Honed in on permitting criteria
- Adopted storage policy that you can use to meet individual investor needs



Additional Investments	
BOR WIIN Act	$6M
USDA Low Interest Rate Loan	$439M
Prop 1	$816M

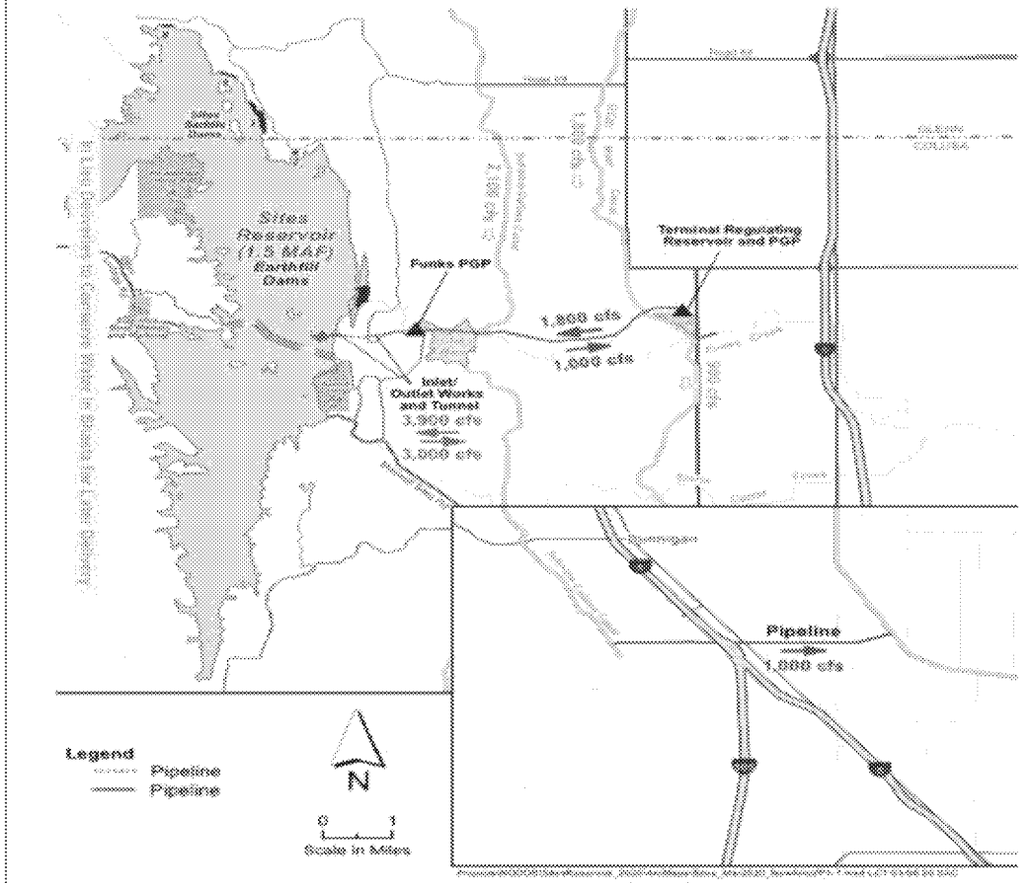
CURRENT PARTICIPATION

Member	Reservoir Participation (AFY)
Public Water Agencies	
North of Delta	52,142
South of Delta	140,750
Subtotal Public Water Agencies	192,892
State of CA (WSIP)	~40,000
Total Requirement	~230,000

Sites

Draft_0028316

VALUE PLANNING



Leveraged federal dollars to do geotech explorations in 2019

Draft_0028317

ENVIRONMENTAL PLANNING & PERMITTING

- Plan to Recirculate EIS

Criteria	DIVERSION CRITERIA CONSIDERED Scenario A		Scenario B	
Reservoir Size	1.5 MAF		1.5 MAF	
GCC Maintenance Window	2 weeks (Jan/Feb)		2 weeks (Jan/Feb)	
Wilkins Slough Bypass Flow	8,000 cfs		8,000 cfs April/May; all other times, 5,000 cfs	
Fremont Weir Notch	Prioritize the Fremont Weir Notch, Yolo Bypass preferred alternative, flow over weir within 1%		Prioritize the Fremont Weir Notch, Yolo Bypass preferred alternative, flow over weir within 5%	
Flows into the Sutter Bypass System	No restriction due to flow over Moulton, Colusa, and Tisdale Weirs		No restriction due to flow over Moulton, Colusa, and Tisdale Weirs	
Freeport Bypass Flow	Modeled WaterFix Criteria (applied on a daily basis) Pulse & Post-Pulse Protection (applied on a moving 7-day average) Pulse = Oct-Mar (35,000 cfs offramp) Post-Pulse (3 levels) = Oct-Jun Level 1 starts Oct 1st		Modeled WaterFix Criteria (applied on a daily basis) Post-Pulse Protection (applied on a moving 7-day average) Post-Pulse (3 levels) = Jan-Mar Level 2 starts Jan 1st Level 1 is initiated by the pulse trigger	
Net Delta Outflow Index (NDOI) Prior to Project Diversions	44,500 cfs between March 1st and May 31st		44,500 cfs between March 1st and May 31st	
Potential Range of Diversions to Fill Sites (Average)	Lower (Estimate) 189 TAF	Upper (Modeled) 209 TAF	Lower (Estimate) 242 TAF	Upper (Modeled) 272 TAF

OPERATIONS

Year Type	1,000 cfs Release Capacity (TAF)
Wet	116
Above Normal	286
Below Normal	273
Dry	382
Critically Dry	237
Long Term Average	**243**

Sites

Draft_0028318

AFFORDABILITY

- Continue to advance beneficiary pays concept

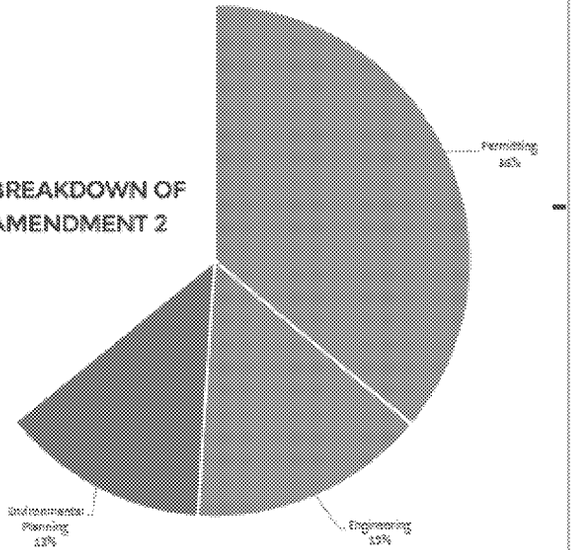
Annual Repayment Costs per Acre Foot of Release	
Reservoir Size (MAF)	1.5
Project Cost (2019$, billions)	$3.0
Annualized AF/year Release (TAF)	243
PWA Annual Costs During Repayment Without WIFIA Loan (2020$, $/AF)	$648
PWA Annual Costs During Repayment With WIFIA Loan (2020$, $/AF)	$598

NEXT STEPS

- Workplan Highlights include
  - Operations/SWP/CVP Exchange Refinements
  - Advancing Permitting Certainty
  - Recirculation of Draft EIS
  - Feasibility Study
  - State and Federal Grant Administration
  - Continue to strengthen community and landowner relationships
  - Develop plan to advance the project past 2021

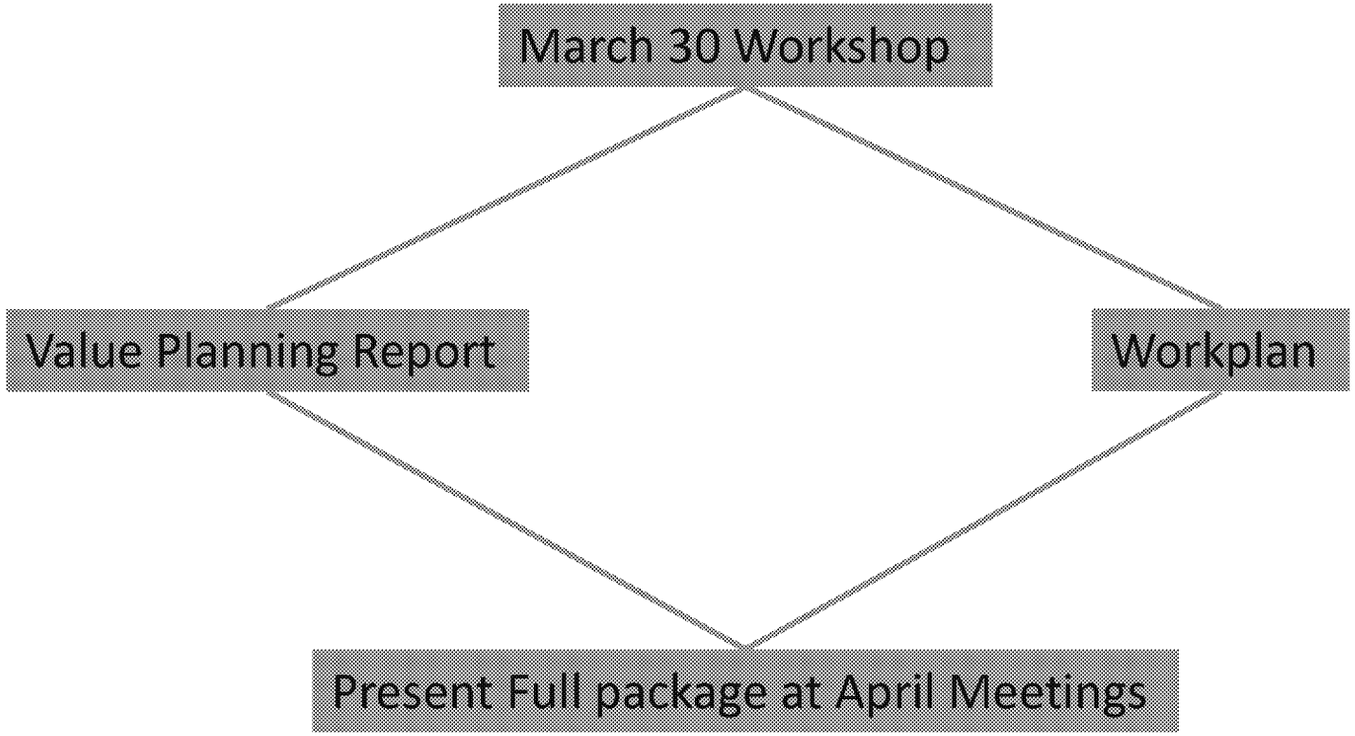
Workplan translates into $100/acre -ft cash call from Sept. 1, 2020 through December 31, 2021

BREAKDOWN OF AMENDMENT 2



Draft_0028319

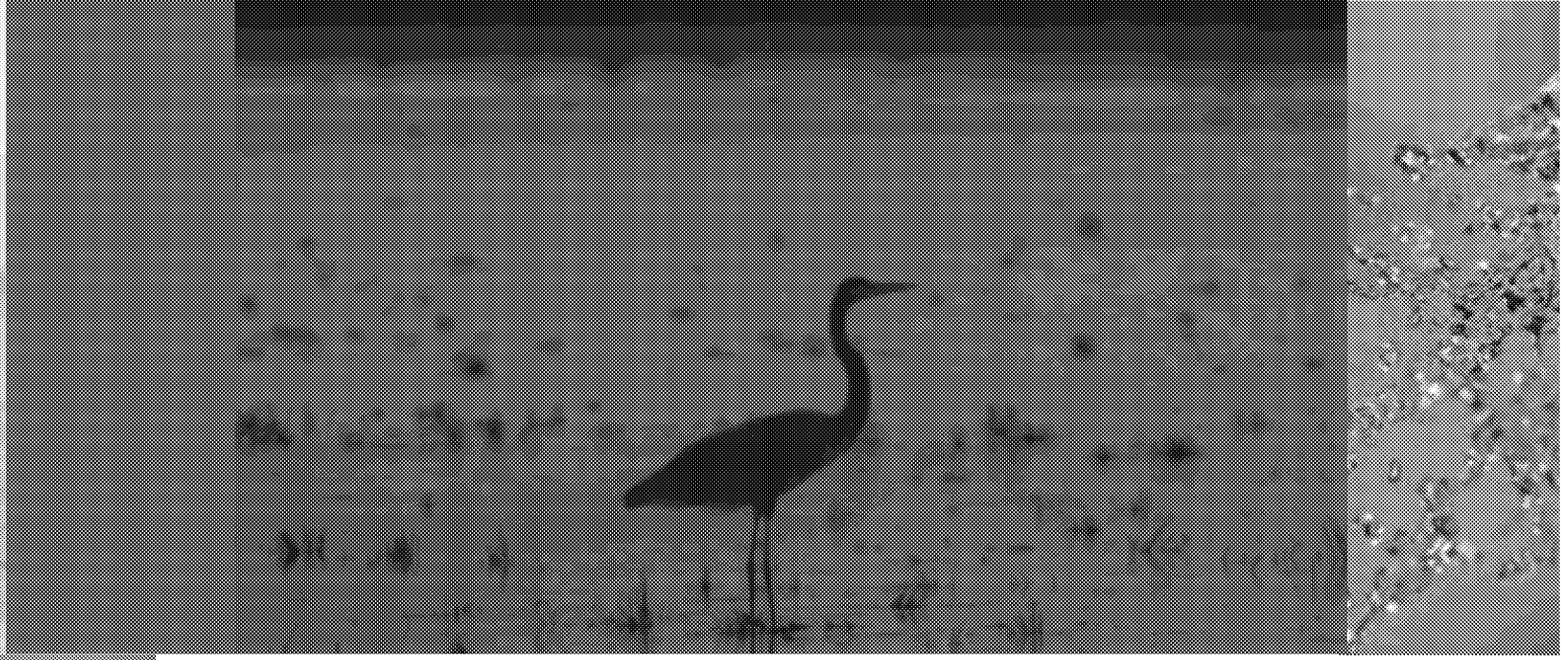
Next Steps

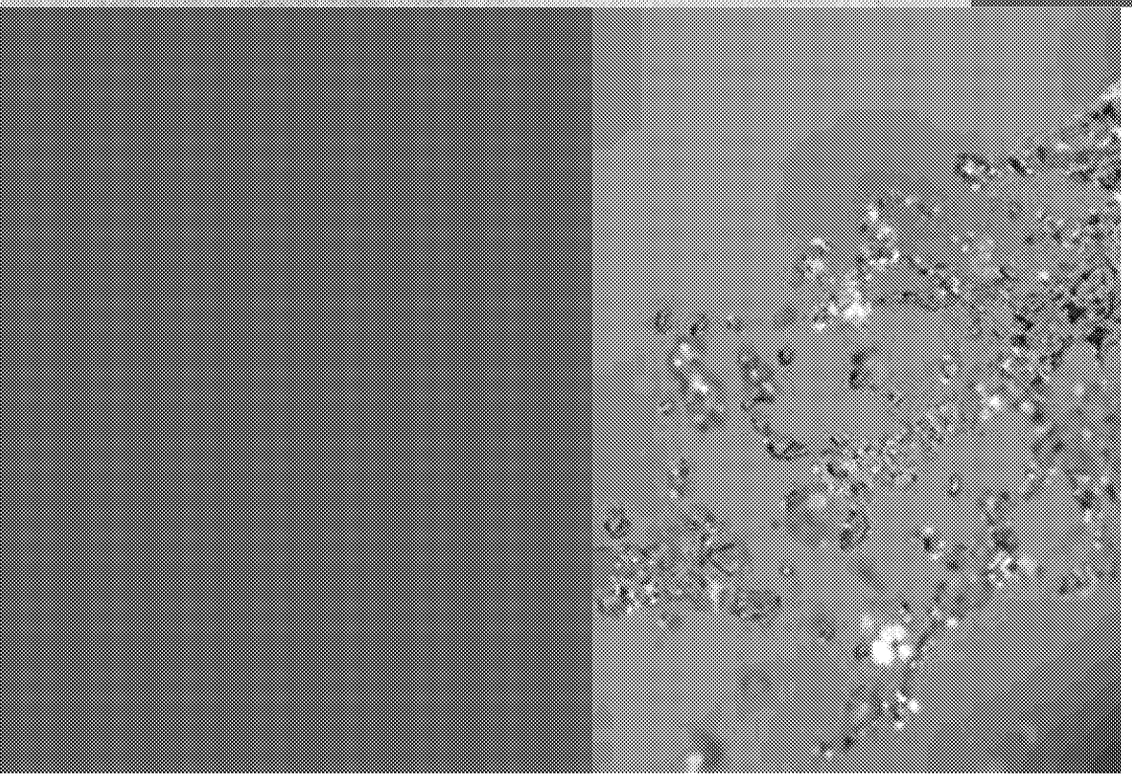


Proposed Ad Hoc Work Group to Finalize Prospectus & Power Point

Sites
Program Management Team

© 2019 HDR, all rights reserved





Break for Closed Session

March 2020

Sites
Program Management Team

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JIM WATSON, GENERAL MANAGER
530.410.8250

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, U.S BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members ***(NON-VOTING)***

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

Notice: Pursuant to Executive Order N-25-20, issued by Governor Newsom on March 12, 2020, and guidance by the California Department of Public Health dated March 11, 2020, this meeting will be conducted by teleconference. The public may attend the meeting and offer public comments by phone, using the call-in number provided below, or in person, at the address above. Members of the Board will participate by teleconference from other locations.

March 25, 2020 ***1:30 p.m.***
Sites Project Authority
Agenda

Teleconference: **1-844-531-9388** Code: **739 386 447** **WEBEX LINK**

Welcome to a meeting of the Sites Joint Powers Authority. If you are scheduled to address the Board, please state your full name for the record. Regularly numbered items may be considered at any time during the meeting. All items are listed in accordance with the Ralph M. Brown Act. We invite all members of the public to attend.

CALL TO ORDER:

- Pledge of Allegiance.
- Introductions.
- Approve the March 25, 2020 Sites Project Authority Agenda.
- Approve the February 26, 2020 Sites Project Authority Minutes.
- Announcement of Closed Session.
- Period of Public Comment.

1. **CHAIRPERSONS' REPORT:** Durst, Sutton

   This time is set aside to allow the Chair &/or Vice Chair an opportunity to disclose/discuss items related to the Sites Project; including any meetings with external stakeholders to advance the Sites Project.

1.1 Conduct Authority Board Election for the position of Secretary for calendar year 2020.

2. BOARD MEMBER REPORTS: (No action will be taken) **All**

This time is set aside to give the Directors an opportunity to disclose/ discuss items related to the Sites Project; including any meetings with external stakeholders to advance the Sites Project.

3. CONSENT AGENDA: Durst

None.

4. MANAGER'S REPORT: Watson

4.1 Discussion and possible direction to staff regarding the Sites Project's monthly status report. **(Attachment 4-1A)**

4.2 Discussion and possible direction to staff regarding Proposition 1, WSIP activities and WIIN Act Funding.

5. 2019 FINANCE & BUDGET AD HOC COMMITTEE: Traynham

5.1 Accept the Treasurer's Report. **(Attachment 5-1A)**

5.2 Consider approval of the monthly Payment of Claims. **(Attachment 5-2A & B)**

6. Phase 2 (2019) RESERVOIR COMMITTEE: Bettner, Davis, Watson

6.1 Report on the March 19, 2020 Phase 2 (2019) Reservoir Committee meeting. **(Attachments 6-1A & B)**

6.2 Discussion and possible direction to staff regarding value planning efforts and the next steps to develop an updated project description.

6.3 Discussion and possible direction to staff regarding the development of the updated work plan for the period of performance starting September 1, 2020 and ending December 31, 2021 in accordance with a proposed Amendment 2 to the current Participation Agreement. **(Attachments 6-3A, B &C)**

6.4 Discussion and possible direction to staff regarding the proposed amendment to the current participation agreement and supporting documents.

7. 2019 POLICY & GOVERNANCE AD HOC COMMITTEE: Durst

None.

8. 2019 LEGISLATIVE & OUTREACH AD HOC COMMITTEE: Sutton

Discussion and possible direction to staff regarding federal and state govern mental affairs/legislative, stakeholder engagement and communications activity.

9. 2019 LAND MANAGEMENT AD HOC COMMITTEE: Evans

Discussion and possible direction to staff regarding real estate, land management, site facility activities and early geotechnical explorations.

RECESS:

10. Closed Session:

10.1 Public Employee Appointment (Gov. Code, § 54957)
Title: Executive Director

11. Report from Closed Session: Kuney

ADJOURN

Next Authority Board Meeting:

Wednesday, April 22, 2020 1:30 PM – 4:00 PM
Sites Project Office
122 Old Highway 99W, Maxwell, CA 95955

PERIOD OF PUBLIC COMMENT: Any person may speak about any subject of concern, provided it is within the jurisdiction of the Directors and is not already on today's agenda. The total amount of time allotted for receiving such public communication shall be limited to a total of 15 minutes per issue and each individual or group will be limited to no more than 5 minutes each within the 15 minutes allocated per issue. ***Note:*** *No action shall be taken on comments made under this comment period.*

ADA COMPLIANCE: Upon request, agendas will be made available in alternative formats to accommodate persons with disabilities. In addition, any person with a disability who requires a modification or accommodation to participate or attend this meeting may request necessary accommodation. Please make your request to the Board Clerk, specifying your disability, the format in which you would like to receive this Agenda and any other accommodation required no later than 24 hours prior to the start of the meeting.

All supporting documentation is available for public inspection and review in the Sites Project Authority office located at 122 Old Highway 99 West Maxwell, CA 95955 during regular business hours 8:30 a.m. to 5:00 p.m., Monday through Friday.

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JIM WATSON, GENERAL MANAGER
530.410.8250

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
GRAY ALLEN, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, U.S BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members *(NON-VOTING)*

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

February 26, 2020 ***1:30 p.m.***
Sites Project Authority
MINUTES

The Sites Project Authority Board of Directors met in Regular Session on February 26, 2020, at the hour of 1:30 p.m. **Directors Present:** Fritz Durst, Chair, Jeff Sutton, Vice-Chair, Gary Evans, Logan Dennis, Doug Parker, Leigh McDaniel, Joe Marsh, and Rob Cooke. **Alternate Directors Present:** Michael Azevedo, Brett Ewart, Natalie Wolder, Anne Sanger. (Other Alternate Directors that may be listed as present below, did not participate in the decision-making, process). **Associate Members Present:** Greg Johnson.

Staff Present: Jim, Watson, General Manager
Scott Kuney, General Counsel
Jamie Traynham, Treasurer & TC-4 District Alternate
Kevin Spesert, Joe Trapasso, Ali Forsythe, Sites Project Authority
Yolanda Tirado, Clerk/Secretary

Others Present: JP Robinette, Marcia Kivett, Brown and Caldwell
Bill Vanderwaal, RD 108/DWD
Gary Darling, Darling H20
Kerry Schmitz, Sacramento County Water Agency
Ken Sinclair, Parsons
Robert Boling, Laura Warner Herson-Phenix, Sites Integration
Thad Bettner, GCID
Treve Campos, Williams
Christy Scofield, Arbuckle
Ed Horton, PCWA
Juleah Cordi, Assemblyman Gallagher's Office

Pledge of Allegiance.

INTRODUCTION:

Chairman Durst asked those present to introduce themselves.

AGENDA APPROVAL:

Chairman Durst called for approval of the February 26, 2020 Sites Project Authority Agenda. He stated Agenda Items under No. 6 Phase 2 (2019) Reservoir Committee would be considered after 5.3, an amendment to a contract with Fechter & Company, Certified Public Accountants.

Action: It was moved by Director Dennis, seconded by Director Sutton to approve the February 26, 2020 Sites Project Authority Agenda, as amended. Motion carried: All those Directors present voted yes.

MINUTES APPROVAL:

Action: It was moved by Director Evans, seconded by Director Parker to approve the January 22, 2020 Sites Project Authority Minutes, as presented. Motion carried: All those Directors present voted yes.

PERIOD OF PUBLIC COMMENT:

Chairman Durst called for a period of public comment. Hearing none, he closed the period of public comment.

SPECIAL PRESENTATION:

Informational Presentation regarding on-going or future activities and projects in the Sacramento River System and the Sacramento-San Joaquin Delta.

Mr. Bettner provided an update regarding the status of the Voluntary Agreements. He included ongoing activities and projects in the Sacramento River system and the Sacramento-San Joaquin Delta that may affect the Sites Project, either positively or negatively.

1:50 p.m. Director McDaniel is now present and seated.

Discussion followed, with no action taken at this time.

1. **CHAIRPERSONS' REPORT:**

This time is set aside to allow the Chair and/or Vice Chair an opportunity to disclose/discuss items related to the Sites Project; including any meetings with external stakeholders to advance the Sites Project.

Chairman Durst provided an update on the following:

- Board representation thanked Board Members leaving and welcomed new Board Members aboard.
- February 11, 2020 presented to the Woodside Atherton Garden Club regarding Sites and the topic of "fix vs fight."

Mr. Darling provided an update on the following:

- Executive Director's Recruitment.
- Facilitator for strategic planning.

Brief discussion followed with no action taken.

1.1 Conduct Authority Board Elections for calendar year 2020.

Chairman Durst stated the matter before the Board was the election of the Chair, Vice-Chair and the appointment of the Secretary/Treasurer.

Chairman Durst opened the nominations for the election of the Chair position. Director Sutton nominated Director Durst as Chairman for calendar year 2020.

Chairman Durst called for further nominations. Hearing none, he closed the nominations for the position of Chairman.

Action: It was moved by Director Evans, seconded by Director Dennis to elect Director Durst as the Chair of the Sites Project Authority for calendar year 2020. Motion carried: All those Directors present voted yes.

Chairman Durst called for nominations for the position of Vice-chairman for calendar year 2020. Director Evans nominated Director Sutton as Vice-chairman for calendar year 2020.

Chairman Durst called for further nominations. Hearing none, he closed the nominations for the position of Vice-chairman.

Action: It was moved by Director Dennis, seconded by Director McDaniel to elect Director Sutton as the Vice-chairman of the Sites Project Authority for calendar year 2020. Motion carried: All those Directors present voted yes.

Chairman Durst called for the appointment of the Secretary position for calendar year 2020. Director Sutton nominated Yolanda Tirado for the Secretary position for calendar year 2020.

Chairman Durst called for further nominations. Hearing none, he closed the nomination for the Secretary position.

Action: It was moved by Director Evans, seconded by Director Sutton to appoint Yolanda Tirado as the Secretary of the Sites Project Authority for calendar year 2020. Motion carried: All those Directors present voted yes.

Chairman Durst called for the appointment of the Treasurer position for calendar year 2020. Director Dennis nominated Associate Member Traynham for the Treasurer position for calendar year 2020.

Chairman Durst called for further nominations. Hearing none, he closed the nominations for the Treasurer position.

Action: It was moved by Director Sutton, seconded by Director Dennis to appoint Jamie Traynham as the Treasurer of the Sites Project Authority for calendar year 2020. Motion carried: All those Director present voted yes.

2. BOARD MEMBER REPORTS: (No action will be taken)

This time is set aside to give the Directors an opportunity to disclose/ discuss items related to the Sites Project; including any meetings with external stakeholders to advance the Sites Project.

Director Evans stated a conference call was held on February 25, 2020 regarding the Value Planning. He expressed concern regarding the consultant's mind set and the need to clarify their (consultants) role within the Sites Project. Chairman Durst suggested he, Director Evans and Mr. Watson meet to discuss this matter further.

3. CONSENT AGENDA:

None.

4. MANAGER'S REPORT:

4.1 Discussion and possible direction to staff regarding the Sites Project's monthly status report.

Mr. Watson provided a brief update on the Governor's Draft Resiliency Portfolio and stated comments closed on February 7, 2020 and the Authority sent a letter in support of same.

Mr. Spesert stated various letters of support from various entities were also submitted.

Mr. Watson also reminded the Board Members of the April 2, 2020 deadline for filing their Form 700s.

Mr. Watson provided an overview of project activities performed in the months of January and February 2020 as follows:

- Continued support of Value Engineering and Affordability Analysis.
- Continued support of Reclamation on completion of their Feasibility Report, including the coordination of biological and cultural monitoring along with the geotechnical investigations.
- Received the third CWC early funding payment in the amount of $2.1 million.
- January 27, 2020, received a request from Proberta Water District regarding an update on the reimbursement and payment process and stated a response is being prepared.

4.2 Discussion and possible direction to staff regarding Proposition 1, WSIP activities and WIIN Act Funding.

Mr. Watson provided an update regarding the following:

Proposition 1-Early Funding:

- Issued a Quarterly Progress Report (available on the Sites website).
- California Water Commission met on February 19, 2020 and discussed/considered the following:
    - New roles of the Water Commission.

- Water Resilience Portfolio.
- Delta Conveyance (new name for water fix).
- Tribal Engagement in Delta Conveyance.
- Tabled the Annual Review of the State Water Project.

USDA:

- Submitted end of year Status Report for work performed in 2019.

Federal Appropriations:

- Continued work with the California Great Basin Region
- Continued work on getting a funding agreement in place

Ms. Wolder provided a brief update on the proposed schedule for the Feasibility Report. Brief discussion followed with no action taken.

5. 2019 FINANCE & BUDGET AD HOC COMMITTEE:

5.1 Accept the Treasurer's Report. **(Attachment 5-1 A)**

Action: It was moved by Director Dennis, seconded by Director Sutton to accept the Treasurer's Report, as presented. Motion carried: All those Directors present voted yes.

5.2 Consider approval of the monthly Payment of Claims. **(Attachment 5-2A & B)**

Action: It was moved by Director Sutton, seconded by Director Evans to approve the monthly Payment of Claims, as presented. Motion carried: All those Directors present voted yes.

5.3 Consider approval to award a contract amendment to Fechter & Company, Certified Public Accountants, Sacramento (Fechter), for Professional Financial Auditing Services for fiscal year 2019 in an amount not to exceed $11,846. **(Attachment 5-3 A)**

Action: It was moved by Director Ewart, seconded by Director Dennis to approve and award a contract amendment to Fechter & Company, Certified Public Accountants, Sacramento (Fechter), for Professional Financial Auditing Services for fiscal year 2019 in an amount not to exceed $11,846. Motion carried: All those Directors present voted yes.

Chairman Durst stated Agenda Items under Agenda Item 6, Phase 2 (2019) Reservoir Committee would be taken out of sequence and heard at this time.

6. Phase 2 (2019) RESERVOIR COMMITTEE:

6.1 Report on the February 21, 2020 Phase 2 (2019) Reservoir Committee meeting. **(Attachment 6-1A)**

Mr. Bettner provided a brief update regarding the Work Plan through the end of 2021, i.e., next cash call, CEQA compliance and Home Board Member packets.

Mr. Watson provided a review of actions approved and matters discussed at the February 21, 2020 Phase 2 (2019) Reservoir Committee Meeting.

6.2 Consider approval to re-start efforts on the Environmental Impact Report (EIR) for the Sites Reservoir Project (Project) and consider the most appropriate approach for completing the EIR pursuant to the California Environmental Quality Act (CEQA).

Ms. Forsythe stated the Ad Hoc Environmental and Permitting Work Group met on January 29, 2020 to discuss how to approach restarting efforts on the EIR for the Sites Reservoir, the most appropriate approach for completing the EIR pursuant to CEQA and options to finalize the EIR/EIS as a joint document with the Bureau of Reclamation and provided an overview of same.

Mr. Bruner provided an overview of CEQA standards for recirculation of the EIR/EIS i.e., whether to do a partial or full recirculation and spoke to some examples from Case Law.

Director McDaniel expressed concern with moving forward with recirculation of the EIR without first knowing what the results of the Value Planning efforts. Lengthy discussion followed regarding the Value Planning footprints, risks, operations and full or partial recirculation of the EIR.

Action: It was moved by Director Sutton, seconded by Director Ewart to approve re-starting efforts on the EIR for the Sites Reservoir Project and assessing the most appropriate approach for completing the EIR pursuant to the CEQA. Motion carried: All those Directors present voted yes.

6.3 Informational update regarding value Planning efforts to develop an updated project description.

Mr. Vanderwaal provided a brief update on the Value Planning efforts to develop a preferred project and stated a Draft document would be presented for Board consideration at the March meeting.

6.4 Discussion and possible direction to staff regarding the development of a work plan and overall schedule of work to be completed through December 31, 2021 and under the current Participation Agreement as Amendment 2.

Mr. Watson provided an overview of the development of the work plan for the period of performance starting September 1, 2020 through December 31, 2021 and spoke to the following:

- Information to be included in the Home Board packet.
- Certainty related to the reservoir's operations and degree of operation integration with the State Water Project and Central Valley Project to produce benefits for both water supply and reliability purposes and water dedicated to environmental purposes.
- Improve certainty related to the project's affordability by advancing the level of engineering and implementing risk management to

improve the accuracy of the cost estimate and to purse low interest financing and potential grants.

- Continue to cultivate and strengthen partnerships with local landowners and key stakeholders that represent environmental, business labor and other interest.
- Meet the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive construction funding.
- Identify continued participation, Go/No-Go decision points with staggered cash calls.
- Strawman Senarios (A, B &C).

Lengthy discussion followed on all the above-mentioned issues. Following discussion, Mr. Watson stated the Reservoir Committee's recommendation to the Authority Board was to advance Scenario A and return to the Board at their April meeting for further consideration.

Chairman Durst stated Agenda Items Nos. 5.4 through 5.5 would be considered at this time.

5.4 Discussion and possible direction to staff regarding the forward-looking work plan associated with extending the time of the current Participation Agreement from January 1 through August 31, 2020 as Amendment 1B Work Plan.

Mr. Watson provided a brief update regarding extending the time of the current Participation Agreement from January 1 through August 31, 2020 as Amendment 1B Work Plan. He also stated the Reservoir Committee Members recommended approval of same. Brief discussion followed.

Chairman Durst called for approval of Agenda Items Nos. 5.4.1 through 5.4.8, various contract amendments. Brief discussion followed.

Action: It was moved by Director Evans, seconded by Director Sutton to approve Agenda Item Nos. 5.4.1 through 5.4.8 as follows:

5.4.1 An amendment to HDR Engineers, Inc.'s (HDR) (Project Integration) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.2 An amendment to Brown and Caldwell's (B&C) (Project Controls) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.3 An amendment to Katz and Associates, Inc.'s (Katz) (Communications) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.4 An amendment to ICF Jones and Stokes, Inc.'s (ICF) (Environmental Planning and Analysis) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.5 An amendment to ICF Jones and Stokes, Inc.'s (ICF) (Permitting and Agreements) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.6 An amendment to CH2M Hill Engineers, Inc.'s (CH2M) (Operations Simulation Modeling) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.7 An amendment to Fugro USA Land, Inc.'s (Fugro) (Geology and Geotechnical) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.8 An amendment to increase the budget to the AECOM contract and authorize the General Manager to sign.

Motion carried: All those Directors present voted yes.

5.5 Discussion and possible direction to staff regarding recent changes to the Service Area G – Real Estate project team and future real estate service area work activities.

Mr. Watson provided a brief update regarding the reorganization of Bender Rosenthal Inc., (BRI) which resulted in a reduction in staff and the layoff of the existing lead Right-of-Way Agent, who served as the BRI's project manager in support of the Sites Reservoir Project's real estate program for the last couple of years. Brief discussion followed.

Action: It was moved by Director Marsh, seconded by Director Dennis to delegate authority to the Coordination Committee on how to proceed with the Service Area G – Real Estate contract. Motion carried: All those Directors present voted yes.

7. 2019 POLICY & GOVERNANCE AD HOC COMMITTEE:

None.

8. 2019 LEGISLATIVE & OUTREACH AD HOC COMMITTEE:

Discussion and possible direction to staff regarding federal and state govern mental affairs/legislative, stakeholder engagement and communications activity.

Director Sutton provided a brief update as follows:

- Meeting with Senator Nielson.
- Scheduling a Legislative Day in late March 2020.
- Senator Garner/Feinstein Bill.

9. 2019 LAND MANAGEMENT AD HOC COMMITTEE:

Discussion and possible direction to staff regarding real estate, land management, site facility activities and early geotechnical explorations.

Mr. Spesert provided a brief update regarding the BRI situation, Mr. Connor's contribution to the Project, specifically his working relationship with the landowners and stated Sites staff is looking at ways for Mr. Connor to continue with the Project.

Chairman Durst adjourned the meeting at 4:30 p.m. to reconvene on March 25, 2020 at the hour of 1:30 p.m.

_____ _____

Fritz Durst, Chairman Yolanda Tirado, Clerk/Secretary

Sites

Topic: **Authority Board Agenda Item 4-1 2020 March 25**

0Subject: **Monthly Status Report**

Requested Action:

No action requested. Discussion and possible direction to staff regarding the Sites Project's monthly status report.

Detailed Description/Background:

General Manager and staff to provide an update on project activities performed in February 2020 for; Program Management & Administration, Engagement & Outreach, Proposition 1, Environmental, Feasibility Study and Engineering & Technical Support.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jim Watson

Attachments:

Attachment A: February 2020 Status Report.

Topic: **Sites Reservoir Project, Phase 2**

Subject: **Monthly Status Report** Report Period: **2020 February**

Monthly Status Summary:

The development of the Sites Project continues to make progress in the critical areas associated with the reprioritized Phase 2 (2019) budget and work plan, including the following activities:

- Continuing to advance the Value Planning and Affordability Analyses.
- Continuing to support Reclamation on completion of their Feasibility Report, including the coordination of biological and cultural monitoring along with land access for the NODOS Feasibility Geotechnical Investigations.
- Continuing efforts to develop a work plan through December 2021.

The following highlights the status of activities conducted during the month:

Engineering:

- Continuing to advance the value planning effort that is evaluating options to improve the projects' affordability based on permitting criteria and the current level of participation commitments. Held value planning workshop to identify a potential alternative project. Received input on summary technical memorandum and draft value planning report for review by the Reservoir Committee and Authority Board in March.

  NOTE: Development of the Value Planning report includes input from the environmental and permitting teams into identification of possible opportunities and challenges with initial concepts.
- Began work on draft detailed schedule for engineering from value planning through feasibility in coordination with the environmental planning, permitting, and the reservoir operations team.
- Developed engineering task order materials including scope, budget, and schedule for service areas HC (Conveyance) and HR (Reservoir).

Coordination with Reclamation:

- Continued coordination and support for feasibility-level geotechnical investigations. Reclamation is funding and undertaking additional geotechnical investigations while environmental compliance and monitoring activities are being funded by the Authority. Field activities continued at Fletcher 1 and 2. Drilling at Fletcher 1 was completed in February and downhole geophysics was completed in early March. Drilling at Fletcher 2 was completed in early March along with downhole geophysics. Both holes were backfilled, and the sites cleaned up. One drill shift remains to demobilize all equipment and ship all core materials.
- Continued to conduct landowner coordination activities in support of the geotechnical field investigations.

Draft_0028337

Environmental Planning and Permitting:

- Continued implementing the environmental commitments including biological, cultural and tribal field monitoring activities for NODOS geotechnical investigations.
- Began work on draft detailed schedule for operations, planning and permitting components.

Operations:

- Continued refinement of analysis tools for daily operations, bypass criteria, floodplain inundation, Shasta within year exchanges and other operational effects.

Stakeholder Engagement, Public Outreach & Real Estate:

Continued ongoing coordination efforts with landowners, local community members, state and federal elected officials, government agencies and coalitions of regional and statewide organizations including the following activities:

- Responded to landowner requests for project information, facilitated coordination activities with local government agencies and organizations and planned for future landowner, stakeholders and general public outreach activities and events.
- Facilitated a project site tour for Reclamation technical staff.
- Conducted a project update briefing with state Senator Jim Nielsen.

Program Management & Administration:

- Executed key consultants task orders in support of the Amendment 1B Work Plan (i.e. for work being performed between January 1 through August 31, 2020.
- Developing Amendment 2 work plan materials to support work to be performed between September 1, 2020 and December 31, 2021. Received input from the Reservoir Committee and Authority Board related to the goals, schedule, and potential cash call scenarios.
- Issued revised payment of claims to utilize Prop 1 (WSIP) funds received to advance the project. Issued payments to consultants from State checking account.

Draft_0028338

Sites

Topic: **Authority Board** **Agenda Item 4-2** **2020 March 25**

Subject: **Status of Funding Activities - State's WSIP and Federal (WIIN Act and USDA)**

Requested Action:

No action requested. Discussion and possible direction to staff regarding activities related to compliance with Water Commission's administration of the Water Storage Investment program (WSIP) and access to federal funding.

Detailed Description/Background:

The following topics will be discussed:

1. WSIP: Early Funding Agreement (EFA): Status of invoices.
2. USDA Conditional Funding:
3. Federal Appropriations: Status
4. Federal Feasibility Report:

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jim Watson/Joe Trapasso

Attachments:

None.

Sites

Topic: **Authority Board Agenda Item 5-1 2020 March 25**

Subject: **Treasurer's Report**

Requested Action:

Review and consider accepting the Sites Project Authority Treasurer's Report as presented in Attachment 5-1A.

Detailed Description/Background:

Attachment 5-1A incorporates financial information through February 29, 2020.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: February 2020 Treasurer's Report.

Draft_0028342

SITES JOINT POWERS AUTHORITY
TREASURER'S REPORT
February 29, 2020

Savings Account-River City Bank				
Beginning Balance	02/01/2020		$ 2,212,074.25	
Transfer from checking to max balance			$ 799,661.59	
Interest Earned			$ 3,876.62	
River City Bank ICS Savings Account Balance	**02/29/2020**			$ 3,015,612.46
General Operating Checking Account-River City Bank				
Beginning Balance	02/01/2020		$ 1,835,423.19	
RECEIVED:				
Membership Revenue		$ 394,862.77		
Interest Earned		$ 1,792.05		
TOTAL RECEIVED			$ 396,654.82	
DISBURSED:				
Bank Fees		$ (164.36)		
Accounting Fees		$ (4,614.00)		
Administrative Support		$ (3,880.80)		
Computer Service		$ (898.39)		
General Manager		$ (39,841.00)		
Office Expenses		$ (647.26)		
Professional Fees-Legislative/Regulatory		$ (8,000.00)		
Professional Fees-Communication		$ (16,977.06)		
Professional Fees-Organizatioal Assessment		$ (8,993.05)		
Professional Fees-Fed Gov't Affairs Support		$ (15,000.00)		
Professional Fees-Legal Services		$ (8,142.99)		
TOTAL DISBURSED			$ (107,158.91)	
Transfer to Savings to max balance			$ (799,661.59)	
RCB General Checking Account Balance	**02/29/2020**			$ 1,325,257.51
State (WSIP) Fund Checking Account-River City Bank				
Beginning Balance	02/01/2020		$ 6,123,037.29	
DISBURSED:				
Bus/Comm Manager		$ (22,977.99)		
Program Op Manager		$ (28,953.74)		
Professional Fees-Engineering		$ (24,381.18)		
Professional Fees-Real Estate		$ (2,774.66)		
Professional Fees-Project Controls		$ (74,932.13)		
Professional Fees-Operations/SIM Modeling		$ (58,739.76)		
Professional Fees-EPP Manager		$ (31,049.44)		
Professional Fees-Geotech Eng		$ (4,478.96)		
Professional Fees-Project Integration		$ (137,996.08)		
Professional Fees-Cost Development		$ (710.60)		
Professional Fees-Municipal Advisor		$ (10,525.00)		
Professional Fees-Env/Biological Serv & Permit		$ (21,763.95)		
TOTAL DISBURSED			$ (419,283.49)	
RCB State Fund Checking Account Balance	**02/29/2020**			$ 5,703,753.80
TOTAL CASH ON HAND	**February 29, 2020**			$ 10,044,623.77

Sites Project Joint Powers Authority
Transactions by Account
As of February 29, 2020

Type	Date	Num	Name	Memo	Debit	Credit	Balance
River City ICS Savings							2,212,074.25
General Journal	02/07/2020	2-1C		adjust ICS balance to max	857,086.17		3,069,160.42
General Journal	02/28/2020	2-2C		adjust ICS balance to max		57,424.58	3,011,735.84
General Journal	02/29/2020	2-3C		rec int fme	3,876.62		3,015,612.46
Total River City ICS Savings					860,962.79	57,424.58	3,015,612.46
River City Operating Account							1,835,423.19
Deposit	02/07/2020			Tehama Colusa Canal Auth	55,000.00		1,890,423.19
Deposit	02/07/2020			Western Canal WD	5,000.00		1,895,423.19
General Journal	02/07/2020	2-1C		adjust ICS balance to max		857,086.17	1,038,337.02
Deposit	02/10/2020			County of Colusa	55,000.00		1,093,337.02
Bill Pmt -Check	02/12/2020	2100	Adept Solutions Inc			898.39	1,092,438.63
Bill Pmt -Check	02/12/2020	2101	Darling H2O Consulting, Inc.			8,993.05	1,083,445.58
Bill Pmt -Check	02/12/2020	2102	Dunn Consulting			8,000.00	1,075,445.58
Bill Pmt -Check	02/12/2020	2103	J.C. Watson, Inc			39,841.00	1,035,604.58
Bill Pmt -Check	02/12/2020	2104	Katz and Associates, Inc			16,977.06	1,018,627.52
Bill Pmt -Check	02/12/2020	2105	KCoe Isom, LLP			4,614.00	1,014,013.52
Bill Pmt -Check	02/12/2020	2106	M.R. Cleaning Services			200.00	1,013,813.52
Bill Pmt -Check	02/12/2020	2107	Maximun Pest Control			65.00	1,013,748.52
Bill Pmt -Check	02/12/2020	2108	Mt Shasta Spring Water			49.60	1,013,698.92
Bill Pmt -Check	02/12/2020	2109	Recology Butte Colusa Counties			35.95	1,013,662.97
Bill Pmt -Check	02/12/2020	2110	Rush Personnel Services, Inc	00000L4H		3,880.80	1,009,782.17
Bill Pmt -Check	02/12/2020	2111	The Ferguson Group			15,000.00	994,782.17
Bill Pmt -Check	02/12/2020	2112	US Bank			296.71	994,485.46
Bill Pmt -Check	02/12/2020	2113	Young Wooldridge LLP	20091 EAC		8,142.99	986,342.47
Deposit	02/21/2020			City of Sac	27,500.00		1,013,842.47
Deposit	02/21/2020			GCID	55,000.00		1,068,842.47
Deposit	02/21/2020			Davis WD	4,862.77		1,073,705.24
Deposit	02/21/2020			Sac Co WD	27,500.00		1,101,205.24
Deposit	02/28/2020			Rec District 108	55,000.00		1,156,205.24
Deposit	02/28/2020			Colusa Co WD	55,000.00		1,211,205.24
Deposit	02/28/2020			Placer Co WA	27,500.00		1,238,705.24
Deposit	02/28/2020			City of Roseville	27,500.00		1,266,205.24
General Journal	02/28/2020	2-2C		adjust ICS balance to max	57,424.58		1,323,629.82
General Journal	02/29/2020	2-4C		Bank service fee		164.36	1,323,465.46
General Journal	02/29/2020	2-5C		rec int fme	1,792.05		1,325,257.51
Total River City Operating Account					454,079.40	964,245.08	1,325,257.51
River City State Fund Checking							6,123,037.29
Bill Pmt -Check	02/12/2020	1001	AECOM Technical Services, Inc.			24,381.18	6,098,656.11
Bill Pmt -Check	02/12/2020	1002	Bender Rosenthal Inc			2,774.66	6,095,881.45
Bill Pmt -Check	02/12/2020	1003	Brown and Caldwell			74,932.13	6,020,949.32
Bill Pmt -Check	02/12/2020	1004	CH2M Hill Engineers, Inc			58,739.76	5,962,209.56
Bill Pmt -Check	02/12/2020	1005	Forsythe Group LLC			31,049.44	5,931,160.12
Bill Pmt -Check	02/12/2020	1006	Fugro USA Land, Inc			4,478.96	5,926,681.16
Bill Pmt -Check	02/12/2020	1007	HDR Engineering Inc			137,996.08	5,788,685.08
Bill Pmt -Check	02/12/2020	1008	ICF Jones & Stokes Inc			21,763.95	5,766,921.13
Bill Pmt -Check	02/12/2020	1009	Larsen Wurzel & Associates, Inc			710.60	5,766,210.53
Bill Pmt -Check	02/12/2020	1010	Montague DeRose and Associat...			10,525.00	5,755,685.53
Bill Pmt -Check	02/12/2020	1011	Spesert Consulting			22,977.99	5,732,707.54
Bill Pmt -Check	02/12/2020	1012	Trapasso Consulting Services			28,953.74	5,703,753.80
Total River City State Fund Checking					0.00	419,283.49	5,703,753.80
US Bank Checking							0.00
Total US Bank Checking							0.00
TOTAL					**1,315,042.19**	**1,440,953.15**	**10,044,623.77**

Draft_0028344

Sites Project Joint Powers Authority
Balance Sheet
As of February 29, 2020

	Feb 29, 20	
ASSETS		
Current Assets		
Checking/Savings		
River City ICS Savings		3,015,612.46
River City Operating Account		1,325,257.51
River City State Fund Checking		5,703,753.80
Total Checking/Savings		10,044,623.77
Accounts Receivable		
Accounts Rec Members		
Membership - Authority	123,000.00	
Membership - Reservoir	11,329.72	
Total Accounts Rec Members		134,329.72
Total Accounts Receivable		134,329.72
Total Current Assets		10,178,953.49
TOTAL ASSETS		**10,178,953.49**
LIABILITIES & EQUITY		
Liabilities		
Current Liabilities		
Accounts Payable		
Accounts Payable		641,112.52
Total Accounts Payable		641,112.52
Total Current Liabilities		641,112.52
Long Term Liabilities		
Participant Reimbursement Polic		6,064,652.67
Total Long Term Liabilities		6,064,652.67
Total Liabilities		6,705,765.19
Equity		
Net Assets		1,929,216.58
Retained Earnings		2,194,599.73
Net Income		-650,628.01
Total Equity		3,473,188.30
TOTAL LIABILITIES & EQUITY		**10,178,953.49**

Sites Project Joint Powers Authority
A/R Aging Summary
As of February 29, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
Antelope Valley - East Kern Water Agency	0.00	0.00	0.00	0.00	16,317.92	16,317.92
City of American Canyon	0.00	0.00	0.00	0.00	-4,988.20	-4,988.20
County of Glenn	0.00	55,000.00	0.00	0.00	0.00	55,000.00
Westside Water District	0.00	55,000.00	0.00	0.00	0.00	55,000.00
Yolo County Flood Control	0.00	0.00	0.00	0.00	13,000.00	13,000.00
TOTAL	**0.00**	**110,000.00**	**0.00**	**0.00**	**24,329.72**	**134,329.72**

Sites Project Joint Powers Authority
A/P Aging Summary
As of February 29, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
Adept Solutions Inc	932.08	0.00	0.00	0.00	0.00	932.08
AECOM Technical Services, Inc.	38,838.46	0.00	0.00	0.00	0.00	38,838.46
Brown and Caldwell	0.00	106,709.01	0.00	0.00	0.00	106,709.01
CH2M Hill Engineers, Inc	60,522.55	0.00	0.00	0.00	0.00	60,522.55
Colusa Indian Community Council	1,017.82	2,867.73	0.00	0.00	0.00	3,885.55
Darling H2O Consulting, Inc.	7,673.14	0.00	0.00	0.00	0.00	7,673.14
Dunn Consulting	8,000.00	0.00	0.00	0.00	0.00	8,000.00
Forsythe Group LLC	30,660.62	0.00	0.00	0.00	0.00	30,660.62
Fugro USA Land, Inc	8,744.05	0.00	0.00	0.00	0.00	8,744.05
HDR Engineering Inc	172,196.08	0.00	0.00	0.00	0.00	172,196.08
ICF Jones & Stokes Inc	0.00	41,235.90	0.00	0.00	0.00	41,235.90
J.C. Watson, Inc	39,865.70	0.00	0.00	0.00	0.00	39,865.70
Katz and Associates, Inc	0.00	19,652.49	0.00	0.00	0.00	19,652.49
M.R. Cleaning Services	200.00	0.00	0.00	0.00	0.00	200.00
Maximun Pest Control	65.00	0.00	0.00	0.00	0.00	65.00
MBK Engineers Inc	0.00	1,101.00	0.00	0.00	0.00	1,101.00
Montague DeRose and Associates, LLC	0.00	1,925.00	0.00	0.00	0.00	1,925.00
Mt Shasta Spring Water	47.65	0.00	0.00	0.00	0.00	47.65
Perkins Coie LLP	18,450.90	0.00	0.00	0.00	0.00	18,450.90
Recology Butte Colusa Counties	35.95	0.00	0.00	0.00	0.00	35.95
Rush Personnel Services, Inc	4,983.30	0.00	0.00	0.00	0.00	4,983.30
Spesert Consulting	21,589.46	0.00	0.00	0.00	0.00	21,589.46
The Ferguson Group	0.00	15,000.00	0.00	0.00	0.00	15,000.00
Trapasso Consulting Services	29,107.10	0.00	0.00	0.00	0.00	29,107.10
US Bank	1,548.26	0.00	0.00	0.00	0.00	1,548.26
Young Wooldridge LLP	8,143.27	0.00	0.00	0.00	0.00	8,143.27
TOTAL	**452,621.39**	**188,491.13**	**0.00**	**0.00**	**0.00**	**641,112.52**

Sites Project Joint Powers Authority
Profit & Loss
February 2020

	Feb 20	Jan - Feb 20
Ordinary Income/Expense		
Income		
Membership Admin/Authority	0.00	505,000.00
Total Income	0.00	505,000.00
Gross Profit	0.00	505,000.00
Expense		
Accounting Expense	0.00	4,614.00
Admin Support Gen Manager	4,983.30	8,864.10
Bank Service Fees	164.36	353.81
General Manager		
Services	39,865.70	79,706.70
Total General Manager	39,865.70	79,706.70
Insurance - Property	0.00	250.00
Insurance Liability	0.00	1,604.25
Office Expenses		
Cleaning	200.00	400.00
Misc Office & Operating Exp	1,548.26	1,844.97
Pest Control & Maintenance	65.00	130.00
Trash Service	35.95	71.90
Water Expense	47.65	97.25
Total Office Expenses	1,896.86	2,544.12
Professional Fees		
Bus/Comm Manager	21,589.46	44,567.45
Communication	19,652.49	36,629.55
Cost Development Model	0.00	710.60
Cultural Study	1,017.82	3,885.55
Engineering & Technical Service	38,838.46	63,219.64
EPP Manager	30,660.62	61,710.06
Federal Government Affairs Supp	15,000.00	30,000.00
General Legal Counsel	8,143.27	16,286.26
Geotechnical Engineering	8,744.05	13,223.01
Legislative/Reg/Strategic	8,000.00	16,000.00
Municipal Advisor	1,925.00	12,450.00
Operations/Simulation Modeling	60,522.55	119,262.31
Organizational Assessment	7,673.14	16,666.19
Permitting & Agreements	41,235.90	62,999.85
Program Ops Manager	29,107.10	58,060.84
Project Controls	106,709.01	181,641.14
Project Integration	172,196.08	310,192.16
Real Estate	0.00	761.67
Reservoir Operations	1,101.00	1,101.00
Special Legal	18,450.90	18,450.90
Total Professional Fees	590,566.85	1,067,818.18
Website, Data, Computer Support	932.08	2,502.67
Total Expense	638,409.15	1,168,257.83
Net Ordinary Income	-638,409.15	-663,257.83
Other Income/Expense		
Other Income		
Interest Income		
Interest Income-River City	5,668.67	12,629.82
Total Interest Income	5,668.67	12,629.82
Total Other Income	5,668.67	12,629.82
Net Other Income	5,668.67	12,629.82

Sites Project Joint Powers Authority
Profit & Loss
February 2020

	Feb 20	Jan - Feb 20
Net Income	-632,740.48	-650,628.01

FOR MANAGEMENT PURPOSES ONLY

Sites Project Joint Powers Authority
Transaction Detail By Account
February 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Admin Support Gen Manager								
Bill	02/11/2020	135105	Rush Personnel Services, ...	2/20	Authority	211.68		-211.68
Bill	02/11/2020	135105	Rush Personnel Services, ...	2/20	Reservoir	846.72		-1,058.40
Bill	02/11/2020	135106	Rush Personnel Services, ...	2/20	Authority	255.78		-1,314.18
Bill	02/11/2020	135106	Rush Personnel Services, ...	2/20	Reservoir	1,023.12		-2,337.30
Bill	02/25/2020	135423	Rush Personnel Services, ...	2/20	Authority	246.96		-2,584.26
Bill	02/25/2020	135423	Rush Personnel Services, ...	2/20	Reservoir	987.84		-3,572.10
Bill	02/25/2020	135424	Rush Personnel Services, ...	2/20	Authority	282.24		-3,854.34
Bill	02/25/2020	135424	Rush Personnel Services, ...	2/20	Reservoir	1,128.96		-4,983.30
Total Admin Support Gen Manager						4,983.30	0.00	-4,983.30
Bank Service Fees								
General J...	02/29/2020	2-4C		Bank service fee	Authority	31.23		-31.23
General J...	02/29/2020	2-4C		Bank service fee	Reservoir	133.13		-164.36
Total Bank Service Fees						164.36	0.00	-164.36
General Manager								
Services								
Bill	02/29/2020	SPA-055	J.C. Watson, Inc	2/20	Authority	5,874.23		-5,874.23
Bill	02/29/2020	SPA-055	J.C. Watson, Inc	2/20	Reservoir	33,991.47		-39,865.70
Total Services						39,865.70	0.00	-39,865.70
Total General Manager						39,865.70	0.00	-39,865.70
Office Expenses								
Cleaning								
Bill	02/29/2020	20	M.R. Cleaning Services	2/20	Authority	200.00		-200.00
Total Cleaning						200.00	0.00	-200.00
Misc Office & Operating Exp								
Bill	02/29/2020	Online 3/2	US Bank	2/20	Authority	249.62		-249.62
Bill	02/29/2020	Online 3/2	US Bank	2/20	Reservoir	1,298.64		-1,548.26
Total Misc Office & Operating Exp						1,548.26	0.00	-1,548.26
Pest Control & Maintenance								
Bill	02/14/2020	50451	Maximun Pest Control	2/20	Authority	65.00		-65.00
Total Pest Control & Maintenance						65.00	0.00	-65.00
Trash Service								
Bill	02/29/2020	37055688	Recology Butte Colusa Co...	2/20	Authority	35.95		-35.95
Total Trash Service						35.95	0.00	-35.95
Water Expense								
Bill	02/20/2020	471753	Mt Shasta Spring Water	2/20	Authority	47.65		-47.65
Total Water Expense						47.65	0.00	-47.65
Total Office Expenses						1,896.86	0.00	-1,896.86
Professional Fees								
Bus/Comm Manager								
Bill	02/29/2020	3-20	Spesert Consulting	2/20	Reservoir	21,589.46		-21,589.46
Total Bus/Comm Manager						21,589.46	0.00	-21,589.46
Communication								
Bill	02/13/2020	413590	Katz and Associates, Inc	1/20 (C)	Authority	11,791.50		-11,791.50
Bill	02/13/2020	413590	Katz and Associates, Inc	1/20 (C)	Reservoir	7,860.99		-19,652.49
Total Communication						19,652.49	0.00	-19,652.49
Cultural Study								
Bill	02/29/2020	02-29-20-01	Colusa Indian Community ...	2/20	Reservoir	1,017.82		-1,017.82
Total Cultural Study						1,017.82	0.00	-1,017.82
Engineering & Technical Service								
Bill	02/28/2020	2000330234	AECOM Technical Service...	2/20	Reservoir	38,838.46		-38,838.46
Total Engineering & Technical Service						38,838.46	0.00	-38,838.46
EPP Manager								
Bill	02/27/2020	SPA-202002	Forsythe Group LLC	2/20	Reservoir	30,660.62		-30,660.62
Total EPP Manager						30,660.62	0.00	-30,660.62
Federal Government Affairs Supp								
Bill	02/01/2020	0220162	The Ferguson Group	2/20	Authority	7,500.00		-7,500.00
Bill	02/01/2020	0220162	The Ferguson Group	2/20	Reservoir	7,500.00		-15,000.00
Total Federal Government Affairs Supp						15,000.00	0.00	-15,000.00
General Legal Counsel								
Bill	02/29/2020	63615	Young Wooldridge LLP	2/20	Authority	5,606.27		-5,606.27
Bill	02/29/2020	63615	Young Wooldridge LLP	2/20	Reservoir	2,537.00		-8,143.27
Total General Legal Counsel						8,143.27	0.00	-8,143.27
Geotechnical Engineering								

Sites Project Joint Powers Authority
Transaction Detail By Account
February 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Bill	02/29/2020	04.7219003...	Fugro USA Land, Inc	2/20 (I)	Reservoir	8,744.05		-8,744.05
Total Geotechnical Engineering						8,744.05	0.00	-8,744.05
Legislative/Reg/Strategic								
Bill	02/29/2020	Letter	Dunn Consulting	2/20	Authority	4,000.00		-4,000.00
Bill	02/29/2020	Letter	Dunn Consulting	2/20	Reservoir	4,000.00		-8,000.00
Total Legislative/Reg/Strategic						8,000.00	0.00	-8,000.00
Municipal Advisor								
Bill	02/16/2020	4802SITES	Montague DeRose and As...	1/20	Reservoir	1,925.00		-1,925.00
Total Municipal Advisor						1,925.00	0.00	-1,925.00
Operations/Simulation Modeling								
Bill	02/19/2020	D3205400-010	CH2M Hill Engineers, Inc	1/20(D)	Reservoir	60,522.55		-60,522.55
Total Operations/Simulation Modeling						60,522.55	0.00	-60,522.55
Organizational Assessment								
Bill	02/29/2020	117	Darling H2O Consulting, Inc.	2/20	Authority	7,673.14		-7,673.14
Total Organizational Assessment						7,673.14	0.00	-7,673.14
Permitting & Agreements								
Bill	02/14/2020	0144836	ICF Jones & Stokes Inc	1/20 (Permitting) (F)	Reservoir	41,235.90		-41,235.90
Total Permitting & Agreements						41,235.90	0.00	-41,235.90
Program Ops Manager								
Bill	02/29/2020	SPA-17-29	Trapasso Consulting Servi...	2/20	Reservoir	29,107.10		-29,107.10
Total Program Ops Manager						29,107.10	0.00	-29,107.10
Project Controls								
Bill	02/12/2020	17363756	Brown and Caldwell	1/20 (B)	Reservoir	106,709.01		-106,709.01
Total Project Controls						106,709.01	0.00	-106,709.01
Project Integration								
Bill	02/22/2020	1200250916	HDR Engineering Inc	2/20 (A)	Reservoir	172,196.08		-172,196.08
Total Project Integration						172,196.08	0.00	-172,196.08
Reservoir Operations								
Bill	02/13/2020	20-01-4941.0	MBK Engineers Inc	1/20	Reservoir	1,101.00		-1,101.00
Total Reservoir Operations						1,101.00	0.00	-1,101.00
Special Legal								
Bill	02/25/2020	6146039	Perkins Coie LLP	1/20	Reservoir	18,450.90		-18,450.90
Total Special Legal						18,450.90	0.00	-18,450.90
Total Professional Fees						590,566.85	0.00	-590,566.85
Website, Data, Computer Support								
Bill	02/29/2020	MSP-138726	Adept Solutions Inc	3/20	Authority	134.44		-134.44
Bill	02/29/2020	MSP-138726	Adept Solutions Inc	3/20	Reservoir	537.76		-672.20
Bill	02/29/2020	138721	Adept Solutions Inc	1/20	Authority	51.98		-724.18
Bill	02/29/2020	138721	Adept Solutions Inc	1/20	Reservoir	207.90		-932.08
Total Website, Data, Computer Support						932.08	0.00	-932.08
Interest Income								
Interest Income-River City								
General J...	02/29/2020	2-3C		rec int fme	Reservoir		3,876.62	3,876.62
General J...	02/29/2020	2-5C		rec int fme	Authority		340.49	4,217.11
General J...	02/29/2020	2-5C		rec int fme	Reservoir		1,451.56	5,668.67
Total Interest Income-River City						0.00	5,668.67	5,668.67
Total Interest Income						0.00	5,668.67	5,668.67
TOTAL						**638,409.15**	**5,668.67**	**-632,740.48**

Sites Project Joint Powers Authority
Balance Sheet by Class
As of February 29, 2020

	Authority	Reservoir	TOTAL
ASSETS			
Current Assets			
Checking/Savings			
River City ICS Savings	0.00	3,015,612.46	3,015,612.46
River City Operating Account	815,579.50	509,678.01	1,325,257.51
River City State Fund Checking	0.00	5,703,753.80	5,703,753.80
Total Checking/Savings	815,579.50	9,229,044.27	10,044,623.77
Accounts Receivable			
Accounts Rec Members			
Membership - Authority	123,000.00	0.00	123,000.00
Membership - Reservoir	0.00	11,329.72	11,329.72
Total Accounts Rec Members	123,000.00	11,329.72	134,329.72
Total Accounts Receivable	123,000.00	11,329.72	134,329.72
Total Current Assets	938,579.50	9,240,373.99	10,178,953.49
TOTAL ASSETS	**938,579.50**	**9,240,373.99**	**10,178,953.49**
LIABILITIES & EQUITY			
Liabilities			
Current Liabilities			
Accounts Payable			
Accounts Payable	44,226.44	596,886.08	641,112.52
Total Accounts Payable	44,226.44	596,886.08	641,112.52
Total Current Liabilities	44,226.44	596,886.08	641,112.52
Long Term Liabilities			
Participant Reimbursement Polic	0.00	6,064,652.67	6,064,652.67
Total Long Term Liabilities	0.00	6,064,652.67	6,064,652.67
Total Liabilities	44,226.44	6,661,538.75	6,705,765.19
Equity			
Net Assets	114,674.93	1,814,541.65	1,929,216.58
Retained Earnings	356,196.54	1,838,403.19	2,194,599.73
Net Income	423,481.59	-1,074,109.60	-650,628.01
Total Equity	894,353.06	2,578,835.24	3,473,188.30
TOTAL LIABILITIES & EQUITY	**938,579.50**	**9,240,373.99**	**10,178,953.49**

Draft_0028352

Sites Project Joint Powers Authority
Profit & Loss by Class
February 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Expense			
Admin Support Gen Manager	996.66	3,986.64	4,983.30
Bank Service Fees	31.23	133.13	164.36
General Manager			
Services	5,874.23	33,991.47	39,865.70
Total General Manager	5,874.23	33,991.47	39,865.70
Office Expenses			
Cleaning	200.00	0.00	200.00
Misc Office & Operating Exp	249.62	1,298.64	1,548.26
Pest Control & Maintenance	65.00	0.00	65.00
Trash Service	35.95	0.00	35.95
Water Expense	47.65	0.00	47.65
Total Office Expenses	598.22	1,298.64	1,896.86
Professional Fees			
Bus/Comm Manager	0.00	21,589.46	21,589.46
Communication	11,791.50	7,860.99	19,652.49
Cultural Study	0.00	1,017.82	1,017.82
Engineering & Technical Service	0.00	38,838.46	38,838.46
EPP Manager	0.00	30,660.62	30,660.62
Federal Government Affairs Supp	7,500.00	7,500.00	15,000.00
General Legal Counsel	5,606.27	2,537.00	8,143.27
Geotechnical Engineering	0.00	8,744.05	8,744.05
Legislative/Reg/Strategic	4,000.00	4,000.00	8,000.00
Municipal Advisor	0.00	1,925.00	1,925.00
Operations/Simulation Modeling	0.00	60,522.55	60,522.55
Organizational Assessment	7,673.14	0.00	7,673.14
Permitting & Agreements	0.00	41,235.90	41,235.90
Program Ops Manager	0.00	29,107.10	29,107.10
Project Controls	0.00	106,709.01	106,709.01
Project Integration	0.00	172,196.08	172,196.08
Reservoir Operations	0.00	1,101.00	1,101.00
Special Legal	0.00	18,450.90	18,450.90
Total Professional Fees	36,570.91	553,995.94	590,566.85
Website, Data, Computer Support	186.42	745.66	932.08
Total Expense	44,257.67	594,151.48	638,409.15
Net Ordinary Income	-44,257.67	-594,151.48	-638,409.15
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	340.49	5,328.18	5,668.67
Total Interest Income	340.49	5,328.18	5,668.67
Total Other Income	340.49	5,328.18	5,668.67
Net Other Income	340.49	5,328.18	5,668.67
Net Income	**-43,917.18**	**-588,823.30**	**-632,740.48**

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through February 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Income			
Membership Admin/Authority	505,000.00	0.00	505,000.00
Total Income	505,000.00	0.00	505,000.00
Gross Profit	505,000.00	0.00	505,000.00
Expense			
Accounting Expense	922.80	3,691.20	4,614.00
Admin Support Gen Manager	1,772.82	7,091.28	8,864.10
Bank Service Fees	71.09	282.72	353.81
General Manager			
Services	11,740.41	67,966.29	79,706.70
Total General Manager	11,740.41	67,966.29	79,706.70
Insurance - Property	200.00	50.00	250.00
Insurance Liability	0.00	1,604.25	1,604.25
Office Expenses			
Cleaning	400.00	0.00	400.00
Misc Office & Operating Exp	427.93	1,417.04	1,844.97
Pest Control & Maintenance	130.00	0.00	130.00
Trash Service	71.90	0.00	71.90
Water Expense	97.25	0.00	97.25
Total Office Expenses	1,127.08	1,417.04	2,544.12
Professional Fees			
Bus/Comm Manager	0.00	44,567.45	44,567.45
Communication	16,035.76	20,593.79	36,629.55
Cost Development Model	0.00	710.60	710.60
Cultural Study	0.00	3,885.55	3,885.55
Engineering & Technical Service	0.00	63,219.64	63,219.64
EPP Manager	0.00	61,710.06	61,710.06
Federal Government Affairs Supp	15,000.00	15,000.00	30,000.00
General Legal Counsel	10,717.76	5,568.50	16,286.26
Geotechnical Engineering	0.00	13,223.01	13,223.01
Legislative/Reg/Strategic	8,000.00	8,000.00	16,000.00
Municipal Advisor	0.00	12,450.00	12,450.00
Operations/Simulation Modeling	0.00	119,262.31	119,262.31
Organizational Assessment	16,666.19	0.00	16,666.19
Permitting & Agreements	0.00	62,999.85	62,999.85
Program Ops Manager	0.00	58,060.84	58,060.84
Project Controls	0.00	181,641.14	181,641.14
Project Integration	0.00	310,192.16	310,192.16
Real Estate	0.00	761.67	761.67
Reservoir Operations	0.00	1,101.00	1,101.00
Special Legal	0.00	18,450.90	18,450.90
Total Professional Fees	66,419.71	1,001,398.47	1,067,818.18
Website, Data, Computer Support	500.54	2,002.13	2,502.67
Total Expense	82,754.45	1,085,503.38	1,168,257.83
Net Ordinary Income	422,245.55	-1,085,503.38	-663,257.83
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	1,236.04	11,393.78	12,629.82
Total Interest Income	1,236.04	11,393.78	12,629.82
Total Other Income	1,236.04	11,393.78	12,629.82
Net Other Income	1,236.04	11,393.78	12,629.82

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through February 2020

	Authority	Reservoir	TOTAL
Net Income	423,481.59	-1,074,109.60	-650,628.01

Sites

Topic: **Authority Board Agenda Item 5-2 2020 March 25**

Subject: **Payment of Claims**

Requested Action:

Consider approval of the Payment of Claims as presented in Attachment 5-2A with supporting details provided in Attachment 5-2B.

Detailed Description/Background:

Attachment A presents the warrants to be drawn against the invoices received by the Sites Project Authority through March 2, 2020.

Attachment B summarizes details of the invoices received through March 2, 2020 and how the incurred costs are allocated between the Authority and Reservoir Committee.

Prior Action:

None.

Fiscal Impact/Funding Source:

Total Payment of Claims is $641,112.52 with $44,226.44 of costs being assigned to the Authority and $596,886.08 assigned to the Reservoir Committee.

For the Reservoir Committee assigned amount, $534,965.68 will be paid through the WSIP account and $106,146.84 through the JPA/Sites account as shown in Attachment B.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: March 2020 Report on warrants to be drawn for Payment of Claims.

Attachment B: March 2020 Monthly Invoice Summary table.

Draft_0028356

WARRANTS DRAWN AGAINST
Sites Project Authority-WSIP Fund
March 25, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
1013	03/12/2020	AECOM Inc.	Engineering	38,838.46
1014	03/12/2020	Brown and Caldwell	Project Controls	106,709.01
1015	03/12/2020	CH2M	Operations/SIM Modeling	60,522.55
1016	03/12/2020	Colusa Indian Community Council	Tribal Council	3,885.55
1017	03/12/2020	Forsythe Group LLC	EPP Manager	30,660.62
1018	03/12/2020	Fugro USA Land, Inc.	Geotechnical Engineering Services	8,744.05
1019	03/12/2020	HDR Engineering Inc.	Project Integration	172,196.08
1020	03/12/2020	ICF Jones & Stokes Inc.	Env/Biological Services & Permitting	41,235.90
1021	03/12/2020	MBK Engineers Inc.	Reservoir Operations	1,101.00
1022	03/12/2020	Montegue DeRose and Associates, Inc.	Municipal Advisor	1,925.00
1023	03/12/2020	Perkins Coie LLP	Special Legal	18,450.90
1024	03/12/2020	Spesert Consulting	Bus/Comm Manager	21,589.46
1025	03/12/2020	Trapasso Consulting Services	Program Operations Manager	29,107.10

THE FOREGOING CLAIM, NUMBERED 1013-1025 ARE APPLIED TO THE WSIP FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **534,965.68**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

WARRANTS DRAWN AGAINST
Sites Project Authority- General Fund
March 25, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
2114	03/12/2020	Adept Solutions	IT & Related Services	932.08
2115	03/12/2020	Darling H2O Consulting, Inc.	Organizational Assessment	7,673.14
2116	03/12/2020	Dunn Consulting	Legislative/Regulatory/Strategic Support	8,000.00
2117	03/12/2020	JC Watson Inc.	GM Services	39,865.70
2118	03/12/2020	Katz and Associates Inc.	Communications	19,652.49
2119	03/12/2020	MR Cleaning Services	Office Cleaning	200.00
2120	03/12/2020	Maximum Pest Control	Pest Control	65.00
2121	03/12/2020	Mt Shasta Spring Water	Office Water	47.65
2122	03/12/2020	Recology Butte Colusa Counties	Office Trash Pickup	35.95
2123	03/12/2020	Rush Personnel Services Inc.	Administrative Services	4,983.30
2124	03/12/2020	The Ferguson Group	Fed Govt Affairs Support	15,000.00
2125	03/12/2020	U.S. Bank	Misc. Expenses	1,548.26
2126	03/12/2020	Young Wooldridge LLP	Legal Counsel	8,143.27

THE FOREGOING CLAIM, NUMBERED 2114-2126 ARE APPLIED TO THE GENERAL FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **106,146.84**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

Sites

March 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for March 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their March monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Adept Solutions	MSP-138726			$672.20	$134.44	$537.76	KMS
IT Related Services/Computer Equipment		*3/1/20*	03/20				
Adept Solutions	138721			$259.88	$51.98	$207.90	KMS
IT Related Services/Computer Equipment		*3/1/20*	1/20				
AECOM Technical Services, Inc.	2000330234			$38,838.46		$38,838.46	LEF
Engineering/Tech		*3/2/20*	2/20				
Bender Rosenthal, Inc. (G)	No Invoice						KMS
Real Estate							
Brown and Caldwell (B)	17363756			$106,709.01		$106,709.01	JAT
Project Controls		*2/12/20*	1/20				
Capital Project Strategies, LLC	No Invoice						JCW
Alternative Project Delivery Advisor							
CH2M Hill Engineers (Operations) (D)	D3205400-010			$60,522.55		$60,522.55	AEF
Operations / Simulation Modeling		*2/19/20*	1/20				
Colusa Indian Community Council	01-31-20-01			$2,867.73		$2,867.73	SPE
Tribal Council		*1/31/20*	1/20				
Colusa Indian Community Council	02-29-20-01			$1,017.82		$1,017.82	SPE
Tribal Council		*2/29/20*	2/20				
Darling H2O Consulting, Inc.	117			$7,673.14	$7,673.14		FD
Organizational Assessment		*3/1/20*	2/20				
Dunn Consulting	Letter			$8,000.00	$4,000.00	$4,000.00	KMS
Legislative/Regulatory/Strategic Support		*3/3/20*	2/20				
Forsythe Group, LLC	SPA-202002			$30,660.62		$30,660.62	JCW
EPP Manager		*2/27/20*	2/20				
Fugro (I)	04.72190035-11			$8,744.05		$8,744.05	LEF
Geotechnical Engineering Services		*3/3/20*	2/20				
Gerald (Jerry) Johns	No Invoice						AEF
Project Operations							
HDR (A)	1200250916			$172,196.08		$172,196.08	All Agents
Project Integration		*2/22/20*	2/20				

Sites

March 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for March 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their March monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
ICF Jones & Stokes, Inc. (Environ.) (E)	No Invoice						AEF
Env/Biological Services							
ICF Jones & Stokes, Inc. (Permitting) (F)	0144836			$41,235.90		$41,235.90	AEF
Permitting and Agreements		*2/14/20*	1/20				
J.C. Watson, Inc.	SPA-055			$39,865.70	$5,874.23	$33,991.47	JRT/GA
General Manager Services		*3/1/20*	2/20				
Katz & Associates (C)	413590			$19,652.49	$11,791.50	$7,860.99	KMS
Communications		*2/13/20*	1/20				
K-Coe Isom, LLP	No Invoice						JAT
Accounting							
Larsen Wurzel & Associates, Inc.	No Invoice						JAT
Cost Development							
M.R. Cleaning Service	20			$200.00	$200.00		KMS
Office Cleaning		*2/29/20*	2/20				
Maximum Pest Control	50451			$65.00	$65.00		KMS
Pest Spraying		*2/14/20*	2/20				
MBK Engineers	20-01-4941.0			$1,101.00		$1,101.00	AEF
Reservoir Operations		*2/13/20*	1/20				
Montague DeRose & Associates, LLC	4802SITES			$1,925.00		$1,925.00	JCW
Municipal Advisor		*2/16/20*	1/20				
MT Shasta Water	471753			$47.65	$47.65		KMS
Office Water		*2/20/20*	2/20				
Perkins Coie, LLP	6146039			$18,450.90		$18,450.90	AEF
Special Legal		*2/25/20*	1/20				
Recology Butte Colusa Counties	37055688			$35.95	$35.95		KMS
Office Trash Pickup		*2/29/20*	2/20				
Rush Personnel	IVC000000135105			$1,058.40	$211.68	$846.72	KMS
Yolanda Tirado Services		*2/11/20*	2/20				
Rush Personnel	IVC000000135106			$1,278.90	$255.78	$1,023.12	KMS
Yolanda Tirado Services		*2/11/20*	2/20				

Sites

March 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for March 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their March monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Rush Personnel	IVC000000135423		$1,234.80	$246.96	$987.84	KMS
Yolanda Tirado Services	*2/25/20*	2/20				
Rush Personnel	IVC000000135424		$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services	*2/25/20*	2/20				
Spesert Consulting	3-20		$21,589.46		$21,589.46	JCW
Bus/Communications Manager	*3/4/20*	2/20				
Stradling, Yocca, Carlson & Rauth	No Invoice					JCW
Bond Counsel						
The Ferguson Group	0220162		$15,000.00	$7,500.00	$7,500.00	JAT
Federal Government Affairs Support	*2/1/20*	2/20				
Trapasso Consulting Services	SPA 17-29		$29,107.10		$29,107.10	JCW
Program Operations Manager	*3/3/20*	2/20				
U.S. Bank - Credit Card	Online 3/2		$1,548.26	$249.62	$1,298.64	KMS
Misc. Expenses	*3/2/20*	2/20				
Wiseman Consulting Group	No Invoice					KMS
ROW/Land Management						
Young Wooldridge, Law Offices, LLP	63615		$8,143.27	$5,606.27	$2,537.00	JCW
Legal Counsel	*2/29/20*	2/20				

		$ Total	$ Authority Board	$ Reservoir Committee
Monthly Summary	Cash Accounts	641,112.52	44,226.44	596,886.08
	WSIP	534,965.68		534,965.68
	JPA/Sites	106,146.84	44,226.44	61,920.40

Notes: *

Sites

Topic: **Authority Board Agenda Item 6-1** **2020 March 25**

Subject: **Report on Sites Project's Phase 2 Reservoir Committee Meeting – March 19, 2020**

Requested Action:

No action requested. Informational item.

Detailed Description/Background:

Reservoir Committee officers and/or Staff provide a report on the March 19, 2020 Sites Project Reservoir Committee meeting.

The Reservoir Committee's meeting package can be viewed at:

https://sitesproject.org/meetings/march-19-2020/

Prior Authority Board Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jim Watson

Attachments:

Attachment A – Sites Project Reservoir Committee Meeting Agenda – March 19, 2020.

Attachment B - Workgroup Signup Sheet.

Status:	Final	Preparer:	Trapasso	Phase: 2	Version: A
Purpose:	Staff Report	QA/QC:	Watson	Date:	2020 March 25
Caveat:	Informational	Authority Agent:	Watson	Ref/File #:	10.700
Notes:				Page: 1	of 1

Meeting:	**Phase 2 Reservoir Project Agreement**	**2020 March 19**
Subject:	**Reservoir Committee Meeting**	**1:00 PM – 4:00 PM**
Location:	Maxwell Project Office 122 Old Highway 99W, Maxwell, CA 95955	Call in: **1-844-531-9388** Code: **738 675 471**

WEBEX LINK

Chair: Thad Bettner (Glenn-Colusa Irrigation District)

Vice Chair: Jeff Davis (San Gorgonio Pass Water Agency)

Treasurer: Jamie Traynham (Davis Water District)

AGENDA

Notice: Pursuant to Executive Order N-25-20, issued by Governor Newsom on March 12, 2020, and guidance by the California Department of Public Health dated March 11, 2020, this meeting will be conducted by teleconference. The public may attend the meeting and offer public comments by phone, using the call-in number above, or in person, at the address above. Members of the Committee will participate by teleconference from other locations.

ROLL CALL & CALL TO ORDER: 10 min

- Introductions.
- Pledge of Allegiance.
- Approval of March 19, 2020 Agenda.
- Consider approving the February 21, 2020 Phase 2 Reservoir Committee Meeting Minutes.
- Announcement of Closed Session.
- Period for Public Comment. Max: 10 min

1. Member's Reports:

1.1 Chairpersons' Report: 15 min Bettner, Davis

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

- Workgroup assignments (Attachment 01-1A)

1.2 Reservoir Committee Participant Reports: 5 min Representatives

This time is set aside to allow Representatives or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

2. Consent Agenda: 0 min Watson

None.

3. Manager's Report: 15 min Watson

3.1 Discussion and possible direction to staff regarding the Sites Project's monthly status report. **(Attachment 3-1A)**

3.2 Discussion and possible direction to staff regarding Proposition 1, WSIP activities and WIIN Act Funding.

4. **2019 Ad Hoc Budget & Finance Workgroup**: 30 min Traynham

4.1 Consider accepting the Sites Project Authority Treasurer's Report. **(Attachment 4-1 A)**

4.2 Consider approval of the Reservoir Committee's portion of the monthly Payment of Claims. **(Attachments 4-2 A & B)**

5. **2019 Ad Hoc Environmental & Permitting Workgroup**: 10 min Bettner

Discussion and possible direction to staff regarding the ongoing activities of the Environmental and Permitting Workgroup.

6. **2019 Ad Hoc Reservoir Ops & Engineering Workgroup**: 20 min Kunde/Azevedo

6.1 Discussion and possible direction to staff regarding value planning efforts and the next steps to develop an updated project description.

7. **Updated Work Plan**:

7.1 Discussion and possible direction to staff regarding the development of the work plan for the period of performance starting September 1, 2020 and ending December 31, 2021 in accordance with a proposed Amendment 2 to the current Participation Agreement.

8. **Extending to Current Participation Agreement**:

8.1 Discussion and possible direction to staff regarding the proposed amendment to the current participation agreement and supporting documents.

RECESS:

9. **Closed Session**:

9.1 Public Employee Appointment (Gov. Code, § 54957)
Title: Executive Director

10. **Report from Closed Session**: 5 min Kuney

11. **Recap**: Watson

11.1 Agenda topics for next meeting?

- Presentation: Statewide water management actions: Department of Water Resources' perspective on Delta Conveyance.

11.2 Upcoming Reservoir Committee meeting:

Friday, April 17, 2020 9:00 AM
Maxwell Project Office
122 Old Highway 99W, Maxwell, CA 95955

ADJOURN:

PERIOD OF PUBLIC COMMENT: Any person may speak about any subject of concern, provided it is within the jurisdiction of the Reservoir Committee and is not already on today's agenda. The total amount of time allotted for receiving such public communication shall be limited to a total of 10 minutes per issue and each individual or group will be limited to no more than 3 minutes each within the 10 minutes allocated per issue. **Note:** No action shall be taken on comments made under this comment period.

ADA COMPLIANCE: Upon request, agendas will be made available in alternative formats to accommodate persons with disabilities. In addition, any person with a disability who requires a modification or accommodation to participate or attend this meeting may request necessary accommodation. Please make your request to the Board Clerk, specifying your disability, the format in which you would like to receive this Agenda and any other accommodation required no later than 24 hours prior to the start of the meeting.

Draft_0028366

Topic: **Sites Project - Reservoir Committee** **2020 March 16**

Workgroup Assignments for 2020

	Budget & Finance	Res. Ops & Engineering	Environmental & Permitting	Coordination
1	Jamie Traynham Chair	Mike Azevedo Vice Chair	Mike Azevedo	Thad Bettner Res Comm Chair
2	Thad Bettner	Thad Bettner	Thad Bettner Chair	Jeff Davis Res Comm Vice Chair
3	Rob Kunde	Rob Kunde Chair	Rob Kunde	Jamie Traynham Budget & Finance Chair
4	Eric Leitterman	Eric Leitterman	Eric Leitterman	Robert Cheng Budget & Finance Vice Chair
5	Robert Cheng Vice chair	Robert Cheng	Robert Cheng	Rob Kunde Res Ops & Eng. Chair
6	Bill Vanderwaal	Bill Vanderwaal	Bill Vanderwaal	Mike Azevedo Res Ops & Eng. Vice Chair
7	Dan Ruiz	Dan Ruiz	Jeff Davis	Thad Bettner Env & Permit Chair
8	Shelly Murphy	Bob Tincher	Heather Dyer Vice chair	Heather Dyer Env & Permit Vice Chair
9		Dirk Marks		
10		Rick Kaufman		
11		Amparo Flores		
12		Jeff Sutton (TC4)		
Staff	Joe Trapasso	Jim Watson	Ali Forsythe	Executive Director

NOTE: Workgroup meetings that have more than 10 representatives or designated alternates requires public noticing

Sites

Topic: **Authority Board** **Agenda Item 6-2** **2020 March 25**

Subject: **Value Planning**

Requested Action:

Discussion and possible direction to staff regarding value planning efforts and the next steps to develop an updated project description.

Detailed Description/Background:

The Ad Hoc Value Planning Workgroup was formed in late 2019 and continued to meet through early 2020. The Workgroup directed the efforts of Authority staff and the consultant team to formulate and evaluate a broader range of facilities that appear to be more affordable. On March 2, 2020, the Value Planning Work Group, through a sequential process that evaluated initial and refined facility alternatives, has identified a recommended set of facilities and range of alternatives that would provide the ability to adjust the Project as circumstances may dictate.

With the identification of a recommended project, the Value Planning Workgroup purpose is completed, and no further Workgroup meetings are planned.

A Sites workshop is scheduled for Monday, March 30, 2020. The workshop will provide an opportunity for participants to ask questions and provide feedback regarding the value planning study. The report will be finalized reflecting input from participants and a final report will be submitted to the Reservoir Committee and the Authority Board for approval in April.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Lee Frederiksen

Attachments:

None.

Sites

Topic: **Authority Board Agenda Item 6-3** **2020 March 25**

Subject: **September 1, 2020 through December 2021 Updated Work Plan (Amendment 2)**

Requested Action:

Discussion and possible direction to staff regarding the development of the updated work plan for the period of performance starting September 1, 2020 and ending December 31, 2021 in accordance with a proposed Amendment 2 to the current Participation Agreement.

Background:

To continue to advance the Sites Project beyond August 31, 2020, an amended participation agreement (Amendment 2) is needed that includes an additional funding commitment (aka cash call). These funds can then be leveraged using a combination of the Prop 1 (WSIP) early funding, which is capped at $40.8 million, and a portion of the $6 million WIIN Act appropriation that occurred in December. Based on estimates of local, state, and federal funding available, a work plan needs to be prepared and be based on the current level of local and state conditional investments and the current level of federal interest. The proposed goals of this work plan are:

a. To improve certainty related to the reservoir's operations and degree of operational integration with the State Water Project and Central Valley Project to produce benefits for both water supply and reliability purposes and water dedicated to environmental purposes;

b. To improve certainty related to the project's permitability through more-focused early agencies' consultations to then prepare applications for key federal and state permits and the state's water rights;

c. To complete the environmental analysis and documentation as required under CEQA and NEPA;

d. To improve certainty related to the project's affordability by advancing the level of engineering and implementing risk management to improve the accuracy of the cost estimates. In addition, pursue low interest financing and potential grants;

e. To continue to cultivate and strengthen partnerships with local landowners, communities, and key stakeholders that represent environmental, business, labor, and other interests;

f. To meet the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive the $775 million in construction funding;

g. To identify continued participation Go/No-Go decision points with staggered cash calls; and

h. To develop a successor participation agreement, work plan, and funding or finance plan to advance the Sites Project beyond December 31, 2021.

Proposed Schedule:

- ☑ January 17: Obtain input to overall goals, priorities, and milestones.
- ☑ February 21: Obtain input to *strawman* work plan (task list, initial milestones, and range of proposed cash calls) and proposed information package to be provided to each home board.
- ☐ March 19: Obtain input to an initial work plan (revised task list, more-defined CPM schedule, and cash call timing) and proposed information package.
- ☐ March 30: At a workshop, obtain input to the schedule, the proposed allocation of costs, and the proposed cash call timing for the purpose of developing a final draft of work plan for approval at the April meetings.
- ☐ April 17: Obtain final input and approval of the work plan to create Exhibit B to the amended participation agreement.

  NOTE: Authority Board's consideration to approve is scheduled for April 22.
- ☐ May 1: Distribute document package for Home Boards' consideration.
- ☐ July 9: Response from Home Board for inclusion into agenda package for July 16 Reservoir Committee meeting to:

  1. Consider approving the participation responses.
  2. Authorize preparation of the first invoice due in 30 days (i.e. September 1, 2020). Plan is to distribute invoices to home boards on or before July 31.
  3. If needed, authorize the rebalancing process.

  NOTE: Authority Board's consideration to approve is scheduled for July 22.
- ☐ August 21: Reservoir Committee approve consultant services contract amendments and initial set of task orders to issue near-term Notices to Proceed on or before September 1.
- ☐ September 1: Formal start of amendment 2 to the current participation agreement.

Updated Work Plan:

Process: Based on input from the Reservoir Committee, staff continued to develop a project schedule through 2021 (Attachment A), with particular focus given to the critical path. Based on the activities scheduled for September 1, 2020 through December 31, 2021, preliminary cost estimates were developed, and the schedule was cost loaded. The cash flow based on this exercise, including cash calls from the Reservoir Committee, is included in Attachment B. Based on this scenario, the cash call invoices totaling $100/AF would occur as follows:

- $60/AF, Due September 1, 2020.
- $40/AF, Due February 1, 2021.

Preliminary cost estimates by subject area are shown in Attachment C, reflecting highest priority spending on project permitting (36%), engineering in support of the project description and feasibility (15%), and the environmental planning documents (13%).

Based on the revenue scenario and focusing on the work plan goals as defined, the following activities are proposed to be **deferred beyond December 31, 2021**:

- Any additional repayment of Phase 1 contributed credit to participants.
- Payment of permit application fees.
- Purchasing of real estate.
- Early procurement of equipment.
- Development of alternative delivery bridging documents, which includes alternative delivery legal support.
- Development of a detailed Program Management Plan (PMP) and associated standards.
- Development of policies and procedures beyond those necessary to receive previously identified grants or funding agreements.
- Implementation of a Project Management Information System (PMIS) or an enterprise-wide solution for business and asset management (e.g. ERP).

Prior Action:

February 21, 2020: Staff provided information on three possible revenue scenarios and a task list for Amendment 2 (September 1, 2020 and ending December 31, 2021). Staff received direction to continue to develop the $100/AF revenue scenario assuming a reduction in participation up to 10%.

January 17, 2020: Staff provided information regarding the proposed process for developing a work plan. This work plan proposes to focus on improving certainty related to the operations, permitting, and affordability and meet the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive funding.

Fiscal Impact/Funding Source:

Not yet determined

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Project Schedule Through Dec 31, 2021 (Amendment 2)

Attachment B: Amendment 2 Cash Flow Curves, Reservoir Committee and Authority Board

Attachment C: Amendment 2 Work Plan Spend by Subject Area

Sites Reservoir Project

2020 March 25 Authority Board, Agenda Item 6-3, Attachment A

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
SITES PROJECT		548	02-Jan-20	25-Feb-22
MILESTONES		327	31-Aug-20	15-Dec-21
MS-001	Local Funding (Go/No-go #1)	0		31-Aug-20
MS-002	Local Funding (Go/No-go #2)	0		08-Jan-21
MS-003	Local Funding (Go/No-go #3)	0		15-Dec-21
LOCAL FUNDING		509	02-Jan-20	31-Dec-21
Execute Participation Agreement 1B		95	23-Jan-20	04-Jun-20
PA-010	Amend Task Orders	26	23-Jan-20	27-Feb-20
PA-020	Final Participation Agreement Amendment 1B	15	18-Mar-20	08-Apr-20
PA-030	Engineering Task Orders (HC, HR)	30	23-Jan-20	04-Mar-20
PA-040	Amendment 1B Exhibit B	5	01-Apr-20	08-Apr-20
PA-050	Executed 1B	0		04-Jun-20
Execute Participation Agreement 2		114	18-Mar-20	26-Aug-20
PA-120	Draft Participation Agreement Amend 2 for Home Board Review	15	18-Mar-20	08-Apr-20
PA-130	Home Board Review - Determine Participation Level	44	17-Apr-20	18-Jun-20
PA-140	Rebalance Participation	8	19-Jun-20	30-Jun-20
PA-150	Final Participation Agreement Amend 2 with Exhibits	0		30-Jun-20
PA-160	Execute Amendment 2	0		26-Aug-20
Execute Successor Participation Agreement 2		106	02-Aug-21	31-Dec-21
PA-210	Execute Successor Agreement	106	02-Aug-21*	31-Dec-21
Work Plan Amend 1B		40	02-Jan-20	26-Feb-20
WP-005	Incorporate Comments from Dec Res Comm Mtg	9	02-Jan-20*	14-Jan-20
WP-015	Prepare Final Work Plan	14	15-Jan-20	03-Feb-20
WP-020	Budget & Finance Work Group Review	5	04-Feb-20	10-Feb-20
WP-025	Work Plan 1B Approved	0		26-Feb-20
Work Plan Amend 2		153	28-Jan-20	01-Sep-20
Develop Schedule		29	28-Jan-20	06-Mar-20
WP-040	Develop Draft Schedule thru Dec 31, 2021	13	28-Jan-20	13-Feb-20
WP-060	Develop Final Schedule through Dec 2021	10	24-Feb-20	06-Mar-20
Work Plan Scope Document		50	28-Jan-20	06-Apr-20
WP-050	Draft Work Plan Task List	13	28-Jan-20	13-Feb-20
WP-070	Final Work Plan Scope Document	31	24-Feb-20	06-Apr-20
Work Plan Budget		50	28-Jan-20	06-Apr-20
WP-035	Budget Scenarios and Assumptions	2	11-Feb-20	12-Feb-20
WP-055	Draft Work Plan Budget	13	28-Jan-20	13-Feb-20
WP-090	Final Work Plan Budget	31	24-Feb-20	06-Apr-20
Amendment 2		103	07-Apr-20	01-Sep-20
WP-095	Final Amendment 2 Work Plan	5	07-Apr-20	13-Apr-20
WP-110	Develop Task Orders (Sep 2020 to Dec 2021)	51	14-Apr-20	24-Jun-20
WP-120	Work Plan 2 Approved	0		24-Jun-20
WP-130	Start of Amend 2	0	01-Sep-20	
VALUE PLANNING		73	02-Jan-20	13-Apr-20
Value Planning Analysis		44	02-Jan-20	03-Mar-20
VP-001	Refine Value Planning Options	3	02-Jan-20	06-Jan-20
VP-002	Screen Value Planning Options	20	07-Jan-20	03-Feb-20
VP-006	Qualitative Permit Screening	37	02-Jan-20	21-Feb-20

WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
VP-007	Qualitative Env Screening	37	02-Jan-20	21-Feb-20
VP-008	Qualitative Ops Screening	37	02-Jan-20	21-Feb-20
VP-013	Prepare Costs For Value Planning Alternatives	15	04-Feb-20	24-Feb-20
VP-014	Affordability Analysis	4	25-Feb-20	28-Feb-20
VP-015	Screen Alternatives	2	02-Mar-20	03-Mar-20
VP-020	Recommend Preferred Option	0		03-Mar-20
Value Planning Report		42	14-Feb-20	13-Apr-20
VP-025	Value Planning Draft Report	21	14-Feb-20	13-Mar-20
VP-070	Select and Confirm Preferred Project	21	16-Mar-20	13-Apr-20
VP-090	Value Planning Final Report	17	20-Mar-20	13-Apr-20
Preferred Project		0	13-Apr-20	13-Apr-20
VP-095	Approve Preferred Project	0		13-Apr-20
PROJECT DESCRIPTION		143	11-Feb-20	31-Aug-20
Determine Needs		56	11-Feb-20	28-Apr-20
PDE-10	Environmental Planning Needs	56	11-Feb-20	28-Apr-20
PDE-20	Permitting Needs	56	11-Feb-20	28-Apr-20
PDE-25	Engineering Needs	46	11-Feb-20	14-Apr-20
PDE-30	Operations Needs	10	11-Feb-20	24-Feb-20
PDE-35	Water Rights Needs (Reg Items/Study)	46	11-Feb-20	14-Apr-20
Components		60	01-Apr-20	24-Jun-20
PDE-40	Identify Alternatives for EIR/EIS	30	01-Apr-20	12-May-20
PDE-42	Determine Preliminary Project Construction Sequencing	30	13-May-20	24-Jun-20
PDE-45	Identify Project-Level vs Program Components (Planning)	47	14-Apr-20	18-Jun-20
PDE-47	Identify Project-Level vs Program Components (Permitting)	47	14-Apr-20	18-Jun-20
Develop Project Description		85	29-Apr-20	27-Aug-20
PDE-50	Develop Project Description Construction	85	29-Apr-20	27-Aug-20
PDE-55	Project Description Operations Criteria Complete (see Ops Tasks)	0		12-Jun-20
Project Description Complete		0	31-Aug-20	31-Aug-20
PDE-60	Project Description	0		31-Aug-20
OPERATIONS		486	02-Jan-20	29-Nov-21
Value Planning Operations		71	02-Jan-20	10-Apr-20
OP-005	Value Planning Model	37	02-Jan-20	21-Feb-20
OP-007	Stony Creek Evaluation	37	02-Jan-20	21-Feb-20
OP-010	Shasta Exchanges Post-Processing and Draft TM	37	02-Jan-20	21-Feb-20
OP-015	Value Planning TM/Document	20	03-Feb-20	28-Feb-20
OP-020	Storage to Release Ratios Table	15	10-Feb-20	28-Feb-20
OP-070	Reclamation Feasibility Complete (Milestone) - Critical Staffing Need	0		10-Apr-20*
Refine Operational Parameters		89	10-Feb-20	12-Jun-20
OP-110	Confirm Notched Fremont Weir Approach	44	10-Feb-20	09-Apr-20
OP-120	Model Reclamation with No Storage Investment	44	10-Apr-20	11-Jun-20
OP-130	Confirm Shasta Exchange Approach	44	10-Feb-20	09-Apr-20
OP-140	Confirm Sutter Bypass Approach	44	10-Feb-20	09-Apr-20
OP-150	Confirm Voluntary Agreement Weir Notching Approach	44	10-Feb-20	09-Apr-20
OP-160	Confirm Delta Water Quality Approach	44	10-Feb-20	09-Apr-20
OP-170	Confirm Sacramento River Mortality Approach	44	10-Feb-20	09-Apr-20
OP-180	Determine Baseline	30	10-Feb-20	20-Mar-20

WORKING DRAFT

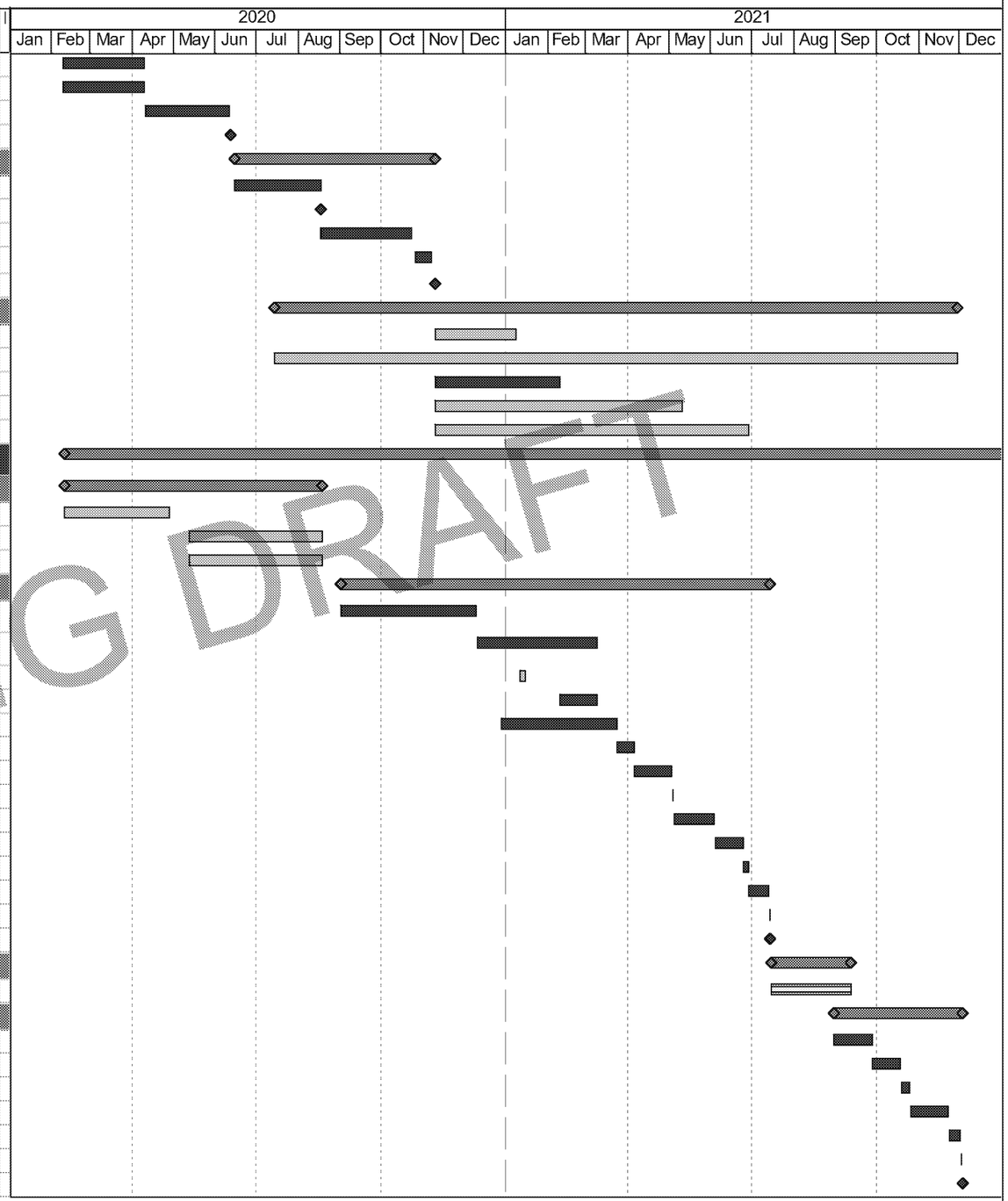
Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
OP-190	Confirm CVP/SWP Integration Approach	44	10-Feb-20	09-Apr-20
OP-200	Confirm Participation Demands Updated	44	10-Feb-20	09-Apr-20
OP-210	Confirm Model Refinements	44	10-Apr-20	11-Jun-20
OP-220	Finalize Operational Criteria	0		12-Jun-20
Full Operations Analysis		104	15-Jun-20	09-Nov-20
OP-410	Initial Modeling Evaluation	45	15-Jun-20	17-Aug-20
OP-420	Preliminary Results Available for Strategy Discussions	0		17-Aug-20
OP-430	Define and Finalize	48	18-Aug-20	23-Oct-20
OP-440	Power and Economics	10	26-Oct-20	06-Nov-20
OP-450	Final Operations Analysis's Output	0		09-Nov-20
Coordination		350	14-Jul-20	29-Nov-21
OP-320	Documentation for EIR/EIS and BA/ITP	40	10-Nov-20	08-Jan-21
OP-330	Ongoing Support to EPP, Engineering, & Financial	350	14-Jul-20	29-Nov-21
OP-340	Cumulative and Climate Change	62	10-Nov-20	09-Feb-21
OP-350	Bridging Simulation	126	10-Nov-20	10-May-21
OP-360	Ops Support for Local Feasibility - Water Commission	160	10-Nov-20	28-Jun-21
EIR/EIS		520	11-Feb-20	25-Feb-22
Work Plan & Outline		134	11-Feb-20	18-Aug-20
EIR-001	Prepare EIR/EIS Work Plan	56	11-Feb-20	28-Apr-20
EIR-010	Prepare Annotated Outline	68	13-May-20	18-Aug-20
EIR-015	Introduction Chapter/Recirculation Story	68	13-May-20	18-Aug-20
Draft EIR/EIS		220	01-Sep-20	14-Jul-21
EIR-019	Prepare Revised Draft EIR/EIS Analysis (Construction)	70	01-Sep-20	10-Dec-20
EIR-020	Prepare Revised Draft EIR/EIS Analysis (Operations)	61	11-Dec-20	09-Mar-21
EIR-021	Status Update to Board	5	11-Jan-21	15-Jan-21
EIR-025	Prepare Cumulative and Climate Change Sections	20	10-Feb-21	09-Mar-21
EIR-026	Prepare Complete Admin Draft EIR/EIS	60	29-Dec-20	23-Mar-21
EIR-030	Authority/Reclamation/Integration Review of Admin Draft EIR/EIS	9	24-Mar-21	05-Apr-21
EIR-040	Revise Draft EIR/EIS Based on Comments	20	06-Apr-21	03-May-21
EIR-045	Live Edit Meeting	1	04-May-21	04-May-21
EIR-050	Work Group & Legal Review of Revised Draft EIR/EIS	22	05-May-21	03-Jun-21
EIR-060	Resolve Work Group Comments	15	04-Jun-21	24-Jun-21
EIR-065	Live Edit Meeting	2	25-Jun-21	28-Jun-21
EIR-067	Final Editing, Formatting and Doc Production	10	29-Jun-21	13-Jul-21
EIR-070	Authority Approval of Release Revised Draft EIR/EIS	1	14-Jul-21	14-Jul-21
EIR-080	Release Revised Draft EIR/EIS and Issue Public Notices	0		14-Jul-21
Public Review		60	15-Jul-21	12-Sep-21
EIR-090	Public Review Period	60	15-Jul-21	12-Sep-21
Summary Report		67	30-Aug-21	03-Dec-21
EIR-095	Categorize and Sort Comments by Topic	20	30-Aug-21	27-Sep-21
EIR-100	Approach to Response to Comments	15	28-Sep-21	18-Oct-21
EIR-105	Authority/Reclamation/Legal Review	5	19-Oct-21	25-Oct-21
EIR-110	Prepare Summary Report	20	26-Oct-21	22-Nov-21
EIR-115	Work Group & Legal Review of Summary Report	5	23-Nov-21	01-Dec-21
EIR-117	Live Edit Meeting of Summary Report	1	02-Dec-21	02-Dec-21
EIR-120	Draft EIR Summary Report Submittal to CWC	0		03-Dec-21



WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
Response to Comments		90	19-Oct-21	25-Feb-22
EIR-130	Begin Preparation of Final EIR/EIS Including Response to Comments	90	19-Oct-21	25-Feb-22
PERMITTING		439	14-Apr-20	06-Jan-22
Biological Assessment		306	14-Apr-20	28-Jun-21
BA-001	Initial Desktop Analysis	60	01-Oct-20	28-Dec-20
BA-010	Mitigation Planning	202	14-Apr-20	29-Jan-21
BA-015	Adaptive Management Plan	202	14-Apr-20	29-Jan-21
BA-019	Confirm Federal Scope of Action (ESA)	38	14-Apr-20	05-Jun-20
BA-020	Permitting Construction Project Description	16	08-Jun-20	29-Jun-20
BA-022	Permitting Operations Project Description	20	20-Oct-20	16-Nov-20
BA-024	Prepare Construction Analysis	97	01-Oct-20	18-Feb-21
BA-025	Prepare Operations Analysis	60	17-Nov-20	12-Feb-21
BA-030	Finalize Admin Draft BA	20	19-Feb-21	18-Mar-21
BA-032	Submit Final Admin Draft BA	0		18-Mar-21
BA-035	Independent Review Draft BA	30	19-Mar-21	17-Apr-21
BA-055	Reclamation, Legal, and Work Group Review	30	19-Mar-21	17-Apr-21
BA-057	Revise Admin Draft BA	30	19-Apr-21	28-May-21
BA-060	Submit Revised Admin Draft BA to Redamation	0		28-May-21
BA-075	Final Reclamation and Solicitor Office Review	30	29-May-21	27-Jun-21
BA-100	Reclamation Submit BA to USFWS & NMFS	0		28-Jun-21
BO Incidental Take Authorization		135	28-Jun-21	09-Nov-21
BA-1110	BO Incidental Take Authorization	135	28-Jun-21	09-Nov-21
ITP - CESA (Se 2081) Operations		231	12-Jan-21	07-Dec-21
CES-190	Prepare Operations Analysis	30	12-Jan-21	22-Feb-21
CES-200	Additional Mitigation Planning	30	23-Feb-21	05-Apr-21
CES-210	Prepare Draft ITP Application	60	01-Jun-21	24-Aug-21
CES-220	Legal Review of Draft ITP App	30	25-Aug-21	23-Sep-21
CES-230	Work Group Review of Draft ITP App	30	25-Aug-21	23-Sep-21
CES-240	Revise Draft ITP Application	20	23-Sep-21	21-Oct-21
CES-250	Authority Board Approval of ITP App	30	21-Oct-21	06-Dec-21
CES-260	Submit ITP Application to CDFW	0		07-Dec-21
ITP - CESA (Se 2081) Construction		390	01-Oct-20	07-Dec-21
CES-015	Initial Desktop Analysis	30	01-Oct-20	11-Nov-20
CES-020	Prepare Terrestrial Analysis	30	12-Nov-20	28-Dec-20
CES-025	Mitigation Planning	30	29-Dec-20	09-Feb-21
CES-030	Prepare Draft ITP Application	60	01-Jun-21	24-Aug-21
CES-040	Legal Review of Draft ITP App	30	25-Aug-21	23-Sep-21
CES-060	Work Group Review of Draft ITP App	30	25-Aug-21	23-Sep-21
CES-070	Revise Draft ITP Application	20	23-Sep-21	21-Oct-21
CES-080	Authority Board Approval of ITP App	30	21-Oct-21	06-Dec-21
CES-090	Submit ITP Application to CDFW	0		07-Dec-21
Section 106		317	01-Sep-20	02-Dec-21
106-000	Confirmation of Section 106 Federal Lead Agency	0		08-Sep-20
106-001	Prepare SHPO Initiation Package	20	01-Sep-20	29-Sep-20
106-002	Authority/Reclamation/Integration Reviews	10	30-Sep-20	13-Oct-20
106-003	Management/Work Group Reviews	10	14-Oct-20	27-Oct-20
106-004	Revisions	10	28-Oct-20	10-Nov-20

WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
106-005	Reclamation Submits to SHPO	0		17-Nov-20
106-006	SHPO Review and Comments	30	18-Nov-20	17-Dec-20
106-021	Prepare Consultation Information Package	15	17-Dec-20	11-Jan-21
106-022	Authority/Reclamation/Integration Reviews	10	11-Jan-21	25-Jan-21
106-023	Management/Work Group Reviews	10	25-Jan-21	08-Feb-21
106-024	Revisions	10	08-Feb-21	22-Feb-21
106-025	Reclamation Distributes to SHPO and Invited Consulting Parties	5	22-Feb-21	01-Mar-21
106-026	Parties Accept Invitation for Consulting Party Status	30	02-Mar-21	31-Mar-21
106-032	Arrange Meeting Logistics and Prepare Materials	20	22-Feb-21	22-Mar-21
106-033	Conduct Meetings	10	01-Mar-21	15-Mar-21
106-042	Authority/Reclamation/Integration Reviews	10	30-Mar-21	13-Apr-21
106-043	Management/Work Group Reviews	10	13-Apr-21	27-Apr-21
106-044	Revisions	10	27-Apr-21	11-May-21
106-052	Circulate Draft PA to SHPO and Consulting Parties	5	27-Apr-21	04-May-21
106-053	Deadline for Comments on Draft PA	30	05-May-21	03-Jun-21
106-061	Prepare Draft Final PA	20	03-Jun-21	01-Jul-21
106-062	Authority/Reclamation/Integration Reviews	10	01-Jul-21	16-Jul-21
106-063	Management/Work Group Reviews	10	16-Jul-21	30-Jul-21
106-064	Revisions	10	30-Jul-21	13-Aug-21
106-072	Circulate Draft Final PA to SHPO and Consulting Parties	5	13-Aug-21	20-Aug-21
106-073	Deadline for Comments on Draft Final PA	30	21-Aug-21	19-Sep-21
106-081	Prepare Final PA	15	20-Sep-21	08-Oct-21
106-082	Authority/Reclamation/Integration Reviews	10	11-Oct-21	22-Oct-21
106-083	Management/Work Group Reviews	10	25-Oct-21	05-Nov-21
106-084	Revisions	10	08-Nov-21	19-Nov-21
106-091	Consult with SHPO and Consulting Parties on Final PA	5	22-Nov-21	30-Nov-21
106-092	Circulate Final PA to SHPO and Consulting Parties for Signatures	5	22-Nov-21	30-Nov-21
106-093	Deadline for Signatures on Final PA	0		02-Dec-21
Water Rights		341	01-Sep-20	06-Jan-22
WR-005	Water Availability Analysis & Planning/Coordination with SWRCB	210	01-Sep-20	29-Jun-21
WR-025	Prepare Water Right Application	121	15-Jul-21	06-Jan-22
Section 404		413	14-Apr-20	07-Dec-21
404-001	Agreement with Reclamation for LEDPA Analysis	15	14-Apr-20	04-May-20
404-003	USACE LEDPA & NEPA, 404/408 and WD Approach	88	05-May-20	08-Sep-20
404-010	Desktop Wetland Delineation Analysis (includes Waters of the State)	150	01-Oct-20	04-May-21
404-020	Submit Delineation to USACE	0		05-May-21
404-025	Pre-Application Meeting	1	01-Jun-21	02-Jun-21
404-030	Preliminary Wetland Delineation Acceptance	90	06-May-21	03-Aug-21
404-035	Prepare Compensatory Mitigation Plan	161	23-Feb-21	08-Oct-21
404-050	Prepare Draft 404 Application	42	03-Aug-21	01-Oct-21
404-060	Authority/Integration/Work Group Review of Draft 404 Application	20	11-Oct-21	05-Nov-21
404-070	Prepare Final 404 Application	20	08-Nov-21	07-Dec-21
404-120	Submit 404 Application	0		07-Dec-21
Section 408		341	01-Sep-20	06-Jan-22
408-005	Coordinate with CVFPB and USACE	20	01-Sep-20	29-Sep-20
408-010	Assume Start of 50% Design of 408 Jurisdictional Features	0		01-Jul-21
408-130	Prepare Draft Encroachment Permit/408 Request w/Engineering Team	129	05-Jul-21	06-Jan-22
Section 401 Water Quality		311	09-Sep-20	30-Nov-21

WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
401-120	Coordinate with RWQCB and SWRCB	84	09-Sep-20	08-Jan-21
401-130	Prepare Draft 401 Application	42	03-Aug-21	01-Oct-21
401-140	Authority/Integration/Work Group Review of Draft 401	20	01-Oct-21	29-Oct-21
401-150	Prepare Final 401 Application	20	29-Oct-21	30-Nov-21
401-160	Submit 401 Application	0		30-Nov-21
FEASIBILITY-LEVEL GEOTECH		337	01-Sep-20	30-Dec-21
GSR-010	Geotechnical Permitting & Planning, Right of Access	61	01-Sep-20	25-Nov-20
GSR-020	Conduct Geotechnical Field Investigation	85	30-Nov-20	30-Mar-21
GSR-030	Data Evaluation and Prepare Geotechnical Data Reports	107	29-Jan-21	29-Jun-21
GSR-040	Data Gap Assessment and Preliminary Planning for Design Level Inv for I	149	28-May-21	30-Dec-21
ENGINEERING		449	24-Mar-20	30-Dec-21
Support of Project Description		449	24-Mar-20	30-Dec-21
ENG-110	Engineering Consultants Brought On Board (complete prior to Sept 1)	0		24-Mar-20
ENG-120	Coordination of CADD and GIS Standards	5	25-Mar-20	31-Mar-20
ENG-130	Coordination to Identify Alternatives for EIR/EIS & Related Studies	5	25-Mar-20	31-Mar-20
ENG-140	Coordination to Finalize Storage & Conveyance Capacities	5	25-Mar-20	31-Mar-20
ENG-145	Coordination with Reclamantion on Approach	5	25-Mar-20	31-Mar-20
ENG-150	Gather Data from Prior Draft EIR/EIS	10	25-Mar-20	07-Apr-20
ENG-160	Prepare Project Base Map	20	01-Apr-20	28-Apr-20
ENG-170	Prepare Basis of Feasibility Design	22	01-Apr-20	30-Apr-20
ENG-180	Conduct Field Reviews (as needed)	5	01-Apr-20	07-Apr-20
ENG-190	Prepare Preliminary Feasibility Level Design	55	01-May-20	20-Jul-20
ENG-193	Develop Hydaulic Model	30	01-May-20	12-Jun-20
ENG-195	Prepare Final Feasibility Level Design	30	21-Jul-20	31-Aug-20
ENG-200	Develop CADD Drawings of Key Features	85	01-May-20	31-Aug-20
ENG-210	Provide Information on Key Project Features & Facilities	21	01-Sep-20	30-Sep-20
ENG-220	Convert Drawings to GIS Geodatabase File Format to Support Environm	21	01-Sep-20	30-Sep-20
ENG-230	Support to Environmental and Permitting Team	316	01-Oct-20	30-Dec-21
Cost Estimate, Feasibility, Constructability		295	01-Oct-20	30-Nov-21
ENG-240	Identify Project Objectives	11	01-Oct-20	15-Oct-20
ENG-250	Feasibility Project Description	0		15-Oct-20
ENG-260	Obtain Operations Simulation Results of Preferred Option	0		16-Nov-20
ENG-270	Develop Costs Associated with Mitigation Measures	15	16-Oct-20	05-Nov-20
ENG-280	Develop Class 4 Cost Estimate (State Feasibility)	25	17-Nov-20	23-Dec-20
ENG-290	Risk and Uncertainty Assessment	20	06-Nov-20	07-Dec-20
ENG-300	Coordinate with Operations to Confirm Project Benefits	45	17-Nov-20	22-Jan-21
ENG-310	Project Benefits Consist with the Ops Plan	40	08-Dec-20	03-Feb-21
ENG-320	Cost Allocation	20	04-Feb-21	03-Mar-21
ENG-330	Technical Feasibility	20	04-Feb-21	03-Mar-21
ENG-340	Obtain the Finding of Env Feasibility with Mitigation	5	04-May-21	10-May-21
ENG-370	Constructability	20	04-Mar-21	31-Mar-21
ENG-400	Develop DSOD Engagement Plan	96	15-Jul-21	30-Nov-21
ENG-404	Coordinate on a Geotechnical Investigation Plan	96	15-Jul-21	30-Nov-21
ENG-406	Coordinate to Develop Geotechnical Permitting Plan	96	15-Jul-21	30-Nov-21
ENG-410	Develop Program Design/Construction/Permit Implementation Plan	96	15-Jul-21	30-Nov-21
ENG-420	Advance Preliminary Hydraulics Model	40	20-May-21	16-Jul-21
ENG-440	Final Hydraulics Model	23	19-Jul-21	18-Aug-21

WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
Economics and Financial		20	04-Mar-21	31-Mar-21
Feasibility Report		20	04-Mar-21	31-Mar-21
ENG-350	Economic Feasibility	5	04-Mar-21	10-Mar-21
ENG-360	Financial Feasibility	20	04-Mar-21	31-Mar-21
Authority Feasibility Report		70	01-Apr-21	09-Jul-21
Feasibility Report		70	01-Apr-21	09-Jul-21
ENG-380	Prepare Draft Feasibility Report	30	01-Apr-21	12-May-21
ENG-382	Executive Summary (Storyboard)	5	01-Apr-21	07-Apr-21
ENG-384	Executive Summary Final	5	06-May-21	12-May-21
ENG-385	Work Group Review	5	13-May-21	19-May-21
ENG-390	Prepare Final Feasibility Report	30	20-May-21	01-Jul-21
ENG-392	Reservoir Committee and Board Approval	5	05-Jul-21	09-Jul-21
PROP 1		289	23-Oct-20	15-Dec-21
CWC Feasibility Review		180	31-Mar-21	15-Dec-21
CWC-391	Release for CWC Review Pkg 1: Engineering	0		09-Jul-21
CWC-393	Release for CWC Review Pkg 2: Economic and Finance	0		31-Mar-21
CWC-396	Release for CWC Review Pkg 3: Environmental with Res Ops	0		03-Dec-21
CWC-397	CWC Review Pkg 1: Engineering	30	12-Jul-21	20-Aug-21
CWC-407	CWC Review Pkg 2: Economic and Finance	30	01-Apr-21	12-May-21
CWC-417	CWC Review Pkg 3: Environmental with Res Ops	8	06-Dec-21	15-Dec-21
CWC Determination		289	23-Oct-20	15-Dec-21
CWC-420	Provide CWC with Revised Public Benefits	0		23-Oct-20
CWC-450	CWC Public Review Draft EIR/EIS	30	15-Jul-21	25-Aug-21
CWC-500	State Determination of Feasibility	0		15-Dec-21*
PROJECT OPERATIONS AND FINANCES		509	02-Jan-20	31-Dec-21
REAL ESTATE		509	02-Jan-20	31-Dec-21
Real Estate Landowner Coordination		509	02-Jan-20	31-Dec-21
RE-200	Real Estate Landowner Coordination	171	02-Jan-20	31-Aug-20
RE-210	Real Estate Landowner Coordination	338	01-Sep-20	31-Dec-21
COMMUNICATIONS & GOVERNMENT AFFAIRS		509	02-Jan-20	31-Dec-21
CG-000	Communication & Government Affairs	171	02-Jan-20	31-Aug-20
CG-10	Communication & Government Affairs	338	01-Sep-20	31-Dec-21
Organizational Assessment		255	02-Jan-20	31-Dec-20
ORA-000	Organizational Assessment Direction	171	02-Jan-20	31-Aug-20
ORA-010	Organizational Assessment	84	01-Sep-20	31-Dec-20
Executive Director		338	01-Sep-20	31-Dec-21
EXD-010	Executive Director	338	01-Sep-20	31-Dec-21
Contract Amendments		486	04-Feb-20	31-Dec-21
CON-010	Contract Management	338	01-Sep-20	31-Dec-21
CON-022	Revise Service Area Task Orders for Approval	20	04-Feb-20	02-Mar-20
Invoicing & Reporting		499	16-Jan-20	31-Dec-21
INV-032	Prepare 4Q2019 CWC invoice	17	16-Jan-20*	07-Feb-20
INV-033	Prepare Q12020 CWC invoice	18	16-Jan-20*	10-Feb-20
REP-010	Quarterly Reporting	338	01-Sep-20	31-Dec-21
REP-020	Annual Reporting	338	01-Sep-20	31-Dec-21
Financial Assistance Agreement		55	14-Jan-20	30-Mar-20

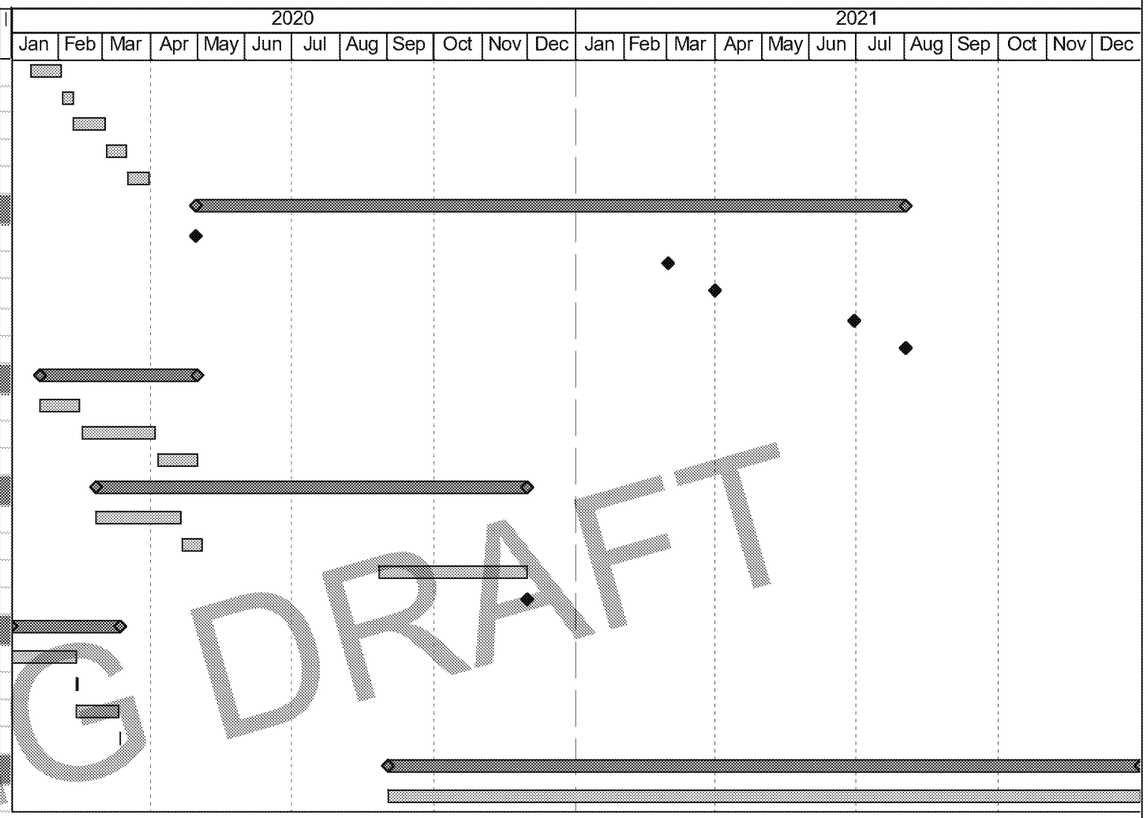
WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Sites Reservoir Project

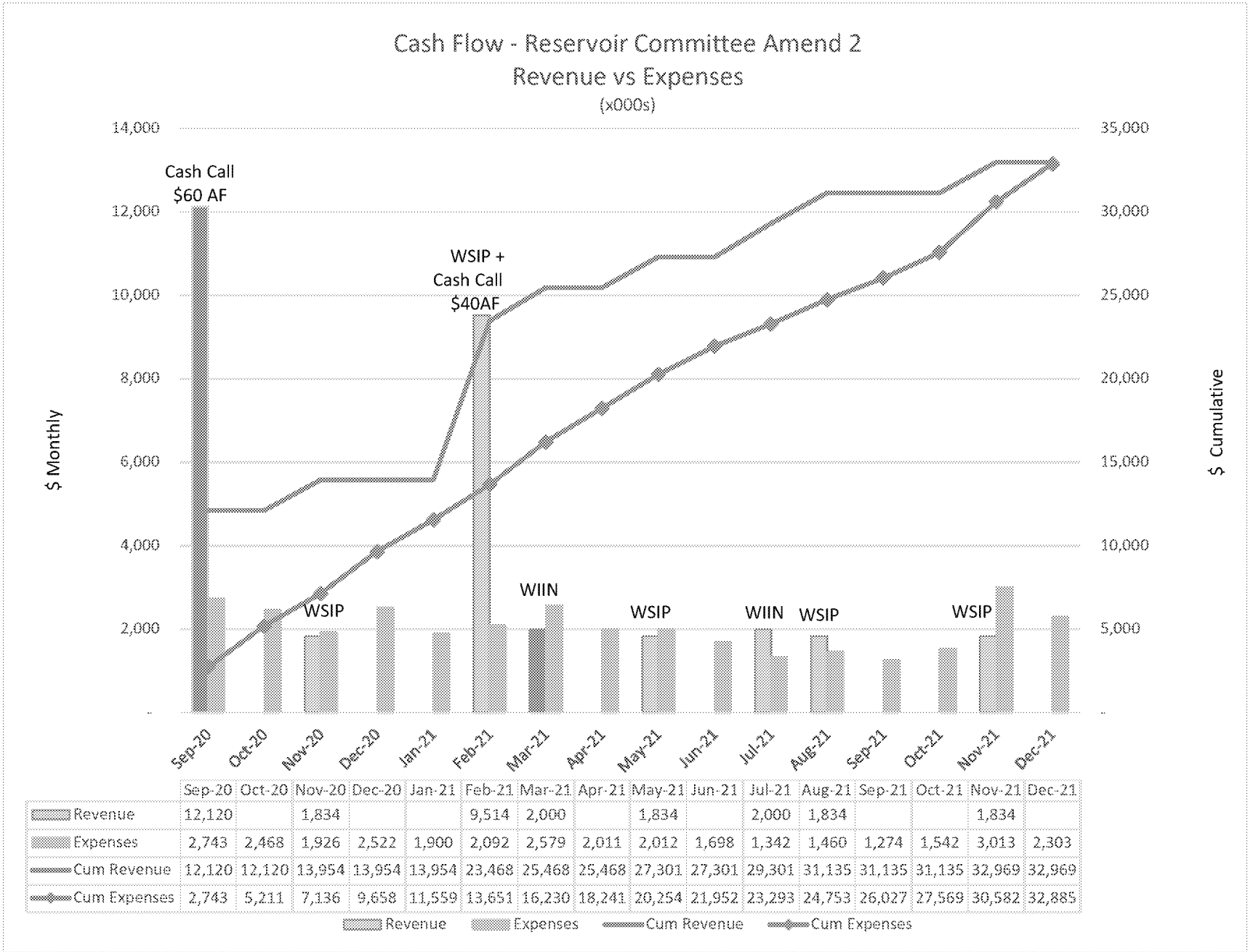
Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
FAA-005	Prepare Draft FA Scope and Form 424	15	14-Jan-20	03-Feb-20
FAA-010	Review Scope with USBR	5	04-Feb-20	10-Feb-20
FAA-015	Prepare Budget Estimates, Narrative and Form 424A/B, SFLLL	15	11-Feb-20	02-Mar-20
FAA-020	Review with USBR	10	03-Mar-20	16-Mar-20
FAA-025	Finalize Draft FA	10	17-Mar-20	30-Mar-20
Plan of Finance		319	30-Apr-20	02-Aug-21
POF-005	Plan of Finance Update 1 (Update Affordability)	0	30-Apr-20*	
POF-010	Plan of Finance (Fin Feas)	0	01-Mar-21*	
POF-015	Plan of Finance (WIFIA Loan)	0	01-Apr-21*	
POF-020	WIFIA/LOI	0	30-Jun-21*	
POF-025	Plan of Finance (Home Board Pkg)	0		02-Aug-21*
WIFIA Loan Application		75	20-Jan-20	01-May-20
WL-005	Prepare Initial Loan Application	20	20-Jan-20*	14-Feb-20
WL-010	Review Loan Application	35	17-Feb-20	03-Apr-20
WL-015	Prepare Loan Application for Facilities	20	06-Apr-20	01-May-20
Risk Assessment		195	25-Feb-20	30-Nov-20
RA-005	Risk Analysis of Recommend Option Meeting	40	25-Feb-20	20-Apr-20
RA-010	Prepare Cost Risk Update	10	21-Apr-20	04-May-20
RA-020	Prepare RA	66	26-Aug-20	30-Nov-20*
RA-030	Submit Admin Final RA	0		30-Nov-20*
Cost of Year Deliveries		51	02-Jan-20	12-Mar-20
CYD-005	Prepare Progress Report	30	02-Jan-20	12-Feb-20
CYD-010	In-progress Briefing	1	13-Feb-20	13-Feb-20
CYD-015	Prepare Final Report	20	13-Feb-20	11-Mar-20
CYD-020	In-progress Briefing	1	12-Mar-20	12-Mar-20
Strategic Planner		338	01-Sep-20	31-Dec-21
STP-010	Strategic Planner	338	01-Sep-20	31-Dec-21



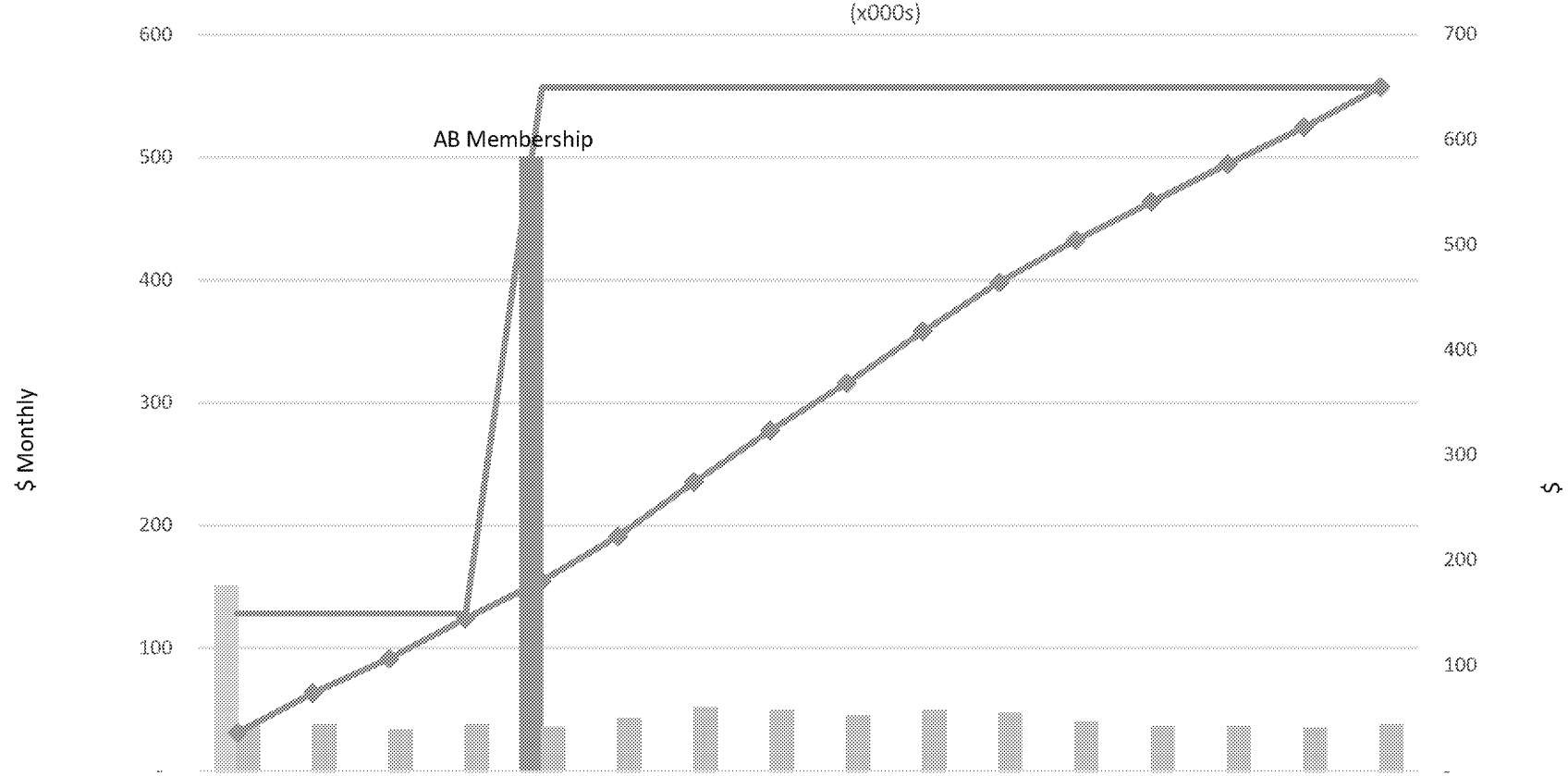
WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary



	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21
Revenue	12,120		1,834			9,514	2,000		1,834		2,000	1,834			1,834	
Expenses	2,743	2,468	1,926	2,522	1,900	2,092	2,579	2,011	2,012	1,698	1,342	1,460	1,274	1,542	3,013	2,303
Cum Revenue	12,120	12,120	13,954	13,954	13,954	23,468	25,468	25,468	27,301	27,301	29,301	31,135	31,135	31,135	32,969	32,969
Cum Expenses	2,743	5,211	7,136	9,658	11,559	13,651	16,230	18,241	20,254	21,952	23,293	24,753	26,027	27,569	30,582	32,885

Cash Flow - Authority Board Amend 2
Revenue vs Expenses
(x000s)

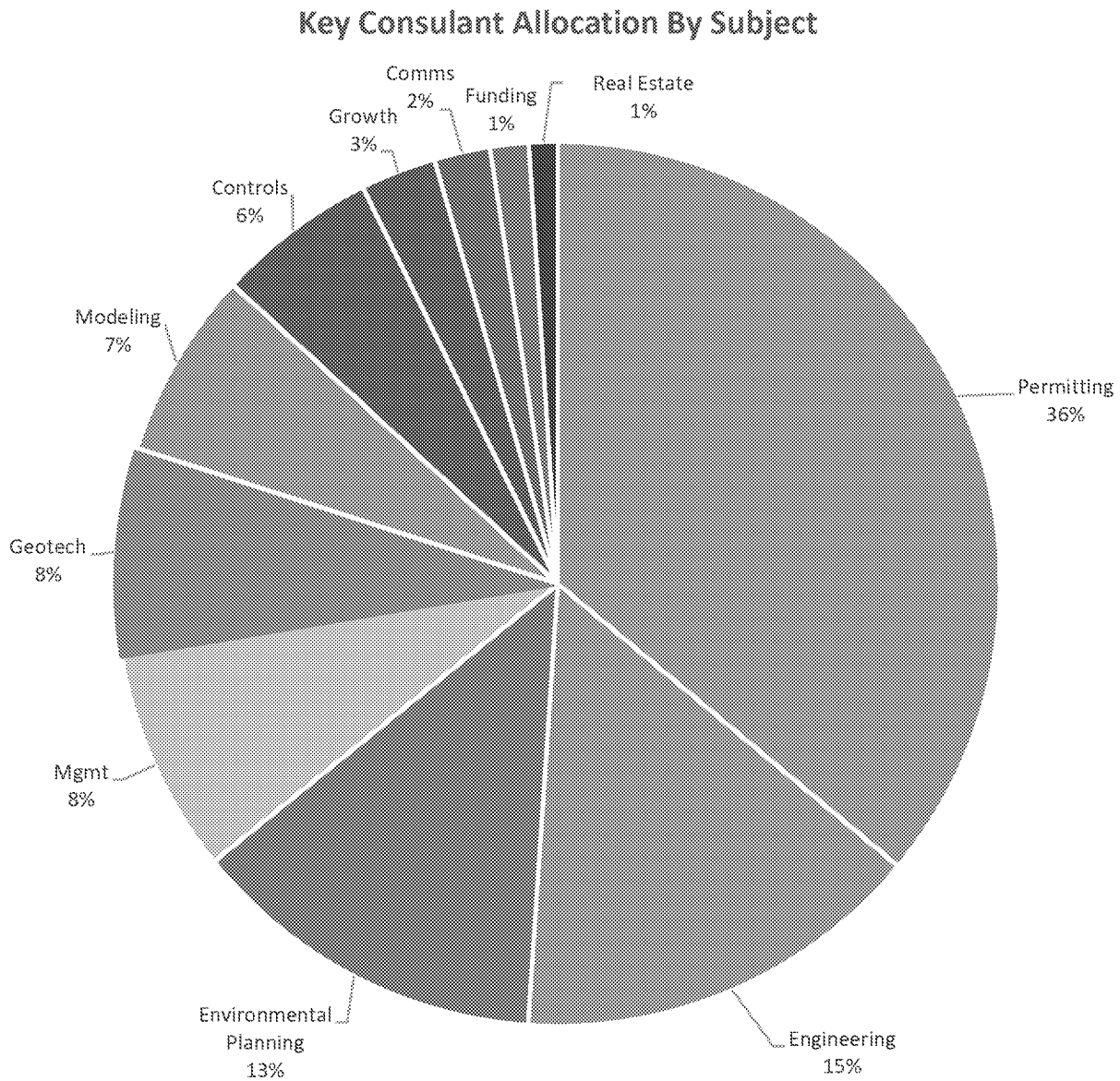


	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21
Revenue	150				500											
Expenses	36	38	33	38	36	42	51	49	45	49	47	40	36	36	35	38
Cum Revenue	150	150	150	150	650	650	650	650	650	650	650	650	650	650	650	650
Cum Expenses	36	74	107	145	181	223	275	324	369	418	465	505	541	577	612	650

Revenue Expenses Cum Revenue Cum Expenses

Summary Tasks Resource Name Funding Type

Budgeted Cost



Sites

Topic: **Authority Board Agenda Item 6-4** **2020 March 25**

Subject: **Extending to Current Participation Agreement**

Requested Action:

Discussion and possible direction to staff regarding the proposed amendment to the current participation agreement and supporting documents.

Detailed Description/Background:

In an effort to support the individual Reservoir Committee members to continue to garner support from their home boards for advancing the Amendment 2 work plan and associated cash call, staff is developing a package of documents that you can use to inform your home boards. This home board package is proposed to include the following:

- Amended Phase 2 Participation Agreement with update Exhibit B (summarized work plan).
- Value Planning Report that summarizes the efforts and proposed changes to "right size" the project.
- Draft Storage Policy that summarizes how each participant can utilize their share of the reservoirs' storage.
- 2019 Annual Report.
- A four-page prospectus key accomplishments and summarizing other on-going activities as well as identifying activities that will be focused upon under an additional cash call scenario.
- A template PowerPoint presentation that can be modified to fit the needs of your respective home boards.

Proposed Schedule:

March 19, 2020 – Provide working draft handout of the prospectus for comment.

March 19, 2020 – Receive direction from the committee if additional items are needed for to take to their home boards.

March 30, 2020 – Hold workshop to refine prospectus and power point presentation.

April 17, 2020 – Obtain final input and approval of the document package.

NOTE: Authority Board's consideration is scheduled for April 22.

May 1, 2020 – Distribute document package to representatives for use with their respective Board.

July 9, 2020 – Response from Home Board for inclusion into agenda package for July 16 Reservoir Committee meeting to:

1. Consider approving the participation responses.
2. Authorize preparation of the first invoice due in 30 days (i.e. September 1, 2020). Plan is to distribute invoices to home boards on or before July 31.
3. If needed, authorize the rebalancing process.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

None. A power point presentation will be presented at the meeting.

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JERRY BROWN, EXECUTIVE DIRECTOR
925.260.7417

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members *(NON-VOTING)*

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

Notice: Pursuant to Executive Orders N-25-20 & N-33-20, issued by Governor Newsom on March 12, 2020, and guidance by the California Department of Public Health dated March 11, 2020, this meeting will be conducted by teleconference. The public may attend the meeting and offer public comments by phone, using the call-in number provided below, or in person, at the address above. Members of the Committee will participate by teleconference from other locations.

May 27, 2020 ***1:30 p.m.***
Sites Project Authority
Agenda

Teleconference: **1-408-418-9388** Code: **967 741 493** WebEx Link

Welcome to a meeting of the Sites Joint Powers Authority. If you are scheduled to address the Board, please state your full name for the record. Regularly numbered items may be considered at any time during the meeting. All items are listed in accordance with the Ralph M. Brown Act. We invite all members of the public to attend.

CALL TO ORDER:

- Pledge of Allegiance.
- Introductions.
- Approve the May 27, 2020 Sites Project Authority Agenda.
- Period of Public Comment.

1. **Consent Agenda:** Approximate start time 1:40 pm

The following items have been reviewed by the Executive Director. To his knowledge, there is no opposition to the action. The items can be acted on in one consolidated motion as recommended or may be removed from the Consent Calendar and separately considered at the request of any person.

1.1 Consider approval of the April 22, 2020 Sites Project Authority Meeting Minutes.

1.2 Consider acceptance of the Sites Project Authority Treasurer's Report. **(Attachment 1.2 A)**

1.3 Consider approval of the monthly Payment of Claims. **(Attachment 1.3A,B,C)**

1.4 Consider approval of a consulting contract with The Catalyst Group for facilitation services in an amount not to exceed $50,000 and approve a time extension through December 31, 2020 for the existing contract with Darling H2O.

1.5 Consider approval of Resolution No. 2020-01, providing for an application to the USBR for a Financial Assistance Agreement for WIIN Act funds. **(Attachment 1.5A)**

2. Action Items: Approximate start time 1:45 pm

2.1 Consider acceptance of the attached Exhibit B (2020 and 2021 budget) to the Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the results of the "soft call". Consider approving one of the following actions:

A. Approve moving to the "hard call" and the use of the attached participation table to create a draft Exhibit A to be included with Amendment 2.

B. Direct staff to begin outreach to potential participants based on the priority system defined in participation agreements and the credit reimbursement policy to explore interest in Sites capacity, if available. **(Attachment 2.1 A & B)**

2.2 Consider approval of the draft Second Amendment to 2019 Reservoir Project Agreement. **(Attachments 2.2 A &B)**

2.3 Consider acceptance of the 2020-2021 Sites Project Federal Government Affairs Priorities. **(Attachment 2.3A)**

2.4 Consider approval of Resolution 2020-02 commending Mr. Jim Watson for his 5 years of dedicated service to the Sites Reservoir Project. **(Attachment 2.4 A)**

3. Discussion and Information Items: Approximate start time 2:45 pm

3.1 Review and Comment on Recirculated EIR and key permits milestone schedule and key decision points. **(Attachment 3.1A)**

3.2 Review and Comment on Outreach Efforts to Communicate the Rightsized Project.

3.3 Review and Comment on Efforts to Secure Proposition 1 Water Storage Investment Program implementation modifications in light of the continuing

effects of the COVID-19 pandemic on local government and water agency finances. **(Attachment 3.3 A &B)**

3.4 Review and Comment on the Reservoir Committee Workgroup and Authority Board Committee Structure and Assignments. **(Attachment 3.4 A & B)**

4. Reports: Approximate start time 3:30 pm

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

4.1.2 Committee Chairpersons' Report:

This time is set aside to allow the Committee Chairpersons' an opportunity to disclose/discuss items related to the Sites Project.

4.1.3 Authority Board Participant Reports:

This time is set aside to allow Directors or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

4.2 Executive Director's Report:

- Sites Project's monthly status report. **(Attachment 4-2 A)**
- Reservoir Committee update.

5. Future Meetings and Schedules: Approximate start time 4:20 pm

5.1 Suggested Future Agenda Items.

5.2 Upcoming meetings:

RESERVOIR COMMITTEE (NOTE DATE CHANGED FROM JUNE 19 TO JUNE 18)

THURSDAY, JUNE 18, 2020 1:00 PM
CALL NUMBER AND LOCATION TBD

AUTHORITY BOARD

WEDNESDAY, JUNE 24, 2020 1:30 PM
CALL NUMBER AND LOCATION TBD

ADJOURN

PERIOD OF PUBLIC COMMENT: Any person may speak about any subject of concern, provided it is within the jurisdiction of the Directors and is not already on today's agenda. The total amount of time allotted for receiving such public communication shall be limited to a total of 15 minutes per issue and each

individual or group will be limited to no more than 5 minutes each within the 15 minutes allocated per issue. ***Note:*** *No action shall be taken on comments made under this comment period.*

ADA COMPLIANCE: Upon request, agendas will be made available in alternative formats to accommodate persons with disabilities. In addition, any person with a disability who requires a modification or accommodation to participate or attend this meeting may request necessary accommodation. Please make your request to the Board Clerk, specifying your disability, the format in which you would like to receive this Agenda and any other accommodation required no later than 24 hours prior to the start of the meeting.

All supporting documentation is available for public inspection and review in the Sites Project Authority office located at 122 Old Highway 99 West Maxwell, CA 95955 during regular business hours 8:30 a.m. to 5:00 p.m., Monday through Friday.

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JERRY BROWN, EXECUTIVE DIRECTOR
925.260.7417

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members *(NON-VOTING)*

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

April 22, 2020 ***1:30 p.m.***
Sites Project Authority
Minutes

The Sites Project Authority Board of Directors met in Regular Session on April 22, 2020 at the hour of 1:30 p.m. **Directors Present:** Fritz Durst, Chair, Jeff Sutton, Vice-Chair, Gary Evans, Logan Dennis, Doug Parker, Bruce Houdesheldt, Jeff Harris, Leigh McDaniel, Don Bader and Rob Cooke. **Directors Absent:** Joe Marsh. *(Other Alternate Directors that may be listed as present below, did not participate in the decision-making process).*

Staff present:	Jerry Brown, Executive Director Jim Watson, General Manager Scott Kuney, General Counsel Jamie Traynham, Treasurer Kevin Spesert, Joe Trapasso, Ali Forsythe, Lee Frederiksen, Yolanda Tirado, Sites Project Authority
Associate Members present:	Jamie Traynham, TC-4 Ted Trimble, Western Canal Water District
Alternate Directors present:	Brett Ewart, City of Sacramento/Sacramento County Water
Others present:	Natalie Wolder, Bureau of Reclamation JP Robinette, Marcia Kivett, Brown and Caldwell Ed Horton, PCWA Erin Heydinger, Robert Boling, HDR Connor McDonald, CDM West Thad Bettner, GCID Laurie Warner Herson, Phenix Sara Katz, Katz and Associates

Mr. Brown provided a brief update on the process to be used for this meeting (Remotely held). He also spoke to the restructuring of the Agenda.

Pledge of Allegiance.

INTRODUCTION:

Chairman Durst asked those present to introduce themselves.

Chairman Durst made time to consider approval of the April 22, 2020 Sites Project Authority Agenda.

Mr. Brown requested Agenda Item 2.1c be removed from the April 22, 2020 Agenda. He also briefly spoke to the structure of the Agenda.

Mr. Kuney stated there was a typo on the Government Code Section of Agenda Item 5.1 Closed Session and noted 54857 (b)(1) should be 54957(b)(1)).

AGENDA APPROVAL:

Action: It was moved by Director Evans, seconded by Director Harris to approve the April 22, 2020 Sites Project Authority Agenda, as amended. Motion carried: All Directors present voted yes.

ANNOUNCEMENT OF CLOSED SESSION:

Mr. Kuney announced the Authority Board would be considering Closed Session as follows:

Independent Contractor/Public Employee/Discipline/Dismissal/Release (Gov. Code, § 54954.5(e) and 54957(b)(1)).

PERIOD OF PUBLIC COMMENT:

Chairman Durst called for a period of public comment. Hearing none, he closed the period of public comment.

1. **Consent Agenda:** It was moved by Jeff Harris, seconded by Jeff Sutton to approve Consent Agenda Item Numbers 1.1 through 1.5 as follows:

1.1 Approval of the March 25, 2020 Sites Project Authority Meeting Minutes.

1.2 Acceptance of the Sites Project Authority Treasurer's Report.

1.3 Approval of the monthly Payment of Claims.

1.4 Approval of a consulting agreement with CH2M Hill Engineers, Inc. (CH2M) for Service Area HC – Engineering Conveyance and approve an initial task order and budget in the not to exceed amount of $597,023.00 for services through August 31, 2020.

1.5 Approval of a consulting agreement with AECOM for Service Area HR – Engineering Reservoir and approve an initial task order and budget for services in the not to exceed amount of $599,381.00 for services through August 31, 2020. Motion carried: All Directors present voted yes.

2. Action Items:

2.1 Consider acceptance of the following items that reflect the direction to be taken in advancing the Project through the next stage of development:

a. Consider approval of the final report titled "Sites Project Value Planning Alternatives Appraisal Report, dated April 13, 2020" and the recommendations presented within and a recommendation to the Sites Project Authority to approve the final report titled "Sites Project Value Planning alternatives Appraisal Report, April 13, 2020" and the recommendations presented within. **(Attachment 2-1.a A)**

b. Consider approval of the work plan with a period of performance of September 1, 2020 to December 31, 2021 for the following uses: Planning cash call timing for participating agencies, Producing a draft Exhibit A, "Amendment 2 Work Plan", to the Second Amendment to 2019 Reservoir Project Agreement and Developing consultant task orders for the next stage of project development. **(Attachment 2-1.b A)**

c. Consider approval of the draft Second Amendment to the 2019 Reservoir Project Agreement. **(Attachment 2-1.c A)**

d. Consider direction for staff to revise and recirculate a Draft Environmental Impact Report (EIR) to analyze the environmental effects of the options identified in the Final Sites Project Value Planning Alternatives Appraisal Report dated April 13, 2020 (Report), including VP7. **(Attachment 2-1.d A)**

2.1.a Consider approval of the final report titled "Sites Project Value Planning Alternatives Appraisal Report, dated April 13, 2020" and the recommendations presented within and a recommendation to the Sites Project Authority to approve the final report titled "Sites Project Value Planning Alternatives Appraisal Report, April 13, 2020" and the recommendations presented within. **(Attachment 2-1.a A)**

Mr. Brown provided an update regarding the Sites Project Value Planning Alternatives Appraisal Report, dated April 13, 2020, which, incorporated all prior comments by the Authority Board and Reservoir Committee members from the March 30, 2020 Workshop. He stated this report is the final resolve to the project as it relates to all the different alternatives and analyses completed. Discussion followed regarding conveyance, reservoir size and decrease/increase of subscription/participation, repayment costs and hydropower capacity.

Action: It was moved by Sutton, seconded by Harris to approve the final report titled "Sites Project Value Planning Alternatives Appraisal Report, dated April 13, 2020" and the recommendations presented within and a recommendation to the Sites Project Authority to approve the final report titled "Sites Project Value Planning Alternatives Appraisal Report, April 13, 2020" and the

recommendations presented within. Motion carried: All Directors present voted yes.

Chairman Durst expressed his appreciation to Mr. Watson, AECOM and staff on their hard work to get to this point.

2.1.b Consider approval of the work plan with a period of performance of September 1, 2020 to December 31, 2021 for the following uses: Planning cash call timing for participating agencies, Producing a draft Exhibit A, "Amendment 2 Work Plan", to the Second Amendment to 2019 Reservoir Project Agreement and Developing consultant task orders for the next stage of project development. **(Attachment 2-1.b A)**

Mr. Robinette provided an overview of the Work Plan (Amendment 2) from September 1, 2020 through December 31, 2021 and spoke to the following:

- Work Plan Goals and Schedule Targets.
- Project Schedule Targets.
- Deliverables.
- Rebalancing process.
- Revenue Budget which includes Cash calls, annual seat dues, State and Federal Funding.
- Expense Budget.
- Work Plan Cash Flow ($60/AF, due September 1, 2020 and $40/AF, due February 1, 2021).
- Activities needed to complete the Planning Phase.

Brief discussion followed as to whether the repayment/reimbursement credit was included in the financial plan. Mr. Brown stated it was not included in the financial plan.

Action: It was moved by Houdesheldt, seconded by Sutton to approve the work plan with a period of performance of September 1, 2020 to December 31, 2021 for the following uses: Planning cash call timing for participating agencies, Producing a draft Exhibit A, "Amendment 2 Work Plan", to the Second Amendment to 2019 Reservoir Project Agreement and Developing consultant task orders for the next stage of project development.

Motion carried: All Directors present voted yes.

2.1.c Consider approval of the draft Second Amendment to the 2019 Reservoir Project Agreement. **(Attachment 2-1.c A)**

Pursuant to Mr. Brown's request, Chairman Durst removed the approval of the draft Second Amendment to the 2019 Reservoir Project Agreement from the Agenda.

2.1.d Consider direction for staff to revise and recirculate a Draft Environmental Impact Report (EIR) to analyze the environmental effects of the options

identified in the Final Sites Project Value Planning Alternatives Appraisal Report dated April 13, 2020 (Report), including VP7. **(Attachment 2-1.d A)**

Action: It was moved by Harris, seconded by Evans to direct staff to revise and recirculate a Draft EIR to analyze the environmental effects of the options identified in the Final Sites Project Value Planning Alternatives Appraisal Report dated April 13, 2020 (Report), including VP7.

Motion carried: All Directors present voted yes.

2.2 Consider approval of the Sites Project message platform which has been incorporated into informational materials describing the results of the value planning effort and the proposed work plan and will be used for communicating the Project to all audiences. **(Attachments 2-2 A, B, C & D)**

Mr. Brown and Mr. Spesert provided an update regarding the Sites Project message platform and it's 5 key message themes and proof statements that will serve as the foundation of all future messaging for the project and in informational materials.

Mr. Spesert spoke to the 5 Key messages as follows:

- Key Message 1: Sites Reservoir is a 21st Century Multi-Benefit Solution to California's Water Reliability Challenges.
- Key Message 2: Our Strength is in our diverse statewide Participation.
- Key Message 3: Sites Reservoir is a "Right Sized" Project that will meet our water supply needs for today and in the future.
- Key Message 4: Sites Reservoir provides environmental, water supply, flood protection and recreation benefits for the State of California for generations to come.
- Key Message 5: We are on-track to deliver this vital project for the people of California.

Mr. Spesert also spoke to a rollout plan within the next few months, e.g. press release, E-blast, Landowner newsletter, meeting with Yolo County Supervisor Dwayne Chamberlin and various other media activities.

Brief discussion followed.

Action: It was moved by Harris, seconded by Dennis to approve the Sites Project message platform which has been incorporated into informational materials describing the results of the value planning effort and the proposed work plan and will be used for communicating the Project to all audiences.

Motion carried: All Directors present voted yes.

2.3 Consider acceptance of the following actions by the Authority Board relative to the approved Organization Assessment (OA) Report as follows:

a. Consider acceptance of the plan and schedule for addressing the report findings and recommendations. **(Attachment 2-3 A)**

b. Consider concurrence with the scope, schedule and budget for strategic planning facilitation services. **(Attachment 2-3 B)**

c. Consider approval to release a Request for Proposals for strategic planning facilitation services.

Mr. Brown provided a brief update on the approved OA Report which included findings and recommendations aimed at improving the overall effectiveness of the organization. Brief discussion followed regarding the RFP. He stated the Selection Committee would be conducting interviews and asked whether anyone would be interested in serving on the Selection Committee. Brief discussion followed as to whether the RFPs would be available to others of the public who would be interested in submitting and RFP.

Ms. Dyer volunteered to serve on the Selection Committee for strategic planning facilitation services.

Action: It was moved by Houdesheldt, seconded by Harris to accept the following actions by the Authority Board relative to the approved Organization Assessment (OA) Report as follows:

a. Acceptance of the plan and schedule for addressing the report findings and recommendations.

b. Concurrence with the scope, schedule and budget for strategic planning facilitation services.

c. Approval to release a Request for Proposals for strategic planning facilitation services.

Motion carried: All Directors present voted yes.

3. Discussion and Information Items:

3.1 Review and comment on the letter received from participating member Wheeler Ridge-Maricopa Water Storage District (Wheeler Ridge) requesting a broad water right place of use commitment from the Project. **(Attachment 3-1 A & B)**

Mr. Brown provided a brief update regarding a letter received from participating member Wheeler Ridge-Maricopa Water Storage District in which they were requesting a broad water right place of use commitment from the Project. He stated Wheeler-Ridge is satisfied with their response to this matter and no action is required at this time.

3.2 Review and comment on status of the Service Area G – Real Estate contract.

Mr. Spesert provided an update on the Service Area G – Real Estate contract. He stated this matter was delegated to the Joint Authority/Reservoir Committee Coordination Committee on how to proceed, which resulted in

the decision to allow the existing Real Estate contract to expire June 30, 2020. He further stated Real Estate services through 2021 will be limited to securing temporary right of entry (TROE) permits in support of engineering and environmental field activities and to support landowner engagement activities. Authority agents would be taking care of any real estate activities.

Brief discussion followed with no action taken.

4. Reports:

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

Policy and Governance:

Chairman Durst stated due to the COVID-19 situation different modes of communication with State and Federal members have come about (remotely held meetings). He provided a brief update on the following:

- Discussions/meetings with Congressman LaMalfa and Congressman Garamendi.
- Working on scheduling meetings with staff from Senator Feinstein, Senator Nielsen and Assemblyman Gallagher's offices.
- Expressed appreciation to Sara Katz, Katz & Associates for messaging assistance.
- Need to reach out to NGOs.
- Coordination Committee twice per month meetings.

Legislative & Outreach Ad hoc Committee:

Vice-chairman Sutton concurred with Chairman Durst regarding the need to reach out to the NGOs. He spoke to exploring funding opportunities that arising from the stimulus and the Messaging Platform.

Mr. Spesert stated in addition to the meeting with staff from Senator Feinstein's office, a meeting with staff from Congressman Huffman's office is also scheduled. He stated further a meeting with the Legislative and Outreach Committee to discuss refining and prioritizing federal funding strategy will be scheduled within the next week or two.

4.1.2 Committee Chairpersons' Report:

This time is set aside to allow the Committee Chairpersons' an opportunity to disclose/discuss items related to the Sites Project.

Land Management Ad hoc Committee:

Director Evans stated a meeting has been scheduled for April 23, 2020 with Yolo County Supervisor Chamberlain regarding the pipeline. He stated further contact with individual landowners regarding the pipelines has also been initiated.

Budget and Finance Ad hoc Committee:

Ms. Traynham stated the 2019 Annual Audit is almost completed and a Report on same will be presented at the May 27, 2020 Authority meeting.

4.1.3 Authority Board Participant Reports:

This time is set aside to allow Directors or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

None.

4.2 Executive Director's Report:

Director Brown provided a brief overview of the following:

- Sites Project's monthly status report. **(Attachment 4-2 A)**
- Sites Project's monthly Proposition 1, WSIP activities and WIIN Act Funding. **(Attachment 4-2 B)**
- Reservoir Committee update.

RECESS:

Chairman Durst declared a recess at 3:20 p.m. and convened into Closed Session at 3:25 p.m. to consider the following matter:

5. Closed Session:

5.1 Independent Contractor/Public Employee/Discipline/Dismissal/Release (Govt. Code §§ 54954.5(e) and 54957(b)(1)).

Chairman Durst adjourned Closed Session at 3:40 p.m. and reconvened into Open Session.

6. Report from Closed Session:

Mr. Kuney stated as to Closed Session regarding the Independent Contract/Public Employee/Discipline/Dismissal/Release (Gov. Code Section 54954(e) and 54957(b)(1)), he announced the Authority Board voted to approve an agreement between the Authority and JC Watson, Inc with regard to the Sites Project.

7. Future Meetings and Schedules:

7.1 Mr. Brown stated this is on for any Board member who might want to add an item to the May 27, 2020 Agenda.

7.2 Upcoming meetings:

RESERVOIR COMMITTEE

THURSDAY, MAY 21, 2020 1:00 PM
CALL NUMBER AND LOCATION TBD

AUTHORITY BOARD

WEDNESDAY, MAY 27, 2020 1:30 PM
CALL NUMBER AND LOCATION TBD

Chairman Durst adjourned the meeting at 3:45 p.m.

_____ _____
Fritz Durst, Chairman Yolanda Tirado, Board Clerk

Sites

Topic: **Authority Board Agenda Item 1.2** **2020 May 27**

Subject: **Treasurer's Report**

Requested Action:

Consider acceptance of the Sites Project Authority Treasurer's Report as presented in Attachment 1.2A.

Detailed Description/Background:

Attachment 1.2A incorporates financial information through April 30, 2020.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: May 2020 Treasurer's Report.

Draft_0028402

SITES JOINT POWERS AUTHORITY
TREASURER'S REPORT
April 30, 2020

Savings Account-River City Bank				
Beginning Balance	04/01/2020		$ 3,019,583.31	
Transfer to General Checking to max benefit			$ (444,529.69)	
Interest Earned			$ 2,879.50	
River City Bank ICS Savings Account Balance	**04/30/2020**			$ 2,577,933.12
General Operating Checking Account-River City Bank				
Beginning Balance	04/01/2020		$ 1,220,682.01	
RECEIVED:				
Interest Earned		$ 1,S42.10		
Membership Revenue		$ SS,000.00		
Reservoir Revenue		$ 16,317.92		
TOTAL RECEIVED			$ 72,860.02	
DISBURSED:				
Bank Fees		$ (1S7.88)		
Administrative Support		$ (6,813.4S)		
Computer Service		$ (911.7S)		
General Manager		$ (40,026.70)		
Accounting Fees		$ (4,890.00)		
Office Expenses		$ (74S.84)		
Professional Fees-Legislative/Regulatory		$ (8,000.00)		
Professional Fees-Communication		$ (12,716.11)		
Professional Fees-Organizatioal Assessment		$ (11,727.84)		
Professional Fees-Fed Gov't Affairs Support		$ (1S,000.00)		
Professional Fees-Legal Services		$ (14,928.S0)		
TOTAL DI5BURSED			$ (115,918.07)	
Transfer from Savings to max benefit			$ 444,529.69	
RCB General Checking Account Balance	**04/30/2020**			$ 1,622,153.65
State (WSIP) Fund Checking Account-River City Bank				
Beginning Balance	04/01/2020		$ 5,168,788.12	
DISBURSED:				
Bus/Comm Manager		$ (21,490.64)		
Program Op Manager		$ (28,449.40)		
Professional Fees-Engineering		$ (27,322.61)		
Professional Fees-Special Legal		$ (11,9S2.00)		
Professional Fees-Project Controls		$ (236,713.61)		
Professional Fees-Operations/SIM Modeling		$ (99,S2S.41)		
Professional Fees-EPP Manager		$ (30,349.03)		
Professional Fees-Geotech Eng		$ (11,187.16)		
Professional Fees-Project Integration		$ (196,668.86)		
Professional Fees-Reservoir Operations		$ (11,200.00)		
Professional Fees-Municipal Advisor		$ (S,3S0.00)		
Professional Fees-Env/Biological Serv & Permit		$ (84,S72.48)		
TOTAL DISBURSED			$ (764,781.20)	
RCB State Fund Checking Account Balance	**04/30/2020**			$ 4,404,006.92
TOTAL CA5H ON HAND	**April 30, 2020**			$ 8,604,093.69

Sites Project Joint Powers Authority
Transactions by Account
As of April 30, 2020

Type	Date	Num	Name	Memo	Debit	Credit	Balance
River City ICS Savings							3,019,583.31
General Journal	04/03/2020	4-1C		adjust ICS balance to max		196,867.28	2,822,716.03
General Journal	04/23/2020	4-2C		transfer to max ICS		247,662.41	2,575,053.62
General Journal	04/30/2020	4-4C		rec int fme	2,879.50		2,577,933.12
Total River City ICS Savings					2,879.50	444,529.69	2,577,933.12
River City Operating Account							1,220,682.01
General Journal	04/03/2020	4-1C		adjust ICS balance to max	196,867.28		1,417,549.29
Deposit	04/11/2020			Westside WD	55,000.00		1,472,549.29
Bill Pmt -Check	04/14/2020	2127	Adept Solutions Inc			911.75	1,471,637.54
Bill Pmt -Check	04/14/2020	212B	Darling H2O Consulting, Inc.			11,727.84	1,459,909.70
Bill Pmt -Check	04/14/2020	2129	Dunn Consulting			8,000.00	1,451,909.70
Bill Pmt -Check	04/14/2020	2130	J.C. Watson, Inc			40,026.70	1,411,883.00
Bill Pmt -Check	04/14/2020	2131	Katz and Associates, Inc			12,716.11	1,399,166.89
Bill Pmt -Check	04/14/2020	2132	KCoe Isom, LLP			4,890.00	1,394,276.89
Bill Pmt -Check	04/14/2020	2133	M.R. Cleaning Services			100.00	1,394,176.89
Bill Pmt -Check	04/14/2020	2134	Maximun Pest Control			65.00	1,394,111.89
Bill Pmt -Check	04/14/2020	2135	Mt Shasta Spring Water			51.65	1,394,060.24
Bill Pmt -Check	04/14/2020	2136	Recology Butte Colusa Counties			35.95	1,394,024.29
Bill Pmt -Check	04/14/2020	2137	Rush Personnel Services, Inc			6,813.45	1,387,210.84
Bill Pmt -Check	04/14/2020	213B	The Ferguson Group			15,000.00	1,372,210.84
Bill Pmt -Check	04/14/2020	2139	US Bank			493.24	1,371,717.60
Bill Pmt -Check	04/14/2020	2140	Young Wooldridge LLP			14,928.50	1,356,789.10
General Journal	04/23/2020	4-2C		transfer to max ICS	247,662.41		1,604,451.51
General Journal	04/28/2020	4-3C		Bank service fee		157.88	1,604,293.63
Deposit	04/30/2020			Antelope/E Kern WA	16,317.92		1,620,611.55
General Journal	04/30/2020	4-5C		rec int fme	1,542.10		1,622,153.65
Total River City Operating Account					517,389.71	115,918.07	1,622,153.65
River City State Fund Checking							5,168,788.12
Bill Pmt -Check	04/14/2020	1026	AECOM Technical Services, Inc.			27,322.61	5,141,465.51
Bill Pmt -Check	04/14/2020	1027	Brown and Caldwell			236,713.61	4,904,751.90
Bill Pmt -Check	04/14/2020	1028	CH2M Hill Engineers, Inc			99,525.41	4,805,226.49
Bill Pmt -Check	04/14/2020	1029	Forsythe Group LLC			30,349.03	4,774,877.46
Bill Pmt -Check	04/14/2020	1030	Fugro USA Land, Inc			11,187.16	4,763,690.30
Bill Pmt -Check	04/14/2020	1031	HDR Engineering Inc			196,668.86	4,567,021.44
Bill Pmt -Check	04/14/2020	1032	ICF Jones & Stokes Inc			84,572.48	4,482,448.96
Bill Pmt -Check	04/14/2020	1033	MBK Engineers Inc			11,200.00	4,471,248.96
Bill Pmt -Check	04/14/2020	1034	Montague DeRose and Associat...			5,350.00	4,465,898.96
Bill Pmt -Check	04/14/2020	1035	Perkins Coie LLP			11,952.00	4,453,946.96
Bill Pmt -Check	04/14/2020	1036	Spesert Consulting			21,490.64	4,432,456.32
Bill Pmt -Check	04/14/2020	1037	Trapasso Consulting Services			28,449.40	4,404,006.92
Total River City State Fund Checking					0.00	764,781.20	4,404,006.92
US Bank Checking							0.00
Total US Bank Checking							0.00
TOTAL					**520,269.21**	**1,325,228.96**	**8,604,093.69**

Draft_0028404

Sites Project Joint Powers Authority
Balance Sheet
As of April 30, 2020

	Apr 30, 20	
ASSETS		
Current Assets		
Checking/Savings		
River City ICS Savings		2,577,933.12
River City Operating Account		1,622,153.65
River City State Fund Checking		4,404,006.92
Total Checking/Savings		8,604,093.69
Accounts Receivable		
Accounts Rec Members		
Membership - Authority	68,000.00	
Membership - Reservoir	-4,988.20	
Total Accounts Rec Members		63,011.80
Grants Receivable		
California Water Commission	1,957,712.12	
Total Grants Receivable		1,957,712.12
Total Accounts Receivable		2,020,723.92
Total Current Assets		10,624,817.61
TOTAL ASSETS		**10,624,817.61**
LIABILITIES & EQUITY		
Liabilities		
Current Liabilities		
Accounts Payable		
Accounts Payable		714,999.16
Total Accounts Payable		714,999.16
Total Current Liabilities		714,999.16
Long Term Liabilities		
Participant Reimbursement Polic		6,064,652.67
Total Long Term Liabilities		6,064,652.67
Total Liabilities		6,779,651.83
Equity		
Net Assets		1,929,216.58
Retained Earnings		2,011,661.73
Net Income		-95,712.53
Total Equity		3,845,165.78
TOTAL LIABILITIES & EQUITY		**10,624,817.61**

Sites Project Joint Powers Authority
A/R Aging Summary
As of April 30, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
CA Department of Water Resources	0.00	0.00	1,957,712.12	0.00	0.00	1,957,712.12
City of American Canyon	0.00	0.00	0.00	0.00	-4,988.20	-4,988.20
County of Glenn	0.00	0.00	0.00	55,000.00	0.00	55,000.00
Yolo County Flood Control	0.00	0.00	0.00	0.00	13,000.00	13,000.00
TOTAL	**0.00**	**0.00**	**1,957,712.12**	**55,000.00**	**8,011.80**	**2,020,723.92**

Sites Project Joint Powers Authority
A/P Aging Summary
As of April 30, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
Adept Solutions Inc	932.11	986.85	0.00	0.00	0.00	1,918.96
AECOM Technical Services, Inc.	15,863.36	0.00	0.00	0.00	0.00	15,863.36
Brown and Caldwell	116,632.37	0.00	0.00	0.00	0.00	116,632.37
CH2M Hill Engineers, Inc	0.00	93,369.39	0.00	0.00	0.00	93,369.39
Darling H2O Consulting, Inc.	1,299.38	0.00	0.00	0.00	0.00	1,299.38
Dunn Consulting	8,000.00	0.00	0.00	0.00	0.00	8,000.00
Fechter & Company CPA	0.00	10,760.40	0.00	0.00	0.00	10,760.40
Forsythe Group LLC	30,000.00	0.00	0.00	0.00	0.00	30,000.00
Fugro USA Land, Inc	12,562.77	0.00	0.00	0.00	0.00	12,562.77
HDR Engineering Inc	168,613.06	0.00	0.00	0.00	0.00	168,613.06
ICF Jones & Stokes Inc	0.00	68,898.24	0.00	0.00	0.00	68,898.24
J.C. Watson, Inc	38,230.00	0.00	0.00	0.00	0.00	38,230.00
Katz and Associates, Inc	0.00	17,737.49	0.00	0.00	0.00	17,737.49
KCoe Isom, LLP	2,695.00	0.00	0.00	0.00	0.00	2,695.00
Larsen Wurzel & Associates, Inc	458.00	0.00	0.00	0.00	0.00	458.00
Maximun Pest Control	65.00	0.00	0.00	0.00	0.00	65.00
MBK Engineers Inc	0.00	4,716.75	0.00	0.00	0.00	4,716.75
Montague DeRose and Associates, LLC	12,725.00	0.00	0.00	0.00	0.00	12,725.00
Mt Shasta Spring Water	19.65	0.00	0.00	0.00	0.00	19.65
Recology Butte Colusa Counties	35.95	0.00	0.00	0.00	0.00	35.95
Rush Personnel Services, Inc	5,644.80	0.00	0.00	0.00	0.00	5,644.80
Spesert Consulting	21,343.76	0.00	0.00	0.00	0.00	21,343.76
The Ferguson Group	0.00	15,000.00	0.00	0.00	0.00	15,000.00
Trapasso Consulting Services	28,000.00	0.00	0.00	0.00	0.00	28,000.00
US Bank	302.11	0.00	0.00	0.00	0.00	302.11
Waterology Consulting	33,750.00	0.00	0.00	0.00	0.00	33,750.00
Young Wooldridge LLP	6,357.72	0.00	0.00	0.00	0.00	6,357.72
TOTAL	**503,530.04**	**211,469.12**	**0.00**	**0.00**	**0.00**	**714,999.16**

Sites Project Joint Powers Authority
Profit & Loss
April 2020

	Apr 20	Jan - Apr 20
Ordinary Income/Expense		
Income		
Membership Admin/Authority	0.00	505,000.00
Prop 1 Funding	0.00	1,957,712.12
Total Income	0.00	2,462,712.12
Gross Profit	0.00	2,462,712.12
Expense		
Accounting Expense	2,695.00	12,199.00
Admin Support Gen Manager	5,644.80	21,322.35
Audit Fees	10,760.40	10,760.40
Bank Service Fees	157.88	674.31
General Manager		
Services	38,230.00	157,963.40
Total General Manager	38,230.00	157,963.40
Insurance - Property	0.00	250.00
Insurance Liability	0.00	1,604.25
Office Expenses		
Cleaning	0.00	500.00
Misc Office & Operating Exp	302.11	2,640.32
Pest Control & Maintenance	65.00	260.00
Trash Service	35.95	143.80
Water Expense	19.65	168.55
Total Office Expenses	422.71	3,712.67
Professional Fees		
Bus/Comm Manager	21,343.76	87,401.85
Communication	17,737.49	50,106.15
Cost Development Model	458.00	1,168.60
Cultural Study	0.00	3,885.55
Engineering & Technical Service	15,863.36	106,405.61
Env/Biological Services	36,310.66	77,003.42
EPP Manager	30,000.00	122,059.09
Executive Director	33,750.00	33,750.00
Federal Government Affairs Supp	15,000.00	60,000.00
General Legal Counsel	6,357.72	37,572.48
Geotechnical Engineering	12,562.77	36,972.94
Legislative/Reg/Strategic	8,000.00	32,000.00
Municipal Advisor	12,725.00	20,000.00
Operations/Simulation Modeling	93,369.39	253,417.11
Organizational Assessment	1,299.38	29,693.41
Permitting & Agreements	32,587.58	117,703.15
Program Ops Manager	28,000.00	114,510.24
Project Controls	116,632.37	460,055.12
Project Integration	168,613.06	675,474.08
Real Estate	0.00	761.67
Reservoir Operations	4,716.75	17,017.75
Special Legal	0.00	30,402.90
Total Professional Fees	655,327.29	2,367,361.12
Website, Data, Computer Support	1,918.96	5,333.38
Total Expense	715,157.04	2,581,180.88
Net Ordinary Income	-715,157.04	-118,468.76
Other Income/Expense		
Other Income		
Interest Income		
Interest Income-River City	4,421.60	22,756.23
Total Interest Income	4,421.60	22,756.23

Sites Project Joint Powers Authority
Profit & Loss
April 2020

	Apr 20	Jan - Apr 20
Total Other Income	4,421.60	22,756.23
Net Other Income	4,421.60	22,756.23
Net Income	-710,735.44	-95,712.53

Sites Project Joint Powers Authority
Transaction Detail By Account
April 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Accounting Expense								
Bill	04/30/2020	KC084322	KCoe Isom, LLP	4/20	Authority	539.00		-539.00
Bill	04/30/2020	KC084322	KCoe Isom, LLP	4/20	Reservoir	2,156.00		-2,695.00
Total Accounting Expense						2,695.00	0.00	-2,695.00
Admin Support Gen Manager								
Bill	04/21/2020	136553	Rush Personnel Services, ...	4/20	Authority	282.24		-282.24
Bill	04/21/2020	136553	Rush Personnel Services, ...	4/20	Reservoir	1,128.96		-1,411.20
Bill	04/21/2020	136554	Rush Personnel Services, ...	4/20	Authority	282.24		-1,693.44
Bill	04/21/2020	136554	Rush Personnel Services, ...	4/20	Reservoir	1,128.96		-2,822.40
Bill	04/30/2020	136720	Rush Personnel Services, ...	4/20	Authority	282.24		-3,104.64
Bill	04/30/2020	136720	Rush Personnel Services, ...	4/20	Reservoir	1,128.96		-4,233.60
Bill	04/30/2020	136721	Rush Personnel Services, ...	5/20	Authority	282.24		-4,515.84
Bill	04/30/2020	136721	Rush Personnel Services, ...	5/20	Reservoir	1,128.96		-5,644.80
Total Admin Support Gen Manager						5,644.80	0.00	-5,644.80
Audit Fees								
Bill	04/15/2020	Letter	Fechter & Company CPA	4/20	Reservoir	10,760.40		-10,760.40
Total Audit Fees						10,760.40	0.00	-10,760.40
Bank Service Fees								
General J...	04/28/2020	4-3C		8ank service fee	Authority	75.78		-75.78
General J...	04/28/2020	4-3C		Bank service fee	Reservoir	82.10		-157.88
Total Bank Service Fees						157.88	0.00	-157.88
General Manager								
Services								
Bill	04/30/2020	SPA-057	J.C. Watson, Inc	4/20	Authority	3,823.00		-3,823.00
Bill	04/30/2020	SPA-057	J.C. Watson, Inc	4/20	Reservoir	34,407.00		-38,230.00
Total Services						38,230.00	0.00	-38,230.00
Total General Manager						38,230.00	0.00	-38,230.00
Office Expenses								
Misc Office & Operating Exp								
Bill	04/30/2020	Online 5/1	US Bank	4/20	Authority	226.58		-226.58
Bill	04/30/2020	Online 5/1	US Bank	4/20	Reservoir	75.53		-302.11
Total Misc Office & Operating Exp						302.11	0.00	-302.11
Pest Control & Maintenance								
Bill	04/23/2020	50797	Maximun Pest Control	4/20	Authority	65.00		-65.00
Total Pest Control & Maintenance						65.00	0.00	-65.00
Trash Service								
Bill	04/30/2020	37208147	Recology Butte Colusa Co...	4/20	Authority	35.95		-35.95
Total Trash Service						35.95	0.00	-35.95
Water Expense								
Bill	04/01/2020	486476	Mt Shasta Spring Water	4/20	Authority	10.00		-10.00
Bill	04/02/2020	483104	Mt Shasta Spring Water	4/20	Authority	9.65		-19.65
Total Water Expense						19.65	0.00	-19.65
Total Office Expenses						422.71	0.00	-422.71
Professional Fees								
Bus/Comm Manager								
Bill	04/30/2020	05-20	Spesert Consulting	4/20	Reservoir	21,343.76		-21,343.76
Total Bus/Comm Manager						21,343.76	0.00	-21,343.76
Communication								
Bill	04/14/2020	413694	Katz and Associates, Inc	3/20 (C)	Authority	10,642.50		-10,642.50
Bill	04/14/2020	413694	Katz and Associates, Inc	3/20 (C)	Reservoir	7,094.99		-17,737.49
Total Communication						17,737.49	0.00	-17,737.49
Cost Development Model								
Bill	04/15/2020	1609000-0320	Larsen Wurzel & Associat...	3/20	Reservoir	458.00		-458.00
Total Cost Development Model						458.00	0.00	-458.00
Engineering & Technical Service								
Bill	04/30/2020	2000353965	AECOM Technical Service...	4/20	Reservoir	15,863.36		-15,863.36
Total Engineering & Technical Service						15,863.36	0.00	-15,863.36
Env/Biological Services								
Bill	04/14/2020	0146105	ICF Jones & Stokes Inc	3/20 (E)	Reservoir	36,310.66		-36,310.66
Total Env/Biological Services						36,310.66	0.00	-36,310.66
EPP Manager								
Bill	04/30/2020	SPA-202004	Forsythe Group LLC	4/20	Reservoir	30,000.00		-30,000.00
Total EPP Manager						30,000.00	0.00	-30,000.00
Executive Director								

Sites Project Joint Powers Authority
Transaction Detail By Account
April 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Bill	04/30/2020	1	Waterology Consulting	4/20	Reservoir	33,750.00		-33,750.00
Total Executive Director						33,750.00	0.00	-33,750.00
Federal Government Affairs Supp								
Bill	04/01/2020	0420149	The Ferguson Group	4/20	Authority	7,500.00		-7,500.00
Bill	04/01/2020	0420149	The Ferguson Group	4/20	Reservoir	7,500.00		-15,000.00
Total Federal Government Affairs Supp						15,000.00	0.00	-15,000.00
General Legal Counsel								
Bill	04/30/2020	65164	Young Wooldridge LLP	4/20	Authority	2,838.11		-2,838.11
Bill	04/30/2020	65164	Young Wooldridge LLP	4/20	Reservoir	3,519.61		-6,357.72
Total General Legal Counsel						6,357.72	0.00	-6,357.72
Geotechnical Engineering								
Bill	04/30/2020	04.7219003...	Fugro USA Land, Inc	4/20 (I)	Reservoir	12,562.77		-12,562.77
Total Geotechnical Engineering						12,562.77	0.00	-12,562.77
Legislative/Reg/Strategic								
Bill	04/30/2020	Letter	Dunn Consulting	4/20	Authority	4,000.00		-4,000.00
Bill	04/30/2020	Letter	Dunn Consulting	4/20	Reservoir	4,000.00		-8,000.00
Total Legislative/Reg/Strategic						8,000.00	0.00	-8,000.00
Municipal Advisor								
Bill	04/24/2020	4851SITES	Montague DeRose and As...	3/20	Reservoir	12,725.00		-12,725.00
Total Municipal Advisor						12,725.00	0.00	-12,725.00
Operations/Simulation Modeling								
Bill	04/14/2020	D3205400-012	CH2M Hill Engineers, Inc	3/20 (D)	Reservoir	93,369.39		-93,369.39
Total Operations/Simulation Modeling						93,369.39	0.00	-93,369.39
Organizational Assessment								
Bill	04/30/2020	122	Darling H2O Consulting, Inc.	4/20	Authority	1,299.38		-1,299.38
Total Organizational Assessment						1,299.38	0.00	-1,299.38
Permitting & Agreements								
Bill	04/14/2020	0146101	ICF Jones & Stokes Inc	3/20 (F)	Reservoir	32,587.58		-32,587.58
Total Permitting & Agreements						32,587.58	0.00	-32,587.58
Program Ops Manager								
Bill	04/30/2020	SPA 17-31	Trapasso Consulting Servi...	4/20	Reservoir	28,000.00		-28,000.00
Total Program Ops Manager						28,000.00	0.00	-28,000.00
Project Controls								
Bill	04/30/2020	17371089	Brown and Caldwell	4/20 (B)	Reservoir	116,632.37		-116,632.37
Total Project Controls						116,632.37	0.00	-116,632.37
Project Integration								
Bill	04/30/2020	1200264393	HDR Engineering Inc	4/20 (A)	Reservoir	168,613.06		-168,613.06
Total Project Integration						168,613.06	0.00	-168,613.06
Reservoir Operations								
Bill	04/17/2020	20-03-4941.1	MBK Engineers Inc	3/20	Reservoir	4,716.75		-4,716.75
Total Reservoir Operations						4,716.75	0.00	-4,716.75
Total Professional Fees						655,327.29	0.00	-655,327.29
Website, Data, Computer Support								
Bill	04/15/2020	139076	Adept Solutions Inc	3/20	Authority	197.37		-197.37
Bill	04/15/2020	139076	Adept Solutions Inc	3/20	Reservoir	789.48		-986.85
Bill	04/30/2020	MSP-139049	Adept Solutions Inc	5/20	Authority	136.40		-1,123.25
Bill	04/30/2020	MSP-139049	Adept Solutions Inc	5/20	Reservoir	545.61		-1,668.86
Bill	04/30/2020	139187	Adept Solutions Inc	3/20	Authority	50.02		-1,718.88
Bill	04/30/2020	139187	Adept Solutions Inc	3/20	Reservoir	200.08		-1,918.96
Total Website, Data, Computer Support						1,918.96	0.00	-1,918.96
Interest Income								
Interest Income-River City								
General J...	04/30/2020	4-4C		rec int fme	Reservoir		2,879.50	2,879.50
General J...	04/30/2020	4-5C		rec int fme	Authority		740.21	3,819.71
General J...	04/30/2020	4-5C		rec int fme	Reservoir		801.89	4,421.60
Total Interest Income-River City						0.00	4,421.60	4,421.60
Total Interest Income						0.00	4,421.60	4,421.60
TOTAL						**715,157.04**	**4,421.60**	**-710,735.44**

Sites Project Joint Powers Authority
Balance Sheet by Class
As of April 30, 2020

	Authority	Reservoir	TOTAL
ASSETS			
Current Assets			
Checking/Savings			
River City ICS Savings	0.00	2,577,933.12	2,577,933.12
River City Operating Account	780,589.71	841,563.94	1,622,153.65
River City State Fund Checking	0.00	4,404,006.92	4,404,006.92
Total Checking/Savings	780,589.71	7,823,503.98	8,604,093.69
Accounts Receivable			
Accounts Rec Members			
Membership - Authority	68,000.00	0.00	68,000.00
Membership - Reservoir	0.00	-4,988.20	-4,988.20
Total Accounts Rec Members	68,000.00	-4,988.20	63,011.80
Grants Receivable			
California Water Commission	0.00	1,957,712.12	1,957,712.12
Total Grants Receivable	0.00	1,957,712.12	1,957,712.12
Total Accounts Receivable	68,000.00	1,952,723.92	2,020,723.92
Total Current Assets	848,589.71	9,776,227.90	10,624,817.61
TOTAL ASSETS	**848,589.71**	**9,776,227.90**	**10,624,817.61**
LIABILITIES & EQUITY			
Liabilities			
Current Liabilities			
Accounts Payable			
Accounts Payable	32,501.92	682,497.24	714,999.16
Total Accounts Payable	32,501.92	682,497.24	714,999.16
Total Current Liabilities	32,501.92	682,497.24	714,999.16
Long Term Liabilities			
Participant Reimbursement Polic	0.00	6,064,652.67	6,064,652.67
Total Long Term Liabilities	0.00	6,064,652.67	6,064,652.67
Total Liabilities	32,501.92	6,747,149.91	6,779,651.83
Equity			
Net Assets	114,674.93	1,814,541.65	1,929,216.58
Retained Earnings	351,952.54	1,659,709.19	2,011,661.73
Net Income	349,460.32	-445,172.85	-95,712.53
Total Equity	816,087.79	3,029,077.99	3,845,165.78
TOTAL LIABILITIES & EQUITY	**848,589.71**	**9,776,227.90**	**10,624,817.61**

Sites Project Joint Powers Authority
Profit & Loss by Class
April 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Expense			
Accounting Expense	539.00	2,156.00	2,695.00
Admin Support Gen Manager	1,128.96	4,515.84	5,644.80
Audit Fees	0.00	10,760.40	10,760.40
Bank Service Fees	75.78	82.10	157.88
General Manager			
Services	3,823.00	34,407.00	38,230.00
Total General Manager	3,823.00	34,407.00	38,230.00
Office Expenses			
Misc Office & Operating Exp	226.58	75.53	302.11
Pest Control & Maintenance	65.00	0.00	65.00
Trash Service	35.95	0.00	35.95
Water Expense	19.65	0.00	19.65
Total Office Expenses	347.18	75.53	422.71
Professional Fees			
Bus/Comm Manager	0.00	21,343.76	21,343.76
Communication	10,642.50	7,094.99	17,737.49
Cost Development Model	0.00	458.00	458.00
Engineering & Technical Service	0.00	15,863.36	15,863.36
Env/Biological Services	0.00	36,310.66	36,310.66
EPP Manager	0.00	30,000.00	30,000.00
Executive Director	0.00	33,750.00	33,750.00
Federal Government Affairs Supp	7,500.00	7,500.00	15,000.00
General Legal Counsel	2,838.11	3,519.61	6,357.72
Geotechnical Engineering	0.00	12,562.77	12,562.77
Legislative/Reg/Strategic	4,000.00	4,000.00	8,000.00
Municipal Advisor	0.00	12,725.00	12,725.00
Operations/Simulation Modeling	0.00	93,369.39	93,369.39
Organizational Assessment	1,299.38	0.00	1,299.38
Permitting & Agreements	0.00	32,587.58	32,587.58
Program Ops Manager	0.00	28,000.00	28,000.00
Project Controls	0.00	116,632.37	116,632.37
Project Integration	0.00	168,613.06	168,613.06
Reservoir Operations	0.00	4,716.75	4,716.75
Total Professional Fees	26,279.99	629,047.30	655,327.29
Website, Data, Computer Support	383.79	1,535.17	1,918.96
Total Expense	32,577.70	682,579.34	715,157.04
Net Ordinary Income	-32,577.70	-682,579.34	-715,157.04
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	740.21	3,681.39	4,421.60
Total Interest Income	740.21	3,681.39	4,421.60
Total Other Income	740.21	3,681.39	4,421.60
Net Other Income	740.21	3,681.39	4,421.60
Net Income	**-31,837.49**	**-678,897.95**	**-710,735.44**

FOR MANAGEMENT PURPOSES ONLY

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through April 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Income			
Membership Admin/Authority	505,000.00	0.00	505,000.00
Prop 1 Funding	0.00	1,957,712.12	1,957,712.12
Total Income	505,000.00	1,957,712.12	2,462,712.12
Gross Profit	505,000.00	1,957,712.12	2,462,712.12
Expense			
Accounting Expense	2,439.80	9,759.20	12,199.00
Admin Support Gen Manager	4,264.47	17,057.88	21,322.35
Audit Fees	0.00	10,760.40	10,760.40
Bank Service Fees	249.32	424.99	674.31
General Manager			
Services	22,382.36	135,581.04	157,963.40
Total General Manager	22,382.36	135,581.04	157,963.40
Insurance - Property	200.00	50.00	250.00
Insurance Liability	0.00	1,604.25	1,604.25
Office Expenses			
Cleaning	500.00	0.00	500.00
Misc Office & Operating Exp	664.51	1,975.81	2,640.32
Pest Control & Maintenance	260.00	0.00	260.00
Trash Service	143.80	0.00	143.80
Water Expense	168.55	0.00	168.55
Total Office Expenses	1,736.86	1,975.81	3,712.67
Professional Fees			
Bus/Comm Manager	0.00	87,401.85	87,401.85
Communication	30,063.92	20,042.23	50,106.15
Cost Development Model	0.00	1,168.60	1,168.60
Cultural Study	0.00	3,885.55	3,885.55
Engineering & Technical Service	0.00	106,405.61	106,405.61
Env/Biological Services	0.00	77,003.42	77,003.42
EPP Manager	0.00	122,059.09	122,059.09
Executive Director	0.00	33,750.00	33,750.00
Federal Government Affairs Supp	30,000.00	30,000.00	60,000.00
General Legal Counsel	20,511.50	17,060.98	37,572.48
Geotechnical Engineering	0.00	36,972.94	36,972.94
Legislative/Reg/Strategic	16,000.00	16,000.00	32,000.00
Municipal Advisor	0.00	20,000.00	20,000.00
Operations/Simulation Modeling	0.00	253,417.11	253,417.11
Organizational Assessment	29,693.41	0.00	29,693.41
Permitting & Agreements	0.00	117,703.15	117,703.15
Program Ops Manager	0.00	114,510.24	114,510.24
Project Controls	0.00	460,055.12	460,055.12
Project Integration	0.00	675,474.08	675,474.08
Real Estate	0.00	761.67	761.67
Reservoir Operations	0.00	17,017.75	17,017.75
Special Legal	0.00	30,402.90	30,402.90
Total Professional Fees	126,268.83	2,241,092.29	2,367,361.12
Website, Data, Computer Support	1,066.68	4,266.70	5,333.38
Total Expense	158,608.32	2,422,572.56	2,581,180.88
Net Ordinary Income	346,391.68	-464,860.44	-118,468.76
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	3,068.64	19,687.59	22,756.23
Total Interest Income	3,068.64	19,687.59	22,756.23

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through April 2020

	Authority	Reservoir	TOTAL
Total Other Income	3,068.64	19,687.59	22,756.23
Net Other Income	3,068.64	19,687.59	22,756.23
Net Income	**349,460.32**	**-445,172.85**	**-95,712.53**

Sites

Topic: **Authority Board Agenda Item 1.3** **2020 May 27**

Subject: **Payment of Claims**

Requested Action:

Consider approval of the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B.

Detailed Description/Background:

Attachment A presents the warrants to be drawn against the invoices received by the Sites Project Authority through May 4, 2020.

Attachment B summarizes details of the invoices received through May 4, 2020 and how the incurred costs are allocated between the Authority and Reservoir Committee.

Prior Action:

None.

Fiscal Impact/Funding Source:

Total Payment of Claims is $714,999.16 with $32,501.92 of costs being assigned to the Authority and $682,497.24 assigned to the Reservoir Committee.

For the Reservoir Committee assigned amount, $617,693.10 will be paid through the WSIP account and $64,804.14 through the JPA/Sites account as shown in Attachment B.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: May 2020 Report on warrants to be drawn for Payment of Claims

Attachment B: May 2020 Monthly Consultant and Vendor Invoice Table

Attachment C: May 2020 Key Consultant Budget Status Report

Draft_0028416

WARRANTS DRAWN AGAINST
Sites Project Authority- General Fund
May 27, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
2141	05/13/2020	Adept Solutions	IT & Related Services	1,918.96
2142	05/13/2020	Darling H2O Consulting, Inc.	Organizational Assessment	1,299.38
2143	05/13/2020	Dunn Consulting	Legislative/Regulatory/Strategic Support	8,000.00
2144	05/13/2020	JC Watson Inc.	GM Services	38,230.00
2145	05/13/2020	Katz and Associates Inc.	Communications	17,737.49
2146	05/13/2020	K-Coe-Isom, LLP	Accounting	2,695.00
2147	05/13/2020	Maximum Pest Control	Pest Control	65.00
2148	05/13/2020	Mt Shasta Spring Water	Office Water	19.65
2149	05/13/2020	Recology Butte Colusa Counties	Office Trash Pickup	35.95
2150	05/13/2020	Rush Personnel Services Inc.	Administrative Services	5,644.80
2151	05/13/2020	The Ferguson Group	Fed Govt Affairs Support	15,000.00
2152	05/13/2020	U.S. Bank	Misc. Expenses	302.11
2153	05/13/2020	Young Wooldridge LLP	Legal Counsel	6,357.72

THE FOREGOING CLAIM, NUMBERED 2141-2153 ARE APPLIED TO THE GENERAL FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **97,306.06**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

WARRANTS DRAWN AGAINST
Sites Project Authority-WSIP Fund
May 27, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
1038	05/13/2020	AECOM Inc.	Engineering	15,863.36
1039	05/13/2020	Brown and Caldwell	Project Controls	116,832.37
1040	05/13/2020	CH2M	Operations/SIM Modeling	93,369.39
1041	05/13/2020	Fechter & Company CPA	Accounting	10,760.40
1042	05/13/2020	Forsythe Group LLC	EPP Manager	30,000.00
1043	05/13/2020	Fugro USA Land, Inc.	Geotechnical Engineering Services	12,562.77
1044	05/13/2020	HDR Engineering Inc.	Project Integration	168,613.06
1045	05/13/2020	ICF Jones & Stokes Inc.	Env/Biological Services & Permitting	68,898.24
1046	05/13/2020	Larsen Wurzel & Associates, Inc.	Cost Development	458.00
1047	05/13/2020	MBK Engineers Inc.	Reservoir Operations	4,716.75
1048	05/13/2020	Montegue DeRose and Associates, Inc.	Municipal Advisor	12,725.00
1049	05/13/2020	Spesert Consulting	Bus/Comm Manager	21,343.76
1050	05/13/2020	Trapasso Consulting Services	Program Operations Manager	28,000.00
1051	05/13/2020	Waterology Consulting	Executive Director Services	33,750.00

THE FOREGOING CLAIM, NUMBERED 1038-1051 ARE APPLIED TO THE WSIP FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **617,693.10**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

Sites

May 10, 2020

Topic: Program Operations - Finance

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Sites Applications						
Adept Solutions *IT Related Services/Computer Equipment*	139076 *4/15/20*	03/20	$986.85	$197.37	$789.48	KMS
Adept Solutions *IT Related Services/Computer Equipment*	MSP-139049 *5/1/20*	5/20	$682.01	$136.40	$545.61	KMS
Adept Solutions *IT Related Services/Computer Equipment*	139187 *5/1/20*	3/20	$250.10	$50.02	$200.08	KMS
AECOM (Reservoir) (HR) *Design*	No Invoice					
AECOM Technical Services, Inc. *Engineering/Tech*	2000353965 *5/4/20*	4/20	$15,863.36		$15,863.36	LEF
Bender Rosenthal, Inc. (G) *Real Estate*	No Invoice					KMS
Brown and Caldwell (B) *Project Controls*	17371089 *5/4/20*	4/20	$116,632.37		$116,632.37	JAT
CH2M Hill Engineers (Operations) (D) *Operations / Simulation Modeling*	D3205400-012 *4/14/20*	3/20	$93,369.39		$93,369.39	AEF
CH2M/Jacobs (Conveyance) (HC) *Engineering Services*	No Invoice					
Colusa Indian Community Council *Tribal Council*	No Invoice					SPE
Darling H2O Consulting, Inc. *Organizational Assessment*	122 *5/1/20*	4/20	$1,299.38	$1,299.38		FD
Dunn Consulting *Legislative/Regulatory/Strategic Support*	Letter *5/5/20*	4/20	$8,000.00	$4,000.00	$4,000.00	KMS
Fechter and Company, CPAs *Accounting Services*	Letter *4/15/20*	4/20	$10,760.40		$10,760.40	JAT
Forsythe Group, LLC *EPP Manager*	SPA-202004 *5/4/20*	4/20	$30,000.00		$30,000.00	JB
Fugro (I) *Geotechnical Engineering Services*	04.72190035-13 *5/1/20*	4/20	$12,562.77		$12,562.77	LEF

Draft_0028419

Sites

May 10, 2020

Topic: Program Operations - Finance

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Gerald (Jerry) Johns	No Invoice					AEF
Project Operations						
HDR (A)	1200264393		$168,613.06		$168,613.06	JAT
Project Integration	*5/1/20*	4/20				
ICF Jones & Stokes, Inc. (Environ.) (E)	0146105		$36,310.66		$36,310.66	AEF
Env/Biological Services	*4/14/20*	3/20				
ICF Jones & Stokes, Inc. (Permitting) (F)	0146101		$32,587.58		$32,587.58	AEF
Permitting and Agreements	*4/14/20*	3/20				
J.C. Watson, Inc.	SPA-057		$38,230.00	$3,823.00	$34,407.00	JB
General Manager Services	*5/1/20*	4/20				
Katz & Associates (C)	413694		$17,737.49	$10,642.50	$7,094.99	KMS
Communications	*4/14/20*	3/20				
K-Coe Isom, LLP	KC084322		$2,695.00	$539.00	$2,156.00	JAT
Accounting	*4/30/20*	4/20				
Larsen Wurzel & Associates, Inc.	1609000-0320		$458.00		$458.00	JAT
Cost Development	*4/15/20*	3/20				
M.R. Cleaning Service	No Invoice					KMS
Office Cleaning						
Maximum Pest Control	50797		$65.00	$65.00		KMS
Pest Spraying	*4/23/20*	4/20				
MBK Engineers	20-03-4941.0		$4,716.75		$4,716.75	AEF
Reservoir Operations	*4/17/20*	3/20				
Montague DeRose & Associates, LLC	4851SITES		$12,725.00		$12,725.00	JAT
Municipal Advisor	*4/24/20*	3/20				
MT Shasta Water	486476		$10.00	$10.00		KMS
Office Water	*4/1/20*	4/20				
MT Shasta Water	483104		$9.65	$9.65		KMS
Office Water	*4/2/20*	4/20				
Perkins Coie, LLP	No Invoice					AEF
Special Legal						

Draft_0028420

Sites

May 10, 2020

Topic: Program Operations - Finance

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Recology Butte Colusa Counties	37208147		$35.95	$35.95		KMS
Office Trash Pickup	*4/30/20*	4/20				
Rush Personnel	IVC000000136553		$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services	*4/21/20*	4/20				
Rush Personnel	IVC000000136554		$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services	*4/21/20*	4/20				
Rush Personnel	IVC000000136720		$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services	*5/4/20*	4/20				
Rush Personnel	IVC000000136721		$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services	*5/4/20*	5/20				
Spesert Consulting	05-20		$21,343.76		$21,343.76	JB
Bus/Communications Manager	*5/4/20*	4/20				
Stradling, Yocca, Carlson & Rauth	No Invoice					JAT
Bond Counsel						
The Ferguson Group	0420149		$15,000.00	$7,500.00	$7,500.00	KMS
Federal Government Affairs Support	*4/1/20*	4/20				
Trapasso Consulting Services	SPA 17-31		$28,000.00		$28,000.00	JB
Program Operations Manager	*5/3/20*	4/20				
U.S. Bank - Credit Card	Online 5/1		$302.11	$226.58	$75.53	KMS
Misc. Expenses	*5/1/20*	4/20				
Waterology Consulting	1		$33,750.00		$33,750.00	JT/FD/JS
Executive Director Services	*5/1/20*	4/20				
William Avery & Associates	No Invoice					JAT
Personnel Recruiter						
Wiseman Consulting Group	No Invoice					KMS
ROW/Land Management						
Young Wooldridge, Law Offices, LLP	65164		$6,357.72	$2,838.11	$3,519.61	JAT
Legal Counsel	*4/30/20*	4/20				

Draft_0028421

Sites

May 10, 2020

Topic: Program Operations - Finance

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports. The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice # Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
			Total	$ Authority Board	$ Reservoir Committee	
Cost Accounts			[illegible]	[illegible]	[illegible]	
May 2020 Monthly Totals		JPA/Sites	97,306.06	32,501.92	64,804.14	
		WSIP	617,693.10		617,693.10	
Cumulative Summary		WSIP To Date	2,336,723.47	(3) Fund Checks Received		
		Balance	3,786,358.82	6,123,082.29		

Notes: *

Draft_0028422

Sites

May 10, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Board Approval Items							
Adept Solutions	139076			$986.85	$197.37	$789.48	KMS
IT Related Services/Computer Equipment		*4/15/20*	03/20				
Adept Solutions	MSP-139049			$682.01	$136.40	$545.61	KMS
IT Related Services/Computer Equipment		*5/1/20*	5/20				
Adept Solutions	139187			$250.10	$50.02	$200.08	KMS
IT Related Services/Computer Equipment		*5/1/20*	3/20				
AECOM (Reservoir) (HR)	No Invoice						
Design							
AECOM Technical Services, Inc.	2000353965			$15,863.36		$15,863.36	LEF
Engineering/Tech		*5/4/20*	4/20				
Bender Rosenthal, Inc. (G)	No Invoice						KMS
Real Estate							
Brown and Caldwell (B)	17371089			$116,632.37		$116,632.37	JAT
Project Controls		*5/4/20*	4/20				
CH2M Hill Engineers (Operations) (D)	D3205400-012			$93,369.39		$93,369.39	AEF
Operations / Simulation Modeling		*4/14/20*	3/20				
CH2M/Jacobs (Conveyance) (HC)	No Invoice						
Engineering Services							
Colusa Indian Community Council	No Invoice						SPE
Tribal Council							
Darling H2O Consulting, Inc.	122			$1,299.38	$1,299.38		FD
Organizational Assessment		*5/1/20*	4/20				
Dunn Consulting	Letter			$8,000.00	$4,000.00	$4,000.00	KMS
Legislative/Regulatory/Strategic Support		*5/5/20*	4/20				
Fechter and Company, CPAs	Letter			$10,760.40		$10,760.40	JAT
Accounting Services		*4/15/20*	4/20				
Forsythe Group, LLC	SPA-202004			$30,000.00		$30,000.00	JB
EPP Manager		*5/4/20*	4/20				
Fugro (I)	04.72190035-13			$12,562.77		$12,562.77	LEF
Geotechnical Engineering Services		*5/1/20*	4/20				

Sites

May 10, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Gerald (Jerry) Johns	No Invoice					AEF
Project Operations						
HDR (A)	1200264393		$168,613.06		$168,613.06	JAT
Project Integration	*5/1/20*	4/20				
ICF Jones & Stokes, Inc. (Environ.) (E)	0146105		$36,310.66		$36,310.66	AEF
Env/Biological Services	*4/14/20*	3/20				
ICF Jones & Stokes, Inc. (Permitting) (F)	0146101		$32,587.58		$32,587.58	AEF
Permitting and Agreements	*4/14/20*	3/20				
J.C. Watson, Inc.	SPA-057		$38,230.00	$3,823.00	$34,407.00	JB
General Manager Services	*5/1/20*	4/20				
Katz & Associates (C)	413694		$17,737.49	$10,642.50	$7,094.99	KMS
Communications	*4/14/20*	3/20				
K-Coe Isom, LLP	KC084322		$2,695.00	$539.00	$2,156.00	JAT
Accounting	*4/30/20*	4/20				
Larsen Wurzel & Associates, Inc.	1609000-0320		$458.00		$458.00	JAT
Cost Development	*4/15/20*	3/20				
M.R. Cleaning Service	No Invoice					KMS
Office Cleaning						
Maximum Pest Control	50797		$65.00	$65.00		KMS
Pest Spraying	*4/23/20*	4/20				
MBK Engineers	20-03-4941.0		$4,716.75		$4,716.75	AEF
Reservoir Operations	*4/17/20*	3/20				
Montague DeRose & Associates, LLC	4851SITES		$12,725.00		$12,725.00	JAT
Municipal Advisor	*4/24/20*	3/20				
MT Shasta Water	486476		$10.00	$10.00		KMS
Office Water	*4/1/20*	4/20				
MT Shasta Water	483104		$9.65	$9.65		KMS
Office Water	*4/2/20*	4/20				
Perkins Coie, LLP	No Invoice					AEF
Special Legal						

Sites

May 10, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Recology Butte Colusa Counties	37208147			$35.95	$35.95		KMS
Office Trash Pickup		*4/30/20*	4/20				
Rush Personnel	IVC000000136553			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*4/21/20*	4/20				
Rush Personnel	IVC000000136554			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*4/21/20*	4/20				
Rush Personnel	IVC000000136720			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*5/4/20*	4/20				
Rush Personnel	IVC000000136721			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*5/4/20*	5/20				
Spesert Consulting	05-20			$21,343.76		$21,343.76	JB
Bus/Communications Manager		*5/4/20*	4/20				
Stradling, Yocca, Carlson & Rauth	No Invoice						JAT
Bond Counsel							
The Ferguson Group	0420149			$15,000.00	$7,500.00	$7,500.00	KMS
Federal Government Affairs Support		*4/1/20*	4/20				
Trapasso Consulting Services	SPA 17-31			$28,000.00		$28,000.00	JB
Program Operations Manager		*5/3/20*	4/20				
U.S. Bank - Credit Card	Online 5/1			$302.11	$226.58	$75.53	KMS
Misc. Expenses		*5/1/20*	4/20				
Waterology Consulting	1			$33,750.00		$33,750.00	JT/FD/JS
Executive Director Services		*5/1/20*	4/20				
William Avery & Associates	No Invoice						JAT
Personnel Recruiter							
Wiseman Consulting Group	No Invoice						KMS
ROW/Land Management							
Young Wooldridge, Law Offices, LLP	65164			$6,357.72	$2,838.11	$3,519.61	JAT
Legal Counsel		*4/30/20*	4/20				

Sites

May 10, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice # Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by

		Total	$ Authority Board	$ Reservoir Committee
	Cash Accounts	714,999.16	32,501.92	682,497.24
May 2020 Monthly Totals	JPA/Sites	97,306.06	32,501.92	64,804.14
	WSIP	617,693.10		617,693.10
Cumulative Summary	WSIP To Date	2,336,723.47	(3) Fund Checks Received	
	Balance	3,786,358.82	6,123,082.29	

Notes: *

Draft_0028426

Sites

MAY KEY CONSULTANT BUDGET REPORT

FOR AUTHORITY BOARD AND RESERVOIR COMMITTEE,
FINANCE AND ECONOMICS AD HOC COMMITTEE

Area / Consultant		Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
a) HDR			Costs and Task % as of 04/20 Services	**1,499,864**	**1,499,864**	**675,474**	**824,390**	**45%**		
	2	A01	Communications Int.		-	-	-	-	100%	
	2	A02	Ops Modeling Int.		181,007	14,038	166,969	8%	30%	
	2	A03	Env. Planning Int.		149,357	96,118	53,239	64%	45%	
	2	A04	Permitting Int.		243,884	138,496	105,388	57%	45%	
	2	A05	Real Estate Int.		139,718	12,392	127,326	9%	25%	
	2	A06	Engineering Int.		299,476	150,326	149,150	50%	45%	
	2	A07	Geotech Int.		-	-	-	-	100%	
	2	A08	Controls Int.		224,387	117,420	106,967	52%	45%	
	2	A09	General Int.		98,267	108,358	(10,091)	110%	45%	
	2	A10	Authority's Engineer		-	-	-	-	100%	
	2	A11	Health, Safety & Loss Prevention		-	-	-	-	100%	
	2	A12	Quality Management		-	-	-	-	100%	
	2	A13	Risk Management		49,890	7,249	42,641	15%	10%	
	2	A14	IT		15,831	6,357	9,474	40%	45%	
	2	A15	GIS		-	765	(765)	-	100%	
	2	A16	Document Management		3,734	2,604	1,130	70%	45%	
	2	A17	Staff Support		14,004	1,929	12,075	14%	20%	
	2	A18	Satellite Project Office		-	-	-	-	100%	
	2	A19	Land Conservation Approach		-	-	-	-	100%	
	2	A98	HDR Project Management		40,309	14,224	26,085	35%	45%	
	2	A99	Expenses		40,000	5,198	34,802	13%	45%	
b) BC			Costs and Task % as of 04/20 Services	**899,156**	**899,156**	**460,055**	**439,101**	**51%**		
	2	B01	Project Controls		113,645	49,703	63,942	44%	45%	
	2	B02	Contract Administration and Compliance		492,414	231,523	260,891	47%	45%	
	2	B03	Work Planning and Scheduling		100,341	88,452	11,889	88%	50%	
	2	B04	Project Administrative Support		117,516	64,018	53,498	54%	50%	
	2	B05	PMP Sections		-	-	-	-	-	
	2	B98	Project Management		73,240	24,660	48,580	34%	40%	
	2	B99	Expenses		2,000	1,700	300	85%	90%	

Draft_0028428

Area / Consultant		Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
c) K&A			Costs and Task % as of 03/20 Services	**199,930**	**199,930**	**50,106**	**149,824**	**25%**		
	2	C01	Local/Landowner Outreach		14,083	56	14,027	0%	-	
	2	C02	Statewide Outreach		22,000	620	21,380	3%	-	
	2	C03	NGO Outreach		12,166	1,085	11,081	9%	-	
	2	C04	Strategic Communications		19,666	4,039	15,627	21%	35%	
	2	C05	Message Development/Training		11,831	1,410	10,421	12%	20%	
	2	C06	Informational Materials Distribution		38,500	11,069	27,431	29%	20%	
	2	C07	Website Modifications and Management		18,600	4,014	14,586	22%	35%	
	2	C08	Video & Photography		-	-	-	-	-	
	2	C09	Authority/Reservoir Committee Public Affairs Support		14,500	4,495	10,005	31%	35%	
	2	C10	Media Relations		13,084	5,598	7,487	43%	30%	
	2	C11	Social Media		5,000	1,174	3,826	23%	20%	
	2	C98	Project Management		30,500	14,090	16,410	46%	37%	
	2	C99	Expenses		-	2,457	(2,457)	-	-	
d) CH2-d			Costs and Task % as of 03/20 Services	**993,000**	**993,000**	**253,417**	**739,583**	**26%**		
	2	D01	Permitting and Resource Agency Technical Support		100,000	59,710	40,290	60%	88%	
	2	D02	Environmental Document Support		356,853	30,510	326,343	9%	7%	
	2	D03	Total Operations Technical Support		300,000	85,682	214,318	29%	50%	
	2	D04	Value Planning		88,000	52,117	35,883	59%	80%	
	2	D98	Project Management		31,147	24,940	6,207	80%	40%	
	2	D99	Subs and ODCS		117,000	458	116,542	0%	25%	
e) ICF-e			Costs and Task % as of 03/20 Services	**428,000**	**428,000**	**77,003**	**350,997**	**18%**		
	2	E01	Draft EIS/EIR Review and Strategic Consultation		-	-	-	-	-	
	2	E02	Prepare Admin Final Responses to Comments		-	-	-	-	-	
	2	E03	Prepare Administrative Final EIR/EIS		15,000	14,967	33	100%	-	
	2	E04	Begin Preparation of Administrative Record for Fin		-	-	-	-	-	
	2	E05	Engagement		-	-	-	-	-	
	2	E06	Geotechnical Environmental Document		-	-	-	-	-	
	2	E07	Develop Environmental Document Work Plan		110,000	29,883	80,117	27%	35%	
	2	E08	Support Development of EIR/EIS Project Description		180,000	9,921	170,079	6%	3%	
	2	E09	Prepare Annotated Outline		88,000	-	88,000	-	-	

Area / Consultant		Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
	2	E98	Project Management		31,500	22,232	9,268	71%	15%	
	2	E99	Expenses		3,500	-	3,500	-	12%	
f) ICF-f			Costs and Task % as of 03/20 Services	**708,300**	**708,300**	**117,703**	**590,597**	**17%**		
	2	F01	Finalize Joint Biological Assessment		-	-	-	-	-	
	2	F02	Finalize Geotechnical Permits and Fieldwork		297,120	35,070	262,050	12%	25%	
	2	F03	Prepare Section 106 Documents		-	-	-	-	-	
	2	F04	Begin Preparation of Permits and Agreements		26,000	25,976	24	100%	-	
	2	F05	Prepare for 2020 Field Work Studies		-	-	-	-	-	
	2	F06	Strategize on Mitigation and Adaptive Management P		-	-	-	-	-	
	2	F07	Early Coordination and Development of Key Permits		333,740	27,076	306,664	8%	15%	
	2	F98	Project Management		35,000	23,479	11,521	67%	10%	
	2	F99	Expenses		16,440	6,102	10,338	37%	15%	
g) BRI			Costs and Task % as of 01/20 Services	**762**	**762**	**762**	**0**	**100%**		
	2	G01	Develop Draft Right-of-Way Manual Sections		-	-	-	-	-	
	2	G02	Right-of-Way Planning Efforts		-	-	-	-	-	
	2	G03	Right-of-Entry / Early-Access Program		517	517	0	100%	-	
	2	G04	Property Management Program		-	-	-	-	-	
	2	G05	Public Outreach and Community Engagement		-	-	-	-	-	
	2	G98	Project Management / QC		245	245	0	100%	-	
	2	G99	Expenses		-	-	-	-	-	
i) FUG			Costs and Task % as of 04/20 Services	**85,995**	**85,995**	**36,973**	**49,022**	**43%**		
	2	I01	Data Review and Develop 2019 Feasibility Study Work Plan		-	-	-	-	-	
	2	I02	- Obsolete -		-	-	-	-	-	
	2	I03	2019 Site Investigation		-	-	-	-	-	
	2	I04	Future Site Investigation Work Plan for Design		-	-	-	-	-	
	2	I05	Assessment of Proposed Field Data Collection Points		50,786	14,474	36,312	28%	29%	
	2	I98	Project Management		35,209	22,499	12,710	64%	65%	

Sites

Topic: **Authority Board Agenda Item 1.4** **2020 May 27**

Subject: **Award Strategic Planning Facilitation Service Contract**

Requested Action:

Consider approval of a consulting contract with The Catalyst Group for facilitation services in an amount not to exceed $50,000 and approve a time extension through December 31, 2020 for the existing contract with Darling H2O.

Detailed Description/Background:

The Reservoir Committee and Authority Board issued a Request For Proposal on April 23, 2020. Three responses were received from the shortlisted firms. A selection panel made up of Authority Staff, Reservoir Committee members and Authority Board members reviewed the proposals and invited all three for an interview. After conducting the interviews, the selection panel unanimously chose The Catalyst Group because of their strong experience working with organizations and addressing challenges similar to the Sites project, and their unique approach to the work.

The scope of work primarily involves designing a strategic planning program around the findings of the November 2019 draft Organizational Assessment that the facilitator thinks are most important to the success to the Project and include, but not limited to mission/vision, governance, subcommittees, outside relationships, communication and trust.

This planning session needs to be an in-person meeting, so timing is dependent on the shelter in place orders being lifted.

The selection committee consisted of the Executive Director, Authority Program Manager, both Chairs of the Reservoir Committee and Authority Board, and two Budget and Finance Workgroup members.

A contract has been prepared for execution which has been approved by legal and is consistent with the scope of work and budget approved by the Authority in the Amendment 1B Work Plan.

Following strategic planning there likely will be implementation activities to incorporate outcomes in business process changes. Staff will require assistance for this work and proposes to use a combination of The Catalyst Group and Darling H2O consulting services. The Darling H2O contract currently expires June 30, 2020 and requires the Authority to approve a contract extension through December 31, 2020.

Fiscal Impact:

Sufficient funds exist in the Phase 1B work plan budget to pay for the facilitation services. The contract will be structured to allow up to $25,000 for the strategic plan development and up to $25,000 for additional services for a total not to exceed amount of $50,000. The Darling H2O contract has $21,597 remaining in their approved contract budget that will not be exceeded.

Prior Action:

April 23, 2020: Approved following actions by the Authority Board relative to the approved Organization Assessment (OA) Report as follows: a) accept the plan and schedule for addressing the report findings and recommendations, b)concur with the scope, schedule and budget for strategic planning facilitation services, and c) approve release of a Request for Proposals for strategic planning facilitation services.

December 20, 2019: Approved Darling H20 to expand the scope of work to support the recruitment of a facilitator for Strategic Planning.

November 21, 2019: Darling H20 Consulting, Inc. presented the draft Organizational Assessment.

August 26, 2019: Approved a budget reallocation for the organizational assessment and execution of a sole-source professional services agreement with Darling H2O to perform an organizational assessment.

July 22, 2019: Discussed working on an organizational assessment plan to evaluate the structure of the Sites Project's program management team, Reservoir Committee, and the Authority.

Fiscal Impact/Funding Source:

Sufficient funds are available for this activity in the current work plan.

Staff Contact:

Jerry Brown

Attachments:

None.

Sites

Topic: **Authority Board Agenda Item 1.5** **2020 May 21**

Subject: **Reclamation Financial Assistance Agreement**

Requested Action:

Consider approval of Resolution No. 2020-01, providing for an application to the USBR for a Financial Assistance Agreement (FAA)for WIIN Act funds.

Detailed Description/Background:

The 2019 federal budget appropriated $6M in WIIN act funds toward the Sites Reservoir Project. The proposed Resolution is needed to initiate development of the FAA. A separate action will be necessary to authorize executing the FAA.

Staff has been working closely with Reclamation staff to prepare the FAA. Reclamation's participation will be a 50/50 percent cost share with $4 million of funding dedicated to Sites expenses and the other $2M toward Reclamation expenses on the Sites project. Staff is working with Reclamation to determine if $1million of the funding dedicated to Sites expenses will either come in cash or contributed work performed by Reclamation, either way there will be no impact on the Amendment 2 Work Plan. This funding will allow the project to continue development of the environmental documents and permits. The scope does not commit the Authority to any specific type of reservoir project. Should the project not get built, these funds are not required to be paid back to the federal government.

Prior Action:

None.

Fiscal Impact/Funding Source:

The approved phase 2 work plan includes $4M of WIIN Act funding to advance project environmental planning and engineering work. The funds are expected to be received in early 2021.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Resolution 2020-01

Draft_0028434

BEFORE THE BOARD OF DIRECTORS OF THE SITES PROJECT AUTHORITY

RESOLUTION NO. 2020-01

In the Matter of:

Authorizing Execution of Documents for the Financial Assistance Agreement with the Bureau of Reclamation utilizing the WIIN Act Funding.

WHEREAS, the United States Bureau of Reclamation (Reclamation) is considering participation in the Sites Reservoir Project under Water Infrastructure Improvements for the Nation (WIIN) Act, Public Law No: 114-322; and

WHEREAS, Section Sec. 4007 of the WIIN Act authorizes the United States Department of Interior, among other things, to participate in state-led water storage projects in an amount up to 50% of the total project cost; and

WHEREAS, Reclamation's participation is consistent with Section 14 of the Reclamation Project Act of 1939; and

WHEREAS, it is provided in the Further Consolidated Appropriations Act, 2020 H. R. 1865—132, enacted into law December 20, 2020: "That in accordance with section 4007 of Public Law 114–322, and as recommended by the Secretary in a letter dated February 13, 2019, funding provided for such purpose in fiscal years 2017 and 2018 shall be made available to...the North-of-the Delta Off stream Storage (Sites Reservoir Project)"; and

WHEREAS, the Project Description for Sites Reservoir Project listed in the Secretary letter dated February 13, 2019 states, "This project includes an additional 1.8 million AF of off-stream surface storage to restore flexibility and adaptability to Central Valley Project (CVP) and State Water Project (SWP) operations. Funds will be used to address any comments on the final Feasibility Report, advance the EIS/EIR, and various related activities, including execution of a cost-sharing agreement with the Sites Project Authority."; and

WHEREAS, the Sites Project Authority ("Authority") is a joint powers authority formed by and existing among various irrigation districts and other local water districts and local governments within the Sacramento River hydrologic region pursuant to a Joint Exercise of Powers Agreement as last amended Feb 13, 2017, and through a Reservoir Committee established by the Authority has partners that are not located within said hydrologic region, participating with development of the Sites Project; and

WHEREAS, the Bureau of Reclamation is intending to award the Authority up to $4,000,000 for the Financial Application Agreement.

NOW, THEREFORE BE IT RESOLVED by this Board of Directors as follows:

1. The foregoing recitals are true and correct.
2. The Executive Director, or their designee, is authorized and directed to prepare the necessary data, conduct investigations, file such applications, execute a funding agreement and any amendments thereto, and sign invoices with the Bureau of Reclamation related to the Financial Assistance Agreement from the WIIN Act funding and its implementing regulations.
3. The Authority's officers and Executive Director are authorized to do all things necessary and proper to carry out and implement the above reference matters with the Bureau of Reclamation.

PASSED, APPROVED AND ADOPTED by the Board of Directors of the Sites Project Authority this 27th day of May 2020, by the following vote:

AYES:

NOES:

ABSTAIN:

ABSENT:

I, Fritz Durst, Chair Authority Board of the Site Project Authority, do hereby certify that the resolution set forth above is true and accurate copy of the resolution adopted by the Board of Directors of the Sites Project Authority at a duly called meeting of the Board on May 27, 2020 and that said resolution has not been rescinded, amended or modified and is in full force and effect as of the date hereof.

In Witness Whereof, I have executed this certificate this 27th day of May 2020.

_____

Fritz Durst, Chair Authority Board, Sites Project Authority

Sites

Topic: **Authority Board Agenda Item 2.1** **2020 May 27**

Subject: **Amendment 2 Cash Call**

Requested Action:

Consider acceptance of the attached Exhibit B (2020 and 2021 budget) to the Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the results of the "soft call". Consider approving one of the following actions:

A. Approve moving to the "hard call" and the use of the attached participation table to create a draft Exhibit A to be included with Amendment 2.

B. Direct staff to begin outreach to potential participants based on the priority system defined in participation agreements and the credit reimbursement policy to explore interest in Sites capacity, if available.

Detailed Description/Background:

Acting on feedback received at the April Reservoir Committee meeting, staff has developed a two-step cash call process with the following objectives:

1. Ensure continued full subscription in the rightsized project.
2. Provide more time prior to the first funding request in light of the COVID-19 natural disaster and its long-term financial impacts.
3. Identify other revenue sources and possibly reduce costs to further reduce the cash call.

Soft Call Preliminary Participation Results:

Soft call survey responses were due on May 15th and the results are summarized below:

- 19 out of 21 agencies have responded, representing 191,942 AF out of 192,892 AF current participation (99.5%).
- On net it appears we can still achieve full subscription, but there is some reduction in participation levels and geographic balance will need to be addressed.
- Existing participants identified interest in 5,000 AF of additional capacity, if available.
- Prior participants expressed interest in 10,000 AF of capacity, if available.

Attachment A shows the preliminary participation results.

Potential Participant Outreach and Priority:

Soft call results indicate subscription will likely be reduced below the current level of 192,892 acre feet. Staff can begin outreach to potential participants and report back at the June meeting. The following priority will be given to participants based on the credit reimbursement policy:

1. The goal is total Sac Valley participation of ~25%. Priority is given to current, prior and new Sac Valley participants in that order.
2. Existing Reservoir Committee participating members (with sub-priorities by seniority)
3. Prior Reservoir Committee participating members (with sub-priorities by exit date)
4. Nonparticipants. Priority for new participants outside of Sac Valley.

New participants must be approved for admission according to Section 10 of the Participation Agreement, which includes a 75% weighted approval at the Reservoir Committee and 75% of Authority Board director approval as well as other requirements.

Per the credit reimbursement policy, new, returning, and increasing participants would be responsible for paying their share of the project costs to date. Departing or reducing participants would be frozen at the priority they left under. For returning participants, the new amount owed will be reduced by their contributed credit balance. The below table shows the prior and future participation commitments. Any prior commitments owed would be due along with the first invoice for Amendment 2 on Nov 1, 2020. Payment for prior commitments would be distributed according to the credit reimbursement policy.

Agreement / Work Plan	Cost / AF Participation	Period End
Phase 1	$48.50/AF	Mar 31, 2019
Phase 2 (2019)	$60/AF	Dec 31, 2019
Phase 2 (2019) Amendment 1B	$0/AF	Aug 31, 2020
Prior Commitments Total*	**$108.50/AF**	
Phase 2 (2019) Amendment 2	$100/AF	Dec 31, 2021
Installment 1, Nov 1, 2020	$60/AF	
Installment 2, Apr 1, 2021**	$40/AF	
Phase 2 Future Commitments	**$100/AF**	
Total Buy-in	**$208.50/AF**	

* Prior commitment payments would be utilized per the credit reimbursement policy consistent with prior actions.
** Second installment is not-to-exceed $40 and will be evaluated in early 2021.

Reduced Participation Scenario:

If after the outreach step participation remains reduced, the Amendment 2 work plan would need to be revised to reflect a reduction in revenue or there would need to be an increase in the $100/AF with reduced subscription. Exhibit A and

Exhibit B to Amendment 2 would be updated to reflect final approved participation levels and the budget, respectively. These next steps would be discussed during future Reservoir Committee and Authority Board meetings.

Cash Call Timing:

The proposed cash call schedule is:

- $60/AF due Nov 1, 2020
- Up to $40/AF due Apr 1, 2021

The possibility of individual agencies opting to pay the full $100/AF on November 1, 2020 has been discussed in the coordination work group. Participants would essentially be pre-paying the second cash call of up to $40/AF (due April 1, 2021). Staff has explored possible financial and non-financial incentive scenarios.

The financial incentive considered was related to interest earned on prepaid funds. Assuming 50% of participants prepaid the full $100/AF and the money was held for six months before other participants paid in, the total interest earned is estimated to be less than $50,000. Based on this analysis, developing policies and systems for tracking interest at a participant level represent increases in administrative costs in excess of the benefit. Financial incentives are not planned based on this analysis.

The non-financial incentive for pre-payment relates to maintaining project schedule while accommodating delayed payment from agencies who have constraints with the timing of the funding request. This is not quantifiable but represents good will and commitment to the project.

Should an agency desire to prepay the full $100/AF on November 1st, the Authority has the ability to track accounts receivable and maintain an accurate participant ledger.

Schedule:

Responses from the soft call indicate that the earliest planned board date is May and the latest planned board date is October. This range of schedules can be accommodated. The plan is to issue invoices October 1 at $60/AF, due for payment on November 1.

Prior Action:

May 5, 2020: At a Reservoir Committee workshop, staff provided information on changes to the cash call process and schedule in light of potential economic impacts of the COVID-19 natural disaster on participating member agencies. A two-step cash call process including a "Soft Call" and a "Hard Call" will be used to better plan for changes in participation. The due date of the first cash call of $60/AF will be moved two months out to November 1, 2020. The due date of the second cash call will be moved two months out to April 1, 2021 and will be up to $40/AF. The Amendment 2 work will still begin on September 1, 2020.

April 17, 2020: The Reservoir Committee approved the Amendment 2 Work Plan for the purposes of planning cash calls for participants totaling $100/AF, producing a draft budget exhibit to the participation agreement, and developing consultant task orders.

March 30, 2020: At a joint workshop, staff provided information that included a process overview of task order development; an updated project schedule; cash flows for Reservoir Committee and Authority Board; and preliminary task budgets including assigned resources.

March 19, 2020: Staff provided information on the goals, schedule, cash flow, and preliminary budget allocation by subject assuming a cash call of $100/AF. Staff provided a preliminary cash call schedule of $60/AF due September 1, 2020 and $40 due February 1, 2021.

February 21, 2020: Staff provided information on three possible revenue scenarios and a task list for Amendment 2 (September 1, 2020 and ending December 31, 2021). Staff received direction to continue to develop the $100/AF revenue scenario.

January 17, 2020: Staff provided information regarding the proposed process for developing a work plan. This work plan proposes to focus on improving certainty related to project operations, permitting, and affordability, and to meeting the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive funding.

Fiscal Impact/Funding Source:

The total cash call remains unchanged from the approved Amendment 2 work plan in the total amount of $100/AF. With the Amendment 1B carryover funds it may be possible to reduce the total cash call. However, Staff proposes to keep the first call at $60/AF and reassess the second call currently projected at $40/AF midway through the Amendment 2 work. At that time, any adjustments to the second call can be considered based on the then current projections. Participating members can consider the second call to be an "up to" amount since the Amendment 1B carryover represents previously unanticipated funds for the Amendment 2 work plan.

Staff Contact:

Jerry Brown

Attachments:

Attachment A – Preliminary Amendment 2 Participation Table

Attachment B – Exhibit B Reservoir Committee 2020 and 2021 Work Plan

Preliminary Amendment 2 Participation Table
Reservoir Committee

Based on preliminary soft call survey results as of 12pm Wednesday, 5/20/2020

Working Draft, Subject to Change

Participant	Phase 2 (2019) Participation Level (Ref, AF)	Participation Level (AF) Staff Recommendation	Variance (AF)	Participation Increase Interest (AF)
Carter Mutual Water Company	300	300	0	
City of American Canyon	4,000	4,000	0	
Coachella Valley Water District	10,000	10,000	0	
Colusa County	10,000	10,000	0	
Colusa County WD	11,975	11,000	-975	Possibly
Davis Water District	2,000	2,000	0	
Dunnigan WD	2,717	2,717	0	
Desert WA	6,500	6,500	0	
Glenn Colusa Irrigation District	5,000	5,000	0	
LaGrande water district	1,000	1,000	0	
Metropolitan Water District of Southern California	50,000	50,000	0	
RD-108	4,000	4,000	0	
San Bernardino Valley Municipal Water District	21,400	21,400	0	
San Gorgonio Pass Water Agency	14,000	14,000	0	
Santa Clara Valley WD	16,000	16,000	0	
Santa Clarita Valley Water Agency	5,000	5,000	0	5,000
Westside W.D.**	15,000	2,000	-13,000	
Zone 7 Water Agency	10,000	10,000	0	
Wheeler Ridge-Maricopa WSD	3,050	3,050	0	
Antelope Valley East Kern WA	500	Pending	-500	
Cortina WD	450	Pending	-450	
Pacific Resources MWC*	0	Pending	0	10,000
Total	**192,892**	**177,967**	**-14,925**	**15,000**

**Phase 1 participant, no survey response received. Interest expressed by a responding Phase 2 participant.*

***Indicated possibility for higher participation, lower projected participation level shown*

Blue text indicates no survey response received

Exhibit B
Reservoir Committee
2020 and 2021 Work Plan

May 21, 2020 Reservoir Committee Working Draft

Reservoir Committee Annual Budget for FY 2020 and FY 2021 ($000)

	Subject Area	2020	2021	Total
Revenue	Beginning Balance	$6,847	$0	$6,847
	Participation Revenue	$11,520	$7,680	$19,200
	Federal Revenue	$0	$4,000	$4,000
	State Revenue	$5,134	$5,502	$10,636
Revenue Total		$23,501	$17,182	$40,683
Expenses	Permitting	($2,558)	($5,011)	($7,569)
	Early Mitigation	($243)	($2,257)	($2,500)
	Environmental Planning	($3,511)	($2,376)	($5,887)
	Operations Modeling	($3,486)	($536)	($4,022)
	Engineering	($4,360)	($2,180)	($6,540)
	Geotechnical	($1,142)	($2,003)	($3,145)
	Real Estate	($145)	($272)	($417)
	Communications	($489)	($579)	($1,068)
	Project Controls	($1,333)	($1,528)	($2,861)
	Funding	($777)	($590)	($1,367)
	Growth	($819)	($910)	($1,729)
	Management	($461)	($1,219)	($1,681)
	Support	($248)	($388)	($636)
Expenses Total		($19,573)	($19,849)	($39,422)
Grand Total		**$3,928**	**($2,666)**	**$1,261**

Annual expense budgets are based on the projected spend rate for the Amendment 1B and Amendment 2 work plans combinded (Pg 2 and 3).

Amendment 1B Budget by Month ($000s)

Reservoir Committee Work Plan Summary

Subject Area		Jan 20	Feb 20	Mar 20	Apr 20	May 20	Jun 20	Jul 20	Aug 20	Total
Revenue										
	Beginning Balance*	$6,847								$6,847
	Participation Revenue									$0
	Federal Revenue									$0
	State Revenue	$3,300								$3,300
Revenue Sum		$10,147								$10,147
Expenses										
	Environmental Planning	($269)	($245)	($269)	($269)	($245)	($257)	$0	$0	($1,555)
	Operations Modeling	($519)	($472)	($355)	($220)	($116)	($85)	($55)	($53)	($1,876)
	Engineering	$0	($151)	($237)	($239)	($247)	($272)	($248)	($205)	($1,600)
	Geotechnical	$0	$0	($27)	($118)	($107)	($118)	($118)	($113)	($601)
	Real Estate	($7)	($6)	($7)	($7)	($6)	($7)	($7)	($7)	($55)
	Communications	($38)	($35)	($38)	($38)	($35)	($38)	($38)	($36)	($297)
	Project Controls	($255)	($77)	($85)	($85)	($77)	($85)	($85)	($81)	($828)
	Funding	($74)	($109)	($120)	($121)	($104)	($61)	($37)	($35)	($661)
	Growth	($93)	($84)	($93)	($93)	($84)	($93)	($93)	($88)	($719)
	Management	$0	$0	$0	($1)	($23)	($25)	($9)	$0	($58)
	Support	($15)	($14)	($15)	($15)	($14)	($15)	($15)	($15)	($120)
Expenses Sum		($1,271)	($1,194)	($1,247)	($1,206)	($1,059)	($1,056)	($705)	($633)	($8,370)

**Adjusted from value published in work plan based on 2019 close-out*

Amendment 2 Budget by Month ($000s)

Reservoir Committee Work Plan Summary

Subject Area	Sep 20	Oct 20	Nov 20	Dec 20	Jan 21	Feb 21	Mar 21	Apr 21	May 21	Jun 21	Jul 21	Aug 21	Oct 21	Sep 21	Nov 21	Dec 21	Total
Revenue																	
Participation Revenue			$11,520					$7,680									$19,200
Federal Revenue							$2,000				$2,000						$4,000
State Revenue			$1,834			$1,834						$1,834			$1,834		$7,336
Revenue Total			$13,354			$1,834	$2,000	$7,680			$2,000	$1,834			$1,834		$30,536
Expenses																	
Permitting	($253)	($577)	($1,023)	($705)	($525)	($525)	($584)	($471)	($419)	($448)	($295)	($309)	($295)	($295)	($613)	($232)	($7,569)
Early Mitigation	$0	$0	($113)	($131)	($119)	($119)	($136)	($131)	($119)	($235)	($231)	($242)	($231)	($231)	($220)	($242)	($2,500)
Environmental Planning	($488)	($512)	($442)	($513)	($474)	($474)	($218)	($71)	($65)	($71)	($61)	($62)	($216)	($42)	($343)	($279)	($4,332)
Operations Modeling	($621)	($680)	($232)	($78)	($71)	($71)	($81)	($72)	($43)	($48)	($46)	($48)	($11)	($46)	$0	$0	($2,146)
Engineering	($1,134)	($768)	($398)	($461)	($108)	($185)	($355)	($292)	($221)	($190)	($161)	($186)	($155)	($155)	($147)	($25)	($4,941)
Geotechnical	($52)	($54)	($61)	($374)	($346)	($461)	($513)	($134)	($124)	($172)	($42)	($44)	($42)	($42)	($40)	($42)	($2,544)
Real Estate	($23)	($24)	($20)	($24)	($21)	($21)	($25)	($24)	($21)	($24)	($23)	($24)	($23)	($23)	($21)	($24)	($362)
Communications	($48)	($50)	($43)	($50)	($46)	($46)	($52)	($50)	($46)	($50)	($48)	($50)	($48)	($48)	($46)	($50)	($771)
Project Controls	($126)	($132)	($114)	($132)	($120)	($120)	($138)	($132)	($120)	($132)	($126)	($132)	($126)	($126)	($120)	($132)	($2,033)
Funding	($29)	($30)	($26)	($30)	($64)	($66)	($76)	($73)	($66)	($71)	($29)	($30)	($29)	($29)	($28)	($30)	($706)
Growth	($25)	($26)	($23)	($26)	$0	$0	($38)	($36)	($33)	($36)	($35)	($154)	($146)	($146)	($139)	($148)	($1,010)
Management	($101)	($106)	($91)	($106)	($96)	($96)	($110)	($106)	($96)	($106)	($101)	($106)	($101)	($101)	($96)	($106)	($1,623)
Support	($32)	($34)	($29)	($34)	($31)	($31)	($35)	($34)	($31)	($34)	($32)	($34)	($32)	($32)	($31)	($34)	($516)
Expenses Total	($2,931)	($2,994)	($2,616)	($2,663)	($2,020)	($2,214)	($2,362)	($1,624)	($1,404)	($1,616)	($1,230)	($1,422)	($1,454)	($1,314)	($1,844)	($1,945)	($31,052)

Sites

Topic: **Authority Board Agenda Item 2.2** **2020 May 27**

Subject: **Second Amendment to 2019 Reservoir Project Agreement**

Requested Action:

Consider approval of the Second Amendment to 2019 Reservoir Project Agreement (Second Amendment).

Detailed Description/Background:

Staff worked with Authority bond counsel (Stradling Yocca Carlson & Rauth) and the Budget and Finance ad hoc Committee to prepare the proposed amendment. This amendment addresses multiple items including; 1) allowing for potential participation changes, 2) extending the agreement through December 2021 which is the end of the Amendment 2 Work Plan and 3) incorporating the Amendment 2 Work Plan revenues and expenses.

In prior staff reports a home board package was referenced to include the materials necessary for executing the Amendment 2 Work Plan. After further evaluation, Staff will be providing the home board package in a format as follows:

- A letter will be provided to each participant member representative requesting action by the agency. (Attachment A) The deadline to receive all the executed amendment forms is September 10, 2020.
- Accompanying the letter will be the Second Amendment for action by the authorized agency designee. (Attachment B)
- A web-based portal has been created and stores all of the information materials for download as needed by each agency. Staff is available to assist with these materials.

Prior Action:

April 17, 2020: Requested staff to address additional items in the draft Second Amendment to 2019 Reservoir Project Agreement including potential participation level changes.

November 21, 2019: Provided input to staff to aid in development of a work plan through June 30, 2020.

October 18, 2019: Approved the First Amendment to 2019 Reservoir Project Agreement.

September 20, 2019: Approved a no-cost extension of time to complete activities defined in the Agreement's work plan (aka Exhibit B). Their approval extended

Draft_0028448

the Agreement's period of performance December 31, 2019 through March 31, 2020.

Fiscal Impact/Funding Source:

Execution of the second amendment creates the contractual commitment by each agency to fund the Amendment 2 work plan through December 2021. It is likely that the Second Amendment will not be completely executed before the current amended agreement expiration of June 30, 2020. While not desirable, this situation was necessary to allow the flexibility and time that agencies needed for their cash call decision making. There is no impact on the project since the Phase 1B funds have already been received.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Draft Cover Letter
Attachment B: Second Amendment to 2019 Reservoir Project Agreement

Sites

May 21, 2020

Dear Reservoir Committee Member,

In April 2020, actions were taken by the Project and Authority governing bodies to adopt the April 13, 2020, Sites Project Value Planning Alternatives Appraisal Report and the Amendment 2 Work Plan which together constitute the scope, schedule and budget for the next stage of project development through December 2021. In taking these actions, funding requirements were set which established the timing and amount due from each participating member that wishes to continue its participation in the Project during the Amendment 2 Work Plan period.

As a participating member in the original Phase 2 Project Agreement dated April 1, 2019 and the First Amendment dated January 1, 2020, the Authority hereby requests your determination of interest in continued participation by returning the attached Second Amendment completed and signed by your authorized agency designee, **no later than September 10, 2020.**

Please note that the Second Amendment requires the following information in addition to the authorized signature on the amendment:

- Indicate the amount of capacity shares in acre feet that you are securing through this phase of the project which, in accordance with the amended Section 6, will represent the capacity share amount to which your agency has first rights of refusal in any future phase of work. These capacity share amounts indicated by each participating member will be used for the final Exhibit A of the Second Amendment.
- Indicate your commitment to provide up to the total funding required for the capacity shares you have designated. The unit cost is the total for the original agreement already paid ($60/AF) and the new commitment for the Amendment2 Work Plan (not to exceed $100/AF).

We have prepared a set of documents for you to use in your agency deliberations of this request. Documents are available for you to download at this link: https://brwncald-my.sharepoint.com/:f:/p/irobinette/Epm9jMLcDwNOhlMc2840b6EBFm-iqGMQPzc-HU2pCxovcQ?e=Z6687k. Don't hesitate to contact Kevin Spesert, Sites Project Authority, External Affairs Manager, at kspesert@sitesproject.org if you need assistance with any of these materials.

The project team is excited to embark on this ambitious Amendment 2 Work Plan. It is critical that you receive timely information for your next decision prior to December 2021 where we will be seeking commitment of the total project local cost share which is one of the conditions for receiving the $816M State WSIP funds.

Please don't hesitate to contact me if there are any questions or you need my support regarding this request.

Jerry Brown
Executive Director, Sites Project Authority

Sincerely,

_____
Jerry Brown, Executive Director
Sites Project Authority

SECOND AMENDMENT TO 2019 RESERVOIR PROJECT AGREEMENT

BY AND AMONG

SITES PROJECT AUTHORITY

and

THE PROJECT AGREEMENT MEMBERS LISTED HEREIN

Dated as of July 1, 2020

THIS SECOND AMENDMENT TO 2019 RESERVOIR PROJECT AGREEMENT (this "Second Amendment"), dated as of July 1, 2020, by and among SITES PROJECT AUTHORITY, a joint powers authority duly organized and existing under the laws of the State of California (the "Authority"), and the project agreement members listed in the Agreement referenced below (the "Project Agreement Members") and amends that certain 2019 Reservoir Project Agreement dated as of April 1, 2019 (the "Original Agreement"), as previously amended by the First Amendment to 2019 Reservoir Project Agreement dated as of January 1, 2020 (the "First Amendment" and, together with the Original Agreement, the "Agreement"), each by and among the Authority and the Project Agreement Members;

WITNESSETH:

WHEREAS, Authority and the Project Agreement Members have determined to approve an Amendment 2 Work Plan and to extend the term of the Agreement to December 31, 2021; and

WHEREAS, under Section 11 of the Agreement, the Agreement may be amended by a writing executed by the Authority and at least 75% of the total weighted vote of the then current Committee members as provided in Subsection 3(g); and

WHEREAS, all acts, conditions and things required by law to exist, to have happened and to have been performed precedent to and in connection with the execution and the entering into of this Second Amendment do exist, have happened and have been performed in regular and due time, form and manner as required by law, and the parties hereto are now duly authorized to execute and enter into this Second Amendment;

NOW, THEREFORE, THIS SECOND AMENDMENT WITNESSETH, the Authority and the Project Agreement Members agree, as follows:

ARTICLE I

DEFINITIONS

Section 1.01. **Definitions.** All capitalized terms not otherwise defined herein shall have the meaning set forth in the Agreement.

ARTICLE II

AMENDMENTS TO AGREEMENT

Section 2.01. **Project Agreement Members**.

(a) Effective September 1, 2020, the Project Agreement Members attached as Exhibit A to the Agreement shall be succeeded in their entirety by the Project Agreement Members attached hereto as Exhibit A.

Section 2.02. **Work Plan**.

(a) Effective September 1, 2020, the 2019 Work Plan attached as Exhibit B to the Agreement shall be supplemented by the Work Plan attached hereto as Exhibit B (the "Amendment 2 Work Plan").

Section 2.03. **Funding**.

The Agreement is hereby amended to remove Section 4(a) in its entirety and replace it with the following:

"(a) Budget. The Committee shall, in cooperation with the Authority's Board, provide and approve both a Fiscal Year operating budget and reestablish a Phase 2 budget target, annually or more frequently as needed. The Project Agreement Members shall contribute their respective pro-rata share of the budgeted sums reflected in the 2019 Work Plan (prior to November 1, 2020) and the Amendment 2 Work Plan (on and after November 1, 2020) in accordance with Section 5 of this Project Agreement; provided, however, that in no event shall the amount paid by a Project Agreement Member exceed $160 per acre-foot (with $60 of such amount being attributable to the 2019 Work Plan and $100 of such amount being attributable to the Amendment 2 Work Plan) without the approval of such Project Agreement Member. The contribution with respect to the pro-rata budgeted sums reflected in the Amendment 2 Work Plan shall be payable by each Project Agreement Member in two installments. The first installment shall be in an amount equal to $60 per acre-foot and shall be payable by no later than November 1, 2020. The second installment shall be in an amount up to $40 per acre-foot and shall be payable by no later than April 1, 2021. The exact amount per acre-foot of the second installment shall be established by the Committee, in cooperation with the Authority's Board, and notice of such amount shall be provided by the Authority to each Project Agreement Member."

Section 2.04. **Future Development of the Sites Reservoir Project**.

The Agreement is hereby amended to remove Section 6(b) in its entirety and replace it with the following:

"(b) Without limiting the foregoing, any Project Agreement Member that elects to continue participating in the development, financing, and construction of the Sites Reservoir Project to the time when the Authority offers contracts for a water supply or other services, will be afforded a first right, equal to that Project Agreement Member's Participation Percentage, to contract for a share of any water supply that is developed, and for storage capacity that may be available from, the Sites Reservoir Project. In any successor phase agreements, Project Agreement Members who are parties to this Project Agreement that submitted a proposal to participate before February 28, 2019, shall be granted rights to contract for a share, in an amount equal to that Project Agreement Member's Participation Percentage as of the effective date of such successor phase agreement, of any water supply that is developed, and for storage capacity that may be available from the Sites Reservoir Project prior to the rights of those becoming parties to this Project Agreement after that date.

If a participating Project Agreement Member as of February 28, 2019 identifies a lesser amount in the Second Amendment than its Original Agreement requested amount, that participating Project Agreement Member's first rights of refusal in the future are to be based on the Second Amendment amounts and not the February 28, 2019 amounts.

Provided, however, that if a Project Agreement Member withdraws from the Project Agreement pursuant to Section 9 of this Agreement but later requests to be reinstated, then to the extent there is unsubscribed participation in the Project as determined by the Committee, the

Committee may vote to readmit said withdrawn Member with a reinstated first right of refusal provided said withdrawing Member provides funding to the Project commensurate with the funding requirements met by all current Project Agreement Members in the current phase of the Project as well as any prior phase, as adjusted for any credits, payments and/or reimbursements made under the Authority's credit reimbursement policy (the "Credit Reimbursement Policy").

Further provided, that if a Project Agreement Member desires to increase its participation after execution of the Second Amendment, then to the extent there is unsubscribed participation in the Project as determined by the Committee, the Committee may vote to approve said increase, or portion thereof, with a first right of refusal attendant thereto, provided said increasing Project Agreement Member provides funding to the Project commensurate with the funding requirements met by all current Project Agreement Members in the current phase of the Project as well as any prior phase, as adjusted for any credits, payments and/or reimbursements made under the Credit Reimbursement Policy.

The Authority and the Project Agreement Members will cooperate on the drafting of provisions in the water supply contract that will allow a Project Agreement Member or other eligible entity that commits to purchase a Sites Reservoir Project water supply to transfer water that the entity may not need from time to time on terms and conditions acceptable to the Project Agreement Member."

Section 2.05. **Term**. The Agreement is hereby amended to remove Section 8(b) in its entirety and replace it with the following:

"(b) The term of this Project Agreement shall continue until December 31, 2021. In the event that this Second Amendment is not approved by Project Agreement Members with the requisite percentage of the total weighted vote as set forth in the Agreement by June 30, 2020, the Agreement shall be revived immediately upon approval by such requisite percentage, without any additional approval of the Project Agreement Members, and this Second Amendment shall become effective."

Section 2.06. **Executive Director**. All references to the "General Manager" in the Agreement shall be changed to "Executive Director."

ARTICLE III

PROJECT AGREEMENT MEMBER PARTICIPATION

Section 3.01. **Project Agreement Participation.** Each Project Agreement Member shall specify its participation in the Sites Reservoir Project by indicating its elected water participation amount in the Sites Reservoir Project and the associated cost in the space provided therefor on the signature page to this Second Amendment. Based upon the respective participation elections of the Project Agreement Members, the Authority shall update Exhibit A pursuant to Section 5 of the Agreement.

ARTICLE IV

MISCELLANEOUS

Section 4.01. **Effectiveness of Agreement**. Except as expressly amended by this Second Amendment, the Agreement is hereby ratified and confirmed and shall continue in full force and effect in accordance with the terms and provisions thereof. The amendments set forth in this Second Amendment shall be incorporated as part of the Agreement upon their effectiveness in accordance with Section 11 of the Agreement.

Section 4.02. **Execution in Several Counterparts**. This Second Amendment may be executed in any number of counterparts and each of such counterparts shall for all purposes be deemed to be an original; and all such counterparts, or as many of them as the Authority and the Project Agreement Members shall preserve undestroyed, shall together constitute but one and the same instrument.

Section 4.03. **Authorization, Ratification and Confirmation of Certain Actions**. The Authority and the Project Agreement Members each hereby authorize, ratify and confirm the extension of the term of the Agreement, as previously extended pursuant to the First Amendment, to June 30, 2020, and the expenditure of funds collected under the Agreement with respect to the 2019 Work Plan on and prior to June 30, 2020.

Section 4.04. **Laws Governing Second Amendment**. The effect and meaning of this Second Amendment and the rights of all parties hereunder shall be governed by, and construed according to, the laws of the State.

IN WITNESS WHEREOF, the Authority and Project Agreement Members hereto, pursuant to resolutions duly and regularly adopted by their respective governing bodies, have caused their names to be affixed by their proper and respective officers on the date shown below:

Dated: _____

SITES PROJECT AUTHORITY

By: _____
Name:
Title:

[PROJECT AGREEMENT MEMBER]

Dated: _____

_____

(Authority & Project Agreement Member)

By: _____
Name:
Title:

PARTICIPATION AMOUNT

[PROJECT AGREEMENT MEMBER] hereby elects to participate in the Sites Reservoir Project in the amount and at the cost identified below.

Participation (Second Amendment Annualized Acre-Foot):

Second Amendment Cost: Not to Exceed $100 per Acre-Foot

EXHIBIT A

PROJECT AGREEMENT MEMBERS

Participant	Participation (Second Amendment Annualized Acre-Foot) Preliminary	Percent
American Canyon, City of		
Antelope Valley-East Kern Water Agency		
Carter Mutual Water Company #		
Coachella Valley Water District		
Colusa County		
Colusa County Water District		
Cortina Water District		
Davis Water District		
Desert Water Agency		
Dunnigan Water District		
Glenn-Colusa Irrigation District		
LaGrande Water District		
Metropolitan Water District of S. CA		
Pacific Resources Mutual Water Company #		
Reclamation District 108		
San Bernardino Valley Municipal Water District		
San Gorgonio Pass Water Agency		
Santa Clara Valley Water District		
Santa Clarita Valley Water Agency		
Westside Water District		
Wheeler Ridge-Maricopa Water Storage District		
Zone 7 Water Agency		
Potential new participants		
Total:		

Participation Percentages exclude State of California and United States Bureau of Reclamation share of the Project.

# Denotes a non-public agency. Refer to California Corporations Code Section 14300 et. seq. with additional requirements provided in both the Public Utilities Code and Water Code.

EXHIBIT B

AMENDMENT 2 WORK PLAN

Draft_0028459

Sites

Topic: **Authority Board Agenda Item 2.3** **2020 May 27**

Subject: **Federal Legislative Priorities**

Requested Action:

Consider acceptance of the 2020-2021 Sites Project Federal Government Affairs Priorities.

Detailed Description/Background:

In response to the recommendation outlined in the Organizational Assessment to prepare an analysis and staff recommendation on federal funding pursuits, staff has been working with the Authority Ad Hoc Legislative & Outreach Committee and our Government Affairs Team to identify our near-term Federal government affairs/legislative priorities.

The focus of this effort was to ensure that our Federal Government Affairs/Legislative activities aligns with key project milestones and our project schedule and specifically focusing on near-term federal operational participation and federal funding. These priorities will be the Committee and Team focus through December 2021. Any adjustments would be brought back to the RC and AB before being incorporated and updates will be provided on significant progress.

Prior Action:

None.

Fiscal Impact/Funding Source:

Sufficient funds exist to support activities associated with these priorities within the Amendment 2 work plan.

Staff Contact:

Jerry Brown/Kevin Spesert

Attachments:

Attachment A: Federal Government Affairs Priorities Memo

TO: Ad Hoc Legislative & Outreach Committee

DATE: 13 May 2020

SUBJECT: 2020 Federal Government Affairs Priorities

Staff has been working to identify near-term (2020) Federal government affairs/legislative priorities in an effort to focus our activities to better align with key project milestones and our existing project schedule.

The following represents the staff's proposed Federal government affairs/legislative priorities.

OPERATIONS PARTICIPATION

- Final completion of Reclamation's Feasibility Report and DOI Secretary determination of feasibility.
  - Complete by **December 31, 2020** which is needed to be eligible for receiving WIIN Act funding and to support the state feasibility report as required by Proposition 1.
  - Reconcile the alternatives included in the feasibility report with the Authority's VP7 alternative.
  - Finalize level of federal participation/investment in the project.
- Pursue development of a CVP Coordinated Operations Plan.
  - Term sheet whereby the parties agree on the key provisions of an agreement by **July 2021.**
- Coordination with Reclamation on the development of the Authority's Water Rights Application.
  - Develop and submit Authority Water Rights Application by **June 2022**.
- Continuing partnership with Reclamation as the NEPA lead agency in developing the Environmental Impact Statement (EIS).
  - Completion of the Recirculated Draft EIS no later than **July 2021** in coordination with the Sites Authority EIR. Completion of the Record of Decision by **May 2022**.

FEDERAL FUNDING

WIFIA/RIFIA

- Begin groundwork for submittal of a WIFIA Loan application.
  - The Value Planning Report anticipated a $1.1 billion WIFIA loan. Application is planned for submittal in **June 2021.**
- Continue to track the development of the RIFIA program and pursue RIFIA funding if available.

WIIN Act

- Secure funding received from the WIIN Act in 2019. Needed to complete the Phase 2 work plan.
  - **$6 million secured** from the 2019/2020 Budget deal signed by the President in **Dec 2019.**
  - Authority is developing a Financial Assistance Agreement to access these funds– assume the Authority will receive **$3 million.**
- Pursue and secure FY2021 WIIN Act funding (current budget cycle) and begin groundwork for a FY2022 WIIN Act funding request.

DRAFT

- Coordination with Reclamation to identify funding needs in FY2021 and FY2022 to advance the project including "post-feasibility" funding.
- Begin groundwork for WIIN Act construction funding request (Pending Reclamation investment decision).

Federal Stimulus & Other funding

- Support development and secure funding from potential COVID19 related infrastructure stimulus package for priority items that align with early project work (e.g. roads, communications, etc.).
  - Anticipate infrastructure stimulus in Fall of 2020.
- Track and pursue opportunities for appropriations/grants/loans as appropriate
  - Roads/Bridges, Rural Broadband, flood control, infrastructure planning, operations &maintenance, etc.

Other items that do not require legislative action but are administrative in nature are listed below. We will need to keep our legislators informed on progress of these items so that they can step in when/if needed to assist in keeping them on the timeline required to support the project schedule.

Permitting - Supportive consultation with federal agencies in securing; USFWS Biological Opinion, NMFS Biological Opinion, National Historic Preservation Act Section 106 Programmatic Agreement.

Public Outreach - Engage with Reclamation Public Affairs staff for the rollout of the Feasibility Report. Work to ensure that messaging is consistent and any differences between the Authority's VP7 alternation and the alternatives included in the feasibility report are addressed. Coordinate with USBR Public Affairs on any public outreach activities associated with a recirculated EIS.

DRAFT

Sites

Topic: **Authority Board Agenda Item 2.4** **2020 May 27**

Subject: **Commendation for Jim Watson, General Manager**

Requested Action:

Consider approval of Resolution No. 2020-02 commending Mr. Jim Watson for his 5 years of dedicated service to the Sites Reservoir Project.

Detailed Description/Background:

With almost 5 years of exemplary service to the Sites Project Authority, Mr. Jim Watson will be leaving his position as General Manager, effective May 23, 2020.

Mr. Watson has made significant contributions to the Sites Project, including:

- Management of multi-million-dollar annual budgets to enable progress on the Project, and establishment of various procedures to manage the finances and operations of the Project.
- Assembly of a highly qualified team of Authority Agents and consultants to enable progress on the Project to a high standard of performance.
- Development of the 2017 Draft Environmental Impact Report for the Project;
- Coordination and cooperation in the development by the U.S. Bureau of Reclamation of its 2017 Draft Federal Feasibility Report.
- Initiation of focused geotechnical field investigations.
- Qualification of the Project for $816 million of funding from the State of California's Water Storage Investment Program (Proposition 1) via the California Water Commission, and execution of an Early Funding Agreement with the Commission.
- Establishment of eligibility for and receipt of about $10 million in funding from the federal WIIN Act via the Bureau of Reclamation.
- Completion of numerous studies necessary for furtherance of Project financial viability, physical construction, future operations, permitting, and environmental benefits.
- Inclusion of the Project in California's Water Resilience Portfolio.
- Establishment of the Sites Project Authority office in Maxwell, California.
- Secure a diverse and statewide group of project investors including the State of California in the amount of $816M of WSIP Prop 1 funding along with 21 participating member agencies.

It is appropriate to acknowledge Mr. Watson for his leadership at the local, regional, and state level, and his years of dedicated service to the Sites Project Authority and the participating agencies in the project.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Resolution 2020-02

RESOLUTION NO. 2020-02

A Joint RESOLUTION OF THE SITES PROJECT AUTHORITY Board of Directors and Reservoir Committee honoring JIM C. WATSON for his leadership and dedication during his years of service on the project

WHEREAS, Mr. Watson has dedicated almost five years of service to the Sites Project Authority and its participating member agencies while serving as the Authority's first General Manager; and

WHEREAS, Mr. Watson has been a collaborative General Manager with a strong focus on creative problem solving, technical details, innovative project concept development; and

WHEREAS, Mr. Watson cultivated a strong and dedicated "project comes first" culture in the organization with a commitment to individual professional growth and recognition; and

WHEREAS, Mr. Watson's leadership enabled the Sites Project Authority to competitively receive the largest Prop 1 WSIP award of $816 million toward statewide benefits in the project and execution of an Early Funding Agreement with the Commission; and

WHEREAS, Mr. Watson, through a spirit of partnership with the Bureau of Reclamation, helped to develop the Draft Federal Feasibility Report finding that the project has significant federal interest and is worthy of federal investment; and

WHEREAS, Mr. Watson's business acumen and experience, emphasis on timely planning and decision-making, practical approach to problem-solving, and assertiveness regarding the Project's interests in public forums have also benefitted the Project's development;

WHEREAS, Mr. Watson has branded the Sites Project as a vital component of California's water infrastructure with a diverse and statewide support and participation; and

WHEREAS, Mr. Watson successfully discharged his responsibilities with honesty, integrity, and fairness, and with a laser-focused practical approach for the overall benefit of the Project and its Members, all to improve future water supplies for water suppliers, residents and the environment of the State of California;

WHEREAS, the improvement of water supplies to farms, cities and the environment within the Sacramento Valley and the State of California is noble and praiseworthy work, and Mr. Watson has cared deeply about this work and has enabled it with loyalty, dedication, and excellence to the benefit of the Project and its beneficiaries;

WHEREAS, Mr. Watson's dedication to the Project has been beyond reproach;

NOW, THEREFORE BE IT RESOLVED that the Authority Board and Reservoir Committee of the Sites Reservoir Project expresses its gratitude and appreciation to Jim Watson for his dedicated service to the Sites Reservoir Project and its participating member agencies, and envisions continued success for him in his future endeavors.

****

The foregoing resolution was duly and regularly adopted at a meeting of the Reservoir Committee held on the 21th of May 2020 and was duly and regularly adopted at a meeting of the Authority Board held on May 27th of May 2020 both making up the governing body of Sites Reservoir Project.

AUTHORITY BOARD	**RESERVIOR COMMITTEE**
_____	_____
Fritz Durst – Sites Project Authority, Chair	Thad Bettner –Reservoir Committee , Chair

ATTESTED

_____

Yolanda Tirado – Sites Project Authority, Board Clerk

Sites

Topic: **Authority Board Agenda Item 3.1** **2020 May 27**

Subject: **Environmental Planning and Permitting Update**

Requested Action:

Review and comment on Recirculated EIR and key permits milestone schedule and key decision points.

Detailed Description/Background:

Since approval of the Phase 1B Work Plan and the Sites Value Planning Report, staff has been moving forward with executing the environmental planning and permitting components for the "rightsized" project. The attached milestone schedule describes the roadmap for completing the Recirculated EIR and obtaining key permits. Current activities and key upcoming decision points (shown in bold) are as follows:

- California Environmental Quality Act / National Environmental Policy Act (CEQA / NEPA) – The project team is currently developing an approach for completing the Recirculated Environmental Impact Report (EIR). This approach will outline key components of the work ahead, including assessing the extent of revisions to each section of the 2017 document. The project team is also working to **formulate a recommended approach to alternatives and baseline conditions that we anticipate reviewing with the Reservoir Committee and Board in June**. The team is proceeding to coordinate a joint EIR/EIS. No final decision has been made yet by Reclamation, but it is assumed that the EIS will be supplemented and recirculated with the EIR.
- Federal Endangered Species Act and California Endangered Species Act (Fish and Game Code Section 2081) – Similar to above, the project team is currently developing the approach for completing the Biological Assessment and Section 2081 Permit applications in coordination with the resource agencies and Reclamation. The environmental and operations teams continue to work closely on modeling components and refinement of operational criteria for project diversions from the Sacramento River. The **operating regimes for diversions and releases and a review of the storage policy will be reviewed with the Reservoir Committee and Board in July.**
- National Historic Preservation Act, Section 106 – This effort will be initiated in September.
- California Water Right Application (California Water Code) – The project team is currently working to **formulate a recommend approach to identify key components of the water right application for discussion with the Reservoir Committee and Board in September**. These key components

Draft_0028468

include Place of Use, Points of Diversion, Points of Rediversion, and Purpose of Use – all of which will form the basis of the application.

- Clean Water Act Section 404 and 401 – This effort will be initiated shortly.
- River and Harbors Act Section 408 – This effort will be initiated in late July as more design and engineering information becomes available.

All of the actions and activities identified above remain on the overall schedule (some priorities have shifted around, but the overall schedule remains the same). All actions and activities are also within budget. The attached figure provides an overview of the key environmental planning and permitting efforts underway along with the schedule for key milestones and deliverables.

Prior Action:

None.

Fiscal Impact/Funding Source:

Costs to undertake the current efforts were included in the Amendment 2 Work Plan which was approved by the Sites Project Authority at its April 22, 2020 Board meeting.

Staff Contact:

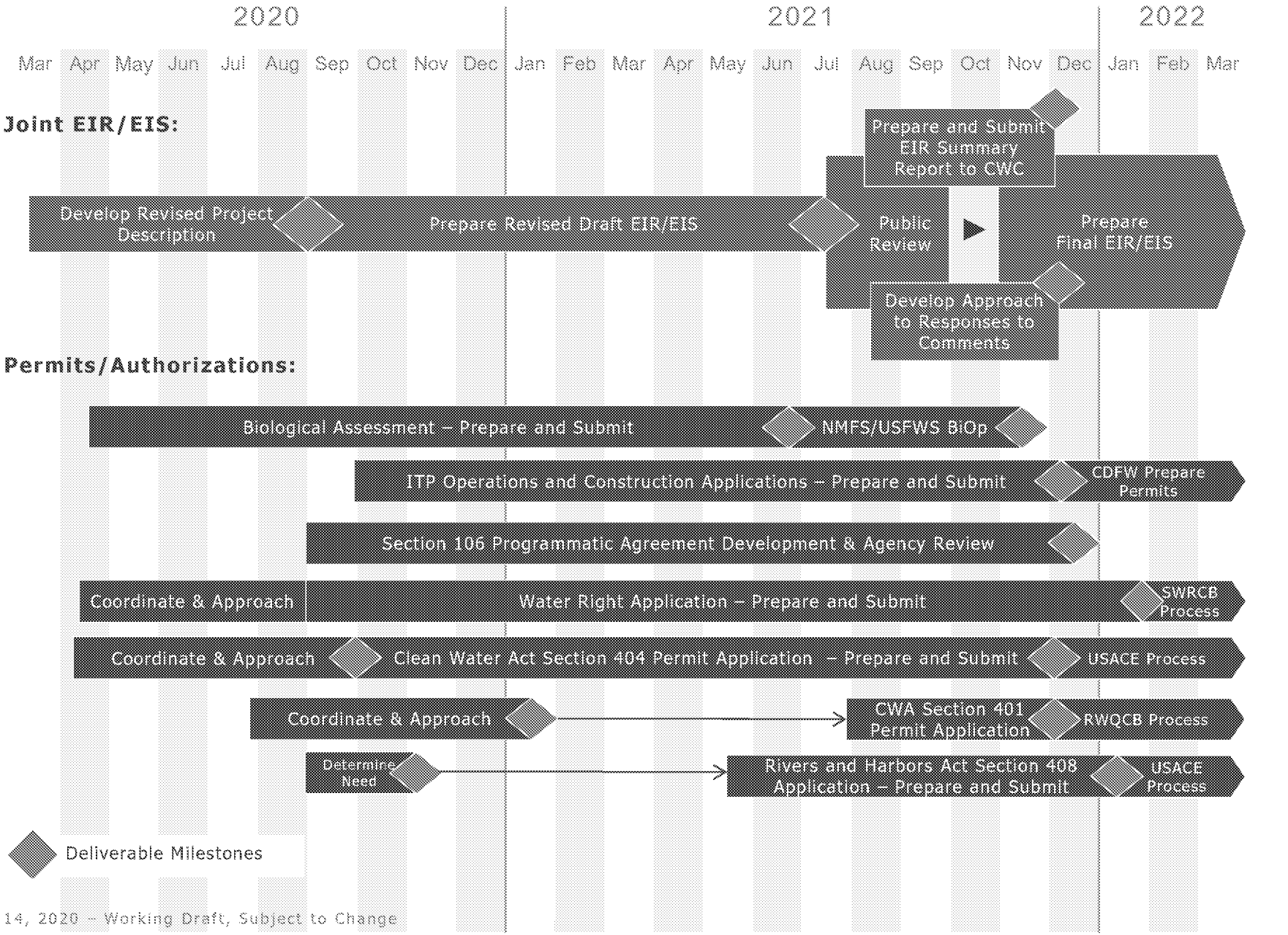
Ali Forsythe

Attachments:

Environmental Planning and Permitting Schedule – The schedule needs to be attached to this staff report.

Environmental Planning and Permitting Key Milestones

Sites



May 14, 2020 – Working Draft, Subject to Change

Sites

Topic: **Authority Board Agenda Item 3.2** **2020 May 27**

Subject: **Communications and Outreach Update**

Requested Action:

Review and Comment on Communications and Outreach Efforts to Communicate Rightsized Project.

Detailed Description/Background:

Since the approval of the Sites Value Planning Report last month and the introduction of the proposed "right-sized" project, staff and our Communications and Government Affairs teams have been actively engaging in an extensive communications and outreach effort.

These efforts include the following activities.

- Distribution of a press release highlighting the "right-sized" project to our statewide media list, distribution of an e-blast newsletter, distribution of Editorials to regional media outlets, and distribution of content on the project's social media platforms.
- Federal & State elected official/staff briefings on the project's value planning process, future funding needs and agency coordination needs.
- Project update briefings with NGOs and public interest organizations to introduce the project's rightsizing and solicit NGO feedback on the environmental review process and the path forward.
- Landowner coordination activities and briefings with local elected officials introducing the "right-sized" project.

Over the next several weeks, staff will continue to engage elected officials, landowners, project stakeholders and to develop and distribute additional media content in support of the rollout of the new proposed project. Considering the circumstances with COVID, a webinar is being produced that will be made available on the website by the end of June that reviews all aspects of the "right sized" project.

Additionally, staff is working on updating and distributing a revised version of the Message Platform based on comments received from the Reservoir Committee and Authority Board in addition to the feedback that we have received from during our outreach efforts to date.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown/Kevin Spesert

Attachments:

None.

Sites

Topic: **Authority Board Agenda Item 3.3** **2020 May 27**

Subject: **Secure Proposition 1 Water Storage Investment Program**

Requested Action:

Review and Comment on Efforts to Secure Proposition 1 Water Storage Investment Program implementation modifications in light of the continuing effects of the COVID-19 pandemic on local government and water agency finances.

Detailed Description/Background:

The Phase 2 work plan was unanimously approved last month by the Reservoir Committee and the Authority Board showing unwavering commitment to completing this Project in an expeditious manner. However, the uncertainty and longer-term financial impacts of the COVID-19 pandemic may negatively impact the participating members ability to provide some or all the necessary financial support to advance the project in the near term. A key upcoming statutory deadline to receive the Proposition 1 funding is to achieve three specific milestones (see attached) including demonstrating at least 75% local cost share commitment by January 1, 2022. While it is hoped that COVID's effects will have subsided by then, there are funding requirements between now and then that may be impacted.

As a funding partner in the Sites project, the State is in a unique position to provide emergency assistance that could defray local cashflow during the effects of the pandemic and keep the project on schedule. A group of 6 of the 8 storage projects that were awarded Proposition 1 WSIP funds, including Sites, requested that the California Water Commission direct staff to provide administrative and regulatory modifications within the next 60 days that could mitigate the impacts of COVID-19. The letter included suggested actions that could be evaluated on a project by project basis due to the variance in risk factors. Any of the suggestions could benefit the Sites Project.

The CWC will meet on May 20, 2020 and is anticipated to consider the request. Staff will provide a report at the meeting on the Commission's actions.

Fiscal Impact/Funding Source:

It is expected any actions by the Commission on our request would result in cashflow adjustments, not new outside funding to the Project. However, advanced cashflow by the State could keep the project on schedule if local funding becomes unavailable because of more serious economic conditions, which are a reasonable possibility in some cases. To date, State early funding has been provided to the project in the amount of $6.1 million and $897,000 is being held in retainage by the State.

History:

In November 2016 the California Code of Regulations was modified by the California Water Commission to incorporate the conditions under which projects awarded funding under the Proposition 1 Water Storage Investment Program would be considered and receive funds. None of the conditions took into consideration the current COVID-19 natural disaster being experienced across the country.

In July 2018 eight storage projects were awarded funds under the WSIP, including the Sites Reservoir Project that received a maximum conditional eligibility determination (MCED) of $816,377,686 and was provided early funding in the amount of $40,818,884 which was the maximum allowed 5% of the MCED.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Proposition 1 Language

Attachment B: Proposition 1 Six Agency Letter sent to the CWC on May 11, 2020

Sites

Requirements That Must Be Met for Eligibility of Final Funding

79755. (a) Except as provided in subdivision (c), no funds allocated pursuant to this chapter may be allocated for a project before December 15, 2016, and until the commission approves the project based on the commission's determination that all of the following have occurred:

(1) The commission has adopted the regulations specified in Section 79754 and specifically quantified and made public the cost of the public benefits associated with the project.

(2) The project applicant has entered into a contract with each party that will derive benefits, other than public benefits, as defined in Section 79753, from the project that ensures the party will pay its share of the total costs of the project. The benefits available to a party shall be consistent with that party's share of total project costs.

(3) The project applicant has entered into a contract with each public agency identified in Section 79754 that administers the public benefits, after that agency makes a finding that the public benefits of the project for which that agency is responsible meet all the requirements of this chapter, to ensure that the public contribution of funds pursuant to this chapter achieves the public benefits identified for the project.

(4) The commission has held a public hearing for the purposes of providing an opportunity for the public to review and comment on the information required to be prepared pursuant to this subdivision.

(5) All of the following additional conditions are met:

(A) Feasibility studies have been completed.

(B) The commission has found and determined that the project is feasible, is consistent with all applicable laws and regulations and will advance the long-term objectives of restoring ecological health and improving water management for beneficial uses of the Delta.

(C) All environmental documentation associated with the project has been completed, and all other federal, state, and local approvals, certifications, and agreements required to be completed have been obtained.

(b) The commission shall submit to the Legislature its findings for each of the criteria identified in subdivision (a) for a project funded pursuant to this chapter.

(c) Notwithstanding subdivision (a), funds may be made available under this chapter for the completion of environmental documentation and permitting of a project.

Requirements That Must Be Met Before January 1, 2022

79757. (a) A project is not eligible for funding under this chapter unless, by January 1, 2022, all of the following conditions are met:

(1) All feasibility studies are complete and draft environmental documentation is available for public review.

(2) The commission makes a finding that the project is feasible and will advance the long-term objectives of restoring ecological health and improving water management for beneficial uses of the Delta.

(3) The director receives commitments for not less than 75 percent of the nonpublic benefit cost share of the project.

(b) If compliance with subdivision (a) is delayed by litigation or failure to promulgate regulations, the date in subdivision (a) shall be extended by the commission for a time period that is equal to the time period of the delay, and funding under this chapter that has been dedicated to the project shall be encumbered until the time at which the litigation is completed or the regulations have been promulgated.

Sites | Inland Empire Utilities Agency | Irvine Ranch Water District | Willow Springs Water Bank | Valley Water | Temperance Flat Reservoir Authority

May 11, 2020

Armando Quintero, Chairman
California Water Commission
PO Box 942836
Sacramento, CA 94236

Dear Chairman Quintero,

We represent six of the eight projects that were conditionally awarded funds for water storage projects under the Proposition 1 Water Storage Investment Program (WSIP). We are writing to request that you consider making emergency and temporary modifications on a project by project basis in your implementation of the WSIP funding due to the COVID natural disaster. In particular, we are concerned about the longer-term economic and jobs related impacts this continuing disaster will have on our ability to implement these critical water storage projects that will be co-funded through the WSIP.

Across our State, residents are struggling to meet their financial obligations including paying their water bills. Business closures have resulted in lost revenue to water and wastewater agencies. These are some of the direct impacts of the disaster today. More concerning and uncertain are the longer-term impacts which are sure to negatively affect public agency finances. All of us are in strong financial positions today, but none of us could have ever expected or planned for what we are facing now and in our future because of the COVID pandemic. We are all foreseeing financial pressures on our local investments in capital projects from COVID, including potentially delaying these WSIP storage projects.

We are not wavering in our commitment to implement these critical projects of statewide significance. However, we are concerned that the uncertain financial times ahead could cause delays to these projects that you have previously determined create an important statewide benefit. Delays hurt all investors, especially the State, because the State's investment dollars are not inflation protected. We do not want to see any delays, which is why we are bringing this issue and potential solutions to your attention while there is time for measured steps that help to avoid more serious outcomes.

The State, as a project investor, is in the unique position to provide emergency assistance while the local investors sort out the unforeseen consequences of COVID. The State has the financial wherewithal and legal latitude within Proposition 1 to look beyond the current situation and ensure our storage projects move forward on the timeline needed for the State's long-term water security and for job creation.

We request the California Water Commission direct staff to evaluate administrative and regulatory modifications which are appropriate to mitigate COVID impacts and submit

corresponding recommendations to the Commission for its consideration within 60 days. To assist in this effort, we offer the following considerations as potential areas for modification depending on individual project circumstances:

- Modification of Early funding conditions – Currently, $78.6 million of the $135 million has been encumbered to applicants that requested early funding. New or additional funds could be released. The 5% limit on early funding could be increased, (per Water Code Section 79704, up to 10% of allocated funds may be expended for planning and monitoring). Also, the Commission could consider allowing the cost share of prior costs to extend back to November 4, 2014, instead of the current August 14, 2017 date. Scope revisions on existing early funding agreements could be implemented on all projects. Projects that did not request early funding could be given the opportunity to receive such funding under revised program conditions established by the Commission. The program cap of $135 million toward early funding could be increased.
- Increased release frequency or waiver of retention on early funding - Since funds are provided on a reimbursement basis, this would better match actual expenditures rather than local dollars filling the many months gap between paying service providers and receiving the state funds.
- Consider using flexibility within the early funding agreements - For example, instead of maintaining 50/50 cost-share on a monthly basis, a longer time horizon would allow local dollars to be defrayed in the near term. A 70/30 cost share could be temporarily allowed for 18-24 months and the remaining time could be taken to achieve the required overall 50/50 share split.
- Incorporate COVID related impacts into pre-established deadlines - such impacts were not expected when dates were determined. Day for day extensions are typical contract remedies for Force-Majeure conditions. The Governor has significant powers under the emergency declaration which should be further explored. As an alternative to deadline changes, consider interpreting the requirements for feasibility and local cost-share commitments in a way that accommodates COVID impacts.

Thank you for your consideration of this unfortunate request. Our shared interest is in getting these projects built and we remain committed to achieving this goal, despite the COVID natural disaster.

Sincerely,

Jerry Brown, Executive Director
Sites Project Authority

Mark Beuhler,
Willow Springs Water Bank

Aaron Fukuda, General Manager
Temperance Flat Reservoir Authority

Shivaji Deshmukh, General Manager
Inland Empire Utilities Agency

Paul Cook, General Manager
Irvine Ranch Water District

Eric Averett, General Manager
Rosedale-Rio Bravo Water Storage District

Norma Camacho, Chief Executive Officer
Valley Water

Cc: Carol Baker, California Water Commission
Teresa Alvarado, California Water Commission
Andrew Ball, California Water Commission
Daniel Curtin, California Water Commission
Matthew Swanson, California Water Commission
Joe Yun, Executive Officer, California Water Commission
Amy Young, WSIP Project Manager, California Water Commission

Sites

Topic: **Authority Board Agenda Item 3.4** **2020 May 27**

Subject: **Reservoir Committee Workgroup and Authority Board Committee Structure**

Requested Action:

Review and Comment on the Reservoir Committee Workgroup and Authority Board Committee Structure and Assignments.

Detailed Description/Background:

According to Article 9 of the Authority's September 2017, seconded amended and restated bylaws of the Sites Project Authority it is within the duties of the Chair to establish standing committees of the Board as necessary to ensure successful completion of the Authority's mission. In light of the recent approval of April 2020 Value Planning Report and Phase 2 work plan, it is appropriate to reconsider the existing Authority Board Committee structure. After consulting the Chair, the following change is being made:

- The Budget and Finance Committee of the Board is merged effective immediately with the Budget and Finance Workgroup of the Reservoir Committee. Any Board members wishing to participate in budget and finance matters are welcome to attend these meetings as long as a quorum of the Board is not reached. The Chair of the Reservoir Committee and the Chair of the Budget and Finance Workgroup has concurred with this change.

No other changes are proposed at this time for the Authority Board Committee structure. The attached list describes the active committees of the Board and the current roster. No changes are proposed to charter documents of these committees.

The Reservoir Committee adopted updates to its workgroup structure at the March 19, 2020 meeting and the list of active workgroups and the current rosters are attached for information.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Current Reservoir Workgroup Assignments

Attachment B: Current Authority Board Committee Assignments

Reservoir Committee Workgroup Assignments for 2020

	Budget & Finance	Res. Ops & Engineering	Environmental & Permitting	Coordination
1	Jamie Traynham **Chair**, Davis WD	Mike Azevedo Vice Chair, Colusa	Thad Bettner **Chair**, GCID	Thad Bettner Res Comm **Chair**
2	Thad Bettner GCID	Thad Bettner GCID	Mike Azevedo Colusa Co	Jeff Davis Res Comm Vice Chair
3	Rob Kunde WRMWSD	Rob Kunde **Chair**, WRMWSD	Rob Kunde WRMWSD	Jamie Traynham Budget & Finance Chair
4	Katrina Jessop SCVWD	Eric Leitterman SCVWD	Eric Leitterman SCVWD	Robert Cheng Budget & Finance Vice Chair
5	Robert Cheng Vice Chair, CVWD	Robert Cheng CVWD	Robert Cheng CVWD	Rob Kunde Res Ops & Eng. Chair
6	Bill Vanderwaal Dunnigan	Bill Vanderwaal Dunnigan	Bill Vanderwaal Dunnigan	Mike Azevedo Res Ops & Eng. Vice Chair
7	Dan Ruiz Westside WD	Dan Ruiz Westside WD	Jeff Davis SGPWA	Thad Bettner Env & Permit Chair
8	Shelly Murphy Colusa Co WD	Bob Tincher SBVMWD	Heather Dyer Vice Chair, SBVMWD	Heather Dyer Env & Permit Vice Chair
9		Dirk Marks **(Alt)** SCVWA		
10		Rick Kaufman **(Alt)** American Canyon		
11		Amparo Flores Zone 7		
12		Jeff Sutton **(Alt)** TC-4 Districts		
13		Randall Neudeck MWDSC		
		Rob Cooke (by invitation) DWR (non-voting)		
		TBD (by invitation) USBR (non-voting)		
Staff	Joe Trapasso	Jerry Brown	Ali Forsythe	Jerry Brown

Sites

Authority Board Committee Assignments for 2020

	Coordination	Legislative & Outreach	Land Management
1	Fritz Durst, **Chair** RD 108	Jeff Sutton, **Chair** Tehama-Colusa CA	Gary Evans, **Chair** Colusa County
2	Jeff Sutton Tehama-Colusa CA	Fritz Durst RD 108	Jeff Sutton Tehama-Colusa CA
3	Logan Dennis Glenn-Colusa IR	Logan Dennis Glenn-Colusa IR	Logan Dennis Glenn-Colusa IR
4	Gary Evans Colusa County	Gary Evans Colusa County	Leigh McDaniel Glenn County
	Jamie Traynham Associate Member, TC4		
Staff	Jerry Brown	Kevin Spesert	Kevin Spesert

Topic: **Sites Reservoir Project, Phase 2**

Subject: **Monthly Status Report** Report Period: **2020 April**

Monthly Status Summary:

The development of the Sites Project continues to make progress in the critical areas associated with the value planning, work plan, and message platform including the following activities:

WSIP Funding:

- Submitted CWC Quarterly Report 7 for the work period January 1 through March 31, 2020.

WIIN Act Funding:

- Submitted draft statement of work for Financial Assistance Agreement to receive WIIN Act Funds from Reclamation. The project was awarded $6 million in WIIN Act Funds, some of which Reclamation will maintain to advance the project with their staff.

USDA Funding:

- No update

Engineering:

- Finalized the Value Planning Alternatives Appraisal Report identifying a recommended project and two options that would provide flexibility to adjust key features to respond to future conditions.
- Onboarded two new engineering teams: Contract HC – Conveyance Systems (CH2M) and Contract HR – Reservoirs (AECOM).
- Reviewed existing data, including geotechnical exploration information, to begin to determine next steps for Feasibility Study and support of Project Description.

Coordination with Reclamation:

- Met with Reclamation environmental staff to address the outcome of the Value Planning process and Authority's decision to recirculate the Draft EIR. Also discussed the NEPA path forward including the need to define the federal action and assumption of a continued joint EIR/EIS process.
- Continued coordination on Reclamation's work to complete the Final Federal Feasibility Report. Awaiting report chapters for review by Staff.

Environmental Planning and Permitting:

- Completed support to the Value Planning efforts.
- Continued efforts on the EIR/EIS Work Plan and approach for key permits, including efforts to formulate a range of alternatives for the EIR/EIS based on the Draft Value Planning Report and identify the appropriate baseline for on-

going and future analyses.

- Continued development of the project description for use in the recirculated EIR and state and federal ESA permits.
- Began efforts to determine key considerations and approach for the project's water right application.

Operations:

- Continued refinement of analysis tools for daily operations, bypass criteria, floodplain inundation, Shasta within year exchanges and other operational effects.
- Continued discussions on model baseline to support the project description development.
- Continued evaluation of potential for Shasta Exchanges based on updated information contained in ROC on LTO.
- Drafted Work Plan for development of priority operations criteria and parameters.

Stakeholder Engagement, Public Outreach & Real Estate:

- Engaged in outreach efforts with landowners, local community members, state and federal elected officials, government agencies and coalitions of regional and statewide organizations to introduce the Value Planning Report and the new "rightsized" project.
- Responded to landowner requests for project information, facilitated coordination activities with local government agencies and organizations and planned for future landowner, stakeholders and general public outreach activities and events.

Program Management & Administration:

- Developed and presented the Amendment 2 Work Plan covering work between Sep 1, 2020 and Dec 31, 2021. The work plan was approved by the Reservoir Committee and Authority Board.
- Developed a two-step cash call process in light of COVID-19 concerns. Rolled out a soft call survey to Reservoir Committee members to determine planned participation levels by agency.
- Developed the Second Amendment to 2019 Reservoir Project Agreement.

Draft_0028487

Communications & Government Affairs Update

Authority Board
May 27, 2020

Sites

Communications & Government Affairs Update

With the introduction of the new "right-sized" project alternative, staff and the Communications and Government Affairs team have been actively engaging in an extensive communications and outreach effort focused on;

- Introducing the project's new organization and direction
- Introducing the new "right-sized" project and its statewide benefits
- Discussing the proposed revision on the project's environmental review

Communications and outreach efforts included;

- Briefings with Federal & State elected officials
- Briefings with NGO's and public interest organizations
- Landowner coordination activities and briefings
- Media and development of project materials

Sites

Communications & Government Affairs Update

Briefings with Federal & State elected officials

- Provided briefings for federal electeds (Feinstein, Garamendi, LaMalfa, Huffman) with additional briefings for other electeds being planned
- Provided briefings for state electeds (Nielsen, Sen. Dahle, Gallagher, Assembly member Dahle) with additional briefings for other electeds being planned
- Conducted a "virtual" Capitol Hill Legislative Day and provided briefings for key Senate and House Committee staff. A second round of "virtual" meetings are being planned

Briefings with NGO's and public interest organizations

- NGOs briefed as part of this effort included; NRDC, Nature Conservancy, California Waterfowl, Ducks Unlimited,, Environmental Defense Fund, Friends of the River, California Sports Fishing Alliance, Planning & Conservation League, Defenders of Wildlife, Grasslands Water District, Audubon, Point Blue
- Meetings are being scheduled for early June with additional NGO's

Sites

Communications & Government Affairs Update

Landowner/local community coordination activities and briefings

- Began outreach to landowners and the local community to introduce the new project - including the development of a Landowner Newsletter to be published in late May/early June
- Began outreach to landowners along the proposed Dunnigan Pipeline/CBD and provided a briefing to the Yolo County Supervisor who represents the area to discuss potential land use issues

Media and project materials updates

- Distribution of a press release highlighting the right-sized project, distribution of an e-blast newsletter, preparation of newspaper Op-eds for publication, and distribution of content on the project's social media platforms.
- Distribution of the 2019 Annual Report and Executive Prospectus
- Updating of project informational materials including the general project fact sheet, FAQ , and website information
- Development of new materials as needed - including a VP7 fact sheet

Sites

Communications & Government Affairs Update

Update/Revisions to the Message Platform

- RC and AB input from the April meetings –expanding out the messaging on the project's environmental benefits and climate change resiliency
- Common themes coming out of NGO meetings
  - Environmental Water management – how do we accomplish flexible operations?
  - Dealing with Uncertainty of Delta operations
  - Need for greater specificity and understanding of proposed operations
  - Concerns with diversion criteria and temperature effects of releases
- Questions about WSIP funding being commensurate with "rightsized" benefits

The Message Platform is being revised to reflect input received and will be considered by the RC and AB at the June meetings.

Sites

Questions

Sites

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JERRY BROWN, EXECUTIVE DIRECTOR
925.260.7417

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members *(NON-VOTING)*

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

Notice: Pursuant to Executive Orders N-25-20 and N-33-20, issued by Governor Newsom on March 12, 2020, and guidance by the California Department of Public Health dated March 11, 2020, this meeting will be conducted by teleconference. The public may attend the meeting and offer public comments by phone, using the call-in number above. Members of the Committee will participate by teleconference from other locations. In addition, the public and members of the Committee may, at their discretion, attend the meeting at the Sites Project Authority's Maxwell Office located at 122 Old Highway 99 West, Maxwell, CA 95955. However, the room will be arranged to achieve physical distancing standards, and capacity at this site is therefore limited and will not be sufficient to accommodate more than a few members of the public.

June 24, 2020 ***1:30 p.m.***
Sites Project Authority
Agenda

Teleconference: **1-408-418-9388** Code: **146 657 3639** WebEx Link

Welcome to a meeting of the Sites Joint Powers Authority. If you are scheduled to address the Board, please state your full name for the record. Regularly numbered items may be considered at any time during the meeting. All items are listed in accordance with the Ralph M. Brown Act. We invite all members of the public to attend.

ROLL CALL & CALL TO ORDER:

- Introductions.
- Pledge of Allegiance.
- Approve the June 24, 2020 Sites Project Authority Agenda.
- Period of Public Comment.

1. Consent Agenda: Approximate start time 1:40 pm

The following items have been reviewed by the Executive Director. To his knowledge, there is no opposition to the action. The items can be acted on in one consolidated motion as recommended or may be removed from the Consent Calendar and separately considered at the request of any person.

1.1 Consider approval of the May 21, 2020 Phase 2 Reservoir Committee Meeting Minutes.

1.2 Consider acceptance of the Sites Project Authority Treasurer's Report as presented in Attachment 1.2A. **(Attachment A)**

1.3 Consider approval of the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B. **(Attachments A, B & C)**

2. Action Items: Approximate start time 1:45 pm

2.1 Consider acceptance of the 2020-2021 Sites Project State Agency Legislative Activity Priorities. **(Attachment A)**

2.2 Consider approval of the Authority's negotiation approach for permits and operating agreements. **(Attachment A)**

3. Discussion and Information Items: Approximate start time 2:15 pm

3.1 Receive status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation. **(Attachment A, B & C)**

3.2 Receive status update on draft 2020 Final Federal Feasibility Report.

3.3 Review and Comment on the objectives and alternatives for the Revised Environmental Impact Report/Environmental Impact Statement to focus efforts in developing a more complete project description on schedule. **(Attachment A & B)**

3.4 Review and comment on the approach for discussions with the California Department of Water Resources on a Sites Coordinated Operations Agreement. **(Attachment A)**

3.5 Receive status update on the Colusa Basin Drain evaluation and Dunnigan Pipeline alignment review.

3.6 Receive status update on the approach for Regulatory Agency Technical Working Group. **(Attachment A)**

4. Reports: Approximate start time 3:15 pm

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

4.1.2 Workgroup Chairpersons' Report:

This time is set aside to allow the Workgroup Chairpersons' an opportunity to disclose/discuss items related to the Sites Project.

4.1.3 Reservoir Committee Participant Reports:

This time is set aside to allow Representatives or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

4.2 Executive Director's Reports:

- Monthly status report. **(Attachment A)**
- Message Platform Update.

5. Future Meetings and Schedules: Approximate start time 3:15 pm

5.1 Suggested Future Agenda Items.

5.2 Upcoming meetings:

RESERVOIR COMMITTEE

THURSDAY, JULY 16, 2020 1:00 PM
Sites Project Office, 122 Old Highway 99W Maxwell, CA 95955
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

AUTHORITY BOARD

WEDNESDAY, JULY 22, 2020 1:30PM
Sites Project Office, 122 Old Highway 99W Maxwell, CA 95955
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

ADJOURN

PERIOD OF PUBLIC COMMENT: Any person may speak about any subject of concern, provided it is within the jurisdiction of the Directors and is not already on today's agenda. The total amount of time allotted for receiving such public communication shall be limited to a total of 15 minutes per issue and each individual or group will be limited to no more than 5 minutes each within the 15 minutes allocated per issue. ***Note:*** *No action shall be taken on comments made under this comment period.*

ADA COMPLIANCE: Upon request, agendas will be made available in alternative formats to accommodate persons with disabilities. In addition, any person with a disability who requires a modification or accommodation to participate or attend this meeting may request necessary accommodation. Please make your request to the Board Clerk, specifying your disability, the format in which you would like to receive this Agenda and any other accommodation required no later than 24 hours prior to the start of the meeting.

All supporting documentation is available for public inspection and review in the Sites Project Authority office located at 122 Old Highway 99 West Maxwell, CA 95955 during regular business hours 8:30 a.m. to 5:00 p.m., Monday through Friday.

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JERRY BROWN, EXECUTIVE DIRECTOR
925.260.7417

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members ***(NON-VOTING)***

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

May 27, 2020 ***1:30 p.m.***
Sites Project Authority
Minutes

CALL TO ORDER:

Chairman Durst called the meeting of the Sites Project Authority Board of Directors to order at the hour of 1:30 p.m., followed by the Pledge of Allegiance.

INTRODUCTIONS:

Chairman Durst asked those present to introduce themselves. (See Authority Board Meeting Attendance, **Attachment A**).

AGENDA APPROVAL:

It was moved by Director Sutton, seconded by Director Dennis to approve the May 27, 2020 Sites Project Authority Agenda. Motion carried: All Directors present voted yes.

PERIOD OF PUBLIC COMMENT:

Chairman Durst called for public comment. Hearing none, he closed the period of public comment.

CONSENT AGENDA: It was moved by Director Harris, seconded by Director Houdesheldt to approve Consent Agenda Item Numbers 1.1 through 1.5 as follows:

1.1 The April 22, 2020 Sites Project Authority Meeting Minutes, as submitted.

1.2 Accept the Sites Project Authority Treasurer's Report, as submitted. **(Attachment 1.2 A)**

1.3 Approve the monthly Payment of Claims, as submitted. **(Attachment 1.3 A, B, C)**

1.4 Approve a consulting contract with The Catalyst Group for facilitation services in an amount not to exceed $50,000 and approve a time extension through December 31, 2020 for the existing contract with Darling H2O and authorize the Chair to sign.

1.5 Adopt Resolution No. 2020-01, providing for an application to the USBR for a Financial Assistance Agreement for WIIN Act funds and authorize the Chair to sign. **(Attachment 1.5A)**

Motion carried as follows:

AYES: Directors Durst, Sutton, Evans, Dennis, Houdesheldt and Harris.

NOES: None.

ABSENT: Directors McDaniel, Parker and Marsh.

2. Action Items:

2.1 Chairman Durst made time to consider the following:

Consider acceptance of the attached Exhibit B (2020 and 2021 budget) to the Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the results of the "soft call". Consider approving one of the following actions:

A. Approve moving to the "hard call" and the use of the attached participation table to create a draft Exhibit A to be included with Amendment 2.

B. Direct staff to begin outreach to potential participants based on the priority system defined in participation agreements and the credit reimbursement policy to explore interest in Sites capacity, if available. **(Attachment 2.1 A & B)**

Mr. Brown provided a brief update on the two options available to the Authority Board. He stated the Reservoir Committee voted to proceed with Option B.

Mr. Robinette provided an update of the "Two-Step Cash Call Process" and spoke to the following:

- Soft Call Results as of May 20, 2020:
  - 19 out of 21 agencies responded, representing 191,942 AF of 192,892 AF current participation (99.5%).
  - Appears full subscription can still be achieved, but there is some reduction in participation levels and geographic balance would need to be addressed.
- Existing participants identified interest in 5,000 AF of additional capacity, if available.

- Prior participants expressed interest in 10,000 AF of capacity, if available.
- Latest planned home-board date is in October, with majority in June or July.

- New Participant Outreach Priority:
  1. Goal is total Sacramento Valley participation of 25%. Priority would be given to current, prior and new Sacramento Valley participants in that order.
  2. Existing Reservoir Committee participating members (with sub-priorities by seniority).
  3. Prior Reservoir Committee participating members (with sub-priorities by exit date).
  4. Nonparticipants. Priority for new participants is to Sacramento Valley and others.
- Prior and Future Commitments.
- Geographic Balance:
  - Current Participation Levels (Sac Valley 27%, Non-Sac Valley 73%).
  - Amendment 2 Projected participation - Participation Staff Recommended + Additional Interest (Sac Valley 20%, Non-Sac Valley 80%).
- Schedule:
  - September 10, 2020: Signed Amendment 2 due.
  - November 1, 2020: Invoice 1, $60/AF due.
  - April 1,2021: Invoice 2, up to $40/AF due.
  - Financial incentives for pre-payment of the full $100/AF on Nov 1 are not planned based on administration cost.
  - Non-financial pre-payment incentives related to maintaining project schedule while accommodating delay payment from other agencies.

Mr. Robinette provided a brief update on the credit reimbursement policy and process of getting back into the project by new, returning and increasing participants. Brief discussion followed. Following discussion, Mr. Robinette requested direction from the Committee Members as to the following 2 options:

a. Approve moving to the "hard call" and the use of the attached participation table to create a draft Exhibit A to be included with Amendment 2.
b. Direct staff to begin outreach to potential participants based on the priority system defined in participation agreements and the credit reimbursement policy to explore interest in Sites capacity, if available. **(Attachment 2.1 A & B)**

Action: It was moved by Director Sutton, seconded by Director Evans to approve Option B, directing staff to begin outreach to potential participants based on the priority system defined in participation agreements and the credit reimbursement policy to explore interest in Sites capacity, if available. Motion carried: All Directors present voted yes.

2.2 Consider approval of the draft Second Amendment to 2019 Reservoir Project Agreement. **(Attachments 2.2 A &B)**

Mr. Brown stated the amendment to the contract is being brought back to the Committee to include the ability to adjust the participation levels and re-balancing.

Mr. Trapasso provided a brief overview of the Second Amendment to the 2019 Reservoir Project Agreement, stating it was reviewed by the Budget and Finance Workgroup and Authority Bond Counsel, Stradling Yocca Carlson & Rauth. Brief discussion followed.

Action: It was moved by Director Dennis, seconded by Director Evans to approve the Second Amendment to the Reservoir Project Agreement By and Among Sites Project Authority and The Project Agreement Members Listed Herein and authorize the Chairman to sign. Motion carried: All Directors present voted yes.

2.3 Consider acceptance of the 2020-2021 Sites Project Federal Government Affairs Priorities. **(Attachment 2.3A)**

Mr. Spesert stated staff has been working with the Authority Ad Hoc Legislative and Outreach Committee and Government Affairs Team to identify near-term Federal government affairs/legislative priorities. He stated the following represents the staff's proposed Federal government affairs/legislative priorities:

Operations Participation:

- Final completion of Reclamation's Feasibility Report and DOI Secretary determination of feasibility.
- Pursue development of a CVP Coordination Operations Plan.
- Coordination with Reclamation on the development of the Authority's Water Rights Application.
- Continuing partnership with Reclamation as the NEPA lead agency in developing the EIS.

Federal Funding:

- WIFIA/RIFIA.
- WIIN Act.
- Federal Stimulus and other funding.

Brief discussion followed.

Action: It was moved by Director Harris, seconded by Director Sutton to accept the 2020-2021 Sites Project Federal Government Affairs Priorities, as submitted. Motion carried: All Directors present voted yes.

2.4 Consider approval of Resolution 2020-02 commending Mr. Jim Watson for his 5 years of dedicated service to the Sites Reservoir Project. **(Attachment 2.4 A).**

Chairman Durst expressed his appreciation to Mr. Watson for his dedicated service to the Sites Reservoir Project and read the proposed Resolution.

Action: It was moved by Director Houdesheldt, seconded by Director Sutton to adopt Resolution 2020-02 commending Mr. Jim Watson for his 5 years of dedicated service to the Sites Reservoir Project. Motion carried: All Directors present voted yes.

3. Discussion and Information Items:

3.1 Review and Comment on Recirculated EIR and key permits milestone schedule and key decision points. **(Attachment 3.1A)**

Ms. Forsythe provided an overview of the Recirculated Environmental Impact Report (EIR) and upcoming activities and key decision points as follows:

- California Environmental Quality Act/National Environmental Policy Act (CEQA/NEPA) - Project team is currently developing an approach for completing the Recirculated EIR as well as working to formulate a recommended approach to alternatives and baseline conditions that are anticipated will be reviewed with the Reservoir Committee and Authority Board in June. Team is proceeding to coordinate a joint EIR/EIS, with the assumption that the EIS will be supplement and recirculated with the EIR, though Reclamation has not made a final decision.
- Federal Endangered Species Act and California Endangered Species Act (Fish and Game Code Section 2081) - Project team is working to develop an approach for completing the Biological Assessment and Section 2081 Permit applications in coordination with the resource agencies and Reclamation. Environmental and Operations teams continue to work on modeling components and refinement of operation criteria for project diversion from the Sacramento River, with operating regimes for diversion and releases and a review of the storage policy to be brought to the Reservoir Committee and Board in September.
- National Historic Preservation Act, Section 106 (to be initiated in September).
- California Water Right Application (California Water Code) - Working to formulate a recommended approach to identify key components of the water right application for discussion with the Reservoir Committee and Authority Board in September.
    - Key components include Place of Use, Points of Diversion, Points of Re-diversion and Purpose of Use-all of which will form the basis of the application.

- Clean Water Act Section 404 and 401 - Will be initiated in July.
- Environmental Planning and Permitting Key Milestones through 2022.

Brief discussion followed with no action taken.

3.2 Review and Comment on Outreach Efforts to Communicate the Rightsized Project.

Mr. Spesert provided an overview of the outreach efforts to communicate the "rightsized project". He stated with the introduction of the new "right-sized" project alternative, staff and Communications and Government Affairs team have been actively engaged in extensive communications and outreach efforts focused on the following activities:

- Introducing the project's new organization and direction.
- Introducing the new "right-sized" project and its statewide benefits.
- Discussion on the proposed revision on the project's environmental review.
- Communications and outreach efforts included:
    - Briefings with Federal & State elected officials.
    - Briefings with NGO's and public interest organizations.
    - Landowner/local community coordination activities and briefings.
    - Media and development of project materials.
    - Update/Revisions to the Message Platform.

He further stated staff would continue to engage elected officials, landowners, project stakeholders and develop and distribute additional media content in support of the rollout of the new proposed project in the coming weeks. Additionally, staff is working on the following:

- A revised version of the Message Platform based on comments received from the Reservoir Committee and Authority Board. Brief discussion followed with no action taken.
- A Webinar in response to a request by members to get project information that highlights the "right-sized" project to individual participants and landowner investors.

Brief discussion followed with no action taken.

3.3 Review and Comment on Efforts to Secure Proposition 1 Water Storage Investment Program implementation modifications in light of the continuing effects of the COVID-19 pandemic on local government and water agency finances. **(Attachment 3.3 A &B)**

Executive Director Brown provided a brief update regarding a joint letter signed along with 5 other Storage Projects to the California Water Commission regarding a request that the CWC consider making an emergency and temporary modifications, on a project by project basis, in their implementation of the Proposition 1 Water Storage Investment Program (WSIP)

funding due to the COVID situation. He stated the matter was on the CWC's May 20, 2020 meeting agenda. He further stated the CWC's Executive Director reported receiving the letter and indicated staff would be considering the request and returning to the Commissioners with a recommendation.

Brief discussion followed with no action taken.

3.4 Review and Comment on the Reservoir Committee Workgroup and Authority Board Committee Structure and Assignments. **(Attachment 3.4 A & B)**

Chairman Durst provided a brief overview of the Reservoir Committee Workgroup and Authority Board Committee Structure and Assignments. He stated there are vacancies in all workgroups, with the exception of the Reservoir Operations & Engineering, for those interested in volunteering.

Discussion followed with no action taken.

4. Reports:

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

Chairman Durst provided a brief update on various matters/items related to the Sites Project.

4.1.2 Committee Chairpersons' Report:

This time is set aside to allow the Committee Chairpersons' an opportunity to disclose/discuss items related to the Sites Project.

Legislative and Outreach:

Director Sutton provided an update legislative and outreach activities, i.e., "Virtual" Capitol Hill Legislative Day, briefings for key Senate and House Committee staff and 2.0 of Sites Project.

Land Management:

Director Evans provided a brief update regarding a meeting with Yolo County Supervisor Chamberlain regarding the proposed Dunnigan Pipeline/CBD to discuss potential land use issues and stated the Colusa County Board of Supervisors voted 5-0 to re-opening the County of Colusa.

Budget and Finance:

Ms. Traynham stated have received $1.95 million from last invoice submitted for WSIP funding.

4.1.3 Authority Board Participant Reports:

This time is set aside to allow Directors or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

Director Harris briefly spoke to the newspaper Op-eds regarding the benefits of the Sites Reservoir Project and the distribution of a press release highlighting the right-sized project.

4.2 Executive Director's Report:

Executive Director Brown provided an overview of the following:

- Sites Project's monthly status report. **(Attachment 4-2 A)**
- Reservoir Committee update.
- 2020 Organizational Chart.
- Place of Use request made by Wheeler Ridge: followed up with a memo to Wheeler Ridge identifying the plan forward is development of a water rights strategy which will come to Board later this summer.
- Coalition letter to Appropriations Hearing Subcommittee of the State Legislature (Governor's May Revise) in support of the SWRCB Water Resilience Portfolio BCP-$4.5 million for Water Storage Investment Program Water Rights Permitting Staff.
- Sacramento Office will not be re-opening prior to July 2020.
- Contract and performance plan for Jerry Brown, Executive Director.
- Expressed appreciation to Mr. Kunde for preparation of Mr. Jim Watson's commendation.

5. Future Meetings and Schedules:

5.1 Suggested Future Agenda Items.

None.

5.2 Upcoming meetings:

RESERVOIR COMMITTEE (NOTE DATE CHANGED FROM JUNE 19 TO JUNE 18)

THURSDAY, JUNE 18, 2020 1:00 PM
CALL NUMBER AND LOCATION TBD

AUTHORITY BOARD

WEDNESDAY, JUNE 24, 2020 1:30 PM
CALL NUMBER AND LOCATION TBD

Chairman Durst adjourned the meeting at 3:15 p.m.

_____
Fritz Durst, Chairman

_____
Yolanda Tirado, Board Clerk

Topic: **Sites Reservoir Project, Phase 1** **2020 May 27**

Subject: **Authority Board Meeting Attendance** **1:30 – 4:00 PM**

Current Voting Authority Board Participants:

Participant	✓ Representative	✓ Alternate	Others
Colusa County	☒ Gary Evans	☒ Mike Azevedo	
Colusa County Water District	☐ Joe Marsh	☐ Doug Griffen	
Glenn County	☐ Leigh McDaniel	☐ John Viegas	
Glenn-Colusa Irrigation District	☒ Logan Dennis	☐ Don Bransford	Thad Bettner
Reclamation District 108	☒ Fritz Durst	☐ Sean Doherty	Bill Vanderwaal
City of Roseville / Placer County Water Agency	☒ Bruce Houdesheldt	☐ Joshua Alpine	
Tehama-Colusa Canal Authority	☒ Jeff Sutton	☐ Halbert Charter	
City of Sacramento / Sacramento County Water Agency	☒ Jeff Harris	☒ Brett Ewart ☐ Ann Sanger	
Westside Water District	☐ Doug Parker	☐ Zach Dennis	

Associate Members (2 non-voting):

Participant	✓ Representative	✓ Alternate	Others
TC-4	☒ Jamie Traynham	☐ Tom Charter ☐ Jason Holley	
Western Canal Water District	☐ Dwayne Chisam ☒ Ted Trimble	☐ Zach Dennis ☐ Dan Ruiz	

Non-Voting Committee Participants (2):

Participant	✓ Representative/Other	✓ Alternate/Other	
Dept of Water Resources	☒ Rob Cooke	☐ David Sandino	
	☐ Ajay Goyal	☐ Jim Wieking	☐ Dave Arrate
Bureau of Reclamation	☐ Richard Welsh	☒ Don Bader	☐ Chris Duke
	☒ Ryan Davis ☒ Natalie Wolder	☐ Derya Sumer ☐ Mike Dietl ☐ David Van Rijn	☐ Mike Mosley ☐ Shana Kaplan

Authority, Non-Signatory (6):

Participant	✓ Representative	✓ Alternate
PCWA	☒ Ed Horton	☐ Ben Barker Darin Reintjes
Roseville	☐ Sean Bigley	☐ Trevor Joseph ☒ Jason Marks
Sacramento County WA	☐ Kerry Schmitz	☐ Michael Peterson ☐ William Busath

Staff:

✓	Name	Representing
☒	Brown, Jerry	Sites Project Authority
☒	Forsythe, Ali	Sites Project Authority
☒	Spesert, Kevin	Sites Project Authority
☒	Trapasso, Joe	Sites Project Authority
☒	Tirado, Yolanda	Sites Project Authority
☒	Kivett, Marcia	Sites Project Authority

Consultants:

✓	Name	Representing
☒	Alexander, Jeriann	Fugro
☐	Boling, Robert	HDR
☐	Briard, Monique	ICF
☐	Floyd, Kim	Floyd
☐	Herrin, Jeff	AECOM
☒	Heydinger, Erin	HDR
☒	Katz, Sara	Katz & Associates
☒	Kuney, Scott	Young Wooldridge
☒	Robinette, JP	Brown & Caldwell
☐	Spranza, John	HDR
☐	Tull, Rob	Ch2m
☐	Warner Herson, Laurie	Phenix

Other Attendees: *(Check box to have email address added to the distribution list)*

Name	Representing	Contact (Phone & E-mail)
Laura Nicolson	Office of Senator Jim Nielsen	
Henry Luu	HDR, Sites Integration	
Juleah Cordi	Office of Assemblyman Gallagher	

Sites

Topic: **Authority Board Agenda Item 1.2** **2020 June 24**

Subject: **Treasurer's Report**

Requested Action:

Consider acceptance of the Sites Project Authority Treasurer's Report as presented in Attachment 1.2A.

Detailed Description/Background:

Attachment 1.2A incorporates financial information through May 30, 2020.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: June's 2020 Treasurer's Report.

SITES JOINT POWERS AUTHORITY
TREASURER'S REPORT
May 31, 2020

Savings Account-River City Bank				
Beginning Balance	05/01/2020		$ **2,577,933.12**	
Transfer from General Checking to max benefit			$ **376,104.38**	
Interest Earned			$ **2,599.15**	
River City Bank ICS Savings Account Balance	**05/31/2020**			$ **2,956,636.65**
General Operating Checking Account-River City Bank				
Beginning Balance	05/01/2020		$ **1,622,153.65**	
RECEIVED:				
Interest Earned		$ 1,382.69		
TOTAL RECEIVED			$ **1,382.69**	
DISBURSED:				
Bank Fees		$ (159.88)		
Administrative Support		$ (5,644.80)		
Computer Service		$ (1,918.96)		
General Manager		$ (38,230.00)		
Accounting Fees		$ (2,695.00)		
Office Expenses		$ (422.71)		
Professional Fees-Legislative/Regulatory		$ (8,000.00)		
Professional Fees-Communication		$ (17,737.49)		
Professional Fees-Organizatioal Assessment		$ (1,299.38)		
Professional Fees-Fed Gov't Affairs Support		$ (15,000.00)		
Professional Fees-Legal Services		$ (6,357.72)		
TOTAL DISBURSED			$ **(97,465.94)**	
Transfer to Savings to max benefit			$ **(376,104.38)**	
RCB General Checking Account Balance	**05/31/2020**			$ **1,149,966.02**
State (WSIP) Fund Checking Account-River City Bank				
Beginning Balance	05/01/2020		$ **4,404,006.92**	
RECEIVED:				
CA Dept of Water			$ **1,957,712.12**	
DISBURSED:				
Bank Wire Fees		$ (15.00)		
Annual Audit Fees		$ (10,760.40)		
Bus/Comm Manager		$ (21,343.76)		
Program Op Manager		$ (28,000.00)		
Professional Fees-Engineering		$ (15,863.36)		
Professional Fees-Cost Development		$ (458.00)		
Professional Fees-Executive Director Services		$ (33,750.00)		
Professional Fees-Project Controls		$ (116,632.37)		
Professional Fees-Operations/SIM Modeling		$ (93,369.39)		
Professional Fees-EPP Manager		$ (30,000.00)		
Professional Fees-Geotech Eng		$ (12,562.77)		
Professional Fees-Project Integration		$ (168,613.06)		
Professional Fees-Reservoir Operations		$ (4,716.75)		
Professional Fees-Municipal Advisor		$ (12,725.00)		
Professional Fees-Env/Biological Serv & Permit		$ (68,898.24)		
TOTAL DISBURSED			$ **(617,708.10)**	
RCB State Fund Checking Account Balance	**05/31/2020**			$ **5,744,010.94**
TOTAL CASH ON HAND	**May 31, 2020**			$ **9,850,613.61**

Sites Project Joint Powers Authority
Transactions by Account
As of May 31, 2020

Type	Date	Num	Name	Memo	Debit	Credit	Balance
River City ICS Savings							2,577,933.12
General Journal	05/18/2020	5-2C		transfer to max ICS	376,104.38		2,954,037.50
General Journal	05/31/2020	5-5C		rec int fme	2,599.15		2,956,636.65
Total River City ICS Savings					378,703.53	0.00	2,956,636.65
River City Operating Account							1,622,153.65
Bill Pmt -Check	05/13/2020	2141	Adept Solutions Inc			1,918.96	1,620,234.69
Bill Pmt -Check	05/13/2020	2142	Darling H2O Consulting, Inc.			1,299.38	1,618,935.31
Bill Pmt -Check	05/13/2020	2143	Dunn Consulting			8,000.00	1,610,935.31
Bill Pmt -Check	05/13/2020	2144	J.C. Watson, Inc			38,230.00	1,572,705.31
Bill Pmt -Check	05/13/2020	2145	Katz and Associates, Inc			17,737.49	1,554,967.82
Bill Pmt -Check	05/13/2020	2146	KCoe Isom, LLP			2,695.00	1,552,272.82
Bill Pmt -Check	05/13/2020	2147	Maximun Pest Control			65.00	1,552,207.82
Bill Pmt -Check	05/13/2020	2148	Mt Shasta Spring Water			19.65	1,552,188.17
Bill Pmt -Check	05/13/2020	2149	Recology Butte Colusa Counties			35.95	1,552,152.22
Bill Pmt -Check	05/13/2020	2150	Rush Personnel Services, Inc			5,644.80	1,546,507.42
Bill Pmt -Check	05/13/2020	2151	The Ferguson Group			15,000.00	1,531,507.42
Bill Pmt -Check	05/13/2020	2152	US Bank			302.11	1,531,205.31
Bill Pmt -Check	05/13/2020	2153	Young Wooldridge LLP			6,357.72	1,524,847.59
General Journal	05/18/2020	5-2C		transfer to max ICS		376,104.38	1,148,743.21
General Journal	05/31/2020	5-3C		rec int fme	1,382.69		1,150,125.90
General Journal	05/31/2020	5-4C		Bank service fee		159.88	1,149,966.02
Total River City Operating Account					1,382.69	473,570.32	1,149,966.02
River City State Fund Checking							4,404,006.92
Bill Pmt -Check	05/13/2020	1038	AECOM Technical Services, Inc.			15,863.36	4,388,143.56
Bill Pmt -Check	05/13/2020	1039	Brown and Caldwell			116,632.37	4,271,511.19
Bill Pmt -Check	05/13/2020	1040	CH2M Hill Engineers, Inc			93,369.39	4,178,141.80
Bill Pmt -Check	05/13/2020	1041	Fechter & Company CPA			10,760.40	4,167,381.40
Bill Pmt -Check	05/13/2020	1042	Forsythe Group LLC			30,000.00	4,137,381.40
Bill Pmt -Check	05/13/2020	1043	Fugro USA Land, Inc			12,562.77	4,124,818.63
Bill Pmt -Check	05/13/2020	1044	HDR Engineering Inc			168,613.06	3,956,205.57
Bill Pmt -Check	05/13/2020	1045	ICF Jones & Stokes Inc			68,898.24	3,887,307.33
Bill Pmt -Check	05/13/2020	1046	Larsen Wurzel & Associates, Inc			458.00	3,886,849.33
Bill Pmt -Check	05/13/2020	1047	MBK Engineers Inc			4,716.75	3,882,132.58
Bill Pmt -Check	05/13/2020	1048	Montague DeRose and Associat...			12,725.00	3,869,407.58
Bill Pmt -Check	05/13/2020	1049	Spesert Consulting			21,343.76	3,848,063.82
Bill Pmt -Check	05/13/2020	1050	Trapasso Consulting Services			28,000.00	3,820,063.82
Bill Pmt -Check	05/13/2020	1051	Waterology Consulting			33,750.00	3,786,313.82
Deposit	05/14/2020			CA Dept of Water	1,957,712.12		5,744,025.94
General Journal	05/14/2020	5-1C		wire fee for incoming of CA D...		15.00	5,744,010.94
Total River City State Fund Checking					1,957,712.12	617,708.10	5,744,010.94
US Bank Checking							0.00
Total US Bank Checking							0.00
TOTAL					**2,337,798.34**	**1,091,278.42**	**9,850,613.61**

Draft_0028511

Sites Project Joint Powers Authority
Balance Sheet
As of May 31, 2020

	May 31, 20	
ASSETS		
Current Assets		
Checking/Savings		
River City ICS Savings		2,956,636.65
River City Operating Account		1,149,966.02
River City State Fund Checking		5,744,010.94
Total Checking/Savings		9,850,613.61
Accounts Receivable		
Accounts Rec Members		
Membership - Authority	68,000.00	
Membership - Reservoir	-4,988.20	
Total Accounts Rec Members		63,011.80
Total Accounts Receivable		63,011.80
Total Current Assets		9,913,625.41
TOTAL ASSETS		**9,913,625.41**
LIABILITIES & EQUITY		
Liabilities		
Current Liabilities		
Accounts Payable		
Accounts Payable		722,564.07
Total Accounts Payable		722,564.07
Total Current Liabilities		722,564.07
Long Term Liabilities		
Participant Reimbursement Polic		6,064,652.67
Total Long Term Liabilities		6,064,652.67
Total Liabilities		6,787,216.74
Equity		
Net Assets		1,929,216.58
Retained Earnings		3,969,373.85
Net Income		-2,772,181.76
Total Equity		3,126,408.67
TOTAL LIABILITIES & EQUITY		**9,913,625.41**

FOR MANAGEMENT PURPOSES ONLY

Sites Project Joint Powers Authority
A/R Aging Summary
As of May 31, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
City of American Canyon	0.00	0.00	0.00	0.00	-4,988.20	-4,988.20
County of Glenn	0.00	0.00	0.00	0.00	55,000.00	55,000.00
Yolo County Flood Control	0.00	0.00	0.00	0.00	13,000.00	13,000.00
TOTAL	**0.00**	**0.00**	**0.00**	**0.00**	**63,011.80**	**63,011.80**

Sites Project Joint Powers Authority
A/P Aging Summary
As of May 31, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
Adept Solutions Inc	1,045.35	305.27	0.00	0.00	0.00	1,350.62
AECOM Technical Services, Inc.	8,112.77	0.00	0.00	0.00	0.00	8,112.77
Brown and Caldwell	116,764.80	0.00	0.00	0.00	0.00	116,764.80
CH2M Hill Engineers, Inc	0.00	97,617.53	0.00	0.00	0.00	97,617.53
Dunn Consulting	8,000.00	0.00	0.00	0.00	0.00	8,000.00
Forsythe Group LLC	30,000.00	0.00	0.00	0.00	0.00	30,000.00
Fugro USA Land, Inc	11,875.58	0.00	0.00	0.00	0.00	11,875.58
HDR Engineering Inc	168,516.59	0.00	0.00	0.00	0.00	168,516.59
ICF Jones & Stokes Inc	0.00	96,395.12	0.00	0.00	0.00	96,395.12
J.C. Watson, Inc	28,551.26	0.00	0.00	0.00	0.00	28,551.26
Katz and Associates, Inc	0.00	35,424.99	0.00	0.00	0.00	35,424.99
KCoe Isom, LLP	1,765.00	0.00	0.00	0.00	0.00	1,765.00
Larsen Wurzel & Associates, Inc	1,374.00	0.00	0.00	0.00	0.00	1,374.00
M.R. Cleaning Services	400.00	0.00	0.00	0.00	0.00	400.00
Maximun Pest Control	65.00	0.00	0.00	0.00	0.00	65.00
MBK Engineers Inc	0.00	3,185.00	0.00	0.00	0.00	3,185.00
Mt Shasta Spring Water	30.15	0.00	0.00	0.00	0.00	30.15
Perkins Coie LLP	971.10	0.00	0.00	0.00	0.00	971.10
Recology Butte Colusa Counties	35.95	0.00	0.00	0.00	0.00	35.95
Rush Personnel Services, Inc	4,189.50	0.00	0.00	0.00	0.00	4,189.50
Spesert Consulting	21,320.00	0.00	0.00	0.00	0.00	21,320.00
Stradling Yocca Carlson & Rauth	0.00	3,970.00	0.00	0.00	0.00	3,970.00
The Ferguson Group	0.00	15,000.00	0.00	0.00	0.00	15,000.00
Trapasso Consulting Services	28,000.00	0.00	0.00	0.00	0.00	28,000.00
US Bank	191.97	0.00	0.00	0.00	0.00	191.97
Waterology Consulting	33,750.00	0.00	0.00	0.00	0.00	33,750.00
Young Wooldridge LLP	5,707.14	0.00	0.00	0.00	0.00	5,707.14
TOTAL	**470,666.16**	**251,897.91**	**0.00**	**0.00**	**0.00**	**722,564.07**

Sites Project Joint Powers Authority
Profit & Loss
May 2020

	May 20	Jan - May 20
Ordinary Income/Expense		
Income		
Membership Admin/Authority	0.00	505,000.00
Prop 1 Funding	0.00	0.00
Total Income	0.00	505,000.00
Gross Profit	0.00	505,000.00
Expense		
Accounting Expense	1,765.00	13,964.00
Admin Support Gen Manager	4,189.50	25,511.85
Audit Fees	0.00	10,760.40
Bank Service Fees	174.88	849.19
General Manager	28,551.26	186,514.66
Insurance - Property	0.00	250.00
Insurance Liability	0.00	1,604.25
Office Expenses		
Cleaning	400.00	900.00
Misc Office & Operating Exp	191.97	2,832.29
Pest Control & Maintenance	65.00	325.00
Trash Service	35.95	179.75
Water Expense	30.15	198.70
Total Office Expenses	723.07	4,435.74
Professional Fees		
Bond Counsel	3,970.00	3,970.00
Bus/Comm Manager	21,320.00	108,721.85
Communication	35,424.99	85,531.14
Cost Development Model	1,374.00	2,542.60
Cultural Study	0.00	3,885.55
Engineering & Technical Service	8,112.77	114,518.38
Env/Biological Services	65,867.86	142,871.28
EPP Manager	30,000.00	152,059.09
Executive Director	33,750.00	67,500.00
Federal Government Affairs Supp	15,000.00	75,000.00
General Legal Counsel	5,707.14	43,279.62
Geotechnical Engineering	11,875.58	48,848.52
Legislative/Reg/Strategic	8,000.00	40,000.00
Municipal Advisor	0.00	20,000.00
Operations/Simulation Modeling	97,617.53	351,034.64
Organizational Assessment	0.00	29,693.41
Permitting & Agreements	30,527.26	148,230.41
Program Ops Manager	28,000.00	142,510.24
Project Controls	116,764.80	576,819.92
Project Integration	168,516.59	843,990.67
Real Estate	0.00	761.67
Reservoir Operations	3,185.00	20,202.75
Special Legal	971.10	31,374.00
Total Professional Fees	685,984.62	3,053,345.74
Website, Data, Computer Support	1,350.62	6,684.00
Total Expense	722,738.95	3,303,919.83
Net Ordinary Income	-722,738.95	-2,798,919.83
Other Income/Expense		
Other Income		
Interest Income		
Interest Income-River City	3,981.84	26,738.07
Total Interest Income	3,981.84	26,738.07
Total Other Income	3,981.84	26,738.07

Sites Project Joint Powers Authority
Profit & Loss
May 2020

	May 20	Jan - May 20
Net Other Income	3,981.84	26,738.07
Net Income	**-718,757.11**	**-2,772,181.76**

Sites Project Joint Powers Authority
Transaction Detail By Account
May 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Accounting Expense								
Bill	05/31/2020	KC087169	KCoe Isom, LLP	5/20	Authority	353.00		-353.00
Bill	05/31/2020	KC087169	KCoe Isom, LLP	5/20	Reservoir	1,412.00		-1,765.00
Total Accounting Expense						1,765.00	0.00	-1,765.00
Admin Support Gen Manager								
Bill	05/18/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Authority	141.12		-141.12
Bill	05/18/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Reservoir	564.48		-705.60
Bill	05/18/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Authority	189.63		-895.23
Bill	05/18/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Reservoir	758.52		-1,653.75
Bill	05/31/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Authority	282.24		-1,935.99
Bill	05/31/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Reservoir	1,128.96		-3,064.95
Bill	05/31/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Authority	224.91		-3,289.86
Bill	05/31/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Reservoir	899.64		-4,189.50
Total Admin Support Gen Manager						4,189.50	0.00	-4,189.50
Bank Service Fees								
General J...	05/14/2020	5-1C		wire fee for incoming of CA D...	Reservoir	15.00		-15.00
General J...	05/31/2020	5-4C		Bank service fee	Authority	103.92		-118.92
General J...	05/31/2020	5-4C		Bank service fee	Reservoir	55.96		-174.88
Total Bank Service Fees						174.88	0.00	-174.88
General Manager								
Bill	05/28/2020	SPA-058	J.C. Watson, Inc	5/20	Authority	4,369.69		-4,369.69
Bill	05/28/2020	SPA-058	J.C. Watson, Inc	5/20	Reservoir	24,181.57		-28,551.26
Total General Manager						28,551.26	0.00	-28,551.26
Office Expenses								
Cleaning								
Bill	05/10/2020	33	M.R. Cleaning Services	4/20	Authority	200.00		-200.00
Bill	05/28/2020	37	M.R. Cleaning Services	5/20	Authority	200.00		-400.00
Total Cleaning						400.00	0.00	-400.00
Misc Office & Operating Exp								
Bill	05/31/2020	Online 6/3	US Bank		Authority	191.97		-191.97
Total Misc Office & Operating Exp						191.97	0.00	-191.97
Pest Control & Maintenance								
Bill	05/28/2020	51008	Maximun Pest Control	5/20	Authority	65.00		-65.00
Total Pest Control & Maintenance						65.00	0.00	-65.00
Trash Service								
Bill	05/31/2020	37394368	Recology Butte Colusa Co...	5/20	Authority	35.95		-35.95
Total Trash Service						35.95	0.00	-35.95
Water Expense								
Bill	05/14/2020	495621	Mt Shasta Spring Water	5/20	Authority	30.15		-30.15
Total Water Expense						30.15	0.00	-30.15
Total Office Expenses						723.07	0.00	-723.07
Professional Fees								
Bond Counsel								
Bill	05/13/2020	364298-0001	Stradling Yocca Carlson &...	4/20	Reservoir	3,970.00		-3,970.00
Total Bond Counsel						3,970.00	0.00	-3,970.00
Bus/Comm Manager								
Bill	05/31/2020	06-20	Spesert Consulting	5/20	Reservoir	21,320.00		-21,320.00
Total Bus/Comm Manager						21,320.00	0.00	-21,320.00
Communication								
Bill	05/14/2020	413745	Katz and Associates, Inc	4/20 (C)	Authority	21,254.99		-21,254.99
Bill	05/14/2020	413745	Katz and Associates, Inc	4/20 (C)	Reservoir	14,170.00		-35,424.99
Total Communication						35,424.99	0.00	-35,424.99
Cost Development Model								
Bill	05/08/2020	1609000-0420	Larsen Wurzel & Associat...	4/20	Reservoir	1,374.00		-1,374.00
Total Cost Development Model						1,374.00	0.00	-1,374.00
Engineering & Technical Service								
Bill	05/31/2020	2000365230	AECOM Technical Service...	5/20	Reservoir	8,112.77		-8,112.77
Total Engineering & Technical Service						8,112.77	0.00	-8,112.77
Env/Biological Services								
Bill	05/14/2020	0147006	ICF Jones & Stokes Inc	4/20	Reservoir	65,867.86		-65,867.86
Total Env/Biological Services						65,867.86	0.00	-65,867.86
EPP Manager								
Bill	05/31/2020	SPA-202005	Forsythe Group LLC	5/20	Reservoir	30,000.00		-30,000.00

Sites Project Joint Powers Authority
Transaction Detail By Account
May 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Total EPP Manager						30,000.00	0.00	-30,000.00
Executive Director								
Bill	05/31/2020	2	Waterology Consulting	5/20	Reservoir	33,750.00		-33,750.00
Total Executive Director						33,750.00	0.00	-33,750.00
Federal Government Affairs Supp								
Bill	05/01/2020	0520148	The Ferguson Group	5/20	Authority	7,500.00		-7,500.00
Bill	05/01/2020	0520148	The Ferguson Group	5/20	Reservoir	7,500.00		-15,000.00
Total Federal Government Affairs Supp						15,000.00	0.00	-15,000.00
General Legal Counsel								
Bill	05/31/2020	65924	Young Wooldridge LLP	5/20	Authority	1,268.50		-1,268.50
Bill	05/31/2020	65924	Young Wooldridge LLP	5/20	Reservoir	4,438.64		-5,707.14
Total General Legal Counsel						5,707.14	0.00	-5,707.14
Geotechnical Engineering								
Bill	05/28/2020	04.7219003...	Fugro USA Land, Inc	5/20 (I)	Reservoir	11,875.58		-11,875.58
Total Geotechnical Engineering						11,875.58	0.00	-11,875.58
Legislative/Reg/Strategic								
Bill	05/31/2020	Letter	Dunn Consulting	5/20	Authority	4,000.00		-4,000.00
Bill	05/31/2020	Letter	Dunn Consulting	5/20	Reservoir	4,000.00		-8,000.00
Total Legislative/Reg/Strategic						8,000.00	0.00	-8,000.00
Operations/Simulation Modeling								
Bill	05/15/2020	D3205400-013	CH2M Hill Engineers, Inc	4/20 (D)	Reservoir	97,617.53		-97,617.53
Total Operations/Simulation Modeling						97,617.53	0.00	-97,617.53
Permitting & Agreements								
Bill	05/14/2020	0147005	ICF Jones & Stokes Inc	4/20 (F)	Reservoir	30,527.26		-30,527.26
Total Permitting & Agreements						30,527.26	0.00	-30,527.26
Program Ops Manager								
Bill	05/31/2020	SPA 17-32	Trapasso Consulting Servi...	5/20	Reservoir	28,000.00		-28,000.00
Total Program Ops Manager						28,000.00	0.00	-28,000.00
Project Controls								
Bill	05/29/2020	17373609	Brown and Caldwell	5/20 (B)	Reservoir	116,764.80		-116,764.80
Total Project Controls						116,764.80	0.00	-116,764.80
Project Integration								
Bill	05/31/2020	1200270922	HDR Engineering Inc	5/20 (A)	Reservoir	168,516.59		-168,516.59
Total Project Integration						168,516.59	0.00	-168,516.59
Reservoir Operations								
Bill	05/15/2020	20-04-4941.0	MBK Engineers Inc	4/20	Reservoir	3,185.00		-3,185.00
Total Reservoir Operations						3,185.00	0.00	-3,185.00
Special Legal								
Bill	05/28/2020	6195435	Perkins Coie LLP	4/20	Reservoir	971.10		-971.10
Total Special Legal						971.10	0.00	-971.10
Total Professional Fees						685,984.62	0.00	-685,984.62
Website, Data, Computer Support								
Bill	05/15/2020	139505	Adept Solutions Inc	4/20	Authority	61.05		-61.05
Bill	05/15/2020	139505	Adept Solutions Inc	4/20	Reservoir	244.22		-305.27
Bill	05/31/2020	MSP-139499	Adept Solutions Inc	06/20	Authority	209.07		-514.34
Bill	05/31/2020	MSP-139499	Adept Solutions Inc	06/20	Reservoir	836.28		-1,350.62
Total Website, Data, Computer Support						1,350.62	0.00	-1,350.62
Interest Income								
Interest Income-River City								
General J...	05/15/2020	5-3C		rec int fme	Authority		898.75	898.75
General J...	05/31/2020	5-3C		rec int fme	Reservoir		483.94	1,382.69
General J...	05/31/2020	5-5C		rec int fme	Reservoir		2,599.15	3,981.84
Total Interest Income-River City						0.00	3,981.84	3,981.84
Total Interest Income						0.00	3,981.84	3,981.84
TOTAL						**722,738.95**	**3,981.84**	**-718,757.11**

Sites Project Joint Powers Authority
Balance Sheet by Class
As of May 31, 2020

	Authority	Reservoir	TOTAL
ASSETS			
Current Assets			
Checking/Savings			
River City ICS Savings	0.00	2,956,636.65	2,956,636.65
River City Operating Account	748,882.62	401,083.40	1,149,966.02
River City State Fund Checking	0.00	5,744,010.94	5,744,010.94
Total Checking/Savings	748,882.62	9,101,730.99	9,850,613.61
Accounts Receivable			
Accounts Rec Members			
Membership - Authority	68,000.00	0.00	68,000.00
Membership - Reservoir	0.00	-4,988.20	-4,988.20
Total Accounts Rec Members	68,000.00	-4,988.20	63,011.80
Total Accounts Receivable	68,000.00	-4,988.20	63,011.80
Total Current Assets	816,882.62	9,096,742.79	9,913,625.41
TOTAL ASSETS	**816,882.62**	**9,096,742.79**	**9,913,625.41**
LIABILITIES & EQUITY			
Liabilities			
Current Liabilities			
Accounts Payable			
Accounts Payable	40,577.27	681,986.80	722,564.07
Total Accounts Payable	40,577.27	681,986.80	722,564.07
Total Current Liabilities	40,577.27	681,986.80	722,564.07
Long Term Liabilities			
Participant Reimbursement Polic	0.00	6,064,652.67	6,064,652.67
Total Long Term Liabilities	0.00	6,064,652.67	6,064,652.67
Total Liabilities	40,577.27	6,746,639.47	6,787,216.74
Equity			
Net Assets	114,674.93	1,814,541.65	1,929,216.58
Retained Earnings	351,952.54	3,617,421.31	3,969,373.85
Net Income	309,677.88	-3,081,859.64	-2,772,181.76
Total Equity	776,305.35	2,350,103.32	3,126,408.67
TOTAL LIABILITIES & EQUITY	**816,882.62**	**9,096,742.79**	**9,913,625.41**

Sites Project Joint Powers Authority
Profit & Loss by Class
May 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Expense			
Accounting Expense	353.00	1,412.00	1,765.00
Admin Support Gen Manager	837.90	3,351.60	4,189.50
Bank Service Fees	103.92	70.96	174.88
General Manager	4,369.69	24,181.57	28,551.26
Office Expenses			
Cleaning	400.00	0.00	400.00
Misc Office & Operating Exp	191.97	0.00	191.97
Pest Control & Maintenance	65.00	0.00	65.00
Trash Service	35.95	0.00	35.95
Water Expense	30.15	0.00	30.15
Total Office Expenses	723.07	0.00	723.07
Professional Fees			
Bond Counsel	0.00	3,970.00	3,970.00
Bus/Comm Manager	0.00	21,320.00	21,320.00
Communication	21,254.99	14,170.00	35,424.99
Cost Development Model	0.00	1,374.00	1,374.00
Engineering & Technical Service	0.00	8,112.77	8,112.77
Env/Biological Services	0.00	65,867.86	65,867.86
EPP Manager	0.00	30,000.00	30,000.00
Executive Director	0.00	33,750.00	33,750.00
Federal Government Affairs Supp	7,500.00	7,500.00	15,000.00
General Legal Counsel	1,268.50	4,438.64	5,707.14
Geotechnical Engineering	0.00	11,875.58	11,875.58
Legislative/Reg/Strategic	4,000.00	4,000.00	8,000.00
Operations/Simulation Modeling	0.00	97,617.53	97,617.53
Permitting & Agreements	0.00	30,527.26	30,527.26
Program Ops Manager	0.00	28,000.00	28,000.00
Project Controls	0.00	116,764.80	116,764.80
Project Integration	0.00	168,516.59	168,516.59
Reservoir Operations	0.00	3,185.00	3,185.00
Special Legal	0.00	971.10	971.10
Total Professional Fees	34,023.49	651,961.13	685,984.62
Website, Data, Computer Support	270.12	1,080.50	1,350.62
Total Expense	40,681.19	682,057.76	722,738.95
Net Ordinary Income	-40,681.19	-682,057.76	-722,738.95
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	898.75	3,083.09	3,981.84
Total Interest Income	898.75	3,083.09	3,981.84
Total Other Income	898.75	3,083.09	3,981.84
Net Other Income	898.75	3,083.09	3,981.84
Net Income	**-39,782.44**	**-678,974.67**	**-718,757.11**

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through May 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Income			
Membership Admin/Authority	505,000.00	0.00	505,000.00
Prop 1 Funding	0.00	0.00	0.00
Total Income	505,000.00	0.00	505,000.00
Gross Profit	505,000.00	0.00	505,000.00
Expense			
Accounting Expense	2,792.80	11,171.20	13,964.00
Admin Support Gen Manager	5,102.37	20,409.48	25,511.85
Audit Fees	0.00	10,760.40	10,760.40
Bank Service Fees	353.24	495.95	849.19
General Manager	26,752.05	159,762.61	186,514.66
Insurance - Property	200.00	50.00	250.00
Insurance Liability	0.00	1,604.25	1,604.25
Office Expenses			
Cleaning	900.00	0.00	900.00
Misc Office & Operating Exp	856.48	1,975.81	2,832.29
Pest Control & Maintenance	325.00	0.00	325.00
Trash Service	179.75	0.00	179.75
Water Expense	198.70	0.00	198.70
Total Office Expenses	2,459.93	1,975.81	4,435.74
Professional Fees			
Bond Counsel	0.00	3,970.00	3,970.00
Bus/Comm Manager	0.00	108,721.85	108,721.85
Communication	51,318.91	34,212.23	85,531.14
Cost Development Model	0.00	2,542.60	2,542.60
Cultural Study	0.00	3,885.55	3,885.55
Engineering & Technical Service	0.00	114,518.38	114,518.38
Env/Biological Services	0.00	142,871.28	142,871.28
EPP Manager	0.00	152,059.09	152,059.09
Executive Director	0.00	67,500.00	67,500.00
Federal Government Affairs Supp	37,500.00	37,500.00	75,000.00
General Legal Counsel	21,780.00	21,499.62	43,279.62
Geotechnical Engineering	0.00	48,848.52	48,848.52
Legislative/Reg/Strategic	20,000.00	20,000.00	40,000.00
Municipal Advisor	0.00	20,000.00	20,000.00
Operations/Simulation Modeling	0.00	351,034.64	351,034.64
Organizational Assessment	29,693.41	0.00	29,693.41
Permitting & Agreements	0.00	148,230.41	148,230.41
Program Ops Manager	0.00	142,510.24	142,510.24
Project Controls	0.00	576,819.92	576,819.92
Project Integration	0.00	843,990.67	843,990.67
Real Estate	0.00	761.67	761.67
Reservoir Operations	0.00	20,202.75	20,202.75
Special Legal	0.00	31,374.00	31,374.00
Total Professional Fees	160,292.32	2,893,053.42	3,053,345.74
Website, Data, Computer Support	1,336.80	5,347.20	6,684.00
Total Expense	199,289.51	3,104,630.32	3,303,919.83
Net Ordinary Income	305,710.49	-3,104,630.32	-2,798,919.83
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	3,967.39	22,770.68	26,738.07
Total Interest Income	3,967.39	22,770.68	26,738.07
Total Other Income	3,967.39	22,770.68	26,738.07

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through May 2020

	Authority	Reservoir	TOTAL
Net Other Income	3,967.39	22,770.68	26,738.07
Net Income	**309,677.88**	**-3,081,859.64**	**-2,772,181.76**

Sites

Topic: **Authority Board Agenda Item 1.3** **2020 June 24**

Subject: **Payment of Claims**

Requested Action:

Consider approval of the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B.

Detailed Description/Background:

Attachment A presents the warrants to be drawn against the invoices received by the Sites Project Authority through June 1, 2020.

Attachment B summarizes details of the invoices received through June 1, 2020 and how the incurred costs are allocated between the Authority and Reservoir Committee.

Prior Action:

None.

Fiscal Impact/Funding Source:

Total Payment of Claims is $722,564.07 with $40,577.27 of costs being assigned to the Authority and $681,986.80 assigned to the Reservoir Committee.

For the Reservoir Committee assigned amount, $621,852.49 will be paid through the WSIP account and $60,134.31 through the JPA/Sites account as shown in Attachment B.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: June 2020 Report on warrants to be drawn for Payment of Claims.

Attachment B: June 2020 Monthly Key Consultant and Vendor Invoice table.

Attachment C: June 2020 Key Consultant Budget Status Report.

WARRANTS DRAWN AGAINST
Sites Project Authority- General Fund
June 24, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
2154	06/09/2020	Adept Solutions	IT & Related Services	1,350.62
2155	06/09/2020	Dunn Consulting	Legislative/Regulatory/Strategic Support	8,000.00
2156	06/09/2020	J.C. Watson Inc.	GM Services	28,551.26
2157	06/09/2020	Katz and Associates Inc.	Communications	35,424.99
2158	06/09/2020	K·Coe·Isom, LLP	Accounting	1,765.00
2159	06/09/2020	M.R. Cleaning Services	Office Cleaning	400.00
2160	06/09/2020	Maximum Pest Control	Pest Control	65.00
2161	06/09/2020	Mt Shasta Spring Water	Office Water	30.15
2162	06/09/2020	Recology Butte Colusa Counties	Office Trash Pickup	35.95
2163	06/09/2020	Rush Personnel Services Inc.	Administrative Services	4,189.50
2164	06/09/2020	The Ferguson Group	Fed Govt Affairs Support	15,000.00
2165	06/09/2020	U.S. Bank	Misc. Expenses	191.97
2166	06/09/2020	Young Wooldridge LLP	Legal Counsel	5,707.14

THE FOREGOING CLAIM, NUMBERED 2154-2166 ARE APPLIED TO THE GENERAL FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **100,711.58**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

WARRANTS DRAWN AGAINST
Sites Project Authority-WSIP Fund
June 24, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
1052	06/09/2020	AECOM Inc.	Engineering	8,112.77
1053	06/09/2020	Brown and Caldwell	Project Controls	116,764.80
1054	06/09/2020	CH2M	Operations/SIM Modeling	97,617.53
1055	06/09/2020	Forsythe Group LLC	EPP Manager	30,000.00
1056	06/09/2020	Fugro USA Land, Inc.	Geotechnical Engineering Services	11,875.58
1057	06/09/2020	HDR Engineering Inc.	Project Integration	168,516.59
1058	06/09/2020	ICF Jones & Stokes Inc.	Env/Biological Services & Permitting	96,396.12
1059	06/09/2020	Larsen Wurzel & Associates, Inc.	Cost Development	1,374.00
1060	06/09/2020	MBK Engineers Inc.	Reservoir Operations	3,185.00
1061	06/09/2020	Perkins Cole, LLP	Special Legal	971.10
1062	06/09/2020	Spesert Consulting	Bus/Comm Manager	21,320.00
1063	06/09/2020	Stradling Yocca Carlson & Rauth	Bond Counsel	3,970.00
1064	06/09/2020	Trapasso Consulting Services	Program Operations Manager	28,000.00
1065	06/09/2020	Waterology Consulting	Executive Director Services	33,750.00

THE FOREGOING CLAIM, NUMBERED 1052-1065 ARE APPLIED TO THE WSIP FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount 621,652.49

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

Sites

June 8, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for June 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their June monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Board Approval Items						
Adept Solutions *IT Related Services/Computer Equipment*	MSP-139499 *6/1/20*	06/20	$1,045.35	$209.07	$836.28	KMS
Adept Solutions *IT Related Services/Computer Equipment*	139505 *5/15/20*	4/20	$305.27	$61.05	$244.22	KMS
AECOM (Reservoir) (HR) *Engineering Services*	No Invoice					HL
AECOM Technical Services, Inc. *Engineering/Tech*	2000365230 *6/1/20*	5/20	$8,112.77		$8,112.77	HL
Bender Rosenthal, Inc. (G) *Real Estate*	No Invoice					KMS
Brown and Caldwell (B) *Project Controls*	17373609 *5/29/20*	5/20	$116,764.80		$116,764.80	JAT
CH2M Hill Engineers (Operations) (D) *Operations / Simulation Modeling*	D3205400-013 *5/15/20*	4/20	$97,617.53		$97,617.53	AEF
CH2M/Jacobs (Conveyance) (HC) *Engineering Services*	No Invoice					HL
Colusa Indian Community Council *Tribal Council*	No Invoice					SPE
Darling H2O Consulting, Inc. *Organizational Assessment*	No Invoice					FD
Dunn Consulting *Legislative/Regulatory/Strategic Support*	Letter *6/2/20*	5/20	$8,000.00	$4,000.00	$4,000.00	KMS
Fechter and Company, CPAs *Accounting Services*	No Invoice					JAT
Forsythe Group, LLC *EPP Manager*	SPA-202005 *6/2/20*	5/20	$30,000.00		$30,000.00	JB
Fugro (I) *Geotechnical Engineering Services*	04.72190035-14 *5/28/20*	5/20	$11,875.58		$11,875.58	HL
Gerald (Jerry) Johns *Project Operations*	No Invoice					AEF

Sites

June 8, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for June 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their June monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
HDR (A)	1200270922			$168,516.59		$168,516.59	JAT
Project Integration		*6/1/20*	5/20				
ICF Jones & Stokes, Inc. (Environ.) (E)	0147006			$65,867.86		$65,867.86	AEF
Env/Biological Services		*5/14/20*	4/20				
ICF Jones & Stokes, Inc. (Permitting) (F)	0147005			$30,527.26		$30,527.26	AEF
Permitting and Agreements		*5/14/20*	4/20				
J.C. Watson, Inc.	SPA-058			$28,551.26	$4,369.69	$24,181.57	JB
General Manager Services		*5/28/20*	5/20				
Katz & Associates (C)	413745			$35,424.99	$21,254.99	$14,170.00	KMS
Communications		*5/14/20*	4/20				
K-Coe Isom, LLP	KC087169			$1,765.00	$353.00	$1,412.00	JAT
Accounting		*5/31/20*	5/20				
Larsen Wurzel & Associates, Inc.	1609000-0420			$1,374.00		$1,374.00	JAT
Cost Development		*5/8/20*	4/20				
M.R. Cleaning Service	33			$200.00	$200.00		KMS
Office Cleaning		*5/10/20*	4/20				
M.R. Cleaning Service	37			$200.00	$200.00		KMS
Office Cleaning		*5/28/20*	5/20				
Maximum Pest Control	51008			$65.00	$65.00		KMS
Pest Spraying		*5/28/20*	5/20				
MBK Engineers	20-04-4941.0			$3,185.00		$3,185.00	AEF
Reservoir Operations		*5/15/20*	4/20				
Montague DeRose & Associates, LLC	No Invoice						JAT
Municipal Advisor							
MT Shasta Water	495621			$30.15	$30.15		KMS
Office Water		*5/14/20*	5/20				
Perkins Coie, LLP	6195435			$971.10		$971.10	AEF
Special Legal		*5/28/20*	4/20				
Recology Butte Colusa Counties	37394368			$35.95	$35.95		KMS
Office Trash Pickup		*5/31/20*	5/20				

Draft_0028527

Sites

June 8, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for June 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their June monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Rush Personnel	IVC000000136950			$705.60	$141.12	$564.48	KMS
Yolanda Tirado Services		*5/18/20*	5/20				
Rush Personnel	IVC000000136951			$948.15	$189.63	$758.52	KMS
Yolanda Tirado Services		*5/18/20*	5/20				
Rush Personnel	IVC000000137208			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*6/1/20*	5/20				
Rush Personnel	IVC000000137209			$1,124.55	$224.91	$899.64	KMS
Yolanda Tirado Services		*6/1/20*	5/20				
Spesert Consulting	06-20			$21,320.00		$21,320.00	JB
Bus/Communications Manager		*6/2/20*	5/20				
Stradling, Yocca, Carlson & Rauth	364298-0001			$3,970.00		$3,970.00	JAT
Bond Counsel		*5/13/20*	4/20				
The Ferguson Group	0520148			$15,000.00	$7,500.00	$7,500.00	KMS
Federal Government Affairs Support		*5/1/20*	5/20				
Trapasso Consulting Services	SPA 17-32			$28,000.00		$28,000.00	JB
Program Operations Manager		*6/1/20*	5/20				
U.S. Bank - Credit Card	Online 6/3			$191.97	$191.97		KMS
Misc. Expenses		*6/3/20*	5/20				
Waterology Consulting	2			$33,750.00		$33,750.00	JT/ FD /JS
Executive Director Services		*6/1/20*	5/20				
William Avery & Associates	No Invoice						JAT
Personnel Recruiter							
Wiseman Consulting Group	No Invoice						KMS
ROW/Land Management							
Young Wooldridge, Law Offices, LLP	65924			$5,707.14	$1,268.50	$4,438.64	JAT
Legal Counsel		*5/31/20*	5/20				

Sites

June 8, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for June 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their June monthly meetings.

Consultant/Vendor	Invoice # Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by

		Total	$ Authority Board	$ Reservoir Committee
	Cash Accounts	722,564.07	40,577.27	681,986.80
June 2020 Monthly Totals	JPA/Sites	100,711.58	40,577.27	60,134.31
	WSIP	621,852.49		621,852.49
Cumulative Summary	WSIP To Date	3,580,428.45	(4) Fund Checks Received	
	Balance	4,500,365.96	8,080,794.41	

Notes: *

Sites

JUNE KEY CONSULTANT BUDGET REPORT

FOR AUTHORITY BOARD AND RESERVOIR COMMITTEE,
FINANCE AND ECONOMICS AD HOC COMMITTEE

Area / Consultant	Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
a) HDR		Costs and Task % as of 05/20 Services	**1,499,864**	**1,499,864**	**843,991**	**655,873**	**56%**		
	A01	Communications Int.		-	-	-	-	-	
	A02	Ops Modeling Int.		181,007	28,076	152,932	16%	40%	
	A03	Env. Planning Int.		149,357	123,553	25,804	83%	56%	
	A04	Permitting Int.		243,884	173,782	70,102	71%	56%	
	A05	Real Estate Int.		139,718	37,931	101,787	27%	35%	
	A06	Engineering Int.		299,476	175,703	123,773	59%	56%	
	A07	Geotech Int.		-	-	-	-	100%	
	A08	Controls Int.		224,387	141,151	83,236	63%	56%	
	A09	General Int.		98,267	113,340	(15,073)	115%	60%	
	A10	Authority's Engineer		-	-	-	-	100%	
	A11	Health, Safety & Loss Prevention		-	-	-	-	100%	
	A12	Quality Management		-	-	-	-	100%	
	A13	Risk Management		49,890	10,156	39,734	20%	20%	
	A14	IT		15,831	9,070	6,761	57%	56%	
	A15	GIS		-	765	(765)	-	100%	
	A16	Document Management		3,734	3,467	267	93%	56%	
	A17	Staff Support		14,004	1,929	12,075	14%	56%	
	A18	Satellite Project Office		-	-	-	-	100%	
	A19	Land Conservation Approach		-	-	-	-	100%	
	A98	HDR Project Management		40,309	17,755	22,554	44%	56%	
	A99	Expenses		40,000	7,311	32,689	18%	56%	
b) BC		Costs and Task % as of 05/20 Services	**899,156**	**899,156**	**576,820**	**322,336**	**64%**		
	B01	Project Controls		113,645	55,363	58,282	49%	45%	
	B02	Contract Administration and Compliance		492,414	305,321	187,093	62%	65%	
	B03	Work Planning and Scheduling		100,341	98,466	1,875	98%	100%	
	B04	Project Administrative Support		117,516	82,765	34,751	70%	65%	
	B05	PMP Sections		-	-	-	-	-	
	B98	Project Management		73,240	32,720	40,520	45%	50%	
	B99	Expenses		2,000	2,185	(185)	109%	100%	

Draft_0028530

Sites

JUNE KEY CONSULTANT BUDGET REPORT

FOR AUTHORITY BOARD AND RESERVOIR COMMITTEE,
FINANCE AND ECONOMICS AD HOC COMMITTEE

6/8/2020

Area / Consultant	Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
c) K&A		Costs and Task % as of 04/20 Services	**199,930**	**199,930**	**85,531**	**114,399**	**43%**		
	C01	Local/Landowner Outreach		14,083	1,443	12,641	10%	15%	
	C02	Statewide Outreach		22,000	3,756	18,244	17%	15%	
	C03	NGO Outreach		12,166	1,085	11,081	9%	-	
	C04	Strategic Communications		19,666	6,724	12,942	34%	35%	
	C05	Message Development/Training		11,831	3,778	8,054	32%	40%	
	C06	Informational Materials Distribution		38,500	26,152	12,348	68%	30%	
	C07	Website Modifications and Management		18,600	5,153	13,448	28%	40%	
	C08	Video & Photography		-	-	-	-	-	
	C09	Authority/Reservoir Committee Public Affairs Support		14,500	7,595	6,905	52%	40%	
	C10	Media Relations		13,084	8,448	4,637	65%	35%	
	C11	Social Media		5,000	1,246	3,754	25%	25%	
	C98	Project Management		30,500	17,695	12,805	58%	40%	
	C99	Expenses		-	2,457	(2,457)	-	-	
d) CH2-d		Costs and Task % as of 04/20 Services	**993,000**	**993,000**	**351,035**	**641,965**	**35%**		
	D01	Permitting and Resource Agency Technical Support		100,000	62,027	37,973	62%	90%	
	D02	Environmental Document Support		356,853	70,268	286,585	20%	20%	
	D03	Total Operations Technical Support		300,000	106,184	193,816	35%	65%	
	D04	Value Planning		88,000	71,575	16,425	81%	95%	
	D98	Project Management		31,147	28,591	2,556	92%	50%	
	D99	Expenses & Subconsultants		117,000	12,390	104,610	11%	45%	
e) ICF-e		Costs and Task % as of 04/20 Services	**428,000**	**428,000**	**142,871**	**285,129**	**33%**		
	E01	Draft EIS/EIR Review and Strategic Consultation		-	-	-	-	-	
	E02	Prepare Admin Final Responses to Comments		-	-	-	-	-	
	E03	Prepare Administrative Final EIR/EIS		15,000	14,967	33	100%	100%	
	E04	Begin Preparation of Administrative Record for Fin		-	-	-	-	-	
	E05	Engagement		-	-	-	-	-	
	E06	Geotechnical Environmental Document		-	-	-	-	-	
	E07	Develop Environmental Document Work Plan		110,000	75,325	34,675	68%	55%	
	E08	Support Development of EIR/EIS Project Description		180,000	24,714	155,286	14%	15%	
	E09	Prepare Annotated Outline		88,000	-	88,000	-	-	
	E98	Project Management		31,500	27,865	3,635	88%	30%	
	E99	Expenses		3,500	-	3,500	-	-	
f) ICF-f		Costs and Task % as of 04/20 Services	**708,300**	**708,300**	**148,230**	**560,070**	**21%**		
	F01	Finalize Joint Biological Assessment		-	-	-	-	-	
	F02	Finalize Geotechnical Permits and Fieldwork		297,120	37,554	259,566	13%	50%	

Draft_0028531

Area / Consultant	Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
	F03	Prepare Section 106 Documents		-	-	-	-	-	
	F04	Begin Preparation of Permits and Agreements		26,000	25,976	24	100%	100%	
	F05	Prepare for 2020 Field Work Studies		-	-	-	-	-	
	F06	Strategize on Mitigation and Adaptive Management P		-	-	-	-	-	
	F07	Early Coordination and Development of Key Permits		333,740	48,638	285,102	15%	20%	
	F98	Project Management		35,000	29,668	5,332	85%	30%	
	F99	Expenses		16,440	6,395	10,045	39%	15%	
g) BRI		Costs and Task % as of 01/20 Services	**762**	**762**	**762**	**0**	**100%**		
	G01	Develop Draft Right-of-Way Manual Sections		-	-	-	-	-	
	G02	Right-of-Way Planning Efforts		-	-	-	-	-	
	G03	Right-of-Entry / Early-Access Program		517	517	0	100%	-	
	G04	Property Management Program		-	-	-	-	-	
	G05	Public Outreach and Community Engagement		-	-	-	-	-	
	G98	Project Management / QC		245	245	0	100%	-	
	G99	Expenses		-	-	-	-	-	
hc) CH2-hc			**597,023**	**597,023**	**-**	**597,023**			
	HC01	Project Coordination		15,378	-	15,378	-	-	
	HC02	Engineering Support for Proj. Description of Preferred Proj. from Value Planning		370,475	-	370,475	-	-	
	HC98	Project Management		55,469	-	55,469	-	-	
	HC99	Expenses & Subconsultants		155,700	-	155,700	-	-	
hr) AEC-hr			**599,379**	**599,379**	**-**	**599,379**			
	HR01	Project Coordination		7,339	-	7,339	-	-	
	HR02	Engineering Support for Project Description of Preferred Project		567,000	-	567,000	-	-	
	HR98	Project Management		25,040	-	25,040	-	-	
i) FUG		Costs and Task % as of 05/20 Services	**85,995**	**85,995**	**48,849**	**37,146**	**57%**		
	I01	Data Review and Develop 2019 Feasibility Study Work Plan		-	-	-	-	-	
	I02	- Obsolete -		-	-	-	-	-	
	I03	2019 Site Investigation		-	-	-	-	-	
	I04	Future Site Investigation Work Plan for Design		-	-	-	-	-	
	I05	Assessment of Proposed Field Data Collection Points		50,786	22,428	28,358	44%	44%	
	I98	Project Management		35,209	26,421	8,788	75%	75%	

Draft_0028532

Sites

Topic: **Authority Board Agenda Item 2.1** **2020 June 24**

Subject: **State Legislative Activities**

Requested Action:

Consider acceptance of 2020-2021 Sites Project State Agency Legislative Activity Priorities.

Detailed Description/Background:

In response to the recommendation outlined in the Organizational Assessment to prepare an analysis and staff recommendation on federal funding pursuits, staff developed a Federal Legislative/Government Affairs Priorities memorandum that was approved by the Reservoir Committee and Authority Board at their respective May 2020 meetings.

In addition to the Federal Legislative/Government Affairs Priorities memorandum, staff has worked with the Authority Ad Hoc Legislative & Outreach Committee to develop a list of State level legislative and government priorities to focus our activities to better align with key project milestones. The State activities will be implemented in coordinated manner with our Federal government affairs/legislative priorities. These State priorities will be the Committee and Team focus through December 2021. Any adjustments would be brought back to the Reservoir Committee and Authority Board before being incorporated and updates will be provided on significant progress.

Prior Action:

None.

Fiscal Impact/Funding Source:

Sufficient funds exist to support activities associated with these priorities within the Amendment 2 work plan.

Staff Contact:

Jerry Brown/Kevin Spesert

Attachments:

Attachment A: State Legislative/Government Affairs Priorities Memo.

TO: Ad Hoc Legislative & Outreach Committee

DATE: 10 June 2020

SUBJECT: State Government Affairs Priorities

Staff has been working to identify near-term (thru December 31, 2021) State government affairs/legislative priorities to focus our activities to better align with key project milestones and are implemented in coordinated manner with our Federal government affairs/legislative priorities.

The following represents the staff's proposed State government affairs/legislative priorities.

STATE FUNDING

Proposition 1/CWC

- Continue to advance activities to meet the statutory requirements of WSIP to secure the $816 million awarded to the project.
    - Must have completed feasibility studies, a draft version of the recirculated environmental documents released for public review, CWC finding that the project is feasible and advances long-term Delta goals, and commitments for at least 75 percent of the non-WSIP funding **by January 1, 2022**.
- Continue to secure WSIP Early Funding that was awarded to the project.
    - Approximately **$32 million** of the WSIP Early Funding awarded to the project is still available ($40.8 million awarded by CWC).
- Advance discussions with CWC to address requests outlined in the WSIP Project Coalition Letter sent on May 11, 2020 to address impacts of COVID19 on the WSIP projects.
    - Modification of Early funding conditions, Increased release frequency or waiver of retention on early funding, increase flexibility within the early funding agreements, Incorporate COVID related impacts into pre-established deadlines.
- Advance discussions with CWC to reconcile WSIP public benefits regarding the VP7 recommended alternative.

California Infrastructure Bank

- Identify and begin groundwork to potentially apply for loan funding for the project from the California Infrastructure and Economic Development Bank (IBank) for appropriate/eligible project components.
    - Infrastructure State Revolving Fund Loans (ISRF), IBank Bond Financing, Infrastructure State Revolving Fund Bonds (ISRB).

Other State Appropriations/Bonds/Grants

- Support development and secure funding from potential state bond measures for infrastructure development, drought resiliency, water resources, and flood control.

- Possible bond to support the priorities identified in the Governor's Water Resilience Portfolio has been discussed but may be delayed due to COVID19 impacts to the state's economy.

- Track and pursue opportunities for state appropriations/grants as appropriate for transportation, economic/workforce development, flood control, infrastructure planning, operations & maintenance, etc.

STATE AGENCY COORDINATION

- Pursue development of a DWR/SWP Coordinated Operations Plan.
    - Including term sheet whereby the parties agree on the key provisions of an agreement by **July 2021.**
- Completion of the recirculated Draft Environmental Impact Report (EIR) by **July 2021.**
    - Coordinated with USBR's development of the Recirculated Draft Environmental Impact Statement (EIS) which is planned for completion no later than **July 2021.**
- Develop strategy to advance the Authority's water rights application by **September 2020** with a planned submittal of the application by **June 2022 (aligned with Final EIR/EIS).**
- Secure permits and approvals of CDFW for ITP & LSA.
    - Enter a three-year agreement to fund CDFW staff to support of the project's permitting by **December 2020**.
    - Execute Contract with CDFW for WSIP benefits.
- Secure permits and approvals from Division of Safety of Dams (DSOD) to initiate preliminary design by January 2022.
- Complete approvals and permits to achieve the Final Funding Conditions for Prop 1 by **June 2023** for management of WSIP public benefit water produced by the project.
    - All environmental documental competed, all other federal, state, and local approvals, certifications and agreements required to be completed have been obtained.

Other items that do not require legislative/government affairs action but are administrative in nature are listed below. We will need to keep our legislators informed on progress of these items so that they can step in when/if needed to assist in keeping them on the timeline required to support the project schedule.

Permitting - Ongoing consultation with state agencies to secure required state permits to advance the project.

Public Outreach - Coordinate with appropriate state agencies on any public outreach activities associated with a recirculated EIR. Reestablish AB 52 engagement with tribes and re-engage in consultation.

Sites

Topic: **Authority Board Agenda Item 2.2** **2020 June 24**

Subject: **Negotiation Approach - Permits and Operating Agreements**

Requested Action:

Consider approval of the Authority's negotiation approach for permits and operating agreements.

Detailed Description/Background:

The work plan being executed over the next 18 months includes negotiation of permit and operating agreement terms with state and federal agencies. The organizational assessment identified the need for the Authority to establish an understanding of its process for managing these important discussions to ensure continued policy level oversight and outcomes the Reservoir Committee and Authority Board support. The attached negotiation approach, if approved, would be followed in the negotiation of all permits and operating agreements for the Authority.

The Reservoir Committee and Authority Board would maintain policy level oversight of the negotiations under the proposed approach. However, a single lead negotiator would be empowered to manage the negotiating and would provide progress updates. The policy direction of the Reservoir Committee and Authority Board defines the parameters or principles that set "what" the lead negotiator must accomplish in the negotiations. The lead negotiator is empowered to determine "how" the policy direction is achieved in permits and agreements. When the lead negotiator is satisfied the outcomes of negotiation have achieved the policy direction, a proposed agreement would be brought for Reservoir Committee and Authority Board approval.

Should Reservoir Committee and Authority Board members wish to be involved in the negotiations, they can be under the proposed approach. The proposed approach allows for a negotiating team supporting the lead negotiator that can be made up of Reservoir Committee and/or Authority Board members as advisors on policy concerns. But the involvement of Reservoir Committee and/or Authority Board members does not override the authority of the lead negotiator who remains accountable to the entire Reservoir Committee and Authority Board.

The proposed approach can be adjusted for special circumstances. For example, operating agreements involving the State or Federal projects may require coordination with Reservoir Committee and/or Authority Board members that contract with these entities while also serving the interests of all participating members. Any coordination activities would be discussed with all Reservoir Committee and Authority Board members and they could direct a slightly different arrangement in these cases should they feel it is necessary.

Prior Action:

The proposed approach has been reviewed by the Coordinating Committees of the Reservoir Committee and Authority Board, the Engineering and Operations Workgroup and the Environmental Planning and Permitting Workgroup. Input received has been incorporated into the proposed approach.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown/Ali Forsythe

Attachments:

Attachment A: Draft, Proposed Approach to Permit and Agreement Negotiations Environmental Planning, Permitting and Operations

Approach to Permit and Agreement Negotiations
Environmental Planning, Permitting and Operations

This document provides the approach to be used by the Executive Director for permit and agreement negotiations for those permits and agreements that are needed for the Sites Project and that fall within the environmental planning, permitting, and operations services areas.

- Lead Negotiator – The Executive Director will serve as the lead negotiator on permits and agreements for the environmental planning, permitting, and operations services areas, unless another person is identified as the lead by the Executive Director. The Lead Negotiator is responsible to achieve negotiated terms in accordance with the principles/parameters of the Reservoir Committee and Authority Board.

- Work Group – The Lead Negotiator will consult with the appropriate Reservoir Committee Work Group on technical analysis, alternatives evaluation, and advising Work Group members on constraints, conflicts, and limitations. Communications with the Lead Negotiator are to be kept strictly confidential and disclosed only to the appropriate Work Group members that are part of the negotiation team, the Lead Negotiator, counsel, the Reservoir Committee, and the Authority Board.

- Negotiation Team – The appropriate Reservoir Committee Work Group chair may assign a small subset of Reservoir Committee or Authority Board members (2-4) to participate in negotiations with the Lead Negotiator.

    - Guidelines on participation:
        - The Work Group chair selects the Negotiation Team to achieve balanced representation of interests (e.g. NOD/SOD, Urban, Ag, CVP/SWC) and considers other attributes of members.
        - The Lead Negotiator is the Authority's decision maker in the negotiations and must be present at all discussions with the agency.
        - The Negotiation Team is to strictly provide policy counsel to the Lead Negotiator and has no authority to negotiate on behalf of Sites.
        - The Negotiation Team is there to represent the best interest of the Sites Project and all of the Sites members (Reservoir Committee and Authority Board); not for personal or specific agency or regional interest, recognizing that their personal or specific agency interest helps and informs key components of the discussions.

CONFIDENTIAL PRIVILEGED COMMUNICATION
PRELIMINARY DRAFT DOCUMENT FOR INTERNAL USE ONLY

- An executive level relationship should be fostered between Reservoir Committee and Authority Board members and agency political appointees as this can assist in but is not a substitute for the negotiations approach.
- Communications among the Negotiation Team and with the Lead Negotiator are to be kept strictly confidential and disclosed only to the Negotiation Team, the Lead Negotiator, counsel, the Reservoir Committee, and the Authority Board.

- Reservoir Committee – The Lead Negotiator, working with the Negotiation Team, coordination with counsel, will recommend key negotiation principles/parameters for the Reservoir Committee. The Reservoir Committee will review, modify and recommend approval of the key negotiation principles/parameters to the Authority Board.

- Authority Board – The Authority Board will review, modify and approve the key negotiation principles/parameters that the Lead Negotiator will use to negotiate agreements in principle with the respective agency. If conflicts exist between the Reservoir Committee and Authority Board, the Lead Negotiator will be responsible to resolve those conflicts and, in coordination with counsel, resubmit the key principles/parameters to the Reservoir Committee and Authority Board for review, modification, and approval.

- Final Agreement – When the Lead Negotiator has determined that the key negotiation principles/parameters have been met, the Lead Negotiator, in coordination with counsel, will prepare the final agreement and present to the Reservoir Committee how the Final Agreement complies with the key negotiation principles/parameters. The Reservoir Committee will consider and deliberate accepting that the Final Agreement complies with the key negotiation principles/parameters. The Reservoir Committee will consider recommending approval / signature of the Final Agreement to the Authority Board. The Authority Board will consider approval / signature of the Final Agreement after recommendation by the Reservoir Committee.

- There will be no disclosure of confidential information by any Reservoir Committee or Authority Board participant outside of closed session. All Authority staff, consultants, and members of the Negotiating Team shall maintain the confidentiality of this information within the Authority.

CONFIDENTIAL PRIVILEGED COMMUNICATION
PRELIMINARY DRAFT DOCUMENT FOR INTERNAL USE ONLY

For clarification:

- Parameter is a dollar amount.
- Principles of negotiation are terms and conditions of agreement.

This negotiation approach would apply to:

- All permits and approvals listed in Table C1-2 in the April 2020 Value Planning Report
- Coordinated Operations Plans with the Department of Water Resources and Bureau of Reclamation
- Use of Facilities Agreements with Tehama-Colusa Canal Authority, Glenn-Colusa Irrigation District and operating entities in the Colusa Basin Drain
- Contract with the California Department of Fish and Wildlife for Prop 1 WSIP Environmental Benefits
- Final Funding Agreement for Prop 1 WSIP with the California Water Commission

CONFIDENTIAL PRIVILEGED COMMUNICATION
PRELIMINARY DRAFT DOCUMENT FOR INTERNAL USE ONLY

Sites

Topic: **Authority Board Agenda Item 3.1** **2020 June 24**

Subject: **Preliminary Participation Levels**

Requested Action:

Receive status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation.

Preliminary Participation Levels:

Soft call results have been updated and are included in Attachment A. In summary:

- All participants have responded and provided staff-recommended participation levels. Based on these results, full subscription can be achieved.
- Based on projected, reduced participation from Sacramento Valley, approximately 13,000 AF may be available. The Reservoir Committee and Authority Board in May 2020 requested that outreach be conducted to try to achieve the 25% goal for Sac Valley participation.
- Outside of the Sacramento Valley, current participants identified interest in 5,000 AF and prior participants expressed interest in 10,000 AF of additional capacity, if available.

Outreach and Rebalancing:

Staff has initiated outreach to existing and prior participants in the Sacramento Valley to determine interest in additional capacity. Outreach to potential new Sac Valley participants would occur later. This is consistent with the approved priority system:

1. The goal is total Sac Valley participation of ~25%. Priority is given to current, prior and new Sac Valley participants in that order.
2. Existing Reservoir Committee participating members (with sub-priorities by seniority)
3. Prior Reservoir Committee participating members (with sub-priorities by exit date)
4. Nonparticipants. Priority for new participants outside of Sac Valley.

The process for a participant securing a position in the participation queue involves the following steps:

- Participants submit a letter of intent for new or increased participation prior to September 10, 2020 to secure a position in the queue. The letter should acknowledge the potential financial commitment. Attachment B shows the remaining contributed credit that participants can apply to prior participant payments.
- Staff prioritizes requests based on the approved rebalancing priority system.
- At the September meetings, the Reservoir Committee and Authority Board direct staff to offer capacity to participants in the queue.

To date, the responses have been mixed; some are considering it and others are not interested. More time is needed for consideration and decision. As a reminder, new and returning participants must be approved for admission according to Section 10 of the Participation Agreement, which includes a 75% weighted approval at the Reservoir Committee and 75% of Authority Board director approval as well as other requirements.

Schedule:

The following items will be considered at the Reservoir Committee and Authority Board at their monthly meetings:

- July: Outreach update.
- August: Outreach update.
- September: Final participation levels for current members (executed agreements), approval of participation queue, vote to onboard new participants.
- October: Final participation levels for new members (executed agreements), approval of the final Exhibit A, invoices sent for payment.

Cash Call Timing:

The proposed cash call schedule is:

- $60/AF due Nov 1, 2020.
- Up to $40/AF due Apr 1, 2021.

Recall that payment plan alternatives were discussed in the May meetings. Staff was asked to consider an alternative payment plan for Colusa County based on their unique situation. The alternate payment plan proposed by the County was analyzed by the Project team and was determined to meet the spirit and intent of Amendment 2. The Project team determined that it is prudent and reasonable to accept the alternate payment plan and made a finding of equivalency for the reasons outlined in the attached letter which was sent on June 5, 2020 (Attachment C).

Prior Action:

May 21, 2020: The Reservoir Committee accepted Exhibit B (2020 and 2021 budget) to the Second Amendment to 2019 Reservoir Project Agreement and directed staff to begin outreach to potential participants based on the proposed priority system to explore interest in Sites capacity, if available.

May 5, 2020: At a Reservoir Committee workshop, staff provided information on changes to the cash call process and schedule in light of potential economic

impacts of the COVID-19 natural disaster on participating member agencies. A two-step cash call process including a "Soft Call" and a "Hard Call" will be used to better plan for changes in participation. The due date of the first cash call of $60/AF will be moved two months out to November 1, 2020. The due date of the second cash call will be moved two months out to April 1, 2021 and will be up to $40/AF. The Amendment 2 work will still begin on September 1, 2020.

April 17, 2020: The Reservoir Committee approved the Amendment 2 Work Plan for the purposes of planning cash calls for participants totaling $100/AF, producing a draft budget exhibit to the participation agreement, and developing consultant task orders.

March 30, 2020: At a joint workshop, staff provided information that included a process overview of task order development; an updated project schedule; cash flows for Reservoir Committee and Authority Board; and preliminary task budgets including assigned resources.

March 19, 2020: Staff provided information on the goals, schedule, cash flow, and preliminary budget allocation by subject assuming a cash call of $100/AF. Staff provided a preliminary cash call schedule of $60/AF due September 1, 2020 and $40 due February 1, 2021.

February 21, 2020: Staff provided information on three possible revenue scenarios and a task list for Amendment 2 (September 1, 2020 and ending December 31, 2021). Staff received direction to continue to develop the $100/AF revenue scenario.

January 17, 2020: Staff provided information regarding the proposed process for developing a work plan. This work plan proposes to focus on improving certainty related to project operations, permitting, and affordability, and to meeting the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive funding.

Fiscal Impact/Funding Source:

The total cash call remains unchanged from the approved Amendment 2 work plan in the total amount of $100/AF. With the Amendment 1B carryover funds it may be possible to reduce the total cash call. However, Staff proposes to keep the first call at $60/AF and reassess the second call currently projected at $40/AF midway through the Amendment 2 work. At that time, any adjustments to the second call can be considered based on the then current projections. Participating members can consider the second call to be an "up to" amount since the Amendment 1B carryover represents previously unanticipated funds for the Amendment 2 work plan.

Staff Contact:

Jerry Brown

Attachments:

Attachment A – Preliminary Amendment 2 Participation Table.

Attachment B – Participant Contributed Credit Balance.

Attachment C – Colusa County Payment Proposal Letter.

Preliminary Amendment 2 Participation Table
Reservoir Committee

Based on preliminary soft call survey results as of 3pm Wednesday, 6/3/2020

Working Draft, Subject to Change

Participant	Phase 2 (2019) Participation Level (Ref, AF)	Participation Level (AF) Staff Recommendation	Variance (AF)	Participation Increase Interest (AF)
Antelope Valley East Kern WA	500	500	0	
Carter Mutual Water Company	300	300	0	
City of American Canyon	4,000	4,000	0	
Coachella Valley Water District	10,000	10,000	0	
Colusa County	10,000	10,000	0	
Colusa County WD**	11,975	11,000	-975	Possibly
Cortina WD	450	450	0	
Davis Water District	2,000	2,000	0	
Dunnigan WD	2,717	2,717	0	
Desert WA	6,500	6,500	0	
Glenn Colusa Irrigation District	5,000	5,000	0	
LaGrande water district	1,000	1,000	0	
Metropolitan Water District of Southern California	50,000	50,000	0	
RD-108	4,000	4,000	0	
San Bernardino Valley Municipal Water District	21,400	21,400	0	
San Gorgonio Pass Water Agency	14,000	14,000	0	
Santa Clara Valley WD	16,000	16,000	0	
Santa Clarita Valley Water Agency	5,000	5,000	0	5,000
Westside W.D.**	15,000	2,000	-13,000	
Zone 7 Water Agency	10,000	10,000	0	
Wheeler Ridge-Maricopa WSD	3,050	3,050	0	
Pacific Resources MWC*	0	0	0	10,000
Total	**192,392**	**178,417**	**-13,975**	**15,000**

**Phase 1 participant, no survey response received. Interest expressed by a responding Phase 2 participant.*

***Indicated possibility for higher participation, lower projected participation level shown*

All Participant Summary Phase 1 Conversion and Voluntary Changes Allocations							
Participant	Total Paid	Conversion (Class 2 to Class 1)	Voluntary Changes	Partial Refund (Voluntary Changes)	Remaining Voluntary Change Credit (long term liability)	Refund Unspent Phase 1 Funds	8/31/19 Balance of Long term Liability
American Canyon, City of	$ 145,500.00	$ 48,500.00					$ -
Antelope Valley-East Kern Water Agency	$ 83,104.75		$ (58,854.75)	$ (10,832.99)	$ (48,021.76)	$ (2,849.09)	$ (45,172.67)
California Water Service	$ 848,750.00		$ (848,750.00)	$ (156,223.59)	$ (692,526.41)	$ (29,097.84)	$ (663,428.57)
Carter MWC	$ 24,250.00	$ 7,275.00	$ (16,975.00)	$ (3,124.47)	$ (13,850.53)	$ (831.37)	$ (13,019.16)
Coachella Valley Water District	$ 1,101,095.50	$ -	$ (616,095.50)	$ (113,400.48)	$ (502,695.02)	$ (37,749.05)	$ (464,945.97)
Colusa County	$ 485,000.00			$ -	$ -		$ -
Colusa County Water District	$ 1,557,383.50		$ (980,476.00)	$ (180,469.49)	$ (800,006.51)	$ (53,392.05)	$ (746,614.46)
Dept of Water Resources				$ -	$ -		$ -
Desert Water Agency	$ 270,072.25	$ 45,177.75		$ -	$ -		$ -
Garden Highway MWC	$ 97,000.00		$ (97,000.00)	$ (17,854.12)	$ (79,145.88)	$ (3,325.47)	$ (75,820.41)
Glenn-Colusa Irrigation District	$ 970,000.00		$ (727,500.00)	$ (133,905.94)	$ (593,594.06)	$ (33,254.68)	$ (560,339.38)
Metropolitan Water District of S. CA	$ 1,212,500.00	$ 1,212,500.00		$ -	$ -		$ -
Orland-Artois Water District	$ 970,000.00		$ (970,000.00)	$ (178,541.25)	$ (791,458.75)	$ (33,254.68)	$ (758,204.07)
Pacific Resources MWC	$ 485,000.00		$ (485,000.00)	$ (89,270.62)	$ (395,729.38)	$ (16,627.34)	$ (379,102.04)
Reclamation District 108	$ 970,000.00		$ (776,000.00)	$ (142,833.00)	$ (633,167.00)	$ (33,254.68)	$ (599,912.32)
San Bernardino Valley Municipal Water District	$ 1,246,522.75		$ (208,622.75)	$ (38,399.76)	$ (170,222.99)	$ (42,734.75)	$ (127,488.24)
San Gorgonio Pass Water Agency	$ 581,709.00	$ 97,291.00		$ -	$ -		$ -
Santa Clara Valley Water District	$ 997,232.75		$ (221,232.75)	$ (40,720.80)	$ (180,511.95)	$ (34,188.30)	$ (146,323.65)
Santa Clarita Valley Water Agency	$ 207,749.75	$ 34,750.25		$ -	$ -		$ -
TC6: 4M Water District	$ 24,250.00		$ (24,250.00)	$ (4,463.53)	$ (19,786.47)	$ (831.37)	$ (18,955.10)
TC6: Cortina Water District	$ 14,550.00		$ -	$ -	$ -		$ -
TC6: Davis Water District	$ 97,000.00		$ -	$ -	$ -		$ -
TC6: Dunnigan Water District	$ 242,500.00		$ (110,725.50)	$ (20,380.48)	$ (90,345.02)	$ (8,313.67)	$ (82,031.35)
TC6: LaGrande Water District	$ 48,500.00		$ -	$ -	$ -		$ -
TC6: Proberta Water District	$ 145,500.00		$ (145,500.00)	$ (26,781.19)	$ (118,718.81)	$ (4,988.20)	$ (113,730.61)
Tehama-Colusa Canal Assoc				$ -	$ -		$ -
US Bureau of Reclamation				$ -	$ -		$ -
Western Canal Water District	$ 169,750.00		$ (169,750.00)	$ (31,244.72)	$ (138,505.28)	$ (5,819.57)	$ (132,685.71)
Westside Water District	$ 1,212,500.00		$ (485,000.00)	$ (89,270.62)	$ (395,729.38)	$ (41,568.35)	$ (354,161.03)
Wheeler Ridge-Maricopa Water Storage District	$ 831,023.25		$ (683,098.25)	$ (125,733.21)	$ (557,365.04)	$ (28,490.11)	$ (528,874.93)
Yolo County Flood Control and Water Conservation District				$ -	$ -		$ -
Zone 7 Water Agency	$ 831,023.25		$ (346,023.25)	$ (63,690.13)	$ (282,333.12)	$ (28,490.11)	$ (253,843.01)
Totals	$ 15,869,466.75	$ 1,445,494.00	$ (7,970,853.75)	$ (1,467,140.40)	$ (6,503,713.35)	$ (439,060.68)	$ (6,064,652.67)

**note conversion and refund totals changed due to calculation error on Coachella's invoice, a revised invoice was sent*

Sites

Michael J. Azevedo
Director of Public Works, Colusa County
738 Market Street
Colusa, CA 95932

June 5, 2020

Dear Mr. Azevedo,

This is in response to our recent conversations regarding the ongoing and ever-changing conditions of the COVID-19 economic impacts on County finances. The implications of these unanticipated and significant circumstances are presenting challenges unique to the County[1]. You have proposed an alternate payment plan for the County's continued participation in the Amendment No. 2 work plan that you wish to present to the Colusa County Supervisors when they consider the Second Amendment to 2019 Reservoir Project Agreement (Amendment) at their June 16, 2020 meeting.

The alternate payment plan proposed by the County (see attached) has been analyzed by the Project team and has been determined to meet the spirit and intent of the Amendment. The Project team finds that it is prudent and reasonable to accept the alternate payment plan and make a finding of equivalency for the following reasons:

- The same total dollars are to be paid and there is no lesser commitment as compared to any of the other participating members.
- The timing of the alternate payments is such that on a cash flow basis there is no redirected impacts on other participating members.
- The administrative burden of the alternate plan is offset by the proposed additional year of rent relief for the Authority's use of the Maxwell office building which is owned by the County.

A comparison of the standard payment plan and the proposed alternate payment plan is shown on the attached document.

Please do not hesitate to contact me if you have any questions.

Jerry Brown
Executive Director, Sites Project Authority

cc: Reservoir Committee
Authority Board

Attachment

1. Colusa County is the only participating member that generates 100% of its revenues to pay for participation in the project from taxes that do not involve water services.

Comparison of Payment Plans for Colusa County Sites Reservoir Participation
Amendment No. 2 of the Project Agreement

Payment[1]	Approx. Payment Date	Amendment No. 2 Payment Plan	Approx. Payment Date	Colusa County Proposed Alternate and Equal Payment Plan
early			June 30, 2020	$150,000
1	Nov 1, 2020	$600,000	Nov 1, 2020	$500,000
2	April 1, 2021	up to $400,000	July 1, 2021	up to $350,000[2]

1) All payments assume continued County participation of 10,000 acre-feet
2) depends on the Authority's determination of required funding. Prior amounts contributed in excess of amendment no. 2 will be credited in determining the County's 3rd payment

Note: The County's proposal includes continuing to waive rent collection (estimate to be $1,500/month) on the Maxwell project office through July 2021

Topic: **Authority Board Agenda Item 3.2** **2020 June 24**

Subject: **Final Federal Feasibility**

Requested Action:

Receive status update on draft 2020 Final Federal Feasibility Report.

Detailed Description/Background

The approved 2020-2021 Sites Federal Government Affairs Priorities includes completion of the Final Federal Feasibility Report (2020 FFFR) to qualify for federal funding and serve as a basis for the State's feasibility analysis required for WSIP funding. The draft 2020 FFFR has been drafted and is routing through the ~6-month review process.

The draft 2020 FFFR determines the Sites project to be feasible and there is federal interest for investment. Potential benefits include CVP operational flexibility for north (including the American River watershed) and south of delta, new supplies for incremental level 4 refuges, and improvements for migrating fish in north of delta rivers and streams. Assuming this determination remains, Sites would be qualified to receive a portion of WIIN Act construction funds which under beneficiary pays would require operating and storage capacity obligations that would be covered under future agreements. Conservatively, the VP7 plan of finance does not include WIIN funds but could accommodate federal participation should an agreement be reached.

There are fairly significant differences between the draft 2020 FFFR and VP7 (the Authority's preferred alternative). The 1.5MAF reservoir size in VP7 is not specifically analyzed and the Delevan Pipeline is not eliminated. The range of reservoir sizes encompasses the 1.5MAF in VP7 and the report indicates that other conveyance mechanisms would be feasible. It was not possible to address these discrepancies and still meet WIIN Act deadlines. The Authority is preparing proactive communications on these issues. The draft 2020 FFFR commits to a post report analysis in spring 2021 to coincide with the Authority's engineering advancement of VP7. Feasibility and federal interest are not expected to change materially.

The draft 2020 FFFR is supportive of meeting the State's WSIP feasibility requirements. The project is found to feasible in all areas of analysis; economic, financial, technical and environmental. The State's requirements align with these same areas, although the State determination will be looking to confirm its investment in the project which is different than potential federal investment. A benefit cost ratio of 1.1 is determined using the rigorous federal standards. A BCR must exceed 1.0 to qualify for federal investment and the many factors are considered among the storage projects competing for WIIN Act funds.

The draft 2020 FFFR puts Sites on track to meet WIIN deadlines and qualify for federal funding. Two key WIIN criteria are a determination of feasibility by December 2020, and initiation of construction by 2022, although what it means to be in construction has not yet been specified. The draft 2020 FFFR is going through policy review currently and will be sent to Washington DC in July where it will undergo numerous reviews including by OMB and finally reaching the Secretary of Interior who must submit the final determination to Congress. Authority Staff is on point for keeping our delegation informed and will work cooperatively and expeditiously to address questions or concerns that arise through the review process. The Authority delegation will keep abreast of the report as well. Any significant updates will be brought back to the Reservoir Committee and Authority Board.

Prior Reservoir Committee Action:

April 2020 – The organizational assessment response matrix identified two items being addressed by this report – 1) Create a strategy to have Reclamation to the table to describe what the local investment will do for their contracts. 2) Establish a strategy to get state and federal agencies to be storage investors.

Fiscal Impact/Funding Source:

Federal funds have paid 100% of the cost of the draft 2020 FFFR. A portion of the 2019 $6M Appropriation to the Sites project will pay the remaining costs plus the cost of the post report analysis. The federal expenditures count as non-State match toward WSIP early funding.

Staff Contact:

Jerry Brown

Attachments:

None.

Sites

Topic: **Authority Board Agenda Item 3.3** **2020 June 24**

Subject: **Proposed Objectives and Alternatives for the Revised EIR/EIS**

Requested Action:

Review and comment on the objectives and alternatives for the Revised Environmental Impact Report / Environmental Impact Statement (EIR/EIS) to focus efforts in developing a more complete project description on schedule.

Detailed Description/Background:

Staff has begun work on preparation of the revised and recirculated Draft EIR (Revised EIR). As part of this effort, the project objectives and action alternatives are being revised based on the Value Planning Report for the Revised EIR/EIS as described in more detail below and attached.

It is important that the Reservoir Committee and Authority Board review and comment on these recommended revisions at this early stage so staff and the consultant team can focus our efforts on development of more complete descriptions of these alternatives for the Revised EIR/EIS, permitting effort, and feasibility design currently underway. Staff anticipates returning to the Reservoir Committee and Authority Board in September with the Revised EIR/EIS project description, including any changes to the objectives based on initial analysis, a more complete description of the action alternatives, along with a recommendation for a Preferred Project. Staff will be seeking Reservoir Committee and Authority Board approval of this Revised EIR/EIS project description and the recommended Preferred Project in September.

Section 15124(b) of the California Environmental Quality Act (CEQA) Guidelines requires that a project description contain a clear statement of the project objectives including the underlying purpose of the project. Revisions to the Project objectives are needed from those contained in the 2017 Draft EIR/EIS to: (1) better reflect the goals of the Reservoir Committee and Authority Board as reflected in the Value Planning Report along with Sites Project messaging and informational materials adopted by the Authority Board in April 2020; and (2) add additional clarity and specificity on what the Project is focused on achieving to improve understanding of the foundational components that lead to formulation of Project alternatives. The revised objectives are being reviewed by the Ad Hoc Environmental Planning and Permitting Work Group at their June 18 meeting and the outcome of this review, including any changes will be reported at the Reservoir Committee meeting.

The CEQA Guidelines require that an EIR analyze a reasonable range of alternatives to the project which would feasibly attain most of the basic objectives of the project but would avoid or substantially lessen any of the significant effects of the project. Staff is recommending revisions to the Project

Draft_0028550

action alternatives from those contained in the 2017 Draft EIR/EIS to better align with the Value Planning Report and the alternatives contained therein. Two action alternatives are being recommended that combine components of VP5, VP6, and VP7 from the Value Planning Report to simplify the EIR and streamline its preparation and review by the public. The recommended revised action alternatives are being reviewed by the Ad Hoc Environmental Planning and Permitting Work Group at their June 18 meeting and the outcome of this review, including any changes will be reported at the Reservoir Committee meeting.

The recommended revisions to the project objectives and the action alternatives are within the scope allowed by CEQA to complete a Revised EIR and do not trigger any new process. Staff is working with Legal Counsel on the recommended revisions and Legal Counsel will continue to be involved in the development of the project description and Revised EIR.

Staff is coordinating the Revised EIR with similar changes Reclamation is or will be making to the EIS document.

Prior Action:

April 2020 Board Meeting - The Authority Board approved the Sites Project Value Planning Alternatives Appraisal Report (Value Planning Report) and the recommendations contained in that Report. The Value Planning Report included substantial changes in the recommended Project, VP7, as compared to Alternative D in the 2017 Draft EIR/EIS. At the same meeting, the Authority Board directed staff to begin preparation of a Revised EIR to analyze the environmental effects of the options identified in the Value Planning Report.

Fiscal Impact/Funding Source:

Development of the recommended project objectives and action alternatives is within the Amendment 1B work plan currently and sufficient funds are available to complete the project description. Completing the Revised EIR is part of the Amendment 2 work plan

Staff Contact:

Ali Forsythe

Attachments:

Attachment A - Recommended Revised EIR Objectives.
Attachment B - Recommended Revised EIR/EIS Alternatives.

Sites Reservoir Project
Preliminary Revised CEQA Objectives
June 12, 2020

- Improve environmental, agricultural, and municipal water supply reliability in a cost-effective manner for Project Participants;
- Improve cold water pool management in Shasta Reservoir through coordination and exchanges with Reclamation to benefit anadromous fish;
- Enhance the Delta ecosystem by providing water to convey food resources from the floodplain to the Delta thereby improving the food chain and quality of the Delta's estuarine habitat for the benefit of pelagic fishes[1] in the north Delta (e.g. Cache Slough);
- Provide improvements in state-wide water supply reliability to enhance opportunities for fish protection, habitat management, and other environmental needs; and
- Provide local and regional amenities, including developing recreational facilities, reducing local flood damage, and maintaining community connectivity through roadway modifications.

[1] Pelagic fish are species that spend most of their life swimming in the water column, having little contact or dependency with the bottom.

Sites Reservoir Project
Preliminary Revised Draft EIR/EIS Alternatives
June 12, 2020

Facilities/Operations	Action Alternative 1 (Derived from VP7)	Action Alternative 2 (Includes Parts of VP5 and VP6)
Diversion/Reservoir Infrastructure Details		
Reservoir Size	1.5 MAF	1.3 MAF
Dams [Scaled to the size of the reservoir]	Two main dams (Golden Gate and Sites) and 8 or 9 saddle dams (to be determined in design)	Two main dams and 7 saddle dams
Spillway	One spillway, design and location to be determined	Similar to Alternative 1*
Funks Reservoir and Funks Pumping Generating Plant	Pumping from the existing Funks Reservoir to Sites Reservoir through new Funks Pumping and Generating Plant and new Funks pipeline; operation will be modified and Funks Reservoir may be dredged. Footprint as described in Value Planning Report.	Similar to Alternative 1
Terminal Regulating Reservoir (TRR); TRR Pumping Generating Plant; TRR Pipeline	Pumping from the GCID Main Canal to Sites Reservoir requires construction of the TRR facilities; footprint as described in Value Planning Report	Same as Alternative 1
Holthouse Reservoir/Fletcher	Eliminated facilities from 2017 Draft EIR/EIS and pumpback generation	Same as Alternative 1
Hydropower	Power generation incidental upon release	Same as Alternative 1
Diversion(s)	Diversion from Sacramento River into exiting Tehama-Colusa Canal at Red Bluff and the existing GCID Main Canal at Hamilton City Adding 2 pumps in existing bays at the plant at Red Bluff	Same as Alternative 1
Emergency Release Flow [Function of the size of the reservoir]	1. Releases into Funks and Stone Corral creeks; and 2. Emergency outflow works pipeline and structure to release north to Hunters Creek Watershed	Similar to Alternative 1*

Sites Reservoir Project
Preliminary Revised Draft EIR/EIS Alternatives
June 12, 2020

Facilities/Operations	Action Alternative 1 (Derived from VP7)	Action Alternative 2 (Includes Parts of VP5 and VP6)
Flood Control	Flood damage reduction benefit for local watersheds from reservoir storage	Same as Alternative 1
Reservoir Management	Reservoir Management Plan (To be developed)	Same as Alternative 1
Electrical Facilities	Transmission Lines, Substations, Switchyards; Interconnection with WAPA or PG&E	Same as Alternative 1
Operations		
One Operational Criteria	Option based on Value Planning Report Table 3.1 Scenario B but anticipated to be modified by future modeling efforts	Same as Alternative 1
Reclamation Involvement	Two Options: 1. Funding Partner 2. Operational Exchanges a. Within Year Exchanges b. Real-time Exchanges	Same as Alternative 1
State Water Project (SWP) Involvement	Operational Exchanges with Oroville and storage in SWP facilities South-of-Delta	Same as Alternative 1
Bypass Releases		
Bypass Releases into Funks Creek and Stone Corral Creek	Develop specific bypass criteria to protect downstream water right holders and ecological function	Same as Alternative 1
Conveyance Release		
Dunnigan Release	Release 1,000 cfs into new pipeline to Colusa Basin Drain	Release into new pipeline to Sacramento River, partial release into the Colusa Basin Drain to fulfill the Proposition 1 needs

Sites Reservoir Project
Preliminary Revised Draft EIR/EIS Alternatives
June 12, 2020

Facilities/Operations	Action Alternative 1 (Derived from VP7)	Action Alternative 2 (Includes Parts of VP5 and VP6)
Recreation		
Facilities Consistent with WSIP Application	Phased implementation consistent with WSIP application. Two primary areas with infrastructure: 1. Peninsula Hills Area 2. Stone Corral Creek One day-use boat ramp w/parking located on the west side of the reservoir and south of the bridge	Same as Alternative 1
Transportation/Circulation		
Provide Route to West Side of Reservoir	Bridge and road to residents at the south end of reservoir (does not go to Lodoga)	Road to south residents continues to Lodoga; no bridge
Other Maintenance and Access Roads	Sites Reservoir Road Modifications Plan – In development	Similar to Alternative 1*

* May have minor differences due to reservoir size

Sites

Topic: **Authority Board Agenda Item 3.4** **2020 June 24**

Subject: **Approach for Discussions with the California Department of Water Resources**

Requested Action:

Review and comment on the approach for discussions with the California Department of Water Resources (DWR) on a Sites Coordinated Operations Agreement (Sites COA).

Detailed Description/Background:

Staff is reinitiating technical discussions with DWR regarding a Sites COA. The Sites COA is expected to address the following key items:

1. Coordination of Sites water deliveries with DWR's operation of the State Water Project.
2. Sites water accounting in the context of the Coordinated Operations Agreement for the Central Valley Project (CVP) and State Water Project (SWP).
3. Conveyance and operational losses.
4. Exchanges and transfers from Sites-participants to non-Sites SWP contractors.
5. Water rights and point of delivery considerations.

Key items may be modified, and additional items may be added as discussions progress.

Discussions have been technical in nature to date, focusing on technical analyses that would benefit both Sites and DWR in better understanding the key items above. Eventually, the discussions will involve negotiations of agreement terms.

Staff and DWR team members have developed the attached schedule of key activities. Principles of agreement on the key items identified above are scheduled for completion in June 2021. Completion of a full agreement would progress after the principles of agreement, but execution may need to wait until the Project California Environmental Quality Act process is completed in spring 2022. The schedule identifies key points for check-ins with DWR's Director and Sites leadership to ensure that activities are progressing, and issues are being addressed in a timely manner.

Staff has been and will continue coordinating our efforts on a COA with the Sites participants that are also SWP contractors. There are several SWP contract matters that will need to be resolved between this group and DWR concurrent

Draft_0028556

with the development of the Sites COA. WE do not anticipate any conflicts, but It is important that the RC members be kept apprised of these side by side and ongoing discussions.

Prior Action:

None.

Fiscal Impact/Funding Source:

The technical work and negotiations efforts are included in the Amendment 1B and 2 work plans.

Staff Contact:

Ali Forsythe

Attachments:

Attachment A - Sites / DWR Term Sheet Development Schedule.

Sites Reservoir Project Schedule

Milestone	Scheduled Date
For EIR Analysis • Establish modeled Release and Diversion Criteria • Define Project Baseline and Alternatives	June 2020
Perform Operations Analysis	Jun 2020-Nov 2020
Re-analyze Public Benefits	Nov 2020- Apr 2021
Complete USBR Final Federal Feasibility Report	Dec 2020
Complete Negotiation of Key Terms for Coordinated Operations with CVP and SWP	June 2021
Submit State Prop 1 Feasibility Report	July2021
Release Recirculated Draft EIR for 60-day public review	July 2021
Complete Plan of Finance and Allocation of Benefits and Costs	Aug 2021
Receive confirmation of local agency participation for Prop 1	Oct 2021
CWC determination of Prop 1 Construction Funds Eligibility	Dec 2021
Submit Water Rights Application to SWRCB	Jan 2022
Issue Final EIR	Mar 2022

DWR/Sites Term Sheet Development Schedule

(Jointly involve Ted Craddock and DWR Legal (David Sandino) as we go, no surprises)

Milestone	Date
Meet with Sites/SWP ktrs after Reservoir Cmte to outline approach	June 18, 2020
Meet to Review Operations Options with DWR/ Sites team/Sites SWP ktrs - Project water (DWR has to own, operate, maintain an asset, can be a service contract) - Operational exchange (similar to arrangement with CVP) - Article 55 (lowest pumping priority)	July 15, 2020 (date tdb)
DWR Director Check-In	**Aug 2020**
Conduct Operations Analysis to prove: - Can water be delivered - No harm - SWP flexibility - Sites can be on Statement of charges (tbd – who performs modeling)	Aug-Nov 2020 (4 months)
DWR Director Check-in	**Nov 2020**
Determine final coordinated operations model results	Nov 2020
Establish initial term sheet reflecting final model results and initiate negotiation of commercial terms	Dec 2020
DWR Director Check-in	**Mar 2021**
Final COA term sheet complete	June 2021

version date: May 15, 2020

Sites

Topic: **Authority Board Agenda Item 3.5** **2020 June 24**

Subject: **Colusa Basin Drain & Dunnigan Pipeline Alignment**

Requested Action:

Receive status update on the Colusa Basin Drain (CBD) evaluation and Dunnigan Pipeline (DP) alignment review.

Detailed Description/Background:

The use of the CBD and DP as the main conveyance for releases to the Sacramento River is a new configuration of the project as a result of the Value Planning. Good progress has been made on further evaluating the feasibility of these project elements.

The Dunnigan Pipeline alignment analysis is progressing well. No fatal flaws have been identified to date. The consultant team is currently refining the alignment to reduce impacts to properties and landowner operations, while minimizing environmental impacts to Bird Creek. Initial outreach and coordination have occurred with landowners and the land use authorities. So far, there have been significant issues identified from these groups.

An important hydraulic study of the CBD has been initiated. This analysis will confirm whether releases into the CBD are viable. The team is optimistic that conveyance concerns and potential impacts to adjacent properties can be avoided or mitigated. We will be able to determine go/no-go on releases to the CBD by August 2020. If use of the CBD is found to be infeasible, an alternative extending the Dunnigan Pipeline alignment to the river still exists. This extension will have additional environmental and real estate impacts but is considered a feasible alternative.

Prior Action:

None.

Fiscal Impact/Funding Source:

The feasibility analysis is being done within the Amendment 1B work plan. Adjustments to the work plan were necessary to accomplish the hydraulic modeling which is estimated to cost approximately $39,000.

Staff Contact:

Henry Luu

Attachments:

None.

Draft_0028559

Sites

Topic: **Authority Board Agenda Item 3.6** **2020 June 24**

Subject: **Regulatory Agency Technical Working Group**

Requested Action:

Receive status update on the approach for Regulatory Agency Technical Working Group.

Detailed Description/Background:

Staff have reinitiated efforts on the development and submittal of key permits for the Sites Project as reflected in the Amendment 1B Work Plan. As part of this effort, the team has developed an approach to facilitate regular communication and coordination with state, federal and local agencies with jurisdiction over all or portions of the Project. The attached, *Regulatory Agency Technical Working Groups Approach* document, details this effort. This approach is also in response to Action 2.4 in the November 2019 Organizational Assessment. The activities of the regulatory coordination will be reported to the Environmental Planning and Permitting Workgroup.

Prior Action:

None.

Fiscal Impact/Funding Source:

Conducting regulatory coordination is within the scope of work for the Amendment 1B and 2 work plans.

Staff Contact:

Ali Forsythe

Attachments:

Attachment A - Regulatory Agency Technical Working Groups Approach.

Regulatory Agency Technical Working Groups Approach

The following two technical working groups will be established to facilitate regular communication and coordination with state, federal and local agencies during the permitting efforts for the Sites Reservoir Project. These groups are expected to be in place thru Amendment 2 efforts (e.g., the end of 2021) and likely into Phase 3 efforts (post 2021). Additional technical working groups may be added as permitting activities progress depending on need. It is important to note that these groups are not intended to replace or supersede focused discussions and meetings with specific agencies, but rather facilitate a common understanding and basis of Project-wide and Fisheries/Operations knowledge and dialogue. These would be staff and consultant run meetings. Reservoir Committee and Authority Board members could attend to observe if desired. Results of the meetings will be reported out to the appropriate Reservoir Committee and Authority Board workgroups.

Group 1: Interagency General Update and Coordination Meetings

- **Purpose**: Quarterly meetings to efficiently update and coordinate with all and state, federal and local regulatory and/or partnering agencies. Items to be include in the meeting agenda would include general updates on project status, design efforts, status of permit development, status of working thru any key considerations that may affect all permits and upcoming items. Attendees would also be asked to update on permit processing status (if a permit application has been submitted and permit development is in process), update on any changes in their organizations, law or regulation that may affect Sites and identify any information needs or concerns they may have. Specific items, information requests or concerns would be identified, and a process developed for resolution with the relevant agencies and the Sites team.

- **Potential Attendees**: This meeting would be open to any agency that had an interest. Potential attendees would be broad and could include the following:
  - United States Fish and Wildlife Service (USFWS)
  - Bureau of Reclamation (Reclamation)
  - Bureau of Indian Affairs (BIA)
  - National Marine Fisheries Service (NMFS)
  - Natural Resources Conservation Service (NRCS)
  - United States Army Corps of Engineers (USACE)
  - United States Environmental Protection Agency (USEPA)
  - Western Area Power Administration (WAPA)
  - California Department of Fish and Wildlife (CDFW)
  - California Department of Transportation (Caltrans)

- California Department of Water Resources (DWR)
- California Office of Historic Preservation - State Historic Preservation Officer (SHPO)
- California State Water Resources Control Board
- Central Valley Regional Water Quality Control Board
- Central Valley Flood Protection Board
- County of Colusa
- County of Glenn
- County of Yolo

Group 2: Fishery and Operations Technical Meetings

- **Purpose**: Focused, as needed, fishery and operations meetings to review and discuss the modeling approach, analysis approach, operational criteria and the resulting effects to species of the Project. These meetings are intended to stimulate collaboration and early input into the fisheries and operational components and analysis and are anticipated to include technical, detailed discussion of topics.

- **Potential Attendees**: Potential attendees could include the following:
  - United States Fish and Wildlife Service (USFWS)
  - Bureau of Reclamation (Reclamation)
  - National Marine Fisheries Service (NMFS)
  - California Department of Fish and Wildlife (CDFW)
  - Additional agencies, depending on topics and authority

Topic: **Sites Reservoir Project, Phase 2**

Subject: **Monthly Status Report** Report Period: **2020 May**

Monthly Status Summary:

The development of the Sites Project continues to make progress in the critical areas including the following activities:

WSIP Funding:

- A six-agency letter was submitted to the CWC requesting consideration of modifications to the Prop 1 WSIP implementation in light of COVID economic impacts. Commission Staff will reach out to each project to explore options for addressing cash flow concerns.

WIIN Act Funding:

- See "Coordination with Reclamation" section below.

USDA Funding:

- No update.

Engineering:

- Initiated feasibility analysis and design of project alternatives.
- Coordinated data needs to support preparation of the Project Description.

Coordination with Reclamation:

- Continued to work closely with Reclamation to provide the information needed to enter into a Financial Assistance Agreement for WIIN Act funding. Discussed the potential scope for $1 million of in-kind work.
- Received and reviewed Reclamation's final draft Feasibility Report. Provided comments related to integrating the Feasibility Study with the Value Planning Report and recommended Project.
- Continued to work with Reclamation planning and environmental teams on the National Environmental Policy Act approach, confirming the preparation of a Revised Environmental Impact Statement (EIS).

Environmental Planning and Permitting:

- Continued development of the project description for use in the Revised Environmental Impact Report (EIR) and the Biological Assessment.
- Continued to refine the EIR/EIS Work Plan submitted by ICF to identify technical work (environmental, operations and engineering) that will be needed to support the analysis performed for the Revised Draft EIR/EIS under Amendment 2.
- Updating CEQA project objectives to support alternatives selected for Revised

Draft EIR/EIS analysis.

- Produced memorandum on Place of Use for the Project's water right application.
- Developed approach to permit and agreement negotiations for Environmental Planning, Permitting and Operations.
- Developed approach for Regulatory Agency Technical Working Group.
- Established data exchange, water quality and geotechnical small technical working groups to facilitate development of those project components for use in the Revised EIR/EIS, Biological Assessment and Sites Feasibility Report.
- Continued technical discussions and planning activities for state and federal ESA and Clean Water Act permitting.
- Conducted meeting with CDFW Department Director regarding the value planning and "rightsized" project. There will be follow-up with CDFW staff next month to determine a coordinated schedule for the permitting work.

Operations:

- Developed negotiations approach for working on operational agreements with the Department of Water Resources and the Bureau of Reclamation.
- Reviewed options for the modeling baseline and developed a technical memorandum outlining pros and cons of various baselines as well a recommendation for review with the Ad Hoc Operations and Engineering Workgroup.
- Progressed the development of operations criteria that will be built into the models for use in the Revised EIR/EIS and Biological Assessment. Criteria in development include the modeling of Shasta exchanges, diversion criteria, water demand, and coordination with the State Water Project.

Stakeholder Engagement, Public Outreach & Real Estate:

- Conducted a "virtual" Capitol Hill Legislative Day to brief federal elected officials, legislative staff, and committee staff on the new "rightsized" project and the project's near-term activities.
- Continued engagement in outreach efforts with landowners, local community members, state and federal elected officials, non-governmental organizations and coalitions of regional and statewide organizations to introduce the Value Planning Report and the new "rightsized" project.
- Responded to landowner requests for project information, facilitated coordination activities with local government agencies and organizations and planned for future landowner, stakeholders and general public outreach activities and events.

Program Management & Administration:

- Developed Amendment 2 Task Order templates and provided guidance to service area providers.
- Developed a two-step cash call process and presented priority system at Reservoir Committee and Authority Board Meetings.

Draft_0028564

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JERRY BROWN, EXECUTIVE DIRECTOR
925.260.7417

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members *(NON-VOTING)*

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

Notice: Pursuant to Executive Orders N-25-20 and N-33-20, issued by Governor Newsom on March 12, 2020, and guidance by the California Department of Public Health dated March 11, 2020, this meeting will be conducted by teleconference. The public may attend the meeting and offer public comments by phone, using the call-in number above. Members of the Committee will participate by teleconference from other locations. In addition, the public and members of the Committee may, at their discretion, attend the meeting at the Sites Project Authority's Maxwell Office located at 122 Old Highway 99 West, Maxwell, CA 95955. However, it is requested that everyone wear facial coverings and the room will be arranged to achieve physical distancing standards, and capacity at this site is therefore limited and will not be sufficient to accommodate more than a few members of the public.

July 22, 2020 ***1:30 p.m.***
Sites Project Authority
Agenda

Teleconference: **1-408-418-9388** Code: **146 087 0032** WebEx Link

Welcome to a meeting of the Sites Joint Powers Authority. If you are scheduled to address the Board, please state your full name for the record. Regularly numbered items may be considered at any time during the meeting. All items are listed in accordance with the Ralph M. Brown Act. We invite all members of the public to attend.

ROLL CALL & CALL TO ORDER:

- Introductions.
- Pledge of Allegiance.
- Approval of July 22, 2020 Agenda.
- Announcement of Closed Session.
- Period for Public Comment.

1. Consent Agenda: Approximate start time 1:40 pm

The following items have been reviewed by the Executive Director. To his knowledge, there is no opposition to the action. The items can be acted on in one consolidated motion as recommended or may be removed from the Consent Calendar and separately considered at the request of any person.

1.1 Consider approval of the June 24, 2020 Phase 2 Authority Board Meeting Minutes.

1.2 Consider acceptance of the Sites Project Authority Treasurer's Report as presented in Attachment 1.2A. **(Attachment A)**

1.3 Consider approval of the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B. **(Attachments A, B & C)**

1.4 Consider approval of Resolution No. 2020-03, providing all of the duties, authorities and responsibilities to the Authority's Executive Director that were previously delegated to the Authority's General Manager. **(Attachment A)**

1.5 Consider approval of the revised changes to the Authority Consulting Services Agreement. **(Attachment A)**

1.6 Consider approval of the Coordination, Legislative Outreach, Land Management and Joint Budget and Finance Workgroups Charter Document updates. **(Attachment A & B)**

1.7 Consider approval of an amendment to Brown and Caldwell's (Project Controls) contract and task order scope of work and budget. **(Attachment A)**

2. Action Items: Approximate start time 1:45 pm

2.1 Consider acceptance the Financial Auditor's Report. **(Attachments A & B)**

2.2 Consider approval of release of a Request for Qualifications to initiate the procurement of legal services to support the acquisition of the water rights permits and approvals needed to support the project. **(Attachment A)**

3. Discussion and Information Items: Approximate start time 2:15 pm

3.1 Review and comment on the conditionally approved August 2019 Water Storage Policy and consideration of updates to align with Value Planning. Recognize the storage policy serves as the foundational document to 2021 key milestones of creating a Plan of Finance and Operations Plan and refer consideration of updates to the ad hoc Engineering and Operations Workgroup. **(Attachment A)**

3.2 Review and comment on the approach being taken for the modeling baseline for the EIR/EIS analysis.

3.3 Review and comment on the Credit Reimbursement Policy Revisions. **(Attachments A & B)**

3.4 Receive status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation. **(Attachment A)**

3.5 Review and comment on the July 2020 Quarterly Update of the plan and schedule for addressing the Organizational Assessment findings and recommendations. **(Attachment A)**

3.6 Review and comment on the Strategic Planning Session Draft Agenda. **(Attachment A)**

4. Reports: Approximate start time 3:00 pm

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

4.1.2 Committee Chairpersons' Report:

This time is set aside to allow the Committee Chairpersons' an opportunity to disclose/discuss items related to the Sites Project.

4.1.3 Authority Board Participant Reports:

This time is set aside to allow Directors or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

4.2 Executive Director's Report:

- Sites Project's monthly status report. **(Attachment 4-2 A)**
- Reservoir Committee update.

5. Closed Session

5.1 Executive Director's Three-Month Performance Review (Govt. Code§ 54954.5(e)).

6. Future Meetings and Schedules: Approximate start time 3:40 pm

6.1 Suggested Future Agenda Items.

6.2 Upcoming meetings:

STRATEGIC PLANNING SESSION

AUGUST 13, 2020
TBD
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

RESERVOIR COMMITTEE

FRIDAY, AUGUST 21, 2020 9:00 AM
Sites Project Office, 122 Old Highway 99W Maxwell, CA 95955
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

AUTHORITY BOARD

WEDNESDAY, AUGUST 26, 2020 1:30PM
Sites Project Office
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

ADJOURN

PERIOD OF PUBLIC COMMENT: Any person may speak about any subject of concern, provided it is within the jurisdiction of the Directors and is not already on today's agenda. The total amount of time allotted for receiving such public communication shall be limited to a total of 15 minutes per issue and each individual or group will be limited to no more than 5 minutes each within the 15 minutes allocated per issue. ***Note:*** *No action shall be taken on comments made under this comment period.*

ADA COMPLIANCE: Upon request, agendas will be made available in alternative formats to accommodate persons with disabilities. In addition, any person with a disability who requires a modification or accommodation to participate or attend this meeting may request necessary accommodation. Please make your request to the Board Clerk, specifying your disability, the format in which you would like to receive this Agenda and any other accommodation required no later than 24 hours prior to the start of the meeting.

All supporting documentation is available for public inspection and review in the Sites Project Authority office located at 122 Old Highway 99 West Maxwell, CA 95955 during regular business hours 8:30 a.m. to 5:00 p.m., Monday through Friday.

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JERRY BROWN, EXECUTIVE DIRECTOR
925.260.7417

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members *(NON-VOTING)*

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

June 24, 2020 ***1:30 p.m.***
Sites Project Authority
Minutes

CALL TO ORDER & ROLL CALL:

Chairman Durst called the Sites Project Authority Board of Directors meeting to order at the hour of 1:30 p.m., followed by the Pledge of Allegiance.

INTRODUCTIONS:

Chairman Durst asked those present to introduce themselves. (**Attachment A**)

AGENDA APPROVAL:

It was moved by Director Dennis, seconded by Director Parker to approve the June 24, 2020 Sites Project Authority Agenda. Motion carried: All Directors present voted yes.

PERIOD OF PUBLIC COMMENT:

Chairman Durst called for public comment. Hearing none, he closed the period of public comment.

1. **CONSENT AGENDA:** It was moved by Director Harris, seconded by Director Dennis to approve Consent Agenda Item Numbers 1.1 through 1.3 as follows:

1.1 Approval of the May 21, 2020 Phase 2 Reservoir Committee Meeting Minutes.

1.2 Acceptance of the Sites Project Authority Treasurer's Report as presented in Attachment 1.2A.

1.3 Approval of the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B.

Motion carried: All Directors present voted yes.

2. Action Items:

2.1 Consider acceptance of the 2020-2021 Sites Project State Agency Legislative Activity Priorities.

Mr. Spesert provided an overview of the 2020-2021 Sites Project State Agency Legislative Activities Priorities, stating these priorities would be the Committee's and Team's focus through December 2021. He added any adjustments/refinements would be presented to the Reservoir Committee and Authority Board prior to being incorporated, with updates as needed. Brief discussion followed.

Action: It moved by Director Evans, seconded by Director Harris to accept the 2020-2021 Sites Project State Agency Legislative Activity Priorities. Motion carried: All Directors present voted yes.

2.2 Consider approval of the Authority's negotiation approach for permits and operating agreements.

Ms. Forsythe provided an overview of the Authority's negotiation approach for permits and operating agreements with state and federal agencies.

Chairman Durst stated the Executive Director would be given the authority as the lead in these negotiations, with two teams working under him, the Workgroup which would deal with technical issues and the Negotiating Team who would evolve some of the principles. Brief discussion followed.

Action: It was moved by Director Sutton, seconded by Director Dennis to approve the Authority's negotiation approach for permits and operating agreements. Motion carried: All Directors present voted yes.

3. Discussion and Information Items:

3.1 Receive status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation. **(Attachment A, B & C)**

Mr. Robinette provided an update regarding the following:

- Preliminary Participation Levels.
- Outreach and Rebalancing.
- Schedule.
- Cash Call Timing.
- Process for a participant securing a position in the participation queue and steps involved.

Mr. Robinette also spoke to a request from Colusa County regarding an alternate payment plan based on their unique situation. He stated the alternate payment plan proposed by the County was analyzed by the Project team and the Team determined that it was prudent and reasonable to accept the alternate payment plan and made a finding of equivalency for the following reasons:

- The same total dollars are to be paid and there is no lesser commitment as compared to any of the other participating members.
- The timing of the alternate payments is such that on a cash flow basis there is no redirected impacts on other participating members.
- The administrative burden of the alternate plan is offset by the proposed additional year of rent relief for the Authority's use of the Maxwell office building which is owned by the County.

Discussion followed with no action taken.

3.2 Receive status update on draft 2020 Final Federal Feasibility Report.

Mr. Brown provided an update on the draft 2020 Final Federal Feasibility (FFFR) Report, stating the approved 2020-21 Sites Federal Government Affairs Priorities includes completion of the FFFR to qualify for federal funding and serves as a basis for the State's feasibility analysis required for WSIP funding. Mr. Brown spoke to the following:

- Potential benefits for north and south of delta, new supplies for incremental level 4 refuges and improvement for migrating fish in north of delta rivers and streams.
- WIIN Act construction funds.
- Differences between the draft 2020 FFFR and VP7 (the Authority's preferred alternative).
- "Right sized" Project.
- WIIN Act deadlines.
- Benefit cost ratio.
- Key WIIN Act criteria (determination of feasibility by December 2020 and initiation of construction by 2022.
- Feasibility Report Review Process.

Mr. Brown stated the draft 2020 FFR is currently going through policy review and will be sent to Washington DC in July where it will undergo numerous reviews by OMB and the Secretary of Interior who will then submit the final determination to Congress. Discussion followed with no action taken.

3.3 Review and Comment on the objectives and alternatives for the Revised Environmental Impact Report/Environmental Impact Statement to focus efforts in developing a more complete project description on schedule. **(Attachment A & B)**

Ms. Forsythe provided an overview on the objectives and alternatives for the Revised Environmental Impact Report/Environmental Impact Statement. She spoke to the following:

Overview:

- Work on the preparation of the Revised EIR/EIS, updates to the Biological Assessment and design efforts.
- Key first step is the development of a Revised Project Description:
  - Serves as the foundation of the Revised EIR/EIS.
  - Preferred Project is foundation of the Biological Assessment and Sites Feasibility Report.
- Soliciting early input to reduce rework and maintain schedule.

Building Block to September Decision:

- Environmental Planning and Permitting Key Milestones:
  - September, RC and AB to review and approve.
    - More complete project description.
    - Recommendation on Preferred Project.
- Necessity of staying on schedule.

Objectives – Background:

- CEQA Guidelines – require that a project description contain a clear statement of the project objectives, including the underlying purpose of the Project.
- Staff proposing revisions to objectives to:
  - Better reflect goals of Reservoir Committee and Authority Board as described in the Value Planning Report and messaging documents.
  - Add clarity and specificity to improve understanding of the foundation of the Project.
- Improve environmental, agricultural and municipal water supply reliability in a cost-effective manner for Project Participants.
- Improve cold water pool management in Shasta Reservoir through coordination and exchanges with Reclamation to benefit anadromous fish.
- Enhance the Delta ecosystem by providing water to convey food resources from the floodplain to the Delta thereby improving the food chain and quality of the Delta's estuarine habitat for the benefit of pelagic fishes in the north Delta (e.g. Cache Slough.)

- Provide improvements in state-wide water supply reliability to enhance opportunities for fish protection, habitat management and other environmental needs.
- Provide local and regional amenities, including development of recreational facilities, reduction of local flood damage and maintaining community connectivity through roadway modifications.

Alternatives – Background:

- CEQA Guidelines require that an EIR analyze a reasonable range of alternatives that would:
  - Satisfy and attain most of the basic objectives of the project.
  - Avoid or substantially lessen any of the significant effects of the project.
- Staff proposing revisions to alternatives to better align with Value Planning Report.
- Alternatives – Key Components and Differences of the Facilities/Operations, Action Alternative 1 (Derived from VP7 and Action Alternative 2 (Includes Parts of VP5 and VP6).
- Next Steps:
  - Continue development and refinement of more complete project description, including:
    - Objectives.
    - Action Alternatives.
    - No Action Alternatives.
    - Recommended Preferred Project.
- Return to Reservoir Committee and Authority Board in September with:
  - More complete project description.
  - Recommend Preferred Project for the purpose of the CEQA analysis.
- Coordinate with Reclamation and Sites legal counsel for input.

Discussion followed regarding Alternative 1 and Alternative (Conveyance Release/Dunnigan Release and Route to West side of Reservoir) with no action taken.

3.4 Review and comment on the approach for discussions with the California Department of Water Resources on a Sites Coordinated Operations Agreement. **(Attachment A)**

Mr. Brown stated Staff is reinitiating technical discussion with DWR on a Sites Coordinated Operations Agreement (COA). He stated Sites COA would address the following key items:

1. Coordination of Sites water deliveries with DWR's operation of the State Water Project.
2. Sites water accounting in the context of the COA) for CVP and SWP.
3. Conveyance and operational losses.
4. Exchanges and transfers from Sites-participants to non-Sites SWP contractors.
5. Water rights and point of delivery considerations.

Brief discussion followed with no action taken.

3.5 Receive status update on the Colusa Basin Drain (CBD) evaluation and Dunnigan Pipeline alignment review.

Mr. Luu provided an update on the CBD evaluation and the Dunnigan Pipeline alignment. He stated the Dunnigan Pipeline alignment analysis is progressing well, with no fatal flaws having been identified to date. He stated further the consultant team is refining the alignment to reduce impacts to properties and landowner operations as well as minimizing environmental impacts to Bird Creek. He further stated a hydraulic study of the CBD has been initiated and the analysis would confirm whether releases into the CBD are viable. He said a determination of a go/no-go on releases to the CBD would be made by August 2020. and should the use of the CBD not be feasible an alternative extending the Dunnigan Pipeline alignment to the river would still exist.

Brief discussion followed with no action taken.

3.6 Receive status update on the approach for Regulatory Agency Technical Working Group. **(Attachment A)**

Ms. Forsythe stated staff have reinitiated efforts on the development and submittal of key permits for the Sites Project as reflected in the Amendment 1B Work Plan. She stated two technical working groups would be established to facilitate regular communication and coordination with state, federal and local agencies with jurisdiction over all or portions of the Project.

She stated Group 1: Interagency General Update would meet on a quarterly basis to update and coordinate with all state, federal and local regulatory and/or partnering agencies; Group 2: Fishery and Operations Technical Meetings would focus, as needed on fishery and operations meetings to review and discuss the modeling approach, analysis approach, operational criteria and the resulting effects to species of the Project. She also spoke to Potential Attendees of each of the Technical Working Groups.

Mr. Spesert suggested including local agencies such as the Fire Department, Sheriff's Department, School Districts, etc. Brief discussion followed with no action taken.

4. Reports:

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

Chairman Durst provided a brief update on various matters related to the Sites Project. He also stated Chairman Bettner, Executive Director Brown and he meet on a regular monthly basis.

4.1.2 Workgroup Chairpersons' Report:

Land Management

Director Evans stated a kickoff meeting was held with AECOM and Glenn-Colusa regarding road issues.

Budget & Finance

Ms. Traynham provided and update on the following:

- Audit Report (completed and signed).
- Revised Credit Reimbursement Policy.

Legislative & Outreach

Director Sutton provided a brief update regarding Legislative and Outreach matters.

4.1.3 Reservoir Committee Participant Reports:

None.

4.2 Executive Director's Reports:

- Monthly status report. **(Attachment A)**
- Updated Message Platform.
- Update on concerns raised by M & I Agencies re: 2020 Urban Water Management Plan and added requirement of making findings of reducing reliance on Delta supplies.
- Work conduct of Authority Agents and Consultants re: needs for and responsibilities of conduct within the workplace.
- Federal and State Budgets:
    - WIIN Act Appropriations for fiscal year 2021 announced.
    - Bond funding made it through as related to Sites Project.
- Strategic Planning Session update planned for end of July 2020.

- Communications:
    - Revised Message Platform has been added to the Home Board Package.
    - Town Hall meetings to be held on July 14 and July 15, 2020.
    - Landowner Newsletter re: right sized project has gone out.

Ms. Katz provided an update on outreach to the community and entities interested in the Town Hall meetings.

5. Future Meetings and Schedules:

5.1 Suggested Future Agenda Items.

Mr. Brown provided a brief update of matters to be heard on future Agendas. Brief discussion followed with no action taken.

5.2 Upcoming meetings:

RESERVOIR COMMITTEE

THURSDAY, JULY 16, 2020 1:00 PM
Sites Project Office, 122 Old Highway 99W Maxwell, CA 95955
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

AUTHORITY BOARD

WEDNESDAY, JULY 22, 2020 1:30PM
Sites Project Office, 122 Old Highway 99W Maxwell, CA 95955
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

Chairman Durst adjourned the meeting at 3:35 p.m.

_____ _____
Fritz Durst, Chairman Yolanda Tirado, Board Clerk

Topic: **Sites Reservoir Project, Phase 1** **2020 June 24**

Subject: **Authority Board Meeting Attendance** **1:30 – 4:00 PM**

Current Voting Authority Board Participants:

Participant	✓ Representative	✓ Alternate	Others
Colusa County	☒ Gary Evans	☒ Mike Azevedo	
Colusa County Water District	☐ Joe Marsh	☐ Doug Griffen	
Glenn County	☒ Leigh McDaniel	☐ John Viegas	
Glenn-Colusa Irrigation District	☒ Logan Dennis	☐ Don Bransford	
Reclamation District 108	☒ Fritz Durst	☐ Sean Doherty	
City of Roseville / Placer County Water Agency	☒ Bruce Houdesheldt	☐ Joshua Alpine	
Tehama-Colusa Canal Authority	☒ Jeff Sutton	☐ Halbert Charter	
City of Sacramento / Sacramento County Water Agency	☒ Jeff Harris	☐ Brett Ewart ☐ Ann Sanger	
Westside Water District	☒ Doug Parker	☐ Zach Dennis	

Associate Members (2 non-voting):

Participant	✓ Representative	✓ Alternate	Others
TC-4	☒ Jamie Traynham	☐ Tom Charter ☐ Jason Holley	
Western Canal Water District	☐ Greg Johnson ☒ Ted Trimble	☐ Zach Dennis ☐ Dan Ruiz	

Non-Voting Committee Participants (2):

Participant	✓ Representative/Other	✓ Alternate/Other	
Dept of Water Resources	☐ Rob Cooke	☐ David Sandino	
	☐ Ajay Goyal	☐ Jim Wieking	☐ Dave Arrate
Bureau of Reclamation	☐ Richard Welsh	☒ Don Bader	☐ Chris Duke
	☒ Ryan Davis ☒ Natalie Wolder	☐ Derya Sumer ☐ Mike Dietl ☐ David Van Rijn	☐ Mike Mosley ☐ Shana Kaplan

Authority, Non-Signatory (6):

Participant	✓ *Representative*	✓ *Alternate*	
PCWA	☒ Ed Horton	☐ Ben Barker Darin Reintjes	
Roseville	☐ Sean Bigley	☐ Trevor Joseph ☐ Jason Marks	
Sacramento County WA	☒ Kerry Schmitz	☐ Michael Peterson ☐ William Busath	☒ Michael Grinstead ☒ Dave Underwood

Staff:

✓ *Name*	*Representing*
☒ Brown, Jerry	Sites Project Authority
☒ Forsythe, Ali	Sites Project Authority
☒ Spesert, Kevin	Sites Project Authority

✓ *Name*	*Representing*
☒ Trapasso, Joe	Sites Project Authority
☒ Tirado, Yolanda	Sites Project Authority

Consultants:

✓ *Name*	*Representing*
☐ Alexander, Jeriann	Fugro
☐ Boling, Robert	HDR
☐ Briard, Monique	ICF
☐ Floyd, Kim	Floyd
☐ Herrin, Jeff	AECOM
☒ Heydinger, Erin	HDR
☒ Katz, Sara	Katz & Associates

✓ *Name*	*Representing*
☒ Kuney, Scott	Young Wooldridge
☒ Luu, Henry	HDR
☒ Robinette, JP	Brown & Caldwell
☐ Spranza, John	HDR
☐ Tull, Rob	Ch2m
☒ Warner Herson, Laurie	Phenix

Other Attendees: *(Check box to have email address added to the distribution list)*

Name	*Representing*	*Contact (Phone & E-mail)*
Juleah Cordi	Office of Assemblymember Gallagher	
Conner McDonald	CMD West	
Cathy Westcot	HDR	
Grace Lui		

Sites

Topic: **Authority Board Agenda Item 1.2** **2020 July 22**

Subject: **Treasurer's Report**

Requested Action:

Consider acceptance of the Sites Project Authority Treasurer's Report as presented in Attachment 1-2A.

Detailed Description/Background:

Attachment 1-2A incorporates financial information through June 30, 2020.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: June 2020 Treasurer's Report.

SITES JOINT POWERS AUTHORITY
TREASURER'S REPORT
June 30, 2020

Savings Account-River City Bank				
Beginning Balance	06/01/2020		**$ 2,956,636.65**	
Transfer to General Checking to max benefit			**$ (218,086.79)**	
Interest Earned			**$ 2,232.05**	
River City Bank ICS Savings Account Balance	**06/30/2020**			**$ 2,740,781.91**
General Operating Checking Account-River City Bank				
Beginning Balance	06/01/2020		**$ 1,149,966.02**	
RECEIVED:				
Refund from Sac Public Library-Meeting Expense		$ 450.00		
Interest Earned		$ 945.78		
TOTAL RECEIVED			**$ 1,395.78**	
DISBURSED:				
Bank Fees		$ (157.77)		
Administrative Support		$ (4,189.50)		
Computer Service		$ (1,350.62)		
General Manager		$ (28,551.26)		
Accounting Fees		$ (1,765.00)		
Office Expenses		$ (723.07)		
Professional Fees-Legislative/Regulatory		$ (8,000.00)		
Professional Fees-Communication		$ (35,424.99)		
Professional Fees-Organizatioal Assessment				
Professional Fees-Fed Gov't Affairs Support		$ (15,000.00)		
Professional Fees-Legal Services		$ (5,707.14)		
TOTAL DISBURSED			**$ (100,869.35)**	
Transfer from Savings to max benefit			**$ 218,086.79**	
RCB General Checking Account Balance	**06/30/2020**			**$ 1,268,579.24**
State (WSIP) Fund Checking Account-River City Bank				
Beginning Balance	06/01/2020		**$ 5,744,010.94**	
DISBURSED:				
Bank Wire Fees				
Annual Audit Fees				
Bus/Comm Manager		$ (21,320.00)		
Program Op Manager		$ (28,000.00)		
Professional Fees-Engineering		$ (8,112.77)		
Professional Fees-Cost Development		$ (1,374.00)		
Professional Fees-Bond Counsel		$ (3,970.00)		
Professional Fees-Executive Director Services		$ (33,750.00)		
Professional Fees-Project Controls		$ (116,764.80)		
Professional Fees-Operations/SIM Modeling		$ (97,617.53)		
Professional Fees-EPP Manager		$ (30,000.00)		
Professional Fees-Geotech Eng		$ (11,875.58)		
Professional Fees-Project Integration		$ (168,516.59)		
Professional Fees-Reservoir Operations		$ (3,185.00)		
Professional Fees-Special Legal		$ (971.10)		
Professional Fees-Env/Biological Serv & Permit		$ (96,395.12)		
TOTAL DISBURSED			**$ (621,852.49)**	
RCB State Fund Checking Account Balance	**06/30/2020**			**$ 5,122,158.45**
TOTAL CASH ON HAND	**June 30, 2020**			**$ 9,131,519.60**

Sites Project Joint Powers Authority
Transactions by Account
As of June 30, 2020

Type	Date	Num	Name	Memo	Debit	Credit	Balance
River City ICS Savings							2,956,636.65
General Journal	06/24/2020	6-1C		transfer to max ICS		218,086.79	2,738,549.86
General Journal	06/30/2020	6-3C		rec int fme	2,232.05		2,740,781.91
Total River City ICS Savings					2,232.05	218,086.79	2,740,781.91
River City Operating Account							1,149,966.02
Bill Pmt -Check	06/09/2020	2154	Adept Solutions Inc			1,350.62	1,148,615.40
Bill Pmt -Check	06/09/2020	2155	Dunn Consulting			8,000.00	1,140,615.40
Bill Pmt -Check	06/09/2020	2156	J.C. Watson, Inc			28,551.26	1,112,064.14
Bill Pmt -Check	06/09/2020	2157	Katz and Associates, Inc			35,424.99	1,076,639.15
Bill Pmt -Check	06/09/2020	2158	KCoe Isom, LLP			1,765.00	1,074,874.15
Bill Pmt -Check	06/09/2020	2159	M.R. Cleaning Services			400.00	1,074,474.15
Bill Pmt -Check	06/09/2020	2160	Maximun Pest Control			65.00	1,074,409.15
Bill Pmt -Check	06/09/2020	2161	Mt Shasta Spring Water			30.15	1,074,379.00
Bill Pmt -Check	06/09/2020	2162	Recology Butte Colusa Counties			35.95	1,074,343.05
Bill Pmt -Check	06/09/2020	2163	Rush Personnel Services, Inc			4,189.50	1,070,153.55
Bill Pmt -Check	06/09/2020	2164	The Ferguson Group			15,000.00	1,055,153.55
Bill Pmt -Check	06/09/2020	2165	US Bank			191.97	1,054,961.58
Bill Pmt -Check	06/09/2020	2166	Young Wooldridge LLP			5,707.14	1,049,254.44
Deposit	06/24/2020			Sac Public Library	450.00		1,049,704.44
General Journal	06/24/2020	6-1C		transfer to max ICS	218,086.79		1,267,791.23
General Journal	06/30/2020	6-2C		Bank service fee		157.77	1,267,633.46
General Journal	06/30/2020	6-4C		rec int fme	945.78		1,268,579.24
Total River City Operating Account					219,482.57	100,869.35	1,268,579.24
River City State Fund Checking							5,744,010.94
Bill Pmt -Check	06/09/2020	1052	AECOM Technical Services, Inc.			8,112.77	5,735,898.17
Bill Pmt -Check	06/09/2020	1053	Brown and Caldwell			116,764.80	5,619,133.37
Bill Pmt -Check	06/09/2020	1054	CH2M Hill Engineers, Inc			97,617.53	5,521,515.84
Bill Pmt -Check	06/09/2020	1055	Forsythe Group LLC			30,000.00	5,491,515.84
Bill Pmt -Check	06/09/2020	1056	Fugro USA Land, Inc			11,875.58	5,479,640.26
Bill Pmt -Check	06/09/2020	1057	HDR Engineering Inc			168,516.59	5,311,123.67
Bill Pmt -Check	06/09/2020	1058	ICF Jones & Stokes Inc			96,395.12	5,214,728.55
Bill Pmt -Check	06/09/2020	1059	Larsen Wurzel & Associates, Inc			1,374.00	5,213,354.55
Bill Pmt -Check	06/09/2020	1060	MBK Engineers Inc			3,185.00	5,210,169.55
Bill Pmt -Check	06/09/2020	1061	Perkins Coie LLP			971.10	5,209,198.45
Bill Pmt -Check	06/09/2020	1062	Spesert Consulting			21,320.00	5,187,878.45
Bill Pmt -Check	06/09/2020	1063	Stradling Yocca Carlson & Rauth			3,970.00	5,183,908.45
Bill Pmt -Check	06/09/2020	1064	Trapasso Consulting Services			28,000.00	5,155,908.45
Bill Pmt -Check	06/09/2020	1065	Waterology Consulting			33,750.00	5,122,158.45
Total River City State Fund Checking					0.00	621,852.49	5,122,158.45
US Bank Checking							0.00
Total US Bank Checking							0.00
TOTAL					**221,714.62**	**940,808.63**	**9,131,519.60**

Draft_0028581

Sites Project Joint Powers Authority
Balance Sheet
As of June 30, 2020

	Jun 30, 20	
ASSETS		
Current Assets		
Checking/Savings		
River City ICS Savings		2,740,781.91
River City Operating Account		1,268,579.24
River City State Fund Checking		5,122,158.45
Total Checking/Savings		9,131,519.60
Accounts Receivable		
Accounts Rec Members		
Membership - Authority	68,000.00	
Membership - Reservoir	145,011.80	
Total Accounts Rec Members		213,011.80
Total Accounts Receivable		213,011.80
Total Current Assets		9,344,531.40
TOTAL ASSETS		**9,344,531.40**
LIABILITIES & EQUITY		
Liabilities		
Current Liabilities		
Accounts Payable		
Accounts Payable		1,106,991.94
Total Accounts Payable		1,106,991.94
Total Current Liabilities		1,106,991.94
Long Term Liabilities		
Participant Reimbursement Polic		6,064,652.67
Total Long Term Liabilities		6,064,652.67
Total Liabilities		7,171,644.61
Equity		
Net Assets		1,929,216.58
Retained Earnings		3,969,373.85
Net Income		-3,725,703.64
Total Equity		2,172,886.79
TOTAL LIABILITIES & EQUITY		**9,344,531.40**

Draft_0028582

Sites Project Joint Powers Authority
A/R Aging Summary
As of June 30, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
City of American Canyon	0.00	0.00	0.00	0.00	-4,988.20	-4,988.20
County of Colusa	150,000.00	0.00	0.00	0.00	0.00	150,000.00
County of Glenn	0.00	0.00	0.00	0.00	55,000.00	55,000.00
Yolo County Flood Control	0.00	0.00	0.00	0.00	13,000.00	13,000.00
TOTAL	**150,000.00**	**0.00**	**0.00**	**0.00**	**63,011.80**	**213,011.80**

Sites Project Joint Powers Authority
A/P Aging Summary
As of June 30, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
Adept Solutions Inc	1,011.86	0.00	0.00	0.00	0.00	1,011.86
AECOM Technical Services, Inc.	158,393.74	78,837.14	0.00	0.00	0.00	237,230.88
Brown and Caldwell	98,335.19	0.00	0.00	0.00	0.00	98,335.19
CH2M Hill Engineers, Inc	0.00	206,131.53	0.00	0.00	0.00	206,131.53
Darling H2O Consulting, Inc.	236.25	0.00	0.00	0.00	0.00	236.25
Dunn Consulting	8,000.00	0.00	0.00	0.00	0.00	8,000.00
Fechter & Company CPA	1,275.60	0.00	0.00	0.00	0.00	1,275.60
Forsythe Group LLC	30,251.85	0.00	0.00	0.00	0.00	30,251.85
Fugro USA Land, Inc	13,209.93	0.00	0.00	0.00	0.00	13,209.93
HDR Engineering Inc	202,638.30	0.00	0.00	0.00	0.00	202,638.30
ICF Jones & Stokes Inc	0.00	78,982.06	0.00	0.00	0.00	78,982.06
Katz and Associates, Inc	31,549.15	20,979.99	0.00	0.00	0.00	52,529.14
KCoe Isom, LLP	1,997.00	0.00	0.00	0.00	0.00	1,997.00
Larsen Wurzel & Associates, Inc	13,006.25	0.00	0.00	0.00	0.00	13,006.25
Maximun Pest Control	65.00	0.00	0.00	0.00	0.00	65.00
MBK Engineers Inc	0.00	7,785.75	0.00	0.00	0.00	7,785.75
Montague DeRose and Associates, LLC	0.00	4,568.75	0.00	0.00	0.00	4,568.75
Mt Shasta Spring Water	102.40	0.00	0.00	0.00	0.00	102.40
Perkins Coie LLP	597.60	0.00	0.00	0.00	0.00	597.60
Recology Butte Colusa Counties	35.95	0.00	0.00	0.00	0.00	35.95
Rush Personnel Services, Inc	5,115.60	0.00	0.00	0.00	0.00	5,115.60
Spesert Consulting	21,320.00	0.00	0.00	0.00	0.00	21,320.00
Stradling Yocca Carlson & Rauth	0.00	3,450.00	0.00	0.00	0.00	3,450.00
The Catalyst Group	11,100.88	0.00	0.00	0.00	0.00	11,100.88
The Ferguson Group	0.00	15,000.00	0.00	0.00	0.00	15,000.00
Trapasso Consulting Services	28,000.00	0.00	0.00	0.00	0.00	28,000.00
US Bank	251.13	0.00	0.00	0.00	0.00	251.13
US Postal Service	120.00	0.00	0.00	0.00	0.00	120.00
Waterology Consulting	33,750.00	0.00	0.00	0.00	0.00	33,750.00
William Avery & Associates	0.00	0.00	13,271.36	0.00	11,623.68	24,895.04
Young Wooldridge LLP	5,998.00	0.00	0.00	0.00	0.00	5,998.00
TOTAL	**666,361.68**	**415,735.22**	**13,271.36**	**0.00**	**11,623.68**	**1,106,991.94**

Sites Project Joint Powers Authority
Profit & Loss
June 2020

	Jun 20	Jan - Jun 20
Ordinary Income/Expense		
Income		
Membership Admin/Authority	0.00	505,000.00
Membership Water	150,000.00	150,000.00
Prop 1 Funding	0.00	0.00
Total Income	150,000.00	655,000.00
Gross Profit	150,000.00	655,000.00
Expense		
Accounting Expense	1,997.00	15,961.00
Admin Support Gen Manager	5,115.60	30,627.45
Audit Fees	1,275.60	12,036.00
Bank Service Fees	157.77	1,006.96
General Manager	0.00	186,514.66
Insurance - Property	0.00	250.00
Insurance Liability	0.00	1,604.25
Office Expenses		
Cleaning	0.00	900.00
Misc Office & Operating Exp	-78.87	2,753.42
Pest Control & Maintenance	65.00	390.00
Trash Service	35.95	215.70
Water Expense	102.40	301.10
Total Office Expenses	124.48	4,560.22
Professional Fees		
Bond Counsel	3,450.00	7,420.00
Bus/Comm Manager	21,320.00	130,041.85
Communication	52,529.14	138,060.28
Cost Development Model	13,006.25	15,548.85
Cultural Study	0.00	3,885.55
Engineering & Technical Service	314,554.77	429,073.15
Env/Biological Services	43,590.12	186,461.40
EPP Manager	30,251.85	182,310.94
Executive Director	33,750.00	101,250.00
Federal Government Affairs Supp	15,000.00	90,000.00
General Legal Counsel	5,998.00	49,277.62
Geotechnical Engineering	13,209.93	62,058.45
Legislative/Reg/Strategic	8,000.00	48,000.00
Municipal Advisor	4,568.75	24,568.75
Operations/Simulation Modeling	128,807.64	479,842.28
Organizational Assessment	236.25	29,929.66
Permitting & Agreements	35,391.94	183,622.35
Personel Recruiter	0.00	24,895.04
Program Ops Manager	28,000.00	170,510.24
Project Controls	98,335.19	675,155.11
Project Integration	202,638.30	1,046,628.97
Real Estate	0.00	761.67
Reservoir Operations	7,785.75	27,988.50
Special Legal	597.60	31,971.60
Strategic Planning	11,100.88	11,100.88
Total Professional Fees	1,072,122.36	4,150,363.14
Website, Data, Computer Support	1,011.86	7,695.86
Total Expense	1,081,804.67	4,410,619.54
Net Ordinary Income	-931,804.67	-3,755,619.54
Other Income/Expense		
Other Income		
Interest Income		
Interest Income-River City	3,177.83	29,915.90

Sites Project Joint Powers Authority
Profit & Loss
June 2020

	Jun 20	Jan - Jun 20
Total Interest Income	3,177.83	29,915.90
Total Other Income	3,177.83	29,915.90
Net Other Income	3,177.83	29,915.90
Net Income	-928,626.84	-3,725,703.64

Sites Project Joint Powers Authority
Transaction Detail By Account
June 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Membership Water								
Invoice	06/19/2020	SPA-2020-14	County of Colusa	Sites Reservoir Participation ...	Reservoir		150,000.00	150,000.00
Total Membership Water						0.00	150,000.00	150,000.00
Accounting Expense								
Bill	06/30/2020	KC090345	KCoe Isom, LLP	6/20	Authority	399.40		-399.40
Bill	06/30/2020	KC090345	KCoe Isom, LLP	6/20	Reservoir	1,597.60		-1,997.00
Total Accounting Expense						1,997.00	0.00	-1,997.00
Admin Support Gen Manager								
Bill	06/15/2020	0137562	Rush Personnel Services, ...	6/20	Authority	246.96		-246.96
Bill	06/15/2020	0137562	Rush Personnel Services, ...	6/20	Reservoir	987.84		-1,234.80
Bill	06/15/2020	0137563	Rush Personnel Services, ..	6/20	Authority	282.24		-1,517.04
Bill	06/15/2020	0137563	Rush Personnel Services, ..	6/20	Reservoir	1,128.96		-2,646.00
Bill	06/29/2020	0137791	Rush Personnel Services, ...	6/20	Authority	211.68		-2,857.68
Bill	06/29/2020	0137791	Rush Personnel Services, ...	6/20	Reservoir	846.72		-3,704.40
Bill	06/29/2020	0137792	Rush Personnel Services, ...	6/20	Authority	282.24		-3,986.64
Bill	06/29/2020	0137792	Rush Personnel Services, ...	6/20	Reservoir	1,128.96		-5,115.60
Total Admin Support Gen Manager						5,115.60	0.00	-5,115.60
Audit Fees								
Bill	06/22/2020	Letter	Fechter & Company CPA	6/20	Reservoir	1,275.60		-1,275.60
Total Audit Fees						1,275.60	0.00	-1,275.60
Bank Service Fees								
General J...	06/30/2020	6-2C		Bank service fee	Authority	88.35		-88.35
General J...	06/30/2020	6-2C		Bank service fee	Reservoir	69.42		-157.77
Total Bank Service Fees						157.77	0.00	-157.77
Office Expenses								
Misc Office & Operating Exp								
Deposit	06/24/2020			refund	Reservoir		450.00	450.00
Bill	06/30/2020	Onlin 7/1	US Bank	6/20	Authority	251.13		198.87
Bill	06/30/2020	Letter	US Postal Service	7/20 Box rent	Authority	120.00		78.87
Total Misc Office & Operating Exp						371.13	450.00	78.87
Pest Control & Maintenance								
Bill	06/26/2020	51183	Maximun Pest Control	6/20	Authority	65.00		-65.00
Total Pest Control & Maintenance						65.00	0.00	-65.00
Trash Service								
Bill	06/30/2020	37438579	Recology Butte Colusa Co...	6/20	Authority	35.95		-35.95
Total Trash Service						35.95	0.00	-35.95
Water Expense								
Bill	06/05/2020	401027	Mt Shasta Spring Water	6/20	Authority	30.15		-30.15
Bill	06/26/2020	407049	Mt Shasta Spring Water	6/20	Authority	72.25		-102.40
Total Water Expense						102.40	0.00	-102.40
Total Office Expenses						574.48	450.00	-124.48
Professional Fees								
Bond Counsel								
Bill	06/18/2020	365299-0001	Stradling Yocca Carlson &..	5/20	Reservoir	3,450.00		-3,450.00
Total Bond Counsel						3,450.00	0.00	-3,450.00
Bus/Comm Manager								
Bill	06/30/2020	07-20	Spesert Consulting	6/20	Reservoir	21,320.00		-21,320.00
Total Bus/Comm Manager						21,320.00	0.00	-21,320.00
Communication								
Bill	06/15/2020	413789	Katz and Associates, Inc	5/20 (C)	Authority	11,538.00		-11,538.00
Bill	06/15/2020	413789	Katz and Associates, Inc	5/20 (C)	Reservoir	9,441.99		-20,979.99
Bill	06/30/2020	413822	Katz and Associates, Inc	6/20 (C)	Authority	17,349.28		-38,329.27
Bill	06/30/2020	413822	Katz and Associates, Inc	6/20 (C)	Reservoir	14,199.87		-52,529.14
Total Communication						52,529.14	0.00	-52,529.14
Cost Development Model								
Bill	06/15/2020	1609000-0520	Larsen Wurzel & Associat...	5/20	Reservoir	3,623.50		-3,623.50
Bill	06/30/2020	1609000-0620	Larsen Wurzel & Associat...	6/20	Reservoir	9,382.75		-13,006.25
Total Cost Development Model						13,006.25	0.00	-13,006.25
Engineering & Technical Service								
Bill	06/10/2020	200369389	AECOM Technical Service...	5/20 (Reservoir) (HR)	Reservoir	78,837.14		-78,837.14
Bill	06/17/2020	D3380600-001	CH2M Hill Engineers, Inc	5/20 (Conveyance) (HC)	Reservoir	77,323.89		-156,161.03
Bill	06/30/2020	200378520	AECOM Technical Service...	6/20 (Reservoir) (HR)	Reservoir	157,711.74		-313,872.77
Bill	06/30/2020	200377940	AECOM Technical Service...	6/20	Reservoir	682.00		-314,554.77
Total Engineering & Technical Service						314,554.77	0.00	-314,554.77
Env/Biological Services								
Bill	06/15/2020	0147510	ICF Jones & Stokes Inc	5/20 (Environ.) (E)	Reservoir	43,590.12		-43,590.12

Sites Project Joint Powers Authority
Transaction Detail By Account
June 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Total Env/Biological Services						43,590.12	0.00	-43,590.12
EPP Manager								
Bill	06/25/2020	SPA-202006	Forsythe Group LLC	6/20	Reservoir	30,251.85		-30,251.85
Total EPP Manager						30,251.85	0.00	-30,251.85
Executive Director								
Bill	06/30/2020	3	Waterology Consulting	6/20	Reservoir	33,750.00		-33,750.00
Total Executive Director						33,750.00	0.00	-33,750.00
Federal Government Affairs Supp								
Bill	06/01/2020	0620149	The Ferguson Group	6/20	Authority	7,500.00		-7,500.00
Bill	06/01/2020	0620149	The Ferguson Group	6/20	Reservoir	7,500.00		-15,000.00
Total Federal Government Affairs Supp						15,000.00	0.00	-15,000.00
General Legal Counsel								
Bill	06/30/2020	66804	Young Wooldridge LLP	6/20	Authority	3,353.25		-3,353.25
Bill	06/30/2020	66804	Young Wooldridge LLP	6/20	Reservoir	2,644.75		-5,998.00
Total General Legal Counsel						5,998.00	0.00	-5,998.00
Geotechnical Engineering								
Bill	06/30/2020	04.7219003...	Fugro USA Land, Inc	6/20 (I)	Reservoir	13,209.93		-13,209.93
Total Geotechnical Engineering						13,209.93	0.00	-13,209.93
Legislative/Reg/Strategic								
Bill	06/30/2020	Letter	Dunn Consulting	6/20	Authority	4,000.00		-4,000.00
Bill	06/30/2020	Letter	Dunn Consulting	6/20	Reservoir	4,000.00		-8,000.00
Total Legislative/Reg/Strategic						8,000.00	0.00	-8,000.00
Municipal Advisor								
Bill	06/04/2020	4888SITES	Montague DeRose and As...	4/20	Reservoir	4,568.75		-4,568.75
Total Municipal Advisor						4,568.75	0.00	-4,568.75
Operations/Simulation Modeling								
Bill	06/15/2020	D3205400-014	CH2M Hill Engineers, Inc	5/20 (Operations) (D)	Reservoir	128,807.64		-128,807.64
Total Operations/Simulation Modeling						128,807.64	0.00	-128,807.64
Organizational Assessment								
Bill	06/30/2020	127	Darling H2O Consulting, Inc.	6/20	Authority	236.25		-236.25
Total Organizational Assessment						236.25	0.00	-236.25
Permitting & Agreements								
Bill	06/15/2020	0147511	ICF Jones & Stokes Inc	5/20 (Permitting) (F)	Reservoir	35,391.94		-35,391.94
Total Permitting & Agreements						35,391.94	0.00	-35,391.94
Program Ops Manager								
Bill	06/30/2020	SPA 17-33	Trapasso Consulting Servi...	6/20	Reservoir	28,000.00		-28,000.00
Total Program Ops Manager						28,000.00	0.00	-28,000.00
Project Controls								
Bill	06/30/2020	17376336	Brown and Caldwell	6/20 (B)	Reservoir	98,335.19		-98,335.19
Total Project Controls						98,335.19	0.00	-98,335.19
Project Integration								
Bill	06/30/2020	1200277979	HDR Engineering Inc	6/20 (A)	Reservoir	202,638.30		-202,638.30
Total Project Integration						202,638.30	0.00	-202,638.30
Reservoir Operations								
Bill	06/19/2020	20-05-4941.0	MBK Engineers Inc	5/20	Reservoir	7,785.75		-7,785.75
Total Reservoir Operations						7,785.75	0.00	-7,785.75
Special Legal								
Bill	06/23/2020	6208406	Perkins Coie LLP	5/20	Reservoir	597.60		-597.60
Total Special Legal						597.60	0.00	-597.60
Strategic Planning								
Bill	06/30/2020	492	The Catalyst Group	6/20	Reservoir	11,100.88		-11,100.88
Total Strategic Planning						11,100.88	0.00	-11,100.88
Total Professional Fees						1,072,122.36	0.00	-1,072,122.36
Website, Data, Computer Support								
Bill	06/30/2020	MSP-139657	Adept Solutions Inc	7/20	Authority	152.04		-152.04
Bill	06/30/2020	MSP-139657	Adept Solutions Inc	7/20	Reservoir	608.16		-760.20
Bill	06/30/2020	139699	Adept Solutions Inc	5/20	Authority	50.33		-810.53
Bill	06/30/2020	139699	Adept Solutions Inc	5/20	Reservoir	201.33		-1,011.86
Total Website, Data, Computer Support						1,011.86	0.00	-1,011.86
Interest Income								
Interest Income-River City								

Sites Project Joint Powers Authority
Transaction Detail By Account
June 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
General J...	06/30/2020	6-3C		rec int fme	Reservoir		2,232.05	2,232.05
General J...	06/30/2020	6-4C		rec int fme	Authority		529.64	2,761.69
General J...	06/30/2020	6-4C		rec int fme	Reservoir		416.14	3,177.83
Total Interest Income-River City						0.00	3,177.83	3,177.83
Total Interest Income						0.00	3,177.83	3,177.83
TOTAL						**1,082,254.67**	**153,627.83**	**-928,626.84**

Sites Project Joint Powers Authority
Balance Sheet by Class
As of June 30, 2020

	Authority	Reservoir	TOTAL
ASSETS			
Current Assets			
Checking/Savings			
River City ICS Savings	0.00	2,740,781.91	2,740,781.91
River City Operating Account	708,746.64	559,832.60	1,268,579.24
River City State Fund Checking	0.00	5,122,158.45	5,122,158.45
Total Checking/Savings	708,746.64	8,422,772.96	9,131,519.60
Accounts Receivable			
Accounts Rec Members			
Membership - Authority	68,000.00	0.00	68,000.00
Membership - Reservoir	0.00	145,011.80	145,011.80
Total Accounts Rec Members	68,000.00	145,011.80	213,011.80
Total Accounts Receivable	68,000.00	145,011.80	213,011.80
Total Current Assets	776,746.64	8,567,784.76	9,344,531.40
TOTAL ASSETS	**776,746.64**	**8,567,784.76**	**9,344,531.40**
LIABILITIES & EQUITY			
Liabilities			
Current Liabilities			
Accounts Payable			
Accounts Payable	71,071.19	1,035,920.75	1,106,991.94
Total Accounts Payable	71,071.19	1,035,920.75	1,106,991.94
Total Current Liabilities	71,071.19	1,035,920.75	1,106,991.94
Long Term Liabilities			
Participant Reimbursement Polic	0.00	6,064,652.67	6,064,652.67
Total Long Term Liabilities	0.00	6,064,652.67	6,064,652.67
Total Liabilities	71,071.19	7,100,573.42	7,171,644.61
Equity			
Net Assets	114,674.93	1,814,541.65	1,929,216.58
Retained Earnings	351,952.54	3,617,421.31	3,969,373.85
Net Income	239,047.98	-3,964,751.62	-3,725,703.64
Total Equity	705,675.45	1,467,211.34	2,172,886.79
TOTAL LIABILITIES & EQUITY	**776,746.64**	**8,567,784.76**	**9,344,531.40**

FOR MANAGEMENT PURPOSES ONLY

Sites Project Joint Powers Authority
Profit & Loss by Class
June 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Income			
Membership Water	0.00	150,000.00	150,000.00
Total Income	0.00	150,000.00	150,000.00
Gross Profit	0.00	150,000.00	150,000.00
Expense			
Accounting Expense	399.40	1,597.60	1,997.00
Admin Support Gen Manager	1,023.12	4,092.48	5,115.60
Audit Fees	0.00	1,275.60	1,275.60
Bank Service Fees	88.35	69.42	157.77
Office Expenses			
Misc Office & Operating Exp	371.13	-450.00	-78.87
Pest Control & Maintenance	65.00	0.00	65.00
Trash Service	35.95	0.00	35.95
Water Expense	102.40	0.00	102.40
Total Office Expenses	574.48	-450.00	124.48
Professional Fees			
Bond Counsel	0.00	3,450.00	3,450.00
Bus/Comm Manager	0.00	21,320.00	21,320.00
Communication	28,887.28	23,641.86	52,529.14
Cost Development Model	0.00	13,006.25	13,006.25
Engineering & Technical Service	0.00	314,554.77	314,554.77
Env/Biological Services	0.00	43,590.12	43,590.12
EPP Manager	0.00	30,251.85	30,251.85
Executive Director	0.00	33,750.00	33,750.00
Federal Government Affairs Supp	7,500.00	7,500.00	15,000.00
General Legal Counsel	3,353.25	2,644.75	5,998.00
Geotechnical Engineering	0.00	13,209.93	13,209.93
Legislative/Reg/Strategic	4,000.00	4,000.00	8,000.00
Municipal Advisor	0.00	4,568.75	4,568.75
Operations/Simulation Modeling	0.00	128,807.64	128,807.64
Organizational Assessment	236.25	0.00	236.25
Permitting & Agreements	0.00	35,391.94	35,391.94
Program Ops Manager	0.00	28,000.00	28,000.00
Project Controls	0.00	98,335.19	98,335.19
Project Integration	0.00	202,638.30	202,638.30
Reservoir Operations	0.00	7,785.75	7,785.75
Special Legal	0.00	597.60	597.60
Strategic Planning	0.00	11,100.88	11,100.88
Total Professional Fees	43,976.78	1,028,145.58	1,072,122.36
Website, Data, Computer Support	202.37	809.49	1,011.86
Total Expense	46,264.50	1,035,540.17	1,081,804.67
Net Ordinary Income	-46,264.50	-885,540.17	-931,804.67
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	529.64	2,648.19	3,177.83
Total Interest Income	529.64	2,648.19	3,177.83
Total Other Income	529.64	2,648.19	3,177.83
Net Other Income	529.64	2,648.19	3,177.83
Net Income	**-45,734.86**	**-882,891.98**	**-928,626.84**

FOR MANAGEMENT PURPOSES ONLY

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through June 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Income			
Membership Admin/Authority	505,000.00	0.00	505,000.00
Membership Water	0.00	150,000.00	150,000.00
Prop 1 Funding	0.00	0.00	0.00
Total Income	505,000.00	150,000.00	655,000.00
Gross Profit	505,000.00	150,000.00	655,000.00
Expense			
Accounting Expense	3,192.20	12,768.80	15,961.00
Admin Support Gen Manager	6,125.49	24,501.96	30,627.45
Audit Fees	0.00	12,036.00	12,036.00
Bank Service Fees	441.59	565.37	1,006.96
General Manager	26,752.05	159,762.61	186,514.66
Insurance - Property	200.00	50.00	250.00
Insurance Liability	0.00	1,604.25	1,604.25
Office Expenses			
Cleaning	900.00	0.00	900.00
Misc Office & Operating Exp	1,227.61	1,525.81	2,753.42
Pest Control & Maintenance	390.00	0.00	390.00
Trash Service	215.70	0.00	215.70
Water Expense	301.10	0.00	301.10
Total Office Expenses	3,034.41	1,525.81	4,560.22
Professional Fees			
Bond Counsel	0.00	7,420.00	7,420.00
Bus/Comm Manager	0.00	130,041.85	130,041.85
Communication	80,206.19	57,854.09	138,060.28
Cost Development Model	0.00	15,548.85	15,548.85
Cultural Study	0.00	3,885.55	3,885.55
Engineering & Technical Service	0.00	429,073.15	429,073.15
Env/Biological Services	0.00	186,461.40	186,461.40
EPP Manager	0.00	182,310.94	182,310.94
Executive Director	0.00	101,250.00	101,250.00
Federal Government Affairs Supp	45,000.00	45,000.00	90,000.00
General Legal Counsel	25,133.25	24,144.37	49,277.62
Geotechnical Engineering	0.00	62,058.45	62,058.45
Legislative/Reg/Strategic	24,000.00	24,000.00	48,000.00
Municipal Advisor	0.00	24,568.75	24,568.75
Operations/Simulation Modeling	0.00	479,842.28	479,842.28
Organizational Assessment	29,929.66	0.00	29,929.66
Permitting & Agreements	0.00	183,622.35	183,622.35
Personel Recruiter	24,895.04	0.00	24,895.04
Program Ops Manager	0.00	170,510.24	170,510.24
Project Controls	0.00	675,155.11	675,155.11
Project Integration	0.00	1,046,628.97	1,046,628.97
Real Estate	0.00	761.67	761.67
Reservoir Operations	0.00	27,988.50	27,988.50
Special Legal	0.00	31,971.60	31,971.60
Strategic Planning	0.00	11,100.88	11,100.88
Total Professional Fees	229,164.14	3,921,199.00	4,150,363.14
Website, Data, Computer Support	1,539.17	6,156.69	7,695.86
Total Expense	270,449.05	4,140,170.49	4,410,619.54
Net Ordinary Income	234,550.95	-3,990,170.49	-3,755,619.54
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	4,497.03	25,418.87	29,915.90

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through June 2020

	Authority	Reservoir	TOTAL
Total Interest Income	4,497.03	25,418.87	29,915.90
Total Other Income	4,497.03	25,418.87	29,915.90
Net Other Income	4,497.03	25,418.87	29,915.90
Net Income	**239,047.98**	**-3,964,751.62**	**-3,725,703.64**

Sites

Topic: **Authority Board Agenda Item 1.3** **2020 July 22**

Subject: **Payment of Claims**

Requested Action:

Approve the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B.

Detailed Description/Background:

Attachment A presents the warrants to be drawn against the invoices received by the Sites Project Authority through July 6, 2020.

Attachment B summarizes details of the invoices received through July 6, 2020 and how the incurred costs are allocated between the Authority and Reservoir Committee.

Attachment C presents a budget status report of key consultants' task orders and tasks through the period of performance of the invoices presented in Attachment B.

Prior Action:

None.

Fiscal Impact/Funding Source:

Total Payment of Claims is $1,106,991.94 with $71,071.19 of costs being assigned to the Authority and $1,035,920.75 assigned to the Reservoir Committee.

For the Reservoir Committee assigned amount, $991,634.57 will be paid through the WSIP account and $44,286,18 through the JPA/Sites account as shown in Attachment B.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: July 2020 Report on warrants to be drawn for Payment of Claims.

Attachment B: July 2020 Monthly Consultant and Vendor Invoice table.

Attachment C: July 2020 Key Consultant Budget Status Report.

WARRANTS DRAWN AGAINST
Sites Project Authority- General Fund
July 22, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
2167	07/09/2020	Adept Solutions	IT & Related Services	1,011.86
2168	07/09/2020	Darling H2O	Organizational Assessment	236.25
2169	07/09/2020	Dunn Consulting	Legislative/Regulatory/Strategic Support	8,000.00
2170	07/09/2020	Katz and Associates Inc.	Communications	52,529.14
2171	07/09/2020	K·Coe-Isom, LLP	Accounting	1,997.00
2172	07/09/2020	Maximum Pest Control	Pest Control	65.00
2173	07/09/2020	Mt Shasta Spring Water	Office Water	102.40
2174	07/09/2020	Recology Butte Colusa Counties	Office Trash Pickup	35.95
2175	07/09/2020	Rush Personnel Services Inc.	Administrative Services	5,115.60
2176	07/09/2020	The Ferguson Group	Fed Govt Affairs Support	15,000.00
2177	07/09/2020	U.S. Bank	Misc. Expenses	251.13
2178	07/09/2020	US Postal Service	Box Fee	120.00
2179	07/09/2020	William Avery & Associates	Personnel Recruiter	24,895.04
2180	07/09/2020	Young Wooldridge LLP	Legal Counsel	5,998.00

THE FOREGOING CLAIM, NUMBERED 2167-2180 ARE APPLIED TO THE GENERAL FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **115,357.37**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

WARRANTS DRAWN AGAINST
Sites Project Authority-WSIP Fund
July 22, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
1066	07/09/2020	AECOM Inc.	Engineering	237,230.88
1067	07/09/2020	Brown and Caldwell	Project Controls	98,335.19
1068	07/09/2020	CH2M	Operations/SIM Modeling	206,131.53
1069	07/09/2020	Fechter & Company CPA	Accounting	1,275.60
1070	07/09/2020	Forsythe Group LLC	EPP Manager	30,251.85
1071	07/09/2020	Fugro USA Land, Inc.	Geotechnical Engineering Services	13,209.93
1072	07/09/2020	HDR Engineering Inc.	Project Integration	202,638.30
1073	07/09/2020	ICF Jones & Stokes Inc.	Env/Biological Services & Permitting	78,982.06
1074	07/09/2020	Larsen Wurzel & Associates, Inc.	Cost Development	13,006.25
1075	07/09/2020	MBK Engineers Inc.	Reservoir Operations	7,785.75
1076	07/09/2020	Montague DeRose and Associates, LLC	Municipal Advisor	4,568.75
1077	07/09/2020	Perkins Coie, LLP	Special Legal	597.60
1078	07/09/2020	Spesert Consulting	Bus/Comm Manager	21,320.00
1079	07/09/2020	Stradling Yocca Carlson & Rauth	Bond Counsel	3,450.00
1080	07/09/2020	The Catalyst Group	Strategic Planning Services	11,100.88
1081	07/09/2020	Trapasso Consulting Services	Program Operations Manager	28,000.00
1082	07/09/2020	Waterology Consulting	Executive Director Services	33,750.00

THE FOREGOING CLAIM, NUMBERED 1066-1082 ARE APPLIED TO THE WSIP FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **991,634.57**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

Sites

July 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for July 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their July monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Board Approval Items							
Adept Solutions *IT Related Services/Computer Equipment*	MSP-139657	*7/1/20*	07/20	$760.20	$152.04	$608.16	KMS
Adept Solutions *IT Related Services/Computer Equipment*	139699	*7/1/20*	5/20	$251.66	$50.33	$201.33	KMS
AECOM (Reservoir) (HR) *Engineering Services*	2000369389	*6/10/20*	5/20	$78,837.14		$78,837.14	HL
AECOM (Reservoir) (HR) *Engineering Services*	2000378520	*7/7/20*	6/20	$157,711.74		$157,711.74	HL
AECOM Technical Services, Inc. *Engineering/Tech*	2000377940	*7/6/20*	6/20	$682.00		$682.00	HL
Brown and Caldwell (B) *Project Controls*	17376336	*7/2/20*	6/20	$98,335.19		$98,335.19	JAT
CH2M Hill Engineers (Operations) (D) *Operations / Simulation Modeling*	D3205400-014	*6/15/20*	5/20	$128,807.64		$128,807.64	AEF
CH2M/Jacobs (Conveyance) (HC) *Engineering Services*	D3380600-001	*6/17/20*	5/20	$77,323.89		$77,323.89	HL
Colusa Indian Community Council *Tribal Council*	No Invoice						SPE
Darling H2O Consulting, Inc. *Organizational Assessment*	127	*6/30/20*	6/20	$236.25	$236.25		FD
Dunn Consulting *Legislative/Regulatory/Strategic Support*	Letter	*7/2/20*	6/20	$8,000.00	$4,000.00	$4,000.00	KMS
Fechter and Company, CPAs *Accounting Services*	Letter	*6/22/20*	6/20	$1,275.60		$1,275.60	JAT
Forsythe Group, LLC *EPP Manager*	SPA-202006	*6/25/20*	6/20	$30,251.85		$30,251.85	JB
Fugro (I) *Geotechnical Engineering Services*	04.72190035-15	*7/6/20*	6/20	$13,209.93		$13,209.93	HL
Gerald (Jerry) Johns *Project Operations*	No Invoice						AEF

Sites

July 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for July 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their July monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
HDR (A)	1200277979			$202,638.30		$202,638.30	JAT
Project Integration		*7/6/20*	6/20				
ICF Jones & Stokes, Inc. (Environ.) (E)	0147510			$43,590.12		$43,590.12	AEF
Env/Biological Services		*6/15/20*	5/20				
ICF Jones & Stokes, Inc. (Permitting) (F)	0147511			$35,391.94		$35,391.94	AEF
Permitting and Agreements		*6/15/20*	5/20				
Katz & Associates (C)	413789			$20,979.99	$11,538.00	$9,441.99	KMS
Communications		*6/15/20*	5/20				
Katz & Associates (C)	413822			$31,549.15	$17,349.28	$14,199.87	KMS
Communications		*7/6/20*	6/20				
K-Coe Isom, LLP	KC090345			$1,997.00	$399.40	$1,597.60	JAT
Accounting		*6/30/20*	6/20				
Larsen Wurzel & Associates, Inc.	1609000-0520			$3,623.50		$3,623.50	JAT
Cost Development		*6/15/20*	5/20				
Larsen Wurzel & Associates, Inc.	1609000-0620			$9,382.75		$9,382.75	JAT
Cost Development		*7/2/20*	6/20				
M.R. Cleaning Service	No Invoice						KMS
Office Cleaning							
Maximum Pest Control	51183			$65.00	$65.00		KMS
Pest Spraying		*6/26/20*	6/20				
MBK Engineers	20-05-4941.0			$7,785.75		$7,785.75	AEF
Reservoir Operations		*6/19/20*	5/20				
Montague DeRose & Associates, LLC	4888SITES			$4,568.75		$4,568.75	JAT
Municipal Advisor		*6/4/20*	4/20				
MT Shasta Water	401027			$30.15	$30.15		KMS
Office Water		*6/5/20*	6/20				
MT Shasta Water	407049			$72.25	$72.25		KMS
Office Water		*6/26/20*	6/20				
Perkins Coie, LLP	6208406			$597.60		$597.60	AEF
Special Legal		*6/23/20*	5/20				
Recology Butte Colusa Counties	37438579			$35.95	$35.95		KMS
Office Trash Pickup		*6/30/20*	6/20				

Sites

July 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for July 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their July monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Rush Personnel	IVC000000137562			$1,234.80	$246.96	$987.84	KMS
Yolanda Tirado Services		*6/15/20*	6/20				
Rush Personnel	IVC000000137563			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*6/15/20*	6/20				
Rush Personnel	IVC000000137791			$1,058.40	$211.68	$846.72	KMS
Yolanda Tirado Services		*6/29/20*	6/20				
Rush Personnel	IVC000000137792			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*6/29/20*	6/20				
Spesert Consulting	07-20			$21,320.00		$21,320.00	JB
Bus/Communications Manager		*7/3/20*	6/20				
Stradling, Yocca, Carlson & Rauth	365299-0001			$3,450.00		$3,450.00	JAT
Bond Counsel		*6/18/20*	5/20				
The Catalyst Group	492			$11,100.88		$11,100.88	JB
Strategic Planning Services		*7/2/20*	6/20				
The Ferguson Group	0620149			$15,000.00	$7,500.00	$7,500.00	KMS
Federal Government Affairs Support		*6/1/20*	6/20				
Trapasso Consulting Services	SPA 17-33			$28,000.00		$28,000.00	JB
Program Operations Manager		*7/5/20*	6/20				
U.S. Bank - Credit Card	Online 7/1			$251.13	$251.13		KMS
Misc. Expenses		*7/1/20*	6/20				
U.S. Postal Service	Letter			$120.00	$120.00		KMS
P.O. Box		*7/1/20*	7/20				
Waterology Consulting	3			$33,750.00		$33,750.00	JT/ FD /JS
Executive Director Services		*7/1/20*	6/20				
William Avery & Associates	2939			$8,400.00	$8,400.00		JAT
Personnel Recruiter		*1/21/20*	1/20				
William Avery & Associates	2940			$3,223.68	$3,223.68		JAT
Personnel Recruiter		*1/21/20*	1/20				
William Avery & Associates	3013			$5,500.00	$5,500.00		JAT
Personnel Recruiter		*5/8/20*	5/20				
William Avery & Associates	3014			$5,500.00	$5,500.00		JAT
Personnel Recruiter		*5/8/20*	5/20				

Sites

July 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for July 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their July monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
William Avery & Associates	3015		$2,271.36	$2,271.36		JAT
Personnel Recruiter	*5/8/20*	5/20				
Wiseman Consulting Group	No Invoice					KMS
ROW/Land Management						
Young Wooldridge, Law Offices, LLP	66804		$5,998.00	$3,353.25	$2,644.75	JAT
Legal Counsel	*6/30/20*	6/20				

		Total	$ Authority Board	$ Reservoir Committee
		Total	**$ Authority Board**	**$ Reservoir Committee**
	Cash Accounts	1,106,991.94	71,071.19	1,035,920.75
July 2020 Monthly Totals	**JPA/Sites**	115,357.37	71,071.19	44,286.18
	WSIP	991,634.57		991,634.57
Cumulative Summary	**WSIP To Date**	3,950,210.53	**(4) Fund Checks Received**	
	Balance	4,130,583.88	8,080,794.41	

Notes: *

Sites

JULY KEY CONSULTANT BUDGET REPORT

FOR AUTHORITY BOARD AND RESERVOIR COMMITTEE,
FINANCE AND ECONOMICS AD HOC COMMITTEE

Area / Consultant	Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
a) HDR		Costs and Task % as of 06/20 Services	**1,499,864**	**1,499,864**	**1,046,629**	**453,235**	**70%**		
	A01	Communications Int.		-	-	-	-	100%	
	A02	Ops Modeling Int.		181,007	43,269	137,738	24%	70%	
	A03	Env. Planning Int.		149,357	157,369	(8,012)	105%	75%	
	A04	Permitting Int.		243,884	217,610	26,274	89%	75%	
	A05	Real Estate Int.		139,718	69,768	69,950	50%	60%	
	A06	Engineering Int.		299,476	198,972	100,504	66%	75%	
	A07	Geotech Int.		-	-	-	-	100%	
	A08	Controls Int.		224,387	170,737	53,650	76%	75%	
	A09	General Int.		98,267	119,639	(21,372)	122%	75%	
	A10	Authority's Engineer		-	-	-	-	100%	
	A11	Health, Safety & Loss Prevention		-	-	-	-	100%	
	A12	Quality Management		-	-	-	-	100%	
	A13	Risk Management		49,890	18,901	30,989	38%	50%	
	A14	IT		15,831	12,033	3,798	76%	75%	
	A15	GIS		-	765	(765)	-	100%	
	A16	Document Management		3,734	3,654	80	98%	75%	
	A17	Staff Support		14,004	2,402	11,602	17%	75%	
	A18	Satellite Project Office		-	-	-	-	100%	
	A19	Land Conservation Approach		-	-	-	-	100%	
	A98	HDR Project Management		40,309	23,242	17,067	58%	75%	
	A99	Expenses		40,000	8,267	31,733	21%	75%	
b) BC		Costs and Task % as of 06/20 Services	**899,156**	**899,156**	**675,155**	**224,001**	**75%**		
	B01	Project Controls		113,645	60,036	53,609	53%	80%	
	B02	Contract Administration and Compliance		492,414	365,670	126,744	74%	75%	
	B03	Work Planning and Scheduling		100,341	99,410	931	99%	90%	
	B04	Project Administrative Support		117,516	105,160	12,356	89%	90%	
	B05	PMP Sections		-	-	-	-	-	
	B98	Project Management		73,240	42,370	30,870	58%	60%	
	B99	Expenses		2,000	2,510	(510)	125%	100%	

Draft_0028601

Area / Consultant	Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
c) K&A		Costs and Task % as of 06/20 Services	**199,930**	**199,930**	**138,060**	**61,870**	**69%**		
	C01	Local/Landowner Outreach		14,083	4,499	9,584	32%	50%	
	C02	Statewide Outreach		22,000	17,286	4,714	79%	70%	
	C03	NGO Outreach		12,166	1,085	11,081	9%	-	
	C04	Strategic Communications		19,666	12,844	6,822	65%	50%	
	C05	Message Development/Training		11,831	3,778	8,054	32%	60%	
	C06	Informational Materials Distribution		38,500	34,496	4,004	90%	60%	
	C07	Website Modifications and Management		18,600	7,393	11,208	40%	65%	
	C08	Video & Photography		-	73	(73)	-	-	
	C09	Authority/Reservoir Committee Public Affairs Support		14,500	15,828	(1,328)	109%	50%	
	C10	Media Relations		13,084	10,995	2,089	84%	65%	
	C11	Social Media		5,000	1,500	3,500	30%	35%	
	C98	Project Management		30,500	25,828	4,673	85%	65%	
	C99	Expenses		-	2,457	(2,457)	-	-	
d) CH2-d		Costs and Task % as of 05/20 Services	**993,000**	**993,000**	**479,843**	**513,157**	**48%**		
	D01	Permitting and Resource Agency Technical Support		100,000	71,586	28,414	72%	90%	
	D02	Environmental Document Support		356,853	140,676	216,177	39%	35%	
	D03	Total Operations Technical Support		300,000	125,048	174,952	42%	65%	
	D04	Value Planning		88,000	79,921	8,079	91%	100%	
	D98	Project Management		31,147	32,091	(944)	103%	60%	
	D99	Expenses & Subconsultants		117,000	30,520	86,480	26%	45%	
e) ICF-e		Costs and Task % as of 05/20 Services	**428,000**	**428,000**	**186,461**	**241,539**	**44%**		
	E01	Draft EIS/EIR Review and Strategic Consultation		-	-	-	-	-	
	E02	Prepare Admin Final Responses to Comments		-	-	-	-	-	
	E03	Prepare Administrative Final EIR/EIS		15,000	14,967	33	100%	100%	
	E04	Begin Preparation of Administrative Record for Fin		-	-	-	-	-	
	E05	Engagement		-	-	-	-	-	
	E06	Geotechnical Environmental Document		-	-	-	-	-	
	E07	Develop Environmental Document Work Plan		110,000	85,759	24,241	78%	75%	
	E08	Support Development of EIR/EIS Project Description		180,000	57,124	122,876	32%	30%	
	E09	Prepare Annotated Outline		88,000	-	88,000	-	-	
	E98	Project Management		31,500	28,611	2,889	91%	75%	
	E99	Expenses		3,500	-	3,500	-	-	

Area / Consultant	Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
f) ICF-f		Costs and Task % as of 05/20 Services	**708,300**	**708,300**	**183,622**	**524,678**	**26%**		
	F01	Finalize Joint Biological Assessment		-	-	-	-	-	
	F02	Finalize Geotechnical Permits and Fieldwork		297,120	37,628	259,492	13%	50%	
	F03	Prepare Section 106 Documents		-	-	-	-	-	
	F04	Begin Preparation of Permits and Agreements		26,000	25,976	24	100%	100%	
	F05	Prepare for 2020 Field Work Studies		-	-	-	-	-	
	F06	Strategize on Mitigation and Adaptive Management P		-	-	-	-	-	
	F07	Early Coordination and Development of Key Permits		333,740	82,890	250,850	25%	30%	
	F98	Project Management		35,000	30,734	4,266	88%	75%	
	F99	Expenses		16,440	6,395	10,045	39%	15%	
hc) CH2-hc		Costs and Task % as of 05/20 Services	**597,023**	**597,023**	**77,324**	**519,699**	**13%**		
	HC01	Project Coordination		15,378	4,211	11,167	27%	25%	
	HC02	Engineering Support for Proj. Description of Preferred Proj. from Value Planning		370,475	44,050	326,426	12%	15%	
	HC98	Project Management		55,469	21,204	34,265	38%	25%	
	HC99	Expenses & Subconsultants		155,700	7,859	147,841	5%	15%	
hr) AEC-hr		Costs and Task % as of 06/20 Services	**599,379**	**599,379**	**236,549**	**362,830**	**39%**		
	HR01	Project Coordination		7,339	1,487	5,852	20%	20%	
	HR02	Engineering Support for Project Description of Preferred Project		567,000	212,462	354,538	37%	48%	
	HR98	Project Management		25,040	22,600	2,440	90%	95%	
i) FUG		Costs and Task % as of 06/20 Services	**85,995**	**85,995**	**62,058**	**23,937**	**72%**		
	I01	Data Review and Develop 2019 Feasibility Study Work Plan		-	-	-	-	-	
	I02	- Obsolete -		-	-	-	-	-	
	I03	2019 Site Investigation		-	-	-	-	-	
	I04	Future Site Investigation Work Plan for Design		-	-	-	-	-	
	I05	Assessment of Proposed Field Data Collection Points		50,786	31,736	19,050	62%	62%	
	I98	Project Management		35,209	30,322	4,887	86%	86%	
	I99	Expenses		-	-	-	-	-	

Notes: *

Draft_0028603

Sites

Topic: **Authority Board Agenda Item 1.4** **2020 July 22**

Subject: **Reassignment of Staff**

Requested Action:

Consider approval of Resolution No. 2020-03, providing all of the duties, authorities and responsibilities to the Authority's Executive Director that were previously delegated to the Authority's General Manager.

Detailed Description/Background:

As a result of the organizational assessment, the duties and responsibilities of the General Manager were reassigned to an interim Executive Director in December 2019 and a new Executive Director was selected and a contract for these services was executed in March 2020. There is no longer a General Manger role on the project. The proposed attached resolution memorializes the change from General Manager to Executive Director to affect all agreements, contracts, and any other documents that the Authority has previously executed identifying the General Manager position.

Prior Action:

None

Fiscal Impact/Funding Source:

There is no fiscal impact associated with this change.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Resolution No. 2020-03

BEFORE THE BOARD OF DIRECTORS OF THE SITES PROJECT AUTHORITY

RESOLUTION NO. 2020-03

In the Matter of:

Authorizing the Executive Director as having all of the duties, responsibilities and authorities previously directed upon the General Manager.

WHEREAS, the Authority has previously assigned certain duties, responsibilities and authorities to the General Manager in the oversight of the Project and has identified such in its agreements, contracts, permits, and other business documentation related to the Project; and

WHEREAS, in December 2019 the Authority created the "interim" Executive Director position as the replacement for the General Manager position; and

WHEREAS, in March 2020 the Authority entered into a contract for services with the Authority's Executive Director (the "Contract"), which provided for the Executive Director's fulfillment of duties, responsibilities, and authorities that were previously fulfilled by the former General Manager, and thereby replaced the General Manager position on a permanent basis with the position of Executive Director.

NOW, THEREFORE BE IT RESOLVED by this Board of Directors as follows:

1. The Executive Director position replaces the previous General Manager position within the Project's organization and, pursuant to the Contract, has been delegated all of the duties, responsibilities, and authorities previously assigned to the former General Manager.
2. All references to the term "General Manager" in any agreements, contracts, permits or other business documents shall be understood to mean "Executive Director", if and as applicable, and all such agreements, contracts, permits or other business documents shall, as appropriate, be revised to replace the term "General Manager" with the term "Executive Director". Such amendments shall be considered

ministerial and not subject to further agreement or negotiation between effected parties, and is fully within the rights of the Authority to unilaterally authorize.

3. The Authority's officers and Executive Director are authorized to do all things necessary and proper to carry out and implement the above reference matters.

PASSED, APPROVED AND ADOPTED by the Board of Directors of the Sites Project Authority this 22th day of July 2020, by the following vote:

AYES:

NOES:

ABSTAIN:

ABSENT:

I, Fritz Durst, Chair Authority Board of the Site Project Authority, do hereby certify that the resolution set forth above is true and accurate copy of the resolution adopted by the Board of Directors of the Sites Project Authority at a duly called meeting of the Board on July 22, 2020 and that said resolution has not been rescinded, amended or modified and is in full force and effect as of the date hereof.

In Witness Whereof, I have executed this certificate this 22th day of July 2020.

_____

Fritz Durst, Chair Authority Board, Sites Project Authority

Sites

Topic: **Authority Board Agenda Item 1.5** **2020 July 22**

Subject: **Consulting Service Agreements Terms and Conditions**

Requested Action:

Consider approval of the revised changes to the Authority Consulting Services Agreement.

Detailed Description/Background:

The Sites Project Authority's staff, in coordination with General Counsel, previously developed the Sites Standard Consulting Services Agreement which has been used as the standard contract for consultant services since July 2018.

Recently a need was determined to expand compliance with all applicable laws, specifically incorporating any agreement and agreement amendments between the Authority and a state or federal agency funding agreement into the agreements of the Authority's consultants. Attachment A covers the standard conditions in the consulting agreements. Similar provisions will be added to agreements with other service providers where state and/or federal funding is involved.

Prior Action:

At the July 20, 2018 Reservoir Committee meeting, the Sites Consulting Services Agreement was approved.

Fiscal Impact/Funding Source:

The additional standard conditions are not expected to increase costs for consulting services since the consultants working on the Sites project also perform work for State and Federal agency's which means they already have workplace programs in place to meet these requirements.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Proposed Language Revisions.

Sites

ADDITIONAL LANGUAGE TO AUTHORITY CONSULTING SERVICES AGREEMENT
July 2020

Minor language additions to the Authority's Standard Consulting Services Agreement are in red which has been approved by Authority legal counsel.

1. Services g. Use of Employees, Subconsultants and Subcontractors. Consultant shall furnish sufficient qualified personnel necessary to perform the Services and shall provide and employ all required equipment, materials and supplies necessary to perform the Services in accordance with the completion schedule for the Services. Any subconsultant or subcontractor that will provide services shall be listed in the Task Order with a corresponding Hourly Rate Schedule. Consultant shall not commission the services of any new or additional subconsultant or subcontractor without the approval of the Authority, which approval the Authority may withhold or condition in its reasonable discretion to ensure adherence to the terms and conditions of this Agreement, and which approval shall, if granted, be memorialized in the form of a Change Order. Consultant shall determine the method, details, and means of performing the Services and may at its own cost, employ such employees, subconsultants and subcontractors as it deems necessary to perform the Services. Authority may not control, direct or supervise Consultant's employees, subconsultants or subcontractors in the performance of the Services, and Authority shall not be liable for any expenses or costs relating to Consultant's employees, subconsultants or subcontractors unless Authority has agreed in writing, prior to the time such expenses or costs are incurred, to reimburse Consultant for such expenses. Consultant shall ensure that any contract entered into with any subconsultant or subcontractor is expressly subject to all applicable terms and conditions of this Agreement, which applicable terms and conditions include, without limitation, those governing insurance, applicable laws, indemnification, ownership of work product, confidentiality and conflicts of interest.

8. Compliance with Laws. The Services shall conform to the requirements of all governmental agencies having jurisdiction over the Services. Consultant shall also comply with all federal, state and local laws, ordinances, rules, regulations and orders applicable to the performance of its obligations under this Agreement, including all licensing requirements and occupational, health, safety, employment and environmental laws. Such applicable laws, ordinances, rules, regulations and orders shall include, without limitation, those that are specifically incorporated into any agreement between Authority and a state or federal agency pertaining to the Services, which agency agreement and any amendments thereto the Authority will provide to Consultant following their execution. Consultant shall bear all costs, expenses and liabilities related to any changes in the Services to conform to such laws, ordinances, rules, regulations and orders.

Sites

Topic: **Authority Board Agenda Item 1.6** **2020 July 22**

Subject: **Committee Charter Documents**

Requested Action:

Consider approval of the Coordination, Legislative Outreach, Land Management and Joint Budget and Finance Committees Charter Document updates.

Detailed Description/Background:

The Reservoir Committee and the Authority Board each recently went through a process to re-evaluate and establish their respective workgroup and committee structures for the upcoming 18 months of project work. Each committee has met and considered its charter and is recommending Authority Board approval of updated documents.

Along with the individual committee charters, there is an overarching charting document that the Authority Board previously established to generally inform the purpose, roles and responsibilities and participation guidelines for committees (Attachment B). No changes are being proposed to this document at this time.

The Authority Board has gone through a similar process relative to the charter documents of its committees and will be asked to approve their updates at its July 2020 meeting. According to Section 9 of the November 2016 Authority Bylaws, the charter documents must be approved by the Authority Board.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Individual Charter Documents.
Attachment B: Charter Document Attachment A.

Committee Chartering Document

Status: **Ad Hoc**

- Chair: Fritz Durst (RD-108)
- Members: Officers of the Authority Board and Chairs from each chartered committee, the Treasurer, and any other members of the Authority Board designated by the Chairperson (or their respective designated representative)[1]

  Vice Chair: Jeff Sutton (TCCA)

  Treasurer: Jamie Traynham (TC-4 through Davis WD)

  Land Management: Gary Evans (Colusa County, Chair)

  Legislative & Outreach: Jeff Sutton (TCCA, Chair)

- Staff Support:
  1. The Executive Director and/or his/her designated representative.
  2. Legal counsel on an as needed basis.
  3. Specialty advisors or experts on an as need basis.
- Formation: May 21, 2020 (Elections)
- Expires: Annually, commensurate with elections, which occur in February or March.

Related Documents:

- Attachment A: Committee and Work Group Chartering Process, General Requirements.

Purpose: To facilitate the Authority Board's ability to carry out the functions described in the Joint Exercise of Powers Agreement, as may be amended, in an advisory role and to not make any binding decisions on behalf of the Authority's Board of Directors.

Meeting Frequency: When either the Chair or Vice-Chair deems necessary.

Committee's Roles and Responsibilities:

[1] The total number of participating agencies on this Committee shall not exceed the Authority Board's quorum threshold.

- Acting in an administerial role, serves as the clearinghouse to assign and/or prioritize matters of interest to the most-appropriate committee; who will then prepare a recommendation to the Authority's Board of Directors for consideration and possible action.

  Should either the likelihood for potential duplication of effort between committees exist or the need to ensure integration between committees exists, assign one committee to be the overall lead.

- To ensure each committee is performing their duties efficiently, effectively, timely reporting to the Authority's Board of Directors, and in accordance with the current version of the Joint Exercise of Powers Agreement. In addition, to prevent the duplication of effort between multiple committees.

  NOTES: Agreement by the Chair and Vice-chair is needed to appoint or replace a Committee Chair.

- To ensure matters of interest to the Authority that are related to work delegated to the Authority Board are being addressed and reported in a timely manner. This may include requesting specific items be included in the Authority Board's meeting agenda packages.

- To ensure matters of interest to the Authority Board that either are being addressed by the Authority or require action from the Authority's Board are being addressed and reported in a timely manner. This may include requesting specific items be included in the Authority's meeting agenda packages.

Topic: **Sites Reservoir Project** **2020 July 22**

Subject: **Authority Ad Hoc Land Management Committee**

Authority Committee Chartering Document

Status: **Ad Hoc**

- Leader: Gary Evans (Colusa County)
- Members (3): Lee McDaniel (Glenn County)
  Jeff Sutton (TCCA)
  Logan Dennis (GCID)
- Staff Support:
  1. The External Affairs Manager
  2. Legal counsel on an as needed basis.
  3. Other specialty advisors or experts on an as needed basis.
- Formation: March 22, 2019
- Expires: TBD

Related Documents:

- Attachment A: Committee Chartering Process, General Requirements

Purpose: To advise the Authority on Real Estate, Land Management and Site Facilities issues, including land use changes caused by proposed project facilities for incorporation into both the EIR/S and Reclamation's congressionally mandated Feasibility Report.

Meeting Frequency: When either the Leader determines or an Authority Board Member requests that a potential issue exists to warrant convening the ad hoc committee to develop a recommended resolution or response for the Authority Board to then consider and act upon.

Committee Roles and Responsibilities:

- The primary focus of this Committee will be:
  - Issues and activities relating to the Sites Project Authority's Real Estate program including temporary-rights-of-entry (TROE), property acquisition and landowner engagement activities.

Draft_0028612

- Issues and activities relating to the Site Project Authority's Land Management program including policies for the management of Authority acquired property, management of easements (project and mitigation), and other land management activities.

- Issues and activities relating to the planning and development of the project's proposed recreation facilities and other public lands.

- Issues and activities relating to coordination with local agencies regarding project planning activities, facilities siting issues and changes in local land use.

Topic: **Sites Reservoir Project** **2020 July 22**

Subject: **Authority Ad Hoc Legislative & Outreach Committee**

Authority Committee Chartering Document

Status: **Ad Hoc**

- Leader: Jeff Sutton (TCCA)
- Members (3): Fritz Durst (RD 108)
  Garry Evans (Colusa County)
  Logan Dennis (GCID)
- *RC:* *Reservoir Committee Chair (Thad Bettner – GCID)*
  Reservoir Committee Vice Chair (Jeff Davis – SGPWD)
- Staff Support:
  1. External Affairs Manager – Lead Staff
  2. Legal counsel on an as needed basis.
  3. Other specialty advisors or experts on an as needed basis.
- Formation: March 22, 2019
- Expires: TBD

Related Documents:

- Attachment A: Committee Chartering Process, General Requirements

Purpose: To advise the Authority on Federal, State and Local legislative/government affairs issues and to advise on landowner coordination, stakeholder engagement, public outreach, and media activities.

Meeting Frequency: When either the Leader determines or an Authority Board Member requests that a potential issue exists to warrant convening the ad hoc committee to develop a recommended resolution or response for the Authority Board to then consider and act upon.

Committee Roles and Responsibilities:

- The primary focus of this Committee will be:

Draft_0028614

- Issues and activities relating to the Sites Project Authority's Federal and State Government Affairs/Legislative program including strategy, advocacy, and engagement with Federal and State elected officials, legislative/committee staff, and regulatory agency leadership.

- Issues and activities related to the Sites Project Authority's landowner and local governmental agency coordination effort.

- Issues and activities related to the Sites Project Authority's Communications Program including stakeholder engagement, public outreach, and media relations.

Committee Chartering Document

Status: **Ad Hoc**

- Chair: Jamie Traynham (Davis WD)
- Vice Chair: Robert Cheng (CVWD)
- Members (6): Thad Bettner (GCID)
  Katrina Jessop (SCVWD)
  Rob Kunde (WR-M WSD)
  Shelly Murphy (Colusa County WD)
  Dan Ruiz (Westside WD)
  Bill Vanderwaal (RD-108)
- Staff Lead: Joe Trapasso
- Staff Support: Legal counsel on an as needed basis
  Other specialty advisors or experts on an as needed basis
- Formation: May 27, 2020
- Expires: End of the Phase 2 (2019) Reservoir Project Agreement

Related Documents:

- Attachment A: Work Group Chartering Process, General Requirements

Purpose: To advise the Reservoir Committee and Authority Board on:

a. Status of expenditures,

b. Progress related to implementation of Work Plans,

c. Contract and task order documents,

d. Agreements such as the 2019 Reservoir Participation Agreement

e. Credit Reimbursement Policy,

f. Proposed finance plan, and

g. Project's overall economics for inclusion in the Feasibility Report and WSIP-required state feasibility assessment.

Meeting Frequency: When either the Chair determines, or an Authority Chairperson requests that a potential issue exists to warrant convening the Committee to develop a recommended resolution or response for the Authority to then consider and act upon.

Committee's Roles and Responsibilities:

- The primary focus areas of this work group are:

  a. Serve as the Authority's budget committee to manage the planned, actual, and forecast costs for Phase 2;

  b. Maintaining both the Cost Development Model and Credit reimbursement Policy;

  c. Assessment of the Project's overall economic feasibility;

  d. Recommending a financing strategy for each subsequent project phase;

  e. Recommending how requests to participate should proceed; including the rebalancing processes that will occur prior to the end of August 2020 and December 31, 2021.

  f. Review monthly payment of claims for adequacy to recommend approval to the Reservoir Committee and Authority Board.

  g. Review of Reclamation's Feasibility Study for both economic and financial feasibility.

  NOTE: The review for technical feasibility has been assigned to the Water Facilities Work Group.

Topic: **Sites Reservoir Project, Phase 2 (2020)** **2020 July 22**

Subject: **Authority Board's Committees**

Committee Chartering Process, General Requirements
(Attachment A to each Committee's Chartering Document)

Introduction: Advancing the Sites Reservoir Project (Project) requires the integration of both multiple disciplines and the respective values each participant expects to obtain through this and potentially subsequent phases. Given the size and complexity of this Project, the work has been organized into major categories of work (e.g. cost centers) that serve as the basis for executing specific Project Agreements, such as the Reservoir Committee and Authority Board for the Project's Phase 2 (2019)[1].

In anticipation of the need to expeditiously and cost-effectively resolve issues, the Authority Board has been further organized into committee by key topic areas. Each committee will be advisory to the Authority Board and be responsible for developing recommendations for the Authority Board's consideration regarding the specific matter assigned to them by an over-arching Coordination Committee. The Coordination Committee's purpose is to (a) perform ministerial functions that are intended to ensure integration and facilitate timely decision-making by the Authority Board and (b) both maintenance of and recommend interpretation of the Authority Board's governance documents.

Chartering Document's Purpose:

- To define the primary roles and responsibilities applicable to each committee to address specific matters and to avoid the potential for duplication of effort among multiple committees;

- To establish a timeline for completion of the specific assignment with the expectation its role be revisited and, as appropriate, the chartering document either be amended or a new committee be created.

Committee Roles and Responsibilities: Each committee's primary roles and responsibilities include the following, which are in addition to specific assignment identified in their respective chartering document:

- To keep the Authority Board's Leadership Team appraised of the committee's progress and the escalation of issues in a timely manner.

[1] Since Phase 1, the Authority and Reservoir Committee have been deemed to each be a legislative body as referred to in the Brown Act. Should there be a need for an Authority Committee to meet with a Reservoir Committee's Workgroup, so long as both are advisory, the resulting meeting does not have to be noticed.

Draft_0028618

- To incorporate the Authority Board's direction to their respective committee; to then provide recommendations back to the Authority Board for their consideration and eventual disposition (e.g. adoption of a document or recommended policy).

- To review staff and consultant developed work products and/or deliverables for:

  a. Acceptability with the applicable standard of practice related primarily to technical and quality considerations;

  b. Identification of potential scope changes that may affect – both positively and negatively – the approved annual budget, target work plan, and/or schedule;

  c. Identification of potentially significant changes in risk and/or the reallocation of risk;

  d. Identification of potential policy issues; and,

  e. Ensuring a consolidated set of comments is maintained and final acceptance of how subsequent versions have addressed prior comments.

- Where issues require an integrated response from multiple committees, the Authority Board's leadership team may assign a lead who will then coordinate with each of the required committees to develop an integrated response for the Authority Board's consideration.
- Each committee's activities are ad hoc in nature in that they are limited in scope to that which is provided for in the accompanying "Committee Chartering Document" and presently anticipated to be completed within a phase (or year), generally through review of portions of drafts of feasibility studies, the EIR/EIS and the WSIP application and related documents.

Participation: Organizations[2] who are signatory to the Authority Board and deemed to be in good standing by the Coordination Committee, have been approved to provide in-kind services to the Authority Board, may designate personnel to represent their interests and serve in an active capacity to any Committee. Authority Board Committees may also include as technical advisors who are not signatory to the Authority Board, but whose specific knowledge and expertise is deemed essential to advise on specific issues. This can include Authority Members, Associate Members, and non-voting cost-share partners or Ex Officio Members.

---

[2] Refer to Project Agreement Committee's chartering document, which defines membership types applicable to allow participation in the respective Project Agreement Committee.

Sites

Topic: **Authority Board Agenda Item 1.7** **2020 July 22**

Subject: **Contract Amendment for Professional Services (Brown and Caldwell)**

Requested Action:

Consider approval of an amendment to Brown and Caldwell's (Project Controls) contract and task order scope of work and budget in the amount of $31,881 for a new total contract authority of $1,942,399.

Detailed Description/Background:

The Project Controls contract supports the Project Operations Manager providing primarily staff augmentation to cover financial and administrative activities. Brown and Caldwell was competitively selected for these services in March 2019 and an Amendment 1B task order was issued in February 2020 to carry these services through August 2020. Through the past six months, additional work was incurred in these areas developing work plans, leading the rebalancing and outreach efforts, and supporting early funding agreement changes with the CWC. Also, the Executive Director has been delegating more of the administrative activities to the B&C support staff to free up his time for higher value activities. Staff tried to stretch the contract authority through to August 2020 but has come up short and a modest increase is necessary to continue to provide these important functions in August.

Prior Action:

February 26, 2020: Approved Project Controls task order amendment of $899,156 for the period of January 1, 2020 through August 31, 2020.

January 17, 2020: Approved Amendment 1B Work Plan for the period of January 1, 2020 through August 31, 2020 as part of a no cost time extension to the then current Participation Agreement. The Amendment included summary-level descriptions of the deliverables with estimated Reservoir Committee budgets. Each deliverable budget was further defined in the document by the level of effort required to complete each deliverable by consultant for use in developing amended consultant task orders intended to be submitted for approval to the Reservoir Committee and Authority Board in February 2020.

December 19, 2019: Approved a recommendation to the Sites Project Authority to approve an amendment to Brown and Caldwell's (B&C) (Project Controls) contract period of performance by extending their task order from December 31, 2019 through June 30, 2020 with no change in their cost.

Draft_0028620

March 22, 2019: Approved a recommendation to the Sites Project Authority to approve the Brown and Caldwell Phase 2 (2019) task order and budget for project controls services from April 1, 2019 through December 31, 2019.

January 18, 2019: Delegated responsibility to the Ad Hoc Finance and Economics Work Group to review and consider accepting the terms and conditions and recommending to the Sites Project Authority to approve the agreement negotiated by staff.

November 16, 2018: Approved a recommendation to the Sites Project Authority to accept the evaluation panel's selection of B&C for Service Area B – Project Controls.

August 16, 2018: Approved a recommendation to the Sites Project Authority to the release of the Project Development Support Services RFQ-18-04.

Fiscal Impact/Funding Source:

The BC contract ceiling is proposed to increase by $31,881 with this amendment to complete the additional scope of work. This additional budget would increase the contract budget ceiling to a not to exceed amount of $1,942,399.

This change will be accommodated within the Amendment 1B Work Plan total budget. $31,881 will be reallocated from the General Manager (GM) budget (Reservoir Committee share). The Reservoir Committee share of the GM budget in the Amendment 1B Work Plan is $258,400. Actual spend to date for the GM is $159,763 and no further invoices are anticipated, leaving $98,637 remaining. This reallocation does not impact the Amendment 1B carryover funds previously discussed as part of the cash call process.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Task Order Amendment.

Sites Project Authority

Project Controls

Task Order Amendment

Consultant: Brown and Caldwell

Task Order No. 2.4

Task Order No. 2.4 amends the current Brown and Caldwell Consulting Agreement and task order work activities with the Authority for Project Controls Services for the period of performance through August 31, 2020. This task order amendment expands the scope of work and increases the budget as presented below.

Scope of Work

Brown and Caldwell has been providing additional administrative support to the Authority's new Executive Director and has supported changes to the Early Funding Agreement with the California Water Commission (CWC) to provide increased project revenue. Additional administrative support is required in support of planned town hall meetings and strategic planning sessions. Brown and Caldwell has developed Participation Agreement work plans for the Reservoir Committee, and has supported recent rebalancing efforts. Finally, additional changes are required to the Amendment 2 Work Plan based on increased CWC revenue, cash call timing due to COVID-19, project rebalancing, and negotiated task orders.

Budget

The Brown and Caldwell contract ceiling is increased by $31,881 with this amendment to complete the additional scope of work. This additional budget increases the contract budget ceiling to a not to exceed amount of $1,942,399.

Period of Performance

The period of performance of the task order does not change with this amendment and remains as August 31, 2020.

Brown and Caldwell	**Sites Project Authority**
By: _____	By: _____
Printed Name: _____	Printed Name: _____
Date: _____	Date: _____

Sites

Topic: **Authority Board Agenda Item 2.1** **2020 July 22**

Subject: **2019 Financial Audit Report**

Requested Action:

Consider acceptance of the Financial Auditor's Report.

Detailed Description/Background:

Fechter and Company, CPA, was awarded a contract to conduct an audit of the Authority's financial information for the fiscal years 2016, 2017 and 2018. The audit for the fiscal years 2016 and 2017 were initiated in April 2018 and completed in early July. The fiscal year 2018 audit report was initiated in April 2019 and completed in July 2019.

The Authority approved Fechter and Company to conduct the fiscal year 2019 audit. It was started April 2020 and concluded June 2020.

The report for fiscal year 2019 concludes: "In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Authority as of December 31, 2018, and the results of its operations and cash flows for the years then ended in conformity with accounting principles generally accepted in the United States of America."

It should be noted current liabilities increased from $2,146,939 as of December 31, 2018 to $6,793,111 as of December 31, 2019, due primarily to an increase in the Authority's Participant Reimbursement Policy liability.

Prior Reservoir Committee Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A – 2019 Financial Audit Report.

Attachment B – 2019 Management Report.

Draft_0028623

SITES PROJECT AUTHORITY

ANNUAL FINANCIAL REPORT
With
Independent Auditor's Report Thereon

DECEMBER 31, 2019

SITES PROJECT AUTHORITY

Annual Financial Report
December 31, 2019

Table of Contents

	Page
Introductory Section	
Organization of Authority and List of Board of Directors	1
Financial Section	
Independent Auditor's Report	2-3
Management's Discussion and Analysis	4-7
Basic Financial Statements	
Statement of Net Position	8
Statement of Activities	9
Balance Sheet – Governmental Funds	10
Statements of Revenues, Expenditures, and Changes in Fund Balances	11
Notes to Basic Financial Statements	12-17
Required Supplementary Information	
Statement of Revenues, Expenditures, and Changes in Fund Balances Budget to Actual – General Fund	18
Statement of Revenues, Expenditures, and Changes in Fund Balances Budget to Actual – Reservoir Fund	19
Notes to Required Supplementary Information	20
Other Reports	
Independent Auditor's Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with Government Auditing Standards	21-22

SITES PROJECT AUTHORITY

Organization of Authority and List of Board of Directors
December 31, 2019

Sites Project Authority (the Authority) was established on August 26, 2010, when seven regional entities, including several local water agencies and Counties, executed the Joint Exercise of Power Agreement. The primary purpose of the Authority, as stated in the agreement, is to pursue the development and construction of the Sites Reservoir Project, which has long been viewed as an ideal location for additional off stream storage to provide direct and real benefits to instream flows, the Delta ecosystem, and water supply. In keeping their commitment to move the project forward on behalf of the region, the Authority has spent the last nine years working towards this goal by engaging the public, various stakeholders, state and federal agencies and landowners, initiating the required environmental planning process, and conducting feasibility studies, among other efforts.

GOVERNING BOARD

Name	Office	Member Represented
Fritz Durst	Chair	Reclamation District 108
Gray Allen	Vice Chair	Placer County Water Agency
Gary Evans	Member	County of Colusa
Joe Marsh	Member	Colusa County Water District
Leigh McDaniel	Member	County of Glenn
Logan Dennis	Member	Glenn-Colusa Irrigation District
Jeff Harris	Member	City of Sacramento
Jeff Sutton	Member	Tehama-Colusa Canal Authority
Doug Parker	Member	Westside Water District
Jamie Traynham	Treasurer	TC 4 Districts
Greg Johnson	Member - Non-Voting	Western Canal Water District
Don Bader	Cost-Share Partner - Non-Voting	U.S. Bureau of Reclamation
Rob Cooke	Ex-Officio - Non-Voting	CA Department of Water Resources

Draft_0028626

FECHTER & COMPANY
Certified Public Accountants

Craig R. Fechter, CPA, MST

INDEPENDENT AUDITOR'S REPORT

Board of Directors
Sites Project Authority
Maxwell, California

Report on the Financial Statements

We have audited the accompanying financial statements of the governmental activities and each major fund of the Sites Project Authority (the Authority), as of and for the year ended December 31, 2019, and the related notes to the financial statements, which collectively comprise the Authority's basic financial statements as listed in the table of contents.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, and the State Controller's Minimum Audit Requirements for California Special Districts. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

3445 American River Drive Suite A | Sacramento, CA 95864 | ph 916-333-5360 | fax 916-333-5370
www.fechtercpa.com
Member of the American Institute of Certified Public Accountants Tax Section
and California Society of CPAs

Draft_0028627

Board of Directors
Sites Project Authority
Maxwell, California

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Authority as of December 31, 2019, and the results of its operations and cash flows for the years then ended in conformity with accounting principles generally accepted in the United States of America.

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis information, and the budgetary comparison schedules, as listed in the table of contents, be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated June 15, 2020, on our consideration of the Authority's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Authority's internal control over financial reporting and compliance.

Fechter & Company
Certified Public Accountants

Sacramento, California
June 15, 2020

Draft_0028628

SITES PROJECT AUTHORITY

Management's Discussion and Analysis
December 31, 2019

The following Management's Discussion and Analysis (MD&A) of activities and financial performance of the Sites Project Authority (the Authority) provides an introduction to the financial statements of the Authority for the year ended December 31, 2019, and a comparison to the prior audited financial statements for the year ended December 31, 2018. We encourage readers to consider the information presented here in conjunction with the transmittal letter in the Introductory Section and with the basic financial statements and related notes, which follow this section.

Financial Highlights

- The Authority's assets exceed liabilities by $5,898,590 as of December 31, 2019.

- The Authority's revenues exceeded expenditures by $4,436,226 for the year ending December 31, 2019. The Authority's largest expense was for professional services. Professional services were 67% of total expenses for the year ending December 31, 2019.

Required Financial Statements

This annual report consists of a series of financial statements. The *Statement of Net Position* and the *Statement of Activities* provide information about the activities and performance of the Authority using accounting methods similar to those used by private sector companies.

The *Statement of Net Position* includes all of the Authority's investments in resources (assets) and the obligations to creditors (liabilities). It also provides the basis for computing a rate of return, evaluating the capital structure of the Authority, and assessing the liquidity and financial flexibility of the Authority. All of the current period's revenue and expenses are accounted for in the *Statement of Activities*. This statement measures the success of the Authority's operations over the past year and can be used to determine if the Authority has successfully recovered all of its costs through its revenues. This statement can also be used to evaluate profitability and credit worthiness.

More detailed information about the Authority's most significant funds – not the Authority as a whole, is provided in the fund financial statements. Funds are accounting devices the Authority uses to keep track of specific sources of funding and spending on particular programs. The *Balance Sheet* for governmental funds presents financial information by fund types showing money left at year-end available for spending. The *Statement of Revenues, Expenditures, and Changes in Fund Balances* for all governmental fund types focuses on how money flows into and out of the various funds.

Financial Analysis of the Authority

One of the most important questions asked about the Authority finances is, "Is the Authority better off or worse off as a result of this year's activities?" The *Statement of Net Position* and the *Statement of Activities* report information about the Authority in a way that helps answer this question. These statements include all assets and liabilities using the accrual basis of accounting, which is similar to the accounting used by most private sector companies. All of the current year's revenues and expenses are taken into account regardless of when the cash is received or paid.

These two statements report the Authority's net assets and changes in them. You can think of the Authority's net assets – the difference between assets and liabilities – as one way to measure the Authority's financial health or financial position. Over time, increases or decreases in the Authority's

Draft_0028629

net assets are one indicator of whether its financial health is improving or deteriorating. However, one will need to consider other non-financial factors such as changes in economic conditions, population growth, zoning, and new or changed government legislation, such as changes in Federal and State regulations.

Notes to the Basic Financial Statements

The notes provide additional information that is essential to a full understanding of the data provided in the basic financial statements.

Statement of Net Position

	2019	2018	Change
Assets			
Cash and investments	$ 7,342,108	$ 3,567,974	$ 3,774,134
Other current assets	5,349,593	41,329	5,308,264
Total Assets	12,691,701	3,609,303	9,082,398
Liabilities			
Current liabilities	6,793,111	2,146,939	4,646,172
Total Liabilities	6,793,111	2,146,939	4,646,172
Net Position			
Unrestricted	5,898,590	1,462,364	4,436,226
Total Net Position	$ 5,898,590	$ 1,462,364	$ 4,436,226

As discussed earlier, net position may serve over time as a useful indicator of a government's financial position. In the case of the Authority, assets exceeded liabilities by $5,898,590 and $1,462,364 as of December 31, 2019 and 2018, respectively.

The largest portion, 58%, of the Authority's assets was cash in bank as of December 31, 2019.

Current liabilities increased from $2,146,939 as of December 31, 2018, to $6,793,111 as of December 31, 2019, due primarily to an increase in the Authority's Participant Reimbursement Policy liability.

At the end of fiscal years 2019 and 2018, the Authority showed a positive balance in its unrestricted net position of $5,898,590 and $1,462,364, respectively.

Draft_0028630

Statement of Activities

	2019	2018	Change
General Revenues			
Membership Admin/Authority	$ 506,294	$ 691,795	$ (185,501)
Membership - Water	11,456,740	-	11,456,740
Prop 1 funding	8,080,794	-	8,080,794
Interest revenue	121,535	1,183	120,352
Total Revenues	20,165,363	692,978	19,472,385
Operating Expenses			
Authority/Admin	494,930	427,934	66,996
Reservoir	15,234,207	5,951,648	9,282,559
Total Expenditures	15,729,137	6,379,582	9,349,555
Change in Net Position	$ 4,436,226	$ (5,686,604)	$ 10,122,830

The S*tatement of Activities* shows how the Authority's net position changed during the periods. In the case of the Authority, net position increased by $4,436,226 for the year ending December 31, 2019, and decreased by $5,686,604 for the year ending December 31, 2018, respectively.

A closer examination of the sources of changes in net position reveals that:

Total revenue, which consisted of member dues and assessments, increased by $19,472,385 from the year ending December 31, 2018, to the year ended December 31, 2019, due primarily to the billing of Phase 2 of the Project ($11.6 million) and Prop 1 funding ($8.1 million). Total expenses also increased by $9,349,555 from the year ending December 31, 2018, to the year ended December 31, 2019, to match the Authority's scope of work in 2019.

Authority/Admin expenses comprised 3.15% and 6.71% of total expenses for the years ending December 31, 2019 and 2018, respectively. Reservoir expenses comprised 96.85% and 93.29% of total expenses for the years ending December 31, 2019 and 2018, respectively.

General Fund Budgetary Highlights

The Authority's total budget for the General Fund for the year ended December 31, 2019, showed excess revenues over expenditures of $258 compared to the actual amount of $24,264. The difference was primarily due to the determination of critical tasks that were required to be postponed.

	Actual	Budget	Variance
Revenues	$ 519,194	$ 515,000	$ 4,194
Operating Expenses	494,930	514,742	(19,812)
Net Increase	$ 24,264	$ 258	$ 24,006

Draft_0028631

Conditions Affecting Current Financial Position

The Authority continues to plan and expand project activities to build the large water infrastructure project. Expanding activities results in a continuation of increases to the annual financial budgets.

The California Water Commission's Early Funding Agreement (EFA) with the Authority was approved mid-2019 for the maximum amount payable by the State to not exceed $40,818,884 for activities reasonably related to the completion of environmental documentation and permitting. In 2019, the Authority processed and received a State payment of $2,769,021 towards the not to exceed amount for eligible activities. In 2020, the Authority will continue to prepare and submit EFA invoices for payment.

The current phase of the project is anticipated to be completed by August 31, 2020, and is fully funded. The Authority is planning to finalize the next phase of the project in mid-2020 to initiate work on September 1, 2020 through December 31, 2021. The current plan is to fund the next phase of the project through a Participants' cash call and additional received EFA dollars.

Future Plans for the Sites Reservoir Project

As an ongoing part of the EFA funding process, the Authority will continue to prepare and submit invoices for payment on a quarterly basis.

The Authority will continue to expand its governance and member participation.

The Authority will be developing a detailed work plan and financing plan for the remainder of Phase 2 through December 31, 2021.

The Authority will secure additional necessary professional services contracts such as engineering contracts to support the implementation of the Phase 2 Work Plan and beyond. Critical Phase 2 activities that need to be performed by the professional services includes further work to secure necessary environmental permits and agreements, additional operations simulation modeling, and detailed engineering work.

Requests for Information

This financial report is designed to provide the Authority's funding sources, customers, stakeholders, and other interested parties with an overview of the Authority's financial operations and financial condition. Should the reader have questions regarding the information included in this report or wish to request additional financial information, please contact the Authority's Treasurer at P.O. Box 517, Maxwell, California 95955 or view the website of the Authority at www.sitesjpa.org.

Draft_0028632

SITES PROJECT AUTHORITY
STATEMENT OF NET POSITION
DECEMBER 31, 2019

ASSETS		
Cash and investments	$	7,342,108
Due from other governments		35,293
Grants receivable		5,311,774
Prepaid expenses		2,526
TOTAL ASSETS		12,691,701
LIABILITIES		
Accounts payable		728,458
Participant reimbursement policy		6,064,653
TOTAL LIABILITIES		6,793,111
NET POSITION		
Unrestricted		5,898,590
NET POSITION	$	5,898,590

The accompanying notes are an integral part of these financial statements.

Draft_0028633

SITES PROJECT AUTHORITY
STATEMENT OF ACTIVITIES
FOR THE YEAR ENDED DECEMBER 31, 2019

GOVERNMENTAL ACTIVITIES:

EXPENSES:

Authority/Admin	$ 494,930
Reservoir	15,234,207
Total expenses	$ 15,729,137

GENERAL REVENUES:

Membership Admin/Authority	$ 506,294
Membership Water	11,456,740
Prop 1 funding	8,080,794
Interest revenue	121,535
Total general revenues	20,165,363
Change in net position	4,436,226
Net position, beginning of fiscal year	1,462,364
Net position at end of fiscal year	$ 5,898,590

The accompanying notes are an integral part of these financial statements.

Draft_0028634

SITES PROJECT AUTHORITY
BALANCE SHEET - GOVERNMENTAL FUNDS
DECEMBER 31, 2019

	General Fund - Authority	Special Revenue - Reservoir	Total
ASSETS			
Cash and investments	$ 504,957	$ 6,837,151	$ 7,342,108
Due from other governments	12,863	22,430	35,293
Grants receivable	-	5,311,774	5,311,774
Prepaid expenses	334	2,192	2,526
TOTAL ASSETS	$ 518,154	$ 12,173,547	$ 12,691,701
LIABILITIES			
Accounts payable	$ 51,526	$ 676,932	$ 728,458
Participant reimbursement policy	-	6,064,653	6,064,653
Total liabilities	51,526	6,741,585	6,793,111
FUND BALANCES			
Fund balance:			
Assigned to development of the reservoir	-	5,431,962	5,431,962
Unassigned	466,628	-	466,628
Total fund balance	466,628	5,431,962	5,898,590
TOTAL LIABILITIES AND FUND BALANCES	$ 518,154	$ 12,173,547	$ 12,691,701

The accompanying notes are an integral part of these financial statements.

Draft_0028635

SITES PROJECT AUTHORITY
STATEMENT OF REVENUES, EXPENDITURES, AND CHANGES IN FUND BALANCES
GOVERNMENTAL FUNDS
FOR THE YEAR ENDED DECEMBER 31, 2019

	General Fund - Authority	Special Revenue - Reservoir	Total
REVENUES			
Membership Admin/Authority	$ 506,294	$ -	$ 506,294
Membership Water	-	11,456,740	11,456,740
Prop 1 funding	-	8,080,794	8,080,794
Interest revenue	12,900	108,635	121,535
Total revenues	519,194	19,646,169	20,165,363
EXPENDITURES			
Current:			
Accounting services	8,527	34,111	42,638
Audit fees	-	11,892	11,892
Administrative support	13,437	53,749	67,186
General manager	50,532	385,369	435,901
Insurance	200	585	785
Office expenses	8,197	4,509	12,706
Rent expense	-	2,500	2,500
Dues and Subscriptions	15,799	6,981	22,780
Professional fees	395,821	10,064,129	10,459,950
Reimbursements	-	4,660,713	4,660,713
Website, computer support	2,182	8,729	10,911
Miscellaneous	235	940	1,175
Total expenditures	494,930	15,234,207	15,729,137
Net changes in fund balances	24,264	4,411,962	4,436,226
Fund balances, beginning of year	442,364	1,020,000	1,462,364
Fund balances, end of year	$ 466,628	$ 5,431,962	$ 5,898,590

The accompanying notes are an integral part of these financial statements.

Draft_0028636

NOTE 1: SIGNIFICANT ACCOUNTING POLICIES

Reporting Entity: Sites Project Authority (the Authority) was established as an independent special agency in August of 2010 under the Joint Exercise of Powers Act, California Government Code, Section 6500.

As of December 31, 2019, 11 public entities, filling 9 Board seats with 2 government agencies serving ex-officio positions and 2 Associate Members, were approved members of the Authority as follows:

Reclamation District 108	Westside Water District
Placer County Water Agency/ City of Roseville	Colusa County Water District
County of Colusa	Sacramento County Water Agency/ City of Sacramento
County of Glenn	California Department of Water Resources
Glenn-Colusa Irrigation District	TC 4 Districts (Associate Member)
Tehama-Colusa Canal Authority	Western Canal Water District (Associate Member)
U. S. Bureau of Reclamation	

The Authority is a public entity district and therefore, falls under the guidelines of a special district governmental entity. The Authority is governed by a board of directors that consists of one representative of each member.

The Authority was developed with the purpose to effectively study, promote, develop, design, finance, acquire, construct, manage, and operate Sites Reservoir and the related facilities. The purpose of pursuing and developing the Sites Reservoir are to: (a) increase surface water storage and enhance water management flexibility in the Sacramento Valley, (b) provide flood control benefits, (c) improve conditions for fish and wildlife in the Sacramento Valley and (d) improve the operation of the State's water system.

Financial Statement Presentation: The *Statement of Net Position* and *Statement of Activities* display information about the primary government (the Authority). These statements include the financial activities of the overall government. These statements report the governmental activities of the Authority, which are normally supported by inter-governmental revenues. The Authority had no business-type activities as of and for the year ended December 31, 2019.

The *Statement of Activities* presents a comparison between direct expenses and program revenues for each function of the Authority's governmental activities. Direct expenses are those that are specifically associated with a program or function and; therefore, are clearly identifiable to a particular function. Program revenues include operating grants. Revenues that are not classified as program revenues are presented instead as general revenues.

Draft_0028637

NOTE 1: SIGNIFICANT ACCOUNTING POLICIES - CONTINUED

Fund financial statements of the Authority are organized into one fund which is considered to be a separate accounting entity. The fund is accounted for by providing a separate set of self-balancing accounts that constitute its assets, liabilities, fund equity, revenues, and expenditures. The Authority reports two funds, the General Fund, which accounts for all revenues and expenditures necessary to carry out the administrative activities of the Authority, and the Reservoir Fund, a special revenue fund used to account for specific work activities for the development of the Sites Reservoir Project and is funded by contributions from the Project Agreement Members.

Basis of Accounting and Measurement Focus: Basis of accounting refers to the point at which revenues or expenditures/expenses are recognized in the accounts and reported in the financial statements. It relates to the timing of the measurements made regardless of the measurement focus applied.

The government-wide financial statements are reported using the economic resources measurement focus and the accrual basis of accounting. Revenues are recorded when earned and expenses are recorded at the time liabilities are incurred, regardless of when the related cash flows take place. Non-exchange transactions, in which the Authority gives (or receives) value without directly receiving (or giving) equal value in exchange, include grants, entitlements, and donations.

Revenues from grants, entitlements, and donations are recognized in the fiscal year in which all eligibility requirements have been satisfied.

Governmental funds are reported using the current financial resources measurement focus and the modified accrual basis of accounting. Under this method, revenues are recognized when measurable and available. Charges for services, operating grants, and use of money and property are accrued when their receipt occurs within sixty days after the end of the accounting period so as to be both measurable and available. Expenditures are generally recorded when a liability is incurred, as under accrual accounting.

Cash: The Authority's cash includes demand deposits. The Authority had no investments during the year ending December 31, 2019.

The governing board has not formally adopted any deposit and investment policies that limits the Authority's allowable deposits or investments and addresses the specific type of risk to which the Authority is exposed.

Due from Other Governments: Amounts due from other governments represent amounts due from member agencies that has been earned but not received by the end of the period.

As of December 31, 2019, the Authority has determined that all amounts are collectable and therefore, no doubtful account allowance was deemed necessary as of December 31, 2019.

Draft_0028638

NOTE 1: SIGNIFICANT ACCOUNTING POLICIES - CONTINUED

Capital Assets: The Authority defines capital assets as property, plant, equipment, and infrastructure assets with an initial individual cost of $5,000 and a useful life of three years or more. As of December 31, 2019, the Authority had no capital assets.

Unearned Revenue: This represents amounts received from members that will be applied to future billings.

Revenue: The Authority's revenue is comprised of the following:

General Fund – Authority Revenue

Authority members pay an annual membership fee which is established by the Authority. The membership fee for 2019 was $55,000 per member.

Special Revenue – Reservoir Fund

Revenue is recognized according to the Project's board-approved operating budget for each phase of the Project. The Project Agreement Members are billed in accordance with their pro-rata share of the budgeted costs for activities undertaken pursuant to the Project Agreement.

To withdraw from this Project Agreement, a Project Agreement Member shall give the Authority and other Project Agreement Members written notice of such withdrawal not less than 30 days prior to the withdrawal date. As of the withdrawal date, all rights of participation in this Project Agreement shall cease for the withdrawing Project Agreement Member. The financial obligation as prescribed in the Bylaws' Section 5.10 in effect on the withdrawal date, shall consist of the withdrawing Member's share of the following costs: (a) payment of its share of all non-contract costs incurred prior to the date of the written notice of withdrawal, and (b) those contract costs associated with funds approved.

Government-Wide Net Position:
When both restricted and unrestricted resources are available for use, it is the Authority's policy to use restricted resources first, then unrestricted resources as they are needed.

Government-wide net position is reported in three components - net investment in capital assets, restricted, and unrestricted. These classifications are defined as follows:

Net investment in capital assets – This component consists of capital assets, including restricted capital assets, net of accumulated depreciation and reduced by the outstanding balances of any bonds, mortgages, notes or other borrowings that are attributable to the acquisition, construction, or improvement of those assets.

Restricted – This component consists of constraints placed on net position through external constraints imposed by creditors (such as through debt covenants), grantors, contributors, or regulations of other governments or constraints imposed by law through constitutional provisions or enabling legislation.

Draft_0028639

NOTE 1: SIGNIFICANT ACCOUNTING POLICIES - CONTINUED

Unrestricted – This component consists of all other net position that does not meet the definition of "restricted" or "net investment in capital assets", as defined above.

Governmental Fund Balance: In the governmental fund financial statements, fund balances are classified as follows:

Nonspendable – Amounts that cannot be spent either because they are in a nonspendable form or because they are legally or contractually required to be maintained intact.

Restricted – Amounts that can be spent only for specific purposes because of state or federal laws, or externally imposed conditions by grantors or creditors.

Committed – Amounts that can be used only for specific purposes determined by formal action of the Authority.

Assigned – Amounts that are designated by the Authority to be used for specific purposes, but are neither restricted nor committed. The board of directors has the authority to assign amounts to be used for specific purposes.

Unassigned – All amounts not included in other spendable classifications.

Estimates: The preparation of financial statements, in conformity with accounting principles generally accepted in the United States of America, requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Actual results may differ from those estimates.

NOTE 2: CASH AND INVESTMENTS

Demand Deposits: The carrying amount and the bank balance of the Authority's cash as of December 31, 2019, were $7,342,108 and $7,853,942, respectively. The Federal Deposit Insurance Corporation (FDIC) insures deposits up to $250,000. As of December 31, 2019, $7,603,942 was in excess of the insurance coverage.

Custodial credit risk is the risk that in the event of a bank failure, the Authority's deposits may not be returned to it. The California Government Code and the Authority's investment policy do not contain legal or policy requirements limiting exposure to custodial risk for deposits or investments. The California Government Code requires that a bank secures deposits made by state and local governmental units by pledging securities in an undivided collateral pool held by a depository regulated under state law (unless so waived by the governmental unit). The market value of the pledged securities in the collateral pool must equal at least 110% of the total amount deposited by public agencies. California law also allows financial institutions to secure the Authority's deposits by pledging first trust deed mortgage notes having a value of 150% of the secured public deposits.

Draft_0028640

NOTE 3: PROFESSIONAL FEES

Professional fees for the year ended December 31, 2019, consisted of the following:

	General Fund - Authority	Special Revenue - Reservoir	Total
Env/Biological Services	$ -	$ 1,265,952	$ 1,265,952
Environmental/Project Operation	-	326,300	326,300
Bond Counsel	3,835	4,747	8,582
Bus/Comm Manager	-	236,373	236,373
EPP Manager	-	215,834	215,834
Federal Government Affairs Supp	25,000	25,000	50,000
General Legal Counsel	65,517	74,956	140,473
Geotechnical Engineering	-	193,371	193,371
Legislative/Reg/Strategic	55,000	33,000	88,000
Program Ops Manager	-	349,666	349,666
Project Controls	-	1,331,250	1,331,250
Project Delivery Advisor	2,970	1,035	4,005
Project Integration	-	2,378,561	2,378,561
Communication	191,653	194,107	385,760
Cost Development Model	-	12,753	12,753
Cultural Study	-	19,024	19,024
Engineering & Technical Service	-	244,092	244,092
Env Planning/Permits Manager	-	391,160	391,160
Public Outreach & Engagement	13,184	8,272	21,456
Real Estate	-	207,176	207,176
Reservoir Operations	-	25,574	25,574
ROW/Land Management	-	16,932	16,932
Special Legal	-	61,934	61,934
Municipal Advisor	-	176,709	176,709
Operations/Simulation Modeling	-	927,470	927,470
Organizational Assessment	38,662	-	38,662
Permitting & Agreements	-	1,269,631	1,269,631
Project Operations	-	73,250	73,250
	$ 395,821	$ 10,064,129	$ 10,459,950

Draft_0028641

NOTE 4: RISK MANAGEMENT

The Authority is exposed to various risks and general liabilities. The Authority participates in a public entity risk pool as a member of Association of California Water Agencies Joint Powers Insurance Authority (ACWA-JPIA). Each ACWA-JPIA member agency shares surpluses and deficits proportional to their participation. The Authority pays retrospectively rated annual premiums to ACWA-JPIA for its insurance coverage and has met all obligations since participation began.

NOTE 5: PARTICIPANTS REIMBURSEMENT POLICY

According to the Authority's participants reimbursement policy, the participants who withdrew or decreased their participation in the project are entitled to a credit equal to the contribution they made up to the date of withdrawal or reduction. That credit was applied toward future contribution requirements or booked as a liability on the Authority's books to be paid when it is determined that such reimbursements will not have an adverse impact on the financial viability or overall implementation of the Project. The amount due to participants under the reimbursement policy at December 31, 2019, was $6,064,653.

NOTE 6: COMMITMENTS AND CONTINGENCIES

Amounts received or receivable from grantor agencies are subject to audit and adjustment by grantor agencies. Any disallowed claims, including amounts already collected, may constitute a liability. The amount of expenditures, if any, which may be disallowed by the grantor, cannot be determined at this time although the Authority expects such amounts, if any, to be immaterial.

The Authority has outstanding contracts related to EIR/EIS and feasibility study. The remaining commitments on these contracts as of December 31, 2019, were minimal as the contracts can be canceled with a thirty-day notice.

NOTE 7: SUBSEQUENT EVENTS

Management has evaluated subsequent events through June 15, 2020, the date which the financial statements were available to be issued. Based upon this evaluation, except for the following, it was determined that no other subsequent events occurred that require recognition or additional disclosure in the financial statements.

In January 2020, the virus SARS -CoC-2 was transmitted to the United States from overseas sources. This virus, responsible for the Coronavirus disease COVID-19, has proven to be extremely virulent with transmission rates as yet unknown. The economic impact in the State of California as yet has not been determined and therefore any potential impact on the Authority is not yet known.

Draft_0028642

REQUIRED SUPPLEMENTARY INFORMATION

SITES PROJECT AUTHORITY
GENERAL FUND
STATEMENT OF REVENUES, EXPENDITURES, AND CHANGES IN FUND BALANCES - BUDGET AND ACTUAL
FOR THE YEAR ENDED DECEMBER 31, 2019

	Budgeted Amounts			Variance with Final Budget Positive
	Original	Final	Actual	(Negative)
REVENUES				
Membership Admin/Authority	$ 515,000	$ 515,000	$ 506,294	$ (8,706)
Interest revenue	-	-	12,900	12,900
Total revenues	515,000	515,000	519,194	4,194
EXPENDITURES				
Current:				
Accounting services	6,300	6,300	8,527	(2,227)
Audit fees	-	-	-	-
Administrative support	24,000	24,000	13,437	10,563
General manager	71,640	71,640	50,532	21,108
Insurance	3,250	3,250	200	3,050
Office expenses	23,330	23,330	8,197	15,133
Dues and Subscriptions	5,000	5,000	15,799	(10,799)
Professional fees	315,222	315,222	395,821	(80,599)
Reimbursements	-	-	-	-
Website, computer support	6,000	6,000	2,182	3,818
Miscellaneous	60,000	60,000	235	59,765
Total expenditures	514,742	514,742	494,930	19,812
Excess of revenues over expenditures	$ 258	$ 258	$ 24,264	$ 24,006

See notes to required supplementary information.

Draft_0028644

SITES PROJECT AUTHORITY
RESERVOIR FUND
STATEMENT OF REVENUES, EXPENDITURES, AND CHANGES IN FUND BALANCES - BUDGET AND ACTUAL
FOR THE YEAR ENDED DECEMBER 31, 2019

	Budgeted Amounts			Variance with Final Budget Positive
	Original	Final	Actual	(Negative)
REVENUES				
Membership Water	$ 16,111,534	$ 13,032,023	$ 11,456,740	$ (1,575,283)
Prop 1 funding	18,854,260	1,880,000	8,080,794	6,200,794
Interest revenue	-	-	108,635	108,635
Total revenues	34,965,794	14,912,023	19,646,169	4,734,146
EXPENDITURES				
Current:				
Accounting services	35,692	46,800	34,111	12,689
Audit fees	-	-	11,892	(11,892)
Administrative support	24,000	43,200	53,749	(10,549)
General manager	304,470	283,500	385,369	(101,869)
Insurance	-	-	585	(585)
Office expenses	8,198	3,240	4,509	(1,269)
Rent expense	124,000	-	2,500	(2,500)
Dues and Subscriptions	4,000	-	6,981	(6,981)
Professional fees	32,368,676	13,020,319	10,064,129	2,956,190
Reimbursements	2,067,094	1,472,023	4,660,713	(3,188,690)
Website, computer support	10,182	5,760	8,729	(2,969)
Miscellaneous	-	-	940	(940)
Total expenditures	34,946,312	14,874,842	15,234,207	(359,365)
Excess of revenues under expenditures	$ 19,482	$ 37,181	$ 4,411,962	$ 4,374,781

See notes to required supplementary information.

Draft_0028645

Note 1 - Budgetary Basis of Accounting

Budgets are prepared on the cash and expenditures or encumbrances basis. Revenues are budgeted in the year receipt is expected; expenditures are budgeted in the year that the applicable warrant requisitions are expected to be issued. The budget and actual financial statements are reported on the above basis, with no material differences between them.

Annual budget requests are submitted by the Authority's staff to the board of directors for preliminary review and approval. After public hearing, a final budget is approved by the board of directors, with a resolution adopting said budget. Copies of the approved budget are sent to all required agencies.

Draft_0028646

OTHER REPORTS

FECHTER & COMPANY
Certified Public Accountants

Craig R. Fechter, CPA, MST

INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

To the Board of Directors
Sites Project Authority
Maxwell, California

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in Government Auditing Standards issued by the Comptroller General of the United States, the financial statements of the governmental activities and the General Fund of Sites Project Authority, as of and for the year ended December 31, 2019, and the related notes to the financial statements, which collectively comprise the Authority's basic financial statements, and have issued our report thereon dated June 15, 2020.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the Authority's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Authority's internal control. Accordingly, we do not express an opinion on the effectiveness of the Authority's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

3445 American River Drive Suite A | Sacramento, CA 95864 | ph 916-333-5360 | fax 916-333-5370
www.fechtercpa.com
Member of the American Institute of Certified Public Accountants Tax Section
and California Society of CPAs

Draft_0028648

To the Board of Directors
Sites Project Authority

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Authority's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under Government Auditing Standards.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with Government Auditing Standards in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Fechter & Company
Certified Public Accountants

Sacramento, California
June 15, 2020

Draft_0028649

SITES PROJECT AUTHORITY

MANAGEMENT REPORT

FOR THE YEAR ENDED

DECEMBER 31, 2019

SITES PROJECT AUTHORITY

Management Report
For the Year Ended December 31, 2019

Table of Contents

Page

Introduction.....1

Required communication.....2-4

FECHTER & COMPANY
Certified Public Accountants

Craig R. Fechter, CPA, MST

Board of Directors of the
Sites Project Authority
Maxwell, California

In planning and performing our audit of the financial statements of the Sites Project Authority for the year ended December 31, 2019, we considered the Authority's internal control structure to determine our auditing procedures for the purpose of expressing an opinion on the financial statements and not to provide assurance on the internal control structure.

Our consideration of the internal control would not necessarily disclose all matters in the internal control that might be material weaknesses under standards established by the American Institute of Certified Public Accountants. A material weakness is a condition in which the design or operation of one or more of the internal control components does not reduce, to a relatively low level, the risk that errors or irregularities in amounts that would be material in relation to the basic financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions.

We will review the status of these comments during our next audit engagement. We will be pleased to discuss them in further detail at your convenience, to perform any additional study of these matters, or to assist you in implementing the recommendations. We thank the Authority's staff for its cooperation on this audit.

Fechter & Company,
Certified Public Accountants

Fechter & Company, CPAs

June 15, 2020
Sacramento, California

3445 American River Drive Suite A | Sacramento, CA 95864 | ph 916-333-5360 | fax 916-333-5370
www.fechtercpa.com
Member of the American Institute of Certified Public Accountants Tax Section
and California Society of CPAs

Draft_0028652

SITES PROJECT AUTHORITY
Required Communications
For the Year Ended December 31, 2019

The Auditor's Responsibility under U.S. Generally Accepted Auditing Standards

As stated in our engagement letter dated February 3, 2020, our responsibility, as described by professional standards, is to plan and perform our audit to obtain reasonable, but not absolute assurance that the financial statements are free of material misstatement and are fairly presented in accordance with U.S. generally accepted accounting principles. Because an audit is designed to provide reasonable, but not absolute assurance and because we did not perform a detailed examination of all transactions, there is a risk that material misstatements may exist and not be detected by us.

In planning and performing our audit, we considered the Authority's internal control over financial reporting in order to determine our auditing procedures for the purpose of expressing our opinion on the financial statements and not to provide assurance on the internal control over financial reporting.

As part of obtaining reasonable assurance about whether the Authority financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grants, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit.

Significant Accounting Policies

Management is responsible for the selection and use of appropriate accounting policies. In accordance with the terms of our engagement letter, we will advise management about the appropriateness of accounting policies and their application. The significant accounting policies used by the Authority are described in Note 1 to the financial statements. We noted no transactions entered into by the Authority during the year that were both significant and unusual, and of which, under professional standards, we are required to inform you, or transactions for which there is a lack of authoritative guidance or consensus.

Accounting Estimates

Accounting estimates are an integral part of the financial statements prepared by management and are based on management's knowledge and experience about past and current events and assumptions about future events. Certain accounting estimates are particularly sensitive because of their significance to the financial statements and because of the possibility that future events affecting them may differ significantly from those expected. Our audit did not disclose any sensitive estimates affecting the financial statements.

Draft_0028653

Audit Adjustments

For purposes of this letter, professional standards define an audit adjustment as a proposed correction of the financial statements that, in our judgment, may not have been detected except through our auditing procedures. An audit adjustment may or may not indicate matters that could have a significant effect on the Authority's financial reporting process (that is, cause future financial statements to be materially misstated). The following audit adjustments, in our judgment, indicate matters that could have a significant effect on the Authority's financial reporting process:

Audit adjustments for the year ended December 31, 2019:

- To record additional payables of $182,938 relating to 5 invoices that pertained to the year ended December 31, 2019.
- To record a grant receivable of $1,957,712 for Prop 1 Funding received on May 14, 2020, which pertained to the year ended December 31, 2019.

Disagreements with Management

For purposes of this letter, professional standards define a disagreement with management as a matter, whether or not resolved to our satisfaction, concerning a financial accounting, reporting, or auditing matter that could be significant to the financial statements or the auditor's report. We are pleased to report that no such disagreements arose during the course of our audit.

Management Representations

We have requested certain representations from management that are included in the management representation letter dated June 15, 2020.

Consultations with Other Independent Auditors

In some cases, management may decide to consult with other accountants about auditing and accounting matters, similar to obtaining a "second opinion" on certain situations. If a consultation involves application of an accounting principle to the governmental unit's financial statements or a determination of the type of auditor's opinion that may be expressed on those statements, our professional standards require the consulting accountant to check with us to determine that the consultant has all the relevant facts. To our knowledge, there were no such consultations with other accountants.

Issues Discussed Prior to Retention of Independent Auditors

We generally discuss a variety of matters, including the application of accounting principles and auditing standards, with management each year prior to retention as the Authority's auditors. However, these discussions occurred in the normal course of our professional relationship and our responses were not a condition to our retention.

Draft_0028654

SITES PROJECT AUTHORITY
Required Communications
For the Year Ended December 31, 2019

Difficulties Encountered in Performing the Audit

We encountered no significant difficulties in dealing with management in performing our audit.

Other Matters

During our audit, we became aware of the following deficiencies in internal control, other than significant deficiencies or material weaknesses, and other matters that are opportunities for strengthening internal controls and operating efficiency:

1. Recording Expense Accruals: In order to present a more accurate picture of the Authority's operations, it is important to record revenues and expenditures in the period in which they were incurred, not necessarily when they were paid. During our audit of the Authority, we found 5 instances in which an expenditure had not been recorded in the proper period. We recommend that the Authority perform a more thorough review of transactions subsequent to year-end to accurately reflect revenues and expenditures in the correct period.

2. Written Financial Policies and Procedures: Financial policies can provide a solid foundation for sound fiscal practices by a local agency. They should be in writing and adopted by the governing body. As such, they provide:

    a. A means through which the governing body can communicate its policies and goals to staff, the public, and others;
    b. Direction to staff and standards against which current practices can be measured and changes in practices can be evaluated; and
    c. A way to optimize credit for borrowing. Ratings agencies who assess local agencies' credit for borrowing review their written financial policies. Well-documented policies can mean higher rating grades and lower borrowing costs.

    Board members and staff need to understand why they are doing the things they are doing and be committed to doing them correctly and completely. Written policies and procedures enhance this understanding. Specific policies will vary depending upon the nature and scope of a district's operations. Nevertheless, having a range of policies (from big picture to practical and operational) helps an agency chart a wise course financially and avoid operational missteps.

    During the audit, we became aware that the Authority does not have written policies and procedures. We recommend that the Authority prepare written financial policies and procedures and make these available to all parties that initiate, authorize, and record transactions for the Authority.

Restriction on Use

This information is intended solely for the use of the Board of Directors and management of the Sites Project Authority and is not intended to be, and should not be, used by anyone other than these specified parties.

Draft_0028655

Sites

Topic: **Authority Board Agenda Item 2.2** **2020 July 22**

Subject: **Water Rights Attorney Procurement**

Requested Action:

Consider approval of release of a Request for Qualifications to initiate the procurement of legal services to support the acquisition of the water rights permits and approvals needed to support the project.

Detailed Description/Background:

As part of the Amendment 2 work plan, a team of technicians, engineers and an attorney are needed to secure the necessary permits and approvals related to the water rights for the project. Previously, the Authority competitively procured the services of the technicians and engineers but has not yet secured an attorney of the water rights team.

Staff recommends issuing a Request for Qualifications (RFQ) to competitively procure a water rights attorney at this time. A short list of specialized water rights attorneys (see attached) known to have the qualifications to complete the assignment has been created and these firms will be invited to provide a statement of qualifications. Having a short list does not preclude other statements of qualifications. The RFQ will be publicly advertised on the website. The objective will be to select the best qualified firm for the assignment and an individual that can work effectively with the existing team. Also, since the number of qualified attorneys practicing in this area within California is limited, consideration of potential conflicts will be a key factor for selection. A summary of the scope, schedule, budget and the short list of firms is attached.

The selection panel will consist of the Executive Director, the EPP Manager, and the Chairs of the Reservoir Committee and Authority Board. Other Reservoir Committee members interested in participating can do so. We would like to keep the panel manageable at 6-8 participants.

Prior Action:

None.

Fiscal Impact/Funding Source:

The Amendment 2 work plan includes approximately $200,000 for legal services associated water rights acquisition during this initial phase of planning and preparation of an administrative draft water rights application by the end of 2021. Subject to acceptable performance, the services would be continued through acquisition of all water rights permits and approvals expected to occur by 2024.

Staff Contact:

Jerry Brown/Ali Forsythe

Attachments:

Attachment A: Summary of the scope, schedule, budget and short list of firms.

Water Right Legal Services
For the Sites Project authority

Scope: To assist the Authority in securing all necessary water rights for the Sites Reservoir Project. This initial stage of work involves working with the water right team to complete the water right strategy, ensuring water right matters are properly analyzed in the revised and recirculated Draft EIR/EIS, and lead the preparation of a water right application to the State Water Resources Control Board to be submitted in early 2022. Depending upon performance, future stages of work may involve serving as legal representative for the Authority to the State Water Resources Control Board in their proceedings to issue a water right permit and approvals for the Project which is expected no later than Spring 2024.

The current primary water right team members consist of the following firms: MBK Engineers, ICF International, CH2MHill/Jacobs Engineering and Authority Agents.

Schedule:

Activity	Date (2020)
Release of RFQ	July 22
Submission of Written RFQ Questions	July 28 Noon
Response to Written Questions Emailed to Respondents	July 31
Proposals Due to Authority	August 20 Noon
Authority Review of Qualifications/Firms Selected for Interview	August 23
Notification of Interviews, if Appropriate	August 28
Interviews, at Authority's Discretion	September 3-4
Reservoir Committee Reviews and Recommends Approval to the Authority Board	September 17
Authority Board Reviews and Approves Contract Award	September 23
Execution of Contract Completed	September 30

Budget: There is $200,000 for water right legal services in the current Amendment 2 Work Plan which is intended to cover the initial stage of work. However, the proposer is encouraged to identify any other work products and budget needs they feel would be advantageous to the Project in regard to acquisition of water

rights. Future stages of work will include sufficient funds and the provider will assist in developing the scope and budget.

The selection panel will involve the Executive Director, and 4-6 members of the Reservoir Committee and Authority Board Coordinating Committees. The selection criteria will generally involve the following elements:

- Results of the proposers comprehensive conflict check must reasonably demonstrate the attorney and the firm are sufficiently cleared of material conflicts with any Reservoir Committee and Authority Board participating member agencies, or conflicts with any other parties that may be interested in the Sites Reservoir Project water right process.
- Level of demonstrated experience (attorney and firm) providing similar legal services and successfully completing a water right proceeding of a nature and magnitude similar to that of the Sites Reservoir Project.
- Capability to work effectively with the water right team and deliver an end product meeting the requirements of the Authority.

Invited Firms: The following list of firms will be invited to propose for the water right legal services:

Bartkiewicz, Kronick & Shanahan
1011 22nd Street, Sacramento, CA 95816

Best, Best & Krieger
500 Capitol Mall, Sacramento, CA 95814

Downey Brand LLP
621 Capital Mall 18th Floor, Sacramento, CA 95814

Ellison Schneider Harris & Donlan LLP
2600 Capital Ave, Suite 400, Sacramento, CA 95816

Kronick Moskovitz Tiedemann & Girard
400 Capital Mall, 27th Floor, Sacramento, CA 95814

Somach Simmons & Dunn
500 Capital Mall, Suite 1000, Sacramento, CA 95814

Sites

Topic: **Authority Board Agenda Item 3.1** **2020 July 22**

Subject: **Review Approved Water Storage Policy**

Requested Action:

Review and comment on the conditionally approved August 2019 Water Storage Policy and consideration of updates to align with Value Planning. Recognize the storage policy serves as the foundational document to 2021 key milestones of creating a Plan of Finance and Operations Plan and refer consideration of updates to the ad hoc Engineering and Operations Workgroup.

Detailed Description/Background:

In August 2019, the Reservoir Committee conditionally approved the attached Water Storage Policy (Policy) that broadly describes allocation of Project benefits and costs including how the Reservoir Committee and each Sites participant would be able to manage water diversions to, storage in, and releases from Sites Reservoir. The Policy highlights the fundamental concept that Sites participants are purchasing storage space in a reservoir that can be used with discretion, subject to permitting and operating constraints. The Policy also identifies that the Sites participants are seeking to secure a source of supply that would be equitably allocated to participants. It broadly outlines how storage and releases are scheduled, the sharing and leasing of storage space, and the allocation of operations and maintenance costs.

The establishment of the Policy in 2019 was an important step in providing greater certainty for Sites participants related to reservoir storage, but the Policy has not been updated since the Value Planning effort. Generally, the Value Planning highlighted individual participant constraints and needs which the Reservoir Committee may wish to consider in the Policy. State and Federal involvement is another consideration. Also, there are technical changes that have occurred requiring changes in the Policy. For example, the Policy identifies the reservoir size as 1.81 million acre-feet and includes the possibility of power generation and pump-back storage.

This is the right time to consider Storage Policy updates since the Policy is a foundational building block to accomplishing key 2021 milestones. The Storage Policy will inform the benefit/cost mechanics and detailed technical operating aspects to be included in the Plan of Finance and Operations Plan respectively. Both of these documents, along with updates to the Storage Policy, will be developed during the Amendment 2 Work Plan. Staff recommends the Reservoir Committee refer the Storage Policy updating process to the ad hoc Operations and Engineering Workgroup for consideration of updates.

Prior Reservoir Committee Action:

August 26, 2019: A draft was provided at the Reservoir Project Committee meeting and was adopted at that time, pending minor modifications included in Attachment A and pending home board legal review.

August 15, 2019: Draft Storage Policy presented and discussed at the Joint Workshop.

July 19 & 22, 2019: Draft Storage Policy was provided to for review to the Reservoir Committee and Authority Board meetings to allow all Sites Participants to review, discuss and comment.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Ali Forsythe

Attachments:

Attachment A - "Storage Policy by the Sites Project Authority".

The Storage Policy was adopted by the Reservoir Project Committee on August 22, 2019 and by the Sites Project Authority on August 26, 2019. The attached Policy reflects the revisions 'as amended' referenced in the Reservoir Project Committee Minutes of August 22, 2019, to wit:

"Consider approval of a recommendation the Reservoir Committee approve the Water Storage policy and then recommend the Sites Project Authority consider adoption of the Water Storage Policy in conjunction with the development of future participation agreements. (Attachment 7-1A)

Action: It was moved by Ruiz, seconded by Davis to adopt the Water Storage Policy as amended and pending home board legal review. Further, recommend the Sites Project Authority also consider adoption of the Water Storage Policy in conjunction with the development of future participation agreement and further legal review of the Policy by Counsel. The motion carried unanimously."

Please also note the findings described in the August 22, 2019 memorandum to the Reservoir Project Committee "Topic: Reservoir Committee Agenda Item 7-1, Subject: Operations Workgroup - Draft Water Storage Policy", to wit:

"It is noted such approval of this policy does not result in the implementation of any Project operation or action resulting in a significant effect on the environment nor does it preclude future changes to the Water Storage Policy which are likely to be necessary as the Project proceeds."

Storage policy

BY
THE SITES PROJECT AUTHORITY

(1) This Storage Policy was adopted by the Sites Authority on 26th day of August 2019, to assist in the development and operation of the Sites Reservoir Project (Project) by the Sites Project Authority and the Sites Storage Partners listed in Attachment 1.

INTRODUCTION

(2) The Sites Project Authority (Authority) will apply for and expects to hold the water rights and be responsible for the operation of the Sites Reservoir Project with the Reservoir Committee being responsible for the planning, permitting and real-time operations implementation. There are numerous financial participants in the Sites Reservoir Project. These participants will receive water supply benefits, water supply related environmental benefits and other benefits from Sites Reservoir operation. These participants include the (1) State of California, (2) United States Bureau of Reclamation, (3) Northern California agencies and organizations, and (4) water agencies both west and south of the Sacramento-San Joaquin Delta. The participants in the Sites Reservoir Project who receive (a) water supply related benefits or (b) water supply related environmental benefits are considered Sites Storage Partners. The Sites Storage Partners will enter into agreements with the Authority for the funding of the Project and the benefits derived from the Project and may specify each Sites Storage Partner's storage space in Sites Reservoir. To the extent allowed by natural conditions, permit requirements and physical capabilities of the Project, each of these Sites Storage Partners will have control over the use of their storage allocation space based on the conditions set forth in this policy. The water supply related benefits derived from these storage allocation spaces will depend in large part on how the each Sites Storage Partner manages the water stored and released from their allocated storage space. The Reservoir Committee is responsible for accounting for all the water placed into each Sites Storage Partner's allocated storage space, water released from that storage space and any losses on a daily basis.

DEFINITIONS

(3) Sites Participants – Government Agencies, Water Organizations and others who financially contribute to the planning and construction of the Sites Reservoir Project.

(4) Sites Storage Partners – The Sites Participants who have funded and receive water supply or water supply related environmental benefits from the Sites Reservoir Project (see Attachment 1 for the list of Sites Storage Partners).

(5) Active Storage – That portion of Sites Reservoir above dead pool that can be exercised to create water supply related benefits. The total maximum storage in Sites Reservoir is expected to be 1.81 MAF. Firm dead pool storage is expected to be about 60 TAF. However, operationally another 60 TAF will likely be needed to prevent water quality issues in the storage releases. Therefore, the operational dead pool is considered to be 120 TAF. For this Policy the Active Storage for Sites Reservoir is considered to be about 1.69 MAF. These values are approximate and subject to change in the future.

(6) Water Year - The California Water Year is from October 1 through September 30.

(7) Operation and Maintenance and Replacement- (O&M) or (O&M&R). Those costs associated with the operations of the Sites Reservoir Project. These can be broken down into:

(a) Fixed operations and maintenance and replacement costs that are more stable year-to-year and that are not significantly influenced by varying diversions or releases of water, and

(b) variable operations and maintenance costs that reflect annual operations and maintenance costs that vary based on actual operations each year.

ROLES

(8) Sites Project Authority (Authority) - The Authority will apply for and expects to hold the water rights for the Sites Reservoir Project and be responsible for its operation.

(9) Reservoir Committee - The Reservoir Committee is a standing committee of the Authority and oversees the planning, permitting, and day-to-day operations and accounting of Sites Reservoir storage, releases and losses and related activities including coordination with each of the Sites Storage Partners. This will be done in a way that is open and transparent to all the Sites Storage Partners.

(10) State and Federal Agencies:

(a) The State of California - As described in Proposition 1 (2014) and associated regulations, the Sites Authority expects that the State of California will work though the California Water Commission and the Resources Agency to manage, at their request, the water held in the Sites storage allocation space obtained by the Water Commission for environmental purposes. In addition, the State of California will cooperate with the Reservoir Committee's operation of Sites Reservoir and that of the State Water Project (and if appropriate the Central Valley Project) to maximize the water supply and water supply related benefits of Sites Reservoir.

(b) The United States – To be provided.

STORAGE OF WATER

(11) Water Storage by the Appropriation of Water: The Authority will be responsible for compliance with water right provisions and other conditions that control the appropriation of water into storage in Sites Reservoir. The Authority through the Reservoir Committee will take all actions necessary to maximize the appropriation of water into storage consistent with regulatory requirements, physical constraints and hydrologic conditions. Water appropriated will be placed into Sites Reservoir and then allocated into each Sites Storage Partners contractual storage space proportional to their acquired storage space amount.[1]

Based on the water accounting system approved by the Reservoir Committee, if a Sites Storage Partner's storage volume is not available (whether due to being completely filled, filled up to the requested amount by a Storage Partner, or any other reason), the available water will be allocated to the remaining Sites Storage Partners who still have available storage allocation space and the water will be used to fill the other Sites Storage Partner's storage allocation spaces (based on their storage space allocation) until all Sites Storage Partners storage allocation spaces are filled up to each Partner's requested amount of fill within that Partner's space. Each Sites Storage Partner will be responsible for the Operation and Maintenance (O&M) costs associated with the

---

[1] *For example, if only 340 TAF of water is able to be appropriated into Sites Reservoir in any one year, this represents 20% of the total allocated storage of Sites Reservoir (340/1.69 MAF) = 20%). In that year each Sites Storage Partner will receive an amount of water equal to 20% of their allocated storage space amount.*

use of their storage allocation space as set forth below. However, a Sites Storage Partner may opt out of having water appropriated into their storage space and avoid the variable O&M costs if they so inform the Reservoir Committee at the beginning of the Water Year or as otherwise established by the Reservoir Committee. The appropriation of water into storage will take priority over the release of stored water. If needed, a dispute resolution process will be developed.

(12) Water Storage from Other Sources: There are opportunities for the Sites Storage Partners to request that the Reservoir Committee place water from sources other than that by appropriation by the Project into their storage allocation space. These other sources could include among other things: the re-diversion of previously stored water, water transfers, exchanges between Sites Storage Partners, exchanges with other entities, etc. The Reservoir Committee will take all reasonable steps to help facilitate these requests. The Sites Storage Partners will be responsible for the costs of obtaining/storing these other sources of water including any costs associated with water right changes, environmental compliance, and Reservoir Committee costs associated with reviews. Also, the Sites Storage Partner is responsible for all O&M costs of placing this water into their storage allocation space as set forth below. Placing water into storage from other sources shall not impact the ability of the Reservoir Committee to appropriate water or release water from Sites Reservoir.

(13) Storage/Release Conflict Resolution: If there is a conflict between placing water into storage in Sites Reservoir from other sources of water and the release of water from Sites Reservoir at the same time, an "in lieu storage" option may be used by the parties involved provided the water rights issues are addressed on a case-by-case basis.

ACCOUNTING FOR LOSSES

(14) Allocation of Losses: Losses of water held in Sites Reservoir storage including evaporation and seepage will be accounted for on a daily basis. These losses will be allocated to each Sites Storage Partner based proportionally on the amount of their water in storage that day.

SHARING OR LEASING OF STORAGE SPACE

(15) Sharing or Leasing of Storage Allocation Space: The Sites Storage Partners are allowed to share or lease their storage allocation space with other Sites Storage Partners or other entities. This sharing or leasing could span multiple years and will be subject to several conditions set forth below.

- Any sharing or leasing of storage allocation space shall be coordinated with the Reservoir Committee so that proper water accounting can be maintained.
- If the Sites Storage Partner's allocated storage space fills, then any shared or leased water held in that storage space that day will stay in the shared or leased space unless the Reservoir Committee receives written instructions otherwise from the Sites Storage Partner.
- The responsibility for collecting payment for the use of a Sites Storage Partners shared storage space will be the responsibility of the Sites Storage Partner and not that of the Reservoir Committee.
- The Sites Storage Partner maintains the responsibility to the Reservoir Committee for all the O&M costs of placing water of any source into its storage allocation space. However, the Sites Storage Partner will likely collect costs of placing water into any shared storage space from the party making use of that shared space.

RELEASES OF WATER FROM STORAGE

(16) Water Released From Storage: The Sites Storage Partners have total discretion on the amount of water held in their storage allocation space that they wish to be scheduled for release in any calendar year for their use. These requested stored water releases and their schedule for release shall be coordinated with the Reservoir Committee so the Reservoir Committee can coordinate this request with the other Sites Storage Partners, State Water Project, Central Valley Project and any regulations related to the release of water from Sites Reservoir.

The Reservoir Committee will work with the Department of Water Resources and the U. S. Bureau of Reclamation to manage these releases with those of the State Water Project and Central Valley Project to maximize the benefits to the above parties when possible.

It is anticipated water will be released from storage for environmental/public benefits (e.g. on behalf of the State of California per their WSIP funding investment; e.g. on behalf of the United States per WIIN Act funding investment). The Authority's intent is that the non-consumptive use of water for environmental/ public benefits will remain under the control of the Sites Authority and its Storage Partners for further allocation to another in-basin or out-of-basin uses.

(17) Scheduling Stored Water Releases: No later than February 20th of each water year, the Reservoir Committee will make a water storage forecast for each Sites Storage Partner for that water year based on each Sites Storage Partner physical storage to date and several examples of projected hydrologic conditions ranging from critically dry to far above normal. These forecasts will be updated each month by the 20th of every month until April 20th. Each Sites Storage Partner will provide the Reservoir Committee the monthly schedule and amounts of the water they wish to be released that calendar year based upon each of these forecasts 15 days after the receipt of these forecasts. These schedules will be updated monthly as needed until June and changes after that will be accommodated to the extent it does not conflict with those of other Sites Storage Partners. Any water held in a shared/leased allocation space will be the responsibility of the Sites Storage Partner to communicate the requested release of that water to the Reservoir Committee unless other arrangements are provided to the Reservoir Committee in writing.

The Reservoir Committee will work with each Sites Storage Partner, the State Water Project, Central Valley Project and regulatory agencies and make all reasonable efforts to satisfy the water release schedules requested by each Sites Storage Partner. If there is a Sites Reservoir release constraint affecting the ability of meeting the requested water release schedules from Sites Reservoir, the Reservoir Committee will first work with those conflicted Sites Storage Partners to see if accommodations can be made. If the conflict cannot be resolved, the priority for the releases will be in proportion to the Storage Allocation space as divided among the conflicted Sites Storage Partners.

OPERATION, MAINTENANCE AND REPLACEMENT

(18) Operation and Maintenance Cost Allocations: Operation and Maintenance (O&M) costs will be divided into fixed O&M&R and variable O&M. Fixed O&M&R will cover those O&M&R costs that are generally stable from year to year like monitoring costs, routine maintenance, Reservoir Committee staff costs, repair funds, replacement of equipment funds etc. These costs will be calculated by the Reservoir Committee and paid by the Sites Storage Partners for the coming Water Year in advance. They will be allocated to all the Sites Storage Partners in the same manner as the capital costs.

Annual variable O&M costs include pumping costs to appropriate water into storage, pumping water from other sources into storage, other costs related to the placement of water into storage

and the costs, if any, of releasing water from storage. These costs will be assessed to each Sites Storage Partner based on the amount of water placed into their storage allocation that year. An estimate of these costs will be made by the Reservoir Committee. These costs will be assessed to each Sites Storage Partner and paid for the coming Water Year in advance. However, a Sites Storage Partner may opt out of having water appropriated into their storage space and avoid the variable O&M costs if they so inform the Reservoir Committee at the beginning of the Water Year. At the end of each Water Year any revenue received in excess of costs will be returned to the Sites Storage Partners or applied as a credit to the next year's variable O&M costs. However, the Reservoir Committee will retain a reasonable reserve fund to cover any unforeseen variable O&M costs that may come up in any year.

(19) Power Revenue. It is recognized that the Sites Reservoir Project could generate power revenues. The use of these revenues is not part of this Storage Policy at this time.

FUTURE STORAGE POLICY CHANGES

(20) Future Storage Policy Changes - This policy is intended, at this time, for use in the Sites Participation Agreements that will be developed in the near future. This policy will likely evolve and change as the Sites Reservoir Project develops. This policy may be modified in the future by the Authority with approval by the Reservoir Committee. Under the current Bylaws, such Reservoir Committee approval requires a 75% affirmative vote.

DATE

August 26, 2019_____

ATTACHMENT 1

SITES STORAGE PARTNERS

ENVIRONMENTAL

WSIP - California Water Commission

WIIN- United States Bureau of Reclamation

WATER USERS

America Canyon, City of
Antelope Valley-East Kern WA
Carter Mutual Water Company
Coachella Valley Water District
Colusa County
Colusa County Water District
Desert Water Agency
Glenn-Colusa Irrigation District
MWD of So. California
Reclamation District 108
San Bernardino Valley MWD
San Gorgonio Pass WA
Santa Clara Valley WD
Santa Clarita Valley WA
TC-4 Cortina Water District
TC-4 Davis Water District
TC-4 Dunnigan Water District
TC-4 LaGrange Water District
Westside Water District
Wheeler Ridge-Maricopa WSD
Zone 7 Water Agency

Sites

Topic: **Authority Board Agenda Item 3.2** **2020 July 22**

Subject: **Key Operational Analysis Factors - Baseline**

Requested Action:

Review and comment on the approach being taken for the modeling baseline for the EIR/EIS analysis.

Detailed Description/Background:

OVERVIEW

As work progresses on the analysis for the Revised Draft EIR/EIS, the operations team is developing modeling parameters and criteria required for assessing the alternatives in the Revised EIR/EIS. One of the foundational decisions related to the operations modeling for the EIR/EIS analysis is the description of the modeling baseline. An adequate description of the baseline is critical to the CEQA/NEPA evaluation and to describe the of project's benefits.

Issuance of the U.S. Fish and Wildlife Service and National Marine Fisheries Service Biological Opinions for the Reinitiation of Consultation on the Coordinated Operations of the Central Valley Project (CVP) and State Water Project (ROC on LTO BiOps) and the issuance of the Incidental Take Permit for Long-Term Operations of the State Water Project (SWP) in the Sacramento-San Joaquin Delta (SWP ITP) has modified baseline conditions for the CVP-SWP system from the previous BiOp and ITP. The ROC on LTO BiOps and the SWP ITP are also subject to pending litigation by a number of parties. There is disagreement regarding the implementation of the ROC on LTO BiOps and the SWP ITP between water users, environmental stakeholders, and the federal and state water management and permitting agencies. This disagreement will likely persist throughout the Sites Project planning and permitting process. As the CEQA Lead Agency, we need to balance all of the external and internal constraints, demands and needs and identify what would provide the public and our members with the highest quality information for evaluating the project.

After careful consideration, Staff is initially proceeding with model development with the ROC on LTO BiOps baseline and incorporate the SWP ITP actions after release of DCR2019 joint model implementation as the best course at the present time. This approach provides:

1. The most defined and expedient starting point for analysis of Sites Project operations while accomplishing a high-quality analysis that meets adequacy tests under CEQA/NEPA and provides the public with a reasonable evaluation of effects from the Project.
2. Best represents Shasta Lake tiered cold water pool management which is a key operating feature to be considered when evaluating possible exchanges and coordinated operations with Sites Reservoir.

3. Use of the merged Sites version of the model developed for the Reclamation Feasibility Report will expedite model development to meet the required schedule.

Operations criteria for the SWP ITP actions will be incorporated based on DCR2019 if it available in time to meet the Project's schedule. In addition, the team will be performing additional gap and sensitivity analyses to evaluate additional operations that are needed to support the Sites Project planning and permitting process.

As more detailed analysis is completed, staff will continue to evaluate the baseline approach and may make modifications to the approach to meet the requirements of CEQA/NEPA and provide the public with a reasonable evaluation of effects from the Project.

ALTERNATIVE APPROACHES CONSIDERED

Given the uncertainties surrounding operations of the SWP and CVP, staff has identified three possible modeling baseline options, each having their own pros and cons:

1. Use of ROC on LTO Alternative 1/Proposed Action only – This baseline would be based on the ROC on LTO Proposed Action Calsim II model released by the Bureau of Reclamation (Reclamation) in December 2019.
    a. Pros:
        i. Most recent representation of Shasta Lake tiered cold water pool management.
        ii. Expedited development of the modeled baseline using existing Sites model developed for Reclamation Feasibility Report.
    b. Cons:
        i. Excludes additional actions that are included in the SWP ITP.
        ii. Potential lack of acceptance by the State since the model does not include the SWP ITP.

2. Use of SWP ITP Alternative 2b/Proposed Project only – This baseline would be based on the SWP ITP Calsim II model released by the Department of Water Resources (DWR) in March 2020.
    a. Pros:
        i. Use of a model representing the SWP ITP in the baseline for analysis in the Sites ITP application.
    b. Cons:
        i. May require additional updates to include all of the actions described in the SWP ITP.
        ii. Excludes changes made in the updated final ROC on LTO Alternative 1/Proposed Action published in December 2019.
        iii. Potential lack of acceptance by Reclamation since the model does not include ROC on LTO.

3. Combination of 2019 State Water Project Delivery Capability Report (DCR2019) / ROC on LTO / SWP ITP – DWR is currently working on a joint representation of the ROC on LTO BiOps and the SWP ITP as part of DCR2019, which would include the potential future combined CVP/SWP operations. This is expected to be released in July 2020.

Draft_0028670

a. Pros:
    i. Comprehensive representation of baseline operations currently in development.
    ii. Allow for the possibility of greater acceptance to a wider range of agencies and stakeholders.
    iii. Provide a State/Federal supported baseline.
b. Cons:
    i. Schedule for release of DCR2019 has been and may continue to be delayed (DWR originally tentatively planning for a June release).
    ii. Delays associated with developing Sites Project ITP implementation based on DCR2019 (at least four week).

Prior Action:

None.

Fiscal Impact/Funding Source:

Model development is part of the Amendment 2 work plan and is a key component to other 2021 milestones within the work plan. There is sufficient funding to conduct efficient modeling whereby the baseline approach is established, and the modeling results are used to inform the EIR/EIS analysis. Multiple revisions of the modeling baseline or excessive iterations would be additional cost and time delay to the project.

Staff Contact:

Ali Forsythe

Attachments:

None.

Sites

Topic: **Authority Board Agenda Item 3.3** **2020 July 22**

Subject: **Funding Credit and Reimbursement Policy Implementation**

Requested Action:

Review and comment on the Draft Funding Credit and Reimbursement Policy (Policy) Revisions.

Detailed Description/Background:

When the Reservoir Committee was formed, one of the requirements included the development of this Policy to ensure equity among participants and be an important component to the rebalancing process. The initial Policy went through a thorough development and review process and was approved by the Authority in August 2018. A revised Policy is now required as the Authority continues to progress into new phases of the project.

A subgroup of the Joint Budget and Finance Committee was convened to review the current Policy and develop draft revisions as needed to reflect the status of the current Sites Project. The Subgroup developed a draft revised Policy and examples of applying the draft Policy which were reviewed and commented on by the Joint Budget and Finance Committee during their July 10, 2020 meeting. A revised draft has been prepared based on the comments received (Attachments 1 and 2).

The Joint Budget and Finance Committee is requesting comments to the draft revised Policy from the Reservoir Committee and Authority Board during their July meetings. Comments from these meetings will be incorporated into a revised draft which will be reviewed by Authority General Counsel, and then brought to the Joint Budget and Finance Committee, Reservoir Committee, and Authority Board at their August 2020 meetings for approval.

The most significant changes being proposed in the draft revised Policy are:

- Revisions to Section 6 "Participation Priority" include the addition of two new priority rounds. A revision was also made to clarify the application of "Last in / First Out" approach should the available participation be reduced below the amount of participation by Project Agreement Members.
- Revisions to Section 10.3 "Limitation on Reimbursements" provides additional clarity to when the Authority would make reimbursement payments.
- The new Section 14 "Provision for Buy-in After Phase 1" was added to specify the requirement that additional or new participation pay the equivalent funding of prior phases upon executing the Project Agreement.

- The new Section 15 "Provision for Phase 2 Rebalancing and True-up Process" was added to provide a process for Project Agreement Members to change their level of participation at the conclusion of each incremental Phase 2 Project Agreement. The provision is similar to the Phase 1 True-up with the exception of Class 2 Water conversion.

Additional revisions were made to expand the application of the policy to Phase 2, provide flexibility for allowing incremental Project Agreements and associated participation changes, and clarify/specify previous provisions and requirements.

Prior Action:

At the August 16, 2018 Reservoir Committee, the Credit Reimbursement Policy was approved.

At the June 25, 2018 Reservoir Committee meeting, the status was discussed with direction provided to the Ad Hoc Finance and Economics Work Group.

Fiscal Impact/Funding Source:

The Policy's requirement to reimburse Project Agreement Members for partial or complete withdrawal from the Project would be funded by new revenue for the "Buy-in" payments received from an existing Project Agreement Members that increase their amount participation or from new/returning Project Agreement Members initiating participation. Reimbursement payments would only be made once the Reservoir Committee and Authority Board determine that funds are available to make such payments without impacting the implementation of the Project.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Working Draft Funding Credit and Reimbursement Policy (with redline strikeout of proposed changes).

Attachment B: Examples of Applying Draft Policy.

Sites Reservoir Project, Phases 1 and 2
Funding Credit and Reimbursement Policy

`

Table of Contents

1. PURPOSE OF POLICY 2
2. ADMINISTRATIVE 2
3. DEFINITIONS 3
4. PROJECT PHASES 5
5. UNDERLYING ASSUMPTIONS 5
6. PARTICIPATION PRIORITY 6
7. PROVISION FOR ENCOURAGING NORTHERN CALIFORNIA PARTICIPATION 8
8. CREDITING POLICY FOR ADVANCE FUNDING 8
9. USE OF CONTRIBUTION CREDIT 8
10. REIMBURSEMENT POLICY FOR CONTRIBUTION CREDIT 9
11. PROVISIONS FOR WITHDRAWAL FROM PROJECT 10
12. PROVISION FOR CLASS 2 CONVERSION TO CLASS 1 PRIOR TO THE TRUE-UP PROCESS 10
13. PROVISION FOR REBALANCING PHASE 1 PARTICIPATION AND TRUE-UP OF THE CLASS 1 COST BASIS ... 10
14. PROVISION FOR BUY-IN AFTER PHASE 1 11
15. PROVISION FOR PHASE 2 REBALANCING AND TRUE-UP PROCESS 12
16. FAIR SHARE FUNDING STUDY 13

APPENDIX A – Participating Members and Respective Priority

1. PURPOSE OF POLICY

The Sites Project Authority (Authority) has adopted bylaws[1] that establish use of a Cost Development Model to track each participating agency's funding contributions and anticipated funding requirements as the Sites Reservoir Project (Project) is developed.

The purpose of this Funding Credit and Reimbursement Policy (Policy) is to establish the rules and procedures for contributing funds for the Project that will be used to inform the Cost Development Model ~~that will be used as part of the Phase 1 rebalancing process[2].~~as the project advances. The specific objectives of the Policy are to define the provisions and terms for:

- Defining contribution credit to participating agencies (Project Agreement Members, see **Section 3.8**) for having contributed funds to advance the Project;
- Defining allowable conditions for the use and/or reimbursement of credit;
- Providing an equitable exchange in participation levels between Project Agreement Members; ~~and~~
- Defining the process for recalculating the equivalent cost basis among Phase 1 Project Agreement Members for Class 1 and Class 2 water[3]; and~~.~~
- Defining the process for recalculating the equivalent cost basis for future phases of the project that are funded on a "cash call" basis.

It is the intent of this Policy to ensure that all Project Agreement Members ~~proceeding into Phase 2~~ will each have paid the same amount on an equivalent cost basis as the Project advances.

2. ADMINISTRATIVE

2.1 Role of the Authority and Reservoir Committee

Through its Bylaws, the Authority considers this process to be a foundational part of advancing the Project. Development of the requirements has been delegated to the participants in each phase of the ~~Phase 1~~ Reservoir Project ~~Agreement~~Agreements (Reservoir Committee). Acceptance of this document by the Authority is required before ~~it's~~its requirements can be implemented.

---

[1] November 21, 2016 Amended and Restated Bylaws of the Sites Project Authority for Phase 1 of the Sites Reservoir Project, Section 3.9.

~~[2] For use in subsequent phases, this document will be amended to incorporate additional information gained during Phase 2.~~

[3] Participation in voting and funding is based on a Members' participation as measured in acre-feet that is used to apportion the project's development costs. Until the completion of Phase 2, the annualized volumes of water that could be released from storage can't be finalized until the Project has acquired the prerequisite permits needed for construction and operations and both state and federal agencies have committed to fund their equitable share in the Project.

2.2 Amendments to this Policy

It is the Authority's intent that this policy be updated as needed (i.e. is a "living" document) to ensure the Project is implemented equitably, efficiently and cost-effectively. The Authority may amend this Policy to reflect changes to the funding structure for the Project (e.g. separate participation in water storage versus water supply). Any amendment to this Policy will not reduce or increase any participant's contribution credit (as defined below) for having contributed funds to advance the Project.

2.3 Precedence of Documents

This document is subordinate to both the Authority's and Reservoir Committee's governance documents. Should a conflict exist, the Authority's Joint Exercise of Powers Agreement - including the Bylaws - shall take precedence, followed by the Reservoir Committee's ~~Phase 1~~sequential Reservoir Project Agreement ~~- including Exhibit~~ for each phase of the Project (Requirements).

Further and in keeping with the *Mission and Project's Primary Goals* established in Phase 1[4], should a conflict exist between this document and ~~the Phase 2~~any Reservoir Project Agreement, which ~~will~~may include the terms and conditions for the interim finance plan, the ~~Phase 2~~ Reservoir Project ~~Agreement~~Agreements shall control, and this document shall be amended to remove the conflict.

2.4 Document Revision History

A record of approved changes to this document shall be recorded in this section, starting with its original approval for use, which is revision 0.

Rev.	Effective Date	Status of Authorizing Action
0	August 20, 2018	Approved by Reservoir Committee and adopted by the Authority.
1	TBD	Revised to include Phase 2 considerations.

3. DEFINITIONS

The following definitions are used in this Policy:

3.1. "Class 1 Water" — Water supply reserved for Project Agreement Members based on 50% of the total average annualized volume of water released from storage (250 ~~TAF~~TAF[5]).

3.2. "Class 2 Water" — Additional 170 TAF[5] of water supply that may be available to Project Agreement Members, of which the State of California and/or the United States Government will be given the first

[4] Refer to Exhibit B of the Phase 1 Reservoir Project Agreement, General Requirements.

[5] These acre footage values are based on original project yield projection at the onset of Phase 1 in 2016 and are subject to change throughout the duration of the project.

		right to acquire for ecosystem and water quality "public" benefit(s).
3.3.	"Contribution Credit"	The accumulated funding provided by a Project Agreement Member for both Class 1 and Class 2.
3.4.	"Equivalent Cost Basis"	The equivalent cost per acre-foot for Class 1 water established by the Reservoir Committee.
3.5.	"Equivalent Required Funding"	The amount of funding required by each Project Agreement Member at the Phase 1 True-up event (**Section ~~12~~13**) equal to the Equivalent Cost Basis times the Project Agreement Member's participation in Class 1 water.
3.6.	"Fully Subscribed Project"	Full participation in the total amount of Class 1 water available. The associated amount may change between the end of Phase 1 and end of Phase 2. Further, it is contemplated that in Phase 2, consideration will be given to shift from the current delivery-based method (FOB Holthouse Reservoir) to a storage-based method.
3.7.	"Funding Obligation"	A Project Agreement Member's semi-annual pro-rata share of funding required. The Authority may elect to waive any Project Agreement Member from a semi-annual obligation by excluding that particular Project Agreement Member's participation in the Project when determining the basis for the pro-rata share of the remaining Project Agreement Members.
3.8.	"Project Agreement Member"	Any agency or entity that has executed ~~the Phase 1~~a Project Agreement and not withdrawn from the project. [6]
3.9.	"Good Standing"	Meeting the requirements to actively participate in the Project according to Section 8.1 of Authority's Bylaws.
3.10.	"Participation"	A Project Agreement Member's elected participation rate expressed in terms of acre-feet of average annual water supply, or other metric utilized to determine the pro-rata share of funding required from each Member.

[6] Ibid, Section 7.3 for membership types. A list of current Project Agreement Members is provided in Exhibit A1 of the Phase 1 Reservoir Project Agreement.

3.11. "Priority"	The ranking of participation in the Project, Class 1 and/or Class-2, used to determine the order in which participation changes take effect. Highest priority is associated with earliest date the Phase 1 Reservoir Project Agreement was executed (i.e. Round 1). Lowest priority is the latest date this agreement was executed (Round 4).

4. PROJECT PHASES

The Project is being advanced by Project Agreement Members on a workplan based phased approach. The phases of the project associated with each Project Agreement are defined as:

- Phase 1: Phase 1 Project Agreement, November 2016 through March 31, 2019
- Phase 2A: Phase 2 Project Agreement plus Amendments 1A/1B, April 1, 2019 through August 31, 2020
- Phase 2B: Phase 2 Project Amendment 2, September 2020 through December 31, 2021

~~4.~~5. UNDERLYING ASSUMPTIONS

The following underlying assumptions predicate the establishment of credits and reimbursements:

4.1 As of August 1, 2015, funding provided by Members of the Authority has been divided into two separate categories: 1) Authority administration, and 2) Implementation of the Project.

4.2 Funding provided for Authority administration is not eligible for Credit, whereas funding for implementation of the Project is eligible.

4.3 Funding provided between January 1 and July 31, 2015 was applied first to Administration requirements in 2015 and the balance was applied to Project funding as of August 1, 2015.

4.4 All other funding received before December 31, 2014 was provided for advocacy of the Project and is not eligible for credit.

4.5 Prior to starting Phase 2 and after the California Water Commission has made its "Maximum Conditional Eligibility Determination (MCED)"[7], a formal process will be conducted to enable Project Agreement Members to change their participation. These changes will become the basis for the Phase 1 True-Up ~~and the Phase 2 Reservoir Project Agreement~~.

4.6 As the Project advances, each additional phase of the project will require Project Agreement Members commit funding through execution of a new Project Agreement. Changes in participation may be allowed at the conclusion of each phase, subject to the credit and reimbursement conditions established by this policy.

[7] ~~Refer to California Code of Regulations, Tile 23, Division 7, Chapter 1. Section 6011.~~7 See Ibid, Section 7.3 for membership types.

Draft_0028678

~~5.~~

6. PARTICIPATION PRIORITY

As more or less Class 1 water becomes available, each Project Agreement Member's allocation of Class 1 and/or Class 2 water may be impacted based on the priority assigned to their participation. Should the level of participation need to be reduced, participation will be eliminated on a "last in / first out" basis. Each Project Agreement Member will be assigned a priority based on the following criteria:

Round 1 Priority: Founding members of the Authority who also elected to execute the Phase 1 Reservoir Project Agreement. Project Agreement Members in this round received Class 1 water.

Round 2 Priority: New members of the Authority seated prior to the 2016 on-boarding process who elected to execute the Phase 1 Reservoir Project Agreement. Project Agreement Members in this round received Class 1 water.

Priority 2.1: Existing members of the Authority seated prior to the 2016 on-boarding process, who had executed the Phase 1 Reservoir Project Agreement, and who elected to change their participation quantities in advance of the August 1, 2016 deadline that closed the On-boarding process. These changes were approved by the Authority on June 13, 2016.

Round 3 Priority: Additional participation beginning with the 2016 On-Boarding process and extending through execution of a funding agreement with the State ~~(anticipated by December 2018).~~ Project Agreement Members in this round receive either:

1) For Public Water Agencies: A combination of Class 1 and/or Class 2 water dependent upon the availability of Class 1 water; or

2) For Non-Member Participating Parties: Mutual Water Companies who submitted a request to participate as part of the 2016 On-Boarding process received Class 2 water.

Note that a rounding error in the original allocation of Class 1 and Class 2 water was found November 2017. The correction to the rounding error was applied retroactively to Priority 3 participants.

The following sub-priority within Round 3 will be based on the date that additional participation is established, which is the date the Phase 1 Reservoir Project Agreement was authorized to be executed by the Project Agreement Member.

Priority 3.1 Prior to executing the Phase 1 Reservoir Project Agreement, 10,000 acre-feet of Class 1 water became available and was allocated to those Project Agreement Members who had initially agreed to accept fractional shares of Class 1 and Class 2 totaling the amount requested in their On-boarding letter of intent. For

each of these Project Agreement Members, the result was a reduction in Class 2 water and a corresponding increase in Class 1 water.

Priority 3.2 — Prior to executing the Phase 1 Reservoir Project Agreement, Project Agreement Members who requested to increase their participation received Class 2 water.

Priority 3.3 — California Water Service request during the 2016 On-Boarding process requiring CPUC approval before executing the Reservoir Project Agreement.

Priority 3.4 — Metropolitan Water District of Southern California elected to not specify an acre-ft as the basis of their participation in the 2016 On-Boarding process, but subsequently requested to participate in Class 2 water through execution of the Reservoir Project Agreement.

Priority 3.5 — After Project Agreement Members had executed the Phase 1 Reservoir Project Agreement, 6,285 acre-feet of Class 1 water became available after April 28, 2017 that was allocated to those Project Agreement Members who had initially agreed to accept fractional shares of Class 1 and Class 2 totaling their participation amount (Priority 3). Project Agreement Member's in this priority received a pro-rata share of the Class 1 water and a corresponding decrease in their Class 2 water.

Priority 3.6 — After Project Agreement Members had executed the Phase 1 Reservoir Project Agreement, an additional 6,285 acre-feet of Class 1 water became available after May 31, 2017 that was allocated to those Project Agreement Members who had initially agreed to accept fractional shares of Class 1 and Class 2 totaling their participation amount (Priority 3.0). Project Agreement Member's in this priority received a pro-rata share of the Class 1 water and a corresponding decrease in their Class 2 water.

Round 4 Priority: — Additional Phase 1 participation following execution of the funding agreement with the State ~~(anticipated by December 2018).~~ Project Agreement Members in this round would only receive Class 2 water unless participation changes result in the availability of Class 1 water.

This round ~~of~~ will also include additional Class 1 water required to maintain the minimum participation threshold from water agencies located in the Sacramento River Hydrologic Region (see **Section ~~6~~7**).

Note that there no participation changes or new participation occurred during Phase 2A. All Project Agreement Members that signed the Phase 2 Project Agreement in April 2019 continued their level of participation through August 2020.

Round 5 Priority: — This round includes additional participation from existing or new Project Agreement Members following the completion of Phase 2A and prior to

execution of the Phase 3 Project Agreement. Sub-priority within this round will be established as follows:

Priority 5.1	Additional or new participation from agencies in the Sacramento River Hydrologic Region. This priority was established to encourage Northern California participation (**Section 7**).
Priority 5.2	Additional participation from existing Project Agreement Members.
Priority 5.3	New participation from prior Project Agreement Members that completely withdrew during a prior phase of the Project.
Priority 5.4	New participation from agencies that have not participated in prior phases of the Project.
Round 6 Priority:	New or additional participation just prior to execution of the Phase 3 Project Agreement.

Any established priority will be forfeited commensurate with a reduction in participation. Priority is only valid for the participation commitment under the active Project Agreement, less any amount subtracted by a reduction in participation or project withdrawal.

Additional rounds may be established by the Authority Board as the Project develops. The current list of priority participation is included in Appendix A.

~~6.~~7. PROVISION FOR ENCOURAGING NORTHERN CALIFORNIA PARTICIPATION

The Authority desires to maintain a minimum threshold for participation by agencies within the Sacramento River Hydrologic Region equal to approximately 25% of the total water supply. Should the participation from Project Agreement Members in this region drop below the threshold, the Authority may solicit additional interest from Project Agreement Members or agencies that are not currently participating in the Project, both of which are located in the Sacramento River Hydrologic Region. The additional ~~Class 1~~ participation will be established within ~~Priority 4.~~the current round of priority at the time the Project Agreement is executed (**Section 6**).

~~7.~~8. CREDITING POLICY FOR ADVANCE FUNDING

The Crediting Policy describes the process of how Contribution Credit is earned by each Project Agreement Member by fulfilling its funding obligations. Funding obligations will be established through the Authority's annual budgeting process and will be apportioned to Project Agreement Members based on their respective participation. Each Project Agreement Member will accumulate Contribution Credit equal to its cumulative funding for both Class 1 and Class 2 participation throughout all phases of the project.

~~8.~~9. USE OF CONTRIBUTION CREDIT

Contribution Credit will be utilized to determine any payment or reimbursement due as result of the following events:

- Withdrawal ~~from~~or reduction in Project participation ~~in the Project~~, as further described in **Section ~~10~~11**; or

- True-up of Class 1 Cost Basis and Retirement of Class 2 participation, as further described in **Section ~~12~~13** and **Section 15**.

~~9.~~10. REIMBURSEMENT POLICY FOR CONTRIBUTION CREDIT

The Authority will provide reimbursements[8] under the following conditions.

~~9.1.~~10.1. Reimbursements from Rebalancing Process

Based on the Rebalancing Process (**Section ~~12~~13 or Section 15**) results, ~~The~~the Authority will reimburse certain Project Agreement Members for excess Contribution Credit. The Authority will only reimburse such members once all payments have been received from Project Agreement Members that owe additional funds as ~~the~~a result of the Rebalancing Process.

~~9.2.~~10.2. Reimbursements from Withdrawal from Participation

A Project Agreement Member partially or completely withdrawing their participation in the Project will be reimbursed for its accumulated Contribution Credit based on the Provision for Withdrawal (**Section ~~10~~11**). The Authority will provide such reimbursement once all payments have been received from Project Agreement Members electing to increase its participation to offset the withdrawn participation.

10.3. ~~Reimbursements from~~Limitation on Reimbursements

The Authority is not required to provide any reimbursement payment until the Authority determines that such payment will not have an adverse impact on the financial viability or overall implementation of the Project. Partial reimbursement payments may be made based on available funding with the remaining amount maintained as a liability for the Authority to pay at such time sufficient funding exists. As funding from new participation (**Section 14**) becomes available, the Authority may pay down the liabilities on a pro-rata basis following the order in which the liabilities occurred (e.g. Phase 1 liabilities are paid prior to Phase 2A liabilities).

~~9.3.~~10.4. Reimbursements due to Project Termination

In the event that the Project cannot be completed or in the event the Authority ~~has terminated~~terminates the ~~Phase 1~~then active Reservoir Project Agreement, the Authority will disperse any available Project funds according to the participation percentages as defined in the current version of ~~Exhibit A1 of~~ the then active Reservoir Project Agreement. Note that this exhibit is a "living document" that has been updated as participation changes have occurred throughout ~~Phase~~Phases 1 and 2.

~~9.4.1.1. Limitation on Reimbursements~~

[8] ~~Under no circumstances will the Authority finance any portion of any amounts that may be reimbursed.~~

~~The Authority is not required to provide any reimbursements of any kind until the Authority determines that such reimbursements will not have an adverse impact on the financial viability or overall implementation of the Project.~~

11. PROVISIONS FOR WITHDRAWAL FROM PROJECT ~~BEFORE THE START OF PHASE~~

~~9.5.~~11.1. Withdrawal or Reduction Before the Start of Phase 2 ~~OBLIGATIONS~~Obligations

A Project Agreement Member may reduce or completely withdraw their participation in Class 1 and/or Class 2 within the window associated with Assumption 4.5 and the Phase ~~2~~2A Reservoir Project Agreement~~.~~ (Dated April 1, 2019). In order to maintain equity among all Members, all changes in project participation will be accommodated through the provisions of the Phase 1 True-Up Process **(Section ~~12~~13)**.

11.2. Withdrawal Before the Start of Phase 3 Obligations

Following Phase 2, project funding will most likely involve debt issuance and require full financial commitment by Project Agreement Members for Phase 3 and Phase 4. Consequently, the last opportunity for a Project Agreement Member to withdraw or reduce participation under this policy will be prior to execution of the Phase 3 Reservoir Project Agreement. In order to establish equity prior to debt issuance, all final changes in project participation will be accommodated through the provision of the Phase 2 True-Up Process (**Section 15**).

~~10.~~12. PROVISION FOR CLASS 2 CONVERSION TO CLASS 1 PRIOR TO THE TRUE-UP PROCESS

Prior to the Phase 1 True-up Process (**Section ~~12~~13**), the conversion of Class 2 water will occur only when additional Class 1 water becomes available. Project Agreement Members with Class 2 participation will be allowed to convert their Class 2 water in order of highest to lowest priority.

The cost for each Project Agreement Member to convert its Class 2 to Class 1 will be equal to the difference between the Class 1 and Class 2 funding obligations on a per acre-foot basis, multiplied by the quantity (acre-feet) of Class 2 being converted to Class 1.

~~11.~~13. PROVISION FOR REBALANCING PHASE 1 PARTICIPATION AND TRUE-UP OF THE CLASS 1 COST BASIS

At the conclusion of the Phase 1 Rebalancing Process, the Authority will establish the final amount of Class 1 available for participation and establish an equivalent cost basis per acre-foot (the True-Up Process). The final Phase 1 participation will be established as follows:

- The minimum threshold for participation from agencies in the Sacramento River Hydrologic Region (**Section ~~6~~7**) will be considered prior to the conversion of Class 2.

- Any voluntary change in participation shall be fully recognized prior to the calculation of conversion of Class 2 to Class 1.
- Class 2 will be converted to Class 1 in order of highest to lowest priority until the final amount of Class 1 participation is fulfilled. The cost to convert Class 2 water shall be paid by each member separate from the determination of the Equivalent Required Funding for Phase 1. Funding associated with Class 2 conversion will be included in the Project Agreement Member's cumulative Contribution Credit.
- Any remaining Class 2 participation will be retired. Project Agreement Members with retired Class 2 water will be placed on a stand-by list for future participation based on their original priority.
- Should the total amount of Class 1 participation be less than the amount available, the Authority will solicit interest from current Project Agreement Members for additional participation. If the additional Class 1 participation is still available, the Authority will solicit interest from other non-participating agencies.

An equivalent cost basis per acre-foot will be established for all Project Agreement Members at the conclusion of Phase 1 as follows:

- The Equivalent Cost Basis for Class 1 participation has been established by the Project Reservoir Committee as $48.50 per acre-foot.
- The Equivalent Required Funding for each Project Agreement Member will be the Equivalent Cost Basis multiplied by the Project Agreement Member's Class 1 participation at the end of Phase 1.
- If the Equivalent Required Funding is greater than the Project Agreement Member's cumulative Contribution Credit, a true-up payment will be due from the Project Agreement Member to the Authority equal to the Equivalent Required Funding minus the Member's cumulative Contribution Credit.
- If the Equivalent Required Funding is less than the Project Agreement Member's cumulative Funding Obligation, a reimbursement will be due from the Authority to the Project Agreement Member equal to the Project Agreement Member's cumulative Contribution Credit minus its Equivalent Required Funding.

14. PROVISION FOR BUY-IN AFTER PHASE 1

During Phase 2, if Class 1 water is available, existing or new Project Agreement Members may increase their participation. In order the maintain equity among Project Agreement Members, additional participation carries a "buy-in" cost equal to the equivalent cost basis at the time that new or additional participation is requested. The equivalent cost basis has been established by the Reservoir Committee as:

Phase 1:	$48.50 per acre-foot
Phase 2A:	$60.00 per acre-foot
Total:	$108.50 per acre-foot

Additional increments may be added as the project progresses through Phase 2.

The buy-in cost for additional/new participation is equal to the Equivalent Cost Basis per acre-foot multiplied by the amount of additional/new participation requested less any reimbursement liability due from the Authority from prior withdrawal/reduction in participation. The buy-in payment will be invoiced upon execution of the Reservoir Project Agreement.

The additional/new participation will be assigned the current round of priority at the time the Reservoir Project Agreement is executed (**Section 6**).

The Authority may utilize the buy-in payments to reduce any outstanding reimbursement liabilities from prior phases.

15. PROVISION FOR PHASE 2 REBALANCING AND TRUE-UP PROCESS

At the conclusion of each incremental Phase 2 agreement, the Authority will declare any change to the Fully Subscribed Project and Project Agreement Members will identify their preferred Participation moving forward in the Project.

With respect to the Fully Subscribed Project, any available Class 1 water will be offered according to the Round 5 sub-priorities (**Section 6**). Project Agreement Members that increase their participation and new Project Agreement Members must "buy-in" to the Project at the current Equivalent Cost Basis (**Section 14**).

With respect to the Fully Subscribed Project, if the total preferred Participation exceeds the Fully Subscribed Project, Project Agreement Members with a proposed participation increase will only receive a pro-rata share of their proposed increase. If the total preferred Participation still exceeds the Fully Subscribed Project, the Participation of Existing Project Agreement Members will be reduced on a "last in / first out" basis according to the participation priorities (**Section 6**) and on a pro-rata basis within the same priority or sub-priority.

Once the final participation is established for each incremental Phase 2 Project Agreement, true-up payments and reimbursements will be determined for each Project Agreement Member:

- The Equivalent Required Funding at the completion of each incremental Phase 2 Project Agreement will be the Equivalent Cost Basis multiplied by the Project Agreement Member's participation.

- If the Equivalent Required Funding is greater than the Project Agreement Member's cumulative Contribution Credit, a true-up payment will be due from the Project Agreement Member to the Authority equal to the Equivalent Required Funding minus the Member's cumulative Contribution Credit.

- If the Equivalent Required Funding is less than the Project Agreement Member's cumulative Funding Obligation, a reimbursement will be due from the Authority to the Project Agreement Member equal to the Project Agreement Member's cumulative Contribution Credit minus its Equivalent Required Funding.

Draft_0028685

~~12.~~16. FAIR SHARE FUNDING STUDY

The Authority will prepare a funding study upon completion of Phase 1 to document each Project Agreement Member's funding contributions, equivalent cost basis and Contribution Credit. The "Phase 1 Fair Share Funding Study" will summarize the conversion and/or retirement of Class 2 water and the true-up of the Class 1 cost basis at the end of Phase 1.

The Fair Share Funding Study will be updated to document changes in Participation, Priority and Equivalent Cost Basis for each Project Agreement Member through completion of Phase 2.

Appendix A – Participating Members and Respective Priority

Sites Reservoir Project
Credit & Reimbursement Policy - Phase 2

	Phase 1 & Phase 2A				Phase 2B				Cummulative Totals	
Hypothetical Examples	Phase 2A Participation (AF)	Phase 1 Contribution Credit ($48.50/AF)	Phase 1 Reimbursement Liability	Phase 2A Contribution Credit ($60/AF)	Participation (AF)	Buy-in Cost ($108.50/AF)	Phase 2B Contribution Credit ($100/AF)	Phase 2A Reimbursement Liability	Contribution Credit ($208.50/AF)	Outstanding Reimbursement Liability
Example 1 - No Change in Phase 2B Participation										
District 1A	5,000	$242,500	-	$300,000	5,000	-	$500,000	-	$1,042,500	-
District 1B	5,000	$242,500	$(187,500)	$300,000	5,000	-	$500,000	-	$1,042,500	$(187,500)
Example 2 - Increase in Phase 2B Participation										
District 2A	5,000	$242,500	-	$300,000	6,000	$108,500	$600,000	-	$1,251,000	-
District 2B	5,000	$242,500	$(187,500)	$300,000	6,000	-	$600,000	-	$1,251,000	$(79,000)
Example 3 - Reduction in Phase 2B Participation										
District 3A	5,000	$242,500	-	$300,000	4,000	-	$400,000	$(108,500)	$834,000	$(108,500)
District 3B	5,000	$242,500	$(187,500)	$300,000	4,000	-	$400,000	$(108,500)	$834,000	$(296,000)
Example 4 - New Participation										
District 4A	0	-	-	-	5,000	$542,500	$500,000	-	$1,042,500	-
District 4B	0	-	$(187,500)	-	5,000	$355,000	$500,000	-	$1,042,500	-

Sites

Topic: **Authority Board Agenda Item 3.4** **2020 July 22**

Subject: **Preliminary Participation Levels**

Requested Action:

Receive status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation.

Detailed Description/Background:

Preliminary Participation Levels:

Soft call results and participation agreement status have been updated and are included in Attachment A. In summary:

- Based on initial results, full subscription can be achieved.
- Based on projected, reduced participation from Sacramento Valley, approximately 13,000 AF may be available. The Reservoir Committee and Authority Board in May 2020 requested that outreach be conducted to try to achieve the 25% goal for Sacramento Valley participation.
- Outside of the Sacramento Valley, current participants identified interest in 5,000 AF and prior participants expressed interest in 10,000 AF of additional capacity, if available.

Outreach and Rebalancing:

Staff has initiated outreach to existing, prior, and new participants in the Sacramento Valley to determine interest in additional capacity. Existing members Colusa County Water District and Westside Water District are in the process of polling for increased participation interest. No prior or new interested parties have stepped forward within the Sacramento Valley at this time. Staff is moving to priority two and three of outreach and will provide an update at the August meeting. As a reminder, new and returning participants must be approved for admission according to Section 10 of the Participation Agreement, which includes a 75% weighted approval at the Reservoir Committee and 75% of Authority Board director approval as well as other requirements.

For reference, the approved priority system is as follows:

1. The goal is total Sacramento Valley participation of ~25%. Priority is given to current, prior and new Sacramento Valley participants in that order.
2. Existing Reservoir Committee participating members (with sub-priorities by seniority)
3. Prior Reservoir Committee participating members (with sub-priorities by exit date)

4. Nonparticipants. Priority for new participants outside of Sacramento Valley.

The process for a participant securing a position in the participation queue involves the following steps:

- Participants submit a letter of intent for new or increased participation prior to September 10, 2020 to secure a position in the queue. The letter should acknowledge the potential financial commitment. Attachment A shows the remaining contributed credit that participants can apply to prior participant payments.
- Staff prioritizes requests based on the approved rebalancing priority system.
- At the September meetings, the Reservoir Committee and Authority Board direct staff to offer capacity to participants in the queue.

Schedule:

The following items will be considered at the Reservoir Committee and Authority Board at their monthly meetings:

- August: Outreach update.
- September: Final participation levels for current members (executed agreements), approval of participation queue, vote to onboard new participants.
- October: Final participation levels for new members (executed agreements), approval of the final Exhibit A to the Participation Agreement, invoices sent for payment.

Prior Action:

June 18, 2020: The Reservoir Committee received a status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation.

May 21, 2020: The Reservoir Committee accepted Exhibit B (2020 and 2021 budget) to the Second Amendment to 2019 Reservoir Project Agreement and directed staff to begin outreach to potential participants based on the proposed priority system to explore interest in Sites capacity, if available.

May 5, 2020: At a Reservoir Committee workshop, staff provided information on changes to the cash call process and schedule in light of potential economic impacts of the COVID-19 natural disaster on participating member agencies. A two-step cash call process including a "Soft Call" and a "Hard Call" will be used to better plan for changes in participation. The due date of the first cash call of $60/AF will be moved two months out to November 1, 2020. The due date of the second cash call will be moved two months out to April 1, 2021 and will be up to $40/AF. The Amendment 2 work will still begin on September 1, 2020.

April 17, 2020: The Reservoir Committee approved the Amendment 2 Work Plan for the purposes of planning cash calls for participants totaling $100/AF,

producing a draft budget exhibit to the participation agreement, and developing consultant task orders.

March 30, 2020: At a joint workshop, staff provided information that included a process overview of task order development; an updated project schedule; cash flows for Reservoir Committee and Authority Board; and preliminary task budgets including assigned resources.

March 19, 2020: Staff provided information on the goals, schedule, cash flow, and preliminary budget allocation by subject assuming a cash call of $100/AF. Staff provided a preliminary cash call schedule of $60/AF due September 1, 2020 and $40 due February 1, 2021.

February 21, 2020: Staff provided information on three possible revenue scenarios and a task list for Amendment 2 (September 1, 2020 and ending December 31, 2021). Staff received direction to continue to develop the $100/AF revenue scenario.

January 17, 2020: Staff provided information regarding the proposed process for developing a work plan. This work plan proposes to focus on improving certainty related to project operations, permitting, and affordability, and to meeting the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive funding.

Fiscal Impact/Funding Source:

The total cash call remains unchanged from the approved Amendment 2 work plan in the total amount of $100/AF. With the Amendment 1B carryover funds it may be possible to reduce the total cash call. However, Staff proposes to keep the first call at $60/AF and reassess the second call currently projected at $40/AF midway through the Amendment 2 work. At that time, any adjustments to the second call can be considered based on the then current projections. Participating members can consider the second call to be an "up to" amount since the Amendment 1B carryover represents previously unanticipated funds for the Amendment 2 work plan.

Staff Contact:

Jerry Brown

Attachments:

Attachment A – Preliminary Amendment 2 Participation Table.

Preliminary Amendment 2 Participation Table
Reservoir Committee

Based on preliminary soft call survey results as of 12pm Wednesday, 7/1/2020

Working Draft, Subject to Change

Participant	Amendment 2 Agreement Status	Phase 2 (2019) Participation Level (Ref, AF)	Participation Level (AF) Staff Recommendation	Variance (AF)	Participation Increase Interest (AF)
Antelope Valley East Kern WA		500	500	0	
Carter Mutual Water Company		300	300	0	
City of American Canyon		4,000	4,000	0	
Coachella Valley Water District		10,000	10,000	0	
Colusa County	Approved	10,000	10,000	0	
Colusa County WD**		11,975	11,000	-975	Possibly
Cortina WD		450	450	0	
Davis Water District		2,000	2,000	0	
Dunnigan WD		2,717	2,717	0	
Desert WA		6,500	6,500	0	
Glenn Colusa Irrigation District		5,000	5,000	0	
LaGrande water district		1,000	1,000	0	
Metropolitan Water District of Southern California		50,000	50,000	0	
RD-108		4,000	4,000	0	
San Bernardino Valley Municipal Water District	Approved	21,400	21,400	0	
San Gorgonio Pass Water Agency		14,000	14,000	0	
Santa Clara Valley WD***		16,000	16,000	0	
Santa Clarita Valley Water Agency		5,000	5,000	0	5,000
Westside W.D.**		15,000	2,000	-13,000	
Zone 7 Water Agency		10,000	10,000	0	
Wheeler Ridge-Maricopa WSD		3,050	3,050	0	
Pacific Resources MWC*		0	0	0	10,000
Total		**192,892**	**178,917**	**-13,975**	**15,000**

**Phase 1 participant, no survey response received. Interest expressed by a responding Phase 2 participant.*

***Indicated possibility for higher participation, lower projected participation level shown*

****Response is pending, full participation assumed by Sites staff*

Sites

Topic: **Authority Board Agenda Item 3.5** **2020 July 22**

Subject: **Organizational Assessment Quarterly Update**

Requested Action:

Review and comment on the July 2020 Quarterly Update of the plan and schedule for addressing the Organizational Assessment (OA) findings and recommendations.

Detailed Description/Background:

The approved OA Report includes findings and recommendations aimed at improving the overall effectiveness of the organization. The Authority Board directed Staff to provide a plan and schedule for addressing the report findings. Staff recommended a plan and schedule, including quarterly progress updates to the Reservoir Committee (RC) and Authority Board (AB). The RC and AB accepted the recommendation at their respective April 2020 meetings. This provides the first quarterly update to the plan and schedule.

Staff reviewed all items in the plan and schedule and generally made the following adjustments to reflect current circumstances:

1. Status column has been completed to reflect the current status for each action.
2. "Target Board Item Date" has been reviewed and updated for some action based on the detailed Project schedule and status of project activities.

Staff continues to work diligently to address the Organizational Assessment findings and recommendations. As reflected in the attached July 2020 update to the plan and schedule, of the 43 total actions, 9 have been completed, 3 are completed and in on-going tacking status, 2 are partially completed, 12 are in progress, 8 will be starting shortly, and 9 are items that have been assigned to the RC and AB to complete (most of these will be accomplished in the Strategic Planning effort).

The next Quarterly Update is scheduled for October 2020. For the October update, staff will be consolidating the matrix to continue tracking only those items that have not been completed and group items by major category to align with 2021 key milestones (i.e., Plan of Finance, Strategic Plan, Permit Discussions, Revised EIR, and Federal/State Involvement).

Prior Action:

April 17, 2020: Accepted plan and schedule for addressing the Organizational Assessment findings and recommendations.

Draft_0028693

November 21, 2019: Darling H20 Consulting, Inc. presented the draft Organizational Assessment.

August 26, 2019: Approved a budget reallocation for the organizational assessment and execution of a sole-source professional services agreement with Darling H2O to perform an organizational assessment.

July 22, 2019: Discussed working on an organizational assessment plan to evaluate the structure of the Sites Project's program management team, Reservoir Committee and the Authority.

Fiscal Impact/Funding Source:

Efforts to address the findings and recommendations are being made within the Amendment 1B and Amendment 2 work plans. No additional funds are needed to support these efforts at this time.

Staff Contact:

Ali Forsythe

Attachments:

Organizational Assessment Matrix – July 2020 Quarterly Update

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
1.1	F	Prepare an analysis of the major regulatory decisions being made regarding flow in the Sacramento River and Delta and determine flow-related permitting strategy with identification of risks.	Permitting strategy memo was prepared by ICF in May 2019 and updated through CDFW meetings in fall/winter 2019. A revised version that will include updates with recent relevant changes is in progress.	Staff will review prior related materials and prepare the analysis identified.	High	October 2020	Ali Forsythe	Starting shortly. Will begin after development of the Revised EIR/EIS project description and identification of the Preferred Project in September.
1.2	E	Prepare an analysis of the major comments received on the draft EIR/S. Identify approach to addressing those comments and working with specific commenters.	• March 29, 2019 – Jacobs provided a memo that included Draft EIR/EIS Comments Matrix, Master Response Topics, Key Comment Letter Summaries, and draft Initial Responses. • April 12, 2019 – Strategy meetings were initiated with ICF, Reclamation and Authority to address overall approach as well as specific topics. Based on these strategy sessions, an outline for the Final EIR/EIS was prepared and an approach for responding to comments, including master responses to comments was identified and forwarded to both CEQA (Authority) and NEPA (Reclamation) legal counsel. • June 5, 2019 – ICF provided master response annotated outlines and commenced preparing responses. In addition, the Authority EPP began meeting with key commenters, (e.g., CDFW, NRDC, Humboldt County, etc.) to clarify issues of concern.	• The work that has been completed to date in responding to comments will be utilized in either the completion of a Final EIR/EIS or in preparing a Recirculated Draft EIR/EIS. Assuming recirculation of the Draft EIR/EIS is the preferred approach for moving forward based on the status of the Value Planning effort, ICF is in the process of preparing a strategy/work plan to be completed in May 2020. • The Authority EPP will also continue outreach to Draft EIR/EIS commenters and Agencies as efforts move forward.	High	• Analysis completed under original work plan and to be updated April 2020 with preparation of EIR/EIS Work Plan • Meetings with specific commenters – ongoing throughout 2020 and 2021	Ali Forsythe	Partially completed. • Revised EIR/EIS Work Plan completed. As part of this effort, all comments were reviewed and approaches to revising the EIR/EIS to address prior comments were identified. • General meetings with some commenters completed. Additional meetings planned for post September 2020.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
1.3	E	Identify legal requirements of the environmental laws that Sites will be required to comply with.	Preliminary list of permit requirements and other approvals were included in the Draft EIR/EIS released in August 2017.	The preliminary list will be updated in the development of the Revised Draft EIR/EIS.	Medium	December 2020	Ali Forsythe	Starting shortly. Will begin after development of the Revised EIR/EIS project description and identification of the Preferred Project in September.
1.4	F	Establish a permitting flow chart with realistic timelines.	In 2019, the Environmental Planning and Permitting team developed and maintained an MS Project schedule.	• Environmental Planning and Permitting team along with the Operations and Engineering teams is currently developing a detailed project schedule focusing on activities thru the end of 2021. • Develop planning / permitting flow chart and add in key dates from schedule effort. • Present summary schedule and flow chart to Res Com and Board and then track progress monthly thereafter.	High	• April 2020 Res Com and Board meetings – Detailed and summary schedule completed along with flow chart • On-going – Track and report on progress	Ali Forsythe	On-going Tracking. • A detailed project schedule that includes activities through the end of 2021 has been developed and was presented to the Reservoir Committee and Authority Board in the "Work Plan for September 1, 2020, to December 31, 2021" at the April 2020 Reservoir Committee and Authority Board meetings. • A summary of the schedule was developed and provided to the Reservoir Committee and Authority Board at the May 2020 meetings. • Tracking will be ongoing.
1.5	E/F	Prepare analysis of the draft EIR/S for use by all of the permitting agencies to issue permits upon the finalization of the EIR/S. Identify schedule for document completion.	A preliminary list of permit requirements and other approvals were included in the Draft EIR/EIS released in August 2017.	• Analysis – As the team works to develop a revised project description and revise the Draft EIR/EIS, the permitting agency comments will be reviewed in response to Action 1.2 and a regulatory agency technical team will be formed in response to Action 2.4. These two efforts will collectively address the analysis request in this action. • Schedule – See Action 1.4 for schedule development.	Addressed thru other Actions	--	--	See Status for Actions 1.2, 1.4, and 2.4.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
1.6	Executive Director	Determine if Water Commission has authority to grant schedule relief. If yes, then formally request schedule relief. If no, then figure out a legislative fix and timing.	The relevant deadlines are specific in the voter approved bond language. The current COVID -19 situation presents an opportunity for possible adjustment.	Work with Legal Counsel, and Gov't Relations Team to review statue in detail and determine options. Action plan being developed.	High	May 2020	Jerry Brown	In-progress. It was determined that the schedule requirements were in the bond language which means it cannot be changed without going to the voters. A joint letter with other WSIP grant recipients was submitted to the CWC for consideration of releasing additional funding sooner. Also, defining what constitutes feasible and 75% local cost share commitment is being pursued.
1.7	C	Prepare an analysis of the pros and cons along with a staff recommendation to present to the governance bodies on whether to spend additional time and money pursuing WIIN Act funding.	Extensive coordination with Reclamation has been conducted to date and Reclamation anticipates providing its final review process of their Feasibility Report in April. Staff has also developed a strategy on how to bring the Federal Feasibility Report in line with the Value Planning effort by next summer (2021).	Staff will prepare the analysis requested and elevate for decision-making to the Reservoir Committee and Board.	High	June 2020	Kevin Spesert	Completed. Federal Legislative Priority and State Legislative Priority were presented at the May and June 2020, Reservoir Committee and Authority Board meetings, respectively.
1.8	--	Determine if there is a viable strategy to phasing, which could allow for additional project partners.	The Value Planning exercise has formulated potential projects around meeting the needs of current participants with the opportunity to expand in the future.	Reservoir Committee/Board will be selecting a preferred project in April. All alternatives have the ability to be phased should the Reservoir Committee/Board chose to do that.	No Action	--	--	--
2.1	F	Develop an interest, science based permitting strategy.	See Action 1.1.		High	October 2020	Ali Forsythe	Starting shortly. Will begin after development of the Revised EIR/EIS project description and identification of the Preferred Project in September.
2.2	F	Determine when appropriate for project staff and governance members to be involved in the permitting process and at what level.	--	This will be addressed in the strategic planning exercise.	--	June 2020	--	Completed. A negotiations approach for permits and operating agreements, which identifies the roles of governance members in the permitting process, was approved by the Reservoir Committee and Authority Board meetings in June 2020.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
2.3	F	Determine if overall project provides a "net environmental benefit" beyond cold water pool in Shasta. If yes, then work to get agency and NGO buy in.	Outside of the Refuge water supply and Delta smelt benefits, net environmental benefits were identified in the WSIP application related to cold water pool management in Shasta. CDFW expressed concerns with using these net environmental benefits to offset impacts in their 2081 permit – requesting "assurances" that benefits would occur.	• Revised net environmental benefits will be determined as part of the development of operational criteria. • Agency and NGO discussions are planned to occur throughout 2020.	High	December 2020	Ali Forsythe	Starting shortly. Will begin with the development of the operations and biological modeling efforts for the Revised EIR/EIS.
2.4	F	Establish a regulatory agency technical advisory committee at the staff level that meets regularly.	A number of meetings were held with CDFW, USFWS, and NMFS in 2019, including the CDFW "60-day" process that included technical, management, and executive level meetings.	A regulatory agency technical team will be established in spring / early summer 2020 as environmental planning and permitting activities are restarted. In addition, the internal Sites team (EPP, Integration and ICF) hold regularly established coordination and work planning meetings.	High	June 2020	Ali Forsythe	Completed. Two groups were established for near-term activities and discussed at the Reservoir Committee and Authority Board meetings in June 2020.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
3.1	D	Quantify and get agreement from the state and feds as to what the benefits are to an integrated operation with the SWP and CVP.	Modeling of Sites Reservoir operations scenarios have assumed an integrated operation of the SWP and CVP. Numerous discussions have occurred with DWR and Reclamation on operations criteria and benefits.	• Development of a revised operational scenario is scheduled to be completed in June 2020. • Modeling and analysis to quantify benefits of the revised operational scenario is scheduled to be completed in December 2020. • Sites staff will be working with Reclamation and DWR throughout the development and analysis of the revised scenarios to both quantify and obtain agreement on criteria and resulting benefits of an integrated operation with the SWP and CVP. • Discussions with SWP and CVP should be memorialized in draft agreements and the Operations Plan, Version 1.0 in 2020.	High	December 2020	Ali Forsythe	Starting shortly. Will begin with the development of the operations and biological modeling efforts for the Revised EIR/EIS.
3.2	C	Establish a strategy to get state and federal agencies to be storage investors.	• The State is committed to being an investor in the project through the WSIP program. • Federal participation is addressed in Action 1.7.	• Build schedule to meet the current requirements of the CWC WSIP to maintain state current level of investment • Continue to position with CWC to get additional funding through WSIP should funds come available. • Working with government affairs work group to position for potential funds in a November state water bond. • Address Federal participation through Action 1.7.	High	June 2020	Kevin Spesert	See Status for Action 1.7.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
4.1	H	Review all facility planning previously completed by DWR to make certain that there are not missed opportunities to integrate new facilities with existing facilities.	The Value Planning effort has taken a close look at project facility needs and costs and has re-evaluate all of the previously identified facilities.	Complete the Value Planning Report and adopt a new Preferred Alternative.	High	April 2020	Jerry Brown	Completed. Value Planning Report was adopted by the Reservoir Committee and Authority Board at their April 2020 meetings. Additional review of applicable facilities is included in the scope of effort for Amendments 1B and 2.
5.1	B	Once a schedule is developed, then make certain to include all anticipated Board decisions that are critical path to project development.	The work plan through December 2021 includes a detailed schedule that is nearing complete and will serve as the basis for adding in key board decision dates.	See Action 1.4	High	May 2020	Jerry Brown	See Status for Action 1.4 since critical path is currently environmental planning and permitting.
5.2	Board / Res Comm	Once a new schedule is agreed to, governance members need to dedicate enough time to keep up with the agreed upon pace of this project.	--	See Action 1.4	--	--	--	See Status for Action 1.4.
5.3	Executive Director	Get materials to governance members at least a week in advance that clearly identifies decisions to be made, any analysis being made in support of the authority staff recommended decisions, and other options that were considered.	Staff have previously developed templates for Reservoir Committee and Authority Board materials.	• Actions to implement a more inclusive and effective policy making process have been initiated. • Progress to be evaluated as part of strategic planning exercise.	High	April 2020 (with further adjustments thereafter)	Jerry Brown	Completed. • Adjustments to the Reservoir Committee and Authority Board meeting agendas and staff report format have been made in response to this Action. • Continuous improvements will be made based on feedback and as identified by members and Authority Agents.
5.4	Board / Res Comm	As part of the new schedule, each governance member should identify which decisions warrant staff making a presentation to their individual agency to make certain that they are in concurrence with the direction the project tis moving.	--	--	--	--	Fritz Durst / Thad Bettner	-- Currently, the focus is on completing the cash call process.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
5.5	--	Use joint Board workshops and individual agency meetings to walk thru assumptions and anticipated outcomes so that when a decision comes to governance members for approval, the information will not be new.	Staff has started to utilize joint Board / Reservoir Committee workshops in 2019.	• Staff will continue to propose and utilize joint Board / Reservoir Committee workshops as appropriate for specific topics. • Individual agency meetings may be initiated by staff or by an individual agency and tracked thru the process developed under • No additional specific action will be taken to address this Action.	--	Ongoing	--	In Progress. Workgroups and committees are meeting with the necessary frequency to support effective decision making at the Reservoir Committee and Authority Board level.
5.6	C	Review the costs and deliverables accrued to date and tie future cash calls to anticipated deliverables.	Staff worked to tie cash calls to deliverables in Amendment 1B efforts. However, some activities are more ongoing business that do not necessarily result in a deliverable. Draft Amendment 2 Workplan includes deliverables by service area and explains areas where there may not be a "deliverable".	• Draft 2019 Annual Report prepared addressing items such as accomplishments and expenditures in 2019. Waiting review and comments from Authority and staff will finalize April 2020. • Draft Amendment 2 will be finalized end of April 2020.	High	April 2020	Joe Trapasso	In-progress. • 2019 Annual Report was approved by the Reservoir Committee and Authority Board at their April 2020 meetings. • Reservoir Committee and Authority Board approved the Amendment 2 Work Plan at their April 2020 meetings. • Amendment 2 Task Orders are expected to be reviewed at the August 2020 Reservoir Committee and Authority Board meetings.
6.1	A	Determine process and schedule to deliver cost per acre-foot and long-term debt information to project investors.	Cost per acre-foot has historically been developed and shared with members. In late 2019, staff developed a "Cash Flow" tool to help show long-term debt information.	• Continue to present cost per acre-foot when discussing affordability. • Update Cash Flow tool periodically as assumptions change or become outdated.	High	• April 2020 – Updates as a result of Value Planning efforts • Future – Periodic updates as changes occur	Jerry Brown	Completed. • The Value Planning Report, which included updated cost per acre-foot, was adopted by the Reservoir Committee and Authority Board at their April 2020 meetings. • Costs will be refined with the Plan of Finance expected to be initiated in September 2020 and be completed in summer 2021.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
7.1	--	Determine process and schedule to deliver how long-term costs of this project compare to other sources of water.	Information on costs of other sources of water is difficult to obtain. In addition, the "value proposition" for each member agency will be different depending on a number of factors including ag, M&I uses, water located north or south of Delta, length of agreement, and risks.	As this is different for each member and information is difficult to obtain, no additional work is proposed.	Low	--	--	--
8.1	A	Prepare a financial plan that lays out the potential sources of funding including California IBank.	• The scope and budget for the development of a financial plan was deferred by the Reservoir Committee / Board to a later date. • Exploratory conversations with IBank date back to September 28, 2017. Changes to their program would be needed for members to use this source.	• Develop finance plan as part of Amendment 2. • Continue to identify potential grant and other funding sources - at both the state and federal levels - that could reduce the project's finance costs. • Continue to work with Water Commission on timing of additional Prop 1 funds becoming available, since the original funding amount was less than the amount they deemed Sites to be eligible to receive.	Medium	July 2021	Joe Trapasso	Starting shortly. This effort is planned as part of Amendment 2.
9.1	F	Prepare a Board briefing on the comparative costs of mitigation from comparable projects to help determine a level of reasonableness.	Mitigation cost technical memorandum was prepared by CH2M Hill in 2016. Additional mitigation cost reviews were prepared in October of 2019 and February 2020 for the Value Planning Process.	Mitigation planning and a class 4 cost estimate are scoped to occur in Amendment 2 (late 2020/early 2021).	High	Late 2020	Ali Forsythe	In Progress. • The Value Planning report included a review of the mitigation cost estimate and comparison to other projects. • Further refinement of these estimates will occur as part of Amendment 2.
10.1	Executive Director	Determine process and schedule to deliver information regarding the cost of storage versus the cost of yield in the context of developing a tiered pricing approach.	--	The approved Storage Policy addresses this issue. Additional work is needed to allocate costs according to storage benefits.	Medium	Nov 2020	Jerry Brown	Starting shortly. This effort will be part of the Plan of Finance development.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
11.1	B	Once the governance members agree on a project schedule then have staff only focus on scope thru permitting and come up with a budget.	Work Plans are developed by staff and approved by the Authority Board. These Work Plans identify specific tasks and priorities and Authority staff work to execute these plans as written.	Draft Amendment 2 work plan completed and will be reviewed and commented on by Authority in April 2020. Staff will make necessary changes and finalize. Focus activities thru December 2021 on those activities identified in the Amendment 2 Work Plan.	High	April 2020	Joe Trapasso	See Status for Action 5.6.
12.1	Board / Res Comm	Hold team building sessions to better understand how governance members process information and make decisions with the anticipated outcome for governance members and Authority staff to agree upon their respected roles and responsibilities.	--	--	--	--	Fritz Durst / Thad Bettner	In Progress. This will be addressed as part of the strategic planning session in August 2020.
12.2	Executive Director	Authority staff should work to make Board meetings more of a final sign off or endorsement of a policy decision or budget approval.	This Action is similar to Action 5.5.	See Action 5.5.	--	Ongoing	Jerry Brown	See Status for Action 5.5.
13 (13.1 to 13.5)	B	Staff will document and track all governance member questions and provide responses to the governance members.	--	Staff initiated work on a tracking tool to track member questions and information requests and update it on a regular basis.	High	Ongoing	Joe Trapasso	In-progress. Staff are documenting all questions and reviewing the list internally to ensure member questions are addressed and appropriate follow up is completed.
14.1	F	Concerns with the structure and information flow from the CDFW discussions.	--	• Hold more frequent Environmental and Permitting Work Group meetings to provide updates on the CDFW efforts. • Continue to provide updates at the Operations Work Group meetings on the CDFW efforts.	High	Throughout 2020	Ali Forsythe	In-progress. • Discussions with CDFW have been reinitiated to ensure the coverage within the Revised Draft EIR is sufficient for permitting purposes. • A check-in schedule with the CDFW Director has been prepared.
15.1	Executive Director	Prepare a discussion paper on what lessons have been learned by other similar projects that can potentially be adopted for use by the Sites Project.	--	Staff continuously monitors other projects for lesson learned and applies them to this Project as appropriate.	Low	Ongoing, verbal	Jerry Brown	In-progress.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
15.2	Board / Res Comm	Create a joint strategic plan for the Reservoir Committee and Board.	--	Issue a Request for Proposal for a Strategic Plan effort and contract with a Strategic Planner.	High	December 2020	Jerry Brown	In-progress. • A contract for strategic planning services was approved by the Reservoir Committee and Authority Board at their May 2020 meeting. • A strategic planning session is planned for August 2020.
16.1	C	Create a strategy to get Reclamation to the table to describe what the local investment will do for their contracts.	See Action 1.7.	Such as strategy will be coordinated with Action 1.7.	High	June 2020	Kevin Spesert	See Status for Action 1.7.
16.2	B	Explore the concept of beneficiary pays to accurately account for the benefits received by each investor and consider a tiered pricing system.	The concept of beneficiary pays has been discussed a number of times. Once a Preferred Project has been selected and additional design / use / beneficiary information is better understood, the concept of beneficiary pays can be explored further. See Action 10.1.	• Explore the concept of beneficiary pays as design and cost information progresses. Refine and have an agreed upon approach for Phase 3 (post 2021). • Governance considerations including potential tiered pricing will be discussed in the strategic planning exercise.	Medium	Late 2020 / Mid 2021	Joe Trapasso	See Status for Action 8.1 and 10.1. This will be addressed as part of the Plan of Finance development.
16.3	Board / Res Comm	Formally request south of Delta investors to identify ways of keeping north of Delta ag in the project.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--
17.1	B	Perform analysis to validate if increased partnerships would result in the project becoming more affordable.	--	Dependent on approval of upcoming funding request results.	Medium	April 2020	Joe Trapasso	See Status for Action 6.1
18.1	Board / Res Comm	Celebrate and memorialize the cooperative relationship between north of Delta and south of Delta participants on the Project.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--
19.1	Board / Res Comm	Formalize the roles and responsibilities between the Res Comm and Board and look for ways to reduce duplication.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--
20.1	Board / Res Comm	Complete analysis of the legal requirements for the Res Comm and Board governance. Consult with other Prop 1 recipients that have multiple partners to see how they are set up.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--
20.2	Board / Res Comm	Depending on the results of Action 21.1, have a policy level discussion to decide if the Res Comm and Board make-up can be and should be changed.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
21.1	Board / Res Comm	Rules for membership on the Authority Board are not understood. Get a legal and policy review of the composition of the Board to inform a discussion and decision on Board composition.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--
22.1	Executive Director	Prepare a legal analysis of what are appropriate materials to be covered in closed session.	--	The analysis will be prepared and presented to the Res Comm and Board.	High	August 2020	Scott Kuney	On schedule.

Sites

Topic: **Authority Board Agenda Item 3.6** **2020 July 22**

Subject: **Strategic Planning Session Agenda**

Requested Action:

Review and comment on the Strategic Planning Session Draft Agenda.

Detailed Description/Background:

The Catalyst Group was hired by the Authority to prepare a strategic plan and facilitate a strategic planning session. The consultant has reviewed the organizational assessment findings and has conducted interviews with coordinating committee members (Reservoir Committee (RC) and Authority Board (AB)) and key staff. Based on this input, the attached draft agenda has been prepared for the strategic planning session and the consultant would like the RC's feedback before finalizing pre-meeting activities and plans for the day.

In addition, before the meeting, the Catalyst team will be soliciting additional input regarding the strategic plan through an online survey to be distributed to the Authority Board, Reservoir Committee, agents, and select consultants. The purpose of the joint workshop is to discuss and affirm the Authority's vision, mission, values, goals, and objectives.

Currently, the strategic planning session is scheduled to occur Thursday, August 13, 2020. Due to uncertainties of the COVID situation, accommodations were attempted for a north and a south meeting location along with virtual meeting accommodations. However, only a north location has been secured at this time.

Staff wanted to hold the meeting in-person but sees the upsides of having the meeting sooner in line with ongoing project activity outweighing the downsides of waiting for the COVID situation to pass. The consultant will have a live facilitator and video capabilities at each facility. The consultant is also evaluating options for making the virtual participation as interactive as possible. This will be a joint meeting of the RC and the AB and members will have the option of attending in the manner they are most comfortable with.

The strategic plan document will be written following the session and a draft is scheduled to be delivered in September 2020.

Fiscal Impact:

Sufficient funds for the strategic plan and facilitation are included in the Amendment 1B work plan. Funds and contract authority previously authorized by the board for Catalyst and Darling H2O to assist with strategic plan implementation are being held for these activities.

Prior Action:

May 27, 2020: Approved a consulting contract with The Catalyst Group for facilitation services in an amount not to exceed $50,000 and approve a time extension through December 31, 2020 for the existing contract with Darling H2O.

April 22, 2020: Approved the Organization Assessment Report as follows: the plan and schedule for addressing the report findings and recommendations, the scope, schedule and budget for strategic planning facilitation services and release a Request for Proposals for strategic planning facilitation services.

December 20, 2019: Approved Darling H20 to expand the scope of work to support the recruitment of a facilitator for Strategic Planning.

November 21, 2019: Darling H20 Consulting, Inc. presented the draft Organizational Assessment.

August 26, 2019: Approved a budget reallocation for the organizational assessment and execution of a sole-source professional services agreement with Darling H2O to perform an organizational assessment.

July 22, 2019: Discussed working on an organizational assessment plan to evaluate the structure of the Sites Project's program management team, Reservoir Committee and the Authority.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Proposed Draft Agenda.

Joint Strategic Planning Workshop

8:30 **I. Welcome, Agenda Overview, Introductions — Jerry Brown & Charles Gardiner**

Workshop Purpose: Identify & affirm Authority **vision, mission, and values** and **project and organizational goals** to achieve our mission.

Objectives:

- Review and affirm Vision, Mission, and Values statements.
- Identify, modify, and affirm the high-level goals to achieve an *approved, funded project*.
- Identify, modify, and affirm *organizational* needs to lead the project.

Introductions: Board and Reservoir Committee members introduce themselves and state their objectives for the day.

Protocols: Review meeting process guidelines

8:50 **II. Review Strategic Plan Intent, Content & Progress to Date — Facilitator**

Strategic plan purpose and timeframe:

- Sets vision, mission, values, and broad project goals and organizational....
- Will be used to....
- To be addressed today....and in future work.

Key Findings: Review key findings emerging from staff and Coordinating Committee interviews/meetings.

Discussion: Q&A on Strategic Plan, findings, and agenda.

9:15 **III. Review and Affirm Strategic Plan "Vision, Mission & Values" — Facilitator**

10:20 ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ **BREAK 10 mins** ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~

10:30 **IV. Review & Affirm Content of the Strategic Plan Goals & Objectives — Facilitator**

Goals and Objectives: Present, discuss, and affirm 3-5 strategic plan goals for the project and organization.

11:50 **V. Review Work Task and Break into Work Groups — Facilitator**

12:00 ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ **Working Lunch** ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~

12:15 **VI. Breakout Session #1 – Identify Core Challenges/Needs for 2-3 Goals (Breakout Group for each Goal)**

What is needed to achieve the high-level project goals – approaches, relationships, teams, knowledge, deliverables, etc.?

1:30 **VII. Breakout Session #2 – Identify Challenges/Needs for the Organizational Goal(s) (2-3 breakouts)**

How does the organization need to grow and develop to own and lead the project?

2:15 **VIII. Reconvene as Full Group – Summarize Outcomes & Agree on Broad Themes**

Reports from breakout groups. Refinement and direction to work groups/staff to refine the goals and develop implementation strategies.

2:55 **Next Steps & Adjourn**

DRAFT

Topic: **Sites Reservoir Project, Phase 2**

Subject: **Monthly Status Report** Report Period: **2020 June**

Monthly Status Summary:

The development of the Sites Project continues to make progress in the critical areas including the following activities:

WSIP Funding:

- Meetings were conducted with CWC staff to evaluate administrative actions that could be taken to accelerate early funding to the project. Of these measures, release of retention has been authorized and a revised scope of work that redistributes funds within existing tasks is being reviewed. Combined these actions would accelerate approximately $2-$3M of state funding to the project in the Amendment 2 work plan that was not previously anticipated. A third action to temporarily allow higher state cost share is under consideration as well. Staff continue to pursue further definition from CWC on the 1/1/2021 requirements for feasibility and 75% local cost share commitment.

WIIN Act Funding:

- See "Coordination with Reclamation" section below.

USDA Funding:

- No update.

Engineering:

- Engaged PG&E and WAPA in power delivery discussions.
- Continued feasibility analysis and design of project alternatives.
- Continue supporting data needs for preparation of the Project Description.

Coordination with Reclamation:

- Began coordinating with Reclamation for in-kind work associated with Geologic & Geotechnical exploration efforts in support of the feasibility design.
- Continued to work with Reclamation planning and environmental teams on the National Environmental Policy Act approach, including identification of schedule for Reclamation review process assuming joint environmental document.
- Provided updated materials for Financial Assistance Agreement application for WIIN Act funding.

Environmental Planning and Permitting:

- Continued development of the project description for use in the Revised Environmental Impact Report / Environmental Impact Statement (Revised EIR/EIS) and the Biological Assessment.

- Finalized the Revised EIR/EIS Work Plan. The Revised EIR/EIS Work Plan identifies the approach and technical work (environmental, operations and engineering) that will be needed to support the analysis performed under Amendment 2.
- Updated the CEQA project objectives in response to feedback to support alternatives selected for the Revised Draft EIR/EIS analysis.
- Completed approach to permit and agreement negotiations for Environmental Planning, Permitting and Operations.
- Continued technical discussions and planning activities for the water right permitting and a 2020 initial meeting with state Board Staff
- Completed approach for Regulatory Agency Technical Working Group.
- Continued data exchange, water quality and geotechnical small technical working groups to facilitate development of those project components for use in the Revised EIR/EIS, Biological Assessment and Sites Feasibility Report.
- Continued technical discussions and planning activities for state and federal Endangered Species Act and Clean Water Act permitting.

Operations:

- Refined negotiations approach for working on operational agreements with the Department of Water Resources and the Bureau of Reclamation.
- Progressed the development of operations criteria that will be built into the models for use in the Revised EIR/EIS and Biological Assessment. Criteria in development include determining the modeling baseline, modeling of Shasta exchanges, diversion criteria, water demand, and coordination with the State Water Project.

Stakeholder Engagement, Public Outreach & Real Estate:

- Planning and logistics for the July 14th & 15th Sites Town Hall meetings.
- Facilitated a project update meeting for the Water Education Foundation.
- Continued engagement and outreach efforts with landowners, local community members, state and federal elected officials, non-governmental organizations and coalitions of regional and statewide organizations to introduce the Value Planning Report and the new "rightsized" project.
- Responded to landowner requests for project information, facilitated coordination activities with local government agencies and organizations and planned for future landowner, stakeholders and general public outreach activities and events.

Program Management & Administration:

- Provided update to Reservoir Committee and Authority Board on project rebalancing process.
- Supported WSIP Funding Strategy and options for leveraging State Funds via coordination meetings with Water Commission Staff.
- Implemented process and templates for Amendment 2 Work Plan Task Orders for service area providers.

Draft_0028710

OVERVIEW OF VALUE PLANNING PROCESS

MARCH 25, 2020

Sites

Value Planning Work Group

Participants:
Kunde, Vanderwaal, Evans, Azevedo, Bettner, Sutton, Traynham, Carter, Murphy, Ruiz, Neudeck

Key staff/consultants:
Frederiksen, Spesert, Forsythe, Herrin, Tull, Rude, Barnes, Spranza, Arsenijevic, Warner Herson, Heydinger, Grubbs

Draft_0028712

Ad Hoc Value Planning Work Group

- Representatives of the Reservoir Committee and Authority Board formed the Ad Hoc Value Planning Work Group in October 2019.
- Over several meetings, the Work Group directed the efforts of the Authority staff and consultant team to formulate and evaluate alternatives that would yield a more affordable project.
- On March 2, 2020, the Value Planning Work Group, through a sequential process of evaluating initial and refined alternatives, has identified a recommended project and two options that would provide the ability to complete the Project as circumstances evolve.

Value Planning Appraisal Report

- Purpose of report is to present information that the participants will find useful
- The draft Value Planning Appraisal Report will be submitted to the Reservoir Committee and Authority Board in March, 2020 for review and comment
- A workshop will be held on March 30, 2020 to provide opportunity for detailed questions on the process and analysis
- The final Value Planning Appraisal Report will be submitted to the Reservoir Committee and Authority Board in April, 2020 for approval

Draft_0028714

Project Objectives

Primary Objectives	Secondary Objectives
Improve Water Supply and Water Supply Reliability	Provide Opportunities for Recreation
Provide Incremental Level 4 Water Supply for Refuges	Provide Opportunities for Flood Damage Reduction
Improve the Survival of Anadromous Fish	
Enhance the Delta Ecosystem	

Draft_0028715

Key Components and Approach for Reducing Costs

Diversion Facilities for Filling – use the existing T-C and GCID and diversions rather than constructing new facilities.

Conveyance for Releases – use the existing T-C Canal to deliver water to the southern terminus of the canal. Releases could then be conveyed from the southern end of the T-C Canal to either the Colusa Basin Drain (CBD) or the Sacramento River.

Storage – smaller reservoir sizes, focusing on reservoir sizes of 1.5, 1.3, and 1.0 million acre-feet (MAF) to reduces the number and size of the dams and saddle dams along with related gates, towers, tunnels, and pumping facilities needed to fill Sites Reservoir.

Roads and Bridges – use shorter bridges with the use of constructed fill.

Elimination of Unsupported Components – Pump back hydropower has no apparent investors at this time.

Draft_0028716

Sites Reservoir Releases under Varying Storage and Release Capacities

Storage Capacity (MAF)	Long-term Average		
	1,500 cfs Release Capacity (TAF)	1,000 cfs Release Capacity (TAF)	750 cfs Release Capacity (TAF)
1.5	253	243	236
1.3	243	234	230
1.0	207	195	191

Meets participant demand (193+40=233)

Does not meet participant demand

Draft_0028717

Annual Repayment Costs per Acre-Foot of Release

	VP1			VP2			VP3		VP4		VP5	VP6	VP7
Release Capacity (cfs)	750			750			1,500		1,000		1,000	1,000	1,000
Reservoir Size (MAF)	1.0	1.3	1.5	1.0	1.3	1.5	1.3	1.5	1.3	1.5	1.3	1.3	1.5
Project Cost (2019 $, billions)	3.2	3.4	3.6	2.7	2.9	3.1	3.4	3.6	2.9	3.1	2.8	2.9	3.0
Annualized acre-feet/year Release (TAF)	191	230	236	191	230	236	243	253	234	243	234	234	243
PWA Annual Costs During Repayment Without WIFIA[a] Loan (2020 $, $/acre-feet)	862	776	804	730	667	692	737	754	660	678	631	659	648
PWA Annual Costs During Repayment With WIFIA Loan (2020 $, $/acre-feet)	798	724	754	664	613	641	688	707	608	628	577	607	598

Draft_0028718

Value Planning Group Recommended Project and Options

	VP5	VP6	VP7
	Option 1	Option 2	Recommended
Reservoir Size	1.3 MAF	1.3 MAF	1.5 MAF
Release Capacity	1,000 cfs	1,000 cfs	1,000 cfs
Estimated Cost (2019 dollars)	$2,779,000,000 to $2,814,000,000	$2,910,000,000 to $2,945,000,000	$2,961,000,000 to $2,996,000,000
Estimated Cost per Acre-Foot with WIFIA[a] (2020)	$577	$607	$598
Estimated Deliveries (Long-Term Average in TAF)	234	234	243
Key Options vs. VP7	-Smaller reservoir	-Smaller reservoir -Release pipeline to Sacramento River	

Note: Inclusion of WIFIA loan reduces costs by ~$50/AF

Draft_0028719

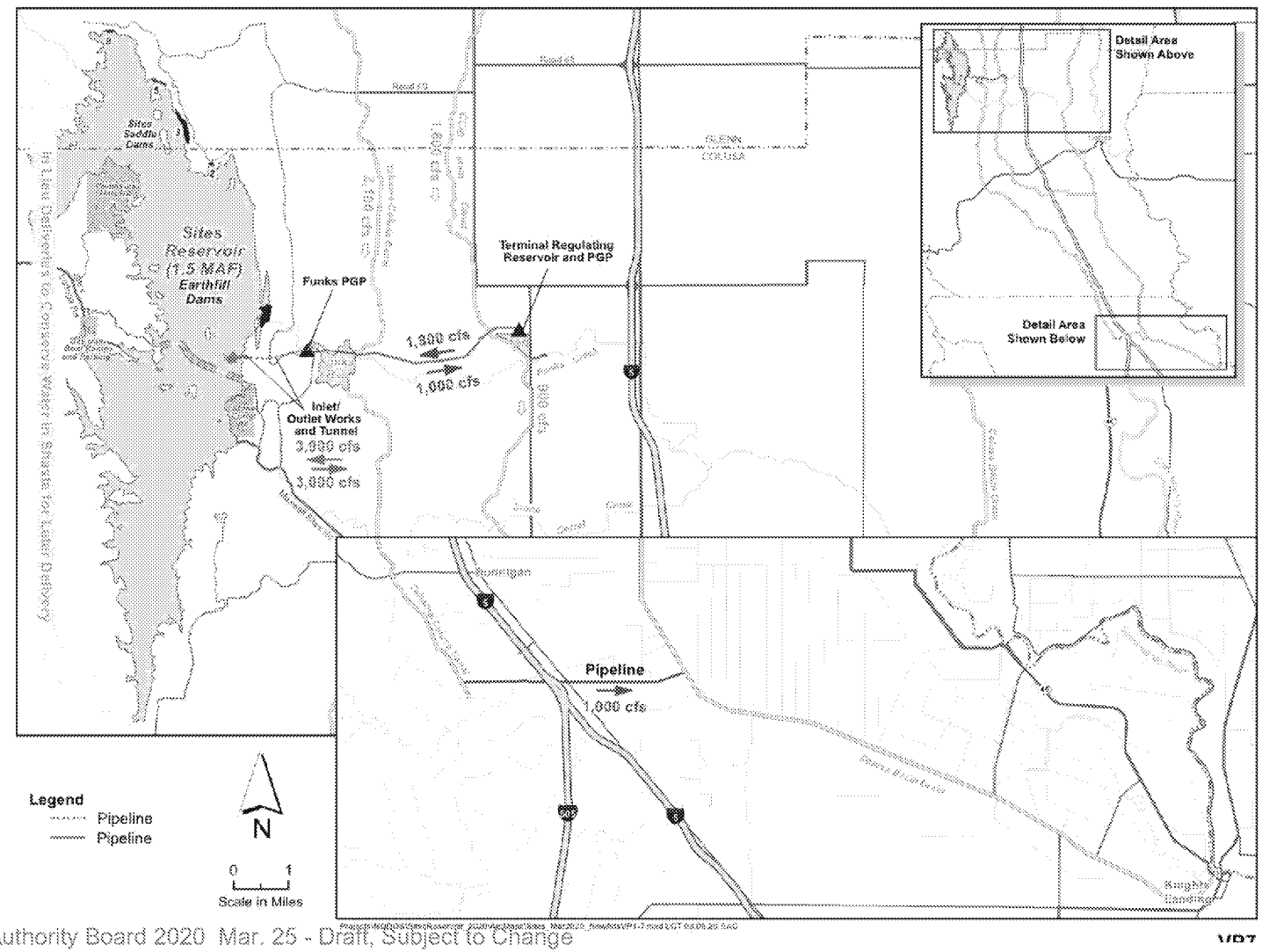
Value Planning Recommended Project and Options

The Value Planning Workgroup recommends the Project proceed as Alternative VP7. Although Alternative VP5 had the lowest overall cost and lower cost per acre-foot, the Value Planning Workgroup recommends VP7 based on higher deliveries at a comparable cost and improved operational flexibility with a 1.5 MAF reservoir.

The Value Planning Workgroup also recommends the subsequent analyses of the Project include options for a 1.3 MAF reservoir (per VP5) and a Dunnigan to Sacramento River 1000 cfs release pipeline (per VP6) in order to provide flexibility to respond to any future condition changes that might result in such facilities becoming preferable.

Draft_0028720

Recommended Value Planning Alternative (VP7)



Draft_0028721

END

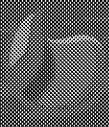
QUESTIONS?

Sites

AUTHORITY BOARD MEETING

MARCH 25, 2020

Agenda Item 6.3: Work Plan



Sites

Draft_0028723

Presentation Outline

Work Plan for Sept 2020 thru Dec 2021 (Amendment 2)

- Process and schedule to execute Amendment 2 Agreement
- Goals and objectives
- Status of Work Plan schedule
- Status of Work Plan budget
- Preliminary scope by subject and deferred work

Process and Schedule to Execute Amendment 2 Agreement

Now - April

- Input on Home Board needs
- Direction on work plan
- Workshop (March 30)
- **Approve (April 17):**
  1. **Value Planning**
  2. **Work Plan**

May - July

- Home Boards' consideration

  Staff support as requested

Responses to participation level due July 9

July

- **Approve Participation and first invoice**

  Res. Comm (July 16)

  Board (July 22)

Distribute 1st invoice (payment due Sept 1)

August

- **Approve consultant services contract amendments and initial task orders**

  Res. Comm (Aug 21)

  Board (Aug 26)

DISCUSSION:

QUESTIONS ON PROCESS

Sites

Draft_0028726

Proposed Goals to Complete by End of 2021

a. Operational plan and certainty

b. Permit certainty

- Admin draft water rights application prepared
- Biological Assessment submitted
- CDFW Incidental Take Permit application submitted
- Section 106 Programmatic Agreement signed
- Other key permits and/or agreements in progress

c. Environmental analysis and documentation (EIR/EIS) largely completed

Proposed Goals to Complete by End of 2021

d. Affordability & engineering design (work in progress)
   - Incorporate DSOD requirements
   - Incorporate CVFPB requirements
   - Address other construction-related permits and/or agreements

e. Stakeholder partnerships (and draft agreements)

Proposed Goals to Complete by End of 2021

f. Prop 1 *eligibility* milestone (Commission < 01/01/2022)

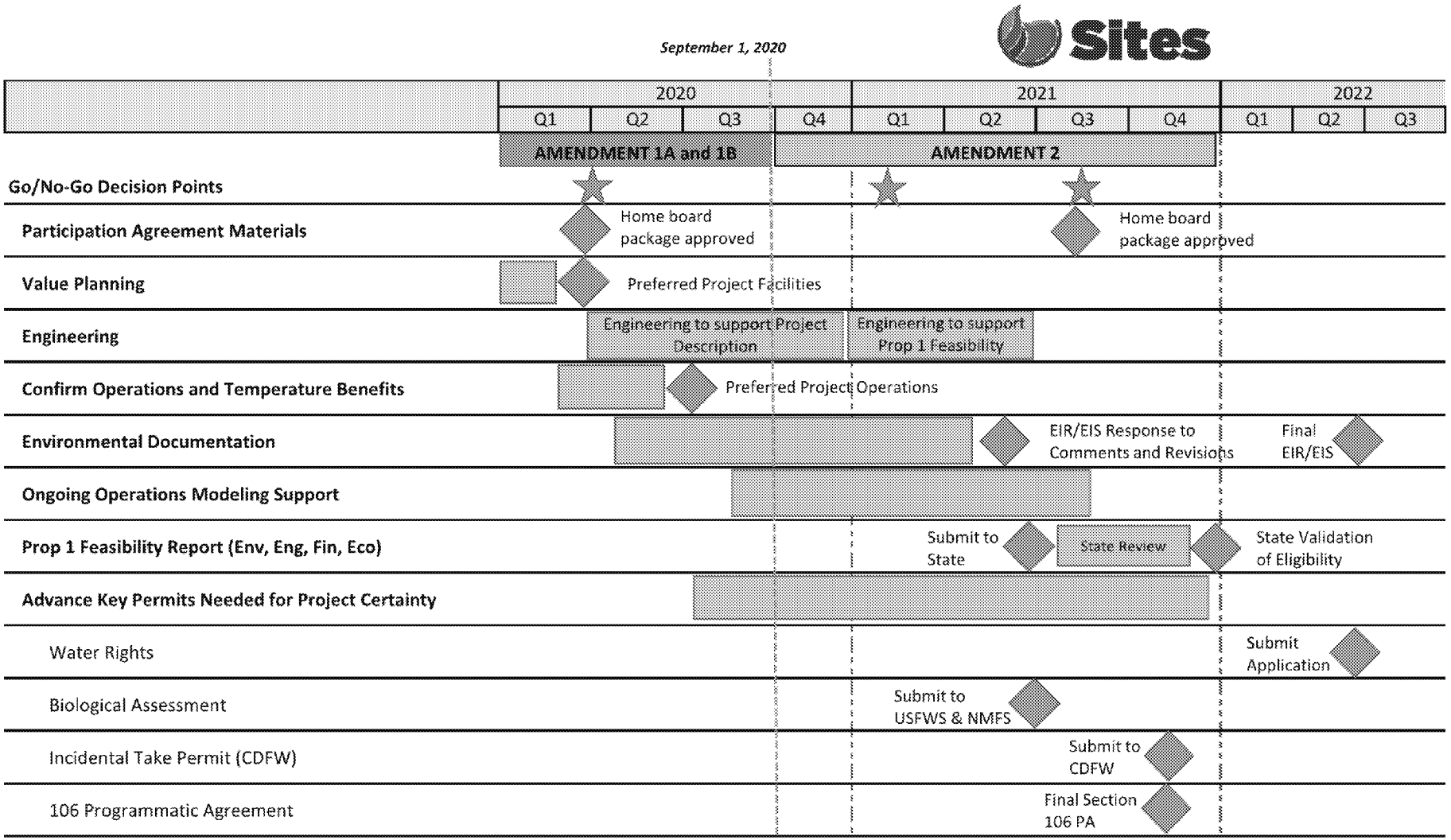
- Draft EIR available for public review
- Feasibility study completed
- Commission determines:
  - ✓ Draft EIR complete
  - ✓ Project is feasible (technical, economic, financial, and environmental)
  - ✓ At least 75% commitment for non-Prop 1 benefits (local agencies plus federal)

Proposed Goals to Complete by End of 2021

g. Manage go/no-go decisions

h. Prepare successor agreements

Schedule Targets (No Change)



NOTE: This graphic includes schedule drivers only and does not include all activities/deliverables.
This work plan is based on current participation commitments.

DISCUSSION:

LIVE DETAILED SCHEDULE REVIEW

Sites

Draft_0028732

Revenue Assumptions

- Carryover Funds: $750,000
- Local: $19,700,000
  - Reservoir Committee Cash Calls* ($100/AF): $19,200,000
  - Authority Board Seats: $500,000
- Federal (WIIN Act): $4,000,000
- State (Prop 1): $7,300,000
- Total Revenue: $31,750,000

**Assumes no change in subscription*

For every $1.00 of local investment, ~$1.50 is used to advance the project

Preliminary Cash Call Invoice Schedule

Objective:

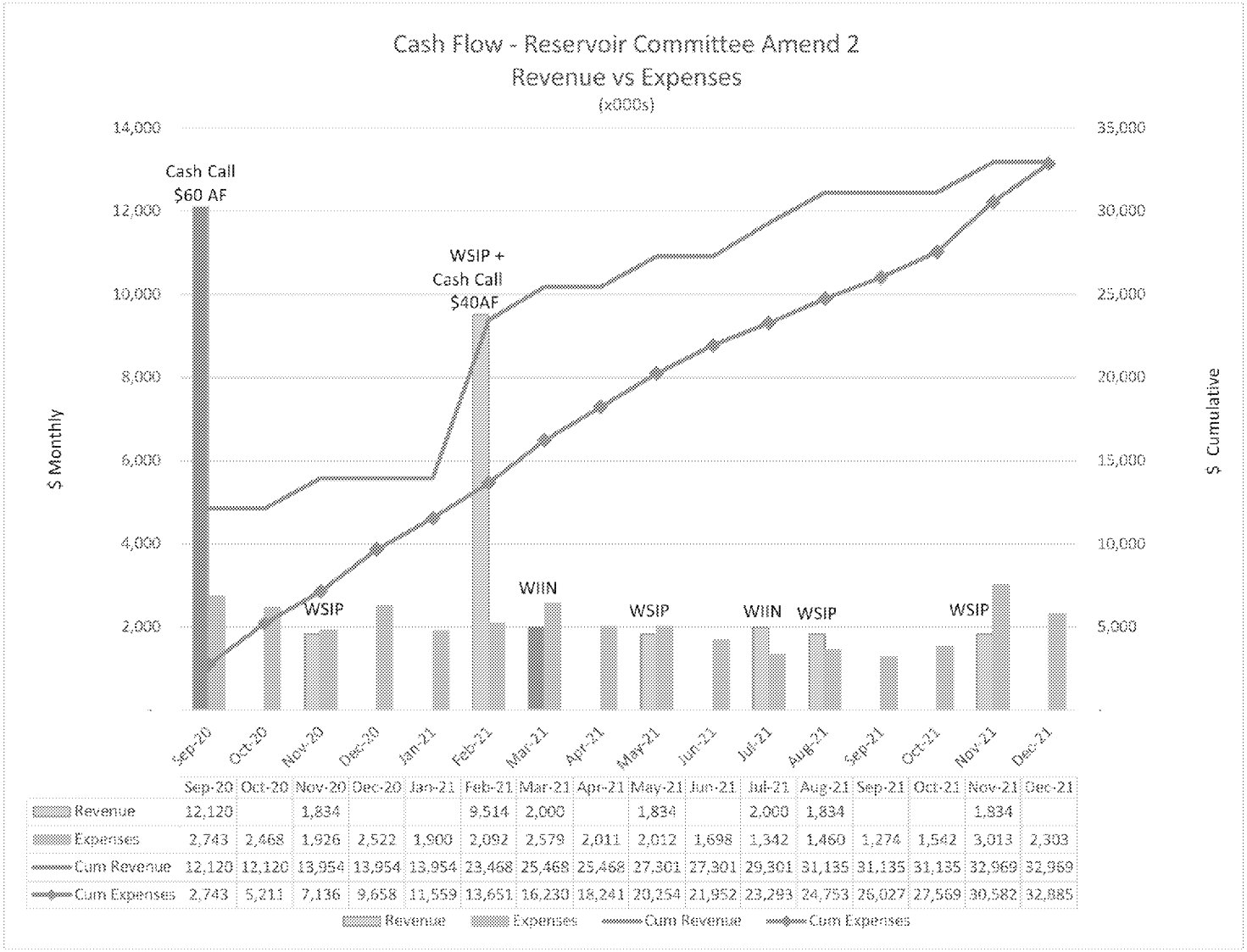
- Maintain cash positive position and avoid delays
- Leverage Prop 1 and WIIN Act funds

Participant Invoice Schedule:

$60/AF, Due September 1, 2020.

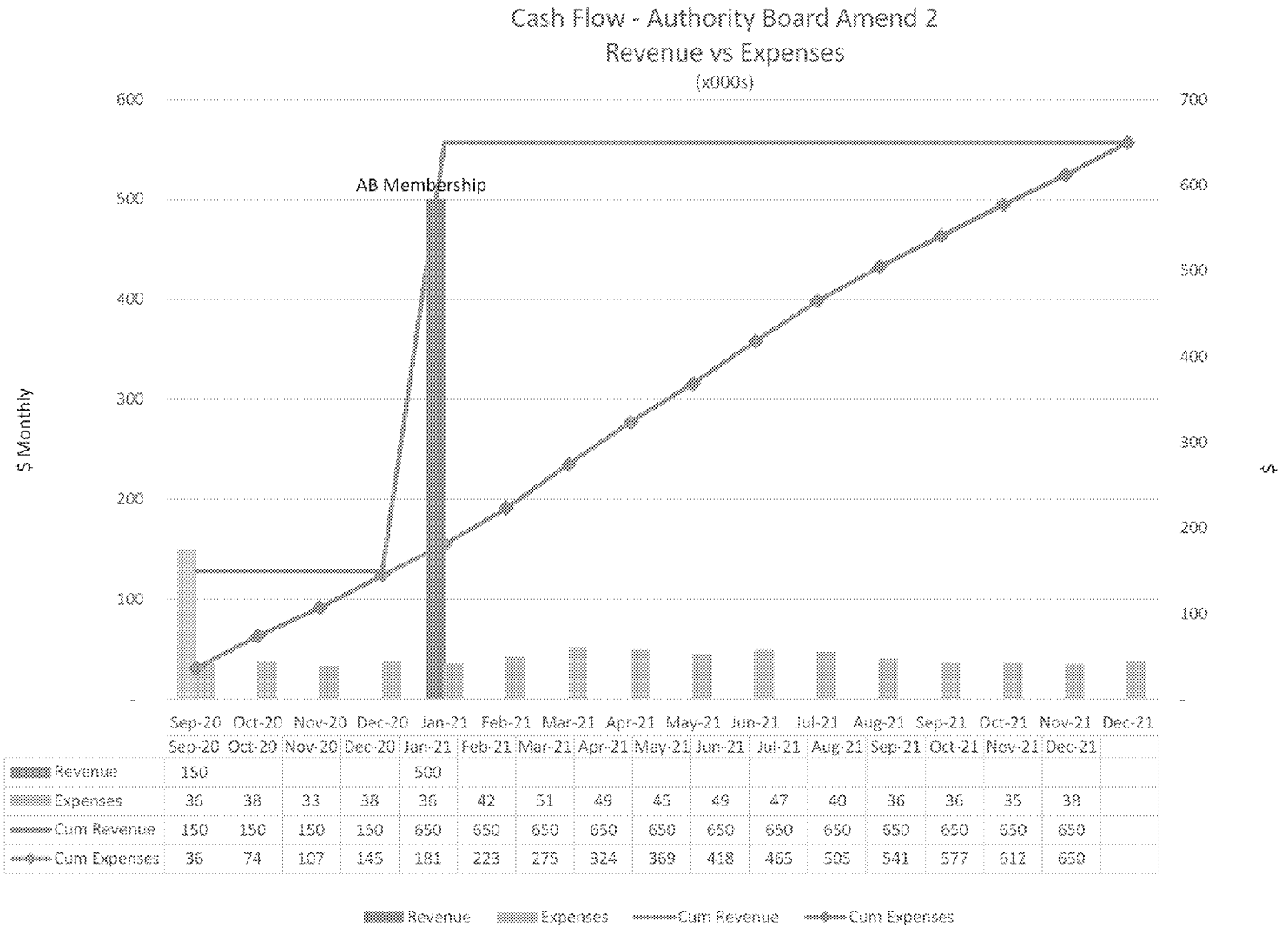
$40/AF, Due February 1, 2021.

Preliminary Cash Flow – Reservoir Committee



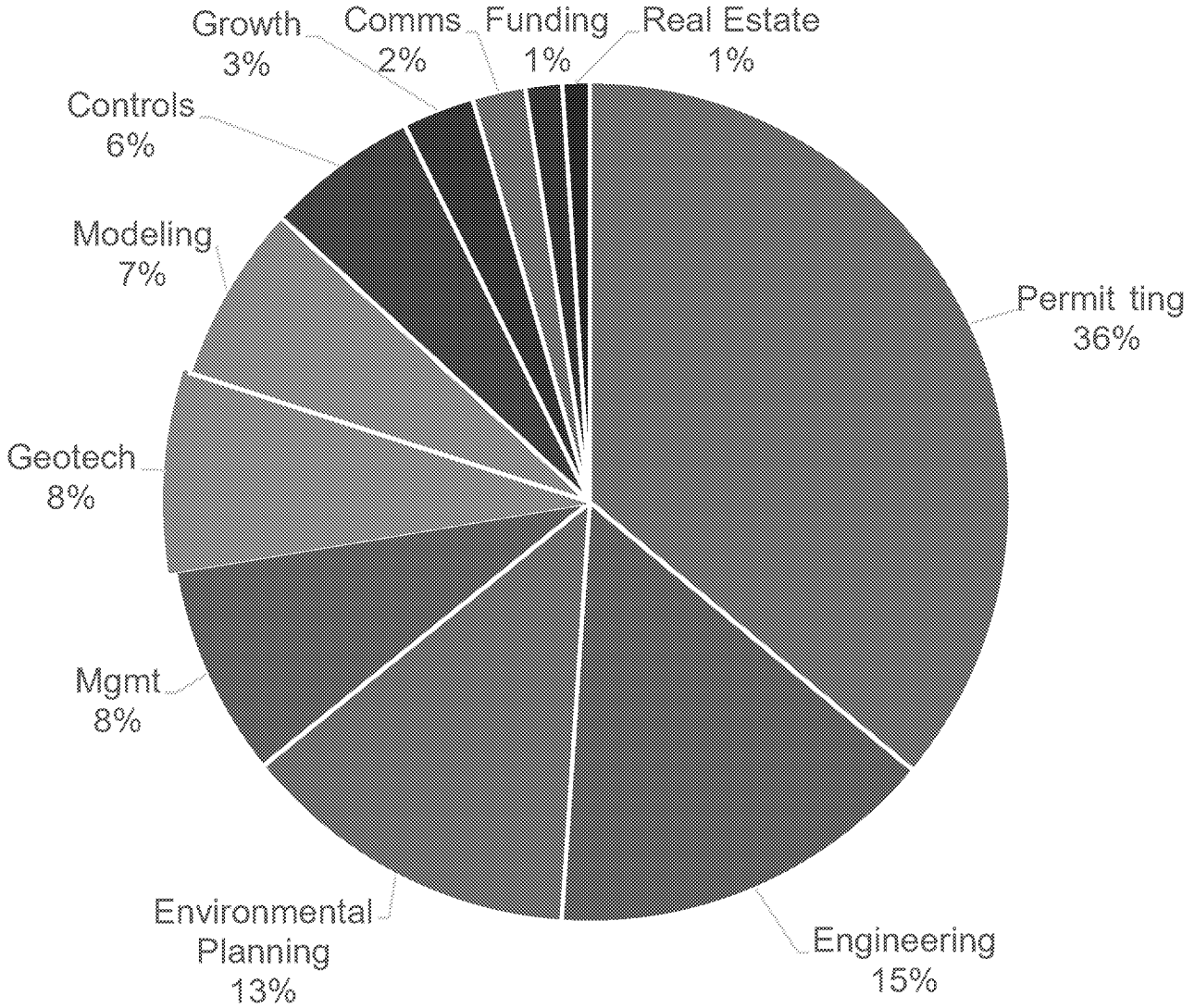
	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21
Revenue	12,120		1,834			9,514	2,000		1,834		2,000	1,834			1,834	
Expenses	2,743	2,468	1,926	2,522	1,900	2,092	2,579	2,011	2,012	1,698	1,342	1,460	1,274	1,542	3,013	2,303
Cum Revenue	12,120	12,120	13,954	13,954	13,954	23,468	25,468	25,468	27,301	27,301	29,301	31,135	31,135	31,135	32,969	32,969
Cum Expenses	2,743	5,211	7,136	9,658	11,559	13,651	16,230	18,241	20,254	21,952	23,293	24,753	26,027	27,569	30,582	32,885

Preliminary Cash Flow – Authority Board



	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21
Revenue	150				500											
Expenses	36	38	33	38	36	42	51	49	45	49	47	40	36	36	35	38
Cum Revenue	150	150	150	150	650	650	650	650	650	650	650	650	650	650	650	650
Cum Expenses	36	74	107	145	181	223	275	324	369	418	465	505	541	577	612	650

Preliminary Key Consultant Cost Allocation by Subject (Reservoir Committee Funded)



DISCUSSION:
CASH CALL TIMING AND BUDGET ALLOCATION

Sites

Post-Value Planning and Project Description Development (Post August 2020)

1. Continue CEQA/NEPA analysis
2. Advance key permits
3. Complete Prop 1 (WSIP) Feasibility Report
4. Coordinate with Reclamation

Key Technical Teams:

- Reservoir Operations
- Environmental Planning
- Permitting
- Engineering
- Real Estate
- Financial
- Legal counsels (General, Bond, CEQA)
- Communications and Engagement

Reservoir Operations

Operations Lead:

a. Operations Plan

Operations Support:

a. Environmental analysis and documentation
b. Permitting, including water rights
c. Engineering (hydraulics)
d. Various agreements

Discussion – what's missing or needs to change?

Environmental Planning

Environmental Planning Lead:

a. Revisit draft EIR/EIS

b. Final EIR/EIS

Environmental Planning Support:

a. Prop 1 Feasibility Report (environmental and mitigation costs)

b. Additional geotechnical data collection

c. Public benefit agreements (Prop 1)

Discussion – what's missing or needs to change?

Permitting

Permitting Lead:

a. Federal ESA compliance
b. Incidental Take Permit application
c. Section 106 Programmatic Agreement
d. Water Rights application advanced
e. State and Federal Clean Water Act permits

Permitting Support:

a. Prop 1 Feasibility Report (mitigation costs)
b. Additional geotechnical data collection
c. Public benefit agreements (Prop 1)

Discussion – what's missing or needs to change?

Engineering

Engineering Lead:

a) Construction cost estimate and schedule
b) Updated risk assessment
c) Geotechnical data collection

Engineering Support:

a) Prop 1 Feasibility Report (technical & economic)
b) Environmental analysis & documentation
c) Critical permits
d) Water rights application

Discussion – what's missing or needs to change?

Management, Controls, Funding, and Growth

Program Management and Controls:

a) Successor agreements (growth)
b) Grants and funding agreement management
c) Support to Authority Board & Reservoir Committee
d) Financial and cost management
e) Document, data and schedule management
f) Contract procurement, management and compliance
g) Risk and quality management
h) Risk assessment
i) Health and Safety
j) Board reporting and annual reports

Discussion – what's missing or needs to change?

Deferred Work (Beyond Dec 31, 2021)

a) Any additional repayment of Phase 1 contributed credit to participants

b) Purchasing of real estate

c) Early procurement of equipment

d) Development of alternative delivery bridging documents

e) Development of a detailed Program Management Plan (PMP) and associated standards

f) Development of policies and procedures beyond those necessary to receive previously identified grants or funding agreements

g) Implementation of Project Management Information System (PMIS) or an enterprise-wide solution for business and asset management (e.g. ERP)

Discussion: Scope and Deferred Work

Now - April

- Input on Home Board needs
- Direction on work plan
- Workshop (March 30)
- **Approve** (April 17):
  1. **Value Planning**
  2. **Work Plan**

May - July

- Home Boards' consideration

  Staff support as requested

Responses to participation level due July 9

July

- **Approve Participation and first invoice**

  Res. Comm (July 16)

  Board (July 22)

Distribute 1st invoice (payment due Sept 1)

August

- **Approve Consultant services contract amendments and initial task orders**

  Res. Comm (Aug 21)

  Board (Aug 26)

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JIM WATSON, GENERAL MANAGER
530.410.8250

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, U.S BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members ***(NON-VOTING)***

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

Notice: Pursuant to Executive Order N-25-20, issued by Governor Newsom on March 12, 2020, and guidance by the California Department of Public Health dated March 11, 2020, this meeting will be conducted by teleconference. The public may attend the meeting and offer public comments by phone, using the call-in number provided below, or in person, at the address above. Members of the Board will participate by teleconference from other locations.

March 25, 2020 ***1:30 p.m.***
Sites Project Authority
Agenda

Teleconference: **1-844-531-9388** Code: **739 386 447** **WEBEX LINK**

Welcome to a meeting of the Sites Joint Powers Authority. If you are scheduled to address the Board, please state your full name for the record. Regularly numbered items may be considered at any time during the meeting. All items are listed in accordance with the Ralph M. Brown Act. We invite all members of the public to attend.

CALL TO ORDER:

- Pledge of Allegiance.
- Introductions.
- Approve the March 25, 2020 Sites Project Authority Agenda.
- Approve the February 26, 2020 Sites Project Authority Minutes.
- Announcement of Closed Session.
- Period of Public Comment.

1. **CHAIRPERSONS' REPORT:** Durst, Sutton

   This time is set aside to allow the Chair &/or Vice Chair an opportunity to disclose/discuss items related to the Sites Project; including any meetings with external stakeholders to advance the Sites Project.

1.1 Conduct Authority Board Election for the position of Secretary for calendar year 2020.

2. BOARD MEMBER REPORTS: (No action will be taken) **All**

This time is set aside to give the Directors an opportunity to disclose/ discuss items related to the Sites Project; including any meetings with external stakeholders to advance the Sites Project.

3. CONSENT AGENDA: Durst

None.

4. MANAGER'S REPORT: Watson

4.1 Discussion and possible direction to staff regarding the Sites Project's monthly status report. **(Attachment 4-1A)**

4.2 Discussion and possible direction to staff regarding Proposition 1, WSIP activities and WIIN Act Funding.

5. 2019 FINANCE & BUDGET AD HOC COMMITTEE: Traynham

5.1 Accept the Treasurer's Report. **(Attachment 5-1A)**

5.2 Consider approval of the monthly Payment of Claims. **(Attachment 5-2A & B)**

6. Phase 2 (2019) RESERVOIR COMMITTEE: Bettner, Davis, Watson

6.1 Report on the March 19, 2020 Phase 2 (2019) Reservoir Committee meeting. **(Attachments 6-1A & B)**

6.2 Discussion and possible direction to staff regarding value planning efforts and the next steps to develop an updated project description.

6.3 Discussion and possible direction to staff regarding the development of the updated work plan for the period of performance starting September 1, 2020 and ending December 31, 2021 in accordance with a proposed Amendment 2 to the current Participation Agreement. **(Attachments 6-3A, B &C)**

6.4 Discussion and possible direction to staff regarding the proposed amendment to the current participation agreement and supporting documents.

7. 2019 POLICY & GOVERNANCE AD HOC COMMITTEE: Durst

None.

8. 2019 LEGISLATIVE & OUTREACH AD HOC COMMITTEE: Sutton

Discussion and possible direction to staff regarding federal and state govern mental affairs/legislative, stakeholder engagement and communications activity.

9. 2019 LAND MANAGEMENT AD HOC COMMITTEE: Evans

Discussion and possible direction to staff regarding real estate, land management, site facility activities and early geotechnical explorations.

RECESS:

10. Closed Session:

10.1 Public Employee Appointment (Gov. Code, § 54957)
Title: Executive Director

11. Report from Closed Session: Kuney

ADJOURN

Next Authority Board Meeting:

Wednesday, April 22, 2020 1:30 PM – 4:00 PM
Sites Project Office
122 Old Highway 99W, Maxwell, CA 95955

PERIOD OF PUBLIC COMMENT: Any person may speak about any subject of concern, provided it is within the jurisdiction of the Directors and is not already on today's agenda. The total amount of time allotted for receiving such public communication shall be limited to a total of 15 minutes per issue and each individual or group will be limited to no more than 5 minutes each within the 15 minutes allocated per issue. ***Note:*** *No action shall be taken on comments made under this comment period.*

ADA COMPLIANCE: Upon request, agendas will be made available in alternative formats to accommodate persons with disabilities. In addition, any person with a disability who requires a modification or accommodation to participate or attend this meeting may request necessary accommodation. Please make your request to the Board Clerk, specifying your disability, the format in which you would like to receive this Agenda and any other accommodation required no later than 24 hours prior to the start of the meeting.

All supporting documentation is available for public inspection and review in the Sites Project Authority office located at 122 Old Highway 99 West Maxwell, CA 95955 during regular business hours 8:30 a.m. to 5:00 p.m., Monday through Friday.

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JIM WATSON, GENERAL MANAGER
530.410.8250

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
GRAY ALLEN, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, U.S BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members *(NON-VOTING)*

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

February 26, 2020 ***1:30 p.m.***
Sites Project Authority
MINUTES

The Sites Project Authority Board of Directors met in Regular Session on February 26, 2020, at the hour of 1:30 p.m. **Directors Present:** Fritz Durst, Chair, Jeff Sutton, Vice-Chair, Gary Evans, Logan Dennis, Doug Parker, Leigh McDaniel, Joe Marsh, and Rob Cooke. **Alternate Directors Present:** Michael Azevedo, Brett Ewart, Natalie Wolder, Anne Sanger. (Other Alternate Directors that may be listed as present below, did not participate in the decision-making, process). **Associate Members Present:** Greg Johnson.

Staff Present: Jim, Watson, General Manager
Scott Kuney, General Counsel
Jamie Traynham, Treasurer & TC-4 District Alternate
Kevin Spesert, Joe Trapasso, Ali Forsythe, Sites Project Authority
Yolanda Tirado, Clerk/Secretary

Others Present: JP Robinette, Marcia Kivett, Brown and Caldwell
Bill Vanderwaal, RD 108/DWD
Gary Darling, Darling H20
Kerry Schmitz, Sacramento County Water Agency
Ken Sinclair, Parsons
Robert Boling, Laura Warner Herson-Phenix, Sites Integration
Thad Bettner, GCID
Treve Campos, Williams
Christy Scofield, Arbuckle
Ed Horton, PCWA
Juleah Cordi, Assemblyman Gallagher's Office

Pledge of Allegiance.

INTRODUCTION:

Chairman Durst asked those present to introduce themselves.

AGENDA APPROVAL:

Chairman Durst called for approval of the February 26, 2020 Sites Project Authority Agenda. He stated Agenda Items under No. 6 Phase 2 (2019) Reservoir Committee would be considered after 5.3, an amendment to a contract with Fechter & Company, Certified Public Accountants.

Action: It was moved by Director Dennis, seconded by Director Sutton to approve the February 26, 2020 Sites Project Authority Agenda, as amended. Motion carried: All those Directors present voted yes.

MINUTES APPROVAL:

Action: It was moved by Director Evans, seconded by Director Parker to approve the January 22, 2020 Sites Project Authority Minutes, as presented. Motion carried: All those Directors present voted yes.

PERIOD OF PUBLIC COMMENT:

Chairman Durst called for a period of public comment. Hearing none, he closed the period of public comment.

SPECIAL PRESENTATION:

Informational Presentation regarding on-going or future activities and projects in the Sacramento River System and the Sacramento-San Joaquin Delta.

Mr. Bettner provided an update regarding the status of the Voluntary Agreements. He included ongoing activities and projects in the Sacramento River system and the Sacramento-San Joaquin Delta that may affect the Sites Project, either positively or negatively.

1:50 p.m. Director McDaniel is now present and seated.

Discussion followed, with no action taken at this time.

1. **CHAIRPERSONS' REPORT:**

This time is set aside to allow the Chair and/or Vice Chair an opportunity to disclose/discuss items related to the Sites Project; including any meetings with external stakeholders to advance the Sites Project.

Chairman Durst provided an update on the following:

- Board representation thanked Board Members leaving and welcomed new Board Members aboard.
- February 11, 2020 presented to the Woodside Atherton Garden Club regarding Sites and the topic of "fix vs fight."

Mr. Darling provided an update on the following:

- Executive Director's Recruitment.
- Facilitator for strategic planning.

Brief discussion followed with no action taken.

1.1 Conduct Authority Board Elections for calendar year 2020.

Chairman Durst stated the matter before the Board was the election of the Chair, Vice-Chair and the appointment of the Secretary/Treasurer.

Chairman Durst opened the nominations for the election of the Chair position. Director Sutton nominated Director Durst as Chairman for calendar year 2020.

Chairman Durst called for further nominations. Hearing none, he closed the nominations for the position of Chairman.

Action: It was moved by Director Evans, seconded by Director Dennis to elect Director Durst as the Chair of the Sites Project Authority for calendar year 2020. Motion carried: All those Directors present voted yes.

Chairman Durst called for nominations for the position of Vice-chairman for calendar year 2020. Director Evans nominated Director Sutton as Vice-chairman for calendar year 2020.

Chairman Durst called for further nominations. Hearing none, he closed the nominations for the position of Vice-chairman.

Action: It was moved by Director Dennis, seconded by Director McDaniel to elect Director Sutton as the Vice-chairman of the Sites Project Authority for calendar year 2020. Motion carried: All those Directors present voted yes.

Chairman Durst called for the appointment of the Secretary position for calendar year 2020. Director Sutton nominated Yolanda Tirado for the Secretary position for calendar year 2020.

Chairman Durst called for further nominations. Hearing none, he closed the nomination for the Secretary position.

Action: It was moved by Director Evans, seconded by Director Sutton to appoint Yolanda Tirado as the Secretary of the Sites Project Authority for calendar year 2020. Motion carried: All those Directors present voted yes.

Chairman Durst called for the appointment of the Treasurer position for calendar year 2020. Director Dennis nominated Associate Member Traynham for the Treasurer position for calendar year 2020.

Chairman Durst called for further nominations. Hearing none, he closed the nominations for the Treasurer position.

Action: It was moved by Director Sutton, seconded by Director Dennis to appoint Jamie Traynham as the Treasurer of the Sites Project Authority for calendar year 2020. Motion carried: All those Director present voted yes.

2. BOARD MEMBER REPORTS: (No action will be taken)

This time is set aside to give the Directors an opportunity to disclose/ discuss items related to the Sites Project; including any meetings with external stakeholders to advance the Sites Project.

Director Evans stated a conference call was held on February 25, 2020 regarding the Value Planning. He expressed concern regarding the consultant's mind set and the need to clarify their (consultants) role within the Sites Project. Chairman Durst suggested he, Director Evans and Mr. Watson meet to discuss this matter further.

3. CONSENT AGENDA:

None.

4. MANAGER'S REPORT:

4.1 Discussion and possible direction to staff regarding the Sites Project's monthly status report.

Mr. Watson provided a brief update on the Governor's Draft Resiliency Portfolio and stated comments closed on February 7, 2020 and the Authority sent a letter in support of same.

Mr. Spesert stated various letters of support from various entities were also submitted.

Mr. Watson also reminded the Board Members of the April 2, 2020 deadline for filing their Form 700s.

Mr. Watson provided an overview of project activities performed in the months of January and February 2020 as follows:

- Continued support of Value Engineering and Affordability Analysis.
- Continued support of Reclamation on completion of their Feasibility Report, including the coordination of biological and cultural monitoring along with the geotechnical investigations.
- Received the third CWC early funding payment in the amount of $2.1 million.
- January 27, 2020, received a request from Proberta Water District regarding an update on the reimbursement and payment process and stated a response is being prepared.

4.2 Discussion and possible direction to staff regarding Proposition 1, WSIP activities and WIIN Act Funding.

Mr. Watson provided an update regarding the following:

Proposition 1-Early Funding:

- Issued a Quarterly Progress Report (available on the Sites website).
- California Water Commission met on February 19, 2020 and discussed/considered the following:
    - New roles of the Water Commission.

- Water Resilience Portfolio.
- Delta Conveyance (new name for water fix).
- Tribal Engagement in Delta Conveyance.
- Tabled the Annual Review of the State Water Project.

USDA:

- Submitted end of year Status Report for work performed in 2019.

Federal Appropriations:

- Continued work with the California Great Basin Region
- Continued work on getting a funding agreement in place

Ms. Wolder provided a brief update on the proposed schedule for the Feasibility Report. Brief discussion followed with no action taken.

5. 2019 FINANCE & BUDGET AD HOC COMMITTEE:

5.1 Accept the Treasurer's Report. **(Attachment 5-1 A)**

Action: It was moved by Director Dennis, seconded by Director Sutton to accept the Treasurer's Report, as presented. Motion carried: All those Directors present voted yes.

5.2 Consider approval of the monthly Payment of Claims. **(Attachment 5-2A & B)**

Action: It was moved by Director Sutton, seconded by Director Evans to approve the monthly Payment of Claims, as presented. Motion carried: All those Directors present voted yes.

5.3 Consider approval to award a contract amendment to Fechter & Company, Certified Public Accountants, Sacramento (Fechter), for Professional Financial Auditing Services for fiscal year 2019 in an amount not to exceed $11,846. **(Attachment 5-3 A)**

Action: It was moved by Director Ewart, seconded by Director Dennis to approve and award a contract amendment to Fechter & Company, Certified Public Accountants, Sacramento (Fechter), for Professional Financial Auditing Services for fiscal year 2019 in an amount not to exceed $11,846. Motion carried: All those Directors present voted yes.

Chairman Durst stated Agenda Items under Agenda Item 6, Phase 2 (2019) Reservoir Committee would be taken out of sequence and heard at this time.

6. Phase 2 (2019) RESERVOIR COMMITTEE:

6.1 Report on the February 21, 2020 Phase 2 (2019) Reservoir Committee meeting. **(Attachment 6-1A)**

Mr. Bettner provided a brief update regarding the Work Plan through the end of 2021, i.e., next cash call, CEQA compliance and Home Board Member packets.

Mr. Watson provided a review of actions approved and matters discussed at the February 21, 2020 Phase 2 (2019) Reservoir Committee Meeting.

6.2 Consider approval to re-start efforts on the Environmental Impact Report (EIR) for the Sites Reservoir Project (Project) and consider the most appropriate approach for completing the EIR pursuant to the California Environmental Quality Act (CEQA).

Ms. Forsythe stated the Ad Hoc Environmental and Permitting Work Group met on January 29, 2020 to discuss how to approach restarting efforts on the EIR for the Sites Reservoir, the most appropriate approach for completing the EIR pursuant to CEQA and options to finalize the EIR/EIS as a joint document with the Bureau of Reclamation and provided an overview of same.

Mr. Bruner provided an overview of CEQA standards for recirculation of the EIR/EIS i.e., whether to do a partial or full recirculation and spoke to some examples from Case Law.

Director McDaniel expressed concern with moving forward with recirculation of the EIR without first knowing what the results of the Value Planning efforts. Lengthy discussion followed regarding the Value Planning footprints, risks, operations and full or partial recirculation of the EIR.

Action: It was moved by Director Sutton, seconded by Director Ewart to approve re-starting efforts on the EIR for the Sites Reservoir Project and assessing the most appropriate approach for completing the EIR pursuant to the CEQA. Motion carried: All those Directors present voted yes.

6.3 Informational update regarding value Planning efforts to develop an updated project description.

Mr. Vanderwaal provided a brief update on the Value Planning efforts to develop a preferred project and stated a Draft document would be presented for Board consideration at the March meeting.

6.4 Discussion and possible direction to staff regarding the development of a work plan and overall schedule of work to be completed through December 31, 2021 and under the current Participation Agreement as Amendment 2.

Mr. Watson provided an overview of the development of the work plan for the period of performance starting September 1, 2020 through December 31, 2021 and spoke to the following:

- Information to be included in the Home Board packet.
- Certainty related to the reservoir's operations and degree of operation integration with the State Water Project and Central Valley Project to produce benefits for both water supply and reliability purposes and water dedicated to environmental purposes.
- Improve certainty related to the project's affordability by advancing the level of engineering and implementing risk management to

improve the accuracy of the cost estimate and to purse low interest financing and potential grants.

- Continue to cultivate and strengthen partnerships with local landowners and key stakeholders that represent environmental, business labor and other interest.
- Meet the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive construction funding.
- Identify continued participation, Go/No-Go decision points with staggered cash calls.
- Strawman Senarios (A, B &C).

Lengthy discussion followed on all the above-mentioned issues. Following discussion, Mr. Watson stated the Reservoir Committee's recommendation to the Authority Board was to advance Scenario A and return to the Board at their April meeting for further consideration.

Chairman Durst stated Agenda Items Nos. 5.4 through 5.5 would be considered at this time.

5.4 Discussion and possible direction to staff regarding the forward-looking work plan associated with extending the time of the current Participation Agreement from January 1 through August 31, 2020 as Amendment 1B Work Plan.

Mr. Watson provided a brief update regarding extending the time of the current Participation Agreement from January 1 through August 31, 2020 as Amendment 1B Work Plan. He also stated the Reservoir Committee Members recommended approval of same. Brief discussion followed.

Chairman Durst called for approval of Agenda Items Nos. 5.4.1 through 5.4.8, various contract amendments. Brief discussion followed.

Action: It was moved by Director Evans, seconded by Director Sutton to approve Agenda Item Nos. 5.4.1 through 5.4.8 as follows:

5.4.1 An amendment to HDR Engineers, Inc.'s (HDR) (Project Integration) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.2 An amendment to Brown and Caldwell's (B&C) (Project Controls) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.3 An amendment to Katz and Associates, Inc.'s (Katz) (Communications) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.4 An amendment to ICF Jones and Stokes, Inc.'s (ICF) (Environmental Planning and Analysis) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.5 An amendment to ICF Jones and Stokes, Inc.'s (ICF) (Permitting and Agreements) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.6 An amendment to CH2M Hill Engineers, Inc.'s (CH2M) (Operations Simulation Modeling) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.7 An amendment to Fugro USA Land, Inc.'s (Fugro) (Geology and Geotechnical) contract and task order scope of work, budget and period of performance and authorize the General Manager to sign.

5.4.8 An amendment to increase the budget to the AECOM contract and authorize the General Manager to sign.

Motion carried: All those Directors present voted yes.

5.5 Discussion and possible direction to staff regarding recent changes to the Service Area G – Real Estate project team and future real estate service area work activities.

Mr. Watson provided a brief update regarding the reorganization of Bender Rosenthal Inc., (BRI) which resulted in a reduction in staff and the layoff of the existing lead Right-of-Way Agent, who served as the BRI's project manager in support of the Sites Reservoir Project's real estate program for the last couple of years. Brief discussion followed.

Action: It was moved by Director Marsh, seconded by Director Dennis to delegate authority to the Coordination Committee on how to proceed with the Service Area G – Real Estate contract. Motion carried: All those Directors present voted yes.

7. 2019 POLICY & GOVERNANCE AD HOC COMMITTEE:

None.

8. 2019 LEGISLATIVE & OUTREACH AD HOC COMMITTEE:

Discussion and possible direction to staff regarding federal and state govern mental affairs/legislative, stakeholder engagement and communications activity.

Director Sutton provided a brief update as follows:

- Meeting with Senator Nielson.
- Scheduling a Legislative Day in late March 2020.
- Senator Garner/Feinstein Bill.

9. 2019 LAND MANAGEMENT AD HOC COMMITTEE:

Discussion and possible direction to staff regarding real estate, land management, site facility activities and early geotechnical explorations.

Mr. Spesert provided a brief update regarding the BRI situation, Mr. Connor's contribution to the Project, specifically his working relationship with the landowners and stated Sites staff is looking at ways for Mr. Connor to continue with the Project.

Chairman Durst adjourned the meeting at 4:30 p.m. to reconvene on March 25, 2020 at the hour of 1:30 p.m.

_____ _____

Fritz Durst, Chairman Yolanda Tirado, Clerk/Secretary

Sites

Topic: **Authority Board Agenda Item 4-1 2020 March 25**

0Subject: **Monthly Status Report**

Requested Action:

No action requested. Discussion and possible direction to staff regarding the Sites Project's monthly status report.

Detailed Description/Background:

General Manager and staff to provide an update on project activities performed in February 2020 for; Program Management & Administration, Engagement & Outreach, Proposition 1, Environmental, Feasibility Study and Engineering & Technical Support.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jim Watson

Attachments:

Attachment A: February 2020 Status Report.

Topic: **Sites Reservoir Project, Phase 2**

Subject: **Monthly Status Report** Report Period: **2020 February**

Monthly Status Summary:

The development of the Sites Project continues to make progress in the critical areas associated with the reprioritized Phase 2 (2019) budget and work plan, including the following activities:

- Continuing to advance the Value Planning and Affordability Analyses.
- Continuing to support Reclamation on completion of their Feasibility Report, including the coordination of biological and cultural monitoring along with land access for the NODOS Feasibility Geotechnical Investigations.
- Continuing efforts to develop a work plan through December 2021.

The following highlights the status of activities conducted during the month:

Engineering:

- Continuing to advance the value planning effort that is evaluating options to improve the projects' affordability based on permitting criteria and the current level of participation commitments. Held value planning workshop to identify a potential alternative project. Received input on summary technical memorandum and draft value planning report for review by the Reservoir Committee and Authority Board in March.

  NOTE: Development of the Value Planning report includes input from the environmental and permitting teams into identification of possible opportunities and challenges with initial concepts.
- Began work on draft detailed schedule for engineering from value planning through feasibility in coordination with the environmental planning, permitting, and the reservoir operations team.
- Developed engineering task order materials including scope, budget, and schedule for service areas HC (Conveyance) and HR (Reservoir).

Coordination with Reclamation:

- Continued coordination and support for feasibility-level geotechnical investigations. Reclamation is funding and undertaking additional geotechnical investigations while environmental compliance and monitoring activities are being funded by the Authority. Field activities continued at Fletcher 1 and 2. Drilling at Fletcher 1 was completed in February and downhole geophysics was completed in early March. Drilling at Fletcher 2 was completed in early March along with downhole geophysics. Both holes were backfilled, and the sites cleaned up. One drill shift remains to demobilize all equipment and ship all core materials.
- Continued to conduct landowner coordination activities in support of the geotechnical field investigations.

Environmental Planning and Permitting:

- Continued implementing the environmental commitments including biological, cultural and tribal field monitoring activities for NODOS geotechnical investigations.
- Began work on draft detailed schedule for operations, planning and permitting components.

Operations:

- Continued refinement of analysis tools for daily operations, bypass criteria, floodplain inundation, Shasta within year exchanges and other operational effects.

Stakeholder Engagement, Public Outreach & Real Estate:

Continued ongoing coordination efforts with landowners, local community members, state and federal elected officials, government agencies and coalitions of regional and statewide organizations including the following activities:

- Responded to landowner requests for project information, facilitated coordination activities with local government agencies and organizations and planned for future landowner, stakeholders and general public outreach activities and events.
- Facilitated a project site tour for Reclamation technical staff.
- Conducted a project update briefing with state Senator Jim Nielsen.

Program Management & Administration:

- Executed key consultants task orders in support of the Amendment 1B Work Plan (i.e. for work being performed between January 1 through August 31, 2020.
- Developing Amendment 2 work plan materials to support work to be performed between September 1, 2020 and December 31, 2021. Received input from the Reservoir Committee and Authority Board related to the goals, schedule, and potential cash call scenarios.
- Issued revised payment of claims to utilize Prop 1 (WSIP) funds received to advance the project. Issued payments to consultants from State checking account.

Draft_0028763

Sites

Topic: **Authority Board** **Agenda Item 4-2** **2020 March 25**

Subject: **Status of Funding Activities - State's WSIP and Federal (WIIN Act and USDA)**

Requested Action:

No action requested. Discussion and possible direction to staff regarding activities related to compliance with Water Commission's administration of the Water Storage Investment program (WSIP) and access to federal funding.

Detailed Description/Background:

The following topics will be discussed:

1. WSIP: Early Funding Agreement (EFA): Status of invoices.
2. USDA Conditional Funding:
3. Federal Appropriations: Status
4. Federal Feasibility Report:

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jim Watson/Joe Trapasso

Attachments:

None.

Sites

Topic: **Authority Board Agenda Item 5-1 2020 March 25**

Subject: **Treasurer's Report**

Requested Action:

Review and consider accepting the Sites Project Authority Treasurer's Report as presented in Attachment 5-1A.

Detailed Description/Background:

Attachment 5-1A incorporates financial information through February 29, 2020.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: February 2020 Treasurer's Report.

Draft_0028767

SITES JOINT POWERS AUTHORITY
TREASURER'S REPORT
February 29, 2020

Savings Account-River City Bank				
Beginning Balance	02/01/2020		$ 2,212,074.25	
Transfer from checking to max balance			$ 799,661.59	
Interest Earned			$ 3,876.62	
River City Bank ICS Savings Account Balance	**02/29/2020**			$ 3,015,612.46
General Operating Checking Account-River City Bank				
Beginning Balance	02/01/2020		$ 1,835,423.19	
RECEIVED:				
Membership Revenue		$ 394,862.77		
Interest Earned		$ 1,792.05		
TOTAL RECEIVED			$ 396,654.82	
DISBURSED:				
Bank Fees		$ (164.36)		
Accounting Fees		$ (4,614.00)		
Administrative Support		$ (3,880.80)		
Computer Service		$ (898.39)		
General Manager		$ (39,841.00)		
Office Expenses		$ (647.26)		
Professional Fees-Legislative/Regulatory		$ (8,000.00)		
Professional Fees-Communication		$ (16,977.06)		
Professional Fees-Organizatioal Assessment		$ (8,993.05)		
Professional Fees-Fed Gov't Affairs Support		$ (15,000.00)		
Professional Fees-Legal Services		$ (8,142.99)		
TOTAL DISBURSED			$ (107,158.91)	
Transfer to Savings to max balance			$ (799,661.59)	
RCB General Checking Account Balance	**02/29/2020**			$ 1,325,257.51
State (WSIP) Fund Checking Account-River City Bank				
Beginning Balance	02/01/2020		$ 6,123,037.29	
DISBURSED:				
Bus/Comm Manager		$ (22,977.99)		
Program Op Manager		$ (28,953.74)		
Professional Fees-Engineering		$ (24,381.18)		
Professional Fees-Real Estate		$ (2,774.66)		
Professional Fees-Project Controls		$ (74,932.13)		
Professional Fees-Operations/SIM Modeling		$ (58,739.76)		
Professional Fees-EPP Manager		$ (31,049.44)		
Professional Fees-Geotech Eng		$ (4,478.96)		
Professional Fees-Project Integration		$ (137,996.08)		
Professional Fees-Cost Development		$ (710.60)		
Professional Fees-Municipal Advisor		$ (10,525.00)		
Professional Fees-Env/Biological Serv & Permit		$ (21,763.95)		
TOTAL DISBURSED			$ (419,283.49)	
RCB State Fund Checking Account Balance	**02/29/2020**			$ 5,703,753.80
TOTAL CASH ON HAND	**February 29, 2020**			$ 10,044,623.77

Sites Project Joint Powers Authority
Transactions by Account
As of February 29, 2020

Type	Date	Num	Name	Memo	Debit	Credit	Balance
River City ICS Savings							2,212,074.25
General Journal	02/07/2020	2-1C		adjust ICS balance to max	857,086.17		3,069,160.42
General Journal	02/28/2020	2-2C		adjust ICS balance to max		57,424.58	3,011,735.84
General Journal	02/29/2020	2-3C		rec int fme	3,876.62		3,015,612.46
Total River City ICS Savings					860,962.79	57,424.58	3,015,612.46
River City Operating Account							1,835,423.19
Deposit	02/07/2020			Tehama Colusa Canal Auth	55,000.00		1,890,423.19
Deposit	02/07/2020			Western Canal WD	5,000.00		1,895,423.19
General Journal	02/07/2020	2-1C		adjust ICS balance to max		857,086.17	1,038,337.02
Deposit	02/10/2020			County of Colusa	55,000.00		1,093,337.02
Bill Pmt -Check	02/12/2020	2100	Adept Solutions Inc			898.39	1,092,438.63
Bill Pmt -Check	02/12/2020	2101	Darling H2O Consulting, Inc.			8,993.05	1,083,445.58
Bill Pmt -Check	02/12/2020	2102	Dunn Consulting			8,000.00	1,075,445.58
Bill Pmt -Check	02/12/2020	2103	J.C. Watson, Inc			39,841.00	1,035,604.58
Bill Pmt -Check	02/12/2020	2104	Katz and Associates, Inc			16,977.06	1,018,627.52
Bill Pmt -Check	02/12/2020	2105	KCoe Isom, LLP			4,614.00	1,014,013.52
Bill Pmt -Check	02/12/2020	2106	M.R. Cleaning Services			200.00	1,013,813.52
Bill Pmt -Check	02/12/2020	2107	Maximun Pest Control			65.00	1,013,748.52
Bill Pmt -Check	02/12/2020	2108	Mt Shasta Spring Water			49.60	1,013,698.92
Bill Pmt -Check	02/12/2020	2109	Recology Butte Colusa Counties			35.95	1,013,662.97
Bill Pmt -Check	02/12/2020	2110	Rush Personnel Services, Inc	00000L4H		3,880.80	1,009,782.17
Bill Pmt -Check	02/12/2020	2111	The Ferguson Group			15,000.00	994,782.17
Bill Pmt -Check	02/12/2020	2112	US Bank			296.71	994,485.46
Bill Pmt -Check	02/12/2020	2113	Young Wooldridge LLP	20091 EAC		8,142.99	986,342.47
Deposit	02/21/2020			City of Sac	27,500.00		1,013,842.47
Deposit	02/21/2020			GCID	55,000.00		1,068,842.47
Deposit	02/21/2020			Davis WD	4,862.77		1,073,705.24
Deposit	02/21/2020			Sac Co WD	27,500.00		1,101,205.24
Deposit	02/28/2020			Rec District 108	55,000.00		1,156,205.24
Deposit	02/28/2020			Colusa Co WD	55,000.00		1,211,205.24
Deposit	02/28/2020			Placer Co WA	27,500.00		1,238,705.24
Deposit	02/28/2020			City of Roseville	27,500.00		1,266,205.24
General Journal	02/28/2020	2-2C		adjust ICS balance to max	57,424.58		1,323,629.82
General Journal	02/29/2020	2-4C		Bank service fee		164.36	1,323,465.46
General Journal	02/29/2020	2-5C		rec int fme	1,792.05		1,325,257.51
Total River City Operating Account					454,079.40	964,245.08	1,325,257.51
River City State Fund Checking							6,123,037.29
Bill Pmt -Check	02/12/2020	1001	AECOM Technical Services, Inc.			24,381.18	6,098,656.11
Bill Pmt -Check	02/12/2020	1002	Bender Rosenthal Inc			2,774.66	6,095,881.45
Bill Pmt -Check	02/12/2020	1003	Brown and Caldwell			74,932.13	6,020,949.32
Bill Pmt -Check	02/12/2020	1004	CH2M Hill Engineers, Inc			58,739.76	5,962,209.56
Bill Pmt -Check	02/12/2020	1005	Forsythe Group LLC			31,049.44	5,931,160.12
Bill Pmt -Check	02/12/2020	1006	Fugro USA Land, Inc			4,478.96	5,926,681.16
Bill Pmt -Check	02/12/2020	1007	HDR Engineering Inc			137,996.08	5,788,685.08
Bill Pmt -Check	02/12/2020	1008	ICF Jones & Stokes Inc			21,763.95	5,766,921.13
Bill Pmt -Check	02/12/2020	1009	Larsen Wurzel & Associates, Inc			710.60	5,766,210.53
Bill Pmt -Check	02/12/2020	1010	Montague DeRose and Associat...			10,525.00	5,755,685.53
Bill Pmt -Check	02/12/2020	1011	Spesert Consulting			22,977.99	5,732,707.54
Bill Pmt -Check	02/12/2020	1012	Trapasso Consulting Services			28,953.74	5,703,753.80
Total River City State Fund Checking					0.00	419,283.49	5,703,753.80
US Bank Checking							0.00
Total US Bank Checking							0.00
TOTAL					**1,315,042.19**	**1,440,953.15**	**10,044,623.77**

Draft_0028769

Sites Project Joint Powers Authority
Balance Sheet
As of February 29, 2020

	Feb 29, 20	
ASSETS		
Current Assets		
Checking/Savings		
River City ICS Savings		3,015,612.46
River City Operating Account		1,325,257.51
River City State Fund Checking		5,703,753.80
Total Checking/Savings		10,044,623.77
Accounts Receivable		
Accounts Rec Members		
Membership - Authority	123,000.00	
Membership - Reservoir	11,329.72	
Total Accounts Rec Members		134,329.72
Total Accounts Receivable		134,329.72
Total Current Assets		10,178,953.49
TOTAL ASSETS		**10,178,953.49**
LIABILITIES & EQUITY		
Liabilities		
Current Liabilities		
Accounts Payable		
Accounts Payable		641,112.52
Total Accounts Payable		641,112.52
Total Current Liabilities		641,112.52
Long Term Liabilities		
Participant Reimbursement Polic		6,064,652.67
Total Long Term Liabilities		6,064,652.67
Total Liabilities		6,705,765.19
Equity		
Net Assets		1,929,216.58
Retained Earnings		2,194,599.73
Net Income		-650,628.01
Total Equity		3,473,188.30
TOTAL LIABILITIES & EQUITY		**10,178,953.49**

Draft_0028770

Sites Project Joint Powers Authority
A/R Aging Summary
As of February 29, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
Antelope Valley - East Kern Water Agency	0.00	0.00	0.00	0.00	16,317.92	16,317.92
City of American Canyon	0.00	0.00	0.00	0.00	-4,988.20	-4,988.20
County of Glenn	0.00	55,000.00	0.00	0.00	0.00	55,000.00
Westside Water District	0.00	55,000.00	0.00	0.00	0.00	55,000.00
Yolo County Flood Control	0.00	0.00	0.00	0.00	13,000.00	13,000.00
TOTAL	**0.00**	**110,000.00**	**0.00**	**0.00**	**24,329.72**	**134,329.72**

Sites Project Joint Powers Authority
A/P Aging Summary
As of February 29, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
Adept Solutions Inc	932.08	0.00	0.00	0.00	0.00	932.08
AECOM Technical Services, Inc.	38,838.46	0.00	0.00	0.00	0.00	38,838.46
Brown and Caldwell	0.00	106,709.01	0.00	0.00	0.00	106,709.01
CH2M Hill Engineers, Inc	60,522.55	0.00	0.00	0.00	0.00	60,522.55
Colusa Indian Community Council	1,017.82	2,867.73	0.00	0.00	0.00	3,885.55
Darling H2O Consulting, Inc.	7,673.14	0.00	0.00	0.00	0.00	7,673.14
Dunn Consulting	8,000.00	0.00	0.00	0.00	0.00	8,000.00
Forsythe Group LLC	30,660.62	0.00	0.00	0.00	0.00	30,660.62
Fugro USA Land, Inc	8,744.05	0.00	0.00	0.00	0.00	8,744.05
HDR Engineering Inc	172,196.08	0.00	0.00	0.00	0.00	172,196.08
ICF Jones & Stokes Inc	0.00	41,235.90	0.00	0.00	0.00	41,235.90
J.C. Watson, Inc	39,865.70	0.00	0.00	0.00	0.00	39,865.70
Katz and Associates, Inc	0.00	19,652.49	0.00	0.00	0.00	19,652.49
M.R. Cleaning Services	200.00	0.00	0.00	0.00	0.00	200.00
Maximun Pest Control	65.00	0.00	0.00	0.00	0.00	65.00
MBK Engineers Inc	0.00	1,101.00	0.00	0.00	0.00	1,101.00
Montague DeRose and Associates, LLC	0.00	1,925.00	0.00	0.00	0.00	1,925.00
Mt Shasta Spring Water	47.65	0.00	0.00	0.00	0.00	47.65
Perkins Coie LLP	18,450.90	0.00	0.00	0.00	0.00	18,450.90
Recology Butte Colusa Counties	35.95	0.00	0.00	0.00	0.00	35.95
Rush Personnel Services, Inc	4,983.30	0.00	0.00	0.00	0.00	4,983.30
Spesert Consulting	21,589.46	0.00	0.00	0.00	0.00	21,589.46
The Ferguson Group	0.00	15,000.00	0.00	0.00	0.00	15,000.00
Trapasso Consulting Services	29,107.10	0.00	0.00	0.00	0.00	29,107.10
US Bank	1,548.26	0.00	0.00	0.00	0.00	1,548.26
Young Wooldridge LLP	8,143.27	0.00	0.00	0.00	0.00	8,143.27
TOTAL	**452,621.39**	**188,491.13**	**0.00**	**0.00**	**0.00**	**641,112.52**

Sites Project Joint Powers Authority
Profit & Loss
February 2020

	Feb 20	Jan - Feb 20
Ordinary Income/Expense		
Income		
Membership Admin/Authority	0.00	505,000.00
Total Income	0.00	505,000.00
Gross Profit	0.00	505,000.00
Expense		
Accounting Expense	0.00	4,614.00
Admin Support Gen Manager	4,983.30	8,864.10
Bank Service Fees	164.36	353.81
General Manager		
Services	39,865.70	79,706.70
Total General Manager	39,865.70	79,706.70
Insurance - Property	0.00	250.00
Insurance Liability	0.00	1,604.25
Office Expenses		
Cleaning	200.00	400.00
Misc Office & Operating Exp	1,548.26	1,844.97
Pest Control & Maintenance	65.00	130.00
Trash Service	35.95	71.90
Water Expense	47.65	97.25
Total Office Expenses	1,896.86	2,544.12
Professional Fees		
Bus/Comm Manager	21,589.46	44,567.45
Communication	19,652.49	36,629.55
Cost Development Model	0.00	710.60
Cultural Study	1,017.82	3,885.55
Engineering & Technical Service	38,838.46	63,219.64
EPP Manager	30,660.62	61,710.06
Federal Government Affairs Supp	15,000.00	30,000.00
General Legal Counsel	8,143.27	16,286.26
Geotechnical Engineering	8,744.05	13,223.01
Legislative/Reg/Strategic	8,000.00	16,000.00
Municipal Advisor	1,925.00	12,450.00
Operations/Simulation Modeling	60,522.55	119,262.31
Organizational Assessment	7,673.14	16,666.19
Permitting & Agreements	41,235.90	62,999.85
Program Ops Manager	29,107.10	58,060.84
Project Controls	106,709.01	181,641.14
Project Integration	172,196.08	310,192.16
Real Estate	0.00	761.67
Reservoir Operations	1,101.00	1,101.00
Special Legal	18,450.90	18,450.90
Total Professional Fees	590,566.85	1,067,818.18
Website, Data, Computer Support	932.08	2,502.67
Total Expense	638,409.15	1,168,257.83
Net Ordinary Income	-638,409.15	-663,257.83
Other Income/Expense		
Other Income		
Interest Income		
Interest Income-River City	5,668.67	12,629.82
Total Interest Income	5,668.67	12,629.82
Total Other Income	5,668.67	12,629.82
Net Other Income	5,668.67	12,629.82

Sites Project Joint Powers Authority
Profit & Loss
February 2020

	Feb 20	Jan - Feb 20
Net Income	-632,740.48	-650,628.01

FOR MANAGEMENT PURPOSES ONLY

Sites Project Joint Powers Authority
Transaction Detail By Account
February 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Admin Support Gen Manager								
Bill	02/11/2020	135105	Rush Personnel Services, ...	2/20	Authority	211.68		-211.68
Bill	02/11/2020	135105	Rush Personnel Services, ...	2/20	Reservoir	846.72		-1,058.40
Bill	02/11/2020	135106	Rush Personnel Services, ...	2/20	Authority	255.78		-1,314.18
Bill	02/11/2020	135106	Rush Personnel Services, ...	2/20	Reservoir	1,023.12		-2,337.30
Bill	02/25/2020	135423	Rush Personnel Services, ...	2/20	Authority	246.96		-2,584.26
Bill	02/25/2020	135423	Rush Personnel Services, ...	2/20	Reservoir	987.84		-3,572.10
Bill	02/25/2020	135424	Rush Personnel Services, ...	2/20	Authority	282.24		-3,854.34
Bill	02/25/2020	135424	Rush Personnel Services, ...	2/20	Reservoir	1,128.96		-4,983.30
Total Admin Support Gen Manager						4,983.30	0.00	-4,983.30
Bank Service Fees								
General J...	02/29/2020	2-4C		Bank service fee	Authority	31.23		-31.23
General J...	02/29/2020	2-4C		Bank service fee	Reservoir	133.13		-164.36
Total Bank Service Fees						164.36	0.00	-164.36
General Manager								
Services								
Bill	02/29/2020	SPA-055	J.C. Watson, Inc	2/20	Authority	5,874.23		-5,874.23
Bill	02/29/2020	SPA-055	J.C. Watson, Inc	2/20	Reservoir	33,991.47		-39,865.70
Total Services						39,865.70	0.00	-39,865.70
Total General Manager						39,865.70	0.00	-39,865.70
Office Expenses								
Cleaning								
Bill	02/29/2020	20	M.R. Cleaning Services	2/20	Authority	200.00		-200.00
Total Cleaning						200.00	0.00	-200.00
Misc Office & Operating Exp								
Bill	02/29/2020	Online 3/2	US Bank	2/20	Authority	249.62		-249.62
Bill	02/29/2020	Online 3/2	US Bank	2/20	Reservoir	1,298.64		-1,548.26
Total Misc Office & Operating Exp						1,548.26	0.00	-1,548.26
Pest Control & Maintenance								
Bill	02/14/2020	50451	Maximun Pest Control	2/20	Authority	65.00		-65.00
Total Pest Control & Maintenance						65.00	0.00	-65.00
Trash Service								
Bill	02/29/2020	37055688	Recology Butte Colusa Co...	2/20	Authority	35.95		-35.95
Total Trash Service						35.95	0.00	-35.95
Water Expense								
Bill	02/20/2020	471753	Mt Shasta Spring Water	2/20	Authority	47.65		-47.65
Total Water Expense						47.65	0.00	-47.65
Total Office Expenses						1,896.86	0.00	-1,896.86
Professional Fees								
Bus/Comm Manager								
Bill	02/29/2020	3-20	Spesert Consulting	2/20	Reservoir	21,589.46		-21,589.46
Total Bus/Comm Manager						21,589.46	0.00	-21,589.46
Communication								
Bill	02/13/2020	413590	Katz and Associates, Inc	1/20 (C)	Authority	11,791.50		-11,791.50
Bill	02/13/2020	413590	Katz and Associates, Inc	1/20 (C)	Reservoir	7,860.99		-19,652.49
Total Communication						19,652.49	0.00	-19,652.49
Cultural Study								
Bill	02/29/2020	02-29-20-01	Colusa Indian Community ...	2/20	Reservoir	1,017.82		-1,017.82
Total Cultural Study						1,017.82	0.00	-1,017.82
Engineering & Technical Service								
Bill	02/28/2020	2000330234	AECOM Technical Service...	2/20	Reservoir	38,838.46		-38,838.46
Total Engineering & Technical Service						38,838.46	0.00	-38,838.46
EPP Manager								
Bill	02/27/2020	SPA-202002	Forsythe Group LLC	2/20	Reservoir	30,660.62		-30,660.62
Total EPP Manager						30,660.62	0.00	-30,660.62
Federal Government Affairs Supp								
Bill	02/01/2020	0220162	The Ferguson Group	2/20	Authority	7,500.00		-7,500.00
Bill	02/01/2020	0220162	The Ferguson Group	2/20	Reservoir	7,500.00		-15,000.00
Total Federal Government Affairs Supp						15,000.00	0.00	-15,000.00
General Legal Counsel								
Bill	02/29/2020	63615	Young Wooldridge LLP	2/20	Authority	5,606.27		-5,606.27
Bill	02/29/2020	63615	Young Wooldridge LLP	2/20	Reservoir	2,537.00		-8,143.27
Total General Legal Counsel						8,143.27	0.00	-8,143.27
Geotechnical Engineering								

Sites Project Joint Powers Authority
Transaction Detail By Account
February 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Bill	02/29/2020	04.7219003...	Fugro USA Land, Inc	2/20 (I)	Reservoir	8,744.05		-8,744.05
Total Geotechnical Engineering						8,744.05	0.00	-8,744.05
Legislative/Reg/Strategic								
Bill	02/29/2020	Letter	Dunn Consulting	2/20	Authority	4,000.00		-4,000.00
Bill	02/29/2020	Letter	Dunn Consulting	2/20	Reservoir	4,000.00		-8,000.00
Total Legislative/Reg/Strategic						8,000.00	0.00	-8,000.00
Municipal Advisor								
Bill	02/16/2020	4802SITES	Montague DeRose and As...	1/20	Reservoir	1,925.00		-1,925.00
Total Municipal Advisor						1,925.00	0.00	-1,925.00
Operations/Simulation Modeling								
Bill	02/19/2020	D3205400-010	CH2M Hill Engineers, Inc	1/20(D)	Reservoir	60,522.55		-60,522.55
Total Operations/Simulation Modeling						60,522.55	0.00	-60,522.55
Organizational Assessment								
Bill	02/29/2020	117	Darling H2O Consulting, Inc.	2/20	Authority	7,673.14		-7,673.14
Total Organizational Assessment						7,673.14	0.00	-7,673.14
Permitting & Agreements								
Bill	02/14/2020	0144836	ICF Jones & Stokes Inc	1/20 (Permitting) (F)	Reservoir	41,235.90		-41,235.90
Total Permitting & Agreements						41,235.90	0.00	-41,235.90
Program Ops Manager								
Bill	02/29/2020	SPA-17-29	Trapasso Consulting Servi...	2/20	Reservoir	29,107.10		-29,107.10
Total Program Ops Manager						29,107.10	0.00	-29,107.10
Project Controls								
Bill	02/12/2020	17363756	Brown and Caldwell	1/20 (B)	Reservoir	106,709.01		-106,709.01
Total Project Controls						106,709.01	0.00	-106,709.01
Project Integration								
Bill	02/22/2020	1200250916	HDR Engineering Inc	2/20 (A)	Reservoir	172,196.08		-172,196.08
Total Project Integration						172,196.08	0.00	-172,196.08
Reservoir Operations								
Bill	02/13/2020	20-01-4941.0	MBK Engineers Inc	1/20	Reservoir	1,101.00		-1,101.00
Total Reservoir Operations						1,101.00	0.00	-1,101.00
Special Legal								
Bill	02/25/2020	6146039	Perkins Coie LLP	1/20	Reservoir	18,450.90		-18,450.90
Total Special Legal						18,450.90	0.00	-18,450.90
Total Professional Fees						590,566.85	0.00	-590,566.85
Website, Data, Computer Support								
Bill	02/29/2020	MSP-138726	Adept Solutions Inc	3/20	Authority	134.44		-134.44
Bill	02/29/2020	MSP-138726	Adept Solutions Inc	3/20	Reservoir	537.76		-672.20
Bill	02/29/2020	138721	Adept Solutions Inc	1/20	Authority	51.98		-724.18
Bill	02/29/2020	138721	Adept Solutions Inc	1/20	Reservoir	207.90		-932.08
Total Website, Data, Computer Support						932.08	0.00	-932.08
Interest Income								
Interest Income-River City								
General J...	02/29/2020	2-3C		rec int fme	Reservoir		3,876.62	3,876.62
General J...	02/29/2020	2-5C		rec int fme	Authority		340.49	4,217.11
General J...	02/29/2020	2-5C		rec int fme	Reservoir		1,451.56	5,668.67
Total Interest Income-River City						0.00	5,668.67	5,668.67
Total Interest Income						0.00	5,668.67	5,668.67
TOTAL						**638,409.15**	**5,668.67**	**-632,740.48**

Sites Project Joint Powers Authority
Balance Sheet by Class
As of February 29, 2020

	Authority	Reservoir	TOTAL
ASSETS			
Current Assets			
Checking/Savings			
River City ICS Savings	0.00	3,015,612.46	3,015,612.46
River City Operating Account	815,579.50	509,678.01	1,325,257.51
River City State Fund Checking	0.00	5,703,753.80	5,703,753.80
Total Checking/Savings	815,579.50	9,229,044.27	10,044,623.77
Accounts Receivable			
Accounts Rec Members			
Membership - Authority	123,000.00	0.00	123,000.00
Membership - Reservoir	0.00	11,329.72	11,329.72
Total Accounts Rec Members	123,000.00	11,329.72	134,329.72
Total Accounts Receivable	123,000.00	11,329.72	134,329.72
Total Current Assets	938,579.50	9,240,373.99	10,178,953.49
TOTAL ASSETS	**938,579.50**	**9,240,373.99**	**10,178,953.49**
LIABILITIES & EQUITY			
Liabilities			
Current Liabilities			
Accounts Payable			
Accounts Payable	44,226.44	596,886.08	641,112.52
Total Accounts Payable	44,226.44	596,886.08	641,112.52
Total Current Liabilities	44,226.44	596,886.08	641,112.52
Long Term Liabilities			
Participant Reimbursement Polic	0.00	6,064,652.67	6,064,652.67
Total Long Term Liabilities	0.00	6,064,652.67	6,064,652.67
Total Liabilities	44,226.44	6,661,538.75	6,705,765.19
Equity			
Net Assets	114,674.93	1,814,541.65	1,929,216.58
Retained Earnings	356,196.54	1,838,403.19	2,194,599.73
Net Income	423,481.59	-1,074,109.60	-650,628.01
Total Equity	894,353.06	2,578,835.24	3,473,188.30
TOTAL LIABILITIES & EQUITY	**938,579.50**	**9,240,373.99**	**10,178,953.49**

Sites Project Joint Powers Authority
Profit & Loss by Class
February 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Expense			
Admin Support Gen Manager	996.66	3,986.64	4,983.30
Bank Service Fees	31.23	133.13	164.36
General Manager			
Services	5,874.23	33,991.47	39,865.70
Total General Manager	5,874.23	33,991.47	39,865.70
Office Expenses			
Cleaning	200.00	0.00	200.00
Misc Office & Operating Exp	249.62	1,298.64	1,548.26
Pest Control & Maintenance	65.00	0.00	65.00
Trash Service	35.95	0.00	35.95
Water Expense	47.65	0.00	47.65
Total Office Expenses	598.22	1,298.64	1,896.86
Professional Fees			
Bus/Comm Manager	0.00	21,589.46	21,589.46
Communication	11,791.50	7,860.99	19,652.49
Cultural Study	0.00	1,017.82	1,017.82
Engineering & Technical Service	0.00	38,838.46	38,838.46
EPP Manager	0.00	30,660.62	30,660.62
Federal Government Affairs Supp	7,500.00	7,500.00	15,000.00
General Legal Counsel	5,606.27	2,537.00	8,143.27
Geotechnical Engineering	0.00	8,744.05	8,744.05
Legislative/Reg/Strategic	4,000.00	4,000.00	8,000.00
Municipal Advisor	0.00	1,925.00	1,925.00
Operations/Simulation Modeling	0.00	60,522.55	60,522.55
Organizational Assessment	7,673.14	0.00	7,673.14
Permitting & Agreements	0.00	41,235.90	41,235.90
Program Ops Manager	0.00	29,107.10	29,107.10
Project Controls	0.00	106,709.01	106,709.01
Project Integration	0.00	172,196.08	172,196.08
Reservoir Operations	0.00	1,101.00	1,101.00
Special Legal	0.00	18,450.90	18,450.90
Total Professional Fees	36,570.91	553,995.94	590,566.85
Website, Data, Computer Support	186.42	745.66	932.08
Total Expense	44,257.67	594,151.48	638,409.15
Net Ordinary Income	-44,257.67	-594,151.48	-638,409.15
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	340.49	5,328.18	5,668.67
Total Interest Income	340.49	5,328.18	5,668.67
Total Other Income	340.49	5,328.18	5,668.67
Net Other Income	340.49	5,328.18	5,668.67
Net Income	**-43,917.18**	**-588,823.30**	**-632,740.48**

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through February 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Income			
Membership Admin/Authority	505,000.00	0.00	505,000.00
Total Income	505,000.00	0.00	505,000.00
Gross Profit	505,000.00	0.00	505,000.00
Expense			
Accounting Expense	922.80	3,691.20	4,614.00
Admin Support Gen Manager	1,772.82	7,091.28	8,864.10
Bank Service Fees	71.09	282.72	353.81
General Manager			
Services	11,740.41	67,966.29	79,706.70
Total General Manager	11,740.41	67,966.29	79,706.70
Insurance - Property	200.00	50.00	250.00
Insurance Liability	0.00	1,604.25	1,604.25
Office Expenses			
Cleaning	400.00	0.00	400.00
Misc Office & Operating Exp	427.93	1,417.04	1,844.97
Pest Control & Maintenance	130.00	0.00	130.00
Trash Service	71.90	0.00	71.90
Water Expense	97.25	0.00	97.25
Total Office Expenses	1,127.08	1,417.04	2,544.12
Professional Fees			
Bus/Comm Manager	0.00	44,567.45	44,567.45
Communication	16,035.76	20,593.79	36,629.55
Cost Development Model	0.00	710.60	710.60
Cultural Study	0.00	3,885.55	3,885.55
Engineering & Technical Service	0.00	63,219.64	63,219.64
EPP Manager	0.00	61,710.06	61,710.06
Federal Government Affairs Supp	15,000.00	15,000.00	30,000.00
General Legal Counsel	10,717.76	5,568.50	16,286.26
Geotechnical Engineering	0.00	13,223.01	13,223.01
Legislative/Reg/Strategic	8,000.00	8,000.00	16,000.00
Municipal Advisor	0.00	12,450.00	12,450.00
Operations/Simulation Modeling	0.00	119,262.31	119,262.31
Organizational Assessment	16,666.19	0.00	16,666.19
Permitting & Agreements	0.00	62,999.85	62,999.85
Program Ops Manager	0.00	58,060.84	58,060.84
Project Controls	0.00	181,641.14	181,641.14
Project Integration	0.00	310,192.16	310,192.16
Real Estate	0.00	761.67	761.67
Reservoir Operations	0.00	1,101.00	1,101.00
Special Legal	0.00	18,450.90	18,450.90
Total Professional Fees	66,419.71	1,001,398.47	1,067,818.18
Website, Data, Computer Support	500.54	2,002.13	2,502.67
Total Expense	82,754.45	1,085,503.38	1,168,257.83
Net Ordinary Income	422,245.55	-1,085,503.38	-663,257.83
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	1,236.04	11,393.78	12,629.82
Total Interest Income	1,236.04	11,393.78	12,629.82
Total Other Income	1,236.04	11,393.78	12,629.82
Net Other Income	1,236.04	11,393.78	12,629.82

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through February 2020

	Authority	Reservoir	TOTAL
Net Income	423,481.59	-1,074,109.60	-650,628.01

Sites

Topic: **Authority Board Agenda Item 5-2 2020 March 25**

Subject: **Payment of Claims**

Requested Action:

Consider approval of the Payment of Claims as presented in Attachment 5-2A with supporting details provided in Attachment 5-2B.

Detailed Description/Background:

Attachment A presents the warrants to be drawn against the invoices received by the Sites Project Authority through March 2, 2020.

Attachment B summarizes details of the invoices received through March 2, 2020 and how the incurred costs are allocated between the Authority and Reservoir Committee.

Prior Action:

None.

Fiscal Impact/Funding Source:

Total Payment of Claims is $641,112.52 with $44,226.44 of costs being assigned to the Authority and $596,886.08 assigned to the Reservoir Committee.

For the Reservoir Committee assigned amount, $534,965.68 will be paid through the WSIP account and $106,146.84 through the JPA/Sites account as shown in Attachment B.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: March 2020 Report on warrants to be drawn for Payment of Claims.

Attachment B: March 2020 Monthly Invoice Summary table.

Draft_0028781

WARRANTS DRAWN AGAINST
Sites Project Authority-WSIP Fund
March 25, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
1013	03/12/2020	AECOM Inc.	Engineering	38,838.46
1014	03/12/2020	Brown and Caldwell	Project Controls	106,709.01
1015	03/12/2020	CH2M	Operations/SIM Modeling	60,522.55
1016	03/12/2020	Colusa Indian Community Council	Tribal Council	3,885.55
1017	03/12/2020	Forsythe Group LLC	EPP Manager	30,660.62
1018	03/12/2020	Fugro USA Land, Inc.	Geotechnical Engineering Services	8,744.05
1019	03/12/2020	HDR Engineering Inc.	Project Integration	172,196.08
1020	03/12/2020	ICF Jones & Stokes Inc.	Env/Biological Services & Permitting	41,235.90
1021	03/12/2020	MBK Engineers Inc.	Reservoir Operations	1,101.00
1022	03/12/2020	Montegue DeRose and Associates, Inc.	Municipal Advisor	1,925.00
1023	03/12/2020	Perkins Coie LLP	Special Legal	18,450.90
1024	03/12/2020	Spesert Consulting	Bus/Comm Manager	21,589.46
1025	03/12/2020	Trapasso Consulting Services	Program Operations Manager	29,107.10

THE FOREGOING CLAIM, NUMBERED 1013-1025 ARE APPLIED TO THE WSIP FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **534,965.68**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

WARRANTS DRAWN AGAINST
Sites Project Authority- General Fund
March 25, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
2114	03/12/2020	Adept Solutions	IT & Related Services	932.08
2115	03/12/2020	Darling H2O Consulting, Inc.	Organizational Assessment	7,673.14
2116	03/12/2020	Dunn Consulting	Legislative/Regulatory/Strategic Support	8,000.00
2117	03/12/2020	JC Watson Inc.	GM Services	39,865.70
2118	03/12/2020	Katz and Associates Inc.	Communications	19,652.49
2119	03/12/2020	MR Cleaning Services	Office Cleaning	200.00
2120	03/12/2020	Maximum Pest Control	Pest Control	65.00
2121	03/12/2020	Mt Shasta Spring Water	Office Water	47.65
2122	03/12/2020	Recology Butte Colusa Counties	Office Trash Pickup	35.95
2123	03/12/2020	Rush Personnel Services Inc.	Administrative Services	4,983.30
2124	03/12/2020	The Ferguson Group	Fed Govt Affairs Support	15,000.00
2125	03/12/2020	U.S. Bank	Misc. Expenses	1,548.26
2126	03/12/2020	Young Wooldridge LLP	Legal Counsel	8,143.27

THE FOREGOING CLAIM, NUMBERED 2114-2126 ARE APPLIED TO THE GENERAL FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **106,146.84**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

Sites

March 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for March 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their March monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Adept Solutions	MSP-138726			$672.20	$134.44	$537.76	KMS
IT Related Services/Computer Equipment		*3/1/20*	03/20				
Adept Solutions	138721			$259.88	$51.98	$207.90	KMS
IT Related Services/Computer Equipment		*3/1/20*	1/20				
AECOM Technical Services, Inc.	2000330234			$38,838.46		$38,838.46	LEF
Engineering/Tech		*3/2/20*	2/20				
Bender Rosenthal, Inc. (G)	No Invoice						KMS
Real Estate							
Brown and Caldwell (B)	17363756			$106,709.01		$106,709.01	JAT
Project Controls		*2/12/20*	1/20				
Capital Project Strategies, LLC	No Invoice						JCW
Alternative Project Delivery Advisor							
CH2M Hill Engineers (Operations) (D)	D3205400-010			$60,522.55		$60,522.55	AEF
Operations / Simulation Modeling		*2/19/20*	1/20				
Colusa Indian Community Council	01-31-20-01			$2,867.73		$2,867.73	SPE
Tribal Council		*1/31/20*	1/20				
Colusa Indian Community Council	02-29-20-01			$1,017.82		$1,017.82	SPE
Tribal Council		*2/29/20*	2/20				
Darling H2O Consulting, Inc.	117			$7,673.14	$7,673.14		FD
Organizational Assessment		*3/1/20*	2/20				
Dunn Consulting	Letter			$8,000.00	$4,000.00	$4,000.00	KMS
Legislative/Regulatory/Strategic Support		*3/3/20*	2/20				
Forsythe Group, LLC	SPA-202002			$30,660.62		$30,660.62	JCW
EPP Manager		*2/27/20*	2/20				
Fugro (I)	04.72190035-11			$8,744.05		$8,744.05	LEF
Geotechnical Engineering Services		*3/3/20*	2/20				
Gerald (Jerry) Johns	No Invoice						AEF
Project Operations							
HDR (A)	1200250916			$172,196.08		$172,196.08	All Agents
Project Integration		*2/22/20*	2/20				

Sites

March 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for March 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their March monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
ICF Jones & Stokes, Inc. (Environ.) (E)	No Invoice						AEF
Env/Biological Services							
ICF Jones & Stokes, Inc. (Permitting) (F)	0144836			$41,235.90		$41,235.90	AEF
Permitting and Agreements		*2/14/20*	1/20				
J.C. Watson, Inc.	SPA-055			$39,865.70	$5,874.23	$33,991.47	JRT/GA
General Manager Services		*3/1/20*	2/20				
Katz & Associates (C)	413590			$19,652.49	$11,791.50	$7,860.99	KMS
Communications		*2/13/20*	1/20				
K-Coe Isom, LLP	No Invoice						JAT
Accounting							
Larsen Wurzel & Associates, Inc.	No Invoice						JAT
Cost Development							
M.R. Cleaning Service	20			$200.00	$200.00		KMS
Office Cleaning		*2/29/20*	2/20				
Maximum Pest Control	50451			$65.00	$65.00		KMS
Pest Spraying		*2/14/20*	2/20				
MBK Engineers	20-01-4941.0			$1,101.00		$1,101.00	AEF
Reservoir Operations		*2/13/20*	1/20				
Montague DeRose & Associates, LLC	4802SITES			$1,925.00		$1,925.00	JCW
Municipal Advisor		*2/16/20*	1/20				
MT Shasta Water	471753			$47.65	$47.65		KMS
Office Water		*2/20/20*	2/20				
Perkins Coie, LLP	6146039			$18,450.90		$18,450.90	AEF
Special Legal		*2/25/20*	1/20				
Recology Butte Colusa Counties	37055688			$35.95	$35.95		KMS
Office Trash Pickup		*2/29/20*	2/20				
Rush Personnel	IVC000000135105			$1,058.40	$211.68	$846.72	KMS
Yolanda Tirado Services		*2/11/20*	2/20				
Rush Personnel	IVC000000135106			$1,278.90	$255.78	$1,023.12	KMS
Yolanda Tirado Services		*2/11/20*	2/20				

Sites

March 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for March 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their March monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Rush Personnel	IVC000000135423		$1,234.80	$246.96	$987.84	KMS
Yolanda Tirado Services	*2/25/20*	2/20				
Rush Personnel	IVC000000135424		$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services	*2/25/20*	2/20				
Spesert Consulting	3-20		$21,589.46		$21,589.46	JCW
Bus/Communications Manager	*3/4/20*	2/20				
Stradling, Yocca, Carlson & Rauth	No Invoice					JCW
Bond Counsel						
The Ferguson Group	0220162		$15,000.00	$7,500.00	$7,500.00	JAT
Federal Government Affairs Support	*2/1/20*	2/20				
Trapasso Consulting Services	SPA 17-29		$29,107.10		$29,107.10	JCW
Program Operations Manager	*3/3/20*	2/20				
U.S. Bank - Credit Card	Online 3/2		$1,548.26	$249.62	$1,298.64	KMS
Misc. Expenses	*3/2/20*	2/20				
Wiseman Consulting Group	No Invoice					KMS
ROW/Land Management						
Young Wooldridge, Law Offices, LLP	63615		$8,143.27	$5,606.27	$2,537.00	JCW
Legal Counsel	*2/29/20*	2/20				

		$ Total	$ Authority Board	$ Reservoir Committee
Monthly Summary	Cash Accounts	641,112.52	44,226.44	596,886.08
	WSIP	534,965.68		534,965.68
	JPA/Sites	106,146.84	44,226.44	61,920.40

Notes: *

Draft_0028786

Sites

Topic: **Authority Board Agenda Item 6-1** **2020 March 25**

Subject: **Report on Sites Project's Phase 2 Reservoir Committee Meeting – March 19, 2020**

Requested Action:

No action requested. Informational item.

Detailed Description/Background:

Reservoir Committee officers and/or Staff provide a report on the March 19, 2020 Sites Project Reservoir Committee meeting.

The Reservoir Committee's meeting package can be viewed at:

https://sitesproject.org/meetings/march-19-2020/

Prior Authority Board Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jim Watson

Attachments:

Attachment A – Sites Project Reservoir Committee Meeting Agenda – March 19, 2020.

Attachment B - Workgroup Signup Sheet.

Status:	Final	Preparer:	Trapasso	Phase: 2	Version: A
Purpose:	Staff Report	QA/QC:	Watson	Date:	2020 March 25
Caveat:	Informational	Authority Agent:	Watson	Ref/File #:	10.700
Notes:				Page: 1	of 1

Meeting:	**Phase 2 Reservoir Project Agreement**	**2020 March 19**
Subject:	**Reservoir Committee Meeting**	**1:00 PM – 4:00 PM**
Location:	Maxwell Project Office 122 Old Highway 99W, Maxwell, CA 95955	Call in: **1-844-531-9388** Code: **738 675 471**

WEBEX LINK

Chair: Thad Bettner (Glenn-Colusa Irrigation District)

Vice Chair: Jeff Davis (San Gorgonio Pass Water Agency)

Treasurer: Jamie Traynham (Davis Water District)

AGENDA

Notice: Pursuant to Executive Order N-25-20, issued by Governor Newsom on March 12, 2020, and guidance by the California Department of Public Health dated March 11, 2020, this meeting will be conducted by teleconference. The public may attend the meeting and offer public comments by phone, using the call-in number above, or in person, at the address above. Members of the Committee will participate by teleconference from other locations.

ROLL CALL & CALL TO ORDER: 10 min

- Introductions.
- Pledge of Allegiance.
- Approval of March 19, 2020 Agenda.
- Consider approving the February 21, 2020 Phase 2 Reservoir Committee Meeting Minutes.
- Announcement of Closed Session.
- Period for Public Comment. Max: 10 min

1. Member's Reports:

1.1 Chairpersons' Report: 15 min Bettner, Davis

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

- Workgroup assignments (Attachment 01-1A)

1.2 Reservoir Committee Participant Reports: 5 min Representatives

This time is set aside to allow Representatives or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

2. Consent Agenda: 0 min Watson

None.

3. Manager's Report: 15 min Watson

3.1 Discussion and possible direction to staff regarding the Sites Project's monthly status report. **(Attachment 3-1A)**

3.2 Discussion and possible direction to staff regarding Proposition 1, WSIP activities and WIIN Act Funding.

4. **2019 Ad Hoc Budget & Finance Workgroup**: 30 min Traynham

4.1 Consider accepting the Sites Project Authority Treasurer's Report. **(Attachment 4-1 A)**

4.2 Consider approval of the Reservoir Committee's portion of the monthly Payment of Claims. **(Attachments 4-2 A & B)**

5. **2019 Ad Hoc Environmental & Permitting Workgroup**: 10 min Bettner

Discussion and possible direction to staff regarding the ongoing activities of the Environmental and Permitting Workgroup.

6. **2019 Ad Hoc Reservoir Ops & Engineering Workgroup**: 20 min Kunde/Azevedo

6.1 Discussion and possible direction to staff regarding value planning efforts and the next steps to develop an updated project description.

7. **Updated Work Plan**:

7.1 Discussion and possible direction to staff regarding the development of the work plan for the period of performance starting September 1, 2020 and ending December 31, 2021 in accordance with a proposed Amendment 2 to the current Participation Agreement.

8. **Extending to Current Participation Agreement**:

8.1 Discussion and possible direction to staff regarding the proposed amendment to the current participation agreement and supporting documents.

RECESS:

9. **Closed Session**:

9.1 Public Employee Appointment (Gov. Code, § 54957)
Title: Executive Director

10. **Report from Closed Session**: 5 min Kuney

11. **Recap**: Watson

11.1 Agenda topics for next meeting?

- Presentation: Statewide water management actions: Department of Water Resources' perspective on Delta Conveyance.

11.2 Upcoming Reservoir Committee meeting:

Friday, April 17, 2020 9:00 AM
Maxwell Project Office
122 Old Highway 99W, Maxwell, CA 95955

ADJOURN:

PERIOD OF PUBLIC COMMENT: Any person may speak about any subject of concern, provided it is within the jurisdiction of the Reservoir Committee and is not already on today's agenda. The total amount of time allotted for receiving such public communication shall be limited to a total of 10 minutes per issue and each individual or group will be limited to no more than 3 minutes each within the 10 minutes allocated per issue. **Note:** No action shall be taken on comments made under this comment period.

ADA COMPLIANCE: Upon request, agendas will be made available in alternative formats to accommodate persons with disabilities. In addition, any person with a disability who requires a modification or accommodation to participate or attend this meeting may request necessary accommodation. Please make your request to the Board Clerk, specifying your disability, the format in which you would like to receive this Agenda and any other accommodation required no later than 24 hours prior to the start of the meeting.

Draft_0028791

Topic: **Sites Project - Reservoir Committee** **2020 March 16**

Workgroup Assignments for 2020

	Budget & Finance	Res. Ops & Engineering	Environmental & Permitting	Coordination
1	Jamie Traynham Chair	Mike Azevedo Vice Chair	Mike Azevedo	Thad Bettner Res Comm Chair
2	Thad Bettner	Thad Bettner	Thad Bettner Chair	Jeff Davis Res Comm Vice Chair
3	Rob Kunde	Rob Kunde Chair	Rob Kunde	Jamie Traynham Budget & Finance Chair
4	Eric Leitterman	Eric Leitterman	Eric Leitterman	Robert Cheng Budget & Finance Vice Chair
5	Robert Cheng Vice chair	Robert Cheng	Robert Cheng	Rob Kunde Res Ops & Eng. Chair
6	Bill Vanderwaal	Bill Vanderwaal	Bill Vanderwaal	Mike Azevedo Res Ops & Eng. Vice Chair
7	Dan Ruiz	Dan Ruiz	Jeff Davis	Thad Bettner Env & Permit Chair
8	Shelly Murphy	Bob Tincher	Heather Dyer Vice chair	Heather Dyer Env & Permit Vice Chair
9		Dirk Marks		
10		Rick Kaufman		
11		Amparo Flores		
12		Jeff Sutton (TC4)		
Staff	Joe Trapasso	Jim Watson	Ali Forsythe	Executive Director

NOTE: Workgroup meetings that have more than 10 representatives or designated alternates requires public noticing

Sites

Topic: **Authority Board Agenda Item 6-2 2020 March 25**

Subject: **Value Planning**

Requested Action:

Discussion and possible direction to staff regarding value planning efforts and the next steps to develop an updated project description.

Detailed Description/Background:

The Ad Hoc Value Planning Workgroup was formed in late 2019 and continued to meet through early 2020. The Workgroup directed the efforts of Authority staff and the consultant team to formulate and evaluate a broader range of facilities that appear to be more affordable. On March 2, 2020, the Value Planning Work Group, through a sequential process that evaluated initial and refined facility alternatives, has identified a recommended set of facilities and range of alternatives that would provide the ability to adjust the Project as circumstances may dictate.

With the identification of a recommended project, the Value Planning Workgroup purpose is completed, and no further Workgroup meetings are planned.

A Sites workshop is scheduled for Monday, March 30, 2020. The workshop will provide an opportunity for participants to ask questions and provide feedback regarding the value planning study. The report will be finalized reflecting input from participants and a final report will be submitted to the Reservoir Committee and the Authority Board for approval in April.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Lee Frederiksen

Attachments:

None.

Sites

Topic: **Authority Board Agenda Item 6-3** **2020 March 25**

Subject: **September 1, 2020 through December 2021 Updated Work Plan (Amendment 2)**

Requested Action:

Discussion and possible direction to staff regarding the development of the updated work plan for the period of performance starting September 1, 2020 and ending December 31, 2021 in accordance with a proposed Amendment 2 to the current Participation Agreement.

Background:

To continue to advance the Sites Project beyond August 31, 2020, an amended participation agreement (Amendment 2) is needed that includes an additional funding commitment (aka cash call). These funds can then be leveraged using a combination of the Prop 1 (WSIP) early funding, which is capped at $40.8 million, and a portion of the $6 million WIIN Act appropriation that occurred in December. Based on estimates of local, state, and federal funding available, a work plan needs to be prepared and be based on the current level of local and state conditional investments and the current level of federal interest. The proposed goals of this work plan are:

a. To improve certainty related to the reservoir's operations and degree of operational integration with the State Water Project and Central Valley Project to produce benefits for both water supply and reliability purposes and water dedicated to environmental purposes;

b. To improve certainty related to the project's permitability through more-focused early agencies' consultations to then prepare applications for key federal and state permits and the state's water rights;

c. To complete the environmental analysis and documentation as required under CEQA and NEPA;

d. To improve certainty related to the project's affordability by advancing the level of engineering and implementing risk management to improve the accuracy of the cost estimates. In addition, pursue low interest financing and potential grants;

e. To continue to cultivate and strengthen partnerships with local landowners, communities, and key stakeholders that represent environmental, business, labor, and other interests;

f. To meet the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive the $775 million in construction funding;

g. To identify continued participation Go/No-Go decision points with staggered cash calls; and

h. To develop a successor participation agreement, work plan, and funding or finance plan to advance the Sites Project beyond December 31, 2021.

Proposed Schedule:

- ☑ January 17: Obtain input to overall goals, priorities, and milestones.
- ☑ February 21: Obtain input to *strawman* work plan (task list, initial milestones, and range of proposed cash calls) and proposed information package to be provided to each home board.
- ☐ March 19: Obtain input to an initial work plan (revised task list, more-defined CPM schedule, and cash call timing) and proposed information package.
- ☐ March 30: At a workshop, obtain input to the schedule, the proposed allocation of costs, and the proposed cash call timing for the purpose of developing a final draft of work plan for approval at the April meetings.
- ☐ April 17: Obtain final input and approval of the work plan to create Exhibit B to the amended participation agreement.

  NOTE: Authority Board's consideration to approve is scheduled for April 22.
- ☐ May 1: Distribute document package for Home Boards' consideration.
- ☐ July 9: Response from Home Board for inclusion into agenda package for July 16 Reservoir Committee meeting to:

  1. Consider approving the participation responses.
  2. Authorize preparation of the first invoice due in 30 days (i.e. September 1, 2020). Plan is to distribute invoices to home boards on or before July 31.
  3. If needed, authorize the rebalancing process.

  NOTE: Authority Board's consideration to approve is scheduled for July 22.
- ☐ August 21: Reservoir Committee approve consultant services contract amendments and initial set of task orders to issue near-term Notices to Proceed on or before September 1.
- ☐ September 1: Formal start of amendment 2 to the current participation agreement.

Updated Work Plan:

Process: Based on input from the Reservoir Committee, staff continued to develop a project schedule through 2021 (Attachment A), with particular focus given to the critical path. Based on the activities scheduled for September 1, 2020 through December 31, 2021, preliminary cost estimates were developed, and the schedule was cost loaded. The cash flow based on this exercise, including cash calls from the Reservoir Committee, is included in Attachment B. Based on this scenario, the cash call invoices totaling $100/AF would occur as follows:

- $60/AF, Due September 1, 2020.
- $40/AF, Due February 1, 2021.

Preliminary cost estimates by subject area are shown in Attachment C, reflecting highest priority spending on project permitting (36%), engineering in support of the project description and feasibility (15%), and the environmental planning documents (13%).

Based on the revenue scenario and focusing on the work plan goals as defined, the following activities are proposed to be **deferred beyond December 31, 2021**:

- Any additional repayment of Phase 1 contributed credit to participants.
- Payment of permit application fees.
- Purchasing of real estate.
- Early procurement of equipment.
- Development of alternative delivery bridging documents, which includes alternative delivery legal support.
- Development of a detailed Program Management Plan (PMP) and associated standards.
- Development of policies and procedures beyond those necessary to receive previously identified grants or funding agreements.
- Implementation of a Project Management Information System (PMIS) or an enterprise-wide solution for business and asset management (e.g. ERP).

Prior Action:

February 21, 2020: Staff provided information on three possible revenue scenarios and a task list for Amendment 2 (September 1, 2020 and ending December 31, 2021). Staff received direction to continue to develop the $100/AF revenue scenario assuming a reduction in participation up to 10%.

January 17, 2020: Staff provided information regarding the proposed process for developing a work plan. This work plan proposes to focus on improving certainty related to the operations, permitting, and affordability and meet the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive funding.

Fiscal Impact/Funding Source:

Not yet determined

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Project Schedule Through Dec 31, 2021 (Amendment 2)

Attachment B: Amendment 2 Cash Flow Curves, Reservoir Committee and Authority Board

Attachment C: Amendment 2 Work Plan Spend by Subject Area

Sites Reservoir Project

2020 March 25 Authority Board, Agenda Item 6-3, Attachment A

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
SITES PROJECT		548	02-Jan-20	25-Feb-22
MILESTONES		327	31-Aug-20	15-Dec-21
MS-001	Local Funding (Go/No-go #1)	0		31-Aug-20
MS-002	Local Funding (Go/No-go #2)	0		08-Jan-21
MS-003	Local Funding (Go/No-go #3)	0		15-Dec-21
LOCAL FUNDING		509	02-Jan-20	31-Dec-21
Execute Participation Agreement 1B		95	23-Jan-20	04-Jun-20
PA-010	Amend Task Orders	26	23-Jan-20	27-Feb-20
PA-020	Final Participation Agreement Amendment 1B	15	18-Mar-20	08-Apr-20
PA-030	Engineering Task Orders (HC, HR)	30	23-Jan-20	04-Mar-20
PA-040	Amendment 1B Exhibit B	5	01-Apr-20	08-Apr-20
PA-050	Executed 1B	0		04-Jun-20
Execute Participation Agreement 2		114	18-Mar-20	26-Aug-20
PA-120	Draft Participation Agreement Amend 2 for Home Board Review	15	18-Mar-20	08-Apr-20
PA-130	Home Board Review - Determine Participation Level	44	17-Apr-20	18-Jun-20
PA-140	Rebalance Participation	8	19-Jun-20	30-Jun-20
PA-150	Final Participation Agreement Amend 2 with Exhibits	0		30-Jun-20
PA-160	Execute Amendment 2	0		26-Aug-20
Execute Successor Participation Agreement 2		106	02-Aug-21	31-Dec-21
PA-210	Execute Successor Agreement	106	02-Aug-21*	31-Dec-21
Work Plan Amend 1B		40	02-Jan-20	26-Feb-20
WP-005	Incorporate Comments from Dec Res Comm Mtg	9	02-Jan-20*	14-Jan-20
WP-015	Prepare Final Work Plan	14	15-Jan-20	03-Feb-20
WP-020	Budget & Finance Work Group Review	5	04-Feb-20	10-Feb-20
WP-025	Work Plan 1B Approved	0		26-Feb-20
Work Plan Amend 2		153	28-Jan-20	01-Sep-20
Develop Schedule		29	28-Jan-20	06-Mar-20
WP-040	Develop Draft Schedule thru Dec 31, 2021	13	28-Jan-20	13-Feb-20
WP-060	Develop Final Schedule through Dec 2021	10	24-Feb-20	06-Mar-20
Work Plan Scope Document		50	28-Jan-20	06-Apr-20
WP-050	Draft Work Plan Task List	13	28-Jan-20	13-Feb-20
WP-070	Final Work Plan Scope Document	31	24-Feb-20	06-Apr-20
Work Plan Budget		50	28-Jan-20	06-Apr-20
WP-035	Budget Scenarios and Assumptions	2	11-Feb-20	12-Feb-20
WP-055	Draft Work Plan Budget	13	28-Jan-20	13-Feb-20
WP-090	Final Work Plan Budget	31	24-Feb-20	06-Apr-20
Amendment 2		103	07-Apr-20	01-Sep-20
WP-095	Final Amendment 2 Work Plan	5	07-Apr-20	13-Apr-20
WP-110	Develop Task Orders (Sep 2020 to Dec 2021)	51	14-Apr-20	24-Jun-20
WP-120	Work Plan 2 Approved	0		24-Jun-20
WP-130	Start of Amend 2	0	01-Sep-20	
VALUE PLANNING		73	02-Jan-20	13-Apr-20
Value Planning Analysis		44	02-Jan-20	03-Mar-20
VP-001	Refine Value Planning Options	3	02-Jan-20	06-Jan-20
VP-002	Screen Value Planning Options	20	07-Jan-20	03-Feb-20
VP-006	Qualitative Permit Screening	37	02-Jan-20	21-Feb-20

WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
VP-007	Qualitative Env Screening	37	02-Jan-20	21-Feb-20
VP-008	Qualitative Ops Screening	37	02-Jan-20	21-Feb-20
VP-013	Prepare Costs For Value Planning Alternatives	15	04-Feb-20	24-Feb-20
VP-014	Affordability Analysis	4	25-Feb-20	28-Feb-20
VP-015	Screen Alternatives	2	02-Mar-20	03-Mar-20
VP-020	Recommend Preferred Option	0		03-Mar-20
Value Planning Report		42	14-Feb-20	13-Apr-20
VP-025	Value Planning Draft Report	21	14-Feb-20	13-Mar-20
VP-070	Select and Confirm Preferred Project	21	16-Mar-20	13-Apr-20
VP-090	Value Planning Final Report	17	20-Mar-20	13-Apr-20
Preferred Project		0	13-Apr-20	13-Apr-20
VP-095	Approve Preferred Project	0		13-Apr-20
PROJECT DESCRIPTION		143	11-Feb-20	31-Aug-20
Determine Needs		56	11-Feb-20	28-Apr-20
PDE-10	Environmental Planning Needs	56	11-Feb-20	28-Apr-20
PDE-20	Permitting Needs	56	11-Feb-20	28-Apr-20
PDE-25	Engineering Needs	46	11-Feb-20	14-Apr-20
PDE-30	Operations Needs	10	11-Feb-20	24-Feb-20
PDE-35	Water Rights Needs (Reg Items/Study)	46	11-Feb-20	14-Apr-20
Components		60	01-Apr-20	24-Jun-20
PDE-40	Identify Alternatives for EIR/EIS	30	01-Apr-20	12-May-20
PDE-42	Determine Preliminary Project Construction Sequencing	30	13-May-20	24-Jun-20
PDE-45	Identify Project-Level vs Program Components (Planning)	47	14-Apr-20	18-Jun-20
PDE-47	Identify Project-Level vs Program Components (Permitting)	47	14-Apr-20	18-Jun-20
Develop Project Description		85	29-Apr-20	27-Aug-20
PDE-50	Develop Project Description Construction	85	29-Apr-20	27-Aug-20
PDE-55	Project Description Operations Criteria Complete (see Ops Tasks)	0		12-Jun-20
Project Description Complete		0	31-Aug-20	31-Aug-20
PDE-60	Project Description	0		31-Aug-20
OPERATIONS		486	02-Jan-20	29-Nov-21
Value Planning Operations		71	02-Jan-20	10-Apr-20
OP-005	Value Planning Model	37	02-Jan-20	21-Feb-20
OP-007	Stony Creek Evaluation	37	02-Jan-20	21-Feb-20
OP-010	Shasta Exchanges Post-Processing and Draft TM	37	02-Jan-20	21-Feb-20
OP-015	Value Planning TM/Document	20	03-Feb-20	28-Feb-20
OP-020	Storage to Release Ratios Table	15	10-Feb-20	28-Feb-20
OP-070	Reclamation Feasibility Complete (Milestone) - Critical Staffing Need	0		10-Apr-20*
Refine Operational Parameters		89	10-Feb-20	12-Jun-20
OP-110	Confirm Notched Fremont Weir Approach	44	10-Feb-20	09-Apr-20
OP-120	Model Reclamation with No Storage Investment	44	10-Apr-20	11-Jun-20
OP-130	Confirm Shasta Exchange Approach	44	10-Feb-20	09-Apr-20
OP-140	Confirm Sutter Bypass Approach	44	10-Feb-20	09-Apr-20
OP-150	Confirm Voluntary Agreement Weir Notching Approach	44	10-Feb-20	09-Apr-20
OP-160	Confirm Delta Water Quality Approach	44	10-Feb-20	09-Apr-20
OP-170	Confirm Sacramento River Mortality Approach	44	10-Feb-20	09-Apr-20
OP-180	Determine Baseline	30	10-Feb-20	20-Mar-20

WORKING DRAFT

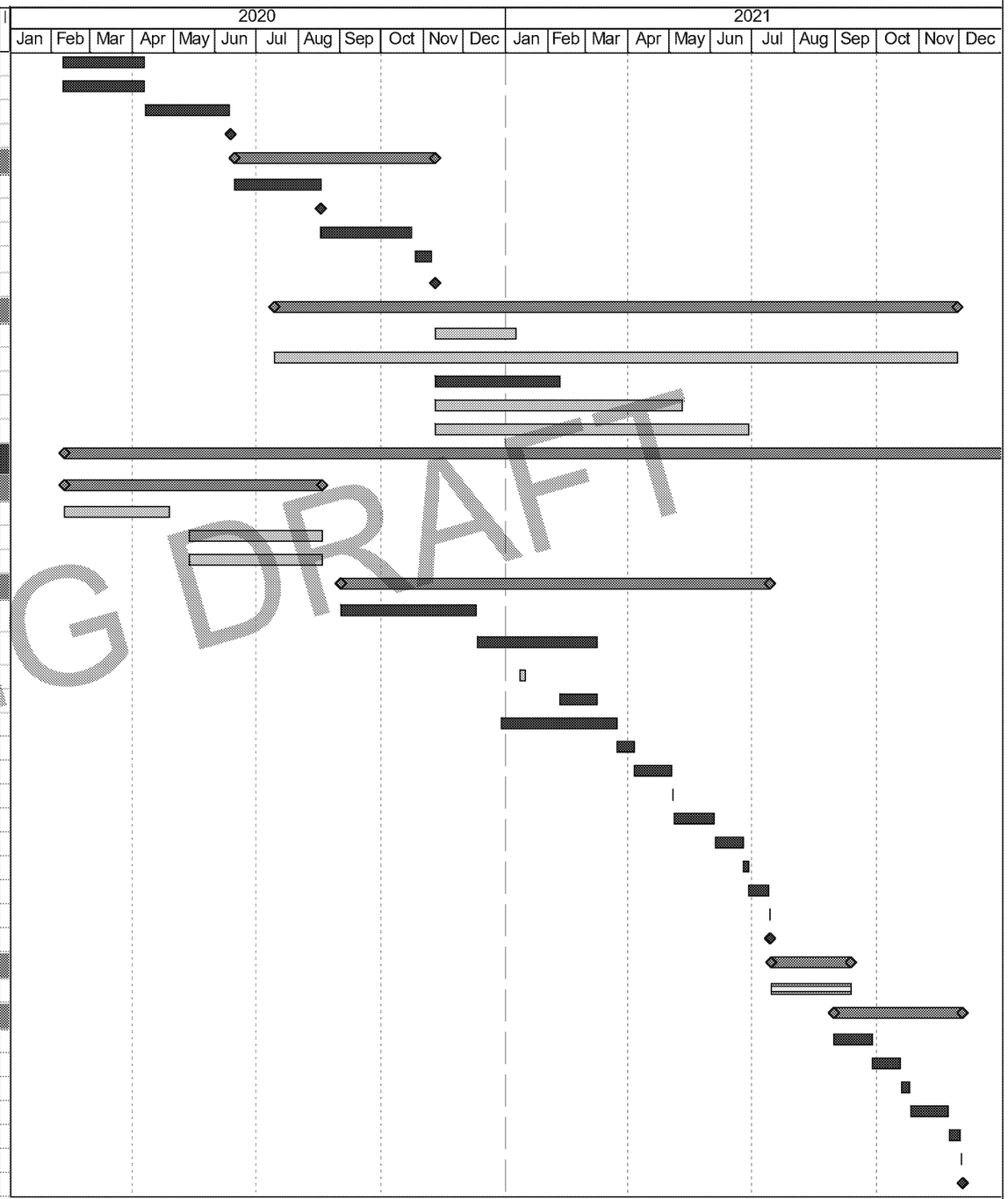
Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
OP-190	Confirm CVP/SWP Integration Approach	44	10-Feb-20	09-Apr-20
OP-200	Confirm Participation Demands Updated	44	10-Feb-20	09-Apr-20
OP-210	Confirm Model Refinements	44	10-Apr-20	11-Jun-20
OP-220	Finalize Operational Criteria	0		12-Jun-20
Full Operations Analysis		104	15-Jun-20	09-Nov-20
OP-410	Initial Modeling Evaluation	45	15-Jun-20	17-Aug-20
OP-420	Preliminary Results Available for Strategy Discussions	0		17-Aug-20
OP-430	Define and Finalize	48	18-Aug-20	23-Oct-20
OP-440	Power and Economics	10	26-Oct-20	06-Nov-20
OP-450	Final Operations Analysis's Output	0		09-Nov-20
Coordination		350	14-Jul-20	29-Nov-21
OP-320	Documentation for EIR/EIS and BA/ITP	40	10-Nov-20	08-Jan-21
OP-330	Ongoing Support to EPP, Engineering, & Financial	350	14-Jul-20	29-Nov-21
OP-340	Cumulative and Climate Change	62	10-Nov-20	09-Feb-21
OP-350	Bridging Simulation	126	10-Nov-20	10-May-21
OP-360	Ops Support for Local Feasibility - Water Commission	160	10-Nov-20	28-Jun-21
EIR/EIS		520	11-Feb-20	25-Feb-22
Work Plan & Outline		134	11-Feb-20	18-Aug-20
EIR-001	Prepare EIR/EIS Work Plan	56	11-Feb-20	28-Apr-20
EIR-010	Prepare Annotated Outline	68	13-May-20	18-Aug-20
EIR-015	Introduction Chapter/Recirculation Story	68	13-May-20	18-Aug-20
Draft EIR/EIS		220	01-Sep-20	14-Jul-21
EIR-019	Prepare Revised Draft EIR/EIS Analysis (Construction)	70	01-Sep-20	10-Dec-20
EIR-020	Prepare Revised Draft EIR/EIS Analysis (Operations)	61	11-Dec-20	09-Mar-21
EIR-021	Status Update to Board	5	11-Jan-21	15-Jan-21
EIR-025	Prepare Cumulative and Climate Change Sections	20	10-Feb-21	09-Mar-21
EIR-026	Prepare Complete Admin Draft EIR/EIS	60	29-Dec-20	23-Mar-21
EIR-030	Authority/Reclamation/Integration Review of Admin Draft EIR/EIS	9	24-Mar-21	05-Apr-21
EIR-040	Revise Draft EIR/EIS Based on Comments	20	06-Apr-21	03-May-21
EIR-045	Live Edit Meeting	1	04-May-21	04-May-21
EIR-050	Work Group & Legal Review of Revised Draft EIR/EIS	22	05-May-21	03-Jun-21
EIR-060	Resolve Work Group Comments	15	04-Jun-21	24-Jun-21
EIR-065	Live Edit Meeting	2	25-Jun-21	28-Jun-21
EIR-067	Final Editing, Formatting and Doc Production	10	29-Jun-21	13-Jul-21
EIR-070	Authority Approval of Release Revised Draft EIR/EIS	1	14-Jul-21	14-Jul-21
EIR-080	Release Revised Draft EIR/EIS and Issue Public Notices	0		14-Jul-21
Public Review		60	15-Jul-21	12-Sep-21
EIR-090	Public Review Period	60	15-Jul-21	12-Sep-21
Summary Report		67	30-Aug-21	03-Dec-21
EIR-095	Categorize and Sort Comments by Topic	20	30-Aug-21	27-Sep-21
EIR-100	Approach to Response to Comments	15	28-Sep-21	18-Oct-21
EIR-105	Authority/Reclamation/Legal Review	5	19-Oct-21	25-Oct-21
EIR-110	Prepare Summary Report	20	26-Oct-21	22-Nov-21
EIR-115	Work Group & Legal Review of Summary Report	5	23-Nov-21	01-Dec-21
EIR-117	Live Edit Meeting of Summary Report	1	02-Dec-21	02-Dec-21
EIR-120	Draft EIR Summary Report Submittal to CWC	0		03-Dec-21



WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
Response to Comments		90	19-Oct-21	25-Feb-22
EIR-130	Begin Preparation of Final EIR/EIS Including Response to Comments	90	19-Oct-21	25-Feb-22
PERMITTING		439	14-Apr-20	06-Jan-22
Biological Assessment		306	14-Apr-20	28-Jun-21
BA-001	Initial Desktop Analysis	60	01-Oct-20	28-Dec-20
BA-010	Mitigation Planning	202	14-Apr-20	29-Jan-21
BA-015	Adaptive Management Plan	202	14-Apr-20	29-Jan-21
BA-019	Confirm Federal Scope of Action (ESA)	38	14-Apr-20	05-Jun-20
BA-020	Permitting Construction Project Description	16	08-Jun-20	29-Jun-20
BA-022	Permitting Operations Project Description	20	20-Oct-20	16-Nov-20
BA-024	Prepare Construction Analysis	97	01-Oct-20	18-Feb-21
BA-025	Prepare Operations Analysis	60	17-Nov-20	12-Feb-21
BA-030	Finalize Admin Draft BA	20	19-Feb-21	18-Mar-21
BA-032	Submit Final Admin Draft BA	0		18-Mar-21
BA-035	Independent Review Draft BA	30	19-Mar-21	17-Apr-21
BA-055	Reclamation, Legal, and Work Group Review	30	19-Mar-21	17-Apr-21
BA-057	Revise Admin Draft BA	30	19-Apr-21	28-May-21
BA-060	Submit Revised Admin Draft BA to Redamation	0		28-May-21
BA-075	Final Reclamation and Solicitor Office Review	30	29-May-21	27-Jun-21
BA-100	Reclamation Submit BA to USFWS & NMFS	0		28-Jun-21
BO Incidental Take Authorization		135	28-Jun-21	09-Nov-21
BA-1110	BO Incidental Take Authorization	135	28-Jun-21	09-Nov-21
ITP - CESA (Se 2081) Operations		231	12-Jan-21	07-Dec-21
CES-190	Prepare Operations Analysis	30	12-Jan-21	22-Feb-21
CES-200	Additional Mitigation Planning	30	23-Feb-21	05-Apr-21
CES-210	Prepare Draft ITP Application	60	01-Jun-21	24-Aug-21
CES-220	Legal Review of Draft ITP App	30	25-Aug-21	23-Sep-21
CES-230	Work Group Review of Draft ITP App	30	25-Aug-21	23-Sep-21
CES-240	Revise Draft ITP Application	20	23-Sep-21	21-Oct-21
CES-250	Authority Board Approval of ITP App	30	21-Oct-21	06-Dec-21
CES-260	Submit ITP Application to CDFW	0		07-Dec-21
ITP - CESA (Se 2081) Construction		390	01-Oct-20	07-Dec-21
CES-015	Initial Desktop Analysis	30	01-Oct-20	11-Nov-20
CES-020	Prepare Terrestrial Analysis	30	12-Nov-20	28-Dec-20
CES-025	Mitigation Planning	30	29-Dec-20	09-Feb-21
CES-030	Prepare Draft ITP Application	60	01-Jun-21	24-Aug-21
CES-040	Legal Review of Draft ITP App	30	25-Aug-21	23-Sep-21
CES-060	Work Group Review of Draft ITP App	30	25-Aug-21	23-Sep-21
CES-070	Revise Draft ITP Application	20	23-Sep-21	21-Oct-21
CES-080	Authority Board Approval of ITP App	30	21-Oct-21	06-Dec-21
CES-090	Submit ITP Application to CDFW	0		07-Dec-21
Section 106		317	01-Sep-20	02-Dec-21
106-000	Confirmation of Section 106 Federal Lead Agency	0		08-Sep-20
106-001	Prepare SHPO Initiation Package	20	01-Sep-20	29-Sep-20
106-002	Authority/Reclamation/Integration Reviews	10	30-Sep-20	13-Oct-20
106-003	Management/Work Group Reviews	10	14-Oct-20	27-Oct-20
106-004	Revisions	10	28-Oct-20	10-Nov-20

WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
106-005	Reclamation Submits to SHPO	0		17-Nov-20
106-006	SHPO Review and Comments	30	18-Nov-20	17-Dec-20
106-021	Prepare Consultation Information Package	15	17-Dec-20	11-Jan-21
106-022	Authority/Reclamation/Integration Reviews	10	11-Jan-21	25-Jan-21
106-023	Management/Work Group Reviews	10	25-Jan-21	08-Feb-21
106-024	Revisions	10	08-Feb-21	22-Feb-21
106-025	Reclamation Distributes to SHPO and Invited Consulting Parties	5	22-Feb-21	01-Mar-21
106-026	Parties Accept Invitation for Consulting Party Status	30	02-Mar-21	31-Mar-21
106-032	Arrange Meeting Logistics and Prepare Materials	20	22-Feb-21	22-Mar-21
106-033	Conduct Meetings	10	01-Mar-21	15-Mar-21
106-042	Authority/Reclamation/Integration Reviews	10	30-Mar-21	13-Apr-21
106-043	Management/Work Group Reviews	10	13-Apr-21	27-Apr-21
106-044	Revisions	10	27-Apr-21	11-May-21
106-052	Circulate Draft PA to SHPO and Consulting Parties	5	27-Apr-21	04-May-21
106-053	Deadline for Comments on Draft PA	30	05-May-21	03-Jun-21
106-061	Prepare Draft Final PA	20	03-Jun-21	01-Jul-21
106-062	Authority/Reclamation/Integration Reviews	10	01-Jul-21	16-Jul-21
106-063	Management/Work Group Reviews	10	16-Jul-21	30-Jul-21
106-064	Revisions	10	30-Jul-21	13-Aug-21
106-072	Circulate Draft Final PA to SHPO and Consulting Parties	5	13-Aug-21	20-Aug-21
106-073	Deadline for Comments on Draft Final PA	30	21-Aug-21	19-Sep-21
106-081	Prepare Final PA	15	20-Sep-21	08-Oct-21
106-082	Authority/Reclamation/Integration Reviews	10	11-Oct-21	22-Oct-21
106-083	Management/Work Group Reviews	10	25-Oct-21	05-Nov-21
106-084	Revisions	10	08-Nov-21	19-Nov-21
106-091	Consult with SHPO and Consulting Parties on Final PA	5	22-Nov-21	30-Nov-21
106-092	Circulate Final PA to SHPO and Consulting Parties for Signatures	5	22-Nov-21	30-Nov-21
106-093	Deadline for Signatures on Final PA	0		02-Dec-21
Water Rights		341	01-Sep-20	06-Jan-22
WR-005	Water Availability Analysis & Planning/Coordination with SWRCB	210	01-Sep-20	29-Jun-21
WR-025	Prepare Water Right Application	121	15-Jul-21	06-Jan-22
Section 404		413	14-Apr-20	07-Dec-21
404-001	Agreement with Reclamation for LEDPA Analysis	15	14-Apr-20	04-May-20
404-003	USACE LEDPA & NEPA, 404/408 and WD Approach	88	05-May-20	08-Sep-20
404-010	Desktop Wetland Delineation Analysis (includes Waters of the State)	150	01-Oct-20	04-May-21
404-020	Submit Delineation to USACE	0		05-May-21
404-025	Pre-Application Meeting	1	01-Jun-21	02-Jun-21
404-030	Preliminary Wetland Delineation Acceptance	90	06-May-21	03-Aug-21
404-035	Prepare Compensatory Mitigation Plan	161	23-Feb-21	08-Oct-21
404-050	Prepare Draft 404 Application	42	03-Aug-21	01-Oct-21
404-060	Authority/Integration/Work Group Review of Draft 404 Application	20	11-Oct-21	05-Nov-21
404-070	Prepare Final 404 Application	20	08-Nov-21	07-Dec-21
404-120	Submit 404 Application	0		07-Dec-21
Section 408		341	01-Sep-20	06-Jan-22
408-005	Coordinate with CVFPB and USACE	20	01-Sep-20	29-Sep-20
408-010	Assume Start of 50% Design of 408 Jurisdictional Features	0		01-Jul-21
408-130	Prepare Draft Encroachment Permit/408 Request w/Engineering Team	129	05-Jul-21	06-Jan-22
Section 401 Water Quality		311	09-Sep-20	30-Nov-21

WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
401-120	Coordinate with RWQCB and SWRCB	84	09-Sep-20	08-Jan-21
401-130	Prepare Draft 401 Application	42	03-Aug-21	01-Oct-21
401-140	Authority/Integration/Work Group Review of Draft 401	20	01-Oct-21	29-Oct-21
401-150	Prepare Final 401 Application	20	29-Oct-21	30-Nov-21
401-160	Submit 401 Application	0		30-Nov-21
FEASIBILITY-LEVEL GEOTECH		337	01-Sep-20	30-Dec-21
GSR-010	Geotechnical Permitting & Planning, Right of Access	61	01-Sep-20	25-Nov-20
GSR-020	Conduct Geotechnical Field Investigation	85	30-Nov-20	30-Mar-21
GSR-030	Data Evaluation and Prepare Geotechnical Data Reports	107	29-Jan-21	29-Jun-21
GSR-040	Data Gap Assessment and Preliminary Planning for Design Level Inv for I	149	28-May-21	30-Dec-21
ENGINEERING		449	24-Mar-20	30-Dec-21
Support of Project Description		449	24-Mar-20	30-Dec-21
ENG-110	Engineering Consultants Brought On Board (complete prior to Sept 1)	0		24-Mar-20
ENG-120	Coordination of CADD and GIS Standards	5	25-Mar-20	31-Mar-20
ENG-130	Coordination to Identify Alternatives for EIR/EIS & Related Studies	5	25-Mar-20	31-Mar-20
ENG-140	Coordination to Finalize Storage & Conveyance Capacities	5	25-Mar-20	31-Mar-20
ENG-145	Coordination with Reclamantion on Approach	5	25-Mar-20	31-Mar-20
ENG-150	Gather Data from Prior Draft EIR/EIS	10	25-Mar-20	07-Apr-20
ENG-160	Prepare Project Base Map	20	01-Apr-20	28-Apr-20
ENG-170	Prepare Basis of Feasibility Design	22	01-Apr-20	30-Apr-20
ENG-180	Conduct Field Reviews (as needed)	5	01-Apr-20	07-Apr-20
ENG-190	Prepare Preliminary Feasibility Level Design	55	01-May-20	20-Jul-20
ENG-193	Develop Hydaulic Model	30	01-May-20	12-Jun-20
ENG-195	Prepare Final Feasibility Level Design	30	21-Jul-20	31-Aug-20
ENG-200	Develop CADD Drawings of Key Features	85	01-May-20	31-Aug-20
ENG-210	Provide Information on Key Project Features & Facilities	21	01-Sep-20	30-Sep-20
ENG-220	Convert Drawings to GIS Geodatabase File Format to Support Environm	21	01-Sep-20	30-Sep-20
ENG-230	Support to Environmental and Permitting Team	316	01-Oct-20	30-Dec-21
Cost Estimate, Feasibility, Constructability		295	01-Oct-20	30-Nov-21
ENG-240	Identify Project Objectives	11	01-Oct-20	15-Oct-20
ENG-250	Feasibility Project Description	0		15-Oct-20
ENG-260	Obtain Operations Simulation Results of Preferred Option	0		16-Nov-20
ENG-270	Develop Costs Associated with Mitigation Measures	15	16-Oct-20	05-Nov-20
ENG-280	Develop Class 4 Cost Estimate (State Feasibility)	25	17-Nov-20	23-Dec-20
ENG-290	Risk and Uncertainty Assessment	20	06-Nov-20	07-Dec-20
ENG-300	Coordinate with Operations to Confirm Project Benefits	45	17-Nov-20	22-Jan-21
ENG-310	Project Benefits Consist with the Ops Plan	40	08-Dec-20	03-Feb-21
ENG-320	Cost Allocation	20	04-Feb-21	03-Mar-21
ENG-330	Technical Feasibility	20	04-Feb-21	03-Mar-21
ENG-340	Obtain the Finding of Env Feasibility with Mitigation	5	04-May-21	10-May-21
ENG-370	Constructability	20	04-Mar-21	31-Mar-21
ENG-400	Develop DSOD Engagement Plan	96	15-Jul-21	30-Nov-21
ENG-404	Coordinate on a Geotechnical Investigation Plan	96	15-Jul-21	30-Nov-21
ENG-406	Coordinate to Develop Geotechnical Permitting Plan	96	15-Jul-21	30-Nov-21
ENG-410	Develop Program Design/Construction/Permit Implementation Plan	96	15-Jul-21	30-Nov-21
ENG-420	Advance Preliminary Hydraulics Model	40	20-May-21	16-Jul-21
ENG-440	Final Hydraulics Model	23	19-Jul-21	18-Aug-21

WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule

Sites Reservoir Project

Date: 12-Mar-20

Activity ID	Activity Name	Ori Dur	Start	Finish
Economics and Financial		20	04-Mar-21	31-Mar-21
Feasibility Report		20	04-Mar-21	31-Mar-21
ENG-350	Economic Feasibility	5	04-Mar-21	10-Mar-21
ENG-360	Financial Feasibility	20	04-Mar-21	31-Mar-21
Authority Feasibility Report		70	01-Apr-21	09-Jul-21
Feasibility Report		70	01-Apr-21	09-Jul-21
ENG-380	Prepare Draft Feasibility Report	30	01-Apr-21	12-May-21
ENG-382	Executive Summary (Storyboard)	5	01-Apr-21	07-Apr-21
ENG-384	Executive Summary Final	5	06-May-21	12-May-21
ENG-385	Work Group Review	5	13-May-21	19-May-21
ENG-390	Prepare Final Feasibility Report	30	20-May-21	01-Jul-21
ENG-392	Reservoir Committee and Board Approval	5	05-Jul-21	09-Jul-21
PROP 1		289	23-Oct-20	15-Dec-21
CWC Feasibility Review		180	31-Mar-21	15-Dec-21
CWC-391	Release for CWC Review Pkg 1: Engineering	0		09-Jul-21
CWC-393	Release for CWC Review Pkg 2: Economic and Finance	0		31-Mar-21
CWC-396	Release for CWC Review Pkg 3: Environmental with Res Ops	0		03-Dec-21
CWC-397	CWC Review Pkg 1: Engineering	30	12-Jul-21	20-Aug-21
CWC-407	CWC Review Pkg 2: Economic and Finance	30	01-Apr-21	12-May-21
CWC-417	CWC Review Pkg 3: Environmental with Res Ops	8	06-Dec-21	15-Dec-21
CWC Determination		289	23-Oct-20	15-Dec-21
CWC-420	Provide CWC with Revised Public Benefits	0		23-Oct-20
CWC-450	CWC Public Review Draft EIR/EIS	30	15-Jul-21	25-Aug-21
CWC-500	State Determination of Feasibility	0		15-Dec-21*
PROJECT OPERATIONS AND FINANCES		509	02-Jan-20	31-Dec-21
REAL ESTATE		509	02-Jan-20	31-Dec-21
Real Estate Landowner Coordination		509	02-Jan-20	31-Dec-21
RE-200	Real Estate Landowner Coordination	171	02-Jan-20	31-Aug-20
RE-210	Real Estate Landowner Coordination	338	01-Sep-20	31-Dec-21
COMMUNICATIONS & GOVERNMENT AFFAIRS		509	02-Jan-20	31-Dec-21
CG-000	Communication & Government Affairs	171	02-Jan-20	31-Aug-20
CG-10	Communication & Government Affairs	338	01-Sep-20	31-Dec-21
Organizational Assessment		255	02-Jan-20	31-Dec-20
ORA-000	Organizational Assessment Direction	171	02-Jan-20	31-Aug-20
ORA-010	Organizational Assessment	84	01-Sep-20	31-Dec-20
Executive Director		338	01-Sep-20	31-Dec-21
EXD-010	Executive Director	338	01-Sep-20	31-Dec-21
Contract Amendments		486	04-Feb-20	31-Dec-21
CON-010	Contract Management	338	01-Sep-20	31-Dec-21
CON-022	Revise Service Area Task Orders for Approval	20	04-Feb-20	02-Mar-20
Invoicing & Reporting		499	16-Jan-20	31-Dec-21
INV-032	Prepare 4Q2019 CWC invoice	17	16-Jan-20*	07-Feb-20
INV-033	Prepare Q12020 CWC invoice	18	16-Jan-20*	10-Feb-20
REP-010	Quarterly Reporting	338	01-Sep-20	31-Dec-21
REP-020	Annual Reporting	338	01-Sep-20	31-Dec-21
Financial Assistance Agreement		55	14-Jan-20	30-Mar-20

WORKING DRAFT

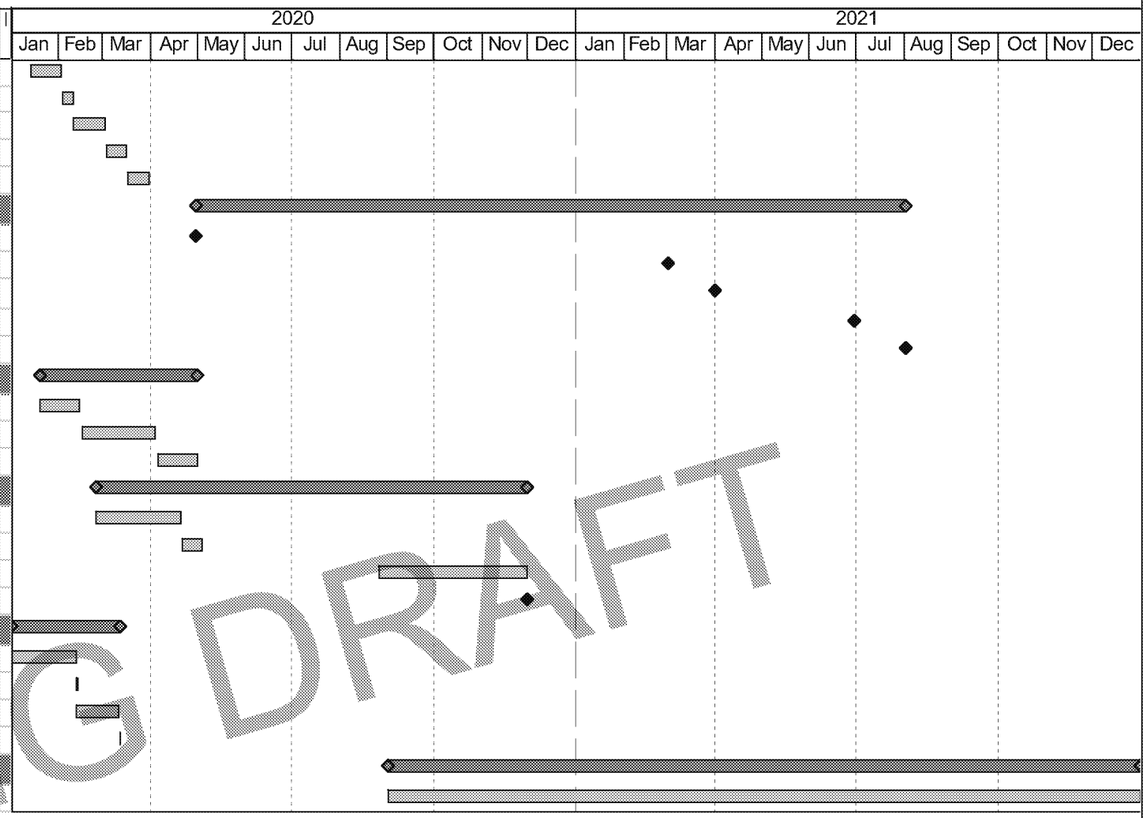
Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule

Sites Reservoir Project

Date: 12-Mar-20

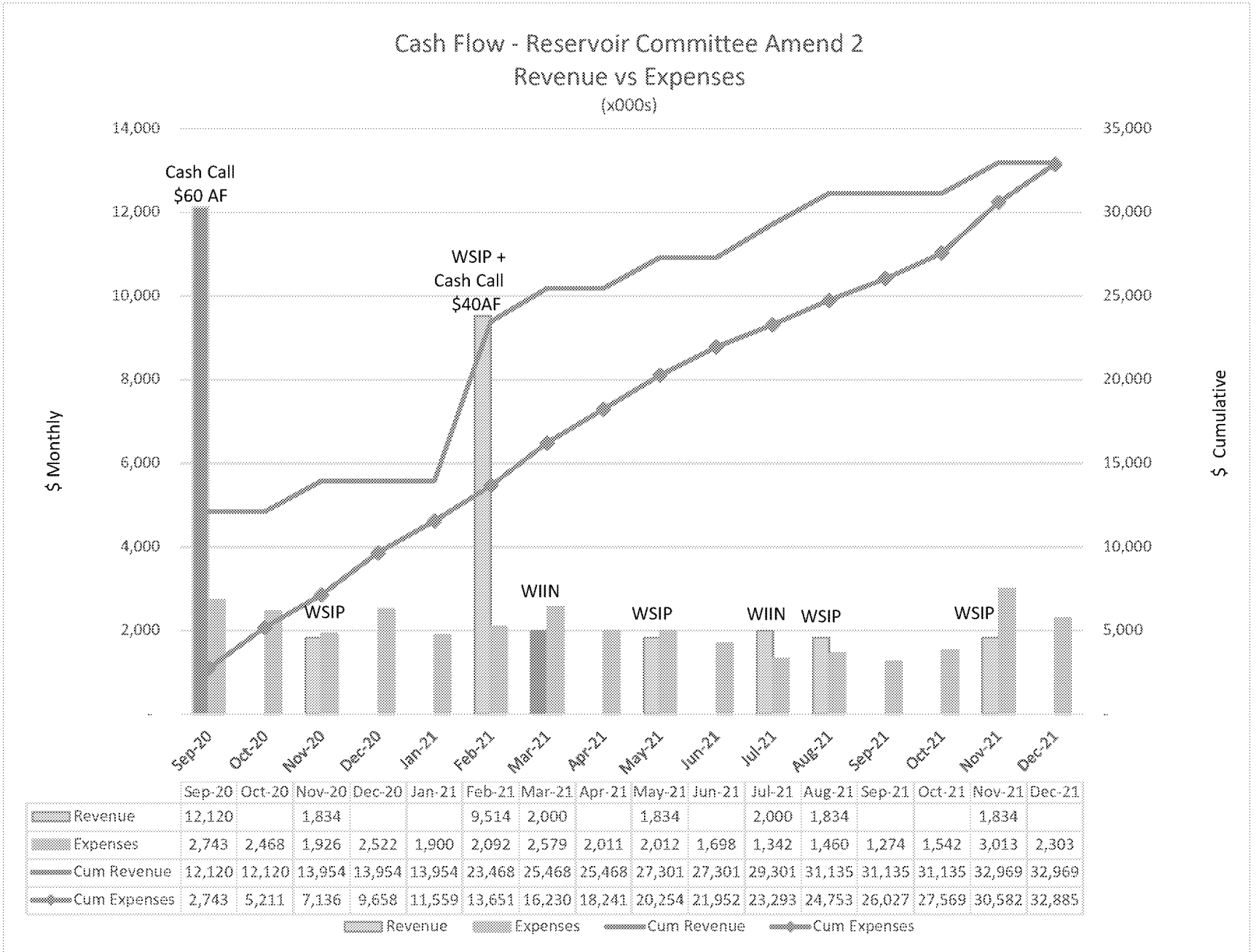
Activity ID	Activity Name	Ori Dur	Start	Finish
FAA-005	Prepare Draft FA Scope and Form 424	15	14-Jan-20	03-Feb-20
FAA-010	Review Scope with USBR	5	04-Feb-20	10-Feb-20
FAA-015	Prepare Budget Estimates, Narrative and Form 424A/B, SFLLL	15	11-Feb-20	02-Mar-20
FAA-020	Review with USBR	10	03-Mar-20	16-Mar-20
FAA-025	Finalize Draft FA	10	17-Mar-20	30-Mar-20
Plan of Finance		319	30-Apr-20	02-Aug-21
POF-005	Plan of Finance Update 1 (Update Affordability)	0	30-Apr-20*	
POF-010	Plan of Finance (Fin Feas)	0	01-Mar-21*	
POF-015	Plan of Finance (WIFIA Loan)	0	01-Apr-21*	
POF-020	WIFIA/LOI	0	30-Jun-21*	
POF-025	Plan of Finance (Home Board Pkg)	0		02-Aug-21*
WIFIA Loan Application		75	20-Jan-20	01-May-20
WL-005	Prepare Initial Loan Application	20	20-Jan-20*	14-Feb-20
WL-010	Review Loan Application	35	17-Feb-20	03-Apr-20
WL-015	Prepare Loan Application for Facilities	20	06-Apr-20	01-May-20
Risk Assessment		195	25-Feb-20	30-Nov-20
RA-005	Risk Analysis of Recommend Option Meeting	40	25-Feb-20	20-Apr-20
RA-010	Prepare Cost Risk Update	10	21-Apr-20	04-May-20
RA-020	Prepare RA	66	26-Aug-20	30-Nov-20*
RA-030	Submit Admin Final RA	0		30-Nov-20*
Cost of Year Deliveries		51	02-Jan-20	12-Mar-20
CYD-005	Prepare Progress Report	30	02-Jan-20	12-Feb-20
CYD-010	In-progress Briefing	1	13-Feb-20	13-Feb-20
CYD-015	Prepare Final Report	20	13-Feb-20	11-Mar-20
CYD-020	In-progress Briefing	1	12-Mar-20	12-Mar-20
Strategic Planner		338	01-Sep-20	31-Dec-21
STP-010	Strategic Planner	338	01-Sep-20	31-Dec-21



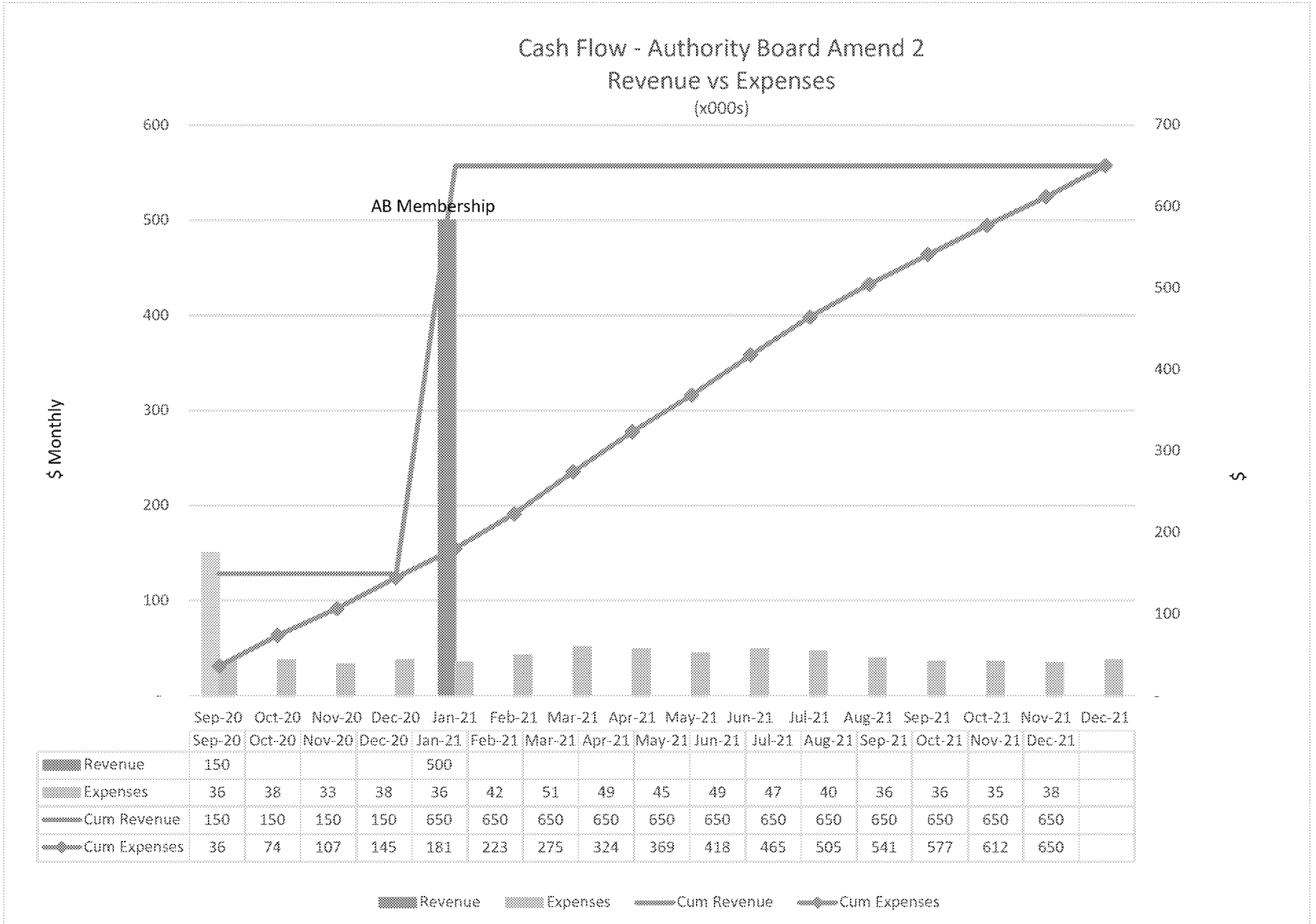
WORKING DRAFT

Actual Work | Critical Remaining Work | Critical Milestone | Cal Days
Remaining Work | Milestone | Summary

Project Schedule



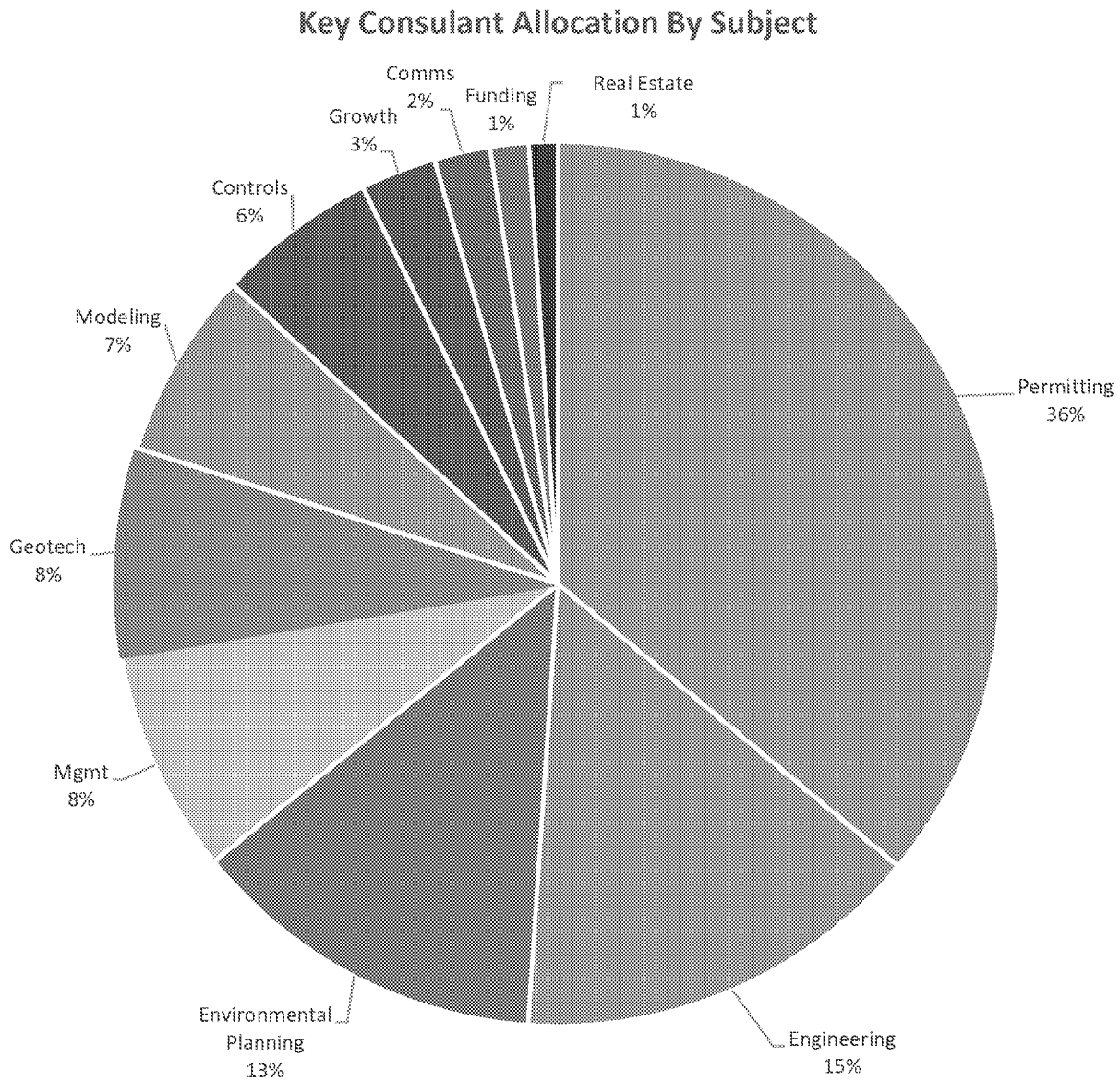
	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21
Revenue	12,120		1,834			9,514	2,000		1,834		2,000	1,834			1,834	
Expenses	2,743	2,468	1,926	2,522	1,900	2,092	2,579	2,011	2,012	1,698	1,342	1,460	1,274	1,542	3,013	2,303
Cum Revenue	12,120	12,120	13,954	13,954	13,954	23,468	25,468	25,468	27,301	27,301	29,301	31,135	31,135	31,135	32,969	32,969
Cum Expenses	2,743	5,211	7,136	9,658	11,559	13,651	16,230	18,241	20,254	21,952	23,293	24,753	26,027	27,569	30,582	32,885



	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21
Revenue	150				500											
Expenses	36	38	33	38	36	42	51	49	45	49	47	40	36	36	35	38
Cum Revenue	150	150	150	150	650	650	650	650	650	650	650	650	650	650	650	650
Cum Expenses	36	74	107	145	181	223	275	324	369	418	465	505	541	577	612	650

Summary Tasks Resource Name Funding Type

Budgeted Cost



Sites

Topic: **Authority Board Agenda Item 6-4** **2020 March 25**

Subject: **Extending to Current Participation Agreement**

Requested Action:

Discussion and possible direction to staff regarding the proposed amendment to the current participation agreement and supporting documents.

Detailed Description/Background:

In an effort to support the individual Reservoir Committee members to continue to garner support from their home boards for advancing the Amendment 2 work plan and associated cash call, staff is developing a package of documents that you can use to inform your home boards. This home board package is proposed to include the following:

- Amended Phase 2 Participation Agreement with update Exhibit B (summarized work plan).
- Value Planning Report that summarizes the efforts and proposed changes to "right size" the project.
- Draft Storage Policy that summarizes how each participant can utilize their share of the reservoirs' storage.
- 2019 Annual Report.
- A four-page prospectus key accomplishments and summarizing other on-going activities as well as identifying activities that will be focused upon under an additional cash call scenario.
- A template PowerPoint presentation that can be modified to fit the needs of your respective home boards.

Proposed Schedule:

March 19, 2020 – Provide working draft handout of the prospectus for comment.

March 19, 2020 – Receive direction from the committee if additional items are needed for to take to their home boards.

March 30, 2020 – Hold workshop to refine prospectus and power point presentation.

April 17, 2020 – Obtain final input and approval of the document package.

NOTE: Authority Board's consideration is scheduled for April 22.

May 1, 2020 – Distribute document package to representatives for use with their respective Board.

July 9, 2020 – Response from Home Board for inclusion into agenda package for July 16 Reservoir Committee meeting to:

1. Consider approving the participation responses.
2. Authorize preparation of the first invoice due in 30 days (i.e. September 1, 2020). Plan is to distribute invoices to home boards on or before July 31.
3. If needed, authorize the rebalancing process.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

None. A power point presentation will be presented at the meeting.

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JERRY BROWN, EXECUTIVE DIRECTOR
925.260.7417

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members *(NON-VOTING)*

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

Notice: Pursuant to Executive Orders N-25-20 and N-33-20, issued by Governor Newsom on March 12, 2020, and guidance by the California Department of Public Health dated March 11, 2020, this meeting will be conducted by teleconference. The public may attend the meeting and offer public comments by phone, using the call-in number above. Members of the Committee will participate by teleconference from other locations. In addition, the public and members of the Committee may, at their discretion, attend the meeting at the Sites Project Authority's Maxwell Office located at 122 Old Highway 99 West, Maxwell, CA 95955. However, the room will be arranged to achieve physical distancing standards, and capacity at this site is therefore limited and will not be sufficient to accommodate more than a few members of the public.

June 24, 2020 ***1:30 p.m.***
Sites Project Authority
Agenda

Teleconference: **1-408-418-9388** Code: **146 657 3639** WebEx Link

Welcome to a meeting of the Sites Joint Powers Authority. If you are scheduled to address the Board, please state your full name for the record. Regularly numbered items may be considered at any time during the meeting. All items are listed in accordance with the Ralph M. Brown Act. We invite all members of the public to attend.

ROLL CALL & CALL TO ORDER:

- Introductions.
- Pledge of Allegiance.
- Approve the June 24, 2020 Sites Project Authority Agenda.
- Period of Public Comment.

1. Consent Agenda: Approximate start time 1:40 pm

The following items have been reviewed by the Executive Director. To his knowledge, there is no opposition to the action. The items can be acted on in one consolidated motion as recommended or may be removed from the Consent Calendar and separately considered at the request of any person.

1.1 Consider approval of the May 21, 2020 Phase 2 Reservoir Committee Meeting Minutes.

1.2 Consider acceptance of the Sites Project Authority Treasurer's Report as presented in Attachment 1.2A. **(Attachment A)**

1.3 Consider approval of the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B. **(Attachments A, B & C)**

2. Action Items: Approximate start time 1:45 pm

2.1 Consider acceptance of the 2020-2021 Sites Project State Agency Legislative Activity Priorities. **(Attachment A)**

2.2 Consider approval of the Authority's negotiation approach for permits and operating agreements. **(Attachment A)**

3. Discussion and Information Items: Approximate start time 2:15 pm

3.1 Receive status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation. **(Attachment A, B & C)**

3.2 Receive status update on draft 2020 Final Federal Feasibility Report.

3.3 Review and Comment on the objectives and alternatives for the Revised Environmental Impact Report/Environmental Impact Statement to focus efforts in developing a more complete project description on schedule. **(Attachment A & B)**

3.4 Review and comment on the approach for discussions with the California Department of Water Resources on a Sites Coordinated Operations Agreement. **(Attachment A)**

3.5 Receive status update on the Colusa Basin Drain evaluation and Dunnigan Pipeline alignment review.

3.6 Receive status update on the approach for Regulatory Agency Technical Working Group. **(Attachment A)**

4. Reports: Approximate start time 3:15 pm

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

4.1.2 Workgroup Chairpersons' Report:

This time is set aside to allow the Workgroup Chairpersons' an opportunity to disclose/discuss items related to the Sites Project.

4.1.3 Reservoir Committee Participant Reports:

This time is set aside to allow Representatives or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

4.2 Executive Director's Reports:

- Monthly status report. **(Attachment A)**
- Message Platform Update.

5. Future Meetings and Schedules: Approximate start time 3:15 pm

5.1 Suggested Future Agenda Items.

5.2 Upcoming meetings:

RESERVOIR COMMITTEE

THURSDAY, JULY 16, 2020 1:00 PM
Sites Project Office, 122 Old Highway 99W Maxwell, CA 95955
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

AUTHORITY BOARD

WEDNESDAY, JULY 22, 2020 1:30PM
Sites Project Office, 122 Old Highway 99W Maxwell, CA 95955
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

ADJOURN

PERIOD OF PUBLIC COMMENT: Any person may speak about any subject of concern, provided it is within the jurisdiction of the Directors and is not already on today's agenda. The total amount of time allotted for receiving such public communication shall be limited to a total of 15 minutes per issue and each individual or group will be limited to no more than 5 minutes each within the 15 minutes allocated per issue. ***Note:*** *No action shall be taken on comments made under this comment period.*

ADA COMPLIANCE: Upon request, agendas will be made available in alternative formats to accommodate persons with disabilities. In addition, any person with a disability who requires a modification or accommodation to participate or attend this meeting may request necessary accommodation. Please make your request to the Board Clerk, specifying your disability, the format in which you would like to receive this Agenda and any other accommodation required no later than 24 hours prior to the start of the meeting.

All supporting documentation is available for public inspection and review in the Sites Project Authority office located at 122 Old Highway 99 West Maxwell, CA 95955 during regular business hours 8:30 a.m. to 5:00 p.m., Monday through Friday.

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JERRY BROWN, EXECUTIVE DIRECTOR
925.260.7417

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members ***(NON-VOTING)***

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

May 27, 2020 ***1:30 p.m.***
Sites Project Authority
Minutes

CALL TO ORDER:

Chairman Durst called the meeting of the Sites Project Authority Board of Directors to order at the hour of 1:30 p.m., followed by the Pledge of Allegiance.

INTRODUCTIONS:

Chairman Durst asked those present to introduce themselves. (See Authority Board Meeting Attendance, **Attachment A**).

AGENDA APPROVAL:

It was moved by Director Sutton, seconded by Director Dennis to approve the May 27, 2020 Sites Project Authority Agenda. Motion carried: All Directors present voted yes.

PERIOD OF PUBLIC COMMENT:

Chairman Durst called for public comment. Hearing none, he closed the period of public comment.

CONSENT AGENDA: It was moved by Director Harris, seconded by Director Houdesheldt to approve Consent Agenda Item Numbers 1.1 through 1.5 as follows:

1.1 The April 22, 2020 Sites Project Authority Meeting Minutes, as submitted.

1.2 Accept the Sites Project Authority Treasurer's Report, as submitted. **(Attachment 1.2 A)**

1.3 Approve the monthly Payment of Claims, as submitted. **(Attachment 1.3 A, B, C)**

1.4 Approve a consulting contract with The Catalyst Group for facilitation services in an amount not to exceed $50,000 and approve a time extension through December 31, 2020 for the existing contract with Darling H2O and authorize the Chair to sign.

1.5 Adopt Resolution No. 2020-01, providing for an application to the USBR for a Financial Assistance Agreement for WIIN Act funds and authorize the Chair to sign. **(Attachment 1.5A)**

Motion carried as follows:

AYES: Directors Durst, Sutton, Evans, Dennis, Houdesheldt and Harris.

NOES: None.

ABSENT: Directors McDaniel, Parker and Marsh.

2. Action Items:

2.1 Chairman Durst made time to consider the following:

Consider acceptance of the attached Exhibit B (2020 and 2021 budget) to the Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the results of the "soft call". Consider approving one of the following actions:

A. Approve moving to the "hard call" and the use of the attached participation table to create a draft Exhibit A to be included with Amendment 2.

B. Direct staff to begin outreach to potential participants based on the priority system defined in participation agreements and the credit reimbursement policy to explore interest in Sites capacity, if available. **(Attachment 2.1 A & B)**

Mr. Brown provided a brief update on the two options available to the Authority Board. He stated the Reservoir Committee voted to proceed with Option B.

Mr. Robinette provided an update of the "Two-Step Cash Call Process" and spoke to the following:

- Soft Call Results as of May 20, 2020:
  - 19 out of 21 agencies responded, representing 191,942 AF of 192,892 AF current participation (99.5%).
  - Appears full subscription can still be achieved, but there is some reduction in participation levels and geographic balance would need to be addressed.
- Existing participants identified interest in 5,000 AF of additional capacity, if available.

- Prior participants expressed interest in 10,000 AF of capacity, if available.
- Latest planned home-board date is in October, with majority in June or July.

- New Participant Outreach Priority:
  1. Goal is total Sacramento Valley participation of 25%. Priority would be given to current, prior and new Sacramento Valley participants in that order.
  2. Existing Reservoir Committee participating members (with sub-priorities by seniority).
  3. Prior Reservoir Committee participating members (with sub-priorities by exit date).
  4. Nonparticipants. Priority for new participants is to Sacramento Valley and others.
- Prior and Future Commitments.
- Geographic Balance:
  - Current Participation Levels (Sac Valley 27%, Non-Sac Valley 73%).
  - Amendment 2 Projected participation - Participation Staff Recommended + Additional Interest (Sac Valley 20%, Non-Sac Valley 80%).
- Schedule:
  - September 10, 2020: Signed Amendment 2 due.
  - November 1, 2020: Invoice 1, $60/AF due.
  - April 1,2021: Invoice 2, up to $40/AF due.
  - Financial incentives for pre-payment of the full $100/AF on Nov 1 are not planned based on administration cost.
  - Non-financial pre-payment incentives related to maintaining project schedule while accommodating delay payment from other agencies.

Mr. Robinette provided a brief update on the credit reimbursement policy and process of getting back into the project by new, returning and increasing participants. Brief discussion followed. Following discussion, Mr. Robinette requested direction from the Committee Members as to the following 2 options:

a. Approve moving to the "hard call" and the use of the attached participation table to create a draft Exhibit A to be included with Amendment 2.
b. Direct staff to begin outreach to potential participants based on the priority system defined in participation agreements and the credit reimbursement policy to explore interest in Sites capacity, if available. **(Attachment 2.1 A & B)**

Action: It was moved by Director Sutton, seconded by Director Evans to approve Option B, directing staff to begin outreach to potential participants based on the priority system defined in participation agreements and the credit reimbursement policy to explore interest in Sites capacity, if available. Motion carried: All Directors present voted yes.

2.2 Consider approval of the draft Second Amendment to 2019 Reservoir Project Agreement. **(Attachments 2.2 A &B)**

Mr. Brown stated the amendment to the contract is being brought back to the Committee to include the ability to adjust the participation levels and re-balancing.

Mr. Trapasso provided a brief overview of the Second Amendment to the 2019 Reservoir Project Agreement, stating it was reviewed by the Budget and Finance Workgroup and Authority Bond Counsel, Stradling Yocca Carlson & Rauth. Brief discussion followed.

Action: It was moved by Director Dennis, seconded by Director Evans to approve the Second Amendment to the Reservoir Project Agreement By and Among Sites Project Authority and The Project Agreement Members Listed Herein and authorize the Chairman to sign. Motion carried: All Directors present voted yes.

2.3 Consider acceptance of the 2020-2021 Sites Project Federal Government Affairs Priorities. **(Attachment 2.3A)**

Mr. Spesert stated staff has been working with the Authority Ad Hoc Legislative and Outreach Committee and Government Affairs Team to identify near-term Federal government affairs/legislative priorities. He stated the following represents the staff's proposed Federal government affairs/legislative priorities:

Operations Participation:

- Final completion of Reclamation's Feasibility Report and DOI Secretary determination of feasibility.
- Pursue development of a CVP Coordination Operations Plan.
- Coordination with Reclamation on the development of the Authority's Water Rights Application.
- Continuing partnership with Reclamation as the NEPA lead agency in developing the EIS.

Federal Funding:

- WIFIA/RIFIA.
- WIIN Act.
- Federal Stimulus and other funding.

Brief discussion followed.

Action: It was moved by Director Harris, seconded by Director Sutton to accept the 2020-2021 Sites Project Federal Government Affairs Priorities, as submitted. Motion carried: All Directors present voted yes.

2.4 Consider approval of Resolution 2020-02 commending Mr. Jim Watson for his 5 years of dedicated service to the Sites Reservoir Project. **(Attachment 2.4 A).**

Chairman Durst expressed his appreciation to Mr. Watson for his dedicated service to the Sites Reservoir Project and read the proposed Resolution.

Action: It was moved by Director Houdesheldt, seconded by Director Sutton to adopt Resolution 2020-02 commending Mr. Jim Watson for his 5 years of dedicated service to the Sites Reservoir Project. Motion carried: All Directors present voted yes.

3. Discussion and Information Items:

3.1 Review and Comment on Recirculated EIR and key permits milestone schedule and key decision points. **(Attachment 3.1A)**

Ms. Forsythe provided an overview of the Recirculated Environmental Impact Report (EIR) and upcoming activities and key decision points as follows:

- California Environmental Quality Act/National Environmental Policy Act (CEQA/NEPA) - Project team is currently developing an approach for completing the Recirculated EIR as well as working to formulate a recommended approach to alternatives and baseline conditions that are anticipated will be reviewed with the Reservoir Committee and Authority Board in June. Team is proceeding to coordinate a joint EIR/EIS, with the assumption that the EIS will be supplement and recirculated with the EIR, though Reclamation has not made a final decision.
- Federal Endangered Species Act and California Endangered Species Act (Fish and Game Code Section 2081) - Project team is working to develop an approach for completing the Biological Assessment and Section 2081 Permit applications in coordination with the resource agencies and Reclamation. Environmental and Operations teams continue to work on modeling components and refinement of operation criteria for project diversion from the Sacramento River, with operating regimes for diversion and releases and a review of the storage policy to be brought to the Reservoir Committee and Board in September.
- National Historic Preservation Act, Section 106 (to be initiated in September).
- California Water Right Application (California Water Code) - Working to formulate a recommended approach to identify key components of the water right application for discussion with the Reservoir Committee and Authority Board in September.
    - Key components include Place of Use, Points of Diversion, Points of Re-diversion and Purpose of Use-all of which will form the basis of the application.

- Clean Water Act Section 404 and 401 - Will be initiated in July.
- Environmental Planning and Permitting Key Milestones through 2022.

Brief discussion followed with no action taken.

3.2 Review and Comment on Outreach Efforts to Communicate the Rightsized Project.

Mr. Spesert provided an overview of the outreach efforts to communicate the "rightsized project". He stated with the introduction of the new "right-sized" project alternative, staff and Communications and Government Affairs team have been actively engaged in extensive communications and outreach efforts focused on the following activities:

- Introducing the project's new organization and direction.
- Introducing the new "right-sized" project and its statewide benefits.
- Discussion on the proposed revision on the project's environmental review.
- Communications and outreach efforts included:
    - Briefings with Federal & State elected officials.
    - Briefings with NGO's and public interest organizations.
    - Landowner/local community coordination activities and briefings.
    - Media and development of project materials.
    - Update/Revisions to the Message Platform.

He further stated staff would continue to engage elected officials, landowners, project stakeholders and develop and distribute additional media content in support of the rollout of the new proposed project in the coming weeks. Additionally, staff is working on the following:

- A revised version of the Message Platform based on comments received from the Reservoir Committee and Authority Board. Brief discussion followed with no action taken.
- A Webinar in response to a request by members to get project information that highlights the "right-sized" project to individual participants and landowner investors.

Brief discussion followed with no action taken.

3.3 Review and Comment on Efforts to Secure Proposition 1 Water Storage Investment Program implementation modifications in light of the continuing effects of the COVID-19 pandemic on local government and water agency finances. **(Attachment 3.3 A &B)**

Executive Director Brown provided a brief update regarding a joint letter signed along with 5 other Storage Projects to the California Water Commission regarding a request that the CWC consider making an emergency and temporary modifications, on a project by project basis, in their implementation of the Proposition 1 Water Storage Investment Program (WSIP)

funding due to the COVID situation. He stated the matter was on the CWC's May 20, 2020 meeting agenda. He further stated the CWC's Executive Director reported receiving the letter and indicated staff would be considering the request and returning to the Commissioners with a recommendation.

Brief discussion followed with no action taken.

3.4 Review and Comment on the Reservoir Committee Workgroup and Authority Board Committee Structure and Assignments. **(Attachment 3.4 A & B)**

Chairman Durst provided a brief overview of the Reservoir Committee Workgroup and Authority Board Committee Structure and Assignments. He stated there are vacancies in all workgroups, with the exception of the Reservoir Operations & Engineering, for those interested in volunteering.

Discussion followed with no action taken.

4. Reports:

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

Chairman Durst provided a brief update on various matters/items related to the Sites Project.

4.1.2 Committee Chairpersons' Report:

This time is set aside to allow the Committee Chairpersons' an opportunity to disclose/discuss items related to the Sites Project.

Legislative and Outreach:

Director Sutton provided an update legislative and outreach activities, i.e., "Virtual" Capitol Hill Legislative Day, briefings for key Senate and House Committee staff and 2.0 of Sites Project.

Land Management:

Director Evans provided a brief update regarding a meeting with Yolo County Supervisor Chamberlain regarding the proposed Dunnigan Pipeline/CBD to discuss potential land use issues and stated the Colusa County Board of Supervisors voted 5-0 to re-opening the County of Colusa.

Budget and Finance:

Ms. Traynham stated have received $1.95 million from last invoice submitted for WSIP funding.

4.1.3 Authority Board Participant Reports:

This time is set aside to allow Directors or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

Director Harris briefly spoke to the newspaper Op-eds regarding the benefits of the Sites Reservoir Project and the distribution of a press release highlighting the right-sized project.

4.2 Executive Director's Report:

Executive Director Brown provided an overview of the following:

- Sites Project's monthly status report. **(Attachment 4-2 A)**
- Reservoir Committee update.
- 2020 Organizational Chart.
- Place of Use request made by Wheeler Ridge: followed up with a memo to Wheeler Ridge identifying the plan forward is development of a water rights strategy which will come to Board later this summer.
- Coalition letter to Appropriations Hearing Subcommittee of the State Legislature (Governor's May Revise) in support of the SWRCB Water Resilience Portfolio BCP-$4.5 million for Water Storage Investment Program Water Rights Permitting Staff.
- Sacramento Office will not be re-opening prior to July 2020.
- Contract and performance plan for Jerry Brown, Executive Director.
- Expressed appreciation to Mr. Kunde for preparation of Mr. Jim Watson's commendation.

5. Future Meetings and Schedules:

5.1 Suggested Future Agenda Items.

None.

5.2 Upcoming meetings:

RESERVOIR COMMITTEE (NOTE DATE CHANGED FROM JUNE 19 TO JUNE 18)

THURSDAY, JUNE 18, 2020 1:00 PM
CALL NUMBER AND LOCATION TBD

AUTHORITY BOARD

WEDNESDAY, JUNE 24, 2020 1:30 PM
CALL NUMBER AND LOCATION TBD

Chairman Durst adjourned the meeting at 3:15 p.m.

_____ _____
Fritz Durst, Chairman Yolanda Tirado, Board Clerk

Topic: **Sites Reservoir Project, Phase 1** **2020 May 27**

Subject: **Authority Board Meeting Attendance** **1:30 – 4:00 PM**

Current Voting Authority Board Participants:

Participant	✓ Representative	✓ Alternate	Others
Colusa County	☒ Gary Evans	☒ Mike Azevedo	
Colusa County Water District	☐ Joe Marsh	☐ Doug Griffen	
Glenn County	☐ Leigh McDaniel	☐ John Viegas	
Glenn-Colusa Irrigation District	☒ Logan Dennis	☐ Don Bransford	Thad Bettner
Reclamation District 108	☒ Fritz Durst	☐ Sean Doherty	Bill Vanderwaal
City of Roseville / Placer County Water Agency	☒ Bruce Houdesheldt	☐ Joshua Alpine	
Tehama-Colusa Canal Authority	☒ Jeff Sutton	☐ Halbert Charter	
City of Sacramento / Sacramento County Water Agency	☒ Jeff Harris	☒ Brett Ewart ☐ Ann Sanger	
Westside Water District	☐ Doug Parker	☐ Zach Dennis	

Associate Members (2 non-voting):

Participant	✓ Representative	✓ Alternate	Others
TC-4	☒ Jamie Traynham	☐ Tom Charter ☐ Jason Holley	
Western Canal Water District	☐ Dwayne Chisam ☒ Ted Trimble	☐ Zach Dennis ☐ Dan Ruiz	

Non-Voting Committee Participants (2):

Participant	✓ Representative/Other	✓ Alternate/Other	
Dept of Water Resources	☒ Rob Cooke	☐ David Sandino	
	☐ Ajay Goyal	☐ Jim Wieking	☐ Dave Arrate
Bureau of Reclamation	☐ Richard Welsh	☒ Don Bader	☐ Chris Duke
	☒ Ryan Davis ☒ Natalie Wolder	☐ Derya Sumer ☐ Mike Dietl ☐ David Van Rijn	☐ Mike Mosley ☐ Shana Kaplan

Authority, Non-Signatory (6):

Participant	✓ Representative	✓ Alternate
PCWA	☒ Ed Horton	☐ Ben Barker Darin Reintjes
Roseville	☐ Sean Bigley	☐ Trevor Joseph ☒ Jason Marks
Sacramento County WA	☐ Kerry Schmitz	☐ Michael Peterson ☐ William Busath

Staff:

✓	Name	Representing
☒	Brown, Jerry	Sites Project Authority
☒	Forsythe, Ali	Sites Project Authority
☒	Spesert, Kevin	Sites Project Authority
☒	Trapasso, Joe	Sites Project Authority
☒	Tirado, Yolanda	Sites Project Authority
☒	Kivett, Marcia	Sites Project Authority

Consultants:

✓	Name	Representing
☒	Alexander, Jeriann	Fugro
☐	Boling, Robert	HDR
☐	Briard, Monique	ICF
☐	Floyd, Kim	Floyd
☐	Herrin, Jeff	AECOM
☒	Heydinger, Erin	HDR
☒	Katz, Sara	Katz & Associates
☒	Kuney, Scott	Young Wooldridge
☒	Robinette, JP	Brown & Caldwell
☐	Spranza, John	HDR
☐	Tull, Rob	Ch2m
☐	Warner Herson, Laurie	Phenix

Other Attendees: *(Check box to have email address added to the distribution list)*

Name	Representing	Contact (Phone & E-mail)
Laura Nicolson	Office of Senator Jim Nielsen	
Henry Luu	HDR, Sites Integration	
Juleah Cordi	Office of Assemblyman Gallagher	

Topic: **Authority Board Agenda Item 1.2** **2020 June 24**

Subject: **Treasurer's Report**

Requested Action:

Consider acceptance of the Sites Project Authority Treasurer's Report as presented in Attachment 1.2A.

Detailed Description/Background:

Attachment 1.2A incorporates financial information through May 30, 2020.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: June's 2020 Treasurer's Report.

SITES JOINT POWERS AUTHORITY
TREASURER'S REPORT
May 31, 2020

Savings Account-River City Bank				
Beginning Balance	05/01/2020		**$ 2,577,933.12**	
Transfer from General Checking to max benefit			**$ 376,104.38**	
Interest Earned			**$ 2,599.15**	
River City Bank ICS Savings Account Balance	**05/31/2020**			**$ 2,956,636.65**
General Operating Checking Account-River City Bank				
Beginning Balance	05/01/2020		**$ 1,622,153.65**	
RECEIVED:				
Interest Earned		$ 1,382.69		
TOTAL RECEIVED			**$ 1,382.69**	
DISBURSED:				
Bank Fees		$ (159.88)		
Administrative Support		$ (5,644.80)		
Computer Service		$ (1,918.96)		
General Manager		$ (38,230.00)		
Accounting Fees		$ (2,695.00)		
Office Expenses		$ (422.71)		
Professional Fees-Legislative/Regulatory		$ (8,000.00)		
Professional Fees-Communication		$ (17,737.49)		
Professional Fees-Organizatioal Assessment		$ (1,299.38)		
Professional Fees-Fed Gov't Affairs Support		$ (15,000.00)		
Professional Fees-Legal Services		$ (6,357.72)		
TOTAL DISBURSED			**$ (97,465.94)**	
Transfer to Savings to max benefit			**$ (376,104.38)**	
RCB General Checking Account Balance	**05/31/2020**			**$ 1,149,966.02**
State (WSIP) Fund Checking Account-River City Bank				
Beginning Balance	05/01/2020		**$ 4,404,006.92**	
RECEIVED:				
CA Dept of Water			**$ 1,957,712.12**	
DISBURSED:				
Bank Wire Fees		$ (15.00)		
Annual Audit Fees		$ (10,760.40)		
Bus/Comm Manager		$ (21,343.76)		
Program Op Manager		$ (28,000.00)		
Professional Fees-Engineering		$ (15,863.36)		
Professional Fees-Cost Development		$ (458.00)		
Professional Fees-Executive Director Services		$ (33,750.00)		
Professional Fees-Project Controls		$ (116,632.37)		
Professional Fees-Operations/SIM Modeling		$ (93,369.39)		
Professional Fees-EPP Manager		$ (30,000.00)		
Professional Fees-Geotech Eng		$ (12,562.77)		
Professional Fees-Project Integration		$ (168,613.06)		
Professional Fees-Reservoir Operations		$ (4,716.75)		
Professional Fees-Municipal Advisor		$ (12,725.00)		
Professional Fees-Env/Biological Serv & Permit		$ (68,898.24)		
TOTAL DISBURSED			**$ (617,708.10)**	
RCB State Fund Checking Account Balance	**05/31/2020**			**$ 5,744,010.94**
TOTAL CASH ON HAND	**May 31, 2020**			**$ 9,850,613.61**

Sites Project Joint Powers Authority
Transactions by Account
As of May 31, 2020

Type	Date	Num	Name	Memo	Debit	Credit	Balance
River City ICS Savings							2,577,933.12
General Journal	05/18/2020	5-2C		transfer to max ICS	376,104.38		2,954,037.50
General Journal	05/31/2020	5-5C		rec int fme	2,599.15		2,956,636.65
Total River City ICS Savings					378,703.53	0.00	2,956,636.65
River City Operating Account							1,622,153.65
Bill Pmt -Check	05/13/2020	2141	Adept Solutions Inc			1,918.96	1,620,234.69
Bill Pmt -Check	05/13/2020	2142	Darling H2O Consulting, Inc.			1,299.38	1,618,935.31
Bill Pmt -Check	05/13/2020	2143	Dunn Consulting			8,000.00	1,610,935.31
Bill Pmt -Check	05/13/2020	2144	J.C. Watson, Inc			38,230.00	1,572,705.31
Bill Pmt -Check	05/13/2020	2145	Katz and Associates, Inc			17,737.49	1,554,967.82
Bill Pmt -Check	05/13/2020	2146	KCoe Isom, LLP			2,695.00	1,552,272.82
Bill Pmt -Check	05/13/2020	2147	Maximun Pest Control			65.00	1,552,207.82
Bill Pmt -Check	05/13/2020	2148	Mt Shasta Spring Water			19.65	1,552,188.17
Bill Pmt -Check	05/13/2020	2149	Recology Butte Colusa Counties			35.95	1,552,152.22
Bill Pmt -Check	05/13/2020	2150	Rush Personnel Services, Inc			5,644.80	1,546,507.42
Bill Pmt -Check	05/13/2020	2151	The Ferguson Group			15,000.00	1,531,507.42
Bill Pmt -Check	05/13/2020	2152	US Bank			302.11	1,531,205.31
Bill Pmt -Check	05/13/2020	2153	Young Wooldridge LLP			6,357.72	1,524,847.59
General Journal	05/18/2020	5-2C		transfer to max ICS		376,104.38	1,148,743.21
General Journal	05/31/2020	5-3C		rec int fme	1,382.69		1,150,125.90
General Journal	05/31/2020	5-4C		Bank service fee		159.88	1,149,966.02
Total River City Operating Account					1,382.69	473,570.32	1,149,966.02
River City State Fund Checking							4,404,006.92
Bill Pmt -Check	05/13/2020	1038	AECOM Technical Services, Inc.			15,863.36	4,388,143.56
Bill Pmt -Check	05/13/2020	1039	Brown and Caldwell			116,632.37	4,271,511.19
Bill Pmt -Check	05/13/2020	1040	CH2M Hill Engineers, Inc			93,369.39	4,178,141.80
Bill Pmt -Check	05/13/2020	1041	Fechter & Company CPA			10,760.40	4,167,381.40
Bill Pmt -Check	05/13/2020	1042	Forsythe Group LLC			30,000.00	4,137,381.40
Bill Pmt -Check	05/13/2020	1043	Fugro USA Land, Inc			12,562.77	4,124,818.63
Bill Pmt -Check	05/13/2020	1044	HDR Engineering Inc			168,613.06	3,956,205.57
Bill Pmt -Check	05/13/2020	1045	ICF Jones & Stokes Inc			68,898.24	3,887,307.33
Bill Pmt -Check	05/13/2020	1046	Larsen Wurzel & Associates, Inc			458.00	3,886,849.33
Bill Pmt -Check	05/13/2020	1047	MBK Engineers Inc			4,716.75	3,882,132.58
Bill Pmt -Check	05/13/2020	1048	Montague DeRose and Associat...			12,725.00	3,869,407.58
Bill Pmt -Check	05/13/2020	1049	Spesert Consulting			21,343.76	3,848,063.82
Bill Pmt -Check	05/13/2020	1050	Trapasso Consulting Services			28,000.00	3,820,063.82
Bill Pmt -Check	05/13/2020	1051	Waterology Consulting			33,750.00	3,786,313.82
Deposit	05/14/2020			CA Dept of Water	1,957,712.12		5,744,025.94
General Journal	05/14/2020	5-1C		wire fee for incoming of CA D...		15.00	5,744,010.94
Total River City State Fund Checking					1,957,712.12	617,708.10	5,744,010.94
US Bank Checking							0.00
Total US Bank Checking							0.00
TOTAL					**2,337,798.34**	**1,091,278.42**	**9,850,613.61**

Draft_0028830

Sites Project Joint Powers Authority
Balance Sheet
As of May 31, 2020

	May 31, 20	
ASSETS		
Current Assets		
Checking/Savings		
River City ICS Savings		2,956,636.65
River City Operating Account		1,149,966.02
River City State Fund Checking		5,744,010.94
Total Checking/Savings		9,850,613.61
Accounts Receivable		
Accounts Rec Members		
Membership - Authority	68,000.00	
Membership - Reservoir	-4,988.20	
Total Accounts Rec Members		63,011.80
Total Accounts Receivable		63,011.80
Total Current Assets		9,913,625.41
TOTAL ASSETS		**9,913,625.41**
LIABILITIES & EQUITY		
Liabilities		
Current Liabilities		
Accounts Payable		
Accounts Payable		722,564.07
Total Accounts Payable		722,564.07
Total Current Liabilities		722,564.07
Long Term Liabilities		
Participant Reimbursement Polic		6,064,652.67
Total Long Term Liabilities		6,064,652.67
Total Liabilities		6,787,216.74
Equity		
Net Assets		1,929,216.58
Retained Earnings		3,969,373.85
Net Income		-2,772,181.76
Total Equity		3,126,408.67
TOTAL LIABILITIES & EQUITY		**9,913,625.41**

FOR MANAGEMENT PURPOSES ONLY

Sites Project Joint Powers Authority
A/R Aging Summary
As of May 31, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
City of American Canyon	0.00	0.00	0.00	0.00	-4,988.20	-4,988.20
County of Glenn	0.00	0.00	0.00	0.00	55,000.00	55,000.00
Yolo County Flood Control	0.00	0.00	0.00	0.00	13,000.00	13,000.00
TOTAL	**0.00**	**0.00**	**0.00**	**0.00**	**63,011.80**	**63,011.80**

Sites Project Joint Powers Authority
A/P Aging Summary
As of May 31, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
Adept Solutions Inc	1,045.35	305.27	0.00	0.00	0.00	1,350.62
AECOM Technical Services, Inc.	8,112.77	0.00	0.00	0.00	0.00	8,112.77
Brown and Caldwell	116,764.80	0.00	0.00	0.00	0.00	116,764.80
CH2M Hill Engineers, Inc	0.00	97,617.53	0.00	0.00	0.00	97,617.53
Dunn Consulting	8,000.00	0.00	0.00	0.00	0.00	8,000.00
Forsythe Group LLC	30,000.00	0.00	0.00	0.00	0.00	30,000.00
Fugro USA Land, Inc	11,875.58	0.00	0.00	0.00	0.00	11,875.58
HDR Engineering Inc	168,516.59	0.00	0.00	0.00	0.00	168,516.59
ICF Jones & Stokes Inc	0.00	96,395.12	0.00	0.00	0.00	96,395.12
J.C. Watson, Inc	28,551.26	0.00	0.00	0.00	0.00	28,551.26
Katz and Associates, Inc	0.00	35,424.99	0.00	0.00	0.00	35,424.99
KCoe Isom, LLP	1,765.00	0.00	0.00	0.00	0.00	1,765.00
Larsen Wurzel & Associates, Inc	1,374.00	0.00	0.00	0.00	0.00	1,374.00
M.R. Cleaning Services	400.00	0.00	0.00	0.00	0.00	400.00
Maximun Pest Control	65.00	0.00	0.00	0.00	0.00	65.00
MBK Engineers Inc	0.00	3,185.00	0.00	0.00	0.00	3,185.00
Mt Shasta Spring Water	30.15	0.00	0.00	0.00	0.00	30.15
Perkins Coie LLP	971.10	0.00	0.00	0.00	0.00	971.10
Recology Butte Colusa Counties	35.95	0.00	0.00	0.00	0.00	35.95
Rush Personnel Services, Inc	4,189.50	0.00	0.00	0.00	0.00	4,189.50
Spesert Consulting	21,320.00	0.00	0.00	0.00	0.00	21,320.00
Stradling Yocca Carlson & Rauth	0.00	3,970.00	0.00	0.00	0.00	3,970.00
The Ferguson Group	0.00	15,000.00	0.00	0.00	0.00	15,000.00
Trapasso Consulting Services	28,000.00	0.00	0.00	0.00	0.00	28,000.00
US Bank	191.97	0.00	0.00	0.00	0.00	191.97
Waterology Consulting	33,750.00	0.00	0.00	0.00	0.00	33,750.00
Young Wooldridge LLP	5,707.14	0.00	0.00	0.00	0.00	5,707.14
TOTAL	**470,666.16**	**251,897.91**	**0.00**	**0.00**	**0.00**	**722,564.07**

Sites Project Joint Powers Authority
Profit & Loss
May 2020

	May 20	Jan - May 20
Ordinary Income/Expense		
Income		
Membership Admin/Authority	0.00	505,000.00
Prop 1 Funding	0.00	0.00
Total Income	0.00	505,000.00
Gross Profit	0.00	505,000.00
Expense		
Accounting Expense	1,765.00	13,964.00
Admin Support Gen Manager	4,189.50	25,511.85
Audit Fees	0.00	10,760.40
Bank Service Fees	174.88	849.19
General Manager	28,551.26	186,514.66
Insurance - Property	0.00	250.00
Insurance Liability	0.00	1,604.25
Office Expenses		
Cleaning	400.00	900.00
Misc Office & Operating Exp	191.97	2,832.29
Pest Control & Maintenance	65.00	325.00
Trash Service	35.95	179.75
Water Expense	30.15	198.70
Total Office Expenses	723.07	4,435.74
Professional Fees		
Bond Counsel	3,970.00	3,970.00
Bus/Comm Manager	21,320.00	108,721.85
Communication	35,424.99	85,531.14
Cost Development Model	1,374.00	2,542.60
Cultural Study	0.00	3,885.55
Engineering & Technical Service	8,112.77	114,518.38
Env/Biological Services	65,867.86	142,871.28
EPP Manager	30,000.00	152,059.09
Executive Director	33,750.00	67,500.00
Federal Government Affairs Supp	15,000.00	75,000.00
General Legal Counsel	5,707.14	43,279.62
Geotechnical Engineering	11,875.58	48,848.52
Legislative/Reg/Strategic	8,000.00	40,000.00
Municipal Advisor	0.00	20,000.00
Operations/Simulation Modeling	97,617.53	351,034.64
Organizational Assessment	0.00	29,693.41
Permitting & Agreements	30,527.26	148,230.41
Program Ops Manager	28,000.00	142,510.24
Project Controls	116,764.80	576,819.92
Project Integration	168,516.59	843,990.67
Real Estate	0.00	761.67
Reservoir Operations	3,185.00	20,202.75
Special Legal	971.10	31,374.00
Total Professional Fees	685,984.62	3,053,345.74
Website, Data, Computer Support	1,350.62	6,684.00
Total Expense	722,738.95	3,303,919.83
Net Ordinary Income	-722,738.95	-2,798,919.83
Other Income/Expense		
Other Income		
Interest Income		
Interest Income-River City	3,981.84	26,738.07
Total Interest Income	3,981.84	26,738.07
Total Other Income	3,981.84	26,738.07

Sites Project Joint Powers Authority
Profit & Loss
May 2020

	May 20	Jan - May 20
Net Other Income	3,981.84	26,738.07
Net Income	**-718,757.11**	**-2,772,181.76**

Sites Project Joint Powers Authority
Transaction Detail By Account
May 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Accounting Expense								
Bill	05/31/2020	KC087169	KCoe Isom, LLP	5/20	Authority	353.00		-353.00
Bill	05/31/2020	KC087169	KCoe Isom, LLP	5/20	Reservoir	1,412.00		-1,765.00
Total Accounting Expense						1,765.00	0.00	-1,765.00
Admin Support Gen Manager								
Bill	05/18/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Authority	141.12		-141.12
Bill	05/18/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Reservoir	564.48		-705.60
Bill	05/18/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Authority	189.63		-895.23
Bill	05/18/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Reservoir	758.52		-1,653.75
Bill	05/31/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Authority	282.24		-1,935.99
Bill	05/31/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Reservoir	1,128.96		-3,064.95
Bill	05/31/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Authority	224.91		-3,289.86
Bill	05/31/2020	IVC0000001...	Rush Personnel Services, ...	5/20	Reservoir	899.64		-4,189.50
Total Admin Support Gen Manager						4,189.50	0.00	-4,189.50
Bank Service Fees								
General J...	05/14/2020	5-1C		wire fee for incoming of CA D...	Reservoir	15.00		-15.00
General J...	05/31/2020	5-4C		Bank service fee	Authority	103.92		-118.92
General J...	05/31/2020	5-4C		Bank service fee	Reservoir	55.96		-174.88
Total Bank Service Fees						174.88	0.00	-174.88
General Manager								
Bill	05/28/2020	SPA-058	J.C. Watson, Inc	5/20	Authority	4,369.69		-4,369.69
Bill	05/28/2020	SPA-058	J.C. Watson, Inc	5/20	Reservoir	24,181.57		-28,551.26
Total General Manager						28,551.26	0.00	-28,551.26
Office Expenses								
Cleaning								
Bill	05/10/2020	33	M.R. Cleaning Services	4/20	Authority	200.00		-200.00
Bill	05/28/2020	37	M.R. Cleaning Services	5/20	Authority	200.00		-400.00
Total Cleaning						400.00	0.00	-400.00
Misc Office & Operating Exp								
Bill	05/31/2020	Online 6/3	US Bank		Authority	191.97		-191.97
Total Misc Office & Operating Exp						191.97	0.00	-191.97
Pest Control & Maintenance								
Bill	05/28/2020	51008	Maximun Pest Control	5/20	Authority	65.00		-65.00
Total Pest Control & Maintenance						65.00	0.00	-65.00
Trash Service								
Bill	05/31/2020	37394368	Recology Butte Colusa Co...	5/20	Authority	35.95		-35.95
Total Trash Service						35.95	0.00	-35.95
Water Expense								
Bill	05/14/2020	495621	Mt Shasta Spring Water	5/20	Authority	30.15		-30.15
Total Water Expense						30.15	0.00	-30.15
Total Office Expenses						723.07	0.00	-723.07
Professional Fees								
Bond Counsel								
Bill	05/13/2020	364298-0001	Stradling Yocca Carlson &...	4/20	Reservoir	3,970.00		-3,970.00
Total Bond Counsel						3,970.00	0.00	-3,970.00
Bus/Comm Manager								
Bill	05/31/2020	06-20	Spesert Consulting	5/20	Reservoir	21,320.00		-21,320.00
Total Bus/Comm Manager						21,320.00	0.00	-21,320.00
Communication								
Bill	05/14/2020	413745	Katz and Associates, Inc	4/20 (C)	Authority	21,254.99		-21,254.99
Bill	05/14/2020	413745	Katz and Associates, Inc	4/20 (C)	Reservoir	14,170.00		-35,424.99
Total Communication						35,424.99	0.00	-35,424.99
Cost Development Model								
Bill	05/08/2020	1609000-0420	Larsen Wurzel & Associat...	4/20	Reservoir	1,374.00		-1,374.00
Total Cost Development Model						1,374.00	0.00	-1,374.00
Engineering & Technical Service								
Bill	05/31/2020	2000365230	AECOM Technical Service...	5/20	Reservoir	8,112.77		-8,112.77
Total Engineering & Technical Service						8,112.77	0.00	-8,112.77
Env/Biological Services								
Bill	05/14/2020	0147006	ICF Jones & Stokes Inc	4/20	Reservoir	65,867.86		-65,867.86
Total Env/Biological Services						65,867.86	0.00	-65,867.86
EPP Manager								
Bill	05/31/2020	SPA-202005	Forsythe Group LLC	5/20	Reservoir	30,000.00		-30,000.00

Sites Project Joint Powers Authority
Transaction Detail By Account
May 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Total EPP Manager						30,000.00	0.00	-30,000.00
Executive Director								
Bill	05/31/2020	2	Waterology Consulting	5/20	Reservoir	33,750.00		-33,750.00
Total Executive Director						33,750.00	0.00	-33,750.00
Federal Government Affairs Supp								
Bill	05/01/2020	0520148	The Ferguson Group	5/20	Authority	7,500.00		-7,500.00
Bill	05/01/2020	0520148	The Ferguson Group	5/20	Reservoir	7,500.00		-15,000.00
Total Federal Government Affairs Supp						15,000.00	0.00	-15,000.00
General Legal Counsel								
Bill	05/31/2020	65924	Young Wooldridge LLP	5/20	Authority	1,268.50		-1,268.50
Bill	05/31/2020	65924	Young Wooldridge LLP	5/20	Reservoir	4,438.64		-5,707.14
Total General Legal Counsel						5,707.14	0.00	-5,707.14
Geotechnical Engineering								
Bill	05/28/2020	04.7219003...	Fugro USA Land, Inc	5/20 (I)	Reservoir	11,875.58		-11,875.58
Total Geotechnical Engineering						11,875.58	0.00	-11,875.58
Legislative/Reg/Strategic								
Bill	05/31/2020	Letter	Dunn Consulting	5/20	Authority	4,000.00		-4,000.00
Bill	05/31/2020	Letter	Dunn Consulting	5/20	Reservoir	4,000.00		-8,000.00
Total Legislative/Reg/Strategic						8,000.00	0.00	-8,000.00
Operations/Simulation Modeling								
Bill	05/15/2020	D3205400-013	CH2M Hill Engineers, Inc	4/20 (D)	Reservoir	97,617.53		-97,617.53
Total Operations/Simulation Modeling						97,617.53	0.00	-97,617.53
Permitting & Agreements								
Bill	05/14/2020	0147005	ICF Jones & Stokes Inc	4/20 (F)	Reservoir	30,527.26		-30,527.26
Total Permitting & Agreements						30,527.26	0.00	-30,527.26
Program Ops Manager								
Bill	05/31/2020	SPA 17-32	Trapasso Consulting Servi...	5/20	Reservoir	28,000.00		-28,000.00
Total Program Ops Manager						28,000.00	0.00	-28,000.00
Project Controls								
Bill	05/29/2020	17373609	Brown and Caldwell	5/20 (B)	Reservoir	116,764.80		-116,764.80
Total Project Controls						116,764.80	0.00	-116,764.80
Project Integration								
Bill	05/31/2020	1200270922	HDR Engineering Inc	5/20 (A)	Reservoir	168,516.59		-168,516.59
Total Project Integration						168,516.59	0.00	-168,516.59
Reservoir Operations								
Bill	05/15/2020	20-04-4941.0	MBK Engineers Inc	4/20	Reservoir	3,185.00		-3,185.00
Total Reservoir Operations						3,185.00	0.00	-3,185.00
Special Legal								
Bill	05/28/2020	6195435	Perkins Coie LLP	4/20	Reservoir	971.10		-971.10
Total Special Legal						971.10	0.00	-971.10
Total Professional Fees						685,984.62	0.00	-685,984.62
Website, Data, Computer Support								
Bill	05/15/2020	139505	Adept Solutions Inc	4/20	Authority	61.05		-61.05
Bill	05/15/2020	139505	Adept Solutions Inc	4/20	Reservoir	244.22		-305.27
Bill	05/31/2020	MSP-139499	Adept Solutions Inc	06/20	Authority	209.07		-514.34
Bill	05/31/2020	MSP-139499	Adept Solutions Inc	06/20	Reservoir	836.28		-1,350.62
Total Website, Data, Computer Support						1,350.62	0.00	-1,350.62
Interest Income								
Interest Income-River City								
General J...	05/15/2020	5-3C		rec int fme	Authority		898.75	898.75
General J...	05/31/2020	5-3C		rec int fme	Reservoir		483.94	1,382.69
General J...	05/31/2020	5-5C		rec int fme	Reservoir		2,599.15	3,981.84
Total Interest Income-River City						0.00	3,981.84	3,981.84
Total Interest Income						0.00	3,981.84	3,981.84
TOTAL						**722,738.95**	**3,981.84**	**-718,757.11**

Sites Project Joint Powers Authority
Balance Sheet by Class
As of May 31, 2020

	Authority	Reservoir	TOTAL
ASSETS			
Current Assets			
Checking/Savings			
River City ICS Savings	0.00	2,956,636.65	2,956,636.65
River City Operating Account	748,882.62	401,083.40	1,149,966.02
River City State Fund Checking	0.00	5,744,010.94	5,744,010.94
Total Checking/Savings	748,882.62	9,101,730.99	9,850,613.61
Accounts Receivable			
Accounts Rec Members			
Membership - Authority	68,000.00	0.00	68,000.00
Membership - Reservoir	0.00	-4,988.20	-4,988.20
Total Accounts Rec Members	68,000.00	-4,988.20	63,011.80
Total Accounts Receivable	68,000.00	-4,988.20	63,011.80
Total Current Assets	816,882.62	9,096,742.79	9,913,625.41
TOTAL ASSETS	**816,882.62**	**9,096,742.79**	**9,913,625.41**
LIABILITIES & EQUITY			
Liabilities			
Current Liabilities			
Accounts Payable			
Accounts Payable	40,577.27	681,986.80	722,564.07
Total Accounts Payable	40,577.27	681,986.80	722,564.07
Total Current Liabilities	40,577.27	681,986.80	722,564.07
Long Term Liabilities			
Participant Reimbursement Polic	0.00	6,064,652.67	6,064,652.67
Total Long Term Liabilities	0.00	6,064,652.67	6,064,652.67
Total Liabilities	40,577.27	6,746,639.47	6,787,216.74
Equity			
Net Assets	114,674.93	1,814,541.65	1,929,216.58
Retained Earnings	351,952.54	3,617,421.31	3,969,373.85
Net Income	309,677.88	-3,081,859.64	-2,772,181.76
Total Equity	776,305.35	2,350,103.32	3,126,408.67
TOTAL LIABILITIES & EQUITY	**816,882.62**	**9,096,742.79**	**9,913,625.41**

Sites Project Joint Powers Authority
Profit & Loss by Class
May 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Expense			
Accounting Expense	353.00	1,412.00	1,765.00
Admin Support Gen Manager	837.90	3,351.60	4,189.50
Bank Service Fees	103.92	70.96	174.88
General Manager	4,369.69	24,181.57	28,551.26
Office Expenses			
Cleaning	400.00	0.00	400.00
Misc Office & Operating Exp	191.97	0.00	191.97
Pest Control & Maintenance	65.00	0.00	65.00
Trash Service	35.95	0.00	35.95
Water Expense	30.15	0.00	30.15
Total Office Expenses	723.07	0.00	723.07
Professional Fees			
Bond Counsel	0.00	3,970.00	3,970.00
Bus/Comm Manager	0.00	21,320.00	21,320.00
Communication	21,254.99	14,170.00	35,424.99
Cost Development Model	0.00	1,374.00	1,374.00
Engineering & Technical Service	0.00	8,112.77	8,112.77
Env/Biological Services	0.00	65,867.86	65,867.86
EPP Manager	0.00	30,000.00	30,000.00
Executive Director	0.00	33,750.00	33,750.00
Federal Government Affairs Supp	7,500.00	7,500.00	15,000.00
General Legal Counsel	1,268.50	4,438.64	5,707.14
Geotechnical Engineering	0.00	11,875.58	11,875.58
Legislative/Reg/Strategic	4,000.00	4,000.00	8,000.00
Operations/Simulation Modeling	0.00	97,617.53	97,617.53
Permitting & Agreements	0.00	30,527.26	30,527.26
Program Ops Manager	0.00	28,000.00	28,000.00
Project Controls	0.00	116,764.80	116,764.80
Project Integration	0.00	168,516.59	168,516.59
Reservoir Operations	0.00	3,185.00	3,185.00
Special Legal	0.00	971.10	971.10
Total Professional Fees	34,023.49	651,961.13	685,984.62
Website, Data, Computer Support	270.12	1,080.50	1,350.62
Total Expense	40,681.19	682,057.76	722,738.95
Net Ordinary Income	-40,681.19	-682,057.76	-722,738.95
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	898.75	3,083.09	3,981.84
Total Interest Income	898.75	3,083.09	3,981.84
Total Other Income	898.75	3,083.09	3,981.84
Net Other Income	898.75	3,083.09	3,981.84
Net Income	**-39,782.44**	**-678,974.67**	**-718,757.11**

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through May 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Income			
Membership Admin/Authority	505,000.00	0.00	505,000.00
Prop 1 Funding	0.00	0.00	0.00
Total Income	505,000.00	0.00	505,000.00
Gross Profit	505,000.00	0.00	505,000.00
Expense			
Accounting Expense	2,792.80	11,171.20	13,964.00
Admin Support Gen Manager	5,102.37	20,409.48	25,511.85
Audit Fees	0.00	10,760.40	10,760.40
Bank Service Fees	353.24	495.95	849.19
General Manager	26,752.05	159,762.61	186,514.66
Insurance - Property	200.00	50.00	250.00
Insurance Liability	0.00	1,604.25	1,604.25
Office Expenses			
Cleaning	900.00	0.00	900.00
Misc Office & Operating Exp	856.48	1,975.81	2,832.29
Pest Control & Maintenance	325.00	0.00	325.00
Trash Service	179.75	0.00	179.75
Water Expense	198.70	0.00	198.70
Total Office Expenses	2,459.93	1,975.81	4,435.74
Professional Fees			
Bond Counsel	0.00	3,970.00	3,970.00
Bus/Comm Manager	0.00	108,721.85	108,721.85
Communication	51,318.91	34,212.23	85,531.14
Cost Development Model	0.00	2,542.60	2,542.60
Cultural Study	0.00	3,885.55	3,885.55
Engineering & Technical Service	0.00	114,518.38	114,518.38
Env/Biological Services	0.00	142,871.28	142,871.28
EPP Manager	0.00	152,059.09	152,059.09
Executive Director	0.00	67,500.00	67,500.00
Federal Government Affairs Supp	37,500.00	37,500.00	75,000.00
General Legal Counsel	21,780.00	21,499.62	43,279.62
Geotechnical Engineering	0.00	48,848.52	48,848.52
Legislative/Reg/Strategic	20,000.00	20,000.00	40,000.00
Municipal Advisor	0.00	20,000.00	20,000.00
Operations/Simulation Modeling	0.00	351,034.64	351,034.64
Organizational Assessment	29,693.41	0.00	29,693.41
Permitting & Agreements	0.00	148,230.41	148,230.41
Program Ops Manager	0.00	142,510.24	142,510.24
Project Controls	0.00	576,819.92	576,819.92
Project Integration	0.00	843,990.67	843,990.67
Real Estate	0.00	761.67	761.67
Reservoir Operations	0.00	20,202.75	20,202.75
Special Legal	0.00	31,374.00	31,374.00
Total Professional Fees	160,292.32	2,893,053.42	3,053,345.74
Website, Data, Computer Support	1,336.80	5,347.20	6,684.00
Total Expense	199,289.51	3,104,630.32	3,303,919.83
Net Ordinary Income	305,710.49	-3,104,630.32	-2,798,919.83
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	3,967.39	22,770.68	26,738.07
Total Interest Income	3,967.39	22,770.68	26,738.07
Total Other Income	3,967.39	22,770.68	26,738.07

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through May 2020

	Authority	Reservoir	TOTAL
Net Other Income	3,967.39	22,770.68	26,738.07
Net Income	**309,677.88**	**-3,081,859.64**	**-2,772,181.76**

Sites

Topic: **Authority Board Agenda Item 1.3** **2020 June 24**

Subject: **Payment of Claims**

Requested Action:

Consider approval of the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B.

Detailed Description/Background:

Attachment A presents the warrants to be drawn against the invoices received by the Sites Project Authority through June 1, 2020.

Attachment B summarizes details of the invoices received through June 1, 2020 and how the incurred costs are allocated between the Authority and Reservoir Committee.

Prior Action:

None.

Fiscal Impact/Funding Source:

Total Payment of Claims is $722,564.07 with $40,577.27 of costs being assigned to the Authority and $681,986.80 assigned to the Reservoir Committee.

For the Reservoir Committee assigned amount, $621,852.49 will be paid through the WSIP account and $60,134.31 through the JPA/Sites account as shown in Attachment B.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: June 2020 Report on warrants to be drawn for Payment of Claims.

Attachment B: June 2020 Monthly Key Consultant and Vendor Invoice table.

Attachment C: June 2020 Key Consultant Budget Status Report.

WARRANTS DRAWN AGAINST
Sites Project Authority- General Fund
June 24, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
2154	06/09/2020	Adept Solutions	IT & Related Services	1,350.62
2155	06/09/2020	Dunn Consulting	Legislative/Regulatory/Strategic Support	8,000.00
2156	06/09/2020	J.C. Watson Inc.	GM Services	28,551.26
2157	06/09/2020	Katz and Associates Inc.	Communications	35,424.99
2158	06/09/2020	K·Coe·Isom, LLP	Accounting	1,765.00
2159	06/09/2020	M.R. Cleaning Services	Office Cleaning	400.00
2160	06/09/2020	Maximum Pest Control	Pest Control	65.00
2161	06/09/2020	Mt Shasta Spring Water	Office Water	30.15
2162	06/09/2020	Recology Butte Colusa Counties	Office Trash Pickup	35.95
2163	06/09/2020	Rush Personnel Services Inc.	Administrative Services	4,189.50
2164	06/09/2020	The Ferguson Group	Fed Govt Affairs Support	15,000.00
2165	06/09/2020	U.S. Bank	Misc. Expenses	191.97
2166	06/09/2020	Young Wooldridge LLP	Legal Counsel	5,707.14

THE FOREGOING CLAIM, NUMBERED 2154-2166 ARE APPLIED TO THE GENERAL FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **100,711.58**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

WARRANTS DRAWN AGAINST
Sites Project Authority-WSIP Fund
June 24, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
1052	06/09/2020	AECOM Inc.	Engineering	8,112.77
1053	06/09/2020	Brown and Caldwell	Project Controls	116,764.80
1054	06/09/2020	CH2M	Operations/SIM Modeling	97,617.53
1055	06/09/2020	Forsythe Group LLC	EPP Manager	30,000.00
1056	06/09/2020	Fugro USA Land, Inc.	Geotechnical Engineering Services	11,875.58
1057	06/09/2020	HDR Engineering Inc.	Project Integration	168,516.59
1058	06/09/2020	ICF Jones & Stokes Inc.	Env/Biological Services & Permitting	96,396.12
1059	06/09/2020	Larsen Wurzel & Associates, Inc.	Cost Development	1,374.00
1060	06/09/2020	MBK Engineers Inc.	Reservoir Operations	3,185.00
1061	06/09/2020	Perkins Cole, LLP	Special Legal	971.10
1062	06/09/2020	Spesert Consulting	Bus/Comm Manager	21,320.00
1063	06/09/2020	Stradling Yocca Carlson & Rauth	Bond Counsel	3,970.00
1064	06/09/2020	Trapasso Consulting Services	Program Operations Manager	28,000.00
1065	06/09/2020	Waterology Consulting	Executive Director Services	33,760.00

THE FOREGOING CLAIM, NUMBERED 1052-1065 ARE APPLIED TO THE WSIP FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount 621,652.49

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

Sites

June 8, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for June 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their June monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Board Approval Items						
Adept Solutions *IT Related Services/Computer Equipment*	MSP-139499 *6/1/20*	06/20	$1,045.35	$209.07	$836.28	KMS
Adept Solutions *IT Related Services/Computer Equipment*	139505 *5/15/20*	4/20	$305.27	$61.05	$244.22	KMS
AECOM (Reservoir) (HR) *Engineering Services*	No Invoice					HL
AECOM Technical Services, Inc. *Engineering/Tech*	2000365230 *6/1/20*	5/20	$8,112.77		$8,112.77	HL
Bender Rosenthal, Inc. (G) *Real Estate*	No Invoice					KMS
Brown and Caldwell (B) *Project Controls*	17373609 *5/29/20*	5/20	$116,764.80		$116,764.80	JAT
CH2M Hill Engineers (Operations) (D) *Operations / Simulation Modeling*	D3205400-013 *5/15/20*	4/20	$97,617.53		$97,617.53	AEF
CH2M/Jacobs (Conveyance) (HC) *Engineering Services*	No Invoice					HL
Colusa Indian Community Council *Tribal Council*	No Invoice					SPE
Darling H2O Consulting, Inc. *Organizational Assessment*	No Invoice					FD
Dunn Consulting *Legislative/Regulatory/Strategic Support*	Letter *6/2/20*	5/20	$8,000.00	$4,000.00	$4,000.00	KMS
Fechter and Company, CPAs *Accounting Services*	No Invoice					JAT
Forsythe Group, LLC *EPP Manager*	SPA-202005 *6/2/20*	5/20	$30,000.00		$30,000.00	JB
Fugro (I) *Geotechnical Engineering Services*	04.72190035-14 *5/28/20*	5/20	$11,875.58		$11,875.58	HL
Gerald (Jerry) Johns *Project Operations*	No Invoice					AEF

Sites

June 8, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for June 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their June monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
HDR (A)	1200270922			$168,516.59		$168,516.59	JAT
Project Integration		*6/1/20*	5/20				
ICF Jones & Stokes, Inc. (Environ.) (E)	0147006			$65,867.86		$65,867.86	AEF
Env/Biological Services		*5/14/20*	4/20				
ICF Jones & Stokes, Inc. (Permitting) (F)	0147005			$30,527.26		$30,527.26	AEF
Permitting and Agreements		*5/14/20*	4/20				
J.C. Watson, Inc.	SPA-058			$28,551.26	$4,369.69	$24,181.57	JB
General Manager Services		*5/28/20*	5/20				
Katz & Associates (C)	413745			$35,424.99	$21,254.99	$14,170.00	KMS
Communications		*5/14/20*	4/20				
K-Coe Isom, LLP	KC087169			$1,765.00	$353.00	$1,412.00	JAT
Accounting		*5/31/20*	5/20				
Larsen Wurzel & Associates, Inc.	1609000-0420			$1,374.00		$1,374.00	JAT
Cost Development		*5/8/20*	4/20				
M.R. Cleaning Service	33			$200.00	$200.00		KMS
Office Cleaning		*5/10/20*	4/20				
M.R. Cleaning Service	37			$200.00	$200.00		KMS
Office Cleaning		*5/28/20*	5/20				
Maximum Pest Control	51008			$65.00	$65.00		KMS
Pest Spraying		*5/28/20*	5/20				
MBK Engineers	20-04-4941.0			$3,185.00		$3,185.00	AEF
Reservoir Operations		*5/15/20*	4/20				
Montague DeRose & Associates, LLC	No Invoice						JAT
Municipal Advisor							
MT Shasta Water	495621			$30.15	$30.15		KMS
Office Water		*5/14/20*	5/20				
Perkins Coie, LLP	6195435			$971.10		$971.10	AEF
Special Legal		*5/28/20*	4/20				
Recology Butte Colusa Counties	37394368			$35.95	$35.95		KMS
Office Trash Pickup		*5/31/20*	5/20				

Draft_0028846

Sites

June 8, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for June 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their June monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Rush Personnel	IVC000000136950		$705.60	$141.12	$564.48	KMS
Yolanda Tirado Services	*5/18/20*	5/20				
Rush Personnel	IVC000000136951		$948.15	$189.63	$758.52	KMS
Yolanda Tirado Services	*5/18/20*	5/20				
Rush Personnel	IVC000000137208		$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services	*6/1/20*	5/20				
Rush Personnel	IVC000000137209		$1,124.55	$224.91	$899.64	KMS
Yolanda Tirado Services	*6/1/20*	5/20				
Spesert Consulting	06-20		$21,320.00		$21,320.00	JB
Bus/Communications Manager	*6/2/20*	5/20				
Stradling, Yocca, Carlson & Rauth	364298-0001		$3,970.00		$3,970.00	JAT
Bond Counsel	*5/13/20*	4/20				
The Ferguson Group	0520148		$15,000.00	$7,500.00	$7,500.00	KMS
Federal Government Affairs Support	*5/1/20*	5/20				
Trapasso Consulting Services	SPA 17-32		$28,000.00		$28,000.00	JB
Program Operations Manager	*6/1/20*	5/20				
U.S. Bank - Credit Card	Online 6/3		$191.97	$191.97		KMS
Misc. Expenses	*6/3/20*	5/20				
Waterology Consulting	2		$33,750.00		$33,750.00	JT/ FD /JS
Executive Director Services	*6/1/20*	5/20				
William Avery & Associates	No Invoice					JAT
Personnel Recruiter						
Wiseman Consulting Group	No Invoice					KMS
ROW/Land Management						
Young Wooldridge, Law Offices, LLP	65924		$5,707.14	$1,268.50	$4,438.64	JAT
Legal Counsel	*5/31/20*	5/20				

Sites

June 8, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for June 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their June monthly meetings.

Consultant/Vendor	Invoice # Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by

		Total	$ Authority Board	$ Reservoir Committee
	Cash Accounts	722,564.07	40,577.27	681,986.80
June 2020 Monthly Totals	JPA/Sites	100,711.58	40,577.27	60,134.31
	WSIP	621,852.49		621,852.49
Cumulative Summary	WSIP To Date	3,580,428.45	(4) Fund Checks Received	
	Balance	4,500,365.96	8,080,794.41	

Notes: *

Sites

JUNE KEY CONSULTANT BUDGET REPORT

FOR AUTHORITY BOARD AND RESERVOIR COMMITTEE,
FINANCE AND ECONOMICS AD HOC COMMITTEE

Area / Consultant	Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
a) HDR		Costs and Task % as of 05/20 Services	**1,499,864**	**1,499,864**	**843,991**	**655,873**	**56%**		
	A01	Communications Int.		-	-	-	-	-	
	A02	Ops Modeling Int.		181,007	28,076	152,932	16%	40%	
	A03	Env. Planning Int.		149,357	123,553	25,804	83%	56%	
	A04	Permitting Int.		243,884	173,782	70,102	71%	56%	
	A05	Real Estate Int.		139,718	37,931	101,787	27%	35%	
	A06	Engineering Int.		299,476	175,703	123,773	59%	56%	
	A07	Geotech Int.		-	-	-	-	100%	
	A08	Controls Int.		224,387	141,151	83,236	63%	56%	
	A09	General Int.		98,267	113,340	(15,073)	115%	60%	
	A10	Authority's Engineer		-	-	-	-	100%	
	A11	Health, Safety & Loss Prevention		-	-	-	-	100%	
	A12	Quality Management		-	-	-	-	100%	
	A13	Risk Management		49,890	10,156	39,734	20%	20%	
	A14	IT		15,831	9,070	6,761	57%	56%	
	A15	GIS		-	765	(765)	-	100%	
	A16	Document Management		3,734	3,467	267	93%	56%	
	A17	Staff Support		14,004	1,929	12,075	14%	56%	
	A18	Satellite Project Office		-	-	-	-	100%	
	A19	Land Conservation Approach		-	-	-	-	100%	
	A98	HDR Project Management		40,309	17,755	22,554	44%	56%	
	A99	Expenses		40,000	7,311	32,689	18%	56%	
b) BC		Costs and Task % as of 05/20 Services	**899,156**	**899,156**	**576,820**	**322,336**	**64%**		
	B01	Project Controls		113,645	55,363	58,282	49%	45%	
	B02	Contract Administration and Compliance		492,414	305,321	187,093	62%	65%	
	B03	Work Planning and Scheduling		100,341	98,466	1,875	98%	100%	
	B04	Project Administrative Support		117,516	82,765	34,751	70%	65%	
	B05	PMP Sections		-	-	-	-	-	
	B98	Project Management		73,240	32,720	40,520	45%	50%	
	B99	Expenses		2,000	2,185	(185)	109%	100%	

Draft_0028849

Area / Consultant	Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
c) K&A		Costs and Task % as of 04/20 Services	**199,930**	**199,930**	**85,531**	**114,399**	**43%**		
	C01	Local/Landowner Outreach		14,083	1,443	12,641	10%	15%	
	C02	Statewide Outreach		22,000	3,756	18,244	17%	15%	
	C03	NGO Outreach		12,166	1,085	11,081	9%	-	
	C04	Strategic Communications		19,666	6,724	12,942	34%	35%	
	C05	Message Development/Training		11,831	3,778	8,054	32%	40%	
	C06	Informational Materials Distribution		38,500	26,152	12,348	68%	30%	
	C07	Website Modifications and Management		18,600	5,153	13,448	28%	40%	
	C08	Video & Photography		-	-	-	-	-	
	C09	Authority/Reservoir Committee Public Affairs Support		14,500	7,595	6,905	52%	40%	
	C10	Media Relations		13,084	8,448	4,637	65%	35%	
	C11	Social Media		5,000	1,246	3,754	25%	25%	
	C98	Project Management		30,500	17,695	12,805	58%	40%	
	C99	Expenses		-	2,457	(2,457)	-	-	
d) CH2-d		Costs and Task % as of 04/20 Services	**993,000**	**993,000**	**351,035**	**641,965**	**35%**		
	D01	Permitting and Resource Agency Technical Support		100,000	62,027	37,973	62%	90%	
	D02	Environmental Document Support		356,853	70,268	286,585	20%	20%	
	D03	Total Operations Technical Support		300,000	106,184	193,816	35%	65%	
	D04	Value Planning		88,000	71,575	16,425	81%	95%	
	D98	Project Management		31,147	28,591	2,556	92%	50%	
	D99	Expenses & Subconsultants		117,000	12,390	104,610	11%	45%	
e) ICF-e		Costs and Task % as of 04/20 Services	**428,000**	**428,000**	**142,871**	**285,129**	**33%**		
	E01	Draft EIS/EIR Review and Strategic Consultation		-	-	-	-	-	
	E02	Prepare Admin Final Responses to Comments		-	-	-	-	-	
	E03	Prepare Administrative Final EIR/EIS		15,000	14,967	33	100%	100%	
	E04	Begin Preparation of Administrative Record for Fin		-	-	-	-	-	
	E05	Engagement		-	-	-	-	-	
	E06	Geotechnical Environmental Document		-	-	-	-	-	
	E07	Develop Environmental Document Work Plan		110,000	75,325	34,675	68%	55%	
	E08	Support Development of EIR/EIS Project Description		180,000	24,714	155,286	14%	15%	
	E09	Prepare Annotated Outline		88,000	-	88,000	-	-	
	E98	Project Management		31,500	27,865	3,635	88%	30%	
	E99	Expenses		3,500	-	3,500	-	-	
f) ICF-f		Costs and Task % as of 04/20 Services	**708,300**	**708,300**	**148,230**	**560,070**	**21%**		
	F01	Finalize Joint Biological Assessment		-	-	-	-	-	
	F02	Finalize Geotechnical Permits and Fieldwork		297,120	37,554	259,566	13%	50%	

Draft_0028850

Area / Consultant	Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
	F03	Prepare Section 106 Documents		-	-	-	-	-	
	F04	Begin Preparation of Permits and Agreements		26,000	25,976	24	100%	100%	
	F05	Prepare for 2020 Field Work Studies		-	-	-	-	-	
	F06	Strategize on Mitigation and Adaptive Management P		-	-	-	-	-	
	F07	Early Coordination and Development of Key Permits		333,740	48,638	285,102	15%	20%	
	F98	Project Management		35,000	29,668	5,332	85%	30%	
	F99	Expenses		16,440	6,395	10,045	39%	15%	
g) BRI		Costs and Task % as of 01/20 Services	**762**	**762**	**762**	**0**	**100%**		
	G01	Develop Draft Right-of-Way Manual Sections		-	-	-	-	-	
	G02	Right-of-Way Planning Efforts		-	-	-	-	-	
	G03	Right-of-Entry / Early-Access Program		517	517	0	100%	-	
	G04	Property Management Program		-	-	-	-	-	
	G05	Public Outreach and Community Engagement		-	-	-	-	-	
	G98	Project Management / QC		245	245	0	100%	-	
	G99	Expenses		-	-	-	-	-	
hc) CH2-hc			**597,023**	**597,023**	**-**	**597,023**			
	HC01	Project Coordination		15,378	-	15,378	-	-	
	HC02	Engineering Support for Proj. Description of Preferred Proj. from Value Planning		370,475	-	370,475	-	-	
	HC98	Project Management		55,469	-	55,469	-	-	
	HC99	Expenses & Subconsultants		155,700	-	155,700	-	-	
hr) AEC-hr			**599,379**	**599,379**	**-**	**599,379**			
	HR01	Project Coordination		7,339	-	7,339	-	-	
	HR02	Engineering Support for Project Description of Preferred Project		567,000	-	567,000	-	-	
	HR98	Project Management		25,040	-	25,040	-	-	
i) FUG		Costs and Task % as of 05/20 Services	**85,995**	**85,995**	**48,849**	**37,146**	**57%**		
	I01	Data Review and Develop 2019 Feasibility Study Work Plan		-	-	-	-	-	
	I02	- Obsolete -		-	-	-	-	-	
	I03	2019 Site Investigation		-	-	-	-	-	
	I04	Future Site Investigation Work Plan for Design		-	-	-	-	-	
	I05	Assessment of Proposed Field Data Collection Points		50,786	22,428	28,358	44%	44%	
	I98	Project Management		35,209	26,421	8,788	75%	75%	

Draft_0028851

Sites

Topic: **Authority Board Agenda Item 2.1** **2020 June 24**

Subject: **State Legislative Activities**

Requested Action:

Consider acceptance of 2020-2021 Sites Project State Agency Legislative Activity Priorities.

Detailed Description/Background:

In response to the recommendation outlined in the Organizational Assessment to prepare an analysis and staff recommendation on federal funding pursuits, staff developed a Federal Legislative/Government Affairs Priorities memorandum that was approved by the Reservoir Committee and Authority Board at their respective May 2020 meetings.

In addition to the Federal Legislative/Government Affairs Priorities memorandum, staff has worked with the Authority Ad Hoc Legislative & Outreach Committee to develop a list of State level legislative and government priorities to focus our activities to better align with key project milestones. The State activities will be implemented in coordinated manner with our Federal government affairs/legislative priorities. These State priorities will be the Committee and Team focus through December 2021. Any adjustments would be brought back to the Reservoir Committee and Authority Board before being incorporated and updates will be provided on significant progress.

Prior Action:

None.

Fiscal Impact/Funding Source:

Sufficient funds exist to support activities associated with these priorities within the Amendment 2 work plan.

Staff Contact:

Jerry Brown/Kevin Spesert

Attachments:

Attachment A: State Legislative/Government Affairs Priorities Memo.

TO: Ad Hoc Legislative & Outreach Committee

DATE: 10 June 2020

SUBJECT: State Government Affairs Priorities

Staff has been working to identify near-term (thru December 31, 2021) State government affairs/legislative priorities to focus our activities to better align with key project milestones and are implemented in coordinated manner with our Federal government affairs/legislative priorities.

The following represents the staff's proposed State government affairs/legislative priorities.

STATE FUNDING

Proposition 1/CWC

- Continue to advance activities to meet the statutory requirements of WSIP to secure the $816 million awarded to the project.
    - Must have completed feasibility studies, a draft version of the recirculated environmental documents released for public review, CWC finding that the project is feasible and advances long-term Delta goals, and commitments for at least 75 percent of the non-WSIP funding **by January 1, 2022**.
- Continue to secure WSIP Early Funding that was awarded to the project.
    - Approximately **$32 million** of the WSIP Early Funding awarded to the project is still available ($40.8 million awarded by CWC).
- Advance discussions with CWC to address requests outlined in the WSIP Project Coalition Letter sent on May 11, 2020 to address impacts of COVID19 on the WSIP projects.
    - Modification of Early funding conditions, Increased release frequency or waiver of retention on early funding, increase flexibility within the early funding agreements, Incorporate COVID related impacts into pre-established deadlines.
- Advance discussions with CWC to reconcile WSIP public benefits regarding the VP7 recommended alternative.

California Infrastructure Bank

- Identify and begin groundwork to potentially apply for loan funding for the project from the California Infrastructure and Economic Development Bank (IBank) for appropriate/eligible project components.
    - Infrastructure State Revolving Fund Loans (ISRF), IBank Bond Financing, Infrastructure State Revolving Fund Bonds (ISRB).

Other State Appropriations/Bonds/Grants

- Support development and secure funding from potential state bond measures for infrastructure development, drought resiliency, water resources, and flood control.

- Possible bond to support the priorities identified in the Governor's Water Resilience Portfolio has been discussed but may be delayed due to COVID19 impacts to the state's economy.

- Track and pursue opportunities for state appropriations/grants as appropriate for transportation, economic/workforce development, flood control, infrastructure planning, operations & maintenance, etc.

STATE AGENCY COORDINATION

- Pursue development of a DWR/SWP Coordinated Operations Plan.
  - Including term sheet whereby the parties agree on the key provisions of an agreement by **July 2021.**
- Completion of the recirculated Draft Environmental Impact Report (EIR) by **July 2021.**
  - Coordinated with USBR's development of the Recirculated Draft Environmental Impact Statement (EIS) which is planned for completion no later than **July 2021.**
- Develop strategy to advance the Authority's water rights application by **September 2020** with a planned submittal of the application by **June 2022 (aligned with Final EIR/EIS).**
- Secure permits and approvals of CDFW for ITP & LSA.
  - Enter a three-year agreement to fund CDFW staff to support of the project's permitting by **December 2020**.
  - Execute Contract with CDFW for WSIP benefits.
- Secure permits and approvals from Division of Safety of Dams (DSOD) to initiate preliminary design by January 2022.
- Complete approvals and permits to achieve the Final Funding Conditions for Prop 1 by **June 2023** for management of WSIP public benefit water produced by the project.
  - All environmental documental competed, all other federal, state, and local approvals, certifications and agreements required to be completed have been obtained.

Other items that do not require legislative/government affairs action but are administrative in nature are listed below. We will need to keep our legislators informed on progress of these items so that they can step in when/if needed to assist in keeping them on the timeline required to support the project schedule.

Permitting - Ongoing consultation with state agencies to secure required state permits to advance the project.

Public Outreach - Coordinate with appropriate state agencies on any public outreach activities associated with a recirculated EIR. Reestablish AB 52 engagement with tribes and re-engage in consultation.

Sites

Topic: **Authority Board Agenda Item 2.2** **2020 June 24**

Subject: **Negotiation Approach - Permits and Operating Agreements**

Requested Action:

Consider approval of the Authority's negotiation approach for permits and operating agreements.

Detailed Description/Background:

The work plan being executed over the next 18 months includes negotiation of permit and operating agreement terms with state and federal agencies. The organizational assessment identified the need for the Authority to establish an understanding of its process for managing these important discussions to ensure continued policy level oversight and outcomes the Reservoir Committee and Authority Board support. The attached negotiation approach, if approved, would be followed in the negotiation of all permits and operating agreements for the Authority.

The Reservoir Committee and Authority Board would maintain policy level oversight of the negotiations under the proposed approach. However, a single lead negotiator would be empowered to manage the negotiating and would provide progress updates. The policy direction of the Reservoir Committee and Authority Board defines the parameters or principles that set "what" the lead negotiator must accomplish in the negotiations. The lead negotiator is empowered to determine "how" the policy direction is achieved in permits and agreements. When the lead negotiator is satisfied the outcomes of negotiation have achieved the policy direction, a proposed agreement would be brought for Reservoir Committee and Authority Board approval.

Should Reservoir Committee and Authority Board members wish to be involved in the negotiations, they can be under the proposed approach. The proposed approach allows for a negotiating team supporting the lead negotiator that can be made up of Reservoir Committee and/or Authority Board members as advisors on policy concerns. But the involvement of Reservoir Committee and/or Authority Board members does not override the authority of the lead negotiator who remains accountable to the entire Reservoir Committee and Authority Board.

The proposed approach can be adjusted for special circumstances. For example, operating agreements involving the State or Federal projects may require coordination with Reservoir Committee and/or Authority Board members that contract with these entities while also serving the interests of all participating members. Any coordination activities would be discussed with all Reservoir Committee and Authority Board members and they could direct a slightly different arrangement in these cases should they feel it is necessary.

Prior Action:

The proposed approach has been reviewed by the Coordinating Committees of the Reservoir Committee and Authority Board, the Engineering and Operations Workgroup and the Environmental Planning and Permitting Workgroup. Input received has been incorporated into the proposed approach.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown/Ali Forsythe

Attachments:

Attachment A: Draft, Proposed Approach to Permit and Agreement Negotiations Environmental Planning, Permitting and Operations

Approach to Permit and Agreement Negotiations
Environmental Planning, Permitting and Operations

This document provides the approach to be used by the Executive Director for permit and agreement negotiations for those permits and agreements that are needed for the Sites Project and that fall within the environmental planning, permitting, and operations services areas.

- Lead Negotiator – The Executive Director will serve as the lead negotiator on permits and agreements for the environmental planning, permitting, and operations services areas, unless another person is identified as the lead by the Executive Director. The Lead Negotiator is responsible to achieve negotiated terms in accordance with the principles/parameters of the Reservoir Committee and Authority Board.

- Work Group – The Lead Negotiator will consult with the appropriate Reservoir Committee Work Group on technical analysis, alternatives evaluation, and advising Work Group members on constraints, conflicts, and limitations. Communications with the Lead Negotiator are to be kept strictly confidential and disclosed only to the appropriate Work Group members that are part of the negotiation team, the Lead Negotiator, counsel, the Reservoir Committee, and the Authority Board.

- Negotiation Team – The appropriate Reservoir Committee Work Group chair may assign a small subset of Reservoir Committee or Authority Board members (2-4) to participate in negotiations with the Lead Negotiator.

    - Guidelines on participation:
        - The Work Group chair selects the Negotiation Team to achieve balanced representation of interests (e.g. NOD/SOD, Urban, Ag, CVP/SWC) and considers other attributes of members.
        - The Lead Negotiator is the Authority's decision maker in the negotiations and must be present at all discussions with the agency.
        - The Negotiation Team is to strictly provide policy counsel to the Lead Negotiator and has no authority to negotiate on behalf of Sites.
        - The Negotiation Team is there to represent the best interest of the Sites Project and all of the Sites members (Reservoir Committee and Authority Board); not for personal or specific agency or regional interest, recognizing that their personal or specific agency interest helps and informs key components of the discussions.

CONFIDENTIAL PRIVILEGED COMMUNICATION
PRELIMINARY DRAFT DOCUMENT FOR INTERNAL USE ONLY

- An executive level relationship should be fostered between Reservoir Committee and Authority Board members and agency political appointees as this can assist in but is not a substitute for the negotiations approach.
- Communications among the Negotiation Team and with the Lead Negotiator are to be kept strictly confidential and disclosed only to the Negotiation Team, the Lead Negotiator, counsel, the Reservoir Committee, and the Authority Board.

- Reservoir Committee – The Lead Negotiator, working with the Negotiation Team, coordination with counsel, will recommend key negotiation principles/parameters for the Reservoir Committee. The Reservoir Committee will review, modify and recommend approval of the key negotiation principles/parameters to the Authority Board.

- Authority Board – The Authority Board will review, modify and approve the key negotiation principles/parameters that the Lead Negotiator will use to negotiate agreements in principle with the respective agency. If conflicts exist between the Reservoir Committee and Authority Board, the Lead Negotiator will be responsible to resolve those conflicts and, in coordination with counsel, resubmit the key principles/parameters to the Reservoir Committee and Authority Board for review, modification, and approval.

- Final Agreement – When the Lead Negotiator has determined that the key negotiation principles/parameters have been met, the Lead Negotiator, in coordination with counsel, will prepare the final agreement and present to the Reservoir Committee how the Final Agreement complies with the key negotiation principles/parameters. The Reservoir Committee will consider and deliberate accepting that the Final Agreement complies with the key negotiation principles/parameters. The Reservoir Committee will consider recommending approval / signature of the Final Agreement to the Authority Board. The Authority Board will consider approval / signature of the Final Agreement after recommendation by the Reservoir Committee.

- There will be no disclosure of confidential information by any Reservoir Committee or Authority Board participant outside of closed session. All Authority staff, consultants, and members of the Negotiating Team shall maintain the confidentiality of this information within the Authority.

CONFIDENTIAL PRIVILEGED COMMUNICATION
PRELIMINARY DRAFT DOCUMENT FOR INTERNAL USE ONLY

For clarification:

- Parameter is a dollar amount.
- Principles of negotiation are terms and conditions of agreement.

This negotiation approach would apply to:

- All permits and approvals listed in Table C1-2 in the April 2020 Value Planning Report
- Coordinated Operations Plans with the Department of Water Resources and Bureau of Reclamation
- Use of Facilities Agreements with Tehama-Colusa Canal Authority, Glenn-Colusa Irrigation District and operating entities in the Colusa Basin Drain
- Contract with the California Department of Fish and Wildlife for Prop 1 WSIP Environmental Benefits
- Final Funding Agreement for Prop 1 WSIP with the California Water Commission

CONFIDENTIAL PRIVILEGED COMMUNICATION
PRELIMINARY DRAFT DOCUMENT FOR INTERNAL USE ONLY

Sites

Topic: **Authority Board Agenda Item 3.1** **2020 June 24**

Subject: **Preliminary Participation Levels**

Requested Action:

Receive status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation.

Preliminary Participation Levels:

Soft call results have been updated and are included in Attachment A. In summary:

- All participants have responded and provided staff-recommended participation levels. Based on these results, full subscription can be achieved.
- Based on projected, reduced participation from Sacramento Valley, approximately 13,000 AF may be available. The Reservoir Committee and Authority Board in May 2020 requested that outreach be conducted to try to achieve the 25% goal for Sac Valley participation.
- Outside of the Sacramento Valley, current participants identified interest in 5,000 AF and prior participants expressed interest in 10,000 AF of additional capacity, if available.

Outreach and Rebalancing:

Staff has initiated outreach to existing and prior participants in the Sacramento Valley to determine interest in additional capacity. Outreach to potential new Sac Valley participants would occur later. This is consistent with the approved priority system:

1. The goal is total Sac Valley participation of ~25%. Priority is given to current, prior and new Sac Valley participants in that order.
2. Existing Reservoir Committee participating members (with sub-priorities by seniority)
3. Prior Reservoir Committee participating members (with sub-priorities by exit date)
4. Nonparticipants. Priority for new participants outside of Sac Valley.

The process for a participant securing a position in the participation queue involves the following steps:

- Participants submit a letter of intent for new or increased participation prior to September 10, 2020 to secure a position in the queue. The letter should acknowledge the potential financial commitment. Attachment B shows the remaining contributed credit that participants can apply to prior participant payments.
- Staff prioritizes requests based on the approved rebalancing priority system.
- At the September meetings, the Reservoir Committee and Authority Board direct staff to offer capacity to participants in the queue.

To date, the responses have been mixed; some are considering it and others are not interested. More time is needed for consideration and decision. As a reminder, new and returning participants must be approved for admission according to Section 10 of the Participation Agreement, which includes a 75% weighted approval at the Reservoir Committee and 75% of Authority Board director approval as well as other requirements.

Schedule:

The following items will be considered at the Reservoir Committee and Authority Board at their monthly meetings:

- July: Outreach update.
- August: Outreach update.
- September: Final participation levels for current members (executed agreements), approval of participation queue, vote to onboard new participants.
- October: Final participation levels for new members (executed agreements), approval of the final Exhibit A, invoices sent for payment.

Cash Call Timing:

The proposed cash call schedule is:

- $60/AF due Nov 1, 2020.
- Up to $40/AF due Apr 1, 2021.

Recall that payment plan alternatives were discussed in the May meetings. Staff was asked to consider an alternative payment plan for Colusa County based on their unique situation. The alternate payment plan proposed by the County was analyzed by the Project team and was determined to meet the spirit and intent of Amendment 2. The Project team determined that it is prudent and reasonable to accept the alternate payment plan and made a finding of equivalency for the reasons outlined in the attached letter which was sent on June 5, 2020 (Attachment C).

Prior Action:

May 21, 2020: The Reservoir Committee accepted Exhibit B (2020 and 2021 budget) to the Second Amendment to 2019 Reservoir Project Agreement and directed staff to begin outreach to potential participants based on the proposed priority system to explore interest in Sites capacity, if available.

May 5, 2020: At a Reservoir Committee workshop, staff provided information on changes to the cash call process and schedule in light of potential economic

impacts of the COVID-19 natural disaster on participating member agencies. A two-step cash call process including a "Soft Call" and a "Hard Call" will be used to better plan for changes in participation. The due date of the first cash call of $60/AF will be moved two months out to November 1, 2020. The due date of the second cash call will be moved two months out to April 1, 2021 and will be up to $40/AF. The Amendment 2 work will still begin on September 1, 2020.

April 17, 2020: The Reservoir Committee approved the Amendment 2 Work Plan for the purposes of planning cash calls for participants totaling $100/AF, producing a draft budget exhibit to the participation agreement, and developing consultant task orders.

March 30, 2020: At a joint workshop, staff provided information that included a process overview of task order development; an updated project schedule; cash flows for Reservoir Committee and Authority Board; and preliminary task budgets including assigned resources.

March 19, 2020: Staff provided information on the goals, schedule, cash flow, and preliminary budget allocation by subject assuming a cash call of $100/AF. Staff provided a preliminary cash call schedule of $60/AF due September 1, 2020 and $40 due February 1, 2021.

February 21, 2020: Staff provided information on three possible revenue scenarios and a task list for Amendment 2 (September 1, 2020 and ending December 31, 2021). Staff received direction to continue to develop the $100/AF revenue scenario.

January 17, 2020: Staff provided information regarding the proposed process for developing a work plan. This work plan proposes to focus on improving certainty related to project operations, permitting, and affordability, and to meeting the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive funding.

Fiscal Impact/Funding Source:

The total cash call remains unchanged from the approved Amendment 2 work plan in the total amount of $100/AF. With the Amendment 1B carryover funds it may be possible to reduce the total cash call. However, Staff proposes to keep the first call at $60/AF and reassess the second call currently projected at $40/AF midway through the Amendment 2 work. At that time, any adjustments to the second call can be considered based on the then current projections. Participating members can consider the second call to be an "up to" amount since the Amendment 1B carryover represents previously unanticipated funds for the Amendment 2 work plan.

Staff Contact:

Jerry Brown

Attachments:

Attachment A – Preliminary Amendment 2 Participation Table.

Attachment B – Participant Contributed Credit Balance.

Attachment C – Colusa County Payment Proposal Letter.

Preliminary Amendment 2 Participation Table
Reservoir Committee

Based on preliminary soft call survey results as of 3pm Wednesday, 6/3/2020

Working Draft, Subject to Change

Participant	Phase 2 (2019) Participation Level (Ref, AF)	Participation Level (AF) Staff Recommendation	Variance (AF)	Participation Increase Interest (AF)
Antelope Valley East Kern WA	500	500	0	
Carter Mutual Water Company	300	300	0	
City of American Canyon	4,000	4,000	0	
Coachella Valley Water District	10,000	10,000	0	
Colusa County	10,000	10,000	0	
Colusa County WD**	11,975	11,000	-975	Possibly
Cortina WD	450	450	0	
Davis Water District	2,000	2,000	0	
Dunnigan WD	2,717	2,717	0	
Desert WA	6,500	6,500	0	
Glenn Colusa Irrigation District	5,000	5,000	0	
LaGrande water district	1,000	1,000	0	
Metropolitan Water District of Southern California	50,000	50,000	0	
RD-108	4,000	4,000	0	
San Bernardino Valley Municipal Water District	21,400	21,400	0	
San Gorgonio Pass Water Agency	14,000	14,000	0	
Santa Clara Valley WD	16,000	16,000	0	
Santa Clarita Valley Water Agency	5,000	5,000	0	5,000
Westside W.D.**	15,000	2,000	-13,000	
Zone 7 Water Agency	10,000	10,000	0	
Wheeler Ridge-Maricopa WSD	3,050	3,050	0	
Pacific Resources MWC*	0	0	0	10,000
Total	**192,392**	**178,417**	**-13,975**	**15,000**

**Phase 1 participant, no survey response received. Interest expressed by a responding Phase 2 participant.*

***Indicated possibility for higher participation, lower projected participation level shown*

All Participant Summary Phase 1 Conversion and Voluntary Changes Allocations							
Participant	Total Paid	Conversion (Class 2 to Class 1)	Voluntary Changes	Partial Refund (Voluntary Changes)	Remaining Voluntary Change Credit (long term liability)	Refund Unspent Phase 1 Funds	8/31/19 Balance of Long term Liability
American Canyon, City of	$ 145,500.00	$ 48,500.00					$ -
Antelope Valley-East Kern Water Agency	$ 83,104.75		$ (58,854.75)	$ (10,832.99)	$ (48,021.76)	$ (2,849.09)	$ (45,172.67)
California Water Service	$ 848,750.00		$ (848,750.00)	$ (156,223.59)	$ (692,526.41)	$ (29,097.84)	$ (663,428.57)
Carter MWC	$ 24,250.00	$ 7,275.00	$ (16,975.00)	$ (3,124.47)	$ (13,850.53)	$ (831.37)	$ (13,019.16)
Coachella Valley Water District	$ 1,101,095.50	$ -	$ (616,095.50)	$ (113,400.48)	$ (502,695.02)	$ (37,749.05)	$ (464,945.97)
Colusa County	$ 485,000.00			$ -	$ -		$ -
Colusa County Water District	$ 1,557,383.50		$ (980,476.00)	$ (180,469.49)	$ (800,006.51)	$ (53,392.05)	$ (746,614.46)
Dept of Water Resources				$ -	$ -		$ -
Desert Water Agency	$ 270,072.25	$ 45,177.75		$ -	$ -		$ -
Garden Highway MWC	$ 97,000.00		$ (97,000.00)	$ (17,854.12)	$ (79,145.88)	$ (3,325.47)	$ (75,820.41)
Glenn-Colusa Irrigation District	$ 970,000.00		$ (727,500.00)	$ (133,905.94)	$ (593,594.06)	$ (33,254.68)	$ (560,339.38)
Metropolitan Water District of S. CA	$ 1,212,500.00	$ 1,212,500.00		$ -	$ -		$ -
Orland-Artois Water District	$ 970,000.00		$ (970,000.00)	$ (178,541.25)	$ (791,458.75)	$ (33,254.68)	$ (758,204.07)
Pacific Resources MWC	$ 485,000.00		$ (485,000.00)	$ (89,270.62)	$ (395,729.38)	$ (16,627.34)	$ (379,102.04)
Reclamation District 108	$ 970,000.00		$ (776,000.00)	$ (142,833.00)	$ (633,167.00)	$ (33,254.68)	$ (599,912.32)
San Bernardino Valley Municipal Water District	$ 1,246,522.75		$ (208,622.75)	$ (38,399.76)	$ (170,222.99)	$ (42,734.75)	$ (127,488.24)
San Gorgonio Pass Water Agency	$ 581,709.00	$ 97,291.00		$ -	$ -		$ -
Santa Clara Valley Water District	$ 997,232.75		$ (221,232.75)	$ (40,720.80)	$ (180,511.95)	$ (34,188.30)	$ (146,323.65)
Santa Clarita Valley Water Agency	$ 207,749.75	$ 34,750.25		$ -	$ -		$ -
TC6: 4M Water District	$ 24,250.00		$ (24,250.00)	$ (4,463.53)	$ (19,786.47)	$ (831.37)	$ (18,955.10)
TC6: Cortina Water District	$ 14,550.00		$ -	$ -	$ -		$ -
TC6: Davis Water District	$ 97,000.00		$ -	$ -	$ -		$ -
TC6: Dunnigan Water District	$ 242,500.00		$ (110,725.50)	$ (20,380.48)	$ (90,345.02)	$ (8,313.67)	$ (82,031.35)
TC6: LaGrande Water District	$ 48,500.00		$ -	$ -	$ -		$ -
TC6: Proberta Water District	$ 145,500.00		$ (145,500.00)	$ (26,781.19)	$ (118,718.81)	$ (4,988.20)	$ (113,730.61)
Tehama-Colusa Canal Assoc				$ -	$ -		$ -
US Bureau of Reclamation				$ -	$ -		$ -
Western Canal Water District	$ 169,750.00		$ (169,750.00)	$ (31,244.72)	$ (138,505.28)	$ (5,819.57)	$ (132,685.71)
Westside Water District	$ 1,212,500.00		$ (485,000.00)	$ (89,270.62)	$ (395,729.38)	$ (41,568.35)	$ (354,161.03)
Wheeler Ridge-Maricopa Water Storage District	$ 831,023.25		$ (683,098.25)	$ (125,733.21)	$ (557,365.04)	$ (28,490.11)	$ (528,874.93)
Yolo County Flood Control and Water Conservation District				$ -	$ -		$ -
Zone 7 Water Agency	$ 831,023.25		$ (346,023.25)	$ (63,690.13)	$ (282,333.12)	$ (28,490.11)	$ (253,843.01)
Totals	$ 15,869,466.75	$ 1,445,494.00	$ (7,970,853.75)	$ (1,467,140.40)	$ (6,503,713.35)	$ (439,060.68)	$ (6,064,652.67)

**note conversion and refund totals changed due to calculation error on Coachella's invoice, a revised invoice was sent*

Sites

Michael J. Azevedo
Director of Public Works, Colusa County
738 Market Street
Colusa, CA 95932

June 5, 2020

Dear Mr. Azevedo,

This is in response to our recent conversations regarding the ongoing and ever-changing conditions of the COVID-19 economic impacts on County finances. The implications of these unanticipated and significant circumstances are presenting challenges unique to the County[1]. You have proposed an alternate payment plan for the County's continued participation in the Amendment No. 2 work plan that you wish to present to the Colusa County Supervisors when they consider the Second Amendment to 2019 Reservoir Project Agreement (Amendment) at their June 16, 2020 meeting.

The alternate payment plan proposed by the County (see attached) has been analyzed by the Project team and has been determined to meet the spirit and intent of the Amendment. The Project team finds that it is prudent and reasonable to accept the alternate payment plan and make a finding of equivalency for the following reasons:

- The same total dollars are to be paid and there is no lesser commitment as compared to any of the other participating members.
- The timing of the alternate payments is such that on a cash flow basis there is no redirected impacts on other participating members.
- The administrative burden of the alternate plan is offset by the proposed additional year of rent relief for the Authority's use of the Maxwell office building which is owned by the County.

A comparison of the standard payment plan and the proposed alternate payment plan is shown on the attached document.

Please do not hesitate to contact me if you have any questions.

Jerry Brown
Executive Director, Sites Project Authority

cc: Reservoir Committee
Authority Board

Attachment

1. Colusa County is the only participating member that generates 100% of its revenues to pay for participation in the project from taxes that do not involve water services.

Comparison of Payment Plans for Colusa County Sites Reservoir Participation
Amendment No. 2 of the Project Agreement

Payment[1]	Approx. Payment Date	Amendment No. 2 Payment Plan	Approx. Payment Date	Colusa County Proposed Alternate and Equal Payment Plan
early			June 30, 2020	$150,000
1	Nov 1, 2020	$600,000	Nov 1, 2020	$500,000
2	April 1, 2021	up to $400,000	July 1, 2021	up to $350,000[2]

1) All payments assume continued County participation of 10,000 acre-feet
2) depends on the Authority's determination of required funding. Prior amounts contributed in excess of amendment no. 2 will be credited in determining the County's 3rd payment

Note: The County's proposal includes continuing to waive rent collection (estimate to be $1,500/month) on the Maxwell project office through July 2021

Sites

Topic: **Authority Board Agenda Item 3.2** **2020 June 24**

Subject: **Final Federal Feasibility**

Requested Action:

Receive status update on draft 2020 Final Federal Feasibility Report.

Detailed Description/Background

The approved 2020-2021 Sites Federal Government Affairs Priorities includes completion of the Final Federal Feasibility Report (2020 FFFR) to qualify for federal funding and serve as a basis for the State's feasibility analysis required for WSIP funding. The draft 2020 FFFR has been drafted and is routing through the ~6-month review process.

The draft 2020 FFFR determines the Sites project to be feasible and there is federal interest for investment. Potential benefits include CVP operational flexibility for north (including the American River watershed) and south of delta, new supplies for incremental level 4 refuges, and improvements for migrating fish in north of delta rivers and streams. Assuming this determination remains, Sites would be qualified to receive a portion of WIIN Act construction funds which under beneficiary pays would require operating and storage capacity obligations that would be covered under future agreements. Conservatively, the VP7 plan of finance does not include WIIN funds but could accommodate federal participation should an agreement be reached.

There are fairly significant differences between the draft 2020 FFFR and VP7 (the Authority's preferred alternative). The 1.5MAF reservoir size in VP7 is not specifically analyzed and the Delevan Pipeline is not eliminated. The range of reservoir sizes encompasses the 1.5MAF in VP7 and the report indicates that other conveyance mechanisms would be feasible. It was not possible to address these discrepancies and still meet WIIN Act deadlines. The Authority is preparing proactive communications on these issues. The draft 2020 FFFR commits to a post report analysis in spring 2021 to coincide with the Authority's engineering advancement of VP7. Feasibility and federal interest are not expected to change materially.

The draft 2020 FFFR is supportive of meeting the State's WSIP feasibility requirements. The project is found to feasible in all areas of analysis; economic, financial, technical and environmental. The State's requirements align with these same areas, although the State determination will be looking to confirm its investment in the project which is different than potential federal investment. A benefit cost ratio of 1.1 is determined using the rigorous federal standards. A BCR must exceed 1.0 to qualify for federal investment and the many factors are considered among the storage projects competing for WIIN Act funds.

The draft 2020 FFFR puts Sites on track to meet WIIN deadlines and qualify for federal funding. Two key WIIN criteria are a determination of feasibility by December 2020, and initiation of construction by 2022, although what it means to be in construction has not yet been specified. The draft 2020 FFFR is going through policy review currently and will be sent to Washington DC in July where it will undergo numerous reviews including by OMB and finally reaching the Secretary of Interior who must submit the final determination to Congress. Authority Staff is on point for keeping our delegation informed and will work cooperatively and expeditiously to address questions or concerns that arise through the review process. The Authority delegation will keep abreast of the report as well. Any significant updates will be brought back to the Reservoir Committee and Authority Board.

Prior Reservoir Committee Action:

April 2020 – The organizational assessment response matrix identified two items being addressed by this report – 1) Create a strategy to have Reclamation to the table to describe what the local investment will do for their contracts. 2) Establish a strategy to get state and federal agencies to be storage investors.

Fiscal Impact/Funding Source:

Federal funds have paid 100% of the cost of the draft 2020 FFFR. A portion of the 2019 $6M Appropriation to the Sites project will pay the remaining costs plus the cost of the post report analysis. The federal expenditures count as non-State match toward WSIP early funding.

Staff Contact:

Jerry Brown

Attachments:

None.

Sites

Topic: **Authority Board Agenda Item 3.3** **2020 June 24**

Subject: **Proposed Objectives and Alternatives for the Revised EIR/EIS**

Requested Action:

Review and comment on the objectives and alternatives for the Revised Environmental Impact Report / Environmental Impact Statement (EIR/EIS) to focus efforts in developing a more complete project description on schedule.

Detailed Description/Background:

Staff has begun work on preparation of the revised and recirculated Draft EIR (Revised EIR). As part of this effort, the project objectives and action alternatives are being revised based on the Value Planning Report for the Revised EIR/EIS as described in more detail below and attached.

It is important that the Reservoir Committee and Authority Board review and comment on these recommended revisions at this early stage so staff and the consultant team can focus our efforts on development of more complete descriptions of these alternatives for the Revised EIR/EIS, permitting effort, and feasibility design currently underway. Staff anticipates returning to the Reservoir Committee and Authority Board in September with the Revised EIR/EIS project description, including any changes to the objectives based on initial analysis, a more complete description of the action alternatives, along with a recommendation for a Preferred Project. Staff will be seeking Reservoir Committee and Authority Board approval of this Revised EIR/EIS project description and the recommended Preferred Project in September.

Section 15124(b) of the California Environmental Quality Act (CEQA) Guidelines requires that a project description contain a clear statement of the project objectives including the underlying purpose of the project. Revisions to the Project objectives are needed from those contained in the 2017 Draft EIR/EIS to: (1) better reflect the goals of the Reservoir Committee and Authority Board as reflected in the Value Planning Report along with Sites Project messaging and informational materials adopted by the Authority Board in April 2020; and (2) add additional clarity and specificity on what the Project is focused on achieving to improve understanding of the foundational components that lead to formulation of Project alternatives. The revised objectives are being reviewed by the Ad Hoc Environmental Planning and Permitting Work Group at their June 18 meeting and the outcome of this review, including any changes will be reported at the Reservoir Committee meeting.

The CEQA Guidelines require that an EIR analyze a reasonable range of alternatives to the project which would feasibly attain most of the basic objectives of the project but would avoid or substantially lessen any of the significant effects of the project. Staff is recommending revisions to the Project

Draft_0028869

action alternatives from those contained in the 2017 Draft EIR/EIS to better align with the Value Planning Report and the alternatives contained therein. Two action alternatives are being recommended that combine components of VP5, VP6, and VP7 from the Value Planning Report to simplify the EIR and streamline its preparation and review by the public. The recommended revised action alternatives are being reviewed by the Ad Hoc Environmental Planning and Permitting Work Group at their June 18 meeting and the outcome of this review, including any changes will be reported at the Reservoir Committee meeting.

The recommended revisions to the project objectives and the action alternatives are within the scope allowed by CEQA to complete a Revised EIR and do not trigger any new process. Staff is working with Legal Counsel on the recommended revisions and Legal Counsel will continue to be involved in the development of the project description and Revised EIR.

Staff is coordinating the Revised EIR with similar changes Reclamation is or will be making to the EIS document.

Prior Action:

April 2020 Board Meeting - The Authority Board approved the Sites Project Value Planning Alternatives Appraisal Report (Value Planning Report) and the recommendations contained in that Report. The Value Planning Report included substantial changes in the recommended Project, VP7, as compared to Alternative D in the 2017 Draft EIR/EIS. At the same meeting, the Authority Board directed staff to begin preparation of a Revised EIR to analyze the environmental effects of the options identified in the Value Planning Report.

Fiscal Impact/Funding Source:

Development of the recommended project objectives and action alternatives is within the Amendment 1B work plan currently and sufficient funds are available to complete the project description. Completing the Revised EIR is part of the Amendment 2 work plan

Staff Contact:

Ali Forsythe

Attachments:

Attachment A - Recommended Revised EIR Objectives.
Attachment B - Recommended Revised EIR/EIS Alternatives.

Sites Reservoir Project
Preliminary Revised CEQA Objectives
June 12, 2020

- Improve environmental, agricultural, and municipal water supply reliability in a cost-effective manner for Project Participants;
- Improve cold water pool management in Shasta Reservoir through coordination and exchanges with Reclamation to benefit anadromous fish;
- Enhance the Delta ecosystem by providing water to convey food resources from the floodplain to the Delta thereby improving the food chain and quality of the Delta's estuarine habitat for the benefit of pelagic fishes[1] in the north Delta (e.g. Cache Slough);
- Provide improvements in state-wide water supply reliability to enhance opportunities for fish protection, habitat management, and other environmental needs; and
- Provide local and regional amenities, including developing recreational facilities, reducing local flood damage, and maintaining community connectivity through roadway modifications.

[1] Pelagic fish are species that spend most of their life swimming in the water column, having little contact or dependency with the bottom.

Sites Reservoir Project
Preliminary Revised Draft EIR/EIS Alternatives
June 12, 2020

Facilities/Operations	Action Alternative 1 (Derived from VP7)	Action Alternative 2 (Includes Parts of VP5 and VP6)
Diversion/Reservoir Infrastructure Details		
Reservoir Size	1.5 MAF	1.3 MAF
Dams [Scaled to the size of the reservoir]	Two main dams (Golden Gate and Sites) and 8 or 9 saddle dams (to be determined in design)	Two main dams and 7 saddle dams
Spillway	One spillway, design and location to be determined	Similar to Alternative 1*
Funks Reservoir and Funks Pumping Generating Plant	Pumping from the existing Funks Reservoir to Sites Reservoir through new Funks Pumping and Generating Plant and new Funks pipeline; operation will be modified and Funks Reservoir may be dredged. Footprint as described in Value Planning Report.	Similar to Alternative 1
Terminal Regulating Reservoir (TRR); TRR Pumping Generating Plant; TRR Pipeline	Pumping from the GCID Main Canal to Sites Reservoir requires construction of the TRR facilities; footprint as described in Value Planning Report	Same as Alternative 1
Holthouse Reservoir/Fletcher	Eliminated facilities from 2017 Draft EIR/EIS and pumpback generation	Same as Alternative 1
Hydropower	Power generation incidental upon release	Same as Alternative 1
Diversion(s)	Diversion from Sacramento River into exiting Tehama-Colusa Canal at Red Bluff and the existing GCID Main Canal at Hamilton City Adding 2 pumps in existing bays at the plant at Red Bluff	Same as Alternative 1
Emergency Release Flow [Function of the size of the reservoir]	1. Releases into Funks and Stone Corral creeks; and 2. Emergency outflow works pipeline and structure to release north to Hunters Creek Watershed	Similar to Alternative 1*

Sites Reservoir Project
Preliminary Revised Draft EIR/EIS Alternatives
June 12, 2020

Facilities/Operations	Action Alternative 1 (Derived from VP7)	Action Alternative 2 (Includes Parts of VP5 and VP6)
Flood Control	Flood damage reduction benefit for local watersheds from reservoir storage	Same as Alternative 1
Reservoir Management	Reservoir Management Plan (To be developed)	Same as Alternative 1
Electrical Facilities	Transmission Lines, Substations, Switchyards; Interconnection with WAPA or PG&E	Same as Alternative 1
Operations		
One Operational Criteria	Option based on Value Planning Report Table 3.1 Scenario B but anticipated to be modified by future modeling efforts	Same as Alternative 1
Reclamation Involvement	Two Options: 1. Funding Partner 2. Operational Exchanges a. Within Year Exchanges b. Real-time Exchanges	Same as Alternative 1
State Water Project (SWP) Involvement	Operational Exchanges with Oroville and storage in SWP facilities South-of-Delta	Same as Alternative 1
Bypass Releases		
Bypass Releases into Funks Creek and Stone Corral Creek	Develop specific bypass criteria to protect downstream water right holders and ecological function	Same as Alternative 1
Conveyance Release		
Dunnigan Release	Release 1,000 cfs into new pipeline to Colusa Basin Drain	Release into new pipeline to Sacramento River, partial release into the Colusa Basin Drain to fulfill the Proposition 1 needs

Sites Reservoir Project
Preliminary Revised Draft EIR/EIS Alternatives
June 12, 2020

Facilities/Operations	Action Alternative 1 (Derived from VP7)	Action Alternative 2 (Includes Parts of VP5 and VP6)
Recreation		
Facilities Consistent with WSIP Application	Phased implementation consistent with WSIP application. Two primary areas with infrastructure: 1. Peninsula Hills Area 2. Stone Corral Creek One day-use boat ramp w/parking located on the west side of the reservoir and south of the bridge	Same as Alternative 1
Transportation/Circulation		
Provide Route to West Side of Reservoir	Bridge and road to residents at the south end of reservoir (does not go to Lodoga)	Road to south residents continues to Lodoga; no bridge
Other Maintenance and Access Roads	Sites Reservoir Road Modifications Plan – In development	Similar to Alternative 1*

* May have minor differences due to reservoir size

Sites

Topic: **Authority Board Agenda Item 3.4** **2020 June 24**

Subject: **Approach for Discussions with the California Department of Water Resources**

Requested Action:

Review and comment on the approach for discussions with the California Department of Water Resources (DWR) on a Sites Coordinated Operations Agreement (Sites COA).

Detailed Description/Background:

Staff is reinitiating technical discussions with DWR regarding a Sites COA. The Sites COA is expected to address the following key items:

1. Coordination of Sites water deliveries with DWR's operation of the State Water Project.
2. Sites water accounting in the context of the Coordinated Operations Agreement for the Central Valley Project (CVP) and State Water Project (SWP).
3. Conveyance and operational losses.
4. Exchanges and transfers from Sites-participants to non-Sites SWP contractors.
5. Water rights and point of delivery considerations.

Key items may be modified, and additional items may be added as discussions progress.

Discussions have been technical in nature to date, focusing on technical analyses that would benefit both Sites and DWR in better understanding the key items above. Eventually, the discussions will involve negotiations of agreement terms.

Staff and DWR team members have developed the attached schedule of key activities. Principles of agreement on the key items identified above are scheduled for completion in June 2021. Completion of a full agreement would progress after the principles of agreement, but execution may need to wait until the Project California Environmental Quality Act process is completed in spring 2022. The schedule identifies key points for check-ins with DWR's Director and Sites leadership to ensure that activities are progressing, and issues are being addressed in a timely manner.

Staff has been and will continue coordinating our efforts on a COA with the Sites participants that are also SWP contractors. There are several SWP contract matters that will need to be resolved between this group and DWR concurrent

Draft_0028875

with the development of the Sites COA. WE do not anticipate any conflicts, but It is important that the RC members be kept apprised of these side by side and ongoing discussions.

Prior Action:

None.

Fiscal Impact/Funding Source:

The technical work and negotiations efforts are included in the Amendment 1B and 2 work plans.

Staff Contact:

Ali Forsythe

Attachments:

Attachment A - Sites / DWR Term Sheet Development Schedule.

Sites Reservoir Project Schedule

Milestone	Scheduled Date
For EIR Analysis • Establish modeled Release and Diversion Criteria • Define Project Baseline and Alternatives	June 2020
Perform Operations Analysis	Jun 2020-Nov 2020
Re-analyze Public Benefits	Nov 2020- Apr 2021
Complete USBR Final Federal Feasibility Report	Dec 2020
Complete Negotiation of Key Terms for Coordinated Operations with CVP and SWP	June 2021
Submit State Prop 1 Feasibility Report	July2021
Release Recirculated Draft EIR for 60-day public review	July 2021
Complete Plan of Finance and Allocation of Benefits and Costs	Aug 2021
Receive confirmation of local agency participation for Prop 1	Oct 2021
CWC determination of Prop 1 Construction Funds Eligibility	Dec 2021
Submit Water Rights Application to SWRCB	Jan 2022
Issue Final EIR	Mar 2022

DWR/Sites Term Sheet Development Schedule

(Jointly involve Ted Craddock and DWR Legal (David Sandino) as we go, no surprises)

Milestone	Date
Meet with Sites/SWP ktrs after Reservoir Cmte to outline approach	June 18, 2020
Meet to Review Operations Options with DWR/ Sites team/Sites SWP ktrs - Project water (DWR has to own, operate, maintain an asset, can be a service contract) - Operational exchange (similar to arrangement with CVP) - Article 55 (lowest pumping priority)	July 15, 2020 (date tdb)
DWR Director Check-In	**Aug 2020**
Conduct Operations Analysis to prove: - Can water be delivered - No harm - SWP flexibility - Sites can be on Statement of charges (tbd – who performs modeling)	Aug-Nov 2020 (4 months)
DWR Director Check-in	**Nov 2020**
Determine final coordinated operations model results	Nov 2020
Establish initial term sheet reflecting final model results and initiate negotiation of commercial terms	Dec 2020
DWR Director Check-in	**Mar 2021**
Final COA term sheet complete	June 2021

version date: May 15, 2020

Sites

Topic: **Authority Board Agenda Item 3.5** **2020 June 24**

Subject: **Colusa Basin Drain & Dunnigan Pipeline Alignment**

Requested Action:

Receive status update on the Colusa Basin Drain (CBD) evaluation and Dunnigan Pipeline (DP) alignment review.

Detailed Description/Background:

The use of the CBD and DP as the main conveyance for releases to the Sacramento River is a new configuration of the project as a result of the Value Planning. Good progress has been made on further evaluating the feasibility of these project elements.

The Dunnigan Pipeline alignment analysis is progressing well. No fatal flaws have been identified to date. The consultant team is currently refining the alignment to reduce impacts to properties and landowner operations, while minimizing environmental impacts to Bird Creek. Initial outreach and coordination have occurred with landowners and the land use authorities. So far, there have been significant issues identified from these groups.

An important hydraulic study of the CBD has been initiated. This analysis will confirm whether releases into the CBD are viable. The team is optimistic that conveyance concerns and potential impacts to adjacent properties can be avoided or mitigated. We will be able to determine go/no-go on releases to the CBD by August 2020. If use of the CBD is found to be infeasible, an alternative extending the Dunnigan Pipeline alignment to the river still exists. This extension will have additional environmental and real estate impacts but is considered a feasible alternative.

Prior Action:

None.

Fiscal Impact/Funding Source:

The feasibility analysis is being done within the Amendment 1B work plan. Adjustments to the work plan were necessary to accomplish the hydraulic modeling which is estimated to cost approximately $39,000.

Staff Contact:

Henry Luu

Attachments:

None.

Draft_0028878

Sites

Topic: **Authority Board Agenda Item 3.6** **2020 June 24**

Subject: **Regulatory Agency Technical Working Group**

Requested Action:

Receive status update on the approach for Regulatory Agency Technical Working Group.

Detailed Description/Background:

Staff have reinitiated efforts on the development and submittal of key permits for the Sites Project as reflected in the Amendment 1B Work Plan. As part of this effort, the team has developed an approach to facilitate regular communication and coordination with state, federal and local agencies with jurisdiction over all or portions of the Project. The attached, *Regulatory Agency Technical Working Groups Approach* document, details this effort. This approach is also in response to Action 2.4 in the November 2019 Organizational Assessment. The activities of the regulatory coordination will be reported to the Environmental Planning and Permitting Workgroup.

Prior Action:

None.

Fiscal Impact/Funding Source:

Conducting regulatory coordination is within the scope of work for the Amendment 1B and 2 work plans.

Staff Contact:

Ali Forsythe

Attachments:

Attachment A - Regulatory Agency Technical Working Groups Approach.

Regulatory Agency Technical Working Groups Approach

The following two technical working groups will be established to facilitate regular communication and coordination with state, federal and local agencies during the permitting efforts for the Sites Reservoir Project. These groups are expected to be in place thru Amendment 2 efforts (e.g., the end of 2021) and likely into Phase 3 efforts (post 2021). Additional technical working groups may be added as permitting activities progress depending on need. It is important to note that these groups are not intended to replace or supersede focused discussions and meetings with specific agencies, but rather facilitate a common understanding and basis of Project-wide and Fisheries/Operations knowledge and dialogue. These would be staff and consultant run meetings. Reservoir Committee and Authority Board members could attend to observe if desired. Results of the meetings will be reported out to the appropriate Reservoir Committee and Authority Board workgroups.

Group 1: Interagency General Update and Coordination Meetings

- **Purpose**: Quarterly meetings to efficiently update and coordinate with all and state, federal and local regulatory and/or partnering agencies. Items to be include in the meeting agenda would include general updates on project status, design efforts, status of permit development, status of working thru any key considerations that may affect all permits and upcoming items. Attendees would also be asked to update on permit processing status (if a permit application has been submitted and permit development is in process), update on any changes in their organizations, law or regulation that may affect Sites and identify any information needs or concerns they may have. Specific items, information requests or concerns would be identified, and a process developed for resolution with the relevant agencies and the Sites team.

- **Potential Attendees**: This meeting would be open to any agency that had an interest. Potential attendees would be broad and could include the following:
  - United States Fish and Wildlife Service (USFWS)
  - Bureau of Reclamation (Reclamation)
  - Bureau of Indian Affairs (BIA)
  - National Marine Fisheries Service (NMFS)
  - Natural Resources Conservation Service (NRCS)
  - United States Army Corps of Engineers (USACE)
  - United States Environmental Protection Agency (USEPA)
  - Western Area Power Administration (WAPA)
  - California Department of Fish and Wildlife (CDFW)
  - California Department of Transportation (Caltrans)

- California Department of Water Resources (DWR)
- California Office of Historic Preservation - State Historic Preservation Officer (SHPO)
- California State Water Resources Control Board
- Central Valley Regional Water Quality Control Board
- Central Valley Flood Protection Board
- County of Colusa
- County of Glenn
- County of Yolo

Group 2: Fishery and Operations Technical Meetings

- **Purpose**: Focused, as needed, fishery and operations meetings to review and discuss the modeling approach, analysis approach, operational criteria and the resulting effects to species of the Project. These meetings are intended to stimulate collaboration and early input into the fisheries and operational components and analysis and are anticipated to include technical, detailed discussion of topics.

- **Potential Attendees**: Potential attendees could include the following:
  - United States Fish and Wildlife Service (USFWS)
  - Bureau of Reclamation (Reclamation)
  - National Marine Fisheries Service (NMFS)
  - California Department of Fish and Wildlife (CDFW)
  - Additional agencies, depending on topics and authority

Topic: **Sites Reservoir Project, Phase 2**

Subject: **Monthly Status Report** Report Period: **2020 May**

Monthly Status Summary:

The development of the Sites Project continues to make progress in the critical areas including the following activities:

WSIP Funding:

- A six-agency letter was submitted to the CWC requesting consideration of modifications to the Prop 1 WSIP implementation in light of COVID economic impacts. Commission Staff will reach out to each project to explore options for addressing cash flow concerns.

WIIN Act Funding:

- See "Coordination with Reclamation" section below.

USDA Funding:

- No update.

Engineering:

- Initiated feasibility analysis and design of project alternatives.
- Coordinated data needs to support preparation of the Project Description.

Coordination with Reclamation:

- Continued to work closely with Reclamation to provide the information needed to enter into a Financial Assistance Agreement for WIIN Act funding. Discussed the potential scope for $1 million of in-kind work.
- Received and reviewed Reclamation's final draft Feasibility Report. Provided comments related to integrating the Feasibility Study with the Value Planning Report and recommended Project.
- Continued to work with Reclamation planning and environmental teams on the National Environmental Policy Act approach, confirming the preparation of a Revised Environmental Impact Statement (EIS).

Environmental Planning and Permitting:

- Continued development of the project description for use in the Revised Environmental Impact Report (EIR) and the Biological Assessment.
- Continued to refine the EIR/EIS Work Plan submitted by ICF to identify technical work (environmental, operations and engineering) that will be needed to support the analysis performed for the Revised Draft EIR/EIS under Amendment 2.
- Updating CEQA project objectives to support alternatives selected for Revised

Draft EIR/EIS analysis.

- Produced memorandum on Place of Use for the Project's water right application.
- Developed approach to permit and agreement negotiations for Environmental Planning, Permitting and Operations.
- Developed approach for Regulatory Agency Technical Working Group.
- Established data exchange, water quality and geotechnical small technical working groups to facilitate development of those project components for use in the Revised EIR/EIS, Biological Assessment and Sites Feasibility Report.
- Continued technical discussions and planning activities for state and federal ESA and Clean Water Act permitting.
- Conducted meeting with CDFW Department Director regarding the value planning and "rightsized" project. There will be follow-up with CDFW staff next month to determine a coordinated schedule for the permitting work.

Operations:

- Developed negotiations approach for working on operational agreements with the Department of Water Resources and the Bureau of Reclamation.
- Reviewed options for the modeling baseline and developed a technical memorandum outlining pros and cons of various baselines as well a recommendation for review with the Ad Hoc Operations and Engineering Workgroup.
- Progressed the development of operations criteria that will be built into the models for use in the Revised EIR/EIS and Biological Assessment. Criteria in development include the modeling of Shasta exchanges, diversion criteria, water demand, and coordination with the State Water Project.

Stakeholder Engagement, Public Outreach & Real Estate:

- Conducted a "virtual" Capitol Hill Legislative Day to brief federal elected officials, legislative staff, and committee staff on the new "rightsized" project and the project's near-term activities.
- Continued engagement in outreach efforts with landowners, local community members, state and federal elected officials, non-governmental organizations and coalitions of regional and statewide organizations to introduce the Value Planning Report and the new "rightsized" project.
- Responded to landowner requests for project information, facilitated coordination activities with local government agencies and organizations and planned for future landowner, stakeholders and general public outreach activities and events.

Program Management & Administration:

- Developed Amendment 2 Task Order templates and provided guidance to service area providers.
- Developed a two-step cash call process and presented priority system at Reservoir Committee and Authority Board Meetings.

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JERRY BROWN, EXECUTIVE DIRECTOR
925.260.7417

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members *(NON-VOTING)*

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

Notice: Pursuant to Executive Orders N-25-20 and N-33-20, issued by Governor Newsom on March 12, 2020, and guidance by the California Department of Public Health dated March 11, 2020, this meeting will be conducted by teleconference. The public may attend the meeting and offer public comments by phone, using the call-in number above. Members of the Committee will participate by teleconference from other locations. In addition, the public and members of the Committee may, at their discretion, attend the meeting at the Sites Project Authority's Maxwell Office located at 122 Old Highway 99 West, Maxwell, CA 95955. However, it is requested that everyone wear facial coverings and the room will be arranged to achieve physical distancing standards, and capacity at this site is therefore limited and will not be sufficient to accommodate more than a few members of the public.

July 22, 2020 ***1:30 p.m.***
Sites Project Authority
Agenda

Teleconference: **1-408-418-9388** Code: **146 087 0032** WebEx Link

Welcome to a meeting of the Sites Joint Powers Authority. If you are scheduled to address the Board, please state your full name for the record. Regularly numbered items may be considered at any time during the meeting. All items are listed in accordance with the Ralph M. Brown Act. We invite all members of the public to attend.

ROLL CALL & CALL TO ORDER:

- Introductions.
- Pledge of Allegiance.
- Approval of July 22, 2020 Agenda.
- Announcement of Closed Session.
- Period for Public Comment.

1. Consent Agenda: Approximate start time 1:40 pm

The following items have been reviewed by the Executive Director. To his knowledge, there is no opposition to the action. The items can be acted on in one consolidated motion as recommended or may be removed from the Consent Calendar and separately considered at the request of any person.

1.1 Consider approval of the June 24, 2020 Phase 2 Authority Board Meeting Minutes.

1.2 Consider acceptance of the Sites Project Authority Treasurer's Report as presented in Attachment 1.2A. **(Attachment A)**

1.3 Consider approval of the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B. **(Attachments A, B & C)**

1.4 Consider approval of Resolution No. 2020-03, providing all of the duties, authorities and responsibilities to the Authority's Executive Director that were previously delegated to the Authority's General Manager. **(Attachment A)**

1.5 Consider approval of the revised changes to the Authority Consulting Services Agreement. **(Attachment A)**

1.6 Consider approval of the Coordination, Legislative Outreach, Land Management and Joint Budget and Finance Workgroups Charter Document updates. **(Attachment A & B)**

1.7 Consider approval of an amendment to Brown and Caldwell's (Project Controls) contract and task order scope of work and budget. **(Attachment A)**

2. Action Items: Approximate start time 1:45 pm

2.1 Consider acceptance the Financial Auditor's Report. **(Attachments A & B)**

2.2 Consider approval of release of a Request for Qualifications to initiate the procurement of legal services to support the acquisition of the water rights permits and approvals needed to support the project. **(Attachment A)**

3. Discussion and Information Items: Approximate start time 2:15 pm

3.1 Review and comment on the conditionally approved August 2019 Water Storage Policy and consideration of updates to align with Value Planning. Recognize the storage policy serves as the foundational document to 2021 key milestones of creating a Plan of Finance and Operations Plan and refer consideration of updates to the ad hoc Engineering and Operations Workgroup. **(Attachment A)**

3.2 Review and comment on the approach being taken for the modeling baseline for the EIR/EIS analysis.

3.3 Review and comment on the Credit Reimbursement Policy Revisions. **(Attachments A & B)**

3.4 Receive status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation. **(Attachment A)**

3.5 Review and comment on the July 2020 Quarterly Update of the plan and schedule for addressing the Organizational Assessment findings and recommendations. **(Attachment A)**

3.6 Review and comment on the Strategic Planning Session Draft Agenda. **(Attachment A)**

4. Reports: Approximate start time 3:00 pm

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

4.1.2 Committee Chairpersons' Report:

This time is set aside to allow the Committee Chairpersons' an opportunity to disclose/discuss items related to the Sites Project.

4.1.3 Authority Board Participant Reports:

This time is set aside to allow Directors or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

4.2 Executive Director's Report:

- Sites Project's monthly status report. **(Attachment 4-2 A)**
- Reservoir Committee update.

5. Closed Session

5.1 Executive Director's Three-Month Performance Review (Govt. Code§ 54954.5(e)).

6. Future Meetings and Schedules: Approximate start time 3:40 pm

6.1 Suggested Future Agenda Items.

6.2 Upcoming meetings:

STRATEGIC PLANNING SESSION

AUGUST 13, 2020
TBD
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

RESERVOIR COMMITTEE

FRIDAY, AUGUST 21, 2020 9:00 AM
Sites Project Office, 122 Old Highway 99W Maxwell, CA 95955
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

AUTHORITY BOARD

WEDNESDAY, AUGUST 26, 2020 1:30PM
Sites Project Office
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

ADJOURN

PERIOD OF PUBLIC COMMENT: Any person may speak about any subject of concern, provided it is within the jurisdiction of the Directors and is not already on today's agenda. The total amount of time allotted for receiving such public communication shall be limited to a total of 15 minutes per issue and each individual or group will be limited to no more than 5 minutes each within the 15 minutes allocated per issue. ***Note:*** *No action shall be taken on comments made under this comment period.*

ADA COMPLIANCE: Upon request, agendas will be made available in alternative formats to accommodate persons with disabilities. In addition, any person with a disability who requires a modification or accommodation to participate or attend this meeting may request necessary accommodation. Please make your request to the Board Clerk, specifying your disability, the format in which you would like to receive this Agenda and any other accommodation required no later than 24 hours prior to the start of the meeting.

All supporting documentation is available for public inspection and review in the Sites Project Authority office located at 122 Old Highway 99 West Maxwell, CA 95955 during regular business hours 8:30 a.m. to 5:00 p.m., Monday through Friday.

SITES PROJECT AUTHORITY
P.O. BOX 517
122 OLD HIGHWAY 99 WEST
MAXWELL, CALIFORNIA 95955
www.SitesProject.org

JERRY BROWN, EXECUTIVE DIRECTOR
925.260.7417

YOLANDA TIRADO, CLERK
530.438.2309
Boardclerk@SitesProject.org

Board of Directors

FRITZ DURST, RECLAMATION DISTRICT 108, CHAIR
JEFF SUTTON, TEHAMA-COLUSA CANAL AUTHORITY, VICE-CHAIR
GARY EVANS, COLUSA COUNTY SUPERVISOR
LEIGH MCDANIEL, GLENN COUNTY SUPERVISOR
LOGAN DENNIS, GLENN-COLUSA IRRIGATION DISTRICT
BRUCE HOUDESHELDT, PLACER COUNTY WATER AGENCY/CITY OF ROSEVILLE
DOUG PARKER, WESTSIDE WATER DISTRICT
JOE MARSH, COLUSA COUNTY WATER DISTRICT
JEFF HARRIS, CITY OF SACRAMENTO/SACRAMENTO COUNTY WATER AGENCY
DON BADER, BUREAU OF RECLAMATION *(COST-SHARE PARTNER, NON-VOTING)*
ROB COOKE, CA DEPARTMENT OF WATER RESOURCES *(EX-OFFICIO, NON-VOTING)*

Associate Members ***(NON-VOTING)***

GREG JOHNSON, WESTERN CANAL WATER DISTRICT
JAMIE TRAYNHAM, TC 4 DISTRICTS

June 24, 2020 ***1:30 p.m.***
Sites Project Authority
Minutes

CALL TO ORDER & ROLL CALL:

Chairman Durst called the Sites Project Authority Board of Directors meeting to order at the hour of 1:30 p.m., followed by the Pledge of Allegiance.

INTRODUCTIONS:

Chairman Durst asked those present to introduce themselves. (**Attachment A**)

AGENDA APPROVAL:

It was moved by Director Dennis, seconded by Director Parker to approve the June 24, 2020 Sites Project Authority Agenda. Motion carried: All Directors present voted yes.

PERIOD OF PUBLIC COMMENT:

Chairman Durst called for public comment. Hearing none, he closed the period of public comment.

1. **CONSENT AGENDA:** It was moved by Director Harris, seconded by Director Dennis to approve Consent Agenda Item Numbers 1.1 through 1.3 as follows:

1.1 Approval of the May 21, 2020 Phase 2 Reservoir Committee Meeting Minutes.

1.2 Acceptance of the Sites Project Authority Treasurer's Report as presented in Attachment 1.2A.

1.3 Approval of the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B.

Motion carried: All Directors present voted yes.

2. Action Items:

2.1 Consider acceptance of the 2020-2021 Sites Project State Agency Legislative Activity Priorities.

Mr. Spesert provided an overview of the 2020-2021 Sites Project State Agency Legislative Activities Priorities, stating these priorities would be the Committee's and Team's focus through December 2021. He added any adjustments/refinements would be presented to the Reservoir Committee and Authority Board prior to being incorporated, with updates as needed. Brief discussion followed.

Action: It moved by Director Evans, seconded by Director Harris to accept the 2020-2021 Sites Project State Agency Legislative Activity Priorities. Motion carried: All Directors present voted yes.

2.2 Consider approval of the Authority's negotiation approach for permits and operating agreements.

Ms. Forsythe provided an overview of the Authority's negotiation approach for permits and operating agreements with state and federal agencies.

Chairman Durst stated the Executive Director would be given the authority as the lead in these negotiations, with two teams working under him, the Workgroup which would deal with technical issues and the Negotiating Team who would evolve some of the principles. Brief discussion followed.

Action: It was moved by Director Sutton, seconded by Director Dennis to approve the Authority's negotiation approach for permits and operating agreements. Motion carried: All Directors present voted yes.

3. Discussion and Information Items:

3.1 Receive status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation. **(Attachment A, B & C)**

Mr. Robinette provided an update regarding the following:

- Preliminary Participation Levels.
- Outreach and Rebalancing.
- Schedule.
- Cash Call Timing.
- Process for a participant securing a position in the participation queue and steps involved.

Mr. Robinette also spoke to a request from Colusa County regarding an alternate payment plan based on their unique situation. He stated the alternate payment plan proposed by the County was analyzed by the Project team and the Team determined that it was prudent and reasonable to accept the alternate payment plan and made a finding of equivalency for the following reasons:

- The same total dollars are to be paid and there is no lesser commitment as compared to any of the other participating members.
- The timing of the alternate payments is such that on a cash flow basis there is no redirected impacts on other participating members.
- The administrative burden of the alternate plan is offset by the proposed additional year of rent relief for the Authority's use of the Maxwell office building which is owned by the County.

Discussion followed with no action taken.

3.2 Receive status update on draft 2020 Final Federal Feasibility Report.

Mr. Brown provided an update on the draft 2020 Final Federal Feasibility (FFFR) Report, stating the approved 2020-21 Sites Federal Government Affairs Priorities includes completion of the FFFR to qualify for federal funding and serves as a basis for the State's feasibility analysis required for WSIP funding. Mr. Brown spoke to the following:

- Potential benefits for north and south of delta, new supplies for incremental level 4 refuges and improvement for migrating fish in north of delta rivers and streams.
- WIIN Act construction funds.
- Differences between the draft 2020 FFFR and VP7 (the Authority's preferred alternative).
- "Right sized" Project.
- WIIN Act deadlines.
- Benefit cost ratio.
- Key WIIN Act criteria (determination of feasibility by December 2020 and initiation of construction by 2022.
- Feasibility Report Review Process.

Mr. Brown stated the draft 2020 FFR is currently going through policy review and will be sent to Washington DC in July where it will undergo numerous reviews by OMB and the Secretary of Interior who will then submit the final determination to Congress. Discussion followed with no action taken.

3.3 Review and Comment on the objectives and alternatives for the Revised Environmental Impact Report/Environmental Impact Statement to focus efforts in developing a more complete project description on schedule. **(Attachment A & B)**

Ms. Forsythe provided an overview on the objectives and alternatives for the Revised Environmental Impact Report/Environmental Impact Statement. She spoke to the following:

Overview:

- Work on the preparation of the Revised EIR/EIS, updates to the Biological Assessment and design efforts.
- Key first step is the development of a Revised Project Description:
  - Serves as the foundation of the Revised EIR/EIS.
  - Preferred Project is foundation of the Biological Assessment and Sites Feasibility Report.
- Soliciting early input to reduce rework and maintain schedule.

Building Block to September Decision:

- Environmental Planning and Permitting Key Milestones:
  - September, RC and AB to review and approve.
    - More complete project description.
    - Recommendation on Preferred Project.
- Necessity of staying on schedule.

Objectives – Background:

- CEQA Guidelines – require that a project description contain a clear statement of the project objectives, including the underlying purpose of the Project.
- Staff proposing revisions to objectives to:
  - Better reflect goals of Reservoir Committee and Authority Board as described in the Value Planning Report and messaging documents.
  - Add clarity and specificity to improve understanding of the foundation of the Project.
- Improve environmental, agricultural and municipal water supply reliability in a cost-effective manner for Project Participants.
- Improve cold water pool management in Shasta Reservoir through coordination and exchanges with Reclamation to benefit anadromous fish.
- Enhance the Delta ecosystem by providing water to convey food resources from the floodplain to the Delta thereby improving the food chain and quality of the Delta's estuarine habitat for the benefit of pelagic fishes in the north Delta (e.g. Cache Slough.)

- Provide improvements in state-wide water supply reliability to enhance opportunities for fish protection, habitat management and other environmental needs.
- Provide local and regional amenities, including development of recreational facilities, reduction of local flood damage and maintaining community connectivity through roadway modifications.

Alternatives – Background:

- CEQA Guidelines require that an EIR analyze a reasonable range of alternatives that would:
  - Satisfy and attain most of the basic objectives of the project.
  - Avoid or substantially lessen any of the significant effects of the project.
- Staff proposing revisions to alternatives to better align with Value Planning Report.
- Alternatives – Key Components and Differences of the Facilities/Operations, Action Alternative 1 (Derived from VP7 and Action Alternative 2 (Includes Parts of VP5 and VP6).
- Next Steps:
  - Continue development and refinement of more complete project description, including:
    - Objectives.
    - Action Alternatives.
    - No Action Alternatives.
    - Recommended Preferred Project.
- Return to Reservoir Committee and Authority Board in September with:
  - More complete project description.
  - Recommend Preferred Project for the purpose of the CEQA analysis.
- Coordinate with Reclamation and Sites legal counsel for input.

Discussion followed regarding Alternative 1 and Alternative (Conveyance Release/Dunnigan Release and Route to West side of Reservoir) with no action taken.

3.4 Review and comment on the approach for discussions with the California Department of Water Resources on a Sites Coordinated Operations Agreement. **(Attachment A)**

Mr. Brown stated Staff is reinitiating technical discussion with DWR on a Sites Coordinated Operations Agreement (COA). He stated Sites COA would address the following key items:

1. Coordination of Sites water deliveries with DWR's operation of the State Water Project.
2. Sites water accounting in the context of the COA) for CVP and SWP.
3. Conveyance and operational losses.
4. Exchanges and transfers from Sites-participants to non-Sites SWP contractors.
5. Water rights and point of delivery considerations.

Brief discussion followed with no action taken.

3.5 Receive status update on the Colusa Basin Drain (CBD) evaluation and Dunnigan Pipeline alignment review.

Mr. Luu provided an update on the CBD evaluation and the Dunnigan Pipeline alignment. He stated the Dunnigan Pipeline alignment analysis is progressing well, with no fatal flaws having been identified to date. He stated further the consultant team is refining the alignment to reduce impacts to properties and landowner operations as well as minimizing environmental impacts to Bird Creek. He further stated a hydraulic study of the CBD has been initiated and the analysis would confirm whether releases into the CBD are viable. He said a determination of a go/no-go on releases to the CBD would be made by August 2020. and should the use of the CBD not be feasible an alternative extending the Dunnigan Pipeline alignment to the river would still exist.

Brief discussion followed with no action taken.

3.6 Receive status update on the approach for Regulatory Agency Technical Working Group. **(Attachment A)**

Ms. Forsythe stated staff have reinitiated efforts on the development and submittal of key permits for the Sites Project as reflected in the Amendment 1B Work Plan. She stated two technical working groups would be established to facilitate regular communication and coordination with state, federal and local agencies with jurisdiction over all or portions of the Project.

She stated Group 1: Interagency General Update would meet on a quarterly basis to update and coordinate with all state, federal and local regulatory and/or partnering agencies; Group 2: Fishery and Operations Technical Meetings would focus, as needed on fishery and operations meetings to review and discuss the modeling approach, analysis approach, operational criteria and the resulting effects to species of the Project. She also spoke to Potential Attendees of each of the Technical Working Groups.

Mr. Spesert suggested including local agencies such as the Fire Department, Sheriff's Department, School Districts, etc. Brief discussion followed with no action taken.

4. Reports:

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

Chairman Durst provided a brief update on various matters related to the Sites Project. He also stated Chairman Bettner, Executive Director Brown and he meet on a regular monthly basis.

4.1.2 Workgroup Chairpersons' Report:

Land Management

Director Evans stated a kickoff meeting was held with AECOM and Glenn-Colusa regarding road issues.

Budget & Finance

Ms. Traynham provided and update on the following:

- Audit Report (completed and signed).
- Revised Credit Reimbursement Policy.

Legislative & Outreach

Director Sutton provided a brief update regarding Legislative and Outreach matters.

4.1.3 Reservoir Committee Participant Reports:

None.

4.2 Executive Director's Reports:

- Monthly status report. **(Attachment A)**
- Updated Message Platform.
- Update on concerns raised by M & I Agencies re: 2020 Urban Water Management Plan and added requirement of making findings of reducing reliance on Delta supplies.
- Work conduct of Authority Agents and Consultants re: needs for and responsibilities of conduct within the workplace.
- Federal and State Budgets:
    - WIIN Act Appropriations for fiscal year 2021 announced.
    - Bond funding made it through as related to Sites Project.
- Strategic Planning Session update planned for end of July 2020.

- Communications:
    - Revised Message Platform has been added to the Home Board Package.
    - Town Hall meetings to be held on July 14 and July 15, 2020.
    - Landowner Newsletter re: right sized project has gone out.

Ms. Katz provided an update on outreach to the community and entities interested in the Town Hall meetings.

5. Future Meetings and Schedules:

5.1 Suggested Future Agenda Items.

Mr. Brown provided a brief update of matters to be heard on future Agendas. Brief discussion followed with no action taken.

5.2 Upcoming meetings:

RESERVOIR COMMITTEE

THURSDAY, JULY 16, 2020 1:00 PM
Sites Project Office, 122 Old Highway 99W Maxwell, CA 95955
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

AUTHORITY BOARD

WEDNESDAY, JULY 22, 2020 1:30PM
Sites Project Office, 122 Old Highway 99W Maxwell, CA 95955
(TELECONFERENCING AND VIDEO LINK WILL BE PROVIDED AS AN OPTION)

Chairman Durst adjourned the meeting at 3:35 p.m.

_____ _____
Fritz Durst, Chairman Yolanda Tirado, Board Clerk

Topic: **Sites Reservoir Project, Phase 1** **2020 June 24**

Subject: **Authority Board Meeting Attendance** **1:30 – 4:00 PM**

Current Voting Authority Board Participants:

Participant	✓ Representative	✓ Alternate	Others
Colusa County	☒ Gary Evans	☒ Mike Azevedo	
Colusa County Water District	☐ Joe Marsh	☐ Doug Griffen	
Glenn County	☒ Leigh McDaniel	☐ John Viegas	
Glenn-Colusa Irrigation District	☒ Logan Dennis	☐ Don Bransford	
Reclamation District 108	☒ Fritz Durst	☐ Sean Doherty	
City of Roseville / Placer County Water Agency	☒ Bruce Houdesheldt	☐ Joshua Alpine	
Tehama-Colusa Canal Authority	☒ Jeff Sutton	☐ Halbert Charter	
City of Sacramento / Sacramento County Water Agency	☒ Jeff Harris	☐ Brett Ewart ☐ Ann Sanger	
Westside Water District	☒ Doug Parker	☐ Zach Dennis	

Associate Members (2 non-voting):

Participant	✓ Representative	✓ Alternate	Others
TC-4	☒ Jamie Traynham	☐ Tom Charter ☐ Jason Holley	
Western Canal Water District	☐ Greg Johnson ☒ Ted Trimble	☐ Zach Dennis ☐ Dan Ruiz	

Non-Voting Committee Participants (2):

Participant	✓ Representative/Other	✓ Alternate/Other	
Dept of Water Resources	☐ Rob Cooke	☐ David Sandino	
	☐ Ajay Goyal	☐ Jim Wieking	☐ Dave Arrate
Bureau of Reclamation	☐ Richard Welsh	☒ Don Bader	☐ Chris Duke
	☒ Ryan Davis ☒ Natalie Wolder	☐ Derya Sumer ☐ Mike Dietl ☐ David Van Rijn	☐ Mike Mosley ☐ Shana Kaplan

Authority, Non-Signatory (6):

Participant	✓ *Representative*	✓ *Alternate*	
PCWA	☒ Ed Horton	☐ Ben Barker Darin Reintjes	
Roseville	☐ Sean Bigley	☐ Trevor Joseph ☐ Jason Marks	
Sacramento County WA	☒ Kerry Schmitz	☐ Michael Peterson ☐ William Busath	☒ Michael Grinstead ☒ Dave Underwood

Staff:

✓ *Name*	*Representing*
☒ Brown, Jerry	Sites Project Authority
☒ Forsythe, Ali	Sites Project Authority
☒ Spesert, Kevin	Sites Project Authority

✓ *Name*	*Representing*
☒ Trapasso, Joe	Sites Project Authority
☒ Tirado, Yolanda	Sites Project Authority

Consultants:

✓ *Name*	*Representing*
☐ Alexander, Jeriann	Fugro
☐ Boling, Robert	HDR
☐ Briard, Monique	ICF
☐ Floyd, Kim	Floyd
☐ Herrin, Jeff	AECOM
☒ Heydinger, Erin	HDR
☒ Katz, Sara	Katz & Associates

✓ *Name*	*Representing*
☒ Kuney, Scott	Young Wooldridge
☒ Luu, Henry	HDR
☒ Robinette, JP	Brown & Caldwell
☐ Spranza, John	HDR
☐ Tull, Rob	Ch2m
☒ Warner Herson, Laurie	Phenix

Other Attendees: *(Check box to have email address added to the distribution list)*

Name	*Representing*	*Contact (Phone & E-mail)*
Juleah Cordi	Office of Assemblymember Gallagher	
Conner McDonald	CMD West	
Cathy Westcot	HDR	
Grace Lui		

Sites

Topic: **Authority Board Agenda Item 1.2** **2020 July 22**

Subject: **Treasurer's Report**

Requested Action:

Consider acceptance of the Sites Project Authority Treasurer's Report as presented in Attachment 1-2A.

Detailed Description/Background:

Attachment 1-2A incorporates financial information through June 30, 2020.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: June 2020 Treasurer's Report.

SITES JOINT POWERS AUTHORITY
TREASURER'S REPORT
June 30, 2020

Savings Account-River City Bank				
Beginning Balance	06/01/2020		**$ 2,956,636.65**	
Transfer to General Checking to max benefit			**$ (218,086.79)**	
Interest Earned			**$ 2,232.05**	
River City Bank ICS Savings Account Balance	**06/30/2020**			**$ 2,740,781.91**
General Operating Checking Account-River City Bank				
Beginning Balance	06/01/2020		**$ 1,149,966.02**	
RECEIVED:				
Refund from Sac Public Library-Meeting Expense		$ 450.00		
Interest Earned		$ 945.78		
TOTAL RECEIVED			**$ 1,395.78**	
DISBURSED:				
Bank Fees		$ (157.77)		
Administrative Support		$ (4,189.50)		
Computer Service		$ (1,350.62)		
General Manager		$ (28,551.26)		
Accounting Fees		$ (1,765.00)		
Office Expenses		$ (723.07)		
Professional Fees-Legislative/Regulatory		$ (8,000.00)		
Professional Fees-Communication		$ (35,424.99)		
Professional Fees-Organizatioal Assessment				
Professional Fees-Fed Gov't Affairs Support		$ (15,000.00)		
Professional Fees-Legal Services		$ (5,707.14)		
TOTAL DISBURSED			**$ (100,869.35)**	
Transfer from Savings to max benefit			**$ 218,086.79**	
RCB General Checking Account Balance	**06/30/2020**			**$ 1,268,579.24**
State (WSIP) Fund Checking Account-River City Bank				
Beginning Balance	06/01/2020		**$ 5,744,010.94**	
DISBURSED:				
Bank Wire Fees				
Annual Audit Fees				
Bus/Comm Manager		$ (21,320.00)		
Program Op Manager		$ (28,000.00)		
Professional Fees-Engineering		$ (8,112.77)		
Professional Fees-Cost Development		$ (1,374.00)		
Professional Fees-Bond Counsel		$ (3,970.00)		
Professional Fees-Executive Director Services		$ (33,750.00)		
Professional Fees-Project Controls		$ (116,764.80)		
Professional Fees-Operations/SIM Modeling		$ (97,617.53)		
Professional Fees-EPP Manager		$ (30,000.00)		
Professional Fees-Geotech Eng		$ (11,875.58)		
Professional Fees-Project Integration		$ (168,516.59)		
Professional Fees-Reservoir Operations		$ (3,185.00)		
Professional Fees-Special Legal		$ (971.10)		
Professional Fees-Env/Biological Serv & Permit		$ (96,395.12)		
TOTAL DISBURSED			**$ (621,852.49)**	
RCB State Fund Checking Account Balance	**06/30/2020**			**$ 5,122,158.45**
TOTAL CASH ON HAND	**June 30, 2020**			**$ 9,131,519.60**

Sites Project Joint Powers Authority
Transactions by Account
As of June 30, 2020

Type	Date	Num	Name	Memo	Debit	Credit	Balance
River City ICS Savings							2,956,636.65
General Journal	06/24/2020	6-1C		transfer to max ICS		218,086.79	2,738,549.86
General Journal	06/30/2020	6-3C		rec int fme	2,232.05		2,740,781.91
Total River City ICS Savings					2,232.05	218,086.79	2,740,781.91
River City Operating Account							1,149,966.02
Bill Pmt -Check	06/09/2020	2154	Adept Solutions Inc			1,350.62	1,148,615.40
Bill Pmt -Check	06/09/2020	2155	Dunn Consulting			8,000.00	1,140,615.40
Bill Pmt -Check	06/09/2020	2156	J.C. Watson, Inc			28,551.26	1,112,064.14
Bill Pmt -Check	06/09/2020	2157	Katz and Associates, Inc			35,424.99	1,076,639.15
Bill Pmt -Check	06/09/2020	2158	KCoe Isom, LLP			1,765.00	1,074,874.15
Bill Pmt -Check	06/09/2020	2159	M.R. Cleaning Services			400.00	1,074,474.15
Bill Pmt -Check	06/09/2020	2160	Maximun Pest Control			65.00	1,074,409.15
Bill Pmt -Check	06/09/2020	2161	Mt Shasta Spring Water			30.15	1,074,379.00
Bill Pmt -Check	06/09/2020	2162	Recology Butte Colusa Counties			35.95	1,074,343.05
Bill Pmt -Check	06/09/2020	2163	Rush Personnel Services, Inc			4,189.50	1,070,153.55
Bill Pmt -Check	06/09/2020	2164	The Ferguson Group			15,000.00	1,055,153.55
Bill Pmt -Check	06/09/2020	2165	US Bank			191.97	1,054,961.58
Bill Pmt -Check	06/09/2020	2166	Young Wooldridge LLP			5,707.14	1,049,254.44
Deposit	06/24/2020			Sac Public Library	450.00		1,049,704.44
General Journal	06/24/2020	6-1C		transfer to max ICS	218,086.79		1,267,791.23
General Journal	06/30/2020	6-2C		Bank service fee		157.77	1,267,633.46
General Journal	06/30/2020	6-4C		rec int fme	945.78		1,268,579.24
Total River City Operating Account					219,482.57	100,869.35	1,268,579.24
River City State Fund Checking							5,744,010.94
Bill Pmt -Check	06/09/2020	1052	AECOM Technical Services, Inc.			8,112.77	5,735,898.17
Bill Pmt -Check	06/09/2020	1053	Brown and Caldwell			116,764.80	5,619,133.37
Bill Pmt -Check	06/09/2020	1054	CH2M Hill Engineers, Inc			97,617.53	5,521,515.84
Bill Pmt -Check	06/09/2020	1055	Forsythe Group LLC			30,000.00	5,491,515.84
Bill Pmt -Check	06/09/2020	1056	Fugro USA Land, Inc			11,875.58	5,479,640.26
Bill Pmt -Check	06/09/2020	1057	HDR Engineering Inc			168,516.59	5,311,123.67
Bill Pmt -Check	06/09/2020	1058	ICF Jones & Stokes Inc			96,395.12	5,214,728.55
Bill Pmt -Check	06/09/2020	1059	Larsen Wurzel & Associates, Inc			1,374.00	5,213,354.55
Bill Pmt -Check	06/09/2020	1060	MBK Engineers Inc			3,185.00	5,210,169.55
Bill Pmt -Check	06/09/2020	1061	Perkins Coie LLP			971.10	5,209,198.45
Bill Pmt -Check	06/09/2020	1062	Spesert Consulting			21,320.00	5,187,878.45
Bill Pmt -Check	06/09/2020	1063	Stradling Yocca Carlson & Rauth			3,970.00	5,183,908.45
Bill Pmt -Check	06/09/2020	1064	Trapasso Consulting Services			28,000.00	5,155,908.45
Bill Pmt -Check	06/09/2020	1065	Waterology Consulting			33,750.00	5,122,158.45
Total River City State Fund Checking					0.00	621,852.49	5,122,158.45
US Bank Checking							0.00
Total US Bank Checking							0.00
TOTAL					**221,714.62**	**940,808.63**	**9,131,519.60**

Draft_0028900

Sites Project Joint Powers Authority
Balance Sheet
As of June 30, 2020

	Jun 30, 20	
ASSETS		
Current Assets		
Checking/Savings		
River City ICS Savings		2,740,781.91
River City Operating Account		1,268,579.24
River City State Fund Checking		5,122,158.45
Total Checking/Savings		9,131,519.60
Accounts Receivable		
Accounts Rec Members		
Membership - Authority	68,000.00	
Membership - Reservoir	145,011.80	
Total Accounts Rec Members		213,011.80
Total Accounts Receivable		213,011.80
Total Current Assets		9,344,531.40
TOTAL ASSETS		**9,344,531.40**
LIABILITIES & EQUITY		
Liabilities		
Current Liabilities		
Accounts Payable		
Accounts Payable		1,106,991.94
Total Accounts Payable		1,106,991.94
Total Current Liabilities		1,106,991.94
Long Term Liabilities		
Participant Reimbursement Polic		6,064,652.67
Total Long Term Liabilities		6,064,652.67
Total Liabilities		7,171,644.61
Equity		
Net Assets		1,929,216.58
Retained Earnings		3,969,373.85
Net Income		-3,725,703.64
Total Equity		2,172,886.79
TOTAL LIABILITIES & EQUITY		**9,344,531.40**

Sites Project Joint Powers Authority
A/R Aging Summary
As of June 30, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
City of American Canyon	0.00	0.00	0.00	0.00	-4,988.20	-4,988.20
County of Colusa	150,000.00	0.00	0.00	0.00	0.00	150,000.00
County of Glenn	0.00	0.00	0.00	0.00	55,000.00	55,000.00
Yolo County Flood Control	0.00	0.00	0.00	0.00	13,000.00	13,000.00
TOTAL	**150,000.00**	**0.00**	**0.00**	**0.00**	**63,011.80**	**213,011.80**

Sites Project Joint Powers Authority
A/P Aging Summary
As of June 30, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
Adept Solutions Inc	1,011.86	0.00	0.00	0.00	0.00	1,011.86
AECOM Technical Services, Inc.	158,393.74	78,837.14	0.00	0.00	0.00	237,230.88
Brown and Caldwell	98,335.19	0.00	0.00	0.00	0.00	98,335.19
CH2M Hill Engineers, Inc	0.00	206,131.53	0.00	0.00	0.00	206,131.53
Darling H2O Consulting, Inc.	236.25	0.00	0.00	0.00	0.00	236.25
Dunn Consulting	8,000.00	0.00	0.00	0.00	0.00	8,000.00
Fechter & Company CPA	1,275.60	0.00	0.00	0.00	0.00	1,275.60
Forsythe Group LLC	30,251.85	0.00	0.00	0.00	0.00	30,251.85
Fugro USA Land, Inc	13,209.93	0.00	0.00	0.00	0.00	13,209.93
HDR Engineering Inc	202,638.30	0.00	0.00	0.00	0.00	202,638.30
ICF Jones & Stokes Inc	0.00	78,982.06	0.00	0.00	0.00	78,982.06
Katz and Associates, Inc	31,549.15	20,979.99	0.00	0.00	0.00	52,529.14
KCoe Isom, LLP	1,997.00	0.00	0.00	0.00	0.00	1,997.00
Larsen Wurzel & Associates, Inc	13,006.25	0.00	0.00	0.00	0.00	13,006.25
Maximun Pest Control	65.00	0.00	0.00	0.00	0.00	65.00
MBK Engineers Inc	0.00	7,785.75	0.00	0.00	0.00	7,785.75
Montague DeRose and Associates, LLC	0.00	4,568.75	0.00	0.00	0.00	4,568.75
Mt Shasta Spring Water	102.40	0.00	0.00	0.00	0.00	102.40
Perkins Coie LLP	597.60	0.00	0.00	0.00	0.00	597.60
Recology Butte Colusa Counties	35.95	0.00	0.00	0.00	0.00	35.95
Rush Personnel Services, Inc	5,115.60	0.00	0.00	0.00	0.00	5,115.60
Spesert Consulting	21,320.00	0.00	0.00	0.00	0.00	21,320.00
Stradling Yocca Carlson & Rauth	0.00	3,450.00	0.00	0.00	0.00	3,450.00
The Catalyst Group	11,100.88	0.00	0.00	0.00	0.00	11,100.88
The Ferguson Group	0.00	15,000.00	0.00	0.00	0.00	15,000.00
Trapasso Consulting Services	28,000.00	0.00	0.00	0.00	0.00	28,000.00
US Bank	251.13	0.00	0.00	0.00	0.00	251.13
US Postal Service	120.00	0.00	0.00	0.00	0.00	120.00
Waterology Consulting	33,750.00	0.00	0.00	0.00	0.00	33,750.00
William Avery & Associates	0.00	0.00	13,271.36	0.00	11,623.68	24,895.04
Young Wooldridge LLP	5,998.00	0.00	0.00	0.00	0.00	5,998.00
TOTAL	**666,361.68**	**415,735.22**	**13,271.36**	**0.00**	**11,623.68**	**1,106,991.94**

Sites Project Joint Powers Authority
Profit & Loss
June 2020

	Jun 20	Jan - Jun 20
Ordinary Income/Expense		
Income		
Membership Admin/Authority	0.00	505,000.00
Membership Water	150,000.00	150,000.00
Prop 1 Funding	0.00	0.00
Total Income	150,000.00	655,000.00
Gross Profit	150,000.00	655,000.00
Expense		
Accounting Expense	1,997.00	15,961.00
Admin Support Gen Manager	5,115.60	30,627.45
Audit Fees	1,275.60	12,036.00
Bank Service Fees	157.77	1,006.96
General Manager	0.00	186,514.66
Insurance - Property	0.00	250.00
Insurance Liability	0.00	1,604.25
Office Expenses		
Cleaning	0.00	900.00
Misc Office & Operating Exp	-78.87	2,753.42
Pest Control & Maintenance	65.00	390.00
Trash Service	35.95	215.70
Water Expense	102.40	301.10
Total Office Expenses	124.48	4,560.22
Professional Fees		
Bond Counsel	3,450.00	7,420.00
Bus/Comm Manager	21,320.00	130,041.85
Communication	52,529.14	138,060.28
Cost Development Model	13,006.25	15,548.85
Cultural Study	0.00	3,885.55
Engineering & Technical Service	314,554.77	429,073.15
Env/Biological Services	43,590.12	186,461.40
EPP Manager	30,251.85	182,310.94
Executive Director	33,750.00	101,250.00
Federal Government Affairs Supp	15,000.00	90,000.00
General Legal Counsel	5,998.00	49,277.62
Geotechnical Engineering	13,209.93	62,058.45
Legislative/Reg/Strategic	8,000.00	48,000.00
Municipal Advisor	4,568.75	24,568.75
Operations/Simulation Modeling	128,807.64	479,842.28
Organizational Assessment	236.25	29,929.66
Permitting & Agreements	35,391.94	183,622.35
Personel Recruiter	0.00	24,895.04
Program Ops Manager	28,000.00	170,510.24
Project Controls	98,335.19	675,155.11
Project Integration	202,638.30	1,046,628.97
Real Estate	0.00	761.67
Reservoir Operations	7,785.75	27,988.50
Special Legal	597.60	31,971.60
Strategic Planning	11,100.88	11,100.88
Total Professional Fees	1,072,122.36	4,150,363.14
Website, Data, Computer Support	1,011.86	7,695.86
Total Expense	1,081,804.67	4,410,619.54
Net Ordinary Income	-931,804.67	-3,755,619.54
Other Income/Expense		
Other Income		
Interest Income		
Interest Income-River City	3,177.83	29,915.90

Draft_0028904

Sites Project Joint Powers Authority
Profit & Loss
June 2020

	Jun 20	Jan - Jun 20
Total Interest Income	3,177.83	29,915.90
Total Other Income	3,177.83	29,915.90
Net Other Income	3,177.83	29,915.90
Net Income	-928,626.84	-3,725,703.64

Sites Project Joint Powers Authority
Transaction Detail By Account
June 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Membership Water								
Invoice	06/19/2020	SPA-2020-14	County of Colusa	Sites Reservoir Participation ...	Reservoir		150,000.00	150,000.00
Total Membership Water						0.00	150,000.00	150,000.00
Accounting Expense								
Bill	06/30/2020	KC090345	KCoe Isom, LLP	6/20	Authority	399.40		-399.40
Bill	06/30/2020	KC090345	KCoe Isom, LLP	6/20	Reservoir	1,597.60		-1,997.00
Total Accounting Expense						1,997.00	0.00	-1,997.00
Admin Support Gen Manager								
Bill	06/15/2020	0137562	Rush Personnel Services, ...	6/20	Authority	246.96		-246.96
Bill	06/15/2020	0137562	Rush Personnel Services, ...	6/20	Reservoir	987.84		-1,234.80
Bill	06/15/2020	0137563	Rush Personnel Services, ..	6/20	Authority	282.24		-1,517.04
Bill	06/15/2020	0137563	Rush Personnel Services, ..	6/20	Reservoir	1,128.96		-2,646.00
Bill	06/29/2020	0137791	Rush Personnel Services, ...	6/20	Authority	211.68		-2,857.68
Bill	06/29/2020	0137791	Rush Personnel Services, ...	6/20	Reservoir	846.72		-3,704.40
Bill	06/29/2020	0137792	Rush Personnel Services, ...	6/20	Authority	282.24		-3,986.64
Bill	06/29/2020	0137792	Rush Personnel Services, ...	6/20	Reservoir	1,128.96		-5,115.60
Total Admin Support Gen Manager						5,115.60	0.00	-5,115.60
Audit Fees								
Bill	06/22/2020	Letter	Fechter & Company CPA	6/20	Reservoir	1,275.60		-1,275.60
Total Audit Fees						1,275.60	0.00	-1,275.60
Bank Service Fees								
General J...	06/30/2020	6-2C		Bank service fee	Authority	88.35		-88.35
General J...	06/30/2020	6-2C		Bank service fee	Reservoir	69.42		-157.77
Total Bank Service Fees						157.77	0.00	-157.77
Office Expenses								
Misc Office & Operating Exp								
Deposit	06/24/2020			refund	Reservoir		450.00	450.00
Bill	06/30/2020	Onlin 7/1	US Bank	6/20	Authority	251.13		198.87
Bill	06/30/2020	Letter	US Postal Service	7/20 Box rent	Authority	120.00		78.87
Total Misc Office & Operating Exp						371.13	450.00	78.87
Pest Control & Maintenance								
Bill	06/26/2020	51183	Maximun Pest Control	6/20	Authority	65.00		-65.00
Total Pest Control & Maintenance						65.00	0.00	-65.00
Trash Service								
Bill	06/30/2020	37438579	Recology Butte Colusa Co...	6/20	Authority	35.95		-35.95
Total Trash Service						35.95	0.00	-35.95
Water Expense								
Bill	06/05/2020	401027	Mt Shasta Spring Water	6/20	Authority	30.15		-30.15
Bill	06/26/2020	407049	Mt Shasta Spring Water	6/20	Authority	72.25		-102.40
Total Water Expense						102.40	0.00	-102.40
Total Office Expenses						574.48	450.00	-124.48
Professional Fees								
Bond Counsel								
Bill	06/18/2020	365299-0001	Stradling Yocca Carlson &..	5/20	Reservoir	3,450.00		-3,450.00
Total Bond Counsel						3,450.00	0.00	-3,450.00
Bus/Comm Manager								
Bill	06/30/2020	07-20	Spesert Consulting	6/20	Reservoir	21,320.00		-21,320.00
Total Bus/Comm Manager						21,320.00	0.00	-21,320.00
Communication								
Bill	06/15/2020	413789	Katz and Associates, Inc	5/20 (C)	Authority	11,538.00		-11,538.00
Bill	06/15/2020	413789	Katz and Associates, Inc	5/20 (C)	Reservoir	9,441.99		-20,979.99
Bill	06/30/2020	413822	Katz and Associates, Inc	6/20 (C)	Authority	17,349.28		-38,329.27
Bill	06/30/2020	413822	Katz and Associates, Inc	6/20 (C)	Reservoir	14,199.87		-52,529.14
Total Communication						52,529.14	0.00	-52,529.14
Cost Development Model								
Bill	06/15/2020	1609000-0520	Larsen Wurzel & Associat...	5/20	Reservoir	3,623.50		-3,623.50
Bill	06/30/2020	1609000-0620	Larsen Wurzel & Associat...	6/20	Reservoir	9,382.75		-13,006.25
Total Cost Development Model						13,006.25	0.00	-13,006.25
Engineering & Technical Service								
Bill	06/10/2020	200369389	AECOM Technical Service...	5/20 (Reservoir) (HR)	Reservoir	78,837.14		-78,837.14
Bill	06/17/2020	D3380600-001	CH2M Hill Engineers, Inc	5/20 (Conveyance) (HC)	Reservoir	77,323.89		-156,161.03
Bill	06/30/2020	200378520	AECOM Technical Service...	6/20 (Reservoir) (HR)	Reservoir	157,711.74		-313,872.77
Bill	06/30/2020	200377940	AECOM Technical Service...	6/20	Reservoir	682.00		-314,554.77
Total Engineering & Technical Service						314,554.77	0.00	-314,554.77
Env/Biological Services								
Bill	06/15/2020	0147510	ICF Jones & Stokes Inc	5/20 (Environ.) (E)	Reservoir	43,590.12		-43,590.12

Sites Project Joint Powers Authority
Transaction Detail By Account
June 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Total Env/Biological Services						43,590.12	0.00	-43,590.12
EPP Manager								
Bill	06/25/2020	SPA-202006	Forsythe Group LLC	6/20	Reservoir	30,251.85		-30,251.85
Total EPP Manager						30,251.85	0.00	-30,251.85
Executive Director								
Bill	06/30/2020	3	Waterology Consulting	6/20	Reservoir	33,750.00		-33,750.00
Total Executive Director						33,750.00	0.00	-33,750.00
Federal Government Affairs Supp								
Bill	06/01/2020	0620149	The Ferguson Group	6/20	Authority	7,500.00		-7,500.00
Bill	06/01/2020	0620149	The Ferguson Group	6/20	Reservoir	7,500.00		-15,000.00
Total Federal Government Affairs Supp						15,000.00	0.00	-15,000.00
General Legal Counsel								
Bill	06/30/2020	66804	Young Wooldridge LLP	6/20	Authority	3,353.25		-3,353.25
Bill	06/30/2020	66804	Young Wooldridge LLP	6/20	Reservoir	2,644.75		-5,998.00
Total General Legal Counsel						5,998.00	0.00	-5,998.00
Geotechnical Engineering								
Bill	06/30/2020	04.7219003...	Fugro USA Land, Inc	6/20 (I)	Reservoir	13,209.93		-13,209.93
Total Geotechnical Engineering						13,209.93	0.00	-13,209.93
Legislative/Reg/Strategic								
Bill	06/30/2020	Letter	Dunn Consulting	6/20	Authority	4,000.00		-4,000.00
Bill	06/30/2020	Letter	Dunn Consulting	6/20	Reservoir	4,000.00		-8,000.00
Total Legislative/Reg/Strategic						8,000.00	0.00	-8,000.00
Municipal Advisor								
Bill	06/04/2020	4888SITES	Montague DeRose and As...	4/20	Reservoir	4,568.75		-4,568.75
Total Municipal Advisor						4,568.75	0.00	-4,568.75
Operations/Simulation Modeling								
Bill	06/15/2020	D3205400-014	CH2M Hill Engineers, Inc	5/20 (Operations) (D)	Reservoir	128,807.64		-128,807.64
Total Operations/Simulation Modeling						128,807.64	0.00	-128,807.64
Organizational Assessment								
Bill	06/30/2020	127	Darling H2O Consulting, Inc.	6/20	Authority	236.25		-236.25
Total Organizational Assessment						236.25	0.00	-236.25
Permitting & Agreements								
Bill	06/15/2020	0147511	ICF Jones & Stokes Inc	5/20 (Permitting) (F)	Reservoir	35,391.94		-35,391.94
Total Permitting & Agreements						35,391.94	0.00	-35,391.94
Program Ops Manager								
Bill	06/30/2020	SPA 17-33	Trapasso Consulting Servi...	6/20	Reservoir	28,000.00		-28,000.00
Total Program Ops Manager						28,000.00	0.00	-28,000.00
Project Controls								
Bill	06/30/2020	17376336	Brown and Caldwell	6/20 (B)	Reservoir	98,335.19		-98,335.19
Total Project Controls						98,335.19	0.00	-98,335.19
Project Integration								
Bill	06/30/2020	1200277979	HDR Engineering Inc	6/20 (A)	Reservoir	202,638.30		-202,638.30
Total Project Integration						202,638.30	0.00	-202,638.30
Reservoir Operations								
Bill	06/19/2020	20-05-4941.0	MBK Engineers Inc	5/20	Reservoir	7,785.75		-7,785.75
Total Reservoir Operations						7,785.75	0.00	-7,785.75
Special Legal								
Bill	06/23/2020	6208406	Perkins Coie LLP	5/20	Reservoir	597.60		-597.60
Total Special Legal						597.60	0.00	-597.60
Strategic Planning								
Bill	06/30/2020	492	The Catalyst Group	6/20	Reservoir	11,100.88		-11,100.88
Total Strategic Planning						11,100.88	0.00	-11,100.88
Total Professional Fees						1,072,122.36	0.00	-1,072,122.36
Website, Data, Computer Support								
Bill	06/30/2020	MSP-139657	Adept Solutions Inc	7/20	Authority	152.04		-152.04
Bill	06/30/2020	MSP-139657	Adept Solutions Inc	7/20	Reservoir	608.16		-760.20
Bill	06/30/2020	139699	Adept Solutions Inc	5/20	Authority	50.33		-810.53
Bill	06/30/2020	139699	Adept Solutions Inc	5/20	Reservoir	201.33		-1,011.86
Total Website, Data, Computer Support						1,011.86	0.00	-1,011.86
Interest Income								
Interest Income-River City								

Sites Project Joint Powers Authority
Transaction Detail By Account
June 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
General J...	06/30/2020	6-3C		rec int fme	Reservoir		2,232.05	2,232.05
General J...	06/30/2020	6-4C		rec int fme	Authority		529.64	2,761.69
General J...	06/30/2020	6-4C		rec int fme	Reservoir		416.14	3,177.83
Total Interest Income-River City						0.00	3,177.83	3,177.83
Total Interest Income						0.00	3,177.83	3,177.83
TOTAL						**1,082,254.67**	**153,627.83**	**-928,626.84**

Sites Project Joint Powers Authority
Balance Sheet by Class
As of June 30, 2020

	Authority	Reservoir	TOTAL
ASSETS			
Current Assets			
Checking/Savings			
River City ICS Savings	0.00	2,740,781.91	2,740,781.91
River City Operating Account	708,746.64	559,832.60	1,268,579.24
River City State Fund Checking	0.00	5,122,158.45	5,122,158.45
Total Checking/Savings	708,746.64	8,422,772.96	9,131,519.60
Accounts Receivable			
Accounts Rec Members			
Membership - Authority	68,000.00	0.00	68,000.00
Membership - Reservoir	0.00	145,011.80	145,011.80
Total Accounts Rec Members	68,000.00	145,011.80	213,011.80
Total Accounts Receivable	68,000.00	145,011.80	213,011.80
Total Current Assets	776,746.64	8,567,784.76	9,344,531.40
TOTAL ASSETS	**776,746.64**	**8,567,784.76**	**9,344,531.40**
LIABILITIES & EQUITY			
Liabilities			
Current Liabilities			
Accounts Payable			
Accounts Payable	71,071.19	1,035,920.75	1,106,991.94
Total Accounts Payable	71,071.19	1,035,920.75	1,106,991.94
Total Current Liabilities	71,071.19	1,035,920.75	1,106,991.94
Long Term Liabilities			
Participant Reimbursement Polic	0.00	6,064,652.67	6,064,652.67
Total Long Term Liabilities	0.00	6,064,652.67	6,064,652.67
Total Liabilities	71,071.19	7,100,573.42	7,171,644.61
Equity			
Net Assets	114,674.93	1,814,541.65	1,929,216.58
Retained Earnings	351,952.54	3,617,421.31	3,969,373.85
Net Income	239,047.98	-3,964,751.62	-3,725,703.64
Total Equity	705,675.45	1,467,211.34	2,172,886.79
TOTAL LIABILITIES & EQUITY	**776,746.64**	**8,567,784.76**	**9,344,531.40**

FOR MANAGEMENT PURPOSES ONLY

Sites Project Joint Powers Authority
Profit & Loss by Class
June 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Income			
Membership Water	0.00	150,000.00	150,000.00
Total Income	0.00	150,000.00	150,000.00
Gross Profit	0.00	150,000.00	150,000.00
Expense			
Accounting Expense	399.40	1,597.60	1,997.00
Admin Support Gen Manager	1,023.12	4,092.48	5,115.60
Audit Fees	0.00	1,275.60	1,275.60
Bank Service Fees	88.35	69.42	157.77
Office Expenses			
Misc Office & Operating Exp	371.13	-450.00	-78.87
Pest Control & Maintenance	65.00	0.00	65.00
Trash Service	35.95	0.00	35.95
Water Expense	102.40	0.00	102.40
Total Office Expenses	574.48	-450.00	124.48
Professional Fees			
Bond Counsel	0.00	3,450.00	3,450.00
Bus/Comm Manager	0.00	21,320.00	21,320.00
Communication	28,887.28	23,641.86	52,529.14
Cost Development Model	0.00	13,006.25	13,006.25
Engineering & Technical Service	0.00	314,554.77	314,554.77
Env/Biological Services	0.00	43,590.12	43,590.12
EPP Manager	0.00	30,251.85	30,251.85
Executive Director	0.00	33,750.00	33,750.00
Federal Government Affairs Supp	7,500.00	7,500.00	15,000.00
General Legal Counsel	3,353.25	2,644.75	5,998.00
Geotechnical Engineering	0.00	13,209.93	13,209.93
Legislative/Reg/Strategic	4,000.00	4,000.00	8,000.00
Municipal Advisor	0.00	4,568.75	4,568.75
Operations/Simulation Modeling	0.00	128,807.64	128,807.64
Organizational Assessment	236.25	0.00	236.25
Permitting & Agreements	0.00	35,391.94	35,391.94
Program Ops Manager	0.00	28,000.00	28,000.00
Project Controls	0.00	98,335.19	98,335.19
Project Integration	0.00	202,638.30	202,638.30
Reservoir Operations	0.00	7,785.75	7,785.75
Special Legal	0.00	597.60	597.60
Strategic Planning	0.00	11,100.88	11,100.88
Total Professional Fees	43,976.78	1,028,145.58	1,072,122.36
Website, Data, Computer Support	202.37	809.49	1,011.86
Total Expense	46,264.50	1,035,540.17	1,081,804.67
Net Ordinary Income	-46,264.50	-885,540.17	-931,804.67
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	529.64	2,648.19	3,177.83
Total Interest Income	529.64	2,648.19	3,177.83
Total Other Income	529.64	2,648.19	3,177.83
Net Other Income	529.64	2,648.19	3,177.83
Net Income	**-45,734.86**	**-882,891.98**	**-928,626.84**

FOR MANAGEMENT PURPOSES ONLY

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through June 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Income			
Membership Admin/Authority	505,000.00	0.00	505,000.00
Membership Water	0.00	150,000.00	150,000.00
Prop 1 Funding	0.00	0.00	0.00
Total Income	505,000.00	150,000.00	655,000.00
Gross Profit	505,000.00	150,000.00	655,000.00
Expense			
Accounting Expense	3,192.20	12,768.80	15,961.00
Admin Support Gen Manager	6,125.49	24,501.96	30,627.45
Audit Fees	0.00	12,036.00	12,036.00
Bank Service Fees	441.59	565.37	1,006.96
General Manager	26,752.05	159,762.61	186,514.66
Insurance - Property	200.00	50.00	250.00
Insurance Liability	0.00	1,604.25	1,604.25
Office Expenses			
Cleaning	900.00	0.00	900.00
Misc Office & Operating Exp	1,227.61	1,525.81	2,753.42
Pest Control & Maintenance	390.00	0.00	390.00
Trash Service	215.70	0.00	215.70
Water Expense	301.10	0.00	301.10
Total Office Expenses	3,034.41	1,525.81	4,560.22
Professional Fees			
Bond Counsel	0.00	7,420.00	7,420.00
Bus/Comm Manager	0.00	130,041.85	130,041.85
Communication	80,206.19	57,854.09	138,060.28
Cost Development Model	0.00	15,548.85	15,548.85
Cultural Study	0.00	3,885.55	3,885.55
Engineering & Technical Service	0.00	429,073.15	429,073.15
Env/Biological Services	0.00	186,461.40	186,461.40
EPP Manager	0.00	182,310.94	182,310.94
Executive Director	0.00	101,250.00	101,250.00
Federal Government Affairs Supp	45,000.00	45,000.00	90,000.00
General Legal Counsel	25,133.25	24,144.37	49,277.62
Geotechnical Engineering	0.00	62,058.45	62,058.45
Legislative/Reg/Strategic	24,000.00	24,000.00	48,000.00
Municipal Advisor	0.00	24,568.75	24,568.75
Operations/Simulation Modeling	0.00	479,842.28	479,842.28
Organizational Assessment	29,929.66	0.00	29,929.66
Permitting & Agreements	0.00	183,622.35	183,622.35
Personel Recruiter	24,895.04	0.00	24,895.04
Program Ops Manager	0.00	170,510.24	170,510.24
Project Controls	0.00	675,155.11	675,155.11
Project Integration	0.00	1,046,628.97	1,046,628.97
Real Estate	0.00	761.67	761.67
Reservoir Operations	0.00	27,988.50	27,988.50
Special Legal	0.00	31,971.60	31,971.60
Strategic Planning	0.00	11,100.88	11,100.88
Total Professional Fees	229,164.14	3,921,199.00	4,150,363.14
Website, Data, Computer Support	1,539.17	6,156.69	7,695.86
Total Expense	270,449.05	4,140,170.49	4,410,619.54
Net Ordinary Income	234,550.95	-3,990,170.49	-3,755,619.54
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	4,497.03	25,418.87	29,915.90

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through June 2020

	Authority	Reservoir	TOTAL
Total Interest Income	4,497.03	25,418.87	29,915.90
Total Other Income	4,497.03	25,418.87	29,915.90
Net Other Income	4,497.03	25,418.87	29,915.90
Net Income	**239,047.98**	**-3,964,751.62**	**-3,725,703.64**

Sites

Topic: **Authority Board Agenda Item 1.3** **2020 July 22**

Subject: **Payment of Claims**

Requested Action:

Approve the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B.

Detailed Description/Background:

Attachment A presents the warrants to be drawn against the invoices received by the Sites Project Authority through July 6, 2020.

Attachment B summarizes details of the invoices received through July 6, 2020 and how the incurred costs are allocated between the Authority and Reservoir Committee.

Attachment C presents a budget status report of key consultants' task orders and tasks through the period of performance of the invoices presented in Attachment B.

Prior Action:

None.

Fiscal Impact/Funding Source:

Total Payment of Claims is $1,106,991.94 with $71,071.19 of costs being assigned to the Authority and $1,035,920.75 assigned to the Reservoir Committee.

For the Reservoir Committee assigned amount, $991,634.57 will be paid through the WSIP account and $44,286,18 through the JPA/Sites account as shown in Attachment B.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: July 2020 Report on warrants to be drawn for Payment of Claims.

Attachment B: July 2020 Monthly Consultant and Vendor Invoice table.

Attachment C: July 2020 Key Consultant Budget Status Report.

WARRANTS DRAWN AGAINST
Sites Project Authority- General Fund
July 22, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
2167	07/09/2020	Adept Solutions	IT & Related Services	1,011.86
2168	07/09/2020	Darling H2O	Organizational Assessment	236.25
2169	07/09/2020	Dunn Consulting	Legislative/Regulatory/Strategic Support	8,000.00
2170	07/09/2020	Katz and Associates Inc.	Communications	52,529.14
2171	07/09/2020	K·Coe-Isom, LLP	Accounting	1,997.00
2172	07/09/2020	Maximum Pest Control	Pest Control	65.00
2173	07/09/2020	Mt Shasta Spring Water	Office Water	102.40
2174	07/09/2020	Recology Butte Colusa Counties	Office Trash Pickup	35.95
2175	07/09/2020	Rush Personnel Services Inc.	Administrative Services	5,115.60
2176	07/09/2020	The Ferguson Group	Fed Govt Affairs Support	15,000.00
2177	07/09/2020	U.S. Bank	Misc. Expenses	251.13
2178	07/09/2020	US Postal Service	Box Fee	120.00
2179	07/09/2020	William Avery & Associates	Personnel Recruiter	24,895.04
2180	07/09/2020	Young Wooldridge LLP	Legal Counsel	5,998.00

THE FOREGOING CLAIM, NUMBERED 2167-2180 ARE APPLIED TO THE GENERAL FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **115,357.37**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

WARRANTS DRAWN AGAINST
Sites Project Authority-WSIP Fund
July 22, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
1066	07/09/2020	AECOM Inc.	Engineering	237,230.88
1067	07/09/2020	Brown and Caldwell	Project Controls	98,335.19
1068	07/09/2020	CH2M	Operations/SIM Modeling	206,131.53
1069	07/09/2020	Fechter & Company CPA	Accounting	1,275.60
1070	07/09/2020	Forsythe Group LLC	EPP Manager	30,251.85
1071	07/09/2020	Fugro USA Land, Inc.	Geotechnical Engineering Services	13,209.93
1072	07/09/2020	HDR Engineering Inc.	Project Integration	202,638.30
1073	07/09/2020	ICF Jones & Stokes Inc.	Env/Biological Services & Permitting	78,982.06
1074	07/09/2020	Larsen Wurzel & Associates, Inc.	Cost Development	13,006.25
1075	07/09/2020	MBK Engineers Inc.	Reservoir Operations	7,785.75
1076	07/09/2020	Montague DeRose and Associates, LLC	Municipal Advisor	4,568.75
1077	07/09/2020	Perkins Coie, LLP	Special Legal	597.60
1078	07/09/2020	Spesert Consulting	Bus/Comm Manager	21,320.00
1079	07/09/2020	Stradling Yocca Carlson & Rauth	Bond Counsel	3,450.00
1080	07/09/2020	The Catalyst Group	Strategic Planning Services	11,100.88
1081	07/09/2020	Trapasso Consulting Services	Program Operations Manager	28,000.00
1082	07/09/2020	Waterology Consulting	Executive Director Services	33,750.00

THE FOREGOING CLAIM, NUMBERED 1066-1082 ARE APPLIED TO THE WSIP FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **991,634.57**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

Sites

July 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for July 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their July monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Board Approval Items							
Adept Solutions *IT Related Services/Computer Equipment*	MSP-139657	*7/1/20*	07/20	$760.20	$152.04	$608.16	KMS
Adept Solutions *IT Related Services/Computer Equipment*	139699	*7/1/20*	5/20	$251.66	$50.33	$201.33	KMS
AECOM (Reservoir) (HR) *Engineering Services*	2000369389	*6/10/20*	5/20	$78,837.14		$78,837.14	HL
AECOM (Reservoir) (HR) *Engineering Services*	2000378520	*7/7/20*	6/20	$157,711.74		$157,711.74	HL
AECOM Technical Services, Inc. *Engineering/Tech*	2000377940	*7/6/20*	6/20	$682.00		$682.00	HL
Brown and Caldwell (B) *Project Controls*	17376336	*7/2/20*	6/20	$98,335.19		$98,335.19	JAT
CH2M Hill Engineers (Operations) (D) *Operations / Simulation Modeling*	D3205400-014	*6/15/20*	5/20	$128,807.64		$128,807.64	AEF
CH2M/Jacobs (Conveyance) (HC) *Engineering Services*	D3380600-001	*6/17/20*	5/20	$77,323.89		$77,323.89	HL
Colusa Indian Community Council *Tribal Council*	No Invoice						SPE
Darling H2O Consulting, Inc. *Organizational Assessment*	127	*6/30/20*	6/20	$236.25	$236.25		FD
Dunn Consulting *Legislative/Regulatory/Strategic Support*	Letter	*7/2/20*	6/20	$8,000.00	$4,000.00	$4,000.00	KMS
Fechter and Company, CPAs *Accounting Services*	Letter	*6/22/20*	6/20	$1,275.60		$1,275.60	JAT
Forsythe Group, LLC *EPP Manager*	SPA-202006	*6/25/20*	6/20	$30,251.85		$30,251.85	JB
Fugro (I) *Geotechnical Engineering Services*	04.72190035-15	*7/6/20*	6/20	$13,209.93		$13,209.93	HL
Gerald (Jerry) Johns *Project Operations*	No Invoice						AEF

Sites

July 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for July 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their July monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
HDR (A)	1200277979			$202,638.30		$202,638.30	JAT
Project Integration		*7/6/20*	6/20				
ICF Jones & Stokes, Inc. (Environ.) (E)	0147510			$43,590.12		$43,590.12	AEF
Env/Biological Services		*6/15/20*	5/20				
ICF Jones & Stokes, Inc. (Permitting) (F)	0147511			$35,391.94		$35,391.94	AEF
Permitting and Agreements		*6/15/20*	5/20				
Katz & Associates (C)	413789			$20,979.99	$11,538.00	$9,441.99	KMS
Communications		*6/15/20*	5/20				
Katz & Associates (C)	413822			$31,549.15	$17,349.28	$14,199.87	KMS
Communications		*7/6/20*	6/20				
K-Coe Isom, LLP	KC090345			$1,997.00	$399.40	$1,597.60	JAT
Accounting		*6/30/20*	6/20				
Larsen Wurzel & Associates, Inc.	1609000-0520			$3,623.50		$3,623.50	JAT
Cost Development		*6/15/20*	5/20				
Larsen Wurzel & Associates, Inc.	1609000-0620			$9,382.75		$9,382.75	JAT
Cost Development		*7/2/20*	6/20				
M.R. Cleaning Service	No Invoice						KMS
Office Cleaning							
Maximum Pest Control	51183			$65.00	$65.00		KMS
Pest Spraying		*6/26/20*	6/20				
MBK Engineers	20-05-4941.0			$7,785.75		$7,785.75	AEF
Reservoir Operations		*6/19/20*	5/20				
Montague DeRose & Associates, LLC	4888SITES			$4,568.75		$4,568.75	JAT
Municipal Advisor		*6/4/20*	4/20				
MT Shasta Water	401027			$30.15	$30.15		KMS
Office Water		*6/5/20*	6/20				
MT Shasta Water	407049			$72.25	$72.25		KMS
Office Water		*6/26/20*	6/20				
Perkins Coie, LLP	6208406			$597.60		$597.60	AEF
Special Legal		*6/23/20*	5/20				
Recology Butte Colusa Counties	37438579			$35.95	$35.95		KMS
Office Trash Pickup		*6/30/20*	6/20				

Sites

July 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for July 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their July monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Rush Personnel	IVC000000137562			$1,234.80	$246.96	$987.84	KMS
Yolanda Tirado Services		*6/15/20*	6/20				
Rush Personnel	IVC000000137563			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*6/15/20*	6/20				
Rush Personnel	IVC000000137791			$1,058.40	$211.68	$846.72	KMS
Yolanda Tirado Services		*6/29/20*	6/20				
Rush Personnel	IVC000000137792			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*6/29/20*	6/20				
Spesert Consulting	07-20			$21,320.00		$21,320.00	JB
Bus/Communications Manager		*7/3/20*	6/20				
Stradling, Yocca, Carlson & Rauth	365299-0001			$3,450.00		$3,450.00	JAT
Bond Counsel		*6/18/20*	5/20				
The Catalyst Group	492			$11,100.88		$11,100.88	JB
Strategic Planning Services		*7/2/20*	6/20				
The Ferguson Group	0620149			$15,000.00	$7,500.00	$7,500.00	KMS
Federal Government Affairs Support		*6/1/20*	6/20				
Trapasso Consulting Services	SPA 17-33			$28,000.00		$28,000.00	JB
Program Operations Manager		*7/5/20*	6/20				
U.S. Bank - Credit Card	Online 7/1			$251.13	$251.13		KMS
Misc. Expenses		*7/1/20*	6/20				
U.S. Postal Service	Letter			$120.00	$120.00		KMS
P.O. Box		*7/1/20*	7/20				
Waterology Consulting	3			$33,750.00		$33,750.00	JT/ FD /JS
Executive Director Services		*7/1/20*	6/20				
William Avery & Associates	2939			$8,400.00	$8,400.00		JAT
Personnel Recruiter		*1/21/20*	1/20				
William Avery & Associates	2940			$3,223.68	$3,223.68		JAT
Personnel Recruiter		*1/21/20*	1/20				
William Avery & Associates	3013			$5,500.00	$5,500.00		JAT
Personnel Recruiter		*5/8/20*	5/20				
William Avery & Associates	3014			$5,500.00	$5,500.00		JAT
Personnel Recruiter		*5/8/20*	5/20				

Sites

July 9, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for July 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their July monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
William Avery & Associates	3015		$2,271.36	$2,271.36		JAT
Personnel Recruiter	*5/8/20*	5/20				
Wiseman Consulting Group	No Invoice					KMS
ROW/Land Management						
Young Wooldridge, Law Offices, LLP	66804		$5,998.00	$3,353.25	$2,644.75	JAT
Legal Counsel	*6/30/20*	6/20				

		Total	$ Authority Board	$ Reservoir Committee
		Total	$ Authority Board	$ Reservoir Committee
	Cash Accounts	1,106,991.94	71,071.19	1,035,920.75
July 2020 Monthly Totals	**JPA/Sites**	115,357.37	71,071.19	44,286.18
	WSIP	991,634.57		991,634.57
Cumulative Summary	**WSIP To Date**	3,950,210.53	**(4) Fund Checks Received**	
	Balance	4,130,583.88	8,080,794.41	

Notes: *

Sites

JULY KEY CONSULTANT BUDGET REPORT

FOR AUTHORITY BOARD AND RESERVOIR COMMITTEE,
FINANCE AND ECONOMICS AD HOC COMMITTEE

Area / Consultant	Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
a) HDR		Costs and Task % as of 06/20 Services	**1,499,864**	**1,499,864**	**1,046,629**	**453,235**	**70%**		
	A01	Communications Int.		-	-	-	-	100%	
	A02	Ops Modeling Int.		181,007	43,269	137,738	24%	70%	
	A03	Env. Planning Int.		149,357	157,369	(8,012)	105%	75%	
	A04	Permitting Int.		243,884	217,610	26,274	89%	75%	
	A05	Real Estate Int.		139,718	69,768	69,950	50%	60%	
	A06	Engineering Int.		299,476	198,972	100,504	66%	75%	
	A07	Geotech Int.		-	-	-	-	100%	
	A08	Controls Int.		224,387	170,737	53,650	76%	75%	
	A09	General Int.		98,267	119,639	(21,372)	122%	75%	
	A10	Authority's Engineer		-	-	-	-	100%	
	A11	Health, Safety & Loss Prevention		-	-	-	-	100%	
	A12	Quality Management		-	-	-	-	100%	
	A13	Risk Management		49,890	18,901	30,989	38%	50%	
	A14	IT		15,831	12,033	3,798	76%	75%	
	A15	GIS		-	765	(765)	-	100%	
	A16	Document Management		3,734	3,654	80	98%	75%	
	A17	Staff Support		14,004	2,402	11,602	17%	75%	
	A18	Satellite Project Office		-	-	-	-	100%	
	A19	Land Conservation Approach		-	-	-	-	100%	
	A98	HDR Project Management		40,309	23,242	17,067	58%	75%	
	A99	Expenses		40,000	8,267	31,733	21%	75%	
b) BC		Costs and Task % as of 06/20 Services	**899,156**	**899,156**	**675,155**	**224,001**	**75%**		
	B01	Project Controls		113,645	60,036	53,609	53%	80%	
	B02	Contract Administration and Compliance		492,414	365,670	126,744	74%	75%	
	B03	Work Planning and Scheduling		100,341	99,410	931	99%	90%	
	B04	Project Administrative Support		117,516	105,160	12,356	89%	90%	
	B05	PMP Sections		-	-	-	-	-	
	B98	Project Management		73,240	42,370	30,870	58%	60%	
	B99	Expenses		2,000	2,510	(510)	125%	100%	

Draft_0028920

Area / Consultant	Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
c) K&A		Costs and Task % as of 06/20 Services	**199,930**	**199,930**	**138,060**	**61,870**	**69%**		
	C01	Local/Landowner Outreach		14,083	4,499	9,584	32%	50%	
	C02	Statewide Outreach		22,000	17,286	4,714	79%	70%	
	C03	NGO Outreach		12,166	1,085	11,081	9%	-	
	C04	Strategic Communications		19,666	12,844	6,822	65%	50%	
	C05	Message Development/Training		11,831	3,778	8,054	32%	60%	
	C06	Informational Materials Distribution		38,500	34,496	4,004	90%	60%	
	C07	Website Modifications and Management		18,600	7,393	11,208	40%	65%	
	C08	Video & Photography		-	73	(73)	-	-	
	C09	Authority/Reservoir Committee Public Affairs Support		14,500	15,828	(1,328)	109%	50%	
	C10	Media Relations		13,084	10,995	2,089	84%	65%	
	C11	Social Media		5,000	1,500	3,500	30%	35%	
	C98	Project Management		30,500	25,828	4,673	85%	65%	
	C99	Expenses		-	2,457	(2,457)	-	-	
d) CH2-d		Costs and Task % as of 05/20 Services	**993,000**	**993,000**	**479,843**	**513,157**	**48%**		
	D01	Permitting and Resource Agency Technical Support		100,000	71,586	28,414	72%	90%	
	D02	Environmental Document Support		356,853	140,676	216,177	39%	35%	
	D03	Total Operations Technical Support		300,000	125,048	174,952	42%	65%	
	D04	Value Planning		88,000	79,921	8,079	91%	100%	
	D98	Project Management		31,147	32,091	(944)	103%	60%	
	D99	Expenses & Subconsultants		117,000	30,520	86,480	26%	45%	
e) ICF-e		Costs and Task % as of 05/20 Services	**428,000**	**428,000**	**186,461**	**241,539**	**44%**		
	E01	Draft EIS/EIR Review and Strategic Consultation		-	-	-	-	-	
	E02	Prepare Admin Final Responses to Comments		-	-	-	-	-	
	E03	Prepare Administrative Final EIR/EIS		15,000	14,967	33	100%	100%	
	E04	Begin Preparation of Administrative Record for Fin		-	-	-	-	-	
	E05	Engagement		-	-	-	-	-	
	E06	Geotechnical Environmental Document		-	-	-	-	-	
	E07	Develop Environmental Document Work Plan		110,000	85,759	24,241	78%	75%	
	E08	Support Development of EIR/EIS Project Description		180,000	57,124	122,876	32%	30%	
	E09	Prepare Annotated Outline		88,000	-	88,000	-	-	
	E98	Project Management		31,500	28,611	2,889	91%	75%	
	E99	Expenses		3,500	-	3,500	-	-	

Draft_0028921

Area / Consultant	Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
f) ICF-f		Costs and Task % as of 05/20 Services	**708,300**	**708,300**	**183,622**	**524,678**	**26%**		
	F01	Finalize Joint Biological Assessment		-	-	-	-	-	
	F02	Finalize Geotechnical Permits and Fieldwork		297,120	37,628	259,492	13%	50%	
	F03	Prepare Section 106 Documents		-	-	-	-	-	
	F04	Begin Preparation of Permits and Agreements		26,000	25,976	24	100%	100%	
	F05	Prepare for 2020 Field Work Studies		-	-	-	-	-	
	F06	Strategize on Mitigation and Adaptive Management P		-	-	-	-	-	
	F07	Early Coordination and Development of Key Permits		333,740	82,890	250,850	25%	30%	
	F98	Project Management		35,000	30,734	4,266	88%	75%	
	F99	Expenses		16,440	6,395	10,045	39%	15%	
hc) CH2-hc		Costs and Task % as of 05/20 Services	**597,023**	**597,023**	**77,324**	**519,699**	**13%**		
	HC01	Project Coordination		15,378	4,211	11,167	27%	25%	
	HC02	Engineering Support for Proj. Description of Preferred Proj. from Value Planning		370,475	44,050	326,426	12%	15%	
	HC98	Project Management		55,469	21,204	34,265	38%	25%	
	HC99	Expenses & Subconsultants		155,700	7,859	147,841	5%	15%	
hr) AEC-hr		Costs and Task % as of 06/20 Services	**599,379**	**599,379**	**236,549**	**362,830**	**39%**		
	HR01	Project Coordination		7,339	1,487	5,852	20%	20%	
	HR02	Engineering Support for Project Description of Preferred Project		567,000	212,462	354,538	37%	48%	
	HR98	Project Management		25,040	22,600	2,440	90%	95%	
i) FUG		Costs and Task % as of 06/20 Services	**85,995**	**85,995**	**62,058**	**23,937**	**72%**		
	I01	Data Review and Develop 2019 Feasibility Study Work Plan		-	-	-	-	-	
	I02	- Obsolete -		-	-	-	-	-	
	I03	2019 Site Investigation		-	-	-	-	-	
	I04	Future Site Investigation Work Plan for Design		-	-	-	-	-	
	I05	Assessment of Proposed Field Data Collection Points		50,786	31,736	19,050	62%	62%	
	I98	Project Management		35,209	30,322	4,887	86%	86%	
	I99	Expenses		-	-	-	-	-	

Notes: *

Draft_0028922

Sites

Topic: **Authority Board Agenda Item 1.4** **2020 July 22**

Subject: **Reassignment of Staff**

Requested Action:

Consider approval of Resolution No. 2020-03, providing all of the duties, authorities and responsibilities to the Authority's Executive Director that were previously delegated to the Authority's General Manager.

Detailed Description/Background:

As a result of the organizational assessment, the duties and responsibilities of the General Manager were reassigned to an interim Executive Director in December 2019 and a new Executive Director was selected and a contract for these services was executed in March 2020. There is no longer a General Manger role on the project. The proposed attached resolution memorializes the change from General Manager to Executive Director to affect all agreements, contracts, and any other documents that the Authority has previously executed identifying the General Manager position.

Prior Action:

None

Fiscal Impact/Funding Source:

There is no fiscal impact associated with this change.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Resolution No. 2020-03

BEFORE THE BOARD OF DIRECTORS OF THE SITES PROJECT AUTHORITY

RESOLUTION NO. 2020-03

In the Matter of:

Authorizing the Executive Director as having all of the duties, responsibilities and authorities previously directed upon the General Manager.

WHEREAS, the Authority has previously assigned certain duties, responsibilities and authorities to the General Manager in the oversight of the Project and has identified such in its agreements, contracts, permits, and other business documentation related to the Project; and

WHEREAS, in December 2019 the Authority created the "interim" Executive Director position as the replacement for the General Manager position; and

WHEREAS, in March 2020 the Authority entered into a contract for services with the Authority's Executive Director (the "Contract"), which provided for the Executive Director's fulfillment of duties, responsibilities, and authorities that were previously fulfilled by the former General Manager, and thereby replaced the General Manager position on a permanent basis with the position of Executive Director.

NOW, THEREFORE BE IT RESOLVED by this Board of Directors as follows:

1. The Executive Director position replaces the previous General Manager position within the Project's organization and, pursuant to the Contract, has been delegated all of the duties, responsibilities, and authorities previously assigned to the former General Manager.
2. All references to the term "General Manager" in any agreements, contracts, permits or other business documents shall be understood to mean "Executive Director", if and as applicable, and all such agreements, contracts, permits or other business documents shall, as appropriate, be revised to replace the term "General Manager" with the term "Executive Director". Such amendments shall be considered

ministerial and not subject to further agreement or negotiation between effected parties, and is fully within the rights of the Authority to unilaterally authorize.

3. The Authority's officers and Executive Director are authorized to do all things necessary and proper to carry out and implement the above reference matters.

PASSED, APPROVED AND ADOPTED by the Board of Directors of the Sites Project Authority this 22th day of July 2020, by the following vote:

AYES:

NOES:

ABSTAIN:

ABSENT:

I, Fritz Durst, Chair Authority Board of the Site Project Authority, do hereby certify that the resolution set forth above is true and accurate copy of the resolution adopted by the Board of Directors of the Sites Project Authority at a duly called meeting of the Board on July 22, 2020 and that said resolution has not been rescinded, amended or modified and is in full force and effect as of the date hereof.

In Witness Whereof, I have executed this certificate this 22th day of July 2020.

_____

Fritz Durst, Chair Authority Board, Sites Project Authority

Sites

Topic: **Authority Board Agenda Item 1.5** **2020 July 22**

Subject: **Consulting Service Agreements Terms and Conditions**

Requested Action:

Consider approval of the revised changes to the Authority Consulting Services Agreement.

Detailed Description/Background:

The Sites Project Authority's staff, in coordination with General Counsel, previously developed the Sites Standard Consulting Services Agreement which has been used as the standard contract for consultant services since July 2018.

Recently a need was determined to expand compliance with all applicable laws, specifically incorporating any agreement and agreement amendments between the Authority and a state or federal agency funding agreement into the agreements of the Authority's consultants. Attachment A covers the standard conditions in the consulting agreements. Similar provisions will be added to agreements with other service providers where state and/or federal funding is involved.

Prior Action:

At the July 20, 2018 Reservoir Committee meeting, the Sites Consulting Services Agreement was approved.

Fiscal Impact/Funding Source:

The additional standard conditions are not expected to increase costs for consulting services since the consultants working on the Sites project also perform work for State and Federal agency's which means they already have workplace programs in place to meet these requirements.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Proposed Language Revisions.

Sites

ADDITIONAL LANGUAGE TO AUTHORITY CONSULTING SERVICES AGREEMENT
July 2020

Minor language additions to the Authority's Standard Consulting Services Agreement are in red which has been approved by Authority legal counsel.

1. Services g. Use of Employees, Subconsultants and Subcontractors. Consultant shall furnish sufficient qualified personnel necessary to perform the Services and shall provide and employ all required equipment, materials and supplies necessary to perform the Services in accordance with the completion schedule for the Services. Any subconsultant or subcontractor that will provide services shall be listed in the Task Order with a corresponding Hourly Rate Schedule. Consultant shall not commission the services of any new or additional subconsultant or subcontractor without the approval of the Authority, which approval the Authority may withhold or condition in its reasonable discretion to ensure adherence to the terms and conditions of this Agreement, and which approval shall, if granted, be memorialized in the form of a Change Order. Consultant shall determine the method, details, and means of performing the Services and may at its own cost, employ such employees, subconsultants and subcontractors as it deems necessary to perform the Services. Authority may not control, direct or supervise Consultant's employees, subconsultants or subcontractors in the performance of the Services, and Authority shall not be liable for any expenses or costs relating to Consultant's employees, subconsultants or subcontractors unless Authority has agreed in writing, prior to the time such expenses or costs are incurred, to reimburse Consultant for such expenses. Consultant shall ensure that any contract entered into with any subconsultant or subcontractor is expressly subject to all applicable terms and conditions of this Agreement, which applicable terms and conditions include, without limitation, those governing insurance, applicable laws, indemnification, ownership of work product, confidentiality and conflicts of interest.

8. Compliance with Laws. The Services shall conform to the requirements of all governmental agencies having jurisdiction over the Services. Consultant shall also comply with all federal, state and local laws, ordinances, rules, regulations and orders applicable to the performance of its obligations under this Agreement, including all licensing requirements and occupational, health, safety, employment and environmental laws. Such applicable laws, ordinances, rules, regulations and orders shall include, without limitation, those that are specifically incorporated into any agreement between Authority and a state or federal agency pertaining to the Services, which agency agreement and any amendments thereto the Authority will provide to Consultant following their execution. Consultant shall bear all costs, expenses and liabilities related to any changes in the Services to conform to such laws, ordinances, rules, regulations and orders.

Sites

Topic: **Authority Board Agenda Item 1.6** **2020 July 22**

Subject: **Committee Charter Documents**

Requested Action:

Consider approval of the Coordination, Legislative Outreach, Land Management and Joint Budget and Finance Committees Charter Document updates.

Detailed Description/Background:

The Reservoir Committee and the Authority Board each recently went through a process to re-evaluate and establish their respective workgroup and committee structures for the upcoming 18 months of project work. Each committee has met and considered its charter and is recommending Authority Board approval of updated documents.

Along with the individual committee charters, there is an overarching charting document that the Authority Board previously established to generally inform the purpose, roles and responsibilities and participation guidelines for committees (Attachment B). No changes are being proposed to this document at this time.

The Authority Board has gone through a similar process relative to the charter documents of its committees and will be asked to approve their updates at its July 2020 meeting. According to Section 9 of the November 2016 Authority Bylaws, the charter documents must be approved by the Authority Board.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Individual Charter Documents.
Attachment B: Charter Document Attachment A.

Committee Chartering Document

Status: **Ad Hoc**

- Chair: Fritz Durst (RD-108)
- Members: Officers of the Authority Board and Chairs from each chartered committee, the Treasurer, and any other members of the Authority Board designated by the Chairperson (or their respective designated representative)[1]

  Vice Chair: Jeff Sutton (TCCA)

  Treasurer: Jamie Traynham (TC-4 through Davis WD)

  Land Management: Gary Evans (Colusa County, Chair)

  Legislative & Outreach: Jeff Sutton (TCCA, Chair)

- Staff Support:
  1. The Executive Director and/or his/her designated representative.
  2. Legal counsel on an as needed basis.
  3. Specialty advisors or experts on an as need basis.
- Formation: May 21, 2020 (Elections)
- Expires: Annually, commensurate with elections, which occur in February or March.

Related Documents:

- Attachment A: Committee and Work Group Chartering Process, General Requirements.

Purpose: To facilitate the Authority Board's ability to carry out the functions described in the Joint Exercise of Powers Agreement, as may be amended, in an advisory role and to not make any binding decisions on behalf of the Authority's Board of Directors.

Meeting Frequency: When either the Chair or Vice-Chair deems necessary.

Committee's Roles and Responsibilities:

[1] The total number of participating agencies on this Committee shall not exceed the Authority Board's quorum threshold.

- Acting in an administerial role, serves as the clearinghouse to assign and/or prioritize matters of interest to the most-appropriate committee; who will then prepare a recommendation to the Authority's Board of Directors for consideration and possible action.

  Should either the likelihood for potential duplication of effort between committees exist or the need to ensure integration between committees exists, assign one committee to be the overall lead.

- To ensure each committee is performing their duties efficiently, effectively, timely reporting to the Authority's Board of Directors, and in accordance with the current version of the Joint Exercise of Powers Agreement. In addition, to prevent the duplication of effort between multiple committees.

  NOTES: Agreement by the Chair and Vice-chair is needed to appoint or replace a Committee Chair.

- To ensure matters of interest to the Authority that are related to work delegated to the Authority Board are being addressed and reported in a timely manner. This may include requesting specific items be included in the Authority Board's meeting agenda packages.

- To ensure matters of interest to the Authority Board that either are being addressed by the Authority or require action from the Authority's Board are being addressed and reported in a timely manner. This may include requesting specific items be included in the Authority's meeting agenda packages.

Topic: **Sites Reservoir Project** **2020 July 22**

Subject: **Authority Ad Hoc Land Management Committee**

Authority Committee Chartering Document

Status: **Ad Hoc**

- Leader: Gary Evans (Colusa County)
- Members (3): Lee McDaniel (Glenn County)
  Jeff Sutton (TCCA)
  Logan Dennis (GCID)
- Staff Support:
  1. The External Affairs Manager
  2. Legal counsel on an as needed basis.
  3. Other specialty advisors or experts on an as needed basis.
- Formation: March 22, 2019
- Expires: TBD

Related Documents:

- Attachment A: Committee Chartering Process, General Requirements

Purpose: To advise the Authority on Real Estate, Land Management and Site Facilities issues, including land use changes caused by proposed project facilities for incorporation into both the EIR/S and Reclamation's congressionally mandated Feasibility Report.

Meeting Frequency: When either the Leader determines or an Authority Board Member requests that a potential issue exists to warrant convening the ad hoc committee to develop a recommended resolution or response for the Authority Board to then consider and act upon.

Committee Roles and Responsibilities:

- The primary focus of this Committee will be:
  - Issues and activities relating to the Sites Project Authority's Real Estate program including temporary-rights-of-entry (TROE), property acquisition and landowner engagement activities.

Draft_0028931

- Issues and activities relating to the Site Project Authority's Land Management program including policies for the management of Authority acquired property, management of easements (project and mitigation), and other land management activities.

- Issues and activities relating to the planning and development of the project's proposed recreation facilities and other public lands.

- Issues and activities relating to coordination with local agencies regarding project planning activities, facilities siting issues and changes in local land use.

Topic: **Sites Reservoir Project** **2020 July 22**

Subject: **Authority Ad Hoc Legislative & Outreach Committee**

Authority Committee Chartering Document

Status: **Ad Hoc**

- Leader: Jeff Sutton (TCCA)
- Members (3): Fritz Durst (RD 108)
  Garry Evans (Colusa County)
  Logan Dennis (GCID)
- *RC:* *Reservoir Committee Chair (Thad Bettner – GCID)*
  Reservoir Committee Vice Chair (Jeff Davis – SGPWD)
- Staff Support:
  1. External Affairs Manager – Lead Staff
  2. Legal counsel on an as needed basis.
  3. Other specialty advisors or experts on an as needed basis.
- Formation: March 22, 2019
- Expires: TBD

Related Documents:

- Attachment A: Committee Chartering Process, General Requirements

Purpose: To advise the Authority on Federal, State and Local legislative/government affairs issues and to advise on landowner coordination, stakeholder engagement, public outreach, and media activities.

Meeting Frequency: When either the Leader determines or an Authority Board Member requests that a potential issue exists to warrant convening the ad hoc committee to develop a recommended resolution or response for the Authority Board to then consider and act upon.

Committee Roles and Responsibilities:

- The primary focus of this Committee will be:

Draft_0028933

- Issues and activities relating to the Sites Project Authority's Federal and State Government Affairs/Legislative program including strategy, advocacy, and engagement with Federal and State elected officials, legislative/committee staff, and regulatory agency leadership.

- Issues and activities related to the Sites Project Authority's landowner and local governmental agency coordination effort.

- Issues and activities related to the Sites Project Authority's Communications Program including stakeholder engagement, public outreach, and media relations.

Committee Chartering Document

Status: **Ad Hoc**

- Chair: Jamie Traynham (Davis WD)
- Vice Chair: Robert Cheng (CVWD)
- Members (6): Thad Bettner (GCID)
  Katrina Jessop (SCVWD)
  Rob Kunde (WR-M WSD)
  Shelly Murphy (Colusa County WD)
  Dan Ruiz (Westside WD)
  Bill Vanderwaal (RD-108)
- Staff Lead: Joe Trapasso
- Staff Support: Legal counsel on an as needed basis
  Other specialty advisors or experts on an as needed basis
- Formation: May 27, 2020
- Expires: End of the Phase 2 (2019) Reservoir Project Agreement

Related Documents:

- Attachment A: Work Group Chartering Process, General Requirements

Purpose: To advise the Reservoir Committee and Authority Board on:

a. Status of expenditures,

b. Progress related to implementation of Work Plans,

c. Contract and task order documents,

d. Agreements such as the 2019 Reservoir Participation Agreement

e. Credit Reimbursement Policy,

f. Proposed finance plan, and

g. Project's overall economics for inclusion in the Feasibility Report and WSIP-required state feasibility assessment.

Meeting Frequency: When either the Chair determines, or an Authority Chairperson requests that a potential issue exists to warrant convening the Committee to develop a recommended resolution or response for the Authority to then consider and act upon.

Committee's Roles and Responsibilities:

- The primary focus areas of this work group are:

  a. Serve as the Authority's budget committee to manage the planned, actual, and forecast costs for Phase 2;

  b. Maintaining both the Cost Development Model and Credit reimbursement Policy;

  c. Assessment of the Project's overall economic feasibility;

  d. Recommending a financing strategy for each subsequent project phase;

  e. Recommending how requests to participate should proceed; including the rebalancing processes that will occur prior to the end of August 2020 and December 31, 2021.

  f. Review monthly payment of claims for adequacy to recommend approval to the Reservoir Committee and Authority Board.

  g. Review of Reclamation's Feasibility Study for both economic and financial feasibility.

  NOTE: The review for technical feasibility has been assigned to the Water Facilities Work Group.

Topic: **Sites Reservoir Project, Phase 2 (2020)** **2020 July 22**

Subject: **Authority Board's Committees**

Committee Chartering Process, General Requirements
(Attachment A to each Committee's Chartering Document)

Introduction: Advancing the Sites Reservoir Project (Project) requires the integration of both multiple disciplines and the respective values each participant expects to obtain through this and potentially subsequent phases. Given the size and complexity of this Project, the work has been organized into major categories of work (e.g. cost centers) that serve as the basis for executing specific Project Agreements, such as the Reservoir Committee and Authority Board for the Project's Phase 2 (2019)[1].

In anticipation of the need to expeditiously and cost-effectively resolve issues, the Authority Board has been further organized into committee by key topic areas. Each committee will be advisory to the Authority Board and be responsible for developing recommendations for the Authority Board's consideration regarding the specific matter assigned to them by an over-arching Coordination Committee. The Coordination Committee's purpose is to (a) perform ministerial functions that are intended to ensure integration and facilitate timely decision-making by the Authority Board and (b) both maintenance of and recommend interpretation of the Authority Board's governance documents.

Chartering Document's Purpose:

- To define the primary roles and responsibilities applicable to each committee to address specific matters and to avoid the potential for duplication of effort among multiple committees;

- To establish a timeline for completion of the specific assignment with the expectation its role be revisited and, as appropriate, the chartering document either be amended or a new committee be created.

Committee Roles and Responsibilities: Each committee's primary roles and responsibilities include the following, which are in addition to specific assignment identified in their respective chartering document:

- To keep the Authority Board's Leadership Team appraised of the committee's progress and the escalation of issues in a timely manner.

[1] Since Phase 1, the Authority and Reservoir Committee have been deemed to each be a legislative body as referred to in the Brown Act. Should there be a need for an Authority Committee to meet with a Reservoir Committee's Workgroup, so long as both are advisory, the resulting meeting does not have to be noticed.

Draft_0028937

- To incorporate the Authority Board's direction to their respective committee; to then provide recommendations back to the Authority Board for their consideration and eventual disposition (e.g. adoption of a document or recommended policy).

- To review staff and consultant developed work products and/or deliverables for:

  a. Acceptability with the applicable standard of practice related primarily to technical and quality considerations;

  b. Identification of potential scope changes that may affect – both positively and negatively – the approved annual budget, target work plan, and/or schedule;

  c. Identification of potentially significant changes in risk and/or the reallocation of risk;

  d. Identification of potential policy issues; and,

  e. Ensuring a consolidated set of comments is maintained and final acceptance of how subsequent versions have addressed prior comments.

- Where issues require an integrated response from multiple committees, the Authority Board's leadership team may assign a lead who will then coordinate with each of the required committees to develop an integrated response for the Authority Board's consideration.
- Each committee's activities are ad hoc in nature in that they are limited in scope to that which is provided for in the accompanying "Committee Chartering Document" and presently anticipated to be completed within a phase (or year), generally through review of portions of drafts of feasibility studies, the EIR/EIS and the WSIP application and related documents.

Participation: Organizations[2] who are signatory to the Authority Board and deemed to be in good standing by the Coordination Committee, have been approved to provide in-kind services to the Authority Board, may designate personnel to represent their interests and serve in an active capacity to any Committee. Authority Board Committees may also include as technical advisors who are not signatory to the Authority Board, but whose specific knowledge and expertise is deemed essential to advise on specific issues. This can include Authority Members, Associate Members, and non-voting cost-share partners or Ex Officio Members.

---

[2] Refer to Project Agreement Committee's chartering document, which defines membership types applicable to allow participation in the respective Project Agreement Committee.

Sites

Topic: **Authority Board Agenda Item 1.7** **2020 July 22**

Subject: **Contract Amendment for Professional Services (Brown and Caldwell)**

Requested Action:

Consider approval of an amendment to Brown and Caldwell's (Project Controls) contract and task order scope of work and budget in the amount of $31,881 for a new total contract authority of $1,942,399.

Detailed Description/Background:

The Project Controls contract supports the Project Operations Manager providing primarily staff augmentation to cover financial and administrative activities. Brown and Caldwell was competitively selected for these services in March 2019 and an Amendment 1B task order was issued in February 2020 to carry these services through August 2020. Through the past six months, additional work was incurred in these areas developing work plans, leading the rebalancing and outreach efforts, and supporting early funding agreement changes with the CWC. Also, the Executive Director has been delegating more of the administrative activities to the B&C support staff to free up his time for higher value activities. Staff tried to stretch the contract authority through to August 2020 but has come up short and a modest increase is necessary to continue to provide these important functions in August.

Prior Action:

February 26, 2020: Approved Project Controls task order amendment of $899,156 for the period of January 1, 2020 through August 31, 2020.

January 17, 2020: Approved Amendment 1B Work Plan for the period of January 1, 2020 through August 31, 2020 as part of a no cost time extension to the then current Participation Agreement. The Amendment included summary-level descriptions of the deliverables with estimated Reservoir Committee budgets. Each deliverable budget was further defined in the document by the level of effort required to complete each deliverable by consultant for use in developing amended consultant task orders intended to be submitted for approval to the Reservoir Committee and Authority Board in February 2020.

December 19, 2019: Approved a recommendation to the Sites Project Authority to approve an amendment to Brown and Caldwell's (B&C) (Project Controls) contract period of performance by extending their task order from December 31, 2019 through June 30, 2020 with no change in their cost.

Draft_0028939

March 22, 2019: Approved a recommendation to the Sites Project Authority to approve the Brown and Caldwell Phase 2 (2019) task order and budget for project controls services from April 1, 2019 through December 31, 2019.

January 18, 2019: Delegated responsibility to the Ad Hoc Finance and Economics Work Group to review and consider accepting the terms and conditions and recommending to the Sites Project Authority to approve the agreement negotiated by staff.

November 16, 2018: Approved a recommendation to the Sites Project Authority to accept the evaluation panel's selection of B&C for Service Area B – Project Controls.

August 16, 2018: Approved a recommendation to the Sites Project Authority to the release of the Project Development Support Services RFQ-18-04.

Fiscal Impact/Funding Source:

The BC contract ceiling is proposed to increase by $31,881 with this amendment to complete the additional scope of work. This additional budget would increase the contract budget ceiling to a not to exceed amount of $1,942,399.

This change will be accommodated within the Amendment 1B Work Plan total budget. $31,881 will be reallocated from the General Manager (GM) budget (Reservoir Committee share). The Reservoir Committee share of the GM budget in the Amendment 1B Work Plan is $258,400. Actual spend to date for the GM is $159,763 and no further invoices are anticipated, leaving $98,637 remaining. This reallocation does not impact the Amendment 1B carryover funds previously discussed as part of the cash call process.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Task Order Amendment.

Sites Project Authority

Project Controls

Task Order Amendment

Consultant: Brown and Caldwell

Task Order No. 2.4

Task Order No. 2.4 amends the current Brown and Caldwell Consulting Agreement and task order work activities with the Authority for Project Controls Services for the period of performance through August 31, 2020. This task order amendment expands the scope of work and increases the budget as presented below.

Scope of Work

Brown and Caldwell has been providing additional administrative support to the Authority's new Executive Director and has supported changes to the Early Funding Agreement with the California Water Commission (CWC) to provide increased project revenue. Additional administrative support is required in support of planned town hall meetings and strategic planning sessions. Brown and Caldwell has developed Participation Agreement work plans for the Reservoir Committee, and has supported recent rebalancing efforts. Finally, additional changes are required to the Amendment 2 Work Plan based on increased CWC revenue, cash call timing due to COVID-19, project rebalancing, and negotiated task orders.

Budget

The Brown and Caldwell contract ceiling is increased by $31,881 with this amendment to complete the additional scope of work. This additional budget increases the contract budget ceiling to a not to exceed amount of $1,942,399.

Period of Performance

The period of performance of the task order does not change with this amendment and remains as August 31, 2020.

Brown and Caldwell	**Sites Project Authority**
By: _____	By: _____
Printed Name: _____	Printed Name: _____
Date: _____	Date: _____

Sites

Topic: **Authority Board Agenda Item 2.1** **2020 July 22**

Subject: **2019 Financial Audit Report**

Requested Action:

Consider acceptance of the Financial Auditor's Report.

Detailed Description/Background:

Fechter and Company, CPA, was awarded a contract to conduct an audit of the Authority's financial information for the fiscal years 2016, 2017 and 2018. The audit for the fiscal years 2016 and 2017 were initiated in April 2018 and completed in early July. The fiscal year 2018 audit report was initiated in April 2019 and completed in July 2019.

The Authority approved Fechter and Company to conduct the fiscal year 2019 audit. It was started April 2020 and concluded June 2020.

The report for fiscal year 2019 concludes: "In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Authority as of December 31, 2018, and the results of its operations and cash flows for the years then ended in conformity with accounting principles generally accepted in the United States of America."

It should be noted current liabilities increased from $2,146,939 as of December 31, 2018 to $6,793,111 as of December 31, 2019, due primarily to an increase in the Authority's Participant Reimbursement Policy liability.

Prior Reservoir Committee Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A – 2019 Financial Audit Report.

Attachment B – 2019 Management Report.

SITES PROJECT AUTHORITY

ANNUAL FINANCIAL REPORT
With
Independent Auditor's Report Thereon

DECEMBER 31, 2019

SITES PROJECT AUTHORITY

Annual Financial Report
December 31, 2019

Table of Contents

	Page
Introductory Section	
Organization of Authority and List of Board of Directors	1
Financial Section	
Independent Auditor's Report	2-3
Management's Discussion and Analysis	4-7
Basic Financial Statements	
Statement of Net Position	8
Statement of Activities	9
Balance Sheet – Governmental Funds	10
Statements of Revenues, Expenditures, and Changes in Fund Balances	11
Notes to Basic Financial Statements	12-17
Required Supplementary Information	
Statement of Revenues, Expenditures, and Changes in Fund Balances Budget to Actual – General Fund	18
Statement of Revenues, Expenditures, and Changes in Fund Balances Budget to Actual – Reservoir Fund	19
Notes to Required Supplementary Information	20
Other Reports	
Independent Auditor's Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with Government Auditing Standards	21-22

Draft_0028944

SITES PROJECT AUTHORITY

Organization of Authority and List of Board of Directors
December 31, 2019

Sites Project Authority (the Authority) was established on August 26, 2010, when seven regional entities, including several local water agencies and Counties, executed the Joint Exercise of Power Agreement. The primary purpose of the Authority, as stated in the agreement, is to pursue the development and construction of the Sites Reservoir Project, which has long been viewed as an ideal location for additional off stream storage to provide direct and real benefits to instream flows, the Delta ecosystem, and water supply. In keeping their commitment to move the project forward on behalf of the region, the Authority has spent the last nine years working towards this goal by engaging the public, various stakeholders, state and federal agencies and landowners, initiating the required environmental planning process, and conducting feasibility studies, among other efforts.

GOVERNING BOARD

Name	Office	Member Represented
Fritz Durst	Chair	Reclamation District 108
Gray Allen	Vice Chair	Placer County Water Agency
Gary Evans	Member	County of Colusa
Joe Marsh	Member	Colusa County Water District
Leigh McDaniel	Member	County of Glenn
Logan Dennis	Member	Glenn-Colusa Irrigation District
Jeff Harris	Member	City of Sacramento
Jeff Sutton	Member	Tehama-Colusa Canal Authority
Doug Parker	Member	Westside Water District
Jamie Traynham	Treasurer	TC 4 Districts
Greg Johnson	Member - Non-Voting	Western Canal Water District
Don Bader	Cost-Share Partner - Non-Voting	U.S. Bureau of Reclamation
Rob Cooke	Ex-Officio - Non-Voting	CA Department of Water Resources

Draft_0028945

FECHTER & COMPANY
Certified Public Accountants

Craig R. Fechter, CPA, MST

INDEPENDENT AUDITOR'S REPORT

Board of Directors
Sites Project Authority
Maxwell, California

Report on the Financial Statements

We have audited the accompanying financial statements of the governmental activities and each major fund of the Sites Project Authority (the Authority), as of and for the year ended December 31, 2019, and the related notes to the financial statements, which collectively comprise the Authority's basic financial statements as listed in the table of contents.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, and the State Controller's Minimum Audit Requirements for California Special Districts. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

3445 American River Drive Suite A | Sacramento, CA 95864 | ph 916-333-5360 | fax 916-333-5370
www.fechtercpa.com
Member of the American Institute of Certified Public Accountants Tax Section
and California Society of CPAs

Draft_0028946

Board of Directors
Sites Project Authority
Maxwell, California

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Authority as of December 31, 2019, and the results of its operations and cash flows for the years then ended in conformity with accounting principles generally accepted in the United States of America.

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis information, and the budgetary comparison schedules, as listed in the table of contents, be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated June 15, 2020, on our consideration of the Authority's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Authority's internal control over financial reporting and compliance.

Fechter & Company
Certified Public Accountants

Sacramento, California
June 15, 2020

Draft_0028947

SITES PROJECT AUTHORITY

Management's Discussion and Analysis
December 31, 2019

The following Management's Discussion and Analysis (MD&A) of activities and financial performance of the Sites Project Authority (the Authority) provides an introduction to the financial statements of the Authority for the year ended December 31, 2019, and a comparison to the prior audited financial statements for the year ended December 31, 2018. We encourage readers to consider the information presented here in conjunction with the transmittal letter in the Introductory Section and with the basic financial statements and related notes, which follow this section.

Financial Highlights

- The Authority's assets exceed liabilities by $5,898,590 as of December 31, 2019.

- The Authority's revenues exceeded expenditures by $4,436,226 for the year ending December 31, 2019. The Authority's largest expense was for professional services. Professional services were 67% of total expenses for the year ending December 31, 2019.

Required Financial Statements

This annual report consists of a series of financial statements. The *Statement of Net Position* and the *Statement of Activities* provide information about the activities and performance of the Authority using accounting methods similar to those used by private sector companies.

The *Statement of Net Position* includes all of the Authority's investments in resources (assets) and the obligations to creditors (liabilities). It also provides the basis for computing a rate of return, evaluating the capital structure of the Authority, and assessing the liquidity and financial flexibility of the Authority. All of the current period's revenue and expenses are accounted for in the *Statement of Activities*. This statement measures the success of the Authority's operations over the past year and can be used to determine if the Authority has successfully recovered all of its costs through its revenues. This statement can also be used to evaluate profitability and credit worthiness.

More detailed information about the Authority's most significant funds – not the Authority as a whole, is provided in the fund financial statements. Funds are accounting devices the Authority uses to keep track of specific sources of funding and spending on particular programs. The *Balance Sheet* for governmental funds presents financial information by fund types showing money left at year-end available for spending. The *Statement of Revenues, Expenditures, and Changes in Fund Balances* for all governmental fund types focuses on how money flows into and out of the various funds.

Financial Analysis of the Authority

One of the most important questions asked about the Authority finances is, "Is the Authority better off or worse off as a result of this year's activities?" The *Statement of Net Position* and the *Statement of Activities* report information about the Authority in a way that helps answer this question. These statements include all assets and liabilities using the accrual basis of accounting, which is similar to the accounting used by most private sector companies. All of the current year's revenues and expenses are taken into account regardless of when the cash is received or paid.

These two statements report the Authority's net assets and changes in them. You can think of the Authority's net assets – the difference between assets and liabilities – as one way to measure the Authority's financial health or financial position. Over time, increases or decreases in the Authority's

Draft_0028948

net assets are one indicator of whether its financial health is improving or deteriorating. However, one will need to consider other non-financial factors such as changes in economic conditions, population growth, zoning, and new or changed government legislation, such as changes in Federal and State regulations.

Notes to the Basic Financial Statements

The notes provide additional information that is essential to a full understanding of the data provided in the basic financial statements.

Statement of Net Position

	2019	2018	Change
Assets			
Cash and investments	$ 7,342,108	$ 3,567,974	$ 3,774,134
Other current assets	5,349,593	41,329	5,308,264
Total Assets	12,691,701	3,609,303	9,082,398
Liabilities			
Current liabilities	6,793,111	2,146,939	4,646,172
Total Liabilities	6,793,111	2,146,939	4,646,172
Net Position			
Unrestricted	5,898,590	1,462,364	4,436,226
Total Net Position	$ 5,898,590	$ 1,462,364	$ 4,436,226

As discussed earlier, net position may serve over time as a useful indicator of a government's financial position. In the case of the Authority, assets exceeded liabilities by $5,898,590 and $1,462,364 as of December 31, 2019 and 2018, respectively.

The largest portion, 58%, of the Authority's assets was cash in bank as of December 31, 2019.

Current liabilities increased from $2,146,939 as of December 31, 2018, to $6,793,111 as of December 31, 2019, due primarily to an increase in the Authority's Participant Reimbursement Policy liability.

At the end of fiscal years 2019 and 2018, the Authority showed a positive balance in its unrestricted net position of $5,898,590 and $1,462,364, respectively.

Draft_0028949

Statement of Activities

	2019	2018	Change
General Revenues			
Membership Admin/Authority	$ 506,294	$ 691,795	$ (185,501)
Membership - Water	11,456,740	-	11,456,740
Prop 1 funding	8,080,794	-	8,080,794
Interest revenue	121,535	1,183	120,352
Total Revenues	20,165,363	692,978	19,472,385
Operating Expenses			
Authority/Admin	494,930	427,934	66,996
Reservoir	15,234,207	5,951,648	9,282,559
Total Expenditures	15,729,137	6,379,582	9,349,555
Change in Net Position	$ 4,436,226	$ (5,686,604)	$ 10,122,830

The S*tatement of Activities* shows how the Authority's net position changed during the periods. In the case of the Authority, net position increased by $4,436,226 for the year ending December 31, 2019, and decreased by $5,686,604 for the year ending December 31, 2018, respectively.

A closer examination of the sources of changes in net position reveals that:

Total revenue, which consisted of member dues and assessments, increased by $19,472,385 from the year ending December 31, 2018, to the year ended December 31, 2019, due primarily to the billing of Phase 2 of the Project ($11.6 million) and Prop 1 funding ($8.1 million). Total expenses also increased by $9,349,555 from the year ending December 31, 2018, to the year ended December 31, 2019, to match the Authority's scope of work in 2019.

Authority/Admin expenses comprised 3.15% and 6.71% of total expenses for the years ending December 31, 2019 and 2018, respectively. Reservoir expenses comprised 96.85% and 93.29% of total expenses for the years ending December 31, 2019 and 2018, respectively.

General Fund Budgetary Highlights

The Authority's total budget for the General Fund for the year ended December 31, 2019, showed excess revenues over expenditures of $258 compared to the actual amount of $24,264. The difference was primarily due to the determination of critical tasks that were required to be postponed.

	Actual	Budget	Variance
Revenues	$ 519,194	$ 515,000	$ 4,194
Operating Expenses	494,930	514,742	(19,812)
Net Increase	$ 24,264	$ 258	$ 24,006

Draft_0028950

Conditions Affecting Current Financial Position

The Authority continues to plan and expand project activities to build the large water infrastructure project. Expanding activities results in a continuation of increases to the annual financial budgets.

The California Water Commission's Early Funding Agreement (EFA) with the Authority was approved mid-2019 for the maximum amount payable by the State to not exceed $40,818,884 for activities reasonably related to the completion of environmental documentation and permitting. In 2019, the Authority processed and received a State payment of $2,769,021 towards the not to exceed amount for eligible activities. In 2020, the Authority will continue to prepare and submit EFA invoices for payment.

The current phase of the project is anticipated to be completed by August 31, 2020, and is fully funded. The Authority is planning to finalize the next phase of the project in mid-2020 to initiate work on September 1, 2020 through December 31, 2021. The current plan is to fund the next phase of the project through a Participants' cash call and additional received EFA dollars.

Future Plans for the Sites Reservoir Project

As an ongoing part of the EFA funding process, the Authority will continue to prepare and submit invoices for payment on a quarterly basis.

The Authority will continue to expand its governance and member participation.

The Authority will be developing a detailed work plan and financing plan for the remainder of Phase 2 through December 31, 2021.

The Authority will secure additional necessary professional services contracts such as engineering contracts to support the implementation of the Phase 2 Work Plan and beyond. Critical Phase 2 activities that need to be performed by the professional services includes further work to secure necessary environmental permits and agreements, additional operations simulation modeling, and detailed engineering work.

Requests for Information

This financial report is designed to provide the Authority's funding sources, customers, stakeholders, and other interested parties with an overview of the Authority's financial operations and financial condition. Should the reader have questions regarding the information included in this report or wish to request additional financial information, please contact the Authority's Treasurer at P.O. Box 517, Maxwell, California 95955 or view the website of the Authority at www.sitesjpa.org.

Draft_0028951

SITES PROJECT AUTHORITY
STATEMENT OF NET POSITION
DECEMBER 31, 2019

ASSETS		
Cash and investments	$	7,342,108
Due from other governments		35,293
Grants receivable		5,311,774
Prepaid expenses		2,526
TOTAL ASSETS		12,691,701
LIABILITIES		
Accounts payable		728,458
Participant reimbursement policy		6,064,653
TOTAL LIABILITIES		6,793,111
NET POSITION		
Unrestricted		5,898,590
NET POSITION	$	5,898,590

The accompanying notes are an integral part of these financial statements.

Draft_0028952

SITES PROJECT AUTHORITY
STATEMENT OF ACTIVITIES
FOR THE YEAR ENDED DECEMBER 31, 2019

GOVERNMENTAL ACTIVITIES:

EXPENSES:

Authority/Admin	$ 494,930
Reservoir	15,234,207
Total expenses	$ 15,729,137

GENERAL REVENUES:

Membership Admin/Authority	$ 506,294
Membership Water	11,456,740
Prop 1 funding	8,080,794
Interest revenue	121,535
Total general revenues	20,165,363
Change in net position	4,436,226
Net position, beginning of fiscal year	1,462,364
Net position at end of fiscal year	$ 5,898,590

The accompanying notes are an integral part of these financial statements.

Draft_0028953

SITES PROJECT AUTHORITY
BALANCE SHEET - GOVERNMENTAL FUNDS
DECEMBER 31, 2019

	General Fund - Authority	Special Revenue - Reservoir	Total
ASSETS			
Cash and investments	$ 504,957	$ 6,837,151	$ 7,342,108
Due from other governments	12,863	22,430	35,293
Grants receivable	-	5,311,774	5,311,774
Prepaid expenses	334	2,192	2,526
TOTAL ASSETS	$ 518,154	$ 12,173,547	$ 12,691,701
LIABILITIES			
Accounts payable	$ 51,526	$ 676,932	$ 728,458
Participant reimbursement policy	-	6,064,653	6,064,653
Total liabilities	51,526	6,741,585	6,793,111
FUND BALANCES			
Fund balance:			
Assigned to development of the reservoir	-	5,431,962	5,431,962
Unassigned	466,628	-	466,628
Total fund balance	466,628	5,431,962	5,898,590
TOTAL LIABILITIES AND FUND BALANCES	$ 518,154	$ 12,173,547	$ 12,691,701

The accompanying notes are an integral part of these financial statements.

Draft_0028954

SITES PROJECT AUTHORITY
STATEMENT OF REVENUES, EXPENDITURES, AND CHANGES IN FUND BALANCES
GOVERNMENTAL FUNDS
FOR THE YEAR ENDED DECEMBER 31, 2019

	General Fund - Authority	Special Revenue - Reservoir	Total
REVENUES			
Membership Admin/Authority	$ 506,294	$ -	$ 506,294
Membership Water	-	11,456,740	11,456,740
Prop 1 funding	-	8,080,794	8,080,794
Interest revenue	12,900	108,635	121,535
Total revenues	519,194	19,646,169	20,165,363
EXPENDITURES			
Current:			
Accounting services	8,527	34,111	42,638
Audit fees	-	11,892	11,892
Administrative support	13,437	53,749	67,186
General manager	50,532	385,369	435,901
Insurance	200	585	785
Office expenses	8,197	4,509	12,706
Rent expense	-	2,500	2,500
Dues and Subscriptions	15,799	6,981	22,780
Professional fees	395,821	10,064,129	10,459,950
Reimbursements	-	4,660,713	4,660,713
Website, computer support	2,182	8,729	10,911
Miscellaneous	235	940	1,175
Total expenditures	494,930	15,234,207	15,729,137
Net changes in fund balances	24,264	4,411,962	4,436,226
Fund balances, beginning of year	442,364	1,020,000	1,462,364
Fund balances, end of year	$ 466,628	$ 5,431,962	$ 5,898,590

The accompanying notes are an integral part of these financial statements.

Draft_0028955

NOTE 1: SIGNIFICANT ACCOUNTING POLICIES

Reporting Entity: Sites Project Authority (the Authority) was established as an independent special agency in August of 2010 under the Joint Exercise of Powers Act, California Government Code, Section 6500.

As of December 31, 2019, 11 public entities, filling 9 Board seats with 2 government agencies serving ex-officio positions and 2 Associate Members, were approved members of the Authority as follows:

Reclamation District 108	Westside Water District
Placer County Water Agency/ City of Roseville	Colusa County Water District
County of Colusa	Sacramento County Water Agency/ City of Sacramento
County of Glenn	California Department of Water Resources
Glenn-Colusa Irrigation District	TC 4 Districts (Associate Member)
Tehama-Colusa Canal Authority	Western Canal Water District (Associate Member)
U. S. Bureau of Reclamation	

The Authority is a public entity district and therefore, falls under the guidelines of a special district governmental entity. The Authority is governed by a board of directors that consists of one representative of each member.

The Authority was developed with the purpose to effectively study, promote, develop, design, finance, acquire, construct, manage, and operate Sites Reservoir and the related facilities. The purpose of pursuing and developing the Sites Reservoir are to: (a) increase surface water storage and enhance water management flexibility in the Sacramento Valley, (b) provide flood control benefits, (c) improve conditions for fish and wildlife in the Sacramento Valley and (d) improve the operation of the State's water system.

Financial Statement Presentation: The *Statement of Net Position* and *Statement of Activities* display information about the primary government (the Authority). These statements include the financial activities of the overall government. These statements report the governmental activities of the Authority, which are normally supported by inter-governmental revenues. The Authority had no business-type activities as of and for the year ended December 31, 2019.

The *Statement of Activities* presents a comparison between direct expenses and program revenues for each function of the Authority's governmental activities. Direct expenses are those that are specifically associated with a program or function and; therefore, are clearly identifiable to a particular function. Program revenues include operating grants. Revenues that are not classified as program revenues are presented instead as general revenues.

Draft_0028956

NOTE 1: SIGNIFICANT ACCOUNTING POLICIES - CONTINUED

Fund financial statements of the Authority are organized into one fund which is considered to be a separate accounting entity. The fund is accounted for by providing a separate set of self-balancing accounts that constitute its assets, liabilities, fund equity, revenues, and expenditures. The Authority reports two funds, the General Fund, which accounts for all revenues and expenditures necessary to carry out the administrative activities of the Authority, and the Reservoir Fund, a special revenue fund used to account for specific work activities for the development of the Sites Reservoir Project and is funded by contributions from the Project Agreement Members.

Basis of Accounting and Measurement Focus: Basis of accounting refers to the point at which revenues or expenditures/expenses are recognized in the accounts and reported in the financial statements. It relates to the timing of the measurements made regardless of the measurement focus applied.

The government-wide financial statements are reported using the economic resources measurement focus and the accrual basis of accounting. Revenues are recorded when earned and expenses are recorded at the time liabilities are incurred, regardless of when the related cash flows take place. Non-exchange transactions, in which the Authority gives (or receives) value without directly receiving (or giving) equal value in exchange, include grants, entitlements, and donations.

Revenues from grants, entitlements, and donations are recognized in the fiscal year in which all eligibility requirements have been satisfied.

Governmental funds are reported using the current financial resources measurement focus and the modified accrual basis of accounting. Under this method, revenues are recognized when measurable and available. Charges for services, operating grants, and use of money and property are accrued when their receipt occurs within sixty days after the end of the accounting period so as to be both measurable and available. Expenditures are generally recorded when a liability is incurred, as under accrual accounting.

Cash: The Authority's cash includes demand deposits. The Authority had no investments during the year ending December 31, 2019.

The governing board has not formally adopted any deposit and investment policies that limits the Authority's allowable deposits or investments and addresses the specific type of risk to which the Authority is exposed.

Due from Other Governments: Amounts due from other governments represent amounts due from member agencies that has been earned but not received by the end of the period.

As of December 31, 2019, the Authority has determined that all amounts are collectable and therefore, no doubtful account allowance was deemed necessary as of December 31, 2019.

Draft_0028957

NOTE 1: SIGNIFICANT ACCOUNTING POLICIES - CONTINUED

Capital Assets: The Authority defines capital assets as property, plant, equipment, and infrastructure assets with an initial individual cost of $5,000 and a useful life of three years or more. As of December 31, 2019, the Authority had no capital assets.

Unearned Revenue: This represents amounts received from members that will be applied to future billings.

Revenue: The Authority's revenue is comprised of the following:

General Fund – Authority Revenue

Authority members pay an annual membership fee which is established by the Authority. The membership fee for 2019 was $55,000 per member.

Special Revenue – Reservoir Fund

Revenue is recognized according to the Project's board-approved operating budget for each phase of the Project. The Project Agreement Members are billed in accordance with their pro-rata share of the budgeted costs for activities undertaken pursuant to the Project Agreement.

To withdraw from this Project Agreement, a Project Agreement Member shall give the Authority and other Project Agreement Members written notice of such withdrawal not less than 30 days prior to the withdrawal date. As of the withdrawal date, all rights of participation in this Project Agreement shall cease for the withdrawing Project Agreement Member. The financial obligation as prescribed in the Bylaws' Section 5.10 in effect on the withdrawal date, shall consist of the withdrawing Member's share of the following costs: (a) payment of its share of all non-contract costs incurred prior to the date of the written notice of withdrawal, and (b) those contract costs associated with funds approved.

Government-Wide Net Position:
When both restricted and unrestricted resources are available for use, it is the Authority's policy to use restricted resources first, then unrestricted resources as they are needed.

Government-wide net position is reported in three components - net investment in capital assets, restricted, and unrestricted. These classifications are defined as follows:

Net investment in capital assets – This component consists of capital assets, including restricted capital assets, net of accumulated depreciation and reduced by the outstanding balances of any bonds, mortgages, notes or other borrowings that are attributable to the acquisition, construction, or improvement of those assets.

Restricted – This component consists of constraints placed on net position through external constraints imposed by creditors (such as through debt covenants), grantors, contributors, or regulations of other governments or constraints imposed by law through constitutional provisions or enabling legislation.

Draft_0028958

NOTE 1: SIGNIFICANT ACCOUNTING POLICIES - CONTINUED

Unrestricted – This component consists of all other net position that does not meet the definition of "restricted" or "net investment in capital assets", as defined above.

Governmental Fund Balance: In the governmental fund financial statements, fund balances are classified as follows:

Nonspendable – Amounts that cannot be spent either because they are in a nonspendable form or because they are legally or contractually required to be maintained intact.

Restricted – Amounts that can be spent only for specific purposes because of state or federal laws, or externally imposed conditions by grantors or creditors.

Committed – Amounts that can be used only for specific purposes determined by formal action of the Authority.

Assigned – Amounts that are designated by the Authority to be used for specific purposes, but are neither restricted nor committed. The board of directors has the authority to assign amounts to be used for specific purposes.

Unassigned – All amounts not included in other spendable classifications.

Estimates: The preparation of financial statements, in conformity with accounting principles generally accepted in the United States of America, requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Actual results may differ from those estimates.

NOTE 2: CASH AND INVESTMENTS

Demand Deposits: The carrying amount and the bank balance of the Authority's cash as of December 31, 2019, were $7,342,108 and $7,853,942, respectively. The Federal Deposit Insurance Corporation (FDIC) insures deposits up to $250,000. As of December 31, 2019, $7,603,942 was in excess of the insurance coverage.

Custodial credit risk is the risk that in the event of a bank failure, the Authority's deposits may not be returned to it. The California Government Code and the Authority's investment policy do not contain legal or policy requirements limiting exposure to custodial risk for deposits or investments. The California Government Code requires that a bank secures deposits made by state and local governmental units by pledging securities in an undivided collateral pool held by a depository regulated under state law (unless so waived by the governmental unit). The market value of the pledged securities in the collateral pool must equal at least 110% of the total amount deposited by public agencies. California law also allows financial institutions to secure the Authority's deposits by pledging first trust deed mortgage notes having a value of 150% of the secured public deposits.

Draft_0028959

NOTE 3: PROFESSIONAL FEES

Professional fees for the year ended December 31, 2019, consisted of the following:

	General Fund - Authority	Special Revenue - Reservoir	Total
Env/Biological Services	$ -	$ 1,265,952	$ 1,265,952
Environmental/Project Operation	-	326,300	326,300
Bond Counsel	3,835	4,747	8,582
Bus/Comm Manager	-	236,373	236,373
EPP Manager	-	215,834	215,834
Federal Government Affairs Supp	25,000	25,000	50,000
General Legal Counsel	65,517	74,956	140,473
Geotechnical Engineering	-	193,371	193,371
Legislative/Reg/Strategic	55,000	33,000	88,000
Program Ops Manager	-	349,666	349,666
Project Controls	-	1,331,250	1,331,250
Project Delivery Advisor	2,970	1,035	4,005
Project Integration	-	2,378,561	2,378,561
Communication	191,653	194,107	385,760
Cost Development Model	-	12,753	12,753
Cultural Study	-	19,024	19,024
Engineering & Technical Service	-	244,092	244,092
Env Planning/Permits Manager	-	391,160	391,160
Public Outreach & Engagement	13,184	8,272	21,456
Real Estate	-	207,176	207,176
Reservoir Operations	-	25,574	25,574
ROW/Land Management	-	16,932	16,932
Special Legal	-	61,934	61,934
Municipal Advisor	-	176,709	176,709
Operations/Simulation Modeling	-	927,470	927,470
Organizational Assessment	38,662	-	38,662
Permitting & Agreements	-	1,269,631	1,269,631
Project Operations	-	73,250	73,250
	$ 395,821	$ 10,064,129	$ 10,459,950

Draft_0028960

NOTE 4: RISK MANAGEMENT

The Authority is exposed to various risks and general liabilities. The Authority participates in a public entity risk pool as a member of Association of California Water Agencies Joint Powers Insurance Authority (ACWA-JPIA). Each ACWA-JPIA member agency shares surpluses and deficits proportional to their participation. The Authority pays retrospectively rated annual premiums to ACWA-JPIA for its insurance coverage and has met all obligations since participation began.

NOTE 5: PARTICIPANTS REIMBURSEMENT POLICY

According to the Authority's participants reimbursement policy, the participants who withdrew or decreased their participation in the project are entitled to a credit equal to the contribution they made up to the date of withdrawal or reduction. That credit was applied toward future contribution requirements or booked as a liability on the Authority's books to be paid when it is determined that such reimbursements will not have an adverse impact on the financial viability or overall implementation of the Project. The amount due to participants under the reimbursement policy at December 31, 2019, was $6,064,653.

NOTE 6: COMMITMENTS AND CONTINGENCIES

Amounts received or receivable from grantor agencies are subject to audit and adjustment by grantor agencies. Any disallowed claims, including amounts already collected, may constitute a liability. The amount of expenditures, if any, which may be disallowed by the grantor, cannot be determined at this time although the Authority expects such amounts, if any, to be immaterial.

The Authority has outstanding contracts related to EIR/EIS and feasibility study. The remaining commitments on these contracts as of December 31, 2019, were minimal as the contracts can be canceled with a thirty-day notice.

NOTE 7: SUBSEQUENT EVENTS

Management has evaluated subsequent events through June 15, 2020, the date which the financial statements were available to be issued. Based upon this evaluation, except for the following, it was determined that no other subsequent events occurred that require recognition or additional disclosure in the financial statements.

In January 2020, the virus SARS -CoC-2 was transmitted to the United States from overseas sources. This virus, responsible for the Coronavirus disease COVID-19, has proven to be extremely virulent with transmission rates as yet unknown. The economic impact in the State of California as yet has not been determined and therefore any potential impact on the Authority is not yet known.

Draft_0028961

REQUIRED SUPPLEMENTARY INFORMATION

SITES PROJECT AUTHORITY
GENERAL FUND
STATEMENT OF REVENUES, EXPENDITURES, AND CHANGES IN FUND BALANCES - BUDGET AND ACTUAL
FOR THE YEAR ENDED DECEMBER 31, 2019

	Budgeted Amounts			Variance with Final Budget Positive
	Original	Final	Actual	(Negative)
REVENUES				
Membership Admin/Authority	$ 515,000	$ 515,000	$ 506,294	$ (8,706)
Interest revenue	-	-	12,900	12,900
Total revenues	515,000	515,000	519,194	4,194
EXPENDITURES				
Current:				
Accounting services	6,300	6,300	8,527	(2,227)
Audit fees	-	-	-	-
Administrative support	24,000	24,000	13,437	10,563
General manager	71,640	71,640	50,532	21,108
Insurance	3,250	3,250	200	3,050
Office expenses	23,330	23,330	8,197	15,133
Dues and Subscriptions	5,000	5,000	15,799	(10,799)
Professional fees	315,222	315,222	395,821	(80,599)
Reimbursements	-	-	-	-
Website, computer support	6,000	6,000	2,182	3,818
Miscellaneous	60,000	60,000	235	59,765
Total expenditures	514,742	514,742	494,930	19,812
Excess of revenues over expenditures	$ 258	$ 258	$ 24,264	$ 24,006

See notes to required supplementary information.

Draft_0028963

SITES PROJECT AUTHORITY
RESERVOIR FUND
STATEMENT OF REVENUES, EXPENDITURES, AND CHANGES IN FUND BALANCES - BUDGET AND ACTUAL
FOR THE YEAR ENDED DECEMBER 31, 2019

	Budgeted Amounts			Variance with Final Budget Positive
	Original	Final	Actual	(Negative)
REVENUES				
Membership Water	$ 16,111,534	$ 13,032,023	$ 11,456,740	$ (1,575,283)
Prop 1 funding	18,854,260	1,880,000	8,080,794	6,200,794
Interest revenue	-	-	108,635	108,635
Total revenues	34,965,794	14,912,023	19,646,169	4,734,146
EXPENDITURES				
Current:				
Accounting services	35,692	46,800	34,111	12,689
Audit fees	-	-	11,892	(11,892)
Administrative support	24,000	43,200	53,749	(10,549)
General manager	304,470	283,500	385,369	(101,869)
Insurance	-	-	585	(585)
Office expenses	8,198	3,240	4,509	(1,269)
Rent expense	124,000	-	2,500	(2,500)
Dues and Subscriptions	4,000	-	6,981	(6,981)
Professional fees	32,368,676	13,020,319	10,064,129	2,956,190
Reimbursements	2,067,094	1,472,023	4,660,713	(3,188,690)
Website, computer support	10,182	5,760	8,729	(2,969)
Miscellaneous	-	-	940	(940)
Total expenditures	34,946,312	14,874,842	15,234,207	(359,365)
Excess of revenues under expenditures	$ 19,482	$ 37,181	$ 4,411,962	$ 4,374,781

See notes to required supplementary information.

Draft_0028964

Note 1 - Budgetary Basis of Accounting

Budgets are prepared on the cash and expenditures or encumbrances basis. Revenues are budgeted in the year receipt is expected; expenditures are budgeted in the year that the applicable warrant requisitions are expected to be issued. The budget and actual financial statements are reported on the above basis, with no material differences between them.

Annual budget requests are submitted by the Authority's staff to the board of directors for preliminary review and approval. After public hearing, a final budget is approved by the board of directors, with a resolution adopting said budget. Copies of the approved budget are sent to all required agencies.

Draft_0028965

OTHER REPORTS

INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

To the Board of Directors
Sites Project Authority
Maxwell, California

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in Government Auditing Standards issued by the Comptroller General of the United States, the financial statements of the governmental activities and the General Fund of Sites Project Authority, as of and for the year ended December 31, 2019, and the related notes to the financial statements, which collectively comprise the Authority's basic financial statements, and have issued our report thereon dated June 15, 2020.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the Authority's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Authority's internal control. Accordingly, we do not express an opinion on the effectiveness of the Authority's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

3445 American River Drive Suite A | Sacramento, CA 95864 | ph 916-333-5360 | fax 916-333-5370
www.fechtercpa.com
Member of the American Institute of Certified Public Accountants Tax Section
and California Society of CPAs

Draft_0028967

To the Board of Directors
Sites Project Authority

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Authority's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under Government Auditing Standards.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with Government Auditing Standards in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Fechter & Company
Certified Public Accountants

Sacramento, California
June 15, 2020

Draft_0028968

SITES PROJECT AUTHORITY

MANAGEMENT REPORT

FOR THE YEAR ENDED

DECEMBER 31, 2019

SITES PROJECT AUTHORITY

Management Report
For the Year Ended December 31, 2019

Table of Contents

	Page
Introduction	1
Required communication	2-4

FECHTER & COMPANY
Certified Public Accountants

Craig R. Fechter, CPA, MST

Board of Directors of the
Sites Project Authority
Maxwell, California

In planning and performing our audit of the financial statements of the Sites Project Authority for the year ended December 31, 2019, we considered the Authority's internal control structure to determine our auditing procedures for the purpose of expressing an opinion on the financial statements and not to provide assurance on the internal control structure.

Our consideration of the internal control would not necessarily disclose all matters in the internal control that might be material weaknesses under standards established by the American Institute of Certified Public Accountants. A material weakness is a condition in which the design or operation of one or more of the internal control components does not reduce, to a relatively low level, the risk that errors or irregularities in amounts that would be material in relation to the basic financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions.

We will review the status of these comments during our next audit engagement. We will be pleased to discuss them in further detail at your convenience, to perform any additional study of these matters, or to assist you in implementing the recommendations. We thank the Authority's staff for its cooperation on this audit.

Fechter & Company,
Certified Public Accountants

June 15, 2020
Sacramento, California

3445 American River Drive Suite A | Sacramento, CA 95864 | ph 916-333-5360 | fax 916-333-5370
www.fechtercpa.com
Member of the American Institute of Certified Public Accountants Tax Section
and California Society of CPAs

Draft_0028971

SITES PROJECT AUTHORITY
Required Communications
For the Year Ended December 31, 2019

The Auditor's Responsibility under U.S. Generally Accepted Auditing Standards

As stated in our engagement letter dated February 3, 2020, our responsibility, as described by professional standards, is to plan and perform our audit to obtain reasonable, but not absolute assurance that the financial statements are free of material misstatement and are fairly presented in accordance with U.S. generally accepted accounting principles. Because an audit is designed to provide reasonable, but not absolute assurance and because we did not perform a detailed examination of all transactions, there is a risk that material misstatements may exist and not be detected by us.

In planning and performing our audit, we considered the Authority's internal control over financial reporting in order to determine our auditing procedures for the purpose of expressing our opinion on the financial statements and not to provide assurance on the internal control over financial reporting.

As part of obtaining reasonable assurance about whether the Authority financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grants, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit.

Significant Accounting Policies

Management is responsible for the selection and use of appropriate accounting policies. In accordance with the terms of our engagement letter, we will advise management about the appropriateness of accounting policies and their application. The significant accounting policies used by the Authority are described in Note 1 to the financial statements. We noted no transactions entered into by the Authority during the year that were both significant and unusual, and of which, under professional standards, we are required to inform you, or transactions for which there is a lack of authoritative guidance or consensus.

Accounting Estimates

Accounting estimates are an integral part of the financial statements prepared by management and are based on management's knowledge and experience about past and current events and assumptions about future events. Certain accounting estimates are particularly sensitive because of their significance to the financial statements and because of the possibility that future events affecting them may differ significantly from those expected. Our audit did not disclose any sensitive estimates affecting the financial statements.

Draft_0028972

Audit Adjustments

For purposes of this letter, professional standards define an audit adjustment as a proposed correction of the financial statements that, in our judgment, may not have been detected except through our auditing procedures. An audit adjustment may or may not indicate matters that could have a significant effect on the Authority's financial reporting process (that is, cause future financial statements to be materially misstated). The following audit adjustments, in our judgment, indicate matters that could have a significant effect on the Authority's financial reporting process:

Audit adjustments for the year ended December 31, 2019:

- To record additional payables of $182,938 relating to 5 invoices that pertained to the year ended December 31, 2019.
- To record a grant receivable of $1,957,712 for Prop 1 Funding received on May 14, 2020, which pertained to the year ended December 31, 2019.

Disagreements with Management

For purposes of this letter, professional standards define a disagreement with management as a matter, whether or not resolved to our satisfaction, concerning a financial accounting, reporting, or auditing matter that could be significant to the financial statements or the auditor's report. We are pleased to report that no such disagreements arose during the course of our audit.

Management Representations

We have requested certain representations from management that are included in the management representation letter dated June 15, 2020.

Consultations with Other Independent Auditors

In some cases, management may decide to consult with other accountants about auditing and accounting matters, similar to obtaining a "second opinion" on certain situations. If a consultation involves application of an accounting principle to the governmental unit's financial statements or a determination of the type of auditor's opinion that may be expressed on those statements, our professional standards require the consulting accountant to check with us to determine that the consultant has all the relevant facts. To our knowledge, there were no such consultations with other accountants.

Issues Discussed Prior to Retention of Independent Auditors

We generally discuss a variety of matters, including the application of accounting principles and auditing standards, with management each year prior to retention as the Authority's auditors. However, these discussions occurred in the normal course of our professional relationship and our responses were not a condition to our retention.

Draft_0028973

Difficulties Encountered in Performing the Audit

We encountered no significant difficulties in dealing with management in performing our audit.

Other Matters

During our audit, we became aware of the following deficiencies in internal control, other than significant deficiencies or material weaknesses, and other matters that are opportunities for strengthening internal controls and operating efficiency:

1. Recording Expense Accruals: In order to present a more accurate picture of the Authority's operations, it is important to record revenues and expenditures in the period in which they were incurred, not necessarily when they were paid. During our audit of the Authority, we found 5 instances in which an expenditure had not been recorded in the proper period. We recommend that the Authority perform a more thorough review of transactions subsequent to year-end to accurately reflect revenues and expenditures in the correct period.

2. Written Financial Policies and Procedures: Financial policies can provide a solid foundation for sound fiscal practices by a local agency. They should be in writing and adopted by the governing body. As such, they provide:

    a. A means through which the governing body can communicate its policies and goals to staff, the public, and others;
    b. Direction to staff and standards against which current practices can be measured and changes in practices can be evaluated; and
    c. A way to optimize credit for borrowing. Ratings agencies who assess local agencies' credit for borrowing review their written financial policies. Well-documented policies can mean higher rating grades and lower borrowing costs.

    Board members and staff need to understand why they are doing the things they are doing and be committed to doing them correctly and completely. Written policies and procedures enhance this understanding. Specific policies will vary depending upon the nature and scope of a district's operations. Nevertheless, having a range of policies (from big picture to practical and operational) helps an agency chart a wise course financially and avoid operational missteps.

    During the audit, we became aware that the Authority does not have written policies and procedures. We recommend that the Authority prepare written financial policies and procedures and make these available to all parties that initiate, authorize, and record transactions for the Authority.

Restriction on Use

This information is intended solely for the use of the Board of Directors and management of the Sites Project Authority and is not intended to be, and should not be, used by anyone other than these specified parties.

Draft_0028974

Sites

Topic: **Authority Board Agenda Item 2.2** **2020 July 22**

Subject: **Water Rights Attorney Procurement**

Requested Action:

Consider approval of release of a Request for Qualifications to initiate the procurement of legal services to support the acquisition of the water rights permits and approvals needed to support the project.

Detailed Description/Background:

As part of the Amendment 2 work plan, a team of technicians, engineers and an attorney are needed to secure the necessary permits and approvals related to the water rights for the project. Previously, the Authority competitively procured the services of the technicians and engineers but has not yet secured an attorney of the water rights team.

Staff recommends issuing a Request for Qualifications (RFQ) to competitively procure a water rights attorney at this time. A short list of specialized water rights attorneys (see attached) known to have the qualifications to complete the assignment has been created and these firms will be invited to provide a statement of qualifications. Having a short list does not preclude other statements of qualifications. The RFQ will be publicly advertised on the website. The objective will be to select the best qualified firm for the assignment and an individual that can work effectively with the existing team. Also, since the number of qualified attorneys practicing in this area within California is limited, consideration of potential conflicts will be a key factor for selection. A summary of the scope, schedule, budget and the short list of firms is attached.

The selection panel will consist of the Executive Director, the EPP Manager, and the Chairs of the Reservoir Committee and Authority Board. Other Reservoir Committee members interested in participating can do so. We would like to keep the panel manageable at 6-8 participants.

Prior Action:

None.

Fiscal Impact/Funding Source:

The Amendment 2 work plan includes approximately $200,000 for legal services associated water rights acquisition during this initial phase of planning and preparation of an administrative draft water rights application by the end of 2021. Subject to acceptable performance, the services would be continued through acquisition of all water rights permits and approvals expected to occur by 2024.

Staff Contact:

Jerry Brown/Ali Forsythe

Attachments:

Attachment A: Summary of the scope, schedule, budget and short list of firms.

Water Right Legal Services
For the Sites Project authority

Scope: To assist the Authority in securing all necessary water rights for the Sites Reservoir Project. This initial stage of work involves working with the water right team to complete the water right strategy, ensuring water right matters are properly analyzed in the revised and recirculated Draft EIR/EIS, and lead the preparation of a water right application to the State Water Resources Control Board to be submitted in early 2022. Depending upon performance, future stages of work may involve serving as legal representative for the Authority to the State Water Resources Control Board in their proceedings to issue a water right permit and approvals for the Project which is expected no later than Spring 2024.

The current primary water right team members consist of the following firms: MBK Engineers, ICF International, CH2MHill/Jacobs Engineering and Authority Agents.

Schedule:

Activity	Date (2020)
Release of RFQ	July 22
Submission of Written RFQ Questions	July 28 Noon
Response to Written Questions Emailed to Respondents	July 31
Proposals Due to Authority	August 20 Noon
Authority Review of Qualifications/Firms Selected for Interview	August 23
Notification of Interviews, if Appropriate	August 28
Interviews, at Authority's Discretion	September 3-4
Reservoir Committee Reviews and Recommends Approval to the Authority Board	September 17
Authority Board Reviews and Approves Contract Award	September 23
Execution of Contract Completed	September 30

Budget: There is $200,000 for water right legal services in the current Amendment 2 Work Plan which is intended to cover the initial stage of work. However, the proposer is encouraged to identify any other work products and budget needs they feel would be advantageous to the Project in regard to acquisition of water

rights. Future stages of work will include sufficient funds and the provider will assist in developing the scope and budget.

The selection panel will involve the Executive Director, and 4-6 members of the Reservoir Committee and Authority Board Coordinating Committees. The selection criteria will generally involve the following elements:

- Results of the proposers comprehensive conflict check must reasonably demonstrate the attorney and the firm are sufficiently cleared of material conflicts with any Reservoir Committee and Authority Board participating member agencies, or conflicts with any other parties that may be interested in the Sites Reservoir Project water right process.
- Level of demonstrated experience (attorney and firm) providing similar legal services and successfully completing a water right proceeding of a nature and magnitude similar to that of the Sites Reservoir Project.
- Capability to work effectively with the water right team and deliver an end product meeting the requirements of the Authority.

Invited Firms: The following list of firms will be invited to propose for the water right legal services:

Bartkiewicz, Kronick & Shanahan
1011 22nd Street, Sacramento, CA 95816

Best, Best & Krieger
500 Capitol Mall, Sacramento, CA 95814

Downey Brand LLP
621 Capital Mall 18th Floor, Sacramento, CA 95814

Ellison Schneider Harris & Donlan LLP
2600 Capital Ave, Suite 400, Sacramento, CA 95816

Kronick Moskovitz Tiedemann & Girard
400 Capital Mall, 27th Floor, Sacramento, CA 95814

Somach Simmons & Dunn
500 Capital Mall, Suite 1000, Sacramento, CA 95814

Sites

Topic: **Authority Board Agenda Item 3.1** **2020 July 22**

Subject: **Review Approved Water Storage Policy**

Requested Action:

Review and comment on the conditionally approved August 2019 Water Storage Policy and consideration of updates to align with Value Planning. Recognize the storage policy serves as the foundational document to 2021 key milestones of creating a Plan of Finance and Operations Plan and refer consideration of updates to the ad hoc Engineering and Operations Workgroup.

Detailed Description/Background:

In August 2019, the Reservoir Committee conditionally approved the attached Water Storage Policy (Policy) that broadly describes allocation of Project benefits and costs including how the Reservoir Committee and each Sites participant would be able to manage water diversions to, storage in, and releases from Sites Reservoir. The Policy highlights the fundamental concept that Sites participants are purchasing storage space in a reservoir that can be used with discretion, subject to permitting and operating constraints. The Policy also identifies that the Sites participants are seeking to secure a source of supply that would be equitably allocated to participants. It broadly outlines how storage and releases are scheduled, the sharing and leasing of storage space, and the allocation of operations and maintenance costs.

The establishment of the Policy in 2019 was an important step in providing greater certainty for Sites participants related to reservoir storage, but the Policy has not been updated since the Value Planning effort. Generally, the Value Planning highlighted individual participant constraints and needs which the Reservoir Committee may wish to consider in the Policy. State and Federal involvement is another consideration. Also, there are technical changes that have occurred requiring changes in the Policy. For example, the Policy identifies the reservoir size as 1.81 million acre-feet and includes the possibility of power generation and pump-back storage.

This is the right time to consider Storage Policy updates since the Policy is a foundational building block to accomplishing key 2021 milestones. The Storage Policy will inform the benefit/cost mechanics and detailed technical operating aspects to be included in the Plan of Finance and Operations Plan respectively. Both of these documents, along with updates to the Storage Policy, will be developed during the Amendment 2 Work Plan. Staff recommends the Reservoir Committee refer the Storage Policy updating process to the ad hoc Operations and Engineering Workgroup for consideration of updates.

Prior Reservoir Committee Action:

August 26, 2019: A draft was provided at the Reservoir Project Committee meeting and was adopted at that time, pending minor modifications included in Attachment A and pending home board legal review.

August 15, 2019: Draft Storage Policy presented and discussed at the Joint Workshop.

July 19 & 22, 2019: Draft Storage Policy was provided to for review to the Reservoir Committee and Authority Board meetings to allow all Sites Participants to review, discuss and comment.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Ali Forsythe

Attachments:

Attachment A - "Storage Policy by the Sites Project Authority".

The Storage Policy was adopted by the Reservoir Project Committee on August 22, 2019 and by the Sites Project Authority on August 26, 2019. The attached Policy reflects the revisions 'as amended' referenced in the Reservoir Project Committee Minutes of August 22, 2019, to wit:

"Consider approval of a recommendation the Reservoir Committee approve the Water Storage policy and then recommend the Sites Project Authority consider adoption of the Water Storage Policy in conjunction with the development of future participation agreements. (Attachment 7-1A)

Action: It was moved by Ruiz, seconded by Davis to adopt the Water Storage Policy as amended and pending home board legal review. Further, recommend the Sites Project Authority also consider adoption of the Water Storage Policy in conjunction with the development of future participation agreement and further legal review of the Policy by Counsel. The motion carried unanimously."

Please also note the findings described in the August 22, 2019 memorandum to the Reservoir Project Committee "Topic: Reservoir Committee Agenda Item 7-1, Subject: Operations Workgroup - Draft Water Storage Policy", to wit:

"It is noted such approval of this policy does not result in the implementation of any Project operation or action resulting in a significant effect on the environment nor does it preclude future changes to the Water Storage Policy which are likely to be necessary as the Project proceeds."

Storage policy

BY
THE SITES PROJECT AUTHORITY

(1) This Storage Policy was adopted by the Sites Authority on 26th day of August 2019, to assist in the development and operation of the Sites Reservoir Project (Project) by the Sites Project Authority and the Sites Storage Partners listed in Attachment 1.

INTRODUCTION

(2) The Sites Project Authority (Authority) will apply for and expects to hold the water rights and be responsible for the operation of the Sites Reservoir Project with the Reservoir Committee being responsible for the planning, permitting and real-time operations implementation. There are numerous financial participants in the Sites Reservoir Project. These participants will receive water supply benefits, water supply related environmental benefits and other benefits from Sites Reservoir operation. These participants include the (1) State of California, (2) United States Bureau of Reclamation, (3) Northern California agencies and organizations, and (4) water agencies both west and south of the Sacramento-San Joaquin Delta. The participants in the Sites Reservoir Project who receive (a) water supply related benefits or (b) water supply related environmental benefits are considered Sites Storage Partners. The Sites Storage Partners will enter into agreements with the Authority for the funding of the Project and the benefits derived from the Project and may specify each Sites Storage Partner's storage space in Sites Reservoir. To the extent allowed by natural conditions, permit requirements and physical capabilities of the Project, each of these Sites Storage Partners will have control over the use of their storage allocation space based on the conditions set forth in this policy. The water supply related benefits derived from these storage allocation spaces will depend in large part on how the each Sites Storage Partner manages the water stored and released from their allocated storage space. The Reservoir Committee is responsible for accounting for all the water placed into each Sites Storage Partner's allocated storage space, water released from that storage space and any losses on a daily basis.

DEFINITIONS

(3) Sites Participants – Government Agencies, Water Organizations and others who financially contribute to the planning and construction of the Sites Reservoir Project.

(4) Sites Storage Partners – The Sites Participants who have funded and receive water supply or water supply related environmental benefits from the Sites Reservoir Project (see Attachment 1 for the list of Sites Storage Partners).

(5) Active Storage – That portion of Sites Reservoir above dead pool that can be exercised to create water supply related benefits. The total maximum storage in Sites Reservoir is expected to be 1.81 MAF. Firm dead pool storage is expected to be about 60 TAF. However, operationally another 60 TAF will likely be needed to prevent water quality issues in the storage releases. Therefore, the operational dead pool is considered to be 120 TAF. For this Policy the Active Storage for Sites Reservoir is considered to be about 1.69 MAF. These values are approximate and subject to change in the future.

(6) Water Year - The California Water Year is from October 1 through September 30.

(7) Operation and Maintenance and Replacement- (O&M) or (O&M&R). Those costs associated with the operations of the Sites Reservoir Project. These can be broken down into:

(a) Fixed operations and maintenance and replacement costs that are more stable year-to-year and that are not significantly influenced by varying diversions or releases of water, and

(b) variable operations and maintenance costs that reflect annual operations and maintenance costs that vary based on actual operations each year.

ROLES

(8) Sites Project Authority (Authority) - The Authority will apply for and expects to hold the water rights for the Sites Reservoir Project and be responsible for its operation.

(9) Reservoir Committee - The Reservoir Committee is a standing committee of the Authority and oversees the planning, permitting, and day-to-day operations and accounting of Sites Reservoir storage, releases and losses and related activities including coordination with each of the Sites Storage Partners. This will be done in a way that is open and transparent to all the Sites Storage Partners.

(10) State and Federal Agencies:

(a) The State of California - As described in Proposition 1 (2014) and associated regulations, the Sites Authority expects that the State of California will work though the California Water Commission and the Resources Agency to manage, at their request, the water held in the Sites storage allocation space obtained by the Water Commission for environmental purposes. In addition, the State of California will cooperate with the Reservoir Committee's operation of Sites Reservoir and that of the State Water Project (and if appropriate the Central Valley Project) to maximize the water supply and water supply related benefits of Sites Reservoir.

(b) The United States – To be provided.

STORAGE OF WATER

(11) Water Storage by the Appropriation of Water: The Authority will be responsible for compliance with water right provisions and other conditions that control the appropriation of water into storage in Sites Reservoir. The Authority through the Reservoir Committee will take all actions necessary to maximize the appropriation of water into storage consistent with regulatory requirements, physical constraints and hydrologic conditions. Water appropriated will be placed into Sites Reservoir and then allocated into each Sites Storage Partners contractual storage space proportional to their acquired storage space amount.[1]

Based on the water accounting system approved by the Reservoir Committee, if a Sites Storage Partner's storage volume is not available (whether due to being completely filled, filled up to the requested amount by a Storage Partner, or any other reason), the available water will be allocated to the remaining Sites Storage Partners who still have available storage allocation space and the water will be used to fill the other Sites Storage Partner's storage allocation spaces (based on their storage space allocation) until all Sites Storage Partners storage allocation spaces are filled up to each Partner's requested amount of fill within that Partner's space. Each Sites Storage Partner will be responsible for the Operation and Maintenance (O&M) costs associated with the

---

[1] *For example, if only 340 TAF of water is able to be appropriated into Sites Reservoir in any one year, this represents 20% of the total allocated storage of Sites Reservoir (340/1.69 MAF) = 20%). In that year each Sites Storage Partner will receive an amount of water equal to 20% of their allocated storage space amount.*

use of their storage allocation space as set forth below. However, a Sites Storage Partner may opt out of having water appropriated into their storage space and avoid the variable O&M costs if they so inform the Reservoir Committee at the beginning of the Water Year or as otherwise established by the Reservoir Committee. The appropriation of water into storage will take priority over the release of stored water. If needed, a dispute resolution process will be developed.

(12) Water Storage from Other Sources: There are opportunities for the Sites Storage Partners to request that the Reservoir Committee place water from sources other than that by appropriation by the Project into their storage allocation space. These other sources could include among other things: the re-diversion of previously stored water, water transfers, exchanges between Sites Storage Partners, exchanges with other entities, etc. The Reservoir Committee will take all reasonable steps to help facilitate these requests. The Sites Storage Partners will be responsible for the costs of obtaining/storing these other sources of water including any costs associated with water right changes, environmental compliance, and Reservoir Committee costs associated with reviews. Also, the Sites Storage Partner is responsible for all O&M costs of placing this water into their storage allocation space as set forth below. Placing water into storage from other sources shall not impact the ability of the Reservoir Committee to appropriate water or release water from Sites Reservoir.

(13) Storage/Release Conflict Resolution: If there is a conflict between placing water into storage in Sites Reservoir from other sources of water and the release of water from Sites Reservoir at the same time, an "in lieu storage" option may be used by the parties involved provided the water rights issues are addressed on a case-by-case basis.

ACCOUNTING FOR LOSSES

(14) Allocation of Losses: Losses of water held in Sites Reservoir storage including evaporation and seepage will be accounted for on a daily basis. These losses will be allocated to each Sites Storage Partner based proportionally on the amount of their water in storage that day.

SHARING OR LEASING OF STORAGE SPACE

(15) Sharing or Leasing of Storage Allocation Space: The Sites Storage Partners are allowed to share or lease their storage allocation space with other Sites Storage Partners or other entities. This sharing or leasing could span multiple years and will be subject to several conditions set forth below.

- Any sharing or leasing of storage allocation space shall be coordinated with the Reservoir Committee so that proper water accounting can be maintained.
- If the Sites Storage Partner's allocated storage space fills, then any shared or leased water held in that storage space that day will stay in the shared or leased space unless the Reservoir Committee receives written instructions otherwise from the Sites Storage Partner.
- The responsibility for collecting payment for the use of a Sites Storage Partners shared storage space will be the responsibility of the Sites Storage Partner and not that of the Reservoir Committee.
- The Sites Storage Partner maintains the responsibility to the Reservoir Committee for all the O&M costs of placing water of any source into its storage allocation space. However, the Sites Storage Partner will likely collect costs of placing water into any shared storage space from the party making use of that shared space.

RELEASES OF WATER FROM STORAGE

(16) Water Released From Storage: The Sites Storage Partners have total discretion on the amount of water held in their storage allocation space that they wish to be scheduled for release in any calendar year for their use. These requested stored water releases and their schedule for release shall be coordinated with the Reservoir Committee so the Reservoir Committee can coordinate this request with the other Sites Storage Partners, State Water Project, Central Valley Project and any regulations related to the release of water from Sites Reservoir.

The Reservoir Committee will work with the Department of Water Resources and the U. S. Bureau of Reclamation to manage these releases with those of the State Water Project and Central Valley Project to maximize the benefits to the above parties when possible.

It is anticipated water will be released from storage for environmental/public benefits (e.g. on behalf of the State of California per their WSIP funding investment; e.g. on behalf of the United States per WIIN Act funding investment). The Authority's intent is that the non-consumptive use of water for environmental/ public benefits will remain under the control of the Sites Authority and its Storage Partners for further allocation to another in-basin or out-of-basin uses.

(17) Scheduling Stored Water Releases: No later than February 20th of each water year, the Reservoir Committee will make a water storage forecast for each Sites Storage Partner for that water year based on each Sites Storage Partner physical storage to date and several examples of projected hydrologic conditions ranging from critically dry to far above normal. These forecasts will be updated each month by the 20th of every month until April 20th. Each Sites Storage Partner will provide the Reservoir Committee the monthly schedule and amounts of the water they wish to be released that calendar year based upon each of these forecasts 15 days after the receipt of these forecasts. These schedules will be updated monthly as needed until June and changes after that will be accommodated to the extent it does not conflict with those of other Sites Storage Partners. Any water held in a shared/leased allocation space will be the responsibility of the Sites Storage Partner to communicate the requested release of that water to the Reservoir Committee unless other arrangements are provided to the Reservoir Committee in writing.

The Reservoir Committee will work with each Sites Storage Partner, the State Water Project, Central Valley Project and regulatory agencies and make all reasonable efforts to satisfy the water release schedules requested by each Sites Storage Partner. If there is a Sites Reservoir release constraint affecting the ability of meeting the requested water release schedules from Sites Reservoir, the Reservoir Committee will first work with those conflicted Sites Storage Partners to see if accommodations can be made. If the conflict cannot be resolved, the priority for the releases will be in proportion to the Storage Allocation space as divided among the conflicted Sites Storage Partners.

OPERATION, MAINTENANCE AND REPLACEMENT

(18) Operation and Maintenance Cost Allocations: Operation and Maintenance (O&M) costs will be divided into fixed O&M&R and variable O&M. Fixed O&M&R will cover those O&M&R costs that are generally stable from year to year like monitoring costs, routine maintenance, Reservoir Committee staff costs, repair funds, replacement of equipment funds etc. These costs will be calculated by the Reservoir Committee and paid by the Sites Storage Partners for the coming Water Year in advance. They will be allocated to all the Sites Storage Partners in the same manner as the capital costs.

Annual variable O&M costs include pumping costs to appropriate water into storage, pumping water from other sources into storage, other costs related to the placement of water into storage

and the costs, if any, of releasing water from storage. These costs will be assessed to each Sites Storage Partner based on the amount of water placed into their storage allocation that year. An estimate of these costs will be made by the Reservoir Committee. These costs will be assessed to each Sites Storage Partner and paid for the coming Water Year in advance. However, a Sites Storage Partner may opt out of having water appropriated into their storage space and avoid the variable O&M costs if they so inform the Reservoir Committee at the beginning of the Water Year. At the end of each Water Year any revenue received in excess of costs will be returned to the Sites Storage Partners or applied as a credit to the next year's variable O&M costs. However, the Reservoir Committee will retain a reasonable reserve fund to cover any unforeseen variable O&M costs that may come up in any year.

(19) Power Revenue. It is recognized that the Sites Reservoir Project could generate power revenues. The use of these revenues is not part of this Storage Policy at this time.

FUTURE STORAGE POLICY CHANGES

(20) Future Storage Policy Changes - This policy is intended, at this time, for use in the Sites Participation Agreements that will be developed in the near future. This policy will likely evolve and change as the Sites Reservoir Project develops. This policy may be modified in the future by the Authority with approval by the Reservoir Committee. Under the current Bylaws, such Reservoir Committee approval requires a 75% affirmative vote.

DATE

August 26, 2019_____

ATTACHMENT 1

SITES STORAGE PARTNERS

ENVIRONMENTAL

WSIP - California Water Commission

WIIN- United States Bureau of Reclamation

WATER USERS

America Canyon, City of
Antelope Valley-East Kern WA
Carter Mutual Water Company
Coachella Valley Water District
Colusa County
Colusa County Water District
Desert Water Agency
Glenn-Colusa Irrigation District
MWD of So. California
Reclamation District 108
San Bernardino Valley MWD
San Gorgonio Pass WA
Santa Clara Valley WD
Santa Clarita Valley WA
TC-4 Cortina Water District
TC-4 Davis Water District
TC-4 Dunnigan Water District
TC-4 LaGrange Water District
Westside Water District
Wheeler Ridge-Maricopa WSD
Zone 7 Water Agency

Sites

Topic: **Authority Board Agenda Item 3.2** **2020 July 22**

Subject: **Key Operational Analysis Factors - Baseline**

Requested Action:

Review and comment on the approach being taken for the modeling baseline for the EIR/EIS analysis.

Detailed Description/Background:

OVERVIEW

As work progresses on the analysis for the Revised Draft EIR/EIS, the operations team is developing modeling parameters and criteria required for assessing the alternatives in the Revised EIR/EIS. One of the foundational decisions related to the operations modeling for the EIR/EIS analysis is the description of the modeling baseline. An adequate description of the baseline is critical to the CEQA/NEPA evaluation and to describe the of project's benefits.

Issuance of the U.S. Fish and Wildlife Service and National Marine Fisheries Service Biological Opinions for the Reinitiation of Consultation on the Coordinated Operations of the Central Valley Project (CVP) and State Water Project (ROC on LTO BiOps) and the issuance of the Incidental Take Permit for Long-Term Operations of the State Water Project (SWP) in the Sacramento-San Joaquin Delta (SWP ITP) has modified baseline conditions for the CVP-SWP system from the previous BiOp and ITP. The ROC on LTO BiOps and the SWP ITP are also subject to pending litigation by a number of parties. There is disagreement regarding the implementation of the ROC on LTO BiOps and the SWP ITP between water users, environmental stakeholders, and the federal and state water management and permitting agencies. This disagreement will likely persist throughout the Sites Project planning and permitting process. As the CEQA Lead Agency, we need to balance all of the external and internal constraints, demands and needs and identify what would provide the public and our members with the highest quality information for evaluating the project.

After careful consideration, Staff is initially proceeding with model development with the ROC on LTO BiOps baseline and incorporate the SWP ITP actions after release of DCR2019 joint model implementation as the best course at the present time. This approach provides:

1. The most defined and expedient starting point for analysis of Sites Project operations while accomplishing a high-quality analysis that meets adequacy tests under CEQA/NEPA and provides the public with a reasonable evaluation of effects from the Project.
2. Best represents Shasta Lake tiered cold water pool management which is a key operating feature to be considered when evaluating possible exchanges and coordinated operations with Sites Reservoir.

3. Use of the merged Sites version of the model developed for the Reclamation Feasibility Report will expedite model development to meet the required schedule.

Operations criteria for the SWP ITP actions will be incorporated based on DCR2019 if it available in time to meet the Project's schedule. In addition, the team will be performing additional gap and sensitivity analyses to evaluate additional operations that are needed to support the Sites Project planning and permitting process.

As more detailed analysis is completed, staff will continue to evaluate the baseline approach and may make modifications to the approach to meet the requirements of CEQA/NEPA and provide the public with a reasonable evaluation of effects from the Project.

ALTERNATIVE APPROACHES CONSIDERED

Given the uncertainties surrounding operations of the SWP and CVP, staff has identified three possible modeling baseline options, each having their own pros and cons:

1. Use of ROC on LTO Alternative 1/Proposed Action only – This baseline would be based on the ROC on LTO Proposed Action Calsim II model released by the Bureau of Reclamation (Reclamation) in December 2019.
    a. Pros:
        i. Most recent representation of Shasta Lake tiered cold water pool management.
        ii. Expedited development of the modeled baseline using existing Sites model developed for Reclamation Feasibility Report.
    b. Cons:
        i. Excludes additional actions that are included in the SWP ITP.
        ii. Potential lack of acceptance by the State since the model does not include the SWP ITP.

2. Use of SWP ITP Alternative 2b/Proposed Project only – This baseline would be based on the SWP ITP Calsim II model released by the Department of Water Resources (DWR) in March 2020.
    a. Pros:
        i. Use of a model representing the SWP ITP in the baseline for analysis in the Sites ITP application.
    b. Cons:
        i. May require additional updates to include all of the actions described in the SWP ITP.
        ii. Excludes changes made in the updated final ROC on LTO Alternative 1/Proposed Action published in December 2019.
        iii. Potential lack of acceptance by Reclamation since the model does not include ROC on LTO.

3. Combination of 2019 State Water Project Delivery Capability Report (DCR2019) / ROC on LTO / SWP ITP – DWR is currently working on a joint representation of the ROC on LTO BiOps and the SWP ITP as part of DCR2019, which would include the potential future combined CVP/SWP operations. This is expected to be released in July 2020.

Draft_0028989

a. Pros:
    i. Comprehensive representation of baseline operations currently in development.
    ii. Allow for the possibility of greater acceptance to a wider range of agencies and stakeholders.
    iii. Provide a State/Federal supported baseline.
b. Cons:
    i. Schedule for release of DCR2019 has been and may continue to be delayed (DWR originally tentatively planning for a June release).
    ii. Delays associated with developing Sites Project ITP implementation based on DCR2019 (at least four week).

Prior Action:

None.

Fiscal Impact/Funding Source:

Model development is part of the Amendment 2 work plan and is a key component to other 2021 milestones within the work plan. There is sufficient funding to conduct efficient modeling whereby the baseline approach is established, and the modeling results are used to inform the EIR/EIS analysis. Multiple revisions of the modeling baseline or excessive iterations would be additional cost and time delay to the project.

Staff Contact:

Ali Forsythe

Attachments:

None.

Sites

Topic: **Authority Board Agenda Item 3.3** **2020 July 22**

Subject: **Funding Credit and Reimbursement Policy Implementation**

Requested Action:

Review and comment on the Draft Funding Credit and Reimbursement Policy (Policy) Revisions.

Detailed Description/Background:

When the Reservoir Committee was formed, one of the requirements included the development of this Policy to ensure equity among participants and be an important component to the rebalancing process. The initial Policy went through a thorough development and review process and was approved by the Authority in August 2018. A revised Policy is now required as the Authority continues to progress into new phases of the project.

A subgroup of the Joint Budget and Finance Committee was convened to review the current Policy and develop draft revisions as needed to reflect the status of the current Sites Project. The Subgroup developed a draft revised Policy and examples of applying the draft Policy which were reviewed and commented on by the Joint Budget and Finance Committee during their July 10, 2020 meeting. A revised draft has been prepared based on the comments received (Attachments 1 and 2).

The Joint Budget and Finance Committee is requesting comments to the draft revised Policy from the Reservoir Committee and Authority Board during their July meetings. Comments from these meetings will be incorporated into a revised draft which will be reviewed by Authority General Counsel, and then brought to the Joint Budget and Finance Committee, Reservoir Committee, and Authority Board at their August 2020 meetings for approval.

The most significant changes being proposed in the draft revised Policy are:

- Revisions to Section 6 "Participation Priority" include the addition of two new priority rounds. A revision was also made to clarify the application of "Last in / First Out" approach should the available participation be reduced below the amount of participation by Project Agreement Members.
- Revisions to Section 10.3 "Limitation on Reimbursements" provides additional clarity to when the Authority would make reimbursement payments.
- The new Section 14 "Provision for Buy-in After Phase 1" was added to specify the requirement that additional or new participation pay the equivalent funding of prior phases upon executing the Project Agreement.

- The new Section 15 "Provision for Phase 2 Rebalancing and True-up Process" was added to provide a process for Project Agreement Members to change their level of participation at the conclusion of each incremental Phase 2 Project Agreement. The provision is similar to the Phase 1 True-up with the exception of Class 2 Water conversion.

Additional revisions were made to expand the application of the policy to Phase 2, provide flexibility for allowing incremental Project Agreements and associated participation changes, and clarify/specify previous provisions and requirements.

Prior Action:

At the August 16, 2018 Reservoir Committee, the Credit Reimbursement Policy was approved.

At the June 25, 2018 Reservoir Committee meeting, the status was discussed with direction provided to the Ad Hoc Finance and Economics Work Group.

Fiscal Impact/Funding Source:

The Policy's requirement to reimburse Project Agreement Members for partial or complete withdrawal from the Project would be funded by new revenue for the "Buy-in" payments received from an existing Project Agreement Members that increase their amount participation or from new/returning Project Agreement Members initiating participation. Reimbursement payments would only be made once the Reservoir Committee and Authority Board determine that funds are available to make such payments without impacting the implementation of the Project.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Working Draft Funding Credit and Reimbursement Policy (with redline strikeout of proposed changes).

Attachment B: Examples of Applying Draft Policy.

Sites Reservoir Project, Phases 1 and 2
Funding Credit and Reimbursement Policy

`

Table of Contents

1. PURPOSE OF POLICY 2
2. ADMINISTRATIVE 2
3. DEFINITIONS 3
4. PROJECT PHASES 5
5. UNDERLYING ASSUMPTIONS 5
6. PARTICIPATION PRIORITY 6
7. PROVISION FOR ENCOURAGING NORTHERN CALIFORNIA PARTICIPATION 8
8. CREDITING POLICY FOR ADVANCE FUNDING 8
9. USE OF CONTRIBUTION CREDIT 8
10. REIMBURSEMENT POLICY FOR CONTRIBUTION CREDIT 9
11. PROVISIONS FOR WITHDRAWAL FROM PROJECT 10
12. PROVISION FOR CLASS 2 CONVERSION TO CLASS 1 PRIOR TO THE TRUE-UP PROCESS 10
13. PROVISION FOR REBALANCING PHASE 1 PARTICIPATION AND TRUE-UP OF THE CLASS 1 COST BASIS ... 10
14. PROVISION FOR BUY-IN AFTER PHASE 1 11
15. PROVISION FOR PHASE 2 REBALANCING AND TRUE-UP PROCESS 12
16. FAIR SHARE FUNDING STUDY 13

APPENDIX A – Participating Members and Respective Priority

1. PURPOSE OF POLICY

The Sites Project Authority (Authority) has adopted bylaws[1] that establish use of a Cost Development Model to track each participating agency's funding contributions and anticipated funding requirements as the Sites Reservoir Project (Project) is developed.

The purpose of this Funding Credit and Reimbursement Policy (Policy) is to establish the rules and procedures for contributing funds for the Project that will be used to inform the Cost Development Model ~~that will be used as part of the Phase 1 rebalancing process[2].~~as the project advances. The specific objectives of the Policy are to define the provisions and terms for:

- Defining contribution credit to participating agencies (Project Agreement Members, see **Section 3.8**) for having contributed funds to advance the Project;
- Defining allowable conditions for the use and/or reimbursement of credit;
- Providing an equitable exchange in participation levels between Project Agreement Members; ~~and~~
- Defining the process for recalculating the equivalent cost basis among Phase 1 Project Agreement Members for Class 1 and Class 2 water[3]; and~~.~~
- Defining the process for recalculating the equivalent cost basis for future phases of the project that are funded on a "cash call" basis.

It is the intent of this Policy to ensure that all Project Agreement Members ~~proceeding into Phase 2~~ will each have paid the same amount on an equivalent cost basis as the Project advances.

2. ADMINISTRATIVE

2.1 Role of the Authority and Reservoir Committee

Through its Bylaws, the Authority considers this process to be a foundational part of advancing the Project. Development of the requirements has been delegated to the participants in each phase of the ~~Phase 1~~ Reservoir Project ~~Agreement~~Agreements (Reservoir Committee). Acceptance of this document by the Authority is required before ~~it's~~its requirements can be implemented.

---

1 November 21, 2016 Amended and Restated Bylaws of the Sites Project Authority for Phase 1 of the Sites Reservoir Project, Section 3.9.

~~2 For use in subsequent phases, this document will be amended to incorporate additional information gained during Phase 2.~~

3 Participation in voting and funding is based on a Members' participation as measured in acre-feet that is used to apportion the project's development costs. Until the completion of Phase 2, the annualized volumes of water that could be released from storage can't be finalized until the Project has acquired the prerequisite permits needed for construction and operations and both state and federal agencies have committed to fund their equitable share in the Project.

2.2 Amendments to this Policy

It is the Authority's intent that this policy be updated as needed (i.e. is a "living" document) to ensure the Project is implemented equitably, efficiently and cost-effectively. The Authority may amend this Policy to reflect changes to the funding structure for the Project (e.g. separate participation in water storage versus water supply). Any amendment to this Policy will not reduce or increase any participant's contribution credit (as defined below) for having contributed funds to advance the Project.

2.3 Precedence of Documents

This document is subordinate to both the Authority's and Reservoir Committee's governance documents. Should a conflict exist, the Authority's Joint Exercise of Powers Agreement - including the Bylaws - shall take precedence, followed by the Reservoir Committee's ~~Phase 1~~sequential Reservoir Project Agreement ~~- including Exhibit~~ for each phase of the Project (Requirements).

Further and in keeping with the *Mission and Project's Primary Goals* established in Phase 1[4], should a conflict exist between this document and ~~the Phase 2~~any Reservoir Project Agreement, which ~~will~~may include the terms and conditions for the interim finance plan, the ~~Phase 2~~ Reservoir Project ~~Agreement~~Agreements shall control, and this document shall be amended to remove the conflict.

2.4 Document Revision History

A record of approved changes to this document shall be recorded in this section, starting with its original approval for use, which is revision 0.

Rev.	Effective Date	Status of Authorizing Action
0	August 20, 2018	Approved by Reservoir Committee and adopted by the Authority.
1	TBD	Revised to include Phase 2 considerations.

3. DEFINITIONS

The following definitions are used in this Policy:

3.1.	"Class 1 Water"	Water supply reserved for Project Agreement Members based on 50% of the total average annualized volume of water released from storage (250 ~~TAF~~TAF[5]).
3.2.	"Class 2 Water"	Additional 170 TAF[5] of water supply that may be available to Project Agreement Members, of which the State of California and/or the United States Government will be given the first

[4] Refer to Exhibit B of the Phase 1 Reservoir Project Agreement, General Requirements.

[5] These acre footage values are based on original project yield projection at the onset of Phase 1 in 2016 and are subject to change throughout the duration of the project.

		right to acquire for ecosystem and water quality "public" benefit(s).
3.3.	"Contribution Credit"	The accumulated funding provided by a Project Agreement Member for both Class 1 and Class 2.
3.4.	"Equivalent Cost Basis"	The equivalent cost per acre-foot for Class 1 water established by the Reservoir Committee.
3.5.	"Equivalent Required Funding"	The amount of funding required by each Project Agreement Member at the Phase 1 True-up event (**Section ~~12~~13**) equal to the Equivalent Cost Basis times the Project Agreement Member's participation in Class 1 water.
3.6.	"Fully Subscribed Project"	Full participation in the total amount of Class 1 water available. The associated amount may change between the end of Phase 1 and end of Phase 2. Further, it is contemplated that in Phase 2, consideration will be given to shift from the current delivery-based method (FOB Holthouse Reservoir) to a storage-based method.
3.7.	"Funding Obligation"	A Project Agreement Member's semi-annual pro-rata share of funding required. The Authority may elect to waive any Project Agreement Member from a semi-annual obligation by excluding that particular Project Agreement Member's participation in the Project when determining the basis for the pro-rata share of the remaining Project Agreement Members.
3.8.	"Project Agreement Member"	Any agency or entity that has executed ~~the Phase 1~~a Project Agreement and not withdrawn from the project. [6]
3.9.	"Good Standing"	Meeting the requirements to actively participate in the Project according to Section 8.1 of Authority's Bylaws.
3.10.	"Participation"	A Project Agreement Member's elected participation rate expressed in terms of acre-feet of average annual water supply, or other metric utilized to determine the pro-rata share of funding required from each Member.

[6] Ibid, Section 7.3 for membership types. A list of current Project Agreement Members is provided in Exhibit A1 of the Phase 1 Reservoir Project Agreement.

3.11. "Priority"	The ranking of participation in the Project, Class 1 and/or Class-2, used to determine the order in which participation changes take effect. Highest priority is associated with earliest date the Phase 1 Reservoir Project Agreement was executed (i.e. Round 1). Lowest priority is the latest date this agreement was executed (Round 4).

4. PROJECT PHASES

The Project is being advanced by Project Agreement Members on a workplan based phased approach. The phases of the project associated with each Project Agreement are defined as:

- Phase 1: Phase 1 Project Agreement, November 2016 through March 31, 2019
- Phase 2A: Phase 2 Project Agreement plus Amendments 1A/1B, April 1, 2019 through August 31, 2020
- Phase 2B: Phase 2 Project Amendment 2, September 2020 through December 31, 2021

~~4.~~5. UNDERLYING ASSUMPTIONS

The following underlying assumptions predicate the establishment of credits and reimbursements:

4.1 As of August 1, 2015, funding provided by Members of the Authority has been divided into two separate categories: 1) Authority administration, and 2) Implementation of the Project.

4.2 Funding provided for Authority administration is not eligible for Credit, whereas funding for implementation of the Project is eligible.

4.3 Funding provided between January 1 and July 31, 2015 was applied first to Administration requirements in 2015 and the balance was applied to Project funding as of August 1, 2015.

4.4 All other funding received before December 31, 2014 was provided for advocacy of the Project and is not eligible for credit.

4.5 Prior to starting Phase 2 and after the California Water Commission has made its "Maximum Conditional Eligibility Determination (MCED)"[7], a formal process will be conducted to enable Project Agreement Members to change their participation. These changes will become the basis for the Phase 1 True-Up ~~and the Phase 2 Reservoir Project Agreement~~.

4.6 As the Project advances, each additional phase of the project will require Project Agreement Members commit funding through execution of a new Project Agreement. Changes in participation may be allowed at the conclusion of each phase, subject to the credit and reimbursement conditions established by this policy.

[7] ~~Refer to California Code of Regulations, Tile 23, Division 7, Chapter 1. Section 6011.~~7 See Ibid, Section 7.3 for membership types.

~~5.~~

6. PARTICIPATION PRIORITY

As more or less Class 1 water becomes available, each Project Agreement Member's allocation of Class 1 and/or Class 2 water may be impacted based on the priority assigned to their participation. Should the level of participation need to be reduced, participation will be eliminated on a "last in / first out" basis. Each Project Agreement Member will be assigned a priority based on the following criteria:

Round 1 Priority: Founding members of the Authority who also elected to execute the Phase 1 Reservoir Project Agreement. Project Agreement Members in this round received Class 1 water.

Round 2 Priority: New members of the Authority seated prior to the 2016 on-boarding process who elected to execute the Phase 1 Reservoir Project Agreement. Project Agreement Members in this round received Class 1 water.

Priority 2.1: Existing members of the Authority seated prior to the 2016 on-boarding process, who had executed the Phase 1 Reservoir Project Agreement, and who elected to change their participation quantities in advance of the August 1, 2016 deadline that closed the On-boarding process. These changes were approved by the Authority on June 13, 2016.

Round 3 Priority: Additional participation beginning with the 2016 On-Boarding process and extending through execution of a funding agreement with the State ~~(anticipated by December 2018).~~ Project Agreement Members in this round receive either:

1) For Public Water Agencies: A combination of Class 1 and/or Class 2 water dependent upon the availability of Class 1 water; or

2) For Non-Member Participating Parties: Mutual Water Companies who submitted a request to participate as part of the 2016 On-Boarding process received Class 2 water.

Note that a rounding error in the original allocation of Class 1 and Class 2 water was found November 2017. The correction to the rounding error was applied retroactively to Priority 3 participants.

The following sub-priority within Round 3 will be based on the date that additional participation is established, which is the date the Phase 1 Reservoir Project Agreement was authorized to be executed by the Project Agreement Member.

Priority 3.1 Prior to executing the Phase 1 Reservoir Project Agreement, 10,000 acre-feet of Class 1 water became available and was allocated to those Project Agreement Members who had initially agreed to accept fractional shares of Class 1 and Class 2 totaling the amount requested in their On-boarding letter of intent. For

each of these Project Agreement Members, the result was a reduction in Class 2 water and a corresponding increase in Class 1 water.

Priority 3.2 Prior to executing the Phase 1 Reservoir Project Agreement, Project Agreement Members who requested to increase their participation received Class 2 water.

Priority 3.3 California Water Service request during the 2016 On-Boarding process requiring CPUC approval before executing the Reservoir Project Agreement.

Priority 3.4 Metropolitan Water District of Southern California elected to not specify an acre-ft as the basis of their participation in the 2016 On-Boarding process, but subsequently requested to participate in Class 2 water through execution of the Reservoir Project Agreement.

Priority 3.5 After Project Agreement Members had executed the Phase 1 Reservoir Project Agreement, 6,285 acre-feet of Class 1 water became available after April 28, 2017 that was allocated to those Project Agreement Members who had initially agreed to accept fractional shares of Class 1 and Class 2 totaling their participation amount (Priority 3). Project Agreement Member's in this priority received a pro-rata share of the Class 1 water and a corresponding decrease in their Class 2 water.

Priority 3.6 After Project Agreement Members had executed the Phase 1 Reservoir Project Agreement, an additional 6,285 acre-feet of Class 1 water became available after May 31, 2017 that was allocated to those Project Agreement Members who had initially agreed to accept fractional shares of Class 1 and Class 2 totaling their participation amount (Priority 3.0). Project Agreement Member's in this priority received a pro-rata share of the Class 1 water and a corresponding decrease in their Class 2 water.

Round 4 Priority: Additional Phase 1 participation following execution of the funding agreement with the State ~~(anticipated by December 2018).~~ Project Agreement Members in this round would only receive Class 2 water unless participation changes result in the availability of Class 1 water.

This round ~~of~~ will also include additional Class 1 water required to maintain the minimum participation threshold from water agencies located in the Sacramento River Hydrologic Region (see **Section ~~6~~7**).

Note that there no participation changes or new participation occurred during Phase 2A. All Project Agreement Members that signed the Phase 2 Project Agreement in April 2019 continued their level of participation through August 2020.

Round 5 Priority: This round includes additional participation from existing or new Project Agreement Members following the completion of Phase 2A and prior to

execution of the Phase 3 Project Agreement. Sub-priority within this round will be established as follows:

Priority 5.1	Additional or new participation from agencies in the Sacramento River Hydrologic Region. This priority was established to encourage Northern California participation (**Section 7**).
Priority 5.2	Additional participation from existing Project Agreement Members.
Priority 5.3	New participation from prior Project Agreement Members that completely withdrew during a prior phase of the Project.
Priority 5.4	New participation from agencies that have not participated in prior phases of the Project.
Round 6 Priority:	New or additional participation just prior to execution of the Phase 3 Project Agreement.

Any established priority will be forfeited commensurate with a reduction in participation. Priority is only valid for the participation commitment under the active Project Agreement, less any amount subtracted by a reduction in participation or project withdrawal.

Additional rounds may be established by the Authority Board as the Project develops. The current list of priority participation is included in Appendix A.

~~6.~~7. PROVISION FOR ENCOURAGING NORTHERN CALIFORNIA PARTICIPATION

The Authority desires to maintain a minimum threshold for participation by agencies within the Sacramento River Hydrologic Region equal to approximately 25% of the total water supply. Should the participation from Project Agreement Members in this region drop below the threshold, the Authority may solicit additional interest from Project Agreement Members or agencies that are not currently participating in the Project, both of which are located in the Sacramento River Hydrologic Region. The additional ~~Class 1~~ participation will be established within ~~Priority 4.~~the current round of priority at the time the Project Agreement is executed (**Section 6**).

~~7.~~8. CREDITING POLICY FOR ADVANCE FUNDING

The Crediting Policy describes the process of how Contribution Credit is earned by each Project Agreement Member by fulfilling its funding obligations. Funding obligations will be established through the Authority's annual budgeting process and will be apportioned to Project Agreement Members based on their respective participation. Each Project Agreement Member will accumulate Contribution Credit equal to its cumulative funding for both Class 1 and Class 2 participation throughout all phases of the project.

~~8.~~9. USE OF CONTRIBUTION CREDIT

Contribution Credit will be utilized to determine any payment or reimbursement due as result of the following events:

- Withdrawal ~~from~~or reduction in Project participation ~~in the Project~~, as further described in **Section ~~10~~11**; or

- True-up of Class 1 Cost Basis and Retirement of Class 2 participation, as further described in **Section ~~12~~13** and **Section 15**.

~~9.~~10. REIMBURSEMENT POLICY FOR CONTRIBUTION CREDIT

The Authority will provide reimbursements[8] under the following conditions.

~~9.1.~~10.1. Reimbursements from Rebalancing Process

Based on the Rebalancing Process (**Section ~~12~~13 or Section 15**) results, ~~The~~the Authority will reimburse certain Project Agreement Members for excess Contribution Credit. The Authority will only reimburse such members once all payments have been received from Project Agreement Members that owe additional funds as ~~the~~a result of the Rebalancing Process.

~~9.2.~~10.2. Reimbursements from Withdrawal from Participation

A Project Agreement Member partially or completely withdrawing their participation in the Project will be reimbursed for its accumulated Contribution Credit based on the Provision for Withdrawal (**Section ~~10~~11**). The Authority will provide such reimbursement once all payments have been received from Project Agreement Members electing to increase its participation to offset the withdrawn participation.

10.3. ~~Reimbursements from~~Limitation on Reimbursements

The Authority is not required to provide any reimbursement payment until the Authority determines that such payment will not have an adverse impact on the financial viability or overall implementation of the Project. Partial reimbursement payments may be made based on available funding with the remaining amount maintained as a liability for the Authority to pay at such time sufficient funding exists. As funding from new participation (**Section 14**) becomes available, the Authority may pay down the liabilities on a pro-rata basis following the order in which the liabilities occurred (e.g. Phase 1 liabilities are paid prior to Phase 2A liabilities).

~~9.3.~~10.4. Reimbursements due to Project Termination

In the event that the Project cannot be completed or in the event the Authority ~~has terminated~~terminates the ~~Phase 1~~then active Reservoir Project Agreement, the Authority will disperse any available Project funds according to the participation percentages as defined in the current version of ~~Exhibit A1 of~~ the then active Reservoir Project Agreement. Note that this exhibit is a "living document" that has been updated as participation changes have occurred throughout ~~Phase~~Phases 1 and 2.

~~9.4.1.1. Limitation on Reimbursements~~

[8] ~~Under no circumstances will the Authority finance any portion of any amounts that may be reimbursed.~~

~~The Authority is not required to provide any reimbursements of any kind until the Authority determines that such reimbursements will not have an adverse impact on the financial viability or overall implementation of the Project.~~

11. PROVISIONS FOR WITHDRAWAL FROM PROJECT ~~BEFORE THE START OF PHASE~~

~~9.5.~~11.1. Withdrawal or Reduction Before the Start of Phase 2 ~~OBLIGATIONS~~Obligations

A Project Agreement Member may reduce or completely withdraw their participation in Class 1 and/or Class 2 within the window associated with Assumption 4.5 and the Phase ~~2~~2A Reservoir Project Agreement~~.~~ (Dated April 1, 2019). In order to maintain equity among all Members, all changes in project participation will be accommodated through the provisions of the Phase 1 True-Up Process **(Section ~~12~~13)**.

11.2. Withdrawal Before the Start of Phase 3 Obligations

Following Phase 2, project funding will most likely involve debt issuance and require full financial commitment by Project Agreement Members for Phase 3 and Phase 4. Consequently, the last opportunity for a Project Agreement Member to withdraw or reduce participation under this policy will be prior to execution of the Phase 3 Reservoir Project Agreement. In order to establish equity prior to debt issuance, all final changes in project participation will be accommodated through the provision of the Phase 2 True-Up Process (**Section 15**).

~~10.~~12. PROVISION FOR CLASS 2 CONVERSION TO CLASS 1 PRIOR TO THE TRUE-UP PROCESS

Prior to the Phase 1 True-up Process (**Section ~~12~~13**), the conversion of Class 2 water will occur only when additional Class 1 water becomes available. Project Agreement Members with Class 2 participation will be allowed to convert their Class 2 water in order of highest to lowest priority.

The cost for each Project Agreement Member to convert its Class 2 to Class 1 will be equal to the difference between the Class 1 and Class 2 funding obligations on a per acre-foot basis, multiplied by the quantity (acre-feet) of Class 2 being converted to Class 1.

~~11.~~13. PROVISION FOR REBALANCING PHASE 1 PARTICIPATION AND TRUE-UP OF THE CLASS 1 COST BASIS

At the conclusion of the Phase 1 Rebalancing Process, the Authority will establish the final amount of Class 1 available for participation and establish an equivalent cost basis per acre-foot (the True-Up Process). The final Phase 1 participation will be established as follows:

- The minimum threshold for participation from agencies in the Sacramento River Hydrologic Region (**Section ~~6~~7**) will be considered prior to the conversion of Class 2.

- Any voluntary change in participation shall be fully recognized prior to the calculation of conversion of Class 2 to Class 1.
- Class 2 will be converted to Class 1 in order of highest to lowest priority until the final amount of Class 1 participation is fulfilled. The cost to convert Class 2 water shall be paid by each member separate from the determination of the Equivalent Required Funding for Phase 1. Funding associated with Class 2 conversion will be included in the Project Agreement Member's cumulative Contribution Credit.
- Any remaining Class 2 participation will be retired. Project Agreement Members with retired Class 2 water will be placed on a stand-by list for future participation based on their original priority.
- Should the total amount of Class 1 participation be less than the amount available, the Authority will solicit interest from current Project Agreement Members for additional participation. If the additional Class 1 participation is still available, the Authority will solicit interest from other non-participating agencies.

An equivalent cost basis per acre-foot will be established for all Project Agreement Members at the conclusion of Phase 1 as follows:

- The Equivalent Cost Basis for Class 1 participation has been established by the Project Reservoir Committee as $48.50 per acre-foot.
- The Equivalent Required Funding for each Project Agreement Member will be the Equivalent Cost Basis multiplied by the Project Agreement Member's Class 1 participation at the end of Phase 1.
- If the Equivalent Required Funding is greater than the Project Agreement Member's cumulative Contribution Credit, a true-up payment will be due from the Project Agreement Member to the Authority equal to the Equivalent Required Funding minus the Member's cumulative Contribution Credit.
- If the Equivalent Required Funding is less than the Project Agreement Member's cumulative Funding Obligation, a reimbursement will be due from the Authority to the Project Agreement Member equal to the Project Agreement Member's cumulative Contribution Credit minus its Equivalent Required Funding.

14. PROVISION FOR BUY-IN AFTER PHASE 1

During Phase 2, if Class 1 water is available, existing or new Project Agreement Members may increase their participation. In order the maintain equity among Project Agreement Members, additional participation carries a "buy-in" cost equal to the equivalent cost basis at the time that new or additional participation is requested. The equivalent cost basis has been established by the Reservoir Committee as:

Phase 1:	$48.50 per acre-foot
Phase 2A:	$60.00 per acre-foot
Total:	$108.50 per acre-foot

Additional increments may be added as the project progresses through Phase 2.

The buy-in cost for additional/new participation is equal to the Equivalent Cost Basis per acre-foot multiplied by the amount of additional/new participation requested less any reimbursement liability due from the Authority from prior withdrawal/reduction in participation. The buy-in payment will be invoiced upon execution of the Reservoir Project Agreement.

The additional/new participation will be assigned the current round of priority at the time the Reservoir Project Agreement is executed (**Section 6**).

The Authority may utilize the buy-in payments to reduce any outstanding reimbursement liabilities from prior phases.

15. PROVISION FOR PHASE 2 REBALANCING AND TRUE-UP PROCESS

At the conclusion of each incremental Phase 2 agreement, the Authority will declare any change to the Fully Subscribed Project and Project Agreement Members will identify their preferred Participation moving forward in the Project.

With respect to the Fully Subscribed Project, any available Class 1 water will be offered according to the Round 5 sub-priorities (**Section 6**). Project Agreement Members that increase their participation and new Project Agreement Members must "buy-in" to the Project at the current Equivalent Cost Basis (**Section 14**).

With respect to the Fully Subscribed Project, if the total preferred Participation exceeds the Fully Subscribed Project, Project Agreement Members with a proposed participation increase will only receive a pro-rata share of their proposed increase. If the total preferred Participation still exceeds the Fully Subscribed Project, the Participation of Existing Project Agreement Members will be reduced on a "last in / first out" basis according to the participation priorities (**Section 6**) and on a pro-rata basis within the same priority or sub-priority.

Once the final participation is established for each incremental Phase 2 Project Agreement, true-up payments and reimbursements will be determined for each Project Agreement Member:

- The Equivalent Required Funding at the completion of each incremental Phase 2 Project Agreement will be the Equivalent Cost Basis multiplied by the Project Agreement Member's participation.

- If the Equivalent Required Funding is greater than the Project Agreement Member's cumulative Contribution Credit, a true-up payment will be due from the Project Agreement Member to the Authority equal to the Equivalent Required Funding minus the Member's cumulative Contribution Credit.

- If the Equivalent Required Funding is less than the Project Agreement Member's cumulative Funding Obligation, a reimbursement will be due from the Authority to the Project Agreement Member equal to the Project Agreement Member's cumulative Contribution Credit minus its Equivalent Required Funding.

~~12.~~16. FAIR SHARE FUNDING STUDY

The Authority will prepare a funding study upon completion of Phase 1 to document each Project Agreement Member's funding contributions, equivalent cost basis and Contribution Credit. The "Phase 1 Fair Share Funding Study" will summarize the conversion and/or retirement of Class 2 water and the true-up of the Class 1 cost basis at the end of Phase 1.

The Fair Share Funding Study will be updated to document changes in Participation, Priority and Equivalent Cost Basis for each Project Agreement Member through completion of Phase 2.

Appendix A – Participating Members and Respective Priority

Sites Reservoir Project
Credit & Reimbursement Policy - Phase 2

	Phase 1 & Phase 2A				Phase 2B				Cummulative Totals	
Hypothetical Examples	Phase 2A Participation (AF)	Phase 1 Contribution Credit ($48.50/AF)	Phase 1 Reimbursement Liability	Phase 2A Contribution Credit ($60/AF)	Participation (AF)	Buy-in Cost ($108.50/AF)	Phase 2B Contribution Credit ($100/AF)	Phase 2A Reimbursement Liability	Contribution Credit ($208.50/AF)	Outstanding Reimbursement Liability
Example 1 - No Change in Phase 2B Participation										
District 1A	5,000	$242,500	-	$300,000	5,000	-	$500,000	-	$1,042,500	-
District 1B	5,000	$242,500	$(187,500)	$300,000	5,000	-	$500,000	-	$1,042,500	$(187,500)
Example 2 - Increase in Phase 2B Participation										
District 2A	5,000	$242,500	-	$300,000	6,000	$108,500	$600,000	-	$1,251,000	-
District 2B	5,000	$242,500	$(187,500)	$300,000	6,000	-	$600,000	-	$1,251,000	$(79,000)
Example 3 - Reduction in Phase 2B Participation										
District 3A	5,000	$242,500	-	$300,000	4,000	-	$400,000	$(108,500)	$834,000	$(108,500)
District 3B	5,000	$242,500	$(187,500)	$300,000	4,000	-	$400,000	$(108,500)	$834,000	$(296,000)
Example 4 - New Participation										
District 4A	0	-	-	-	5,000	$542,500	$500,000	-	$1,042,500	-
District 4B	0	-	$(187,500)	-	5,000	$355,000	$500,000	-	$1,042,500	-

Sites

Topic: **Authority Board Agenda Item 3.4** **2020 July 22**

Subject: **Preliminary Participation Levels**

Requested Action:

Receive status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation.

Detailed Description/Background:

Preliminary Participation Levels:

Soft call results and participation agreement status have been updated and are included in Attachment A. In summary:

- Based on initial results, full subscription can be achieved.
- Based on projected, reduced participation from Sacramento Valley, approximately 13,000 AF may be available. The Reservoir Committee and Authority Board in May 2020 requested that outreach be conducted to try to achieve the 25% goal for Sacramento Valley participation.
- Outside of the Sacramento Valley, current participants identified interest in 5,000 AF and prior participants expressed interest in 10,000 AF of additional capacity, if available.

Outreach and Rebalancing:

Staff has initiated outreach to existing, prior, and new participants in the Sacramento Valley to determine interest in additional capacity. Existing members Colusa County Water District and Westside Water District are in the process of polling for increased participation interest. No prior or new interested parties have stepped forward within the Sacramento Valley at this time. Staff is moving to priority two and three of outreach and will provide an update at the August meeting. As a reminder, new and returning participants must be approved for admission according to Section 10 of the Participation Agreement, which includes a 75% weighted approval at the Reservoir Committee and 75% of Authority Board director approval as well as other requirements.

For reference, the approved priority system is as follows:

1. The goal is total Sacramento Valley participation of ~25%. Priority is given to current, prior and new Sacramento Valley participants in that order.
2. Existing Reservoir Committee participating members (with sub-priorities by seniority)
3. Prior Reservoir Committee participating members (with sub-priorities by exit date)

4. Nonparticipants. Priority for new participants outside of Sacramento Valley.

The process for a participant securing a position in the participation queue involves the following steps:

- Participants submit a letter of intent for new or increased participation prior to September 10, 2020 to secure a position in the queue. The letter should acknowledge the potential financial commitment. Attachment A shows the remaining contributed credit that participants can apply to prior participant payments.
- Staff prioritizes requests based on the approved rebalancing priority system.
- At the September meetings, the Reservoir Committee and Authority Board direct staff to offer capacity to participants in the queue.

Schedule:

The following items will be considered at the Reservoir Committee and Authority Board at their monthly meetings:

- August: Outreach update.
- September: Final participation levels for current members (executed agreements), approval of participation queue, vote to onboard new participants.
- October: Final participation levels for new members (executed agreements), approval of the final Exhibit A to the Participation Agreement, invoices sent for payment.

Prior Action:

June 18, 2020: The Reservoir Committee received a status update on preliminary participation levels, outreach efforts related to maintaining project subscription in Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the process of rebalancing participation.

May 21, 2020: The Reservoir Committee accepted Exhibit B (2020 and 2021 budget) to the Second Amendment to 2019 Reservoir Project Agreement and directed staff to begin outreach to potential participants based on the proposed priority system to explore interest in Sites capacity, if available.

May 5, 2020: At a Reservoir Committee workshop, staff provided information on changes to the cash call process and schedule in light of potential economic impacts of the COVID-19 natural disaster on participating member agencies. A two-step cash call process including a "Soft Call" and a "Hard Call" will be used to better plan for changes in participation. The due date of the first cash call of $60/AF will be moved two months out to November 1, 2020. The due date of the second cash call will be moved two months out to April 1, 2021 and will be up to $40/AF. The Amendment 2 work will still begin on September 1, 2020.

April 17, 2020: The Reservoir Committee approved the Amendment 2 Work Plan for the purposes of planning cash calls for participants totaling $100/AF,

producing a draft budget exhibit to the participation agreement, and developing consultant task orders.

March 30, 2020: At a joint workshop, staff provided information that included a process overview of task order development; an updated project schedule; cash flows for Reservoir Committee and Authority Board; and preliminary task budgets including assigned resources.

March 19, 2020: Staff provided information on the goals, schedule, cash flow, and preliminary budget allocation by subject assuming a cash call of $100/AF. Staff provided a preliminary cash call schedule of $60/AF due September 1, 2020 and $40 due February 1, 2021.

February 21, 2020: Staff provided information on three possible revenue scenarios and a task list for Amendment 2 (September 1, 2020 and ending December 31, 2021). Staff received direction to continue to develop the $100/AF revenue scenario.

January 17, 2020: Staff provided information regarding the proposed process for developing a work plan. This work plan proposes to focus on improving certainty related to project operations, permitting, and affordability, and to meeting the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive funding.

Fiscal Impact/Funding Source:

The total cash call remains unchanged from the approved Amendment 2 work plan in the total amount of $100/AF. With the Amendment 1B carryover funds it may be possible to reduce the total cash call. However, Staff proposes to keep the first call at $60/AF and reassess the second call currently projected at $40/AF midway through the Amendment 2 work. At that time, any adjustments to the second call can be considered based on the then current projections. Participating members can consider the second call to be an "up to" amount since the Amendment 1B carryover represents previously unanticipated funds for the Amendment 2 work plan.

Staff Contact:

Jerry Brown

Attachments:

Attachment A – Preliminary Amendment 2 Participation Table.

Preliminary Amendment 2 Participation Table
Reservoir Committee

Based on preliminary soft call survey results as of 12pm Wednesday, 7/1/2020

Working Draft, Subject to Change

Participant	Amendment 2 Agreement Status	Phase 2 (2019) Participation Level (Ref, AF)	Participation Level (AF) Staff Recommendation	Variance (AF)	Participation Increase Interest (AF)
Antelope Valley East Kern WA		500	500	0	
Carter Mutual Water Company		300	300	0	
City of American Canyon		4,000	4,000	0	
Coachella Valley Water District		10,000	10,000	0	
Colusa County	Approved	10,000	10,000	0	
Colusa County WD**		11,975	11,000	-975	Possibly
Cortina WD		450	450	0	
Davis Water District		2,000	2,000	0	
Dunnigan WD		2,717	2,717	0	
Desert WA		6,500	6,500	0	
Glenn Colusa Irrigation District		5,000	5,000	0	
LaGrande water district		1,000	1,000	0	
Metropolitan Water District of Southern California		50,000	50,000	0	
RD-108		4,000	4,000	0	
San Bernardino Valley Municipal Water District	Approved	21,400	21,400	0	
San Gorgonio Pass Water Agency		14,000	14,000	0	
Santa Clara Valley WD***		16,000	16,000	0	
Santa Clarita Valley Water Agency		5,000	5,000	0	5,000
Westside W.D.**		15,000	2,000	-13,000	
Zone 7 Water Agency		10,000	10,000	0	
Wheeler Ridge-Maricopa WSD		3,050	3,050	0	
Pacific Resources MWC*		0	0	0	10,000
Total		**192,892**	**178,917**	**-13,975**	**15,000**

**Phase 1 participant, no survey response received. Interest expressed by a responding Phase 2 participant.*

***Indicated possibility for higher participation, lower projected participation level shown*

****Response is pending, full participation assumed by Sites staff*

Sites

Topic: **Authority Board Agenda Item 3.5** **2020 July 22**

Subject: **Organizational Assessment Quarterly Update**

Requested Action:

Review and comment on the July 2020 Quarterly Update of the plan and schedule for addressing the Organizational Assessment (OA) findings and recommendations.

Detailed Description/Background:

The approved OA Report includes findings and recommendations aimed at improving the overall effectiveness of the organization. The Authority Board directed Staff to provide a plan and schedule for addressing the report findings. Staff recommended a plan and schedule, including quarterly progress updates to the Reservoir Committee (RC) and Authority Board (AB). The RC and AB accepted the recommendation at their respective April 2020 meetings. This provides the first quarterly update to the plan and schedule.

Staff reviewed all items in the plan and schedule and generally made the following adjustments to reflect current circumstances:

1. Status column has been completed to reflect the current status for each action.
2. "Target Board Item Date" has been reviewed and updated for some action based on the detailed Project schedule and status of project activities.

Staff continues to work diligently to address the Organizational Assessment findings and recommendations. As reflected in the attached July 2020 update to the plan and schedule, of the 43 total actions, 9 have been completed, 3 are completed and in on-going tacking status, 2 are partially completed, 12 are in progress, 8 will be starting shortly, and 9 are items that have been assigned to the RC and AB to complete (most of these will be accomplished in the Strategic Planning effort).

The next Quarterly Update is scheduled for October 2020. For the October update, staff will be consolidating the matrix to continue tracking only those items that have not been completed and group items by major category to align with 2021 key milestones (i.e., Plan of Finance, Strategic Plan, Permit Discussions, Revised EIR, and Federal/State Involvement).

Prior Action:

April 17, 2020: Accepted plan and schedule for addressing the Organizational Assessment findings and recommendations.

Draft_0029012

November 21, 2019: Darling H20 Consulting, Inc. presented the draft Organizational Assessment.

August 26, 2019: Approved a budget reallocation for the organizational assessment and execution of a sole-source professional services agreement with Darling H2O to perform an organizational assessment.

July 22, 2019: Discussed working on an organizational assessment plan to evaluate the structure of the Sites Project's program management team, Reservoir Committee and the Authority.

Fiscal Impact/Funding Source:

Efforts to address the findings and recommendations are being made within the Amendment 1B and Amendment 2 work plans. No additional funds are needed to support these efforts at this time.

Staff Contact:

Ali Forsythe

Attachments:

Organizational Assessment Matrix – July 2020 Quarterly Update

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
1.1	F	Prepare an analysis of the major regulatory decisions being made regarding flow in the Sacramento River and Delta and determine flow-related permitting strategy with identification of risks.	Permitting strategy memo was prepared by ICF in May 2019 and updated through CDFW meetings in fall/winter 2019. A revised version that will include updates with recent relevant changes is in progress.	Staff will review prior related materials and prepare the analysis identified.	High	October 2020	Ali Forsythe	Starting shortly. Will begin after development of the Revised EIR/EIS project description and identification of the Preferred Project in September.
1.2	E	Prepare an analysis of the major comments received on the draft EIR/S. Identify approach to addressing those comments and working with specific commenters.	• March 29, 2019 – Jacobs provided a memo that included Draft EIR/EIS Comments Matrix, Master Response Topics, Key Comment Letter Summaries, and draft Initial Responses. • April 12, 2019 – Strategy meetings were initiated with ICF, Reclamation and Authority to address overall approach as well as specific topics. Based on these strategy sessions, an outline for the Final EIR/EIS was prepared and an approach for responding to comments, including master responses to comments was identified and forwarded to both CEQA (Authority) and NEPA (Reclamation) legal counsel. • June 5, 2019 – ICF provided master response annotated outlines and commenced preparing responses. In addition, the Authority EPP began meeting with key commenters, (e.g., CDFW, NRDC, Humboldt County, etc.) to clarify issues of concern.	• The work that has been completed to date in responding to comments will be utilized in either the completion of a Final EIR/EIS or in preparing a Recirculated Draft EIR/EIS. Assuming recirculation of the Draft EIR/EIS is the preferred approach for moving forward based on the status of the Value Planning effort, ICF is in the process of preparing a strategy/work plan to be completed in May 2020. • The Authority EPP will also continue outreach to Draft EIR/EIS commenters and Agencies as efforts move forward.	High	• Analysis completed under original work plan and to be updated April 2020 with preparation of EIR/EIS Work Plan • Meetings with specific commenters – ongoing throughout 2020 and 2021	Ali Forsythe	Partially completed. • Revised EIR/EIS Work Plan completed. As part of this effort, all comments were reviewed and approaches to revising the EIR/EIS to address prior comments were identified. • General meetings with some commenters completed. Additional meetings planned for post September 2020.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
1.3	E	Identify legal requirements of the environmental laws that Sites will be required to comply with.	Preliminary list of permit requirements and other approvals were included in the Draft EIR/EIS released in August 2017.	The preliminary list will be updated in the development of the Revised Draft EIR/EIS.	Medium	December 2020	Ali Forsythe	Starting shortly. Will begin after development of the Revised EIR/EIS project description and identification of the Preferred Project in September.
1.4	F	Establish a permitting flow chart with realistic timelines.	In 2019, the Environmental Planning and Permitting team developed and maintained an MS Project schedule.	• Environmental Planning and Permitting team along with the Operations and Engineering teams is currently developing a detailed project schedule focusing on activities thru the end of 2021. • Develop planning / permitting flow chart and add in key dates from schedule effort. • Present summary schedule and flow chart to Res Com and Board and then track progress monthly thereafter.	High	• April 2020 Res Com and Board meetings – Detailed and summary schedule completed along with flow chart • On-going – Track and report on progress	Ali Forsythe	On-going Tracking. • A detailed project schedule that includes activities through the end of 2021 has been developed and was presented to the Reservoir Committee and Authority Board in the "Work Plan for September 1, 2020, to December 31, 2021" at the April 2020 Reservoir Committee and Authority Board meetings. • A summary of the schedule was developed and provided to the Reservoir Committee and Authority Board at the May 2020 meetings. • Tracking will be ongoing.
1.5	E/F	Prepare analysis of the draft EIR/S for use by all of the permitting agencies to issue permits upon the finalization of the EIR/S. Identify schedule for document completion.	A preliminary list of permit requirements and other approvals were included in the Draft EIR/EIS released in August 2017.	• Analysis – As the team works to develop a revised project description and revise the Draft EIR/EIS, the permitting agency comments will be reviewed in response to Action 1.2 and a regulatory agency technical team will be formed in response to Action 2.4. These two efforts will collectively address the analysis request in this action. • Schedule – See Action 1.4 for schedule development.	Addressed thru other Actions	--	--	See Status for Actions 1.2, 1.4, and 2.4.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
1.6	Executive Director	Determine if Water Commission has authority to grant schedule relief. If yes, then formally request schedule relief. If no, then figure out a legislative fix and timing.	The relevant deadlines are specific in the voter approved bond language. The current COVID -19 situation presents an opportunity for possible adjustment.	Work with Legal Counsel, and Gov't Relations Team to review statue in detail and determine options. Action plan being developed.	High	May 2020	Jerry Brown	In-progress. It was determined that the schedule requirements were in the bond language which means it cannot be changed without going to the voters. A joint letter with other WSIP grant recipients was submitted to the CWC for consideration of releasing additional funding sooner. Also, defining what constitutes feasible and 75% local cost share commitment is being pursued.
1.7	C	Prepare an analysis of the pros and cons along with a staff recommendation to present to the governance bodies on whether to spend additional time and money pursuing WIIN Act funding.	Extensive coordination with Reclamation has been conducted to date and Reclamation anticipates providing its final review process of their Feasibility Report in April. Staff has also developed a strategy on how to bring the Federal Feasibility Report in line with the Value Planning effort by next summer (2021).	Staff will prepare the analysis requested and elevate for decision-making to the Reservoir Committee and Board.	High	June 2020	Kevin Spesert	Completed. Federal Legislative Priority and State Legislative Priority were presented at the May and June 2020, Reservoir Committee and Authority Board meetings, respectively.
1.8	--	Determine if there is a viable strategy to phasing, which could allow for additional project partners.	The Value Planning exercise has formulated potential projects around meeting the needs of current participants with the opportunity to expand in the future.	Reservoir Committee/Board will be selecting a preferred project in April. All alternatives have the ability to be phased should the Reservoir Committee/Board chose to do that.	No Action	--	--	--
2.1	F	Develop an interest, science based permitting strategy.	See Action 1.1.		High	October 2020	Ali Forsythe	Starting shortly. Will begin after development of the Revised EIR/EIS project description and identification of the Preferred Project in September.
2.2	F	Determine when appropriate for project staff and governance members to be involved in the permitting process and at what level.	--	This will be addressed in the strategic planning exercise.	--	June 2020	--	Completed. A negotiations approach for permits and operating agreements, which identifies the roles of governance members in the permitting process, was approved by the Reservoir Committee and Authority Board meetings in June 2020.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
2.3	F	Determine if overall project provides a "net environmental benefit" beyond cold water pool in Shasta. If yes, then work to get agency and NGO buy in.	Outside of the Refuge water supply and Delta smelt benefits, net environmental benefits were identified in the WSIP application related to cold water pool management in Shasta. CDFW expressed concerns with using these net environmental benefits to offset impacts in their 2081 permit – requesting "assurances" that benefits would occur.	• Revised net environmental benefits will be determined as part of the development of operational criteria. • Agency and NGO discussions are planned to occur throughout 2020.	High	December 2020	Ali Forsythe	Starting shortly. Will begin with the development of the operations and biological modeling efforts for the Revised EIR/EIS.
2.4	F	Establish a regulatory agency technical advisory committee at the staff level that meets regularly.	A number of meetings were held with CDFW, USFWS, and NMFS in 2019, including the CDFW "60-day" process that included technical, management, and executive level meetings.	A regulatory agency technical team will be established in spring / early summer 2020 as environmental planning and permitting activities are restarted. In addition, the internal Sites team (EPP, Integration and ICF) hold regularly established coordination and work planning meetings.	High	June 2020	Ali Forsythe	Completed. Two groups were established for near-term activities and discussed at the Reservoir Committee and Authority Board meetings in June 2020.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
3.1	D	Quantify and get agreement from the state and feds as to what the benefits are to an integrated operation with the SWP and CVP.	Modeling of Sites Reservoir operations scenarios have assumed an integrated operation of the SWP and CVP. Numerous discussions have occurred with DWR and Reclamation on operations criteria and benefits.	• Development of a revised operational scenario is scheduled to be completed in June 2020. • Modeling and analysis to quantify benefits of the revised operational scenario is scheduled to be completed in December 2020. • Sites staff will be working with Reclamation and DWR throughout the development and analysis of the revised scenarios to both quantify and obtain agreement on criteria and resulting benefits of an integrated operation with the SWP and CVP. • Discussions with SWP and CVP should be memorialized in draft agreements and the Operations Plan, Version 1.0 in 2020.	High	December 2020	Ali Forsythe	Starting shortly. Will begin with the development of the operations and biological modeling efforts for the Revised EIR/EIS.
3.2	C	Establish a strategy to get state and federal agencies to be storage investors.	• The State is committed to being an investor in the project through the WSIP program. • Federal participation is addressed in Action 1.7.	• Build schedule to meet the current requirements of the CWC WSIP to maintain state current level of investment • Continue to position with CWC to get additional funding through WSIP should funds come available. • Working with government affairs work group to position for potential funds in a November state water bond. • Address Federal participation through Action 1.7.	High	June 2020	Kevin Spesert	See Status for Action 1.7.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
4.1	H	Review all facility planning previously completed by DWR to make certain that there are not missed opportunities to integrate new facilities with existing facilities.	The Value Planning effort has taken a close look at project facility needs and costs and has re-evaluate all of the previously identified facilities.	Complete the Value Planning Report and adopt a new Preferred Alternative.	High	April 2020	Jerry Brown	Completed. Value Planning Report was adopted by the Reservoir Committee and Authority Board at their April 2020 meetings. Additional review of applicable facilities is included in the scope of effort for Amendments 1B and 2.
5.1	B	Once a schedule is developed, then make certain to include all anticipated Board decisions that are critical path to project development.	The work plan through December 2021 includes a detailed schedule that is nearing complete and will serve as the basis for adding in key board decision dates.	See Action 1.4	High	May 2020	Jerry Brown	See Status for Action 1.4 since critical path is currently environmental planning and permitting.
5.2	Board / Res Comm	Once a new schedule is agreed to, governance members need to dedicate enough time to keep up with the agreed upon pace of this project.	--	See Action 1.4	--	--	--	See Status for Action 1.4.
5.3	Executive Director	Get materials to governance members at least a week in advance that clearly identifies decisions to be made, any analysis being made in support of the authority staff recommended decisions, and other options that were considered.	Staff have previously developed templates for Reservoir Committee and Authority Board materials.	• Actions to implement a more inclusive and effective policy making process have been initiated. • Progress to be evaluated as part of strategic planning exercise.	High	April 2020 (with further adjustments thereafter)	Jerry Brown	Completed. • Adjustments to the Reservoir Committee and Authority Board meeting agendas and staff report format have been made in response to this Action. • Continuous improvements will be made based on feedback and as identified by members and Authority Agents.
5.4	Board / Res Comm	As part of the new schedule, each governance member should identify which decisions warrant staff making a presentation to their individual agency to make certain that they are in concurrence with the direction the project tis moving.	--	--	--	--	Fritz Durst / Thad Bettner	-- Currently, the focus is on completing the cash call process.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
5.5	--	Use joint Board workshops and individual agency meetings to walk thru assumptions and anticipated outcomes so that when a decision comes to governance members for approval, the information will not be new.	Staff has started to utilize joint Board / Reservoir Committee workshops in 2019.	• Staff will continue to propose and utilize joint Board / Reservoir Committee workshops as appropriate for specific topics. • Individual agency meetings may be initiated by staff or by an individual agency and tracked thru the process developed under • No additional specific action will be taken to address this Action.	--	Ongoing	--	In Progress. Workgroups and committees are meeting with the necessary frequency to support effective decision making at the Reservoir Committee and Authority Board level.
5.6	C	Review the costs and deliverables accrued to date and tie future cash calls to anticipated deliverables.	Staff worked to tie cash calls to deliverables in Amendment 1B efforts. However, some activities are more ongoing business that do not necessarily result in a deliverable. Draft Amendment 2 Workplan includes deliverables by service area and explains areas where there may not be a "deliverable".	• Draft 2019 Annual Report prepared addressing items such as accomplishments and expenditures in 2019. Waiting review and comments from Authority and staff will finalize April 2020. • Draft Amendment 2 will be finalized end of April 2020.	High	April 2020	Joe Trapasso	In-progress. • 2019 Annual Report was approved by the Reservoir Committee and Authority Board at their April 2020 meetings. • Reservoir Committee and Authority Board approved the Amendment 2 Work Plan at their April 2020 meetings. • Amendment 2 Task Orders are expected to be reviewed at the August 2020 Reservoir Committee and Authority Board meetings.
6.1	A	Determine process and schedule to deliver cost per acre-foot and long-term debt information to project investors.	Cost per acre-foot has historically been developed and shared with members. In late 2019, staff developed a "Cash Flow" tool to help show long-term debt information.	• Continue to present cost per acre-foot when discussing affordability. • Update Cash Flow tool periodically as assumptions change or become outdated.	High	• April 2020 – Updates as a result of Value Planning efforts • Future – Periodic updates as changes occur	Jerry Brown	Completed. • The Value Planning Report, which included updated cost per acre-foot, was adopted by the Reservoir Committee and Authority Board at their April 2020 meetings. • Costs will be refined with the Plan of Finance expected to be initiated in September 2020 and be completed in summer 2021.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
7.1	--	Determine process and schedule to deliver how long-term costs of this project compare to other sources of water.	Information on costs of other sources of water is difficult to obtain. In addition, the "value proposition" for each member agency will be different depending on a number of factors including ag, M&I uses, water located north or south of Delta, length of agreement, and risks.	As this is different for each member and information is difficult to obtain, no additional work is proposed.	Low	--	--	--
8.1	A	Prepare a financial plan that lays out the potential sources of funding including California IBank.	• The scope and budget for the development of a financial plan was deferred by the Reservoir Committee / Board to a later date. • Exploratory conversations with IBank date back to September 28, 2017. Changes to their program would be needed for members to use this source.	• Develop finance plan as part of Amendment 2. • Continue to identify potential grant and other funding sources - at both the state and federal levels - that could reduce the project's finance costs. • Continue to work with Water Commission on timing of additional Prop 1 funds becoming available, since the original funding amount was less than the amount they deemed Sites to be eligible to receive.	Medium	July 2021	Joe Trapasso	Starting shortly. This effort is planned as part of Amendment 2.
9.1	F	Prepare a Board briefing on the comparative costs of mitigation from comparable projects to help determine a level of reasonableness.	Mitigation cost technical memorandum was prepared by CH2M Hill in 2016. Additional mitigation cost reviews were prepared in October of 2019 and February 2020 for the Value Planning Process.	Mitigation planning and a class 4 cost estimate are scoped to occur in Amendment 2 (late 2020/early 2021).	High	Late 2020	Ali Forsythe	In Progress. • The Value Planning report included a review of the mitigation cost estimate and comparison to other projects. • Further refinement of these estimates will occur as part of Amendment 2.
10.1	Executive Director	Determine process and schedule to deliver information regarding the cost of storage versus the cost of yield in the context of developing a tiered pricing approach.	--	The approved Storage Policy addresses this issue. Additional work is needed to allocate costs according to storage benefits.	Medium	Nov 2020	Jerry Brown	Starting shortly. This effort will be part of the Plan of Finance development.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
11.1	B	Once the governance members agree on a project schedule then have staff only focus on scope thru permitting and come up with a budget.	Work Plans are developed by staff and approved by the Authority Board. These Work Plans identify specific tasks and priorities and Authority staff work to execute these plans as written.	Draft Amendment 2 work plan completed and will be reviewed and commented on by Authority in April 2020. Staff will make necessary changes and finalize. Focus activities thru December 2021 on those activities identified in the Amendment 2 Work Plan.	High	April 2020	Joe Trapasso	See Status for Action 5.6.
12.1	Board / Res Comm	Hold team building sessions to better understand how governance members process information and make decisions with the anticipated outcome for governance members and Authority staff to agree upon their respected roles and responsibilities.	--	--	--	--	Fritz Durst / Thad Bettner	In Progress. This will be addressed as part of the strategic planning session in August 2020.
12.2	Executive Director	Authority staff should work to make Board meetings more of a final sign off or endorsement of a policy decision or budget approval.	This Action is similar to Action 5.5.	See Action 5.5.	--	Ongoing	Jerry Brown	See Status for Action 5.5.
13 (13.1 to 13.5)	B	Staff will document and track all governance member questions and provide responses to the governance members.	--	Staff initiated work on a tracking tool to track member questions and information requests and update it on a regular basis.	High	Ongoing	Joe Trapasso	In-progress. Staff are documenting all questions and reviewing the list internally to ensure member questions are addressed and appropriate follow up is completed.
14.1	F	Concerns with the structure and information flow from the CDFW discussions.	--	• Hold more frequent Environmental and Permitting Work Group meetings to provide updates on the CDFW efforts. • Continue to provide updates at the Operations Work Group meetings on the CDFW efforts.	High	Throughout 2020	Ali Forsythe	In-progress. • Discussions with CDFW have been reinitiated to ensure the coverage within the Revised Draft EIR is sufficient for permitting purposes. • A check-in schedule with the CDFW Director has been prepared.
15.1	Executive Director	Prepare a discussion paper on what lessons have been learned by other similar projects that can potentially be adopted for use by the Sites Project.	--	Staff continuously monitors other projects for lesson learned and applies them to this Project as appropriate.	Low	Ongoing, verbal	Jerry Brown	In-progress.

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
15.2	Board / Res Comm	Create a joint strategic plan for the Reservoir Committee and Board.	--	Issue a Request for Proposal for a Strategic Plan effort and contract with a Strategic Planner.	High	December 2020	Jerry Brown	In-progress. • A contract for strategic planning services was approved by the Reservoir Committee and Authority Board at their May 2020 meeting. • A strategic planning session is planned for August 2020.
16.1	C	Create a strategy to get Reclamation to the table to describe what the local investment will do for their contracts.	See Action 1.7.	Such as strategy will be coordinated with Action 1.7.	High	June 2020	Kevin Spesert	See Status for Action 1.7.
16.2	B	Explore the concept of beneficiary pays to accurately account for the benefits received by each investor and consider a tiered pricing system.	The concept of beneficiary pays has been discussed a number of times. Once a Preferred Project has been selected and additional design / use / beneficiary information is better understood, the concept of beneficiary pays can be explored further. See Action 10.1.	• Explore the concept of beneficiary pays as design and cost information progresses. Refine and have an agreed upon approach for Phase 3 (post 2021). • Governance considerations including potential tiered pricing will be discussed in the strategic planning exercise.	Medium	Late 2020 / Mid 2021	Joe Trapasso	See Status for Action 8.1 and 10.1. This will be addressed as part of the Plan of Finance development.
16.3	Board / Res Comm	Formally request south of Delta investors to identify ways of keeping north of Delta ag in the project.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--
17.1	B	Perform analysis to validate if increased partnerships would result in the project becoming more affordable.	--	Dependent on approval of upcoming funding request results.	Medium	April 2020	Joe Trapasso	See Status for Action 6.1
18.1	Board / Res Comm	Celebrate and memorialize the cooperative relationship between north of Delta and south of Delta participants on the Project.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--
19.1	Board / Res Comm	Formalize the roles and responsibilities between the Res Comm and Board and look for ways to reduce duplication.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--
20.1	Board / Res Comm	Complete analysis of the legal requirements for the Res Comm and Board governance. Consult with other Prop 1 recipients that have multiple partners to see how they are set up.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--
20.2	Board / Res Comm	Depending on the results of Action 21.1, have a policy level discussion to decide if the Res Comm and Board make-up can be and should be changed.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--

Action Items and Schedule in Response to Organization Assessment
Quarterly Update – July 7, 2020

Action #	Primary Service Area	Summary of Action	Activities Completed To Date	On-going and Suggested Future Activities and Deliverables	Priority (High, Medium, Low)	Target Board Item Date	Person Responsible	Status
21.1	Board / Res Comm	Rules for membership on the Authority Board are not understood. Get a legal and policy review of the composition of the Board to inform a discussion and decision on Board composition.	--	This will be discussed as part of the Strategic Planning effort.	--	--	--	--
22.1	Executive Director	Prepare a legal analysis of what are appropriate materials to be covered in closed session.	--	The analysis will be prepared and presented to the Res Comm and Board.	High	August 2020	Scott Kuney	On schedule.

Sites

Topic: **Authority Board Agenda Item 3.6** **2020 July 22**

Subject: **Strategic Planning Session Agenda**

Requested Action:

Review and comment on the Strategic Planning Session Draft Agenda.

Detailed Description/Background:

The Catalyst Group was hired by the Authority to prepare a strategic plan and facilitate a strategic planning session. The consultant has reviewed the organizational assessment findings and has conducted interviews with coordinating committee members (Reservoir Committee (RC) and Authority Board (AB)) and key staff. Based on this input, the attached draft agenda has been prepared for the strategic planning session and the consultant would like the RC's feedback before finalizing pre-meeting activities and plans for the day.

In addition, before the meeting, the Catalyst team will be soliciting additional input regarding the strategic plan through an online survey to be distributed to the Authority Board, Reservoir Committee, agents, and select consultants. The purpose of the joint workshop is to discuss and affirm the Authority's vision, mission, values, goals, and objectives.

Currently, the strategic planning session is scheduled to occur Thursday, August 13, 2020. Due to uncertainties of the COVID situation, accommodations were attempted for a north and a south meeting location along with virtual meeting accommodations. However, only a north location has been secured at this time.

Staff wanted to hold the meeting in-person but sees the upsides of having the meeting sooner in line with ongoing project activity outweighing the downsides of waiting for the COVID situation to pass. The consultant will have a live facilitator and video capabilities at each facility. The consultant is also evaluating options for making the virtual participation as interactive as possible. This will be a joint meeting of the RC and the AB and members will have the option of attending in the manner they are most comfortable with.

The strategic plan document will be written following the session and a draft is scheduled to be delivered in September 2020.

Fiscal Impact:

Sufficient funds for the strategic plan and facilitation are included in the Amendment 1B work plan. Funds and contract authority previously authorized by the board for Catalyst and Darling H2O to assist with strategic plan implementation are being held for these activities.

Prior Action:

May 27, 2020: Approved a consulting contract with The Catalyst Group for facilitation services in an amount not to exceed $50,000 and approve a time extension through December 31, 2020 for the existing contract with Darling H2O.

April 22, 2020: Approved the Organization Assessment Report as follows: the plan and schedule for addressing the report findings and recommendations, the scope, schedule and budget for strategic planning facilitation services and release a Request for Proposals for strategic planning facilitation services.

December 20, 2019: Approved Darling H20 to expand the scope of work to support the recruitment of a facilitator for Strategic Planning.

November 21, 2019: Darling H20 Consulting, Inc. presented the draft Organizational Assessment.

August 26, 2019: Approved a budget reallocation for the organizational assessment and execution of a sole-source professional services agreement with Darling H2O to perform an organizational assessment.

July 22, 2019: Discussed working on an organizational assessment plan to evaluate the structure of the Sites Project's program management team, Reservoir Committee and the Authority.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Proposed Draft Agenda.

Joint Strategic Planning Workshop

8:30 **I. Welcome, Agenda Overview, Introductions — Jerry Brown & Charles Gardiner**

Workshop Purpose: Identify & affirm Authority **vision, mission, and values** and **project and organizational goals** to achieve our mission.

Objectives:

- Review and affirm Vision, Mission, and Values statements.
- Identify, modify, and affirm the high-level goals to achieve an *approved, funded project*.
- Identify, modify, and affirm *organizational* needs to lead the project.

Introductions: Board and Reservoir Committee members introduce themselves and state their objectives for the day.

Protocols: Review meeting process guidelines

8:50 **II. Review Strategic Plan Intent, Content & Progress to Date — Facilitator**

Strategic plan purpose and timeframe:

- Sets vision, mission, values, and broad project goals and organizational....
- Will be used to....
- To be addressed today....and in future work.

Key Findings: Review key findings emerging from staff and Coordinating Committee interviews/meetings.

Discussion: Q&A on Strategic Plan, findings, and agenda.

9:15 **III. Review and Affirm Strategic Plan "Vision, Mission & Values" — Facilitator**

10:20 ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ **BREAK 10 mins** ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~

10:30 **IV. Review & Affirm Content of the Strategic Plan Goals & Objectives — Facilitator**

Goals and Objectives: Present, discuss, and affirm 3-5 strategic plan goals for the project and organization.

11:50 **V. Review Work Task and Break into Work Groups — Facilitator**

12:00 ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ **Working Lunch** ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~

12:15 **VI. Breakout Session #1 – Identify Core Challenges/Needs for 2-3 Goals (Breakout Group for each Goal)**

What is needed to achieve the high-level project goals – approaches, relationships, teams, knowledge, deliverables, etc.?

1:30 **VII. Breakout Session #2 – Identify Challenges/Needs for the Organizational Goal(s) (2-3 breakouts)**

How does the organization need to grow and develop to own and lead the project?

2:15 **VIII. Reconvene as Full Group – Summarize Outcomes & Agree on Broad Themes**

Reports from breakout groups. Refinement and direction to work groups/staff to refine the goals and develop implementation strategies.

2:55 **Next Steps & Adjourn**

DRAFT

Topic: **Sites Reservoir Project, Phase 2**

Subject: **Monthly Status Report** Report Period: **2020 June**

Monthly Status Summary:

The development of the Sites Project continues to make progress in the critical areas including the following activities:

WSIP Funding:

- Meetings were conducted with CWC staff to evaluate administrative actions that could be taken to accelerate early funding to the project. Of these measures, release of retention has been authorized and a revised scope of work that redistributes funds within existing tasks is being reviewed. Combined these actions would accelerate approximately $2-$3M of state funding to the project in the Amendment 2 work plan that was not previously anticipated. A third action to temporarily allow higher state cost share is under consideration as well. Staff continue to pursue further definition from CWC on the 1/1/2021 requirements for feasibility and 75% local cost share commitment.

WIIN Act Funding:

- See "Coordination with Reclamation" section below.

USDA Funding:

- No update.

Engineering:

- Engaged PG&E and WAPA in power delivery discussions.
- Continued feasibility analysis and design of project alternatives.
- Continue supporting data needs for preparation of the Project Description.

Coordination with Reclamation:

- Began coordinating with Reclamation for in-kind work associated with Geologic & Geotechnical exploration efforts in support of the feasibility design.
- Continued to work with Reclamation planning and environmental teams on the National Environmental Policy Act approach, including identification of schedule for Reclamation review process assuming joint environmental document.
- Provided updated materials for Financial Assistance Agreement application for WIIN Act funding.

Environmental Planning and Permitting:

- Continued development of the project description for use in the Revised Environmental Impact Report / Environmental Impact Statement (Revised EIR/EIS) and the Biological Assessment.

- Finalized the Revised EIR/EIS Work Plan. The Revised EIR/EIS Work Plan identifies the approach and technical work (environmental, operations and engineering) that will be needed to support the analysis performed under Amendment 2.
- Updated the CEQA project objectives in response to feedback to support alternatives selected for the Revised Draft EIR/EIS analysis.
- Completed approach to permit and agreement negotiations for Environmental Planning, Permitting and Operations.
- Continued technical discussions and planning activities for the water right permitting and a 2020 initial meeting with state Board Staff
- Completed approach for Regulatory Agency Technical Working Group.
- Continued data exchange, water quality and geotechnical small technical working groups to facilitate development of those project components for use in the Revised EIR/EIS, Biological Assessment and Sites Feasibility Report.
- Continued technical discussions and planning activities for state and federal Endangered Species Act and Clean Water Act permitting.

Operations:

- Refined negotiations approach for working on operational agreements with the Department of Water Resources and the Bureau of Reclamation.
- Progressed the development of operations criteria that will be built into the models for use in the Revised EIR/EIS and Biological Assessment. Criteria in development include determining the modeling baseline, modeling of Shasta exchanges, diversion criteria, water demand, and coordination with the State Water Project.

Stakeholder Engagement, Public Outreach & Real Estate:

- Planning and logistics for the July 14th & 15th Sites Town Hall meetings.
- Facilitated a project update meeting for the Water Education Foundation.
- Continued engagement and outreach efforts with landowners, local community members, state and federal elected officials, non-governmental organizations and coalitions of regional and statewide organizations to introduce the Value Planning Report and the new "rightsized" project.
- Responded to landowner requests for project information, facilitated coordination activities with local government agencies and organizations and planned for future landowner, stakeholders and general public outreach activities and events.

Program Management & Administration:

- Provided update to Reservoir Committee and Authority Board on project rebalancing process.
- Supported WSIP Funding Strategy and options for leveraging State Funds via coordination meetings with Water Commission Staff.
- Implemented process and templates for Amendment 2 Work Plan Task Orders for service area providers.

Draft_0029029

Meeting:	**Phase 2 Reservoir Project Agreement**	**2020 May 21**
Subject:	**Reservoir Committee Meeting**	**1:00 PM – 4:00 PM**
Location:	Call in: **1-408-418-9388** Code: **962 567 736**	WebEx Link
Chair:	Thad Bettner (Glenn-Colusa Irrigation District)	
Vice Chair:	Jeff Davis (San Gorgonio Pass Water Agency)	
Treasurer:	Jamie Traynham (Davis Water District)	

AGENDA

Notice: Pursuant to Executive Orders N-25-20 and N-33-20, issued by Governor Newsom on March 12, 2020, and guidance by the California Department of Public Health dated March 11, 2020, this meeting will be conducted by teleconference. The public may attend the meeting and offer public comments by phone, using the call-in number above, or in person, at the address above. Members of the Committee will participate by teleconference from other locations.

ROLL CALL & CALL TO ORDER:

- Introductions.
- Pledge of Allegiance.
- Approval of May 21, 2020 Agenda.
- Period for Public Comment.

1. Consent Agenda: Approximate start time 1:10 pm

The following items have been reviewed by the Executive Director. To his knowledge, there is no opposition to the action. The items can be acted on in one consolidated motion as recommended or may be removed from the Consent Calendar and separately considered at the request of any person.

1.1 Approve the April 17, 2020 Phase 2 Reservoir Committee Meeting Minutes.

1.2 Accept the Sites Project Authority Treasurer's Report as presented in Attachment 1.2A. **(Attachment 1.2 A)**

1.3 Approve the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B. **(Attachments 1.3 A, B & C)**

1.4 Approve a consulting contract with The Catalyst Group for facilitation services in an amount not to exceed $50,000 and approve a time extension through December 31, 2020 for the existing contract with Darling H2O.

1.5 Recommend approval of the Authority Board, at their May 27, 2020 meeting, of Resolution No. 2020-01 providing for an application to the USBR for a Financial Assistance Agreement for WIIN Act funds.

2. Action Items: Approximate start time 1:15 pm

2.1 Accept the attached Exhibit B (2020 and 2021 budget) to the Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the results of the "soft call". Consider approving one of the following actions:

A. Approve moving to the "hard call" and the use of the attached participation table to create a draft Exhibit A to be included with Amendment 2.

B. Direct staff to begin outreach to potential participants based on the priority system defined in participation agreements and the credit reimbursement policy to explore interest in Sites capacity, if available. **(Attachment 2.1 A & B)**

2.2 Approve the draft Second Amendment to 2019 Reservoir Project Agreement. **(Attachments 2.2 A &B)**

2.3 Accept 2020-2021 Sites Project Federal Government Affairs Priorities. **(Attachment 2.3A)**

2.4 Approve Resolution 2020-02 commending Mr. Jim Watson for his 5 years of dedicated service to the Sites Reservoir Project. **(Attachment 2.4 A)**

3. Discussion and Information Items: Approximate start time 2:15 pm

3.1 Review and Comment on Recirculated EIR and key permits milestone schedule and key decision points. **(Attachment 3.1A)**

3.2 Review and Comment on Outreach Efforts to Communicate the Rightsized Project.

3.3 Review and Comment on Efforts to Secure Proposition 1 Water Storage Investment Program implementation modifications in light of the continuing effects of the COVID-19 pandemic on local government and water agency finances. **(Attachment 3.3 A &B)**

3.4 Review and Comment on the Reservoir Committee Workgroup and Authority Board Committee Structure and Assignments. **(Attachment 3.4 A & B)**

4. Reports: Approximate start time 3:00 pm

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

4.1.2 Workgroup Chairpersons' Report:

This time is set aside to allow the Workgroup Chairpersons' an opportunity to disclose/discuss items related to the Sites Project.

4.1.3 Reservoir Committee Participant Reports:

This time is set aside to allow Representatives or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

4.2 Executive Director's Reports:

- Monthly status report. **(Attachment 4-2 A)**

5. Future Meetings and Schedules: Approximate start time 3:15 pm

5.1 Suggested Future Agenda Items.

5.2 Upcoming meetings:

Reservoir Committee (note date changed from June 19 to June 18)

Thursday, June 18, 2020 1:00 PM
Call Number and Location TBD

Authority Board

Wednesday, June 24, 2020 1:30 PM
Call Number and Location TBD

ADJOURN:

PERIOD OF PUBLIC COMMENT: Any person may speak about any subject of concern, provided it is within the jurisdiction of the Reservoir Committee and is not already on today's agenda. The total amount of time allotted for receiving such public communication shall be limited to a total of 10 minutes per issue and each individual or group will be limited to no more than 3 minutes each within the 10 minutes allocated per issue. **Note:** No action shall be taken on comments made under this comment period.

ADA COMPLIANCE: Upon request, agendas will be made available in alternative formats to accommodate persons with disabilities. In addition, any person with a disability who requires a modification or accommodation to participate or attend this meeting may request necessary accommodation. Please make your request to the Board Clerk, specifying your disability, the format in which you would like to receive this Agenda and any other accommodation required no later than 24 hours prior to the start of the meeting.

Meeting:	**Phase 2 Reservoir Project Agreement**	**2020 April 17**
Subject:	**Reservoir Committee Meeting**	**9:00 AM – 12:00 PM**
Location:	Call in: **1-408-418-9388** Code: **962 421 873**	WebEx Link
Chair:	Thad Bettner (Glenn-Colusa Irrigation District)	
Vice Chair:	Jeff Davis (San Gorgonio Pass Water Agency)	
Treasurer:	Jamie Traynham (Davis Water District)	

MINUTES

CALL TO ORDER:

Chairman Bettner called the meeting to order at 9:00 a.m., followed by the Pledge of Allegiance.

ROLL CALL:

Roll was called (See Attachment A), which resulted in 18 eligible representatives. This equated to 91% of the current participation percentage being in attendance, which is greater than the 50% needed to have a quorum of the Reservoir Committee. By 9:15 a.m., 1 additional member was seated, bringing the total participation percentage to 97.49%.

INTRODUCTIONS:

The Sites Staff and members of the public introduced themselves.

ATTENDANCE:

See Attachment B.

AGENDA APPROVAL:

Chairman Bettner made time to consider Agenda approval.

Executive Director Brown read into the record new Closed Session language (Agenda Item 5) as follows:

5. Closed Session:

5.1 *Independent Contractor*/Public Employee/Discipline/Dismissal/Release (Govt. Code Section 54954.5(e) and (Govt. Code Section 54857(b)(1)).

Action: It was moved by Davis, seconded by Azevedo to approve the April 17, 2020 Reservoir Committee Agenda, as amended. Motion carried unanimously.

PERIOD OF PUBLIC COMMENT:

Chairman Bettner called for public comment. Hearing none, he closed the period of public comment.

9:15 a.m. Bill Vanderwaal, representative for Dunnigan Water District and RD108 is now present and seated.

Chairman Bettner made time to consider the Consent Agenda.

Executive Director Brown provided a brief update on the restructuring of the Agenda.

Vice-chairman Davis expressed concern regarding the dollar amount of the Agreements placed in Consent and requested Consent Item Numbers 1.4 and 1.5 be pulled from the Consent Agenda for separate consideration as follows:

1.4 Approve a consulting agreement with CH2M Hill Engineers, Inc. for Service Area HC – Engineering Conveyance and approve an initial task order and budget in the not to exceed amount of $597,023.00 for services through August 31, 2020. **(Attachment 1-4 A)**

1.5 Approve a consulting agreement with AECOM for Service Area HR – Engineering Reservoir and approve an initial task order and budget for services in the not to exceed amount of $599,381.00 for services through August 31, 2020. **(Attachment 1-5 A)**

Chairman Bettner so directed.

1. **Consent Agenda:** It was moved by Azevedo, seconded by Vanderwaal to approve Consent Agenda Item Numbers 1.1 through 1.3 as follows:

1.1 Approve the March 19, 2020 Phase 2 Reservoir Committee Meeting Minutes.

1.2 Accept the Sites Project Authority Treasurer's Report. **(Attachment 1-2 A)**

1.3 Approve the Payment of Claims as presented in Attachment 1-3A with supporting details provided in Attachment 1-3B. **(Attachments 1-3 A, B & C)**

Motion carried unanimously.

Chairman Bettner made time to consider Agenda Item Number 1.4 regarding approval of a consulting agreement with CH2M Hill Engineers, Inc. for Service Area HC.

Item Number 1.4: It was moved by Davis, seconded by Tincher to approve a consulting agreement with CH2M Hill Engineers, Inc. for Service Area HC – Engineering Conveyance and approve an initial task order and budget in the not to exceed amount of $597,023.00 for services through August 31, 2020. **(Attachment 1-4 A)**

Motion carried unanimously.

Chairman Bettner made time to consider Item Number 1.5 regarding approval of a consulting agreement with AECOM for Service Area HR.

Item Number 1.5: It was moved by Davis, seconded by Vanderwaal to approve a consulting agreement with AECOM for Service Area HR – Engineering Reservoir and

approve an initial task order and budget for services in the not to exceed amount of $599,381.00 for services through August 31, 2020. **(Attachment 1-5 A)**
Motion carried unanimously.

2. Action Items:

2.1 Recommend acceptance of the following items that reflect the direction to be taken in advancing the Project through the next stage of development:

a. Approve the final report titled "Sites Project Value Planning Alternatives Appraisal Report, dated April 13, 2020" and the recommendations presented within and A Recommendation to the Sites Project Authority to approve the final report titled "Sites Project Value Planning Alternatives Appraisal Report, April 13, 0202" and the recommendations presented within **(Attach 2-1.a A)**

b. Approve the work plan with a period of performance of September 1, 2020 to December 31, 2021 for the following uses: Planning cash call timing for participating agencies, Producing a draft Exhibit A, "Amendment 2 Work Plan", to the Second Amendment to 2019 Reservoir Project Agreement and Developing consultant task orders for the next stage of project development. **(Attachment 2-1.b A)**

c. Approve the draft Second Amendment to the 2019 Reservoir Project Agreement. **(Attachment 2-1.c A)**

d. Direct staff to revise and recirculate a Draft Environmental Impact Report (EIR) to analyze the environmental effects of the options identified in the Final Sites Project Value Planning Alternatives Appraisal Report dated April 13, 2020 (Report), including VP7. **(Attachment 2-1.d A)**

2.1.a Mr. Frederiksen provided a brief update of the Sites Project Value Planning Alternatives Appraisal Report dated April 13, 2020.

Action: It was moved by Carter, seconded by Azevedo to approve the final report titled "Sites Project Value Planning Alternatives Appraisal Report, dated April 13, 2020" and the recommendations presented within and a recommendation to the Sites Project Authority to approve the final report titled "Sites Project Value Planning Alternatives Appraisal Report, April 13, 2020" and the recommendations presented within.

Motion carried unanimously.

2.1.b Mr. Robinette provided an overview of the Work Plan (Amendment 2) from September 1, 2020 through December 31, 2021. He spoke to the following:

- Work Plan Goals and Schedule Targets.
- Project Schedule Targets.
- Deliverables.

- Revenue Budget which includes Cash calls, annual seat dues, State and Federal Funding.
- Expense Budget.
- Work Plan Cash Flow ($60/AF, due September 1, 2020 and $40/AF, due February 1, 2021).
- Activities needed to complete the Planning Phase.

Lengthy discussion followed on the above noted items.

Action: It was moved by Traynham, seconded by Davis to approve the work plan with a period of performance of September 1, 2020 to December 31, 2021 for the following uses: Planning cash call timing for participating agencies, Producing a draft Exhibit A, "Amendment 2 Work Plan", to the Second Amendment to 2019 Reservoir Project Agreement and Developing consultant task orders for the next stage of project development, as submitted.

Motion carried unanimously with the exception of Randall Nuedeck, Metropolitan Water District representative who abstained.

2.1.c Chairman Bettner made time to consider approval of the draft Second Amendment to the 2019 Reservoir Project Agreement.

Executive Director Brown spoke to the draft Second Amendment to the 2019 Reservoir Project Agreement. He stated staff worked with the Authority's Bond Counsel Doug Brown and the Budget Workgroup. Lengthy discussion followed regarding the proposed language in the Agreement, with the matter continued to the June 19, 2020 Reservoir Committee Meeting for further consideration.

2.1.d Direct staff to revise and recirculate a Draft Environmental Impact Report (EIR) to analyze the environmental effects of the options identified in the Final Sites Project Value Planning Alternatives Appraisal Report dated April 13, 2020 (Report), including VP7.

Ms. Forsythe provided a brief update on the recirculation of a Draft EIR to analyze the environmental effects of the options identified in the Final Sites Project Value Planning Alternatives Appraisal Report. She stated after review by the Ad Hoc Value Planning Workgroup it was recommended the Draft EIR be revised to analyze the environmental effects of the new alternatives in the Report, including VP7 and to recirculate for public review. Brief discussion followed.

Action: It was moved by Tincher, seconded by Vanderwaal to direct staff to revise and recirculate the Draft EIR to analyze the environmental effects using the Final Sites Project Value Planning Alternatives Appraisal Report dated April 13, 2002 (Report), including VP7 as a basis for the analysis and recommend to the Authority Board to approve the recirculation of the EIR.

Motion carried unanimously.

2.2 Approve the Sites Project message platform which has been incorporated into informational materials describing the results of the value planning effort and the proposed work plan and will be used for communicating the Project to all audiences. **(Attachments 2-2 A, B, C & D)**

Mr. Spesert provided an overview of the message platform and it's five key platform messages as follows:

- Key Message 1: Sites Reservoir is a 21st Century Multi-Benefit Solution to California's Water Reliability Challenges.
- Key Message 2: Our Strength is in our diverse statewide Participation.
- Key Message 3: Sites Reservoir is a "Right Sized" Project that will meet our water supply needs for today and in the future.
- Key Message 4: Sites Reservoir provides environmental, water supply, flood protection and recreation benefits for the State of California for generations to come.
- Key Message 5: We are on-track to deliver this vital project for the people of California.

He stated the Message Platform was worked on with the Authority Ad Hoc Legislative and Outreach Committee and several members of the Reservoir Committee to develop an updated message platform and informational materials that highlighted the new rightsized Sites Reservoir Project.

Ms. Katz provided an overview of the roll-out strategy of the Message Platform. Discussion followed regarding Key Message #1, #3 and #4. Following discussion, Mr. Spesert stated the Message Platform would be modified accordingly.

Action: It was moved by Carter, seconded by Dyer to approve the Sites Project message platform which has been incorporated into informational materials describing the results of the value planning effort and the proposed work plan and will be used for communication the Project to all audiences, as modified. Motion carried unanimously.

2.3 Recommend that the following actions by the Authority Board relative to the approved Organization Assessment (OA) Report as follows:

a. Accept the plan and schedule for addressing the report findings and recommendations. **(Attachment 2-3 A)**

b. Concur with the scope, schedule and budget for strategic planning facilitation services. **(Attachment 2-3 B)**

c. Approve release of a Request for Proposals for strategic planning facilitation services.

Mr. Brown provided a brief overview of the OA Report. Brief discussion followed.

Action: It was moved by Tincher, seconded by Cheng to recommend to the Authority Board to approve the OA Report as follows:

a. Accept the plan and schedule for addressing the report findings and recommendations.

b. Concur with the scope, schedule and budget for strategic planning facilitation services.

c. Approve release of a Request for Proposals for strategic planning facilitation services.

Motion carried unanimously.

3. Discussion and Information Items:

3.1 Review and comment on the letter received from participating member Wheeler Ridge-Maricopa Water Storage District (Wheeler Ridge) requesting a broad water right place of use commitment from the Project. **(Attachment 3-1 A & B)**

Mr. Brown spoke to the letter received from participating member Wheeler Ridge-Maricopa Water Storage District and their request for a more expansive water right place of use commitment from the Project.

Mr. Kunde summarized the points in his letter regarding the Sites Place-of-Use for the Committee.

Brief discussion followed with no action taken at this time.

3.2 Review and comment on status of the Service Area G – Real Estate contract.

Mr. Spesert provided an update on the Service Area G – Real Estate contract. He stated this matter was delegated to the Joint Authority/Reservoir Committee Coordination Committee on how to proceed with the Service Area G-Real Estate contract, which resulted in the decision to allow the existing Real Estate contract to expire June 30, 2020. He further stated Real Estate services through 2021 will be limited to securing temporary right of entry (TROE) permits in support of engineering and environmental field activities and to support landowner engagement activities.

4. Reports:

4.1 Member's Reports:

4.1.1 Chairpersons' Report:

This time is set aside to allow the Chair/Co-Chair an opportunity to disclose/discuss items related to the Sites Project.

Vice-chairman complimented staff who worked on the Web-ex meetings.

Chairman Bettner stated he and Executive Director Brown have discussed current and future agendas.

4.1.2 Workgroup Chairpersons' Report:

This time is set aside to allow the Workgroup Chairpersons' an opportunity to disclose/discuss items related to the Sites Project.

Budget and Finance Ad hoc Committee: Ms. Traynham stated the 2019 Audit is almost complete and will be presenting at the May Reservoir Committee meeting.

Reservoir Operations Ad hoc Work Group: Mr. Kunde provided a brief update regarding an Ad hoc Reservoir Operations Work Group meeting held on April 9, 2020.

4.1.3 Reservoir Committee Participant Reports:

This time is set aside to allow Representatives or their Alternates an opportunity to disclose/discuss items related to the Sites Project.

None.

4.2 Executive Director's Reports:

Executive Director Brown provided an overview of the following:

- Monthly status report. **(Attachment 4-2 A)**
- Monthly status report of Funding Activities – State's WSIP and Federal (USDA and WIIN Act). **(Attachment 4-2 B)**
    - Met with Assemblyman Gallagher, Senator Nielson, Congressman LaMalfa and Congressman Garamendi regarding the Project direction.
    - Nothing to report on the USDA loan.
    - Early funding Agreement invoicing and reimbursements are in progress.
    - California Water Commission has deferred their next meeting to May 2020.
    - Calls/discussions with the Government Affairs Team possible stimulus opportunities.

Mr. Davis provided a brief update regarding the Bureau of Reclamation's Feasibility Report.

RECESS:

Chairman Bettner declared a recess at 11:33 a.m. and convened into Closed Session to consider the following matter:

5. Closed Session:

5.1 Public Employee Discipline/Dismissal/Release (Govt. Code § 54954.5(e)).

Chairman Bettner adjourned Closed Session at 12:05 p.m. and reconvened into Open Session.

6. Report from Closed Session:

Chairman Bettner announced as to Closed Session regarding Public Employee Discipline/Dismissal/Release (Govt. Code Section 54954.5(e)) there was no reportable action taken.

7. Future Meetings and Schedules:

7.1 Suggested Future Agenda Items.
None.

7.2 Upcoming meetings:

Reservoir Committee

Thursday, May 21, 2020 1:00 PM
Call Number and Location TBD

Authority Board

Wednesday, May 27, 2020 1:30 PM
Call Number and Location TBD

Chairman Bettner adjourned the meeting at 12:06 p.m.

_____ _____

Chairman
Thad Bettner

Executive Director
Jerry Brown

Topic: **Sites Reservoir Project, Phase 2** **2020 April 17**

Subject: **Reservoir Committee Meeting** **9:00 AM – 12:00 PM**

Roll Call

Current Voting Committee Participants (21):

✓	Pct	Participant	
☑	3.42	American Canyon, City	
☐	2.51	Antelope Valley-East Kern WA	
☑	2.46	Carter MWC	
☑	4.97	Coachella Valley WD	
☑	4.97	Colusa County	
☑	5.49	Colusa Co. WD	
☑	2.50	Cortina WD	(1)
☑	2.90	Davis WD	
☑	0.00	Department of Water Resources (non-voting)	
☑	4.06	Desert WA	
☑	3.09	Dunnigan WD	
☑	3.68	Glenn-Colusa ID	
☑	2.64	LaGrande WD	(1)
☑	15.34	Metropolitan WD	
☑	3.42	Reclamation District 108	
☑	7.93	San Bernardino Valley MWD	
☑	6.01	San Gorgonio Pass WA	
☑	6.53	Santa Clara Valley WD	
☑	3.67	Santa Clarita Valley WA	(2)
☑	6.27	Westside WD	
☑	3.17	Wheeler Ridge-Maricopa WSD	
☑	0.00	US Bureau of Reclamation (non-voting)	
☑	4.97	Zone 7 WA	
	100.00	Total	

18. **Voting members represented at Meeting start** ☑
with those able to vote remotely being identified by ♦ (See Note 1)

91. % Represented participation percentage

Representation has been delegated as follows:

(1) To Jamie Traynham, Davis WD

(2) To Amparo Flores, Zone 7

♦ Participating from a remote location that was either posted on the agenda or in accordance with the Governor's Executive Order N-25-20 & N-29-20 (COVID-19)

(‡) Not present after _____

NOTE 1: Participation by phone is not counted in quorum or voting unless their meeting location was included in the agenda or their participation is designated by ♦.

Topic: **Sites Reservoir Project, Phase 2 (2019)** **2020 April 17**

Subject: **Reservoir Committee Meeting** **9:00 AM – 12:00 PM**

Attachment B to Meeting Minutes - Attendance

Current Voting Reservoir Committee Participants (21):

Participant	✓	Representative	✓	Alternate	Others
American Canyon, City	☑	Rick Kaufman	☐	Felix Hernandez III	
			☐	Jason Holley	
AVEK WA	☐	Dwayne Chisam	☐	Dan Flory	
Carter MWC	☑	Ben Carter	☐	Ann Carter	
			☐	Charlie Palmer	
			☐	Kendra Carter	
Coachella Valley WD	☑	Robert Cheng	☑	Ivory Reyburn	
Colusa County.	☑	Mike Azevedo	☑	Gary Evans	
Colusa Co. WD	☑	Shelley Murphy	☐	Joe Marsh	
Cortina WD	☐	Jim Peterson	☐	Chuck Grimmer	
Davis WD	☑	Jamie Traynham	☐	Tom Charter	
Desert WA	☑	Mark Krause	☑	Steve Johnson	
Dunnigan WD	☑	Bill Vanderwaal	☐		
Glenn-Colusa ID	☑	Thad Bettner	☐	Don Bransford	
LaGrande WD	☐	Zach Dennis	☐	Ken LaGrande	Jamie Traynham
Metropolitan WD	☑	Randall Neudeck	☐	Chandra Chilmakuri	
RD 108	☑	Bill Vanderwaal	☐	Blair Lewis	
San Bernardino V MWD	☑	Bob Tincher	☑	Heather Dyer	
San Gorgonio Pass WA	☑	Jeff Davis	☐		
Santa Clara Valley WD	☑	Cindy Kao	☑	Eric Leitterman	
Santa Clarita Valley WA	☑	Dirk Marks	☐	Steve Cole	
Westside WD	☑	Allan Myers	☑	Dan Ruiz	
Wheeler Ridge-Maricopa WSD	☑	Rob Kunde	☐		
Zone 7 WA	☑	Amparo Flores	☐	Carol Mahoney	
			☐	Jarnail Chahal	

NOTE 2: Additional participants were on the phone but did not identify themselves.

Reservoir Committee Meeting 2020 April 17

Participant	✓ Representative	✓ Alternate	Others
		☑ Wes Mercado	
		☐ Valerie Pryor	

Non-Voting Committee Participants (2):

Participant	✓ Representative/Other	✓ Alternate/Other	
Dept of Water Resources	☑ Rob Cooke	☐ David Sandino	
	☐ Ajay Goyal	☐ Jim Wieking	☐ Dave Arrate
Bureau of Reclamation	☐ Richard Welsh	☑ Don Bader	☐ Chris Duke
	☑ Ryan Davis	☐ Derya Sumer	☐ Mike Mosley
	☑ Natalie Wolder	☐ Mike Dietl	☐ Shana Kaplan
		☐ David Van Rijn	

Pending Reservoir Committee Participants (0):

Participant	✓ Representative	✓ Alternate

Authority, Non-Signatory (6):

Participant	✓ Representative	✓ Alternate
Glenn County	☐ John Viegas	☐
PCWA	☐ Ed Horton	☐ Ben Barker ☑ Darin Reintjes
Roseville	☐ Sean Bigley	☐ Trevor Joseph ☑ Jason Marks
Sacramento, City of	☐ Jeff Harris	☐ Anne Sanger
Sacramento County WA	☐ Kerry Schmitz	☐ Michael Peterson
Tehama-Colusa Canal Authority	☑ Jeff Sutton	☐

Staff:

✓ Name	Representing
☑ Brown, Jerry	Sites Project Authority
☑ Forsythe, Ali	Sites Project Authority
☑ Frederiksen, Lee	Sites Project Authority
☑ Spesert, Kevin	Sites Project Authority

✓ Name	Representing
☑ Trapasso, Joe	Sites Project Authority
☑ Tirado, Yolanda	Sites Project Authority
☑ Watson, Jim	Sites Project Authority

Consultants:

✓	Name	Representing
☑	Alexander, Jeriann	Fugro
☐	Barnes, Joe	AECOM
☑	Boling, Robert	HDR
☐	Briard, Monique	ICF
☐	Brown, Doug	Stradling
☐	Brown, Scott	LWA
☐	Bruner, Marc	Perkins Coie
☐	Campbell, Jeff	Project Controls Cubed
☐	Durbin, Gary	Brown & Caldwell
☐	Forrest, Mike	AECOM
☐	Floyd, Kim	Floyd
☐	Herrin, Jeff	AECOM
☑	Heydinger, Erin	HDR
☐	Johns, Jerry	Johns
☑	Katz, Sara	Katz & Associates

✓	Name	Representing
☑	Kivett, Marcia	Sites Project Authority
☑	Kuney, Scott	Young Wooldridge
☐	Montague, Doug	Montague DeRose Assoc.
☐	Motamed, Farid	Fugro
☑	Robinette, JP	Brown & Caldwell
☐	Rossetto, Sarah	Katz & Associates
☐	Rude, Peter	Ch2m
☑	Spranza, John	HDR
☐	Tull, Rob	Ch2m
☐	Van Camp, Marc	MBK
☑	Warner Herson, Laurie	Phenix
☑	Westcot, Cathy	HDR

Other Attendees: *(Check box to have email address added to the distribution list)*

Name	Representing	Contact (Phone & E-mail)
Laura Nicolson	Office of Senator Jim Nielsen	☐
Gary Darling	Darling H2O	☐
		☐
		☐
		☐
		☐
		☐
		☐
		☐

Sites

Topic: **Reservoir Committee Agenda Item 1.2** **2020 May 21**

Subject: **Treasurer's Report**

Requested Action:

Accept the Sites Project Authority Treasurer's Report as presented in Attachment 1.2A.

Detailed Description/Background:

Attachment 1.2A incorporates financial information through April 30, 2020.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: May 2020 Treasurer's Report.

SITES JOINT POWERS AUTHORITY
TREASURER'S REPORT
April 30, 2020

Savings Account-River City Bank				
Beginning Balance	04/01/2020		$ 3,019,583.31	
Transfer to General Checking to max benefit			$ (444,529.69)	
Interest Earned			$ 2,879.50	
River City Bank ICS Savings Account Balance	**04/30/2020**			$ 2,577,933.12
General Operating Checking Account-River City Bank				
Beginning Balance	04/01/2020		$ 1,220,682.01	
RECEIVED:				
Interest Earned		$ 1,542.10		
Membership Revenue		$ 55,000.00		
Reservoir Revenue		$ 16,317.92		
TOTAL RECEIVED			$ 72,860.02	
DISBURSED:				
Bank Fees		$ (157.88)		
Administrative Support		$ (6,813.45)		
Computer Service		$ (911.75)		
General Manager		$ (40,026.70)		
Accounting Fees		$ (4,890.00)		
Office Expenses		$ (745.84)		
Professional Fees-Legislative/Regulatory		$ (8,000.00)		
Professional Fees-Communication		$ (12,716.11)		
Professional Fees-Organizatioal Assessment		$ (11,727.84)		
Professional Fees-Fed Gov't Affairs Support		$ (15,000.00)		
Professional Fees-Legal Services		$ (14,928.50)		
TOTAL DISBURSED			$ (115,918.07)	
Transfer from Savings to max benefit			$ 444,529.69	
RCB General Checking Account Balance	**04/30/2020**			$ 1,622,153.65
State (WSIP) Fund Checking Account-River City Bank				
Beginning Balance	04/01/2020		$ 5,168,788.12	
DISBURSED:				
Bus/Comm Manager		$ (21,490.64)		
Program Op Manager		$ (28,449.40)		
Professional Fees-Engineering		$ (27,322.61)		
Professional Fees-Special Legal		$ (11,952.00)		
Professional Fees-Project Controls		$ (236,713.61)		
Professional Fees-Operations/SIM Modeling		$ (99,525.41)		
Professional Fees-EPP Manager		$ (30,349.03)		
Professional Fees-Geotech Eng		$ (11,187.16)		
Professional Fees-Project Integration		$ (196,668.86)		
Professional Fees-Reservoir Operations		$ (11,200.00)		
Professional Fees-Municipal Advisor		$ (5,350.00)		
Professional Fees-Env/Biological Serv & Permit		$ (84,572.48)		
TOTAL DISBURSED			$ (764,781.20)	
RCB State Fund Checking Account Balance	**04/30/2020**			$ 4,404,006.92
TOTAL CASH ON HAND	**April 30, 2020**			$ 8,604,093.69

Sites Project Joint Powers Authority
Transactions by Account
As of April 30, 2020

Type	Date	Num	Name	Memo	Debit	Credit	Balance
River City ICS Savings							3,019,583.31
General Journal	04/03/2020	4-1C		adjust ICS balance to max		196,867.28	2,822,716.03
General Journal	04/23/2020	4-2C		transfer to max ICS		247,662.41	2,575,053.62
General Journal	04/30/2020	4-4C		rec int fme	2,879.50		2,577,933.12
Total River City ICS Savings					2,879.50	444,529.69	2,577,933.12
River City Operating Account							1,220,682.01
General Journal	04/03/2020	4-1C		adjust ICS balance to max	196,867.28		1,417,549.29
Deposit	04/11/2020			Westside WD	55,000.00		1,472,549.29
Bill Pmt -Check	04/14/2020	2127	Adept Solutions Inc			911.75	1,471,637.54
Bill Pmt -Check	04/14/2020	2128	Darling H2O Consulting, Inc.			11,727.84	1,459,909.70
Bill Pmt -Check	04/14/2020	2129	Dunn Consulting			8,000.00	1,451,909.70
Bill Pmt -Check	04/14/2020	2130	J.C. Watson, Inc			40,026.70	1,411,883.00
Bill Pmt -Check	04/14/2020	2131	Katz and Associates, Inc			12,716.11	1,399,166.89
Bill Pmt -Check	04/14/2020	2132	KCoe Isom, LLP			4,890.00	1,394,276.89
Bill Pmt -Check	04/14/2020	2133	M.R. Cleaning Services			100.00	1,394,176.89
Bill Pmt -Check	04/14/2020	2134	Maximun Pest Control			65.00	1,394,111.89
Bill Pmt -Check	04/14/2020	2135	Mt Shasta Spring Water			51.65	1,394,060.24
Bill Pmt -Check	04/14/2020	2136	Recology Butte Colusa Counties			35.95	1,394,024.29
Bill Pmt -Check	04/14/2020	2137	Rush Personnel Services, Inc			6,813.45	1,387,210.84
Bill Pmt -Check	04/14/2020	2138	The Ferguson Group			15,000.00	1,372,210.84
Bill Pmt -Check	04/14/2020	2139	US Bank			493.24	1,371,717.60
Bill Pmt -Check	04/14/2020	2140	Young Wooldridge LLP			14,928.50	1,356,789.10
General Journal	04/23/2020	4-2C		transfer to max ICS	247,662.41		1,604,451.51
General Journal	04/28/2020	4-3C		Bank service fee		157.88	1,604,293.63
Deposit	04/30/2020			Antelope/E Kern WA	16,317.92		1,620,611.55
General Journal	04/30/2020	4-5C		rec int fme	1,542.10		1,622,153.65
Total River City Operating Account					517,389.71	115,918.07	1,622,153.65
River City State Fund Checking							5,168,788.12
Bill Pmt -Check	04/14/2020	1026	AECOM Technical Services, Inc.			27,322.61	5,141,465.51
Bill Pmt -Check	04/14/2020	1027	Brown and Caldwell			236,713.61	4,904,751.90
Bill Pmt -Check	04/14/2020	1028	CH2M Hill Engineers, Inc			99,525.41	4,805,226.49
Bill Pmt -Check	04/14/2020	1029	Forsythe Group LLC			30,349.03	4,774,877.46
Bill Pmt -Check	04/14/2020	1030	Fugro USA Land, Inc			11,187.16	4,763,690.30
Bill Pmt -Check	04/14/2020	1031	HDR Engineering Inc			196,668.86	4,567,021.44
Bill Pmt -Check	04/14/2020	1032	ICF Jones & Stokes Inc			84,572.48	4,482,448.96
Bill Pmt -Check	04/14/2020	1033	MBK Engineers Inc			11,200.00	4,471,248.96
Bill Pmt -Check	04/14/2020	1034	Montague DeRose and Associat...			5,350.00	4,465,898.96
Bill Pmt -Check	04/14/2020	1035	Perkins Coie LLP			11,952.00	4,453,946.96
Bill Pmt -Check	04/14/2020	1036	Spesert Consulting			21,490.64	4,432,456.32
Bill Pmt -Check	04/14/2020	1037	Trapasso Consulting Services			28,449.40	4,404,006.92
Total River City State Fund Checking					0.00	764,781.20	4,404,006.92
US Bank Checking							0.00
Total US Bank Checking							0.00
TOTAL					**520,269.21**	**1,325,228.96**	**8,604,093.69**

Draft_0029048

Sites Project Joint Powers Authority
Balance Sheet
As of April 30, 2020

	Apr 30, 20	
ASSETS		
Current Assets		
Checking/Savings		
River City ICS Savings		2,577,933.12
River City Operating Account		1,622,153.65
River City State Fund Checking		4,404,006.92
Total Checking/Savings		8,604,093.69
Accounts Receivable		
Accounts Rec Members		
Membership - Authority	68,000.00	
Membership - Reservoir	-4,988.20	
Total Accounts Rec Members		63,011.80
Grants Receivable		
California Water Commission	1,957,712.12	
Total Grants Receivable		1,957,712.12
Total Accounts Receivable		2,020,723.92
Total Current Assets		10,624,817.61
TOTAL ASSETS		**10,624,817.61**
LIABILITIES & EQUITY		
Liabilities		
Current Liabilities		
Accounts Payable		
Accounts Payable		714,999.16
Total Accounts Payable		714,999.16
Total Current Liabilities		714,999.16
Long Term Liabilities		
Participant Reimbursement Polic		6,064,652.67
Total Long Term Liabilities		6,064,652.67
Total Liabilities		6,779,651.83
Equity		
Net Assets		1,929,216.58
Retained Earnings		2,011,661.73
Net Income		-95,712.53
Total Equity		3,845,165.78
TOTAL LIABILITIES & EQUITY		**10,624,817.61**

FOR MANAGEMENT PURPOSES ONLY

Sites Project Joint Powers Authority
A/R Aging Summary
As of April 30, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
CA Department of Water Resources	0.00	0.00	1,957,712.12	0.00	0.00	1,957,712.12
City of American Canyon	0.00	0.00	0.00	0.00	-4,988.20	-4,988.20
County of Glenn	0.00	0.00	0.00	55,000.00	0.00	55,000.00
Yolo County Flood Control	0.00	0.00	0.00	0.00	13,000.00	13,000.00
TOTAL	**0.00**	**0.00**	**1,957,712.12**	**55,000.00**	**8,011.80**	**2,020,723.92**

Sites Project Joint Powers Authority
A/P Aging Summary
As of April 30, 2020

	Current	1 - 30	31 - 60	61 - 90	> 90	TOTAL
Adept Solutions Inc	932.11	986.85	0.00	0.00	0.00	1,918.96
AECOM Technical Services, Inc.	15,863.36	0.00	0.00	0.00	0.00	15,863.36
Brown and Caldwell	116,632.37	0.00	0.00	0.00	0.00	116,632.37
CH2M Hill Engineers, Inc	0.00	93,369.39	0.00	0.00	0.00	93,369.39
Darling H2O Consulting, Inc.	1,299.38	0.00	0.00	0.00	0.00	1,299.38
Dunn Consulting	8,000.00	0.00	0.00	0.00	0.00	8,000.00
Fechter & Company CPA	0.00	10,760.40	0.00	0.00	0.00	10,760.40
Forsythe Group LLC	30,000.00	0.00	0.00	0.00	0.00	30,000.00
Fugro USA Land, Inc	12,562.77	0.00	0.00	0.00	0.00	12,562.77
HDR Engineering Inc	168,613.06	0.00	0.00	0.00	0.00	168,613.06
ICF Jones & Stokes Inc	0.00	68,898.24	0.00	0.00	0.00	68,898.24
J.C. Watson, Inc	38,230.00	0.00	0.00	0.00	0.00	38,230.00
Katz and Associates, Inc	0.00	17,737.49	0.00	0.00	0.00	17,737.49
KCoe Isom, LLP	2,695.00	0.00	0.00	0.00	0.00	2,695.00
Larsen Wurzel & Associates, Inc	458.00	0.00	0.00	0.00	0.00	458.00
Maximun Pest Control	65.00	0.00	0.00	0.00	0.00	65.00
MBK Engineers Inc	0.00	4,716.75	0.00	0.00	0.00	4,716.75
Montague DeRose and Associates, LLC	12,725.00	0.00	0.00	0.00	0.00	12,725.00
Mt Shasta Spring Water	19.65	0.00	0.00	0.00	0.00	19.65
Recology Butte Colusa Counties	35.95	0.00	0.00	0.00	0.00	35.95
Rush Personnel Services, Inc	5,644.80	0.00	0.00	0.00	0.00	5,644.80
Spesert Consulting	21,343.76	0.00	0.00	0.00	0.00	21,343.76
The Ferguson Group	0.00	15,000.00	0.00	0.00	0.00	15,000.00
Trapasso Consulting Services	28,000.00	0.00	0.00	0.00	0.00	28,000.00
US Bank	302.11	0.00	0.00	0.00	0.00	302.11
Waterology Consulting	33,750.00	0.00	0.00	0.00	0.00	33,750.00
Young Wooldridge LLP	6,357.72	0.00	0.00	0.00	0.00	6,357.72
TOTAL	**503,530.04**	**211,469.12**	**0.00**	**0.00**	**0.00**	**714,999.16**

Sites Project Joint Powers Authority
Profit & Loss
April 2020

	Apr 20	Jan - Apr 20
Ordinary Income/Expense		
Income		
Membership Admin/Authority	0.00	505,000.00
Prop 1 Funding	0.00	1,957,712.12
Total Income	0.00	2,462,712.12
Gross Profit	0.00	2,462,712.12
Expense		
Accounting Expense	2,695.00	12,199.00
Admin Support Gen Manager	5,644.80	21,322.35
Audit Fees	10,760.40	10,760.40
Bank Service Fees	157.88	674.31
General Manager		
Services	38,230.00	157,963.40
Total General Manager	38,230.00	157,963.40
Insurance - Property	0.00	250.00
Insurance Liability	0.00	1,604.25
Office Expenses		
Cleaning	0.00	500.00
Misc Office & Operating Exp	302.11	2,640.32
Pest Control & Maintenance	65.00	260.00
Trash Service	35.95	143.80
Water Expense	19.65	168.55
Total Office Expenses	422.71	3,712.67
Professional Fees		
Bus/Comm Manager	21,343.76	87,401.85
Communication	17,737.49	50,106.15
Cost Development Model	458.00	1,168.60
Cultural Study	0.00	3,885.55
Engineering & Technical Service	15,863.36	106,405.61
Env/Biological Services	36,310.66	77,003.42
EPP Manager	30,000.00	122,059.09
Executive Director	33,750.00	33,750.00
Federal Government Affairs Supp	15,000.00	60,000.00
General Legal Counsel	6,357.72	37,572.48
Geotechnical Engineering	12,562.77	36,972.94
Legislative/Reg/Strategic	8,000.00	32,000.00
Municipal Advisor	12,725.00	20,000.00
Operations/Simulation Modeling	93,369.39	253,417.11
Organizational Assessment	1,299.38	29,693.41
Permitting & Agreements	32,587.58	117,703.15
Program Ops Manager	28,000.00	114,510.24
Project Controls	116,632.37	460,055.12
Project Integration	168,613.06	675,474.08
Real Estate	0.00	761.67
Reservoir Operations	4,716.75	17,017.75
Special Legal	0.00	30,402.90
Total Professional Fees	655,327.29	2,367,361.12
Website, Data, Computer Support	1,918.96	5,333.38
Total Expense	715,157.04	2,581,180.88
Net Ordinary Income	-715,157.04	-118,468.76
Other Income/Expense		
Other Income		
Interest Income		
Interest Income-River City	4,421.60	22,756.23
Total Interest Income	4,421.60	22,756.23

Draft_0029052

Sites Project Joint Powers Authority
Profit & Loss
April 2020

	Apr 20	Jan - Apr 20
Total Other Income	4,421.60	22,756.23
Net Other Income	4,421.60	22,756.23
Net Income	-710,735.44	-95,712.53

Sites Project Joint Powers Authority
Transaction Detail By Account
April 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Accounting Expense								
Bill	04/30/2020	KC084322	KCoe Isom, LLP	4/20	Authority	539.00		-539.00
Bill	04/30/2020	KC084322	KCoe Isom, LLP	4/20	Reservoir	2,156.00		-2,695.00
Total Accounting Expense						2,695.00	0.00	-2,695.00
Admin Support Gen Manager								
Bill	04/21/2020	136553	Rush Personnel Services, ...	4/20	Authority	282.24		-282.24
Bill	04/21/2020	136553	Rush Personnel Services, ...	4/20	Reservoir	1,128.96		-1,411.20
Bill	04/21/2020	136554	Rush Personnel Services, ...	4/20	Authority	282.24		-1,693.44
Bill	04/21/2020	136554	Rush Personnel Services, ...	4/20	Reservoir	1,128.96		-2,822.40
Bill	04/30/2020	136720	Rush Personnel Services, ..	4/20	Authority	282.24		-3,104.64
Bill	04/30/2020	136720	Rush Personnel Services, ...	4/20	Reservoir	1,128.96		-4,233.60
Bill	04/30/2020	136721	Rush Personnel Services, ...	5/20	Authority	282.24		-4,515.84
Bill	04/30/2020	136721	Rush Personnel Services, ...	5/20	Reservoir	1,128.96		-5,644.80
Total Admin Support Gen Manager						5,644.80	0.00	-5,644.80
Audit Fees								
Bill	04/15/2020	Letter	Fechter & Company CPA	4/20	Reservoir	10,760.40		-10,760.40
Total Audit Fees						10,760.40	0.00	-10,760.40
Bank Service Fees								
General J...	04/28/2020	4-3C		Bank service fee	Authority	75.78		-75.78
General J...	04/28/2020	4-3C		Bank service fee	Reservoir	82.10		-157.88
Total Bank Service Fees						157.88	0.00	-157.88
General Manager								
Services								
Bill	04/30/2020	SPA-057	J.C. Watson, Inc	4/20	Authority	3,823.00		-3,823.00
Bill	04/30/2020	SPA-057	J.C. Watson, Inc	4/20	Reservoir	34,407.00		-38,230.00
Total Services						38,230.00	0.00	-38,230.00
Total General Manager						38,230.00	0.00	-38,230.00
Office Expenses								
Misc Office & Operating Exp								
Bill	04/30/2020	Online 5/1	US Bank	4/20	Authority	226.58		-226.58
Bill	04/30/2020	Online 5/1	US Bank	4/20	Reservoir	75.53		-302.11
Total Misc Office & Operating Exp						302.11	0.00	-302.11
Pest Control & Maintenance								
Bill	04/23/2020	50797	Maximun Pest Control	4/20	Authority	65.00		-65.00
Total Pest Control & Maintenance						65.00	0.00	-65.00
Trash Service								
Bill	04/30/2020	37208147	Recology Butte Colusa Co...	4/20	Authority	35.95		-35.95
Total Trash Service						35.95	0.00	-35.95
Water Expense								
Bill	04/01/2020	486476	Mt Shasta Spring Water	4/20	Authority	10.00		-10.00
Bill	04/02/2020	483104	Mt Shasta Spring Water	4/20	Authority	9.65		-19.65
Total Water Expense						19.65	0.00	-19.65
Total Office Expenses						422.71	0.00	-422.71
Professional Fees								
Bus/Comm Manager								
Bill	04/30/2020	05-20	Spesert Consulting	4/20	Reservoir	21,343.76		-21,343.76
Total Bus/Comm Manager						21,343.76	0.00	-21,343.76
Communication								
Bill	04/14/2020	413694	Katz and Associates, Inc	3/20 (C)	Authority	10,642.50		-10,642.50
Bill	04/14/2020	413694	Katz and Associates, Inc	3/20 (C)	Reservoir	7,094.99		-17,737.49
Total Communication						17,737.49	0.00	-17,737.49
Cost Development Model								
Bill	04/15/2020	1609000-0320	Larsen Wurzel & Associat...	3/20	Reservoir	458.00		-458.00
Total Cost Development Model						458.00	0.00	-458.00
Engineering & Technical Service								
Bill	04/30/2020	2000353965	AECOM Technical Service...	4/20	Reservoir	15,863.36		-15,863.36
Total Engineering & Technical Service						15,863.36	0.00	-15,863.36
Env/Biological Services								
Bill	04/14/2020	0146105	ICF Jones & Stokes Inc	3/20 (E)	Reservoir	36,310.66		-36,310.66
Total Env/Biological Services						36,310.66	0.00	-36,310.66
EPP Manager								
Bill	04/30/2020	SPA-202004	Forsythe Group LLC	4/20	Reservoir	30,000.00		-30,000.00
Total EPP Manager						30,000.00	0.00	-30,000.00
Executive Director								

Sites Project Joint Powers Authority
Transaction Detail By Account
April 2020

Type	Date	Num	Name	Memo	Class	Debit	Credit	Balance
Bill	04/30/2020	1	Waterology Consulting	4/20	Reservoir	33,750.00		-33,750.00
Total Executive Director						33,750.00	0.00	-33,750.00
Federal Government Affairs Supp								
Bill	04/01/2020	0420149	The Ferguson Group	4/20	Authority	7,500.00		-7,500.00
Bill	04/01/2020	0420149	The Ferguson Group	4/20	Reservoir	7,500.00		-15,000.00
Total Federal Government Affairs Supp						15,000.00	0.00	-15,000.00
General Legal Counsel								
Bill	04/30/2020	65164	Young Wooldridge LLP	4/20	Authority	2,838.11		-2,838.11
Bill	04/30/2020	65164	Young Wooldridge LLP	4/20	Reservoir	3,519.61		-6,357.72
Total General Legal Counsel						6,357.72	0.00	-6,357.72
Geotechnical Engineering								
Bill	04/30/2020	04.7219003...	Fugro USA Land, Inc	4/20 (I)	Reservoir	12,562.77		-12,562.77
Total Geotechnical Engineering						12,562.77	0.00	-12,562.77
Legislative/Reg/Strategic								
Bill	04/30/2020	Letter	Dunn Consulting	4/20	Authority	4,000.00		-4,000.00
Bill	04/30/2020	Letter	Dunn Consulting	4/20	Reservoir	4,000.00		-8,000.00
Total Legislative/Reg/Strategic						8,000.00	0.00	-8,000.00
Municipal Advisor								
Bill	04/24/2020	4851SITES	Montague DeRose and As...	3/20	Reservoir	12,725.00		-12,725.00
Total Municipal Advisor						12,725.00	0.00	-12,725.00
Operations/Simulation Modeling								
Bill	04/14/2020	D3205400-012	CH2M Hill Engineers, Inc	3/20 (D)	Reservoir	93,369.39		-93,369.39
Total Operations/Simulation Modeling						93,369.39	0.00	-93,369.39
Organizational Assessment								
Bill	04/30/2020	122	Darling H2O Consulting, Inc.	4/20	Authority	1,299.38		-1,299.38
Total Organizational Assessment						1,299.38	0.00	-1,299.38
Permitting & Agreements								
Bill	04/14/2020	0146101	ICF Jones & Stokes Inc	3/20 (F)	Reservoir	32,587.58		-32,587.58
Total Permitting & Agreements						32,587.58	0.00	-32,587.58
Program Ops Manager								
Bill	04/30/2020	SPA 17-31	Trapasso Consulting Servi...	4/20	Reservoir	28,000.00		-28,000.00
Total Program Ops Manager						28,000.00	0.00	-28,000.00
Project Controls								
Bill	04/30/2020	17371089	Brown and Caldwell	4/20 (B)	Reservoir	116,632.37		-116,632.37
Total Project Controls						116,632.37	0.00	-116,632.37
Project Integration								
Bill	04/30/2020	1200264393	HDR Engineering Inc	4/20 (A)	Reservoir	168,613.06		-168,613.06
Total Project Integration						168,613.06	0.00	-168,613.06
Reservoir Operations								
Bill	04/17/2020	20-03-4941.1	MBK Engineers Inc	3/20	Reservoir	4,716.75		-4,716.75
Total Reservoir Operations						4,716.75	0.00	-4,716.75
Total Professional Fees						655,327.29	0.00	-655,327.29
Website, Data, Computer Support								
Bill	04/15/2020	139076	Adept Solutions Inc	3/20	Authority	197.37		-197.37
Bill	04/15/2020	139076	Adept Solutions Inc	3/20	Reservoir	789.48		-986.85
Bill	04/30/2020	MSP-139049	Adept Solutions Inc	5/20	Authority	136.40		-1,123.25
Bill	04/30/2020	MSP-139049	Adept Solutions Inc	5/20	Reservoir	545.61		-1,668.86
Bill	04/30/2020	139187	Adept Solutions Inc	3/20	Authority	50.02		-1,718.88
Bill	04/30/2020	139187	Adept Solutions Inc	3/20	Reservoir	200.08		-1,918.96
Total Website, Data, Computer Support						1,918.96	0.00	-1,918.96
Interest Income								
Interest Income-River City								
General J...	04/30/2020	4-4C		rec int fme	Reservoir		2,879.50	2,879.50
General J...	04/30/2020	4-5C		rec int fme	Authority		740.21	3,619.71
General J...	04/30/2020	4-5C		rec int fme	Reservoir		801.89	4,421.60
Total Interest Income-River City						0.00	4,421.60	4,421.60
Total Interest Income						0.00	4,421.60	4,421.60
TOTAL						**715,157.04**	**4,421.60**	**-710,735.44**

Draft_0029055

Sites Project Joint Powers Authority
Balance Sheet by Class
As of April 30, 2020

	Authority	Reservoir	TOTAL
ASSETS			
Current Assets			
Checking/Savings			
River City ICS Savings	0.00	2,577,933.12	2,577,933.12
River City Operating Account	780,589.71	841,563.94	1,622,153.65
River City State Fund Checking	0.00	4,404,006.92	4,404,006.92
Total Checking/Savings	780,589.71	7,823,503.98	8,604,093.69
Accounts Receivable			
Accounts Rec Members			
Membership - Authority	68,000.00	0.00	68,000.00
Membership - Reservoir	0.00	-4,988.20	-4,988.20
Total Accounts Rec Members	68,000.00	-4,988.20	63,011.80
Grants Receivable			
California Water Commission	0.00	1,957,712.12	1,957,712.12
Total Grants Receivable	0.00	1,957,712.12	1,957,712.12
Total Accounts Receivable	68,000.00	1,952,723.92	2,020,723.92
Total Current Assets	848,589.71	9,776,227.90	10,624,817.61
TOTAL ASSETS	**848,589.71**	**9,776,227.90**	**10,624,817.61**
LIABILITIES & EQUITY			
Liabilities			
Current Liabilities			
Accounts Payable			
Accounts Payable	32,501.92	682,497.24	714,999.16
Total Accounts Payable	32,501.92	682,497.24	714,999.16
Total Current Liabilities	32,501.92	682,497.24	714,999.16
Long Term Liabilities			
Participant Reimbursement Polic	0.00	6,064,652.67	6,064,652.67
Total Long Term Liabilities	0.00	6,064,652.67	6,064,652.67
Total Liabilities	32,501.92	6,747,149.91	6,779,651.83
Equity			
Net Assets	114,674.93	1,814,541.65	1,929,216.58
Retained Earnings	351,952.54	1,659,709.19	2,011,661.73
Net Income	349,460.32	-445,172.85	-95,712.53
Total Equity	816,087.79	3,029,077.99	3,845,165.78
TOTAL LIABILITIES & EQUITY	**848,589.71**	**9,776,227.90**	**10,624,817.61**

Sites Project Joint Powers Authority
Profit & Loss by Class
April 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Expense			
Accounting Expense	539.00	2,156.00	2,695.00
Admin Support Gen Manager	1,128.96	4,515.84	5,644.80
Audit Fees	0.00	10,760.40	10,760.40
Bank Service Fees	75.78	82.10	157.88
General Manager			
Services	3,823.00	34,407.00	38,230.00
Total General Manager	3,823.00	34,407.00	38,230.00
Office Expenses			
Misc Office & Operating Exp	226.58	75.53	302.11
Pest Control & Maintenance	65.00	0.00	65.00
Trash Service	35.95	0.00	35.95
Water Expense	19.65	0.00	19.65
Total Office Expenses	347.18	75.53	422.71
Professional Fees			
Bus/Comm Manager	0.00	21,343.76	21,343.76
Communication	10,642.50	7,094.99	17,737.49
Cost Development Model	0.00	458.00	458.00
Engineering & Technical Service	0.00	15,863.36	15,863.36
Env/Biological Services	0.00	36,310.66	36,310.66
EPP Manager	0.00	30,000.00	30,000.00
Executive Director	0.00	33,750.00	33,750.00
Federal Government Affairs Supp	7,500.00	7,500.00	15,000.00
General Legal Counsel	2,838.11	3,519.61	6,357.72
Geotechnical Engineering	0.00	12,562.77	12,562.77
Legislative/Reg/Strategic	4,000.00	4,000.00	8,000.00
Municipal Advisor	0.00	12,725.00	12,725.00
Operations/Simulation Modeling	0.00	93,369.39	93,369.39
Organizational Assessment	1,299.38	0.00	1,299.38
Permitting & Agreements	0.00	32,587.58	32,587.58
Program Ops Manager	0.00	28,000.00	28,000.00
Project Controls	0.00	116,632.37	116,632.37
Project Integration	0.00	168,613.06	168,613.06
Reservoir Operations	0.00	4,716.75	4,716.75
Total Professional Fees	26,279.99	629,047.30	655,327.29
Website, Data, Computer Support	383.79	1,535.17	1,918.96
Total Expense	32,577.70	682,579.34	715,157.04
Net Ordinary Income	-32,577.70	-682,579.34	-715,157.04
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	740.21	3,681.39	4,421.60
Total Interest Income	740.21	3,681.39	4,421.60
Total Other Income	740.21	3,681.39	4,421.60
Net Other Income	740.21	3,681.39	4,421.60
Net Income	**-31,837.49**	**-678,897.95**	**-710,735.44**

FOR MANAGEMENT PURPOSES ONLY

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through April 2020

	Authority	Reservoir	TOTAL
Ordinary Income/Expense			
Income			
Membership Admin/Authority	505,000.00	0.00	505,000.00
Prop 1 Funding	0.00	1,957,712.12	1,957,712.12
Total Income	505,000.00	1,957,712.12	2,462,712.12
Gross Profit	505,000.00	1,957,712.12	2,462,712.12
Expense			
Accounting Expense	2,439.80	9,759.20	12,199.00
Admin Support Gen Manager	4,264.47	17,057.88	21,322.35
Audit Fees	0.00	10,760.40	10,760.40
Bank Service Fees	249.32	424.99	674.31
General Manager			
Services	22,382.36	135,581.04	157,963.40
Total General Manager	22,382.36	135,581.04	157,963.40
Insurance - Property	200.00	50.00	250.00
Insurance Liability	0.00	1,604.25	1,604.25
Office Expenses			
Cleaning	500.00	0.00	500.00
Misc Office & Operating Exp	664.51	1,975.81	2,640.32
Pest Control & Maintenance	260.00	0.00	260.00
Trash Service	143.80	0.00	143.80
Water Expense	168.55	0.00	168.55
Total Office Expenses	1,736.86	1,975.81	3,712.67
Professional Fees			
Bus/Comm Manager	0.00	87,401.85	87,401.85
Communication	30,063.92	20,042.23	50,106.15
Cost Development Model	0.00	1,168.60	1,168.60
Cultural Study	0.00	3,885.55	3,885.55
Engineering & Technical Service	0.00	106,405.61	106,405.61
Env/Biological Services	0.00	77,003.42	77,003.42
EPP Manager	0.00	122,059.09	122,059.09
Executive Director	0.00	33,750.00	33,750.00
Federal Government Affairs Supp	30,000.00	30,000.00	60,000.00
General Legal Counsel	20,511.50	17,060.98	37,572.48
Geotechnical Engineering	0.00	36,972.94	36,972.94
Legislative/Reg/Strategic	16,000.00	16,000.00	32,000.00
Municipal Advisor	0.00	20,000.00	20,000.00
Operations/Simulation Modeling	0.00	253,417.11	253,417.11
Organizational Assessment	29,693.41	0.00	29,693.41
Permitting & Agreements	0.00	117,703.15	117,703.15
Program Ops Manager	0.00	114,510.24	114,510.24
Project Controls	0.00	460,055.12	460,055.12
Project Integration	0.00	675,474.08	675,474.08
Real Estate	0.00	761.67	761.67
Reservoir Operations	0.00	17,017.75	17,017.75
Special Legal	0.00	30,402.90	30,402.90
Total Professional Fees	126,268.83	2,241,092.29	2,367,361.12
Website, Data, Computer Support	1,066.68	4,266.70	5,333.38
Total Expense	158,608.32	2,422,572.56	2,581,180.88
Net Ordinary Income	346,391.68	-464,860.44	-118,468.76
Other Income/Expense			
Other Income			
Interest Income			
Interest Income-River City	3,068.64	19,687.59	22,756.23
Total Interest Income	3,068.64	19,687.59	22,756.23

Sites Project Joint Powers Authority
Profit & Loss by Class - YTD
January through April 2020

	Authority	Reservoir	TOTAL
Total Other Income	3,068.64	19,687.59	22,756.23
Net Other Income	3,068.64	19,687.59	22,756.23
Net Income	**349,460.32**	**-445,172.85**	**-95,712.53**

Sites

Topic: **Reservoir Committee Agenda Item 1.3** **2020 May 21**

Subject: **Payment of Claims**

Requested Action:

Approve the Payment of Claims as presented in Attachment 1.3A with supporting details provided in Attachment 1.3B.

Detailed Description/Background:

Attachment A presents the warrants to be drawn against the invoices received by the Sites Project Authority through May 4, 2020.

Attachment B summarizes details of the invoices received through May 4, 2020 and how the incurred costs are allocated between the Authority and Reservoir Committee.

Prior Action:

None.

Fiscal Impact/Funding Source:

Total Payment of Claims is $714,999.16 with $32,501.92 of costs being assigned to the Authority and $682,497.24 assigned to the Reservoir Committee.

For the Reservoir Committee assigned amount, $617,693.10 will be paid through the WSIP account and $64,804.14 through the JPA/Sites account as shown in Attachment B.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: May 2020 Report on warrants to be drawn for Payment of Claims

Attachment B: May 2020 Monthly Consultant and Vendor Invoice Table

Attachment C: May 2020 Key Consultant Budget Status Report

Draft_0029060

WARRANTS DRAWN AGAINST
Sites Project Authority- General Fund
May 27, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
2141	05/13/2020	Adept Solutions	IT & Related Services	1,918.96
2142	05/13/2020	Darling H2O Consulting, Inc.	Organizational Assessment	1,299.38
2143	05/13/2020	Dunn Consulting	Legislative/Regulatory/Strategic Support	8,000.00
2144	05/13/2020	JC Watson Inc.	GM Services	38,230.00
2145	05/13/2020	Katz and Associates Inc.	Communications	17,737.49
2146	05/13/2020	K-Coe-Isom, LLP	Accounting	2,695.00
2147	05/13/2020	Maximum Pest Control	Pest Control	65.00
2148	05/13/2020	Mt Shasta Spring Water	Office Water	19.65
2149	05/13/2020	Recology Butte Colusa Counties	Office Trash Pickup	35.95
2150	05/13/2020	Rush Personnel Services Inc.	Administrative Services	5,644.80
2151	05/13/2020	The Ferguson Group	Fed Govt Affairs Support	15,000.00
2152	05/13/2020	U.S. Bank	Misc. Expenses	302.11
2153	05/13/2020	Young Wooldridge LLP	Legal Counsel	6,357.72

THE FOREGOING CLAIM, NUMBERED 2141-2153 ARE APPLIED TO THE GENERAL FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **97,306.06**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

WARRANTS DRAWN AGAINST
Sites Project Authority-WSIP Fund
May 27, 2020

Warrant Number	Check Date	Vendor	Invoice Description	Amount Paid
1038	05/13/2020	AECOM Inc.	Engineering	15,863.36
1039	05/13/2020	Brown and Caldwell	Project Controls	116,632.37
1040	05/13/2020	CH2M	Operations/SIM Modeling	93,369.39
1041	05/13/2020	Fechter & Company CPA	Accounting	10,760.40
1042	05/13/2020	Forsythe Group LLC	EPP Manager	30,000.00
1043	05/13/2020	Fugro USA Land, Inc.	Geotechnical Engineering Services	12,562.77
1044	05/13/2020	HDR Engineering Inc.	Project Integration	168,613.06
1045	05/13/2020	ICF Jones & Stokes Inc.	Env/Biological Services & Permitting	68,898.24
1046	05/13/2020	Larsen Wurzel & Associates, Inc.	Cost Development	458.00
1047	05/13/2020	MBK Engineers Inc.	Reservoir Operations	4,716.75
1048	05/13/2020	Montegue DeRose and Associates, Inc.	Municipal Advisor	12,725.00
1049	05/13/2020	Spesert Consulting	Bus/Comm Manager	21,343.76
1050	05/13/2020	Trapasso Consulting Services	Program Operations Manager	28,000.00
1051	05/13/2020	Waterology Consulting	Executive Director Services	33,750.00

THE FOREGOING CLAIM, NUMBERED 1038-1051 ARE APPLIED TO THE WSIP FUND OF SITES PROJECT AUTHORITY AND ARE WARRANTS AUTHORIZED THERETO.

Total Amount **617,693.10**

County of Colusa

Westside Water District

Colusa County Water District

Placer County Water Agency/City of Roseville

County of Glenn

Sacramento County Water Agency/City of Sacramento

Glenn-Colusa Irrigation District

Reclamation District No. 108

Tehama Colusa Canal Authority

Sites

May 10, 2020

Topic: Program Operations - Finance

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Board Authorizations							
Adept Solutions	139076			$986.85	$197.37	$789.48	KMS
IT Related Services/Computer Equipment		*4/15/20*	03/20				
Adept Solutions	MSP-139049			$682.01	$136.40	$545.61	KMS
IT Related Services/Computer Equipment		*5/1/20*	5/20				
Adept Solutions	139187			$250.10	$50.02	$200.08	KMS
IT Related Services/Computer Equipment		*5/1/20*	3/20				
AECOM (Reservoir) (HR)	No Invoice						
Design							
AECOM Technical Services, Inc.	2000353965			$15,863.36		$15,863.36	LEF
Engineering/Tech		*5/4/20*	4/20				
Bender Rosenthal, Inc. (G)	No Invoice						KMS
Real Estate							
Brown and Caldwell (B)	17371089			$116,632.37		$116,632.37	JAT
Project Controls		*5/4/20*	4/20				
CH2M Hill Engineers (Operations) (D)	D3205400-012			$93,369.39		$93,369.39	AEF
Operations / Simulation Modeling		*4/14/20*	3/20				
CH2M/Jacobs (Conveyance) (HC)	No Invoice						
Engineering Services							
Colusa Indian Community Council	No Invoice						SPE
Tribal Council							
Darling H2O Consulting, Inc.	122			$1,299.38	$1,299.38		FD
Organizational Assessment		*5/1/20*	4/20				
Dunn Consulting	Letter			$8,000.00	$4,000.00	$4,000.00	KMS
Legislative/Regulatory/Strategic Support		*5/5/20*	4/20				
Fechter and Company, CPAs	Letter			$10,760.40		$10,760.40	JAT
Accounting Services		*4/15/20*	4/20				
Forsythe Group, LLC	SPA-202004			$30,000.00		$30,000.00	JB
EPP Manager		*5/4/20*	4/20				
Fugro (I)	04.72190035-13			$12,562.77		$12,562.77	LEF
Geotechnical Engineering Services		*5/1/20*	4/20				

Draft_0029063

Sites

May 10, 2020

Topic: Program Operations - Finance

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Gerald (Jerry) Johns	No Invoice					AEF
Project Operations						
HDR (A)	1200264393		$168,613.06		$168,613.06	JAT
Project Integration	*5/1/20*	4/20				
ICF Jones & Stokes, Inc. (Environ.) (E)	0146105		$36,310.66		$36,310.66	AEF
Env/Biological Services	*4/14/20*	3/20				
ICF Jones & Stokes, Inc. (Permitting) (F)	0146101		$32,587.58		$32,587.58	AEF
Permitting and Agreements	*4/14/20*	3/20				
J.C. Watson, Inc.	SPA-057		$38,230.00	$3,823.00	$34,407.00	JB
General Manager Services	*5/1/20*	4/20				
Katz & Associates (C)	413694		$17,737.49	$10,642.50	$7,094.99	KMS
Communications	*4/14/20*	3/20				
K-Coe Isom, LLP	KC084322		$2,695.00	$539.00	$2,156.00	JAT
Accounting	*4/30/20*	4/20				
Larsen Wurzel & Associates, Inc.	1609000-0320		$458.00		$458.00	JAT
Cost Development	*4/15/20*	3/20				
M.R. Cleaning Service	No Invoice					KMS
Office Cleaning						
Maximum Pest Control	50797		$65.00	$65.00		KMS
Pest Spraying	*4/23/20*	4/20				
MBK Engineers	20-03-4941.0		$4,716.75		$4,716.75	AEF
Reservoir Operations	*4/17/20*	3/20				
Montague DeRose & Associates, LLC	4851SITES		$12,725.00		$12,725.00	JAT
Municipal Advisor	*4/24/20*	3/20				
MT Shasta Water	486476		$10.00	$10.00		KMS
Office Water	*4/1/20*	4/20				
MT Shasta Water	483104		$9.65	$9.65		KMS
Office Water	*4/2/20*	4/20				
Perkins Coie, LLP	No Invoice					AEF
Special Legal						

Draft_0029064

Sites

May 10, 2020

Topic: Program Operations - Finance

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Recology Butte Colusa Counties	37208147		$35.95	$35.95		KMS
Office Trash Pickup	*4/30/20*	4/20				
Rush Personnel	IVC000000136553		$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services	*4/21/20*	4/20				
Rush Personnel	IVC000000136554		$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services	*4/21/20*	4/20				
Rush Personnel	IVC000000136720		$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services	*5/4/20*	4/20				
Rush Personnel	IVC000000136721		$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services	*5/4/20*	5/20				
Spesert Consulting	05-20		$21,343.76		$21,343.76	JB
Bus/Communications Manager	*5/4/20*	4/20				
Stradling, Yocca, Carlson & Rauth	No Invoice					JAT
Bond Counsel						
The Ferguson Group	0420149		$15,000.00	$7,500.00	$7,500.00	KMS
Federal Government Affairs Support	*4/1/20*	4/20				
Trapasso Consulting Services	SPA 17-31		$28,000.00		$28,000.00	JB
Program Operations Manager	*5/3/20*	4/20				
U.S. Bank - Credit Card	Online 5/1		$302.11	$226.58	$75.53	KMS
Misc. Expenses	*5/1/20*	4/20				
Waterology Consulting	1		$33,750.00		$33,750.00	JT/FD/JS
Executive Director Services	*5/1/20*	4/20				
William Avery & Associates	No Invoice					JAT
Personnel Recruiter						
Wiseman Consulting Group	No Invoice					KMS
ROW/Land Management						
Young Wooldridge, Law Offices, LLP	65164		$6,357.72	$2,838.11	$3,519.61	JAT
Legal Counsel	*4/30/20*	4/20				

Draft_0029065

Sites

May 10, 2020

Topic: Program Operations - Finance

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports. The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice # Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
			Total	$ Authority Board	$ Reservoir Committee	
Cash Accounts			[illegible]	[illegible]	[illegible]	
May 2020 Monthly Totals		JPA/Sites	97,306.06	32,501.92	64,804.14	
		WSIP	617,693.10		617,693.10	
Cumulative Summary		WSIP To Date	2,336,723.47	(3) Fund Checks Received		
		Balance	3,786,358.82	6,123,082.29		

Notes: *

Draft_0029066

Sites

May 10, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Board Approval Items							
Adept Solutions	139076			$986.85	$197.37	$789.48	KMS
IT Related Services/Computer Equipment		*4/15/20*	03/20				
Adept Solutions	MSP-139049			$682.01	$136.40	$545.61	KMS
IT Related Services/Computer Equipment		*5/1/20*	5/20				
Adept Solutions	139187			$250.10	$50.02	$200.08	KMS
IT Related Services/Computer Equipment		*5/1/20*	3/20				
AECOM (Reservoir) (HR)	No Invoice						
Design							
AECOM Technical Services, Inc.	2000353965			$15,863.36		$15,863.36	LEF
Engineering/Tech		*5/4/20*	4/20				
Bender Rosenthal, Inc. (G)	No Invoice						KMS
Real Estate							
Brown and Caldwell (B)	17371089			$116,632.37		$116,632.37	JAT
Project Controls		*5/4/20*	4/20				
CH2M Hill Engineers (Operations) (D)	D3205400-012			$93,369.39		$93,369.39	AEF
Operations / Simulation Modeling		*4/14/20*	3/20				
CH2M/Jacobs (Conveyance) (HC)	No Invoice						
Engineering Services							
Colusa Indian Community Council	No Invoice						SPE
Tribal Council							
Darling H2O Consulting, Inc.	122			$1,299.38	$1,299.38		FD
Organizational Assessment		*5/1/20*	4/20				
Dunn Consulting	Letter			$8,000.00	$4,000.00	$4,000.00	KMS
Legislative/Regulatory/Strategic Support		*5/5/20*	4/20				
Fechter and Company, CPAs	Letter			$10,760.40		$10,760.40	JAT
Accounting Services		*4/15/20*	4/20				
Forsythe Group, LLC	SPA-202004			$30,000.00		$30,000.00	JB
EPP Manager		*5/4/20*	4/20				
Fugro (I)	04.72190035-13			$12,562.77		$12,562.77	LEF
Geotechnical Engineering Services		*5/1/20*	4/20				

Sites

May 10, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice # / Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Gerald (Jerry) Johns	No Invoice					AEF
Project Operations						
HDR (A)	1200264393		$168,613.06		$168,613.06	JAT
Project Integration	*5/1/20*	4/20				
ICF Jones & Stokes, Inc. (Environ.) (E)	0146105		$36,310.66		$36,310.66	AEF
Env/Biological Services	*4/14/20*	3/20				
ICF Jones & Stokes, Inc. (Permitting) (F)	0146101		$32,587.58		$32,587.58	AEF
Permitting and Agreements	*4/14/20*	3/20				
J.C. Watson, Inc.	SPA-057		$38,230.00	$3,823.00	$34,407.00	JB
General Manager Services	*5/1/20*	4/20				
Katz & Associates (C)	413694		$17,737.49	$10,642.50	$7,094.99	KMS
Communications	*4/14/20*	3/20				
K-Coe Isom, LLP	KC084322		$2,695.00	$539.00	$2,156.00	JAT
Accounting	*4/30/20*	4/20				
Larsen Wurzel & Associates, Inc.	1609000-0320		$458.00		$458.00	JAT
Cost Development	*4/15/20*	3/20				
M.R. Cleaning Service	No Invoice					KMS
Office Cleaning						
Maximum Pest Control	50797		$65.00	$65.00		KMS
Pest Spraying	*4/23/20*	4/20				
MBK Engineers	20-03-4941.0		$4,716.75		$4,716.75	AEF
Reservoir Operations	*4/17/20*	3/20				
Montague DeRose & Associates, LLC	4851SITES		$12,725.00		$12,725.00	JAT
Municipal Advisor	*4/24/20*	3/20				
MT Shasta Water	486476		$10.00	$10.00		KMS
Office Water	*4/1/20*	4/20				
MT Shasta Water	483104		$9.65	$9.65		KMS
Office Water	*4/2/20*	4/20				
Perkins Coie, LLP	No Invoice					AEF
Special Legal						

Sites

May 10, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice #	Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by
Recology Butte Colusa Counties	37208147			$35.95	$35.95		KMS
Office Trash Pickup		*4/30/20*	4/20				
Rush Personnel	IVC000000136553			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*4/21/20*	4/20				
Rush Personnel	IVC000000136554			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*4/21/20*	4/20				
Rush Personnel	IVC000000136720			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*5/4/20*	4/20				
Rush Personnel	IVC000000136721			$1,411.20	$282.24	$1,128.96	KMS
Yolanda Tirado Services		*5/4/20*	5/20				
Spesert Consulting	05-20			$21,343.76		$21,343.76	JB
Bus/Communications Manager		*5/4/20*	4/20				
Stradling, Yocca, Carlson & Rauth	No Invoice						JAT
Bond Counsel							
The Ferguson Group	0420149			$15,000.00	$7,500.00	$7,500.00	KMS
Federal Government Affairs Support		*4/1/20*	4/20				
Trapasso Consulting Services	SPA 17-31			$28,000.00		$28,000.00	JB
Program Operations Manager		*5/3/20*	4/20				
U.S. Bank - Credit Card	Online 5/1			$302.11	$226.58	$75.53	KMS
Misc. Expenses		*5/1/20*	4/20				
Waterology Consulting	1			$33,750.00		$33,750.00	JT/FD/JS
Executive Director Services		*5/1/20*	4/20				
William Avery & Associates	No Invoice						JAT
Personnel Recruiter							
Wiseman Consulting Group	No Invoice						KMS
ROW/Land Management							
Young Wooldridge, Law Offices, LLP	65164			$6,357.72	$2,838.11	$3,519.61	JAT
Legal Counsel		*4/30/20*	4/20				

Sites

May 10, 2020

Topic: **Program Operations - Finance**

Subject: Consultant/Vendor Invoices Received for May 2020 Board Authority and Reservoir Committee

Purpose: Summarize the review of invoices for preparation of monthly Accountant and Treasurer's reports.

The following consultant and vendor invoices were received and reviewed for inclusion in Payment of Claims for the Authority Board and Reservoir Committee consideration at their May monthly meetings.

Consultant/Vendor	Invoice # Date	Period	Total	$ Authority Board	$ Reservoir Committee	Review by

		Total	$ Authority Board	$ Reservoir Committee
	Cash Accounts	714,999.16	32,501.92	682,497.24
May 2020 Monthly Totals	JPA/Sites	97,306.06	32,501.92	64,804.14
	WSIP	617,693.10		617,693.10
Cumulative Summary	WSIP To Date	2,336,723.47	(3) Fund Checks Received	
	Balance	3,786,358.82	6,123,082.29	

Notes: *

Draft_0029070

Sites

MAY KEY CONSULTANT BUDGET REPORT

FOR AUTHORITY BOARD AND RESERVOIR COMMITTEE,
FINANCE AND ECONOMICS AD HOC COMMITTEE

Area / Consultant		Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
a) HDR			Costs and Task % as of 04/20 Services	**1,499,864**	**1,499,864**	**675,474**	**824,390**	**45%**		
	2	A01	Communications Int.		-	-	-	-	100%	
	2	A02	Ops Modeling Int.		181,007	14,038	166,969	8%	30%	
	2	A03	Env. Planning Int.		149,357	96,118	53,239	64%	45%	
	2	A04	Permitting Int.		243,884	138,496	105,388	57%	45%	
	2	A05	Real Estate Int.		139,718	12,392	127,326	9%	25%	
	2	A06	Engineering Int.		299,476	150,326	149,150	50%	45%	
	2	A07	Geotech Int.		-	-	-	-	100%	
	2	A08	Controls Int.		224,387	117,420	106,967	52%	45%	
	2	A09	General Int.		98,267	108,358	(10,091)	110%	45%	
	2	A10	Authority's Engineer		-	-	-	-	100%	
	2	A11	Health, Safety & Loss Prevention		-	-	-	-	100%	
	2	A12	Quality Management		-	-	-	-	100%	
	2	A13	Risk Management		49,890	7,249	42,641	15%	10%	
	2	A14	IT		15,831	6,357	9,474	40%	45%	
	2	A15	GIS		-	765	(765)	-	100%	
	2	A16	Document Management		3,734	2,604	1,130	70%	45%	
	2	A17	Staff Support		14,004	1,929	12,075	14%	20%	
	2	A18	Satellite Project Office		-	-	-	-	100%	
	2	A19	Land Conservation Approach		-	-	-	-	100%	
	2	A98	HDR Project Management		40,309	14,224	26,085	35%	45%	
	2	A99	Expenses		40,000	5,198	34,802	13%	45%	
b) BC			Costs and Task % as of 04/20 Services	**899,156**	**899,156**	**460,055**	**439,101**	**51%**		
	2	B01	Project Controls		113,645	49,703	63,942	44%	45%	
	2	B02	Contract Administration and Compliance		492,414	231,523	260,891	47%	45%	
	2	B03	Work Planning and Scheduling		100,341	88,452	11,889	88%	50%	
	2	B04	Project Administrative Support		117,516	64,018	53,498	54%	50%	
	2	B05	PMP Sections		-	-	-	-	-	
	2	B98	Project Management		73,240	24,660	48,580	34%	40%	
	2	B99	Expenses		2,000	1,700	300	85%	90%	

Draft_0029072

Sites

MAY KEY CONSULTANT BUDGET REPORT

FOR AUTHORITY BOARD AND RESERVOIR COMMITTEE,
FINANCE AND ECONOMICS AD HOC COMMITTEE

5/8/2020

Area / Consultant		Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
c) K&A			Costs and Task % as of 03/20 Services	**199,930**	**199,930**	**50,106**	**149,824**	**25%**		
	2	C01	Local/Landowner Outreach		14,083	56	14,027	0%	-	
	2	C02	Statewide Outreach		22,000	620	21,380	3%	-	
	2	C03	NGO Outreach		12,166	1,085	11,081	9%	-	
	2	C04	Strategic Communications		19,666	4,039	15,627	21%	35%	
	2	C05	Message Development/Training		11,831	1,410	10,421	12%	20%	
	2	C06	Informational Materials Distribution		38,500	11,069	27,431	29%	20%	
	2	C07	Website Modifications and Management		18,600	4,014	14,586	22%	35%	
	2	C08	Video & Photography		-	-	-	-	-	
	2	C09	Authority/Reservoir Committee Public Affairs Support		14,500	4,495	10,005	31%	35%	
	2	C10	Media Relations		13,084	5,598	7,487	43%	30%	
	2	C11	Social Media		5,000	1,174	3,826	23%	20%	
	2	C98	Project Management		30,500	14,090	16,410	46%	37%	
	2	C99	Expenses		-	2,457	(2,457)	-	-	
d) CH2-d			Costs and Task % as of 03/20 Services	**993,000**	**993,000**	**253,417**	**739,583**	**26%**		
	2	D01	Permitting and Resource Agency Technical Support		100,000	59,710	40,290	60%	88%	
	2	D02	Environmental Document Support		356,853	30,510	326,343	9%	7%	
	2	D03	Total Operations Technical Support		300,000	85,682	214,318	29%	50%	
	2	D04	Value Planning		88,000	52,117	35,883	59%	80%	
	2	D98	Project Management		31,147	24,940	6,207	80%	40%	
	2	D99	Subs and ODCS		117,000	458	116,542	0%	25%	
e) ICF-e			Costs and Task % as of 03/20 Services	**428,000**	**428,000**	**77,003**	**350,997**	**18%**		
	2	E01	Draft EIS/EIR Review and Strategic Consultation		-	-	-	-	-	
	2	E02	Prepare Admin Final Responses to Comments		-	-	-	-	-	
	2	E03	Prepare Administrative Final EIR/EIS		15,000	14,967	33	100%	-	
	2	E04	Begin Preparation of Administrative Record for Fin		-	-	-	-	-	
	2	E05	Engagement		-	-	-	-	-	
	2	E06	Geotechnical Environmental Document		-	-	-	-	-	
	2	E07	Develop Environmental Document Work Plan		110,000	29,883	80,117	27%	35%	
	2	E08	Support Development of EIR/EIS Project Description		180,000	9,921	170,079	6%	3%	
	2	E09	Prepare Annotated Outline		88,000	-	88,000	-	-	

Sites

MAY KEY CONSULTANT BUDGET REPORT

FOR AUTHORITY BOARD AND RESERVOIR COMMITTEE,
FINANCE AND ECONOMICS AD HOC COMMITTEE

5/8/2020

Area / Consultant		Task ID	Task Name	$ Contract Ceiling	$Task Budget Authorized (2020)	$ Spent to date (2020)	$ Budget Remaining (2020)	Spent %	Task % Complete	8 Month Plan (2020)
	2	E98	Project Management		31,500	22,232	9,268	71%	15%	
	2	E99	Expenses		3,500	-	3,500	-	12%	
f) ICF-f			Costs and Task % as of 03/20 Services	**708,300**	**708,300**	**117,703**	**590,597**	**17%**		
	2	F01	Finalize Joint Biological Assessment		-	-	-	-	-	
	2	F02	Finalize Geotechnical Permits and Fieldwork		297,120	35,070	262,050	12%	25%	
	2	F03	Prepare Section 106 Documents		-	-	-	-	-	
	2	F04	Begin Preparation of Permits and Agreements		26,000	25,976	24	100%	-	
	2	F05	Prepare for 2020 Field Work Studies		-	-	-	-	-	
	2	F06	Strategize on Mitigation and Adaptive Management P		-	-	-	-	-	
	2	F07	Early Coordination and Development of Key Permits		333,740	27,076	306,664	8%	15%	
	2	F98	Project Management		35,000	23,479	11,521	67%	10%	
	2	F99	Expenses		16,440	6,102	10,338	37%	15%	
g) BRI			Costs and Task % as of 01/20 Services	**762**	**762**	**762**	**0**	**100%**		
	2	G01	Develop Draft Right-of-Way Manual Sections		-	-	-	-	-	
	2	G02	Right-of-Way Planning Efforts		-	-	-	-	-	
	2	G03	Right-of-Entry / Early-Access Program		517	517	0	100%	-	
	2	G04	Property Management Program		-	-	-	-	-	
	2	G05	Public Outreach and Community Engagement		-	-	-	-	-	
	2	G98	Project Management / QC		245	245	0	100%	-	
	2	G99	Expenses		-	-	-	-	-	
i) FUG			Costs and Task % as of 04/20 Services	**85,995**	**85,995**	**36,973**	**49,022**	**43%**		
	2	I01	Data Review and Develop 2019 Feasibility Study Work Plan		-	-	-	-	-	
	2	I02	- Obsolete -		-	-	-	-	-	
	2	I03	2019 Site Investigation		-	-	-	-	-	
	2	I04	Future Site Investigation Work Plan for Design		-	-	-	-	-	
	2	I05	Assessment of Proposed Field Data Collection Points		50,786	14,474	36,312	28%	29%	
	2	I98	Project Management		35,209	22,499	12,710	64%	65%	

Draft_0029074

Sites

Topic: **Reservoir Committee Agenda Item 1.4** **2020 May 21**

Subject: **Award Strategic Planning Facilitation Service Contract**

Requested Action:

Approve a consulting contract with The Catalyst Group for facilitation services in an amount not to exceed $50,000 and approve a time extension through December 31, 2020 for the existing contract with Darling H2O.

Detailed Description/Background:

The Reservoir Committee and Authority Board issued a Request For Proposal on April 23, 2020. Three responses were received from the shortlisted firms. A selection panel made up of Authority Staff, Reservoir Committee members and Authority Board members reviewed the proposals and invited all three for an interview. After conducting the interviews, the selection panel unanimously chose The Catalyst Group because of their strong experience working with organizations and addressing challenges similar to the Sites project, and their unique approach to the work.

The scope of work primarily involves designing a strategic planning program around the findings of the November 2019 draft Organizational Assessment that the facilitator thinks are most important to the success to the Project and include, but not limited to mission/vision, governance, subcommittees, outside relationships, communication and trust.

This planning session needs to be an in-person meeting, so timing is dependent on the shelter in place orders being lifted.

The selection committee consisted of the Executive Director, Authority Program Manager, both Chairs of the Reservoir Committee and Authority Board, and two Budget and Finance Workgroup members.

Following strategic planning there likely will be implementation activities to incorporate outcomes in business process changes. Staff will require assistance for this work and proposes to use a combination of The Catalyst Group and Darling H2O consulting services. The Darling H2O contract currently expires June 30, 2020 and requires the Authority to approve a contract extension through December 31, 2020.

Fiscal Impact:

Sufficient funds exist in the Phase 1B work plan budget to pay for the facilitation services. The contract will be structured to allow up to $25,000 for the strategic plan development and up to $25,000 for additional services for a total not to exceed amount of $50,000. The Darling H2O contract has $21,597 remaining in their approved contract budget that will not be exceeded.

Prior Action:

April 23, 2020: Approved following actions by the Authority Board relative to the approved Organization Assessment (OA) Report as follows: a) accept the plan and schedule for addressing the report findings and recommendations, b)concur with the scope, schedule and budget for strategic planning facilitation services, and c) approve release of a Request for Proposals for strategic planning facilitation services.

December 20, 2019: Approved Darling H20 to expand the scope of work to support the recruitment of a facilitator for Strategic Planning.

November 21, 2019: Darling H20 Consulting, Inc. presented the draft Organizational Assessment.

August 26, 2019: Approved a budget reallocation for the organizational assessment and execution of a sole-source professional services agreement with Darling H2O to perform an organizational assessment.

July 22, 2019: Discussed working on an organizational assessment plan to evaluate the structure of the Sites Project's program management team, Reservoir Committee, and the Authority.

Fiscal Impact/Funding Source:

Sufficient funds are available for this activity in the current work plan.

Staff Contact:

Jerry Brown

Attachments:

None.

Sites

Topic: **Reservoir Committee Agenda Item 1.5** **2020 May 21**

Subject: **Reclamation Financial Assistance Agreement**

Requested Action:

Recommend approval of the Authority Board, at their May 27, 2020 meeting, of Resolution No. 2020-01 providing for an application to the USBR for a Financial Assistance Agreement (FAA)for WIIN Act funds.

Detailed Description/Background:

The 2019 federal budget appropriated $6M in WIIN act funds toward the Sites Reservoir Project. The proposed Resolution is needed to initiate development of the FAA. A separate action will be necessary to authorize executing the FAA.

Staff has been working closely with Reclamation staff to prepare the FAA. Reclamation's participation will be a 50/50 percent cost share with $4 million of funding dedicated to Sites expenses and the other $2M toward Reclamation expenses on the Sites project. Staff is working with Reclamation to determine if $1million of the funding dedicated to Sites expenses will either come in cash or contributed work performed by Reclamation, either way there will be no impact on the Amendment 2 Work Plan. This funding will allow the project to continue development of the environmental documents and permits. The scope does not commit the Authority to any specific type of reservoir project. Should the project not get built, these funds are not required to be paid back to the federal government.

Prior Action:

None.

Fiscal Impact/Funding Source:

The approved phase 2 work plan includes $4M of WIIN Act funding to advance project environmental planning and engineering work. The funds are expected to be received in early 2021.

Staff Contact:

Joe Trapasso

Attachments:

None.

Draft_0029078

Sites

Topic: **Reservoir Committee Agenda Item 2.1** **2020 May 21**

Subject: **Amendment 2 Cash Call**

Requested Action:

Accept the attached Exhibit B (2020 and 2021 budget) to the Second Amendment to 2019 Reservoir Project Agreement (Amendment 2) and the results of the "soft call". Consider approving one of the following actions:

A. Approve moving to the "hard call" and the use of the attached participation table to create a draft Exhibit A to be included with Amendment 2.

B. Direct staff to begin outreach to potential participants based on the priority system defined in participation agreements and the credit reimbursement policy to explore interest in Sites capacity, if available.

Detailed Description/Background:

Acting on feedback received at the April Reservoir Committee meeting, staff has developed a two-step cash call process with the following objectives:

1. Ensure continued full subscription in the rightsized project.
2. Provide more time prior to the first funding request in light of the COVID-19 natural disaster and its long-term financial impacts.
3. Identify other revenue sources and possibly reduce costs to further reduce the cash call.

Soft Call Preliminary Participation Results

Soft call survey responses were due on May 15th and the results are summarized below:

- 16 out of 21 agencies have responded, representing 157,467 AF out of 192,892 AF (82%).
- On net it appears we can still achieve full subscription, but there is some reduction in participation levels and geographic balance will need to be addressed.
- Existing participants identified interest in 5,000 AF of additional capacity, if available.
- Prior participants expressed interest in 10,000 AF of capacity, if available.

Attachment A shows the preliminary participation results.

Potential Participant Outreach and Priority

Soft call results indicate subscription will likely be reduced below the current level of 192,892 acre feet. Staff can begin outreach to potential participants and report back at the June meeting. The following priority will be given to participants based on the credit reimbursement policy:

1. The goal is total Sac Valley participation of ~25%. Priority is given to current, prior and new Sac Valley participants in that order.
2. Existing Reservoir Committee participating members (with sub-priorities by seniority)
3. Prior Reservoir Committee participating members (with sub-priorities by exit date)
4. Nonparticipants. Priority for new participants is to Sac Valley and then others.

New participants must be approved for admission according to Section 10 of the Participation Agreement, which includes a 75% weighted approval at the Reservoir Committee and 75% of Authority Board director approval as well as other requirements.

Per the credit reimbursement policy, new, returning, and increasing participants would be responsible for paying their share of the project costs to date. Departing or reducing participants would be frozen at the priority they left under. For returning participants, the new amount owed will be reduced by their contributed credit balance. The below table shows the prior and future participation commitments. Any prior commitments owed would be due along with the first invoice for Amendment 2 on Nov 1, 2020. Payment for prior commitments would be distributed according to the credit reimbursement policy.

Agreement / Work Plan	**Cost / AF Participation**	**Period End**
Phase 1	$48.50/AF	Mar 31, 2019
Phase 2 (2019)	$60/AF	Dec 31, 2019
Phase 2 (2019) Amendment 1B	$0/AF	Aug 31, 2020
Prior Commitments Total*	**$108.50/AF**	
Phase 2 (2019) Amendment 2	$100/AF	Dec 31, 2021
Installment 1, Nov 1, 2020	$60/AF	
Installment 2, Apr 1, 2021**	$40/AF	
Phase 2 Future Commitments	**$100/AF**	
Total Buy-in	**$208.50/AF**	

* Prior commitment payments would be utilized per the credit reimbursement policy consistent with prior actions.

** Second installment is not-to-exceed $40 and will be evaluated in early 2021.

Reduced Participation Scenario:

If after the outreach step participation remains reduced, the Amendment 2 work plan would need to be revised to reflect a reduction in revenue or there would need to be an increase in the $100/AF with reduced subscription. Exhibit A and

Exhibit B to Amendment 2 would be updated to reflect final approved participation levels and the budget, respectively. These next steps would be discussed during future Reservoir Committee and Authority Board meetings.

Cash Call Timing

The proposed cash call schedule is:

- $60/AF due Nov 1, 2020
- Up to $40/AF due Apr 1, 2021

The possibility of individual agencies opting to pay the full $100/AF on November 1, 2020 has been discussed in the coordination work group. Participants would essentially be pre-paying the second cash call of up to $40/AF (due April 1, 2021). Staff has explored possible financial and non-financial incentive scenarios.

The financial incentive considered was related to interest earned on prepaid funds. Assuming 50% of participants prepaid the full $100/AF and the money was held for six months before other participants paid in, the total interest earned is estimated to be less than $50,000. Based on this analysis, developing policies and systems for tracking interest at a participant level represent increases in administrative costs in excess of the benefit. Financial incentives are not planned based on this analysis.

The non-financial incentive for pre-payment relates to maintaining project schedule while accommodating delayed payment from agencies who have constraints with the timing of the funding request. This is not quantifiable but represents good will and commitment to the project.

Should an agency desire to prepay the full $100/AF on November 1st, the Authority has the ability to track accounts receivable and maintain an accurate participant ledger.

Schedule

Responses from the soft call indicate that the earliest planned board date is May and the latest planned board date is October. This range of schedules can be accommodated. The plan is to issue invoices October 1 at $60/AF, due for payment on November 1.

Prior Action:

May 5, 2020: At a Reservoir Committee workshop, staff provided information on changes to the cash call process and schedule in light of potential economic impacts of the COVID-19 natural disaster on participating member agencies. A two-step cash call process including a "Soft Call" and a "Hard Call" will be used to better plan for changes in participation. The due date of the first cash call of $60/AF will be moved two months out to November 1, 2020. The due date of the second cash call will be moved two months out to April 1, 2021 and will be up to $40/AF. The Amendment 2 work will still begin on September 1, 2020.

April 17, 2020: The Reservoir Committee approved the Amendment 2 Work Plan for the purposes of planning cash calls for participants totaling $100/AF, producing a draft budget exhibit to the participation agreement, and developing consultant task orders.

March 30, 2020: At a joint workshop, staff provided information that included a process overview of task order development; an updated project schedule; cash flows for Reservoir Committee and Authority Board; and preliminary task budgets including assigned resources.

March 19, 2020: Staff provided information on the goals, schedule, cash flow, and preliminary budget allocation by subject assuming a cash call of $100/AF. Staff provided a preliminary cash call schedule of $60/AF due September 1, 2020 and $40 due February 1, 2021.

February 21, 2020: Staff provided information on three possible revenue scenarios and a task list for Amendment 2 (September 1, 2020 and ending December 31, 2021). Staff received direction to continue to develop the $100/AF revenue scenario.

January 17, 2020: Staff provided information regarding the proposed process for developing a work plan. This work plan proposes to focus on improving certainty related to project operations, permitting, and affordability, and to meeting the January 1, 2022 Prop 1 (WSIP) milestone to remain eligible to receive funding.

Fiscal Impact/Funding Source:

The total cash call remains unchanged from the approved Amendment 2 work plan in the total amount of $100/AF. With the Amendment 1B carryover funds it may be possible to reduce the total cash call. However, Staff proposes to keep the first call at $60/AF and reassess the second call currently projected at $40/AF midway through the Amendment 2 work. At that time, any adjustments to the second call can be considered based on the then current projections. Participating members can consider the second call to be an "up to" amount since the Amendment 1B carryover represents previously unanticipated funds for the Amendment 2 work plan.

Staff Contact:

Jerry Brown

Attachments:

Attachment A – Preliminary Amendment 2 Participation Table

Attachment B – Exhibit B Reservoir Committee 2020 and 2021 Work Plan

Preliminary Amendment 2 Participation Table
Reservoir Committee

Based on preliminary soft call survey results as of 5pm Friday, 5/15/2020
Working Draft, Subject to Change

Participant	Phase 2 (2019) Participation Level (Ref, AF)	Participation Level (AF) Staff Recommendation	Variance (AF)	Participation Increase Interest (AF)
Carter Mutual Water Company	300	300	0	
City of American Canyon	4,000	4,000	0	
Coachella Valley Water District	10,000	10,000	0	
Colusa County	10,000	10,000	0	
Davis Water District	2,000	2,000	0	
Dunnigan WD	2,717	2,717	0	
Glenn Colusa Irrigation District	5,000	5,000	0	
LaGrande water district	1,000	1,000	0	
Metropolitan Water District of Southern California	50,000	50,000	0	
RD-108	4,000	4,000	0	
San Bernardino Valley Municipal Water District	21,400	21,400	0	
San Gorgonio Pass Water Agency	14,000	14,000	0	
Santa Clarita Valley Water Agency	5,000	5,000	0	5,000
Westside W.D.**	15,000	2,000	-13,000	
Zone 7 Water Agency	10,000	10,000	0	
Wheeler Ridge-Maricopa WSD	3,050	3,050	0	
Antelope Valley East Kern WA	500	0	-500	
Colusa County WD	11,975	0	-11,975	
Cortina WD	450	0	-450	
Desert WA	6,500	0	-6,500	
Pacific Resources MWC*	0	0	0	10,000
Santa Clara Valley WD	16,000	0	-16,000	
Total	**192,892**	**144,467**	**-48,425**	**15,000**

**Phase 1 participant, no survey response received. Interest expressed by a responding Phase 2 participant.*

***Indicated possibility for higher participation, lower projected participation level shown*

Blue text indicates no survey response received

Exhibit B
Reservoir Committee
2020 and 2021 Work Plan

May 21, 2020 Reservoir Committee Working Draft

Reservoir Committee Annual Budget for FY 2020 and FY 2021 ($000)

	Subject Area	2020	2021	Total
Revenue	Beginning Balance	$6,847	$0	$6,847
	Participation Revenue	$11,520	$7,680	$19,200
	Federal Revenue	$0	$4,000	$4,000
	State Revenue	$5,134	$5,502	$10,636
Revenue Total		$23,501	$17,182	$40,683
Expenses	Permitting	($2,558)	($5,011)	($7,569)
	Early Mitigation	($243)	($2,257)	($2,500)
	Environmental Planning	($3,511)	($2,376)	($5,887)
	Operations Modeling	($3,486)	($536)	($4,022)
	Engineering	($4,360)	($2,180)	($6,540)
	Geotechnical	($1,142)	($2,003)	($3,145)
	Real Estate	($145)	($272)	($417)
	Communications	($489)	($579)	($1,068)
	Project Controls	($1,333)	($1,528)	($2,861)
	Funding	($777)	($590)	($1,367)
	Growth	($819)	($910)	($1,729)
	Management	($461)	($1,219)	($1,681)
	Support	($248)	($388)	($636)
Expenses Total		($19,573)	($19,849)	($39,422)
Grand Total		**$3,928**	**($2,666)**	**$1,261**

Annual expense budgets are based on the projected spend rate for the Amendment 1B and Amendment 2 work plans combinded (Pg 2 and 3).

Amendment 1B Budget by Month ($000s)

Reservoir Committee Work Plan Summary

Subject Area		Jan 20	Feb 20	Mar 20	Apr 20	May 20	Jun 20	Jul 20	Aug 20	Total
Revenue										
	Beginning Balance*	$6,847								$6,847
	Participation Revenue									$0
	Federal Revenue									$0
	State Revenue	$3,300								$3,300
Revenue Sum		$10,147								$10,147
Expenses										
	Environmental Planning	($269)	($245)	($269)	($269)	($245)	($257)	$0	$0	($1,555)
	Operations Modeling	($519)	($472)	($355)	($220)	($116)	($85)	($55)	($53)	($1,876)
	Engineering	$0	($151)	($237)	($239)	($247)	($272)	($248)	($205)	($1,600)
	Geotechnical	$0	$0	($27)	($118)	($107)	($118)	($118)	($113)	($601)
	Real Estate	($7)	($6)	($7)	($7)	($6)	($7)	($7)	($7)	($55)
	Communications	($38)	($35)	($38)	($38)	($35)	($38)	($38)	($36)	($297)
	Project Controls	($255)	($77)	($85)	($85)	($77)	($85)	($85)	($81)	($828)
	Funding	($74)	($109)	($120)	($121)	($104)	($61)	($37)	($35)	($661)
	Growth	($93)	($84)	($93)	($93)	($84)	($93)	($93)	($88)	($719)
	Management	$0	$0	$0	($1)	($23)	($25)	($9)	$0	($58)
	Support	($15)	($14)	($15)	($15)	($14)	($15)	($15)	($15)	($120)
Expenses Sum		($1,271)	($1,194)	($1,247)	($1,206)	($1,059)	($1,056)	($705)	($633)	($8,370)

**Adjusted from value published in work plan based on 2019 close-out*

Draft_0029087

Amendment 2 Budget by Month ($000s)

Reservoir Committee Work Plan Summary

Subject Area	Sep 20	Oct 20	Nov 20	Dec 20	Jan 21	Feb 21	Mar 21	Apr 21	May 21	Jun 21	Jul 21	Aug 21	Oct 21	Sep 21	Nov 21	Dec 21	Total
Revenue																	
Participation Revenue			$11,520					$7,680									$19,200
Federal Revenue							$2,000				$2,000						$4,000
State Revenue			$1,834			$1,834						$1,834			$1,834		$7,336
Revenue Total			$13,354			$1,834	$2,000	$7,680			$2,000	$1,834			$1,834		$30,536
Expenses																	
Permitting	($253)	($577)	($1,023)	($705)	($525)	($525)	($584)	($471)	($419)	($448)	($295)	($309)	($295)	($295)	($613)	($232)	($7,569)
Early Mitigation	$0	$0	($113)	($131)	($119)	($119)	($136)	($131)	($119)	($235)	($231)	($242)	($231)	($231)	($220)	($242)	($2,500)
Environmental Planning	($488)	($512)	($442)	($513)	($474)	($474)	($218)	($71)	($65)	($71)	($61)	($62)	($216)	($42)	($343)	($279)	($4,332)
Operations Modeling	($621)	($680)	($232)	($78)	($71)	($71)	($81)	($72)	($43)	($48)	($46)	($48)	($11)	($46)	$0	$0	($2,146)
Engineering	($1,134)	($768)	($398)	($461)	($108)	($185)	($355)	($292)	($221)	($190)	($161)	($186)	($155)	($155)	($147)	($25)	($4,941)
Geotechnical	($52)	($54)	($61)	($374)	($346)	($461)	($513)	($134)	($124)	($172)	($42)	($44)	($42)	($42)	($40)	($42)	($2,544)
Real Estate	($23)	($24)	($20)	($24)	($21)	($21)	($25)	($24)	($21)	($24)	($23)	($24)	($23)	($23)	($21)	($24)	($362)
Communications	($48)	($50)	($43)	($50)	($46)	($46)	($52)	($50)	($46)	($50)	($48)	($50)	($48)	($48)	($46)	($50)	($771)
Project Controls	($126)	($132)	($114)	($132)	($120)	($120)	($138)	($132)	($120)	($132)	($126)	($132)	($126)	($126)	($120)	($132)	($2,033)
Funding	($29)	($30)	($26)	($30)	($64)	($66)	($76)	($73)	($66)	($71)	($29)	($30)	($29)	($29)	($28)	($30)	($706)
Growth	($25)	($26)	($23)	($26)	$0	$0	($38)	($36)	($33)	($36)	($35)	($154)	($146)	($146)	($139)	($148)	($1,010)
Management	($101)	($106)	($91)	($106)	($96)	($96)	($110)	($106)	($96)	($106)	($101)	($106)	($101)	($101)	($96)	($106)	($1,623)
Support	($32)	($34)	($29)	($34)	($31)	($31)	($35)	($34)	($31)	($34)	($32)	($34)	($32)	($32)	($31)	($34)	($516)
Expenses Total	($2,931)	($2,994)	($2,616)	($2,663)	($2,020)	($2,214)	($2,362)	($1,624)	($1,404)	($1,616)	($1,230)	($1,422)	($1,454)	($1,314)	($1,844)	($1,945)	($31,052)

Sites

Topic: **Reservoir Committee Agenda Item 2.2** **2020 May 21**

Subject: **Second Amendment to 2019 Reservoir Project Agreement**

Requested Action:

Approve the Second Amendment to 2019 Reservoir Project Agreement (Second Amendment).

Detailed Description/Background:

Staff worked with Authority bond counsel (Stradling Yocca Carlson & Rauth) and the Budget and Finance ad hoc Committee to prepare the proposed amendment. This amendment addresses multiple items including; 1) allowing for potential participation changes, 2) extending the agreement through December 2021 which is the end of the Amendment 2 Work Plan and 3) incorporating the Amendment 2 Work Plan revenues and expenses.

In prior staff reports a home board package was referenced to include the materials necessary for executing the Amendment 2 Work Plan. After further evaluation, Staff will be providing the home board package in a format as follows:

- A letter will be provided to each participant member representative requesting action by the agency. (Attachment A) The deadline to receive all the executed amendment forms is September 10, 2020.
- Accompanying the letter will be the Second Amendment for action by the authorized agency designee. (Attachment B)
- A web-based portal has been created and stores all of the information materials for download as needed by each agency. Staff is available to assist with these materials.

Prior Action:

April 17, 2020: Requested staff to address additional items in the draft Second Amendment to 2019 Reservoir Project Agreement including potential participation level changes.

November 21, 2019: Provided input to staff to aid in development of a work plan through June 30, 2020.

October 18, 2019: Approved the First Amendment to 2019 Reservoir Project Agreement.

September 20, 2019: Approved a no-cost extension of time to complete activities defined in the Agreement's work plan (aka Exhibit B). Their approval extended

Draft_0029090

the Agreement's period of performance December 31, 2019 through March 31, 2020.

Fiscal Impact/Funding Source:

Execution of the second amendment creates the contractual commitment by each agency to fund the Amendment 2 work plan through December 2021. It is likely that the Second Amendment will not be completely executed before the current amended agreement expiration of June 30, 2020. While not desirable, this situation was necessary to allow the flexibility and time that agencies needed for their cash call decision making. There is no impact on the project since the Phase 1B funds have already been received.

Staff Contact:

Joe Trapasso

Attachments:

Attachment A: Draft Cover Letter

Attachment B: Second Amendment to 2019 Reservoir Project Agreement

Sites

May 21, 2020

Dear Reservoir Committee Member,

In April 2020, actions were taken by the Project and Authority governing bodies to adopt the April 13, 2020, Sites Project Value Planning Alternatives Appraisal Report and the Amendment 2 Work Plan which together constitute the scope, schedule and budget for the next stage of project development through December 2021. In taking these actions, funding requirements were set which established the timing and amount due from each participating member that wishes to continue its participation in the Project during the Amendment 2 Work Plan period.

As a participating member in the original Phase 2 Project Agreement dated April 1, 2019 and the First Amendment dated January 1, 2020, the Authority hereby requests your determination of interest in continued participation by returning the attached Second Amendment completed and signed by your authorized agency designee, **no later than September 10, 2020.**

Please note that the Second Amendment requires the following information in addition to the authorized signature on the amendment:

- Indicate the amount of capacity shares in acre feet that you are securing through this phase of the project which, in accordance with the amended Section 6, will represent the capacity share amount to which your agency has first rights of refusal in any future phase of work. These capacity share amounts indicated by each participating member will be used for the final Exhibit A of the Second Amendment.
- Indicate your commitment to provide up to the total funding required for the capacity shares you have designated. The unit cost is the total for the original agreement already paid ($60/AF) and the new commitment for the Amendment2 Work Plan (not to exceed $100/AF).

We have prepared a set of documents for you to use in your agency deliberations of this request. Documents are available for you to download at this link: https://brwncald-my.sharepoint.com/:f:/p/irobinette/Epm9jMLcDwNOhlMc2840b6EBFm-iqGMQPzc-HU2pCxovcQ?e=Z6687k. Don't hesitate to contact Kevin Spesert, Sites Project Authority, External Affairs Manager, at kspesert@sitesproject.org if you need assistance with any of these materials.

The project team is excited to embark on this ambitious Amendment 2 Work Plan. It is critical that you receive timely information for your next decision prior to December 2021 where we will be seeking commitment of the total project local cost share which is one of the conditions for receiving the $816M State WSIP funds.

Please don't hesitate to contact me if there are any questions or you need my support regarding this request.

Jerry Brown
Executive Director, Sites Project Authority

Sincerely,

_____
Jerry Brown, Executive Director
Sites Project Authority

DRAFT

SECOND AMENDMENT TO 2019 RESERVOIR PROJECT AGREEMENT

BY AND AMONG

SITES PROJECT AUTHORITY

and

THE PROJECT AGREEMENT MEMBERS LISTED HEREIN

Dated as of July 1, 2020

THIS SECOND AMENDMENT TO 2019 RESERVOIR PROJECT AGREEMENT (this "Second Amendment"), dated as of July 1, 2020, by and among SITES PROJECT AUTHORITY, a joint powers authority duly organized and existing under the laws of the State of California (the "Authority"), and the project agreement members listed in the Agreement referenced below (the "Project Agreement Members") and amends that certain 2019 Reservoir Project Agreement dated as of April 1, 2019 (the "Original Agreement"), as previously amended by the First Amendment to 2019 Reservoir Project Agreement dated as of January 1, 2020 (the "First Amendment" and, together with the Original Agreement, the "Agreement"), each by and among the Authority and the Project Agreement Members;

WITNESSETH:

WHEREAS, Authority and the Project Agreement Members have determined to approve an Amendment 2 Work Plan and to extend the term of the Agreement to December 31, 2021; and

WHEREAS, under Section 11 of the Agreement, the Agreement may be amended by a writing executed by the Authority and at least 75% of the total weighted vote of the then current Committee members as provided in Subsection 3(g); and

WHEREAS, all acts, conditions and things required by law to exist, to have happened and to have been performed precedent to and in connection with the execution and the entering into of this Second Amendment do exist, have happened and have been performed in regular and due time, form and manner as required by law, and the parties hereto are now duly authorized to execute and enter into this Second Amendment;

NOW, THEREFORE, THIS SECOND AMENDMENT WITNESSETH, the Authority and the Project Agreement Members agree, as follows:

ARTICLE I

DEFINITIONS

Section 1.01. **Definitions.** All capitalized terms not otherwise defined herein shall have the meaning set forth in the Agreement.

ARTICLE II

AMENDMENTS TO AGREEMENT

Section 2.01. **Project Agreement Members**.

(a) Effective September 1, 2020, the Project Agreement Members attached as Exhibit A to the Agreement shall be succeeded in their entirety by the Project Agreement Members attached hereto as Exhibit A.

Section 2.02. **Work Plan**.

(a) Effective September 1, 2020, the 2019 Work Plan attached as Exhibit B to the Agreement shall be supplemented by the Work Plan attached hereto as Exhibit B (the "Amendment 2 Work Plan").

Section 2.03. **Funding**.

The Agreement is hereby amended to remove Section 4(a) in its entirety and replace it with the following:

"(a) Budget. The Committee shall, in cooperation with the Authority's Board, provide and approve both a Fiscal Year operating budget and reestablish a Phase 2 budget target, annually or more frequently as needed. The Project Agreement Members shall contribute their respective pro-rata share of the budgeted sums reflected in the 2019 Work Plan (prior to November 1, 2020) and the Amendment 2 Work Plan (on and after November 1, 2020) in accordance with Section 5 of this Project Agreement; provided, however, that in no event shall the amount paid by a Project Agreement Member exceed $160 per acre-foot (with $60 of such amount being attributable to the 2019 Work Plan and $100 of such amount being attributable to the Amendment 2 Work Plan) without the approval of such Project Agreement Member. The contribution with respect to the pro-rata budgeted sums reflected in the Amendment 2 Work Plan shall be payable by each Project Agreement Member in two installments. The first installment shall be in an amount equal to $60 per acre-foot and shall be payable by no later than November 1, 2020. The second installment shall be in an amount up to $40 per acre-foot and shall be payable by no later than April 1, 2021. The exact amount per acre-foot of the second installment shall be established by the Committee, in cooperation with the Authority's Board, and notice of such amount shall be provided by the Authority to each Project Agreement Member."

Section 2.04. **Future Development of the Sites Reservoir Project**.

The Agreement is hereby amended to remove Section 6(b) in its entirety and replace it with the following:

"(b) Without limiting the foregoing, any Project Agreement Member that elects to continue participating in the development, financing, and construction of the Sites Reservoir Project to the time when the Authority offers contracts for a water supply or other services, will be afforded a first right, equal to that Project Agreement Member's Participation Percentage, to contract for a share of any water supply that is developed, and for storage capacity that may be available from, the Sites Reservoir Project. In any successor phase agreements, Project Agreement Members who are parties to this Project Agreement that submitted a proposal to participate before February 28, 2019, shall be granted rights to contract for a share, in an amount equal to that Project Agreement Member's Participation Percentage as of the effective date of such successor phase agreement, of any water supply that is developed, and for storage capacity that may be available from the Sites Reservoir Project prior to the rights of those becoming parties to this Project Agreement after that date.

If a participating Project Agreement Member as of February 28, 2019 identifies a lesser amount in the Second Amendment than its Original Agreement requested amount, that participating Project Agreement Member's first rights of refusal in the future are to be based on the Second Amendment amounts and not the February 28, 2019 amounts.

Provided, however, that if a Project Agreement Member withdraws from the Project Agreement pursuant to Section 9 of this Agreement but later requests to be reinstated, then to the extent there is unsubscribed participation in the Project as determined by the Committee, the

Committee may vote to readmit said withdrawn Member with a reinstated first right of refusal provided said withdrawing Member provides funding to the Project commensurate with the funding requirements met by all current Project Agreement Members in the current phase of the Project as well as any prior phase, as adjusted for any credits, payments and/or reimbursements made under the Authority's credit reimbursement policy (the "Credit Reimbursement Policy").

Further provided, that if a Project Agreement Member desires to increase its participation after execution of the Second Amendment, then to the extent there is unsubscribed participation in the Project as determined by the Committee, the Committee may vote to approve said increase, or portion thereof, with a first right of refusal attendant thereto, provided said increasing Project Agreement Member provides funding to the Project commensurate with the funding requirements met by all current Project Agreement Members in the current phase of the Project as well as any prior phase, as adjusted for any credits, payments and/or reimbursements made under the Credit Reimbursement Policy.

The Authority and the Project Agreement Members will cooperate on the drafting of provisions in the water supply contract that will allow a Project Agreement Member or other eligible entity that commits to purchase a Sites Reservoir Project water supply to transfer water that the entity may not need from time to time on terms and conditions acceptable to the Project Agreement Member."

Section 2.05. **Term**. The Agreement is hereby amended to remove Section 8(b) in its entirety and replace it with the following:

"(b) The term of this Project Agreement shall continue until December 31, 2021. In the event that this Second Amendment is not approved by Project Agreement Members with the requisite percentage of the total weighted vote as set forth in the Agreement by June 30, 2020, the Agreement shall be revived immediately upon approval by such requisite percentage, without any additional approval of the Project Agreement Members, and this Second Amendment shall become effective."

Section 2.06. **Executive Director**. All references to the "General Manager" in the Agreement shall be changed to "Executive Director."

ARTICLE III

PROJECT AGREEMENT MEMBER PARTICIPATION

Section 3.01. **Project Agreement Participation.** Each Project Agreement Member shall specify its participation in the Sites Reservoir Project by indicating its elected water participation amount in the Sites Reservoir Project and the associated cost in the space provided therefor on the signature page to this Second Amendment. Based upon the respective participation elections of the Project Agreement Members, the Authority shall update Exhibit A pursuant to Section 5 of the Agreement.

ARTICLE IV

MISCELLANEOUS

Section 4.01. **Effectiveness of Agreement**. Except as expressly amended by this Second Amendment, the Agreement is hereby ratified and confirmed and shall continue in full force and effect in accordance with the terms and provisions thereof. The amendments set forth in this Second Amendment shall be incorporated as part of the Agreement upon their effectiveness in accordance with Section 11 of the Agreement.

Section 4.02. **Execution in Several Counterparts**. This Second Amendment may be executed in any number of counterparts and each of such counterparts shall for all purposes be deemed to be an original; and all such counterparts, or as many of them as the Authority and the Project Agreement Members shall preserve undestroyed, shall together constitute but one and the same instrument.

Section 4.03. **Authorization, Ratification and Confirmation of Certain Actions**. The Authority and the Project Agreement Members each hereby authorize, ratify and confirm the extension of the term of the Agreement, as previously extended pursuant to the First Amendment, to June 30, 2020, and the expenditure of funds collected under the Agreement with respect to the 2019 Work Plan on and prior to June 30, 2020.

Section 4.04. **Laws Governing Second Amendment**. The effect and meaning of this Second Amendment and the rights of all parties hereunder shall be governed by, and construed according to, the laws of the State.

IN WITNESS WHEREOF, the Authority and Project Agreement Members hereto, pursuant to resolutions duly and regularly adopted by their respective governing bodies, have caused their names to be affixed by their proper and respective officers on the date shown below:

Dated: _____

SITES PROJECT AUTHORITY

By: _____
Name:
Title:

[PROJECT AGREEMENT MEMBER]

Dated: _____

_____

(Authority & Project Agreement Member)

By: _____
Name:
Title:

PARTICIPATION AMOUNT

[PROJECT AGREEMENT MEMBER] hereby elects to participate in the Sites Reservoir Project in the amount and at the cost identified below.

Participation (Second Amendment Annualized Acre-Foot):

Second Amendment Cost: Not to Exceed $100 per Acre-Foot

EXHIBIT A

PROJECT AGREEMENT MEMBERS

Participant	Participation (Second Amendment Annualized Acre-Foot) Preliminary	Percent
American Canyon, City of		
Antelope Valley-East Kern Water Agency		
Carter Mutual Water Company #		
Coachella Valley Water District		
Colusa County		
Colusa County Water District		
Cortina Water District		
Davis Water District		
Desert Water Agency		
Dunnigan Water District		
Glenn-Colusa Irrigation District		
LaGrande Water District		
Metropolitan Water District of S. CA		
Pacific Resources Mutual Water Company #		
Reclamation District 108		
San Bernardino Valley Municipal Water District		
San Gorgonio Pass Water Agency		
Santa Clara Valley Water District		
Santa Clarita Valley Water Agency		
Westside Water District		
Wheeler Ridge-Maricopa Water Storage District		
Zone 7 Water Agency		
Potential new participants		
Total:		

Participation Percentages exclude State of California and United States Bureau of Reclamation share of the Project.

# Denotes a non-public agency. Refer to California Corporations Code Section 14300 et. seq. with additional requirements provided in both the Public Utilities Code and Water Code.

Draft_0029100

EXHIBIT B

AMENDMENT 2 WORK PLAN

Draft_0029101

Sites

Topic: **Reservoir Committee Agenda Item 2.3** **2020 May 21**

Subject: **Federal Legislative Priorities**

Requested Action:

Accept 2020-2021 Sites Project Federal Government Affairs Priorities.

Detailed Description/Background:

In response to the recommendation outlined in the Organizational Assessment to prepare an analysis and staff recommendation on federal funding pursuits, staff has been working with the Authority Ad Hoc Legislative & Outreach Committee and our Government Affairs Team to identify our near-term Federal government affairs/legislative priorities.

The focus of this effort was to ensure that our Federal Government Affairs/Legislative activities aligns with key project milestones and our project schedule and specifically focusing on near-term federal operational participation and federal funding. These priorities will be the Committee and Team focus through December 2021. Any adjustments would be brought back to the RC and AB before being incorporated and updates will be provided on significant progress.

Prior Action:

None.

Fiscal Impact/Funding Source:

Sufficient funds exist to support activities associated with these priorities within the Amendment 2 work plan.

Staff Contact:

Jerry Brown/Kevin Spesert

Attachments:

Attachment A: Federal Government Affairs Priorities Memo

TO: Ad Hoc Legislative & Outreach Committee

DATE: 13 May 2020

SUBJECT: 2020 Federal Government Affairs Priorities

Staff has been working to identify near-term (2020) Federal government affairs/legislative priorities in an effort to focus our activities to better align with key project milestones and our existing project schedule.

The following represents the staff's proposed Federal government affairs/legislative priorities.

OPERATIONS PARTICIPATION

- Final completion of Reclamation's Feasibility Report and DOI Secretary determination of feasibility.
  - Complete by **December 31, 2020** which is needed to be eligible for receiving WIIN Act funding and to support the state feasibility report as required by Proposition 1.
  - Reconcile the alternatives included in the feasibility report with the Authority's VP7 alternative.
  - Finalize level of federal participation/investment in the project.
- Pursue development of a CVP Coordinated Operations Plan.
  - Term sheet whereby the parties agree on the key provisions of an agreement by **July 2021.**
- Coordination with Reclamation on the development of the Authority's Water Rights Application.
  - Develop and submit Authority Water Rights Application by **June 2022**.
- Continuing partnership with Reclamation as the NEPA lead agency in developing the Environmental Impact Statement (EIS).
  - Completion of the Recirculated Draft EIS no later than **July 2021** in coordination with the Sites Authority EIR. Completion of the Record of Decision by **May 2022**.

FEDERAL FUNDING

WIFIA/RIFIA

- Begin groundwork for submittal of a WIFIA Loan application.
  - The Value Planning Report anticipated a $1.1 billion WIFIA loan. Application is planned for submittal in **June 2021.**
- Continue to track the development of the RIFIA program and pursue RIFIA funding if available.

WIIN Act

- Secure funding received from the WIIN Act in 2019. Needed to complete the Phase 2 work plan.
  - **$6 million secured** from the 2019/2020 Budget deal signed by the President in **Dec 2019.**
  - Authority is developing a Financial Assistance Agreement to access these funds– assume the Authority will receive **$3 million.**
- Pursue and secure FY2021 WIIN Act funding (current budget cycle) and begin groundwork for a FY2022 WIIN Act funding request.

DRAFT

- Coordination with Reclamation to identify funding needs in FY2021 and FY2022 to advance the project including "post-feasibility" funding.
- Begin groundwork for WIIN Act construction funding request (Pending Reclamation investment decision).

Federal Stimulus & Other funding

- Support development and secure funding from potential COVID19 related infrastructure stimulus package for priority items that align with early project work (e.g. roads, communications, etc.).
  - Anticipate infrastructure stimulus in Fall of 2020.
- Track and pursue opportunities for appropriations/grants/loans as appropriate
  - Roads/Bridges, Rural Broadband, flood control, infrastructure planning, operations &maintenance, etc.

Other items that do not require legislative action but are administrative in nature are listed below. We will need to keep our legislators informed on progress of these items so that they can step in when/if needed to assist in keeping them on the timeline required to support the project schedule.

Permitting - Supportive consultation with federal agencies in securing; USFWS Biological Opinion, NMFS Biological Opinion, National Historic Preservation Act Section 106 Programmatic Agreement.

Public Outreach - Engage with Reclamation Public Affairs staff for the rollout of the Feasibility Report. Work to ensure that messaging is consistent and any differences between the Authority's VP7 alternation and the alternatives included in the feasibility report are addressed. Coordinate with USBR Public Affairs on any public outreach activities associated with a recirculated EIS.

DRAFT

Sites

Topic: **Reservoir Committee Agenda Item 2.4** **2020 May 21**

Subject: **Commendation for Jim Watson, General Manager**

Requested Action:

Approve Resolution No. 2020-02 commending Mr. Jim Watson for his 5 years of dedicated service to the Sites Reservoir Project.

Detailed Description/Background:

With almost 5 years of exemplary service to the Sites Project Authority, Mr. Jim Watson will be leaving his position as General Manager, effective May 23, 2020.

Mr. Watson has made significant contributions to the Sites Project, including:

- Management of multi-million-dollar annual budgets to enable progress on the Project, and establishment of various procedures to manage the finances and operations of the Project.
- Assembly of a highly qualified team of Authority Agents and consultants to enable progress on the Project to a high standard of performance.
- Development of the 2017 Draft Environmental Impact Report for the Project;
- Coordination and cooperation in the development by the U.S. Bureau of Reclamation of its 2017 Draft Federal Feasibility Report.
- Initiation of focused geotechnical field investigations.
- Qualification of the Project for $816 million of funding from the State of California's Water Storage Investment Program (Proposition 1) via the California Water Commission, and execution of an Early Funding Agreement with the Commission.
- Establishment of eligibility for and receipt of about $10 million in funding from the federal WIIN Act via the Bureau of Reclamation.
- Completion of numerous studies necessary for furtherance of Project financial viability, physical construction, future operations, permitting, and environmental benefits.
- Inclusion of the Project in California's Water Resilience Portfolio.
- Establishment of the Sites Project Authority office in Maxwell, California.
- Secure a diverse and statewide group of project investors including the State of California in the amount of $816M of WSIP Prop 1 funding along with 21 participating member agencies.

It is appropriate to acknowledge Mr. Watson for his leadership at the local, regional, and state level, and his years of dedicated service to the Sites Project Authority and the participating agencies in the project.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Resolution 2020-02

RESOLUTION NO. 2020-02

A Joint RESOLUTION OF THE SITES PROJECT AUTHORITY Board of Directors and Reservoir Committee honoring JIM C. WATSON for his leadership and dedication during his years of service on the project

WHEREAS, Mr. Watson has dedicated almost five years of service to the Sites Project Authority and its participating member agencies while serving as the Authority's first General Manager; and

WHEREAS, Mr. Watson has been a collaborative General Manager with a strong focus on creative problem solving, technical details, innovative project concept development; and

WHEREAS, Mr. Watson cultivated a strong and dedicated "project comes first" culture in the organization with a commitment to individual professional growth and recognition; and

WHEREAS, Mr. Watson's leadership enabled the Sites Project Authority to competitively receive the largest Prop 1 WSIP award of $816 million toward statewide benefits in the project and execution of an Early Funding Agreement with the Commission; and

WHEREAS, Mr. Watson, through a spirit of partnership with the Bureau of Reclamation, helped to develop the Draft Federal Feasibility Report finding that the project has significant federal interest and is worthy of federal investment; and

WHEREAS, Mr. Watson's business acumen and experience, emphasis on timely planning and decision-making, practical approach to problem-solving, and assertiveness regarding the Project's interests in public forums have also benefitted the Project's development;

WHEREAS, Mr. Watson has branded the Sites Project as a vital component of California's water infrastructure with a diverse and statewide support and participation; and

WHEREAS, Mr. Watson successfully discharged his responsibilities with honesty, integrity, and fairness, and with a laser-focused practical approach for the overall benefit of the Project and its Members, all to improve future water supplies for water suppliers, residents and the environment of the State of California;

WHEREAS, the improvement of water supplies to farms, cities and the environment within the Sacramento Valley and the State of California is noble and praiseworthy work, and Mr. Watson has cared deeply about this work and has enabled it with loyalty, dedication, and excellence to the benefit of the Project and its beneficiaries;

WHEREAS, Mr. Watson's dedication to the Project has been beyond reproach;

NOW, THEREFORE BE IT RESOLVED that the Authority Board and Reservoir Committee of the Sites Reservoir Project expresses its gratitude and appreciation to Jim Watson for his dedicated service to the Sites Reservoir Project and its participating member agencies, and envisions continued success for him in his future endeavors.

****

The foregoing resolution was duly and regularly adopted at a meeting of the Reservoir Committee held on the 21th of May 2020 and was duly and regularly adopted at a meeting of the Authority Board held on May 27th of May 2020 both making up the governing body of Sites Reservoir Project.

AUTHORITY BOARD	**RESERVIOR COMMITTEE**
_____	_____
Fritz Durst – Sites Project Authority, Chair	Thad Bettner –Reservoir Committee , Chair

ATTESTED

_____

Yolanda Tirado – Sites Project Authority, Board Clerk

Sites

Topic: **Reservoir Committee Agenda Item 3.1** **2020 May 21**

Subject: **Environmental Planning and Permitting Update**

Requested Action:

Review and comment on Recirculated EIR and key permits milestone schedule and key decision points.

Detailed Description/Background:

Since approval of the Phase 1B Work Plan and the Sites Value Planning Report, staff has been moving forward with executing the environmental planning and permitting components for the "rightsized" project. The attached milestone schedule describes the roadmap for completing the Recirculated EIR and obtaining key permits. Current activities and key upcoming decision points (shown in bold) are as follows:

- California Environmental Quality Act / National Environmental Policy Act (CEQA / NEPA) – The project team is currently developing an approach for completing the Recirculated Environmental Impact Report (EIR). This approach will outline key components of the work ahead, including assessing the extent of revisions to each section of the 2017 document. The project team is also working to **formulate a recommended approach to alternatives and baseline conditions that we anticipate reviewing with the Reservoir Committee and Board in June**. The team is proceeding to coordinate a joint EIR/EIS. No final decision has been made yet by Reclamation, but it is assumed that the EIS will be supplemented and recirculated with the EIR.
- Federal Endangered Species Act and California Endangered Species Act (Fish and Game Code Section 2081) – Similar to above, the project team is currently developing the approach for completing the Biological Assessment and Section 2081 Permit applications in coordination with the resource agencies and Reclamation. The environmental and operations teams continue to work closely on modeling components and refinement of operational criteria for project diversions from the Sacramento River. The **operating regimes for diversions and releases and a review of the storage policy will be reviewed with the Reservoir Committee and Board in July.**
- National Historic Preservation Act, Section 106 – This effort will be initiated in September.
- California Water Right Application (California Water Code) – The project team is currently working to **formulate a recommend approach to identify key components of the water right application for discussion with the Reservoir Committee and Board in September**. These key components

Draft_0029110

include Place of Use, Points of Diversion, Points of Rediversion, and Purpose of Use – all of which will form the basis of the application.

- Clean Water Act Section 404 and 401 – This effort will be initiated shortly.
- River and Harbors Act Section 408 – This effort will be initiated in late July as more design and engineering information becomes available.

All of the actions and activities identified above remain on the overall schedule (some priorities have shifted around, but the overall schedule remains the same). All actions and activities are also within budget. The attached figure provides an overview of the key environmental planning and permitting efforts underway along with the schedule for key milestones and deliverables.

Prior Action:

None.

Fiscal Impact/Funding Source:

Costs to undertake the current efforts were included in the Amendment 2 Work Plan which was approved by the Sites Project Authority at its April 22, 2020 Board meeting.

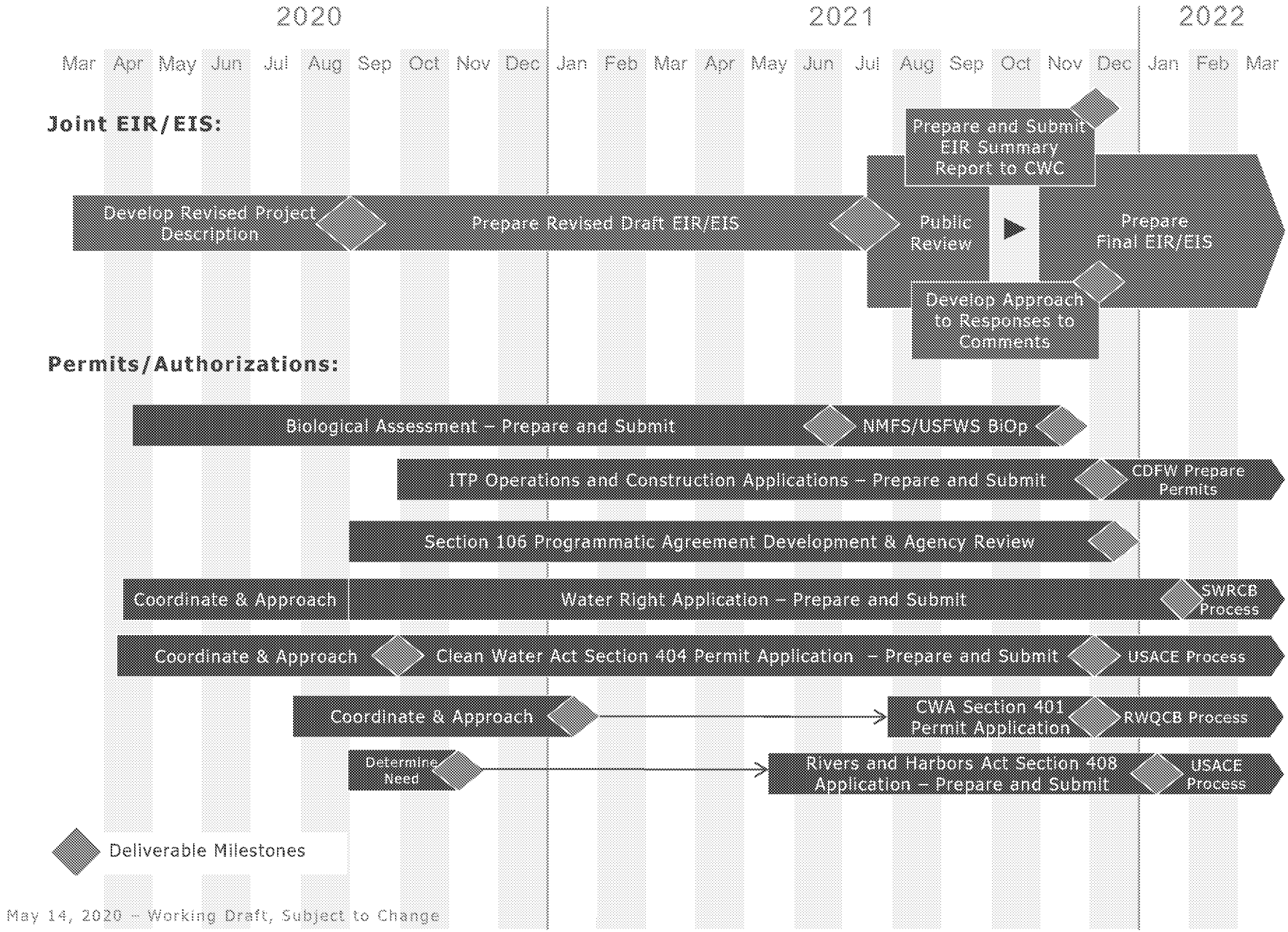
Staff Contact:

Ali Forsythe

Attachments:

Attachment A: Environmental Planning and Permitting Schedule

Environmental Planning and Permitting Key Milestones



May 14, 2020 – Working Draft, Subject to Change

Sites

Topic: **Reservoir Committee Agenda Item 3.2** **2020 May 21**

Subject: **Communications and Outreach Update**

Requested Action:

Review and Comment on Communications and Outreach Efforts to Communicate Rightsized Project.

Detailed Description/Background:

Since the approval of the Sites Value Planning Report last month and the introduction of the proposed "right-sized" project, staff and our Communications and Government Affairs teams have been actively engaging in an extensive communications and outreach effort.

These efforts include the following activities.

- Distribution of a press release highlighting the "right-sized" project to our statewide media list, distribution of an e-blast newsletter, distribution of Editorials to regional media outlets, and distribution of content on the project's social media platforms.
- Federal & State elected official/staff briefings on the project's value planning process, future funding needs and agency coordination needs.
- Project update briefings with NGOs and public interest organizations to introduce the project's rightsizing and solicit NGO feedback on the environmental review process and the path forward.
- Landowner coordination activities and briefings with local elected officials introducing the "right-sized" project.

Over the next several weeks, staff will continue to engage elected officials, landowners, project stakeholders and to develop and distribute additional media content in support of the rollout of the new proposed project. Considering the circumstances with COVID, a webinar is being produced that will be made available on the website by the end of June that reviews all aspects of the "right sized" project.

Additionally, staff is working on updating and distributing a revised version of the Message Platform based on comments received from the Reservoir Committee and Authority Board in addition to the feedback that we have received from during our outreach efforts to date.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Draft_0029114

Staff Contact:

Jerry Brown/Kevin Spesert

Attachments:

None.

Sites

Topic: **Reservoir Committee Agenda Item 3.3** **2020 May 21**

Subject: **Secure Proposition 1 Water Storage Investment Program**

Requested Action:

Review and Comment on Efforts to Secure Proposition 1 Water Storage Investment Program implementation modifications in light of the continuing effects of the COVID-19 pandemic on local government and water agency finances.

Detailed Description/Background:

The Phase 2 work plan was unanimously approved last month by the Reservoir Committee and the Authority Board showing unwavering commitment to completing this Project in an expeditious manner. However, the uncertainty and longer-term financial impacts of the COVID-19 pandemic may negatively impact the participating members ability to provide some or all the necessary financial support to advance the project in the near term. A key upcoming statutory deadline to receive the Proposition 1 funding is to achieve three specific milestones (see attached) including demonstrating at least 75% local cost share commitment by January 1, 2022. While it is hoped that COVID's effects will have subsided by then, there are funding requirements between now and then that may be impacted.

As a funding partner in the Sites project, the State is in a unique position to provide emergency assistance that could defray local cashflow during the effects of the pandemic and keep the project on schedule. A group of 6 of the 8 storage projects that were awarded Proposition 1 WSIP funds, including Sites, requested that the California Water Commission direct staff to provide administrative and regulatory modifications within the next 60 days that could mitigate the impacts of COVID-19. The letter included suggested actions that could be evaluated on a project by project basis due to the variance in risk factors. Any of the suggestions could benefit the Sites Project.

The CWC will meet on May 20, 2020 and is anticipated to consider the request. Staff will provide a report at the meeting on the Commission's actions.

Fiscal Impact/Funding Source:

It is expected any actions by the Commission on our request would result in cashflow adjustments, not new outside funding to the Project. However, advanced cashflow by the State could keep the project on schedule if local funding becomes unavailable because of more serious economic conditions, which are a reasonable possibility in some cases. To date, State early funding has been provided to the project in the amount of $6.1 million and $897,000 is being held in retainage by the State.

History:

In November 2016 the California Code of Regulations was modified by the California Water Commission to incorporate the conditions under which projects awarded funding under the Proposition 1 Water Storage Investment Program would be considered and receive funds. None of the conditions took into consideration the current COVID-19 natural disaster being experienced across the country.

In July 2018 eight storage projects were awarded funds under the WSIP, including the Sites Reservoir Project that received a maximum conditional eligibility determination (MCED) of $816,377,686 and was provided early funding in the amount of $40,818,884 which was the maximum allowed 5% of the MCED.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Proposition 1 Language

Attachment B: Proposition 1 Six Agency Letter sent to the CWC on May 11, 2020

Sites

Requirements That Must Be Met for Eligibility of Final Funding

79755. (a) Except as provided in subdivision (c), no funds allocated pursuant to this chapter may be allocated for a project before December 15, 2016, and until the commission approves the project based on the commission's determination that all of the following have occurred:

(1) The commission has adopted the regulations specified in Section 79754 and specifically quantified and made public the cost of the public benefits associated with the project.

(2) The project applicant has entered into a contract with each party that will derive benefits, other than public benefits, as defined in Section 79753, from the project that ensures the party will pay its share of the total costs of the project. The benefits available to a party shall be consistent with that party's share of total project costs.

(3) The project applicant has entered into a contract with each public agency identified in Section 79754 that administers the public benefits, after that agency makes a finding that the public benefits of the project for which that agency is responsible meet all the requirements of this chapter, to ensure that the public contribution of funds pursuant to this chapter achieves the public benefits identified for the project.

(4) The commission has held a public hearing for the purposes of providing an opportunity for the public to review and comment on the information required to be prepared pursuant to this subdivision.

(5) All of the following additional conditions are met:

(A) Feasibility studies have been completed.

(B) The commission has found and determined that the project is feasible, is consistent with all applicable laws and regulations and will advance the long-term objectives of restoring ecological health and improving water management for beneficial uses of the Delta.

(C) All environmental documentation associated with the project has been completed, and all other federal, state, and local approvals, certifications, and agreements required to be completed have been obtained.

(b) The commission shall submit to the Legislature its findings for each of the criteria identified in subdivision (a) for a project funded pursuant to this chapter.

(c) Notwithstanding subdivision (a), funds may be made available under this chapter for the completion of environmental documentation and permitting of a project.

Requirements That Must Be Met Before January 1, 2022

79757. (a) A project is not eligible for funding under this chapter unless, by January 1, 2022, all of the following conditions are met:

(1) All feasibility studies are complete and draft environmental documentation is available for public review.

(2) The commission makes a finding that the project is feasible and will advance the long-term objectives of restoring ecological health and improving water management for beneficial uses of the Delta.

(3) The director receives commitments for not less than 75 percent of the nonpublic benefit cost share of the project.

(b) If compliance with subdivision (a) is delayed by litigation or failure to promulgate regulations, the date in subdivision (a) shall be extended by the commission for a time period that is equal to the time period of the delay, and funding under this chapter that has been dedicated to the project shall be encumbered until the time at which the litigation is completed or the regulations have been promulgated.

May 11, 2020

Armando Quintero, Chairman
California Water Commission
PO Box 942836
Sacramento, CA 94236

Dear Chairman Quintero,

We represent six of the eight projects that were conditionally awarded funds for water storage projects under the Proposition 1 Water Storage Investment Program (WSIP). We are writing to request that you consider making emergency and temporary modifications on a project by project basis in your implementation of the WSIP funding due to the COVID natural disaster. In particular, we are concerned about the longer-term economic and jobs related impacts this continuing disaster will have on our ability to implement these critical water storage projects that will be co-funded through the WSIP.

Across our State, residents are struggling to meet their financial obligations including paying their water bills. Business closures have resulted in lost revenue to water and wastewater agencies. These are some of the direct impacts of the disaster today. More concerning and uncertain are the longer-term impacts which are sure to negatively affect public agency finances. All of us are in strong financial positions today, but none of us could have ever expected or planned for what we are facing now and in our future because of the COVID pandemic. We are all foreseeing financial pressures on our local investments in capital projects from COVID, including potentially delaying these WSIP storage projects.

We are not wavering in our commitment to implement these critical projects of statewide significance. However, we are concerned that the uncertain financial times ahead could cause delays to these projects that you have previously determined create an important statewide benefit. Delays hurt all investors, especially the State, because the State's investment dollars are not inflation protected. We do not want to see any delays, which is why we are bringing this issue and potential solutions to your attention while there is time for measured steps that help to avoid more serious outcomes.

The State, as a project investor, is in the unique position to provide emergency assistance while the local investors sort out the unforeseen consequences of COVID. The State has the financial wherewithal and legal latitude within Proposition 1 to look beyond the current situation and ensure our storage projects move forward on the timeline needed for the State's long-term water security and for job creation.

We request the California Water Commission direct staff to evaluate administrative and regulatory modifications which are appropriate to mitigate COVID impacts and submit

corresponding recommendations to the Commission for its consideration within 60 days. To assist in this effort, we offer the following considerations as potential areas for modification depending on individual project circumstances:

- Modification of Early funding conditions – Currently, $78.6 million of the $135 million has been encumbered to applicants that requested early funding. New or additional funds could be released. The 5% limit on early funding could be increased, (per Water Code Section 79704, up to 10% of allocated funds may be expended for planning and monitoring). Also, the Commission could consider allowing the cost share of prior costs to extend back to November 4, 2014, instead of the current August 14, 2017 date. Scope revisions on existing early funding agreements could be implemented on all projects. Projects that did not request early funding could be given the opportunity to receive such funding under revised program conditions established by the Commission. The program cap of $135 million toward early funding could be increased.
- Increased release frequency or waiver of retention on early funding - Since funds are provided on a reimbursement basis, this would better match actual expenditures rather than local dollars filling the many months gap between paying service providers and receiving the state funds.
- Consider using flexibility within the early funding agreements - For example, instead of maintaining 50/50 cost-share on a monthly basis, a longer time horizon would allow local dollars to be defrayed in the near term. A 70/30 cost share could be temporarily allowed for 18-24 months and the remaining time could be taken to achieve the required overall 50/50 share split.
- Incorporate COVID related impacts into pre-established deadlines - such impacts were not expected when dates were determined. Day for day extensions are typical contract remedies for Force-Majeure conditions. The Governor has significant powers under the emergency declaration which should be further explored. As an alternative to deadline changes, consider interpreting the requirements for feasibility and local cost-share commitments in a way that accommodates COVID impacts.

Thank you for your consideration of this unfortunate request. Our shared interest is in getting these projects built and we remain committed to achieving this goal, despite the COVID natural disaster.

Sincerely,

Jerry Brown, Executive Director
Sites Project Authority

Mark Beuhler,
Willow Springs Water Bank

Aaron Fukuda, General Manager
Temperance Flat Reservoir Authority

Shivaji Deshmukh, General Manager
Inland Empire Utilities Agency

Paul Cook, General Manager
Irvine Ranch Water District

Eric Averett, General Manager
Rosedale-Rio Bravo Water Storage District

Norma Camacho, Chief Executive Officer
Valley Water

Cc: Carol Baker, California Water Commission
Teresa Alvarado, California Water Commission
Andrew Ball, California Water Commission
Daniel Curtin, California Water Commission
Matthew Swanson, California Water Commission
Joe Yun, Executive Officer, California Water Commission
Amy Young, WSIP Project Manager, California Water Commission

Sites

Topic: **Reservoir Committee Agenda Item 3.4** **2020 May 21**

Subject: **Reservoir Committee Workgroup and Authority Board Committee Structure**

Requested Action:

Review and Comment on the Reservoir Committee Workgroup and Authority Board Committee Structure and Assignments.

Detailed Description/Background:

According to Article 9 of the Authority's September 2017, seconded amended and restated bylaws of the Sites Project Authority it is within the duties of the Chair to establish standing committees of the Board as necessary to ensure successful completion of the Authority's mission. In light of the recent approval of April 2020 Value Planning Report and Phase 2 work plan, it is appropriate to reconsider the existing Authority Board Committee structure. After consulting the Chair, the following change is being made:

- The Budget and Finance Committee of the Board is merged effective immediately with the Budget and Finance Workgroup of the Reservoir Committee. Any Board members wishing to participate in budget and finance matters are welcome to attend these meetings as long as a quorum of the Board is not reached. The Chair of the Reservoir Committee and the Chair of the Budget and Finance Workgroup has concurred with this change.

No other changes are proposed at this time for the Authority Board Committee structure. The attached list describes the active committees of the Board and the current roster. No changes are proposed to charter documents of these committees.

The Reservoir Committee adopted updates to its workgroup structure at the March 19, 2020 meeting and the list of active workgroups and the current rosters are attached for information.

Prior Action:

None.

Fiscal Impact/Funding Source:

None.

Staff Contact:

Jerry Brown

Attachments:

Attachment A: Current Reservoir Workgroup Assignments

Attachment B: Current Authority Board Committee Assignments

Reservoir Committee Workgroup Assignments for 2020

	Budget & Finance	Res. Ops & Engineering	Environmental & Permitting	Coordination
1	Jamie Traynham **Chair**, Davis WD	Mike Azevedo Vice Chair, Colusa	Thad Bettner **Chair**, GCID	Thad Bettner Res Comm **Chair**
2	Thad Bettner GCID	Thad Bettner GCID	Mike Azevedo Colusa Co	Jeff Davis Res Comm Vice Chair
3	Rob Kunde WRMWSD	Rob Kunde **Chair**, WRMWSD	Rob Kunde WRMWSD	Jamie Traynham Budget & Finance Chair
4	Eric Leitterman SCVWD	Eric Leitterman SCVWD	Eric Leitterman SCVWD	Robert Cheng Budget & Finance Vice Chair
5	Robert Cheng Vice Chair, CVWD	Robert Cheng CVWD	Robert Cheng CVWD	Rob Kunde Res Ops & Eng. Chair
6	Bill Vanderwaal Dunnigan	Bill Vanderwaal Dunnigan	Bill Vanderwaal Dunnigan	Mike Azevedo Res Ops & Eng. Vice Chair
7	Dan Ruiz Westside WD	Dan Ruiz Westside WD	Jeff Davis SGPWA	Thad Bettner Env & Permit Chair
8	Shelly Murphy Colusa Co WD	Bob Tincher SBVMWD	Heather Dyer Vice Chair, SBVMWD	Heather Dyer Env & Permit Vice Chair
9		Dirk Marks **(Alt)** SCVWA		
10		Rick Kaufman **(Alt)** American Canyon		
11		Amparo Flores Zone 7		
12		Jeff Sutton **(Alt)** TC-4 Districts		
13		Randall Neudeck MWDSC		
		Rob Cooke (by invitation) DWR (non-voting)		
		TBD (by invitation) USBR (non-voting)		
Staff	Joe Trapasso	Jerry Brown	Ali Forsythe	Jerry Brown

Sites

Authority Board Committee Assignments for 2020

	Coordination	Legislative & Outreach	Land Management
1	Fritz Durst, **Chair** RD 108	Jeff Sutton, **Chair** Tehama-Colusa CA	Gary Evans, **Chair** Colusa County
2	Jeff Sutton Tehama-Colusa CA	Fritz Durst RD 108	Jeff Sutton Tehama-Colusa CA
3	Logan Dennis Glenn-Colusa IR	Logan Dennis Glenn-Colusa IR	Logan Dennis Glenn-Colusa IR
4	Gary Evans Colusa County	Gary Evans Colusa County	Leigh McDaniel Glenn County
	Jamie Traynham Associate Member, TC4		
Staff	Jerry Brown	Kevin Spesert	Kevin Spesert

Topic: **Sites Reservoir Project, Phase 2**

Subject: **Monthly Status Report** Report Period: **2020 April**

Monthly Status Summary:

The development of the Sites Project continues to make progress in the critical areas associated with the value planning, work plan, and message platform including the following activities:

WSIP Funding:

- Submitted CWC Quarterly Report 7 for the work period January 1 through March 31, 2020.

WIIN Act Funding:

- Submitted draft statement of work for Financial Assistance Agreement to receive WIIN Act Funds from Reclamation. The project was awarded $6 million in WIIN Act Funds, some of which Reclamation will maintain to advance the project with their staff.

USDA Funding:

- No update

Engineering:

- Finalized the Value Planning Alternatives Appraisal Report identifying a recommended project and two options that would provide flexibility to adjust key features to respond to future conditions.
- Onboarded two new engineering teams: Contract HC – Conveyance Systems (CH2M) and Contract HR – Reservoirs (AECOM).
- Reviewed existing data, including geotechnical exploration information, to begin to determine next steps for Feasibility Study and support of Project Description.

Coordination with Reclamation:

- Met with Reclamation environmental staff to address the outcome of the Value Planning process and Authority's decision to recirculate the Draft EIR. Also discussed the NEPA path forward including the need to define the federal action and assumption of a continued joint EIR/EIS process.
- Continued coordination on Reclamation's work to complete the Final Federal Feasibility Report. Awaiting report chapters for review by Staff.

Environmental Planning and Permitting:

- Completed support to the Value Planning efforts.
- Continued efforts on the EIR/EIS Work Plan and approach for key permits, including efforts to formulate a range of alternatives for the EIR/EIS based on the Draft Value Planning Report and identify the appropriate baseline for on-

going and future analyses.

- Continued development of the project description for use in the recirculated EIR and state and federal ESA permits.
- Began efforts to determine key considerations and approach for the project's water right application.

Operations:

- Continued refinement of analysis tools for daily operations, bypass criteria, floodplain inundation, Shasta within year exchanges and other operational effects.
- Continued discussions on model baseline to support the project description development.
- Continued evaluation of potential for Shasta Exchanges based on updated information contained in ROC on LTO.
- Drafted Work Plan for development of priority operations criteria and parameters.

Stakeholder Engagement, Public Outreach & Real Estate:

- Engaged in outreach efforts with landowners, local community members, state and federal elected officials, government agencies and coalitions of regional and statewide organizations to introduce the Value Planning Report and the new "rightsized" project.
- Responded to landowner requests for project information, facilitated coordination activities with local government agencies and organizations and planned for future landowner, stakeholders and general public outreach activities and events.

Program Management & Administration:

- Developed and presented the Amendment 2 Work Plan covering work between Sep 1, 2020 and Dec 31, 2021. The work plan was approved by the Reservoir Committee and Authority Board.
- Developed a two-step cash call process in light of COVID-19 concerns. Rolled out a soft call survey to Reservoir Committee members to determine planned participation levels by agency.
- Developed the Second Amendment to 2019 Reservoir Project Agreement.

Draft_0029129

From: Kevin Spesert [kspesert@sitesproject.org]
Sent: 12/30/2019 8:27:59 AM
To: Alicia Forsythe [aforsythe@sitesproject.org]
CC: Jim Watson [jwatson@sitesproject.org]
Subject: FW: correspondence received 12-17-19
Attachments: 12-17-19 Bd of Sups-Co of Humboldt re Trinity River Interest from Adverse Impacts caused by Sites Reservoir Project.pdf; 12-17-19 Bd of Sups-Co of Humboldt re Revision & Recirculation of Draft EIR-EIS for Sites Res Project.pdf

Good morning Ali…

We "officially" received the Humboldt County letters on 12/17…They requested a response to the Sites Authority only letter by 1/15…the letter that went to both the Authority and Reclamation requested a "timely" response…

I think you had mentioned that you had started working on a response…as you work through the response we should get feedback from Fritz and Jeff Sutton (as the Legislative/Outreach Committee Chair) before the letters get sent out…

Hope you had a great Christmas…talk with you soon!

Thanks!

Kevin

From: Board Clerk <boardclerk@sitesproject.org>
Sent: Tuesday, December 17, 2019 3:10 PM
To: Kevin Spesert <kspesert@sitesproject.org>; Jim Watson <jwatson@sitesproject.org>; Fritz Durst (fritz.durst@gmail.com) <fritz.durst@gmail.com>
Subject: correspondence received 12-17-19

Good afternoon,

Attached please find correspondence received this day.

Thank you.

Yolanda

From: Arsenijevic, Jelica [Jelica.Arsenijevic@hdrinc.com]
Sent: 12/30/2019 9:58:31 AM
To: Alicia Forsythe [aforsythe@sitesproject.org]
CC: Spranza, John [John.Spranza@hdrinc.com]; Laurie Warner Herson [laurie.warner.herson@phenixenv.com]
Subject: FW: submitted 12.16.19 value planning session notes_JA_KS.docx
Attachments: INT-Value Plannng Meeting-MIN-20191207.docx

Good morning Ali
Hope you had a great time off during the holidays with your family! Happy almost new year as well.

As you know Laurie and I attended the Value Planning session this month. The env. permitting side of things has some homework prior to the next session planned for either January 13th or January 17th.

They'd like us to do a high level sensitivity/constraints analysis for diversion, storage and delivery of water south using the Sacramento River and Colusa Basin Drain. (e.g., Stoney Creek and Dunningan). They'd like us to coordinate with CDFW to obtain feedback of 750 cfs into Sacramento River and/or Colusa Basin Drain, and analyze if net delta outflow would be affected (coordination with Rob Tull required).

We should discuss approach and what we can tackle prior to next planning session.

Jelica Arsenijevic
D 916-679-8854
M 209-329-6897

hdrinc.com/follow-us

From: Frederiksen, Lee E.
Sent: Tuesday, December 17, 2019 4:57 PM
To: Jim Watson (jwatson@sitesproject.org) <jwatson@sitesproject.org>; Rob Thomson <rthomson@sitesproject.org>; JP Robinette (JRobinette@BrwnCald.com) <JRobinette@BrwnCald.com>; Heydinger, Erin <Erin.Heydinger@hdrinc.com>; Boling, Robert M. <Robert.Boling@hdrinc.com>; Alicia Forsythe <aforsythe@sitesproject.org>; Joe Trapasso (jtrapasso@sitesproject.org) <jtrapasso@sitesproject.org>
Cc: 'Laurie Warner Herson (laurie.warner.herson@phenixenv.com)' <laurie.warner.herson@phenixenv.com>; Arsenijevic, Jelica <Jelica.Arsenijevic@hdrinc.com>; Kevin Spesert (kspesert@sitesproject.org) <kspesert@sitesproject.org>
Subject: FW: submitted 12.16.19 value planning session notes_JA_KS.docx

Hi All,

Here are our collective notes from yesterday's value planning session.

Thanks.
Lee

Lee Frederiksen, PE
D 916.817.4883 M 916.213.0569

hdrinc.com/follow-us

From: Frederiksen, Lee E.
Sent: Tuesday, December 17, 2019 3:15 PM
To: rkunde (rkunde@wrmwsd.com) <rkunde@wrmwsd.com>; wvanderwaal (wvanderwaal@rd108.org) <wvanderwaal@rd108.org>
Subject: submitted 12.16.19 value planning session notes_JA_KS.docx

Hi Rob and Bill,

I have attached draft notes from yesterday's meeting for your review and comment. Please feel free to call to discuss.

Thanks.
Lee

Lee Frederiksen, PE
Senior Vice President | Practice Leader, Civil Works

HDR
2635 Iron Point Road, Suite 300
Folsom, CA 95630
D 916.817.4883 M 916.213.0569
lee.frederiksen@hdrinc.com

hdrinc.com/follow-us

From: Laurie Warner Herson [laurie.warner.herson@phenixenv.com]
Sent: 12/30/2019 10:35:56 AM
To: Alicia Forsythe [aforsythe@sitesproject.org]
Subject: RE: Introductions

Hi Ali –

I had an interesting discussion with Kellye last Monday, the 23rd. We had provided her the same access to files on SharePoint as we had Mike Dietl. She confirmed that she had been able to get into SharePoint and was going to try to review files in the coming week(s). Regardless, I gave her a verbal summary of the status of the Final EIR/EIS, including the fact that master responses are not yet complete.

We also talked about the likely changes to the project. She was not at the Board meeting but Ryan was – I am assuming Reclamation is aware of the 'right-sizing' (i.e., value planning) process but did not get into details with her.

Finally, we had a discussion regarding feasibility. I discussed the outline of our environmental feasibility summary report and indicated that we would be working on it in the next few weeks and could discuss it further in a future meeting. She also mentioned that Reclamation is considering moving ahead in completing the Final EIS to support feasibility. But she also said it is unlikely they have the resources in house to complete the document.

We should have a debrief when we are both back in the office. I want to continue to have a dialogue with Kellye but make sure I stay consistent with the Authority's approach for the path forward.

I am out the next 3 days (in Carmel) but back to work as of the 3rd. Hope you have had a great time over the holidays !!

Laurie

From: Laurie Warner Herson
Sent: Friday, December 20, 2019 10:34 AM
To: Alicia Forsythe <aforsythe@sitesproject.org>
Subject: FW: Introductions

FYI – I am talking with Kellye on Monday. Let me know if there is anything specific you want me to address (or not).

Hope you are having a great time. All is well here.

Happy Holidays !

From: Kennedy, Kellye J [mailto:kkennedy@usbr.gov]
Sent: Thursday, December 19, 2019 4:45 PM
To: Laurie Warner Herson <laurie.warner.herson@phenixenv.com>
Subject: Re: Introductions

HI,

Thanks for getting back to me so quickly. Let's plan to talk Monday (12/23) at 2:30? If okay, call me on my cell at 916.472-4344.

Kellye

Kellye Kennedy
Project Manager
Region 10 California Great Basin
Bureau of Reclamation
office: 916.978-5067
mobile 916.472-4344

From: Laurie Warner Herson <laurie.warner.herson@phenixenv.com>
Sent: Thursday, December 19, 2019 2:37 PM
To: Kennedy, Kellye J <kkennedy@usbr.gov>
Subject: [EXTERNAL] RE: Introductions

Hi Kellye,

I'm at the Sites Board meeting in Maxwell tomorrow so I would prefer talking after 2:00 pm either Monday (12/23) or Friday (12/27). I can also be available on the 30th except between 11:00 am and 2:00 pm.

I look forward to talking with you and, at some point, meeting you. Ali has spoken very highly of your work with her on the SJRRP. Let me know what day/time is best for our call.

Thank you,

Laurie

From: Kennedy, Kellye J [mailto:kkennedy@usbr.gov]
Sent: Thursday, December 19, 2019 11:33 AM
To: Laurie Warner Herson <laurie.warner.herson@phenixenv.com>
Subject: Re: Introductions

Hi Laurie,

Thank you for the offer. Are you available to talk by phone Friday (12/20) in the afternoon? If not, I am available as follows:

12/23 afternoon
12/26 all day
12/27 (by phone) all day
12/30 all day
1/2 afternoon
1/3 all day
following week is pretty open

In the meantime, how best can I obtain a copy of the most recent Draft EIR/EIS? I do not have access to Mike Dietl's files yet. By chance is a recent draft, even the public draft, available online or on a share drive?

Thank you and I look forward to working with you too.

Regards,

Kellye

Kellye Kennedy
Project Manager
Region 10 California Great Basin
Bureau of Reclamation
office: 916.978-5067
mobile 916.472-4344

From: Laurie Warner Herson <laurie.warner.herson@phenixenv.com>
Sent: Wednesday, December 18, 2019 2:10 PM
To: Spranza, John <John.Spranza@hdrinc.com>; Davis, Ryan A <rdavis@usbr.gov>; Kennedy, Kellye J <kkennedy@usbr.gov>
Subject: [EXTERNAL] RE: Introductions

Hi Kellye and Ryan,

Sorry I could not make lunch with you yesterday. But, I'm available to have an EIR/EIS discussion at your convenience.

Looking forward to working with you both –

Laurie

Laurie Warner Herson
Principal/Owner

Phenix
Environmental Planning

916.201.3935
laurie.warner.herson@phenixenv.com
State of California Small Business (#1796182)
Supplier Clearinghouse Women Business Enterprise (#16000323)

http://phenixenv.com/

From: Spranza, John [mailto:John.Spranza@hdrinc.com]
Sent: Tuesday, December 17, 2019 3:05 PM
To: rdavis@usbr.gov; kkennedy@usbr.gov; Laurie Warner Herson <laurie.warner.herson@phenixenv.com>
Subject: Introductions

Kellye and Ryan,
As we discussed earlier today Laurie is my counterpart for Sites and handles the Planning (EIR/EIS). I got her up to speed on our lunch conversation and wanted to get you guys in contact.
Regards.
John

John Spranza, MS, CCN
Senior Ecologist / Regulatory Specialist

HDR
2379 Gateway Oaks Drive, Suite 200
Sacramento, CA 95833
D 916.679.8858 M 818.640.2487
john.spranza@hdrinc.com

hdrinc.com/follow-us
hdrinc.com/follow-us